

Compatibility of C- and Ku-band scatterometer winds: ERS-2 and QuikSCAT

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Abstract:

Global winds provided by satellite scatterometry are an important aspect of the ocean observing system. Many applications require well-calibrated time series of winds over time periods spanned by multiple missions. But sensors on individual satellites differ, introducing differences in wind estimates. This study focuses on global winds from two scatterometers, ERS-2 (1996–2001) and QuikSCAT (1999–2009) that show persistent differences during their period of overlap (July-1999 to January 2001). We examine a set of collocated observations during this period to evaluate the causes of these differences. The use of different operating frequencies leads to differences that depend on rain rate, wind velocity, and SST. The enhanced sensitivity to rain rate of the higher frequency QuikSCAT is mitigated by a combined use of the standard rain flag and removing data for which the multidimensional rain probability is > 0.05 . Generally, ERS-2 wind speeds computed using the IFREMER CMODIFR2 geophysical model function (GMF) are lower than QuikSCAT winds by 0.6 m/s, but wind directions are consistent. This wind speed bias is reduced to -0.2 m/s after partial reprocessing of ERS-2 wind speed using Hersbach's (2010) new CMOD5.n GMF, without altering wind direction. An additional contributor to the difference in wind speed is the biases in the GMFs used in processing the two data sets and is empirically parameterized here as a function of ERS-2 wind speed and direction relative to the mid-beam azimuth. After applying the above corrections, QuikSCAT wind speed then remains systematically lower (by 0.5 m/s) than ERS-2 over regions of very cold SST < 5 °C. This difference may result from temperature-dependence in the viscous damping of surface waves which has a stronger impact on shorter waves and thus preferentially affects QuikSCAT.

Highlights

► CMODIFR2-based ERS-2 wind speed (W_{ERS-2}) is biased low by 1 m/s. ► W_{ERS-2} is partially reprocessed using CMOD5.n GMF and unchanged wind direction. ► The full reprocessing is recommended due to differences in ERS-2 beams calibration. ► The partially reprocessed W_{ERS-2} fits QuikSCAT except over cold SST < 5 °C. ► Higher viscous wave dissipation at cold SST preferentially impacts shorter wavelength QuikSCAT.

Keywords: Scatterometer winds ; SST ; Inter-instrument bias

1. Introduction

Only satellite sensors, particularly scatterometers, can provide global synoptic observations of surface winds. Yet, while many applications require well-calibrated time series of winds over time periods spanned by multiple scatterometer satellite missions, the sensors on individual satellites differ, introducing differences in the wind estimates (Bourassa et al., 2009). For the period from 1996 to the present, three successive scatterometer missions have been operated: the C-band Remote Sensing Satellite (ERS-2) (1996–January 2001) followed by the Ku-band QuikSCAT (mid-1999 to late-2009), and by the C-band Advanced SCATterometer (ASCAT) (2007-onward). Creating a well-calibrated time series from such a succession of individual sensor records requires accounting for changes in individual sensor biases, and this accounting is most necessary when the scatterometers operate in different frequency bands and operating modes (e.g. Bentamy et al., 2002, Bentamy et al., 2012 and Ebuchi et al., 2002). Bentamy et al. (2012) have exploited the existence of a time overlap between missions to connect the wind records for QuikSCAT and ASCAT. Here we use the same approach to address the connection between QuikSCAT and the earlier ERS-2. The successful result of this calibration exercise would be a continuous record of calibrated scatterometer winds spanning the past 13 years.

Scatterometers are microwave radars that infer near-surface wind velocity from the strength of the normalized radar backscatter coefficients (NRCS, σ^0) measured at a variety of azimuth (χ) and incidence angles (θ). The ocean surface radar signal backscatter occurs primarily from centimeter-scale capillary/gravity waves (ripples), whose amplitude is in

54 equilibrium with the local near-surface wind. At a given wind velocity, it also depends on
55 other parameters governing ripple generation such as SST-dependent water viscosity and air
56 density, ρ_a , (Donelan et al., 1987), as well as other environmental conditions such as sea
57 state degree of development and/or surface current (e.g. Quilfen et al., 2001, 2004). In this
58 study we express surface wind speed in terms of 10m equivalent neutral wind (W), which is
59 then related to NRCS using an empirical Geophysical Model Function (GMF). Equivalent
60 neutral wind is the wind speed that would be associated with the actual wind stress if the
61 atmospheric boundary layer was neutrally stratified. GMFs used in current scatterometer wind
62 products do not include SST-dependence nor sea-state degree of development information.

63 Because of the need by many applications for a consistent, well-calibrated wind record
64 there have been a number of previous efforts to combine wind records from these
65 scatterometer missions. Generally these efforts have taken the approach of relating each
66 mission wind time series to a reference wind field spanning all missions that is itself assumed
67 to be consistent and well-calibrated. Such efforts have used both passive microwave winds
68 and reanalysis winds for this referencing (e.g. Wentz et al, 2007; Bentamy et al., 2007; Atlas
69 et al., 2011). The disadvantages of this approach lie in the assumption that the reference wind
70 field is itself well-calibrated, and in the fact that the corrections that are made to the
71 scatterometer mission winds are unrelated to the basic physical variables being measured
72 (e.g., σ^0 , θ , χ). Use of reanalysis winds for referencing is particularly troubling if the
73 reanalysis winds assimilate the same scatterometer winds that they are then compared to.

74 **Data**

75 In this section we provide a brief description of the ERS-2 and QuikSCAT data sets.
76 Additional details are provided in the corresponding user manuals (CERSAT, 1994; and JPL,
77 2006). Radar microwaves from C-band ERS-2 (5.3GHz) / Ku-band QuikSCAT (13.4GHz)

78 scatter most efficiently from short scale waves with about 5cm/2cm lengths, respectively, a
79 phenomenon known as the Bragg scattering.

80 *2.1 ERS-2*

81 The active microwave instrument on board ERS-2 is the same C-band (5.3 GHz, 5.7
82 cm) scatterometer as onboard ERS-1. It operated from April 21, 1995 through September 5,
83 2011. However, due to the on-board recorder failure, global data are available only through
84 early January 2001. The scatterometer has three antennae looking 45° forward (fore-beam),
85 perpendicular (mid-beam), and 45° backward (aft-beam) relative to the satellite track and
86 illuminating a 500km wide swath to the right of the satellite track. 10 m equivalent neutral
87 wind speed and direction are inferred at 50km spatial resolution using the Center for Satellite
88 Exploitation and Research (CERSAT) GMF (Quilfen et al., 1995) based on the Institut
89 Français de Recherche pour l'exploitation de la Mer (IFREMER) version 2 GMF
90 (CMODIFR2 of Bentamy et al., 1999). CMODIFR2 was derived by fitting ERS-1 winds to
91 collocated National Data Buoy Center (NDBC) buoy winds. CMODIFR2 has been applied to
92 ERS-2 without any adjustments. Land, ice, and rain contaminations are excluded using the
93 CERSAT quality flags. Although this version of the ERS-2 winds is known for persistent
94 wind speed underestimation at $W > 5\text{m/s}$ and a rare occurrence of low wind data (Bentamy et
95 al., 2002), it is the only one spanning the entire mission in the global domain.

96 *2.2 QuikSCAT*

97 The SeaWinds Ku-band (13.4 GHz, 2.2 cm) scatterometer onboard the
98 NASA/QuikSCAT (referred to subsequently as QuikSCAT or QS) was launched in June
99 1999. The QuikSCAT rotating antenna has two emitters: the H-pol inner beam at $\theta=46.25^\circ$
100 and V-pol outer beam at $\theta=54^\circ$ with swath widths of 1400km and 1800km, that together
101 cover around 90% of the global ocean daily. QuikSCAT swath data is binned into wind vector
102 cells of $25 \times 25 \text{ km}^2$. QuikSCAT winds used here are Level 2b data, derived from backscatter

103 using the empirical QSCAT-1 GMF (JPL, 2006) together with a Maximum Likelihood
104 Estimator, which selects the most probable wind solution. To improve wind direction in the
105 middle of swath where the azimuth diversity is poor, the Direction Interval Retrieval with
106 Threshold Nudging algorithm is applied. This retrieval technique provides approximately 1
107 m/s and 20° accuracy in wind speed and direction, respectively (e.g. Bentamy et al., 2002,
108 Bourassa et al., 2003, Ebuchi et al., 2002).

