
Mixed-layer salinity budget in the tropical Indian Ocean: seasonal cycle based only on observations

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Abstract :

The mixed-layer salinity (MLS) budget in the tropical Indian Ocean is estimated from a combination of satellite products and in situ observations over the 2004-2012 period, to investigate the mechanisms controlling the seasonal MLS variability. In contrast with previous studies in the tropical Indian Ocean, our results reveal that the coverage, resolution, and quality of available observations are now sufficient to approach a closed monthly climatology seasonal salt budget. In the South-central Arabian Sea and South-western Tropical Indian Ocean (SCAS and STIO, respectively), where seasonal variability of the MLS is pronounced, the monthly MLS tendency terms are well captured by the diagnostic. In the SCAS region, in agreement with previous results, the seasonal cycle of the MLS is mainly due to meridional advection driven by the monsoon winds. In the STIO, contrasting previous results indicating the control of the meridional advection over the seasonal MLS budget, our results reveal the leading role of the freshwater flux due to precipitation.

Keywords : Tropical Indian Ocean, Observations, Seasonal cycle, Mixed-layer salinity, Mixed-layer budget

49 **1. Introduction**

50 The Indian Ocean is characterised by seasonally reversing monsoon winds north of 10°S,
51 which force a seasonal turnover of the upper ocean circulation (Shankar et al. 2002, Schott et
52 al. 2009). From May to September, the southwesterly winds force the surface Summer
53 Monsoon Current (SMC) to flow eastward. In contrast, from November to February, the
54 Winter Monsoon Current (WMC) flows westward north of the equator, in response to the
55 northeasterly winds. Both monsoon currents (SMC and WMC) force the exchange of surface
56 waters between the highly contrasted Arabian Sea (to the west) and Bay of Bengal (to the
57 east). Specifically, the WMC carries fresher Bay of Bengal waters into the Arabian Sea, while
58 the SMC brings saltier Arabian Sea water into the Bay of Bengal (Jensen, 2003).

59 Salt transported by the currents plays a key role in the tropical climate through its effects
60 on upper ocean stratification. Salinity can indeed limit the thickness of the mixed-layer by
61 creating a barrier layer and therefore constraint the ocean-atmosphere interactions (Lukas and
62 Lindstrom, 1991, Sprintall and Tomczak 1992, Pailler et al. 1999). The barrier layer has been
63 shown to be important in the dynamics of the Indian Ocean using a regional coupled model
64 (Masson et al. 2005, Seo et al. 2009), an ocean general circulation model (Durand et al. 2007)
65 but also based on observations (Vinayachandran et al. 2002, Rao and Sivakumar, 2003,
66 Mignot et al. 2007). Furthermore salinity is thought to be a possible indicator of changes in
67 the hydrological cycle (Webster, 1994; Yu, 2011, Terray et al., 2012, Da-Allada et al, 2014a).

68 Previous studies have investigated the observed seasonal variability of sea surface salinity
69 (SSS) in the tropical Indian Ocean with the available data sets. Based on measurements of
70 SSS collected from ships of opportunity along six shipping tracks, large seasonal SSS
71 variability is found over the western Indian Ocean while the eastern part of the basin shows
72 little variability, except for regions of intense local rainfall and river runoff like the Bay of

73 Bengal (Donguy and Meyers, 1996). The analysis of climatological salinity data (Rao and
74 Sivakumar, 2003) extended the previous study and revealed that the northern Indian Ocean
75 exhibits a larger SSS variability than the southern Indian Ocean.

76 The north Indian Ocean mixed-layer salinity (MLS) budget based on the same SSS data
77 together with other measurements (atmospheric flux and currents) quantifies the relative
78 contribution of the atmospheric freshwater flux and SSS horizontal advection (Rao and
79 Sivakumar, 2003). According to these authors the horizontal advection and freshwater flux
80 are both important to explain the SSS variability during the summer monsoon, while
81 horizontal advection clearly dominates the salt budget during the winter monsoon.

82 However, this study was limited by data availability and did not investigate all the
83 processes, such as vertical advection, entrainment at the mixed-layer base and diffusion terms.
84 These terms may significantly contribute to the seasonal SSS balance, as was shown in other
85 basins of the Pacific and the Atlantic oceans (Vialard and Delecluse, 1998; Vialard et al.,
86 2002; Qu et al., 2011; Vinogradova and Ponte, 2013; Hasson et al., 2013; Kolodziejczyk and
87 Gaillard, 2013; Da-Allada et al., 2013; Da-Allada et al, 2014b).

