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# Heat balance and eddies in the Peru-Chile current system

François Colas<sup>1,\*</sup>, James C. McWilliams<sup>1</sup>, Xavier Capet<sup>2</sup> and Jaison Kurian<sup>1</sup>

<sup>1</sup> Institute of Geophysics and Planetary Physics, University of California, Los Angeles, CA 90095-1567, USA

<sup>2</sup> Laboratoire de Physique des Océans, Ifremer, Plouzané, France

\*: Corresponding author : F. Colas, email address : [francois@atmos.ucla.edu](mailto:francois@atmos.ucla.edu)

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## Abstract:

The Peru-Chile current System (PCS) is a region of persistent biases in global climate models. It has strong coastal upwelling, alongshore boundary currents, and mesoscale eddies. These oceanic phenomena provide essential heat transport to maintain a cool oceanic surface underneath the prevalent atmospheric stratus cloud deck, through a combination of mean circulation and eddy flux. We demonstrate these behaviors in a regional, quasi-equilibrium oceanic model that adequately resolves the mesoscale eddies with climatological forcing. The key result is that the atmospheric heating is large ( $>50 \text{ W m}^{-2}$ ) over a substantial strip  $>500 \text{ km}$  wide off the coast of Peru, and the balancing lateral oceanic flux is much larger than provided by the offshore Ekman flux alone. The atmospheric heating is weaker and the coastally influenced strip is narrower off Chile, but again the Ekman flux is not sufficient for heat balance. The eddy contribution to the oceanic flux is substantial. Analysis of eddy properties shows strong surface temperature fronts and associated large vorticity, especially off Peru. Cyclonic eddies moderately dominate the surface layer, and anticyclonic eddies, originating from the nearshore poleward Peru-Chile Undercurrent (PCUC), dominate the subsurface, especially off Chile. The sensitivity of the PCS heat balance to equatorial intra-seasonal oscillations is found to be small. We demonstrate that forcing the regional model with a representative, coarse-resolution global reanalysis wind product has dramatic and deleterious consequences for the oceanic circulation and climate heat balance, the eddy heat flux in particular.

**Keywords:** Regional modelling – South-East Pacific – Heat Balance – Oceanic eddies – Regional climate

## 1 Introduction

The coastal margin and adjacent ocean of western South America is climatically unique. It is home to El Niño near the equator. Further south off Peru and Chile it has the distinctive elements of a stratus cloud deck; alongshore-parallel winds; upwelling boundary currents and mesoscale eddies; sharp changes in the surface heat-moisture-drag fluxes near the coastline; high biological productivity; marine and anthropogenic aerosol precursors emissions; and subsurface hypoxia/anoxia. Some of these features have rather small lateral scales, which can make them difficult to simulate. This region often has relatively high errors in global climate models with, *e.g.*, warm bias in sea surface temperature (Collins et al. 2006), too little stratus cloud cover, and too much solar radiation at the surface (Cronin et al. 2006), and hence too much evaporation in compensation and too little cooling by oceanic currents (de Szoeke et al. 2010). Furthermore, there is significant upscaling potential for regional influences on global patterns. By regional intervention in the sea surface temperature (SST) in a global coupled model, Large and Danabasoglu (2006) show significant, favorable impact on tropical precipitation around the globe. Using global coupled models, Manganello and Huang (2009) show that reducing the warm SST bias over the region (by applying an empirical heat flux correction) has an important influence on the ENSO variability, and Yu and Mechoso (1999) show that imposed stratus variations off Peru influence the mean and inter-annual variability of SST broadly in the eastern equatorial Pacific. In this context part of the growing interest for this region led to the development of the VOCALS experiment (CLIVAR VAMOS Ocean-Cloud-Atmosphere-Land Study; Wood et al. 2007; Mechoso and Wood 2010) to better understand the regional climate dynamics and its global importance.

A particularly important issue is the upper-ocean heat balance in the Peru-Chile Current System (PCS). A relatively cold oceanic surface is necessary to maintain the stratus cloud deck. Climatology analyses (Yu and Weller 2007; Large and Yeager 2009) and recent observations show a strong atmospheric heating of the ocean by  $40\text{-}80\text{ W m}^{-2}$  (Colbo and Weller 2007; de Szoeke et al. 2010) in an offshore coastal-transition zone extending over many hundred km; this heating is greater off Peru than Chile, and greater toward the coast. Consequently, the equilibrium oceanic circulation must provide a balancing cooling flux to keep the surface from warming and to maintain the SST conditions necessary for the stratus clouds. In equilibrium the vertical oceanic heat flux is not sufficient, and a vertically integrated lateral flux is necessary. Colbo and Weller (2007) conclude that the cooling by offshore Ekman transport, due to the equatorward wind stress, is too small to provide this heat balance. Hence, the remaining oceanic advective heat flux must occur through a combination of mean circulation and mesoscale eddy transport that laterally redistribute the cold water brought into the upper ocean by the coastal upwelling. de Szoeke et al. (2010) and Zheng et al. (2011) show that climate models generally have a biased heat balance in the PCS and suggest that it is a consequence of their incapacity to accurately resolve the nearshore upwelling and the eddy transport. The wind is the primary forcing for the upwelling, and its nearshore structure is also not well modeled with coarse atmospheric model resolution.

In the PCS, as in other eastern boundary regions, the mean flow is weaker than its mesoscale eddy velocities, and the eddy fluxes are important contributors

76 to momentum and tracer balances (Marchesiello et al. 2003; Capet et al. 2008a).  
77 As yet few modeling studies have covered the entire PCS with a horizontal reso-  
78 lution high enough to resolve the mesoscale (*i.e.*,  $dx < 10$  km). In this paper we  
79 report on the upwelling and eddy structures and on regional heat balance in a  
80 high-resolution quasi-equilibrium solution of the PCS circulation. The methodol-  
81 ogy and results are extensions of previous PCS simulations by Penven et al. (2005)  
82 and Colas et al. (2008) and are described in Sec. 2. Xi et al. (2007) and Toniazzo et  
83 al. (2010) are recent coupled-model simulation studies of the PCS with marginal  
84 eddy resolution (*i.e.*,  $0.5^\circ$  in a large-regional domain and  $0.33^\circ$  in a global domain,  
85 respectively). Zheng et al. (2010) is an oceanic simulation study with high resolu-  
86 tion (*i.e.*,  $\leq 1/12^\circ$  in a nearly global domain). Some results of these very recent  
87 studies are described and discussed in Sec. 4.

88 The general characteristics and empirical assessment of the simulation are in  
89 Sec. 3, with particular attention to the nearshore upwelling, mean hydrographic  
90 structure, alongshore currents, and mesoscale activity. The regional heat balance  
91 is in Sec. 4, showing how both mean advection and mesoscale eddy transport do  
92 provide offshore cooling over a large offshore region under strong air-sea warming.  
93 Further analysis of mesoscale eddy properties is in Sec. 5, including an eddy census  
94 showing a large population of subsurface anticyclonic vortices originating from  
95 the nearshore poleward Peru-Chile Undercurrent (PCUC). The sensitivities of the  
96 regional circulation and heat balance to intra-seasonal equatorial fluctuations at  
97 the domain boundary and to the wind forcing by a typical global reanalysis product  
98 are tested in Secs. 6 and 7. The former does not cause a strong climate change in  
99 the PCS, while the latter has dramatic, deleterious consequences for the oceanic  
100 response. Conclusions are in Sec. 8.

## 101 2 Model Configuration

102 To simulate the regional circulation including the mean currents, seasonal cycle,  
103 and mesoscale intrinsic variability, we configure the Regional Oceanic Modeling  
104 System (ROMS “UCLA”; Shchepetkin and McWilliams 2005, 2009) to simulate a  
105 realistic quasi-equilibrium solution of the South American West Coast region in the  
106 Southeast Pacific. ROMS is a free-surface, split-explicit model solving the hydro-  
107 static primitive equations using terrain-following curvilinear vertical coordinates.  
108 It has been successfully used in previous studies of quasi-equilibrium dynamics of  
109 eastern boundary upwelling systems (Marchesiello et al. 2003; Penven et al. 2005;  
110 Marchesiello and Estrade 2007; Capet et al. 2008a; Veitch et al. 2010; Mason et  
111 al. 2011).

112 The configuration covers a domain from  $15^\circ\text{N}$  to  $41^\circ\text{S}$  and from  $100^\circ\text{W}$  to  
113 the South American coast (Fig. 1) with open-boundary conditions at its western  
114 and southern edges (Colas et al. 2008). The horizontal resolution (7.5 km) is high  
115 enough to resolve the nearshore upwelling dynamics and mesoscale eddies because  
116 the baroclinic Rossby deformation radius is around 150 km in the northern part  
117 of the domain and diminishes to 25 km in the south. We use 32 vertical levels, and  
118 the model bathymetry has a minimum depth of 20 m. The bottom topography is  
119 interpolated from the SRTM30 database (Becker et al. 2009). The open-boundary  
120 conditions used here are described in Mason et al. (2010), and they allow for both  
121 incoming information from the boundary data and free evolution in the simulated

122 flow. Subgrid-scale vertical mixing is parameterized using the KPP boundary layer  
 123 formulation (Large et al. 1994), and the dominant lateral mixing is due to the  
 124 upstream-biased advection operator.

125 The surface forcing is mean-monthly climatology. Heat and freshwater fluxes  
 126 are from COADS (Da Silva et al. 1994), and wind stress is computed from QSCAT  
 127 scatterometer data (SCOW monthly climatology with a resolution of  $0.25^\circ$ ; Risien  
 128 and Chelton 2008). Open-boundary information is a monthly climatology taken  
 129 from SODA (Carton and Giese 2008) over the period 2000-2006. To augment the  
 130 surface heat flux climatology, we add a weak restoring tendency (Barnier et al.  
 131 1995) using a 9-km Pathfinder SST climatology (Casey and Cornillon 1999). It  
 132 provides an effective restoring time for the boundary layer of about 60 days, which  
 133 therefore causes little damping of faster phenomena like mesoscale eddies (except  
 134 for the SST signature of long-lived eddies). It does have the effect of boosting the  
 135 model's air-sea heating over the original COADS estimate, but the result is within  
 136 the range of other estimates (Sec. 1). The same type of restoring is also used for  
 137 surface salinity with respect to the COADS sea surface salinity. Pre-processing  
 138 tools are adapted from the package developed by Penven et al. (2008). The model  
 139 is initialized with mean January temperature and salinity from SODA and zero  
 140 velocity. An integration is made for 13 years; the first three years are considered  
 141 the spin-up and discarded from the equilibrium analysis. We denote this quasi-  
 142 equilibrium solution as SA-QCOW.

### 143 **3 Regional Circulation and Variability**

144 In this section we examine some general characteristics of the mean circulation in  
 145 the PCS, coastal upwelling, seasonal cycle, and eddy activity. In several respects  
 146 the present study is an extension of the Peruvian quasi-equilibrium simulation in  
 147 Penven et al. (2005), so some of its topics are not repeated.

148 Upwelling is ubiquitous along the South American West Coast (Fig. 1) as in-  
 149 dicated by the continuous strip of cold water nearshore. Signatures of upwelled  
 150 cold water extend offshore through the distortion of the upwelling front by nu-  
 151 merous filaments, squirts, and eddies along the coasts of Peru and Chile (northern  
 152 and southern subdomain in Fig. 1, respectively). Alongshore equatorward wind is  
 153 the primary forcing of coastal upwelling along an eastern boundary, and the PCS  
 154 winds are upwelling-favorable all year, with two extrema around  $15^\circ\text{S}$  and  $30^\circ\text{S}$   
 155 (Fig. 2). The wind has a weakening transition toward the coast (partly resolved  
 156 in QSCAT except for the nearshore part within 50 km), giving rise to a cyclonic  
 157 wind-stress curl that induces further upwelling by Ekman suction.

