
3-D slope stability analysis: A probability approach applied to the nice slope (SE France)

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Abstract:

Recent geophysical and geotechnical data acquired on the Nice shelf to the east of the 1979 landslide source area, suggest slow deformations processes which could lead to future catastrophic slope failure. According to these preliminary interpretations, it is of major interest to perform a slope stability evaluation to define the hazard and quantify the danger related to a probable instability on this slope. A probabilistic approach is proposed here using a modified version of the SAMU_3D model, a 3-D slope stability software recently developed by Sultan and others to account for complex geometry. The 3-D analysis is based on the upper bound theorem of plasticity developed by Chen and others. One of the main features of the original model is to allow complex critical failure surfaces, suitable for complex bathymetry (i.e. canyons). A probabilistic approach was added to the former deterministic model to consider the effect of sediment parameter variability and uncertainty (undrained shear strength and unit weight) on the likelihood of failure. Such an approach allows an estimation of the reliability of the results. Monte Carlo simulation was used to represent the variability of the factor of safety given a specific number of trials. Identification of the critical failure surface previously based on a deterministic analysis is thus performed in terms of probability of failure (or probability of a factor of safety lower than a reference value). According to the undrained shear strength distribution profiles with depth, obtained using different models (down to 30 and 60 m depth) at several sites and to the parameter uncertainty, high probability of failure (around 50%) is found for the Nice slope indicating that the sediment in this area is highly metastable.

Keywords: probabilistic analysis; slope stability; Monte Carlo simulation; shear zone

1. Introduction

Submarine slope failures are one of the main processes for long-distance sediment transport and for shaping seafloor morphology. In addition, they represent an important hazard to the coastal community as well as the off-shore exploitation of marine resources. Slope stability assessment methods are of major interest to evaluate the likelihood of failure and the danger associated with such events. In many cases, the conventional deterministic slope stability analysis corresponds to a simplification of the problem, providing results based on averaged sediment parameters which tend to eliminate the effect of parameter uncertainty on the estimated performance of the slope. Probabilistic methods allow refining conventional evaluations by integrating specific data variability related to the site into the final result. On the other hand, a 3-D slope stability evaluation allows us to propose more realistic failure surfaces represented by complex shapes associated with complex bathymetry and obviously a more realistic safety factor compared to the 2-D approach. The SAMU_3D software (Sultan et al., 2007 N. Sultan, M. Gaudin, S. Berné, M. Canals, R. Urgeles and S. Lafuerza, Analysis of slope failures in submarine canyon heads: an example from the Gulf of Lions, *Journal of Geophysical Research* 112 (2007), p. F01009 10.1029/2005JF000408. Full Text via CrossRef | View Record in Scopus | Cited By in Scopus (4)Sultan et al., 2007) was developed to face this problem using a broad range of complex shapes to test the

58 critical failure process. This paper addresses the integration of a probabilistic method in the
59 recent 3-D slope stability evaluation software (SAMU_3D) by using the Monte Carlo
60 simulation. Numerous examples showed the interest of the probabilistic method for geohazard
61 problems (Nadim, 2002; Nadim and Lacasse, 2003; Lacasse and Nadim, 2007). The latter be
62 applied to the present-day Nice shelf and slope (**Figure 1**), south coast of France, where a
63 significant slide took place on October 16, 1979.

64 The 1979 landslide (**Figure 2**) occurred at the place of the fill used during the construction of
65 the new Nice harbour with a removed sediment mass estimated between 2 to 3 million m^3 of
66 fill and about 7 millions m^3 of underlying sediments, mainly clayey silt and silty sand, which
67 composes the deltaic deposits (Seed et al., 1988). Gennesseaux et al. (1980) showed that a
68 flow of several hundred million m^3 of sediment was likely at the origin of the cable breaks at
69 distances of about 90 and 120 km off-shore from Nice, suggesting a significant erosion
70 process downslope following the initial event. According to Mulder et al. (1997), the initial
71 slide then turned into a debris flow and turbidity current with progressive erosion and water
72 incorporation. Despite a series of observation reported from different witnesses, the triggering
73 mechanics and the precondition to failure was not well understood.

74 More recently, Dan et al. (2007) proposed a new slope stability assessment of the Nice slope
75 based on sediment cores and piezocone CPTU data; the latter highlight the presence of a
76 sensitive clay bed between 30 mbsl and 45 mbsl. Numerical simulations show that under high
77 deviatoric load, creeping of the sensitive clay layer could lead to a shear resistance loss and
78 thus be at the origin of the 1979 slide. A decrease of the effective stress induced by seepage of
79 freshwater due to the exceptionally heavy rainfall is likely the triggering mechanisms which
80 led to the Nice slope failure. The “sensitive layer” hypothesis is supported by the good
81 correlation between the maximum thickness of the sliding mass and the depth of the sensitive
82 clay layer. Furthermore, the progressive failure scenario according to the creeping process

83 agrees well with the observations mentioned in the official report (cracks, settlements,
84 failures, collapses) during land filling operations.

85 Beyond the 1979 accident the aim of this paper is to provide a new approach to highlight the
86 significant hazard related to present-day slope at the Nice shelf area. A present-day slope
87 stability evaluation in the vicinity of the 1979 landslide area will be performed thanks to the
88 piezocone CPTU data recovered recently during the 2007 PRISME cruise (Sultan et al.,
89 2008).

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92 **Deterministic 3-D slope stability analysis**

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94 For complex and heterogeneous slopes, 1-D or 2-D slope stability analysis is untimely and is
95 prone to lead to oversimplification with inaccurate results, especially when sediment layer
96 thicknesses are variable along the slope. In this case, a 3-D analysis is required for an accurate
97 evaluation of the slope stability. The SAMU_3D software (Sultan et al., 2007) proposes a 3-D
98 stability analysis method based on the upper bound theorem of plasticity (Chen et al., 2001a,
99 2001b); the latter method avoids simplifications related to the use of the limit equilibrium
100 methods concerning static and kinematic admissibility (Yu et al., 1998). The second interest
101 of the SAMU_3D software concerns the complex geometry proposed to test the failure
102 surfaces and simulate the critical one. The equation defining the shape of an arbitrary failure
103 surface depends on eight parameters which allow to test a broad range of geometries prone to
104 sliding and thus to get the corresponding range of factor of safety. The kinematically
105 admissible velocity field implies that plastic velocity be inclined at an angle φ' (internal
106 friction angle) to the failure plane.

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108

109 **Probabilistic approach**

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111 The impact of soil parameters variability (or model uncertainty) on a slope stability
112 assessment can be evaluated through the use of probability methods. Many published studies
113 tackle the soil parameters uncertainty through 2D slope stability evaluations even though the
114 use of the 2D-domain generates an error inherent to the problem simplification. We have here
115 the opportunity to combine a 3D model to a probability approach in order to provide a more
116 realistic evaluation of the slope stability conditions.

117 The modified SAMU_3D software proposes a search algorithm for locating the critical slip
118 surface with the highest probability of failure instead of the lower safety factor as this is
119 commonly done with the deterministic approach. The probability of failure is calculated using
120 a Monte Carlo simulation which provides a set of deterministic safety factors corresponding
121 to a series of trials. Monte Carlo simulation is a class of computational algorithms for
122 simulating the behaviour of physical systems using random (or pseudo-random) numbers. The
123 simulation is based on the repetition of algorithms with a large number of calculations
124 involving variables defined with probability distributions. This results in a series of number
125 with a specific distribution (mean and standard deviation) allows to estimate the probability of
126 getting the unknown final parameter (i.e. factor of safety) in a certain range of values.

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128

129 *Application to SAMU-3D software*

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131 The development of a Monte Carlo scheme is quite simple. Some input parameters defining
132 the model are represented using a probability distribution which allows computing a set of

133 resulting safety factors according to the parameters uncertainty. Many random variables
134 distribution (for geotechnical engineering material properties) appear to be well represented or
135 approximated by a normal Probability Density Function (PDF) but others distribution types
136 are available (lognormal, uniform, triangular, etc...). The normal distribution is used in this
137 paper to represent both the distribution of undrained shear strength and unit weight. Then, the
138 probability to get a value x (x is the variable of interest) lying between $\pm 1\sigma$ (σ is the
139 standard deviation) is 68 %. In other words, this means that if a soil has a mean cohesion of
140 34.5 kPa with a standard deviation of 8.14, 68% of a series of samples should have their value
141 between 26.36 kPa (34.5-8.14) and 42.64 kPa (34.5+8.14).

142 In equation form, this gives for the normal distribution,

143

$$144 \quad f(x) = \frac{e^{-(x-u)^2 / 2\sigma^2}}{\sigma\sqrt{2\pi}} \quad (1)$$

145

146 where u is the mean value of x .

147

148 **Figure 3** shows two typical normal distributions with different means and standard
149 deviations. One with low mean and low standard deviation (PDF 1) and another one with high
150 mean and high standard deviation (PDF 2). Though the PDF 1 mean value is closer from the
151 unity (and thus from the failure domain), the higher probability of failure corresponds to PDF
152 2 according to the respective areas for factors of safety below 1.0 (**Figure 3; left diagram**).

153 These functions are defined without any limit but truncations can be applied if minimum and
154 maximum values are specified.

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156 The procedure for modelling a variable probability distribution from its mean and standard
157 deviation is decomposed in four steps:

158 | 1) Define the probability density function representing as well as possible the natural data set
159 | for each parameter assumed to show variability.

160 | 2) Calculate the Cumulative Distribution Function which provides the probability to get
161 | values from measurements in a specific range.

162 | 3) Invert the previous function in order to get a percent point function or a sampling function;
163 | the latter allows to get some values around a specific parameter according to their previously
164 | defined distribution. In the sampling function, the x-axis represents the range of expected
165 | random numbers; for each random number generated, the function generates a parameter
166 | whose occurrence frequency corresponds to the previously defined distribution.

167 | 4) Generate a series of aleatory (or pseudo-aleatory) numbers which will provide, in
168 | combination with the sampling function, a series of values corresponding to the expected
169 | frequency distribution.

170 |

171 | Then, calculations using the former deterministic model are made with each data set of
172 | generated values to get a distribution of results as this could be expected in reality. From the
173 | resulting distribution of factors of safety, it is then easy to estimate the probability of failure
174 | (or the probability to have a factor of safety lower than a reference value if no factor of safety
175 | below 1.0 is found). In this paper, the modified SAMU_3D program calculates a safety factor
176 | associated to 5 % probability to be lower than this reference value. The latter level (5%) was
177 | defined to ensure that the probability is representative of the standard deviation rather than the
178 | average value of the normal distribution. **Figure 3** (right diagram) shows an example for
179 | which 5% probability are calculated for both distributions; the latter provides 5% probability
180 | to have a factor of safety below 1.025 and 0.68 with PDF 1 and PDF 2 respectively. In terms
181 | of probability of failure, PDF 2 corresponds to the most critical one. The program keeps in
182 | memory the lowest factor of safety from different trials associated to 5% probability to find a

183 value below this reference in the distribution which is equivalent to the probability of failure
184 as search criterion. The number of trials was tested between 100 and 1000 to ensure this
185 parameter has no significant effect on calculation results.

186 It is worth noting that the spatial variability of soil parameters was not considered in
187 calculations; this means that for each trial, the parameters were considered constant over the
188 length of each layer. This leads to provide lower safety factors and thus lower constant with
189 5% probability to have a factor of safety lower than the reference value. The failure
190 probability is calculated when one factor of safety at least out of the total number of trials is
191 found below 1.0.

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193

194 **Validation of the 3D-deterministic model**

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196 A validation of SAMU-3D is proposed with a simple case studied by many researchers; the
197 critical slip surface and corresponding safety factor are searching for a 3D homogeneous
198 slope. The dimensions of the study area are 25*40 meters and the slope gradient is about 26°
199 (1:2). The soil parameters are those used by [Xie et al. \(2004\)](#) and are:

$$200 \quad c = 9.8kPa \quad ; \phi = 10^\circ \quad ; \gamma = 17.64 kN / m^3$$

201 The critical slip surfaces are proposed for two different shapes; a rectangular shape for direct
202 comparison with [Xie et al. \(2004\)](#) results and a free shape to get the critical surface
203 corresponding to the lowest safety factor; the latter was considered to show the interest of
204 using a complex geometry with the energy approach (SAMU_3D).

205 The comparison of different modelling (2D and 3D-models) for this simple case is shown in
206 **Table 1**. The 3D-safety factors obtained with SAMU_3D (FOS=1.41 and FOS=1.35; **Figures**
207 **4 and 5**) are in good agreement with the 3D-safety factor resulting from Monte Carlo

208 simulation performed by Xie et al. (2004; FOS=1.42). The complex geometry of the critical
209 failure surface proposed by SAMU_3D allows to get a factor of safety below 1.40. The others
210 2D-calculations provide lower safety factors (FOS below 1.35) as expected for the 2D-
211 models.