88 To better quantify the contribution of each term of the salinity balance in the Indian
89 Ocean, a coupled ocean-atmosphere model with no relaxation to SSS observations was used
90 (Vinayachandran and Nanjundiah, 2009). This study showed that the freshwater input to the
91 ocean and its redistribution by ocean circulation are the crucial processes to the salt budget.
92 These authors also showed like in the observations that the SSS tendency is mainly due to
93 horizontal advection during winter, whereas both advection and freshwater fluxes are
94 necessary to explain the SSS tendency during the summer. However, the model SSS was
95 found to be underestimated (1-1.5 pss bias) in comparison with the observed climatology.

96 This was attributed to the poor representation of orography in the atmospheric component of
97 the coupled model.

98 Meridional advection was found to be the mechanism which controls the seasonal cycle of
99 the MLS in the southwestern tropical Indian Ocean by Halkides and Lee (2011). They used an
100 Ocean General Circulation Model (OGCM) with a relaxation term toward the observed SSS
101 climatology to compensate for errors in the forcing or in the model physics. In this region
102 characterised by a pronounced thermocline ridge, in the heat budget based on observations,
103 Foltz et al. (2010), found that the seasonal cycle of Sea Surface Temperature (SST) is driven
104 by a combination of the net surface heat flux, horizontal heat advection and vertical turbulent
105 mixing. Note that a similar result was found in the heat budget using an OGCM in the western
106 Arabian Sea by de Boyer-Montégut et al, (2007). In the eastern part of Arabian Sea (with a
107 meridional separation at 65°E), they concluded that the SST seasonal cycle is dominated by
108 surface forcing, while the oceanic processes play a second role. They showed that salinity
109 stratification plays a significant role in maintaining the high winter SST in the eastern part of
110 the Arabian Sea. In the SSS case, as the relaxation term used in Halkides and Lee (2011) also
111 could be sometimes significant in some regions, the conclusions about the mechanisms of
112 SSS variability may not be fully closed.

113 The recent development of the Argo array in the Indian Ocean has improved the coverage
114 and resolution of available temperature and salinity profiles. In the present study, we use a
115 combination of in situ and satellite products to provide more insight into the main
116 mechanisms that modulate the MLS seasonal evolution in the tropical Indian Ocean. In
117 particular, we reveal here the role of the freshwater flux due to precipitation which controls
118 the seasonal evolution of the MLS in the southwestern tropical Indian Ocean and was missed
119 by previous studies.

120 This paper is organized as follows. Description of the methodology and data is given in
 121 section2. Section3 presents the results and finally, discussion and conclusion of the new
 122 results are presented in section4.

123 2. Methodology and Data

124 2.1 Methodology

125 Following Da-Allada et al. (2013), the mixed-layer salinity evolution equation (Eq.1) can be
 126 written as follows:

$$127 \frac{\partial S_m}{\partial t} = \underbrace{\frac{(E - P)S_m}{h_m}}_{FWF} - \underbrace{\mathbf{u}_m \cdot \nabla S_m}_{HADV} - \underbrace{H(w_e) \frac{(S_m - S_{h_m})}{h_m}}_{ENT} + \underbrace{K \nabla^2 S_m}_{DIFH} + \varepsilon \quad (\text{Eq.1})$$

128 where S_m is the salinity average in the mixed-layer, t is the time, E is evaporation, P is
 129 precipitation, h_m is the mixed-layer depth (MLD), \mathbf{u}_m is the horizontal surface velocity vector
 130 averaged over the mixed-layer (having u and v components defined positive eastward and

131 northward, respectively), S_{h_m} is the salinity at the base of the mixed-layer, $w_e = w + \frac{\partial h_m}{\partial t}$ is

132 the entrainment velocity (at depth $z = -h_m$) which corresponds to the difference between the
 133 vertical velocity w (positive when upwards and estimated from the horizontal currents
 134 through the continuity equation) at the mixed-layer base and the mixed-layer deepening rate,

135 $H(w_e)$ is the Heaviside step function ($H(w_e) = w_e$ if $w_e > 0$ and $H(w_e) = 0$ if $w_e < 0$)

136 and K (set to $500 \text{ m}^2 \cdot \text{s}^{-1}$ as in Yu, 2011 and in Dong et al., 2009) is the horizontal diffusivity.

