

Wind-induced variability in larval retention in a coral reef system: a biophysical modelling study in the South-West Lagoon of New Caledonia

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Abstract:

In the present work, a biophysical dispersal model is used to understand the role of the physical environment in determining reef fish larval dispersal patterns in the South-West Lagoon of New Caledonia. We focus on a reef fish species, the humbug damselfish *Dascyllus aruanus*, to investigate seasonal variability of simulated larval retention at the scale of a reef patch and at the scale of the lagoon, and to explore links between larval retention and wind variability. The model shows that retention exhibits considerable temporal variability and periodically reaches values much larger than anticipated. Non-zero larval settlement occurs over a large part of the lagoon. Nevertheless, settlement values decrease quickly away from the natal reef and mean dispersal distances are of order 25-35 km. Cross-correlation analyses indicate that weather conditions characterized by strong south east trade winds lead to low retention rates at both local (reef) and regional (lagoon) scales. By contrast, subtropical weather conditions characterized by weak winds result in high retention rates. These results suggest that large-scale weather regimes can be used as proxies for larval retention of the humbug damselfish in the South-West Lagoon of New Caledonia. Nevertheless, relatively small mean dispersal distances suggest that meta-population dynamics occur on relatively small spatial scales.

Highlights

► We develop a biophysical, individual-based model for larvae of *Dascyllus aruanus*. ► Larval retention at natal reef and lagoon scales is highly variable over time. ► Large-scale weather regimes are good proxies for retention rates. ► Mean dispersal distances are of order 25-35 km.

Keywords : Biophysical model ; Larval dispersal ; Wind-driven transport ; *Dascyllus aruanus* ; Precompetency ; Homing ; New Caledonia

28 1. Introduction

29 The fragmentation of marine coastal habitats results in a geographical separation of local
30 populations. Links among local populations are possible via the movement of individuals.
31 When these connections are strong enough to have a measurable impact on local populations'
32 growth rates, these populations constitute a metapopulation (Sale et al. 2006) and the
33 exchanges between them are referred to as demographic connectivity (Cowen et al. 2007).
34 Knowledge of demographic connectivity is required to understand metapopulation dynamics
35 and the persistence and resilience of marine populations to anthropogenic pressures
36 (Bernhardt and Leslie 2013), particularly in the context of implementing networks of marine
37 protected areas (Sale et al. 2005). While progress has been made with older life stages, the
38 larval dispersal component of connectivity has long been viewed as a black-box due to the
39 many difficulties associated with directly observing a multitude of small individuals in a
40 marine environment. In the past decade, advances in biophysical modelling (Miller 2007) and
41 empirical techniques for connectivity assessment (e.g. genetic parentage analysis using DNA
42 microsatellites and otolith transgenerational tagging) have permitted detailed investigation of
43 early life dispersal (reviewed in Levin 2006, Cowen and Sponaugle 2009, Leis et al. 2011,
44 Kool et al. 2013), especially in coral reef systems (Jones et al. 2009). Although numerous
45 marine species have pelagic larval durations that may last several weeks, Cowen et al. (2000)
46 showed more than ten years ago that the spatial scales of larval dispersal were not as large as
47 anticipated (only 10 to 100 km vs. several hundreds of km as was previously thought). This
48 result suggested that larval local retention (i.e. the ratio of the number of larvae that settled
49 back to their natal population to the total number of larvae released there, Botsford et al.
50 2009) could be important for the functioning and structure of marine populations. Since then,
51 the idea of small scale demographic connectivity ensured by larval retention has been
52 supported by modelling studies e.g. in the Great Barrier Reef in Australia (James et al. 2002),

53 the Caribbean (Cowen et al. 2006, Chérubin et al. 2011), Hawaii (Christie et al. 2010) and the
54 Indo-Pacific Ocean (Treml et al. 2012). Field observations also reported high levels of self-
55 recruitment (i.e. the ratio of the number of larvae that settled back to their natal population to
56 the total number of larvae that settled there, Botsford et al. 2009) e.g. for reef fish species in
57 Papua New Guinea (Almany et al. 2007, Planes et al. 2009, Saenz-Agudelo et al. 2012,
58 Berumen et al. 2012), the Caribbean (Hogan et al. 2012) and the Great Barrier Reef (Harrison
59 et al. 2012, van der Meer et al. 2012).

60 The present challenge of larval dispersal research is to find out if this relatively “closed”
61 population dynamics is the rule or the exception, and to understand its causes: does it result
62 from local oceanography, larval life history traits, larval behavior, or a combination of these
63 biotic and abiotic drivers? Local oceanography (currents, water residence time) has been
64 shown to be of great importance for explaining relatively closed population dynamics (Paris
65 and Cowen 2004, Treml et al. 2012). Among life history traits, the length of the larval
66 precompetency period, i.e. the period of time in which larvae may not settle (Jackson and
67 Strathmann 1981), has been shown to be a key driver for local retention within the natal
68 population (Black and Moran 1991, Paris and Cowen 2004, Treml 2012). Whereas the
69 precompetency period of reef-building coral larvae is relatively short (between 2 and 5 days,
70 Heyward and Negri 2010), the precompetency period can reach several weeks for other reef
71 species (Staaterman et al. 2012, Butler et al. 2011, Soria et al. 2012). Concerning larval
72 behavior, several studies demonstrate that fish larvae have sensory capabilities coupled with
73 strong swimming capabilities (Leis 2010) that facilitate local retention through homing. For
74 instance, larvae are capable of olfactory discrimination and prefer the odor of their home reef
75 (Gerlach et al. 2007). Acoustic (e.g. Radford et al. 2011) and sun compass mechanisms
76 (Mouristen et al. 2013) have also been suggested to allow pelagic larvae to locate their natal
77 reef. Modelling studies show that early active larval movement associated with orientation

78 behavior is a mechanism for self-recruitment (James et al. 2002, Paris et al. 2005, Staaterman
79 et al. 2012).

80 In this study we investigate the roles of local meteo-oceanography, precompetency period and
81 homing behavior in determining reef fish larval retention in the South-West Lagoon of New
82 Caledonia (SWL). The oceanography of the SWL has been particularly well studied over the
83 last forty years through in-situ measurements and numerical models that provide insights on
84 sediment transport and biogeochemical dynamics in the lagoon (Jarrige et al. 1975, Douillet
85 1998, Faure et al. 2010, Ouillon et al. 2010, Fuchs et al. 2012). Previous studies using
86 Lagrangian tracers show that the SWL is well mixed by the joined action of tide currents,
87 winds and swell which results in a rather low average water residence time of 11 days
88 (defined as the time needed for a water particle to leave the lagoon) (Jouon et al. 2006,
89 Ouillon et al. 2010). If we assume, as a first approximation, that larvae of marine species are
90 passive entities during their precompetency period, and are therefore advected like water
91 parcels, the short water residence time found in the SWL has enormous consequences for
92 larval retention: species with a larval precompetency period longer than 11 days will be
93 mostly flushed out of the lagoon.

94 In this study we focus on a coral reef damselfish, *Dascyllus aruanus*, a species that has
95 precisely a precompetency period of ~11 days (see Material and methods 2.3). We use a
96 three-dimensional biophysical model to investigate larval retention of *Dascyllus aruanus* and
97 its seasonal variability inside the SWL. We first describe the study species and region
98 focusing on the oceanographic context. The biophysical model is then described and used to
99 assess larval retention at two different spatial scales: SWL scale (“natal lagoon retention”) and
local patch reef scale (“natal reef retention”). We define (1) “natal lagoon retention” and (2)
“natal reef retention” as the ratio of the number of larvae released at the natal reef that settled
(1) on any of the settlement reefs including the natal reef or (2) only on the natal reef, to the

103 total number of larvae released at the natal reef . Larval retention was simulated under two
104 opposite hypotheses regarding homing behavior: a strict-homing hypothesis and a no-homing
105 hypothesis. Finally, to stress out the role of the local meteo-oceanography on larval retention,
106 our results are linked to the synoptic-scale variability of the low-level circulation in New
107 Caledonia that we can describe through the so-called weather regimes (Lefèvre et al. 2010). A
108 sensitivity study is conducted using a longer (3 weeks) precompetency period and different
109 larval release depths.

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110 2. Material and methods

111 2.1. Study area

112 New Caledonia (19–23° S, 163–168° E) is an island located in the South West Tropical
113 Pacific 1500 km east of Australia. The New Caledonia lagoon is surrounded by a barrier reef
114 of exceptional size (1600 km in length, the second longest double barrier reef in the world,
115 after the Great Barrier Reef) and is listed as a UNESCO World Heritage Site since 2008. The
116 work presented here focuses on the South-West Lagoon of New Caledonia (SWL) which
117 surrounds Nouméa, the island's main city (Figure 1). A network of 13 Marine Protected Areas
118 (MPAs) has been established in this area to mitigate the increasing anthropogenic pressure on
119 the lagoon. The SWL covers an area of about 2000 km² delimited by the coast on the eastern
120 side and the barrier reef on the western side, extending from the Mato pass in the south to the
121 Ouarai pass in the north. Depth averages 20 m and varies from less than 1 m around islets to
122 60 m inside canyons. The lagoon ranges in width from 5 km (northern limit) to 40 km
123 (southern limit) with a length along the north-west/south-east axis of about 100 km, and is
124 connected with the Pacific Ocean by several deep passes.