109 Due to its shorter wavelength Ku-band scatterometers are more sensitive to impacts of
110 rain than longer wavelength C-band scatterometers. Rain perturbations result from attenuation
111 by raindrops in the atmosphere as well as amplification due to volume scattering and changes
112 of sea surface roughness by impinging drops (Tournadre and Quilfen, 2003, 2005). It is
113 observed (Weissman et al., 2002) that the amplification effects dominate and impact of
114 undetected rainfall on the higher frequency QuikSCAT is to enhance backscatter leading to
115 positive biases in W_{OS} of up to 1 ms^{-1} in the rainy tropical convergence zones and western
116 boundary current regions even after rain flagging is applied (Bentamy et al., 2012). Two rain
117 indices, rain flag and multidimensional rain probability (MRP), are provided with the
118 QuikSCAT data set to mark heavy rainfall. QuikSCAT wind overestimation in tropics is
119 reduced by some 30% to 40% when data for which $\text{MRP} > 0.05$ are also removed. This
120 combination of rain selection indices is thus applied to all QuikSCAT data in the rest of this
121 study.

122 The shorter wavelength Ku-band radar is also more sensitive to the direct impact of
123 SST, which at a given value of wind speed, alters the amplitude of the surface ripples through
124 the competing effects of ρ_a -dependent wind wave growth rate and SST-dependent viscous
125 wave dissipation (Donelan et al., 1987; Grodsky et al., 2012).

126 2.3 Collocated data

127 The procedure we use to identify collocations of ERS-2/QuikSCAT observations is
128 similar to that described in Bentamy et al. (2012). The period of overlap when both ERS-2
129 and QuikSCAT provide global ocean coverage extends from July 1999 to January 2001.
130 During this period we identify all pairs of observations where the spatial separation between
131 collocated ERS-2 and QuikSCAT cells is less than 50km. The two satellites are on quasi sun-
132 synchronous orbits, but the QuikSCAT local equator crossing time for ascending tracks (6:30
133 a.m.) leads the ERS-2 local equator crossing time (10:30 a.m.) by approximately 4 hours. This
134 implies that spatial collocations of the two instruments occur with a minimum time difference
135 of a few hours at low latitudes. If we accept pairs of observations also with a temporal
136 separation τ of less than 5 hours then the resulting spatial coverage of these points is global,
137 with >36 million collocations, but with the majority of the collocations at higher latitudes due
138 to the polar convergence of the orbits (Bentamy et al., 2012).

139 In addition to compare ERS-2 and QuikSCAT we are interested in connecting each to
140 ground observations. Thus ERS-2 and QuikSCAT winds (within 50km and 1hour for ERS-2
141 and 25km and 30min for QuikSCAT) are also separately compared to the NDBC moored
142 buoys, and the Tropical Atmosphere Ocean Project (TAO) and Pilot Research Moored Array
143 (PIRATA) moorings. Hourly averaged buoy wind velocity, SST, air temperature, and
144 humidity are converted to 10m equivalent neutral wind using the COARE3.0 algorithm of
145 Fairall et al. (2003). Details of the buoy instrumentation are provided in Meindl et al. (1992),
146 McPhaden et al. (1998), and Bourles *et al.* (2008).

147 **ERS-2 wind accuracy**

148 Our initial comparison of ERS-2 wind speed based on the CMODIFR2 GMF shows
149 ERS-2 winds to be biased low for winds <13m/s in comparison with in-situ winds (Fig. 1a), as
150 has been previously shown by Bentamy et al. (2002). At higher winds the satellite wind speed

151 may be biased high, but this conclusion is uncertain due to the rarity of high wind conditions.
152 The satellite-derived wind direction is consistent with in-situ wind direction to within 10°
153 without evidence of bias (Fig. 1b).

154 Table 1 presents satellite-buoy comparison statistics based on collocated buoy and
155 satellite data with valid quality control flags. In particular, QuikSCAT data is selected based
156 on both the rain flag and $MRP < 0.05$, as explained in Bentamy et al. (2012). One should notice
157 wind direction agreement is defined as vector correlation, and thus varying between -2 and +2
158 (Crosby et al., 1993). The results show ERS-2 wind speed to be biased low by 0.6m/s while
159 the QuikSCAT wind speed bias is negligible. Wind direction from both scatterometers
160 compares well with buoy wind direction (see also Fig.1b). Statistical comparisons of buoy-
161 satellite winds based on the entire period for each mission (March 1996 – January 2001 for
162 ERS-2, and July 1999 – November 2009 for QuikSCAT) are in line with those based on the
163 shorter period of overlap (July 1999 – January 2001). This agreement illustrates the
164 representativeness of the common period, which is used for collocated data. Similarity of
165 buoy-ERS/2 and QuikSCAT-ERS/2 wind speed differences also suggests that CMODIFR2-
166 based ERS/2 wind speed is biased low.

167 The ERS-2 wind speed underestimation seen in the previous comparisons with the
168 buoys (Fig. 1a) is also present in the global ERS-2/QuikSCAT comparison (Fig. 2a). But, like
169 the buoy comparisons, the wind direction from the two missions is consistent (Fig. 2b). Time
170 mean ERS-2 wind speed is lower than QuikSCAT wind speed almost everywhere (Fig. 3a)
171 except at high latitudes where the differences are reduced. However, the improved agreement
172 at high latitudes results from ERS-2 bias and QuikSCAT bias compensation, which is
173 tentatively explained by a regional negative bias in QuikSCAT winds due to unaccounted for
174 stronger viscous dissipation of the Bragg waves in cold water (Bentamy et al., 2012; Grodsky
175 et al., 2012).

176 The temporal variability of ERS-2 and QuikSCAT winds is consistent with correlations
177 exceeding 0.8 at most locations except low latitudes (Fig. 3c). The reduced correlation and
178 stripes of increased STD at low latitudes follow major tropical precipitation zones (Figs. 3b,
179 3c) and are likely the result of the presence of short-lived convective variability and related
180 rainfall, which causes differences in the conditions viewed by the two satellites because of
181 their temporal separation of up to 5 hours. Furthermore, some rain events may not be detected
182 by standard algorithms (Tournadre and Quilfen., 2003, 2005) causing an increase of
183 difference between the scatterometer retrievals, especially in the tropics. Away from the
184 tropics, the STD between collocated wind speeds (Fig. 3b) significantly increases in the mid-
185 latitude storm track bands likely reflecting the impact of synoptic events.

186 The ERS-2 wind bias may have at least two causes: (i) uncertainties in backscatter
187 coefficient calibration and (ii) uncertainties in GMF parameterization. To the best of our
188 knowledge only a 0.165 dB bias in the calibrated backscatter coefficients has been previously
189 reported (Crapolicchio et al., 2007). We shall further discuss (i) in the Discussion section. (ii)
190 Some impact due to GMF uncertainty is to be expected because, as noted above, the GMF
191 CMODIFR2 was developed for ERS-1, but applied to ERS-2 without any adjustments.

192 Since the original processing of ERS-2 global winds by IFREMER, a number of C-band
193 GMFs have been specifically designed for ERS-2 backscatter. The latest, CMOD5.n, has been
194 derived by Hersbach et al. (2007) using collocated ERS-2 σ^o triplets and ECMWF short-
195 range forecast winds. Unfortunately no ERS-2 retrievals estimated from CMOD5.n are yet
196 available during the period of interest (1996 – 2001). To compensate, we use a simple method
197 to reduce the wind speed bias in the ERS-2 winds by applying CMOD5.n assuming that the
198 wind direction determined using CMODIFR2 is bias-free (Figs. 1b, 2b, and Table 1). This
199 wind direction assumption significantly simplifies and speeds up computing CMOD5.n winds.
200 It is constructed from ERS-2 winds by adjusting the winds to minimize a cost function

201 expressing the mean square difference between observed (σ^0) and simulated ($\sigma_{CMOD5.n}^0$)
202 backscatter coefficients, following Quilfen (1995):

$$203 \quad J(W, \chi) = \sum_{i=1}^3 [\sigma_i^0 - \sigma_{i, CMOD5.n}^0(W, \chi)]^2, \quad (1)$$

204 Here W is the new wind speed, χ is the wind direction relative to antenna azimuth (known
205 from the winds produced using CMODIF2). At each ERS-2 Wind Vector Cell, ERS-2 wind
206 speed based on CMOD2IFR is used as the first guess for minimization of (1). The resulting
207 partial reprocessing of ERS-2 wind speed produced in this study is available only for the
208 collocated data and is referred to as the new ERS, or ERS/N winds.

209 Reduction in the ERS/N wind speed bias in comparison with the original CMOD2IFR-
210 based data is seen in the reduced difference of generally less than 0.1m/s with respect to
211 NDBC wind speeds (Table1) and in comparison with QuikSCAT (Figs. 3a, 4a). But, large
212 discrepancies are still present along the North Atlantic and Pacific storm tracks, which may be
213 related to the high variability and thus large errors resulting from sampling synoptic events.
214 Errors are also noticeable in coastal areas where diurnal breezes are also poorly sampled in the
215 collocated data (Bentamy et al., 2012).

