

Predicting growth rates and growth boundary of *Listeria monocytogenes* — An international validation study with focus on processed and ready-to-eat meat and seafood

Ole Mejlholm^a, Annemarie Gunvig^b, Claus Borggaard^b, Jesper Blom-Hanssen^b, Lyndal Mellefont^c, Tom Ross^c, Françoise Leroi^d, Tony Else^e, Diana Visser^e and Paw Dalgaard^{a,*}

^a Seafood and Predictive Microbiology, Division of Seafood Research, National Food Institute (DTU Food), Technical University of Denmark, Søtofts Plads, Building 221, DK-2800, Kgs. Lyngby, Denmark

^b Danish Meat Research Institute (DMRI), Roskilde, Denmark

^c Tasmanian Institute of Agricultural Research (TIAR), University of Tasmania, Hobart, Tasmania, Australia

^d Département des Sciences et Techniques Alimentaires Marines, Ifremer, Nantes, France

^e PURAC biochem b.v., Gorinchem, The Netherlands

* Corresponding author : Paw Dalgaard, Tel.: + 45 45252566; fax: + 45 45884774, email address : pad@aqu.dtu.dk

Abstract:

The performance of six predictive models for *Listeria monocytogenes* was evaluated using 1014 growth responses of the pathogen in meat, seafood, poultry and dairy products. The performance of the growth models was closely related to their complexity i.e. the number of environmental parameters they take into account. The most complex model included the effect of nine environmental parameters and it performed better than the other less complex models both for prediction of maximum specific growth rates (μ_{\max} values) and for the growth boundary of *L. monocytogenes*. For this model bias and accuracy factors for growth rate predictions were 1.0 and 1.5, respectively, and 89% of the growth/no-growth responses were correctly predicted. The performance of three other models, including the effect of five to seven environmental parameters, was considered acceptable with bias factors of 1.2 to 1.3. These models all included the effect of acetic acid/diacetate and lactic acid, one of the models also included the effect of CO₂ and nitrite but none of these models included the effect of smoke components. Less complex models that did not include the effect of acetic acid/diacetate and lactic acid were unable to accurately predict growth responses of *L. monocytogenes* in the wide range of food evaluated in the present study. When complexity of *L. monocytogenes* growth models matches the complexity of foods of interest, i.e. the number of hurdles to microbial growth, then predicted growth responses of the pathogen can be accurate. The successfully validated models are useful for assessment and management of *L. monocytogenes* in processed and ready-to-eat (RTE) foods.

Keywords: Predictive models; Bias and accuracy factors; Correct prediction percentage; Growth/no-growth predictions; Psi (ψ) value

49 1. Introduction

50 Evaluation of predictive microbiology growth models includes a comparison of predicted
51 growth responses with those observed in food. Typically, food data are obtained from challenge
52 tests with inoculated products but data from naturally contaminated food are important and should
53 be used when they can be obtained. These evaluations and the resulting indices of performance (e.g.
54 bias and accuracy factors) are important to determine if a predictive model can be used with
55 confidence. In addition, model evaluations help identify the range of products, product
56 characteristics and storage conditions where predictions are sufficiently accurate to be useful
57 (Augustin et al., 2005; Dalgaard and Jørgensen, 1998; Ross, 1996; te Giffel and Zwietering, 1999).
58 For *Listeria monocytogenes*, the EU regulation EC 2073/2005 specifically indicates that predictive
59 mathematical modelling can be used to document control of growth in ready-to-eat food (EC,
60 2005). The critical concentration is 100 CFU/g and food business operators must document the
61 growth control of *L. monocytogenes* depending on measured product characteristics, different
62 reasonably foreseeable storage conditions and within the shelf-life of products (EC, 2005, Annex
63 II). Similar criteria were recently adopted by the Codex Alimentarius Commission (FAO/WHO,
64 2009). Clearly, models to accurately predict the combined effect of product characteristics
65 (including antilisterial food additives) and storage conditions on growth and the growth boundary of
66 *L. monocytogenes* hold great practical interest for the industry and for food inspection authorities.

67 Numerous models to predict the growth rate and the growth boundaries of *L. monocytogenes* in
68 response to environmental conditions relevant to food are available in the scientific literature and
69 several models have been included in user-friendly application software (McMeekin et al., 2006;
70 Ross and Dalgaard, 2004; Tamplin 2009). The complexity of these models differs markedly. Some
71 models include the effect of the storage temperature only (e.g. Delignette-Muller et al., 2006)
72 whereas others take into account the effect of as many as 15 different environmental parameters

73 (Augustin and Carlier, 2000). *L. monocytogenes* growth models including the effect of temperature,
74 pH and NaCl/a_w have been evaluated and some were successfully validated for cheese, meat and
75 seafood products. However, the performance of models with a similar degree of complexity differed
76 markedly. Those evaluation studies also suggested that more complex models were needed to
77 accurately predict growth in cured, smoked and modified atmosphere packed food as well as to
78 predict the growth boundary of *L. monocytogenes* (Augustin et al., 2005; Dalgaard and Jørgensen,
79 1998; Mejlholm and Dalgaard, 2007a; te Giffel and Zwietering, 1999). The degree of model
80 complexity required to obtain accurate predictions remains a topic of controversy and discussion.

81 To reduce or prevent the growth of *L. monocytogenes* in food, lactic acid (naturally occurring or
82 added as acid or as lactate) in combination with added acetic acid or diacetate has been successful
83 for various meat and seafood products. When new hurdle like organic acids are added to a food
84 product it becomes more complex, however, the inhibiting effect of these organic acids depends on
85 other product characteristics and storage conditions. Importantly, several mathematical models have
86 been developed to predict the combined effect of acetic acid/diacetate and lactic acid/lactate on
87 growth and on the growth boundary of *L. monocytogenes* (Augustin and Carlier, 2000; Gunvig et
88 al., 2007; Hwang and Tamplin, 2007; Legan et al., 2004; Le Marc et al., 2002; Mejlholm and
89 Dalgaard, 2007a; Mejlholm and Dalgaard, 2009; Nerbrink et al., 1999; Pradhan et al. 2009;
90 PURAC, 2007; Seman et al. 2002; Skandamis et al., 2007; Zuliani et al., 2007). These models differ
91 in their structure (e.g. artificial neural network or cardinal parameter type models), complexity (the
92 number of environmental parameters that they take into account when growth rates and/or growth
93 boundaries are predicted) and in the ways they have been developed with data from liquid
94 laboratory media or food. Some models have been evaluated and successfully validated for specific
95 types of food and these models may contribute to management of the risk of *L. monocytogenes* in
96 these foods as indicated in the EU regulation (EC, 2005) and by the USDA FSIS compliance

97 guidance documents (FSIS, 2008). Nevertheless, the performance of these complex models is likely
98 to differ in the same way as observed for simpler models including the effect of temperature, pH
99 and NaCl/a_w. Therefore, a comparison of the performance of complex models including the
100 combined effect of acetic acid/diacetate and lactic acid for growth rates and the growth boundary of
101 *L. monocytogenes* in different foods is relevant and timely.

102 The objective of the present study was to evaluate the performance of existing *L.*
103 *monocytogenes* growth rate and growth boundary models with different degrees of complexity and
104 for a wide range of foods. Six models were evaluated that include the effect of between one and
105 nine environmental parameters. Growth responses and environmental parameters were collected for
106 1014 well characterized meat, seafood, poultry and non-fermented dairy products. These growth
107 responses were determined in many different laboratories and include 114 different isolates of *L.*
108 *monocytogenes* and more than 20 different types of food products.

109

110 **2. Materials and methods**

111 *2.1 Predictive models*

112 The performance of six predictive models, including five cardinal parameter or square-root
113 type models and one artificial neural network model, for growth of *L. monocytogenes* was evaluated
114 in the present study (Table 1). Models were evaluated by comparison of observed and predicted
115 maximum specific growth rates (μ_{max} -values) and growth/no-growth responses. In accordance with
116 FAO/WHO (2009) an increase in *L. monocytogenes* concentrations of ≥ 0.5 log CFU/g within the
117 experimental time was defined as “growth”. For all the evaluated models growth was predicted
118 without inclusion of a lag phase although several of the models also have the ability to predict
119 growth when a lag phase is taken into account.

120 The number of environmental parameters included in the models evaluated varied between
121 one and nine. The least complex models did not take into account the effect of acetic acid/diacetate
122 and lactic acid, although these are important preservatives added to some of the products considered
123 in this evaluation of models. The simple models were studied to determine the difference in model
124 performance when compared to the more complex models including the combined effect of these
125 organic acids and other product characteristics (Tables 2 and 3).

126 The square-root model of Delignette-Muller et al. (2006) included the effect of temperature as
127 the only environmental parameter (Eqn. 1). This model was developed as part of a quantitative risk
128 assessment of *L. monocytogenes* in French cold-smoked salmon (Pouillot et al. 2007). The effect of
129 environmental parameters other than temperature (e.g. pH, naturally occurring lactic acid, pH,
130 smoke components and water activity) was modelled as variability and taken into account by using
131 a Bayesian approach.

$$132 \quad \mu_{\max} = \begin{cases} 0, & T \leq T_{\min} \\ \mu_{ref} \cdot \frac{(T - T_{\min})^2}{(T_{ref} - T_{\min})^2}, & T > T_{\min} \end{cases} \quad (1)$$

133 where μ_{ref} is equal to the maximum specific growth rate (μ_{max}) at the reference temperature (T_{ref}) of
134 25 °C; T is the temperature (°C); T_{min} is the theoretical minimum temperature (°C) preventing
135 growth of *L. monocytogenes*. Delignette-Muller et al. (2006) estimated μ_{ref} and T_{min} values of 6.24
136 1/d (0.26 1/h) and -2.86 °C, respectively, from growth of *L. monocytogenes* in cold-smoked salmon.

137 The cardinal parameter model of Augustin et al. (2005) included the effect of temperature,
138 a_w , pH, smoke components (measured as the concentration of phenol), nitrite, CO₂ and interactions
139 between these environmental parameters (Eqn. 2). This model was developed to predict growth and
140 the growth boundary of *L. monocytogenes* in dairy, meat and seafood products. Augustin et al.
141 (2005) estimated optimal specific growth rates (μ_{opt} values) for each of the product categories by
142 fitting their model to growth data obtained from dairy (n = 340), meat (n = 324) and seafood (n =

143 80) products. In the present study we used their μ_{opt} values of 1.168, 0.565, 1.168 and 0.742/h for
 144 meat, seafood, poultry and dairy products, respectively (Augustin et al. 2005).

$$145 \quad \mu_{max} = \mu_{opt} \cdot CM_2(T) \cdot CM_1(pH) \cdot SR(a_w) \cdot SR(nit) \cdot SR(phe) \cdot SR(CO_2) \cdot \xi \quad (2)$$

146 where a_w is the water activity; nit is the concentration (mM) of undissociated sodium nitrite; phe is
 147 the concentration (ppm) of smoke components (phenol); CO_2 is the CO_2 proportion; and ξ is the
 148 effect of interactions between the environmental parameters. $CM_n(X)$ is defined by Eqn. 3; $SR(a_w)$
 149 by Eqn. 4; and $SR(nit)$, $SR(phe)$ and $SR(CO_2)$ by Eqn. 5. Abbreviations CM_n and SR were included
 150 as used by Augustin et al. (2005).

$$151 \quad CM_n(X) = \begin{cases} 0 & , X \leq X_{min} \\ \frac{(X - X_{max}) \cdot (X - X_{min})^n}{(X_{opt} - X_{min})^{n-1} \cdot [(X_{opt} - X_{min}) \cdot (X - X_{opt}) - (X_{opt} - X_{max}) \cdot ((n-1) \cdot X_{opt} + X_{min} - nX)]} & , X_{min} < X < X_{max} \\ 0 & , X \geq X_{max} \end{cases} \quad (3)$$

152 where X is temperature or pH; X_{min} , X_{opt} and X_{max} are the theoretical minimal, optimal and maximal
 153 values of X for growth of *L. monocytogenes*.

$$154 \quad SR(a_w) = \begin{cases} 0 & , a_w \leq a_{w min} \\ \left(\frac{a_w - a_{w min}}{a_{w opt} - a_{w min}} \right) & , a_{w min} < a_w < a_{w opt} \\ 0 & , a_{w opt} \leq a_w \leq a_{w max} \end{cases} \quad (4)$$

155 where $a_{w min}$, $a_{w opt}$ and $a_{w max}$ are the theoretical minimal, optimal and maximal a_w values for growth
 156 of *L. monocytogenes*.

$$157 \quad SR(c) = \begin{cases} 1 - \frac{c}{MIC} & , c < MIC \\ 0 & , c \geq MIC \end{cases} \quad (5)$$

158 where MIC is the minimal inhibitory concentration of undissociated sodium nitrite (mM), phenol
 159 (ppm) or CO_2 (proportion) against *L. monocytogenes*; and c is the concentration of undissociated
 160 sodium nitrite (mM), the concentration of phenol (ppm) or the proportion of CO_2 . The effect of
 161 interactions between the environmental parameters (ξ) in Eqn. 2 was modelled using the approach

162 of Le Marc et al. (2002). The value of ξ was calculated according to Eqn. 6, with contributions from
 163 the different environmental parameters as shown in Eqn. 7 and Eqn. 8. In Eqn. 8, the ψ -value is
 164 determined from sets of environmental parameters (e_i), and it describes how far specific
 165 combinations of product characteristics and storage conditions are from the predicted growth
 166 boundary ($\psi = 1.0$) (Le Marc et al., 2002).

$$167 \quad \xi = \begin{cases} 1 & , \psi \leq 0.5 \\ 2(1-\psi) & , 0.5 < \psi < 1 \\ 0 & , \psi \geq 1 \end{cases} \quad (6)$$

168 where ξ is the term modelling the effect of interactions between the environmental parameters on
 169 μ_{max} .

$$170 \quad \begin{aligned} \varphi(T) &= \left(\frac{T_{opt} - T}{T_{opt} - T_{min}} \right)^3 \\ \varphi(pH) &= \left(\frac{pH_{opt} - pH}{pH_{opt} - pH_{min}} \right)^3 \\ \varphi(a_w) &= \left(\frac{a_{w\,opt} - a_w}{a_{w\,opt} - a_{w\,min}} \right)^3 \\ \varphi(nit, phe, CO_2) &= 1 - SR(nit) \cdot SR(phe) \cdot SR(CO_2) \end{aligned} \quad (7)$$

171

$$172 \quad \psi = \sum_i \frac{\varphi_{e_i}}{2 \prod_{j \neq i} (1 - \varphi_{e_j})} \quad (8)$$

173 Cardinal parameter values for temperature, a_w and pH as well as minimal inhibitory concentrations
 174 (MICs) for phenol, nitrite and CO_2 were determined from growth data obtained in liquid laboratory
 175 media (Augustin and Carlier, 2000; Augustin et al., 2005). In the present study, the following of
 176 their cardinal parameter values and MICs were used for the model of Augustin et al. (2005): $T_{min} =$
 177 $1.72 \text{ }^\circ\text{C}$, $T_{max} = 45.5 \text{ }^\circ\text{C}$, $T_{opt} = 37.0 \text{ }^\circ\text{C}$, $a_{w\,min} = 0.913$, $a_{w\,max} = 1.000$, $a_{w\,opt} = 0.997$, $pH_{min\,lactic}$

178 $acid = 4.71$, $pH_{max} = 9.61$, $pH_{opt} = 7.10$, MIC (phenol) = 31.9 ppm, MIC (nitrite) = 25 mM
 179 undissociated nitrite and MIC (CO₂) = 3.04 (corresponding to a partial pressure of CO₂ above
 180 atmospheric pressure).