125 2.2. Local meteo-oceanography in summertime

126 The two main forces driving circulation in the SWL are tides and winds (Douillet 1998).
127 Wind-induced current velocities are approximately one order of magnitude higher than
128 velocities generated by tides (Ouillon et al. 2010). Austral summer (from October to March)
129 is dominated by southeasterly trade winds blowing from 60° to 160° at speeds averaging 8 m
130 s⁻¹ (Pesin et al. 1995). Recently, Lefèvre et al. (2010) identified four weather regimes
131 occurring in New Caledonia during austral summer through an objective classification applied
132 to remote sensed winds for nine seasons from 1999 to 2008 (Table 1). Three of these weather
133 regimes (regimes 1, 3 and 4) exhibit low-level circulation dominated by SE trade winds.

134 Regime 1 captures a strong, near steady and alongshore trade wind flow, averaging 8 m s^{-1}
135 and is referred to here as “Strong SE Trade-wind”. This regime is the most frequent,
136 accounting for slightly less than a third of the austral summer days. Long spells of “Strong SE
137 Trade-wind” (regime 1) are more favorable to the flushing of the lagoon by driving a general
138 north-west drift. The SWL is thus mainly fed with oceanic waters at its southern end, through
139 the different passes of the barrier reef as described in Jouon et al. (2006). This regime
140 promote the formation of upwelling events along the barrier reef (Marchesiello et al, 2010)
141 and local wind acceleration in SWL due to flow splitting by the mountainous island (Lefèvre
142 et al., 2010). The regional circulation in regime 4, called “Subtropical SE wind”, also shows a
143 near steady alongshore SE direction, but with weaker winds (5.2 m s^{-1} in average) associated
144 with strong subtropical westerlies south of the island. Occurring for 27% of the days in
145 Lefèvre et al.’s (2010) analysis, this regime is more frequent in early summer (November–
146 December). Regime 3, called “Tropical SE wind”, accounts for 22% of the days, occurs more
147 frequently during the warm and wet period from January to March, and produces average
148 winds of 5.2 m s^{-1} . The fourth regime, regime 2, called “Weak Easterly Circulation”, exhibits
149 a weak easterly airflow circulation (below 2 m s^{-1}) and the largest variability in wind
150 direction. It is also the most transient and least robust regime, accounting for less than 8% of
151 the days.

152 2.3. Study species

153 This study is part of a larger program focusing on the population connectivity of the Humbug
154 damselfish (Pomacentridae), *Dascyllus aruanus*, in the SWL of New Caledonia. Here, we
155 therefore use the larval life history traits of this species as the basis for our exploration of
156 larval dispersal patterns in the SWL. *D. aruanus* is an obligate coral-dwelling Pomacentridae,
157 found exclusively in lagoon habitats (Allen 1991), where it lives in well-mixed waters among
158 branching coral colonies in spatially discrete groups of 2 to 80 individuals (Sale 1972,

159 Holbrook et al. 2000, Cole 2002). Coral colonies provide protection from predators and
160 substrate for laying benthic eggs (Coates 1980, Mizushima et al. 2000). *D. aruanus* adults are
161 sedentary benthic spawners that breed on a lunar cycle throughout the year (Pillai et al. 1985).
162 Spawning peaks in summer, during which time each female can spawn several times at one
163 week (personal observation) to 2 months (Mizushima et al. 2000) intervals. Eggs remain in
164 benthic nests for 3 days after which hatchlings are released into the plankton where they
165 disperse on average 3 weeks (mean planktonic larval duration -PLD- Thresher et al. 1989,
166 Juncker et al. 2007, Soeparno et al. 2012) prior to settling on adult reef habitats. Newly
167 hatched larvae have well developed sensorial abilities (Leis 2010) and pomacentrids larvae
168 are able to swim actively against currents during the second half of their pelagic larval phase
169 (Fisher 2005). Furthermore, field evidence indicates that late-stage larvae of coral reef fish
170 can detect the presence of a reef at a range of at least 1 km (Leis et al. 1996). Thus the
171 sensorial and swimming abilities which allow the orientation of competent larvae to suitable
172 recruitment habitat (Sweatman 1983, Holbrook et al. 2000) may be present in *D. aruanus*
173 larvae as early as the age of 11 days (i.e. half of mean PLD).

174 *2.4. Biophysical model*

175 Larval dispersal was simulated with a biophysical model using version 3.0 of the Lagrangian
176 tool Ichthyop (Lett et al. 2008). Ichthyop is a three-dimensional (3D) particle-tracking model
177 designed to study the effects of physical and biological factors on the transport and settlement
178 of ichthyoplankton. The biophysical model is based on an offline forcing of an individual-
179 based model (IBM) by a 3D hydrodynamic model. The hydrodynamic model used here is the
180 high-resolution 3D Model for Applications at Regional Scales (MARS3D, Lazure and Dumas
181 2008). MARS3D provides 3D dynamic fields of current velocities which result from the
182 combined actions of wind and tidal forcing. Several configurations of this model have been
183 developed and validated in the SWL (Douillet 1998, Douillet et al. 2001, Ouillon et al. 2010).

184 The configuration of MARS3D used in this study covers the SWL from 22.06° to 22.52° S
185 and from 165.98° to 166.79° E. The model grid has a horizontal resolution of 500 m and thirty
186 terrain following generalized sigma levels in the vertical dimension. This configuration is
187 forced by realistic hourly winds at 4 km resolution obtained from the mesoscale Weather
188 Research and Forecasting model (WRF) (Lefèvre et al. 2010). Simulated surface wind speeds
189 and directions are very close to observations (Indice of Agreement *IOA* of 0.9 and *RMSE* <
190 standard deviation of observations for both speed and direction, Appendix A, Table A.1).
191 Realistic wind forcing corresponds to the years 2003–2004. This period is neutral regarding
192 ENSO phases. Tides are included in MARS3D through a lateral forcing using the Oregon
193 State University TPXO.6 tides solution (Egbert et al. 1994) for 8 tidal constituents. The
194 TPXO tides solution is refined further within ADCIRC (ADvanced CIRCulation model,
195 Luetlich and Westerink 1992), by using an unstructured and very fine resolution mesh (from
196 500 to 25 m in the SWL, Lefèvre, pers. comm.).

197 Our larval transport IBM uses MARS3D model results covering the reproductive period of *D.*
198 *aruanus* in New Caledonia i.e. from mid-September to late March. Outputs of MARS3D
199 simulations were stored every 12 minutes as this time step is sufficient to account for the
200 effects of tides. In the IBM, larvae are characterized by their latitude (° S), longitude (° E) and
201 depth (m). Locations of individuals are updated every five minutes in three dimensions using
202 the velocity fields stored from MARS3D interpolated in space and time via a forward-Euler
203 integration scheme.

204 2.5. Simulations

205 In order to study the effect of wind regime on larval retention, we examined dispersal from a
206 ~500 m diameter patch reef located at the center of the SWL (called natal reef hereafter)
207 where water residence time is close to the average of 11 days (Ouillon et al. 2010). Location

208 and extent of the natal reef and settlement areas in the SWL were defined as polygons based
209 on GIS habitat maps provided by the atlas of coral reefs in New Caledonia (Andrefouët et al.
210 2004). Since *D. aruanus* is ubiquitous in the SWL all reefs shallower than 20 m were
211 considered as potential settlement habitats in the model. Hatching events (representing a
212 release of 500 virtual larvae each) were simulated over the natal reef every three hours over
213 austral summer from mid-September 2003 to late March 2004 (i.e. 1320 simulations). For
214 each release on the natal reef, larvae were randomly distributed throughout the water column
215 from 0 to 20 m depth. Larvae from each hatching event were followed for up to 30 days (the
216 largest value of PLD for *D. aruanus*). We considered that larvae were initially transported
217 passively by ocean currents during an 11-day precompetency period and that they became
218 active afterwards with sensory and swimming capabilities that allowed them to detect and
219 approach a settlement area. To do so, a non-explicit swimming behavior during the
220 competency period was included by assuming that larvae could actively settle once at a given
221 distance from a settlement reef. This distance was defined as 1 km (Leis et al. 1996). Any
222 virtual larva located less than 1 km away from a settlement reef at any time between the end
223 of the precompetency period and 30 days was then considered to have successfully settled.

