

Fishing impact and environmental status in European seas: a diagnosis from stock assessments and ecosystem indicators

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Abstract:

Stock-based and ecosystem-based indicators are used to provide a new diagnosis of the fishing impact and environmental status of European seas. In the seven European marine ecosystems covering the Baltic and the North-east Atlantic, (i) trends in landings since 1950 were examined; (ii) syntheses of the status and trends in fish stocks were consolidated at the ecosystem level; and (iii) trends in ecosystem indicators based on landings and surveys were analysed. We show that yields began to decrease everywhere (except in the Baltic) from the mid-1970s, as a result of the over-exploitation of some major stocks. Fishermen adapted by increasing fishing effort and exploiting a wider part of the ecosystems. This was insufficient to compensate for the decrease in abundance of many stocks, and total landings have halved over the last 30 years. The highest fishing impact took place in the late 1990s, with a clear decrease in stock-based and ecosystem indicators. In particular, trophic-based indicators exhibited a continuous decreasing trend in almost all ecosystems. Over the past decade, a decrease in fishing pressure has been observed, the mean fishing mortality rate of assessed stocks being almost halved in all the considered ecosystems, but no clear recovery in the biomass and ecosystem indicators is yet apparent. In addition, the mean recruitment index was shown to decrease by around 50% in all ecosystems (except the Baltic). We conclude that building this kind of diagnosis is a key step on the path to implementing an ecosystem approach to fisheries management.

Keywords: Ecosystem approach to fisheries management ; ecosystem indicators ; good environmental status ; Marine Strategy Framework Directive ; stock assessment ; trophic level

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1. Introduction

Since the publication of the Code of Conduct for Responsible Fisheries (FAO 1995), the ecosystem approach to fisheries management (EAFM) has progressively been recognized as a

74 necessity worldwide. The concept aims at assessing the global impact of fisheries on ecosystem
75 functioning, whilst taking into account the fact that fisheries are embedded into the environment
76 and cannot be managed in isolation (Garcia et al. 2003; Jennings and Rice 2011; Pikitch et al. 2004;
77 Rice 2011). More generally, the ecosystem approach to fisheries management is the application of
78 sustainable development principles to the fishing sector, combining ecological sustainability of
79 stocks and ecosystems, economic viability of the fishing industry, and social viability and fairness
80 for local communities as well as the broader society (Garcia and Cochrane 2005; Gascuel et al.
81 2011a).

82 In the European Union, efforts to implement the ecosystem approach to fisheries management led to
83 the definition of a reference list of nine ecosystem indicators (STECF 2006 & 2007; European
84 Commission 2008a), with the objective of assessing the fisheries impact not only on targeted
85 stocks, but also on fish communities, biodiversity, seafloor integrity, population genetics, discarded
86 species, and fishery fuel consumption. In recent years, several authors and working groups under
87 the auspices of the International Council for Exploration of the Sea (ICES) have used or developed
88 these ecosystem indicators (Greenstreet et al. 2011 and 2012; Shephard et al. 2011; Fung et al.
89 2012). Nevertheless, analyses of ecosystem impacts of fisheries remained partial, covering only
90 some ecosystems with no associated standard monitoring in place at the pan-European level.

91 Things have started to change recently with the implementation of the Marine Strategy Framework
92 Directive (MSFD; European Commission 2008b) by the European Commission. The overarching
93 goal of this Directive is achieving Good Environmental Status across all European marine waters by
94 2020, based on 11 qualitative descriptors (European Commission 2010) and a set of associated
95 indicators that is still under development. While the ecosystem approach first emerged in the
96 context of fisheries management, the MSFD now requires its implementation of the ecosystem
97 approach in the wider context of integrated management involving multiple sectors beyond
98 fisheries. The indicators initially proposed for ecosystem approach to fisheries management are now
99 finding their way into the MSFD as both share common sustainability goals.

100 Several working groups have been set up by ICES and the European Commission to work on this.
101 In particular, the European Scientific Technical and Economic Committee for Fisheries (STECF)
102 has set up an expert working group on the “Development of the Ecosystem Approach to Fisheries
103 Management in European Seas”, with the overall objective of developing a feasibility approach to
104 provide useful advice on ecosystem status in support of the Common Fisheries Policy. In line with
105 the MSFD implementation, one of the main objectives of this working group was to assess the
106 health of European ecosystems, using currently available data.

107 The current paper presents the main 2013 results from this working group. Our aim is to show that
108 stock-based and ecosystem-based indicators can provide a complementary diagnosis on the fishing
109 impact and environmental status of European seas. Seven ecosystems covering the Baltic and
110 Atlantic European marine waters (West Scotland and Ireland, Irish Sea, North Sea, Celtic Sea, Bay
111 of Biscay, Iberian Coast) were used as case studies. For each of them: i) trends in landings since
112 1950 were examined with the objective of providing a comprehensive overview of the dynamics of
113 the whole fishery; ii) integrated syntheses of the status and trends in fish stocks, derived from ICES
114 assessments, were consolidated at the ecosystem level; and iii) trends in ecosystem indicators were
115 analysed based on available time series of landings and scientific survey data.

116

117 **Material and Methods**

118 **Marine ecosystems considered and data used**

119 The current study considered seven European ecosystems covering the Baltic and Atlantic waters of
120 the European seas (Fig. 1). These ecosystems refer to the reference list of marine ecosystems
121 defined by the European Scientific Technical and Economic Committee for Fisheries (STECF,
122 2011) which have to be considered as the functional and assessment units used for the operational
123 implementation of the Ecosystem approach to fisheries management in European waters. These
124 STECF marine ecosystems are comparable with the MSFD (sub)regions, but, according to the

125 marine eco-regions defined by ICES (2004), ecosystems boundaries have been defined in order to
126 match the divisions or sub-divisions used for fisheries statistics and stock assessments.

127 A specific database was set up to compile the various tables required for the current analysis:

128 (i) The ICES Statlant database (www.ices.dk, accessed April 2013) was used to analyse trends in
129 landings from 1950 to 2010. This international database of fisheries landings is coordinated by
130 ICES and includes landings of fish and shellfish from 20 countries, at the spatial resolution of ICES
131 divisions and subdivisions. Landings were aggregated by ecosystem according to the boundaries of
132 the ecosystems analysed. Until 1982 some landings were reported for a pool of several ICES
133 subdivisions (referring to ICES areas VII, VIII, or VIId the English Channel). These landings were
134 distributed among ecosystems proportionally to the mean landings of the two most recent decades.
135 This allocation has negligible effects on catch, except in the Bay of Biscay. Therefore, landings-
136 based indicators were not calculated prior to 1983 in the Bay of Biscay.

137 (ii) Data related to all stocks assessed by ICES were used in order to build stock-based aggregated
138 indicators at the ecosystem level. Catches, spawning stock biomass (SSB), fishing mortality rate
139 (F), recruitment (R), and reference values for F and SSB were extracted for the 2012 single stock
140 assessments from the ICES website (www.ices.dk; accessed 30 April 2013). Until 2010, ICES used
141 reference values for fishing mortality and spawning stock biomass based on the ‘precautionary
142 approach’ as thresholds for sustainable exploitation (i.e. F_{pa} and B_{pa} , to determine if a stock is
143 within “safe biological limits”, thus avoiding recruitment overfishing). According to the
144 commitments of the 2002 World Summit of Sustainable Development (WSSD 2002,
145 Johannesburg), the MSFD, as well as the revised Common Fisheries Policy, now aim for a
146 sustainable exploitation and new reference values for fishing mortality, F_{MSY} (i.e. the fishing
147 mortality assumed to produce the Maximum Sustainable Yield, thus avoiding growth overfishing),
148 was adopted. More precisely the fishing mortality of overexploited stocks should be reduced to
149 F_{MSY} by 2015 ‘where possible’, and for all stocks by 2020. As the previous reference value for
150 fishing mortality (F_{pa}) was, in practice, often treated as a target value (Piet and Rice, 2004) while

151 the current and markedly lower F_{MSY} should be treated as a limit, this should lead to a significant
152 decrease in fishing mortality and a subsequent increase in SSB for almost all stocks. Therefore, the
153 management of European fisheries is currently following a transition scheme, using the three
154 reference values B_{pa} , F_{pa} and F_{MSY} . These values were extracted, when available, from the ICES
155 website and used in the current analysis. For stocks not assessed in 2012, the last available
156 assessment (from 2005 to 2011) was considered. A total of fifty-seven assessed stocks were
157 included in the analyses (see the list in the Supplementary Material). When a stock occurred in
158 several ecosystems, catches and biomass estimated from the assessment were allocated in each
159 ecosystem in the same proportions as the mean 2000-2010 ratio of landings per ecosystem.

160 (iii) Trawl survey data were extracted from ICES DATRAS database (online DAatabase of TRAWl
161 Surveys, datras.ices.dk; accessed 30 March 2013) to calculate ecosystem indicators along the
162 longest standardized time series possible. For consistency, demersal trawl surveys with a similar
163 protocol were selected for each ecosystem (for instance, the time series selected for the North Sea
164 starts in 1983, the year in which all areas of the ICES International Bottom Trawl Survey were
165 conducted with a standardized GOV trawl gear). Only surveys covering the larger part of the
166 ecosystem were considered (i.e. local coastal surveys were excluded), using the stations located
167 within the studied ecosystem (see details on surveys selection and data extraction in the
168 Supplementary Material). In the Celtic Sea two surveys occurred each year (France-Evhoé and UK-
169 WCGFS), using distinct sampling design, gears and vessels. In this case two different estimates
170 were calculated for each indicator.

171

172 **Landings and stock-based indicators**

173 ICES Statlant statistics were used to analyse long-term trends in total landings of the seven
174 ecosystems. Times series of two indices of the landed species diversity were calculated for each
175 ecosystem, based on:

176 (i) The number of exploited species whose landings were significant, i.e. higher than a minimum
177 level, conventionally set equal to 0.5% of the mean annual total landings of the last ten years (i.e.
178 2001-2010);

179 (ii) The Shannon diversity index (Shannon 1948): $H' = \sum_s [P_s \cdot \log_2(P_s)]$, where P_s is the proportion
180 in mass of species s in the yearly total landings.

181 The proportion of exploited species covered by stock assessments was computed for the 1950-2010
182 period. It reflects current assessment-based knowledge about the fishable fraction of the ecosystem.
183 Then, for all stocks subjected to a stock assessment, three indicators were estimated to produce a
184 synthesis of multiple stock trajectories at the ecosystem level: the total spawning stock biomass, the
185 mean fishing mortality, and the mean recruitment index. Recruitment indices were computed per
186 stock as the ratio of recruitment in year y divided by the average recruitment of that stock over the
187 period where data for all species was available. The mean fishing mortality and the mean
188 recruitment index were then averaged over the number of species using a geometric mean.

189 For many ecosystems, there was only a relatively short period during which data were available for
190 all assessed stocks. By restricting the number of stocks included in indicator calculations, longer
191 (but less representative) time series may be built. Therefore, within each ecosystem and for all
192 ecosystems combined, two indicators were considered. The first one is related to all the currently
193 assessed stocks. The second one is based on a subset of stocks, choosing a minimum of 60% of the
194 assessed stocks allowing for the calculation of the longest possible but still sufficiently
195 representative, time series. Sensitivity analyses to various subsets of the stocks considered are
196 included in the Supplementary Material.