181 The cardinal parameter model of Zuliani et al. (2007) included the effect of temperature, a_w ,
 182 pH, acetic acid, lactic acid and interactions between these environmental parameters (Eqn. 9). This
 183 model was developed to predict growth and the growth boundary of *L. monocytogenes* in pork
 184 products, and its structure was derived from the model of Augustin et al. (2005). An optimal
 185 specific growth rate (μ_{opt} value) of 0.85/h was determined from growth of *L. monocytogenes* in
 186 ground pork (Zuliani et al. 2007).

$$187 \quad \mu_{max} = \mu_{opt} \cdot CM_2(T) \cdot CM_1(pH) \cdot SR_1(a_w) \cdot SR(OA) \cdot \xi \quad (9)$$

188 where OA represents the concentration (mM) of undissociated acetic or lactic acid. Zuliani et al.
 189 (2007) suggested that the antimicrobial effect of acetic and lactic acid should be modelled as the
 190 effect of the dominating undissociated acid alone (Eqn. 10 or 11).

$$191 \quad SR(AAC_U) = \begin{cases} 1 - \frac{[AAC_U]}{[MIC_{U \text{ acetic acid}}]}, & AAC_U < MIC_{U \text{ acetic acid}} \\ 0 & , AAC_U > MIC_{U \text{ acetic acid}} \end{cases} \quad (10)$$

$$192 \quad SR(LAC_U) = \begin{cases} 1 - \frac{[LAC_U]}{[MIC_{U \text{ lactic acid}}]}, & LAC_U < MIC_{U \text{ lactic acid}} \\ 0 & , LAC_U > MIC_{U \text{ lactic acid}} \end{cases} \quad (11)$$

193 where [AAC_U] and [LAC_U] are the concentrations (mM) of undissociated acetic acid and lactic
 194 acid, respectively; and [MIC_{U acetic acid}] and [MIC_{U lactic acid}] are the MICs (mM) of acetic acid and
 195 lactic acid, respectively, that prevent growth of *L. monocytogenes*. The approach suggested by Le
 196 Marc et al. (2002) was used to model the effect of interactions between the environmental
 197 parameters (ξ) in Eqn. 9. The value of ξ was calculated according to Eqn. 6, with contributions
 198 from the different environmental parameters as defined in Eqn. 7, 8 and 12.

199
$$\varphi(OA) = (1 - SR(AAC_U))^2 \text{ or } (1 - SR(LAC_U))^2 \quad (12)$$

200 Cardinal parameter values for temperature, a_w and pH were identical to the ones used for the model
201 of Augustin et al. (2005) with the exception of pH_{min} for which $pH_{min} HCl$ with a value of 4.26 was
202 used. Their MICs of 5.83 and 1.76 mM undissociated acetic acid and lactic acid, respectively, were
203 used to predict growth of *L. monocytogenes* by the model of Zuliani et al. (2007). These MIC values
204 were determined from growth of the pathogen in ground pork with different concentrations of the
205 two organic acids added.

206 The cardinal parameter model of PURAC has been developed to predict growth of *L.*
207 *monocytogenes* in cured and uncured cooked meat and poultry products and includes the effects of
208 temperature, NaCl/ a_w , pH, acetic acid/diacetate and lactic acid (added as acid or as lactate) but not
209 the effect of interaction between these parameters. In addition, the effect of a fixed concentration of
210 nitrite can be included or excluded (Table 1; Legan et al. 2004; PURAC, 2007; Seman et al. 2002).
211 In the present study we used the model including the effect of nitrite as it resulted in the best model
212 performance for all types of products. The model is available free of charge from the PURAC
213 website as the Opti.Form Listeria control model 2007 and has been used as a flexible and
214 conservative tool to determine concentrations of acetic acid/diacetate and lactic acid required to
215 control growth of *L. monocytogenes* in meat and poultry products (PURAC, 2007). Equations and
216 parameter values underlying the Opti.Form Listeria control model 2007 have not been published
217 which makes an evaluation and comparison of this and other models interesting. Predicted doubling
218 time (h) was converted to maximum specific growth rate (μ_{max} , 1/h) for calculation of bias and
219 accuracy factors (See section 2.3).

220 The artificial neural network (ANN) model from the Danish Meat Research Institute (DMRI)
221 included the effect of temperature, pH, sodium chloride in the water phase, acetic acid/diacetate and
222 lactic acid in the water phase, sodium nitrite (ppm) added to the product and CO₂ in the packaging

223 atmosphere (%) and interactions between all these environmental parameters. The model was
 224 developed using 446 growth rates for *L. monocytogenes* in different meat products (Gunvig et al.
 225 2007). The ANN contained an input neuron for each of the seven environmental parameters
 226 included in the model, three hidden neurons and a single output neuron for μ_{max} values. Training
 227 was performed using scaled input data and a back propagation of error algorithm. The ANN model
 228 (Eqn. 13-15) includes weights (w_{ij}) for each input neuron (x_i) and bias for the three hidden neurons
 229 (b_i) (Eqn. 14). The hidden neurons contained a sigmoid response function for the combined effect of
 230 inputs and biases (Eqn. 15). The trained ANN model includes 28 parameter values for the 7 x 3
 231 weights (w), three weights (u) and four biases (b). Parameter values have not been published.

$$232 \quad \mu_{max} = b_2 + \sum_{j=1}^3 u_j \cdot \sigma(\omega_j) \quad (13)$$

233 where b_2 and u_i are bias and weights from the hidden layer to the output neuron.

$$234 \quad \omega_i = \sum_{i=1}^7 w_{ij} \cdot x_i + b_i \quad (14)$$

$$236 \quad \sigma = 1/(1 + e^{-\omega}) + 0.5 \quad (15)$$

237 The DMRI model is available on-line (See Table 1) and growth curves (log CFU/g) are
 238 simulated by using the Log-transformed three-parameter logistic model without lag-time and
 239 including a constant maximum population density of $10^{8.7}$ CFU/g.

240 The cardinal parameter model of Mejlholm and Dalgaard (2009) includes the effect of
 241 temperature, NaCl/ a_w , pH, phenol, nitrite, CO₂, acetic acid, benzoic acid, citric acid, diacetate, lactic
 242 acid and sorbic acid as well as interactive effects between all these parameters. However, in the
 243 present study, products with added benzoic, citric and/or sorbic acids were not considered and, thus,
 244 the performance of this model with nine rather than 12 environmental parameters was evaluated
 245 (Eqn. 16). The model of Mejlholm and Dalgaard (2009) was developed by adding terms for the

246 effect of acetic, benzoic, citric and sorbic acid, as determined in liquid laboratory substrates, to an
 247 existing growth and growth boundary model for *L. monocytogenes* (Mejlholm and Dalgaard 2007a,
 248 b). Importantly, the parameter values μ_{ref} , T_{min} and P_{max} in that model was determined by fitting
 249 growth rates (μ_{max} values) obtained for *L. monocytogenes* in lightly preserved seafood (n = 41) with
 250 well-characterised product characteristics and storage conditions (Mejlholm and Dalgaard, 2007a,
 251 b).

$$\begin{aligned}
 252 \quad \mu_{max} = \mu_{ref} & \cdot \left[\frac{(T - T_{min})}{T_{ref} - T_{min}} \right]^2 \cdot \frac{(a_w - a_{w \min})}{(a_{w \text{ opt}} - a_{w \min})} \cdot [1 - 10^{(pH_{min} - pH)}] \cdot \left(1 - \frac{[LAC_U]}{[MIC_{U \text{ lactic acid}}]} \right) \cdot \frac{(P_{max} - P)}{P_{max}} \\
 & \cdot \left[\frac{(NIT_{max} - NIT)}{NIT_{max}} \right]^2 \cdot \frac{(CO_{2 \max} - CO_{2 \text{ equilibrium}})}{CO_{2 \max}} \cdot \left(1 - \sqrt{\frac{[DAC_U]}{[MIC_{U \text{ diacetate}}]}} \right) \cdot \left(1 - \sqrt{\frac{[AAC_U]}{[MIC_{U \text{ acetic acid}}]}} \right) \cdot \xi
 \end{aligned} \tag{16}$$

253 where μ_{ref} is the reference specific growth rate with a value of 0.419/h for μ_{max} at the reference
 254 temperature (T_{ref}) of 25 °C; a_w is the water activity calculated from the concentration of NaCl in the
 255 water phase of the product; P_{max} , NIT_{max} and $CO_{2 \max}$ are the theoretical maximal concentrations
 256 (ppm) of smoke components (phenol), nitrite and CO₂, respectively, that allow growth of *L.*
 257 *monocytogenes*; P and NIT are the concentrations (ppm) of phenol and nitrite, respectively; CO₂
 258 *equilibrium* is the concentration (ppm) of dissolved CO₂ at equilibrium; [LAC_U], [DAC_U] and [AAC_U]
 259 are the concentrations (mM) of undissociated lactic acid, diacetate and acetic acid, respectively; and
 260 [MIC_{U lactic acid}], [MIC_{U diacetate}] and [MIC_{U acetic acid}] are the MICs of undissociated lactic acid,
 261 diacetate and acetic acid, respectively, that prevent growth of *L. monocytogenes*. The effect of
 262 interactions between the environmental parameters (ξ) in Eqn. 16 was modelled using the approach
 263 of Le Marc et al. (2002). The value of ξ was calculated according to Eqn. 6, with contributions of
 264 the different environmental parameters as shown in Eqn. 8 and 17.

$$\begin{aligned}
\varphi(T) &= \left[1 - \frac{(T - T_{\min})}{(T_{\text{ref}} - T_{\min})} \right]^2; \varphi(a_w) = \left[1 - \sqrt{\frac{(a_w - a_{w\min})}{(a_{w\text{opt}} - a_{w\min})}} \right]^2; \varphi(pH) = \left[1 - \sqrt{1 - 10^{(pH_{\min} - pH)}} \right]^2; \\
\varphi(P) &= \left[1 - \sqrt{\frac{(P_{\max} - P)}{P_{\max}}} \right]^2; \varphi(NIT) = \left[1 - \frac{(NIT_{\max} - NIT)}{NIT_{\max}} \right]^2; \varphi(CO_2) = \left[1 - \sqrt{\frac{(CO_{2\max} - CO_{2\text{equilibrium}})}{CO_{2\max}}} \right]^2; \\
\varphi([LAC],[DAC],[AAC]) &= \left\{ 1 - \left[\left(1 - \sqrt{\frac{[LAC_U]}{[MIC_{U\text{ lactic acid}}]}} \right) \cdot \left(1 - \sqrt{\frac{[DAC_U]}{[MIC_{U\text{ diacetate}}]}} \right) \cdot \left(1 - \sqrt{\frac{[AAC_U]}{[MIC_{U\text{ acetic acid}}]}} \right) \right] \right\}^2
\end{aligned} \tag{17}$$

266 The model of Mejlholm and Dalgaard (2009) includes the following cardinal parameter
267 values and MICs: $T_{\min} = -2.83$ °C, $a_{w\min} = 0.923$, $a_{w\text{opt}} = 1.000$, $pH_{\min} = 4.97$, $P_{\max} = 32.0$ ppm
268 phenol, $NIT_{\max} = 350$ ppm nitrite, $CO_{2\max} = 3140$ ppm CO₂, $MIC_{U\text{ lactic acid}} = 3.79$ mM undissociated
269 lactic acid, $MIC_{U\text{ diacetate}} = 4.8$ mM undissociated diacetate and $MIC_{U\text{ acetic acid}} = 10.3$ mM
270 undissociated acetic acid.

271

272 2.2 Data for evaluation of predictive models

273 1014 sets of environmental conditions and corresponding growth responses of *L.*
274 *monocytogenes* in processed and RTE foods were collected to evaluate the performance of the six
275 predictive models for growth of this pathogen (Table 1). Data for model evaluation were collected
276 from 37 independent sources (publications, research institutes and companies) and represented more
277 than 20 different types of meat, seafood, poultry and non-fermented dairy products (Table 2 and 3).
278 A total of 737 data sets were supplied by the participants of the present study including both
279 previously published and unpublished data (Table 2). In addition, data from 277 experiments were
280 obtained from the literature of other workers (Table 3). The number of experiments involving
281 products that were naturally contaminated with *L. monocytogenes* was 13, whereas for the
282 remaining 1001 experiments the products were inoculated with the pathogen. For each of the 1014
283 experiments, information on growth of *L. monocytogenes* was obtained together with product
284 characteristics and storage conditions of the specific product. Fifty percent of the products included
285 added acetic acid/diacetate and/or lactic acid. Growth of *L. monocytogenes* was described by the

286 maximum specific growth rate (μ_{max} , 1/h) and by growth and no-growth responses. Growth rates
287 (μ_{max} , 1/h) were obtained (i) directly from the reported data; (ii) by fitting growth curves of *L.*
288 *monocytogenes* with the integrated and log-transformed form of the four-parameter Logistic model
289 (Dalgaard, 1995) or (iii) by linear-regression using data from the exponential part of published
290 graphs. To differentiate between growth and no-growth, the latter was defined as an increase in *L.*
291 *monocytogenes* concentrations of less than 0.5 log cfu/g within the experimental time (FAO/WHO,
292 2009).