224 Simulations were run under two alternative hypotheses about natal homing. Under the first
225 hypothesis, settlement was supposed to be driven by strict natal homing: settlement was only
226 allowed at the natal reef, i.e. competent larvae were only able to settle on the natal reef. We
227 will refer to this hypothesis as the “strict-homing hypothesis”. Under the second hypothesis,
228 settlement of competent larvae was allowed on the natal reef and in any other part of the SWL
229 where suitable habitat for *D. aruanus* was available. We will refer to this hypothesis as the
230 “no-homing hypothesis”. A longer precompetency period of three weeks (21 days) was also
231 tested. A sensitivity analysis to the larval release depth was also conducted using simulations
232 run for a precompetency period of 11 days for both hypotheses regarding natal homing. As

233 larvae are characterized by their latitude ($^{\circ}$ S), longitude ($^{\circ}$ E) and depth (m) at each time step,
234 it is possible to know the release depth of each recruited larvae at the end of the simulation.
235 Five release depth intervals were tested from 0 to 20 m. All post-processing computations
236 were done using R version 2.14.1.

237 *2.6. Lagoon vs. reef retention*

238 Simulation outputs were used to calculate larval retention at two different spatial scales.
239 Larval retention was first computed for each simulation at the SWL scale. This retention,
240 hereafter called “natal lagoon retention” (NLR), is defined as the ratio of the number of larvae
241 released at the natal reef that settled on any of the settlement reefs to the total number of
242 larvae released at the natal reef. Larval retention was also computed for each hatching event at
243 the natal reef scale. This local retention, hereafter referred to as “natal reef retention” (NRR),
244 is defined as the ratio of the number of larvae released at the natal reef that settled back to that
245 site to the total number of larvae released at the natal reef. Three retention time series
246 extending from mid-September 2003 to early March 2004 were obtained: NLR under the no-
247 homing hypothesis; NRR under the no-homing hypothesis; NRR under the strict-homing
248 hypothesis. Note that under the strict-homing hypothesis NLR equals NRR.

249 *2.7. Cross-correlations*

250 To study the link between wind conditions and simulated *D. aruanus* larval retention, we
251 calculated cross-correlations between wind and retention time series with a maximum lag of
252 30 days. We extracted hourly meridional and zonal wind components from the WRF model at
253 the closest grid point to the natal reef and converted them into an along-shelf (V-component)
254 and cross-shelf (U-component) coordinate system, rotated 60° anti-clockwise from true north,
255 with V positive towards the north-west (300°) and U positive onshore towards 30° . Time
256 series of daily probability of occurrence of the four weather regimes defined by Lefèvre et al.

257 (2010) were also used in a cross-correlation analysis with simulated retention time series. We
258 used the Spearman rank-order correlation coefficient (hereafter Spear-R) because the
259 simulated retention values were not normally distributed. Given that autocorrelation of time
260 series increases the risk to consider that correlations between series are significant when they
261 are not, we accounted for autocorrelation of all time series explicitly in judging the
262 significance of correlations by adjusting the degrees of freedom following Pyper and
263 Peterman (1998) and Botsford and Paulsen (2000). Auto-correlation timescales of order 2-8
264 days, depending on the simulation and time series examined, were identified and the effective
265 degrees of freedom were corrected accordingly. This correction reduced the effective degrees
266 of freedom and consequently substantially increased the value of correlation required for a
267 significant result. All reported correlation coefficients are significantly different from zero at
268 the 95% confidence level.

269 *2.8. Larval settlement maps*

270 Larval settlement maps were plotted at the SWL scale from the simulations with the no-
271 homing hypothesis. For each hatching event, the spatial distribution of settlers was computed
272 for square grid cells of 0.01° spatial resolution. The proportional number of settlers (relative
273 to the total number of released larvae = 500) in each grid cell was then averaged over all
274 hatching events, as well as over two extreme decompositions of the simulation period: (1)
275 hatching events whose precompetency period consisted of at least 75% weather regime 1
276 (Strong SE Trade-wind, Table 1) and (2) hatching events whose precompetency period
277 consisted of at least 75% weather regime 4 (Subtropical SE wind, Table 1). The centers of
278 mass of settlement maps were then calculated as the weighted (by settlement) spatial average
279 over grid cells. To enhance visibility, some results were converted to a logarithmic scale by
280 calculating $\log_{10}(N_i+1)$ where N_i is the total number of larvae, over all simulations, settling in
281 grid cell i .

282 **3. Results**283 *3.1. Time series*

284 The retention time series exhibit considerable temporal variability for both precompetency
285 periods (Figure 2a and 2b). For an 11-day precompetency period, natal lagoon retention
286 (NLR) ranges from 0% to 100% with a mean of 56.7% ($\pm 26.4\%$ Std. Dev.). Natal Reef
287 Retention (NRR) ranges from 0% to 42.6% with a mean of 6.7% ($\pm 8.2\%$ Std. Dev.) under the
288 strict-homing hypothesis. Under the no-homing hypothesis, NRR is considerably smaller,
289 ranging from 0% to 23.0% with a mean of 1.4% ($\pm 2.3\%$ Std. Dev.). The three simulated
290 retention time series are highly positively correlated (Spear-R 0.63, 0.82, and 0.73, for the
291 NLR and NRR when no homing, NLR and NRR when strict homing, and NRR when no
292 homing and NRR when strict homing time series, respectively). At both spatial scales (natal
293 reef and natal lagoon) and under both hypotheses (strict and no homing), mean retention
294 decreases as the precompetency period increases. For a 21-day precompetency period, NLR
295 ranges from 0% to 86.4% with a mean of 32.9% ($\pm 20.9\%$ Std. Dev.). NRR ranges from 0%
296 to 18.8% with a mean of 1.9% ($\pm 3.0\%$ Std. Dev.) under the strict-homing hypothesis. Under
297 the no-homing hypothesis, it ranges from 0% to 4.0% with a mean of 0.3% ($\pm 0.5\%$ Std.
298 Dev.).

299 Wind conditions simulated by WRF model over austral summer 2003–2004 show two
300 predominant states (Figure 2c) representing each about a third of the time series. Periods
301 corresponding to trade winds with wind speed $> 8 \text{ m s}^{-1}$ and steady direction (mean = 110° N
302 ± 23 Std. Dev.) alternate with periods with weak wind speed ($< 5 \text{ m s}^{-1}$) and variable direction
303 (mean = $160^\circ \text{ N} \pm 88$ Std. Dev.). During trade wind episodes the along-shore wind component
304 (V) is high towards North-West (mean = $8.6 \text{ m s}^{-1} \pm 2.0$ Std. Dev.) and the cross-shelf wind
305 component (U) is oriented offshore (mean = $-1.5 \text{ m s}^{-1} \pm 2.4$ Std. Dev.), whereas weak and

306 variable wind episodes are characterized by a lower V (mean = $1.2 \text{ m s}^{-1} \pm 2.3 \text{ Std. Dev.}$) and
307 higher U component towards the coast (mean = $0.1 \text{ m s}^{-1} \pm 2.3 \text{ Std. Dev.}$) (Figures 2c and 2d).
308 Consistent with these conditions, wind regimes 1 (Strong SE Trade-wind) and 4 (Subtropical
309 SE wind) are predominant during the study period (Figure 2e), occurring 35 % and 43 % of
310 the study period, respectively. Regimes 2 (weak easterly circulation) and 3 (tropical SE wind)
311 winds represent 8% and 13% of the study period, respectively.

312 *3.2. Cross-correlations*

313 *Natal lagoon retention (NLR)*

314 For an 11-day precompetency period, the time series of NLR shows significant negative
315 cross-correlations with the along-shore component of wind (Figure 3a) between days 2 and 9
316 after release, with an absolute maximum correlation coefficient of 0.3 occurring at a lag of 5
317 days. For a 21-day precompetency period, correlations are consistently negative at about -0.2
318 for lags of 3-20 days, albeit only marginally significant at lags of 12-13 days and 18-19 days
319 (correlation coefficient of ~ -0.3) (Figure 3b). Cross-correlations with the cross-shelf
320 component are not significant. For both precompetency period lengths, NLR is negatively
321 correlated with the probability of weather regime 1 (Figure 4a and 4b) between days 2 and 5
322 after release with absolute maximum correlation coefficients of 0.4. NLR is not significantly
323 correlated to regime 4, but correlation coefficients are generally positive (Figure 4c and 4d)
324 for both precompetency period lengths. Cross-correlations with regimes 2 and 3 are not
325 significant.

