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# The Potential of Microalgae for the Production of Bioactive Molecules of Pharmaceutical Interest

Virginie Mimouni<sup>1, 2</sup>, Lionel Ulmann<sup>1, 2</sup>, Virginie Pasquet<sup>2</sup>, Marie Mathieu<sup>2</sup>, Laurent Picot<sup>3</sup>, Gael Bougaran<sup>4</sup>, Jean-Paul Cadoret<sup>4</sup>, Annick Morant-Manceau<sup>1</sup> and Benoit Schoefs<sup>1</sup>

<sup>1</sup> Mer Molécules Santé, LUNAM Université, University of Maine, EA 2160, Avenue Olivier Messiaen, 72085 Le Mans Cedex 9, France;

<sup>2</sup> IUT de Laval, Rue des Drs Calmette et Guérin, 53020 Laval Cedex 9, France;

<sup>3</sup> Université de la Rochelle, UMR CNRS 7266 LIENSs, La Rochelle, 17042, France;

<sup>4</sup> IFREMER Laboratoire PBA, Centre IFREMER de Nantes, Nantes, 44311, France

\*: Corresponding author : Benoit Schoefs, Tel/Fax : 33 2 43 83 37 72/39 17 ; email address : benoit.schoefs@univ-lemans.fr

## Abstract:

Through the photosynthetic activity, microalgae process more than 25% of annual inorganic carbon dissolved in oceans into carbohydrates that ultimately, serve to feed the other levels of the trophic networks. Besides, microalgae synthesize bioactive molecules such as pigments and lipids that exhibit health properties. In addition, abiotic stresses, such as high irradiance, nutrient starvation, UV irradiation, trigger metabolic reorientations ending with the production of other bioactive compounds such as  $\omega$ -3 fatty acids or carotenoids. Traditionally, these compounds are acquired through the dietary alimentation. The increasing, and often unsatisfied, demand for compounds from natural sources, combined with the decrease of the halieutic resources, forces the search for alternative resources for these bioactive components. Microalgae possess this strong potential. For instance, the diatom Odontella aurita is already commercialized as dietary complement and compete with fish oil for human nutrition. In this contribution, the microalga world is briefly presented. Then, the different types of biologically active molecules identified in microalgae are presented together with their potential use. Due to space limitation, only the biological activities of lipids and pigments are described in details. The contribution ends with a description of the possibilities to play with the environmental constrains to increase the productivity of biologically active molecules by microalgae and by a description of the progresses made in the field of alga culturing.

**Keywords:** Bioactive compound ; alga, pigment ; lipid, health benefit ; abiotic stress ; metabolic reorientation ; diatom ; photosynthetic activity ; carbohydrates

#### 40 INTRODUCTION

41 More than 70% of Earth is covered with water, in which the most dominant group of living organisms is that of 42 algae. Algae belong to the plant phylum. They are mostly living in water while they have colonized every type of 43 ecological niche. The preferences of individual algal species, which determine their geographical distribution, 44 are based on their environmental tolerance and their responses to abiotic interaction. On the other hand, natural 45 populations are morphologically, physiologically and biochemically diverse because of genetic variability and 46 abiotic conditions [1].

47 Algae have a tremendous impact on the sustainability of the marine ecosystem as being the primary producers 48 [2] and, therefore, a food source for other marine organisms. Their potential is not restricted to this point as 49 through feeding of other organisms placed at higher levels in the food chain can take benefit from particular 50 metabolites such as photoprotective compounds [3]. On the basis of their constituting number of cells, algae can 51 be grouped as unicellular or pluricellular organisms, these terms being often taken as synonym for microalgae or 52 phytoplankton and macroalgae, respectively. Algae represent a few percentage among the total number of 53 species described so far (Fig. S1) even though the number of species is probably largely underestimated [4]. This 54 is especially true for microalgae. The use of algae as fertilizers and food is established since the antiquity. 55 Considering the increasing need of food, bioenergy, pharmaceutical and cosmetic compounds, a particular 56 attention has been paid for the last decade to sustainable resources that do not compete with usual food 57 resources. Microalgae are pretty good candidates for such a purpose and their long evolutionary and adaptive 58 diversification has led to a large and diverse array of biochemical constituents. Amazingly, the development of industrial processes using algae remains weak (15 10<sup>6</sup> T produced/year) when compared to the field production 59 60  $(4 \, 10^9 \, \text{T} \text{ produced/year})$  [4], probably because of their typical weak growth rate compared to that of other types 61 of microorganisms [5]. Therefore, the improvement of culturing performances constitutes the best way to make 62 alga cost-competitive. This can be achieved through a deep knowledge of algal biochemistry and physiology and 63 obviously through optimization of bioreactors. Nevertheless, numerous new molecules are isolated, described at 64 the atomic level and tested for their biological activities, as testified by the increasing number of publications on 65 this topic found in databases (total number of papers published between 1964 and 2011 = 705) (Fig. S2). This 66 amount remains however very small when compared with the number of papers published about molecules 67 originating from higher plants (> 13000) [1, 6-10]. Until recently, it was thought that the metabolism of algae is 68 close to that of higher plants. However, the interpretation of sequenced genomes established the originality of the 69 algal metabolism and will bring information about primary and secondary metabolisms, and the presence of key 70 molecules (*e.g.*, [11]). 71 In this contribution, the microalga world is first briefly overviewed. Then the different types of biologically 72 active molecules identified in microalgae are presented together with their potential use. Due to space limitation, 73 only the biological activities of lipids and pigments are discussed in details. The contribution ends with a 74 description of the possibilities to play with the environmental constrains to increase the productivity of 75 biologically active molecules by microalgae and of the progresses made in the field of alga culturing. The data 76 presented in this manuscript are limited to the eukaryotic microalgae producing molecules with a biological 77 activity. Molecules isolated from macroalga, cyanobacteria or dealing with other usages will not be covered here 78 and the interested reader is invited to read the excellent papers published on these topics (e.g., [3,6-7,12-14]). 79 80 THE MICROALGA WORLD: A BRIEF OVERVIEW 81 Algae is a generic term used to designate eukaryotic organisms sharing photoautotrophy (most of the species) 82 and the absence of land plant characteristics such as trachea. From the evolution point of view, alga is a 83 polyphyletic group of taxons, all deriving from the internalization of a cyanobacterium-type organism into a 84 eukaryotic heterotrophic cell. This explains why actual chloroplasts are surrounded by two envelopes [15-17]. 85 On the basis of the chloroplast pigments, three lineages are currently considered as distinct evolutionary clusters 86 of taxa [15-17]:

- *The blue lineage of primary endosymbionts* in which chlorophyll *a* (Chl *a*) is the only Chl-type of molecule
  and the chloroplast still contains a peptidoglycan cell wall typical of cyanobacteria. These organisms being
  not eukaryotes, this lineage is not presented here.
- *The red lineage of primary endosymbionts* in which Chl *a* is also the only Chl-type of molecule. Belong to
  this lineage more than 6,000 species, mostly unicellular and marine, including many notable seaweeds, of red
  algae or Rhodophyta. Subcellular and phylogenetic analyses revealed that red algae are one of the oldest
  groups of algae [18-19]. The oldest fossil eukaryote so far identified is a red alga and was found in rocks
- dating to 1,200 million years ago [20].
- *The green lineage of primary endosymbionts* in which Chl *a* is associated to Chl b. Belongs to this lineage the
  green algae or Chlorophyta (more than 6,000 species), from which the higher plants emerged. Chlorophyta
  forms a paraphyletic group of unicellular, colonial, coccoid, caenobial and filamentous forms as well as
- 98 seaweeds.