197 The current status of assessed stocks and their overall mean trajectory over time was summarized
198 within each ecosystem on a common graph in reference to what are considered the main aspects of
199 stock status, fishing mortality (F) and reproductive capacity (SSB). Two reference values were
200 considered for the fishing mortality: the point at maximum sustainable yield (F_{MSY}) and the
201 precautionary reference point (F_{pa} , which is in fact usually higher and thus less precautionary than

202 F_{MSY}). For SSB, only the precautionary biomass was used (B_{pa}) because B_{MSY} is currently not
203 considered as a threshold for stock management in European waters and values are not available.
204 For all stocks for which F_{MSY} , F_{pa} and B_{pa} limits were estimated by ICES, the comparison of the
205 assessments (current F and SSB estimates) with associated reference points were presented
206 following a modified version of the synoptic method developed by Garcia and de Leiva Moreno
207 (2005). Thus, the current F is compared to reference points (here F_{MSY} and F_{pa}) by estimating a
208 normalized index of fishing mortality as: $F^* = (F_{current} - F_{MSY}) / (F_{pa} - F_{MSY})$. It should be stressed
209 here that the resulting normalized fishing mortality index F^* is no longer proportional to realized F ,
210 but rather it increases with F . F^* is conventionally set equal to 0 and 1, for $F_{current}=F_{MSY}$ and
211 $F_{current}=F_{pa}$ respectively. Thus, it allows us to simultaneously assess stock status in reference to both
212 the 'old' F_{pa} and the 'new' F_{MSY} reference values. The normalized biomass only refers to B_{pa} and
213 thus is expressed as $B^* = (B_{current}) / (B_{pa})$. Trajectories in overall stock status were obtained
214 calculating the mean F^* and B^* for each year (replacing $F_{current}$ by F_{year} in previous equations) and
215 for all assessed stocks (for which target limits are known). These indicators F^* and B^* allow for the
216 representation of the current status and the mean trajectory of assessed stocks in a single graph (e.g.
217 Figures 5 and 6).

218

219 **Ecosystem indicators calculated**

220 Four ecosystem indicators were calculated from the survey data:

221 (i) The large fish indicator (LFI) reflects the size structure of the fish assemblage, which is assumed
222 to be primarily affected by size-selective exploitation but is mediated by species composition
223 (Shephard et al. 2012) as well as the fishing-induced reduction of life expectancy of each exploited
224 species. This indicator was calculated as: $LFI = W_{>40cm} / W_{total}$, where $W_{>40cm}$ is the weight of fish
225 greater than 40 cm in length and W_{total} is the total weight of all fish in the survey (Greenstreet et al.
226 2011; see details on calculations in the Supplementary material).

227 (ii) The mean maximum length of fish (MML) reflects the species composition of a fish
228 assemblage, where fishing is expected to cause a decrease in the proportion of species with large
229 asymptotic body size, slow growth rate, late age and large size at maturation (Shin et al. 2005). This
230 indicator was calculated according to ICES (2009) based on the asymptotic total length of each
231 species (L_{∞_s} from Fishbase; Froese and Pauly 2012; www.fishbase.org; accessed 30 March 2013)
232 as: $MML = \sum (W_s \cdot L_{\infty_s}) / \sum W_s$, where W_s is the total weight of species s caught during the survey.

233 (iii) The mean trophic level (MTL) of all fish caught during the survey indicates the effect of
234 fishing on the food web (Jiming 1982; Pauly et al. 1998). It was calculated as:
235 $MTL = \sum (TL_s \cdot W_s) / \sum W_s$, where TL_s is the mean trophic level of species s (from Fishbase) and
236 W_s is the total weight of species s caught during the survey.

237 (iv) The marine trophic index (MTI) reflects the trophic structure of the fish assemblage where
238 fishing is expected to affect mostly the upper part of the food web, i.e. predatory fish. It is defined
239 as the mean trophic level of predatory fish caught during each survey, taking into account only
240 species whose trophic level is higher than or equal to 3.25 (Pauly and Watson 2005).

241 As such we refer to the large fish indicator (LFI) and mean maximum length (MML) as length-
242 based indicators while the mean trophic level (MTL) and marine trophic index (MTI) are trophic
243 indicators even though strictly speaking only the LFI captures changes in size structure while the
244 three others reflect only changes in species composition, weighted by the species asymptotic total
245 length (MML) or mean trophic level (MTL and MTI).

246 In order to highlight trends rather than the short term variability, all indicators were smoothed using
247 a three year moving average. For the Celtic Sea, mean indicators were calculated by averaging
248 estimates from the two available surveys (Evhoe and UK WCGFS). Mean indicators were also
249 calculated for all ecosystems together except the Iberian coast, due to the very short time series
250 available. Because the surveys selected in the various ecosystems did not cover the same time
251 period, such a calculation required a preliminary standardisation of each time series. This

252 standardization was obtained by rescaling the indicator series for each given ecosystem to the mean
253 value of this indicator for all ecosystems over the 1997-2008 period, which is common to all the
254 selected surveys.

255 Ecosystem indicators were also calculated from commercial fishery landings using the same
256 equations (except LFI, because length frequencies were not available for landings). In this case, the
257 mean trophic level (MTL) and the marine trophic index (MTI) were calculated for all species
258 landed, including finfish and invertebrates. Trophic levels of invertebrates were extracted from
259 SeaLifeBase (www.sealifebase.org; accessed 30 March 2013) or, when not available,
260 conventionally assumed equal to 2.6 (Guénette and Gascuel 2012).

261 Trophic-based indicators were shown to be sensitive to the value of the trophic levels used for the
262 top level species (Branch et al. 2010). Thus, sensitivity analyses were conducted, either changing
263 the TL of cod (according to Branch et al. 2012), or using TLs from local Ecopath models. Detailed
264 results of these analyses are presented in the Supplementary Materials and briefly summarized
265 below. It should also be stressed that landings-based indicators are supposed to reflect ecosystem
266 structure but may be biased by changes in fishing activities, involving gear selectivity (e.g. by
267 technical creep or substitution of gear) or spatial distribution caused by the availability of quota.
268 Thus, from a theoretical point of view, indicators based on surveys are preferred for un-biased
269 analysis of fishing-induced changes in ecosystem health. However, because in practice, surveys
270 only consider a subset of the fish community (i.e. often demersal finfish) and cover a relatively
271 short period, complementary indicators based on landings can be applied to put the survey-based
272 information in a longer-term and broader perspective.

273

274 **Results**

275 **Long term trends in landings**

276 Total landings in European seas increased from 3 million tonnes in the early 1950s to more than 7.2
277 million in the mid-1970s (Fig. 2). Since that period, landings have been decreasing, slowly until the

278 mid-1990s but accelerating during the last period, falling to 4.3 million tonnes in 2010. In the North
279 Sea, which is by far the most important fishing area in Europe, yield declined by >50% over this
280 period, from almost 4 million tonnes in the turn of the 1970s, to around 1.7 million tonnes during
281 the most recent years. The same trends were observed in almost all the considered European
282 ecosystems, with landings peaking during the 1970s and strongly declining afterwards: from
283 160 000 to 60 000 tonnes in the Irish sea, from 780 000 to 450 000 tonnes in the Celtic Sea, from
284 350 000 to 130 000 tonnes in the Bay of Biscay and from 740 000 to less than 400 000 in the
285 Iberian coast marine ecosystem.

286 Only two ecosystems exhibited a different pattern. In the Baltic Sea, landings were close to 1
287 million tonnes during the 1970s, peaked above this value in the mid-1990s, before slightly
288 decreasing to less than 0.8 million during the last ten years. In the West of Scotland and Ireland,
289 landings increased over almost the entire period, reaching a maximum of 1.4 million tonnes in
290 2006, before being halved in the most recent years. This particular trend was due to a single species,
291 the blue whiting (*Micromesistius poutassou*, Gadidae), whose exploitation started in deeper waters
292 in 1975, increased in the 1990s, reached 1.1 million tonnes in 2006 and subsequently declined. In
293 this ecosystem, the total landings of other species followed a more common pattern with a
294 maximum in the 1980s (around 700 000 tonnes) decreasing to about 50% at present.

295 The EU is by far the dominant fishing operator within European seas. In the considered ecosystems,
296 more than 80% of the total landings were caught by EU Member States, landings by non-EU
297 countries being significant only in the North Sea (mainly due to Norway), the West Scotland and
298 Ireland (mainly due to Iceland), and the Baltic Sea prior to 1990 (mainly due to the former Soviet
299 Union and Poland).

300 The share of landings from stocks assessed by ICES increased over the studied period, reaching
301 approximately 90% of the total landings since the 1980s, in the three northern ecosystems: the
302 Baltic Sea, the North Sea, and the West of Scotland and Ireland (note that, in certain years, catches
303 used by ICES scientists were even greater than official catches from the ICES Statlant database, and

304 that most ICES assessments considers discards and potential un-reported landings as well as
305 potential re-allocation of landings between areas or between species). In contrast, stock assessments
306 cover a smaller part of the total landings in the four southern ecosystems further decreasing over the
307 most recent years, from about 60% in the 1980s or 1990s to around 40% today.

308 The decrease in catch observed in almost all the studied ecosystems over the last 3 or 4 decades
309 occurred while new species started to be exploited intensively. This was especially the case for
310 sandeels (*Ammodytes marinus*, Ammodytidae, more than 1 million tonnes in the North Sea),
311 Norway pout (*Trisopterus esmarkii*, Gadidae), mackerel (*Scomber scombrus*, Scombridae), horse
312 mackerel (*Trachurus trachurus*, Carangidae) and for some crustaceans and molluscs. More
313 generally, the landings diversity indices show that a progressively greater proportion of each
314 ecosystem was exploited over the period (Fig. 3). The number of species significantly exploited
315 within each ecosystem peaked in the 1980s for the Irish Sea and West Scotland/Ireland ecosystems,
316 in the 1990s for the Celtic Sea and the Iberian Coast ecosystems, and in the 2000s for the North Sea
317 and the Bay of Biscay ecosystems. On average, the number of species significantly exploited
318 jumped from 8 in 1950 to almost 24 in the late 1990s. At the same time, the Shannon diversity
319 index (H) increased from 2.8 to 3.7. In other words, the increase in catch observed in the 1950s and
320 1960s progressively included more exploited species, and landings became more diverse. This
321 process continued until the mid or late 1990s, while catches declined, suggesting fishermen tried to
322 compensate for their losses by the exploitation of new resources. In the most recent years, the H
323 diversity index remained high, while the number of exploited species slightly decreased, with
324 landings of some species declining below the minimum level considered in the index calculation.
325 The general pattern of an increasing diversity of catch until the 1990s is observed in all ecosystems,
326 with the exception of the Baltic Sea, and to a lesser extent of the West of Scotland and Ireland. In
327 the first case, diversity remained very low and decreased slightly since the early 1970s, while in the
328 second case the predominance of the blue whiting starting in the 1980s reduced the diversity of
329 landings.

330

331 **Stock-based indicators**

332 In the seven ecosystems considered, the fishing mortality index, reflecting mean fishing pressure on
333 the assessed stocks, exhibited high values in the 1990s and a clear decreasing trend over the last 12
334 years. On average, for all the 57 available assessed stocks together, fishing mortality increased from
335 0.45 in the mid-1980s to almost 0.55 in 1998, and then decreased to approximately 0.30 by 2010
336 (Fig. 4 left column). Results appeared very consistent for all time series (i.e. either for short time
337 series with all stocks or for longer time series based on fewer stocks). The same decreasing trend in
338 fishing mortality was observed in all the studied ecosystems, with lower values around 0.25 at the
339 end of the time series in the North Sea, the Celtic Sea and the Iberian coast. In the Baltic Sea,
340 moderate fishing mortalities around 0.35 were already observed in the 1980s and the recent
341 decrease is a return to this rather moderate level following a high fishing mortality period in the late
342 1990s. In all other ecosystems, fishing mortalities were lowest in the most recent years of the
343 available time series. Note that in the Bay of Biscay several important stocks (cod *Gadus morhua*,
344 Gadidae, anglerfish *Lophius* spp., Lophiidae) were not assessed for the most recent years, thereby
345 leading to reduced knowledge over this period for this ecosystem.