212

213 **Validation of the 3D-probabilistic model: the James Bay embankment**

214

215 Another validation concerning the probabilistic approach results is proposed with the James
216 Bay embankment. This case was well studied in terms of probability for 2-D models by
217 Christian et al. (1994) and El-Ramly et al. (2002). The embankment, composed of sand, is 12
218 m height with a 56 m wide berm at mid-height between both slopes (**Figure 6**). Below the
219 sand, there is a succession of soils; clay crust (4 m on average), marine clay (8 m on average),
220 lacustrine clay (6.5 m on average) and the underlying till layer with relative high strength. In
221 terms of uncertainty, the main concern is the large scatter in the strength measurements for
222 Marine and Lacustrine clays leading to high standard deviations for the latter. Ladd (1983,
223 1991) and Christian et al. (1994) quantified the data dispersion for eight parameters whose
224 variability was considered in the stability analysis (**Table 2**).

225

226 The variables are modelled using a normal density distribution function and are truncated to
227 +/- 3 standard deviations for the strength of the marine and lacustrine clay as for Khran &
228 Lam (2004 & 2007) and for El-Ramly et al. (2002). The critical failure surface considered by
229 Christian et al. (1994) and El-Ramly et al. (2002) has a circular shape and is shown on **Figure**
230 **7**. No spatial variability was considered in the Monte Carlo simulation during the present
231 slope stability evaluation; this means that there is one single sampling of statistical soil

232 properties for each layer during computation (no variability of soil properties with distance in
233 the same layer).

234

235 Using the 3D probabilistic model (SAMU_3D_PROB), the critical slip surfaces are found for
236 a safety factor around 1.80 (**Figure 8**). This is well above the previous 2-D-results
237 (FOS=1.46; [Christian et al., 1994](#); [El-Ramly et al., 2002](#)). The ratio of 3-D and 2-D safety
238 factor is commonly around 1.1 ([Christian et al., 1994](#)) which should provide a factor of safety
239 around 1.6 considering the 2-D lowest safety factor (FOS=1.46). In the 3-D model, the neutral
240 line corresponds to the 2-D critical slip surface but the other adjacent lines constituting the 3-
241 D shape being shallower (from the deepest part up to the sediment surface), the resulting
242 safety factor is obviously higher since the sliding is easier on a deeper surface in this case.

243

244 **The Nice airport area**

245 On 16th of October 1979, a significant slide occurred on the Nice continental slope in the
246 vicinity of the airport (**Figure 2**). In this area, the slope gradient is rather high and can reach
247 up to 40 ° (**Figure 9**). This disastrous event led to the loss of human lives and substantial
248 damages. A part of the platform enlargement, corresponding to an extension of the Nice
249 airport fated to be a harbour, collapsed into the sea, generating a tsunami wave of 2-3 meter
250 height ([Genesseaux et al., 1980](#)). [Seed et al. \(1988\)](#) highlight the very heavy rainfall (about 25
251 cm in 4 days) which preceded the slide during several days, increasing the artesian pressure in
252 the pervious layers of the delta deposits. The authors proposed an early interpretation of the
253 observed events preceding the slide, which involves a massive under-water landslide triggered
254 by a slide in the port fill, and the resulting landslide-induced tidal wave. However authors
255 raises an important question concerning the mechanism at the origin of the slide in the port
256 fill. The role of a quick-clay-type process as the source of a liquefaction-type slide for the

257 1979 Nice event was considered by [Seed et al. \(1988\)](#) highly unlikely after examination of
258 clays and clayey silts recovered in the area. Computed factors of safety for slip surfaces
259 extending to bottom of clayey silt layer provided a critical value around 1.35 for conditions
260 after construction of fill and considering artesian pressure increase.

261 Finally, [Seed et al. \(1988\)](#) concluded that the most likely cause of the slide is a static
262 liquefaction process affecting the loose silty sand triggered by a tidal drawdown; the latter
263 phenomenon was associated to a tidal wave generated by a submarine slide in the Var canyon
264 about 15 kms off-shore. The authors mentioned another hypothesis they considered unlikely,
265 involving a failure occurring initially in the port fill and resulting in a landslide which
266 generates a tidal wave.

267 Numerous examples of landslides in coastal environments are suspected to be associated with
268 a period of low tide preceding the event (Orkdals Fjord slide, Norway, [Terzaghi, 1956](#);
269 Trondheim Harbor slide, Norway, [Andresen & Bjerrum, 1967](#)). It is also worth noting that
270 similar pore pressure conditions in the soil (artesian pressure) were reported for the Nice
271 airport area as well as for the Orkdals Fjord ([Seed et al., 1988](#)).

272 Based on CPTU data and numerical modelling, [Dan et al. \(2007\)](#) proposed a scenario
273 involving a sensitive clay layer between 30 and 45 mbsf and a creep process to explain the
274 slope failure. This hypothesis is supported by the good agreement between the maximum
275 thickness of the removed sediment and the depth of the sensitive clay layer. The authors
276 highlight the metastable situation of the Nice slope prior to the platform enlargement and
277 confirm the on-site observations during land filling operations (cracks, settlements, failures
278 and embankment collapses) with a long-term creeping failure scenario.

279

280 | **Recent Observations from bathymetry, geotechnical and geophysical data**

281 Recent geophysical and geotechnical data acquired by [Sultan et al. \(submitted\)](#) bring
282 evidences of slow post-slide deformations and confirm the need to control the present-day
283 stability of the slope resulting from these significant processes evolving with time.

284 The slope gradient map (**Figure 9**), achieved using bathymetric data resulting from the 1979
285 event, display a series of quite visible escarpments around the slide scar, bordering the airport
286 on the shelf (ESC1 to ESC5). The latter might result from the 1979 slide event or suggest a
287 post-slide on-going slow deformation process downslope the shelf, following the 1979 slide
288 event. The combination of both scenari is also possible with the 1979 slide event initiating the
289 escarpments which are now in an on-going process of deformation.

290 According to [Demers et al. \(1999\)](#), a reduction in tip resistance of about 10-50% observed
291 using piezocone profiles could be attributed to plastic zones related to progressive failure
292 phenomena. In other words, creep and progressive failure would be associated with a loss of
293 strength in the clay mass. This means that piezocone tests performed in the Nice shelf area
294 showing a reduction of the tip resistance of 10-40% on specific sites (40% at site 12-02;
295 **Figure 10**) could suggest a softening of the clay related to a progressive deformation in a
296 slope of precarious stability such as the Nice slope and lead to failure conditions in a short or
297 medium term.

298 Furthermore, during the PRISME cruise (2007), a series of 3.5 kHz sediment penetration
299 profiles were acquired on the shelf near the 1979 event slide scar; one of them is represented
300 on **Figure 11** (3.5 khz profile CH43001). The profiles displays some features (seismic
301 discontinuities) on the border of the shelf suggesting processes such as slow displacements of
302 the sediment mass, in agreement with the shear zone expected from CPTU data (**Figure 10**).

303 According to these evidences, we propose to carry out an new evaluation of the present-day
304 slope stability in the vicinity of the slide area using the probability approach associated to the

305 SAMU_3D software (Sultan et al., 2007) based on new data recovered during the 2007
306 PRISME cruise (Sultan et al., 2008).

307

308 | **Case study: The Nice airport**

309

310 According to a probable progressive deformation in the vicinity of the Nice airport slope, the
311 slope stability in this area should be performed in terms of drained conditions. In the absence
312 of data such as cohesion and internal friction angle, the undrained conditions will be
313 considered as the critical ones in the present slope stability evaluation. Drained conditions
314 | will be considered during a next stage, when soil parameters will be available.

315

316 | **Materials**

317

318 CPTU data and cores recovered in the vicinity of the slide scar during the PRISME cruise
319 were used for this study (**Figures 12 and 14**). CPTU data enable to model the undrained shear
320 strength profile versus depth (**Figure 13**) while cores provide information about unit weight
321 of the sediment (**Figure 15**). The latter are the two main parameters associated to the
322 bathymetry for this slope stability assessment.

323

324 | ***Undrained shear strength***

325

326 An empirical relation relates S_u (undrained shear strength of the sediment) to the corrected
327 cone resistance and allows the modelling of the S_u distribution with depth (Robertson &
328 Robertson, 2006);

329

330
$$S_u = \frac{(q_t - \sigma_{vo})}{N_k} \quad (2)$$

331

332 where q_t is the corrected cone resistance, σ_{vo} is the total in situ vertical stress and N_k is an
333 empirical cone factor. According to [Lunne et al. \(1997\)](#) and [Robertson & Robertson \(2006\)](#),
334 the N_k parameter varies from 10 to 20 for normally consolidated marine clays. An average
335 value of S_u will be considered using $N_k = 15$ while the minimum value ($N_k = 20$) will
336 enable to evaluate the uncertainty with depth through the standard deviation. Modelling
337 results for the 9 sites are shown on **Figure 13**.

338 The following step is an evaluation of the representative undrained shear strength profile with
339 depth for the slope stability assessment and the corresponding averaged uncertainty with
340 depth. This is done by using the appropriate S_u profile for the area considered (model 1; down
341 to 30 meter depth) or by averaging all the S_u profiles modelled from CPTU data in a single
342 one (model 2; down to 60 meter depth): the uncertainty is quantified by using the difference
343 between the minimum (S_U profile from $N_k = 20$) and the mean (S_U profile from $N_k = 15$)
344 profiles and is assumed to roughly correspond to three standard deviations.

345

346 ***Unit weight***

347

348 The unit weight of the sediment which composes the shelf southward the Nice airport area is
349 estimated from cores collected on and around the shelf using both non-destructive gamma
350 density measurements with GEOTEK MSCL (Multi-Sensor Core Logger) and direct water
351 content evaluation on samples. A series of seventeen cores were recovered from the Nice
352 shelf and slope area during the Prisme cruise (**Figure 14**) which enable an accurate estimate

353 of the average value of the sediment unit weight on the whole zone. An example of output
354 results regarding gamma-density measurements is shown in **figure 15** with values ranging
355 mainly between 1.8 and 2.0 g/cm^3 for the sediment recovered inside the slide scar as well as
356 for the sediment found on the shelf.

357

358 **Model 1 (failure expected down to 30 meter depth)**

359

360 The S_u distribution with depth profile used to represent the sediment column depends on the
361 location of the expected failure surface. For example, the S_u profile 12-2 (from CPTU site
362 12-2) is assumed to represent the S_u distribution with depth (equation (1) and $N_k = 15$;

363 **Figure 13**) in the western part of the shelf, down to 30 meter depth; this is done to account for
364 local variations with depth (20-30 m) observed on S_u values at different sites (mainly for
365 CPTU sites 12-2 and 12-3) and to propose a more detailed spatial evaluation of slope stability.

366 The uncertainty for each S_u model was estimated from the gap between the minimum
367 (equation 1; $N_k = 20$) and the mean (equation 2; $N_k = 15$) S_u profiles (**Figure 13**).

368

369 **Model 2 (failure expected down to 60 meter depth)**

370

371 A second model is took into account with a single average profile for the S_u distribution with
372 depth down to 60 meter; this average profile is obtained from a compilation of all the S_u
373 profiles available on the shelf (CPTU 11-1 to 11-6, 12-2 and 12-3), equation (2) and $N_k = 15$
374 (**Figure 16**) and extrapolated down to 60 meter depth according to the average gradient in the
375 first 30 m depth. This model emphasizes the average S_u gradient in this area down to 60
376 meter depth, rather than local variations of S_u values as those observed at 20-30 meter depth,

377 in order to evaluate the likelihood to get a deep failure (between 30 and 60 meter depth)
378 though any information is available for this range of depth.

379

380 | **Standard deviation**

381

382 The standard deviation is assumed to correspond to one third the negative (or positive)
383 uncertainty; in others words the value of negative (or positive) uncertainty is large enough to
384 correspond to three standard deviations (**Table 4**). The confidence interval ($3 \cdot \sigma$ or 3
385 standard deviations) is representative of 99.73% of the dataset and only 0.27% do not
386 correspond to the probability distribution model. The uncertainty of the S_u models was
387 estimated considering the gap between the minimum (equation 2; $N_k = 20$) and the mean
388 (equation 2; $N_k = 15$) values of S_u profiles. For the first model (0-30 m depth) this is done
389 using the real values for each site while for the second model (0-60 m depth) the minimum
390 and average gradients based on the compilation of data are considered (**Figure 16**).