326 *Natal reef retention (NRR)*

327 Time series of NRR under the strict-homing hypothesis show significant negative cross-
328 correlations with the along-shore component of wind for both precompetency period lengths
329 (Figures 3a and 3b). Significant correlations occur between days 3 and 10 and between days

330 5 and 13 after release for precompetency period lengths of 11 and 21 days respectively, with
331 absolute maximum correlation coefficients of 0.3 for both precompetency period lengths
332 (Figures 3a and 3b). Under no-homing hypotheses NRR is not significantly correlated to
333 along-shore winds for a precompetency of 11 days, but correlation coefficients are generally
334 negative at the beginning of the precompetency period (Figure 3a). The time series of NRR
335 under the no-homing hypothesis shows significant negative cross-correlations with along-
336 shore winds between 5 and 7 days for a precompetency of 21 days (Figure 3b), with an
337 absolute maximum correlation coefficient of 0.2. Cross-correlations with cross-shelf winds
338 are not significant.

339 For an 11-day precompetency period, NRR under the strict-homing hypothesis is significantly
340 and negatively correlated to regime 1 at day 4 with an absolute maximum correlation
341 coefficient of 0.4 (Figure 4a) and NRR under the no-homing hypothesis is not significantly
342 correlated to weather regime 1 at the beginning of the PLD, but the correlation coefficients
343 are consistently negative (Figure 4a). For an 11-day precompetency period, NRR is
344 significantly and positively correlated with regime 1 between days 16 and 24 (no-homing
345 hypothesis) and between days 17 and 19 (strict-homing hypothesis) with maximum
346 correlation coefficients of 0.4 (Figure 4a). For a 21-day precompetency period with the no-
347 homing hypothesis, NRR is significantly and negatively correlated with regime 1 between
348 days 3 and 6, with an absolute maximum correlation coefficient of 0.4 (Figure 4b). For a 21-
349 day precompetency period with the strict-homing hypothesis, NRR is significantly and
350 positively correlated with regime 1 between days 21 and 24, with a maximum correlation
351 coefficient of 0.4 (Figure 4b).

352 For an 11-day precompetency period, NRR under strict-homing hypotheses is significantly
353 and positively correlated to regime 4 between days 1 and 5 after release with a maximum
354 correlation coefficient of 0.5 (Figure 4c). Under no-homing hypotheses NRR is not

355 significantly correlated to regime 4, but correlation coefficients are generally positive (Figure
356 4c). For a 21-day precompetency period and under both homing hypotheses, NRR is
357 significantly and positively correlated with regime 4 between days 4 and 5 after release with
358 maximum correlation coefficients of 0.5 (Figure 4d).

359 Cross-correlations with regimes 2 and 3 are not significant.

360 *3.3. Settlement maps*

361 For an 11-day precompetency period, the average settlement map over the 1320 hatching
362 events covering austral summer has non-zero settlement in nearly every suitable habitat, north
363 and south of the natal reef (Figures 5a and 5b). Nevertheless, settlement is inhomogeneous
364 over space, decreasing rapidly with distance from the natal reef and reaching very low values
365 in the north and the south of the SWL (Figure 5a). The mean dispersal distance is 24.0 km
366 (34.0 km for a 21 day precompetency period) and the center of mass is located slightly north
367 of the natal reef.

368 When hatching is followed by a precompetency period dominated at 75% by weather regime
369 1, the vast majority of larvae settled north of the natal reef (Figure 6a). By contrast, when the
370 precompetency period is dominated at 75% by regime 4, larvae settle almost everywhere in
371 the lagoon (Figure 6b). Settlement maps are very similar for a 21-day precompetency period
372 (Appendix B, Figure B.1).

373 *3.4. Sensitivity to release depth*

374 At both spatial scales (natal reef and natal lagoon) and under both hypotheses (strict and no
375 homing), time series of retention corresponding to different depth of release are positively
376 correlated. For instance, NLR of larvae released in the upper layer (between 0 and 4m depth)
377 and NLR of larvae released in the bottom layer (between 16 and 20m depth) are correlated

378 with a Spear-R of 0.4. The extremely high variability in simulated larval retention is equally
379 true for all release depth levels. Nevertheless time series show different magnitude depending
380 on the depth of release. Larvae released in upper layers had significantly less chance to settle
381 than larvae released in bottom layers (Figure 7). For example, NRR under the strict-homing
382 hypothesis averaged 8.1% (± 0.4 Standard Error) when larvae were released between 16 and
383 20m depth, but only 4.8% (± 0.2 Standard Error) when they were released between 0 and 4m
384 depth. These two values are significantly different (Student test p.value < 0.05).

385

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386 **4. Discussion**

387 Results from our larval dispersal modelling study show that larval retention is highly
388 temporally variable over a reproductive season in the South-West Lagoon of New Caledonia
389 (SWL) at both lagoon and natal reef scales (Figure 2). Occasionally, we obtained much larger
390 larval retention rates than suggested by short average water residence timescales reported by
391 previous hydrodynamic studies in the SWL (Jouon et al. 2006, Ouillon et al. 2010, see
392 introduction). These modelling studies assumed periodic tides and constant, uniform winds
393 over the SWL corresponding to strong trade winds (SE, 8 m s^{-1}), the most frequent and long-
394 lasting wind regime on New Caledonia (similar to Lefèvre et al.'s (2010) weather regime 1).
395 Using realistic wind forcing, our results indicate that during periods of weaker (regime 4)
396 winds, larvae can stay within the SWL for their entire precompetency period.

397 Correspondingly, larval retention rates during these periods can be as high as 100% for natal
398 lagoon retention (NLR), and 43% / 23% for natal reef retention (NRR) with / without homing
399 respectively, for a precompetency period of 11 days. High retention rates occurred
400 occasionally even for larvae released in the upper part of the water column and/or having a
401 longer precompetency period, although average retention levels were smaller than for larvae
402 released at greater depth (Figure 7) and/or having a shorter precompetency period (Figure 2).
403 Homing behavior significantly increased retention at the reef scale (Figure 2), but it is not
404 strictly necessary and all model configurations and simulations had at least some time periods
405 with high levels of local retention.

406 High retention rates do not imply that populations are “closed” at the scale of individual reefs.
407 In our study, NRR and NLR are highly positively correlated (Spear-R 0.63 and 0.82, for NLR
408 and NRR when no homing and strict homing, respectively), and non-zero settlement occurs
409 over a large part of the SWL (Figure 5b). Nevertheless, settlement rates are low far from the
410 natal reef (Figure 5a), suggesting that while there is non-zero exchange of genetic material

411 over the entire SWL, population dynamics is dominated by processes occurring at smaller
412 spatial scales. For example, protected areas of order 10's of km in size should have significant
413 local retention of larvae and therefore a non-negligible chance of achieving self-persistence
414 (Burgess et al. in press).

415 Natal reef retention (NRR) is generally called "local retention" (Botsford et al. 2009), i.e., the
416 ratio of the number of larvae that settled back to their natal population to the total number of
417 larvae released there. Local retention is clearly an important variable to consider in population
418 dynamics studies. Indeed, an isolated population can persist if each individual produces
419 enough offspring to replace itself in the next generation (Botsford et al. 2009, Burgess et al. in
420 press) and this number increases with local retention. However, local retention is in practice
421 difficult to measure as the reproductive output (number of larvae released) of a particular
422 population must be assessed. This problem can be partially avoided by measuring local
423 retention as defined by Hogan et al. (2012), i.e. the ratio of the number of larvae that settled
424 back to their natal population to the total number of larvae released there *that settled*
425 *anywhere*. This variable has been estimated in the field by Hogan et al. (2012) for a
426 damselfish in the Caribbean, a species with life history traits very similar to ours. They found
427 a mean value of 21% ($\pm 12\%$ Std. Dev.) on the 7 sites sampled over a 3-years study period.
428 Hogan et al.'s (2012) definition of local retention corresponds exactly to NRR divided by
429 NLR in our study. Values of NRR / NLR we obtain in our simulations are 2.0% (± 2.8 Std.
430 Dev.) for a precompetency period of 11 days and 0.8% (± 1.0 Std. Dev.) for a precompetency
431 period of 21 days, i.e., respectively ten and twenty times smaller than the values assessed by
432 Hogan et al. (2012). These differences are potentially due to differences in the sizes of natal
433 vs. all settlement reefs. In our case, the natal reef represents only 0.01% of all settlement reefs
434 in the SWL (Figure 1), whereas if the 7 sites considered by Hogan et al. (2012) have similar
435 sizes, each site represents $\sim 1/7=14\%$ of the total habitat considered. In both our study and

436 Hogan et al.'s (2012), the fraction of larvae retained locally is superior to the fraction of
437 habitat that the focal site represents, indicating that local retention is superior to what would
438 be expected for a uniformly mixed larval pool, despite numerical retention rates being quite
439 different between the two studies. This highlights the importance of taking habitat area into
440 account when assessing local retention rates.