158 The geographically distinct wind extrema and the dynamical effects of increas-  
 159 ing Coriolis frequency  $f$  with latitude combine to make the Peru and Chile circula-  
 160 tions somewhat different, and we separate them in many of the following analyses;  
 161 in particular, we will make separate alongshore averages for the Peru region ( $7^\circ$ -  
 162  $13^\circ\text{S}$ ) and Chile region ( $22^\circ$ - $28^\circ\text{S}$ ), utilizing the average orientation of the coastline  
 163 in these sectors to define alongshore and cross-shore directions; the VOCALS re-  
 164 gion around  $20^\circ\text{S}$  lies in between them, both geographically and in its circulation  
 165 behavior. The seasonal cycle in the alongshore wind  $\tau^y$  has a winter maximum  
 166 and a summer minimum off Peru; an overall weaker amplitude off northern Chile;  
 167 and a winter minimum and summer maximum in central Chile (Fig. 2). In Peru

168 the upwelling is at its maximum in winter when the near-surface stratification is  
169 weak, and the maximum upwelling season does not have the strongest upwelling  
170 front (Strub et al. 1998); this is different from other mid-latitude eastern-boundary  
171 upwelling systems (the northern Benguela being another exception; Veitch et al.  
172 2010), for example off central Chile, where maximum upwelling occurs in the  
173 summer when the near-surface stratification is largest. The Peru System has an  
174 enhanced Ekman transport  $\propto \tau^y/f$  compared to the Chile System because it is  
175 nearer the equator with smaller  $f$ , and the coastal upwelling strip is narrower off  
176 northern Chile in part because the Rossby deformation radius (also  $\propto 1/f$ ) is  
177 smaller, which influences the wind response and mesoscale eddy patterns (Fig.1).

### 178 3.1 Hydrographic Structure

179 *In situ* hydrographic measurements are relatively sparse in the Southeast Pacific.  
180 The simulated mean hydrographic structure is assessed against the CARS clima-  
181 tology<sup>1</sup>. Overall, there is good agreement between the model solution and the  
182 temperature observations (Fig. 3). The model thermocline is somewhat too diffuse  
183 both off Peru and Chile; there is little bias near the surface, but the difference is  
184  $0.5 - 1^\circ\text{C}$  at a 100 m depth. The mean thermocline off Peru is quite sharp and  
185 shallow, while it is deeper and broader off Chile. In this paper we focus on temper-  
186 ature because it is relevant to heat balance and it dominates over salinity in the  
187 buoyancy force and pycnocline baroclinic pressure gradients by typically a factor  
188 of three or more. Toniazzo et al (2010) stress the importance of salinity in setting  
189 a non zero mean advection tendency for density. This issue is not the focus of our  
190 paper.

191 Nearshore upwelling is evident in the isotherm tilt that is similar between  
192 the model and CARS. The annual-mean temperature of upwelled water on the  
193 continental shelf is  $16^\circ\text{C}$  and  $15^\circ\text{C}$  off Peru and Chile, respectively; the latter is  
194 similar to observations off Chile (Letelier et al. 2009). There are hints of a possible  
195 cold bias of the very nearshore model SSTs. Comparison with Pathfinder data (not  
196 shown) indicates that, indeed, the model SSTs are colder very nearshore at many  
197 locations with an annual mean bias  $\gtrsim 1^\circ\text{C}$ , in spite of the SST restoring (Sec. 2).  
198 This discrepancy may be partly explained by sampling bias in the nearshore region  
199 from cloud contamination. It may also be due to the inaccurate determination  
200 of the wind-weakening transition at the coast (Capet et al. 2004): if nearshore  
201 wind forcing is overestimated, coastal upwelling possibly is overestimated giving  
202 an overly cold temperature at the coast. Such a bias is reported in previous studies  
203 of upwelling regions (Penven et al. 2005; Veitch et al. 2010; Mason et al. 2011).

204 Another bias (not shown) is model underestimation of the shallow subsurface  
205 salinity minimum observed in the region (Karstensen 2004). At  $28^\circ\text{S}$ , 100 m depth,  
206 and 300 km offshore, CARS salinity is  $\lesssim 34.3$  PSU, whereas the modeled salinity  
207 is  $\lesssim 34.4$  PSU. This difference cannot be explained only by the information in the  
208 open-boundary conditions: in SODA the salinity minimum bias is only about half  
209 as large as in our simulation. Other potential causes for this bias are advection  
210 errors that induce spurious diapycnal mixing (Marchesiello et al. 2009) and errors  
211 in the freshwater forcing (Karstensen 2004).

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<sup>1</sup> <http://www.marine.csiro.au/~dunn/cars2006/>

212 We compare the mixed-layer depth (*mld*) in the solution with the observational  
 213 climatology by de Boyer-Montegut et al. (2004). In their criterion *mld* is the depth  
 214 of a  $0.2^{\circ}\text{C}$  temperature difference relative to the temperature at 10 m depth. There  
 215 is a good general agreement (Fig.3) between the solution and the observations  
 216 at the regional scale, showing similar *mld* deepening offshore. The model *mld* is  
 217 slightly deeper, especially in the nearshore (upwelling) region, but the observations  
 218 there have to be regarded with caution because of their coarse horizontal resolution  
 219 ( $2^{\circ}$ ). The seasonal nearshore variation in the model solution ranges from 15-20 m  
 220 in summer (January-March) to 40-50 m in winter (July-September). The *mld* is  
 221 deeper off Chile than off Peru. Mixed-layer structure and seasonality are important  
 222 elements of the near-surface heat balance in the PCS (Sec. 4).

### 223 3.2 Upwelling and Nearshore Currents

224 Alongshore averages of alongshore and cross-shore velocities in the PCS have typi-  
 225 cal structures for eastern boundary upwelling systems (Fig. 4; Capet et al. 2008a).  
 226 The dominant equatorward wind stress and associated cyclonic curl cause an equa-  
 227 torward near-surface current and a poleward undercurrent (the PCUC) along the  
 228 continental slope (Strub et al. 1998). The equatorward surface current is particu-  
 229 larly intense nearshore in the coastal upwelling region, the Peru Coastal Current  
 230 (*ibid*). The cross-shore circulation consists of an offshore flow in the Ekman layer  
 231 and a weaker onshore flow in the underlying thermocline. Vertical velocity  $w$  is pos-  
 232 itive over the shelf, indicating the coastal upwelling, and strongest near the coast.  
 233 Coastal upwelling and horizontal circulation are more superficial and broader for  
 234 Peru than for Chile. This is due to the difference in shelf topography<sup>2</sup> and due to  
 235 the poleward decrease of the Rossby radius through the decrease of density strat-  
 236 ification and increase in  $|f|$ . Also, the coastally influenced zone tends to broaden  
 237 due to the equatorward increase in propagation speed of Rossby waves that cause  
 238 the coastal signals to be propagated offshore (Philander and Yoon 1982; Colas et  
 239 al. 2008). The nearshore currents off Peru and Chile have strong horizontal and  
 240 vertical shears, hence generate mesoscale eddy activity due to both barotropic and  
 241 baroclinic instabilities (Sec. 3.3).

242 The few observations of the surface coastal currents show rough consistency  
 243 with the model in both speed ( $> 10 \text{ cm s}^{-1}$ ) and structure off Peru and Chile.  
 244 The PCUC has its core centered at a depth of approximately 150 m over the  
 245 Peruvian slope, with a maximum speed  $\lesssim 10 \text{ cm s}^{-1}$ , similar to observations by  
 246 Brink et al. (1983) and Huyer et al. (1991). A second maximum of poleward flow  
 247 occurs offshore at approximately 100 m depth. It is recognizable as the Peru-Chile  
 248 Countercurrent (Strub et al. 1998) and is comparable to observations by Huyer et  
 249 al. (1991) and consistent with the simulation by Penven et al. (2005), which show  
 250 that this offshore poleward flow is related to the cyclonic wind-stress curl through  
 251 Sverdrup balance. Off Chile the PCUC core is deeper than off Peru, at about 250  
 252 m depth with a maximum speed of about  $13 \text{ cm s}^{-1}$ , consistent with observations  
 253 (Shaffer et al. 1999; Blanco et al. 2001). Its cross-shore extent is confined within  
 254 about 50 km from the slope. A surface outcropping of this poleward flow occurs

<sup>2</sup> Off Peru the shelf is about 100 km wide, while it is much narrower off Chile. Estrade et al. (2008) show that both the location of the upwelling and its width vary with the topography.

255 at 50-100 km offshore, right outside the surface equatorward current. The vertical  
256 extent of the PCUC is different in the two regions: it reaches no deeper than 300 m  
257 off Peru, whereas it goes deeper than 500 m off Chile. The poleward deepening of  
258 the PCUC vertical extent is noted by Penven et al. (2005) for Peru and by Veitch  
259 et al. (2010) for the Benguela System; both papers suggest this deepening could  
260 be explained by barotropic potential vorticity conservation, *i.e.*,  $f/H$  is conserved  
261 with increasing  $H$  and  $f$ .

### 262 3.3 Eddy Kinetic Energy

263 The surface eddy kinetic energy (EKE) is a bulk measure of mesoscale activity. In  
264 eastern boundary regions, mesoscale eddies are mainly generated by instabilities of  
265 the alongshore currents, both in the nearshore upwelling region (through baroclinic  
266 and barotropic energy conversions) and in the region further offshore (mainly  
267 through baroclinic conversion; Marchesiello et al. 2003; Capet et al. 2008a). We  
268 calculate surface geostrophic EKE with velocities derived from sea surface height  
269 gradients for both the model solution and altimetry (we use here the DUACS  
270 updated global MSLA merged product for the period 2001-2006; Pascual et al.  
271 2006). Velocity fluctuations are computed relative to the seasonal mean geostrophic  
272 velocity with a temporal high-pass filter to extract intra-seasonal and mesoscale  
273 intrinsic variability. The simulation data are spatially smoothed and temporally  
274 averaged (as described in Capet et al. 2008a) to be more consistent with altimetry  
275 sampling characteristics.

276 The model-data comparison for the spatial distribution of annual-mean EKE  
277 is in Fig. 5. Taking a coarse-grained perspective in light of the sampling uncer-  
278 tainty for eddy statistics (Sec. 4), we see fairly good agreement. There are two  
279 distinct alongshore regions of large EKE  $> 60 \text{ cm}^2 \text{ s}^{-2}$ : off Peru (6-18°S) and off  
280 Chile (24-36°S). Local maxima  $> 100 \text{ cm}^2 \text{ s}^{-2}$  are observed around 10°S, 17.5°S,  
281 27.5°S, and 34°S. These maxima are reproduced in the simulation, although they  
282 are underestimated at 34°S, 17-18°S (near the Pisco – San Juan upwelling plume,  
283 as previously discussed in Penven et al. 2005) and off Peru north of 10°S. The  
284 underestimations may be partly due to the absence in the SODA boundary condi-  
285 tions of intra-seasonal equatorial signals that propagate poleward as coastal waves  
286 (Sec. 6), and they may be partly due to the incomplete model resolution of the full  
287 range of mesoscale variability. Nearshore wind effects are missing in QSCAT which  
288 may modify energetic eddy activity anchored by orographic irregularities (Castelao  
289 and Barth 2006). As in other major upwelling systems, there is an EKE nearshore  
290 minimum in both the simulation and in the observations. This supports the idea  
291 that EKE originates from instabilities in the nearshore region that amplify while  
292 moving offshore (*e.g.*, Marchesiello et al. 2003). Seasonal variation of the EKE  
293 intensity (not shown) is rather weak, around 10%. The offshore regions of Peru  
294 and Chile show an EKE maximum in fall and a minimum in spring. The EKE  
295 comparison with satellite altimetry measurements indicates that the simulation  
296 credibly represents the upper-ocean mesoscale eddies over the region.