137 Note that the river runoff is not quantified in this study because the studied areas are far from
 138 coastal regions. The lhs term of Eq.1 represents the MLS tendency and the rhs terms represent
 139 the surface freshwater flux (FWF), horizontal advection (HADV), vertical physics in the form
 140 of entrainment (ENT), horizontal diffusion (DIFH) and the sum of all unresolved physical
 141 processes (especially vertical turbulent mixing) and the accumulation of errors from the other

142 terms calculated (ε), respectively. The horizontal advection is also decomposed into Ekman
143 and geostrophic components. The Ekman velocity is calculated as $u_e = \frac{1}{\sigma_o f h_m} (\tau^y - \tau^x)$
144 where τ^x is the zonal wind stress, τ^y is the meridional wind stress, f is the Coriolis
145 parameter and σ_o (set to $1027 \text{ kg} \cdot \text{m}^{-3}$, Li et al., 2013) is the reference density of seawater.
146 The geostrophic velocity is deduced from the difference between total horizontal velocity and
147 Ekman velocity. The ENT term contains subsurface vertical processes occurring at the base of
148 the mixed-layer: vertical advection from below the mixed-layer (related to the effects of
149 thermocline heaving) and entrainment mixing (when the mixed-layer deepens). In addition,
150 the entrainment terms may be sensitive to accuracy of the horizontal currents, salinity gradient
151 at the base of the mixed layer, and the MLD (Kolodziejczyk et al., 2013; Da-Allada et al.,
152 2013). The vertical turbulent mixing (associated with small scale turbulent Reynolds terms)
153 can not be resolved by the data set because it results from vertical spatio-temporal variability
154 (Reynolds terms) occurring at smaller scale than the vertical resolution of the data sampling.
155 We neglected this term as we are not able to evaluate and its contribution thus appears in the
156 residual and contributes to the MLS budget imbalance. The lhs of Eq.1 (MLS tendency) can
157 be obtained either from observations or computed as the sum of the rhs (diagnostic). In this
158 study, the lhs term of Eq.1 is referred to as the MLS tendency and the sum of forcing terms in
159 the rhs of Eq.1 as the diagnosed MLS tendency.

160 In order to compute the contribution of the different terms of the mixed-layer salinity
161 balance to the salinity tendency, the following variables are needed: mixed-layer and
162 subsurface salinity, mixed-layer depth, freshwater flux, wind stress and the surface currents.
163 The vertical velocity at the mixed-layer base is estimated from horizontal currents using the
164 continuity equation.

165 **2.2 Data**

166 The mixed-layer and subsurface salinity are provided by the In Situ Data Analysis System
167 (ISAS, Gaillard et al. 2009) monthly gridded fields of temperature and salinity optimally
168 interpolated mainly from Argo profiles and a few other CTD observations including marine
169 mammals and moorings (Gaillard et al. 2009). The ISAS product is provided with the
170 monthly errors in salinity and temperature associated with each grid point. This error is given
171 as a percentage of a priori variance at each point which depends mainly on the sampling. The
172 annual mean error on MLS (Figure 1a) is less than 70% in the open ocean and could reach
173 100% near shore due to poor data coverage in these areas. This study focuses on two regions
174 where error on MLS is less than 70% to compute the salt budget: the South-central Arabian
175 Sea (SCAS, 1.5°-13°N, 56°-70°E) and the South-western tropical Indian Ocean (STIO, 5°-
176 12°S, 50°-75°E). The number of Argo profiles on each box for each month between 2004 and
177 2012 is shown in the Figure 2. In the two boxes, we have about 40 profiles per month an
178 average with a maximum of 120 profiles in mid-2004 in the SCAS and about 90 profiles in
179 early 2007 in the STIO. The choice of these two regions is not only based on the MLS error
180 but also on the MLS variability (see below). The ISAS fields are computed on a grid with a
181 $0.5^\circ \times 0.5^\circ$ horizontal resolution and 152 depth levels. The vertical spacing is 5 m in the first
182 100 m and then 10 m down to 200 m. We used the ISAS13 monthly climatology which is an
183 average over the period 2004-2012.