99	
100	To explain the presence of additional membranes around the chloroplasts, a secondary endosymbiotic act is
101	usually invoked. The members of the red lineage of secondary endosymbionts constitute a very diverse group of
102	organisms, the most important from the pharmaceutical point of view being the diatoms (Heterokonta) and the
103	dinoflagellates (Alveolata).
104	
105	Diatoms
106	With 250 orders and more than $10^5$ species, the diatom taxon is one of the most diverse group of microalgae
107	[21]. Diatoms are thought to contribute as much as 25% of the Earth primary productivity [22]. Diatoms have the
108	unique property to have a siliceous cell wall and are characterized by a typical pigment composition: chlorophyll
109	c as accessory Chl molecule and fucoxanthin as the main carotenoid [23-24]. Diatoms are used in aquaculture to
110	feed mollusks whereas several intracellular metabolites such as lipids (eicosapentaenoic acid (EPA),
111	triacylglycerols) and amino acids are extracted and used by pharmaceutical and cosmetic industries [25-26].
112	Beside these compounds, diatoms may excrete toxins, pigments and antibiotics.
113	
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129	results in human poisoning, the prominent symptoms being gastrointestinal disorders. Beside these toxins, the
130	dinoflagellate Amphidinium klebii produces different groups of macrolides such as amphidinol-7 (3) [30]
131	exhibiting extremely potent cytotoxicity against L1210 cells <i>i.e.</i> mouse lymphocytic leukemia cells and
132	antifungus activity [29]. Goniodoma pseudogonyaulax excretes antimicrobial and antifungal substances such as
133	goniodomin-A (4) [31-32]. In addition, goniodomoin A has been shown to inhibit angiogenesis [33].
134	Prorocentrum lima and Dinophysis sp. synthesize okadaic acid, a protein dephosphorylation inhibitor and
135	Gambierdiscus toxicus produces ciguatoxin and maitotoxin that cause diarrhetic disturbances (Table S1).
136	Gambierdiscus toxicus also produces fungus growth inhibitors, the gambieric acids [29] (Table S1).
137	Several diatom species have been reported to synthesize domoic acid (5) (Table S1) [34], a tricarboxylic acid
138	antagonist of the neuroexcitatory glutamate receptors [25] that can be fatal after accumulating in shellfish, some
139	of which being able to retain high level of this compound [35]. Domoic acid was found to be very effective in
140	expelling ascaris and pinworms [29].
141	
142	Pigments: As mentioned earlier, most of the algae are photoautotrophs. Consequently, their chloroplasts are rich
143	in pigmented molecules such as tetrapyrroles and carotenoids. The molecules are able to absorb light thanks to
144	their characteristic conjugated double bonds. Each photosynthetizing microalga contains at least the close
145	tetrapyrrole Chl $a$ (6). Except in red algae, in which Chl $a$ is accompanied by the open tetrapyrroles
146	phycoerythrin, phycocyanin and allophycocyanin, green and brown algae contain another type of Chl molecule
147	(Table 1). The set of light harvesting molecules is complemented with several carotenoids (Car) (Table 1). As it
148	will be explained below in details, these molecules have a great health and therapeutic potential.
149	The diatom Haslea ostrearia synthesizes and excretes a hydrosoluble blue pigment, the so-called
150	marrenine, responsible for the greening of oyster gills [7]. This pigment exhibits an antiproliferative effect on
151	lung cancer model [36] and has potential antiviral and anticoagulant properties [37].
152	
153	Amino acids: Beside the universal functions of amino acids in proteins, they are important for skin hydration,
154	elasticity, photoprotection (see below) and are included in cosmetics [7]. Amino acids from diatoms exhibit
155	dermatological properties [38].
156	

Photoprotectants: The best known photoprotectants synthesized by microalgae are mycosporine-like amino
acids (MAAs) (Fig. S3). MAAs act as sunscreens to reduce UV-induced damage and also as ROS scavengers

159 [39]. Mycosporine-like amino acids have been found in more than 380 marine species, including microalgae 160 [40]. A database referencing the studies in microalgae, cyanobacteria and macroalgae is available at the University of Erlangen, Germany (http://www.biologie.univ-erlangen.de/botanik1/html/eng/mar-database.htm). 161 162 A recent study reported the screening of 33 different species belonging to 13 classes of microalgae for MAAs 163 [40]. The highest concentrations were found in dinoflagellates whereas diatoms contained only low amounts. 164 MAAs have the potential to replace or supplement today's available sunscreens and particularly those based on 165 petrochemical products. More recently, other photoprotective molecules such as pyropheophytin a (Eicenia 166 bicyclis: [41]), fucoxanthin (Fuco) (Hijikia fusiformis: [42]) have been isolated from brown macroalgae [3,29]. 167 Because these molecules are also present in microalgae, they have been also considered here. Jeffrey et al. [43] 168 have reported the occurrence of such compounds in 206 strains of 152 microalgae. In many microalgae, the cell 169 is made more resistant to UV by the accumulation in the cell wall of sporopollenin [44], a Car-polymer 170 absorbing UV light. 171 172 Lipids: In animal cells, essential fatty acids and specifically polyunsaturated fatty acids (PUFAs) are 173 incorporated into lipid membranes in which they increase the fluidity and exchanges between extra and 174 intracellular compartments. Numerous studies have demonstrated that dietary  $\omega$ 3 PUFAs have a protective effect 175 against atherosclerotic heart disease [45-48]. The two principal  $\omega$ 3 fatty acids in marine oils, eicosapentaenoic 176 acid (EPA;  $20.5\omega3$ ) (7) and docosahexaenoic acid (DHA;  $22.6\omega3$ ) (8), have a wide range of biological effects. 177 Both EPA and DHA are known to influence lipoprotein metabolism, platelet and endothelial function, 178 coagulation, and blood pressure. More specifically, EPA performs many vital functions in biological membranes, 179 and is a precursor of several lipid regulators involved in the cellular metabolism. In addition, the effect of  $\omega 3$ 180 fatty acids may depend, to some extent at least, on the presence of underlying disorders such as dyslipidemia, 181 hypertension, diabetes mellitus, and vascular diseases [48]. DHA is a major component of brain, eye retina and 182 heart muscle, it has been considered as important for brain and eye development and also good cardiovascular 183 health [49]. ω3 fatty acid supplementation in animals and humans results in substantial increases in the plasma 184 and tissue levels of EPA and DHA, as well as variable incorporation of the phospholipid classes in various 185 tissues. These differences may be important for the subsequent use and metabolism of EPA and DHA. Although 186 both fatty acids are thought to be biologically active, most studies have focused on the relative importance and 187 effects of EPA, primarily because of its predominance in marine oils and fish species. Because animal cells are

188 unable to synthesize these molecules, they must be acquired through the diet. So far, the main source for PUFAs, 189 free or methyl ester derivatives, fatty alcohols, fatty amines and glycerol is fishes. However, fish oil depends on 190 fish quality and fish resources, which are declining and fish tends to accumulate poisonous subtances via the 191 food chain. Therefore, alternate sources have to be exploited. Microalgae present an excellent potential for this 192 purpose because (i) their fatty acid profile is simpler than that of fish oil, (ii) the production condition can be 193 controlled and last but not least, (iii) the algal species can be selected according to the PUFA required (see 194 below). In contrast to EPA, molecules from fish oil products are unstable and exhibit a poor taste, EPA esters 195 from microalgae are of better quality and more stable [50]. Importantly, selected PUFA can be favored through 196 choosing culture conditions. Some species, such as *Phaeodactylum tricornutum* produce mainly EPA [51]. 197 Among the lipids, arachidonic acid (Ara), an essential fatty acids, is produced by some algae such as Nitzschia 198 conspicua [52]. Ara is also a precursor of prostaglandins and leukotrienes and, is also a component of mature 199 human milk [53]. All these molecules can be used for different activities such as nutrition (human and animal), 200 pharmaceutics, cosmetics, aquaculture and biodiesel production.