## 297 4 Upper Ocean Heat Balance

298 As discussed in Sec. 1, measurements and analyses show a mean net warming  
 299 heat flux from the atmosphere to the ocean of  $40\text{--}80 \text{ W m}^{-2}$  over a wide cross-  
 300 shore swath in the Southeast Pacific, and this warming has to be compensated by  
 301 cooling through oceanic lateral transport. At an offshore buoy site ( $20^\circ\text{S}$ ,  $85^\circ\text{W}$ ),  
 302 Colbo and Weller (2007) estimate that the oceanic eddy flux divergence has an  
 303 important contribution to the heat balance, comparable to the cooling by large-  
 304 scale advection that includes Ekman transport, which by itself is insufficient for  
 305 equilibrium balance.

306 The time-mean oceanic heat balance integrated over the upper ocean is

$$\int_{z_0}^{\eta} \rho_0 C_p \partial_t \bar{T} dz = - \int_{z_0}^{\eta} \rho_0 C_p \nabla \cdot \overline{\mathbf{u}T} dz + Q_{atm} - \rho_0 C_p \overline{\kappa_v \partial_z T}|_{z_0}$$

$$\mathcal{T} = \mathcal{A} + Q_{atm} + \mathcal{D}, \quad (1)$$

307 where  $\rho_0$  is mean density,  $C_p$  is heat capacity,  $\mathbf{u}$  is 3D velocity,  $T$  is temperature,  
 308  $\kappa_v$  is subgrid-scale vertical eddy diffusivity, and  $Q_{atm}$  is the net ocean-atmosphere  
 309 heat flux (including surface fluxes and penetrating shortwave radiation).  $\eta$  is the  
 310 sea surface elevation, and  $z_0$  is the base of the upper-ocean layer. The quantities  
 311 in the second line of (1) are defined in relation to those just above. The advection  
 312  $\mathcal{A}$  is decomposed into eddy  $\mathcal{A}_{eddy}$  and mean circulation  $\mathcal{A}_{mean}$  contributions:

$$\mathcal{A}_{eddy} = - \int_{z_0}^{\eta} \nabla \cdot \overline{\mathbf{u}'T'} = \mathcal{A} - \mathcal{A}_{mean}$$

$$\mathcal{A}_{mean} = - \int_{z_0}^{\eta} \nabla \cdot \overline{\mathbf{u}T}, \quad (2)$$

313 where overbar  $\bar{\cdot}$  is the time average over 10 years of the solution, and prime  $'$   
 314 is the fluctuation. A contribution to  $\mathcal{A}_{mean}$  by the Ekman transport is estimated  
 315 from  $\mathbf{u}_{ek} \cdot \nabla SST$ , with  $\mathbf{u}_{ek} = (\hat{\mathbf{z}} \times \boldsymbol{\tau}) / (\rho_0 f h_{bl})$  and  $h_{bl}$  the boundary layer depth  
 316 determined by the KPP parameterization. The heat-storage trend  $\mathcal{T}$  is negligible  
 317 when averaged over a long-enough period. Also, by integrating over a deep enough  
 318 layer (here  $z_0 = 200 \text{ m}$ , *i.e.*, significantly deeper than the maximum boundary-  
 319 layer depth),  $\mathcal{D}$  becomes negligible ( $|\mathcal{D}| \lesssim 1 \text{ W m}^{-2}$ ; not shown). Then (2) is  
 320 mainly a balance between  $\mathcal{A} < 0$  and  $Q_{atm} > 0$ .

321 Maps of  $\mathcal{A}_{eddy}$  and  $\mathcal{A}_{mean}$  in our simulations show considerable spatial vari-  
 322 ability offshore that largely cancels in their multi-year sum,  $-Q_{atm}$ , which is much  
 323 smoother (Capet et al. 2008a). This variability tends to smooth out slowly when  
 324 averaged over a much longer period (many tens of years). This means that single-  
 325 point heat balances are hard to estimate, as noted by Colbo and Weller (2007)  
 326 and Toniazzi et al (2010). This is not a surprise from the perspective of statistical  
 327 estimation theory applied to eddy measurements (Flierl and McWilliams 1979):  
 328 heat flux covariances are typically a small fraction of the product of velocity and  
 329 temperature anomaly amplitudes and the sampling error decreases as the inverse  
 330 square root of the measurement time. (EKE and other variance estimates also  
 331 converge slowly, but they are generally more reliable than fractional covariance  
 332 estimates.) Nevertheless, there is a degree of spatial organization in  $\mathcal{A}$  that is ro-  
 333 bust with respect to the averaging period (see Fig. 7 below), especially within a



334 few hundred km from the shore. The alongshore averaged patterns, which is what  
 335 we show here, are significantly more robust<sup>3</sup> than horizontal maps (*e.g.*, Capet  
 336 et al. 2008, Zheng et al. 2010).

337 Alongshore-averaged cross-sections of  $\mathcal{A}_{eddy}$  and  $\mathcal{A}_{mean}$  are in Fig. 6 for Peru  
 338 (7-15°S)<sup>4</sup> and Chile (25-35°S). The main balance between  $Q_{atm}$  and  $\mathcal{A}$  is obvi-  
 339 ous (Fig. 6a,b).  $Q_{atm}$  values are in approximate agreement with the observations  
 340 in the region (Sec. 1). The mean advection provides significant cooling nearshore  
 341 (Fig. 6c,d). It is largest near the coast, *i.e.*, 150 W m<sup>-2</sup> within 150 km of the  
 342 shore off Peru and larger than 50 W m<sup>-2</sup> off Chile, in association with upwelling  
 343 and equatorward cold advection by the alongshore current (Fig.4). The compen-  
 344 sating nearshore eddy advection is significantly warming (greater than 50 W m<sup>-2</sup>  
 345 and about 20 W m<sup>-2</sup>, respectively, for Peru and Chile). Offshore in the Chile  
 346 region, there is a narrow band (about 100 km) of distinct cooling supplied by eddy  
 347 advection (30 – 40 W m<sup>-2</sup>) where the mean advection contribution almost van-  
 348 ishes (Fig. 6d). Further offshore, the eddy contribution is negligible, leaving the  
 349 mean advection balancing the net atmospheric flux (20 – 30 W m<sup>-2</sup>). Off Peru  
 350 the situation is rather different with a broad offshore region (300 – 600 km from  
 351 the coast) of significant eddy cooling (20 – 30 W m<sup>-2</sup>), almost as large as the  
 352 mean advection (Fig. 6c). In both regions cooling by Ekman transport is only a  
 353 fraction of the total advection offshore (Fig. 6a,b). It is substantial in a nearshore  
 354 strip that extends further offshore off Peru (~ 150 km) than off Chile (see Sec.3).  
 355 To assess the sampling accuracy, we compute separate averages for years 1-6 and  
 356 7-12 (Fig. 7). Both the eddy and mean advection profiles are similar (Fig. 7a,b),  
 357 implying that the sampling accuracy is sufficient for these alongshore, multi-year  
 358 averages.

359 Next, we examine the depth structure of heat advection (Fig. 8). In the nearshore  
 360 for both Peru and Chile,  $\mathcal{A}$  exhibits a deep cooling related to the upwelling and  
 361 to the alongshore advection over the shelf (Fig. 8c,d). The nearshore eddy advec-  
 362 tion shows a warming that extends comparably deep (Fig. 8a,b). Offshore there  
 363 is cooling associated with mean Ekman transport and geostrophic advection, and  
 364 eddy advection shows warming over the upper part of the mixed layer and cooling  
 365 below. After integrating to  $z_0 = -200$  m, the offshore eddy contribution is a net  
 366 cooling, which is almost entirely a consequence of lateral flux.

367 To further interpret the eddy advection, we examine the eddy buoyancy flux  
 368 components in Fig. 9, with  $b = -g\rho/\rho_0$  mostly dominated by heat, but with  
 369 some contribution from salinity in the offshore surface layer and PCUC core. The  
 370 cross-shore lateral flux,  $\overline{u'b'}$ , is essentially shoreward and is largest in the upper  
 371 pycnocline, where it acts to flatten the upwelling-tilted mean isothermal surfaces  
 372 (Fig. 9a,b). This flux persists far offshore in the pycnocline, but it weakens in  
 373 the surface layer, consistent with rather weak offshore eddy SST anomalies.  $\overline{u'b'}$  is  
 374 stronger and shallower off Peru than Chile, corresponding to the thermocline struc-  
 375 tures for the two regions (Fig. 3). The alongshore flux  $\overline{v'b'}$  is not shown here because  
 376 it provides a negligible contribution to the flux divergence; *i.e.*,  $\partial_y \overline{v'b'} \ll \partial_x \overline{u'b'}$ .  
 377 The vertical flux is upward,  $\overline{w'b'} > 0$  (Fig. 9c,d), and it peaks within the surface

<sup>3</sup> Alongshore averaging over 7 (Peru) to 10 (Chile) degrees increases the number of independant realizations by a factor of at least 3 (off Peru where the deformation radius, and hence the eddy correlation length, is largest). Off Chile the factor may be closer to 10.

<sup>4</sup> The transition region between Peru and Chile (17-22°S) is where most VOCALS measurements were made. It has a heat balance somewhat similar to Peru.

boundary layer (except in the lower PCUC off Chile; Fig. 9d). Its vertical divergence provides the pattern of warming-above/cooling-below, which is an eddy restratification tendency in the upper ocean largely in opposition to vertical mixing by boundary-layer turbulence and perhaps by other small-scale processes like near-inertial shear instability. Lateral flux and vertical restratification are typical of eddy generation by baroclinic instability, as well as near-surface frontal and filamentary processes (Capet et al. 2008b; McWilliams et al., 2009). Both fluxes contribute to conversion of mean available potential energy into eddy energy. The lateral eddy cooling occurs primarily in the upper pycnocline. It then acts to cool the SST when it is connected to the surface by small-scale vertical turbulent mixing that is stronger than the eddy restratification effect; *e.g.*, it occurs above the deepest mixed layer in winter, typically 60-80 m for Peru and 100 m or more for Chile.

In other simulations with much higher horizontal resolution ( $\delta x \lesssim 1$  km), there is a strong outbreak of submesoscale currents in the upper ocean (Capet et al. 2008b). In the Peru region it takes the form of horizontal temperature fronts and filaments (McWilliams et al. 2009a) and spiral eddies. In this submesoscale regime,  $w'b'$  increases substantially compared to the mesoscale regime (Fig. 10). This indicates a more active boundary-layer restratification flux. However, this intensification does not greatly modify the vertically-integrated heat balance in our simulations because the increased restratifying eddy flux is largely compensated for by an increase in the destratifying flux of the boundary-layer turbulent mixing  $\mathcal{D}$  through a modest increase in mean stratification within the boundary layer, although it raises interesting questions about small-scale upper ocean processes.

Thus, the depth-integrated heat balance is dominated by eddy advection  $\mathcal{A}_{eddy}$  in combination with  $Q_{atm}$  heating and  $\mathcal{A}_{mean}$  cooling, where the Ekman advection is usually a modest fraction of  $Q_{atm}$ . This conclusion is consistent, in particular, with the moored time series at  $85^\circ\text{W}$ ,  $20^\circ\text{S}$  in the VOCALS region (Colbo and Weller 2007).