184 The MLD is derived from the monthly temperature and salinity fields of this climatology
185 using density criteria which have been used by several authors (e.g. de Boyer Montégut et al,
186 2004; Dong et al, 2009; Yu, 2011). Based on the sensitivity tests discussed in the Appendix,
187 we find that the criterion of a 0.125 kg.m^{-3} density change relative to the near surface value
188 (selected at 10 m because of better data sampling than 0 or 5 m) is more appropriated to close

189 the MLS budget in our studied area, thus it is used for the reference experiment. The MLD
190 obtained (with ISAS13) is compared with the product of de Boyer Montégut et al (2004) in
191 the result part. The subsurface salinity S_{h_m} is chosen as the salinity 15 m below the MLD (as
192 in Ren et al, 2011). This choice is motivated by the sensitivity tests described in the appendix.
193 We find that, the MLS budget is sensitive to the choice of the salinity at the mixed-layer base
194 and to the density criteria used to compute the MLD. The different results obtained with the
195 sensitivity tests are summarized in the Taylor diagrams (Taylor, 2001) which provides
196 statistical parameters (standard deviation, root mean square difference (RMSD) and
197 correlation coefficient, Figure A in Appendix).

198 The net freshwater fluxes are obtained from atmospheric reanalysis and satellite data sets.
199 Three datasets available over the 2004-2012 period are considered. For the reference
200 experiment, evaporation is from the Objectively Analysed air-sea Fluxes (OAFlux-E) data set
201 (Yu et al. 2008) which is a monthly value at 1° resolution; and monthly precipitation fields
202 are from the Global Precipitation Climatology Project (GPCP-P) given with a resolution of
203 2.5° grid (Alder et al. 2003). The OAFlux-E and GPCP-P were linearly interpolated on the
204 MLS grid for a consistent analysis across all fields. The two others products tested in this
205 study are described in the appendix.

206 The advection is estimated with two different products. We used the OSCAR (Ocean
207 Surface Current Analysis Realtime) surface current of Bonjean and Lagerloef (2002) obtained
208 from satellite sea level, wind stress, and SST, using a diagnostic model. The OSCAR product
209 available on a $1/3^\circ \times 1/3^\circ \times 5$ day is selected in this study using the sensitivity tests shown in
210 Appendix (Figure A). The second other current product tested is described in the Appendix.
211 All the tests performed in this study allow us to estimate the error bars on diagnosed MLS

212 tendency term. The wind stress obtained from ERA interim reanalysis is used to estimate the
213 Ekman velocity.

214 All the data used in this study are taken from the same 2004-2012 period and linearly
215 interpolated on the MLS grid for consistent analysis. Based on sensitivity tests, we used the
216 OSCAR current, OAFUX-GPCP freshwater flux, salinity at 15 m below the mixed-layer,
217 the MLD based on density criteria 0.125 kg.m^{-3} for the reference experiment with ISAS MLS
218 to estimate the seasonal salt budget.

219 **3. Results**

220 The large spatial variations of the hydrological forcing in the various areas of the
221 tropical Indian Ocean induce very distinct patterns in the annual mean of MLS as shown in
222 Figure 1b-c. Between 10°N and 10°S , regions of low MLS (around 34.5 pss) are observed
223 under the Inter-Tropical Convergence Zone (ITCZ) region. In the northern Arabian Sea (north
224 of 10°N) high value of MLS are observed (larger than 36 pss) as a result of strong
225 evaporation. In contrast, in the northwestern coastal regions of the Bay of Bengal, the MLS is
226 minimum due to strong river discharges into the ocean (Ganges, Brahmaputra, Mahanadi and
227 Godavari Rivers). South of 10°S , low values of MLS are also observed although evaporation
228 dominates in this region which indicates that ocean dynamics might be of importance. In
229 particular, the westward-flowing South Equatorial Current (SEC) located south of 10°S
230 (Figure 3a-b) may explain these low salinities. Note also that the eastward flowing SMC
231 represented by July around $2^\circ\text{-}6^\circ\text{N}$ and westward-flowing WMC represented by January
232 located around $3^\circ\text{-}6^\circ\text{N}$ are visible in Figure 3a-b.

233 MLS shows larger variability north of the equator than in the southern part (Figure
234 1d) due to strong seasonality in the local hydrological forcing and strong advection of surface

235 saline and freshwaters (Donguy and Meyers, 1996, Rao and Sivakumar, 2003). The largest
236 MLS are observed near the eastern coast of India and Bangladesh.