201

#### 202 Polysaccharides

203 Best producers of polysaccharides of interest are brown and red seaweeds. Among the different types of

204 polysaccharides synthesized by these algae and also synthesized by red microalgae such as Porphyridium sp.,

those that are highly sulfated present an antiviral activity [54-55].

206

#### 207 Miscellaneous

208 In addition to their used in flavor and fragrance industries, monoterpenes have drawn increasing commercial

attention because of their putative action as natural insecticides and antimicrobial agents [56]. Little is known

about the production of these molecules in microalgae but their use as biotransformant has been reported [56].

211 Water extract of the marine diatom *Haslea ostrearia* exhibited anticoagulant activity [37].

212 Due to space limitation for this review and the availability of the data, only the lipids and pigments, as molecules

213 with biological activities, are detailed in the next section.

214

215 LIPIDS AND PIGMENTS, TWO TYPES OF BIOLOGICALLY ACTIVE COMPONENTS216 SYNTHESIZED BY MICROALGAE

217

#### 218 Lipids

219 Fishes and marine microalgae are the primary producers of  $\omega$ 3 PUFA. While microalgae synthesize  $\omega$ 3 PUFA, 220 fishes usually obtain EPA via bioaccumulation in the food chain. So far, two of the questions that have been 221 addressed are: (i) is it cheaper to produce  $\omega 3$  fatty acids from algae is than from fishes? and (ii) are  $\omega 3$  fatty 222 acids obtained (EPA and DHA in particular) from microalgae as effective as those obtained from fish oil? 223 Regarding the first question, it was shown that  $\omega$ 3 fatty acid production from microalgae would indeed be less 224 expensive than the one from fishes. In addition, unlike fish oil, microalgal  $\omega$ 3 fatty acid extracts have no odour, 225 are less susceptible to be contaminated by heavy metals, and do not contain cholesterol [57]. Finally, when 226 microalgae are grown under controlled conditions, the composition of the fatty acids shows no seasonal variation 227 [58]. As fish oil fails to meet the increasing demand for purified PUFA, alternative sources are being sought, 228 especially from microalgae. Microalgae contain lipid levels between 20-50% (Table 2), but in stress conditions 229 such as N-deprivation or an irradiance or temperature increase, some species of microalgae are able to 230 accumulate up to 80% of their dry weight in fat [59-60], including large quantities of high-quality  $\omega$ 3 PUFAs 231 (Table 2). Thus, algae are gaining increasing attention because of their important values for human health as well 232 as for aquaculture.

233 So far several algae are already used as dietary supplements. Chlorella sp., a freshwater unicellular green alga, is 234 known to be a good source of proteins, lipid soluble vitamins, pigments, choline, and essential minerals in a 235 bioavailable form. The administration of *Chlorella* affects some biochemical and physiological functions [71]. 236 As algal sources of DHA come the brown alga Schizochytrium sp. (40% DHA, 17% docosapentaenoic acid 237 (DPA)), the green alga Ulkenia sp. and the red alga Crypthecodinium cohnii (40-50% DHA) [72]. The 238 production from the latter species is especially well described [73] and marketed by Martek company. DHA 239 produced from microalgae is mainly used for child and adult dietary supplements [74]. Moreover, C. cohnii have 240 effects in aquaculture [75]. It has already been showed that algal oils rich in DHA are nutritionally equivalent to 241 fish oils in several tests [76], suggesting that algal oils could constitute a substitution to fish oils. In addition, 242 new algal sources for the  $\omega$ 3 very long chain PUFAs (VLCPUFA) are being examined. These include the 243 production of EPA from other strains such as marine diatoms. P. tricornutum, a marine diatom, has been widely 244 used as a food organism in aquaculture and considered as a potential source for EPA production [77]. The sole 245 marine microalga known to be rich in EPA used as a dietary supplement is the marine diatom *Odontella aurita*. It 246 has been shown that extracts of this microalga have an anti-proliferative effect on cultures of bronchopulmonary 247 and epithelial cells [78]. Different experimental models are used to conduct studies in relation with use of  $\omega 3$ 248 fatty acids from microalga sources. Using freeze-dried microalgae, animals and specifically murine models are 249 often used as previously described by several authors. Normal or modified (chemically and genetically) strains 250 of mice and rats have been already used to study the effects of *Chlorella* sp. on myelosupression induced by lead 251 [79], on glycogenesis improvement in diabetic mices [71] and on dyslipidemia prevention in rats fed with high 252 fat diet treatments [80]. The comparison of rats fed with freeze-dried *Odontella aurita* or with fish oil shows that 253 the plasma triacylglycerol concentration in rats fed microalgae was lower than in the control group and also than 254 in the fish oil group (Fig. 1). The plasma concentrations of HDL- and LDL-cholesterol were significantly higher 255 by comparison with the control rats. For the rats fed with fish oil, LDL cholesterol was similar to the rats fed 256 with control diet, while HDL cholesterol was higher than in the group of control rats. Nevertheless, the 257 HDL/LDL cholesterol was statistically similar in both the control and microalga-fed groups of rats, whereas this 258 ratio is greater in the rats fed with fish oil.

259 According to the use of microalga as an alternate to fish oil, differences in the enrichment of tissue in  $\omega 3$  fatty 260 acids and specifically in EPA were mentioned. Indeed, results reported in Fig. 2 show that the levels of EPA, 261 obtained for each organ are significantly different from ones obtained in the two other groups (for all studied 262 organs). In fact, whatever the organ considered (liver, heart or kidney), EPA levels were significantly higher in 263 rats fed with the freeze-dried microalga diet than in those fed with fish oil or control diets. Moreover, significant 264 higher amount of DPA was found in the liver and kidney of the rats fed with the diatom diet than in those from 265 rats fed with fish oil or with the control diet. The n-6/n-3 ratio in liver, heart or kidney, were significantly 266 different in the three experimental groups, the rats fed the control diet being systematically higher than in the two 267 other groups. In addition, this ratio tends to be lower in the rats fed the freeze-dried microalga diet by 268 comparison with those fed the fish oil one. These results showed that a freeze-dried Odontella aurita diet could 269 be considered as an alternate source to fish oil in regulation of blood parameters involved in lipid metabolism 270 and in the enrichment of tissue in  $\omega$ 3 fatty acids and specifically in EPA. This enrichment into EPA at the 271 expense of Ara incorporation into total lipids of liver, heart and kidney could have beneficial effects in the 272 cardiovascular disease prevention as described with fish oil. Moreover, when intact microalgae are used in diet, 273 the effect of the  $\omega$ 3 fatty acid role could be potentiated with pigment content such as Fuco or other Cars. These 274 results are in line with those published by Rao & Rao [81] and Micallef & Garg [82], who found a synergistic action between pigments, fatty acids and phytosterols on plasma lipid concentration decrease, on inflammatory
response and thus on cardiovascular disease risk prevention. These molecules that are naturally contained in *Odontella aurita* make this organism a major actor in human nutrition as an alternate to fish oil.

