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The good(ish), the bad, and the ugly: a tripartite classification of ecosystem trends

Alida Bundy^{1,*}, Lynne J. Shannon², Marie-Joëlle Rochet³, Sergio Neira⁴, Yunne-Jai Shin⁵, Louize Hill⁶ and Kerim Aydin⁷

¹ Bedford Institute of Oceanography, Fisheries and Oceans Canada, 1 Challenger Drive, Dartmouth, NS, Canada B2Y 4A2

² Marine Research Institute and Zoology Department, University of Cape Town, Private Bag X3, Rondebosch, Cape Town 7701, South Africa

³ Ifremer, Département Ecologie et Modèles pour l'Halieutique, BP 21105, 44311 Nantes cedex 03, France

⁴ Centro de Investigación en Ecosistemas de la Patagonia, Francisco Bilbao 449, Coyhaique, and Departamento de Oceanografía, Universidad de Concepción, Casilla 160-C Concepción, Chile

⁵ Institut de Recherche pour le Développement, UMR 212 EME, avenue Jean Monnet, BP 171, 34203 Sète cedex, France

⁶ IPIMAR, Avenida de Brasília, 1449-006 Lisbon, Portugal

⁷ Alaska Fisheries Science Center (AFSC), 7600 Sand Point Way NE, Seattle, WA 98115, USA

*: Corresponding author : A. Bundy: tel: +1 902 426 8353; fax: +1 902 426 1506; email address : alida.bundy@dfo-mpo.gc.ca

Abstract:

Marine ecosystems have been exploited for a long time, growing increasingly vulnerable to collapse and irreversible change. How do we know when an ecosystem may be in danger? A measure of the status of individual stocks is only a partial gauge of its status, and does not include changes at the broader ecosystem level, to non-commercial species or to its structure or functioning. Six ecosystem indicators measuring trends over time were collated for 19 ecosystems, corresponding to four ecological attributes: resource potential, ecosystem structure and functioning, conservation of functional biodiversity, and ecosystem stability and resistance to perturbations. We explored the use of a decision-tree approach, a definition of initial ecosystem state (impacted or non-impacted), and the trends in the ecosystem indicators to classify the ecosystems into improving, stationary, and deteriorating. Ecosystem experts classified all ecosystems as impacted at the time of their initial state. Of these, 15 were diagnosed as "ugly", because they had deteriorated from an already impacted state. Several also exhibited specific combinations of trends indicating "fishing down the foodweb", reduction in size structure, reduction in diversity and stability, and changed productivity. The classification provides an initial evaluation for scientists, resource managers, stakeholders, and the general public of the concerning status of ecosystems globally.

Keywords: comparative approach, decision tree, ecosystem classification, ecosystem indicator, exploited marine ecosystems

47 Introduction

48
49 Marine ecosystems have been subjected to anthropogenic forcing since humans first
50 learned how to fish many thousands of years ago (Jackson *et al.*, 2001; Lotze and
51 Milewski, 2004; Lotze *et al.*, 2006.). That pressure has grown to the extent where serious
52 concern is being expressed about the health of the world's ecosystems (Hollingworth, 2000;
53 Jackson *et al.*, 2001; Pauly *et al.*, 2005; Coll *et al.*, 2008). Indeed a recent study has shown
54 that there is now barely any part of the world's oceans that has not been impacted at some
55 level through anthropogenic activity, be it fishing, pollution, shipping or eutrophication
56 (Halpern *et al.*, 2008). In addition, we are living through a period of environmental change,
57 the effects of which we are only beginning to explore (Hays *et al.*, 2005; Bender, 2007;
58 ICES, 2008; Cheung *et al.*, 2009) and which are difficult to predict.

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60 From a fisheries perspective, the old world of single species stock assessment and
61 management is being replaced by a more holistic "ecosystem approach to fisheries" (or
62 variations on the theme, FAO, 2003; Garcia *et al.*, 2003; Daan *et al.*, 2005; Pitcher *et al.*,
63 2008). EAF still includes single species stock assessment but is expanded to minimally
64 include the wider impacts of fishing on the ecosystem, the role of the environment on
65 species dynamics, the impacts of other activities and the engagement of stakeholders in the
66 processes leading to decision making (Rice, 2008). The response of the fisheries scientific
67 community has been to develop tools to enable an ecosystem approach to fisheries, a
68 fundamental component of which is the development of ecosystem indicators (Daan *et al.*,
69 2005), to evaluate the status and dynamics of ecosystems, or components thereof.

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5 71 One way to use ecosystem indicators in management is to link them to i) clear objectives
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8 72 (i.e., what is to be achieved), ii) reference points or reference trends (measures of
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11 73 management performance) and ii) control rules (actions required when management does
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13 74 not meet objectives) (FAO, 2003; Cury *et al.*, 2005a). Ecosystem-based objectives,
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15 75 reference points and control rules are difficult to set because of lack of theory or because of
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17 76 limitations in the understanding of ecological complexity, uncertainties in data quality and
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20 77 model behaviour, and difficulties in balancing multiple and conflicting stakeholders'
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22 78 interests (Cury *et al.*, 2005b). However, methods are being developed to overcome these
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24 79 difficulties, such as using historical or theoretical patterns to define references points for
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27 80 indicators (e.g. Jennings and Blanchard, 2004). Jennings and Dulvy (2005) and Trenkel *et*
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29 81 *al.* (2007) argue that knowledge of the direction of trends in ecological indicators
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31 82 (specifically in size-based indicators) can be sufficient to support the management decision-
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33 83 making process. This means that there may be no need to identify absolute reference points
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35 84 for the same indicators (Jennings and Dulvy, 2005): the more essential action is to stop the
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37 85 trend and reverse it.
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43 87 There is no single indicator that can provide management with the information required for
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45 88 an EAF. Rather a suite of indicators that captures a range of impacts on the ecosystem, and
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47 89 its response is required, with the results synthesised or integrated through means such as
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49 90 traffic light analysis (Koeller *et al.*, 2000; Halliday *et al.*, 2001; Caddy, 2002), multivariate
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51 91 methods (Link *et al.*, 2002; DFO 2003; Coll *et al.*, this volume; Link *et al.*, this volume) or
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53 92 a decision tree approach (Rochet *et al.*, 2005; Trenkel *et al.*, 2007).
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94 Multi-criteria decision analysis has a wide and varied application in fisheries and resource

95 management (Keeney and Raiffa, 1976; McDaniels, 1995; Mardle and Pascoe, 1999;

96 Paterson *et al.*, 2007; Jarre *et al.*, 2008). It is particularly useful for integrating different

97 types of information and reconciling different objectives among stakeholders with diverse

98 interests (Keeney and Raiffa, 1976). Generally it is used as an aid to decision makers, to

99 visualise the components of a problem and to compare the choices that can be made. The

100 basis of a decision theoretic approach is a decision tree, essentially a conceptual image

101 (FAO, 2003) that portrays the problem as a tree, with the overall problem or objective at the

102 top, and its various sub-components on the branches of the tree. In a classic application of

103 the decision tree, each branch would terminate in a measurable objective (Keeney and

104 Raiffa, 1976; FAO, 2003). Rochet *et al.* (2005) developed a “Decision Tree” approach to

105 classify marine ecosystems into “improving”, “stationary” or “deteriorating” based on the

106 trends of key population and community indicators. That approach is adapted here to

107 diagnose a broad range of ecosystems with respect to their initial condition (un-impacted or

108 impacted).

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110 The suite of ecosystem indicators estimated for the nineteen ecosystems included in this

111 analysis were selected and calculated by the IndiSeas working group, which was

112 established under the auspices of the EUROCEANS European Network of Excellence, to

113 look at “EAF Indicators: a comparative approach across ecosystems”. This paper is one of

114 a suite of papers that uses a comparative approach to evaluate the effects of fishing on

115 marine ecosystem (see other papers in this volume). A comparative approach is particularly

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3 116 useful in an ecosystem context since they can be treated as pseudo-replicates. It thus
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5 117 provides more confidence about the observed patterns of response to fishing seen across
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8 118 multiple ecosystems, rather than observations in one ecosystem alone.
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13 14 15 16 120 **Methods**

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19 121 The ingredients for the Decision Tree in this analysis is a definition of the initial state of the
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21 122 ecosystem(s), the selection of a suite of ecosystem indicators for one or more ecosystems,
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23 123 an analysis of the trends of the ecosystem indicators and the building of a decision tree with
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26 124 decision rules.
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30 31 32 126 **The Ecosystems**

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35 127 The nineteen ecosystems examined in this study cover a broad geographical range,
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37 128 including the eastern Pacific (north, central and south); the north west Atlantic; the eastern
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39 129 Atlantic (north, central and south) and the Mediterranean Sea (Table 1). They include high
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42 130 latitude, temperate, tropical and upwelling systems and are associated with both developing
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44 131 and developed nations, and have varied fishing histories. Thus, they offer a varied group of
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46 132 ecosystems and span a range of exploitation levels for classification purposes. A
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49 133 description of each ecosystem is provided in Shin *et al.* (this volume).
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135 Definition of Initial State

136 In order to interpret the results of the decision tree, it was necessary to first describe the
137 state of the ecosystem at the beginning of the time period under consideration. Initial
138 ecosystem state was simplified to a binary state: un-impacted or impacted. This was
139 determined by asking the experts representing each of the 19 ecosystems to complete a
140 survey where 3 criteria were used to assess the state of the ecosystem. An ecosystem was
141 defined as un-impacted if all of the following criteria applied at the beginning of the time-
142 series: (i) the proportion of under/moderately exploited stocks = 1 (i.e., no overexploited
143 stocks at the beginning of the time series), (ii) there were no industrialized or destructive
144 fishing practices (e.g. trawling over hard bottoms; dredging; dynamite; discarding; cyanide
145 fishing; blast fishing;) and (iii) there were no documented community or ecosystem impacts
146 caused by fishing, such as: habitat loss, impact on by-catch species, disruption of the food
147 web, loss of top predators. Respondents were asked to provide references to support their
148 assessment (Appendix 1).

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150 The Suite of Ecosystem Indicators

151 Rochet *et al.* (2005) used a combination of 2 population and 6 community indicators for
152 their diagnostic analysis, although they only explicitly used community indicators for their
153 decision tree. In contrast, only ecosystem indicators are used here. While a combination of
154 population and community indicators may add robustness to the approach, the exclusion of
155 population indicators enables a much broader comparison of ecosystems: a comparative

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3 156 approach requires that data for the indicators are available and operationally equivalent for
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5 157 all systems compared. This constrains the choice of indicators, and in some cases, the
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8 158 length of the time series. The suite of six indicators used here and the rationale associated
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10 159 with their choice are fully described in Shin *et al.* (this volume). Note that the IndiSeas WG
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12 160 uses the term “ecosystem” indicator while others such as Rochet *et al.* (2005) used the term
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15 161 “community” indicator. We use the wider term since the objective of IndiSeas is to apply a
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17 162 suite of indicators to assess the status of the ecosystem. Although the suite of ecosystem
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20 163 indicators presented here measures mostly the community sampled by demersal trawl
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22 164 surveys, and thus mostly fish, the longer term objective of IndiSeas is to expand this
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25 165 encompass the ecosystem, within the constraints noted above.
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29 167 Each indicator is associated with a management goal (Table 2) and previous analyses show
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31 168 that the 6 indicators provide complementary information on the status of marine ecosystems
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34 169 (Blanchard *et al.*, this volume). The length of the time series of indicators for each
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36 170 ecosystem varies from a low of 8 years (Sahara Coastal Morocco) to a high of 43 years
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38 171 (Northeast US), with a mean of 24 years (Table 1). One indicator was missing from the
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40 172 suite of four ecosystems: Sahara Coastal Morocco, West Coast Canada and northern
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43 173 Humboldt lacked fish size and Bay of Biscay lacked mean life span.
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49 175 Determination of indicator trends