441 In order to understand the extremely high variability in simulated retention at both lagoon and
442 reef scales, we calculated cross-correlations of retention time series with wind and weather
443 regime time series. We found significant negative cross-correlations between all simulated
444 retention time series and along-shore winds (approximately winds from the south-east) during
445 the precompetency period (Figure 3), except for NRR under the no-homing hypotheses with
446 an 11-day precompetency period. These results show that larval retention variability in the
447 SWL is partly explained by wind forcing. Strong along-shore winds represent unfavorable
448 conditions for larval retention in the SWL because resulting ocean circulation flushes larvae
449 out of the lagoon before they have time to settle (Jouon et al. 2006, Ouillon et al. 2010). This
450 overall pattern is reflected in the relationship between settlement and the wind regimes
451 identified in Lefèvre et al. (2010). The strong SE trade wind regime (regime 1) is unfavorable
452 to larval retention during the larval precompetency period (Figures 4a and 4b, Figure 6a). By
453 contrast, the subtropical regime (regime 4) is positively correlated to retention values during
454 the first days of pelagic larval life for both precompetency period lengths studied (Figures 4c
455 and 4d, Figure 6b). This regime is characterized by weaker SE winds with more variable
456 direction than regime 1, including the majority of cross-shelf winds observed during our study
457 period. Both these features reduce mean wind forcing and increase retention. Surprisingly,
458 regime 1 had a slight but significant favorable effect on natal reef retention if occurring during
459 the competency period (at a lag of approximately three weeks whatever the precompetency
460 stage duration, Figures 4a and 4b). This suggests that optimal settlement conditions result

461 from a combination of weak, regime-4 winds during the early part of the precompetency
462 period, followed by strong, regime-1 winds close to the time of settlement (e.g. January 15th-
463 21st 2004; Figures 2a and 2e). When larvae disperse towards the south of the lagoon during
464 the precompetency period as a result of regime-4 winds, regime-1 winds are needed for these
465 to return to their natal reef (located in the center of the study area). This relationship breaks
466 down when natal lagoon retention is considered because in this case larvae can settle in many
467 different places within the SWL and therefore do not have to be transported back to the
468 central natal site.

469 As shown in Lefèvre et al. (2010), the low-level circulation in New Caledonia varies
470 significantly in connection with large scale forcing mechanisms, such as the El Niño/Southern
471 Oscillation (ENSO) or the Madden Julian Oscillation (MJO). For example, Lefèvre et al.
472 (2010) show that high regime 1 winds are more prevalent during El Niño events, the opposite
473 being true during La Niña events. Since dynamics and residence time in shallow lagoons are
474 tied to low-level winds, this implies that the large scale forcing may modulate larval retention
475 in a similar way. Our results therefore suggest that El Niño (La Niña) years will lead to lower
476 (higher) larval retention than average years. Given the strong correlation between retention
477 rates and wind conditions, weather regimes and their connection with large scale oscillations
478 (ENSO, MJO) may be a useful predictor of settlement success. To confirm the applicability of
479 these proxies for settlement in the entire SWL, we compared retention time series from the
480 focal reef with those from four other reefs located throughout the SWL, and found significant
481 quantitative agreement in all but the most coastal reef (Appendix C), suggesting that weather
482 regimes are likely a good predictor of settlement at intraseasonal and interannual scales over
483 the majority of the SWL.

484 Real larval dispersal and settlement is considerably more complex than what is represented in
485 our model, and we have consciously chosen not to explicitly represent a number of processes,

486 such as larval mortality and vertical migration, because very little is known about them in
487 general and particularly for our study species. Other modelling studies have demonstrated
488 potentially significant effects of such processes on larval dispersal and connectivity (Paris et
489 al. 2007, Brochier et al. 2008, Yannicelli et al. 2012). At this stage, our modelling work
490 focused on investigating the effect of meteo-oceanography on larval retention and we believe
491 that most of our qualitative conclusions on favorable and unfavorable wind conditions for
492 retention remain largely unchanged if larval mortality or vertical migration were added in the
493 model. Supporting this belief, including homing in our model did not lead to significant
494 qualitative changes in our results (Figure 3, Figure 4), though absolute retention rates were
495 affected (Figure 2).

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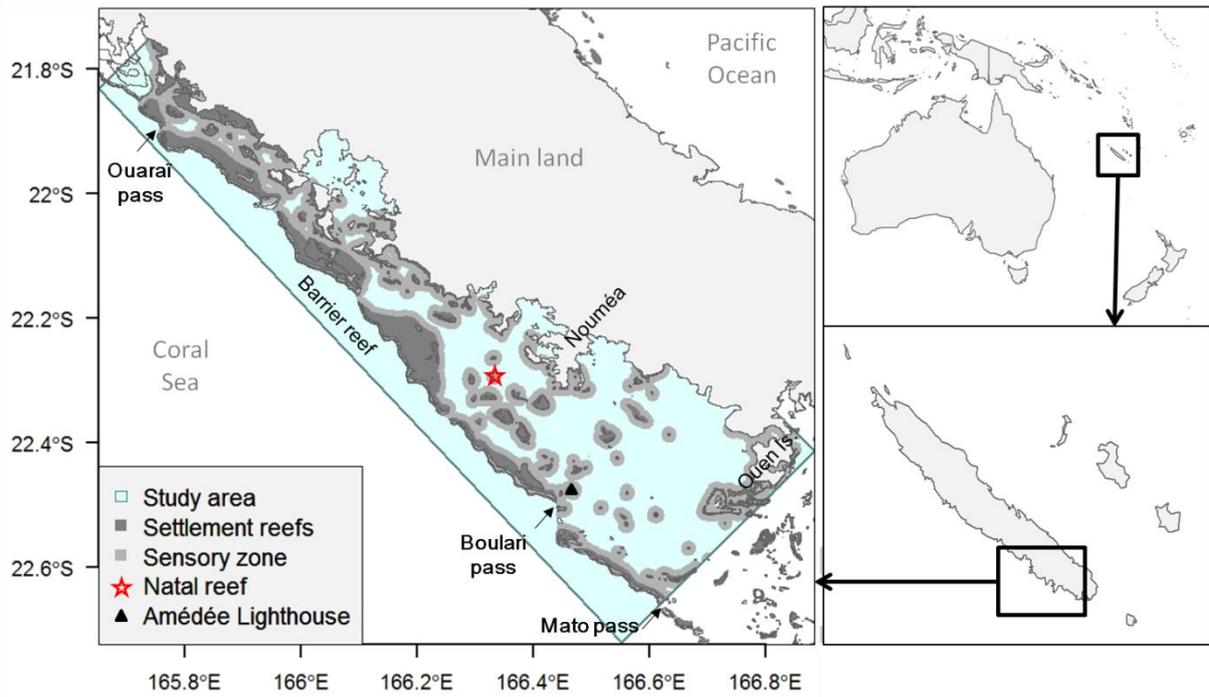


Figure 1: Study area: the South-West Lagoon of New Caledonia (SWL in light blue).

Settlement reefs (in dark grey) are buffered by a 1-km sensory zone (in light grey).

Table 1: Description of the four weather regimes defined in Lefèvre et al. (2010). Statistics of wind speed and direction were computed from remote sensed surface winds for the period 1999-2008 (from Lefèvre et al. 2010).

Name	% of occurrence	Wind speed (m s^{-1})		Wind direction ($^{\circ}\text{N.}$)	
		Mean	Std. Dev.	Mean	Std. Dev.
Regime 1 Strong SE Trade-wind	30%	8	2.2	122.5	21.6
Regime 2 Weak Easterly Circulation	7.4%	1.4	2.3	120.6	94.8
Regime 3 Tropical SE wind	22.6%	5.2	2.8	122.9	60.5
Regime 4 Subtropical SE wind	27.3%	5.2	2.2	118.9	45.6