293 The collected data were divided into groups consisting of meat (n = 702), seafood (n = 193),
294 poultry (n = 64) and non-fermented dairy (n = 55) products. If reported, measured water activity
295 (a_w) values were used to predict growth of *L. monocytogenes*. Otherwise, a_w values were calculated
296 from the concentrations of NaCl, acetate/diacetate and lactate using the PURAC calculator
297 (PURAC, 2007). For the DMRI model concentrations of WPS in the products was used directly to
298 predict growth of *L. monocytogenes* as specified in the software version of the model. For the model
299 of Mejlholm and Dalgaard (2009), a_w was always calculated from the percentage of water phase salt
300 (WPS) only, using the relationship $a_w = 1 - 0.0052471 * WPS - 0.00012206 * WPS^2$ (Chirife and
301 Resnik, 1984). The model of Mejlholm and Dalgaard (2009) was developed using measured
302 concentrations of WPS in products and therefore a_w calculated from WPS was also used when
303 predictions were obtained. In the same way the Seafood Spoilage and Safety Predictor (SSSP)
304 software, that includes this model, uses WPS rather than a_w to predict growth responses of *L.*
305 *monocytogenes* (Table 1).

306 For 474 of the 1014 experiments one or more of the relevant environmental parameters were
307 not reported (See Table 2 and 3). In those cases it was assumed that the products contained 3.5 %
308 water phase salt (WPS) (n = 112), 0.70 % water phase lactic acid equivalent to 78 mM (n = 172)
309 and had a pH value of 6.2 (n = 92). These values, however, were not used for the liquid non-

310 fermented dairy products where 0.9% NaCl and pH 6.7 were assumed (Table 3). For 160
311 experiments a concentration of 6.0 ppm phenol was assumed for products that typically are smoked
312 (i.e. ham, sausages and smoked salmon) if no information on the content of smoke components was
313 given. To establish this value for smoke components data from previous studies were considered
314 and in addition various ready-to-eat meat products (i.e. ham and sausages) were analysed using a
315 spectrophotometric method (Cardinal et al., 2004; Mejlholm and Dalgaard, 2007b). From a total of
316 12 samples, the average content of smoke components was determined as 5.8 ± 2.1 ppm phenol. For
317 90 experiments the content of nitrite was assumed to be 50 ppm if this preservative was described to
318 be part of the product formulation, but no concentration was reported. If not measured, the
319 equilibrium concentration of CO₂ in the headspace of modified atmosphere packed (MAP) products
320 was calculated using the initial gas to product ratio and Henry's constant at the appropriate storage
321 temperature (Ross and Dalgaard, 2004). Concentrations of diacetate were converted to equivalent
322 concentrations of acetic acid to be used with predictive models including the effect of acetic acid
323 but not diacetate (Table 1).

324

325 2.3 *Indices of model performance*

326 Predicted and observed growth rates (μ_{max} , 1/h) of *L. monocytogenes* were compared by
327 calculation of bias and accuracy factors (Ross, 1996). The bias factor indicates a systematic over- or
328 underestimation of growth rates and the accuracy factor is a measure of the average difference
329 between observed and predicted μ_{max} values (Eqn. 18 and Eqn. 19). The bias factor values were
330 calculated so that numbers higher than 1 always indicated that predicted growth was faster than
331 observed growth (Eqn. 18). As an example, a bias factor of 1.25 indicates that predicted growth
332 rates on average is 25% faster than observed growth rates.

333

334
$$\text{Bias factor}(\mu_{\max}) = 10^{(\sum \log(\mu_{\max \text{ predicted}} / \mu_{\max \text{ observed}}) / n)} \quad (18)$$

335
$$\text{Accuracy factor}(\mu_{\max}) = 10^{(\sum |\log(\mu_{\max \text{ predicted}} / \mu_{\max \text{ observed}})| / n)} \quad (19)$$

336

337 To graduate the performance of the predictive growth rate models for *L. monocytogenes*, the
338 following interpretation of the bias factor values were used (Ross, 1999): (i) 0.95-1.11 good; (ii)
339 0.87-0.95 or 1.11-1.43 acceptable and (iii) < 0.87 or > 1.43 unacceptable.

340 Graphic comparison of predicted and observed μ_{\max} values were used to illustrate distribution
341 of data and to evaluate model performance for different sub-sets of the dataset e.g. products with or
342 without added acetic acid/diacetate and lactic acid. Square-root transformed μ_{\max} values were
343 plotted to avoid graphs with a large number of values that were too small to be evaluated visually.

344 Predicted and observed growth and no-growth responses were compared by calculating the
345 percentage of all samples that were correctly predicted. Incorrect predictions were categorised as
346 fail-dangerous (i.e. no-growth predicted when growth was actually observed) or fail-safe (i.e.
347 growth predicted when no-growth was actually observed). For cardinal parameter type models
348 including the effect of interactions between the environmental parameters (Augustin et al., 2005;
349 Mejlholm and Dalgaard, 2009; Zuliani et al., 2007), the psi(ψ)-value was used to quantify the
350 incorrectness of fail-dangerous and fail-safe predictions (Le Marc et al., 2002; Mejlholm and
351 Dalgaard, 2009). The ψ -value is determined from sets of environmental parameters (Eqn. 8) and
352 describes how far specific product characteristics and storage conditions are from the predicted
353 growth boundary ($\psi = 1.0$). Thus, the closer products with fail-dangerous ($\psi > 1.0$) and fail-safe (ψ
354 < 1.0) predictions are to the growth boundary, the better the performance of the model.

355

356 **3. Results and discussion**

357 *3.1 Evaluation of predictive models*

358 In the present study, six predictive models for *L. monocytogenes* were evaluated using bias
359 and accuracy factors as performance indices for growth rates (μ_{max} values), and the percentage of
360 correct, fail-dangerous and fail-safe predictions as performance indices for growth/no-growth
361 responses. Models that predict growth to be too fast (bias factor > 1.0) should be used with caution
362 e.g. for product development or risk assessment, as this might result in an excessive use of
363 preservatives or an overestimation of the risk associated with *L. monocytogenes*. On the other hand,
364 prediction of too slow growth (bias factor < 1.0) might result in foods that allow growth of *L.*
365 *monocytogenes* or an underestimation of the risk. To be considered good or acceptable, growth rates
366 should not be over- or under-predicted by more than 43% and 13%, respectively, corresponding to a
367 bias factor of between 0.87 and 1.43 (Ross, 1999).

368 The model of Delignette-Muller et al. (2006) significantly overestimated growth rates of *L.*
369 *monocytogenes* as shown by average bias and accuracy factors of 2.0 and 2.2 for all data (Table 4).
370 The model predicted growth of *L. monocytogenes* for all experiments resulting in a high percentage
371 of fail-safe predictions (Table 5). This model was developed to predict growth of *L. monocytogenes*
372 in cold-smoked salmon. When evaluated for seafood in the present study (with 86% of the samples
373 being cold-smoked salmon) predicted growth rates were 70% faster than the observed ones (Table
374 4). Delignette-Muller et al. (2006) developed this growth model for cold-smoked salmon without
375 added acetic and lactic acid. An improved bias factor was therefore expected when the model was
376 used exclusively for seafood without addition of these organic acids. However, for seafood without
377 added acetic acid/diacetate and lactic acid (n = 121), bias and accuracy factors were 1.5 and 1.6,
378 respectively, and the performance of this model was considered unacceptable. The model of
379 Delignette-Muller et al. (2006) was developed using 96 growth curves from challenge tests with
380 cold-smoked salmon. Differences between the product characteristics in those studies and of the
381 products evaluated here (Table 2 and Table 3) may explain the average overestimation of growth

382 rates by this model. This is likely as the model exclusively takes into account the effect of storage
383 temperature on growth rates.

384 Average bias and accuracy factors of 1.8 and 2.3 were obtained for all data when the model of
385 Augustin et al. (2005) was used (Table 4). Seventy-six percent of the growth/no-growth responses
386 were correctly predicted with the incorrect ones being distributed as nine and 15% fail-dangerous
387 and fail-safe predictions, respectively. The conservative performance of this model was mainly
388 explained by the fact that it did not include the effect of acetic and lactic acid (Table 1). Dividing
389 the data set, average bias and accuracy factors of 1.2 and 1.9 were found for products without
390 addition of acetic acid/diacetate and lactic acid (n = 392) whereas corresponding values of 3.1 and
391 3.3 were determined for products added these two organic acids (n = 211) (Fig. 1). The model of
392 Augustin et al. (2005) was developed for growth of *L. monocytogenes* in dairy (n = 340), meat (n =
393 324) and seafood (n = 80) products without added acetic acid/diacetate and lactic acid. The present
394 study showed that the average performance of the model was acceptable for products without acetic
395 acid/diacetate and lactic acid whereas it should not be used to quantitatively evaluate growth of *L.*
396 *monocytogenes* in food in which these organic acids have been added (Fig. 1).

397 For the model of Zuliani et al. (2007), average bias and accuracy factors of 1.3 and 1.9 were
398 obtained for all data, and 85% of the growth/no-growth responses were correctly predicted (Tables
399 4 and 5). The better performance of this model as compared to the closely related one of Augustin
400 et al. (2005) was explained by the inclusion of acetic and lactic acid as environmental parameters
401 (Table 1). The model of Zuliani et al. (2007) was developed for ground pork meat. Importantly, its
402 performance was good or acceptable for the other product categories evaluated, with the exception
403 of (i) pork loin where predicted growth was a little too slow and (ii) ham/cold-cuts where predicted
404 growth was too fast (Table 4). The model does not take into account the effect of smoke
405 components, nitrite and CO₂. For products including these environmental parameters (n = 447)

406 growth rates of *L. monocytogenes* were overestimated by 40% compared to 30% for the entire data
407 set (Table 4). Thus, expanding the model of Zuliani et al. (2007) with one or more of these
408 environmental parameters will most likely improve its growth rate predictions. However, such an
409 expansion is also likely to increase the already high percentage of fail-dangerous predictions for
410 growth/no-growth responses (Table 5).

411 Average bias and accuracy factors of 1.3 and 1.7 were found for the PURAC model when all
412 data were evaluated. The PURAC model was originally developed for RTE cured and uncured meat
413 and poultry products. It also performed well for seafood and non-fermented dairy products but
414 predicted μ_{max} values were higher than observed for ham/cold-cuts (Table 4). This model did not
415 include the effect of smoke components and CO₂ (Table 1). Growth rates of *L. monocytogenes* were
416 overestimated by 51% for products including phenol and CO₂ in the packaging atmosphere (n =
417 449) whereas for all products μ_{max} values were only over-predicted by 29%. Consequently, an
418 expansion of the PURAC model with the effect of phenol and CO₂ would most likely improve its
419 performance for growth rate prediction in food products where these factors are relevant. Seventy-
420 one percent of the growth/no-growth responses were correctly predicted with zero and 29 percent of
421 the predictions being fail-dangerous and fail-safe, respectively (Table 5). The PURAC software
422 'Opti.Form Listeria control model 2007' allows growth to be predicted without lag time or with
423 90% and 95% lag time confidence intervals. Using the 95% lag time confidence interval seventy-
424 nine percent of the growth/no-growth responses were correctly predicted with 15 and six percent of
425 the predictions being fail-dangerous and fail-safe, respectively (Results not shown). Thus, by using
426 the 95% confidence interval for lag time the percentage of correct growth/no-growth response
427 increased but at the same time a higher percentage of fail dangerous predictions were obtained. The
428 decision to include or exclude the lag time should therefore be made cautiously. For three of the
429 other models evaluated the percent of correctly predicted growth/no-growth responses increased

430 when interaction between environmental parameters was taken into account (Table 6). In the same
431 way, the PURAC model may be improved by modelling the effect of interaction between
432 environmental parameters.

433 The DMRI model was developed for pasteurized RTE meat products but with average bias
434 and accuracy factors of 1.2 and 1.6 its performance was considered good or acceptable for all
435 product categories (Table 4). Eighty-three percent of the growth/no-growth responses were
436 correctly predicted, whereas four and 13% of the predictions were fail-dangerous and fail-safe,
437 respectively (Table 5). It should be noted that 418 growth responses in meat of the total of 1014
438 growth responses evaluated was used to develop the DMRI model. This may influence the
439 evaluation of this model. However, when evaluated on the remaining part of the data (n = 596), the
440 obtained performance indices for growth rates and growth/no-growth responses were almost
441 identical to the ones for all data. The DMRI model did not include the effect of smoke components
442 (Table 1). When products including phenol (n = 142) were evaluated alone, average bias and
443 accuracy factors of 1.4 and 1.6 were obtained. This indicates that the already good performance of
444 this model could be improved by including the effect of phenol and this may reduce the percentage
445 of fail-safe predictions and increase the percentage of correct predictions. With average bias and
446 accuracy factors of 1.3 and 1.6 the DMRI model predicted growth rates to be slightly higher than
447 observed for liquid non-fermented dairy products whereas this was not the case for the five cardinal
448 parameter models (Table 4). Growth of bacteria in liquid compared to solidified media has been
449 observed to result in higher growth rates and less restricted growth limits (Koutsoumanis et al.
450 2004; Theys et al. 2008). Therefore, the DMRI model, developed using data for growth of *L.*
451 *monocytogenes* in pasteurized meat products (solid), would be expected to underestimate growth
452 rates in liquid non-fermented dairy products. The opposite was observed and this may be due to the
453 fact that the liquid dairy products, with high pH and no added salt, did not include the product

454 characteristics used to train the ANN model. Nevertheless, the performance of this model was
455 acceptable for liquid non-fermented dairy products (Table 4).

456 The model of Mejlholm and Dalgaard (2009) included the effect of more environmental
457 parameters than the other models in the present study (Table 1). On average it performed better than
458 the less complex models both with respect to prediction of growth rates (μ_{max} values) and
459 growth/no-growth responses of *L. monocytogenes* (Tables 4 and 5). Average bias and accuracy
460 factors of 1.0 and 1.5 were obtained for all data, and 89% of the growth/no-growth responses were
461 correctly predicted (Fig. 2, Tables 4 and 5). Five percent of the predictions were fail-dangerous and
462 six percent were fail-safe. This model was originally developed for processed and RTE seafood, but
463 importantly, it also performed well for meat, poultry and non-fermented dairy products (Table 4).
464 However, for pork loin where growth was predicted (n = 30), bias and accuracy factors of 0.8 and
465 1.5 indicated that predicted μ_{max} values were slightly lower than those observed (Table 4, Table 5).
466 The good overall performance of this model (Fig. 2) suggests that it contained the effect of relevant
467 environmental parameters and that its range of applicability includes temperature (2-25 °C); pH (5.4
468 to 7.7), nitrite (0 to 150 ppm), water phase lactic acid (< 6.1 % equivalent to < 677 mM) and water
469 phase diacetate (< 0.38% equivalent to < 32 mM), with the range of the other environmental
470 parameters being as reported previously (Mejlholm and Dalgaard, 2007a; Mejlholm and Dalgaard,
471 2009).