391

392 **Results of stability analysis**

393

394 **First model (0-30m):**

395

396 **Figures 17** (2D-horizontal), **18** (2D-vertical) and **19** (3D) display the expected critical failure
397 surface involving the first 30 m of the sediment column according to the CPTU data
398 recovered on the shelf. This critical surface correspond to a probability of failure of 50%
399 (**Figure 20**) and is located in the area showing a strong shear strength decrease around 25
400 meter depth (15 kPa; CPTU 12-2) and a high slope gradient. The volume of sediment of the
401 most likely failed mass is around $640\,000\ m^3$. It is worth noting that, in our model, the

402 geometry of the layers (and the weak layer as well) is simply assumed parallel to the
403 bathymetry in the absence of further information from the other CPTU sites regarding the
404 weak layer location (**Figure 18**); this is obviously at the origin of the convex shape at the
405 bottom of the failure wake when the “weak layer” option of the SAMU-3D software provides
406 the critical situation (higher probability of failure).

407

408 **Second model (0-60m)**

409

410 For the sediment mass down to 60 meter depth, the most likely failure surface is shown on
411 **figures 21 to 23**. The safety factor corresponding to 5% probability to get a lower value in the
412 distribution is 1.05 (around 0.04% probability of failure from approximation; **Figure 24**).
413 This critical failure surface is found for a mean S_u profile (compilation of the S_u profiles on
414 the shelf) extrapolated to 60 meter depth from the mean gradient between 0 and 30 meter
415 depth and using a “free-shape” mode (different from the previous “weak layer” mode). The
416 volume of the expected sediment mass to be removed is around $6\,600\,000\ m^3$.

417

418 **Discussion**

419

420 As previously mentioned, in the absence of information regarding drained conditions
421 parameters (internal friction angle and cohesion), we propose a slope stability evaluation in
422 terms of undrained conditions which should correspond to the critical case using the simplest
423 approach. A more advanced evaluation for drained conditions will be performed later, with
424 the possibility to integrate creeping and softening of the material.

425 The results of the 2-D ([Xie et al., 2004](#)) and 3-D (SAMU_3D; [Sultan et al., 2007](#)) stability
426 analysis concerning the simple homogeneous slope are in good agreement (FOS=1.41 for

427 both models). SAMU_3D even provides a lower safety factor (FOS=1.35) using a more
428 complex geometry for the failure surface..

429 Comparisons of probability of failures between 2-D (literature) and 3-D (this study) James
430 Bay model are complex; this is due to the lateral extent of the 3D-failure shape which tends to
431 reduce the weight of the sediment column on the failure surface borders and thus increase the
432 total safety factor. Consequently, the probability of failure decreases. Furthermore, the 1.1
433 ratio between the 2D and 3D analysis ([Christian et al., 1994](#)) was calculated for slope models
434 with homogenous sediment for which the shear resistance and the unit weight did not vary
435 with depth; the use of an heterogeneous sediment model with SAMU_3D might explain this
436 high 2D-3D ratio (1.23).

437 Moreover, the energy approach used with SAMU-3D presents some differences compared to
438 the classical equilibrium method; one of them concerns the virtual velocity estimated for each
439 element of the model; for non-cohesive sediments, At failure, the velocity vector do an angle
440 with the failure surface which corresponds to the friction angle. This tends to draw the virtual
441 velocity vector a bit nearer of the upward direction for the elements corresponding to non-
442 cohesive soils and thus tends to increase the safety factor.

443

444 The standard deviation considered with the S_u profiles for the Nice slope was estimated using
445 a rough procedure but represents quite well the order of magnitude which can be expected
446 from measurements. Obviously, the resulting probability of failure strongly depends on this
447 uncertainty but remains in the range of a reasonable value for the site and the uncertainty
448 considered.

449 Down to 30 meter depth, the critical failure surface and the corresponding probability of
450 failure is obviously related to the presence and geometry of the weak layer observed on the S_u
451 profile (western part of the shelf). High probability of failure (50%) is found on the western

452 part of the shelf ; this is related to the geometry of the model considered with a weak layer
453 mimicking the seafloor at a constant depth below seafloor. This model provides a specific
454 failure surface shape (weak layer mode) as shown on **Figure 18**. Obviously, the probability of
455 failure should be lower with a slightly inclined plane to model the weak layer (constant low
456 inclination) as the slope angle of the shear zone is preponderant in the resulting slope stability.
457 Unfortunately, there is no evidence suggesting the weak layer geometry in this area.

458

459 For the second model, the assumption made between 30 and 60 meter depth results in a
460 probability of failure less critical than using the presence of a weak layer but the mass
461 involved is well bigger (larger failure). The probability of failure ($FOS < 1.05$) is still high
462 (5%) and remains in the domain of unstable conditions. The reliability index is found around
463 3.6.

464 The behaviour of sensitive clay observed at 35-40 meter ([Sultan et al., 2004](#) and [Dan et al.,](#)
465 [2007](#)) depth and the possible degradation of its resistance with time was not considered in our
466 approach.

467 Geotechnical and geophysical investigations carried out in late 2007 to the East of the 1979
468 landslide scar show the presence of several seafloor morphological steps rooted to shallow
469 sub-surface discontinuities. Moreover, in situ piezocone measurements demonstrate the
470 presence of several shear zones at the border of the shelf break at different depth below the
471 seafloor ([Sultan et al., submitted](#)). Numerical calculations carried out in the present work
472 confirm the possible start-up of a progressive failure mechanism and the very likely
473 occurrence of a future submarine landslide in the studied area.

474

475 **Conclusion**

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477 According to CPTU measurements and resulting S_u profiles with depth, the most critical
478 conditions for the stability of the shelf concern sediment down to 30 meter depth in the
479 western part of the shelf (CPTU 12-2).

480

481 A maximum probability of failure of 50% was estimated for the upper part of the sediment
482 column (0-30m) for the slope exposed westward (CPTU 12-2) using a weak layer surface
483 mimicking the seafloor at constant depth. A model with a planar weak layer will provide a
484 lower probability of failure but still in the range of metastable conditions.

485

486 Extending the S_u gradient observed for the sediment column in the depth range 0-30 m down
487 to 60 meter depth, the probability of failure of the corresponding sediment mass is
488 significantly reduced but still high (5% probability for $FOS < 1.05$) and the volume of the
489 sediment mass likely to be removed increases.

490

491 Such results indicate that the Nice slope is highly unstable for the first 30 meter depth and that
492 further studies should be performed to sharpen this evaluation and to extend it to greater
493 depth; it is not unlikely that deeper weak layers exist, like the one observed at 30 meter depth
494 below seafloor on a couple of sites, which might increase the probability of failure of a bigger
495 sediment mass and will endanger human activities in the vicinity of the Nice airport area.

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497

498 | **Acknowledgments**

499

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501 funded by the ANR-ISIS project. The authors would like to express their gratitude towards

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503 bathymetry map of the area and to Sylvia Stegmann for a preliminary review of the
504 manuscript.

505

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631 the factor of safety associated to 5% probability to have a lower value is lower for the PDF 2

632 (high mean and high standard deviation) compared to the PDF 1 (low mean and low standard
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657 the edge of the slope (for location see figure 3). The two discontinuities prolongation fit quite

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698 meter depth.

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700 **Figure 24:** Results of Monte Carlo simulation; probability density function of the safety
701 factor for the critical failure surface down to 60 meter depth; 100 trials.

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Method	Range of safety factor
Yamagami and Ueta	
BFGS	1.338
DFP	1.338
Powell	1.338
Simplex	1.338-1.438
Greco	
Pattern search	1.327-1.33
Monte Carlo	1.327-1.333
Malkawi et al.	
Monte Carlo (Random walking)	1.238
Xie et al. (2004)	
Monte Carlo (3D)	1.42
This study	
Energy approach (3D) - deterministic - rectangular shape	1.41
Energy approach (3D) - deterministic - free shape	1.35

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Table 1: Comparisons of 2D and 3D-safety factors for the homogeneous slope (adapted from [Xie et al., 2004](#))

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Parameter	El-Ramly et al. (2002)		This study	
	Mean	Standard Deviation	Mean	Standard Deviation
Unit weight of sand (kN/m ³)	20.0	1.0	20.0	1.0
Friction angle of sand	30.0	1.0	30.0	1.0
Thickness of clay crust (m)	4.0	0.48	4.0	-
strength of marine clay (kPa)	34.5	8.14	34.5	8.14
Vane correction for marine clay	1.0	0.075	-	-
Strength of lacustrine clay (kPa)	31.2	8.65	31.2	8.65
Vane correction for Lacustrine clay	1.0	0.15	-	-
Depth to till (m)	18.5	1.0	18.5	-

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Table 2: Mean and standard deviation values for James Bay soil parameters.

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Reference	Mean factor of safety	Standard deviation	Probability of failure (%)	Reliability index	Minimum factor of safety
SLOPE/W analysis / 2D-model (Krahn & Lam, 2007)	1.46	0.210	1.4	2.2	0.725
This paper / 3D-model	1.80	0.038	-	21.0	-

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Table 3: Comparison of slope stability results for James Bay embankment; mean factors of safety, probability of failure and reliability index (SLOPE/W analysis from [Krahn and Lam, 2007](#))

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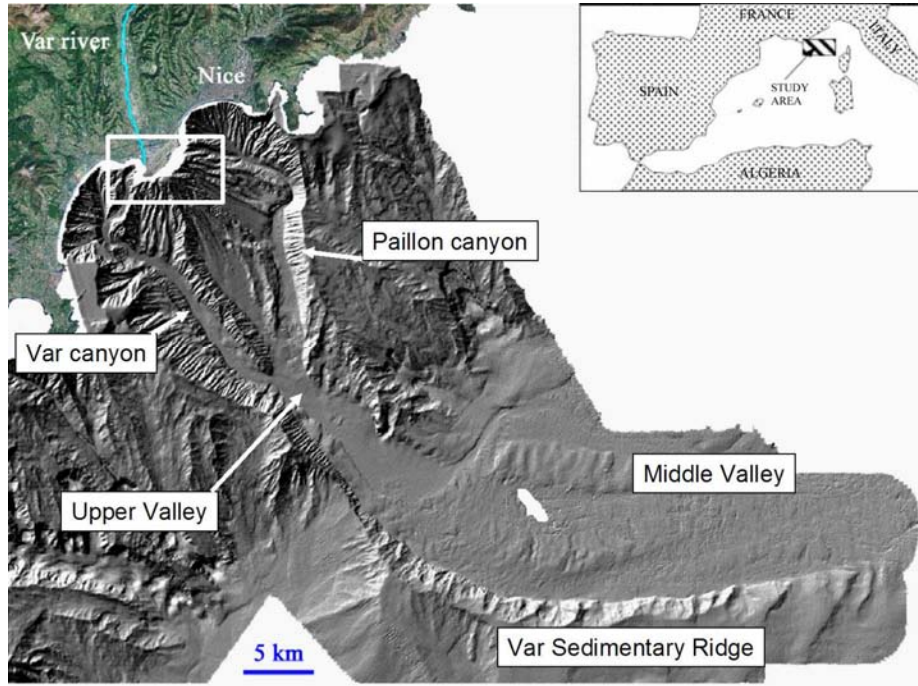
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Depth (m)	Su mean (kPa)	Uncertainty (kPa)	Standard deviation (kPa)
Y	X	+/- (3*SD)	SD
0-10	13	3	1
10-15	18	6	2
15-20	23	8	3
20-30	29	18	6
30-40	40	11	4
40-50	49	12	4
50-60	58	14	5

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Table 4: Undrained shear strength distribution with depth model for the Nice airport slope from CPTU data (equation 1) for slope stability analysis; mean value and standard deviation .