346 Trends in stock abundances, based on spawning stock biomass time series (SSB), fluctuated
347 between 10 and 14 million tonnes over the period, decreasing from the late 1980s to the mid-1990s,
348 increasing until the mid-2000s and decreasing again in the most recent years (Fig. 4 middle
349 column). This index was driven by a small number of large stocks, with contrasting trends between
350 ecosystems. Blue whiting is the main stock driving changes observed in the West Scotland /Ireland
351 ecosystem, with an SSB peaking in the mid-2000s before declining. Horse mackerel was especially
352 abundant in the late 1980s, inducing an increase in the overall assessed SSB for the Celtic Sea and
353 the Bay of Biscay. In the last ten years, when the fishing pressure was decreasing, an overall
354 increase in SSB was only observed in the North Sea, essentially due to the recovery of plaice and
355 herring. The Irish Sea, the Celtic Sea, and the Bay of Biscay exhibited an increasing trend in SSB

356 while remaining at low levels compared to earlier periods. On the Iberian coast, SSB continued to
357 decline reaching its lowest values at the end of the period.

358 In contrast, recruitment indices exhibited a consistently decreasing trend. On average for all
359 assessed stocks together the mean recruitment has approximately halved since the mid-1980s (Fig. 4
360 right column). The same trend was observed with the same order of magnitude in all the studied
361 ecosystems, with the only exception being the Baltic Sea, where recruitment fluctuated with no
362 clear trends. In the North Sea, the West of Scotland and Ireland, and the Irish Sea the decrease
363 occurred over a long period, apparently starting from the 1980s. In the Celtic Sea and the Bay of
364 Biscay, the decline was only observed during the last decade.

365

366 **Mean stock status and trajectories**

367 ICES have estimated single stock based reference levels for 21 European assessed stocks
368 (representing 34 % of the total 2010 landings). Among these, nine stocks met the current ICES
369 management targets, with biomass above B_{pa} and a fishing mortality below the F_{MSY} level (Fig. 5).
370 This is the case for plaice (*Pleuronectes platessa*, Pleuronectidae) in the North Sea and the Irish
371 Sea, haddock (*Melanogrammus aeglefinus*, Gadidae) in the North Sea and Western waters
372 (Rockall), saithe (*Pollachius virens* Gadidae) in the North Sea, Baltic herring (sub-div.30) (*Clupea*
373 *harengus*, Clupeidae), and cod and sole (*Solea vulgaris*, Soleidae) in the Celtic sea. In contrast,
374 eleven stocks failed to meet the requirements of the 'past' precautionary approach, with biomass
375 lower than B_{pa} and/or fishing mortalities higher than F_{pa} . This especially applies for four strongly
376 depleted stocks (i.e. biomass lower than $0.5*B_{pa}$): sole in the Irish Sea, and cod in the North Sea,
377 Irish Sea and West Scotland and Ireland. The criterion for reproductive capacity B_{pa} was not met by
378 three additional stocks (West of Scotland haddock, and the North Sea and Skagerrak stocks of sole),
379 while four others exhibited fishing mortalities higher than F_{pa} (the Baltic cod (sub-div.22-24) and
380 herring (riga), and the Biscay and the East Channel stocks of sole). Finally, one stock (West
381 Channel plaice) met the requirements of the precautionary approach (F_{pa} and B_{pa}) but not the F_{MSY}

382 criterion. It can also be noted that among the 21 assessed stocks, a majority exhibited low biomass
383 with 7 stocks below the precautionary level B_{pa} and 7 additional stocks close to that level (between
384 1.0 and 1.3 B_{pa}).

385 The trajectory of average fishing mortality of these assessed stocks confirmed that fishing
386 mortalities were very high in the 1980s and 1990s, with mean values above F_{pa} (Fig. 6). Starting in
387 the early 2000s, fishing mortality decreased and the mean value has been between F_{pa} and F_{MSY}
388 since 2008, and very close to F_{MSY} in 2011 (but based on only 16 stock assessments). In spite of
389 this, no increase was observed in the mean spawning biomass of these stocks which remains at a
390 low level, close to B_{pa} and thus far below B_{MSY} . A similar trajectory was observed in the North Sea,
391 with a current mean fishing mortality between F_{pa} and F_{MSY} and biomass decreasing over the most
392 recent years and currently very close to B_{pa} . In the West of Scotland and Ireland and in the Celtic
393 Sea, the F_{MSY} target was reached in 2011 (mean F below F_{MSY}), but the mean spawning biomass of
394 assessed stocks remained very low, still decreasing and below B_{pa} in the former, slightly increasing
395 and above B_{pa} in the latter. The three Irish Sea stocks showed mean fishing mortality fluctuating
396 above F_{pa} while the mean SSB decreased to below B_{pa} from the late 1990s onwards and was still
397 very close to that level in 2011. Once again, the Baltic Sea exhibited a different pattern, with mean
398 fishing mortality fluctuating markedly, with moderate values occurring in the early 1990s, higher
399 levels in the 2000s and current mean fishing mortality between F_{pa} and F_{MSY} .

400

401 **Ecosystem indicators**

402 Ecosystem indicators exhibited contrasting trends among ecosystems (Fig. 7).

403 (1) In the Baltic Sea, where the biodiversity is lower (Narayanaswamy et al. 2013), indicators based
404 on demersal surveys were mainly driven by cod abundance. Thus, higher values observed for all
405 indices between 1990 and 1996 may be attributed to a temporary increase in cod recruitment after
406 the 1993 inflow event, while the increase occurring from about 2006 can be attributed to a recent
407 overall increase in cod recruitment. Landings-based indicators provide a broader picture taking into

408 account demersal and pelagic species and a longer period starting in 1950. A clear and strong
409 decreasing trend is observed over the whole period in the mean trophic level index (MTL went from
410 3.7 to 3.2) and in the mean maximum length (MML went from more than 70 cm to around 30 cm).
411 This trend is mostly driven by a decrease in cod landings (representing almost 50 % of total
412 landings in the 1950s and less than 10 % in the 2000s) and by the huge increase in sprat landings
413 (from less than 5 % to more than 50 % of total landings). An increase in landings was also observed
414 for some other low trophic level species, such as bivalves (e.g. mussel *Mytilus edulis*, Mytilidae,
415 and common roach *Rutilus rutilus*, Cyprinidae), while landings of whiting (*Merlangius merlangus*,
416 Gadidae) - a high TL species - decreased over the period. Note that the high cod recruitment
417 occurring in the early 1980s temporarily interrupted the long-term decrease of the two indices,
418 which accelerates in the following years. In this ecosystem, landings having high TL comprise
419 almost exclusively cod, explaining why the marine trophic index MTI was remarkably stable over
420 the whole period.

421 (2) In the North Sea, length-based indicators from surveys (LFI and MML) decreased between 1985
422 and 1993 and have remained at a low level over the last twenty years, suggesting that the ecosystem
423 is now dominated by small fish and other small species. The mean trophic level estimated from
424 surveys decreased over the whole period (the MTL went from 4.1 to 3.9), but with a large year-to-
425 year variability masking the trend over the most recent years. Indicator values based on landings
426 decreased slightly during the 1970s and 1980s (the mean trophic level MTL went from 3.4 to 3.3,
427 and the mean maximum length MML from 50 to 40 cm), at a time when a larger part of the
428 ecosystem started to be exploited with an increasing catch of sandeel, mackerel and sprat (*Sprattus*
429 *sprattus*, Clupeidae). The marine trophic index fluctuated at about 3.9 from 1950 to the mid-1980s
430 with lower values at 3.8 for the last twenty years. Such a change can be explained by decreasing
431 abundance and landings of cod, but also of other high trophic level species such as whiting and
432 anglerfish.

433 (3) The West Scotland and Ireland ecosystem is the only one where the large fish indicator LFI
434 exhibited a clear increase over the most recent period. This indicator was largely driven by the stock
435 status of saithe, which collapsed in the early 1990 before recovering. This survey also moved into
436 deeper water in more recent years, possibly introducing a bias towards larger fish. In contrast, other
437 indicators based on surveys exhibited a consistent decline since the 1980s accelerating in recent
438 years (mean maximum length MML from 90 to 70 cm, and the mean trophic level MTL from 4.0 to
439 3.4), while the marine trophic index only slightly decreased over the last decade (MTI from almost
440 4.2 to 4.0). This trend reflects the decreasing abundance of large fish predators such as cod and
441 whiting. Indicators based on landings showed a decreasing trend over the past 60 years, with major
442 changes occurring during the 1970s (the MTI went from 4.2 to 3.8, and the MML from 75 to 52
443 cm), when the blue whiting and mackerel fisheries developed, and a continuous but smaller decline
444 over the 30 years, mainly explained by the decreasing abundance and catch of cod, and spurdog
445 (*Squalus acanthias*, Squalidae).

446 (4) In the Irish Sea, length-based indicators from surveys (LFI and MML) exhibited a slightly
447 increasing trend, but with absolute values smaller than in all other ecosystems (proportion of large
448 fish LFI on average below 0.12, and mean maximum length MML around 42 cm). In contrast,
449 trophic-based indicators sharply decreased over the past 20 years in the survey data and over the
450 past 30 years in the landings (MTL from 3.5 to 2.8, and the MTI from 4.2 to 3.9). Changes observed
451 in landings reflect the increasing catch of species like Norway lobster (*Nephrops norvegicus*,
452 Nephropidae) but also the decreasing trend in abundance and catch of cod, whiting, saithe or hake
453 (*Merluccius merluccius*, Gadidae). The mean maximum length MML from landings increased
454 around 1980 mainly due to the collapse of herring.

455 (5) In the Celtic Sea, the large fish indicator LFI seemed to slightly increase over the last decade (in
456 contrast to the results of Shephard et al. (2013), which were based only on the UK WCGFS survey).
457 All other ecosystem indicators based on surveys remained stable over the study period (1993-2010)
458 showing no clear sign of recovery that may be attributed to the observed decrease in fishing

459 pressure. This relative stability is put in perspective by complementary landings-based indicators
460 suggesting that major changes already occurred in this ecosystem before the beginning of scientific
461 surveys, with very strong decreases from 1950 to the late 1970s (the mean trophic level MTL went
462 from 3.9 to 3.6, and the mean maximum length MML from 85 to 45 cm) and subsequently
463 stabilising at low levels.

464 (6) In the Bay of Biscay, indicators were only available for a relatively short period (1997 to 2010).
465 A slight increase was observed in length-based indicators from surveys (the LFI went from 0.09 to
466 0.15, and the MML from 52 to 56 cm), while trophic indicators remained stable. Time series of
467 indicators based on landings were also shorter compared to other ecosystems. Since the mid-1980s,
468 mean trophic levels appeared to be stable, while the marine trophic index and the mean maximum
469 length of landed fish decreased around 2000, mainly driven by increased catches of horse mackerel.

470 (7) On the Iberian coast, available time series of surveys were even shorter (from 2002 to 2008) and
471 hence it is difficult to draw conclusions on indicator trends. In contrast, indicators from landings
472 were available since the 1950s. Mean trophic level and mean maximum length remained rather
473 constant over the whole period, exhibiting the lowest values (about 3.4 and 43 cm respectively) of
474 all ecosystems analysed in this study. The marine trophic index decreased (from 4.0 to 3.7)
475 reflecting changes in landing composition, with hake landings in particular decreasing due to over-
476 exploitation, and more blue whiting or mackerel caught over time.