216 Although the global mean wind speed difference between QuikSCAT and ERS-2 is
217 reduced to about -0.2m/s for ERS/N in comparison with about 0.6 m/s for the original
218 CMODIFR2-based winds (Fig. 5b), the negative difference becomes stronger over cold SST
219 (Figs. 3a and 4a). But as noted earlier, the original weak wind speed difference at high
220 latitudes (Fig. 3a) is due to compensating errors. At those latitudes, the global underestimation
221 of CMODIFR2-based ERS-2 winds compensates for the local underestimation of Ku-band
222 QuikSCAT winds over cold SST, thus leading to locally weak difference between the two
223 retrievals. The partially reprocessed CMOD5.n-based winds (ERS/N) more closely agree with
224 QuikSCAT (Fig. 4a), except at high latitudes where the difference between QuikSCAT and

225 ERS/N wind speed is of the same order as that for QuikSCAT and ASCAT (Bentamy et al,
226 2012). Because both ERS-2 and ASCAT are C-band radars, the similarity of the two wind
227 speed differences at high latitudes underlines the fact that this difference is due to the physics
228 of radar backscattering and may be SST-dependent (see also Grodsky et al., 2012 for a model
229 consideration of the effect).

230 **4. Adjusting ERS/N and QuikSCAT winds**

231 The zonally averaged difference between QuikSCAT and ERS/N wind speed of about -
232 0.2m/s (Fig. 5b) includes biases due to inconsistencies in the retrieval procedures (GMF-
233 related bias) and due to frequency-dependence in the physics of wind inference.

234 *4.1 GMF related bias*

235 A difference in measuring geometry and retrieval procedures for the two scatterometers
236 leads to a difference in wind speed (W) due to biases in the GMFs used in processing the two
237 data sets. Following Bentamy et al. (2012) a GMF-related correction (ΔWI) is parameterized
238 as a function of ERS/N wind speed and direction relative to the mid-beam azimuth. The
239 CMOD5.n GMF is parameterized by a truncated Fourier series of wind direction relative to
240 antenna azimuth, χ , with coefficients depending on wind speed and incidence angle, θ . Due
241 to the fixed orientation of the three beam observation geometry of ERS-2, only the wind
242 direction relative to mid-beam azimuth is considered for the analysis of ΔWI . As previously
243 found in the Bentamy et al. (2012) comparison of ASCAT and QuikSCAT winds, there is
244 only a minor dependence of ΔWI on θ (not shown). Together these observations suggest that
245 the correction ΔWI is a function of two variables: $W_{ERS/N}$ and χ .

246 The construction of ΔWI (Fig. 5a) begins by binning collocated differences
247 $W_{QS} - W_{ERS/N}$ as a function of $W_{ERS/N}$ and χ at latitudes equatorward of 50° (where the
248 negative SST-related bias is not dominant) (Fig. 4a). These binned differences have positive
249 values for $W_{ERS/N} < 5\text{ms}^{-1}$ (not shown), which result from the one-sided distribution of wind

250 speeds for winds approaching the low wind speed cutoff and thus should not be reflected in
251 ΔWI (Freilich, 1997). Artificially positive values at low winds are corrected for by
252 multiplying the binned differences by a cut-off function, $\tanh[(W_{ERS/N}/5)^4]^1$, the result of
253 which we again call ΔWI . To mitigate the impact of sampling errors, we use bins containing
254 at least 50 samples, then we smooth ΔWI by the triangular 3x3 spatial filter, and retain only
255 the first 5 angular harmonics (Fig. 6a). ERS/N wind speed is lower than QuikSCAT wind
256 speed for $W_{ERS/N} > 15\text{ms}^{-1}$ in the up- and down-wind directions (Fig. 6a), but the difference is
257 opposite in the two cross-wind directions. The azimuth asymmetry of ΔWI is unexpected
258 because CMOD5.n itself has this symmetry. This suggests the presence of inconsistency in
259 antenna calibration of the fore- and after-beams (discussed later).

260 The time mean spatial pattern of ΔWI depends on the distribution of local wind speed
261 and direction. Adding the ΔWI correction to ERS/N wind speed, $W_{ERS/N} + \Delta WI$, results in
262 slight strengthening of the trade winds and weakening of the midlatitude westerlies (Fig. 7a).
263 This correction reduces the global wind speed bias from -0.2m/s to -0.1m/s and improves the
264 consistency of the corrected ERS/N and QuikSCAT winds at high latitudes (Figs. 4a,b and
265 5a).

266 4. 2 SST-related bias

267 After applying the GMF-related correction ΔWI , QuikSCAT wind speed remains
268 systematically lower (by 0.5ms^{-1} , Fig. 4b) than corrected ERS/N wind speed mostly over
269 regions of very cold $\text{SST} < 5^\circ\text{C}$. Modeling of this SST-related bias suggests that it is weak in
270 the C-band and has a greater impact on shorter waves and thus preferentially impacts

¹The low wind cut-off function we have chosen is somewhat arbitrary. It is used to ensure the GMF-related correction approaches zero at weak winds. The relative number of collocations at $W_{ERS} < 5\text{ms}^{-1}$ is very low because of the lack of low wind speeds in ERS-2 data. This prevents us from developing a more justifiable cut-off function.

271 QuikSCAT, for which the major impact is due to the temperature-dependence of viscous
272 dissipation of wind ripples (Grodsky et al., 2012). Differences tend to be more pronounced at
273 high southern than northern latitudes due to the yearly distribution of low SST < 5°C in each
274 area (Bentamy et al., 2012).

275 Here we apply the Bentamy et al. (2012) estimate of the SST-related bias (ΔW_2 , Fig.
276 6b) and subtract it from the QuikSCAT wind speed, $W_{QS} - \Delta W_2$. Tabular values of ΔW_2 as a
277 function of wind speed and SST bins are adopted from Bentamy et al. (2012) (see their Fig.
278 11b and sections 4.3). This correction increases W_{QS} over regions of cold SST (Fig. 7b) and
279 eliminates much of the wind speed difference between QuikSCAT and corrected ERS/N
280 winds at high latitudes (compare Figs. 4b and 4c), thus reducing the global-time mean
281 difference to 0.01 m/s (Fig. 5b). A slight improvement occurs in comparisons of NDBC buoy
282 and SST-corrected QuikSCAT winds. Using only buoys moored offshore and north of 55°N,
283 the time mean difference of $W_{NDBC} - W_{QS}$ is 0.11 m/s while $W_{NDBC} - (W_{QS} - \Delta W_2)$ is about -
284 0.01 m/s. The SST-related correction is small at these locations. In fact, it becomes noticeable
285 only at very low SSTs < 5°C (Fig. 6b), which are not common at NDBC locations.

286 5. Discussion

287 Bentamy et al. (2012) have shown that the overestimation of C-band scatterometer
288 winds for crosswind directions is related to the inaccuracy of CMOD5.n in this direction.
289 However, the difference ($W_{QS} - W_{ERS/N}$, Fig. 6a) is not symmetric in azimuth. ERS/N wind
290 speed overestimation ($W_{QS} - W_{ERS/N} < 0$) is more pronounced, up to 1 m/s, for the wind
291 direction of -90° (clockwise from the mid-beam) than that for +90° where the difference is
292 quite low. Similar angular behavior is found for NDBC buoy minus ERS/N wind speed
293 binned as a function of wind direction (not shown). Although explanation of the asymmetry is
294 still not clear, it may be a consequence of inconsistency in the ERS-2 beams inter-calibration.

295 In an effort to understand the directional dependence of the wind speed differences between
296 QuikSCAT and ERS-2, we compare observed (σ^0) and simulated ($\sigma_{CMOD5.n}^0$) NRCSs for each
297 ERS-2 beam. Fig. 8 shows the differences ($\sigma^0 - \sigma_{CMOD5.n}^0$) evaluated for ERS-2 mid-beam
298 (dashed), fore-beam (solid), and aft-beam (open circle) as a function of the associated
299 incidence angles. Simulated $\sigma_{CMOD5.n}^0$ is based on CMOD5.n forced by the corrected
300 collocated QuikSCAT wind speed ($W_{QS} - \Delta W2$) and direction. For aft-beam and fore-beam
301 the same $\sigma^0 - \sigma_{CMOD5.n}^0$ are expected. Indeed, they have the same incidence angles, and
302 differences are evaluated for the same surface wind using the same GMF. However,
303 $\sigma^0 - \sigma_{CMOD5.n}^0$ for fore-beam and that for aft-beam differ by about 0.1dB. Such a discrepancy
304 between observed and simulated NRCSs for outer beams may lead to the azimuth asymmetry
305 seen in Fig. 6a. These results agree with De Charia et al. (2009) and suggest the need for
306 complete reprocessing of ERS-2 scatterometer backscatter coefficients and winds.