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52 176 The information used in the decision tree is the trend of the indicators over the time period
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55 177 under consideration. For each indicator, we assume that a negative trend indicates
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57 178 increasing impacts of fishing (Table 2), whereas a positive trend indicates an improving
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3 179 situation. No trend indicates that there are either stationary impacts, meaning that the
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5 180 system remained in an unchanged impacted state, thus the indicator did not change, or no
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8 181 detectable impacts of fishing. The initial state of the ecosystem determines the
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10 182 interpretation of trends. An “impacted” state can improve or deteriorate further whereas an
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12 183 “un-impacted” ecosystem cannot be classified as improving since, by definition, there is no
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14 184 impacted state to improve on (in relation to fisheries impacts). Thus the basis of the
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16 185 classification is asymmetrical.
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22 187 Trends in the indicators were examined following the method described in Coll *et al.*
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24 188 (2008) and used in Blanchard *et al.* (this volume) using a two-stage estimation procedure,
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26 189 correcting for autocorrelation if present. To do this, a linear model was fitted to each of the
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28 190 predicted time series using a generalized least-squares regression framework that models
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30 191 the temporal correlations in the error. The significance of the trend was assessed by testing
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32 192 the null hypothesis that the slope of the fitted line equals zero ($H_0: = 0$) using a two-tailed
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34 193 test of significance.
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40 41 42 195 The Decision Tree

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45 196 The indicators in the decision tree are assessed sequentially and decision rules developed to
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47 197 integrate this information to classify the ecosystem. For their community indices, Rochet *et*
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49 198 *al.* (2005) used a conservative, precautionary decision rule where as soon as one indicator
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51 199 had a significant negative trend, the decision tree was stopped and the ecosystem classified
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53 200 as “deteriorating”. For an ecosystem to be classified as improving, two indicators had to
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55 201 show a significant increase. The first rule took precedence over the second rule. Thus in the
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3 202 decision tree, the trend of each indicator is sequentially assessed until either a negative
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5 203 trend is encountered or the end of the decision tree is reached (see Rochet *et al.*, 2005,
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8 204 Figure 2). This is a precautionary approach which insists that one negative trend in an
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10 205 ecosystem indicator indicates that the ecosystem is deteriorating, and this should invoke
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12 206 some sort of remedial management response. The requirement that two indicators must
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15 207 increase in order to be classified as improving is again conservative. Both are somewhat *ad*
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17 208 *hoc* and would require agreement between decision makers and stakeholders. This decision
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19 209 rule was adopted as Decision Rule 1.
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24 211 The “one strike and you are out” rule of Rochet *et al.* (2005) was adopted here, but other
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26 212 decision rules were also explored in this analysis. A second, less precautionary but more
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28 213 conservative rule “two strikes and you are out” was developed where a “deteriorating” state
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30 214 was reached when two indicators had a negative trend, and improving when there were no
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32 215 negative trends and three indicators had a positive trend. As in the previous analysis, this
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34 216 still meant that classification of an ecosystem as “improving” was conservatively
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36 217 undertaken.
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43 219 The probability associated with each possible end point of the decision tree, under a null
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45 220 hypothesis of a stable community structure, was estimated as the product of the
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47 221 probabilities associated with each branch of the decision tree (assuming that the six
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49 222 ecosystem indicators are independent, see below). In a stable community, the probability of
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51 223 the null hypothesis being correct is 0.95 (assuming an error risk of 0.05 and using a two-
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53 224 tailed test of significance). Thus the probability of an increase, or decrease, is 0.025, and
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3 225 the probability of no change in indicator “a” followed by a decrease in indicator “b” is
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5 226 0.024 (0.95×0.025). Missing indicators were assumed not to change and were thus
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8 227 attributed a probability of 0.95. The number of branches included in the probability
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10 228 estimation is determined by the point at which the tree is terminated by the decision rule:
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12 229 after the first significant negative trend, after the second significant positive trend providing
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14 230 there are no significant negative trends, or, at the end of the tree if no decision rule is
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17 231 invoked.
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23 233 *Indicator Order*

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26 234 The initial order of the indicators was determined following Rochet *et al.* (2005) who
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28 235 indicated that the ecosystem indicators estimated with the best precision and which were
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30 236 most clearly associated with interpreting a trend entered the decision tree first. A third
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32 237 criterion was added to this logic: each of the management goals outlined in Table 2 had to
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34 238 be represented in the first four indicators. To this end the order depicted in Table 2 was
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36 239 used. To check for sensitivity to starting order, five other variants that also satisfied all the
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38 240 criteria were explored. In each variant, each indicator was sequentially placed at the top of
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40 241 the decision tree, and the indicator that was at the top, placed at the bottom.
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49 243 *Interpreting trends*

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52 244 A key component of decision tree methodology is the interpretation of the trends of the
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54 245 ecosystem indicators. In all cases, the expected effect of fishing is a decrease in the
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56 246 indicator representing a negative condition. However, fishing does not occur in isolation,
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3 247 and other factors such as change in environmental conditions, increased productivity or
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5 248 increased recruitment may also affect a change in indicator trend. A list of factors that
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7 249 affect the direction of the six ecosystem indicators are proposed in Table 3. Furthermore,
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9 250 certain combinations of indicators may be indicative of specific effects of fishing or
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11 251 environmental forcing. Four effects were explored here: (i) fishing down the food web
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13 252 (FDFW), (ii) loss of diversity and stability (iii) loss of size structure and (iv) changes in
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15 253 productivity or recruitment (Table 4).
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22 255 *FDFW* occurs when the average trophic level of the catch declines over time with a
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24 256 concurrent decrease in the total catch (Pauly *et al.*, 1998, 2001). Considering only the
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26 257 trophic level of catch can in some instances be misleading because it can reflect the high
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28 258 variability of the availability of small pelagic fish. Total catch is not included as an
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30 259 indicator here (see Shin *et al.*, this volume), but it is suggested that a combination of a
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32 260 significant negative trend in trophic level of the landings plus a significant negative trend in
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34 261 biomass would indicate FDFW and represents a departure from two of the management
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36 262 goals “Ecosystem structure and Functioning” and “Resource Potential” (Table 2). A
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38 263 decrease or no change in the other ecosystem indicators would also be consistent with
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40 264 FDFW.
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48 266 *Loss of diversity and stability* is indicated by a decrease in % predators, indicating a loss in
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50 267 functional diversity (Hooper *et al.*, 2005) and mean life span, indicating a loss in stability.
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52 268 Stability is reduced since longer lived fish are more resistant to perturbation and change as,
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54 269 being larger, they are generally more fecund and have more viable eggs (Longhurst, 2002).
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3 270 Loss of diversity and stability represents a departure from the management goals
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5 271 “Conservation of Biodiversity” and “Ecosystem Stability and Resistance to Perturbations”
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8 272 (Table 2). A decrease in predators represents a loss in functional diversity. A decrease or no
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10 273 change in trophic level of the landings, fish size or inverse exploitation would be consistent
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12 274 with this effect; no specific trend is expected for biomass.
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17 276 *Loss of size structure* is the first potential indicator of size selective effects of fishing where
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19 277 large fish were targeted (Stokes *et al.*, 1993; Sinclair *et al.*, 2002). If coupled with a
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21 278 negative trend in mean life span and/or trophic level of the landings and/or % predators,
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23 279 this would be strong evidence of size selective fishing indicating a departure from the
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25 280 management goal “Ecosystem structure and Functioning“. If fishing selectively targeted
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27 281 small fish, a significant positive trend would be expected in fish sizes. There is no expected
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29 282 trend in biomass, or inverse exploitation.
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34 284 *Increased productivity and increased recruitment* are difficult to separate with this set of
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36 285 indicators: a combination of a decrease in fish size (increased productivity at lower trophic
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38 286 levels, increased recruitment, or both) with an increase in biomass could be symptomatic of
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40 287 either increased productivity or recruitment. It is suggested that an increase in productivity
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42 288 or recruitment would be propagated up the food web through bottom-up processes, leading
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44 289 to increased productivity at all trophic levels or size groups. Thus it would have a transient
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46 290 impact on mean life span, % predators, trophic level of the landings and inverse
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48 291 exploitation. Therefore no change in these indicators is expected.
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3 293 In addition to the classification function of the decision tree, the combinations of trends in
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5 294 the 19 ecosystems were examined to determine whether these processes could be detected
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8 295 by means of particular trend combinations and to determine whether there were other
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10 296 known factors that may contribute to the results.
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18 298 Exploring changes in ecosystem status over time

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20 299 The time window through which data are explored can affect the results that are seen. In
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23 300 order to explore whether the results observed for these ecosystems are consistent over time,
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25 301 the data were further explored in three time blocks: (i) 1960s/70s to end of the time series
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27 302 for those ecosystems with data (seven ecosystems, Table 1), (ii) 1980-end of time series
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29 303 (sixteen ecosystems, Table 1, also see Coll *et al.*, this volume) and (iii) 1996-2005
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31 304 (nineteen ecosystems, Table 1, also see Blanchard *et al.*, this volume). Initial state in time
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33 305 periods (ii) and (iii) was determined by the results of the decision tree for time periods (i)
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35 306 and (ii) respectively for ecosystems whose original initial state was defined in earlier years
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37 307 (Table 1). Only Order 1 and Decision Rule 1 were used in the decision tree.
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45 309 Independence of indicators

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48 310 One assumption of the decision tree method is that the six indicators are independent.
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50 311 Blanchard *et al.* (this volume) conducted two sets of tests to explore redundancy in the
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52 312 indicators using a pair-wise correlation analysis and mutual information analysis which
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54 313 compares the rhythms of two time series to quantify their degree of dynamic cohesion
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3 314 (Cazelles, 2004). Results indicated that there was no consistent redundancy between
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5 315 indicators across all 19 ecosystems and classification of ecosystems into groups according
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8 316 to pair-wise correlations of indicators resulted in fairly weak associations across
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11 317 ecosystems. Given these results, the assumption of independence between indicators holds
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13 318 for the time periods under consideration when all 19 ecosystems are considered.
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320 Results

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322 Initial State

323 The initial state of one ecosystem began in the 1960s, six in the 1970s, nine in the 1980s
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31 324 and three in the 1990s. No ecosystem met criterion 1, that is, the proportion of under or
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33 325 moderately exploited stocks = 1, and for at least 50% of the ecosystems, the proportion was
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35 326 less than 0.5. No ecosystem had no industrialized or destructive fishing practices but four
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37 327 had no documented community or ecosystem impacts caused by fishing (Guinea,
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39 328 Mauritania, Portugal and Senegal, Table 5). Thus all nineteen ecosystems were classified as
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41 329 impacted since they failed to meet at least one of the three criteria outlined above.
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331 Determination of indicator trends

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53 332 The analysis of trends in the ecosystem indicators over the entire length of their time series
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55 333 illustrates that most of these systems are undergoing change (Table 6). The Bay of Biscay
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3 334 had no ecosystem indicators with a significant trend for the time periods under
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5 335 consideration. West Coast Canada had two positive trends (biomass and trophic level of the
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7 336 landings), the Barents Sea and Bering Sea each had one positive trend (inverse fishing
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9 337 pressure and fish size respectively). In five cases, Baltic Sea, Mauritania, Portugal,
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11 338 Senegalese EEZ and Southern Humboldt, all significant trends were negative at the 5%
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13 339 level (note that there were positive trends in life span and fish size at the 10% level for
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15 340 Mauritania and the Baltic respectively). A mixture of positive and negative significant
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17 341 trends in the ecosystem indicators occurred in the other ten ecosystems.
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26 343 Decision Tree Analysis

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28 344 Using Decision Rule 1, one ecosystem was diagnosed as improving (West Coast Canada,
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30 345 Figure 1a), three as not improving (Barents Sea, Bay of Biscay and Bering Sea and Figure
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32 346 1b) and the rest had deteriorated from their initial state (Figure 1c and d). West Coast
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34 347 Canada improved from its initial state because two indicators, biomass and trophic level of
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36 348 landings, had positive trends and no indicator had negative trends. Of the three “non-
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38 349 improving” ecosystems, one had no significant trends (Bay of Biscay) and Barents Sea and
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40 350 Bering Sea each had one positive trend (inverse exploitation and mean size respectively).
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42 351 The ecosystems that deteriorated from their initial state fell into four main groups: eight
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44 352 ecosystems were immediately classified as deteriorating because the first indicator at the
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46 353 top of the decision tree, mean length significantly decreased (Figure 1c); three ecosystems
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48 354 (Portugal, Senegal and Southern Humboldt), passed the first two levels of the decision tree,
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50 355 but had significant decreases in their biomass (Figure 1c); Mauritania, Southern Benguela
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52 356 and Sahara Coastal Morocco had significant decreases in mean trophic level of landings
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3 357 (although biomass increased in Southern Benguela and Sahara Coastal Morocco, Figure 1c)
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5 358 and in Guinea EEZ (Figure 1d), three indicators increased, but the last, inverse fishing
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8 359 pressure, decreased, eventually placing Guinea in the deteriorating class.
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12 361 The probabilities associated with the end points of the decision tree in Figure 1 indicate
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14 362 that, under the null hypothesis of a stable community, the probability of observing these
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17 363 combined trends in indicators is extremely low.
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22 365 Decision Rule 2 yielded very similar results, with the main difference that no ecosystem
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24 366 was classified as improving since there were no ecosystems with 3 significant increases and
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27 367 no negative trends (Table 6) and four ecosystems were re-classified as “not improving”.