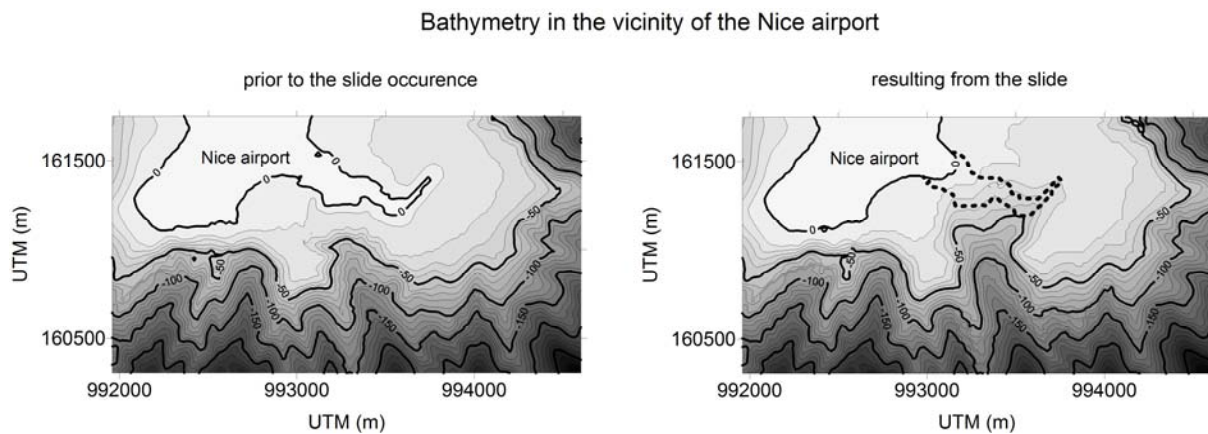
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Figure 1: Bathymetry of the Nice continental slope; the white rectangle corresponds to the slide area located in the vicinity of the Nice airport (adapted from [Mas et al., 2007](#))

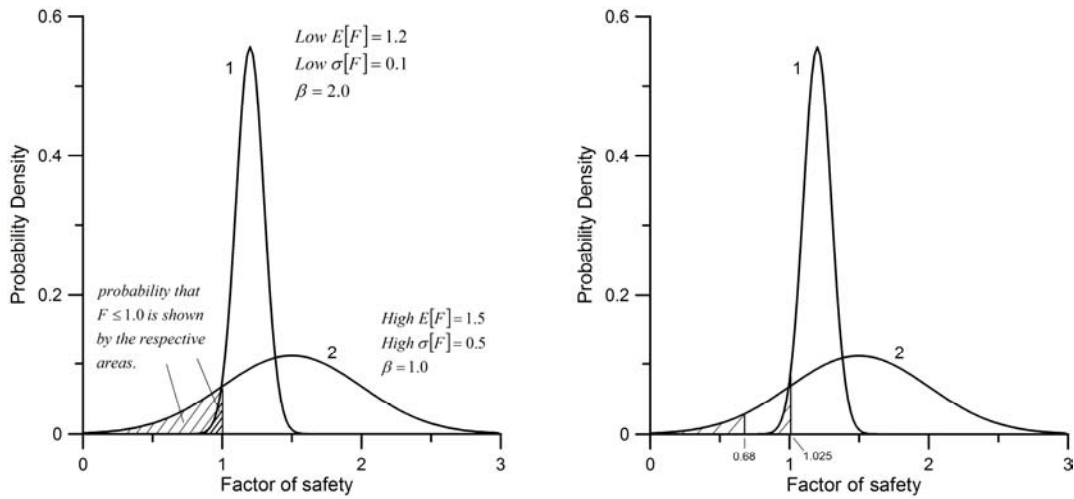
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Figure 2: Bathymetry in the vicinity of the 1979 Nice slide; the embankment which disappeared during the slide is represented with a dashed line on the post-slide map.

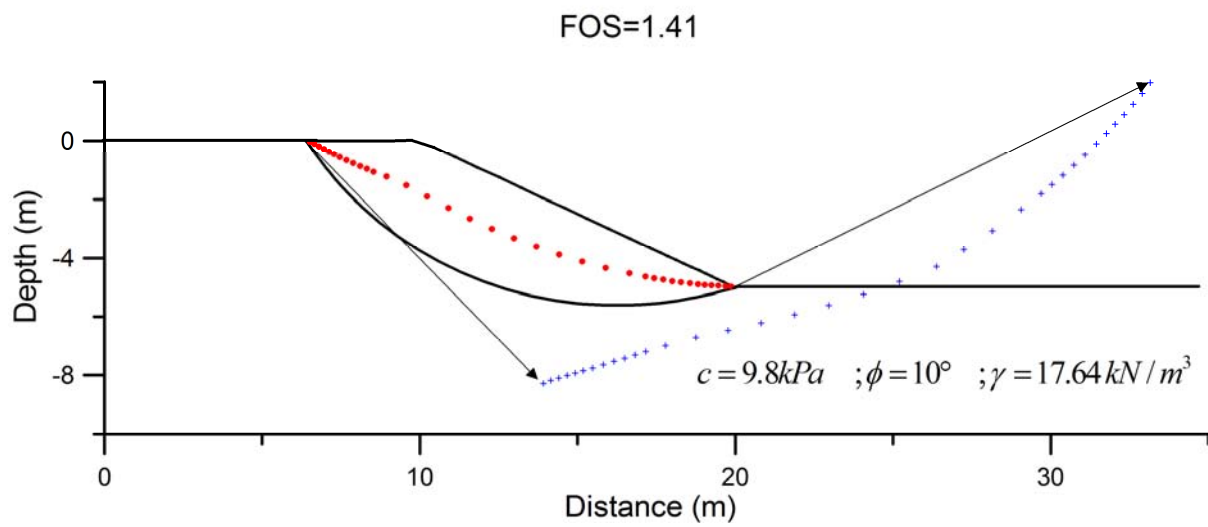
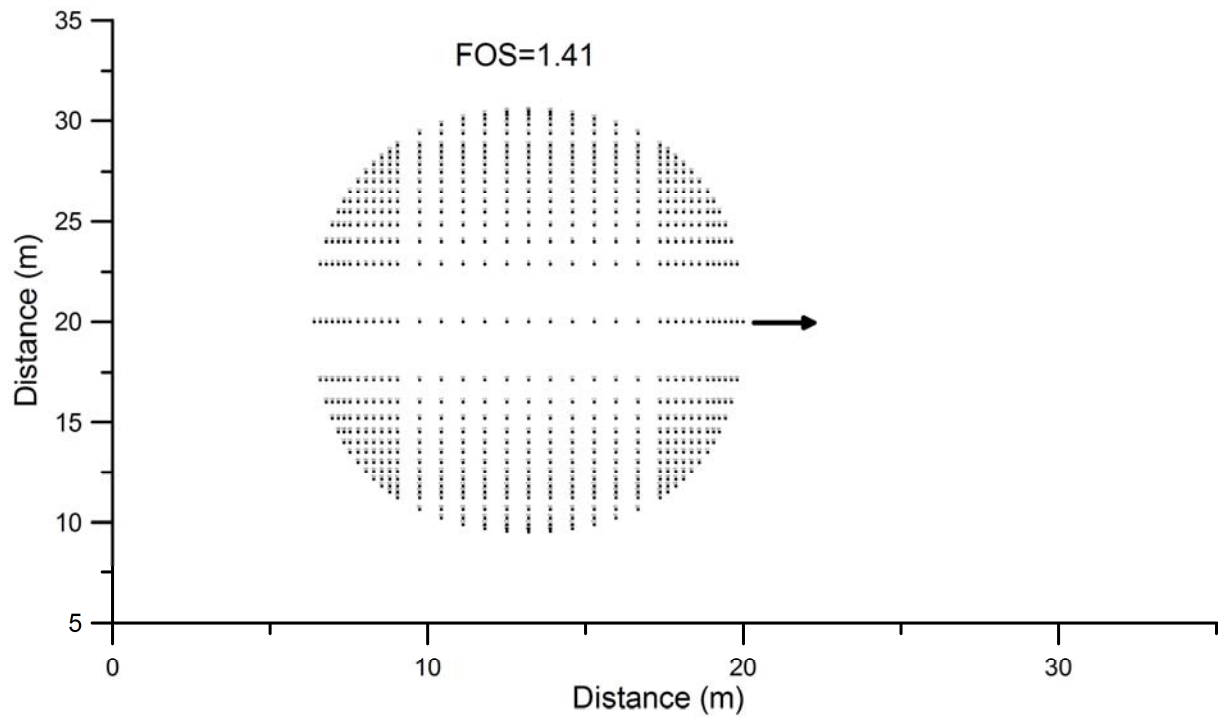
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$$E[F] = \text{mean} \quad \sigma[F] = \text{standard deviation} \quad \beta = \text{reliability index} = \frac{E[F] - 1}{\sigma[F]}$$

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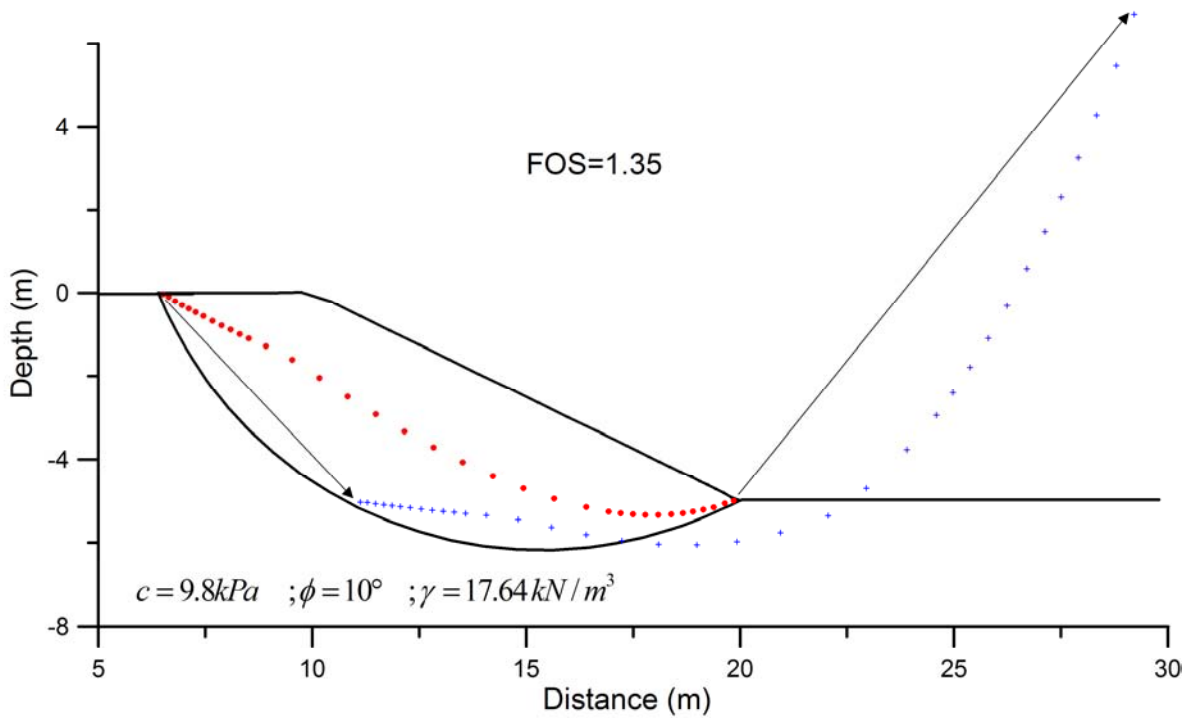
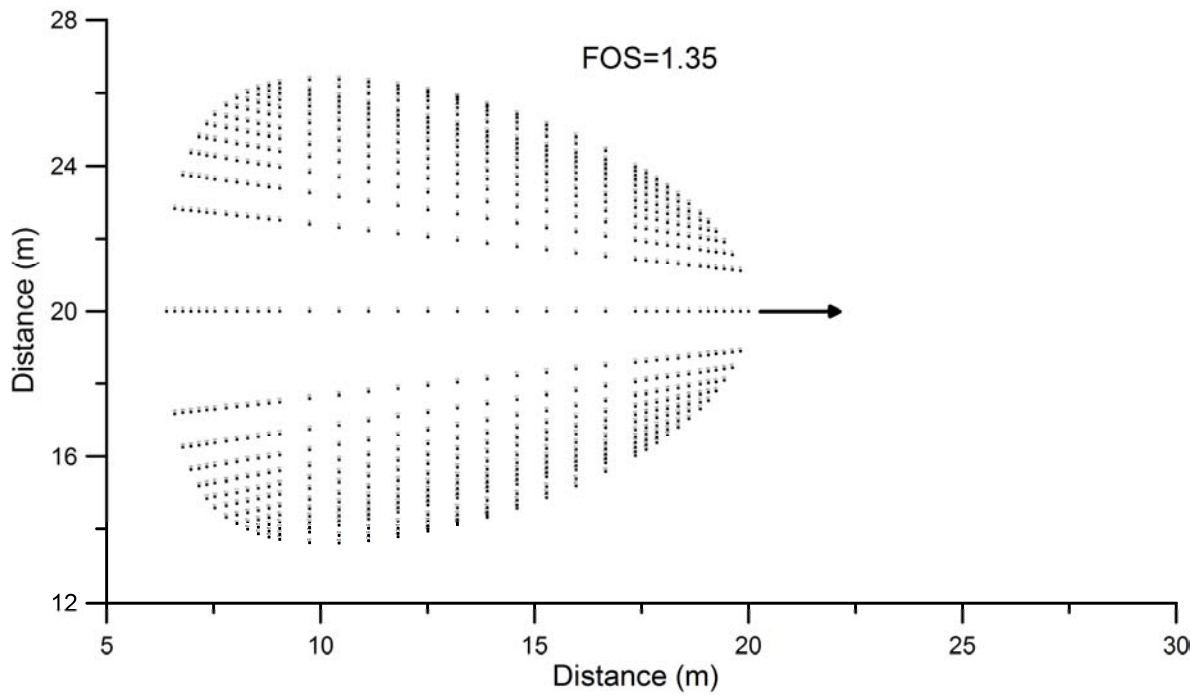
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Figure 4: 2D horizontal (a) and 2D vertical (b) projections of the critical failure surface for the homogeneous slope; FOS=1.41 (rectangular shape).