307 **6. Conclusion**

308 This study represents a continuation of the work of Bentamy et al. (2012) in constructing
309 a consistent scatterometer time series spanning 1996-present despite changes in scatterometer
310 technology. Whereas Bentamy et al. (2012) have compared Ku-band QuikSCAT and C-band
311 ASCAT data, this study focuses on comparisons of QuikSCAT and C-band ERS-2
312 scatterometer winds. Following Bentamy et al. (2012) we identify collocated pairs of
313 observations from the two missions during the 18 month period of mission overlap (July
314 1999-early January 2001), each separated by less than 5hr and 50km. Examination of the
315 differences of these collocated pairs as well as comparisons the ground truth data from buoys
316 reveals systematic biases in the 10m equivalent neutral satellite wind speed (but not in wind
317 direction) that are a function of radar azimuth angle and wind speed ranges, as well as SST
318 and rainfall. In particular, undetected rainfall preferentially affects the higher frequency

319 QuikSCAT by increasing the strength of backscatter, and thus the apparent wind speed. This
320 error is reduced by complementing rain selection based on the standard QuikSCAT rain flag
321 with excluding observations for which the multidimensional rain probability, $MRP > 0.05$.

322 The currently available ERS-2 surface wind product that spans the entire mission with
323 global coverage uses the IFREMER version 2 geophysical model function CMODIFR2 to
324 convert normalized backscatter to surface winds. Winds based on this GMF (derived for the
325 earlier ERS-1 mission) underestimate speed by 0.6 m/s in comparison with QuikSCAT,
326 although the directions are consistent. In contrast, Hersbach (2010) has shown that the new
327 CMOD5.n GMF leads to much reduced bias in the wind estimates. Thus our first step is to
328 introduce CMOD5.n as a modification of the current global ERS-2 surface wind product by
329 assuming that wind direction remains unchanged, resulting in a modified surface wind
330 product we call ERS/N, which is currently available only for the collocated data analyzed in
331 this study. Our examination of ERS/N wind speed shows the bias in this partially reprocessed
332 product is reduced to -0.2 ms^{-1} .

333 We next identify a difference in QuikSCAT and ERS/N winds that we believe is a
334 remaining error in CMOD5.n GMF which we determine empirically as a function of wind
335 speed and direction relative to the ERS-2 mid-beam azimuth. After applying this GMF-related
336 correction to ERS/N winds, the global and time average wind speed difference between
337 ERS/N and QuikSCAT winds decreases to -0.1 m/s . Even after this correction QuikSCAT
338 wind speed remains systematically lower (by 0.5 ms^{-1}) than ERS/N in regions of very cold
339 $SST < 5^\circ\text{C}$. This wind speed difference may result from temperature-dependence in the viscous
340 damping of surface waves which has a greater impact on the shorter wavelengths observed by
341 QuikSCAT. After applying an SST-related correction to the QuikSCAT wind speed, the
342 global and time mean wind speed difference between ERS/N and QuikSCAT becomes
343 negligible.

344 Finally, we return to the broader issues raised by the presence of systematic errors in
345 ERS-2 winds. One outcome of our analysis is recognition that there is a significant asymmetry
346 versus the wind direction relative to the ERS-2 mid-beam azimuth. This azimuth dependence
347 cannot be explained by errors in the GMF used for ERS-2 processing since any GMF is
348 symmetric in azimuth. Closer examination of the backscatter coefficients for the ERS-2 beams
349 reveals an inconsistency between the fore-beam and aft-beam, which could be responsible for
350 this asymmetry. This finding along with an apparent wind speed bias in CMODIFR2-based
351 product suggests the need for a complete reevaluation and reprocessing of ERS-2
352 scatterometer data.

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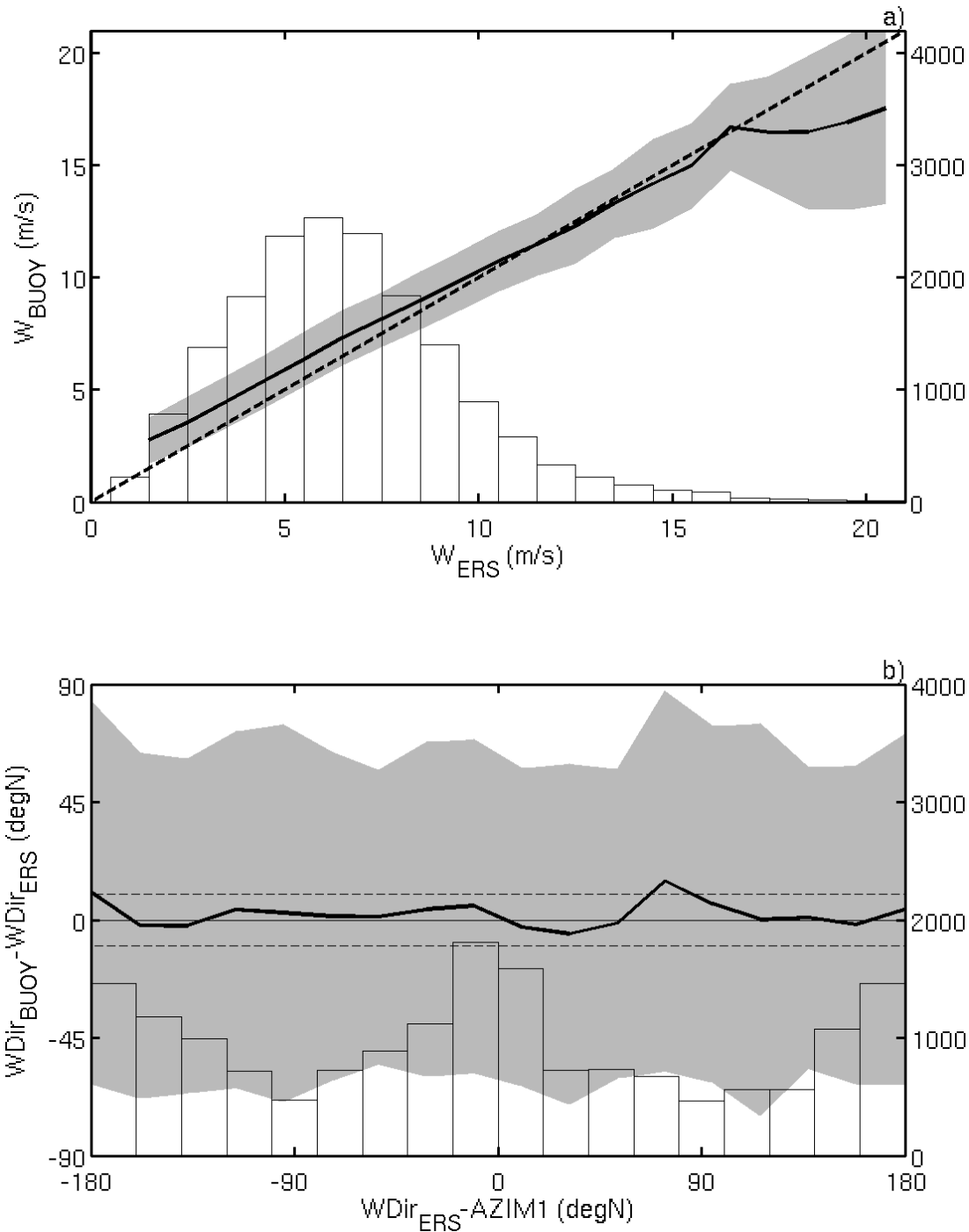
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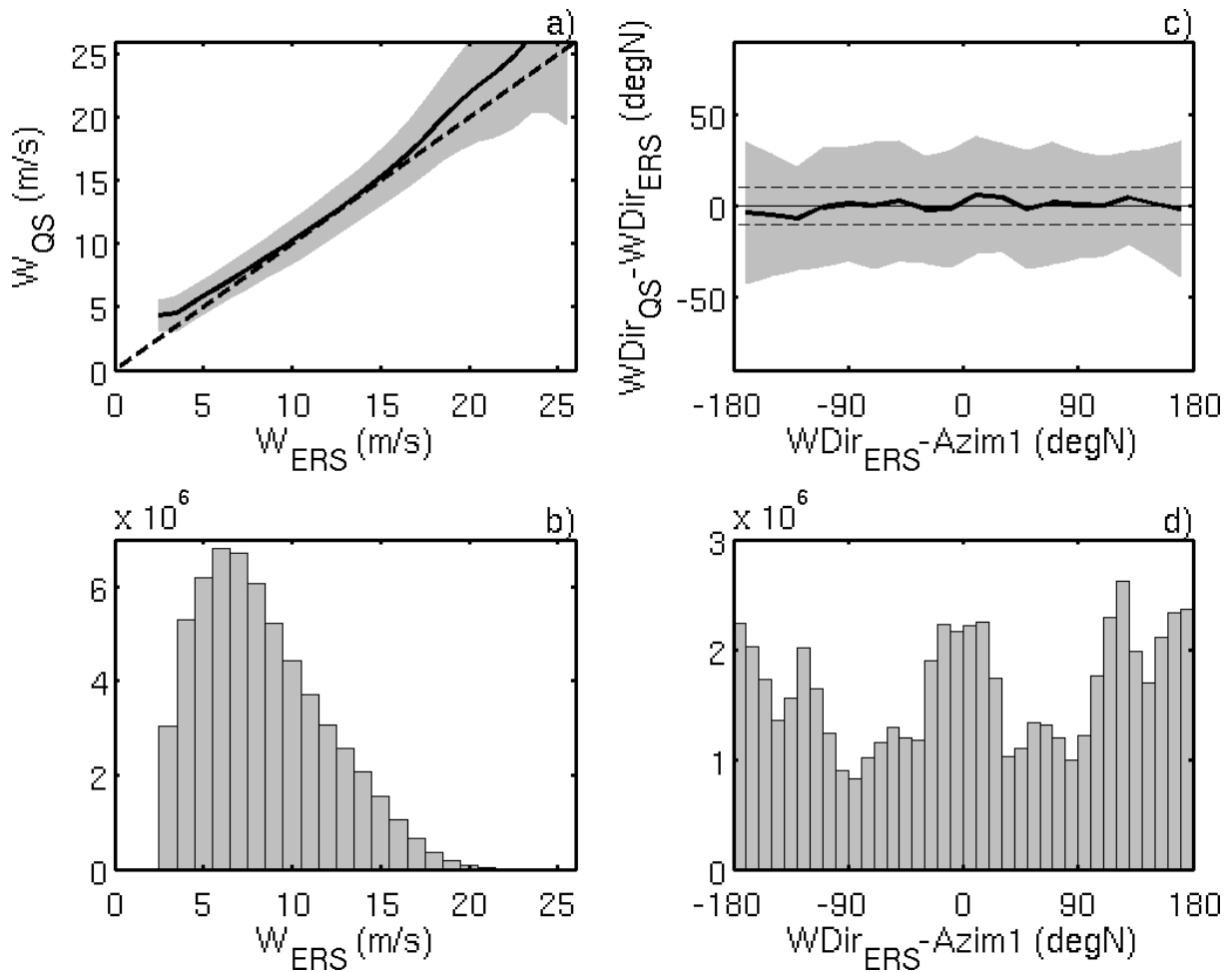
Table 1: Statistics of differences between NDBC buoy hourly winds and collocated scatterometer winds with number of valid quality control flags (see text): record length, bias, standard deviation, and correlation. Values determined only using data from the period of ERS-2/QuikSCAT overlap are in parentheses. Statistics for ERS-2 using CMOD5.n are also included.