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29 368 Thus West Coast Canada, which had been improving under Decision Rule 1 was classified
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31 369 as “not improving” since it only had two positive trends. In addition Guinea EEZ, Southern
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34 370 Benguela, Portugal and Sahara Coastal Morocco were re-classified as not improving since
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36 371 they had only one negative trend. However, 11 ecosystems remained in the deteriorating
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39 372 category.
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46 374 Effect of Indicator Order

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49 375 Due to the precedence of negative trends in the decision rule (i.e. the decision tree stops
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51 376 when 1 or 2 negative trends are encountered) and the conditions under which an ecosystem
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53 377 can be classified as improving (i.e. 2 or 3 positive trends with no negative trends), the order
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56 378 of the indicators does not affect the final classification. For example, in the case of Guinea
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3 379 EEZ, Figure 2, the length and number of branches in the tree varies with the order of the
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5 380 indicators, but the ecosystem is always classified as deteriorating. This is the case for all the
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7 381 ecosystems: the order of the indicators does not affect the classification of the ecosystem.
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12 383 However, the order of the indicators does affect the probability associated with that
13
14 384 outcome. In the case of Guinea EEZ for example (Figure 2), the probability of observing
15
16 385 these trends under the null hypothesis of a stable community ranges from $p \cong 0.000$ (Order
17
18 386 4) to $p = 0.025$ (Order 6). Nevertheless, this quantitative difference does not translate into a
19
20 387 qualitative difference: under any order of indicators (1 to 6), the probability of the trends
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22 388 found in Guinea occurring is low under the stability hypothesis.
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29 390 The probabilities associated with the end points of the decision tree were estimated for all
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31 391 ecosystems for each of the six alternative orders of indicators (Table 7). The range of the
32
33 392 values was small in all cases except for those ecosystems with a mixture of positive and
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35 393 negative trends, such as Guinea EEZ, Irish Sea, North Sea, Northern Humboldt, Southern
36
37 394 Benguela and the Southern Catalan Sea (Tables 6 and 7). Regardless, and as in the case of
38
39 395 Guinea EEZ which had the most extreme range of values, these were quantitative
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41 396 differences and did not affect the final classification.
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50 398 Interpreting trends

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53 399 Fishing down the food web was evident in the Southern Humboldt which was the only
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55 400 ecosystem with significant negative trends in both the trophic level of the catch and
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3 401 biomass (Table 8). Senegal and Portugal also showed signs of FDFW, with significant
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5 402 negative trends in trophic level and biomass although, the negative trend in trophic level of
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7 403 the catch was only significant at the 10% level. Senegal also had a significant negative
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9 404 trend in inverse exploitation. Five other ecosystems had significant negative trends in the
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11 405 trophic level of the catch, but in four cases this was coupled with an increase in biomass
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13 406 (Irish Sea, North Sea, Sahara Coastal Morocco and Southern Benguela).
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19 408 Loss of diversity and stability was not observed in any system. Four ecosystems showed
20
21 409 evidence of loss of size structure. The combination of indicators on the eastern Scotian
22
23 410 Shelf and south Catalan sea (significant negative trends in fish size, lifespan and trophic
24
25 411 level of the catch (Eastern Scotian Shelf only)) and in the North Sea (significant negative
26
27 412 trends in fish size and trophic level) suggest that large fish have been targeted and
28
29 413 selectively removed from the ecosystem. One ecosystem, Guinea, exhibited the opposite
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31 414 effect, with an increase in fish size, trophic level of landings and % predators): indicating
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33 415 that either small fish were being targeted, or are less abundant for other reasons. This is not
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35 416 likely to be an indicator of system recovery since there is no increase in biomass and there
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37 417 is a significant decrease in inverse fishing pressure.
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45 419 The Northeast US (North East US), Irish Sea and North Sea all showed evidence of
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47 420 increased productivity or recruitment; a significant increase in biomass coupled with a
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49 421 significant decrease in fish size. No change was expected in the other indicators, but the
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51 422 Irish and North Sea also exhibited a decrease in trophic level of the catch and an increase in
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53 423 inverse fishing pressure. In the North East US, while mean length decreased, mean life span
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3 424 increased and proportion of predators and inverse fishing pressure decreased. In West Coast
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5 425 Canada, there could be increased productivity/recruitment but the lack of an indicator for
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8 426 mean size precludes this conclusion.
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12 13 14 15 428 Management Goals

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18 429 The ecosystem indicators are each associated with one of four management goals (Table 2).
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20 430 A significant negative trend in an indicator indicates that the management goal is not being
21
22 431 met. Conversely, a positive trend indicates an improvement towards that goal, although it
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24
25 432 does not indicate how close the ecosystem is to achieving the goal. The negative trends in
26
27 433 eight of the fourteen “deteriorating” ecosystems indicated that they were failing to meet
28
29 434 more than one management goal. However, in some cases, such as north east US, the two
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31
32 435 ecosystem indicators associated with resource potential had opposite trends. Biomass
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34 436 increased and inverse fishing pressure decreased. Almost fifty percent of the significant
35
36 437 negative trends of the ecosystem indicators were associated with the “Ecosystem
37
38 438 Functioning” goal and most of the significant positive trends, as well as many of the
39
40 439 significant negative trends, were associated with “Resource Potential” management goal
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43 440 (Table 6). The trend in % predators was significant in four ecosystems: it increased in
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45 441 Guinea, Irish Sea and Northern Humboldt, suggesting increasing Conservation of
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47 442 Biodiversity whereas it decreased in the North East US, indicating a loss of Conservation of
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49 443 Biodiversity. Trends in mean life span are associated with Ecosystem Stability and
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52 444 Resistance to Perturbations, which decreased in the Eastern Scotian Shelf, Northern
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3 445 Humboldt and Southern Catalan Sea, but increased in North Central Adriatic and North
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6 446 East US.
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13 448 Exploring changes in ecosystem status over time
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16 449 Of the seven ecosystems with time series beginning prior to the 1980s, three, the Irish Sea,
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18 450 Baltic Sea and North Central Adriatic were consistently diagnosed as “deteriorating” (Table
19
20 451 9) regardless of the time period under consideration. The eastern Scotian Shelf and the
21
22
23 452 Southern Catalan Sea were both defined as “deteriorating” until the mid-1990s. In the latter
24
25 453 time period, there was no trend in the ecosystem indicators for the eastern Scotian Shelf
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27
28 454 (Appendix 2) suggesting that this ecosystem has reached some stability. The southern
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30 455 Catalan Sea was diagnosed as “improving” in the latter time period with significant positive
31
32 456 trends in % predators and trophic level of landings. The Bering Sea changed from a
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34
35 457 stationary diagnosis, to “improving” to “stationary” over the three time periods. Finally the
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37 458 north east US was diagnosed as ‘deteriorating’ over the whole time series, “improving”
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39 459 since the 1980s and ‘stationary’ since the mid-1990s. Mean life span and biomass had
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42 460 positive significant trends in the first two time periods, and no negative trends occurred
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45 461 during the second time period.
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49 463 Of the nine ecosystems whose time series began during the 1980s, the Barents Sea
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51 464 remained “non improving” from 1980s-2005 and 1996-2005 (Table 9). Four ecosystems,
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53 465 Guinea, Mauritania, North Sea and Portugal were diagnosed as “deteriorating” from 1980s-
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56 466 2005, but as “not improving” in the latter time period since there were no significant trends
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3 467 in any indicator. Senegal, Southern Benguela and Northern Humboldt “deteriorated” during
4
5 468 both time periods. In the case of Senegal, biomass and inverse fishing pressure decreased
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7 469 from 1980s-2005, and biomass from 1996-2005; in Southern Benguela, mean trophic level
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9 470 of landings decreased from 1980s-2005, then from 1996-2005, mean life span, % predators
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11 471 and mean trophic level of landings decreased; in the Northern Humboldt system mean life
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13 472 span and inverse fishing pressure significantly decreased from 1980s-2005, and mean life
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15 473 span decreased from 1996-2005 (Appendix 2).
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22 475 The time series of three ecosystems began in the early 1990s and their diagnosis did not
23
24 476 change from the 1980-2005 time period to the 1996-2005 time period. Two were diagnosed
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26 477 as deteriorating (Sahara Coastal Morocco and Southern Humboldt) and Bay of Biscay was
27
28 478 classified as “not improving” (Table 9). However, for the southern Humboldt, some
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30 479 indicators did change: during 1980-2005, biomass and trophic level of landings decreased
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32 480 whereas during 1996-2005 trophic level of landings decreased and fish size increased
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34 481 (Appendix 2).
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41 483 During the first time period, six of seven ecosystems were classified as “deteriorating”, and
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43 484 one as “not improving”; in the second time period, fourteen of the nineteen ecosystems
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45 485 were “deteriorating”, two were “not improving” and three were “improving”. In the last
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47 486 time period (1996-2005) eight of nineteen ecosystems were deteriorating, ten as “not
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49 487 improving” and one as “improving”.
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489 Interpreting trends through time

490 The four ecosystem effects identified in Table 4 were not consistently observed over the
491 three time periods (Table 10), but all were exhibited. Most striking is the greater number of
492 effects evident in the 1980-2005 period, compared to the seven systems for which there
493 were data prior to 1980 and compared to all 19 ecosystems in the latest time period, 1996-
494 2005. In the latter time period, loss of diversity and stability was the only effect identified
495 and only in one system, Southern Benguela, due to a significant decrease in life span and %
496 predators, supplemented by a significant decrease on TL of landings. Loss of size structure
497 was the most common effect observed. During 1980-2005, it was evident in the Baltic Sea,
498 Bering Sea, North Sea and Guinea. The combination of indicators suggested that size
499 selective fishing was directed at small fish in Guinea and in the Bering Sea and at large fish
500 in the other ecosystems. The latter effect was also seen in Southern Catalan Sea (1976-
501 2005). The loss of diversity and stability was only observed in two ecosystems, Baltic Sea
502 and Southern Benguela (see above) in two different time periods. FDFW was also seen
503 only in three ecosystems, Eastern Scotian Shelf, Southern Humboldt and Portugal during
504 1980-2005. In the latter case, the decrease in trophic level of landings was significant at the
505 10% level.