278

#### 279 **Pigments**

280 Three major classes of photosynthetic pigments occur among microalgae: Chls and derivatives, Cars (carotenes 281 and xanthophylls) and phycobilins, which together represent hundreds of purified molecules [83]. Considering 282 their high structural diversity and the possibility to pharmacomodulate these molecules, the potential of 283 microalga pigments to obtain molecules of therapeutical interest is very high. Because of their lability and 284 difficult purification, the biological activity of most molecules remains unstudied. A large number of studies 285 designed to purify and identify bioactive molecules from microalgae have lead to the isolation of pigments. 286 These purified pigments usually have a high activity on pharmacological and cellular effectors, at very low 287 concentrations.

288

## 289 Antioxidant, anti-inflammatory and antimutagenic activities

290 Oxidative stress is a major cause of inflammatory events implicated in a large number of diseases, such as 291 cancer, neurodegenerative and cardio-vascular diseases, or diabetes. The antioxidant and anti-inflammatory 292 activities of microalga pigments is widely demonstrated and evidenced in numerous in vitro free radical 293 scavenging assays and in vivo assays. The antiradical capacity of metal-free Chl-derivatives such as chlorins, 294 pheophytins, and pyropheophytins is much weaker that the corresponding metallo-derivatives. Protoporphyrin 295 methyl ester and its magnesium chelated derivative, as well as pheophorbide b and pheophytin b, were also 296 identified as strong antioxidant molecules [84]. The ability of the porphyrin ring to transfer electrons explains the 297 antioxidant activity of Chls and derivatives. The high antioxidant activity of pheophorbide b, compared to 298 pheophorbide a, suggests that the presence of the aldehyde function may also be critical to this activity [85]. The 299 antioxidant properties of Chls and Chl-derivatives disappear in the presence of light [86]. Metal-free and 300 metallo-Chl derivatives have also antimutagenic activities, as demonstrated using a bacterial mutagenesis assay 301 [87-88]. Microalgal carotenoids (e.g., zeaxanthin (Zea), astaxanthin (Asta) (9)) and epoxycarotenoids (e.g., 302 neoxanthin) have strong antioxidant activities in vitro and in vivo in animal models. Particularly, Asta has a great 303 potential to prevent cancer, diabetes and cardiovascular diseases [89-90]. The presence of the hydroxyl and keto 304 endings on each ionone ring explains Asta unique features, such as the ability to be esterified [91], a higher 305 antioxidant activity and a more polar configuration than other Cars [92]. Epidemiologic studies demonstrate an 306 inverse relationship between cancer incidence and dietary Car intake or blood carotenoid levels, but intervention 307 trials using a high dose of carotene supplements did not show protective effects against cancer or cardiovascular 308 disease. Rather, the high risk population (smokers and asbestos workers) showed an increase in cancer cases in 309 these trials [93]. Phycocyanin c and phycoerythrin also exhibit antioxidant and anti-inflammatory activities [94-310 96]. As a conclusion, most microalgal pigments exert strong in vitro antioxidant activity, but additional 311 intervention trials are required to precise their absorption, metabolism and potential as natural antioxidant, anti-312 inflammatory and antimutagenic compounds in vivo.

313

## 314 Cytotoxicity

315 A large number of studies performed in cancer cells grown in vitro clearly demonstrate the antiproliferative, 316 cytotoxic and pro-apoptotic activities of Chl derivatives, Cars, and phycobilins. Moreover, several studies 317 designed to purify antiproliferative molecules from marine microalgae have led to the isolation of epoxyCars 318 (e.g., Fuco, violaxanthin (Viola) (10) [78,98]. Fuco is the prototypical example of a microalgal cytotoxic 319 pigment with an important therapeutic potential. Its strong antiproliferative, cytotoxic and pro-apoptotic 320 activities, at concentrations inferior to 1  $\mu$ M, have been widely studied and demonstrated on a large number of 321 human cancer cell lines from various tissular origin (lung, breast, prostate, lymphoma, gastric, uterine, 322 neuroblastoma, etc) [99-102]. The molecular mechanisms involved in the cytotoxic activity of Fuco are not 323 completely understood, but various cellular targets of Fuco have been identified. Because of its hydrophobicity, 324 Fuco easily crosses and integrates cell membranes. It inhibits mammalian DNA-dependent DNA polymerases 325 [103], protects against ROS and UV-induced DNA injury [104-105], down regulates cyclins and CDK 326 expression [99,102,106-107], disturbs major transduction pathways controlling cell survival and transcriptional 327 activation of genes involved in resistance to apoptosis and anticancer drugs in cancer cells. (MAPK, NF-KB 328 [99,101], p21WAF/Cip1 CDK inhibitor [108], Bcl-xL [109-110]). Fuco also enhances Gap junction intracellular 329 communication, an important process in the control of cell growth, differentiation, apoptosis induction and 330 diffusion of anticancer drugs [111]. Intestinal absorption and metabolism of dietary Fuco into its major 331 metabolite fucoxanthinol was demonstrated in mice, but not in humans [112]. In the same way as Fuco, a large 332 number of microalga pigments were identified as cytotoxic at very low concentrations in cancer cells. They 333 belong to the epoxyCars class (e.g., Viola [98], halocynthiaxanthin [100,103,113-114], peridinin [114-117]), to 334 Chl derivatives (e.g., Chl a, pheophytin a, pheophytin b, pheophorbide a) or to phycobilins (e.g., phycocyanin) 335 [96]. Moreover, for some of them, their anticancer activity was confirmed after *per os* absorption. As an 336 example, in the pathogen-free ddY strain mice, the development of skin tumors induced by 12-*O*-337 tetradecanoylphorbol-13-acetate is suppressed when 1 µmol peridinin is added in dietary water [118]. For most 338 molecules, intestinal resorption, bioavailability and metabolism are unknown. Besides, their effect in noncancer 339 cells and immune cells is mostly unexplored. Understanding their pharmacological activity in human cells may 340 allow to obtain potent selective anticancer pharmaceutics.

- 341
- 342 Multi-drug resistance reversion in cancer cells

343 Microalgae pigments may have interest to restore drug sensitivity or reverse multi-drug resistance in cancer 344 cells, as some of them inhibit or down-regulate drug efflux pumps. As examples, neoxanthin increases 345 rhodamine 123 accumulation in multi-drug resistance (MDR) colon cancer cells [119], inhibits the P-346 glycoprotein (P-gp) efflux pump and reverses MDR in doxorubicin-resistant MCF-7 cells and hmdr1- transfected L1210, at 4 and 40 µg.mL<sup>-1</sup>, respectively [120]. Viola and violeoxanthin are effective MDR modulators in Colo 347 320, at 4 and 40 µg.mL<sup>-1</sup>, respectively [119]. Moderate P-gp inhibition by Viola was observed in hMDR1-348 transfected L1210 and MDA-MB-231 expressing the MRP1 pump (HTB26) at 20 µg.mL<sup>-1</sup> [121-122]. In the 349 350 same way, a significant reduction of P-glycoprotein expression in R-HepG2 cells, at both transcriptional and 351 translational levels, was observed when cells were treated with pheophorbide a [123].

352

## 353 Antiangiogenic activity

Fuco and its physiological metabolite fucoxanthinol have antiangiogenic effects, as demonstrated in the blood vessels and HUVEC tube formation assays. In SCID mice injected subcutaneously with 10<sup>7</sup> HUT-102 cells, fucoxanthinol did not affect tumor incidence, but significantly slowed tumor growth. It also significantly decreased microvessels outgrowth, in a dose-dependent manner, in an *ex vivo* angiogenesis assay [124].