		Wind Speed	Wind Direction
ERS-2 (CMOD2IFR)	Length	9985(3659)	
	Bias	0.66(0.80)	-5(-4)
	Std	1.21(1.19)	19(19)
	Cor	0.94(0.94)	1.80(1.79)
QuikSCAT	Length	57714(7720)	
	Bias	0.01(0.03)	-3(-5)
	Std	1.03(1.02)	16(16)
	Cor	0.95(0.95)	1.87(1.86)
ERS/N (CMOD5.n)	Length	9985(3659)	
	Bias	0.05(-0.07)	-5(-4)
	Std	1.4(1.35)	19(19)
	Cor	0.9(0.91)	1.80(1.79)



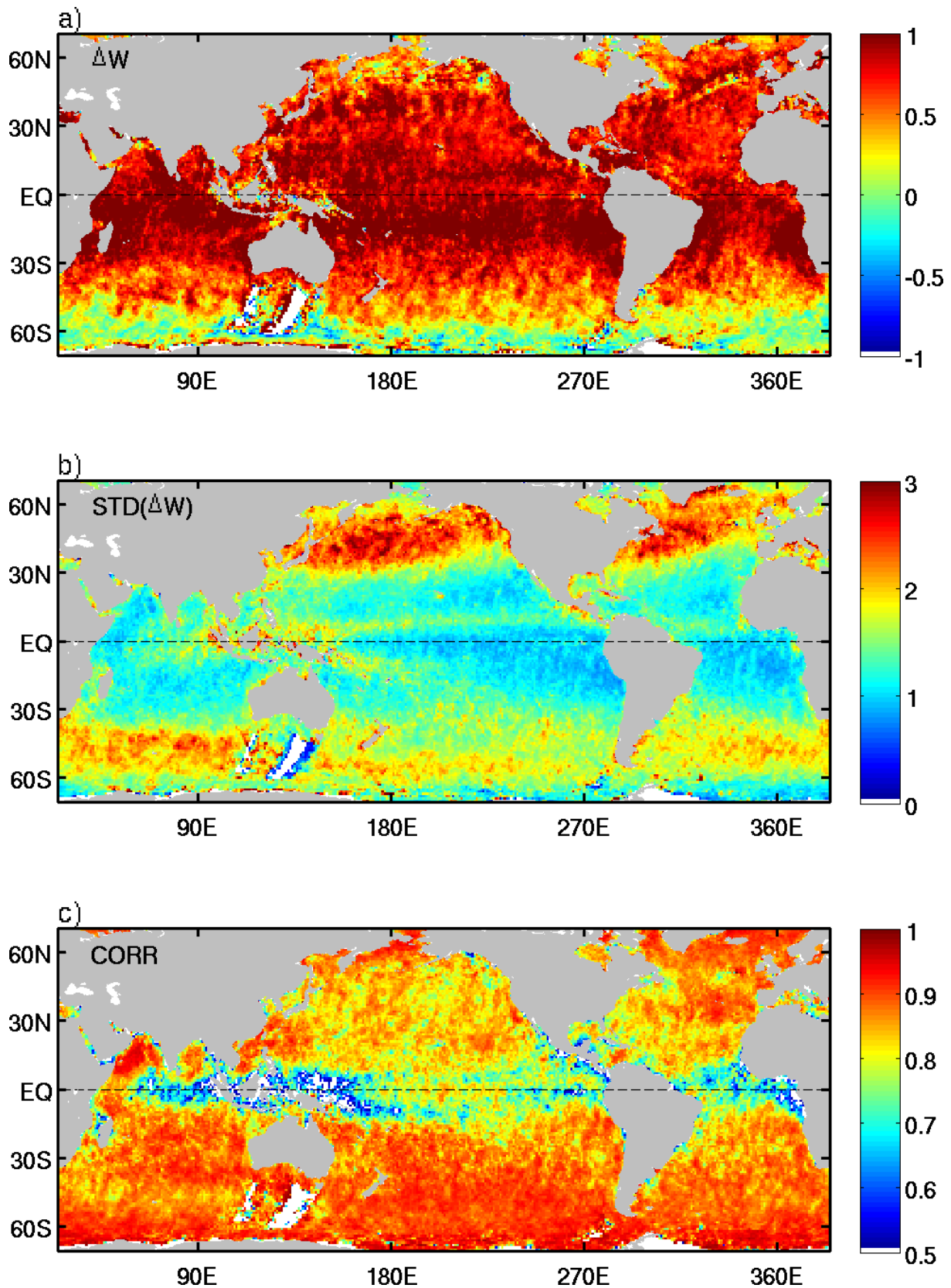
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439 Figure 1. (a) 10m equivalent neutral buoy wind speed from NDBC and TAO moorings
 440 versus ERS-2 wind speed (left-hand axis). Histogram of W_{ERS} (right-hand axis). (b)
 441 Difference between buoy and ERS-2 wind directions versus ERS-2 wind direction relative to
 442 the mid-beam azimuth ($WDir_{ERS} - AZIM1$). Dashed lines indicate $\pm 10^\circ$. Histogram of ERS-2
 443 relative wind direction is also shown (right-hand axis). Azimuth angles are calculated
 444 counterclockwise from north (degN). $WDir_{ERS} - AZIM1 = 0$ corresponds to ERS-2 mid-beam
 445 looking along the wind vector. Gray shading is $\pm STD$ in each bin.