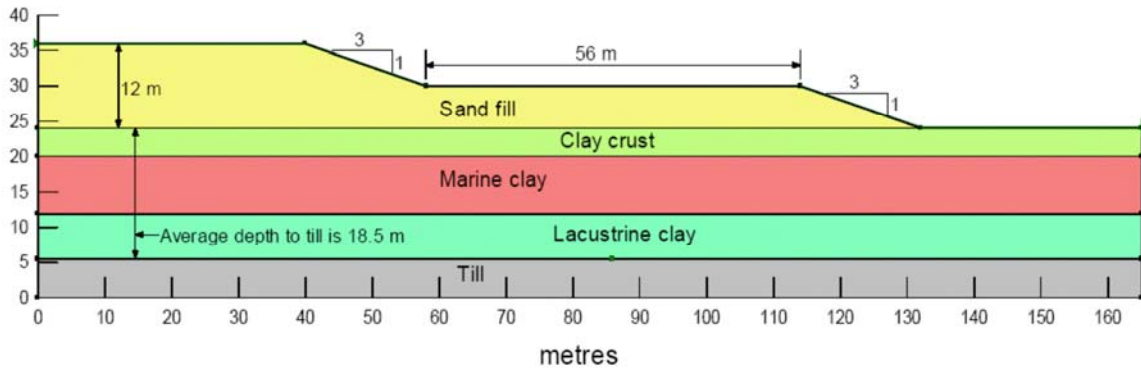
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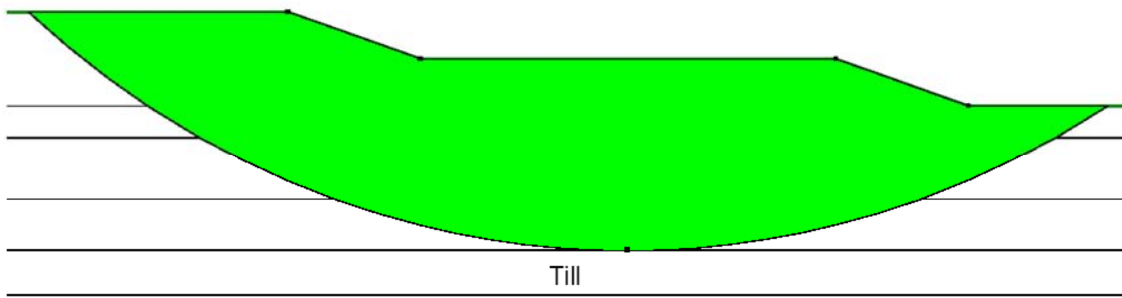
Figure 5: 2D horizontal (a) and 2D vertical (b) projections of the critical failure surface for the homogeneous slope; FOS=1.35 (free shape).

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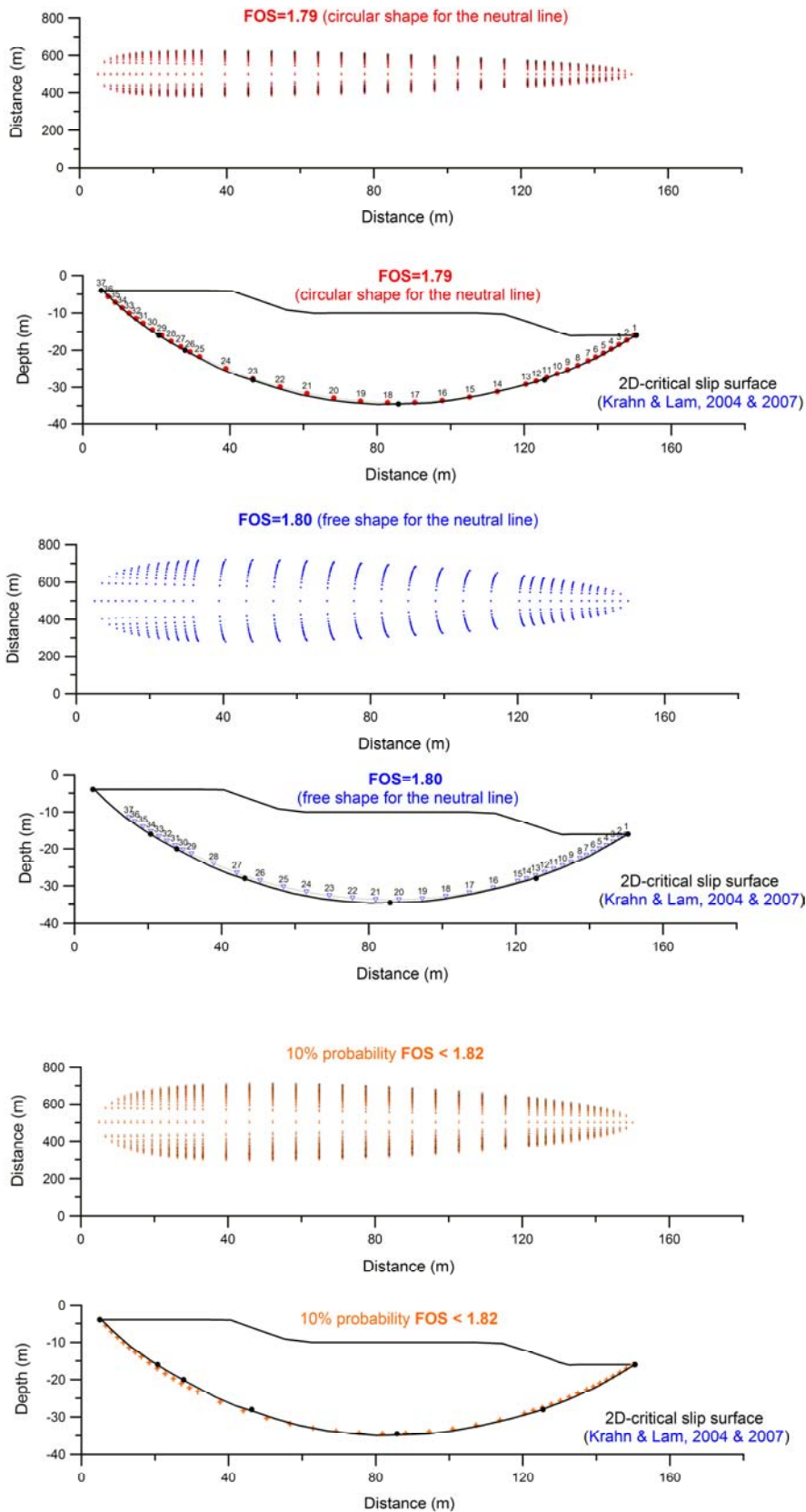
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Figure 6: James Bay configuration for average conditions (from Krahn & Lam., 2007).



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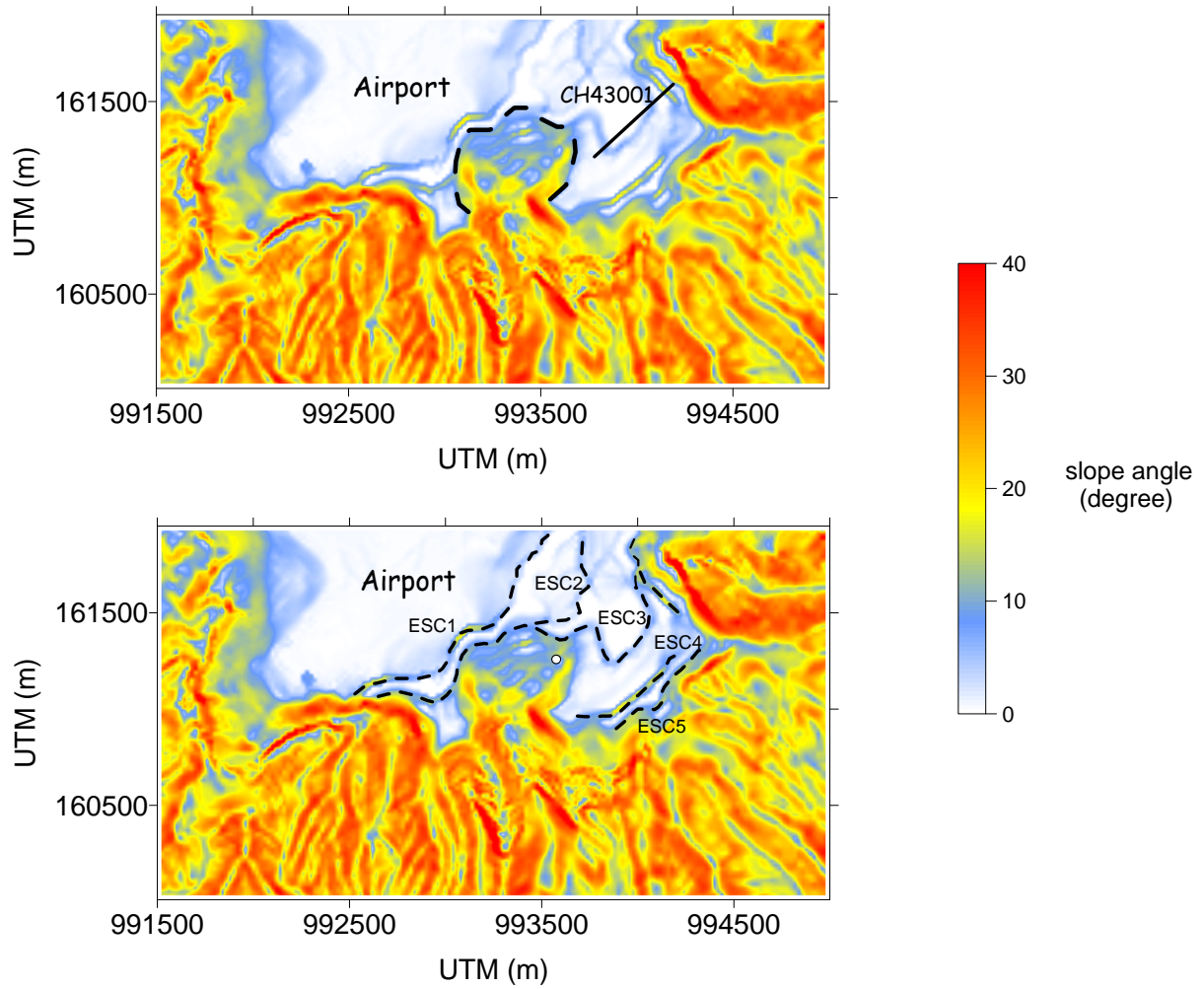
Figure 7: Shape and position of critical slip surface (from Krahn & Lam., 2007).



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Figure 8: Critical slip surfaces (surface projection and vertical profile) and corresponding safety factors for different geometry; black line correspond to the 2D-critical slip surface defined by El-Ramly et al. (2002) and Krahn & Lam (2007) for the James Bay embankment.

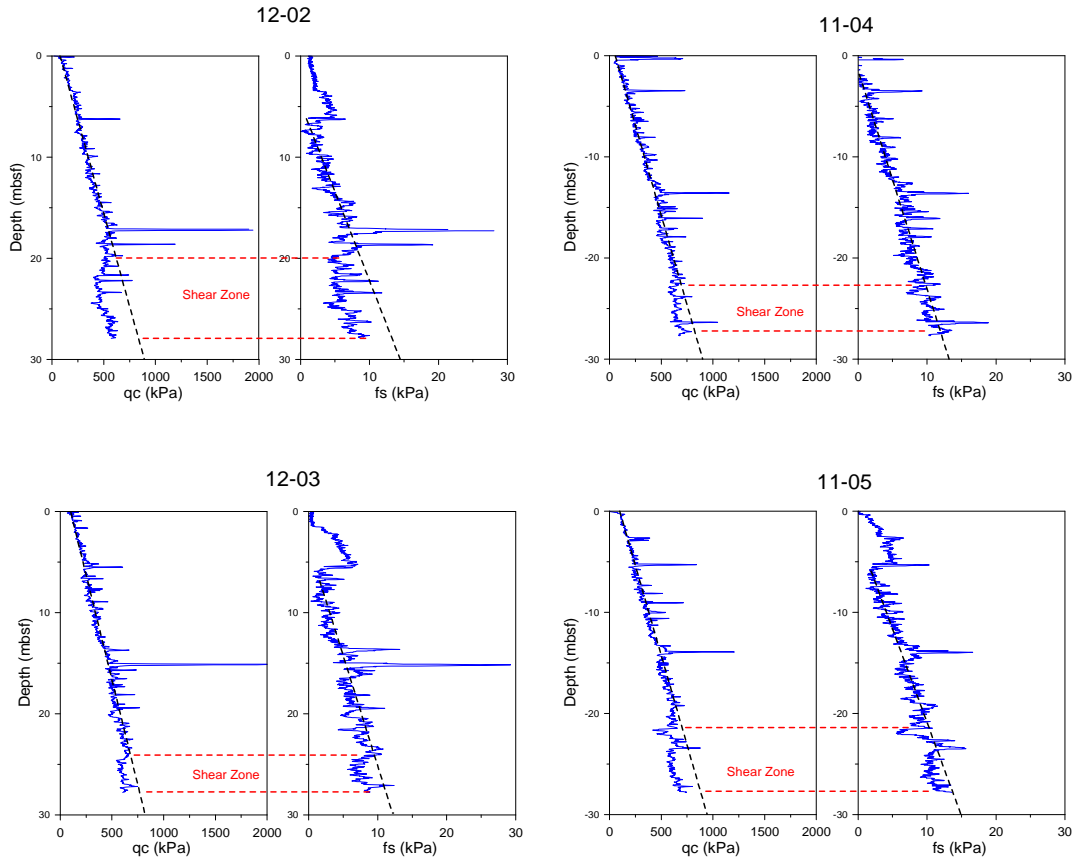
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Figure 9: Present-day slope gradient in the vicinity of the 1979 Nice slide; a dashed line represents the slide scar (top) and the different escarpments (bottom).