472

473 3.2 Importance of smoke components for predicting growth

474 When the effect of smoke components was ignored for the model of Mejlholm and Dalgaard
475 (2009), bias and accuracy factors increased to 1.4 and 1.7 for seafood. Interestingly, these values are
476 almost identical to the bias and accuracy factor obtained for seafood by the DMRI model, not
477 including the effect of smoke components (Table 4). This supports that smoke components had an

478 important and predictable inhibitory effect on growth of *L. monocytogenes* in the evaluated smoked
479 seafood (n = 148). For meat products, concentrations of smoke components have not typically been
480 reported in studies concerning growth of *L. monocytogenes*. However, smoked meat products can
481 contain from 2.6 to 37 ppm of phenol (Lustre and Issenberg, 1970) and smoke components
482 originating from wood smoke and corresponding to above ca. 10 ppm of phenol have an important
483 inhibitory effect on growth of *L. monocytogenes* (Mejlholm and Dalgaard, 2007a). Thus, one
484 explanation of why predicted growth rates in ham and cold-cuts were higher than observed (Table
485 4) could be that a significant number of these products actually contained wood smoke components
486 corresponding to more than 10 ppm of phenol. This suggests it is relevant, in future studies, to
487 further quantify, predict and evaluate the antilisterial effect of smoke components in meat products.
488 Recently, Hwang (2009a) suggested a polynomial model including the effect of smoke components
489 (phenol), temperature and NaCl on growth of *L. monocytogenes*. We evaluated this model but found
490 its performance inferior to the model of Mejlholm and Dalgaard (2009) (Results not shown).

491

492 3.3 *Interactions between the environmental parameters*

493 For three of the models evaluated in the present study, interactions between environmental
494 parameters were modelled using the approach of Le Marc et al. (2002) (Table 1). The importance of
495 including interactions between environmental parameters in order to predict the growth boundary of
496 e.g. *L. monocytogenes* has previously been documented (Augustin et al., 2005; Le Marc et al. 2002;
497 Mejlholm and Dalgaard, 2007a; Mejlholm and Dalgaard, 2009; Tienungoon et al., 2000) although
498 some controversy remains (Bidlas and Lambert, 2008). For the models of Augustin et al. (2005),
499 Zuliani et al. (2007) and Mejlholm and Dalgaard (2009) the percentage of correctly predicted
500 growth/no-growth responses increased when the effect of interactions between environmental
501 parameters was taken into account (Table 6). This was most pronounced for the model of Mejlholm

502 and Dalgaard (2009) where the percentage of correct predictions increased from 69 to 89. For all
503 three models, the percentage of fail-safe predictions decreased and the percentage of fail-dangerous
504 predictions increased but not proportionally, when interactions were taken into accounts. The ratio
505 between the decrease in numbers of fail-safe predictions and the increase in numbers of fail-
506 dangerous predictions was 5.6 for the model of Mejlholm and Dalgaard (2009). Corresponding
507 ratios of 1.8 and 1.6 were determined for the models of Augustin et al. (2005) and Zuliani et al.
508 (2007). The pronounced difference between the model of Mejlholm and Dalgaard (2009) and the
509 two other models with respect to the impact of interactions was most likely caused by (i) the
510 different numbers of environmental parameters included in the models and (ii) the use of different
511 terms to model the contribution of the individual environmental parameters on the interactive effect
512 (Eqn. 7, 12 and 17). However, further studies are required both on the mathematical terms needed to
513 optimally model the quantitative effect of interactions between environmental parameters on growth
514 responses and on the underlying genetic and physiological responses of *L. monocytogenes*. In this
515 respect, the available models that allow quantification of the ‘distance’ to the growth boundary can
516 be useful in design of experiments to improve understanding of the stresses and molecular aspects
517 of *L. monocytogenes* close to the growth boundary and under no-growth conditions.

518

519 3.4 Distance to the growth boundary (*psi*-value)

520 The incorrectness of fail-dangerous and fail-safe predictions can be described quantitatively
521 by the ψ (ψ)-value. This value can express the ‘distance’ between combinations of environmental
522 parameters and the growth boundary ($\psi = 1.0$). The closer the ψ -value of incorrect predictions lie to
523 1.0 the better the performance of the model (Mejlholm and Dalgaard, 2009). ψ -values are obtained
524 directly from the model structure suggested by Le Marc et al. (2002) to take into account the
525 inhibiting effect of interaction between environmental parameters. On the no-growth side of the

526 growth boundary, ψ -values are higher than 1.0 and on the growth side they are lower than 1.0. For
527 meat sausages (5 °C, pH 6.5, 3.8 % water phase salt, 60 ppm nitrite and 9.4% CO₂ at equilibrium),
528 the growth boundary of *L. monocytogenes* was calculated as a function of water phase
529 concentrations of acetic and lactic acid and compared with 13 measured growth and no-growth
530 responses of the pathogen in this product (Fig. 3). Nine of the growth/no-growth responses (69 %)
531 were correctly predicted whereas four were fail-dangerous (i.e. no-growth predicted when growth
532 was actually observed) with $\psi > 1.0$ (Fig. 3). However, the four fail-dangerous predictions were
533 placed close to the growth boundary with an average ψ -value of 1.07 ± 0.05 (mean \pm SD). These
534 incorrect predictions can be due to limitations of the model and/or variability in product
535 characteristics and storage conditions resulting in differences between the conditions used to obtain
536 the predictions and conditions to which the growing cells of *L. monocytogenes* were actually
537 exposed. For all data in the present study, the model of Mejlholm and Dalgaard (2009) gave 47 fail-
538 dangerous predictions (5%) with an average ψ -value of 1.22 ± 0.31 (mean \pm SD) whereas the 59
539 fail-safe predictions had an average ψ -value of 0.67 ± 0.18 (Table 6). Interestingly, these ψ -values
540 are close to those previously obtained for much fewer seafood data by Mejlholm and Dalgaard
541 (2009). The highest ψ -value for the 47 fail-dangerous predictions was 1.95 observed for an
542 experiment with pork cold-cuts. This result suggests that combinations of product characteristics
543 and storage conditions with ψ -values above 1.95 can be used to prevent growth of *L.*
544 *monocytogenes*. It is note worthy that this value is within the 99% confidence interval for ψ -values
545 of fail-dangerous predictions ($1.22 + 2.6 \times 0.31 = 2.03$). Importantly, by using the ψ -value the effect
546 of different environmental parameters on a products 'distance' to the growth boundary can easily be
547 evaluated. To facilitate the practical use of this concept the Seafood Spoilage and Safety Predictor
548 (SSSP) software v. 3.1 has been designed so that ψ -values are reported together with growth rates
549 and lag times of *L. monocytogenes*. In addition, growth interfaces corresponding to specific ψ -

550 values, in the range 0.5-2.5, can be predicted. Thus, with a selected ψ -value of 2.0 the software
551 predicts combination of product characteristics and storage conditions that will prevent growth of *L.*
552 *monocytogenes* even when typical variation in the environmental parameters is taken into account
553 (SSSP, 2009). Such predictions seem important as both the EU regulations (EC, 2005) and the
554 criteria adopted by the Codex Alimentarius Commission (FAO/WHO, 2009) distinguish between
555 products in which *L. monocytogenes* is, or is not, able to grow. Alternatively, the growth boundary
556 of *L. monocytogenes* can be predicted by probability models typically relying on logistic regression.
557 Many probability models are available for the growth boundary of *L. monocytogenes* but several
558 include the effect of just a few of the environmental parameters known to influence growth of the
559 pathogen in food (Ross and Dalgaard, 2004). However, more complex models including the effect
560 of temperature, NaCl/ a_w , acetic acid/diacetate, lactic acid, pH or liquid smoke have been developed
561 (Hwang, 2009b; Skandamis et al. 2007; Vermeulen et al., 2007). Probability of growth predicted by
562 these models did not closely correspond to the growth boundaries predicted by the model of
563 Mejlholm and Dalgaard (2009) (Fig. 4). The model of Hwang (2009b) predicted high
564 concentrations of phenol (smoke components) to limit growth *L. monocytogenes* much less than
565 predicted by the model of Mejlholm and Dalgaard (2009) (Fig. 4a). One reason for this difference is
566 most likely that the model of Hwang (2009b) was developed using data for cooked salmon with
567 added liquid smoke whereas the model of Mejlholm and Dalgaard (2009) was based on growth of
568 *L. monocytogenes* in seafood processed with wood smoke. The effect of a_w and acetic acid on the
569 growth boundaries predicted by the models of Vermeulen et al. (2007) and Mejlholm and Dalgaard
570 (2009) were more alike. The main difference being that the model of Vermeulen et al. (2007)
571 predicted a stronger effect of a_w on growth/no-growth responses of *L. monocytogenes* (Fig. 4b). At
572 their present state of development, probability models for the growth boundary of *L. monocytogenes*
573 includes the effect of fewer environmental parameters and therefore seems less performant than the

574 available cardinal parameter models relying on the Le Marc approach to take into account the effect
575 of interactions between environmental parameters on the growth boundary of the pathogen.

576 Comparison of environmental conditions to reduce and prevent growth of *L. monocytogenes*,
577 determined from ψ -values as described above, with the conditions predicted by dedicated stochastic
578 models would be of interest. Stochastic models with the ability to describe variability (natural
579 heterogeneity) and uncertainty (lack of perfect knowledge e.g. due to measurement errors) are
580 desirable for exposure and risk assessment studies (CAC, 1999). The present study suggests that
581 rather complex models are needed to reduce bias when growth of *L. monocytogenes* in RTE foods is
582 predicted. It remains a challenge to develop stochastic models with a comparable degree of
583 complexity. Finally, when models for growth of *L. monocytogenes* are evaluated it must be kept in
584 mind that growth to high concentrations can be influenced by the inhibiting effect of the dominating
585 microflora in some foods (the Jameson effect). Both, deterministic and stochastic models are
586 available that predict the antilisterial effect of other microorganisms in high concentrations
587 (Delignette-Muller et al., 2006; Giménez and Dalgaard, 2004; Giuffrida et al., 2009; Hwang and
588 Sheen, 2009; Mejlholm and Dalgaard, 2007b; Powell et al., 2006). Such models may be needed to
589 predict growth responses of the pathogen for both fermented food and several lightly preserved
590 products when naturally contaminated with *L. monocytogenes*. The limited amount of data for
591 naturally occurring *L. monocytogenes*, in the present study, was due to difficulties in finding storage
592 trials where both growth of the pathogen and the relevant product characteristics were quantified
593 (See Code S1 in Table 2 and Table 4). The thirteen growth/no-growth responses studied for
594 naturally contaminated cold-smoked salmon resulted in 62% correct and 38% fail-safe predictions
595 for the model of Mejlholm and Dalgaard (2009). These fail-safe predictions support the conclusion,
596 from previous more detailed studies of naturally contaminated products, that both the Jameson

597 effect and the lag phase of *L. monocytogenes* are important to accurately predict its growth in
598 naturally contaminated cold-smoked salmon (Mejlholm and Dalgaard, 2007b).

599

600 **4. Conclusion**

601 The present study showed that growth rate and conditions that in combination prevent growth
602 of *L. monocytogenes* in RTE foods can be predicted and that predictive models with a relevant
603 degree of complexity can successfully predict growth responses of *L. monocytogenes* in fresh and
604 processed RTE foods. In fact, predictions with good precision can be obtained when the complexity
605 of the applied mathematical models match the complexity of the foods of interest with respect to the
606 number of environmental parameters influencing growth of the pathogen. The model evaluations
607 undertaken showed sufficiently complex models can predict growth responses accurately for fresh
608 products without added antimicrobials as well as for products with salt, nitrite, organic acids and
609 smoke components. However, simple models including the effect of a few environmental
610 parameters were unable to accurately predict growth responses in complex foods. Importantly,
611 models that were previously developed and successfully validated for a specific type of foods (e.g.
612 meat or seafood) also performed well for other categories of products. This indicates that predictive
613 models can be generally applicable when all relevant environmental parameters are taken into
614 account. The successfully validated predictive models will be valuable for future assessment and
615 management of *L. monocytogenes* in food.

616

617 **Acknowledgments**

618 The research was supported by the Technical University of Denmark through the FoodDTU
619 programme. The results have been presented at the 6th International Conference on Predictive
620 Modelling in Food, 8-12 September 2009, Washington DC, USA.

621

622 **References**

623 Augustin, J.-C., Carlier, V., 2000. Mathematical modelling of the growth rate and lag time for
624 *Listeria monocytogenes*. International Journal of Food Microbiology 56, 29-51.

625 Augustin, J. C., Zuliani, V., Cornu, M., Guillier, L., 2005. Growth rate and growth probability of
626 *Listeria monocytogenes* in dairy, meat and seafood products in suboptimal conditions. Journal of
627 Applied Microbiology 99, 1019-1042.

628 Barmpalia, I. M., Koutsoumanis, K. P., Geornaras, I., Belk, K. E., Scanga, J. A., Kendall, P. A.,
629 Smith, G. C., Sofos, J. N., 2005. Effect of antimicrobials as ingredients of pork bologna for *Listeria*
630 *monocytogenes* control during storage at 4 and 10 °C. Food Microbiology 22, 205-211.

631 Bidlas, E., Lambert, R. J. W., 2008. Quantification of hurdles: Predicting the combination of effects
632 - Inteaction vs. non-interaction. International Journal of Food Microbiology 128, 78-88.

633 Blom, H., Nerbrink, E., Dainty, R., Hagtvedt, T., Borch, E., Nissen, H., Nesbakken, T., 1997.
634 Addition of 2.5 % lactate and 0.25 % acetate controls growth of *Listeria monocytogenes* in vacuum-
635 packed, sensory acceptable servelat sausage and cooked ham stored at 4 °C. International Journal of
636 Food Microbiology 38, 71-76.

637 Burnett, S. L., Mertz, E. L., Bennie, B., Ford, T., Starobin, A., 2005. Growth or survival of *Listeria*
638 *monocytogenes* in ready-to-eat meat products and combination deli salads during refrigerated
639 storage. Journal of Food Science 70, M301-M304.

640 CAC, 1999. Principles and Guidance for the Conduct of Microbiological Risk Assessment
641 (CAC/GL-30). Codex Alimentarius Commission, Rome, Italy.

642 http://www.codexalimentarius.net/download/standards/357/CXG_030e.pdf accessed in October
643 2009.