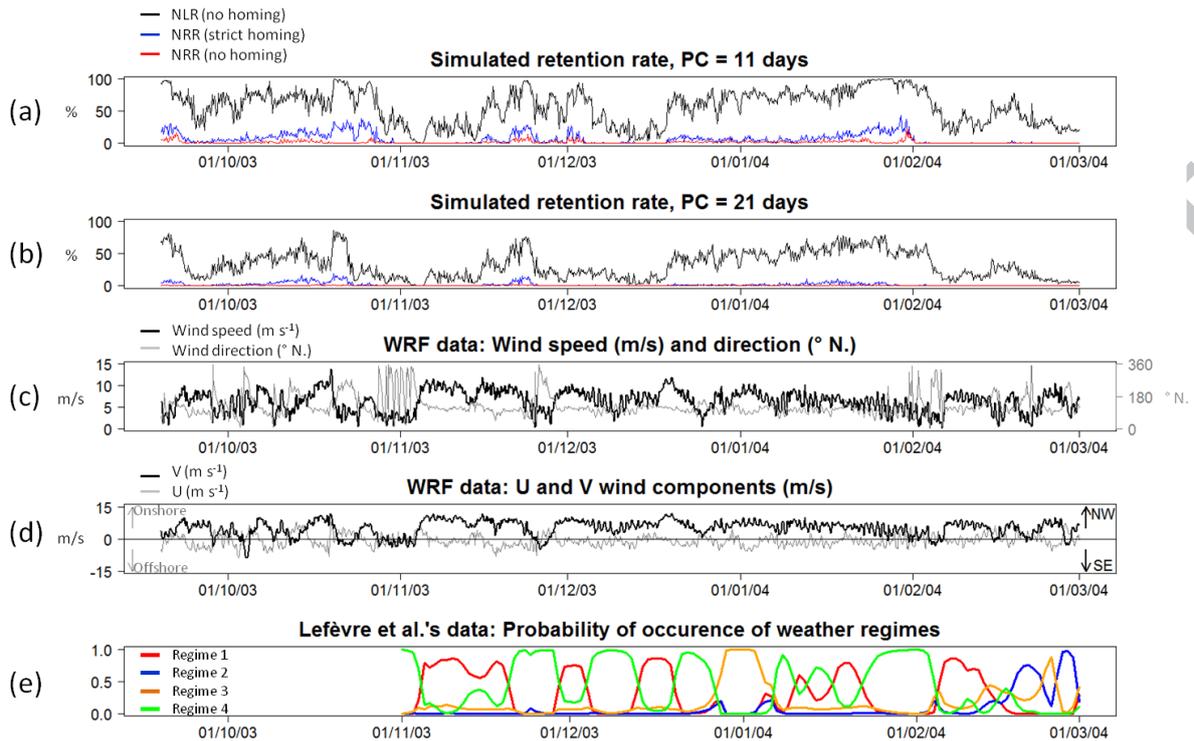


Figure 2: (a,b) Simulated retention rate time series: natal lagoon retention (NLR) under the no-homing hypothesis (black), natal reef retention (NRR) under the no-homing hypothesis (red) and NRR under the strict-homing hypothesis (blue) for two values of precompetency period (PC): (a) PC = 11 days; (b) PC = 21 days. Each time series provides larval retention rate corresponding to 1320 hatching events from mid-September 2003 to early March 2004: retention rates are plotted for each corresponding release date. (c) WRF wind speed and direction; (d) WRF U and V wind components; (e) Probability of occurrence of weather regimes defined by Lefèvre et al. (2010) from early November 2003 to early March 2004.

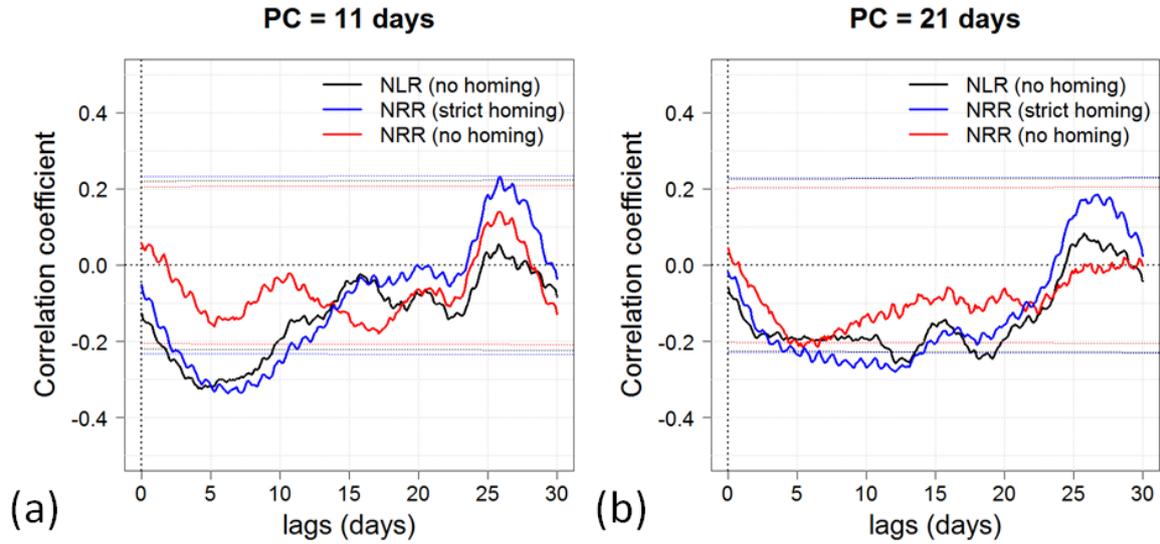
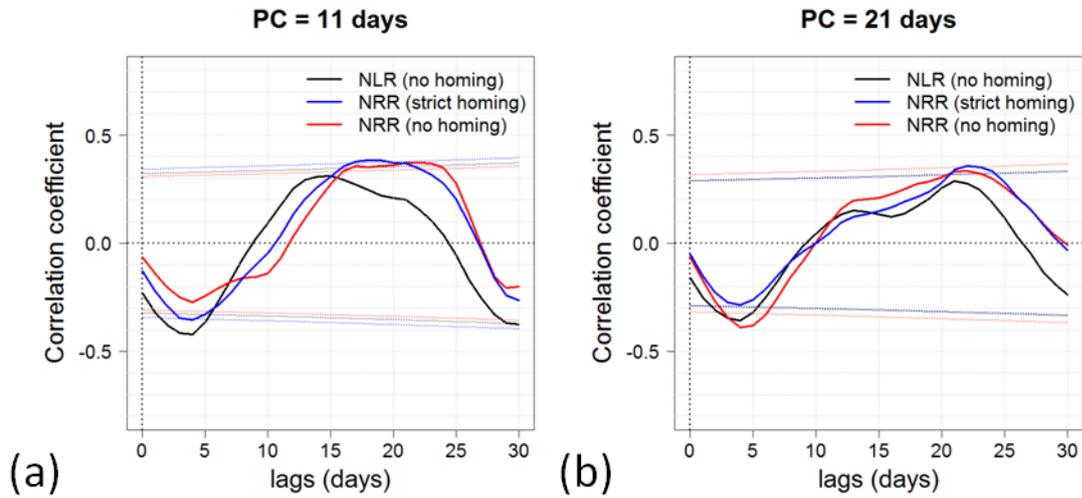


Figure 3: Cross-correlations between retention time series and along-shore wind component (V) derived from WRF model for two precompetency periods (PC) and under the hypothesis of strict and no homing. NLR: natal lagoon retention; NRR: natal reef retention. Dotted lines represent cross-correlation critical values with a 95% level of confidence, adjusted to take into account temporal autocorrelation of time series.

Cross-correlation coefficients with regime 1



Cross-correlation coefficients with regime 4

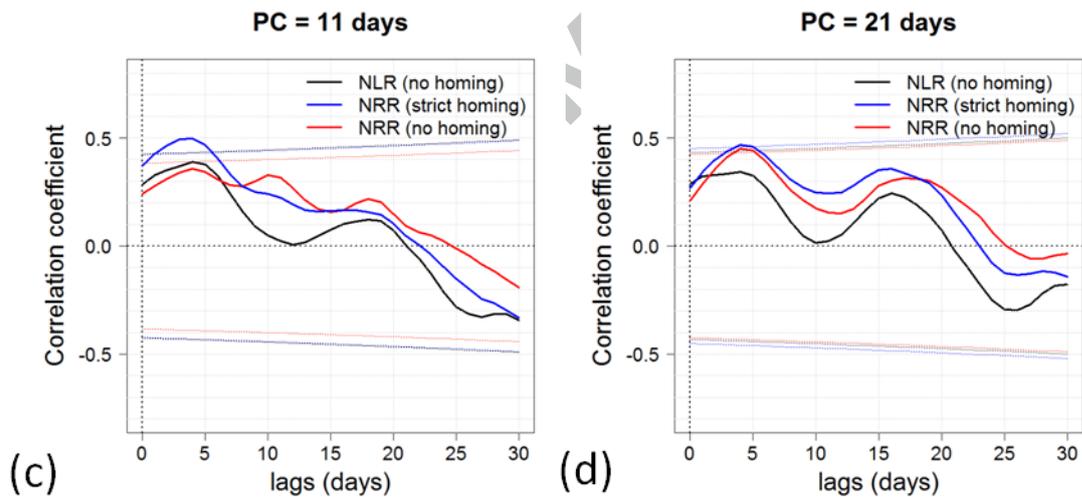


Figure 4: Cross-correlations between retention time series and weather regimes 1 and 4 for two precompetency periods (PC) and under the hypothesis of strict and no homing. NLR: natal lagoon retention; NRR: natal reef retention. Dotted lines represent cross-correlation critical values with a 95% level of confidence, adjusted to take into account temporal autocorrelation of time series.