477

478 In summary, despite of the difference in magnitude between ecosystems, a long term overall decline
479 in the landings-based indicators was observed across all assessed European seas (Fig. 8). Thus,
480 since 1950, the mean trophic level of landings has declined from 3.7 to 3.3, while the marine
481 trophic index decreased on average from more than 4.0 to about 3.8. This trend is not modified
482 when indicators are calculated using values of trophic levels from local Ecopath models, instead of
483 the standard values from Fishbase (see detailed results of the sensitivity analyses in the
484 Supplementary Material). Over the same period, the overall index of mean maximum length

485 decreased from 68 cm to 49 cm. In other words, landings from European Seas progressively became
486 dominated by smaller species and lower trophic levels.

487 Even if calculated over a shorter period, indicators based on surveys showed that the decrease in
488 landings was not only related to putative changes in fishing strategy, but also to observed ecosystem
489 change. The global trophic-based indicators confirmed a deterioration of the community structure of
490 the ecosystems from 1985-2010 (MTL decreased from 4.05 to 3.80 and MTI dropped from almost
491 4.1 to less than 4.0). This decrease had an impact on all the 5 ecosystems where time series started
492 before 1995, with the only exception being the Baltic Sea. Length-based indicators from surveys
493 exhibited some similarities in their trends over the period. From the start of the time series both
494 showed an initial strong decline (MML 90 cm to 74 cm, LFI 0.27 to 0.12) reaching a minimum in
495 the early 1990s (MML) or early 2000s (LFI) after which the MML fluctuated below 80 cm while
496 the LFI increased to 0.20 in 2010. This improving trend of the large fish indicator LFI over the last
497 decade was clearly observed in the Bay of Biscay, but also to a lesser extent in the North Sea, the
498 Irish Sea and the Celtic Sea.

499 It should be stressed that survey-based ecosystem indicators calculated for all ecosystems together
500 are highly correlated with landing-based indicators ($r=0.77$, 0.92 , and 0.80 for MML, MTL and
501 MTI respectively). At the ecosystem level, a positive correlation was observed in 9 of 21 cases
502 ($p<0.05$; see Table S4 in the Supplementary Material). Importantly, the recent declines in fishing
503 mortality may only have resulted in an overall recovery of the fish community size structure while
504 all other ecosystem indicators continue to decline.

505

506 **Synthesis on trends over the last decade**

507 The global picture of recent indicator trends (Fig. 9) highlighted several points:

- 508 ▪ The decrease in mean fishing mortality rates was significant in the seven European ecosystems
509 considered in the study. The same trend was observed for nominal fishing effort when data were
510 available; in particular fishing effort in terms of kw*fishing day approximately halved between

511 2002 and 2010 for the North Sea, the Irish Sea, and the West of Scotland and Ireland (data from
512 STECF 2012). Landings also decreased in all ecosystems.

513 ▪ With the exception of the Baltic Sea, the decrease in the mean recruitment index was significant
514 in all ecosystems.

515 ▪ The spawning biomass of assessed stocks increased in several ecosystems, but remained at low
516 levels (especially in the North Sea, the Irish Sea, and the West Scotland and Ireland), and is still
517 decreasing on the Iberian coast. On the other hand, the large fish indicator (LFI) seemed to
518 improve in several ecosystems, suggesting that the size structure of exploited stocks has started
519 to recover. The observed decrease in recruitment may have counterbalanced the benefit expected
520 from the release of the fishing pressure, leading to almost stable total biomass.

521 ▪ Even when the total biomass of assessed stocks was increasing, several ecosystem indicators still
522 declined suggesting ongoing degradation in ecosystem health. This suggests that the observed
523 decrease in fishing pressure has not been sufficient or is still too recent to allow recovery of
524 ecosystems from a depleted state, especially in terms of species composition and trophic
525 biodiversity.

526 ▪ Some contrasts do exist within ecosystems. In particular, based on the available indicators, the
527 Bay of Biscay ecosystem seems in better shape, or showed stronger improvement than others. In
528 contrast, many indicators exhibited deteriorating trends in the West of Scotland and Ireland
529 ecosystem. However, due to the relatively limited availability of data in the Bay of Biscay and
530 the Iberian Sea compared to the northern areas, this conclusion is cautious.

531 ▪ More data and/or longer time series are available in the northern European seas (in the Baltic
532 Sea, North Sea and West Scotland and Ireland). In particular, indicators based on stock-
533 assessments (i.e. fishing mortality F , spawning stock biomass SSB , recruitment R and
534 sustainable fishing mortality index F^*) can be considered representative of the whole fished
535 fraction of ecosystems. In contrast, most landings in other ecosystems are related to non-assessed
536 stocks and are thus not included in some of our analyses due to data availability.

537

538 **Discussion**

539 **Building ecosystems diagnoses in support of a science-based EAFM**

540 *. Using landings-based indicators*

541 In order to implement an Ecosystem Approach to Fisheries Management (EAFM), an assessment of
542 the status of marine ecosystems and of temporal change is required. In order to draw valid
543 conclusions, the longest time-series available should be considered (Gu enette and Gascuel 2012). In
544 the European seas it emerged that surveys alone are not sufficient to build diagnoses on ecosystem
545 health, as they only describe a relatively short period before which the system was already impacted
546 and major changes had occurred. Catch or landing statistics are available over a longer period, but
547 using such data to infer information about stock abundance or ecosystems health has been strongly
548 debated among fisheries scientists (Branch et al. 2011; Carruthers et al. 2012; Pauly et al. 2013).
549 Some of the observed changes since World War II reflect adaptations by the industry, either to
550 ecological change (including that induced by fishing), consumer habits or markets. Changes also
551 reflect developments in gear technology, which have allowed the emergence of new fisheries, for
552 instance in deeper waters. Also, management and regulations as well as discarding practices have a
553 significant impact on landings. Such changes may have a substantial impact on catch rates (Marchal
554 et al 2006) and can thus cause bias in any landings-based indicator. In other words, some caution is
555 required when interpreting catch or landing reconstructions and inferring changes over time since
556 the latter may be influenced by both the species and the fleet segments included in the analysis
557 (Essington et al. 2006; Thurstan and Roberts 2010; Heath and Speirs 2011). Nevertheless, landings
558 reconstruction provides a long term perspective on exploitation history, which has to be kept in
559 mind when attempting to assess ecosystem health in a more recent period of time. In European seas,
560 landings showed that major changes took place from the 1950s to the 1970s, before contemporary
561 scientific surveys started.

562

563 . *Using stock-based indicators and management targets*

564 Examining aggregated metrics based on formal stock assessment results was another important step
565 in our approach towards an ecosystem approach to fisheries management. Using the results of
566 single species stock assessments may not be perceived as the most obvious contribution to an
567 ecosystem approach, but has also been recently applied to pelagic fish communities in the North
568 Sea and Celtic Seas (Shephard et al. 2014). The current analysis shows that such an approach allows
569 the compilation of stock-based indicators at the ecosystem level, using the best available estimates
570 regarding the status of all the assessed stocks, and thus provides a useful diagnosis of state in the
571 fished and assessed part of the ecosystem. The synthesis was based on F_{pa} , B_{pa} and F_{MSY} so that the
572 status of each stock as well as their mean trajectories were defined with reference to both the “old”
573 precautionary reference values and the new MSY-based reference value. According to the
574 commitments of the 2002 Johannesburg world summit, the MSY-based objectives (implicitly
575 defined based on the B_{MSY} target) should be reached, wherever possible, by 2015. The transition
576 scheme, currently in force within ICES working groups, aims at the enforcement of this objective,
577 but only considering F_{MSY} and not B_{MSY} as the new threshold (except for a few short lived species
578 such as Norway pout), while maintaining B_{pa} as the SSB threshold even if B_{pa} is far below B_{MSY} for
579 most stocks,.

580 In addition, the chance of the European Union to achieve the MSY objective by 2015 for all stocks
581 seems highly unlikely (Froese and Proelß 2010; Villasante 2010). The Aichi Targets, defined at the
582 Nagoya Convention on Biological Diversity (CBD 2010), set 2020 as the deadline to achieve MSY
583 for all stocks worldwide, while the 2008 Marine Strategy Framework Directive (MSFD)
584 implements the same 2020 deadline to achieve Good Environmental Status across all European
585 waters, including for the MSFD Descriptor 3 which specifically addresses commercial fish and
586 shellfish (European Commission 2008b and 2010). Thus, at present, both the MSFD and the newly
587 revised Common Fishery Policy have adopted MSY as the reference level that should be reached by
588 2020 and applied this to the two indicators used to assess stock status: fishing mortality (F) and

589 Spawning Stock Biomass (SSB). Therefore, it will be especially interesting to monitor stocks
590 trajectories (for each individual assessed stock or as a whole) in the coming years.

591 A limitation of our method is that reference points were not available for all stocks assessed by
592 ICES, because they have not yet been estimated. The reference point $F_{0.1}$ derived from yield per
593 recruit analyses could be used as a proxy of F_{MSY} , where no direct estimate of F_{MSY} is available
594 (STECF, 2011). Nevertheless, this proxy is not often specified by ICES working groups, and thus in
595 some instances only certain stocks could be considered in our calculations of mean stock
596 trajectories. This was especially the case in the southern ecosystems we studied, where in general
597 only a relatively small part of the catch comprised assessed stocks. However, an assessment based
598 on the largest proportion of exploited resources should be considered an important requirement for
599 achieving an ecosystem approach (including reference point estimates) and thus for the MSFD,
600 specifically Descriptor 3 (on commercial fish and shellfish), but could also have relevance for the
601 descriptors 1 (biodiversity), 4 (food web) and 6 (seafloor integrity). Such assessments would not
602 necessarily be required on an annual basis and using the same full set of age-based methods. In
603 particular for non-target species, where complete coverage is not realistic, a risk-based approach
604 could be defined in order to assess key vulnerable species, and to determine the number of stocks
605 necessary to provide a representative overall assessment of species exploited in each ecosystem.

606 There are, however, some issues to consider when attempting to apply these MSY-based reference
607 points as part of an ecosystem approach because biological interactions may prevent achieving
608 current single-species-based B_{MSY} thresholds simultaneously for all stocks (Piet and Rice 2004).

609 Also since F_{MSY} is considered a limit reference value it may imply that some of the stocks caught in
610 a multi-species fishery will need to be caught at levels below F_{MSY} . In addition, changing the size
611 selectivity of the fishery will affect the values of the management threshold, and have consequence
612 for ecosystem health leading to a smaller impact on marine resources (Brunel and Piet 2012, Froese
613 et al. 2008). In other words, new targets will have to be defined, using multi-species and ecosystem

614 models where the biological interactions (e.g. predation) and other ecosystem aspects of integrated
615 stock sustainability are taken into consideration.

616

617 . *Using ecological indicators*

618 Ecological indicators are not routinely calculated for European ecosystems in any ICES or
619 European working group or scientific program. The application of the MSFD indicators of Good
620 Environmental Status to support integrated marine resource management is currently in a state of
621 flux (Greenstreet et al. 2012; Lassen et al. 2013) which is nicely reflected by the indicators we
622 considered which seem to capture relevant aspects of the fish community and probably also the
623 wider ecosystem, and appear sensitive to the effects of fishing. In particular, trophic-based
624 indicators appeared useful, highlighting a clear and continuous decreasing trend in several
625 ecosystems as well as for the aggregated indicators. In addition, and in contrast to other studies (e.g.
626 Branch et al. 2010 and 2012), trophic indicators based on landings appeared little sensitive to the
627 uncertainty that exists regarding values of trophic levels per species. A decrease is also observed
628 until the early 1990s for the mean maximum length indicators (MML). It reflects changes that have
629 occurred in the species composition of demersal communities. In contrast, the LFI suggests the first
630 signs of recovery from about 2000 onwards. If, indeed, the fishing pressure is decreasing as figure 4
631 shows, it suggests that the size structure of fish stocks is more sensitive than the species
632 composition of fish communities during the start of the recovery phase.