644 Cardinal, M., Gunnlaugsdottir, H., Bjoernevik, M., Ouisse, A., Vallet, J. L., Leroi, F., 2004.
645 Sensory characteristics of cold-smoked Atlantic salmon (*Salmo salar*) from European market and
646 relationships with chemical, physical and microbiological measurements. Food Research
647 International 37, 181-193.

648 Chirife, J., Resnik, S. L., 1984. Unsaturated solutions of sodium chloride as reference sources of
649 water activity at various temperatures. Journal of Food Science 49, 1486-1488.

650 Combase, 2009. Combase Browser accessed in October 2009 at
651 <http://combase.arserrc.gov/BrowserHome/SearchOptions/Search.aspx>.

652 Dalgaard, P. 1995. Modelling of microbial activity and prediction of shelf life for packed fresh fish.
653 International Journal of Food Microbiology 26, 305-317.

654 Dalgaard, P., Jørgensen, L. V., 1998. Predicted and observed growth of *Listeria monocytogenes* in
655 seafood challenge tests and in naturally contaminated cold-smoked salmon. International Journal of
656 Food Microbiology 40, 105-115.

657 Delignette-Muller, M. L., Cornu, M., Pouillot, R., Denis, J.-B., 2006. Use of Bayesian modelling in
658 risk assessment: Application to growth of *Listeria monocytogenes* and food flora in cold-smoked
659 salmon. International Journal of Food Microbiology 106, 195-208.

660 DRMI, 2007. Listeria model from Danish Meat Research Institute (DMRI). Available from
661 <http://1.test.dezone.dk/> (username: matmodel and password: listeria).

662 EC, 2005. Commission regulation (EC) No 2073/2005 of 15 November 2005 on microbiological
663 criteria for foodstuffs. Official Journal of the European Union 338, 1-25.

664 FAO/WHO, 2009. Proposed Draft Microbiological Criteria for *Listeria monocytogenes* in Ready-to-Eat Foods
665 (*ALINORM 09/32/13*). Codex Alimentarius Commission, 32nd Session, Rome, Italy, 29 June-4 July
666 2009. <http://www.codexalimentarius.net/web/archives.jsp> accessed on 10 October 2009.

667 FSIS, 2008. USDA Food Safety and Inspection Service. Supplementary guidance on the use of
668 antimicrobial agents to control *Listeria monocytogenes* in post-lethality exposed ready-to-eat meat
669 and poultry Products. http://www.fsis.usda.gov/Significant_Guidance/index.asp. Accessed on 24
670 November 2009.

671 Giménez, B., Dalgaard, P., 2004. Modelling and predicting the simultaneous growth of *Listeria*
672 *monocytogenes* and spoilage micro-organisms in cold-smoked salmon. *Journal of Applied*
673 *Microbiology* 96, 96-109.

674 Giuffrida, A., Valenti, D., Ziino, G., Spagnolo, B., Panebianco, A., 2009. A stochastic interspecific
675 competition model to predict the behaviour of *Listeria monocytogenes* in the fermentation process
676 of a traditional Sicilian salami. *European Food Research and Technology* 228, 767-775.

677 Glass, K., Preston, D., Veesenmeyer, J., 2007a. Inhibition of *Listeria monocytogenes* in turkey and
678 pork-beef bologna by combinations of sorbate, benzoate, and propionate. *Journal of Food*
679 *Protection* 70, 214-217.

680 Glass, K. A., Doyle, M. P., 1989. Fate of *Listeria monocytogenes* in processed meat products during
681 refrigerated storage. *Applied and Environmental Microbiology* 55, 1565-1569.

682 Glass, K. A., Granberg, D. A., Smith, A. L., McNamara, A. M., Hardin, M., Mattias, J., Ladwig, K.,
683 Johnson, E. A., 2002. Inhibition of *Listeria monocytogenes* by sodium diacetate and sodium lactate
684 on wieners and cooked bratwurst. *Journal of Food Protection* 65, 116-123.

685 Glass, K. A., McDonnell, L. M., Rassel, R. C., Zierke, K. L., 2007b. Controlling *Listeria*
686 *monocytogenes* on sliced ham and turkey products using benzoate, propionate, and sorbate. *Journal*
687 *of Food Protection* 70, 2306-2312.

688 Gougouli, M., Angelidis, A. S., Koutsoumanis, K., 2008. A study on the kinetic behavior of *Listeria*
689 *monocytogenes* in ice cream stored under static and dynamic chilling and freezing conditions.
690 *Journal of Dairy Science* 91, 523-530.

691 Gunvig, A., Blom-Hanssen, J., Jacobsen, T., Hansen, F., Borggaard, C., 2007. A predictive model
692 for growth of *Listeria monocytogenes* in meat products with seven hurdle variables. In: G. J. E.
693 Nychas et al. (Eds.), 5th Int. Conf. Predictive Modelling in Foods - Conference proceedings,
694 Agricultural University of Athens, Athens, pp. 197-200.

695 Hwang, C. A., 2009a. Development of predictive models for *Listeria monocytogenes* in
696 selected refrigerated ready-to-eat foods. In: 6th Int. Conf. Predictive Modeling in Foods -
697 Conference proceedings, <http://www.icpmf.org/> accessed on 10 October 2009.

698 Hwang, C. A., 2009b. The probability of growth of *Listeria monocytogenes* in cooked salmon and
699 tryptic soy broth as affected by salt, smoke compound, and storage temperature. Food Microbiology
700 26, 253-258.

701 Hwang, C. A., Sheen, S., 2009. Modeling the growth characteristics of *Listeria monocytogenes* and
702 native microflora in smoked salmon. Journal of Food Science 74, M125-M130.

703 Hwang, C. A., Tamplin, M. L., 2007. Modeling the lag phase and growth rate of *Listeria*
704 *monocytogenes* in ground ham containing sodium lactate and sodium diacetate at various storage
705 temperatures. Journal of Food Science 72, M246-M253.

706 Jørgensen, L. V., Huss, H. H., 1998. Prevalence and growth of *Listeria monocytogenes* in naturally
707 contaminated seafood. International Journal of Food Microbiology 42, 127-131.

708 Koutsoumanis, K. P., Kendall, P. A., Sofos, J. N., 2004. A comparative study on growth limits of
709 *Listeria monocytogenes* as affected by temperature, pH and a_w when grown in suspension or on a
710 solid surface. Food Microbiology 21, 415-422.

711 Lakshmanan, R., Dalgaard, P., 2004. Effects of high-pressure processing on *Listeria*
712 *monocytogenes*, spoilage microflora and multiple compound quality indices in chilled cold-smoked
713 salmon. Journal of Applied Microbiology 96, 398-408.

714 Le Marc, Y., Huchet, V., Bourgeois, C. M., Guyonnet, J. P., Mafart, P., Thuault, D., 2002.
715 Modelling the growth kinetics of *Listeria* as a function of temperature, pH and organic acid
716 concentration. International Journal of Food Microbiology 73, 219-237.

717 Legan, J. D., Seman, D. L., Milkowski, A. L., Hirschey, J. A., Vandeven, M. H., 2004. Modeling
718 the growth boundary of *Listeria monocytogenes* in ready-to-eat cooked meat products as a function
719 of the product salt, moisture, potassium lactate, and sodium diacetate concentrations. Journal of
720 Food Protection 67, 2195-2204.

721 Lustre, A. O., Issenberg, P., 1970. Phenolic components of smoked meat products. Journal of
722 Agricultural and Food Chemistry 18, 1056-1058.

723 McMeekin, T. A., Baranyi, J., Bowman, J., Dalgaard, P., Kirk, M., Ross, T., Schmid, S.,
724 Zwietering, M. H., 2006. Information systems in food safety management. International Journal of
725 Food Microbiology 112, 181-194.

726 Mejlholm, O., Bøknæs, N., Dalgaard, P., 2005. Shelf life and safety aspects of chilled cooked and
727 peeled shrimps (*Pandalus borealis*) in modified atmosphere packaging. Journal of Applied
728 Microbiology 99, 66-76.

729 Mejlholm, O., Dalgaard, P., 2007a. Modeling and predicting the growth boundary of *Listeria*
730 *monocytogenes* in lightly preserved seafood. Journal of Food Protection 70, 70-84.

731 Mejlholm, O., Dalgaard, P., 2007b. Modeling and predicting the growth of lactic acid bacteria in
732 lightly preserved seafood and their inhibiting effect on *Listeria monocytogenes*. Journal of Food
733 Protection 70, 2485-2497.

734 Mejlholm, O., Dalgaard, P., 2009. Development and validation of an extensive growth and growth
735 boundary model for *Listeria monocytogenes* in lightly preserved and ready-to-eat shrimp. Journal of
736 Food Protection 72, 2132-2143.

737 Mellefont, L. A., Ross, T. 2007., Effect of potassium lactate and a potassium lactate-sodium
738 diacetate blend on *Listeria monocytogenes* growth in modified atmosphere packaged sliced ham.
739 Journal of Food Protection 70, 2297-2305.

740 Nerbrink, E., Borch, E., Blom, H., Nesbakken, T., 1999. A model based on absorbance data on the
741 growth rate of *Listeria monocytogenes* and including the effects of pH, NaCl, Na-lactate and Na-
742 acetate. International Journal of Food Microbiology 47, 99-109.

743 Pal, A., Labuza, T. P., Diez-Gonzalez, F., 2008a. Evaluating the growth of *Listeria monocytogenes*
744 in refrigerated ready-to-eat frankfurters: Influence of strain, temperature, packaging, lactate and
745 diacetate, and background microflora. Journal of Food Protection 71, 1806-1816.

746 Pal, A., Labuza, T. P., Diez-Gonzalez, F., 2008b. Shelf life evaluation for ready-to-eat sliced
747 uncured turkey breast and cured ham under probable storage conditions based on *Listeria*
748 *monocytogenes* and psychrotroph growth. International Journal of Food Microbiology 126, 49-56.

749 Panagou, E. Z., Nychas, G. J. E., 2008. Dynamic modeling of *Listeria monocytogenes* growth in
750 pasteurized vanilla cream after postprocessing contamination. Journal of Food Protection 71, 1828-
751 1834.

752 Pelroy, G. A., Peterson, M. E., Holland, P. J., Eklund, M. W., 1994a. Inhibition of *Listeria*
753 *monocytogenes* in cold-process (smoked) salmon by sodium lactate. Journal of Food Protection 57,
754 108-113.

755 Pelroy, G. A., Peterson, M. E., Paranjpye, R. N., Almond, J., Eklund, M. W., 1994b. Inhibition of
756 *Listeria monocytogenes* in cold-process (smoked) salmon by sodium nitrite and packaging method.
757 Journal of Food Protection 57, 114-119.

758 Paranjpye, R. N., Peterson, M. E., Poysky, F.T., Eklund, M.W., 2008. Incidence, growth, and
759 inactivation of *Listeria monocytogenes* in cooked and peeled cold-water shrimp. Journal of Aquatic
760 Food Product Technology 17, 266-284.

761 Peterson, L. D., Faith, N. G., Czuprynski, C. J., 2008. Growth of *L. monocytogenes* strain F2365 on
762 ready-to-eat turkey meat does not enhance gastrointestinal listeriosis in intragastrically inoculated
763 A/J mice. *International Journal of Food Microbiology* 126, 112-115.

764 Peterson, M. E., Pelroy, G. A., Paranjpye, R. N., Poysky, F. T., Almond, J. S., Eklund, M. W.,
765 1993. Parameters for control of *Listeria monocytogenes* in smoked fishery products - sodium-
766 chloride and packaging method. *Journal of Food Protection* 56, 938-943.

767 Porto, A. C. S., Franco, B. D. G. M., Sant'Anna, E. S., Call, J. E., Piva, A., Luchansky, J. B., 2002.
768 Viability of a five-strain mixture of *Listeria monocytogenes* in vacuum-sealed packages of
769 frankfurters, commercially prepared with and without 2.0 or 3.0% added potassium lactate, during
770 extended storage at 4 and 10 degrees C. *Journal of Food Protection* 65, 308-315.

771 Pouillot, R., Miconnet, N., Afchain, A.-L., Delignette-Muller, M. L., Beaufort, A., Rosso, L., Denis,
772 J.-B., Cornu, M., 2007. Quantitative risk assessment of *Listeria monocytogenes* in French cold-
773 smoked salmon: I. Quantitative exposure assessment. *Risk Analysis* 27, 683-700.

774 Powell, M. R., Tamplin, M., Marks, B., Campos, D. T., 2006. Bayesian synthesis of a pathogen
775 growth model: *Listeria monocytogenes* under competition. *International Journal of Food*
776 *Microbiology* 109, 34-46.

777 Pradhan, A. K., Ivanek, R., Grohn, Y. T., Geornaras, I., Sofos, J. N & Wiedemann, M. 2009.
778 Quantitative risk assessment for *Listeria monocytogenes* in selected categories of deli meats: Impact
779 of lactate and diacetate on Listeriosis Cases and Deaths. *Journal of Food Protection* 72, 978-989.

780 PURAC, 2007. *Opti.Form Listeria control 2007 model*.
781 <http://www.purac.com/EN/Food/Contact.aspx>. Accessed in October 2008.

782 Rosenow, E.M., Marth, E.H., 1987. Growth of *Listeria-monocytogenes* in skim, whole and
783 chocolate milk, and in whipping cream during incubation at 4°C, 8°C, 13°C, 21°C and 35°C.
784 *Journal of Food Protection* 50, 452-459.

785 Ross, T., 1999. Predictive Food Microbiology Models in the Meat Industry. Meat and Livestock
786 Australia, North Sydney, Australia. pp. 196.

787 Ross, T., 1996. Indices for performance evaluation of predictive models in food microbiology.
788 Journal of Applied Bacteriology 81, 501-508.

789 Ross, T., Dalgaard, P., 2004. Secondary models. In: McKellar, R.C., Lu, X. (Eds.), Modeling
790 Microbial Responses in Food. CRC Press, Boca Raton, pp. 63-150.

791 Seman, D.L., Borger, A.C., Meyer, J.D., Hall, P.A., Milkowski, A.L. 2002. Modeling the growth of
792 *Listeria monocytogenes* in cured ready-to-eat processed meat products by manipulation of sodium
793 chloride, sodium diacetate, potassium lactate, and product moisture content. Journal of Food
794 Protection 65, 651-658.

795 Skandamis, P. N., Stopforth, J. D., Yoon, Y., Kendall, P. A., Sofos, J. N., 2007. Modeling the effect
796 of storage atmosphere on growth - no growth interface of *Listeria monocytogenes* as a function of
797 temperature, sodium lactate, sodium diacetate, and NaCl. Journal of Food Protection 70, 2329-2338.