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507 Two ecosystems were consistent across the first two time periods: the Irish Sea showed
508 evidence of increased productivity over the whole time series and since 1980, while the
509 Eastern Scotian Shelf exhibited signs of size selective fishing of large fish over these two
510 time periods and FDFW during 1980-2005. During the 1980-2005 time period the Baltic

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3 511 sea exhibited both loss of diversity and stability and size selective effects of fishing, which
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5 512 were not apparent since 1996.
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14 515 Discussion

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18 517 One of the strengths of a comparative approach is that patterns replicated across ecosystems
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20 518 provide more confidence that these patterns are real, than if they were observed in one
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22 519 ecosystem alone. Several very ugly patterns were replicated across the nineteen ecosystems
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24 520 analysed here using a decision tree approach: (i) all nineteen ecosystems were considered
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26 521 impacted at the beginning of their time series, (ii) since the initial state fifteen further
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28 522 deteriorated under Decision Rule 1, and eleven under Decision Rule 2, (iii) most failed on
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30 523 two or more management goals, (iv) fishing down the food web, loss of size structure, or
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32 524 loss of stability and resistance were evident in eleven ecosystems. This is not entirely
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34 525 unexpected since many of these ecosystems have a long history of exploitation, particularly
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36 526 in the North Atlantic and Mediterranean, and fishing does have impacts on the ecosystem
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38 527 (see contributions in Hollingworth, 2000). Indeed, the probability that any of these
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40 528 ecosystems is a stable, neutral community is small (with the exception of the Bay of
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42 529 Biscay). However, the consistency of the diagnosis provided here is worrisome. Given that
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44 530 all ecosystems departed from a state that was already impacted, we have relabeled our
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46 531 diagnoses as ugly (deteriorating), bad (not-improving, since the ecosystem is remaining in
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48 532 its impacted state, and good(ish), improving, since the direction is good, but the ecosystems
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50 533 are still likely to be highly impacted.
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6 535 Essentially, these results indicate that eleven to fifteen of these ecosystems are in a more
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8 536 impacted state now than they were at the beginning of their time series, that is, they are in
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10 537 an “ugly” state. Furthermore, eight of those ecosystems were still deteriorating when
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12 538 examined over the last 10 years (96-05), five were stationary, having deteriorated, and thus
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14 539 still in a “bad” state, and only one, the Southern Catalan Sea, after almost two decades of
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16 540 deterioration, was finally classified as improving, good(ish), but see below. Therefore none
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18 541 of these ecosystems can be considered in a good state during any time period, although the
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20 542 Bering Sea, North East US and West Coast Canada have less negative diagnoses. By any
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22 543 measure, the results of this analysis are very concerning.
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29 545 Geographically, the ecosystems in the north Pacific may be in better shape than the
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31 546 ecosystems from the Atlantic and Mediterranean: both the Bering Sea and West Coast
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33 547 Canada were diagnosed as improving during 1980-2005, and stationary since then. This
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35 548 may be attributed to good management practices, productive regime shifts or both. Link *et*
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37 549 *al.*, (this volume) identified environmental drivers as important in both of these ecosystems.
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39 550 In the southern Pacific, both the Southern and Northern Humboldt were diagnosed as
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41 551 “deteriorating”: these are both upwelling systems and subject to strong environmental
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43 552 drivers (e.g., Chavez *et al.*, 2003, 2008; Montecinos *et al.*, 2003; Alheit and Ñiquen, 2004;
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45 553 Shannon *et al.*, 2008), which should be taken into consideration when interpreting the suite
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47 554 of ecosystem indicators, see below. Ecosystems from the North Atlantic and
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49 555 Mediterranean have a long exploitation history and were generally diagnosed as
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51 556 “deteriorating”, or “not improving”, from a previously deteriorated state, excluding Bay of
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3 557 Biscay and Barents Sea. Furthermore, fishing down the food web, loss of size structure, or
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6 558 loss of stability and resistance were mainly observed in north Atlantic ecosystems. The Bay
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8 559 of Biscay and Barents Sea were classified as “not improving” for all relevant time periods.
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10 560 The time series for the Bay of Biscay was short and the lack of significant trends may be a
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12 561 consequence of this. The Barents Sea data exhibits strong cyclical patterns and is not well
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15 562 suited to treatment using linear regressions. Results for this system should be interpreted
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17 563 with caution. The good(ish) diagnosis for the Southern Catalan Sea over the most recent
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20 564 time period was due to an increase in % predators and trophic level of landings. However,
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22 565 these increased as a result of a large decline in small pelagic fish in the 1990s, and thus the
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24 566 ecosystem was not in an improved state as the indicators alone may suggest.
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30 568 Time Frames

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33 569 The use of different time frames for the analysis showed that the results of the analysis
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36 570 were sensitive to the time frame used and, for some systems, revealed an evolutionary
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38 571 process. The North East US for example, was originally diagnosed as deteriorating (1963-
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40 572 2005) then it improved (1980-2005) and during 1996-2005 was “not improving”. Overall,
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42 573 the shortest time frame of 10 years, 1996-2005, produced the least number of significant
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44 574 trends (20) whereas the longer time periods produced more than twice this number (see also
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46 575 Blanchard *et al.*, this volume). This result is expected since it has been suggested that at
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48 576 least 10-15 years of data is required to detect trends Nicholson and Jennings (2004), and
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51 577 Trenkel *et al.* (2007) suggest 20 or more years.
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3 579 However, it is also suggested here that there is a second, process-oriented explanation for
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5 580 the lack of trends in the 10 year time frame for some ecosystems. Many of these
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8 581 ecosystems were diagnosed as deteriorating over the longer time period, and by the mid-
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10 582 1990s had reached a new more impacted state, after which they remained relatively stable.
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12 583 This is the case for the Eastern Scotian Shelf (Bundy, 2005) and likely the case for the
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14 584 North East US (Link *et al.*, 2002), North Sea, Guinea, and Mauritania.
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19 586 Of greater concern is the result that over 50% of the fourteen ecosystems diagnosed as
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21 587 deteriorating during 1980-2005, were still diagnosed as deteriorating during 1995-2005
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23 588 (Baltic Sea, Irish Sea, North Central Adriatic Sea, Southern Benguela, Northern Humboldt,
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25 589 Senegal, Sahara Costal Morocco and Southern Humboldt). The first three have a long
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27 590 history of fishing, but notably have fewer negative trends in the recent time periods than
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29 591 during 1980-2005 (Appendix 2), suggesting a decline in the rate of deterioration. The latter
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31 592 two time series began in the early 1990s, so the length of time over which they are
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33 593 diagnosed as deteriorating is less than for the other ecosystems, although this should still
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35 594 raise flags of concern. Southern Benguela and Northern Humboldt are upwelling systems,
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37 595 in which environmental drivers have a large influence on the abundance and distribution of
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39 596 marine organisms. Thus the ecosystem indicators must be interpreted in this context. In
40
41 597 particular, many upwelling systems are characterized by large stocks of small pelagic fish
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43 598 (see Shannon *et al.*, 2008, and this volume for further details) whose dynamics are
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45 599 impacted by the environment as well as fishing. As seen below, this is the case of Northern
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47 600 Humboldt and Southern Benguela.
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3 602 In the Northern Humboldt, where strong climatic, bottom-up forcing affects fish
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5 603 productivity on a large range of scales (Chavez *et al.*, 2008; Gutiérrez *et al.*, 2008) the
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7 604 environment rather than fishing pressure appears to be the main driver of ecosystem change
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10 605 (Bertrand *et al.*, 2004, 2008a, 2008b, 2008c; Taylor *et al.*, 2008; Shannon *et al.*, 2008). Of
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12 606 the indicators explored here, mean life span and inverse fishing pressure significantly
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14 607 decreased from 1980-2005, and mean life span decreased during 1996-2005 (note that there
15
16 608 was no mean length indicator for this system), but biomass increased. Mean life span likely
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18 609 decreased due to factors associated with a change from warmer period in the 1980s ('El
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20 610 Viejo' *sensu* Chavez *et al.*, 2003) to a cooler, more productive conditions in the 1990s ('La
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22 611 Vieja' *sensu* Chavez *et al.*, 2003). The short-lived anchoveta increased ('full anchovy era'
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24 612 *sensu* Gutiérrez *et al.*, 2007), larger pelagic predators such as hake declined due to
25
26 613 overfishing and adverse climatic conditions (Ballón *et al.*, 2008; Guevarra-Carrasco and
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28 614 Lleonart, 2008), and the biomass of the jumbo squid, a short lived predator, dramatically
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30 615 increased (Argüelles *et al.*, 2008). For further details see Shannon *et al.* (this volume). Thus
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32 616 for the recent time period, 1996-2005, the classification of the Northern Humboldt as
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34 617 'deteriorating' should be questioned recognizing the influence of environmental change on
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36 618 the indicators, and the increase in biomass. Except for some species, in particular the hake,
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38 619 the impacts of fishing were less over the recent decade than they were over the longer time
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40 620 period, as noted above for the Eastern Scotian Shelf, North East US, North Sea, Guinea,
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42 621 Mauritania and Senegal.
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53 623 The Southern Benguela system appeared to deteriorate further over the most recent time
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55 624 period: the number of significant negative trends increased from one in 1980-2005 (trophic
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3 625 level) to 3 during 1996-2005 (mean life span, % predators and trophic level). Biomass
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5 626 increased significantly in both periods. Generally, a result such as this may be due to a
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7 627 recruitment process or increased productivity at lower trophic levels since biomass
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10 628 increased while the other indicators decreased. However, if this was the case, a decrease in
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12 629 mean size would be expected, but this did not occur, even at the 10% risk level. In fact,
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14 630 unusually high stock sizes of small pelagic fish were observed off South Africa in the early
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17 631 2000s, accounting for decreased life span, % predators and trophic level of the landed
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20 632 catch. The reason mean size did not also decrease is that this particular indicator was
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22 633 derived from a detailed demersal survey data for Southern Benguela, which was not easily
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24 634 combined or comparable to pelagic survey data that would reflect small pelagic fish
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27 635 abundance. This emphasizes the necessity for considering data sources and ecosystem
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29 636 characteristics on an ecosystem by ecosystem basis when interpreting indicator trends.
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34 638 These results show some consistency with the ranking of ecosystems according to short-
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36 639 and long-term trends by Coll *et al.*, (this volume). Three ecosystems, Adriatic Sea,
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39 640 Southern Catalan Sea and Baltic Sea ranked as highly impacted in the majority of cases, as
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41 641 they did in the results presented here. Coll *et al.* (this volume) classified five ecosystems
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43 642 as “becoming more impacted” in the recent decade, compared to six when the full period
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46 643 1980-2005 was examined, whereas the decision tree analysis classified eight ecosystems in
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48 644 the recent decade as deteriorating and fourteen ecosystems during 1980-2006. Further
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50 645 consistencies are apparent in the details: Coll *et al.* (this volume) classified the Eastern
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53 646 Scotian Shelf, Baltic Sea, Southern Catalan Sea, Senegal and Southern Humboldt as
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55 647 “becoming more impacted” in the long time period 1980-2005, whereas they improved to
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3 648 “moderately impacted” , or in the case of the Eastern Scotian Shelf, “becoming less
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5 649 impacted” according to trends for 1996-2005 only. Their results also indicated that some
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8 650 ecosystems had deteriorated in the last 10 years: the Adriatic, Southern Benguela,
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10 651 Mauritania, North Sea, and North East US ecosystems were classified as “moderately
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12 652 impacted” in terms of trends from 1980-2005, whereas they had deteriorated in the last
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15 653 decade to become classified as “becoming more impacted” for the period 1996-2005. While
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17 654 the results of Coll *et al.* (this volume) are derived from a relative ranking process in
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19 655 contrast to the tripartite classification used here, they largely confirm our results.
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23 24 25 657 Detecting ecosystem effects through the combinations of trends