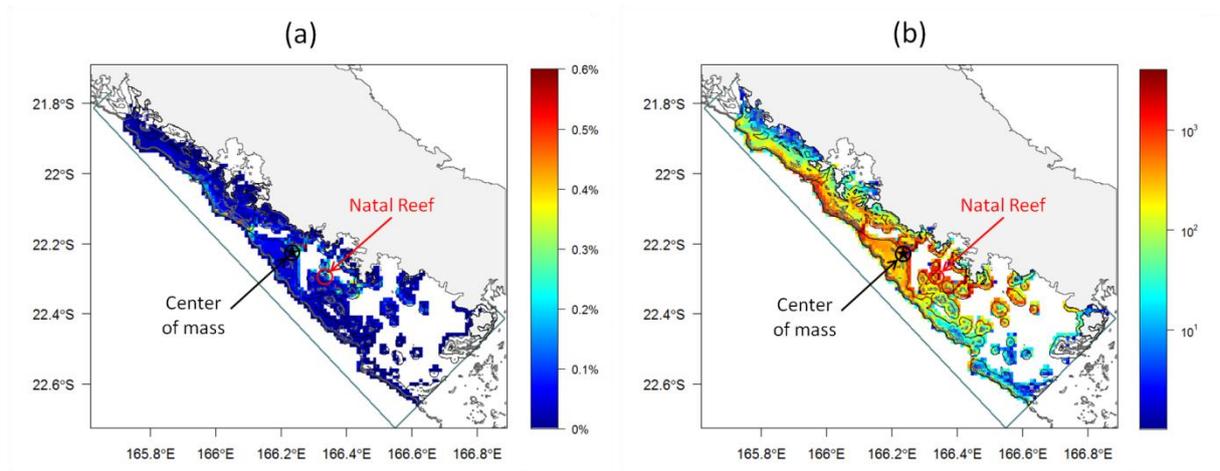


Figure 5: Maps of settlement using an 11-day precompetency period. For each simulation, the number of settlers was computed in square grid cells of 0.01° in size. For each grid cell, the number of settlers was (a) averaged over the whole simulated period (1320 simulations) and presented as a percentage of the total number of particles released and (b) calculated on a logarithmic scale as $\log_{10}(N_i+1)$ where N_i is the number of particles settling in grid cell i .

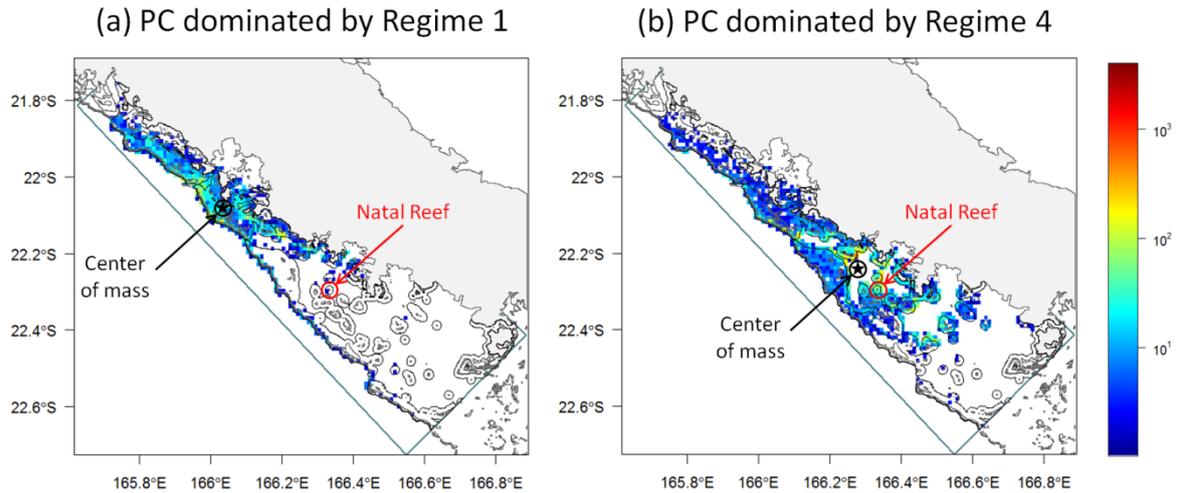


Figure 6: Maps of settlement using an 11-day precompetency period. The number of settlers was computed in square grid cells of 0.01° in size for simulations corresponding to hatching events followed by (a) a precompetency period dominated at 75% by weather regime 1 (42 simulations) and (b) a precompetency period dominated at 75% by weather regime 4 (34 simulations). For each grid cell, the number of settlers was calculated on a logarithmic scale as $\log_{10}(N_i+1)$ where N_i is the number of particles settling in grid cell i .

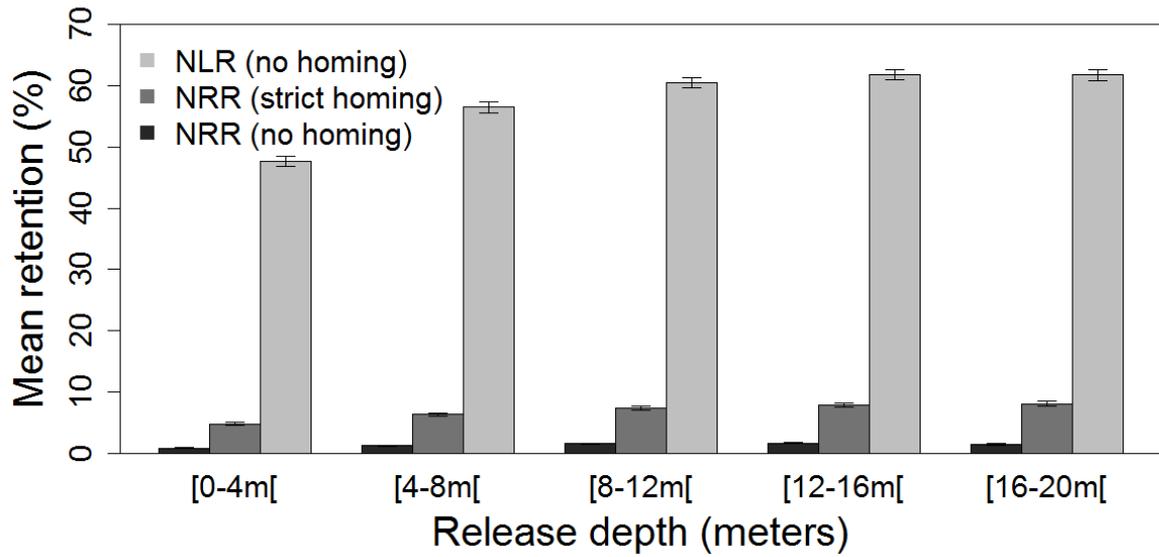


Figure 7: Mean retention over the austral summer 2003-2004 at natal reef scale (NRR) under no-homing and strict-homing hypotheses and at lagoon scale (NLR) under no-homing hypothesis for different release depths for an 11-day precompetency period. Error bars represent standard errors.

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APPENDIX A

WRF wind validation

Wind conditions predicted by the WRF model during austral summer 2003–2004 were compared to in situ data obtained from Amédée Lighthouse Météo-France weather station. This station is located along the barrier reef on a patch of reef close to the Boulari pass approximately 20 km offshore in front of Nouméa (Figure 1) and is representative of marine weather conditions in the SWL (Lefèvre et al. 2010). The station provides hourly wind speed (m s^{-1}) and direction ($^{\circ}$ N) with an accuracy of 1 m s^{-1} for wind speed and 10° for direction. The WRF predicted wind variables are extracted at the closest grid point to Amédée Lighthouse station and 6-hourly averages are computed for wind vector of both model and observations. Observations marked with low wind data (speed $< 3 \text{ m s}^{-1}$) are removed prior to the statistical computation of wind direction. Validation of predicted wind with observation was done through statistical performance measures including the root mean square error (RMSE) and Index of agreement (IOA) according to Lefèvre et al. (2010). The IOA is a measure of model skills in predicting variations about the observed mean; a value above 0.5 is considered to be good, 1 means a perfect match (see Lefèvre et al. (2010) for more details about this index).

Table A.1: Statistical comparison between WRF model predicted values and observations at Amédée Lighthouse weather station.