Two recent papers also examine the Southeast Pacific upper-ocean heat balance with an eddy-permitting coupled global climate model (Toniazzi et al. 2010) and an eddy-resolving global oceanic model (Zheng et al. 2010). Both see indications of significant eddy heating near the South American coast, and at least some occurrences of offshore eddy cooling, although their point-wise sampling errors in heat advection are large (*n.b.*, the  $20^\circ\text{S}$  section in Fig 5 of Toniazzi et al. 2010 and the maps in Figs. 4-6 and 12 in Zheng et al. 2010), as are ours. Toniazzi et al. (2010) conclude that both eddy and geostrophic advection are important (in particular at the ( $85^\circ\text{W}$ ,  $20^\circ\text{S}$ ) mooring site), but it stops short of quantitative mean estimates and has only a partial resolution of the mesoscale eddy field. It does see significant modulation of the near-surface ocean heat balance by long-lived transients encompassing inter-annual variability. Zheng et al. (2010) conclude that the eddy advection is unimportant in the Southeast Pacific, and it has a grid resolution similar to our own simulation. However, its mean heating  $Q_{atm}$  is weaker than in observations and in our simulation, so the framing dilemma of how the oceanic surface stays cool is less acute, and the analysis averaging areas are so large that they mix together the weak-eddy, mid-ocean regime and the strong-eddy, coastal-transition regime (its Tables 1 and 5).

## 5 Mesoscale Eddies

Because of the importance of eddies in the regional heat balance (Sec. 4), we further analyze their structure.

### 5.1 SST Fronts

Surface frontogenesis arises in a horizontal deformation flow (*i.e.*, with a high strain rate) between the eddy centers (Capet et al. 2008b). A statistical measure of frontal activity is the probability density function (PDF) of  $|\nabla SST|$  (Castelao et al. 2006). PDFs are computed from our simulation and from satellite SST observations (OSTIA; Stark et al. 2007) in the Peru and Chile regions far enough offshore ( $> 300$  km) to exclude the main coastal upwelling fronts and filaments. Annual-mean PDFs approximately exhibit a power-law distribution in the tail ( $P(x) = x^{-n}$ ) indicative of strong, intermittent fronts. The exponent  $n$  is smaller for Peru than Chile in both the model and measurements (*e.g.*, the model has  $n = 0.18$  and  $0.23$ , respectively; Fig. 11), indicating stronger fronts in Peru. The observations show steeper PDF tails than the model (*e.g.*,  $n \simeq 0.7$  off Peru), probably due to the merging procedure applied in the satellite gridded data analysis (Reynolds and Chelton 2010) that yields a SST field much smoother than the nominal grid resolution (although we cannot rule out that our numerics overestimates to some extent SST gradients). Satellite observations and the present mesoscale simulation both underestimate the strong tail of SST gradient that occurs in simulations with submesoscale frontal dynamics (Capet et al. 2008b). Seasonal PDFs also have a power-law shape. The seasonal cycle is similar in both regions and in both the model and observations, with the greatest frontal activity in fall and the minimum in spring (see the  $n$  values in the inset in Fig. 11).  $n$  varies seasonally in phase with EKE.

### 5.2 Vorticity

The central extremum in a coherent eddy contributes to non-Gaussian tails in the PDF for vertical vorticity  $\zeta^z$ , and frontogenesis contributes to a positive (cyclonic) skewness at the surface (Hakim et al. 2002). Model PDFs for normalized vorticity  $\zeta^z/f$  are in Fig. 12. At the surface they show positive skewness offshore of Peru and Chile, consistent with observations in many locations (Rudnick 2001). Besides the influence from frontogenesis, the negative tail can be limited by centrifugal instability to  $\zeta^z/f > -1$ , as suggested by the surface PDF for Peru. The PDFs also show intermittency in  $\zeta^z$  with non-Gaussian tails. The normalized magnitudes are larger off Peru than Chile, partly because  $f$  is smaller there. None of the vorticity values are much larger than  $|f|$  in this mesoscale simulation, but much larger cyclonic values occur with submesoscale resolution. Large surface vorticity is a characteristic of sharpening of fronts and filaments by horizontal deformation flows, and presumably also of the eddies spawned by their instability (Capet et al. 2008b; Molemaker et al. 2010a; McWilliams et al. 2009a, 2009b). The vorticity amplitude is stronger at the surface than in the pycnocline. Interestingly, the skewness

466 profiles become mostly negative in the pycnocline, indicating anticyclonic vorticity  
 467 dominance (Fig. 12). The minimum skewness occurs at about 150 m depth off  
 468 Peru and 250 m depth off Chile. Anticyclonic vorticity dominance is a character-  
 469 istic of subsurface anticyclonic coherent vortices, which are widespread, long-lived  
 470 eddies found many places around the world (often referred to as Submesoscale,  
 471 Coherent Vortices, SCVs, even though some are mesoscale in size; McWilliams  
 472 1985). The depths of minimum skewness correspond to the depths of the PCUC  
 473 in both regions (Sec. 3.2 and Fig. 4). In other eastern boundary regions, poleward  
 474 undercurrents are known to shed subsurface anticyclonic vortices that propagate  
 475 further westward to populate the oceanic interior, *e.g.*, Cuddies off California  
 476 (Garfield et al. 1999), Meddies off the Iberian Peninsula (Armi and Zenk 1984),  
 477 and Swoddies in the Bay of Biscay (Pingree and Le Cann 1992). The only report  
 478 we know of such eddies in the PCS is Johnson and McTaggart (2010), who have  
 479 shown subsurface anticyclones carrying equatorial water in a region offshore of  
 480 Chile, with an inferred origin in the PCUC. The generation mechanism may be  
 481 associated with intense instability in regions where the boundary current separates  
 482 from the continental slope (D’Asaro 1988; Molemaker et al. 2010b).

### 483 5.3 Coherent Eddies

484 Next, we perform a census of the coherent vortex population using the eddy track-  
 485 ing method applied to the California Current System by Kurian et al. (2011). The  
 486 method is based on closed-contour detections of the  $Q$  parameter that combines  
 487 vorticity and strain rate (Isern-Fontanet et al. 2003). We count an eddy as co-  
 488 herent if it passes the  $Q$  shape criterion continuously for at least 30 days. The  
 489 census spans ten years and is applied independently at different vertical levels for  
 490 the Peru and Chile regions. Previous censuses for PCS surface eddies in altimeter  
 491 observations are Chaigneau et al. (2008) and Chelton et al. (2007).

492 We first analyze the spatial structure of the coherent eddies and focus on  
 493 detections at the PCUC level (150 m in the Peru region, 250 m in the Chile  
 494 region). We make a composite average over all vortices detected at the specified  
 495 depth, both in vorticity (Fig. 13) and temperature anomaly relative to the local  
 496 mean stratification (Fig. 14). Anomalies are defined with respect to a mean vertical  
 497 profile, averaged within a box of 250x250 km centered around the eddy, and  
 498 computed for single instances of each eddy tracked (more details are given in  
 499 Kurian et al. 2011).

500 The composite cyclone has its vorticity maximum at the surface, in spite of the  
 501 detection test being made at the PCUC level. Chaigneau and Pizarro (2005) show  
 502 observations in the region of a cyclonic eddy that is intensified at the surface but  
 503 also has a signature over several hundred meters in depth, similar to the model  
 504 result.

505 Subsurface-detected anticyclones have a different structure with the maximum  
 506 vorticity located at depth. In the Peru region the vorticity core is around 100-150 m  
 507 depth and is clearly isolated from the surface, resembling a mesoscale manifestation  
 508 of a SCV. In Chile the composite-anticyclone core extends from the surface down  
 509 to 250 m depth, without showing a distinct isolation from the surface. These vortex  
 510 core depths roughly coincide with the negative skewness peaks in the  $\zeta^z/f$  PDF  
 511 (Fig. 12). Composite surface-detected anticyclones in the region (not shown) do not

512 have such a deep extension for the vorticity core. The difference in the anticyclonic  
 513 composite structure between the two regions may be due to the shallower and  
 514 sharper thermocline off Peru that provides a more active barrier between the  
 515 surface and the subsurface.

516 There is not clear asymmetry between detected cyclones and anticyclones num-  
 517 bers at depth in either region. But the mean vorticity of detected eddies, at the  
 518 depths of the skewness peaks, is much larger in anticyclonic cores than in cyclonic  
 519 cores (Fig. 13). So, anticyclones dominate the subsurface vorticity field.

520 The thermal structure of composite cyclones is a cold anomaly with a core  
 521 magnitude of  $1^{\circ}\text{C}$  in both regions. The peak anomaly location is subsurface but still  
 522 well above the detection depth. Subsurface anticyclones have different temperature  
 523 anomaly patterns in the two regions. Off Peru there is a SCV-like lens structure in  
 524 the isotherms that dome above the core and crater below it. In the Peru region both  
 525 cyclonic and anticyclonic vortices have a cold temperature anomaly within the first  
 526 150 m depth. Off Chile the upper isotherm doming is not prominent, consistent  
 527 with the vorticity connection between the PCUC and surface. The lower isotherms  
 528 are depressed, so the vortex core has a warm anomaly.

529 In all cases in Fig. 14, the largest thermal anomaly is subsurface within the  
 530 thermocline. This is consistent with the result in Sec. 4 that lateral eddy flux  
 531 occurs mainly in the thermocline. Because we know both the eddy heat flux and  
 532 the results of the vortex census, we can test the appealingly simple idea that the  
 533 heat flux is a consequence of movement of coherent eddies that conserve their  
 534 core thermal anomaly until the eddy eventually dies. Part of this simple idea is  
 535 that the broader background area, outside of the coherent eddies, has an opposing  
 536 lateral transport of water at the mean background temperature. To make this test  
 537 we make the eddy detection in the upper thermocline where the lateral heat and  
 538 buoyancy fluxes are maximal offshore, *i.e.*, at 50 m depth off Peru and 150 m  
 539 off Chile. Table 1 specifies how the coherent eddy flux estimate is made, and it  
 540 compares the result to the total eddy flux,  $u'T'$ . Westward-propagating coherent  
 541 cyclones are especially effective at offshore eastward heat flux because of their  
 542 cold  $T'$  in the pycnocline (Fig. 14). Off Peru the coherent eddy contribution is  
 543 about 20% of the total, and off Chile it is about 35%. We do not view these  
 544 estimates as precise, because eddy detection algorithms are not precise, but they  
 545 do not change much with the detection-method parameters. Thus, the mechanism  
 546 of coherent thermal anomaly transport is an appreciable fraction of the total flux  
 547 (as suggested by Morrow et al. 2004), but flux contribution from “incoherent” eddy  
 548 motions is even larger. An example of the latter is a cold temperature filament  
 549 pulled offshore by a deformation flow between eddy centers (Fig. 1).

550 The subsurface core structure of the composite anticyclones (Fig. 13) supports  
 551 the idea in Sec. 5.2 that these arise from instability of the PCUC and can be cate-  
 552 gorized as a type of SCV, characteristic of the PCS. This view is reinforced by look-  
 553 ing at the composite-anticyclone salinity structure in the Chile region (Fig. 15).  
 554 There is a well-defined central extremum of about 34.6 PSU around 250 m depth,  
 555 which corresponds closely to the subsurface salinity maximum associated with  
 556 the PCUC and is clearly distinct from the deep salinity minimum in the offshore  
 557 region. The subsurface anticyclones in the Peru region do not have such a clear  
 558 signature in salinity because the local PCUC itself is not as anomalous relative to  
 559 the surrounding water.