358

## 359 Use as fluorescent probes

The physicochemical characteristics of phycobilins, Chl and Chl catabolites make them suitable for use as fluorescent probes for cellular and tissular analysis (*e.g.*, cell sorting, cytofluorescence, flow cytometry, histofluorescence, binding assays, ROS detection, labeling of pathological or apoptotic cells, *etc*). Phycocyanin or phycoerythrin-coupled antibodies are common reagents available for research and medical use, in which phycobilins act as powerful and highly sensitive fluorescent probes (for reviews, see [96]). 365

#### 366 *Other preventive or therapeutical use*

Microalgal pigments have demonstrated their lack of toxicity and biological activity in a wide range of 367 368 biological applications, including prevention of acute and chronic coronary syndromes, atherosclerosis, 369 rheumatoid arthritis, muscular dystrophy, cataract and neurological disorders. They are also recommended to 370 protect the skin and eyes against UV radiation [125]. Lutein is one of the major xanthophylls found in green 371 microalgae. It selectively accumulates in the macula of the human retina, protects the eyes from oxidative stress, 372 and acts as a filter of the blue light involved in macular degeneration and age-related cataract [112,126-127]. 373 Fuco anti-allergic activity was recently evidenced using a rodent mast cells model [128]. It could also have 374 interest to limit the risk of obesity [129]). Because of their antioxidant and anti-inflammatory activity, most 375 microalga pigments have neuroprotective effects in cultured rat cerebellar neurons, and hepatoprotective effects 376 in hepatocytes grown in vitro (e.g., phycocyanin, phycoerythrin) [96]. Besides, some studies have demonstrated 377 antiviral and antifungal activities for some pigments (e.g., allophycocyanin, phycocyanin) [96].

378

#### 379 Potential and obstacles to a possible pharmaceutical development of microalgae pigments and derivatives

380 The lack of oral toxicity of microalgae pigments may be due to a weak intestinal resorption but also suggests a 381 possible pharmaceutical development for these molecules (e.g. [24]). Most microalga pigments are labile 382 molecules, sensitive to light and oxygen, and it is highly probable that their half-life in a physiological context is 383 short [130]. This lability has interest when considering new applications, but is also a limit to their 384 pharmaceutical development. It also explains the high price and low availability of pigments standards, 385 necessary to set up intervention trials and clinical assays. Consequently, there is a lack of *in vivo* studies on 386 absorption, metabolism and pharmacokinetics of microalga pigments. Moreover, dozens of pigments and 387 derivatives are unstudied because no standard is available. It is essential to carry on the development of 388 economically viable industrial processes to obtain high amounts of pigments and derivatives (selection of over-389 producing species and strains, definition of physiological conditions giving the best production yields, optimization of eco-extraction and purification processes, development of chemical and chimioenzymatic 390 391 synthesis). As an example, the average carotenoid concentration in dry microalgae is 0.1-2% (w:w). When grown 392 under optimized conditions of salinity and light intensity, *Dunaliella* produces up to 14%  $\beta$ -carotene [72,131-393 132]. Purification from natural sources is much more expensive than chemical synthesis, but has the advantage 394 of providing pigments in their natural isomer proportions (e.g., carotene) [72,131-132]

395

# ABIOTIC STRESSES: A CONVENIENT WAY TO INDUCE THE METABOLIC REORIENTATION AND INCREASE THE PRODUCTION OF SELECTED BIOACTIVE COMPOUNDS.

- As microalgae play a major role in CO<sub>2</sub> uptake [2,22], numerous studies deal with effects of abiotic stresses on
  algal biology and metabolism. The main objectives of some of those studies were to predict how and what algae
  will cope with climatic change and increasing pollution. The commercial exploitation of the natural microalgal
  diversity for the production of carotenoids and PUFAs has already started up with few strains such as *Chlorella vulgaris* (Trebouxiophyceae), *Dunaliella salina* (Chlorophyceae), *Haematococcus. pluvialis* (Chlorophyceae)
  [133-134] and *Odontella aurita* (Bacillariophyceae). In this section, the impacts of abiotic stresses such as light,
  UV-radiation, nutrient starvation, temperature and metals on microalgal metabolism and on the production of
- 405 biological active compounds is reviewed.
- 406

#### 407 Light

408 More than terrestrial plants, microalgae display a diversity of light harvesting pigments (Table 1) allowing 409 photosynthesis at different depths according to pigment content. Photosynthetic apparatus of most microalgae 410 acclimates to light level and light quality by optimizing pigment content and composition [135-141]. Microalgae 411 are confronted with variations of light by movements in the water column and emersion for coastal benthic 412 species. To cope with high sunlight intensities, microalgae have developed different photoprotective 413 mechanisms. One of these, the xanthophyll cycle, consists in the reversible conversion of Viola, antheraxanthin 414 and Zea in green algae and in the reversible conversion diadinoxanthin and diatoxanthin in brown algae [91,141-415 142]. Acclimation to low irradiance intensity or blue enriched light increases Car synthesis such as Fuco [140]. 416 The photoprotection or the low photoacclimation leads carbon to Cars whereas in nonstressfull conditions, C 417 serves mainly to growth (cell wall edification). In the marine diatom *Haslea ostrearia*, C fixation by  $\beta$ -418 carboxylation is almost equal to that in the C<sub>3</sub> pathway whereas under low irradiation C<sub>3</sub> fixation dominates 419 [144]. Light intensity has also an impact on lipid synthesis, PUFAs: EPA was significantly higher under low 420 light, and saturating fatty acids and DHA levels were higher under high light in Pavlova lutheri [140]. EPA and 421 DHA are now recognized as having a number of important neutraceutical and pharmaceutical applications. 422

423 UV-radiation

424 Microalgae experience high levels of UV-radiation in shallow areas, low turbide habitats or during low tides 425 when they are deposited on intertidal flats. Several authors have shown that UV exposure increases carotenoid 426 content [145-146] and, in some Bacillariophyceae, MMAs synthesis [147-148]. Guihéneuf *et al.* [149] have 427 shown that a 8-day exposure to UV decreases the PUFA content, EPA by 20% and DHA by 16% in *Pavlova* 428 *lutheri* but not in *Odontella aurita* in which the PUFA content remains unchanged. As other environmental 429 stresses, UV radiation stimulates the intracellular ROS production [150-151] triggering antioxidative defence 430 such as antioxidative enzyme activities and antioxidant compounds (glutathione, α-tocopherol, ascorbate, *etc*).

431

## 432 Nutrient starvation

433 The reorientation of the metabolism induced by nutrient starvation is illustrated by the accumulation of Asta in 434 H. pluvialis under N-limitation and P- or S-starvation [133,152-153]. Asta accumulation is related to a massive 435 increase in carbohydrate content up to 63% of the cell dry weight [154] and an increase of lipid content in the 436 cytoplasm. In the Crytophyceae Rhodomonas sp., N-starvation triggers a rapid decline in N-containing 437 compounds causing an almost complete loss of phycoerythrin [155]. Riyahi et al. [156] have shown that the 438 production of  $\beta$ -carotene in *Dunaliella salina* was increased with nitrate 1 mM and salinity 30%, On the other 439 hand, in the microalga Tradydiscus minutus (Eustigmatophyceae), N-starvation brings about a nearly 50% drop 440 in triacylglycerols (TAGs) containing EPA, and also a decrease of TAGs containing Ara, while P-starvation has a 441 sizable effect on those TAGs that contain two or three Ara [157]. Many microalgae promote a shift in lipid 442 metabolism by producing substantial amount (20-50% of dry weight) of TAGs as lipid storage during the 443 stationary phase when nitrate becomes depleted [158]. The amount of EPA partitioning into TAGs varies 444 according to strains and also during the different phases of growth within a species.