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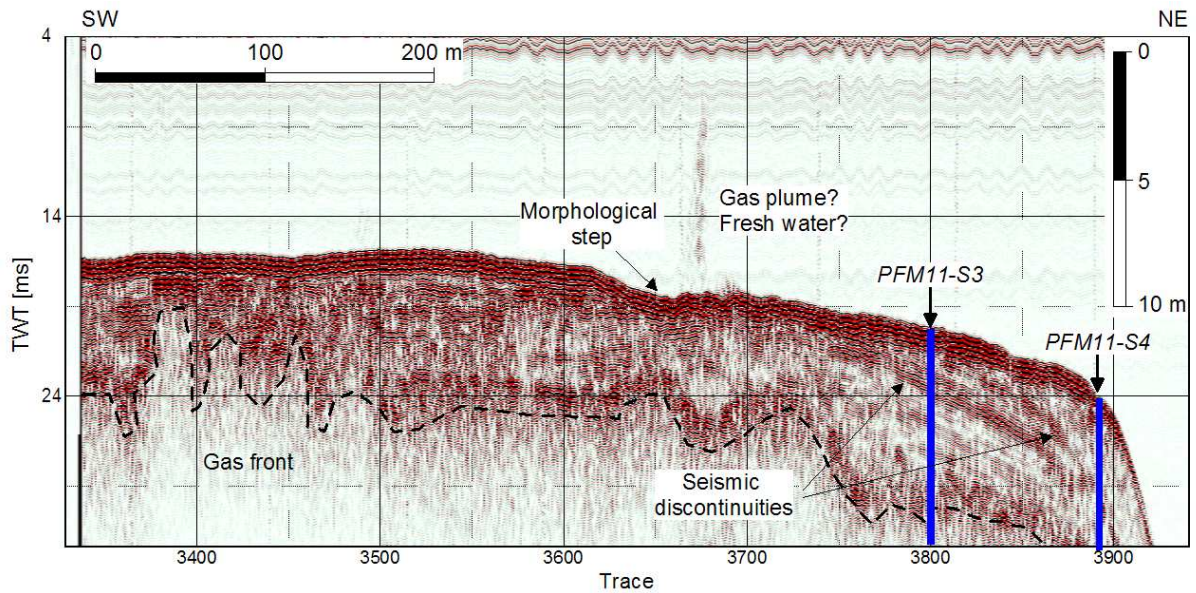


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Figure 10: Tip resistance (q_c) and lateral friction (f_s) measurements for different sites on the shelf in the vicinity of the Nice airport; the shear zone is suggested by the decrease observed around 25 meter depth on both profiles (q_c and f_s); sites location are shown on Figure 12.

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Figure 11: 3.5 khz profile CH43001 showing the presence of two discontinuities to the NE at

the edge of the slope (for location see figure 9). The two discontinuities prolongation fit quite

well with the small seafloor morphological step. A gas plume or fresh water flow can be

observed in the water column above the morphological depression (trace: 3660-3670);

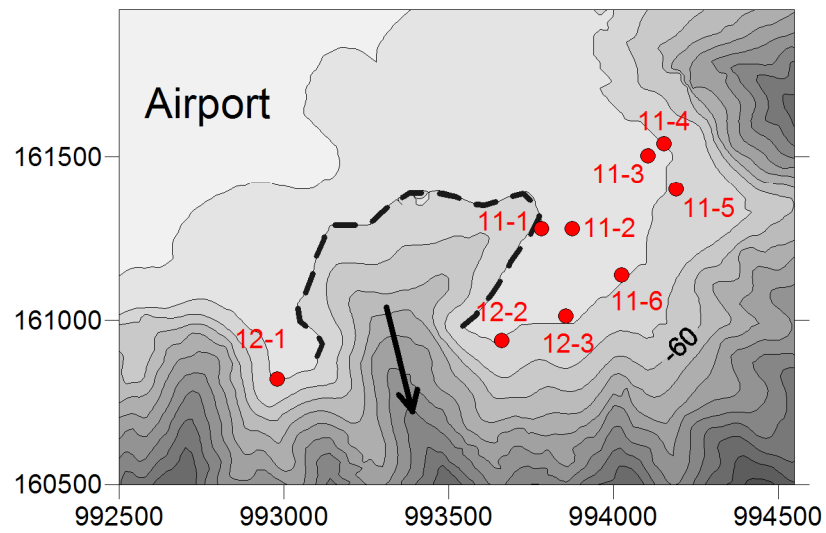
PFM11-S3 and PFM11-S4 correspond to PFM11-3 and PFM11-4 respectively in this paper;

from [Sultan et al. \(submitted\)](#).

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CPTU sites (PENFELD) PRISME cruise - Nice airport

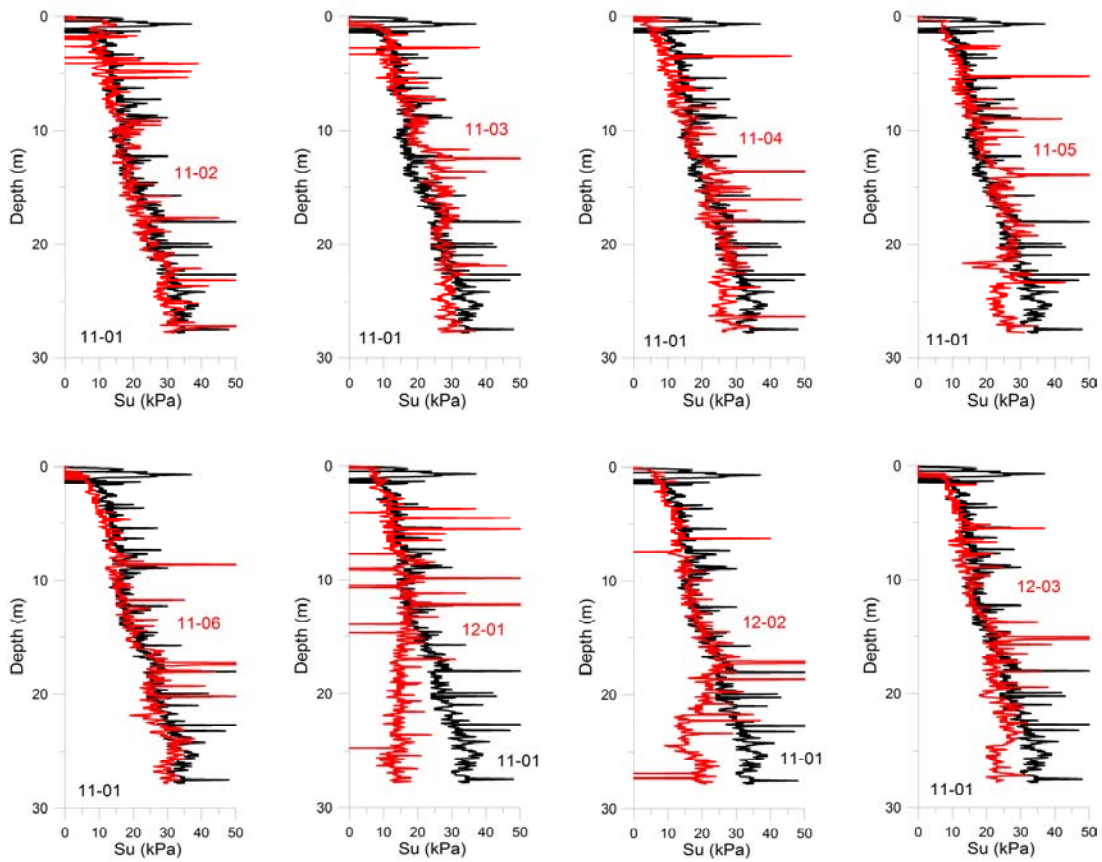


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Figure 12: Position of CPTU profiles (PRISME cruise, 2007) in the vicinity of the Nice slide escarpment (dashed-line). The arrow represents the direction of the slide.

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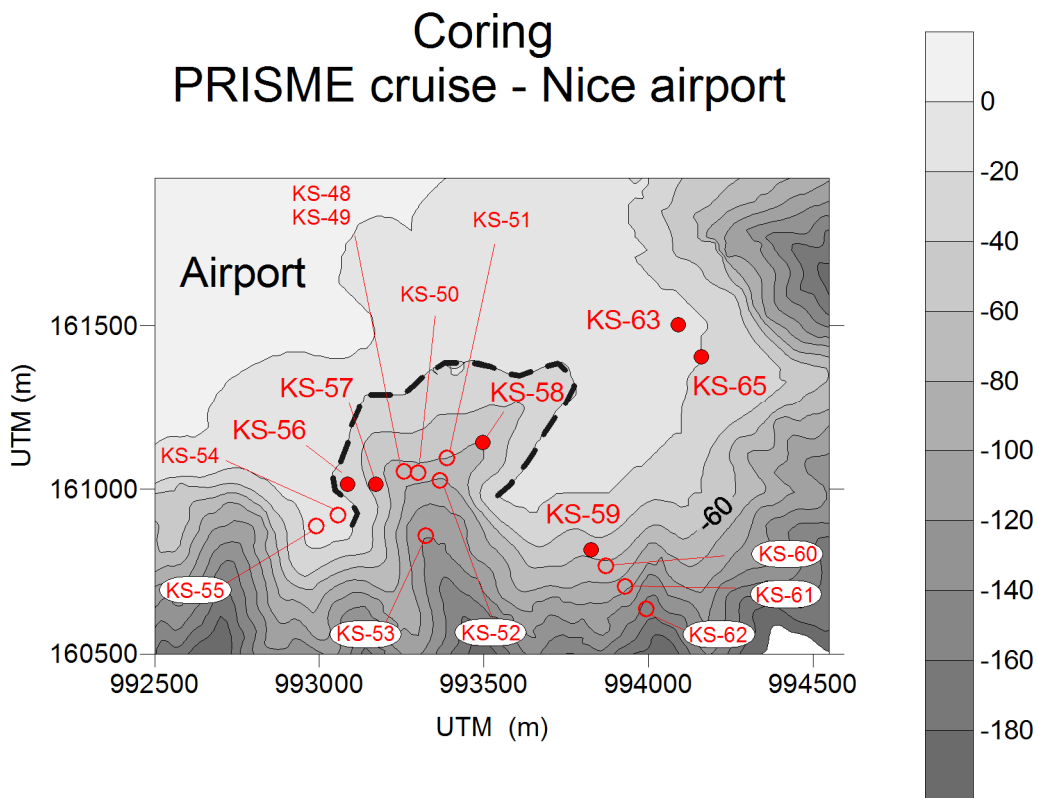
Nk=15 - UW=20kN/m3



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Figure 13: Undrained shear strength profiles versus depth for the 9 sites (modelled using Lunne et al., 1997). The reference data (black line) corresponds to the site PFM11-01.