**Table 1** Annual and areal mean of the eddy zonal temperature flux  $u'T'$  [ $\text{m C s}^{-1}$ ]: total flux and fraction associated with the coherent eddies tracked for at least 30 days as detected by the method of Kurian et al. (2011). Fluxes are computed for the Peru region ( $7\text{-}13^\circ\text{S}$ ) at 50 m depth with a cross-shore average between 50 - 650 km offshore and for the Chile region ( $22\text{-}28^\circ\text{S}$ ) at 150 m depth between 50 - 350 km offshore. For the coherent eddies  $u'T'$  is estimated as the average over all detected eddies of the triple product of  $u'$  taken as the zonal displacement speed of the eddy center,  $T'$  as the area-averaged temperature anomaly within the eddy core ( $T' = T - \bar{T}$ , with  $\bar{T}$  the seasonal mean temperature at the location of the eddy), and the areal fraction within the eddy relative to the analysis domain.

	Total $u'T'$	Coherent eddy $u'T'$
Peru at 50 m depth	$12.5 \times 10^{-3}$	$2.5 \times 10^{-3}$
Chile at 150 m depth	$6.0 \times 10^{-3}$	$2.1 \times 10^{-3}$

## 560 6 Intra-Seasonal Equatorial Boundary Forcing

561 The Peru-Chile System is adjacent to the equator. Its coastline is an effective  
562 topographic waveguide for poleward propagation of equatorial perturbations. This  
563 is true at both inter-annual and intra-seasonal frequencies. ENSO is the dominant  
564 inter-annual component in nearshore currents off Peru (Huyer et al. 1987; Strub  
565 et al. 1998; Colas et al. 2008) and Chile (Blanco et al. 2002; Pizarro et al. 2002).  
566 Intra-seasonal variability of the nearshore currents with periods of 50-70 days  
567 arises through poleward propagation of coastally-trapped waves (Brink et al. 1983)  
568 that are unrelated to local wind variations, but more evidently related to intra-  
569 seasonal equatorial variability (Kessler et al. 1995). Shaffer et al. (1997) show a  
570 pronounced variability of the PCUC off Chile. Intra-seasonal variability is stronger  
571 during ENSO periods. Another equatorial connection is between the subsurface  
572 Equatorial Countercurrents and the PCUC in the PCS (McCreary et al. 2002;  
573 Kessler 2006; Montes et al. 2010)<sup>5</sup>. The PCUC carries equatorial waters along the  
574 slope as far south as Chile and feeds the nearshore upwelled waters, as well as the  
575 subsurface coherent vortices generated by instability (Sec. 5).

576 By using mean-monthly open-boundary forcing (Sec. 2), this equatorially-  
577 generated variability is deliberately left out of our present simulation to focus  
578 on climate equilibrium. Now we test whether there is a climatological impact of  
579 the equatorial intra-seasonal variability by making another simulation with a set-  
580 up identical to the primary one except for a modification of the open-boundary  
581 data: SODA is still used but the boundary data are updated every five days over  
582 the period 2000-2006. This allows both low- and high-frequency variability to en-  
583 ter the domain. To avoid extreme peaks of inter-annual variability, we choose a  
584 period without a strong ENSO event, so the dominant boundary variability is  
585 intra-seasonal. A comparison of the two simulations finds no significant difference  
586 in annual- and seasonal-mean stratification, SST structure, or nearshore currents,  
587 nor is there any important difference in the mean heat balance as analyzed in  
588 Sec. 4. However, the EKE does show an increase along the coast. At 50 m depth  
589 the increase is mainly in the Peru region ( $> 50\%$  increase locally), whereas at  
590 150 m depth it is mainly in the Chilean region ( $> 30\%$ ); we interpret these as  
591 indications of equatorially-generated coastal waves. The offshore EKE shows no

<sup>5</sup> This is different from the Equatorial Undercurrent, as sometimes suggested in previous studies that are based on coarse-resolution models (*e.g.*, Cravatte et al. 2007).

592 clear difference within, say, 20 %, comparable to the sampling estimation error.  
593 The only significant difference is an increase in EKE offshore of Peru north of  
594  $10^{\circ}\text{S}$ , which is broadly within the equatorial-coastal waveguide. Therefore, the im-  
595 portant aspects of the mean heat balance in the PCS are robustly simulated with  
596 only monthly-mean forcing. Of course, there is important inter-annual variability  
597 during ENSO, but that is not our focus here.

## 598 7 Sensitivity to Wind Forcing

599 The coastal wind structure, within about 100-150 km of the shoreline, is of fun-  
600 damental importance in the regional circulation and climate. For example, this  
601 structure controls the competition between Ekman transport and Ekman pump-  
602 ing in an upwelling system (*i.e.*, nearshore stress magnitude and adjacent stress  
603 curl; Pickett and Paduan 2003; Marchesio et al. 2003; Capet et al. 2004). In this  
604 section we demonstrate the harmful consequences of a poor representation of the  
605 wind structure in global climate models, which may be a consequence of coarse  
606 horizontal resolution for the land-ocean transition and steep topography of the  
607 nearby Andes. Many atmospheric models have spectral basis functions and exhibit  
608 Gibbs noise in the PCS (Milliff and Morzel 2001; Large and Danabasoglu 2006).  
609 To illustrate the wind sensitivity we choose to use NCEP Reanalysis (Kalnay et  
610 al. 1996), viewing it as representative of global-model wind fields in lieu of sys-  
611 tematic testing of other reanalyses or coupled-model solutions. We make another  
612 quasi-equilibrium simulation, SA-NCEP, similar to the one described in Sec. 2 but  
613 forced by NCEP monthly climatological wind stress (Fig. 17, right panels).

614 Coastal upwelling almost vanishes in the SA-NCEP solution, as shown by the  
615 weak isotherm tilt in Fig. 16. The annual-mean SST of the upwelled, nearshore  
616 water is approximately  $20^{\circ}\text{C}$  in SA-NCEP, substantially warmer than the  $17^{\circ}\text{C}$  in  
617 SA-QCOW. The reduction of upwelling is also evident in cross-shore sections of  
618 vertical velocity  $w$  (Fig. 16). SA-QCOW has  $w > 0$  everywhere over the shelf and  
619 strongest near the coast, whereas SA-NCEP shows much weaker  $w$  at the coast,  
620 and it is barely positive over the shelf. The reduced upwelling is due to a weaker  
621 alongshore wind in NCEP than in QSCAT particularly at the coast and within 200  
622 km from the shore (Fig. 17). Lateral currents are also quite different in SA-NCEP  
623 (Fig. 16). The coastal equatorward surface current is weaker and more confined  
624 to the surface. The subsurface PCUC is reduced over the slope and occurs at a  
625 shallower depth. The biggest difference is that the SA-NCEP offshore poleward  
626 current dramatically increases, broadening over a few hundred km and reaching  
627 the surface between 100 km and 250 km offshore. The emergence of this strong  
628 poleward flow is related to the broad region of positive NCEP wind-stress curl by  
629 Sverdrup balance extending from 100 to 500 km offshore (Fig. 17); in contrast,  
630 the positive curl is confined within 150 km of the shore in QSCAT. The NCEP  
631 curl supports intense upward Ekman pumping, isotherm doming, and positive  $w$   
632 with maxima around 300 km offshore (Fig. 16). Within 100 km of the shore, the  
633 weaker NCEP curl causes reduced upward Ekman pumping.

634 Because the mean currents are different in SA-NCEP, the eddy field is too.  
635 Its EKE distribution in Fig. 17 is quite different from both the SA-QCOW and  
636 altimetry in Fig. 5. The EKE maxima are intensified and displaced offshore to  
637 west of  $80^{\circ}\text{W}$  off Peru and west of  $75^{\circ}\text{W}$  off Chile. The EKE maximum off Chile is

638 confined north of  $30^{\circ}\text{S}$ , whereas in observations it is around  $33 - 34^{\circ}\text{S}$ , indicating  
 639 a reduction of the mesoscale eddy activity south of  $30^{\circ}\text{S}$  in SA-NCEP. Adjacent  
 640 to the coasts the eddies are too weak off Chile and too strong off Peru compared  
 641 to altimetry. The heat balance in SA-NCEP still has cancellation between  $Q_{atm}$   
 642 and  $\mathcal{A}$ , as it must for the reasons explained in Sec. 4, but this occurs through  
 643 very different relative contributions from  $\mathcal{A}_{mean}$  and  $\mathcal{A}_{eddy}$  (Fig. 18) compared  
 644 to SA-QCOW. In association with the reduced upwelling in SA-NCEP,  $\mathcal{A}_{mean}$   
 645 slackens within 150 km from the coast and does not provide enough cooling to  
 646 balance  $Q_{atm}$ . So,  $\mathcal{A}_{eddy}$  is also cooling nearshore, in contrast to its strong warm-  
 647 ing in SA-QCOW. The total advection  $\mathcal{A}$  accounts for less cooling (about  $40 \text{ W}$   
 648  $\text{m}^{-2}$ ) in SA-NCEP within 200 km of the shore compared to SA-QCOW; this is a  
 649 consequence of the nearshore warm SST bias in SA-NCEP, which causes a reduc-  
 650 tion in  $Q_{atm}$  through the correction from SST-restoring (Sec. 2). The advection  
 651 patterns are also quite different offshore.  $\mathcal{A}_{mean}$  is a strong cooling, related to the  
 652 broad, upward Ekman pumping and mean isotherm doming. Consequently,  $\mathcal{A}_{eddy}$   
 653 provides an offshore warming (*vs.* cooling in SA-QCOW) that acts to limit the  
 654 isotherm doming.

655 Thus, SA-NCEP differs from SA-QCOW in many aspects like coastal upwelling  
 656 dynamics, offshore circulation, eddy activity, and heat balance. Compared to SA-  
 657 QCOW, the SA-NCEP simulation exhibits an unambiguous degradation of its  
 658 degree of agreement with observations; in particular it has a warm SST bias. This  
 659 demonstrates the importance of an accurate wind field for the regional circulation,  
 660 hence for the regional climate. Other global models (reanalysis or coupled) are, of  
 661 course, different from NCEP, but we hypothesize they may have similar difficulties  
 662 in accurately representing the climate of the PCS, as evident in their model biases  
 663 (de Szoeke et al. 2010, Zheng et al. 2011).

## 664 8 Conclusions

665 The PCS has persistent regional biases in global climate models with potentially  
 666 important upscaling effects to the basin and global scales. The central climate  
 667 phenomenon is the stratus cloud deck that owes its existence to the relatively  
 668 cold SST that is maintained by offshore oceanic cooling transport in the presence  
 669 of net atmospheric heating. We have shown how this oceanic transport occurs  
 670 in a regional quasi-equilibrium oceanic simulation that adequately resolves the  
 671 upwelling circulation and mesoscale eddies. The mean offshore Ekman transport  
 672 of upwelled cold water is too small to achieve this balance by about a factor of  
 673 2. Thus, a combination of both the total mean-flow advection and the eddy flux  
 674 is necessary to sustain the offshore oceanic cooling. This offshore cooling occurs  
 675 in the subsurface (upper thermocline) but episodic vertical mixing provides the  
 676 connection with the surface.

677 The coastal upwelling circulation is the principal source of near-surface cold  
 678 water that is then advected further offshore while generating mesoscale eddies.  
 679 Cyclonic vortices tend to dominate the surface field, whereas anticyclonic vortices  
 680 dominate the subsurface. The PCUC is of central importance because it carries  
 681 water from the subsurface Equatorial Countercurrents along the coasts of Peru  
 682 and Chile and disperses it through coherent anticyclones with salty cores.