445

#### 446 Metals

447 Some metals such as Cu, Fe, Zn are essentials for cell metabolism since they are components of electron

448 transporters involved in photosynthesis and respiration, some enzymes, *etc.* When metals are present in excess,

they induce an oxidative stress [159-160] and antioxidant defense mechanisms already cited above. To cope with

- 450 metals in excess, many microalgae excrete exopolysaccharides that adsorb metals in the medium and prevent
- them to enter inside the cells [161-162]. Polysaccharide depolymerization by different procedures allows the
- 452 obtention a variety of oligomers with potential applications in therapeutics and in biotechnology [10]. However,

18

in the presence of Cd, the xanthophyll cycle in *Phaeodactylum tricornutum* is inhibited [163]. The impact ofmetals depends on their speciation and the growth medium pH [164].

455

#### 456 **Temperature**

457 Microalgae can synthetize VLCPUFA as major fatty acid components [165]. Experiments in controlled 458 conditions are necessary in order to select species producing those PUFAs, in what conditions, at what stage of 459 their growth, and in what lipid class. Tonon et al. [158] have shown than fatty acids accumulate during the 460 stationary phase of growth when nitrate concentration in the growth medium was low. EPA production is higher 461 at 8°C than at 25°C in the red microalga P. purpureum [166]. In the marine diatom Nitzschia leavis cultivated at 462 15, 19 and 23°C, growth is enhanced at the highest temperature but the lowest temperature favours the 463 distribution of PUFAs in phospholipids and increases EPA content in TAGs [167]. As in terrestrial plants, the 464 increase of PUFAs in membrane was suggested to be a strategy to maintain membrane fluidity under low 465 temperature.

466

#### 467 LARGE-SCALE CULTURE AND BIOMOLECULE PRODUCTION

468 Microalgae are a source for a variety of bioactive compounds. However, they remain largely unexplored and, 469 until now, very few commercial achievements of microalgal biotechnology have emerged [168]. During the last 470 decades, researchers and engineers have developed several cultivation technologies that are still in use today. 471 Seen very often as obvious, the subsequent culture of a given microalgae can be more difficult than expected in 472 the attempt to up-scaling. Numerous drawbacks and difficulties await the entrepreneur wishing to set up a 473 commercial production. The choice of photobioreactors, light systems, control for pH, CO<sub>2.</sub> etc.. batch or 474 continuous cultures, management of nutrients, water supply, water treatment onward and outward as well as the 475 energy needed will constitute a strategic debate. Concerning the biological aspects, once the proper selected 476 strain is chosen, the type of culture system, the feeding strategies (photoautotrophy, heterotrophy, mixotrophy 477 reviewed hereafter), the confrontation with pathogens, contaminants and predators will enter in the game.

478

## 479 Photoautotrophic production

480 Photoautotrophic productions use light as the source of energy thank to photosynthesis and  $CO_2$  as the source of 481 carbon. They are currently processed with either open ponds or closed systems, that can use sun light and 482 artificial light. However, the major constraint that phototrophic production must address is how efficiently light is used. Indeed, productivity is tightly related to the surface to volume ratio of the cultivation system and manyrecent technological developments tended to improve this ratio.

485 Originally, open-ponds and raceways were used for microalgae production, but the quest for increased 486 productivity and better control led to the development of closed photobioreactors (Fig. S4). The latter are usually 487 recognized as achieving higher biomass productivity than open systems [60,169-170]. Nevertheless, the 488 maximum biomass productivity does not necessarily match the maximum productivity for a particular molecule, 489 neither the maximal economic efficiency [171]. It is beyond the scope of this article to enter into the 490 argumentation of the pro and contra open ponds versus photobioreactors. The solution might lie in between when 491 the two technologies will be integrated in the same production line. Controlled production system like 492 photobioreactors renders easier to explore the metabolic versatility of microalgae with different production 493 strategies (Fig. S5). Despite their high initial investment, photobioreactors provide a variety of attractive benefits 494 for bioactive molecule production, when compared to open systems. First, they make possible monospecific and 495 axenic cultures as well. They also are characterized by reproducible cultivation conditions and accurate control 496 for abiotic factors such as temperature, pH, irradiance, evaporation and hydrodynamics. The production of a 497 particular molecule can take advantage of these controls since abiotic factors can substantially impact the 498 biochemical composition of microalgae, as discussed above.

Most of the commercial productions use photoautotrophic cultivation processes, with pigments, health food and aquaculture being the main markets. Several commercial companies produce Asta with *Haematoccoccus* : Mera Pharmaceuticals (Hawaii) reports a biomass production of about 6.6 T/year using closed tubular photobioreactors. Similar culture systems have been used by Algatechnologies (Israel) and Fuji Health Science (Hawaii). However, the production cost of Asta with *Haematoccoccus* is still high because of physiological (slow growth rate) and technical (two-stage production process) constraints. Thus from the economic point of view, Asta produced with *Haematoccoccus* can hardly compete with the synthetic pigment [92].

506 *Dunaliella* natural  $\beta$ -carotene is another widely distributed pigment from microalgae. Its global production 507 through autotrophic cultivation is estimated at about 1.2 T/year [12]. Two cultivation processes are currently 508 used for  $\beta$ -carotene production. Betaten (Adelaide Australia) or Aquacaroten (Subiaco, Australia) grow this 509 microalgae in unmixed open ponds and Betaten reported a  $\beta$ -carotene production of about 13 T/year (about 400 510 ha of culture area). The associated production costs appear relatively low considering the optimal climate and, 511 the production systems that does not require energy for mixing [172]. Raceway ponds (intensive mode) are 512 operated by the Nature Beta Technologies company (Eilat, Israel), reporting a  $\beta$ -carotene production of 3 tonnes per year. Several studies have been attempted to grow *Dunaliella* in closed photobioreactors, although up to date, none of these trials led to any significant production even at the pilot scale [173]. Several other little companies commercialize a variety of microalgae grown photoautotrophically for their high amount in EPA and DHA. For example, *Isochrysis sp.* is produced by Innovative Aquaculture Products Ltd (Lasqueti Island, Canada) and the diatom *Odontella aurita* is produced by BlueBiotech InT (Kollmar Germany) and Innovalg (Bouin, France). In the latter, *Odontella aurita* is grown photoautotrophically in open air 1,000 m<sup>2</sup> raceways and co-cultured with the macroalgae *Chondrus cripus*, for increased productivity [65].

520

## 521 Heterotrophic production

522 Studies on microalgae heterotrophy were initiated in the 60s and demonstrated that some species could grow on 523 organic carbon sources, such as sugars or organic acids, replacing the traditional support of light energy. The 524 number of studies further increased in the 2000s with the growing interest for biofuel from microalgae. Among 525 the microalgae species currently cultivated, only a few (e.g., Chlorella protothecoides, Crypthecodinium cohnii, 526 Schizochytrium limacinum, Haematococcus pluvialis) have been successfully grown heterotrophically [174]. 527 Conversely to photoautotrophy where productivity is related to the illuminated area of the culture, productivity 528 for heterotrophic cultures relies on organic carbon concentration in the bulk volume of the culture. This 529 facilitates the up-scaling for commercial production and usually results in higher productivity, with biomass 530 production being one order of magnitude higher than for photoautotrophically grown cultures [175] and in 531 reduced production, harvest and maintenance costs. For instance, high biomass concentration (45 g  $L^{-1}$ ) and volumetric productivity (20 g  $L^{-1} d^{-1}$ ) were achieved in heterotrophic cultures of *Nitzschia alba* [176]. 532