633 Finally, this study showed that the landing-based indicators (MTL, MTI and MML) appeared to be
634 highly correlated to survey-based indicators for most ecosystems, which contrasts with the results of
635 Branch et al. (2010). Using a worldwide approach, these authors observed some negative
636 correlations between landing-based and survey-based indicators and concluded that the mean
637 trophic level from landings is an unreliable indicator of ecosystem health, potentially biased by
638 changes occurring in fishing strategies. In European seas, we found that the decrease observed in
639 the trophic indicators from landings may be partially due to changes which occurred in fishing

640 strategies, with fishermen progressively targeting a wider part of ecosystems and landing more prey
641 fishes. This reflects a ‘fishing through the food web’ process (Essington et al. 2006), which is
642 confirmed by the observed increase in the index of landings diversity. However, the declining trend
643 in mean trophic level was also observed in survey-based indicators, corresponding to a decrease in
644 predator abundance. This reveals that a ‘fishing down the marine food web’ process has happened
645 simultaneously, which also affects the mean trophic level of landings. Such a result reflects the
646 global higher sensitivity to fishing of high trophic level species, due to their typically lower rates of
647 turnover (Pauly et al. 1998; Gascuel et al. 2008 and 2011b). Analysing correlations between life-
648 history traits and the occurrence of fish stock collapses, Pinski et al. (2011) showed that stocks of
649 low trophic level species (e.g. small pelagics) may be more liable to collapse. This reflects their
650 small number of age classes, but also frequently very high exploitation rates. In Europe, bottom
651 trawl fisheries targeting large higher trophic level demersal species have been historically dominant.
652 It is thus not surprising that many such species are currently very depleted, and that a fishing down
653 process can be observed. More generally, the strong decrease in large demersal fish abundance and
654 in the mean maximum length of survey data is a global pattern (Worm et al. 2009).

655 656 *. Implementing an effective ecosystem approach to fisheries management*

657 More research on ecosystem indicators is still needed and several research initiatives aimed at
658 developing operational ecosystem indicators exist, such as IndiSeas (Shin et al. 2012), several
659 ICES working groups or regional sea conventions such as OSPAR or HELCOM . Once the
660 selection process of appropriate indicators begins to converge, the use of these indicators, as part of
661 an ecosystem-based resources management towards the achievement of good environmental status,
662 needs to be (further) developed and routinely enforced. It should be stressed that the objective of
663 reaching the good environmental status is required but will not be sufficient. As such ecosystem
664 approach to fisheries management goes beyond ‘just’ ensuring Good Environmental Status. It aims
665 to take into account not only ecological sustainability, but also economic profitability and social

666 fairness (Garcia and Cochran 2005; Gascuel et al. 2011a; Bundy et al. 2012). In other words, its
667 major objective (its specific value-added) is to analyse trade-offs between ecology, economy and
668 social aspects, the three pillars of the sustainable development of fisheries (Gascuel et al. 2012;
669 STECF 2010). In addition, according to several European directives where responsibility has been
670 delegated by Member States to the EU, fisheries management in European Seas is an integrated
671 policy. Therefore, the ecosystem approach to fisheries management could (or should) be
672 implemented at the European level, while environmental policy and therefore enforcement of the
673 MSFD have to be conducted at the national level.

674 Finally, this study shows that the large ecosystems considered in the present analyses, according to
675 the reference list defined by STECF (2012), represent a good compromise in terms of size and the
676 appropriate scale to synthesise stock status and analyse trends in the ecosystem indicators and can
677 be easily aligned to MSFD subregions. These ecosystems are similar to eco-regions used by ICES
678 except that two of the large ICES eco-regions (i.e. ‘Celtic Sea and West of Scotland’, and the ‘Bay
679 of Biscay and Iberian Seas’) have each been sub-divided into two ecosystems. The availability of
680 the data we used, as well as the results we obtained, seem to validate these four subsystems, with
681 notably contrasted trends and diagnoses from one ecosystem to the other. The seven ecosystems we
682 considered also appear to be appropriate for the study of ecological impacts and economic
683 performances of fleet segments, and to analyse trade-offs between economy and ecology in order to
684 develop fleet-based management of fisheries in the frame of an operational ecosystem approach to
685 fisheries management (STECF 2010 and 2012; Gascuel et al. 2012). They also should be the basis
686 to develop ecosystem models devoted to scientific advice on both ecology and economics, and to
687 define long term management plans in support of the Common Fisheries Policy. Finally, they form
688 “territories” where dialogue should be improved and stakeholders involved in participative
689 management of fisheries, in line with the strengthening of the role of the Regional Advisory
690 Councils (RACs) established by the European Commission. Therefore such ecosystems should be
691 the basis for the collection and availability of data required for the further development and

692 application of the ecosystem approach, which is still a major concern in areas including the
693 Mediterranean and Black Sea (Coll et al. 2013).

694

695 **A global diagnosis on fishing impact in European seas**

696 European seas have been exploited for millennia (Lotze et al. 2006) and fishing has been identified
697 as a major human impact in these systems (Narayanaswamy et al. 2013). Motorised boats as well as
698 large bottom trawls have been used since the end of the 19th century (Herubel 1912) but until World
699 War II, catches remained relatively low. Only about 1.4 million tonnes were landed in 1938 from
700 the North Sea, by far the most productive area within European Seas (Christensen et al. 2003).
701 Trends in fishing effort and fishing mortality rates strongly increased from the 1950s until the
702 1990s. For instance, the overall French nominal fishing effort increased from 164 thousand KW in
703 1950 to 500 thousand in 1970, and to 750 thousand in the late 1990s (Guénette and Gascuel 2012).
704 In the North Sea, fishing mortality of herring (the most important species in term of landings)
705 jumped from 0.2 in 1950 to more than 0.9 in 1970, while it increased for cod from 0.48 in 1963 (the
706 first available year in assessments), to 0.8 in the mid-1970s and to around 1.0 during the 1980s and
707 1990s (ICES, 2013). In the North Sea, where some ICES assessments started early, overexploitation
708 had occurred by 1953 for herring and sole, 1957 for plaice, 1963 for cod, haddock and whiting, and
709 1967 for saithe (ibid.). Due to limitations in data availability at the ecosystem scale, our analyses
710 did not provide results on trends in stock abundance before the 1980s, but several studies show that
711 fish stocks had already declined in Europe before that period. Using a CPUE index for the Celtic
712 Sea and the Bay of Biscay, Guénette and Gascuel (2012) estimated that the overall abundance of
713 exploited species declined by around 80% between 1950 and the late 1970s. Based on stock
714 assessment results for the 1970s, a very strong decrease in the mean spawning biomass was also
715 recorded by Garcia and De Leiva Moreno (2005) for a selection of 14 large European stocks, and by
716 Froese and Proelß (2010) for 54 fish stocks of the Northeast Atlantic.

717 Until the 1970s, total landings increased reaching more than 7.2 million tonnes for the seven
718 considered ecosystems, of which 3.4 million tonnes came from the North Sea. It should be noted
719 that the data available for this period are likely to underestimate total catches due to discarding
720 practices and unreported landings (e.g., Pitcher et al. 2002, Zeller et al. 2011). The number of
721 species exploited at that time was relatively low, with fishermen mainly targeting the most abundant
722 stocks, often consisting of large predatory species (especially cod, but also whiting, saithe, hake and
723 tunas). In the North Sea, an increase in gadoid recruitment occurred in the late 1960s (the ‘gadoid
724 outburst’, Cushing 1980), leading to an increase in biomass and catch. This increase occurred as the
725 various herring stocks were in decline, probably under the stress of heavy fishing (ibid.). It
726 temporarily masked or postponed the decline of fisheries for herring and other species, which may
727 have commenced by the late 1960s.

728 From the mid-1970s yields began to decrease everywhere (the Baltic Sea being an exception) as a
729 result of the overexploitation of some major stocks. In order to compensate for their losses,
730 fishermen adapted by increasing fishing effort and targeting a wider range of species, and thus
731 exploiting a wider part of ecosystems. In particular small pelagic species, but also invertebrates, and
732 since the late 1980s deep-water species, have increasingly been targeted. Thus, the diversity of
733 landings increased, and this trend persisted until the late 1990s. Nevertheless, this was insufficient
734 to compensate for the decrease in abundance of many stocks, and total landings have continuously
735 declined in all the NE Atlantic ecosystems studied. Both the landing-based and survey-based
736 indicators revealed a trend of increasingly deteriorating ecosystems, at least until the late 1990s. It
737 is likely that significant fishing-induced changes in the species assemblages have occurred, with
738 increasingly lower abundances of vulnerable species, especially large predators. According to the
739 ‘fishing down marine food web’ process, higher trophic levels were the most affected by
740 overfishing, while prey species (at least some of them) might have benefited from the release of
741 predation. This release from predation pressure, but also the impact of trawlers on the seafloor, may
742 partly explain, in some areas such as the west of Scotland, the dramatic shift from whitefish

743 dominated fisheries to fisheries dominated by Norway lobster and scallops (Thurstan and Roberts
744 2010).

745 The highest fishing impact on the environmental status of European seas seems to have taken place
746 in the late 1990s, with high fishing mortality rates and a clear decrease in stock-based and
747 ecosystem indicators. In 1998, the EU formally adopted the ‘precautionary approach’, using B_{pa} and
748 F_{pa} as threshold to calculate total allowable catch and quotas. Scientific advice based on those
749 thresholds was not always followed. Piet et al (2010) showed that for only 8% of the 125 stocks for
750 which ICES provided advice over the period 1987–2006 the official total quotas equalled scientific
751 advice, while the official quotas overshot scientific advice by >50%. Nevertheless, in the short term
752 this approach led to more restrictive quotas and may have contributed to the decrease in fishing
753 mortality rates observed in the following years. This decrease is expected to continue now that the
754 more precautionary thresholds based on MSY are adopted and the uptake of scientific advice
755 appears to have improved. In addition, other restrictions like fishing gear limitations or fishing
756 effort quotas were enforced at that time as part of the implementation of long term management
757 plans. Decommissioning schemes within the EU have also had some success in reducing fleet
758 capacity. However the level of reductions varies between ecosystems, and the rate of reduction has
759 been criticized as being too slow to counter other technological advances (European Commission
760 2012 and 2013). It should also be stressed that B_{MSY} is still not used as a target for fisheries
761 management in Europe, assuming that as long as the reproductive capacity of stock is not
762 compromised (i.e. $B \geq B_{pa}$) and fishing mortality is at a sustainable level (i.e. $F \leq F_{MSY}$) stocks
763 should ultimately recover to their ecosystem-based MSY level. However, B_{pa} is the edge of safe
764 biological limits and a stock at that size can be considered as probably safe but not as in good
765 condition.

766 Overall, the mean fishing mortality rate of assessed stocks has almost halved over the last decade.
767 This may have contributed to the accelerated decrease in landings observed in the recent years,
768 notably in the North Sea. In spite of this dramatic decrease in fishing pressure, a large number of

769 stocks still failed to meet the requirement of the 'past' B_{pa} or F_{pa} targets (11 stocks among 21, in our
770 analysis based on 2013 assessments). No clear recovery in the biomass is currently apparent and the
771 total biomass remains close to B_{pa} , a level far below B_{MSY} . Several recent meta-analyses (Cardinale
772 et al. 2013, Fernandes and Cook 2013), and oral presentations made by ICES experts under the
773 auspice of the European commission (Fernandéz, 2013), confirmed the strong reduction in mean
774 fishing mortality of NE Atlantic fish stocks over the last decade. Nevertheless, these analyses also
775 suggested a significant increase in the mean biomass of these stocks, while we observed no clear
776 positive trend. In fact, these apparent contradictions are linked to differences in methods. On the
777 one hand, we only considered stocks in European seas, while the ICES conclusions were based on
778 stocks of the whole Northeast Atlantic, including Norwegian waters and Barents sea where several
779 large stocks are currently recovering very rapidly (especially the Barents sea cod stock). On the
780 other hand, ICES used as an index the average of standardised biomasses, while we considered the
781 total biomass. Thus, results indicate that several small stocks do exhibit positive trends in biomass,
782 while some large stocks would still be in decline.