683 In global climate models coarse horizontal resolution is a source of regional  
684 biases because it leads to a misrepresentation of the oceanic upwelling circula-  
685 tion, PCUC, and mesoscale eddies. However, we also show that there would be  
686 no obvious benefit in increasing the oceanic model resolution while keeping an  
687 atmospheric model resolution with wind-structure biases. Higher resolution in the  
688 atmosphere is apparently a necessary step, and it can lead to improvements in  
689 the Southeast Pacific (Gent et al. 2009; Navarra et al. 2008; however, in these  
690 examples the warm SST and alongshore wind biases are only partially reduced).  
691 There is still a gap to cross in spatial resolution. This argues for regional coupled  
692 models that capture the nearshore mesoscale wind and currents (Boe et al. 2011)  
693 and for multi-scale models that allow for upscaling.

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703 computing.

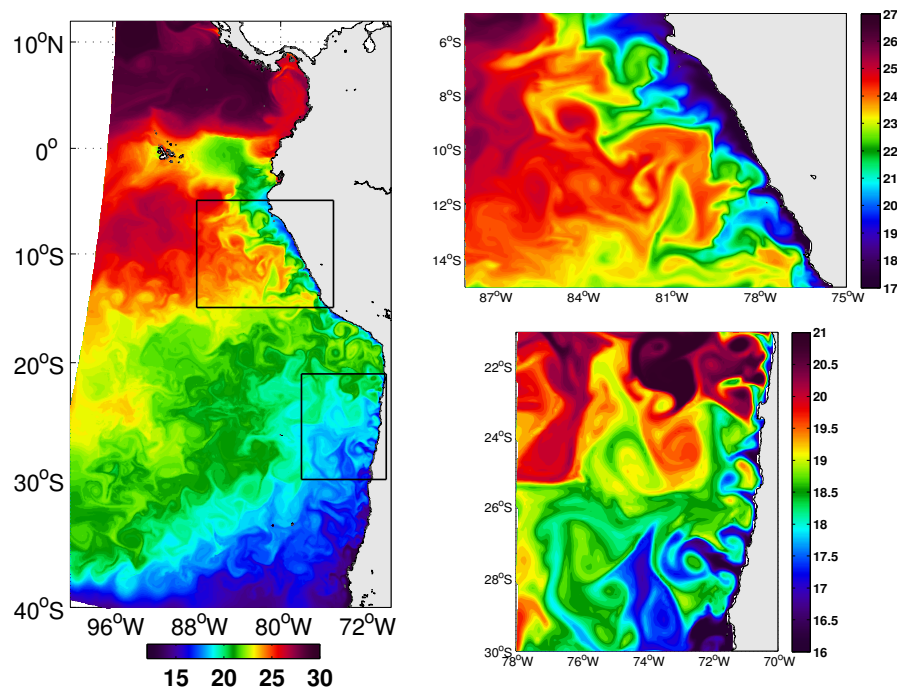
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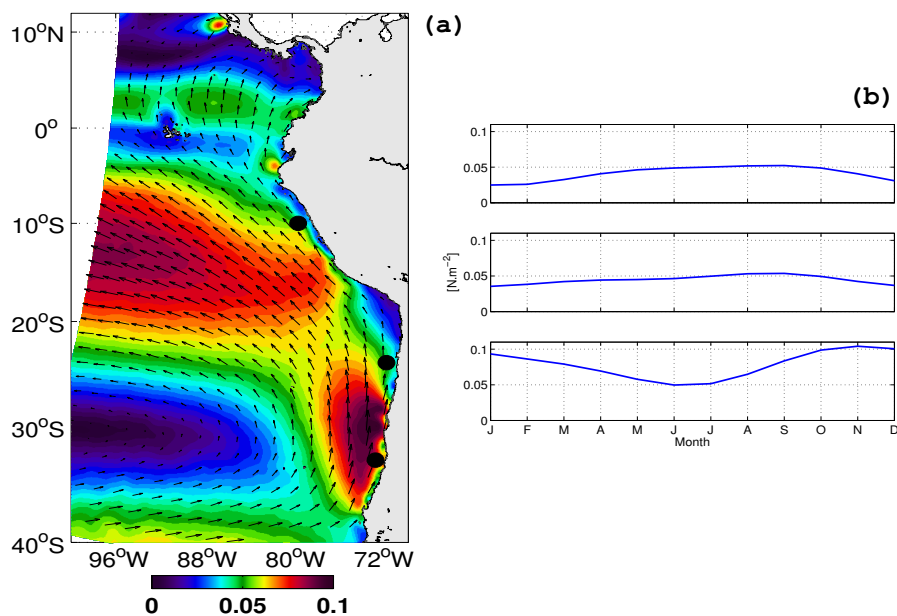
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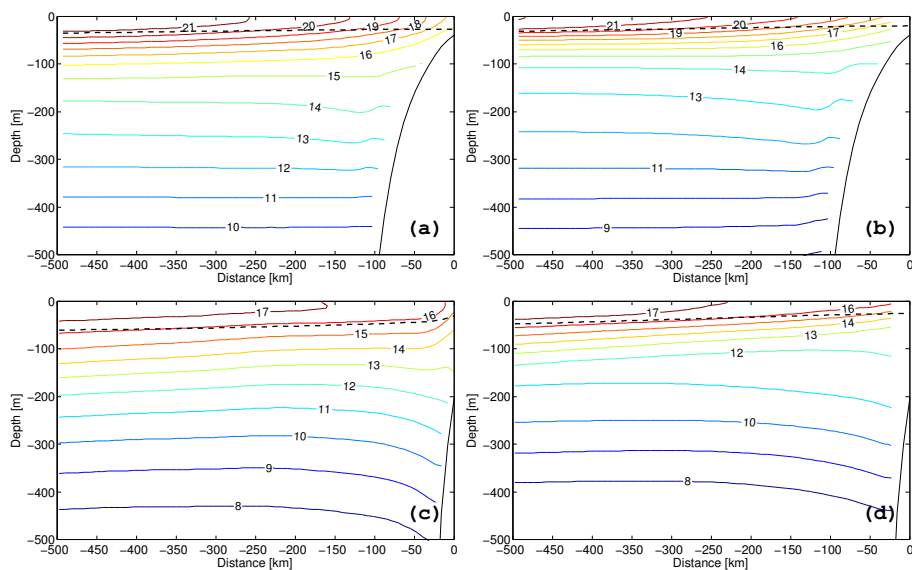
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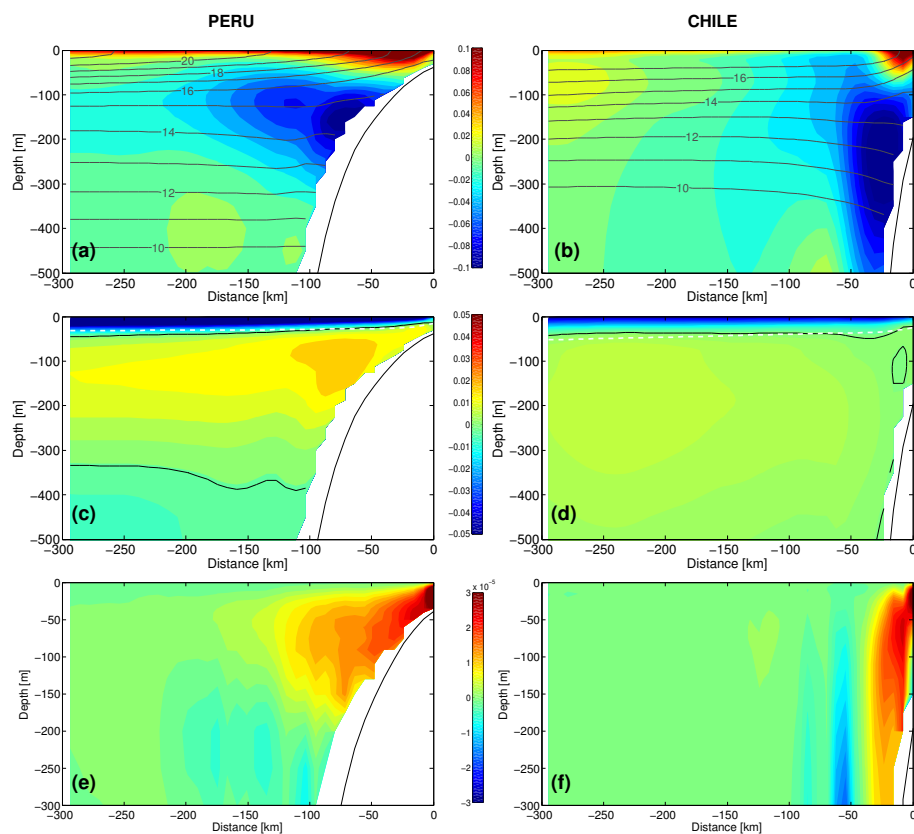
**Fig. 1** Snapshot of simulated surface temperature [ $^{\circ}\text{C}$ ] in the fall over the entire model domain (left), with zooms (right) into the subdomains indicated by black boxes in the left panel. Northern and southern subdomains correspond, respectively, to the Peru and Chile regions, that are further analyzed in the study. Color scales are different for the three plots.



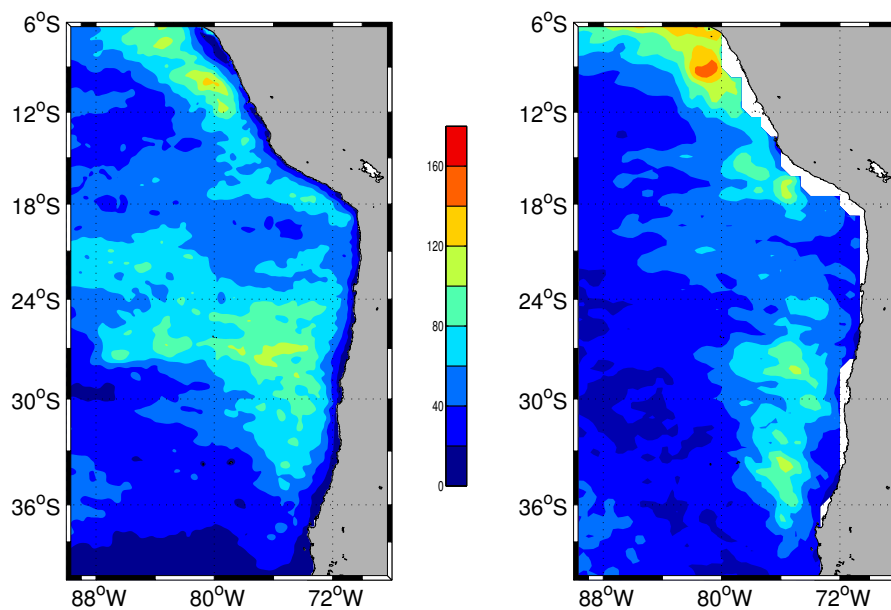
**Fig. 2** (a) Annual mean of QSCAT (SCOW) wind stress magnitude [ $\text{N m}^{-2}$ ] and direction (arrows). (b) Annual cycle of the alongshore component  $\tau^y$  at three different locations indicated by large black dots near the coast on the left panel. Subplots from top to bottom correspond to locations from north to south on the map.