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28 658 The observed ecosystem effects supported the diagnosis of the decision tree: of the fifteen
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30 659 ecosystems that were diagnosed as “deteriorating” during the longer time periods
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32 660 considered (pre-1980s – 2005 and 1980 – 2005), six exhibited size selective effects of
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34 661 fishing, two experienced FDFW, two experienced loss of diversity and stability and four
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36 662 showed evidence of increased productivity. Only one effect (loss of diversity and stability
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38 663 in Southern Benguela) was noted during the 10 year time frame of 1996-2005, which is
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40 664 explained by the result that fewer significant trends were detected during this shorter time
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42 665 period. Southern Benguela was also the one ecosystem with more significant trends in this
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44 666 time frame. Increased productivity or recruitment was observed in the Irish Sea and North
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46 667 Sea. These ecosystems have been heavily exploited for over a century and are not showing
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48 668 any signs of improvement, other than reduced exploitation and an increase in biomass. In
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50 669 the case of the Irish Sea, it has continued to deteriorate.
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3 671 Some ecosystems exhibited contradictory trends. Mean life span increased in the North
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6 672 East US and North Central Adriatic for example, while fish size decreased. Using data from
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8 673 1995-2005, Blanchard *et al.*, (this volume) found that life span and fish size were positively
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10 674 correlated in some ecosystems but negatively correlated in others. In the case of North East
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12 675 US, this may be due to the fact that fisheries have been (for some time) catching larger
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14 676 organisms and many of the organisms that are not now primarily targeted have longer life
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16 677 spans. In the North Central Adriatic Sea and the Southern Catalan Sea, some indicators
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18 678 responded contrary to the expected trends due to increasing fishing effort because fishing
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20 679 was mainly targeting and depleting the small pelagic fish. In the Northern Humboldt
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22 680 system, life span decreased, while % predators and trophic level of the catch increased (and
23
24 681 inverse exploitation decreased). Negative trends in life span and positive trends in trophic
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26 682 level are explained by the shift to a cooler anchovy regime (short lived, not low TL species)
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28 683 since mid 1990s as reflected in both biomass and landings whilst positive trends in %
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30 684 predators is explained by the outburst of giant squid biomass specially after El Niño 1997-
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32 685 1998. In the Southern Humboldt mean fish size increased, but trophic level of landings
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34 686 decreased over the last decade. Arancibia and Neira (2005) found a significant decline in
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36 687 the mean trophic level of landings off Southern Humboldt for the period 1978-2002, but
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38 688 Neira (2008) consequently determined that this decline was first due to “fishing through the
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40 689 food web” *sensu* Essington *et al.* (2006) and real FDFW has been occurring in this system
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42 690 only since mid 1990s.
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53 692 The decision tree approach to classifying ecosystems into three states, improving,
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55 693 stationary (non-improving) or deteriorating is attractive for its simplicity and thus more
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3 694 likely to be acceptable to stakeholders. However, there are several methodological issues
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5 695 involved in this approach that are subjective including the assessment of the initial state, the
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8 696 selection of ecosystem indicators, the order of the indicators and the choice of decision rule.
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14 698 Assessment of initial state

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17 699 All nineteen ecosystems were assessed as impacted in their initial year and most failed to
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19 700 meet all three of the criteria and could thus be considered highly impacted. The method for
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21 701 assessing the initial state of the ecosystems was very conservative and demanded that three
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23 702 criteria should be met in order for an ecosystem to be described as non-impacted. The
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25 703 criteria were selected to include the effects of fishing on species and the ecosystem and
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27 704 were intended to maximize comparability. No ecosystem met all three criteria. Assessing
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29 705 the initial state of ecosystems 20 plus years ago can be challenging since there was less
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31 706 interest in the ecosystem effects of fishing at that time and less documentation. In some
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33 707 ecosystems there is also simply less information available. Information for the first criteria
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35 708 and second criteria was available for most ecosystems, but the third criteria leaves room for
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37 709 error. The criterion was “there were no documented community or ecosystem impacts
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39 710 caused by fishing”. However, lack of documentation does not equate with lack of impact,
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41 711 and in several cases there was no documentation for the earlier time period. This did not
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43 712 affect the assessment of initial state of any one ecosystem, given the binary classification
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45 713 used here. However, if a less conservative method was used, such as “degree of impact”,
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47 714 criteria 3 would be more important.
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3 716 Selection of ecosystem indicators
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6 717 Link *et al.* (2002), Caddy (2004) and others have recommended using a broad range of
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8 718 indicators to assess the status of ecosystems. Link (2005), Methratta and Link (2006), and
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10 719 Rochet and Rice (2005) have also noted the need to select indicators using a set of criteria
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12 720 that are germane for the management issues at hand in a given ecosystem. However, when
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14 721 making comparative evaluations of marine ecosystems globally, a more limited set of
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16 722 indicators must be selected since the data must be available for all ecosystems. The
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18 723 implication of this is a compromise between an ideal set of indicators and a realistic set of
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20 724 indicators. While it is not proposed that the set of indicators selected here is complete, it is
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22 725 proposed as a minimum set. The rationale for the choice of indicators is discussed further in
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24 726 Shin *et al.* (this volume). Ideally, each management goal would have at least two indicators
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26 727 associated with it to account for different sensitivities of indicators to change, thus new
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28 728 indicators are required for the management goals of “Stability and Resistance to
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30 729 Perturbations” and “Conservation of Biodiversity”. Potential indicators of the former could
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32 730 include a measure of the trophic structure of the ecosystem such as the mean trophic level
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34 731 of the community, or a measure of whether a change in exploitation reflects energy loss up
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36 732 the food, captured by indicators such as the FiB index (Pauly *et al.*, 2001), or ratio of
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38 733 pelagic to demersal fish in the community, and for the latter, species richness or number of
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40 734 vulnerable or endangered species. There is no shortage of such potential indicators
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42 735 (Murawski, 2000; Daan *et al.* 2005; Link, 2005; Fulton *et al.*, 2005); the challenge is to
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44 736 select those that are generally applicable and related to these management objectives. A
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46 737 second class of indicators that monitor that state of the environment, and thus flag potential
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3 738 effects of the environment on the suite of indicators are required, especially for ecosystems
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5 739 with large environmental fluctuations such as upwelling systems.
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10 741 Of the six indicators used in this study, fewer significant trends occurred for mean life span
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12 742 and % predators, both of which were derived from fisheries survey information, suggesting
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14 743 that these are less sensitive to change than the other indicators that were catch-derived.
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17 744 Inverse fishing pressure, a catch and survey derived indicator, resulted in significant trends
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19 745 in over 50% of the ecosystems. However, this indicator requires careful consideration and
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21 746 interpretation. The estimation of both catch and biomass is fraught with difficulties, ranging
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23 747 from bias and misreporting of catch data (Patterson, 1998; Watson and Pauly, 2001) to
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25 748 inconsistent survey protocols between years or across different fisheries within an
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27 749 ecosystem. It was assumed here that surveys were consistent through time and that catch
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29 750 was accurately reported. Catch may also be affected by management measures, so while
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31 751 inverse fishing pressure does give an index of the proportion of biomass exploited, it is not
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33 752 necessarily a good measure of the amount of fishing pressure that can be sustained by a
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35 753 resource, since the management measures themselves become implicit in the indicator.
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39 754 Inverse fishing pressure can be ambiguous; an increase may represent a decrease in
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41 755 resource potential or it could represent a precautionary management measure to avoid this.
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44 756 For this reason, inverse fishing pressure was placed at the bottom of the decision tree.
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47 757 Auxilliary information in the form of additional indicators, such as total landings, total
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49 758 landings at a given trophic level, or information about management actions and policy
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51 759 might help elucidate the meaning of inverse fishing pressure. For the 19 ecosystems
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3 760 examined here, it only determined the outcome of the decision tree once under Decision
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5 761 Rule 1 (Guinea) and twice under Decisions Rule 2 (Mauritania and Senegal).
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9 762 Thus when interpreting the effect of this indicator, context must be considered. If it were
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11 763 not for inverse fishing pressure, Guinea would have been classified as “improving” under
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13 764 Decision Rules 1 and 2 since three indicators (LG, BpBs and TLc) had positive significant
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15 765 trends for the long-term time series. Inverse fishing pressure decreased during the first 10
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17 766 years of the data series, and then remained relatively flat. This was reflected in the
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19 767 diagnosis for 1996-2005 which classified Guinea as “not improving” rather than
20
21 768 deteriorating. Changes in trophic level of landings and inverse fishing pressure suggest that
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23 769 since 1995, the fishery was targeting higher trophic level species at a higher fishing
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25 770 mortality. This reflects the fishing history of this ecosystem: it has undergone rapid
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27 771 escalation of fishing effort, focused on coastal demersal species, over the last two decades,
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29 772 accounting for the increase in trophic level of the catch and the decrease of inverse fishing
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31 773 pressure (Lobry *et al.*, 2003). Exploitation in the offshore area has been more intense over a
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33 774 longer period of time (Sidibé *et al.*, 1999, cited in Laurans *et al.*, 2004). Overall, the history
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35 775 of exploitation in Guinea is less intense than in Senegal or Mauritania (Domalain *et al.*,
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37 776 2004; Lobry *et al.*, 2003).
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49 778 Order of Indicators

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52 779 Under the decision rules used here, the order of the indicators does not affect the final
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54 780 classification, only the probability under the null hypothesis that they end up there. Thus,
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56 781 the method appears to be relatively robust and consistent. This is not a trivial result. Order
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3 782 1 was chosen because the indicators at the top of the tree have a clearer association with
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5 783 likely causes of change in the indicator, they are estimated with relatively good precision
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8 784 and the first four indicators represent the four management goals outlined in Table 2. The
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10 785 first four indicators are also a more direct assessment of the ecosystem using fisheries
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12 786 independent survey information, whereas the last two, trophic level of landings and inverse
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14 787 fishing pressure, are derivatives of the act of fishing (i.e. pressure indicators as opposed to
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16 788 state indicators, see Degnbol and Jarre, 2004) even though they can also reflect non-
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18 789 fishery-related impacts such as natural outbursts of species at low trophic levels in response
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20 790 to eutrophication, or environmentally-induced changes in availability of certain species to
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22 791 fisheries.
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29 793 However, if the decision rules were changed, the order of the indicators could have a larger
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31 794 impact on the outcome. For example, if an “improving” state was re-defined as occurring
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33 795 when 2 positive trends were encountered, at which point the procedure was stopped, then
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35 796 Guinea would be classified as improving under Order 1. However if the order of the
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37 797 indicators were changed, the Irish Sea, North Sea, North East US, Northern Humboldt and
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39 798 Southern Benguela could all be classified as improving. Clearly, it is important to have a
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41 799 decision rule that is robust to the order of the indicators and to have a clear rationale for the
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43 800 order in which the indicators are used. In practice, there needs to be buy-in to this reasoning
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45 801 and ordering by scientists and other stakeholders alike, if ecosystem classifications are to be
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47 802 widely accepted and acted upon by fisheries managers.
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804 Decision Rules

805 Decision rules remove many of the confounding issues that can occur when interpreting
806 more than one indicator to assess the status of an ecosystem. However, care has to be taken
807 in the development of decision rules, a process which should be undertaken in an
808 interactive manner with scientists, managers and stakeholders participating in setting the
809 rules (FAO, 2003; Degnbol and Jarre, 2004). Explicit consideration of risk is an essential
810 component of this process. Here, two risk adverse decision rules were explored, where a
811 negative decrease in one or two ecosystem indicators was sufficient to classify an
812 ecosystem as “deteriorating”. These were unbalanced rules since the criteria to classify an
813 ecosystem as “improving” were more stringent. Relaxing these rules, or making them less
814 risk adverse would alter the classification of some of the ecosystems in Figure 1. At best,
815 more ecosystems would be classified as “not improving”. For example, if a deteriorating
816 ecosystem was defined by three negative trends, then of the fourteen ecosystems classified
817 as “deteriorating” under Decision Rule 1, only North Central Adriatic Sea and North East
818 US would remain in the category. The rest would be classified as “not-improving”, which
819 overall is not informative. A conservative decision rule is in accordance with the
820 Precautionary Approach (FAO, 1995), where the risk of error is placed on the side of
821 caution to avoid unacceptable or undesirable situations.