798 SSSP, 2009. Seafood Spoilage and Safety Predictor (SSSP) software version 3.1 from august 2009,
799 <http://sssp.dtuqua.dk> accessed in October 2009.

800 Stekelenburg, F. K., 2003. Enhanced inhibition of *Listeria monocytogenes* in Frankfurter sausage
801 by the addition of potassium lactate and sodium diacetate mixtures. Food Microbiology 20, 133-
802 137.

803 Stekelenburg, F. K., Kant-Muermans, M. L. T., 2001. Effects of sodium lactate and other additives
804 in a cooked ham product on sensory quality and development of a strain of *Lactobacillus curvatus*
805 and *Listeria monocytogenes*. International Journal of Food Microbiology 66, 197-203.

806 Szabo, E. A., Cahill, M. E., 1999. Nisin and ALTA (TM) 2341 inhibit the growth of *Listeria*
807 *monocytogenes* on smoked salmon packaged under vacuum or 100% CO₂. Letters in Applied
808 Microbiology 28, 373-377.

809 Thurette, J., 1995. *Listeria et saumons fumés: Acquisition de données pour la microbiologie*
810 *prévisionnelle*, PhD thesis, Université des Sciences et Technologies de Lille, Lille, France. pp 248.

811 te Giffel, M. C., Zwietering, M. H., 1999. Validation of predictive models describing the growth of
812 *Listeria monocytogenes*. International Journal of Food Microbiology 46, 135-149.

813 Tamplin, M., 2009. Accessible modelling software and databases. Bulletin of the International
814 Dairy Federation 433, 59-64.

815 Theys, T. E., Geeraerd, A. H., Verhulst, A., Poot, K., Van Bree, I., Devlieghere, F., Moldenaers, P.,
816 Wilson, D., Brocklehurst, T., Van Impe, J. F., 2008. Effect of pH, water activity and gel micro-
817 structure, including oxygen profiles and rheological characterization, on the growth kinetics of
818 *Salmonella* Typhimurium. International Journal of Food Microbiology 128, 67-77.

819 Tienungoon, S., Ratkowsky, D. A., McMeekin, T. A., Ross, T., 2000. Growth limits of *Listeria*
820 *monocytogenes* as a function of temperature, pH, NaCl, and lactic acid. Applied and Environmental
821 Microbiology 66, 4979-4987.

822 Vermeulen, A., Gysemans, K. P. M., Bernaerts, K., Geeraerd, A. H., Van Impe, J. F., Debevere, J.,
823 Devlieghere, F., 2007. Influence of pH, water activity and acetic acid concentration on *Listeria*
824 *monocytogenes* at 7°C: Data collection for the development of a growth/no growth model.
825 International Journal of Food Microbiology 114, 332-341.

826 Wederquist, H. J., Sofos, J. N., Schmidt, G. R., 1994. *Listeria monocytogenes* inhibition in
827 refrigerated vacuum-packaged turkey bologna by chemical additives. Journal of Food Science 59,
828 498-516.

829 Yoon, K. S., Burnette, C. N., Abou-Zeid, K. A., Whiting, R. C., 2004. Control of growth and
830 survival of *Listeria monocytogenes* on smoked salmon by combined potassium lactate and sodium
831 diacetate and freezing stress during refrigeration and frozen storage. Journal of Food Protection 67,
832 2465-2471.

833 Zuliani, V., Lebert, I., Augustin, J.-C., Garry, P., Vendeuvre, J.-L., Lebert, A., 2007. Modelling the
834 behaviour of *Listeria monocytogenes* in ground pork as a function of pH, water activity, nature and
835 concentration of organic acid salts. *Journal of Applied Microbiology* 103, 536-550.

836

837

838

1 Fig. 1. Comparison of observed and predicted maximum specific growth rates (μ_{max} , 1/h) of *L.*
2 *monocytogenes* in meat, seafood, poultry and dairy products. μ_{max} values were predicted by the
3 model of Augustin et al. (2005) for products with (closed symbols, n = 392) and without (open
4 symbols, n = 211) addition of acetic acid/diacetate and lactic acid.

5
6 Fig. 2. Comparison of observed and predicted growth rates (μ_{max} , 1/h) of *L. monocytogenes* in meat,
7 seafood, poultry and dairy products (n = 640) as predicted by the model of Mejlholm and Dalgaard
8 (2009).

9
10 Fig. 3. Predicted growth boundary (solid line) and interfaces (dotted lines) for *Listeria*
11 *monocytogenes* in meat sausages (5 °C, pH 6.5, 3.8 % water phase salt, 60 ppm nitrite and 9.4%
12 CO₂ in the atmosphere at equilibrium) depending on water phase concentrations of acetic and lactic
13 acids. The model of Mejlholm and Dalgaard (2009) was used to predict the growth boundary ($\psi =$
14 1.0) and interfaces with ψ values of 0.75 and 1.25. Observed growth (■ □) and no-growth
15 responses (●) of *L. monocytogenes* in meat sausages (n = 13) were determined by DMRI (Table 4).
16 Closed and open symbols represent correctly and incorrectly predicted growth and no-growth
17 responses, respectively.

18
19 Fig. 4. Predicted growth boundaries for *Listeria monocytogenes*. Comparison of the models of
20 Hwang (2009b) and Mejlholm and Dalgaard (2009) (a) and of the models of Vermeulen et al.
21 (2007) and Mejlholm and Dalgaard (2009) (b). The models of Hwang (2009b) and Vermeulen et al.
22 (2007) was used to predict growth boundaries as 0.5 (bold solid lines) and 0.01 (fine solid lines)
23 probability of growth. Growth boundaries with ψ -values of 1.0 (bold dashed lines) and 2.0 (fine
24 dashed lines) was predicted by the model of Mejlholm and Dalgaard (2009).

Figure 1 – Mejlholm et al.

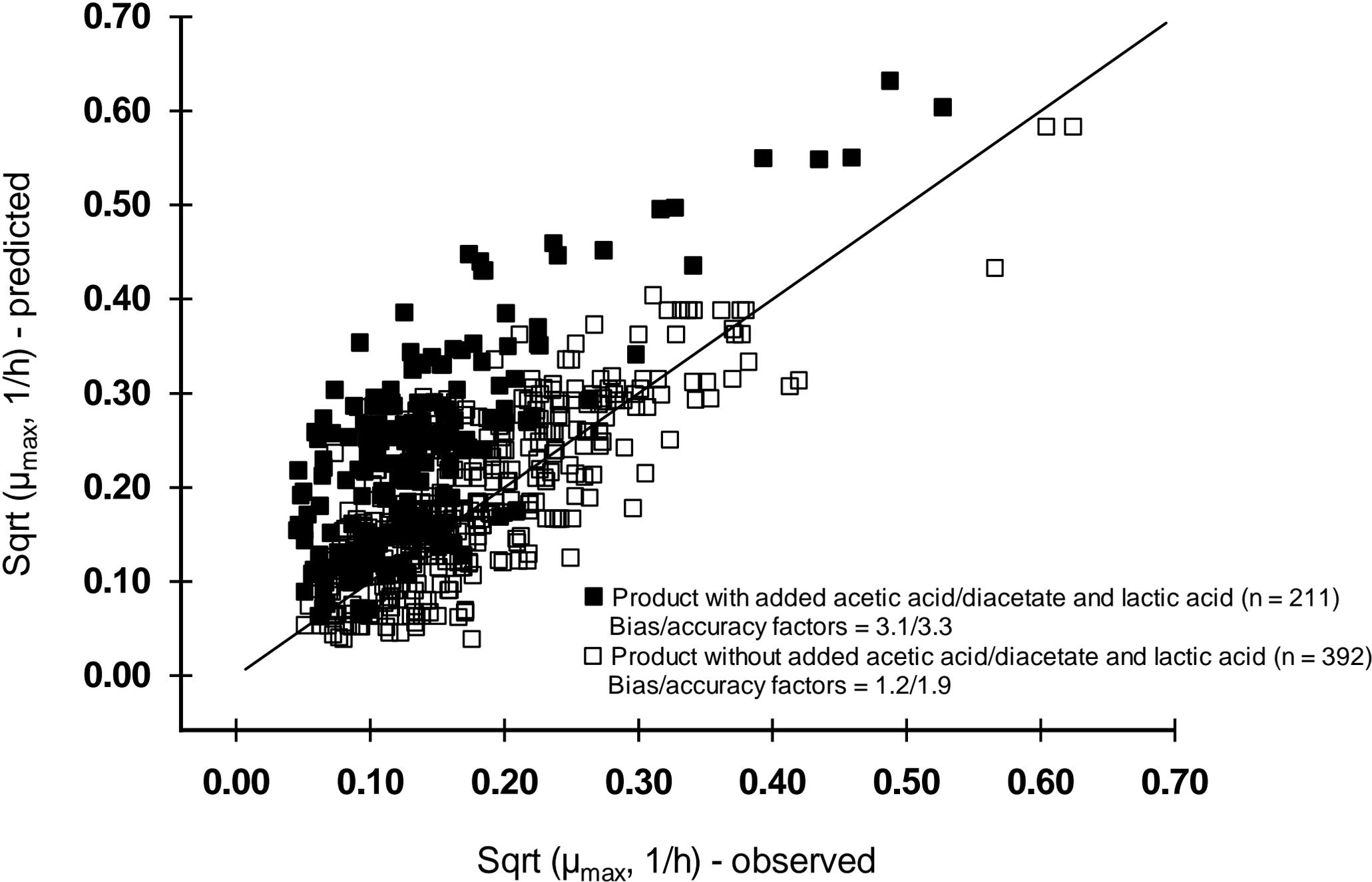


Figure 2 - Mejlholm et al.

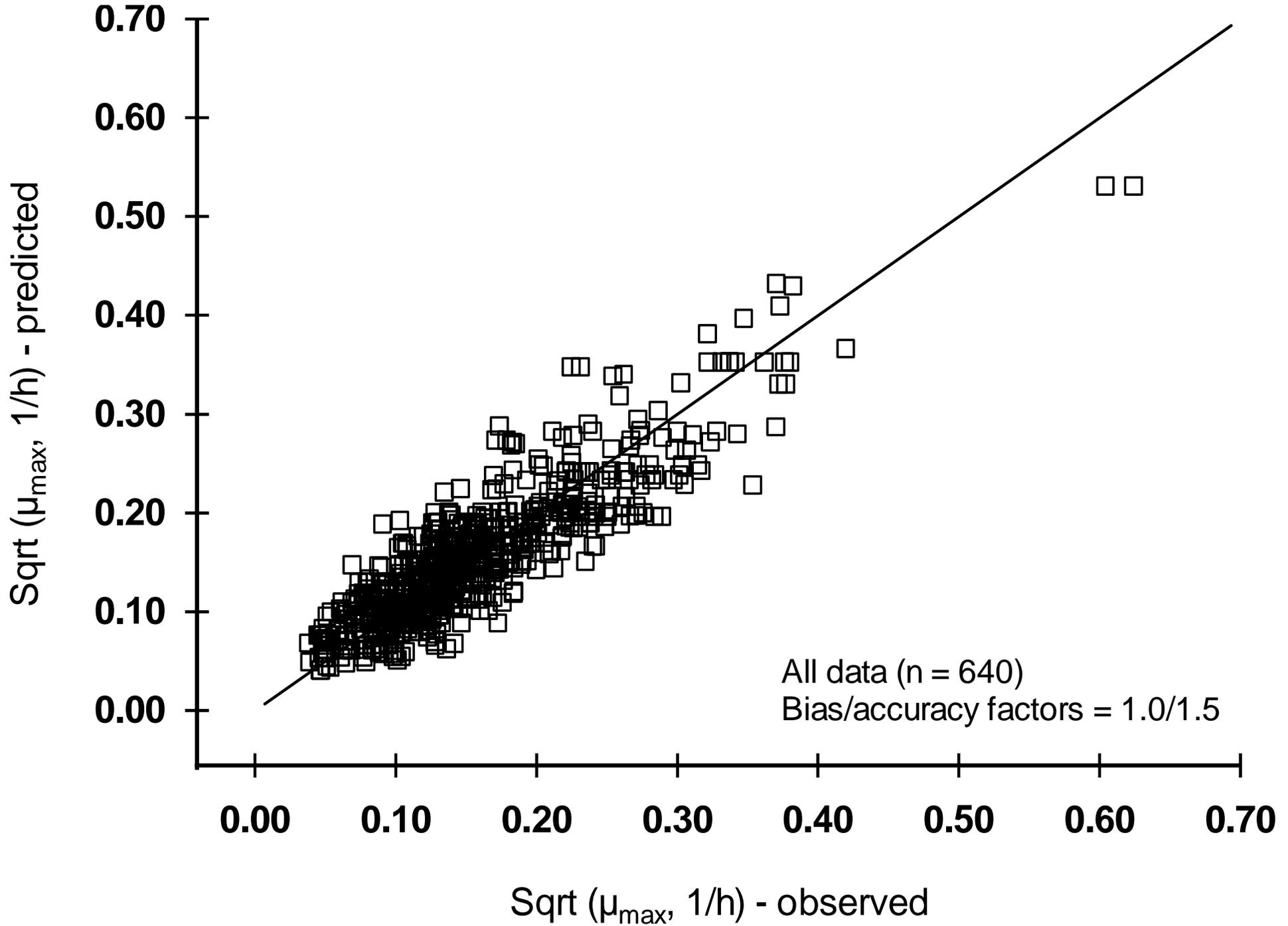


Figure 3 – Mejlholm et al.