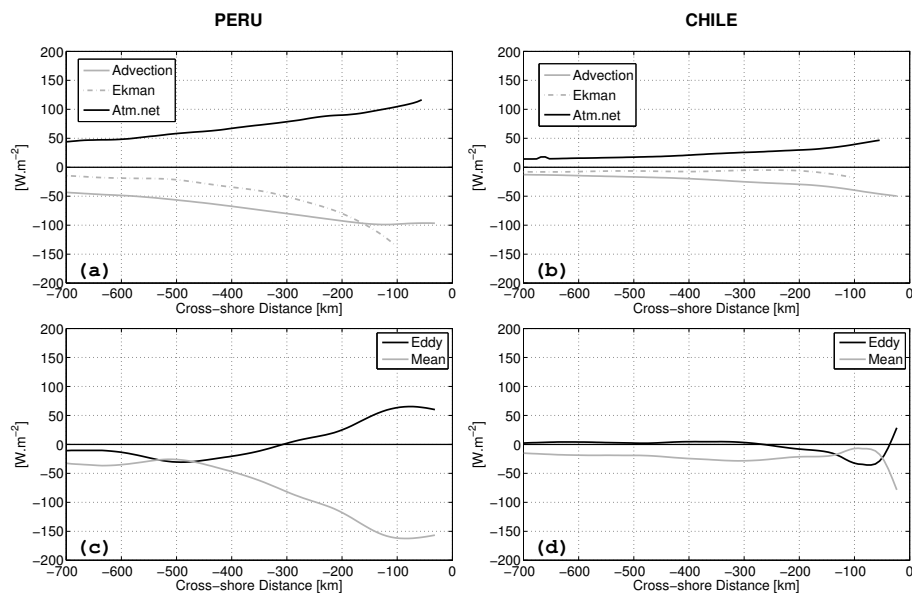
**Fig. 3** Mean vertical sections of temperature, averaged between 7-13°S (a, b) and between 22-28°S (c, d), for the SA-QCOW simulation (left column) and CARS climatology (right column). Black dashed lines are the mixed-layer depth taken from the Boyer-Montegut climatology (right column) and computed for the model solution (left column; following the same 0.2°C-criterion than Boyer-Montegut et al. 2004, see Sec.3.1).



**Fig. 4** (a,b) Vertical sections of mean alongshore velocity  $\bar{v}$  [ $\text{m s}^{-1}$ ] from the SA-QCOW simulation for the Peru and Chile regions, averaged between  $7\text{-}13^\circ\text{S}$  (left) and between  $22\text{-}28^\circ\text{S}$  (right). Black contours represent the mean temperature with contour interval  $1^\circ\text{C}$ . (c,d) Vertical sections of the mean cross-shore velocity  $\bar{u}$  [ $\text{m s}^{-1}$ ]. Black contours are  $\bar{u} = 0$ , and white dashed lines are the mixed-layer depth diagnosed by KPP. (e,f) Vertical sections of the mean vertical velocity  $\bar{w}$  [ $\text{m s}^{-1}$ ].

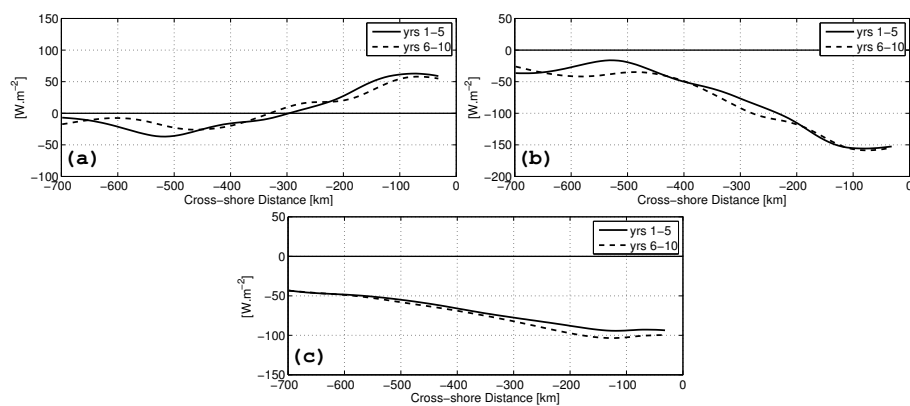


**Fig. 5** Mean surface geostrophic eddy kinetic energy EKE [ $\text{cm}^2 \text{s}^{-2}$ ] for the SA-QCOW simulation (left) and AVISO (DUACS) altimetry (right).

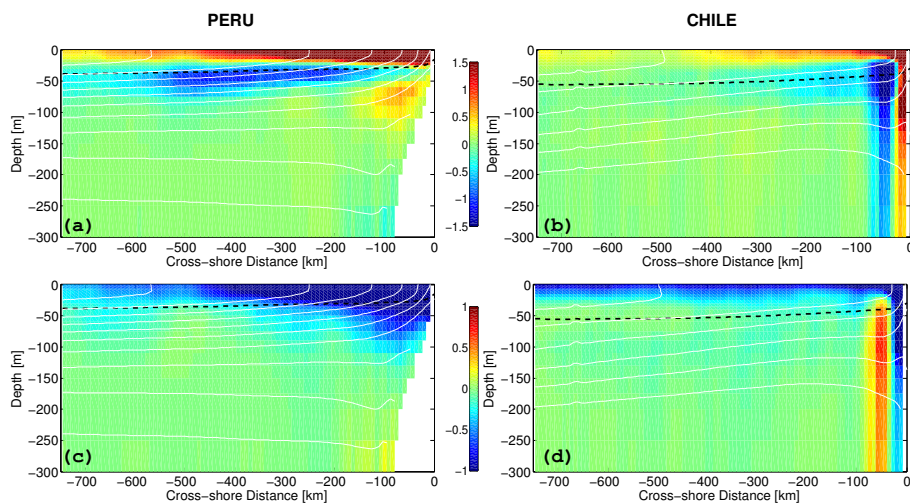


**Fig. 6** Top row (a, b): total heat flux divergence, Ekman-transport contribution, and net air-sea flux. Bottom row (c, d): annual-mean, vertically-integrated (0 to 200 m) eddy and mean heat divergence [ $\text{W m}^{-2}$ ], averaged alongshore for two regions, 7-13°S (Peru on the left) and 25-35°S (Chile on the right).

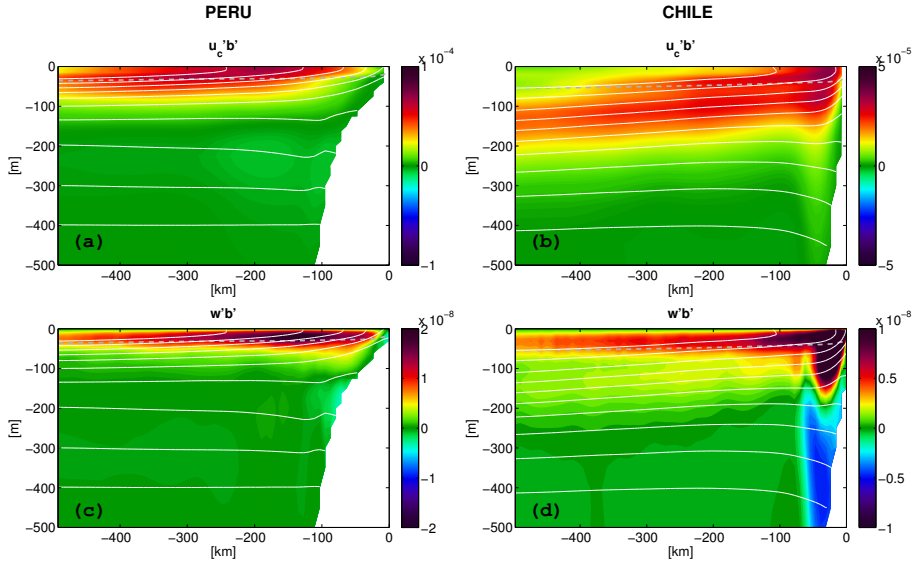




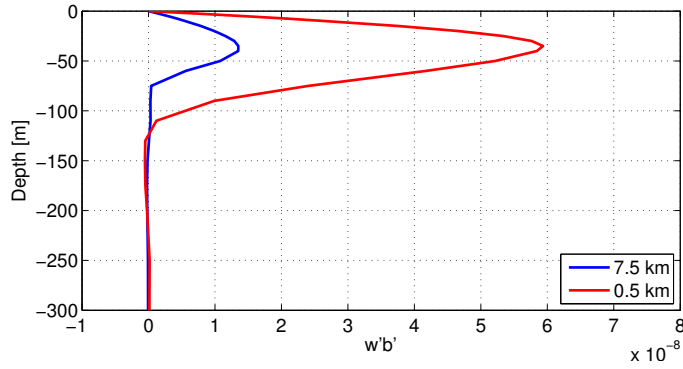
**Fig. 7** Annual-mean, vertically-integrated (0 to 200 m) heat flux divergence [ $\text{W m}^{-2}$ ], averaged alongshore between  $7^{\circ}\text{S}$  and  $13^{\circ}\text{S}$ , for years 1 to 5 (solid lines) and years 6 to 10 (dashed lines): eddy (a), mean (b), and total (c).



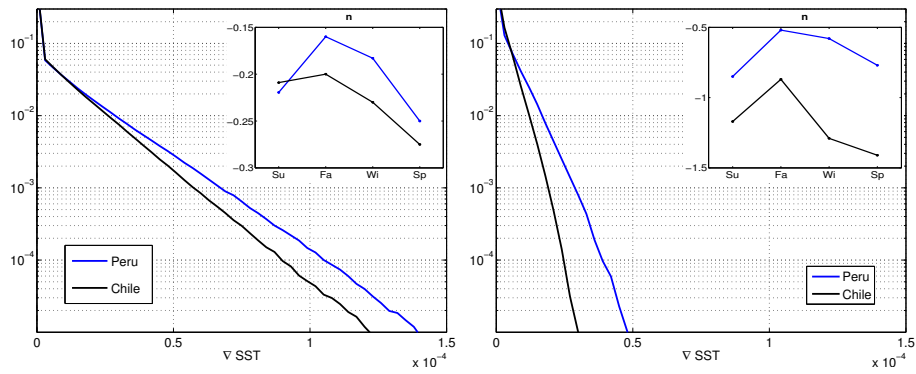
**Fig. 8** Vertical sections of annual-mean heat flux divergence [ $\text{W m}^{-3}$ ], alongshore-averaged between  $7\text{-}13^{\circ}\text{S}$  (left panels) and  $25\text{-}35^{\circ}\text{S}$  (right panels): eddy (a,b) and mean (c,d). White contours are mean temperature ( $1^{\circ}\text{C}$  interval), and the black dashed line is mixed-layer depth diagnosed by KPP.



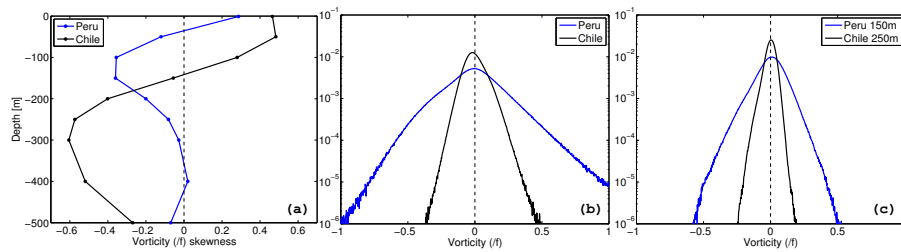
**Fig. 9** Vertical sections of annual-mean of eddy buoyancy fluxes [ $\text{m}^2 \text{s}^{-3}$ ],  $u'\bar{b}'$  (a,b) and  $w'\bar{b}'$  (c,d), averaged between 7-13°S (left panels) and between 25-35°S (right panels). White contours are the mean buoyancy field, and the gray dashed line is mixed layer depth diagnosed by KPP. Color scales are different for the Peru and Chile regions.