237 As explained in the sensitivity test presented in the appendix, the MLD is an important
238 variable for the salinity balance. In boreal winter (represented by January), the MLD used for
239 the computation is generally shallower than 60 m, except north of 10°N where MLD is larger
240 (Figure 3c). In boreal summer (represented by July), the largest MLD (>70 m) are mainly
241 located south of 10°S and around 10°N (Figure 3d). It should be noted that this MLD is on
242 average greater (13 m in winter and 30 m in summer) than the widely used MLD product of
243 de Boyer-Montégut et al. (2004) which is based on a 0.03 kg.m⁻³ density criteria (not shown).
244 The patterns of the differences between these two MLD have large space variability and can
245 reach 20 m in winter and 40 m in summer. The differences found here are comparable to
246 those observed in the tropical Pacific Ocean by Hasson et al (2013) when they compared their
247 MLD based on a 0.01 kg. m⁻³ density criterion with that of de Boyer-Montégut et al. (2004).
248 Since a better closure of the salt budget is obtained in regions of the Indian ocean that we are
249 studying with the reference MLD (Figure A), this criterion was preferred to the one defined
250 by de Boyer Montégut et al. (2004).

251 The two specific open ocean regions selected in this study (SCAS and STIO) are
252 characterised by large seasonal MLS variability. Note that in the heat budget, the Arabian Sea
253 was separated into two parts with a meridional separation at 65°E to illustrate the different
254 mechanisms which control the SST balance in the eastern and western parts of Arabian Sea
255 (de Boyer-Montégut et al, 2007). In this study no significant change has been noted in MLS
256 budget by changing the SCAS box eastward limit from 65°E to 70°E. The STIO box was
257 designed to follow Halkides and Lee (2011) to perform strict comparisons with our results.
258 Extending eastward the STIO box toward the eastern tip of the SSS maximum does not

259 significantly change the results (not shown). MLS exhibits a well marked annual cycle in the
260 two focused regions (Figure 4). The STIO MLS is maximum (minimum) in September
261 (March), one month before the maximum (minimum) in the SCAS. STIO MLS shows slightly
262 lower amplitude (0.7 pss) than the SCAS MLS region (0.8 pss).

263 In the SCAS region, the diagnosed MLS tendency from the sum of the rhs terms of the
264 salinity balance equation (Eq. 1) matches the observed one within error bars ($r=0.97$ at the
265 99% significance level)(Figure 5a). These two estimates reach their maximum in June and
266 their minimum in January. The dominant terms of the salinity balance are the horizontal
267 advection terms, mainly driven by the meridional component (Figure 5b-c). This term shows
268 a strong seasonal cycle compared to the other terms in the salinity balance. This term is
269 negative from November to April with the maximum freshening effect in January caused by
270 the maximum northward velocity in presence of positive meridional MLS gradient (Figure
271 6b). This is explained as follows. During the winter monsoon, the northeasterly trade winds
272 are reinforced, driving strong northward Ekman transport over the SCAS (Beal et al, 2013).
273 So, the relatively freshwater in this region is transported northward into the SCAS and results
274 in a strong decrease observed in meridional Ekman advection (Figure 5c). During the rest of
275 the year (May to October), meridional advection remains positive due to southward advection
276 of haline surface water from the Arabian Sea. During the summer monsoon, the
277 southwestward trade winds strengthen and lead to a strong southward Ekman transport (Beal
278 et al, 2013) which carries the Arabian saline water from the north into the SCAS (Figure 5c).
279 The June peak of meridional advection is responsible for the annual peak in observed and
280 diagnosed MLS tendencies. Zonal advection shows a semi-annual cycle but has weaker
281 amplitude than the meridional advection annual cycle. It is negative during the winter
282 monsoon (when it reinforces the meridional component) because of the westward WMC

283 which carries fresher Bay of Bengal water into the Arabian Sea (Figure 6a) and during the
284 summer monsoon due to the eastward SMC in presence of positive zonal MLS gradient (then
285 it moderates the meridional component). The maximum freshening effect in this term appears
286 in February and it remains positive during the rest of the year. The seasonality of horizontal
287 advection is thus mainly associated to the monsoon winds in this region (Yu, 2011; Beal et al,
288 2013). The seasonal cycle of atmospheric freshwater flux is small except from January to
289 April. During this period, it is positive due to an excess of evaporation (Figure 6c) and
290 reduces to 0.1 pss/month the freshening effect of the horizontal advection. The entrainment
291 term is also small and positive throughout the year and brings salty water from the interior
292 into the mixed-layer. It shows the strongest contribution (0.1 pss/month) in May due to the
293 maximum entrainment velocity (Figure 6d) and to the increase in vertical salinity gradient as
294 a result of precipitation observed in May in this region (Figure 6c). The thermocline shoaling
295 appears to be too weak to enhance the vertical gradient and thus upward advection of salt
296 (Figure 7a), while the deepening of the mixed-layer could contribute slightly to the
297 entrainment term during the April-July period (Figure 7a). The small difference between
298 diagnosed and observed MLS could results from residual vertical mixing which are not
299 properly resolved. Horizontal diffusion is negligible in this region.