533 Heterotrophic culture requires axenic conditions, a major drawback when compared to photoautotrophy. As 534 pointed out by Bumback et al. [177], any, even minor, contamination introduced with the inoculum could easily 535 outcompete the microalgal species for the organic carbon source. The prerequisite for axenicity and the 536 additional care for its maintenance necessarily impact the production costs. Additionally, heterotrophic culture 537 might not bring the same diversity and the same biochemical composition as reached with photoautotrophy. Yet, 538 Perez-Garcia et al. [174] reported the possibility to produce lutein with Dunaliella sp. and Asta with Chlorella 539 zofingiensis grown heterotrophically. Wang & Peng [178] reported the first growth-associated biosynthesis of 540 Asta with *Chlorella zofingiensis* heterotrophic cultures using glucose as organic carbon source. This study 541 suggested that maximal biomass and Asta production could be obtained simultaneously by a one stage culturing 542 rather than the two stage process that was proposed for *Haematococcus*. Although commercial production of Asta with heterotrophic *Chlorella zofingiensis* culture has not yet been reported, this species may be a promising alternative to *Haematococcus* for the mass production of Asta. Besides, commercial production of heterotrophically grown *Chlorella* in fermentor is common in Japan and Korea, mainly for aquaculture and health food applications [179]. Martek (USA) also successfully produces DHA health food with heterotrophic *Crypthecodinium cohnii* cultivation [180].

548

#### 549 Mixotrophic production

If mixotrophy is defined so as to include osmotrophy, most of microalgae can be considered as mixotrophic.
Many microalgae can grow on dissolved organic carbon [181] and, under inorganic nitrogen stress, use organic
sources of nitrogen [182].

553 When microalgae are grown with  $CO_2$  as the sole carbon source, light provides the energy necessary for biomass 554 production. However, under photoautotrophic conditions, growth is often limited by light availability and, during 555 night, the productivity is further reduced by respiration. Mixotrophic microalgae can concurrently drive 556 phototrophy and heterotrophy to utilize organic energy and both inorganic and organic carbon substrates, thus 557 leading to a synergetic effect of the two processes that enhances the culture productivity. Yang et al. [183] 558 demonstrated that biomass yield on the supplied energy was four folds higher for true mixotrophically grown 559 Chlorella pyrenoidosa than for the photoautotrophic culture. They also highlighted that cyclic autotrophic/ 560 heterotrophic cultivations, could lead to even more efficient utilization of energy for biomass production than the 561 true mixotrophy. Moreover, mixotrophy can overcome light limitation occurring at high densities. This 562 mechanism has been demonstrated to be important for Scenedesmus obliguus [184] and is suggested to be widely 563 spread among mixotrophic microalgae in general.

564 Hence, high productivity is one of the major benefits associated with mixotrophy. For some microalgae, the 565 growth performance under mixotrophic conditions can even exceed that achieved with heterotrophic cultures. 566 Indeed, Pulz & Gross [12] pointed out that the maximum specific growth rate of Chlorella vulgaris and 567 Haematococcus pluvialis growing mixotrophically was the sum of the photosynthetic and heterotrophic specific 568 growth rates. Besides, Stadnichuck et al. [185] reported higher Chl a, carotenoids, phycocyanin and 569 allophycocyanin content in Galdieria partita grown mixotrophically than in heterotrophically cultures. 570 Mixotrophy can therefore overcome some of the drawbacks experienced with heterotrophic cultures [186] and 571 might be an efficient means for enhanced production of light-induced pigments in microalgae. However, as for 572 heterotrophic cultures, mixotrophic cultures require axenic conditions to prevent bacteria from outcompeting 573 microalgae for organic substrates. Research will be needed to cope with the risk of favouring the prokaryotic part 574 in the culture. To date, the processing of mixotrophic cultivation implies the availability and maintenance of 575 axenic strains, the investment for sterilizable photobioreactors and higher operation costs. However, the higher 576 productivity achieved with mixotrophy cultures could balance these drawbacks.

577 It is well documented that some economically important microalgae can be grown mixotrophically 578 (*Haematococcus pluvialis, Scenedesmus acutus, Chlorella vulgaris, Nannochloropsis sp.*). However, despite the 579 indisputable assets of mixotrophy, only one company reported the use of mixotrophic processes for industrial 580 Asta production. Indeed, BioReal (Sweden) was the first company in the world to produce and commercialize 581 from 15 to 30 T/year of Asta-rich biomass using mixotrophy culture in indoor closed photobioreactors [172].

582

#### 583 CONCLUSIONS AND FUTURE DIRECTIONS

584 Microalgae represent a subset of single cell microorganisms that generally grow autotrophically using carbon 585 dioxide as the sole carbon source and light as energy. They are ubiquitous in nature, occupying every type of 586 ecological niche. Microalgae represent a major untapped resource of genetic potential for valuable bioactive 587 agents and fine biochemicals. Screening studies should reveal the existence of new molecules potentially 588 interesting for their biological activities. From the basic point of view, the mechanisms of action of the already 589 marketed products should be better established. For instance, it has been reported that, beyond  $\omega$ -3 and 590 antioxidants, fish oil also contain bioactive peptides. Many of them have an interest for health and 591 pharmaceutical industries. In their natural environment, algae are subjected simultaneously to different abiotic 592 factors with daily and seasonal variations that may be stressful, such as tidal movements, temperature, light 593 levels or UV radiations. To cope with stress, the synthesis of molecules of interest such as antioxidants, PUFAs 594 and glycerol is increased in tolerant microalgae. More basic research on this point should be performed to 595 elucidate the metabolic and regulation circuits involved in these productions. This will help to discover what are 596 the interactions between several abiotic factors and mechanisms involved in the biochemical responses. In silico 597 research, biochemical characterization of microalgal products and in the same way the research of biological 598 activities of algal extracts seem promising for biotechnology applications. Many molecules produced by 599 microalgae show a high structural diversity and should be considered as potent bioactive molecules able to 600 significantly modulate human cell functions, in a physiological or pathological context, at very low 601 concentrations. Additional studies of their biological activity in vivo are required to precise their absorption, metabolism and interest as potential natural anticancer or cardioprotective agents. The development of efficient
 purification processes will stimulate their study and pharmaceutical development.

604 The cultivation means to produce bioactive compounds are various. Important are the source of energy and the 605 biomass yield. The selection for high producing strains, the optimization of culture modes and harvesting and the 606 management of molecule expression in cultures are crucial steps for the future. Whatever the species and 607 molecules produced, the harvesting system is an expensive and limiting step that has to be adapted to preserve 608 together the algae integrity but also the one of the molecule. Ideally, microalgae producers look for strains with a 609 high valuable-product productivity. However, until now, the main commercial productions rely on a few wild-610 type strains and the selection for original strains with a high potential for biotechnology remains a challenge for 611 the industry. Pioneer studies for strain selection were initiated in the 90s. The combination of mutagenesis to a 612 selection procedure resulted in substantially increased production for pigments [187], PUFAs [188] or 613 triacylglycerides [189]. These techniques offer an appealing alternative to GMOs.