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823 The strong take home message from this precautionary decision tree analysis is that many
824 of the ecosystems examined here have been negatively impacted by fishing, as measured by
825 the suite of ecosystem indicators and are in the “danger” zone. They have been diagnosed
826 to be in an ugly, “deteriorating” condition, having already been defined as “impacted” by

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3 827 the effects of fishing at the start of the time series. Although it is likely that these
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5 828 ecosystems are being managed with a long-term goal of sustainability, the management
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8 829 goals defined in Table 2 are not being achieved. Here, only ecological indicators of the
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10 830 pressure and impacts of fishing were explored, but managing for sustainability requires
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12 831 consideration of biology, ecology, environment, economics, social aspects and governance
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15 832 issues beyond simple stock dynamics (Bundy *et al.* 2008; Rice, 2008). Furthermore, the
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17 833 potential effects of the environment, particularly in upwelling ecosystems (Shannon *et al.*,
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19 834 this volume), need to be considered when interpreting these ecosystem indicators. Link *et*
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21 835 *al.* (this volume) explored drivers of ecosystem change, and Coll *et al.* (this volume) used
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23 836 biotic factors to rank ecosystems and biotic, abiotic and socioeconomic factors to explain
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25 837 these rankings. The results of all these studies point to the same conclusion: the
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27 838 management goals for the fisheries in these ecosystems are not being met.
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Table 1. List of the nineteen ecosystems considered in the decision tree classification of ecosystems. MFA= FAO Major Fishing Area, Div= FAO Division (adapted from Shin *et al.*, this volume), including the years for which data are available to estimate the ecosystem indicators.

Coastal ecosystem	Geographical area	Surrounding countries	FAO fishing zones	First year of time series	Last year of time series
Bering Sea, Aleutian Islands	NE Pacific	Alaska, US	MFA: 67	1978	2005
West coast Canada	E Central Pacific	Canada		1980	2005
Southern Humboldt	SE Pacific	Chile	MFA: 87, Div: 2.2.	1993	2004
Northern Humboldt	SE Pacific	Peru	MFA: 87, Div: 1.1, 1.2	1983	2005
Eastern Scotian shelf	NW Atlantic	Canada	MFA: 21, Div: 4V, 4W	1970	2005
North East US	NW Atlantic	US		1963	2005
Bay of Biscay	NE Atlantic	France	MFA: 27, Div: VIIIa, b	1994	2005
North Sea	NE Atlantic	UK, Norway, Denmark, Germany, Netherlands, Belgium	MFA: 27, Div: IVa,b,c, IIIa	1983	2005
Barents sea	NE Atlantic	Norway	MFA: 27, Div: I, IIb	1984	2005
Irish Sea	NE Atlantic	Ireland, UK	MFA: 27, Div: VIIa	1973	2005
Portuguese EEZ	NE Atlantic	Portugal	MFA: 27, Div: IXa	1981	2005
Baltic Sea	NE Atlantic	Germany, Estonia, Sweden, Poland, Russia, Lithuania, Latvia, Finland	MFA: 27, Div: III d 25 to 29	1974	2005
Southern Catalan Sea	NW Mediterranean	Spain	MFA: 37, Div: 1.1	1978	2003
North Central Adriatic Sea	Central Mediterranean	Italy, Slovenia, Croatia, Bosnia-Herzegovina, Montenegro	MFA: 37, Div: 2.1	1976	2005
Sahara Coastal	E Central Atlantic	Morocco	MFA: 34, Div: 1.3	1998	2005
Senegalese EEZ	E Central Atlantic	Senegal	MFA: 34, Div: 3.12	1986	2005
Guinean EEZ	E Central Atlantic	Guinea	MFA: 34, Div: 3.13	1985	2005
Mauritania	E Central Atlantic	Mauritania		1982	2005
Southern Benguela	SE Atlantic	South Africa	MFA: 47, Div: 1.6, 2.1	1986	2005

Table 2. Suite of six ecosystem indicators for diagnosing ecosystem status with corresponding management objectives (L: length (cm), i: individual, s: species, N: abundance, B: biomass, Y: catch (tons), D=decline over time, RP = Resource Potential, EF = Ecosystem structure and Functioning, CB=Conservation of Biodiversity, SR = Ecosystem Stability and Resistance to Perturbations, (adapted from Shin *et al.*, this volume).

Indicators	Headline label	Source	Calculation, notations, units	Expected Trend under fishing pressure	Conservation Goals
Mean length of fish in the community	<i>fish size</i>	Fisheries Independent Surveys	$\bar{L} = \frac{\sum_i L_i}{N} \text{ (cm)}$	D	EF
Mean life span of fish in the community	<i>life span</i>	Fisheries Independent Surveys	$\overline{LS} = \frac{\sum_s (age_{\max} B_s)}{\sum_s B_s} \text{ (y}^{-1}\text{)}$	D	SR
Total biomass of species in the community	<i>biomass</i>	Fisheries Independent Surveys	$B \text{ (tons)}$	D	RP
Proportion of predatory fish in the community	<i>% predators</i>	Fisheries Independent Surveys	prop predatory fish= B predatory fish/B surveyed	D	CB
TL landings	<i>trophic level</i>	Commercial landings and estimates of trophic level (empirical and fishbase)	$\overline{TL}_{land} = \frac{\sum_s TL_s Y_s}{Y}$	D	EF
1/(landings /biomass)	<i>inverse fishing pressure</i>	Commercial landings	B/Y retained species	D	RP

Table 3. Summary of reasons why the six ecosystem indicators may increase or decrease

Indicator	Reasons for an increase	Reasons for a decrease	References
<p>Mean fish size This indicator is based on individual length data at the community level</p>	<ul style="list-style-type: none"> • Increase in abundance of large fish in dominant populations • Decrease in recruitment in dominant populations • Increase in mean growth rates due to favourable environmental conditions • Decrease in abundance of stocks comprised of small-sized fish • Increase in abundance of stocks comprised of large-sized fish • Regime shift toward condition favouring large fish species 	<ul style="list-style-type: none"> • Loss of larger fish from dominant populations • Strong recruitment success in dominant populations • Decrease in mean growth rates due to unfavourable environmental conditions • Increase in abundance of stocks comprised of small-sized fish • Decrease in abundance of stocks of large-sized fish • Regime shift toward condition favouring small pelagic fish 	<p>Shin <i>et al.</i>, 2005; Rochet and Trenkel, 2005; Chavez <i>et al.</i>, 2003, 2008</p>
<p>Mean life span This indicator is not based on individual data but on life history traits weighted by species biomass: the variations are meant to capture changes in species composition</p>	<ul style="list-style-type: none"> • Increase in the biomass of species with high longevity • Decrease in the biomass of species with short life span • Regime shift toward condition favouring large fish species 	<ul style="list-style-type: none"> • Decrease in the biomass of species with high longevity • Increase in the biomass of species with short life span • Demographic explosions of invertebrates (e.g. Cephalopods, crustaceans) • Regime shift toward condition favouring small pelagic fish 	
<p>Biomass Biomass is the total amount of surveyed fish species in the ecosystem, expressed either as a unit per time or area or as an absolute value for the ecosystem.</p>	<ul style="list-style-type: none"> • Reduced mortality due to fishing • Good reproductive success leading to high recruitment due to a large spawner stock biomass, favourable climatic conditions, etc... • Reduced predation or increased food supply i.e. Favourable trophic interactions. 	<ul style="list-style-type: none"> • Increased mortality – both fishing and natural (due to predation, pollution, unfavourable environmental conditions...). Fishing mortality can be of two kinds - direct and indirect. Direct mortality is the catch of target species, and includes the unintended mortality of non-target species, bycatch species and discarding. Indirect mortality includes increased predation or 	<p>Duplisea <i>et al.</i>, 1997; Rice, 2005; Rochet and Trenkel, 2005; Rogers and Greenaway, 2005</p>

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% predators,

Predators are defined as all surveyed species that are not planktivorous and feed on fish or invertebrates larger than 2 cm. Variations in this indicator capture changes in the trophic structure of the ecosystem. If predatory fish merely increase in proportion to overall fish biomass, then no proportional change is seen

Trophic level of Landings

This indicator is a measure of the average trophic level of the species exploited by the fishery

- Reduced fishing on predatory fish
 - Distributional changes of predators or prey
 - Increased availability of prey for predators
 - Competitive release
 - Regime shift toward condition favouring large fish species
- Increased fishing pressure on predatory fish or their prey
 - Distributional changes of predators and/or prey
 - Reduced availability of prey
 - Competitive exclusion
 - Regime shift toward condition favouring small pelagic fish
- Early stage of exploitation of fishery
 - Shift in fishing effort from lower to higher trophic level species
 - Decrease in productivity at lower trophic levels
 - Targetting of larger individuals at higher tls within a species.
 - Regime shift toward condition favouring large fish species
- Fishing effort shifted to lower trophic levels due to:
 - Decrease in biomass of higher trophic levels (Fishing down the foodweb)
 - Preferential shift to more productive lower trophic levels (fishing through the foodweb)
 - Decrease in size composition of commercial

competition propagated through the food web.as a result of species changes due to fishing.

- Poor reproductive success due to unfavourable environmental conditions, leading to low recruitment.
- Increased predation, increase competition, or depleted food supply i.e. Unfavourable trophic interactions

Rochet and Trenkel, 2005

Pauly *et al.*, 1998a,1998b; Caddy *et al.* 1998; Essington *et al.*, 2006

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		<ul style="list-style-type: none"> species and consequent decrease in trophic feeding level ○ Increase in availability or market value of commercially valuable invertebrates ○ Regime shift toward condition favouring small pelagic fish
		•
	Inverse fishing pressure	
	This indicator is a proportion, and change will be a result of change in landed catch, biomass or both.	May be due to an decrease in catch, a increase in biomass or both
	Furthermore, if both catch and biomass change in the same direction, exploitation rate may not change	<p>Decrease in landed catch due to:</p> <ul style="list-style-type: none"> • Decrease in catch of one or more species • Change in management regulations (more restrictive) • Decreased productivity • One or more stocks fully or overexploited • Loss of larger fish from the population(s) being exploited • Species or stocks becoming less aggregated • Socio-economic disincentives (e.g. Loss of market; increased fuel costs)
		<p>Increase in landed catch due to:</p> <ul style="list-style-type: none"> • Increase in catch of one or more species • Change in management regulations (less restrictive) • Increased productivity, few or no restrictions on catch • Increase in fishing efficiency or effort • Expansion of fishing into new areas • Newly exploited stock(s) • Species/stocks becoming more aggregated • Improved fish-finding equipment • Socio-economic incentives (e.g., new markets; lower fuel costs, subsidies....)
		<p>Increase in Biomass See Biomass row above in same table</p>
		<p>Decrease in Biomass See Biomass row above in same table</p>

Table 4. Expected combination of trends of ecosystem indicators that specify a given effect on the ecosystem. RP = Resource Potential, EF = Ecosystem structure and Functioning, CB=Conservation of Biodiversity, SR = Ecosystem Stability and Resistance to Perturbations,

Effect on the ecosystem	fish size	life span	biomass	% predators	trophic level	Inverse fishing pressure	Conservation Goals Affected
Fishing Down the Food Web	↓→	↓→	↓	↓→	↓	↓→	EF, RP (SR, CB)
Loss of diversity and stability	↓→	↓	↓→	↓	↓→	↓→	SR, CB (EF, RP)
Loss of size structure ¹	↓ or ↑	↓ or ↑	—	↓ or ↑	↓ or ↑	—	EF (RP, SR)
Increased Productivity or recruitment	↓	—	↑	—	—	—	EF, RP

↓ = significant negative trend, ↑ = significant positive trend, → = no trend, — = no expected trend; a large arrow means that the indicator must be included in the trend combination; arrows in grey indicate other indicators and the direction of their slope that would be consistent with the effect on the ecosystem. For more details, please see the text.

¹ All arrows should either point up or down.

Table 5. Results of the assessment of initial state of the nineteen ecosystems evaluated in this paper. An ecosystem was defined as un-impacted if all of the following criteria applied at the beginning of the time-series: (i) the proportion of under/moderately exploited stocks = 1 (ii) there were no industrialized or destructive fishing practices and (iii) there were no documented community or ecosystem impacts caused by fishing, see text for further details.