300 In the STIO, the seasonal cycle of the diagnosed MLS tendency correctly reproduces
301 the observed ($r=0.97$ at the 99% significance level). However, the two estimates do not
302 exactly match within error bars (Figure 8a), except for the July-October period. In this region
303 the closure of the MLS budget appears to be very sensitive to ocean surface currents (Figure
304 A). Using drifter currents instead of OSCAR, the observed and diagnosed MLS tendencies
305 matches within error bars (figure not shown). The main difference observed in the salt budget
306 when using drifter currents manifests by a larger contribution of the entrainment term that

307 reaches 0.07 pss/month instead of 0.04 pss/month with OSCAR. The diagnosed MLS
308 tendency reaches its maximum in July, one month after the observed estimate. These two
309 estimates are negative from September to March and positive during the rest of the year
310 (April to August). In contrast with the SCAS region, during October to April, the freshwater
311 flux and entrainment terms play an important role in MLS balance as zonal and meridional
312 advection tend to compensate each other except in winter (Figure 8b-c). During this period,
313 the freshwater flux is dominated by precipitation (Figure 9c) and contributes significantly to
314 decrease the MLS and to increase the salinity vertical gradient at the mixed-layer base. The
315 entrainment term, which contribute positively to MLS tendency, may results from the
316 difference between the MLS and subsurface salinity because the entrainment velocity is weak
317 (Figure 9d). On the other hand, the thermocline vertical motion is rather weak to enhance the
318 vertical gradient during this period (Figure 7b). Thus, the salinity entrainment from
319 subsurface may respond to the heavy rainfall during the boreal winter, then slightly persist
320 until May-June. Interestingly, the budget remains unclosed only during the period of
321 significant entrainment term contribution (October-June) and of shallow MLD (Fig. 7). We
322 know that the vertical mixing is not captured by the entrainment term. So that this residual
323 includes at least the vertical mixing. During May to September, the MLS tendency is mainly
324 driven by the meridional advection. Like in the SCAS, the southward advection of haline
325 water from the north increases the MLS in the STIO (Figure 9b). This term is positive in this
326 period with maximum increasing effect in June due to the maximum southward current in
327 presence of positive meridional MLS gradient. Indeed, salinity increases northward and the
328 meridional current is strong and southward in summer due to the monsoon forcing of Ekman
329 transport (Figure 8c). The zonal advection is slightly negative in this period due to westward
330 current and negative zonal MLS gradient (Figure 9a) and this term contributes to reduce the

331 meridional advection. Freshwater flux is dominated by evaporation (Figure 9c) during May to
332 September and increases the MLS tendency. Note that although there is clearly the deepening
333 of the mixed-layer during May-September, its contribution to the entrainment term is weak
334 except in May-June. As for the SCAS, our estimation of the horizontal diffusion is negligible.

335 **4. Discussion and Conclusion**

336 In this paper, we investigated the mechanisms that contribute to the seasonal cycle of
337 the mixed-layer salinity budget in the tropical Indian Ocean using a combination of satellite
338 products and in situ observations for the period 2004-2012. We focused this study on two
339 particular regions characterized by important MLS variability : the South-central Arabian Sea
340 (SCAS; 1.5° - 13° N, 56° - 70° E) and Southwestern tropical Indian Ocean (STIO; 5° - 12° S, 50° -
341 75° E). The seasonal cycle of the directly observed MLS tendency is well reproduced by the
342 diagnosed MLS tendency in the two regions. It is especially the case in the SCAS where the
343 processes which are dominating the MLS budget are better captured with the coverage,
344 resolution and quality of the available observations, as previously shown in the tropical
345 Atlantic (Da-Allada et al, 2013).

346 In the SCAS region, in agreement with previous studies based on observations and
347 models (e.g. Rao and Sivakumar, 2003, Vinayachandran and Nanjundiah, 2009), our results
348 show that horizontal advection driven by the seasonally reversing monsoon winds plays a
349 crucial role in the MLS seasonal cycle. We find that the contribution of this term is mainly
350 explained by the meridional advection associated with the Ekman transport which is
351 northward during the northeast monsoon and southward during the southwest monsoon.
352 Atmospheric freshwater flux dominated by evaporation (except in May and in October) acts
353 to increase the MLS. The contribution of this term in the salt budget is small in the second
354 half of the year. The vertical entrainment term due to the increase in vertical salinity gradient

355 as a result of freshwater flux and the deepening of the mixed-layer contributes to slightly
356 increase MLS mainly in boreal winter and spring. During the rest of the year (from July to
357 December), the contribution of the entrainment term is very weak. Horizontal diffusion is
358 negligible in this region.