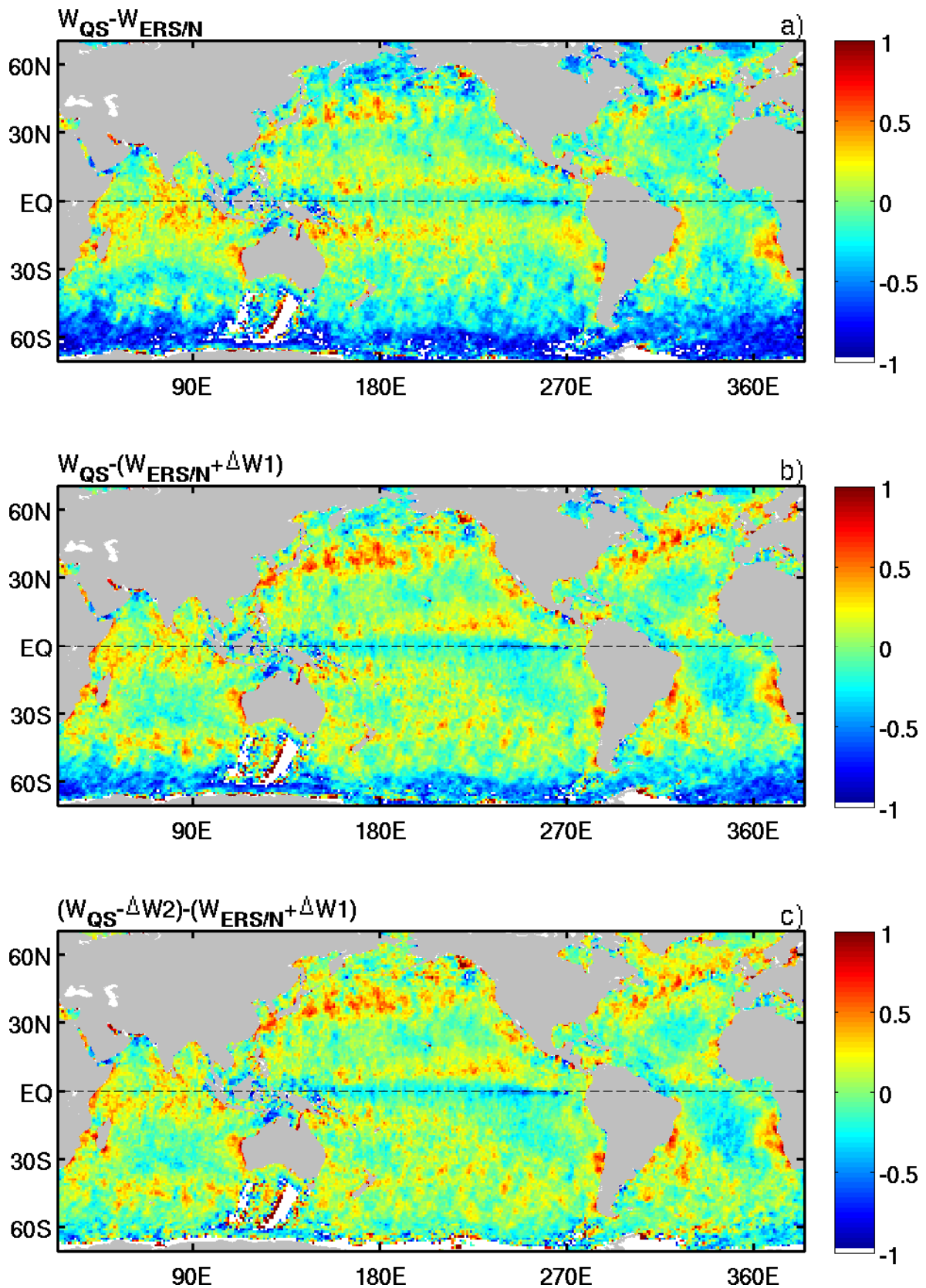
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448 Figure 2. Gross comparison of collocated QuikSCAT (QS) and ERS-2 winds. (a) QS wind
449 speed (W_{QS}) versus ERS-2 wind speed 1m/s bins (W_{ERS}). Shading shows ± 1 STD of W_{QS} in
450 each bin. (b) Histogram of W_{ERS} . (c) Difference between QS and ERS wind directions binned
451 10° in ERS-2 wind direction relative to the mid-beam azimuth. Dashed lines indicate $\pm 10^\circ$.
452 Gray shading shows ± 1 STD. (d) Histogram of the relative ERS-2 wind direction. Azimuth
453 angles are calculated counterclockwise from north (degN). Zero relative wind direction in c)
454 and d) corresponds to ERS-2 mid-beam looking along the wind vector.



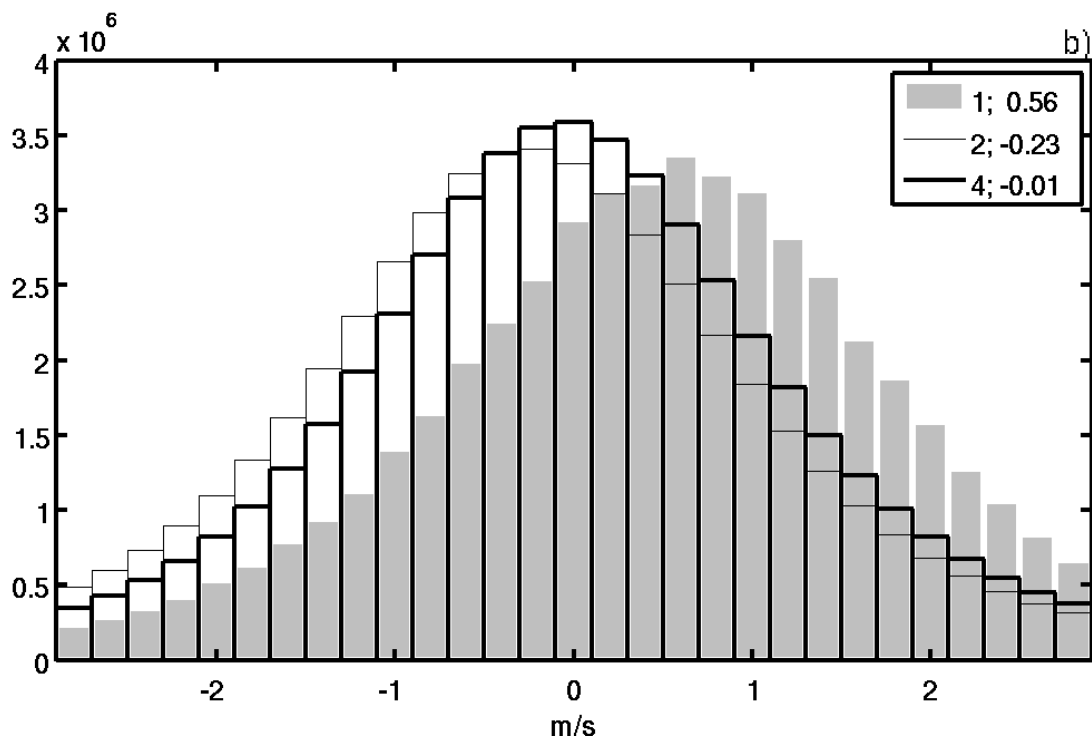
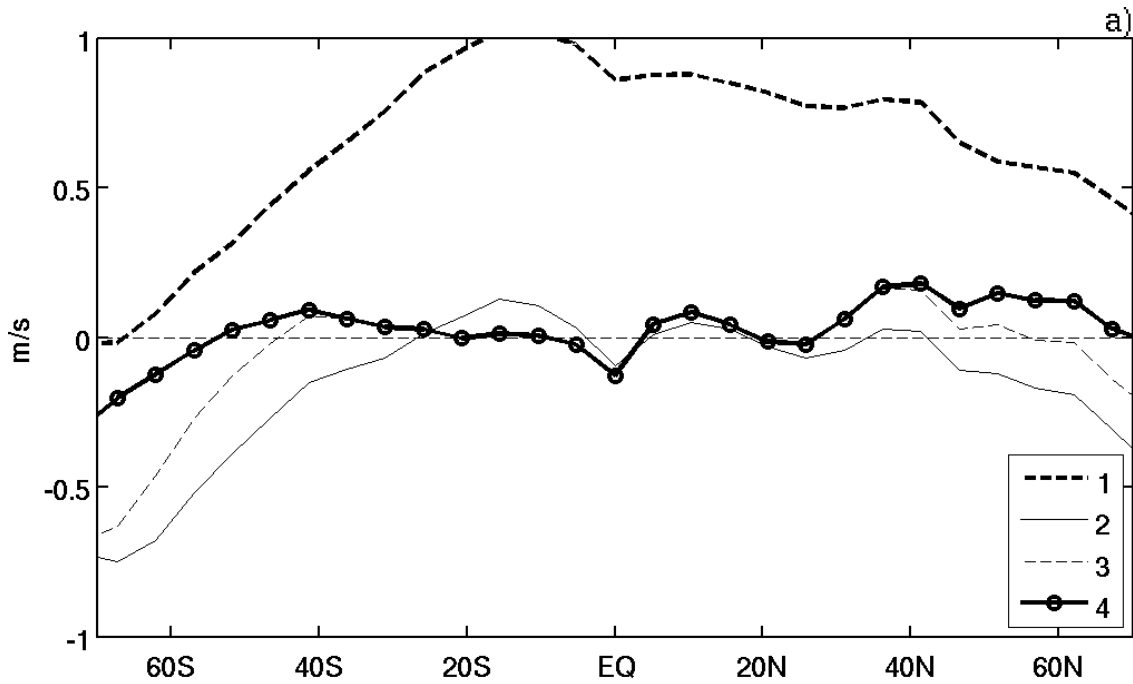
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Figure 3. (a) Time mean difference between collocated QS and ERS-2 wind speed ($W_{QS} - W_{ERS}$), (b) STD of the difference, and (c) temporal correlation of instantaneous collocated wind speeds at each bin. QuikSCAT rain flag and $MRP < 0.5$ are both applied.