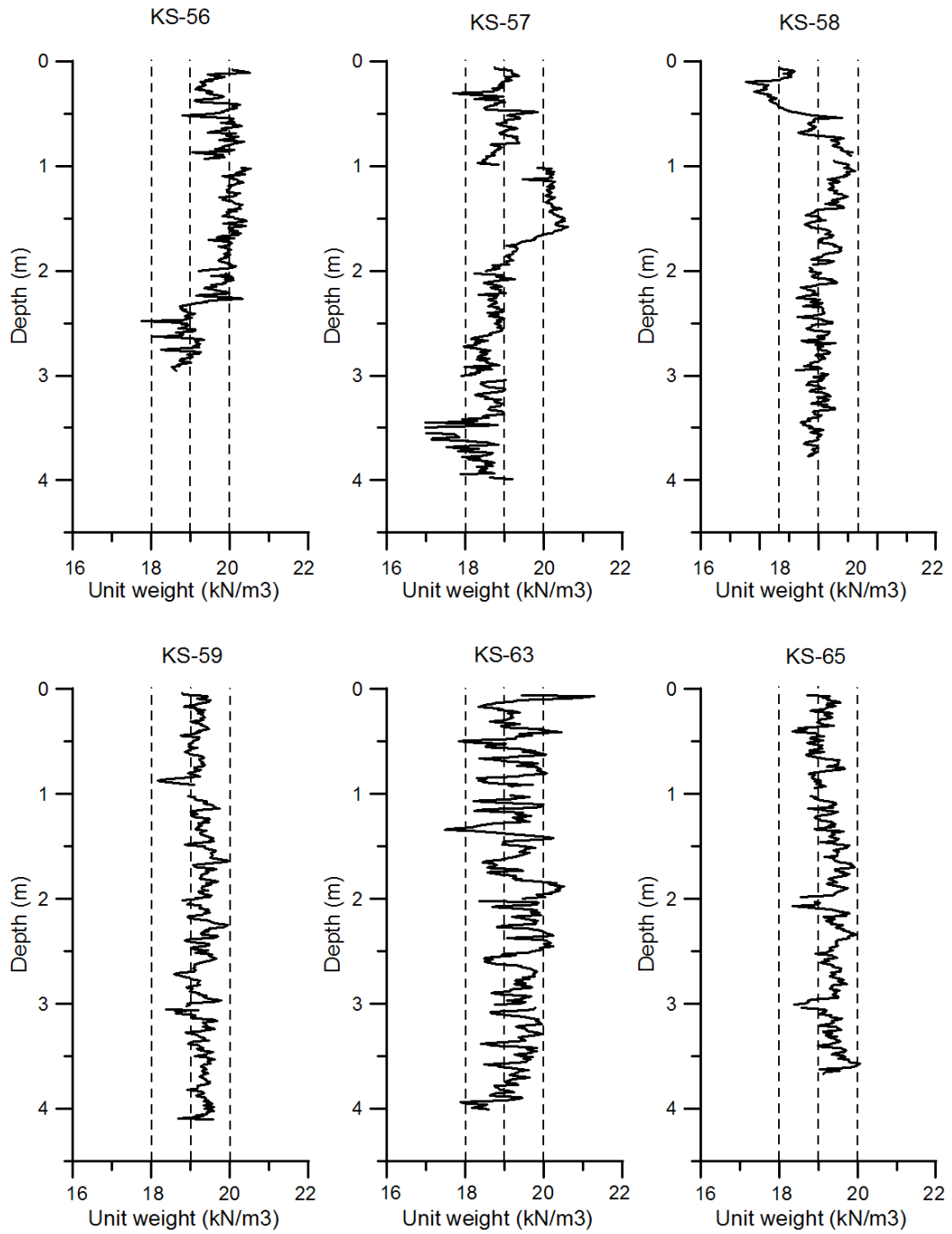
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Figure 14: Position of coring (PRISME cruise, 2007) in the vicinity of the 1979 Nice slide escarpment (dashed-line). Filled circles correspond to the unit weight profiles shown on **Figure 15**.

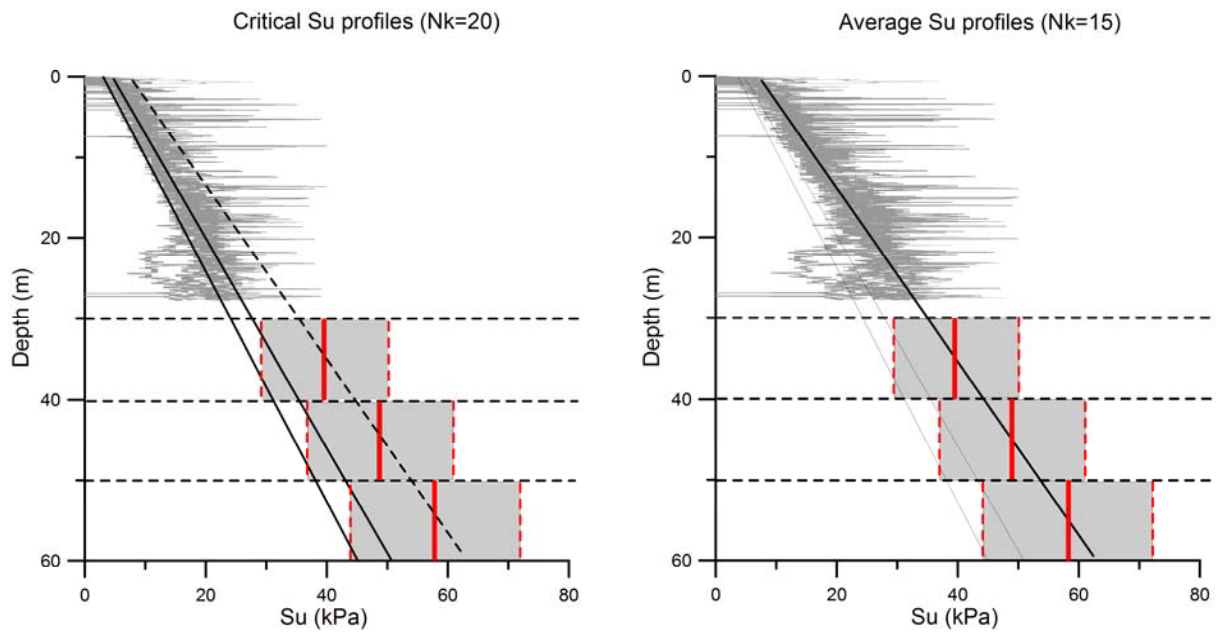
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Figure 15: Unit weight profiles versus depth for 6 sites in the vicinity of the Nice airport slide area (from GEOTEK MSCL measurements).

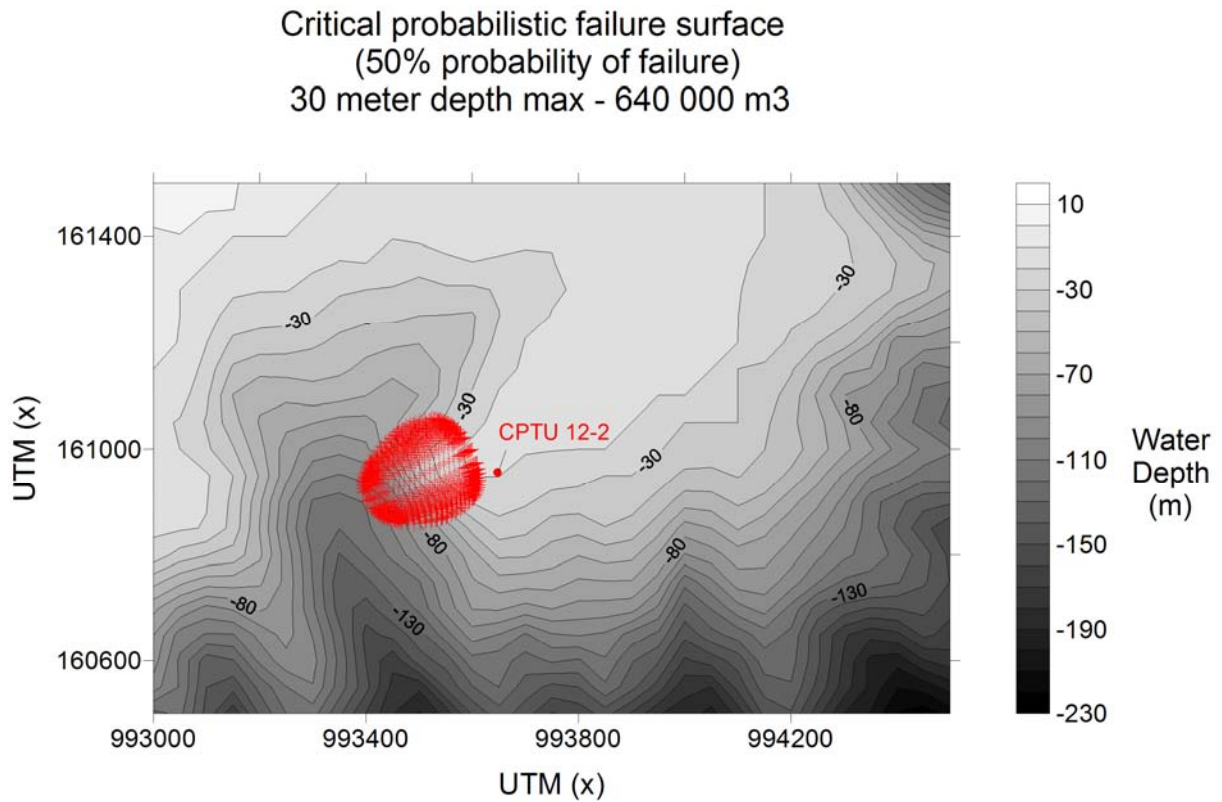
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Figure 16: Extrapolation of the Su distribution model with depth down to 60 meter depth according to the average gradient; estimation of uncertainty from Su profile modelled with Nk=20; (model 2: 0 to 60 meter depth).

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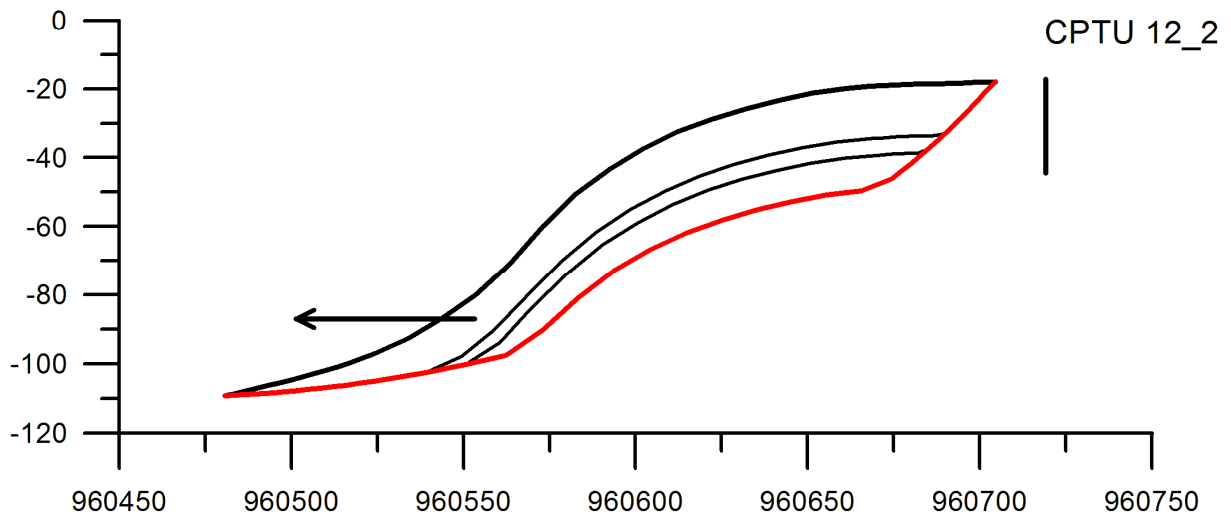


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Figure 17: Critical failure surface in terms of probability of failure; weak layer option; 30 meter depth (no vertical exaggeration).

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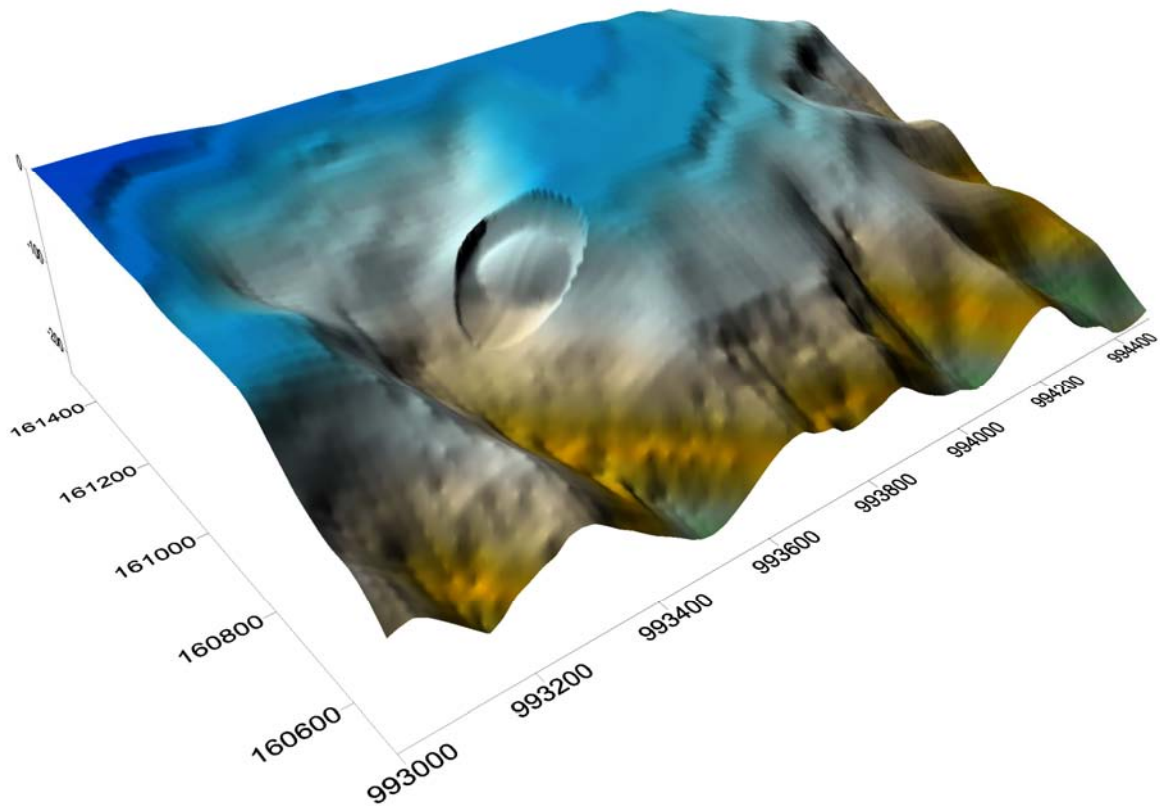
Nice airport
Critical failure surface - 50% probability of failure



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Figure 18: Critical failure surface in terms of probability of failure; 30 meter depth (no vertical exaggeration). The convex shape was imposed during computations (weak layer option).