359 In this study, we find that the entrainment term which was neglected by Rao and
360 Sivakumar (2003), although small, significantly contributes to close the salt budget in the
361 SCAS. This term shows the greatest contribution (0.1 pss/month) in the salinity balance in
362 May. Contrary to Vinayachandran and Nanjundiah, 2009 who found a significant negative
363 contribution of freshwater flux in the salinity balance in November in the SCAS region (see
364 their Figure6), we find here, on the basis of observations, that this term is nearly equal to zero
365 at that time of the year. This likely explains why these authors found a lower SSS in the
366 model than in observations (see their Figure 1).

367 Several studies have shown the impact of the barrier layer on winter-spring variability
368 of the southeastern Arabian Sea (6° - 15° N, 68° - 77° E; e.g, Masson et al., 2005; Durand et al,
369 2004). In the SCAS box, we observed that, the vertical salinity gradient across the mixed-
370 layer base is relatively weak and leads only to a small contribution in the entrainment term.
371 Indeed, the chosen SCAS does not include the barrier layer located more eastward in the
372 eastern tropical Indian Ocean.

373 In the STIO region, from October to April, the freshwater flux is mainly due to
374 precipitation and dominates the MLS budget, although the vertical entrainment term linked to
375 the increase in salinity stratification as a result of heavy rainfall and the deepening of the
376 mixed-layer are not negligible. In contrast with the SCAS region, precipitation plays a major
377 role in the salt balance of the region. During the rest of the year (May to September), like in

378 the SCAS, horizontal advection dominated by meridional advection drives a seasonal
379 evolution of the MLS as freshwater flux and entrainment show a weak contribution.

380 In the STIO, our results, based on observations, differ from the Halkides and Lee
381 (2011) model study. While they concluded that the seasonal cycle of MLS is dominated only
382 by meridional advection we find in the present study that the freshwater flux is an important
383 contribution in this region, in particular precipitation plays a major role in MLS budget during
384 the boreal winter. It should be noted that the freshwater flux used in our study differs from the
385 one they used. Their model was forced by the freshwater flux derived from the NCEP/NCAR
386 reanalysis product, so, the precipitation minus evaporation could be the source of the
387 difference. Based on sensitivity tests, we find that using the same reference experiment
388 current (OSCAR), salinity at the mixed-layer based (S_{h15}) and density criteria (h_{d0125})
389 with NCEP/NCAR product, the RMSD is twice larger than that obtained with OAFLEX-
390 GPCP in the salt budget. Halkides and Lee (2011) also considered a different time period, the
391 SSS seasonal cycle is built on the 1993-2008 period which includes interannual events like
392 the 1997-1998 EL Nino Southern Oscillation (ENSO; e.g. Vialard et al, 2002) and the 1997
393 Indian Ocean Dipole (IOD; e.g. Masson et al, 2004). The present study is based on the 2004-
394 2012 period and includes also interannual events like 2009-2010 ENSO (e.g., Kim et al, 2011)
395 and the 2010-2011 IOD (Durand et al, 2013). Note that it is a period with more La Nina than
396 El Niño. Although we only investigated the seasonal cycle, the choice of the period could also
397 be a source of bias of the seasonal cycle, estimating the seasonal budget over a longer time
398 period would reduce this potential bias. Indeed, during strong interannual events (ENSO,
399 IOD), the contribution of each term of the salinity balance could be changed and therefore
400 bias the mean seasonal cycle. As in the SCAS region, the entrainment term neglected by Rao
401 and Sivakumar (2003) appears necessary to close the salt budget and in November, the

402 negative contribution of freshwater found by Vinayachandran and Nanjundiah (2009) is twice
403 the size of our estimate.

404 As in the tropical Pacific (Hasson et al, 2013) and Atlantic (Da-Allada et al, 2013; Da-
405 Allada et al, 2014b) Oceans, we find that all terms of the MLS equation have to be taken into
406 account to close the salinity budget. As in the subtropical south-eastern and north-eastern
407 Pacific (Ren and Riser, 2009; Kolodziejczyk and Gaillard, 2013) and in the equatorial
408 Atlantic (Da-Allada et al, 2014b; Berger et al, 2014) which showed that vertical mixing plays
409 a major role in the salinity balance, this term (neglected in this study) also appear to be
410 important in this study and particularly in the STIO region.