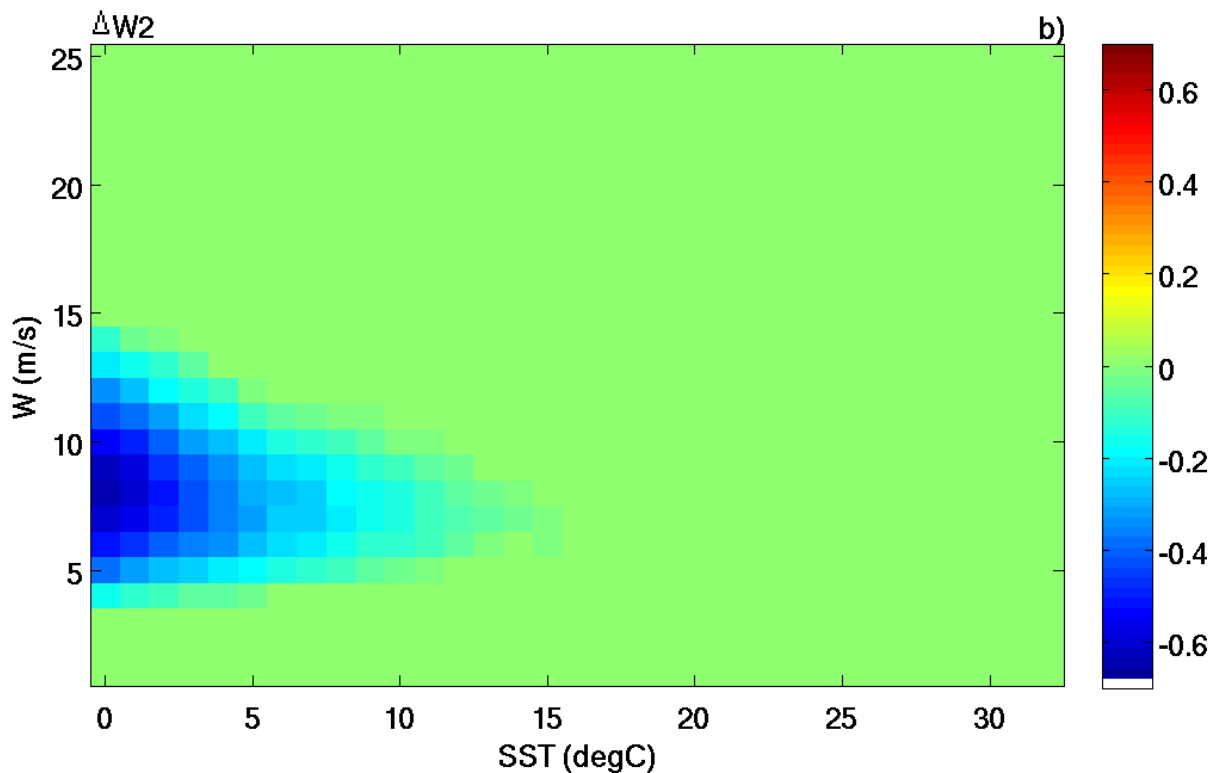
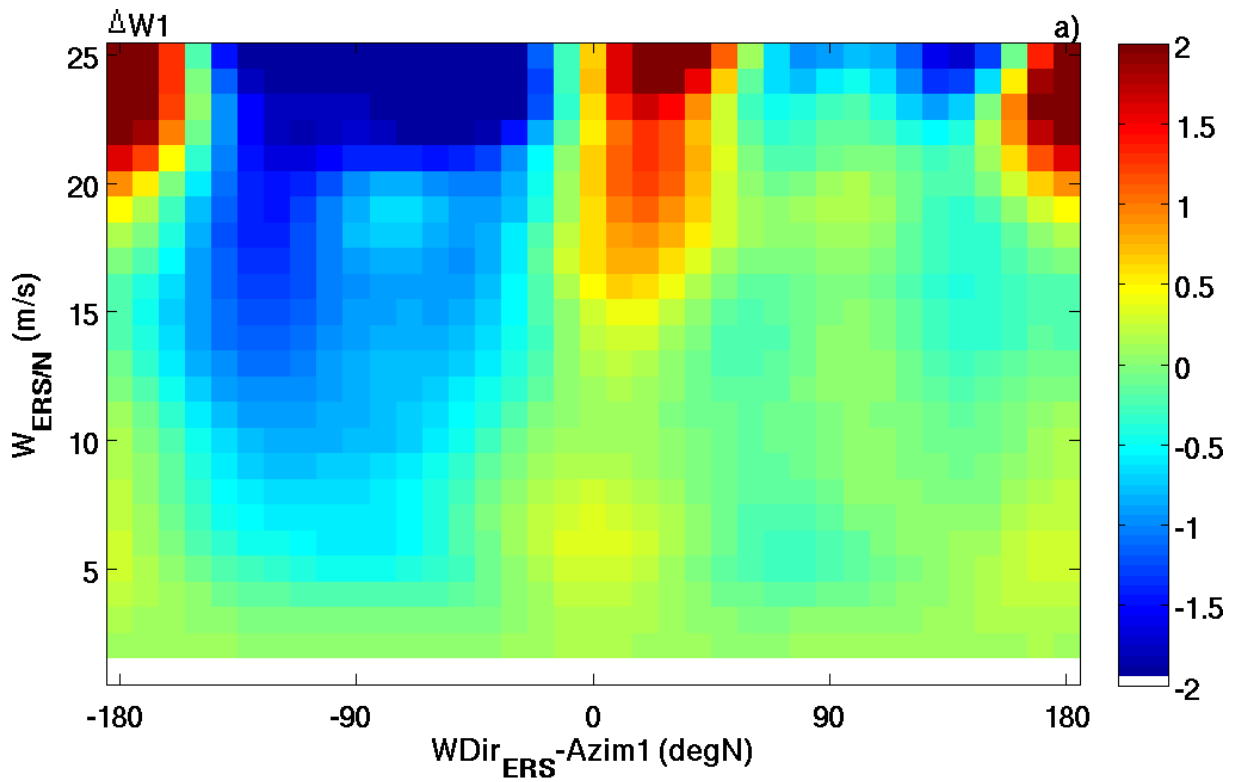


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462 Figure 4. Time mean difference between collocated QuikSCAT and ERS-2 wind speeds. (a)
463 ERS-2 wind partially reprocessed with CMOD5.n (ERS/N). (b) ERS/N wind corrected for
464 GMF dependence $[W_{ERS/N} + \Delta W1(W_{ERS/N}, \chi)]$. (c) ERS/N wind corrected for GMF
465 dependence $\Delta W1$ and QS winds corrected for SST dependence $[W_{QS} - \Delta W2(W_{QS}, SST)]$.