	Year for which initial state is described	proportion of under or moderately exploited stocks	no industrialized or destructive fishing practices?	no documented community/ecosystem impacts caused by fishing?	Degree of impact (# Criteria not met)	Initial State
Baltic Sea	1974	0.33			3	Impacted
Barents Sea	1984	0.17			3	Impacted
Bay Biscay	1992	0.52			3	Impacted
Bering Sea, Aleutian Islands	1978	<1				Impacted
Eastern Scotian shelf	1970	<1				Impacted
Guinean EEZ	1985	0.8		√	2	Impacted
Irish Sea	1973	<0.33				Impacted
Mauritania	1982	0.5		√	3	Impacted
North Central Adriatic Sea	1975	<1			3	Impacted
North East US	1963	0.36			3	Impacted
North Sea	1983	0.09			3	Impacted
Northern Humboldt	1983	0.75			3	Impacted
Portuguese EEZ	1981	0.5		√	0	impacted
Sahara Coastal - Morocco	1993	< 1			3	Impacted
Senegalese EEZ	1981	0.4		√	2	Impacted
Southern Benguela	1980	0.57			3	Impacted
Southern Catalan Sea	1978	<1			3	Impacted
Southern Humboldt	1993	< 0.5			3	Impacted
West coast Canada	1980	0.57			3	Impacted

Table 6. Trends in ecosystem indicators over the entire length of their time series (to 2005) for the nineteen ecosystems evaluated in this paper using generalised least squares and autoregressive error. Significance levels are shown by bold ($\alpha=0.05$) and italics ($\alpha=0.1$) font.

Ecosystem Indicator	Fish size	Life span	Biomass	% predators	Trophic level	Inv. fishing pressure	Length of time series (years)
Conservation Goal Ecosystem	EF	SR	RP	CB	EF	RP	
1 Baltic Sea	-0.084	<i>-0.057</i>	-0.078	-0.043	-0.047	-0.047	32
2 Barents Sea	0.072	0.053	<i>0.087</i>	-0.020	0.081	0.070	22
3 Bay of Biscay	0.063	-	-0.129	0.052	0.018	-0.027	12
4 Bering Sea, Aleutian Islands	0.089	0.001	0.022	0.023	0.038	-0.024	28 ¹
5 Eastern Scotian shelf	-0.071	-0.050	-0.041	-0.021	-0.045	0.078	36
6 Guinea ZEE	0.106	0.039	-0.010	0.101	0.093	-0.124	21
7 Irish Sea	-0.118	<i>0.110</i>	0.043	0.046	-0.091	0.079	33 ²
8 Mauritania	<i>0.075</i>	0.044	0.045	0.010	-0.144	-0.157	24 ³
9 North Central Adriatic Sea	-0.111	0.090	-0.069	<i>0.061</i>	-0.033	-0.070	30 ⁴
10 North East US	-0.044	0.058	0.056	-0.050	0.012	-0.051	43
11 North Sea	-0.130	0.010	0.082	0.038	-0.065	0.145	23
12 Northern Humboldt	-	-0.119	<i>0.075</i>	0.085	0.133	-0.079	23
13 Portuguese ZEE	0.000	0.033	-0.068	0.032	<i>0.065</i>	0.040	25
14 Sahara Coastal - Morocco	-	0.003	0.366	-0.230	-0.310	0.321	8
15 Senegalese ZEE	-0.054	0.039	-0.132	0.041	<i>-0.082</i>	-0.141	20
16 Southern Benguela	0.020	-0.078	0.167	-0.043	-0.080	0.158	20
17 Southern Catalan Sea	-0.122	-0.089	0.051	-0.046	0.025	0.059	26
18 Southern Humboldt	-0.055	0.066	-0.193	0.058	-0.196	0.063	12
19 West Coast Canada	-	0.024	0.064	0.038	0.102	0.020	26
Number of significant negative trends	7	3	5	1	7	6	
Number of significant positive trends	2	2	7	3	3	7	

¹ Time series for fish size was 24 years long.

² Time series for fish size and lifespan was 16 years long.

³ Time series for fish size was 20 years long and trophic level and Inverse fishing pressure was 16 years long.

⁴ Time series for fish size, life span and % predators was 24 years long.

Table 7. The probabilities of ending up at the end points of the decision tree under the null hypothesis of a stable neutral community, under six alternative orders of ecosystem indicators and using Decision Rule 1 (see below for orders).

	Order 1	Order 2	Order 3	Order 4	Order 5	Order 6	Average	Range
Baltic Sea	0.025	0.024	0.025	0.021	0.023	0.024	0.024	0.004
Barents Sea	0.019	0.020	0.020	0.020	0.020	0.020	0.020	0.001
Bay of Biscay	0.735	0.735	0.735	0.735	0.735	0.735	0.735	0.000
Bering Sea	0.019	0.019	0.019	0.019	0.019	0.019	0.019	0.000
Eastern Scotian shelf	0.025	0.025	0.023	0.024	0.025	0.001	0.020	0.024
Guinea ZEE	0.000	0.000	0.000	0.000	0.001	0.025	0.004	0.025
Irish Sea	0.025	0.000	0.000	0.001	0.025	0.001	0.009	0.025
Mauritania	0.020	0.021	0.023	0.024	0.025	0.025	0.023	0.005
North Central Adriatic Sea	0.025	0.001	0.025	0.023	0.024	0.025	0.020	0.024
North East US	0.025	0.000	0.001	0.025	0.024	0.025	0.017	0.025
North Sea	0.025	0.001	0.001	0.024	0.025	0.001	0.013	0.024
Northern Humboldt	0.024	0.025	0.000	0.000	0.001	0.025	0.012	0.025
Portuguese ZEE	0.023	0.024	0.025	0.019	0.020	0.021	0.022	0
Sahara Coastal - Morocco	0.001	0.001	0.001	0.024	0.025	0.001	0.008	0.024
Senegalese ZEE	0.023	0.024	0.025	0.023	0.024	0.025	0.024	0.002
Southern Benguela	0.001	0.001	0.001	0.024	0.025	0.000	0.008	0.025
Southern Catalan Sea	0.025	0.025	0.000	0.001	0.001	0.001	0.009	0.025
Southern Humboldt	0.023	0.024	0.025	0.024	0.025	0.021	0.024	0.004
West Coast Canada	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0

Order 1 = fish size, life span, biomass, % predators, trophic level, inverse fishing pressure

Order 2 = life span, biomass, % predators, trophic level, inverse fishing pressure, fish size

Order 3 = biomass, % predators, trophic level, inverse fishing pressure, fish size, life span

Order 4 = % predators, trophic level, inverse fishing pressure, fish size, life span, biomass

Order 5 = trophic level, inverse fishing pressure, fish size, life span, biomass, % predators

Order 6 = inverse fishing pressure, fish size, life span, biomass, % predators, trophic level

1 Table 8. Potential effects on the ecosystem during the entire time series (to 2005), under
 2 Decision Rule 1. FDFW = Fishing down the food web, DS = loss of diversity and stability,
 3 SS = size selective effects of fishing, PR = increased productivity or recruitment. Only
 4 ecosystems with observed effects are shown. Shaded cells indicate an effect; stippled cells
 5 indicate an effect where one or more of the significant trends were significant at the 10%
 6 level.
 7

	FDFW	SR	SS	PR
Baltic Sea				
Barents Sea				
Bay of Biscay				
Bering Sea				
Eastern Scotian shelf			Shaded	
Guinea ZEE			Shaded	
Irish Sea				Shaded
Mauritania				
North Central Adriatic Sea				
North East US				Shaded
North Sea			Shaded	Shaded
Northern Humboldt				
Portuguese ZEE	Shaded			
Sahara Coastal - Morocco	Shaded			
Senegalese ZEE	Shaded			
Southern Benguela				
Southern Catalan Sea			Shaded	Shaded
Southern Humboldt	Shaded			
West Coast Canada	Shaded			

8 Table 9. Comparison of decision tree diagnoses for three time windows, pre-1980s-2005,
 9 1980-2005 and 1996-2005, under Decision Rule 1. Shaded cells indicated diagnosis. I
 10 =improving, Not I = not improving, D = deteriorating.
 11

Start of time series	Ecosystem	Diagnosis	Pre-1980s-2005			1980-2005			1996-2005		
			Not			Not			Not		
			I	I	D	I	I	D	I	I	D
pre-1980s	Baltic Sea										
	Bering Sea, Aleutian Islands										
	Eastern Scotian shelf										
	Irish Sea										
	North Central Adriatic Sea										
	North East US										
	Southern Catalan Sea										
1980s	Barents Sea										
	Guinea ZEE										
	Mauritania										
	North Sea										
	Northern Humboldt										
	Portuguese ZEE										
	Senegalese ZEE										
	Southern Benguela										
	West Coast Canada										
1990s	Bay of Biscay										
	Sahara Coastal - Morocco										
	Southern Humboldt										

12

13 Table 10. Comparison of potential effects on the ecosystem during three time windows, pre-1980s-2005, 1980-2005 and 1996-2005,
 14 under Decision Rule 1. FDFW = Fishing down the food web, DS = loss of diversity and stability, SS = loss of size structure, PR=
 15 increased productivity or recruitment. Only ecosystems with observed effects are shown. Shaded cells indicate an effect; stippled cells
 16 indicate an effect where one or more of the significant trends were significant at the 10% level.
 17
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Start of time series	Ecosystem	Pre-1980s-2005				1980-2005				1996-2005			
		FDFW	DS	SS	PR	FDFW	DS	SS	PR	FDFW	DS	SS	PR
pre-1980s	Baltic Sea						Shaded	Shaded					
	Bering Sea, Aleutian Islands							Shaded					
	Eastern Scotian shelf			Shaded		Shaded							
	Irish Sea				Shaded				Shaded				
	North East US				Shaded								
	Southern Catalan Sea			Shaded	Shaded								
1980s	Southern Benguela										Shaded		
	North Sea							Shaded	Shaded				
	Guinea ZEE							Shaded					
	Portuguese ZEE							Stippled					
1990s	Southern Humboldt						Shaded						

19 Figure Captions

20

21 Figure 1. Decision Tree Diagnoses for the nineteen ecosystems (a) Improving, (b) Not-
22 Improving and (c) and (d) Deteriorating. The first node of the decision tree is Fish
23 size. If this is decreasing, Decision Rule 1 is invoked and the decision tree is
24 terminated (1c): if it is increasing or there is no trend, then the decision tree moves
25 down to the next node (indicator), and same process is followed until either
26 Decision Rule 1 terminates the decision tree, or the last node, inverse fishing
27 pressure is reached. The 3 arrows at each node indicate the 3 possible directions of
28 the indicator trend, decreasing (red), increasing (green) or no trend (striped); the
29 thick black arrow indicates the observed trend. Thickness of branches connecting
30 nodes represent the probability under the null hypothesis of a stable neutral
31 community associated with that path: thick solid line (no change), $p=0.95$, thin
32 dashed line (significant trend), $p=0.025$. The overall probability of the end-point of
33 the decision tree for each ecosystem is the product of the probabilities associated
34 with each node until the decision tree is terminated. NB. Note in 1(a) white nodes
35 indicates missing data, where it is assumed $p=0.95$. See text for further details.

36

37 Figure 2. Decision Tree results for Guinea EEZ using Decision Rule 1, but under different
38 indicator orders (see Table 3). In each case, Guinea EEZ is diagnosed as
39 deteriorating, but the end probabilities associated with each result differ. For
40 “Order 1” see Figure 1(d). See text and Figure 1 for more details.