783 Regarding ecosystem indicators, the large fish indicator LFI is the only one showing a positive
784 trend, suggesting that the age and size structure has started to recover for some exploited stocks. But
785 at the same time, other indicators of community structure (MML, MTL and MTI) continued to
786 deteriorate or remained at low levels. This result suggests that the decrease in the fishing pressure
787 has not yet been sufficient, or is still too recent, to allow for the recovery of ecosystem health from
788 such a depleted state. Synergic effects between stock size, age structure, recruitment, ecosystem
789 indicators, may explain the observed delay or lack of rebuilding. Other factors, especially related to
790 environmental and global change, might also intervene and counterbalance or delay the benefit
791 expected from the release of the fishing pressure.

792 In this context, the observed decrease in our overall recruitment index was an unexpected result
793 which requires particular attention. In some cases, where fishing patterns change over time,
794 recruitment estimates based on cohort analyses can be biased (e.g. Fonteneau et al., 1998).

795 However, it is very unlikely that such biases would affect all or even most of the ICES assessments
796 and the decrease in recruitment appeared as a consistent pattern observed over a long period (more
797 than twenty years) in all the European ecosystems we considered across many stocks (except for the
798 Baltic Sea). Many stocks were close or below B_{pa} for a long time and their age structure is such that
799 experienced, large, fecund females with high-quality eggs have disappeared due to overfishing and
800 fishing of juveniles. Thus, recruitment overfishing may explain, at least partially, the decrease in
801 recruitment. Several ecological mechanisms, potentially synergistic, should also be considered as
802 additional hypotheses able to explain this trend. In particular: the impact of climate change on
803 ecosystems productivity and food web; anthropogenic impacts on essential habitats (especially on
804 coastal nursery grounds); fishing impact on seafloor and benthic productivity (Hiddink et al. 2006);
805 unexpected ecosystem effects due to changes in species assemblages; and the loss in the genetic
806 biodiversity for some severely overexploited species (Heath et al. 2013). In all cases, such a
807 decrease in the mean recruitment index for a large set of assessed European stocks is of great
808 concern for fisheries and may explain, at least partially, why the biomass of exploited stocks has not
809 yet recovered, despite declining fishing pressure and possible recovery in the length-based LFI. In
810 the coming years, poor recruitment may lead to reduced catches and higher fishing mortalities (for a
811 given quota) than expected from stock assessments, therefore compromising the effectiveness and
812 the social acceptance of fisheries management. It would be difficult to follow the transition scheme
813 and to reach the new MSY target in such a context, and thus to achieve Good Environmental Status
814 under the EU MSFD by 2020. In the medium term, if the trend continues, it could also lead to a
815 decrease in absolute landings, with obvious potential impacts on fisheries profitability. In addition,
816 it should be stressed that in many instances, targets set for ecological indicators are established on
817 the basis of historical indicator values. If physical and hydrographical conditions are now very
818 different from those prevailing in the early 1980s, such that recruitment indices have all declined
819 markedly right across European waters, then the validity of such targets as representing the good
820 environmental status of ecosystems must surely be called into question.

821 Finally, the consistency of diagnoses that we established in the various European Seas has to be
822 underlined. Trends and global status appeared quite similar and only small contrasts have been
823 identified between ecosystems, with for instance more favourable trends in the recent period in the
824 Bay of Biscay compared to the West of Scotland and Ireland. The Baltic Sea is an exception, with
825 several indicators exhibiting contrasting trends compared to other ecosystems. In this ecosystem,
826 total landings only slightly decreased over the last decade, and the mean recruitment index
827 fluctuated with no clear trend over the whole period. The specifics of the Baltic Sea can at least
828 partly be explained by the synergic effect of a low number of main fish species in the ecosystem
829 (only 3), and low predation rate due to unfavourable reproduction conditions of cod, effectively
830 contributing to the high numbers of sprat stock since 1990s. Increased eutrophication can also have
831 a role in elevated numbers at lower trophic levels.

832

833 **Conclusion**

834 The working group on the Ecosystem Approach to Fisheries Management (STECF 2012) noted
835 three key element that constitute the work that has to be performed on a regular basis to evaluate
836 and implement a scientific-based ecosystem approach to fisheries management in European Seas
837 (and probably everywhere):

838 (i) Diagnoses of ecosystem health such as the one we present in this study have to be defined and
839 regularly updated for each ecosystem, in close cooperation with MSFD implementation;

840 (ii) Both environmental impacts and socio-economic performance of the various fleets operating
841 within each ecosystem have to be assessed and monitored. Results of such analyses could and
842 probably should be considered by stakeholders (including the European Commission) in the
843 definition of management options and especially in the frame of long term management plans
844 (which should evolve from a stock-based to an ecosystem-based approach);

845 (iii) One or a limited set of ecosystem and bio-economic models should be set up and used on a
846 regular basis for advice-oriented purposes. In a manner similar to the way assessment and forecast

847 models are used for stock-based advice and management (and quotas or fishing effort regulation),
848 ecosystem and bio-economic models should be regularly updated. This would constitute a key step
849 in assessing the ecosystem impacts of fisheries, to simulate various management options and to
850 analyse their potential effects on fisheries social-economic performance as well as ecosystem
851 impacts.

852 Overall, it can be concluded that building ecosystem state diagnoses is a key first step on the path to
853 evaluating and implementing an EAFM. We presented here the first diagnosis for seven of fourteen
854 European marine ecosystems that have to be considered in the context of EAFM implementation in
855 European seas. The present work should be considered as a starting point for more complete
856 approaches, covering more ecosystems and the implementation of subsequent monitoring programs.

857

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866

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1064

1065 **Figure captions**

1066 Figure 1 – Study area and boundaries of the seven marine ecosystems considered as case studies,
1067 according to STECF, 2012.

1068 Figure 2 – Trends in annual landings (thousand tonnes) in 1950-2010 from European (in light grey)
1069 vs. non-European (dark grey) countries and catch time series (black line) derived from stock
1070 assessments in the seven marine ecosystems and for all ecosystems considered together.

1071 Figure 3 – Trends in the indices of landings diversity. A and B: number of exploited species (for
1072 which landings are higher than 0.5% of the mean 2001-2010 yearly total landing). C and D:
1073 Shannon’s diversity index (H).

1074 Figure 4 - Trends in stock-based indicators: mean fishing mortality F (column A, in year^{-1}), total
1075 spawning stock biomass SSB (column B, in thousand tonnes), and the mean recruitment index R
1076 (column C, relative value to the 1990-2000 average), for all the 57 stocks assessed by ICES in
1077 European seas (first line) and by ecosystem. (The red line refers to all stocks assessed in 2012,
1078 while the blue line is the longest available time series including at least 60% of assessed stocks)

1079 Figure 5 - Current status of all assessed stocks, in relation to fisheries advice and management
1080 targets B_{pa} , F_{pa} and F_{MSY} . Note that only 21 stocks having associated target limits were considered
1081 here. On these graphs, the horizontal line labelled ‘ B_{pa} ’ refers to B^* equal to 1 ($B^*=B_{current}/B_{pa}$),
1082 while the vertical lines labelled ‘ F_{MSY} ’ and ‘ F_{pa} ’ refer to F^* equal to 0 and 1, respectively
1083 ($F^*=(F_{current}-F_{MSY})/(F_{pa}-F_{MSY})$). The white sector relates to situations where the ‘new’ F_{MSY}
1084 management targets are met, the dark grey indicates stocks that do not follow the former
1085 ‘precautionary approach’, and light grey indicates stocks falling between the ‘old’ F_{pa} and the ‘new’
1086 F_{MSY} targets.

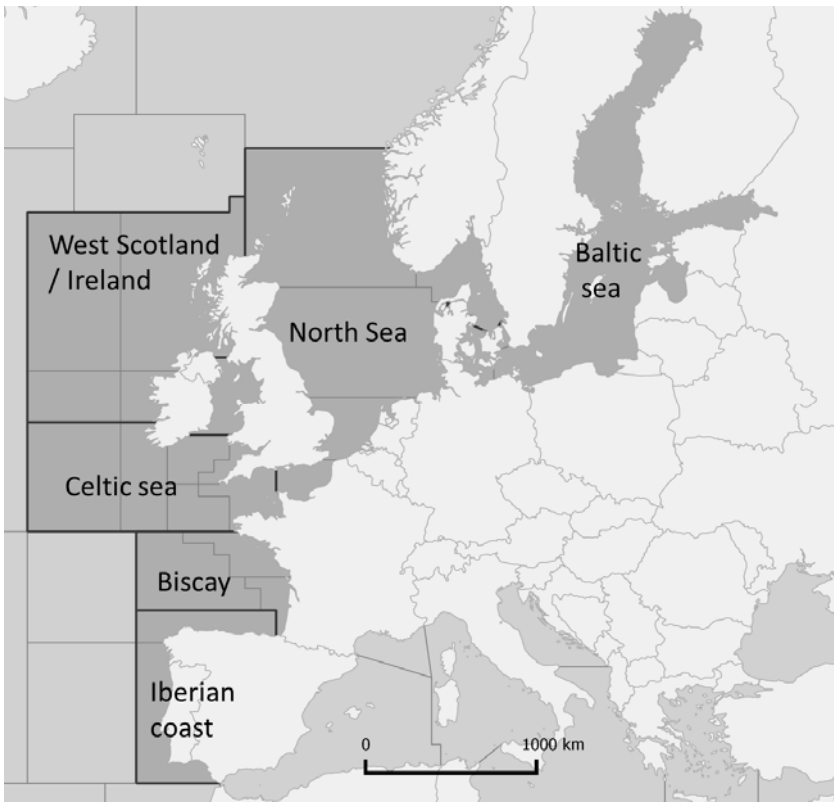
1087 Figure 6 – Mean temporal trajectories of assessed stocks within each of the studied ecosystems and
1088 for all European seas. The white sector relates to situations where the F_{MSY} management targets are
1089 met, the dark grey indicates stocks that do not follow the former ‘precautionary approach’, and light
1090 grey indicates stocks falling between F_{MSY} and F_{pa} targets. Only stocks for which target limits are
1091 known are considered (the Bay of Biscay and Iberian coast are not displayed because targets were
1092 only known for one stock); the percentage indicated for each ecosystem refers to the part of the
1093 ecosystem landings due to stocks considered on the graph.

1094 Figure 7 – Trends in ecosystem indicators in the seven marine ecosystems. Column A: length-based
1095 indicators from surveys; column B: trophic level-based indicators from surveys; column C:
1096 indicators from landings. LFI = large fish indicator (proportion); MML = mean maximum length
1097 (cm, axis on the right); MTL = mean trophic level; MTI = marine trophic index.