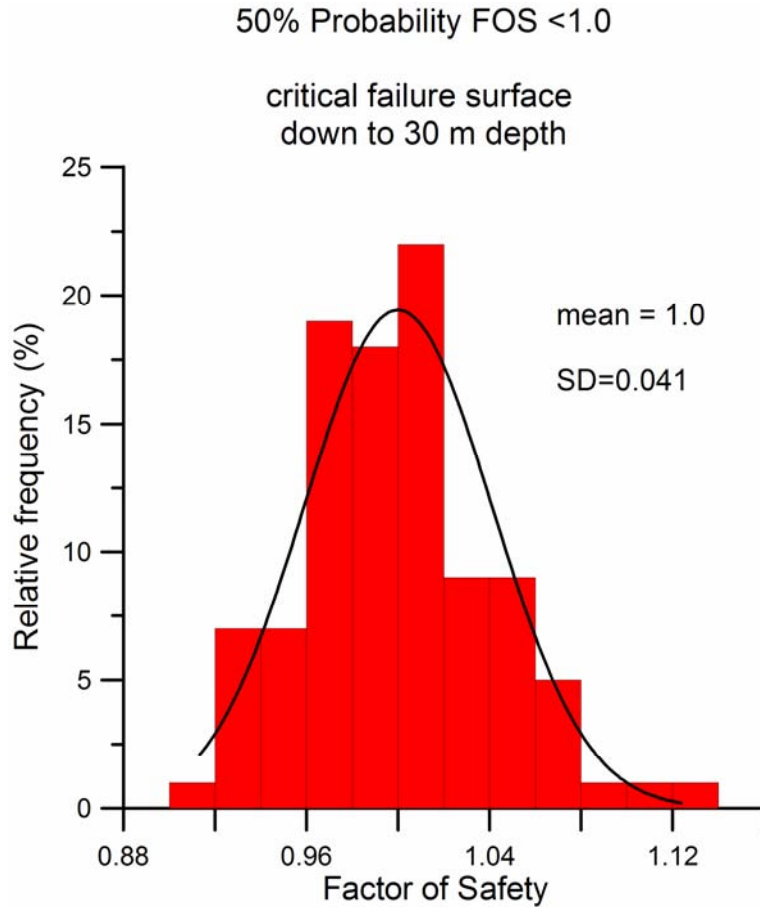
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Figure 19: 3D view of the Nice airport bathymetry with slide scar corresponding to the critical failure surface in terms of probability of failure; Su profile from CPTU 12_2 site; weak layer option at 30 meter depth.

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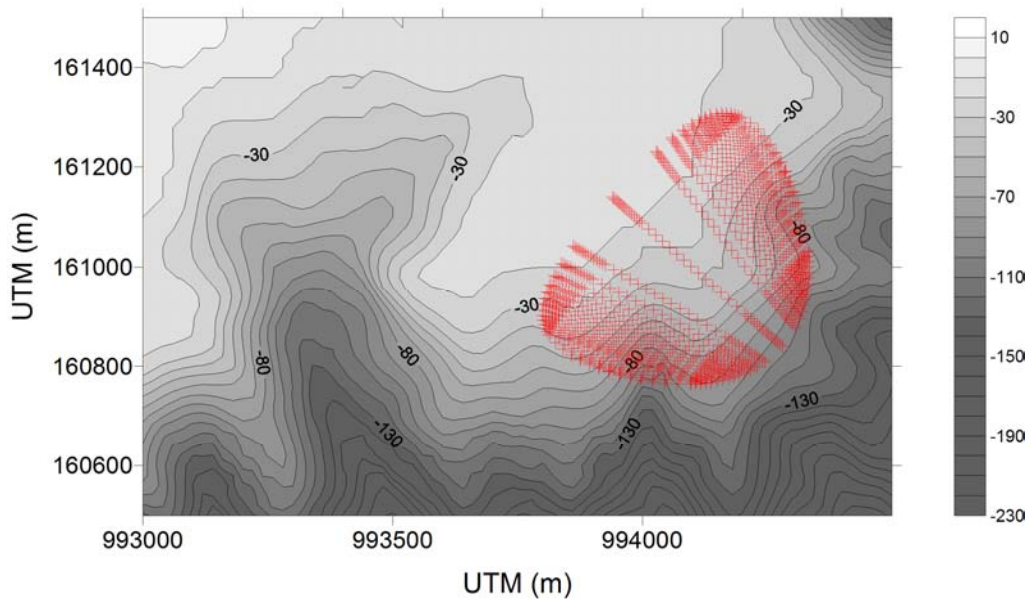
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Figure 20: Results of Monte Carlo simulation; probability density function of the safety factor for the critical failure surface down to 30 meter depth; 100 trials.

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Critical probabilistic failure surface down to 60 meter depth

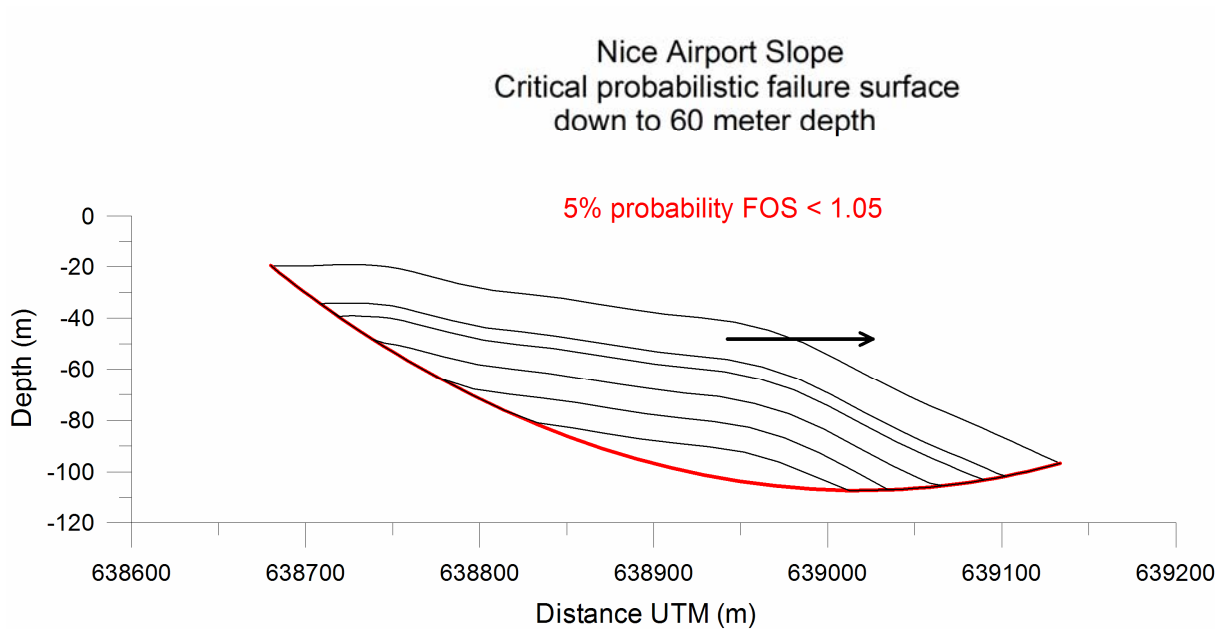
(5% probability FOS < 1.05)



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Figure 21: 2D horizontal projection of critical failure surface in terms of probability of failure; 60 meter depth.

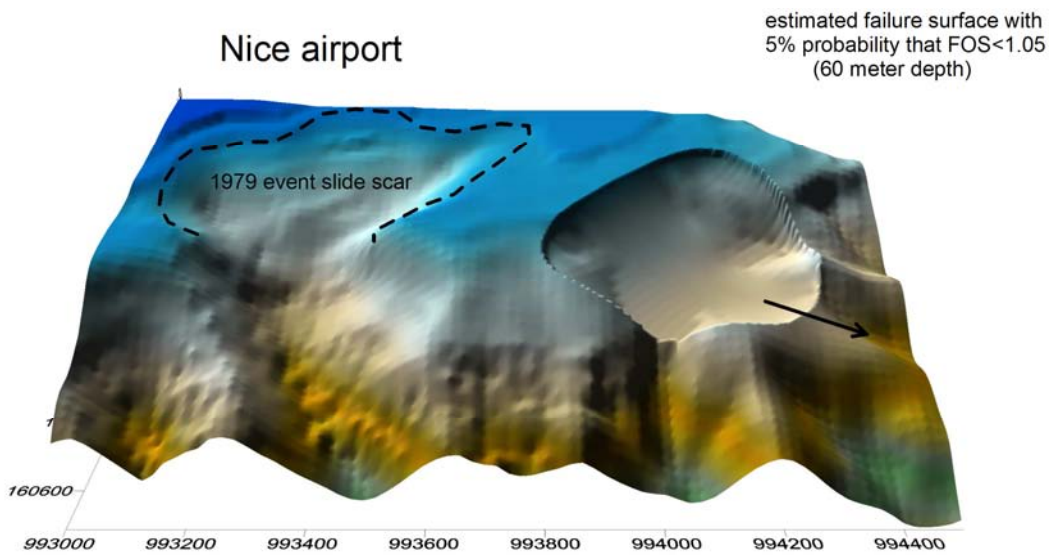
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Figure 22: Critical failure surface in terms of probability of failure; 60 meter depth.

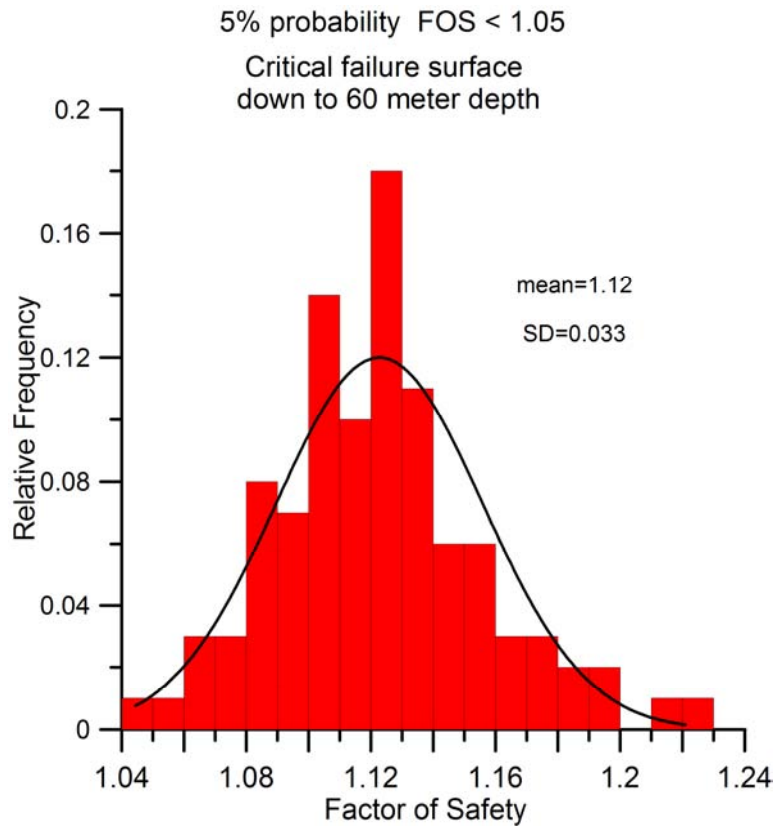
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Figure 23: 3D critical failure surface with the undrained shear strength profile down to 60 meter depth.

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Figure 24: Results of Monte Carlo simulation; probability density function of the safety factor for the critical failure surface down to 60 meter depth; 100 trials.