	Name	% of occurrence	Wind speed (m s^{-1})		Wind direction ($^{\circ}$ N.)	
			Mean	Std. Dev.	Mean	Std. Dev.
Regime 1	Strong SE Trade-wind	30%	8	2.2	122.5	21.6
Regime 2	Weak Easterly Circulation	7.4%	1.4	2.3	120.6	94.8
Regime 3	Tropical SE wind	22.6%	5.2	2.8	122.9	60.5
Regime 4	Subtropical SE wind	27.3%	5.2	2.2	118.9	45.6

APPENDIX B

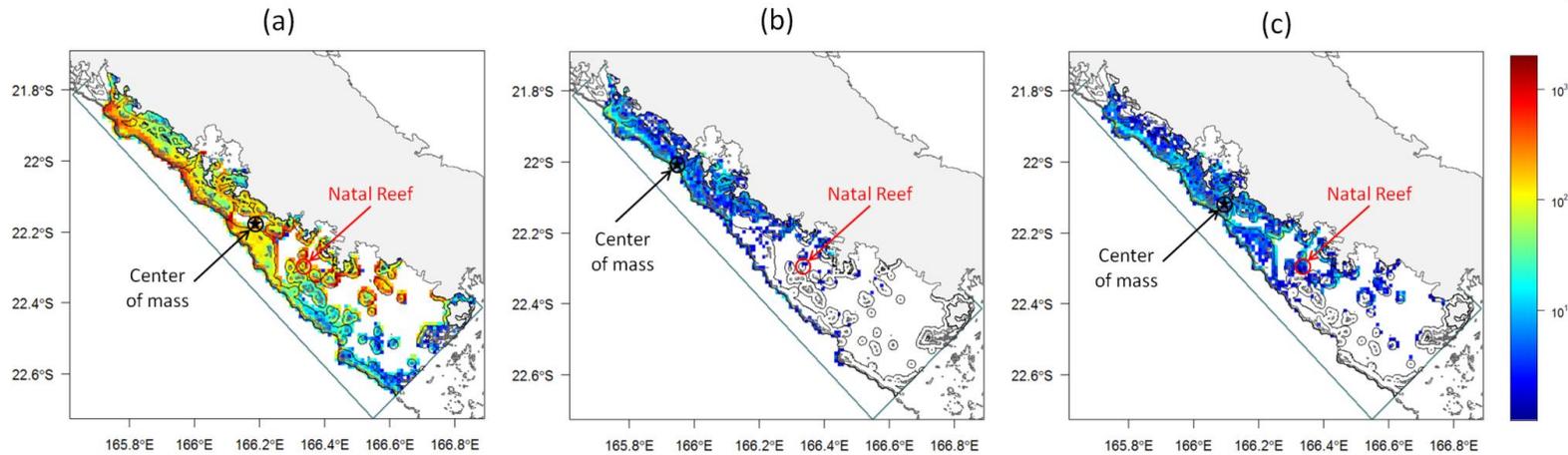


Figure B.1: Maps of settlement using a 21-day precompetency period. For each simulation, the number of settlers was computed in square grid cells of 0.01° in size. For each grid cell, the number of settlers was calculated on a logarithmic scale as $\log_{10}(N_i+1)$ where N_i is the number of particles settling in grid cell i over (a) the whole simulated period (1320 simulations) and for simulations corresponding to hatching events followed by (b) a precompetency period dominated at 75% by weather regime 1 (42 simulations) and by (c) a precompetency period dominated at 75% by weather regime 4 (34 simulations).

APPENDIX C

We based our study on larval releases from a patch reef located at the center of the SWL where water residence time is close to the average of 11 days (Ouillon et al. 2010). In order to evaluate the impact of this natal reef choice in the results we simulated larval retention for 4 other natal reefs. We chose a reef located 25 km further south of the focal reef (reef 2) and a reef 20 km further north (reef 4) in areas where water residence time is also close to the average of 11 days (Ouillon et al. 2010), and 2 others reefs located on the barrier reef (reef 3) and close to the coast (reef 1) where water residence times are around 5 and 20 days respectively (Ouillon et al. 2010) (Appendix C, Figure C.1). We simulated NRR under the strict-homing hypothesis and NLR under the no-homing hypothesis for an 11-days precompetency period and we calculated Spearman rank-order correlation coefficient (Spear-R) between the retention time series for the focal reef and the four other reefs (Appendix C, Table C.1). Average retention values ranged from 1.6% ($\pm 2.1\%$ Std. Dev., reef 3) to 7.3% ($\pm 7.8\%$ Std. Dev., reef 4) and from 48.9% ($\pm 20.7\%$ Std. Dev., reef 3) to 73.6% ($\pm 18.1\%$ Std. Dev., reef 2) for NRR and NLR, respectively. For NRR we found significant positive correlations of varying intensities between focal reef and the reefs 1, 2, 3, and 4 (Spear-R 0.1, 0.2, 0.5 and 0.7 respectively). For the NLR we found significant positive correlations between focal reef and reef 3 and 4 (Spear-R 0.3 and 0.7), a not significant positive correlation between focal reef and reef 2 (Spear-R 0.1), but a significant negative correlation between focal reef and reef 1 (Spear-R -0.2). We relate the singularity of reef 1 to its position in a coastal area where gyres are generated by trade winds (Douillet et al. 2001). However in these gyres areas (North of Ouen Island in the southeast part of the SWL and in bays, Appendix C, Figure C.1) the habitat of our study species is scarce. For these reasons we strongly believe that the conclusions of our study based on the focal reef remain meaningful for a large part of the SWL where our study species is present (patch reefs and barrier reefs).

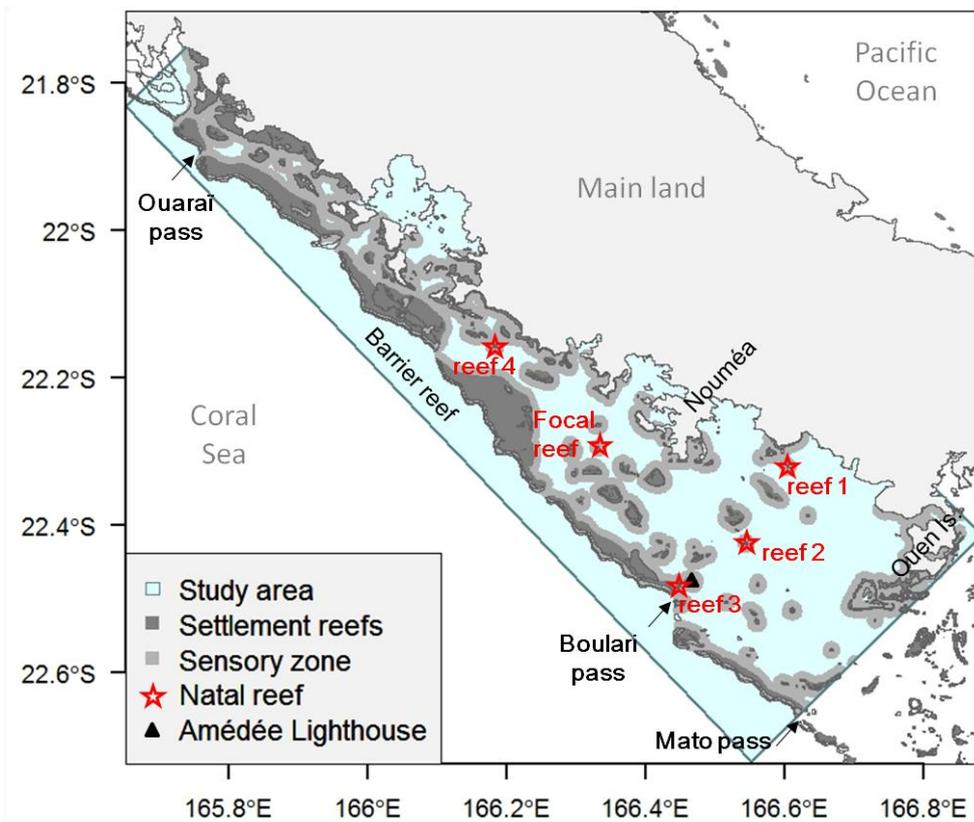


Figure C.1: Location of the focal reef and the four reefs used to evaluate the impact of the focal reef choice on the results.

Highlights

1. We develop a biophysical, individual-based model for larvae of *Dascyllus aruanus*.
2. Larval retention at natal reef and lagoon scales is highly variable over time.
3. Large-scale weather regimes are good proxies for retention rates.
4. Mean dispersal distances are of order 25-35 km.

Keywords: Biophysical model; larval dispersal; wind-driven transport; *Dascyllus aruanus*; precompetency; homing; New Caledonia

Abstract: In the present work, a biophysical dispersal model is used to understand the role of the physical environment in determining reef fish larval dispersal patterns in the South-West Lagoon of New Caledonia. We focus on a reef fish species, the humbug damselfish *Dascyllus aruanus*, to investigate seasonal variability of simulated larval retention at the scale of a reef patch and at the scale of the lagoon, and to explore links between larval retention and wind variability. The model shows that retention exhibits considerable temporal variability and periodically reaches values much larger than anticipated. Non-zero larval settlement occurs over a large part of the lagoon. Nevertheless, settlement values decrease quickly away from the natal reef and mean dispersal distances are of order 25-35 km. Cross-correlation analyses indicate that weather conditions characterized by strong south east trade winds lead to low retention rates at both local (reef) and regional (lagoon) scales. By contrast, subtropical weather conditions characterized by weak winds result in high retention rates. These results suggest that large-scale weather regimes can be used as proxies for larval retention of the humbug damselfish in the South-West Lagoon of New Caledonia. Nevertheless, relatively small mean dispersal distances suggest that meta-population dynamics occur on relatively small spatial scales.