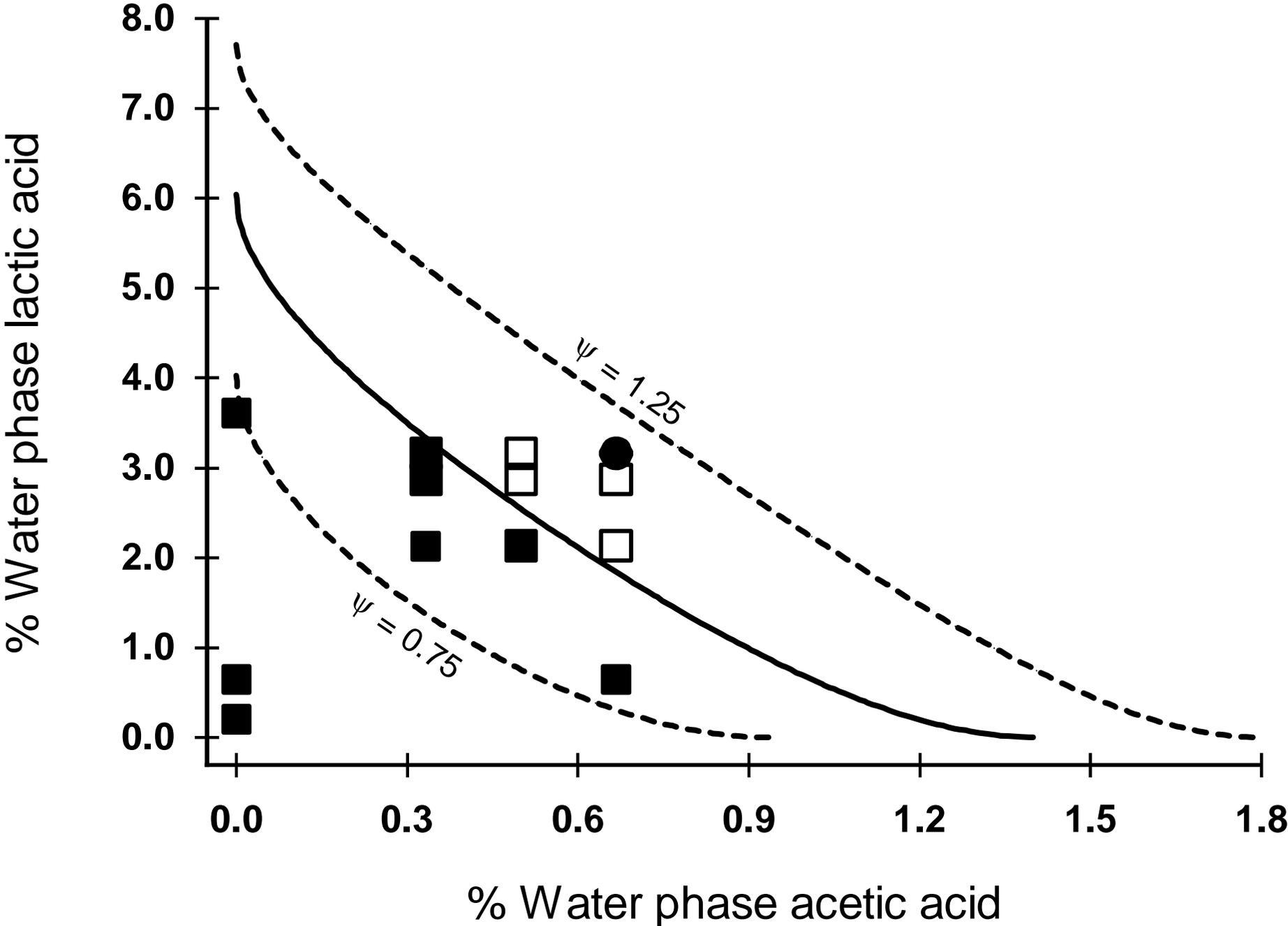


Figure 4 – Mejlholm et al.

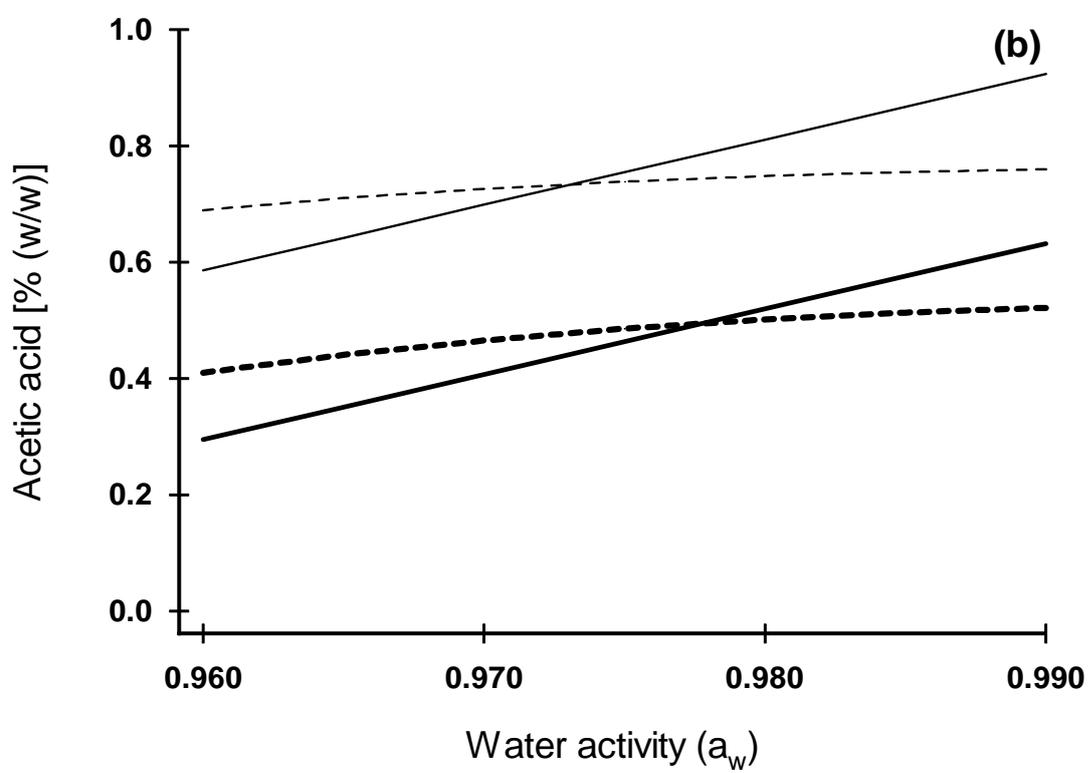
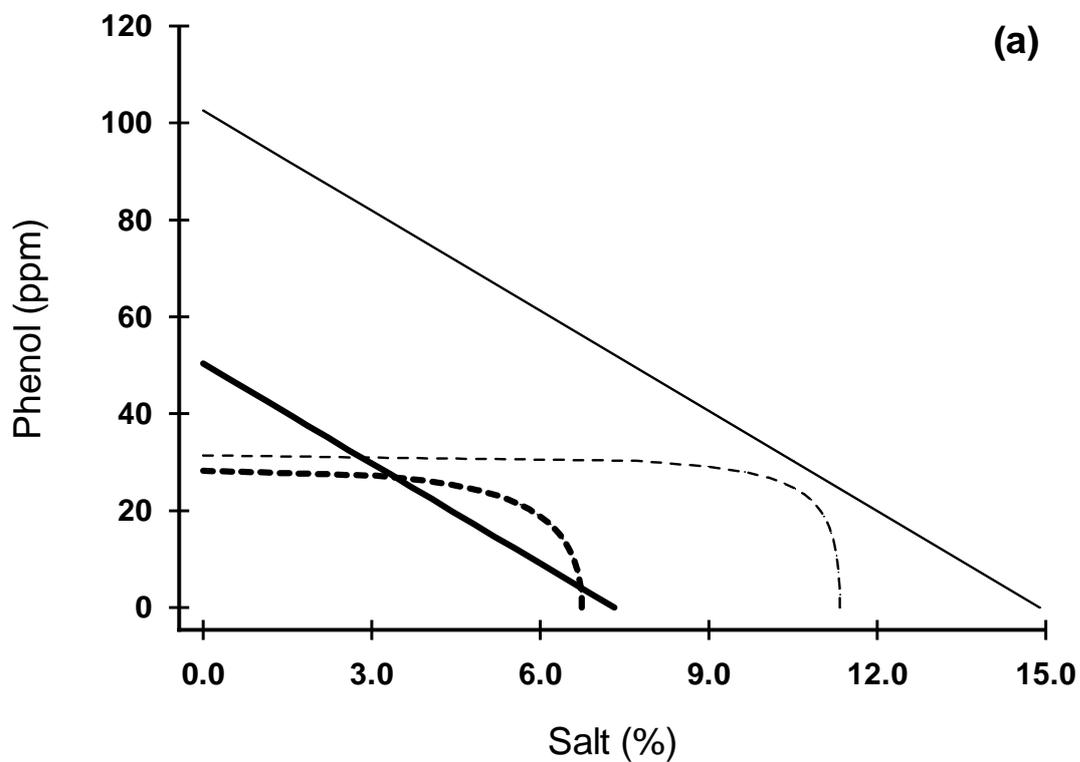


Table 1. Overview of the *Listeria monocytogenes* growth models evaluated in the present study

Models	Developed for	Type of model	Number of environmental parameters in the models	Specific environmental parameters in the models									
				Temp.	NaCl/a _w	pH	Phenol	Nitrite	CO ₂	Acetic acid and/or diacetate	Lactic acid	Interactions between environmental parameters	
Delignette-Muller et al. (2006)	Cold-smoked salmon	Square-root model	1	+	-	-	-	-	-	-	-	-	-
Augustin et al. (2005)	Dairy, meat and seafood	CPM ^a	6	+	+	+	+	+	+	-	-	+	^b
Zuliani et al. (2007)	Ground pork	CPM ^a	5	+	+	+	-	-	-	+	+	+	^b
PURAC (2007) ^c	Meat	CPM ^a	6	+	+	+	-	(+)	-	+	+	-	-
DMRI (2007) ^d	Meat	ANN model ^e	7	+	+	+	-	+	+	+	+	+	+
Mejlholm and Dalgaard (2009) ^f	Seafood	CPM ^a	9 ^g	+	+	+	+	+	+	+	+	+	^b

^a Cardinal parameter model.

^b Interactions between environmental parameters was modelled by using the Le Marc approach (Le Marc et al. 2002).

^c The Opti.Form Listeria control model 2007. Available from <http://www.purac.com/EN/Food/Contact.aspx>.

^d The DMRI Listeria model. Available from <http://1.test.dezone.dk/> (username: matmodel and password: listeria).

^e Artificial neural network.

^f Available as part of the Seafood Spoilage and Safety Predictor (SSSP) freeware (<http://sssp.dtuaqua.dk/>).

^g The model includes the effect of 12 environmental parameters but only nine were used in the present study where products did not include benzoic, citric and sorbic acids.

Table 2. Product characteristics and storage conditions in experiments (n = 737) used for evaluation of *Listeria monocytogenes* growth models

Products and codes	Products	Country	Data source ^a	Reference	n ^b	No. of strains inoculated	Temp (°C)	Water phase salt (%)	a _w ^c	pH	Phenol (ppm)	Nitrite (ppm)	% CO ₂	Acetic acid	Diacetate	Lactic acid
Meat products																
M1	Pork loin	Denmark	DMRI	- ^d	100	1 of 5	5.0-10.0	1.60-4.76	0.951-0.981	5.4-6.2	0- 6.0 ^e	0-150	0-48.4 ^f	0-0.50	-	0.49-3.19
M2	Ham	Denmark	DMRI	- ^d	15	5	5.0-10.0	2.99-4.50	0.953-0.970	6.1-6.4	-	60	7.2-48.4 ^f	0-0.50	-	0.97-3.19
M3	Ham	Australia	UTAS	Mellefont and Ross (2007)	36	5	4.0-8.0	3.5	0.953-0.975	6.17-6.30	6.0	50	12.3-15.3 ^f	-	0-0.15	1.00-2.86
M4	Ham	Australia	UTAS	- ^d	23	2	4.0-8.0	3.5	0.963-0.972	6.14-6.48	6.0	50	12.3-24.7 ^f	-	0-0.15	1.00-2.86
M5	Cold-cut, beef or pork	Denmark	DMRI	- ^d	27	5	5.0-10.0	3.5-4.5	0.949-0.968	5.8-6.4	0- 6.0	0-60	0-9.4 ^f	-	-	0.47-3.63
M6	Sausages	Denmark	DMRI	- ^d	338	5	2.0-12.0	1.5-5.8	0.938-0.982	5.7-6.6	0- 6.0	0-150	0-76.0 ^f	0-0.67	-	0.20-4.35
M7	Sausages	Netherlands	PURAC	- ^d	40	3	4.0-12.0	3.5-5.7	0.952-0.985	5.67-6.95	-	0-25	-	0-0.17	0-0.05	0.85-4.28
Seafood products																
S1	Cold-smoked salmon	Denmark	DTU Food	Jørgensen and Huss (1998)	13	NC ^h	5.0	3.5-8.9	0.932-0.966	6.1-6.3	6.0	-	-	-	-	0.67-1.11
S2	Cold-smoked salmon	Denmark	DTU Food	Gimenez and Dalgaard (2004)	5	4	2.0-25.0	4.9	0.960	6.03	12.6	-	-	-	-	0.37
S3	Cold-smoked salmon	Denmark	DTU Food	Lakshmanan and Dalgaard 2004	2	4	5.5-9.9	4.9	0.959	6.12	14.6	-	-	-	-	0.59
S4	Cold-smoked salmon	Denmark	DTU Food	Mejlholm and Dalgaard (2007a,b)	17	4	7.8-14.3	3.17-5.26	0.939-0.968	5.80-6.24	1.0-14.2	-	0-61 ^g	-	0-0.30	0.50-4.35
S5	Cold-smoked salmon	France	Ifremer	- ^d	45	1 or 4-5	4.0-8.0	3.4-5.5	0.955-0.969	6.2	2.5-20.6	-	-	-	-	0.70
S6	Cold-smoked salmon	Australia	UTAS	- ^d	19	1 or 2	4.0-15.0	3.5	0.953-0.964	6.05	6.0	-	-	-	0-0.19	0.70-3.16
S7	Gravad salmon	Denmark	DTU Food	Mejlholm and Dalgaard (2007a)	2	4	14.3	2.95-3.12	0.968-0.970	6.11-6.26	4.8-5.0	-	25.0 ^g	-	0-0.17	0.93-0.98
S8	Cold-smoked Greenland halibut	Denmark	DTU Food	Mejlholm and Dalgaard (2007a)	8	4	7.6-7.7	3.74-4.64	0.954-0.968	6.17-6.50	16.2-20.1	-	0-26.0 ^g	-	0.20-0.23	0.14-3.16
S9	Marinated Greenland halibut	Denmark	DTU Food	Mejlholm and Dalgaard (2007a)	2	4	7.8	3.40	0.967-0.970	6.68-6.84	-	-	16.2 ^g	-	0.05-0.15	0.25-0.89
S10	Brined shrimp	Denmark	DTU Food	Mejlholm and Dalgaard (2009)	6	4	5.0-7.0	1.46-2.85	0.973-0.980	6.20-6.35	-	-	0-31.0 ^g	0.06-1.07	-	0.07-0.84
S11	Cooked and peeled shrimp	Denmark	DTU Food	Mejlholm et al. (2005)	6	4	2.0-8.0	1.90	0.981	7.7	-	-	25.0 ^g	-	-	0.08
Poultry products																
P1	Chicken	Denmark	DMRI	- ^d	4	5	5.0-10.0	3.39-3.48	0.957-0.966	6.50	-	60	7.2-9.4 ^f	0-0.49	-	0.96-2.74
P2	Chicken	Australia	UTAS	- ^d	8	5	4.0-8.0	3.5	0.966-0.972	6.18-6.27	-	-	22.0-27.4 ^f	-	0-0.14	0.70-2.38
P3	Chicken sausage	Netherlands	PURAC	- ^d	3	1	4.0-12.0	2.5-3.8	0.956-0.973	5.9-6.3	-	-	-	0-0.12	-	1.70-2.86
P4	Cold-cut, chicken or turkey	Denmark	DMRI	- ^d	11	5	5.0-10.0	2.7-4.1	0.950-0.967	6.0-6.4	-	0-60	7.2-9.4 ^f	0-0.50	-	0.48-3.69
P5	Turkey ham	Netherlands	PURAC	- ^d	6	3	4.0	2.5	0.962-0.977	6.1-6.3	-	-	-	0-0.21	-	0.70-2.43
P6	Turkey sausage	Denmark	DMRI	- ^d	1	5	10.0	3.7	0.965	6.1	-	-	9.4 ^f	-	-	0.96

^a Danish Meat Research Institute (DMRI), University of Tasmania (UTAS), PURAC biochem b.v. (PURAC), Technical University of Denmark (DTU Food), Département des Sciences et Techniques Alimentaires Marines (Ifremer).