614 Transgenic microalgae can be also used as bioreactor for production of therapeutic and industrial recombinant 615 proteins [190-191]. To date, a variety of recombinant proteins have been expressed from nucleus and chloroplast 616 of Chlamydomonas reinhardtii. These include pharmaceutical proteins, antibodies, vaccines, and others that 617 showed a biological activity comparable to the same proteins produced by traditional commercial techniques 618 [192]. Our groups were quickly intrigued by the potential of microalgae as a means to produce therapeutic 619 proteins [193]. A private company was born from this research: Algenics, which is, to date, the first European 620 privately-held biotechnology company focusing on innovative uses of microalgae to produce recombinant 621 biotherapeutics (http://www.algenics.com). Concerning the use of microalgae as a platform of recombinant 622 proteins, the recent success led to several patents [194-197] with the successful production of erythropoietin in 623 Phaeodactylum tricornutum (unpublished work). The production costs for microalgal therapeutic proteins are 624 very attractive (*i.e.*, the cost for recombinant antibody is estimated to 0.002 US\$ and 150 US\$ per gram from 625 microalgae and mammalian cell culture respectively [198]). Moreover, this cost could fall provided that 626 recombinant protein production is coupled with recovery of valuable natural product. However, to the best of our 627 knowledge, no microalgal therapeutic proteins have been yet commercially used.

Microalgae can also be used in biotransformation experiments. In such experiments, immobilized microalgae are
 incubated with particular substrates to use the in situ enzymes to produce products. Such a method has been used
 to study the potential of green microalgae such as *Chlamydomonas sp.* and *Oocystis sp.* to produce new

631 monoterpenes. The molecular engineering described above combined with biotransformation principle opens 632 many new avenues for algal biotechnology. 633 634 ABBREVIATIONS: Asta: astaxanthin, Car: carotenoids, Chl: chlorophyll, DHA: docosahexaenoic acid, EPA: 635 all-Z-eicosa-5,8,11,14,17-pentaenoic acid, Fuco: fucoxanthin, MAAs: mycosporine-lide amino acids, P-gp: P-636 glycoprotein, PUFA: polyunsaturated fatty acids, MDR : multi-drug resistance, TAGS: triacylglycerols, Viola: 637 violaxanthin, VLC: very long chain, Zea: zeaxanthin 638 639 ACKNOWLEDGMENTS: This research was supported by European grants from the 'Fond Européen de 640 Développement Régional FEDER', the European research program GIAVAP and VOLUBILIS and the 'Contrat 641 de Projet Etat-Region CPER' (Project 'Extraction of anticancer pigments from marine microalgae' XPIG). The 642 authors thank the French cancer league (Ligue Nationale contre le Cancer), the French Ministery for Education 643 and Scientific Research, the University of Le Mans for financial supports. 644 645 SUPPLEMENTARY MATERIAL 646 Fig. S1. Algae represent less than 10% of the total number of identified species. 647 The original data [S1] were not including the unicellular species. In order to take into account these organisms, 648 we have substituted the number of original species by the number of species found in the AlgaeBase database 649 (http://www.algaebase.org/) although this number is probably largely underestimated. 650 [S1] The World Conservation Union. IUCN Red List of Threatened Species. Summary Statistics for Globally 651 Threatened Species (1996-2010). http://www.iucnreditlist.org/documents/summarystatistics/2010\_1RL\_Strats\_Table\_1.pdf. Accessed 31/01/2012. 652 653 654 Fig S2. Number of publications describing a compound from algae having a biological activity. 655 The numbers of publications were taken from the Web of knowledge database 656 (http://www.webofknowledge.com/). Search performed in December 2011. 657 658 Fig. S3. Example of mycosporine-like amino acids. 659

- 660 Fig. S4. Isochrysis sp. cultivated in a JSP-120L photobioreactor implemented in the french mollusk hatchery
- 661 Vendée Naissain, France.
- 662
- **663** <u>Fig. S5</u>. 3-L tubular photobioreactor designed for experimental continuous cultures at IFREMER-Nantes, France
- 664

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 Fig. 1. Main plasma biochemical parameters in rats fed with different diets.

1116Glucose, triacylglycerol and cholesterol levels were determined using colorimetric kits (glucose RTU,1117cholesterol RTU, triglycerides enzymatique PAP 150, respectively, from bioMerieux, Marcy-l'Etoile, France).1118Results are expressed (mmol L<sup>-1</sup>) as mean  $\pm$  SEM for n = 4 animals. After analysis of variance, the means were1119compared by Fisher's least significant difference test. Means assigned different superscript letters were1120significantly different (p < 0.05).





## 1123

1124 Fig. 2. Effects of @3 fatty acid marine sources on total lipid @3 fatty acid composition in plasma, liver,
 1125 heart and kidneys in rats fed with different diets.

1126The fatty acid composition was performed on a GC-Focus apparatus as previously described [82]. Results are1127expressed (% molar) as mean  $\pm$  SEM for n = 4 animals. After analysis of variance, the means were compared by1128Fisher's least significant difference test. Means assigned different superscript letters were significantly different1129(p < 0.05).

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r -	able 1. Main chlorophyll and	carotenoid types in the	various taxons of	photosynthetic organisms.

Pigment type	Red algae	Brown algae	Green algae
Phycoeryhthrin, phycocyanin, allophycocyanin	+	-	-
Chl a	+	+	+
Chl b	-	-	+
Chl c	-	+	-
β-carotene	Unicellular	+	+
Fucoxanthin	-	+	-
Violaxanthin	+	+	+
Lutein	Pluricellular	-	+
Zeaxanthin	+	+	+
Xanthophyll cycle	-	+	+

# 

1134Table 2. Total lipid content (% of dry weight) and EPA and DHA content (molar percentage) of some1135species of microalgae [60-70].

# 

Classes	Species	Lipid content	EPA	DHA	Ref
	Tetraselmis suecica	15-23	1-5	<1	60, 61,70
Chlorophyceae	Chlorella sp.	28-32	1-5	<1	60, 61,70
	Dunaliella primolecta	23	<1	<1	60, 61
Durante de la comp	Isochrysis sp.	25-33	<1	10-20	61, 62, 67
Prymnesiopnyceae	Pavlova lutheri	20-25	>20	10-20	61, 62
	Skeletonema costatum	13	10-20	1-5	61, 63
	Thalassiosira pseudonana	24	15	1	59, 66,70
Bacillariophyceae	Odontella aurita	7-13	>25	1-2	65
	Phaeodactylum tricornutum	20-30	26	2	62, 64, 67
	Nitzschia sp.	45-47	25-30	<1	68,70
Dinophyceae	Crypthecodinium cohnii	20	45	<1	69
Rhodophyceae	Porphyridium cruentum	10-15	21	<1	67







Figure S2







Asterina-330



Usujirene

Palythene









Palythine

Palythenic acid

Porphyra-334

Shinorine

Figure S3





Figure S5



Table S1.Examples of toxins and macrolides produced by Dinoflagellates (after [S1]).

	Genus	specie	Toxins					Macrolides			
			Brevetoxin-B	Okadaic acid	Saxitoxin	Ciguatoxin and maitotoxin	Gambierol	Gambieric acid A_D	Domoi c acid	Amphidinolid es and amphidinol	Goniodomin- A
Dinoflagellates	Gymnodinium	breve	+								
		Catenatum			+						
	Pyrodinium	bahamense var compressum			+						
	Alexandrium	sp.			+						
	Prorocentrum	lima		+							
	Dynophysis	sp.		+							
	Gambierdicus	toxicus				+	+	+			
	Amphidinium	klebii								+	
	Goniodoma	pseudogonyaulax									+
	Gonyaulax	catenella			+						
Diatoms	Nitzschia	pugens forma multiseries							+		
	Pseudonitzschia	australis							+		

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[S1] The World Conservation Union. IUCN Red List of Threatened Species. Summary Statistics for Globally Threatened Species (1996–2010). <u>http://www.iucnreditlist.org/documents/summarystatistics/2010\_1RL\_Strats\_Table\_1.pdf</u>. Accessed 31/01/2012.