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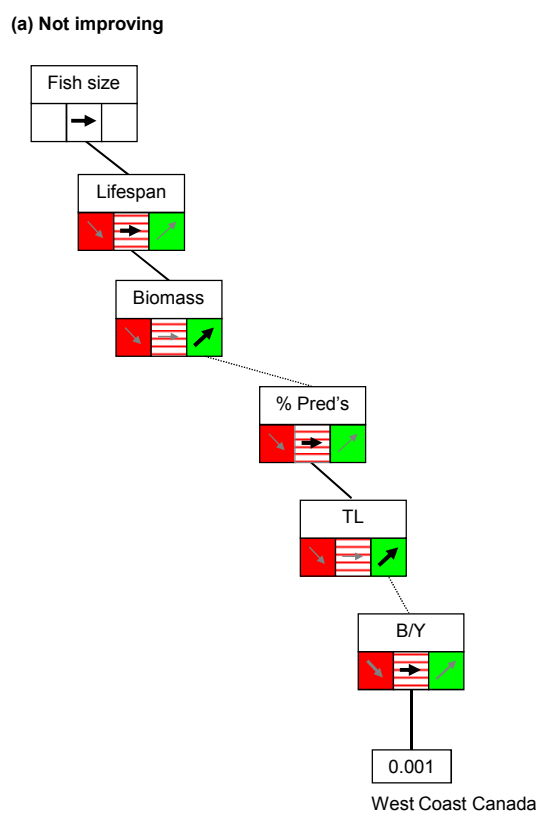
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41 Figure 1.
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Figure 1a

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(b) Not improving

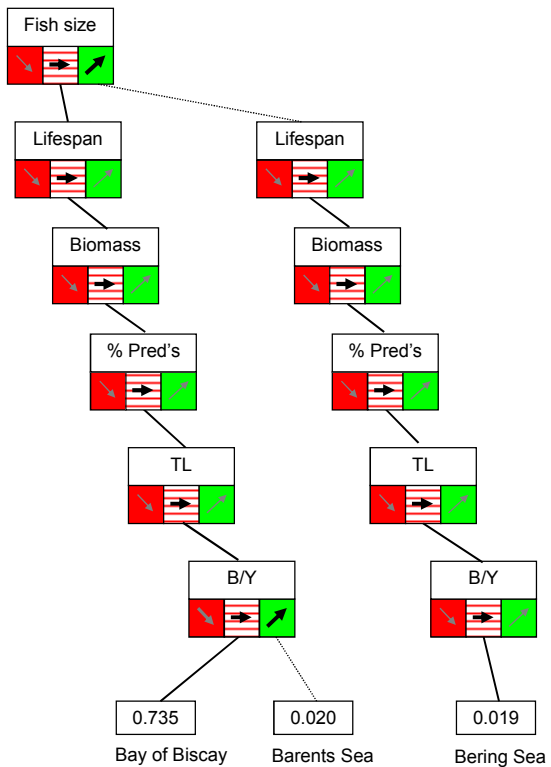


Figure 1b

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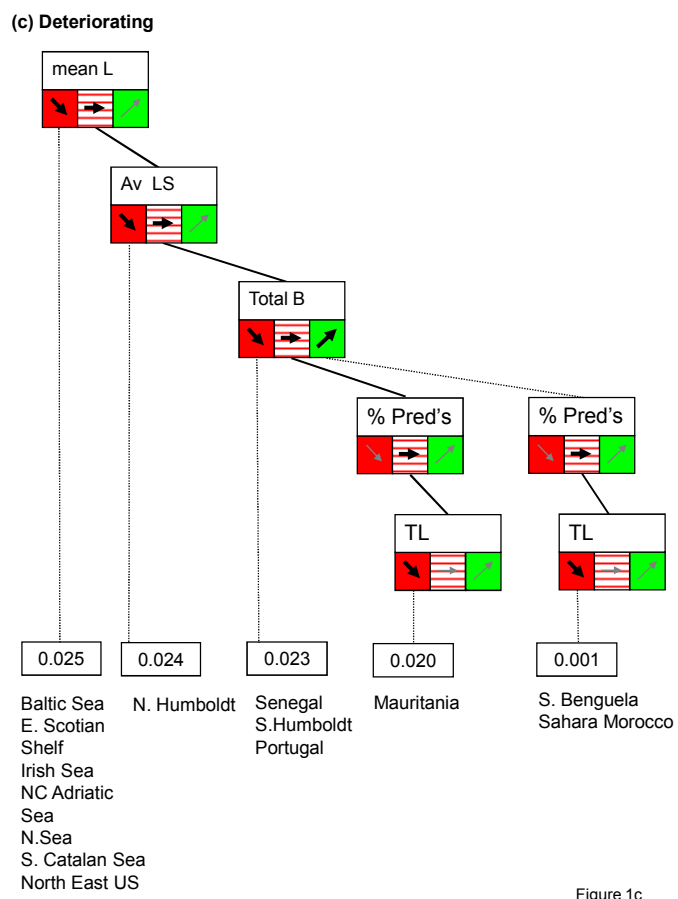


Figure 1c

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(d) Deteriorating

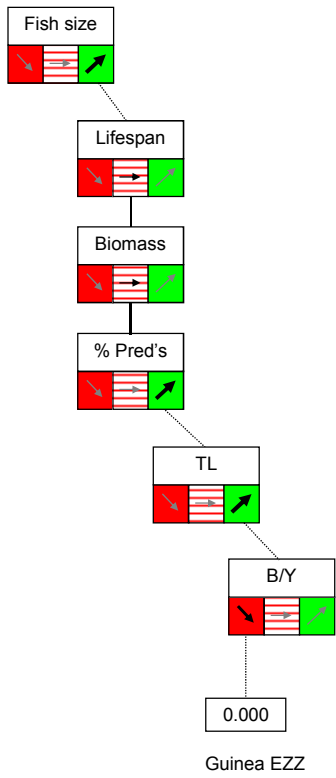
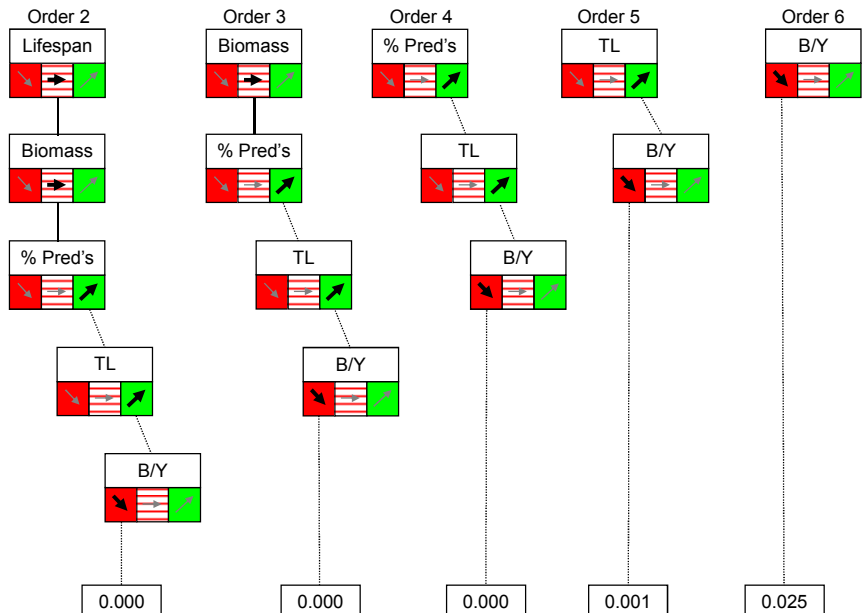


Figure 1d

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47 Figure 2.



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3 49 Appendix 1. Detailed Results for Initial State.
4 50 I have not been able to assemble this yet – to be added if editor and reviewers agree.
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For Review Only

Appendix 2. Comparison of trends in ecosystem indicators for three time windows, pre-1980s-2005, 1980-2005 and 1996-2005, under Decision Rule 1, with decision tree diagnosis and the probabilities associated with each result. Green cell = significant positive trend, orange cell = significant negative trend, no shading = no trend, grey cells indicate no data. Note it was assumed that missing indicators did not to change and were assigned a probability of 0.95. Overall probability is the product of the probabilities for each indicator until the decision tree is terminated by Decision Rule 1.

Ecosystem	EF mLG	SR mLS	RP Bs	CB BpBs	EF TLc	RP 1/LtBs	Diagnosis	Probability
Pre 1980s-2005								
Baltic Sea	0.025	0.95	0.025	0.95	0.95	0.95	D	0.025
Bering Sea, Aleutian Islands	0.025	0.95	0.95	0.95	0.95	0.95	Not I	0.019
Eastern Scotian shelf	0.025	0.025	0.95	0.95	0.025	0.025	D	0.025
Irish Sea	0.025	0.95	0.025	0.025	0.025	0.025	D	0.025
North Central Adriatic Sea	0.025	0.025	0.025	0.95	0.95	0.025	D	0.025
North East US	0.025	0.025	0.025	0.025	0.95	0.025	D	0.025
Southern Catalan Sea	0.025	0.025	0.95	0.95	0.95	0.025	D	0.025
1980-2005								
Baltic Sea	0.025	0.025	0.95	0.025	0.025	0.95	D	0.025
Bay Biscay	0.95	0.95	0.95	0.95	0.95	0.95	Not I	0.735
Barents Sea	0.95	0.95	0.95	0.95	0.95	0.025	Not I	0.019
Bering Sea, Aleutian Islands	0.025	0.025	0.95	0.95	0.95	0.95	I	0.001
Eastern Scotian shelf	0.025	0.95	0.025	0.95	0.025	0.025	D	0.025
Guinea ZEE	0.025	0.95	0.95	0.025	0.025	0.025	D	0.025
Irish Sea	0.025	0.95	0.025	0.95	0.025	0.025	D	0.025
Mauritania	0.95	0.95	0.95	0.95	0.025	0.025	D	0.950
North Central Adriatic Sea	0.025	0.025	0.025	0.95	0.95	0.025	D	0.025
North East US	0.95	0.025	0.025	0.95	0.95	0.95	I	0.001
North Sea	0.025	0.95	0.025	0.95	0.025	0.025	D	0.025
Northern Humboldt	0.95	0.025	0.95	0.025	0.025	0.025	D	0.024
Portuguese ZEE	0.95	0.95	0.025	0.95	0.95	0.95	D	0.735
Sahara Coastal - Morocco	0.95	0.95	0.025	0.95	0.025	0.025	D	0.950
Senegalese ZEE	0.95	0.95	0.025	0.95	0.95	0.025	D	0.950
Southern Benguela	0.95	0.95	0.025	0.95	0.025	0.025	D	0.950
Southern Catalan Sea	0.025	0.95	0.95	0.95	0.95	0.95	D	0.025
Southern Humboldt	0.95	0.95	0.025	0.95	0.025	0.95	D	0.950
West Coast Canada	0.95	0.95	0.025	0.95	0.025	0.95	I	0.001
1996-2005								
Baltic Sea	0.95	0.95	0.025	0.95	0.95	0.95	D	0.023
Barents Sea	0.95	0.95	0.95	0.95	0.95	0.95	Not I	0.774
Bay Biscay	0.95	0.95	0.95	0.95	0.95	0.95	Not I	0.774
Bering Sea	0.95	0.95	0.95	0.95	0.95	0.95	Not I	0.774

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4	Eastern Scotian Shelf	0.95	0.95	0.95	0.95	0.95	0.95	Not I	0.774
5	Guinea EEZ	0.95	0.025	0.95	0.95	0.95	0.95	Not I	0.019
6	Irish Sea	0.95	0.025	0.95	0.95	0.025	0.95	D	0.001
7	Mauritania	0.95	0.95	0.95	0.95	0.95	0.95	Not I	0.774
8	North Central Adriatic Sea	0.95	0.95	0.95	0.95	0.95	0.025	D	0.774
9	North East US	0.95	0.95	0.95	0.95	0.95	0.95	Not I	0.774
10	North Sea	0.95	0.95	0.95	0.95	0.95	0.025	Not I	0.774
11	Northern Humboldt	0.95	0.025	0.25	0.95	0.95	0.95	D	0.024
12	Portuguese ZEE	0.025	0.95	0.95	0.95	0.95	0.95	Not I	0.020
13	Sahara Coastal -								
14	Morocco	0.95	0.95	0.025	0.95	0.025	0.025	D	0.001
15	Senegalese ZEE	0.95	0.95	0.25	0.95	0.95	0.95	D	0.774
16	Southern Benguela	0.95	0.025	0.025	0.025	0.025	0.95	D	0.950
17	Southern Catalan Sea	0.95	0.95	0.95	0.025	0.025	0.95	I	0.001
18	Southern Humboldt	0.025	0.95	0.95	0.95	0.025	0.95	D	0.001
19	West Coast Canada	0.95	0.95	0.025	0.95	0.95	0.95	Not I	0.020

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