1098 Figure 8 – Mean trends in ecosystem indicators: a. length-based indicators from surveys, b. trophic
1099 level-based indicators from surveys, c. indicators from commercial fishery landings. LFI = large
1100 fish indicator (proportion); MML = mean maximum length (cm); MTL = mean trophic level, MTI =
1101 marine trophic index (Dot lines in graph c relate to the sensitivity analysis, using trophic levels from
1102 local Ecopath models in place of standard values from Fisbase; see Supplementary Material)

1103 Figure 9 – Summary of trends over the last 10 years in the main indicators of ecosystem health in
1104 the seven ecosystems considered: total landings Y, fishing effort E, mean fishing mortality rate F,
1105 total stock spawning biomass SSB, mean recruitment index R, index of mean sustainable fishing
1106 mortality F*, survey large fish indicator LFI, mean maximum length MML from surveys or from
1107 landings, mean trophic level MTL from surveys or from landings, % of landings due to assessed
1108 stocks. Green and red symbols refer to positive and negative trends respectively (i.e. improving or
1109 deteriorating stocks status), while black arrows refer to uninterpretable changes in trend (landings
1110 might for instance decrease either because F or B decreases)

1111

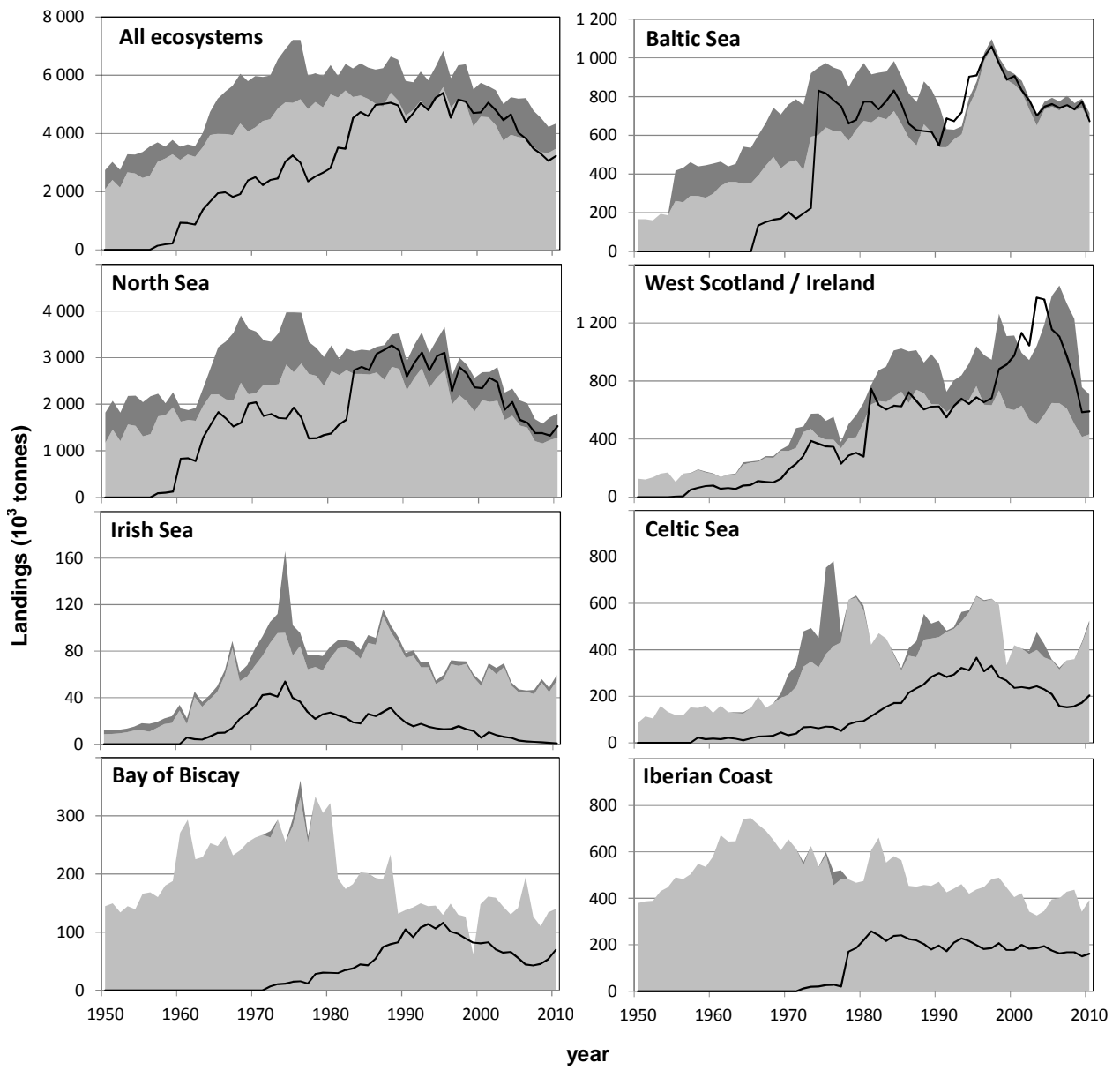


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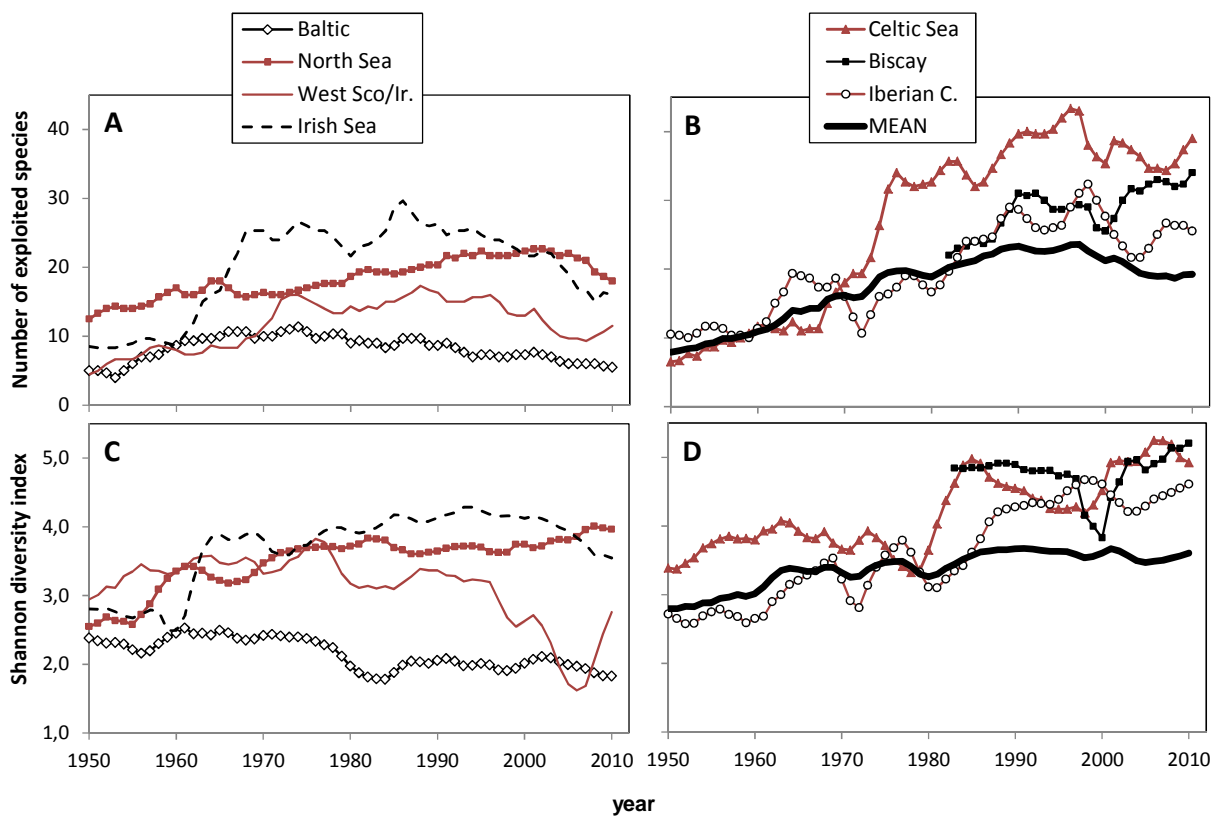
1114 Figure 1

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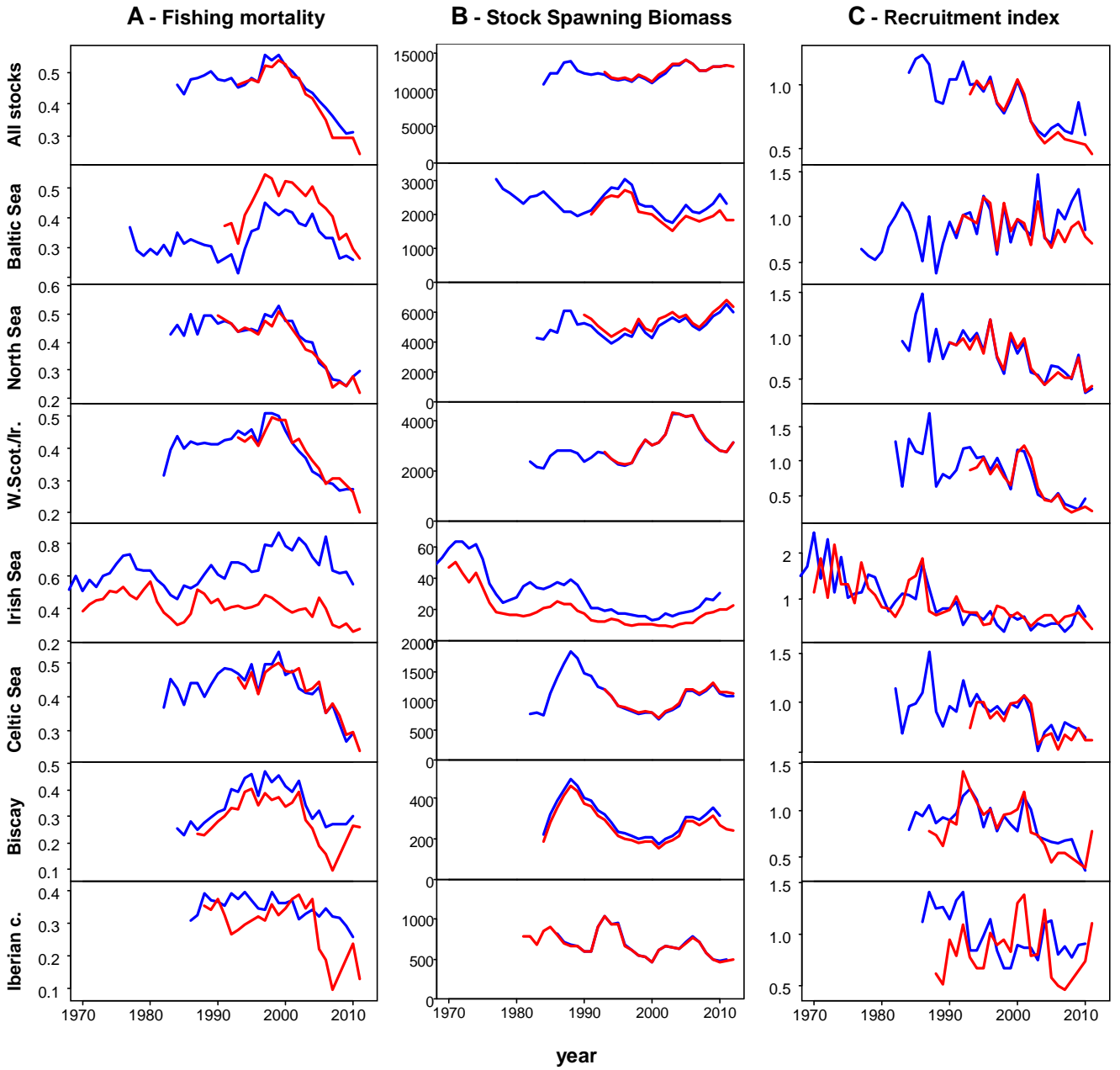
1117 Figure 2