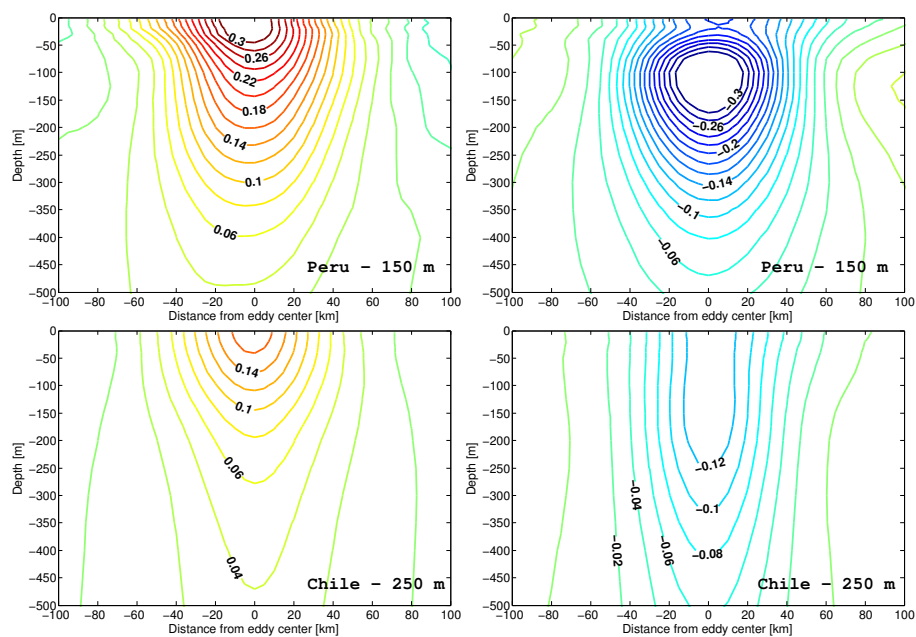
**Fig. 10** Vertical profile of July-mean vertical eddy buoyancy flux  $w'\bar{b}'$  [ $\text{m}^2 \text{s}^{-3}$ ] averaged over a region offshore of Peru from the SA-QCOW simulation (blue; resolution  $\delta x = 7.5$  km) and from a submesoscale solution (red; resolution  $\delta x = 0.5$  km; McWilliams *et al.*, 2009a). The winter season is when  $w'\bar{b}'$  is largest.



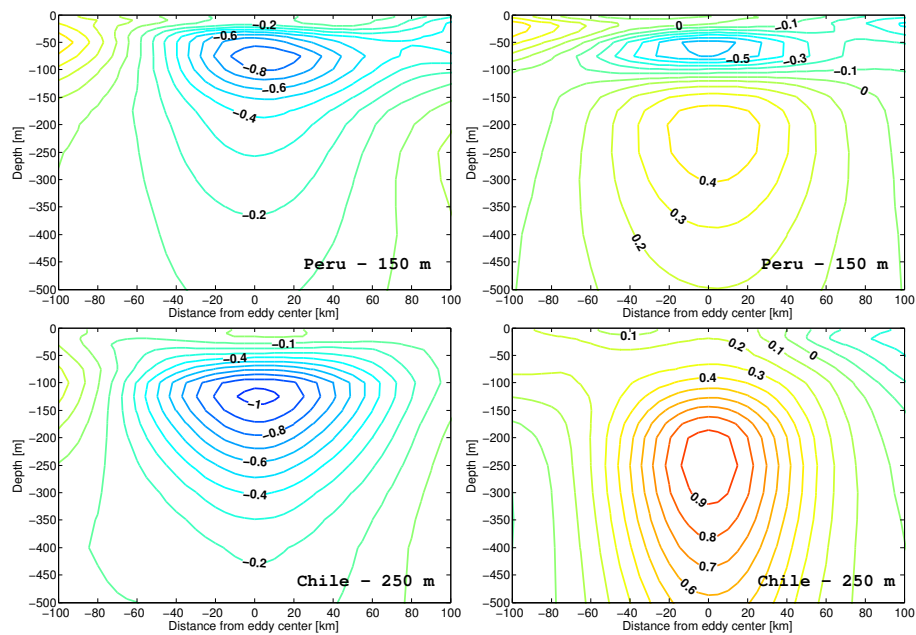
**Fig. 11** All-season PDFs of sea-surface temperature gradient [ $^{\circ}\text{C m}^{-1}$ ] for the SA-QCOW simulation (left) and for the OSTIA satellite observations (right) in two offshore regions off Peru (blue) and Chile (black). Inset plots are seasonal values of PDF power-law tail exponent  $n$ .



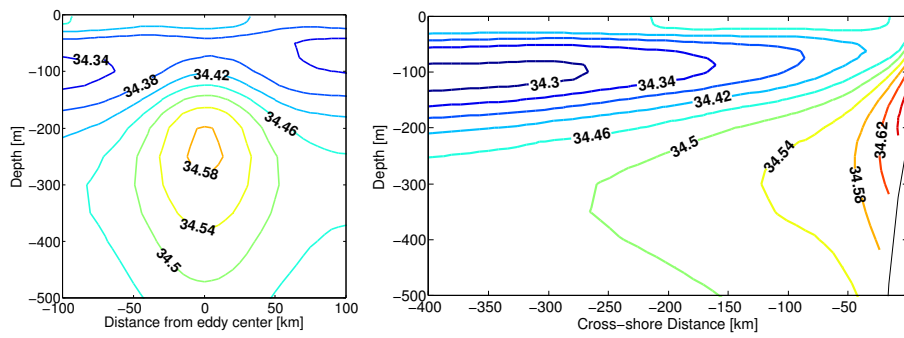
**Fig. 12** Normalized vertical vorticity ( $\zeta^z/f$ ) offshore of Peru and Chile (*i.e.*, 200-700 km from the coast): vertical profile of skewness (left) and PDF at the surface (center) and subsurface (right); at 150 m depth off Peru and 250 m depth off Chile.



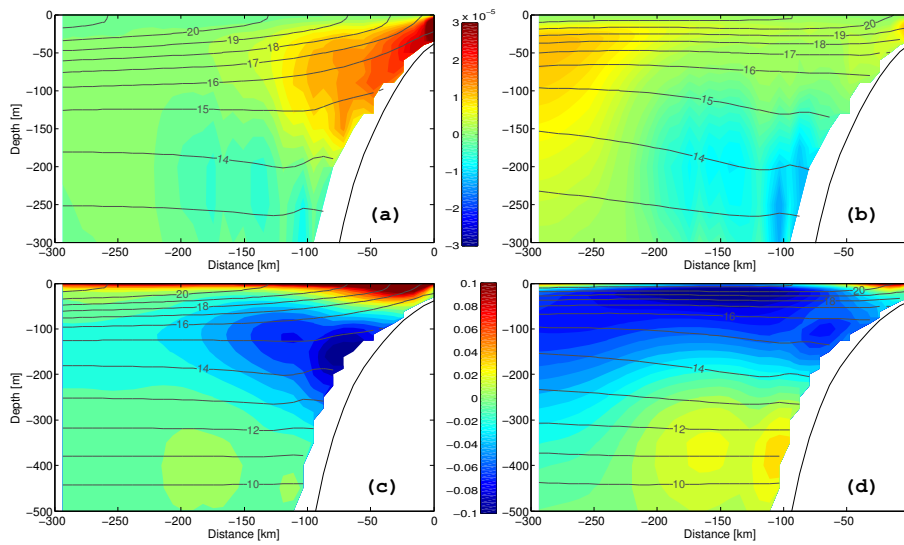
**Fig. 13** Composite  $\zeta^z/f$  structure of detected cyclones (left) and anticyclones (right), at 150 m depth off Peru (top row) and 250 m depth off Chile (bottom row).



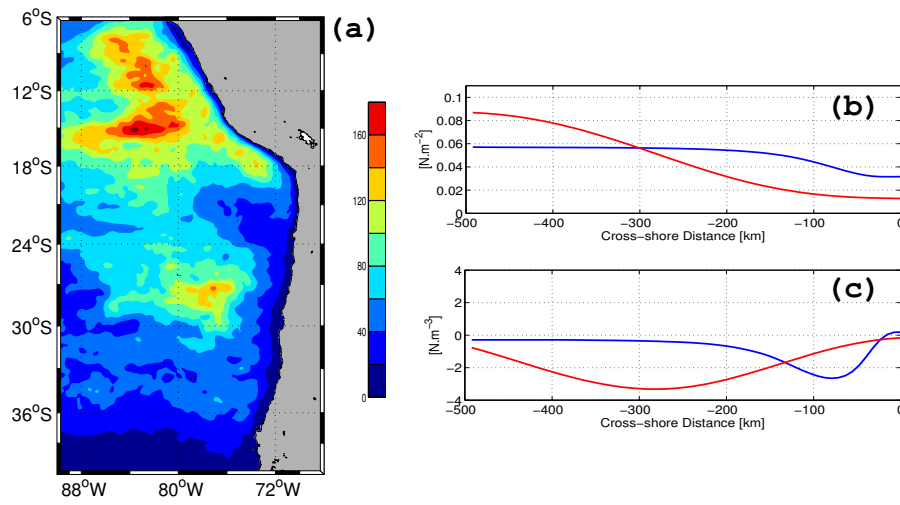
**Fig. 14** Composite temperature anomaly structure of detected cyclones (left) and anticyclones (right) at 150 m depth off Peru (top) and 250 m depth off Chile (bottom). The anomaly is relative to the vertical profile averaged in a  $200 \text{ km} \times 200 \text{ km}$  region around the eddy center.



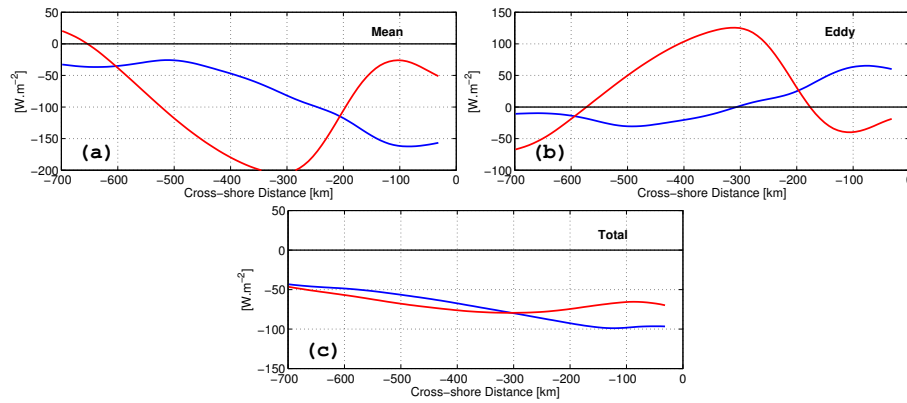
**Fig. 15** (Left) Composite salinity structure of anticyclones detected at 250 m depth for Chile. (Right) Vertical section of mean salinity [PSU] averaged between 26-30°S.



**Fig. 16** Annual-mean, alongshore-averaged vertical section of the vertical velocity  $\bar{w}$  (a, b) and alongshore velocity  $\bar{v}$  [ $\text{m s}^{-1}$ ] (c, d) for Peru (7-13°S). The left column is for the SA-QCOW simulation (a, c), and the right column is for SA-NCEP (b, d). Black contours are the mean temperature [ $^{\circ}\text{C}$ ]. Depth scales are different for (a,b) and (c,d).



**Fig. 17** (a) Eddy kinetic energy  $[\text{cm}^2 \text{s}^{-2}]$  for SA-NCEP. Annual-mean, alongshore-averaged (7-13°S) wind stress  $[\text{N m}^{-2}]$  (b) and curl  $[\text{N m}^{-3}]$  (c) for SA-QCOW (blue) and SA-NCEP (red).



**Fig. 18** Annual-mean, vertically-integrated (0-200 m), alongshore-averaged (7-13°S) heat flux divergences for SA-QCOW (blue lines) and SA-NCEP (red lines): mean (a), eddy (b), and total (c). The mean air-sea flux  $Q_{atm}$  is minus the total advection.