^b n, number of experiments.

^c Measured or calculated from the concentrations of water phase salt, water phase sodium-lactate and water phase sodium-acetate using the PURAC calculator (http://www.purac.com/purac_com/a5348511153c582f5bd69fd6bd64bb49.php).

^d Not previously reported in the literature.

^e Bold type: Assumed values. See explanation in Section 2.2.

^f Calculated equilibrium concentrations in head space gas using Henry's constant at the appropriate storage temperature. See section 2.2.

^g Measured equilibrium concentrations in head space gas.

^h NC, naturally contaminated.

Table 3. Product characteristics and storage conditions in experiments (n = 277) used for evaluation of the predictive *Listeria monocytogenes* models

Products and codes	Products	Country	Reference	n ^a	No. of strains inoculated	Temp (°C)	Water phase salt (%)	a _w ^b	pH	Phenol (ppm)	Nitrite (ppm)	% CO ₂	Acetic acid	Diacetate	Lactic acid
Meat products															
M8	Ham	Norway	Blom et al. (1997)	4	3	4.9	2.5-3.0	0.960-0.971	6.2^f	6.0	-	-	0-0.26	-	0.70-3.57
M9	Ham	US	Glass and Doyle (1989)	4	5	4.4	3.2-4.1	0.964-0.969	6.29-6.52	-	18.7-28.0	-	-	-	0.7
M10	Ham	Netherlands	Stekelenburg and Kant-Muermans (2001)	5	1	4.0	2.6-3.1	0.961-0.977	5.9-6.2	6.0	11	-	0.24-0.25	-	0.83-3.08
M11	Ham	US	Burnett et al. (2005)	3	3	5.0-10.0	3.5	0.964	6.2	-	50	-	-	-	0.7
M12	Ham	US	Glass et al. (2007a,b)	2	5	4.0	3.52	0.967	6.39	6.0	34.6	-	-	0-0.14	0.70-2.87
M13	Ham	US	Hwang and Tamplin (2007)	17	6	4.0-25.0	2.6	0.951-0.969	6.2	-	-	-	-	0.06-0.20	1.50-5.45
M14	Ham	US	Pal et al. (2008b)	18	3	4.0-12.0	3.6	0.957-0.967	6.2	-	50	-	-	0-0.24	0.70-2.69
<i>Sausages</i>															
M15	Bologna	US	Glass and Doyle (1989)	4	5	4.4	4.1-4.9	0.959-0.964	6.09-6.45	-	16.7-38.0	-	-	-	0.70
M16	Pork bologna	US	Barmpalia et al. (2005)	10	10	4.0-10.0	3.6	0.954-0.967	6.34-6.60	-	50	-	-	0-0.38	0.70-3.33
M17	Bratwurst	US	Glass and Doyle (1989)	2	5	4.4	4.4	0.962	6.45-6.48	-	-	-	-	-	0.70
M18	Bratwurst	US	Glass et al. (2002)	8	5	3.0-7.0	2.9-3.5	0.944-0.967	6.0-6.1	0-6.0	-	-	-	0-0.17	0.70-6.07
M19	Frankfurters	US	Porto et al. (2002)	5	5	4.0-10.0	2.5	0.960-0.974	5.78-6.11	6.0	4.5	-	-	-	0.70-3.69
M20	Frankfurters	Netherlands	Stekelenburg (2003)	6	1	4.0	3.1-3.2	0.964-0.974	6.1-6.3	-	7.3-12.7	-	0.14-0.19	0.06-0.10	0.72-2.50
M21	Frankfurters	US	Pal et al. (2008a)	18	3	4.0-12.0	3.9	0.952-0.965	6.17	6.0	50	-	-	0-0.31	0.70-3.24
M22	Servelat	Norway	Blom et al. (1997)	4	3	4.0-9.0	2.5-3.0	0.960-0.971	6.2	-	-	-	0-0.26	-	0.70-3.57
M23	Wieners	US	Glass and Doyle (1989)	4	5	4.4	4.4-5.1	0.957-0.962	5.89-6.18	6.0	14.7-20.7	-	-	-	0.70
M24	Wieners	US	Glass et al. (2002)	9	5	4.5	2.8-4.2	0.944-0.963	5.9-6.4	6.0	2.0-31.0	-	-	0-0.38	0.70-5.88
Seafood products															
S12	Cold-smoked salmon	US	Yoon et al. (2004)	8	1	4.0-10.0	3.5	0.959-0.967	6.1	6.0	-	-	-	0-0.17	0.70-3.62
S13	Cold-smoked salmon	US	Burnett et al. (2005)	3	3	5.0-10.0	3.5	0.981	6.4	6.0	50	-	-	-	0.70
S14	Cold-smoked salmon	US	Hwang and Sheen (2009)	8	6	4.0-16.0	2.97	0.971	6.5	4.0	-	-	-	-	0.70
S15	Smoked salmon	France	Thurette (1995)	15	3	4.0-12.0	3.4-5.6	0.954-0.968	6.2	8.8-11.2	-	-	-	-	0.70
S16	Smoked salmon	Australia	Szabo and Cahill (1999)	3	7	4.0-10.0	3.5	0.967	6.3	6.0	-	0-81.4 ^d	-	-	0.70
S17	Cold-process salmon	US	Peterson et al. (1993)	6	3	5.0-10.0	3.0-6.0	0.951-0.971	6.2	-	-	-	-	-	0.70
S18	Cold-process salmon	US	Pelroy et al. (1994a,b)	22	3	5.0-10.0	2.0-3.0	0.954-0.971	6.1	-	0-96.7	-	-	-	0.70-4.41
S19	Cooked and peeled shrimp	US	Paranjpye et al. (2008)	3	1	5.0-10.0	1.9	0.981	7.7	-	-	-	-	-	0.08
Poultry products															
P7	Chicken	US	Glass and Doyle (1989)	2	5	4.4	1.8-2.4	0.975-0.978	6.35-6.39	-	-	-	-	-	0.70
P8	Turkey breast	US	Glass and Doyle (1989)	4	5	4.4	1.8-3.6	0.967-0.978	6.26-6.52	-	-	-	-	-	0.70
P9	Turkey breast	US	Burnett et al. (2005)	3	3	5.0-10.0	3.5	0.971	6.2	-	-	-	-	-	0.70
P10	Turkey breast	US	Glass et al. (2007a,b)	2	5	4.0	2.3	0.972	6.42	-	-	-	-	0-0.27	0.70-4.96
P11	Turkey breast	US	Pal et al. (2008b)	17	3	4.0-12.0	2.85	0.962-0.972	6.2	-	-	-	-	0-0.24	0.70-2.69
P12	Turkey breast	US	Peterson et al. (2008)	1	1	10.0	3.5	0.971	6.2	-	50	-	-	-	0.70
P13	Turkey bologna	US	Wederquist et al. (1994)	2	7	4.0	3.1	0.946-0.963	6.58-6.63	-	-	-	0-0.57	-	0.70
Non-fermented dairy products															
D1	Ice cream	Greece	Gougouli et al. (2008)	8	1	4.0-16.0	0.9	0.957-0.965	6.50-6.67	-	-	-	-	-	-
D2	Vanilla cream	Greece	Panagou and Nychas (2008)	4	4	3.0-15.0	0.9	0.987	6.7	-	-	-	-	-	-
D3	Milk	UK	Combase database ^e	9	3	5.0-10.0	0.9	0.987	5.4-7.0	-	-	-	-	-	-
D4	Skim milk	US	Rosenow and Marth (1987)	13	4	4.0-21.0	0.9	0.987	6.7	-	-	-	-	-	-
D5	UHT cream	Poland	Combase database ^f	8	1	3.0-12.0	0.9	0.987	6.7	-	-	-	-	-	-
D6	Whipping cream	US	Rosenow and Marth (1987)	13	4	4.0-21.0	0.9	0.987	6.7	-	-	-	-	-	-

^a n, number of experiments.

^b Measured or calculated from the concentrations of water phase salt, water phase sodium-lactate and water phase sodium-acetate using the PURAC calculator (http://www.purac.com/purac_com/a5348511153c582f5bd69fd6bd64bb49.php).

^c Bold type: Assumed values. See explanation in Section 2.2.

^d Calculated equilibrium concentrations in head space gas using Henry's constant at the appropriate storage temperature. See section 2.2.

^e Food Standards Agency funded data generated at Champden and Chorleywood Food Research Association, UK (Combase id: L168_1 - L168_9)(Combase, 2009).

^f Data from Dairy and Quality Management, Faculty of Food Sciences, University of Warmia and Mazury in Olsztyn, Poland (Combase id: ALO_01 - ALO_08) (Combase, 2009).

Table 4. Comparison of observed and predicted maximum specific growth rates (μ_{max} values) of *Listeria monocytogenes* in processed and ready-to-eat foods (n = 1014)^a

Code	Products	n^b	Delignette-Muller et al. (2006)	Augustin et al. (2005)	Zuliani et al. (2007)	PURAC (2007)	DMRI (2007)	Mejlholm and Dalgaard (2009)
Meat products								
M1	Pork loin	100 ^c	1.8/2.3	1.5/1.9	0.8/1.8	1.2/1.9	0.9/1.5	0.8/1.5
M2-M5, M8-M14	Ham/cold-cuts	154 ^d	2.6/2.7	2.2/2.4	1.8/2.1	1.7/2.2	1.2/1.5	1.2/1.7
M6-M7, M15-M24	Sausages	448 ^e	2.2/2.4	2.2/2.6	1.3/2.1	1.3/1.6	1.1/1.6	1.0/1.5
Average bias and accuracy factors (meat)			2.3/2.4	2.1/2.5	1.3/2.1	1.4/1.8	1.1/1.5	1.0/1.5
Seafood products								
S1-S19		193 ^f	1.7/1.8	0.7/1.9	1.2/1.6	1.3/1.5	1.4/1.6	1.0/1.4
Poultry products								
P1-P13		64	1.5/1.9	2.0/2.1	1.0/1.5	1.0/1.5	1.2/1.5	0.9/1.5
Non-fermented dairy products								
D1-D6		55	0.7/1.6	0.9/1.3	1.0/1.3	0.9/1.3	1.3/1.6	0.9/1.3
Average bias and accuracy factors (all data)			2.0/2.2	1.8/2.3	1.3/1.9	1.3/1.7	1.2/1.6	1.0/1.5

^a See Tables 2 and 3 for information on product characteristics and storage conditions of the experiments.

^b n , number of experiments.

^c All these data were used for development of the DMRI model.

^d 42 of these 154 experiments were used to develop the DMRI model.

^e 276 of these 448 experiments were used to develop the DMRI model.

^f 41 of these 193 experiments were used to develop the model of Mejlholm and Dalgaard (2009).

Table 5. Comparison of observed and predicted growth/no-growth responses of *Listeria monocytogenes* in processed and ready-to-eat foods (n = 1014)^a

Code	Products	n ^b	Observed		Number of fail-dangerous/fail-safe predictions						
			Growth	No-growth	Delignette-Muller et al. (2006)	Augustin et al. (2005)	Zuliani et al. (2007)	PURAC (2007)	DMRI (2007)	Mejlholm and Dalgaard (2009)	
Meat products											
M1	Pork loin	100 ^c	36	64	0/64	6/29	7/1	0/64	4/14	6/1	
M2-M5, M8-M14	Ham/cold-cuts	154 ^d	102	52	0/52	17/26	26/13	0/52	6/29	8/22	
M6-M7, M15-M24	Sausages	448 ^e	304	144	0/144	28/65	49/27	1/133	25/57	31/29	
Seafood products											
S1-S19		193 ^f	160	33	0/33	39/18	12/9	0/33	0/27	1/6	
Poultry products											
P1-P13		64	50	14	0/14	1/13	10/0	0/14	1/2	1/1	
Non-fermented dairy products											
D1-D6		55	55	0	0/0	0/0	0/0	0/0	0/0	0/0	
All data		1014	707	307	0/307	91/151	104/50	1/296	36/129	47/59	
					Correct predictions (%)	70	76	85	71	83	89
					Fail-dangerous predictions (%)	0	9	10	0	4	5
					Fail-safe predictions (%)	30	15	5	29	13	6

^a See Tables 2 and 3 for information on product characteristics and storage conditions of the experiments

^b n, number of experiments

^c All these data were used for development of the DMRI model.

^d 42 of these 154 experiments were used to develop the DMRI model.

^e 276 of these 448 experiments were used to develop the DMRI model.

^f 41 of these 193 experiments were used to develop the model of Mejlholm and Dalgaard (2009).

Table 6. Effect of interactions between environmental parameters on performance indices

Predictive models	Correct	Fail-dangerous	Fail-safe
Augustin et al. (2005)			
Without interaction	68	0	32
With interaction	76	9	15
Psi (ψ)-value (mean \pm SD)		1.28 \pm 0.39	0.69 \pm 0.16
Zuliani et al. (2007)			
Without interaction	80	5	15
With interaction	85	10	5
Psi (ψ)-value (mean \pm SD)		1.35 \pm 0.60	0.64 \pm 0.20
Mejlholm and Dalgaard (2009)			
Without interaction	69	1	30
With interaction	89	5	6
Psi (ψ)-value (mean \pm SD)		1.22 \pm 0.31	0.67 \pm 0.18