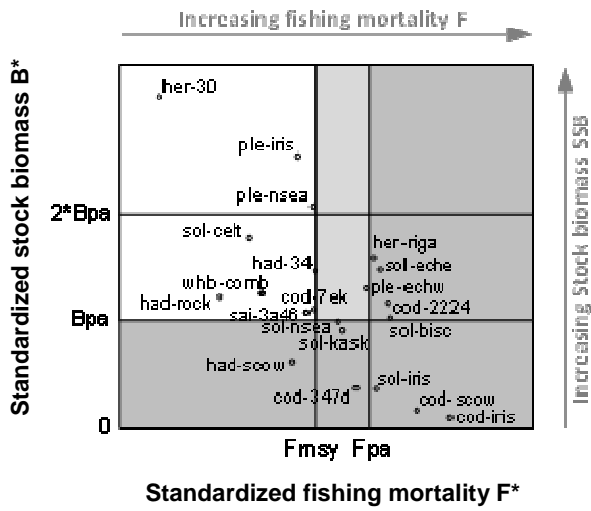


1118

1119 Figure 3

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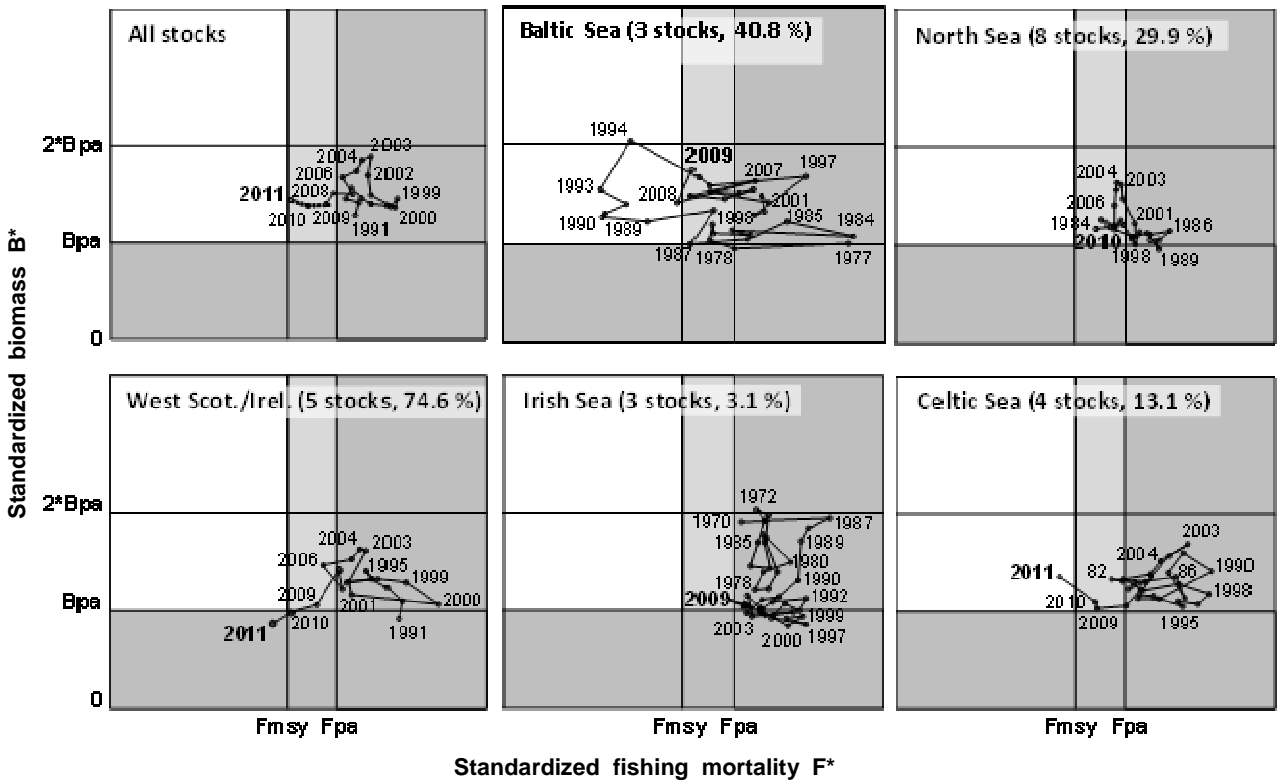


1126

1127 Figure 5

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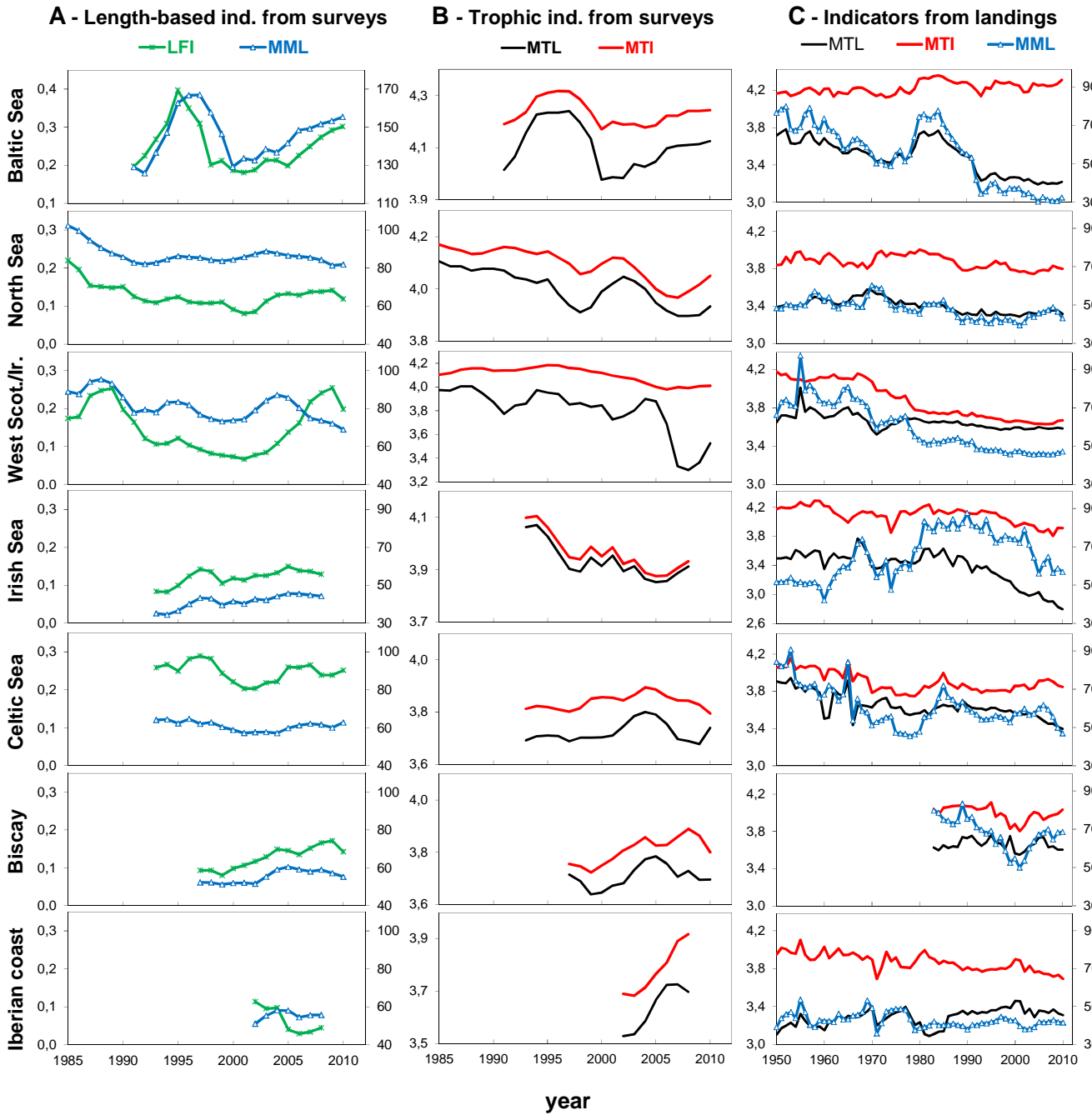
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1131 Figure 6

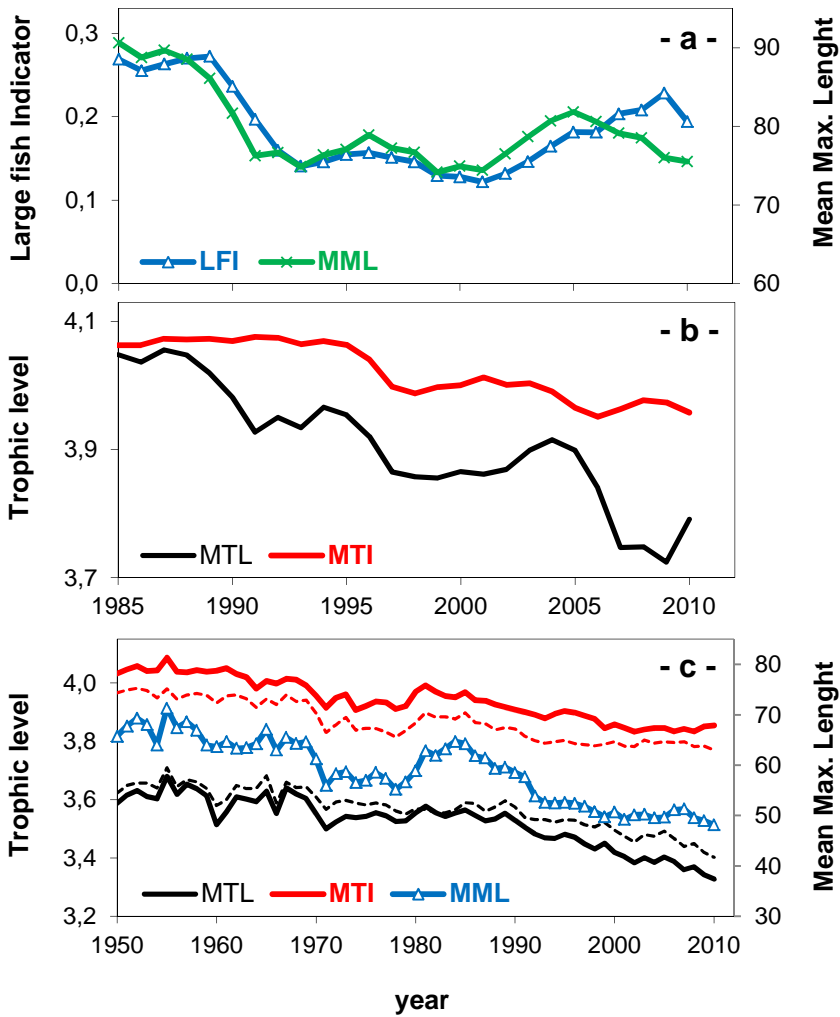
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1134 Figure 7 –

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1137 Figure 8

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		Land. Y	Effort E	Mortal. F	Biom. SSB	Recr. R	Sust. F* B*	Survey LFI	Survey MML	Survey MTL	Land. MML	Land. MTL	% asses.
Baltic Sea		↘		↘	?	→	☹	↗	↗	↗	↘	↘	≈ 95
North Sea		↘	↘	↘	↗	↘	☹	low	↘	↘	↗	↗	≈ 85
North western Atlantic waters	West Scot./Irl.	↘	↘	↘	?	↘	☹	↗	↘	↘	low	low	≈ 90
	Irish Sea	↘	↘	↘	↗	↘	☹	low	↗	?	↘	↘	≈ 35
	Celtic Sea	↘	↘	↘	↗	↘	☺	→	↗	↘	↘	↘	≈ 40
South western Atlantic waters	Bay of Biscay	↘		↘	↗	↘	?	↗	→	?	↗	→	≈ 45
	Iberian Coast	→		↘	↘	↘	?	↘	→	↗	↗	↘	≈ 40

1139

1140 Figure 9