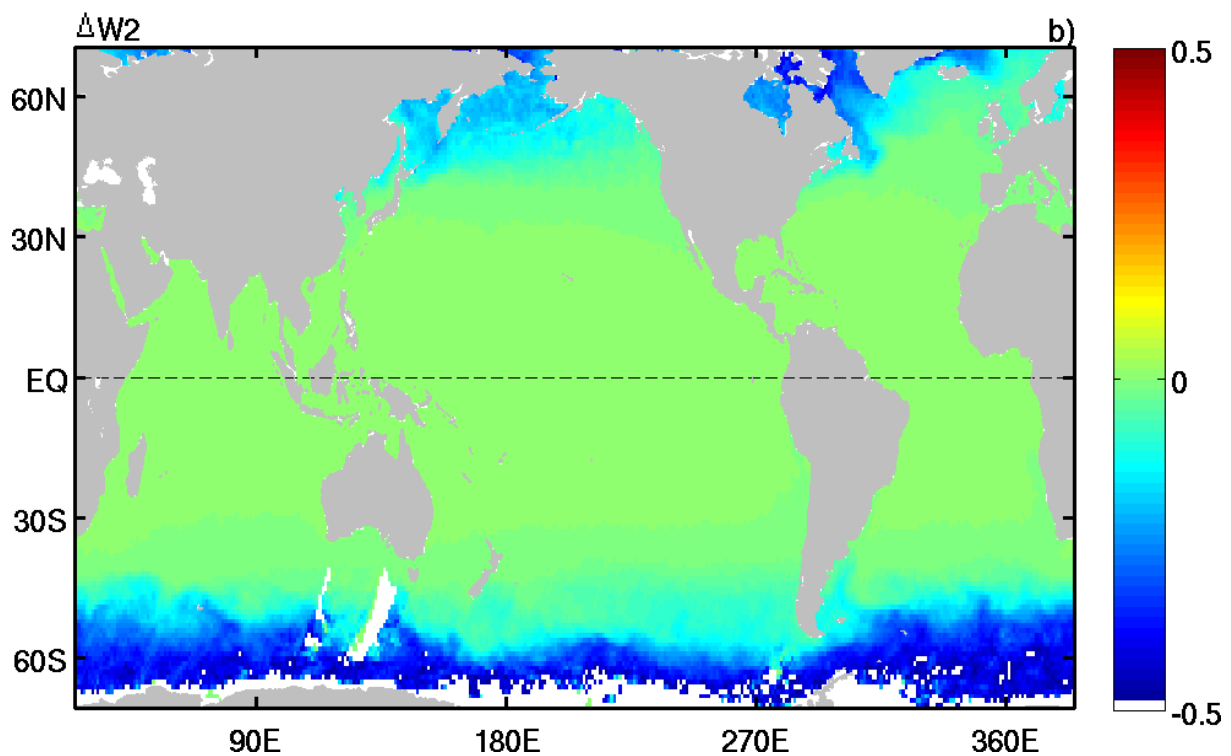
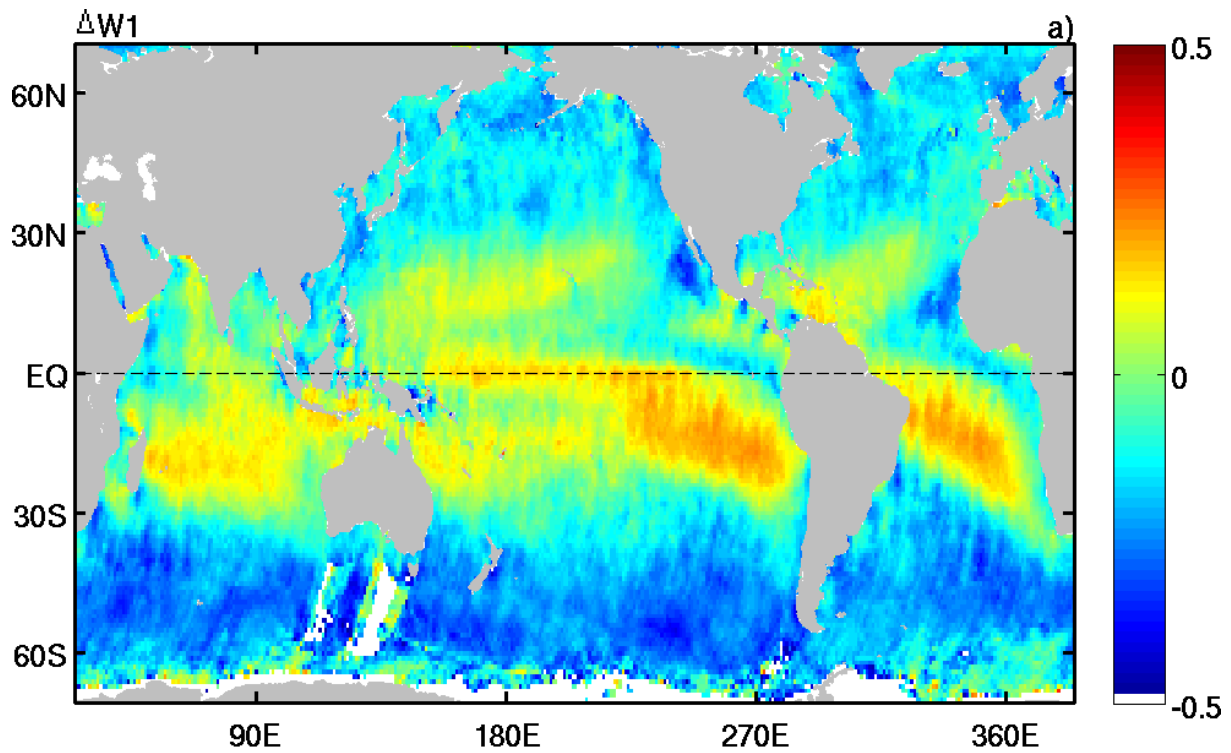


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 467 Figure 5. (a) Zonally averaged collocated wind speed difference for four cases: (1)
 468 CMODIFR2-based ERS-2 winds ($W_{QS} - W_{ERS}$), (2) CMOD5.n-based ERS-2 winds
 469 ($W_{QS} - W_{ERS/N}$), (3) after applying GMF-related correction to ERS-2
 470 ($W_{QS} - (W_{ERS/N} + \Delta W1)$), (4) after applying GMF-related correction to ERS-2 and SST-
 471 related correction to QuikSCAT, ($W_{QS} - \Delta W2) - (W_{ERS/N} + \Delta W1)$. (b) Histogram of
 472 collocated wind speed difference from the same cases. Numbers are median wind speed
 473 differences in m/s.



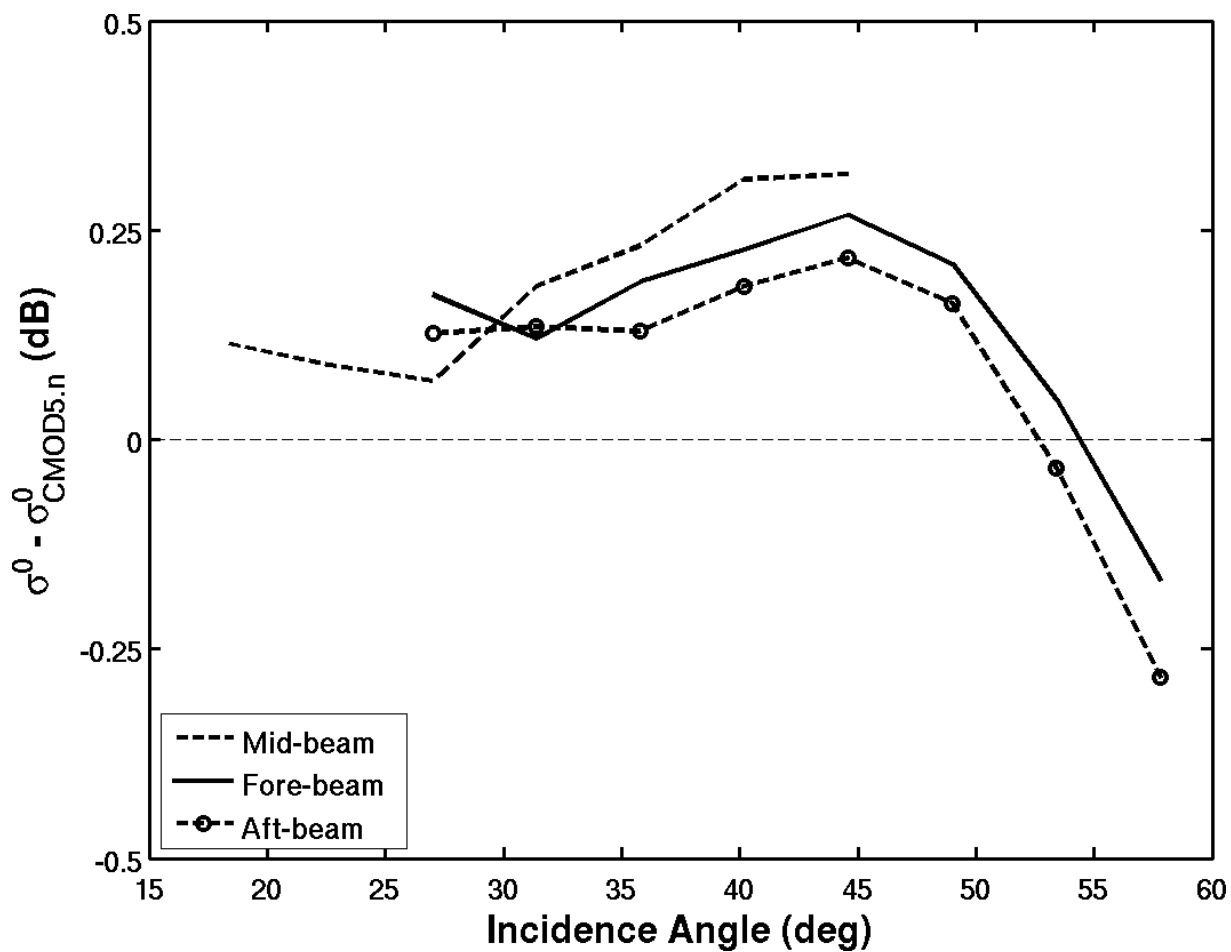
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475 Figure 6. (a) Wind speed difference ($\Delta W1$) between collocated QuikSCAT and ERS/N (ERS-
 476 2 reprocessed with CMOD5.n) plotted as a function of wind speed and wind direction relative
 477 to the mid-beam azimuth. (b) $\Delta W2$, the SST-related correction for QuikSCAT wind speed
 478 (adopted from Bentamy et al., 2012).



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Figure 7. Time mean (a) GMF-related wind speed correction for ERS/N ($\Delta W1$), (b) SST-related wind speed correction for QuikSCAT ($\Delta W2$) applied to all collocated differences. Units are m/s. See also captions in Figs. 5 and 6 for notation.



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486 Figure 8. Observed radar backscatter (σ^0) minus backscatter simulated with the corrected
487 QuikSCAT wind speed and direction ($\sigma^0_{CM0D5.n}$) versus incidence angle for each ERS-2 beam.