411 The STIO region is characterized by a unique open-ocean upwelling during boreal
412 summer (Xie et al. 2002) and the SST in the STIO exerts an important influence on global
413 climate through its impact on the Indian monsoon and Northern Hemisphere atmospheric
414 circulation (Foltz et al. 2010, Schott et al. 2009). So, understanding the seasonal variability of
415 upper ocean properties in this region is crucial for climate studies. New SSS measurements
416 collected with SMOS (Soil Moisture and Ocean Salinity) are able to detect the signature of
417 IOD (Durand et al. 2013) in this region. The use of the new SMOS SSS dataset or SSS from
418 Aquarius satellite combined with in-situ observations should improve significantly the
419 resolution of the SSS seasonal cycle, especially by resolving the mesoscale variability
420 (Hernandez et al., 2014; Kolodziejczyk et al., 2015) which was not possible with the current
421 resolution of Argo array, and complement the SST seasonal cycle described by several studies
422 (e.g. Foltz et al. 2010). A better understanding of the role of the different terms of the MLS
423 budget as exposed in the present study will permit to evaluate and improve local and global
424 ocean models and lead to increase their predictive skills.

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427 **Appendix: Error Estimates**

428 In this study, we have performed sensitivity tests before choosing the MLD criterion,
429 the E-P data set, the choice of the depth of the salinity vertical gradient at the base of the
430 mixed-layer and the surface currents products. We tested two density criteria (0.03 kg.m^{-3} and
431 0.125 kg. m^{-3}) of the MLD which are used in several studies (e.g. de Boyer Montégut et al.
432 2004; Dong et al. 2009; Yu, 2011) and we also used the MLD product of de Boyer Montégut
433 et al (2004) based on density criteria (0.03 kg.m^{-3}). The reference depth for the vertical
434 density gradient is set to 10 m because of better data sampling than 0 or 5 m. For the E-P, we
435 tested the product described in the data section with two reanalysis products: the monthly
436 evaporation and precipitation dataset from the ERA-Interim reanalysis (Dee et al. 2011) of the
437 European Centre for Medium-Range Weather Forecasts (ECMWF) available at 0.5°
438 resolution; and the monthly evaporation and precipitation dataset from the National Center for
439 Environmental Prediction (NCEP) reanalysis1 which are available at 2° resolution (Kalnay et
440 al, 1996). The surface currents presented in data section are tested with the near-surface
441 velocity average at 15 m depth deduced from satellite-tracked drifting buoy observations.
442 This product is available on a monthly mean climatology on a $0.5^\circ \times 0.5^\circ$ grid (Lumpkin and
443 Johnson, 2013). The subsurface salinity at the base of the mixed-layer is tested with three
444 different values: salinity just at the mixed-layer base (S_h0), salinity at 5 m (S_h5) and at 15
445 m (S_h15) below the mixed-layer base. Using different combinations of MLD, E-P, salinity at
446 the mixed-layer base and surface current products, we diagnosed 25 MLS tendencies time
447 series for each box. For each of these combinations, observed and diagnosed MLS tendencies
448 are compared and we quantify the similarity between the two estimates by computing the
449 correlation coefficient and root mean square difference (RMSD) which are presented in the

450 Taylor diagrams (Figure A). In the SCAS region, the Taylor diagram shows the sensitivity of
451 the region to currents products and we found that the correlation coefficient between observed
452 and diagnosed MLS tendencies is better with OSCAR than drifter currents. We find a small
453 sensitivity when this current (OSCAR) is used with the three different E-P and also the three
454 salinity values at the mixed-layer base. The RMSD between the MLS tendencies (using
455 OSCAR currents) appears more important using different density criteria to compute the
456 MLD than E-P. We noted that, OAFLUX-GPCP and the salinity at 15 m below the mixed-
457 layer base using OSCAR current and density criteria of 0.125 kg.m^{-3} for the MLD give the
458 best correlation (0.97), the smallest RMSD (0.25) and the best (closest to 1) standard
459 deviation ratio (0.95).

460

461 In the STIO, the values in the Taylor diagram are more dispersed than in the SCAS.
462 OSCAR and drifter currents give roughly similar results although drifter current slightly
463 improves the correlation between the diagnosed and observed tendencies. This region is more
464 sensitive to E-P, density criteria for MLD and the choice of the depth of salinity gradient at
465 the mixed layer. We selected the OSCAR current with OAFLUX-GPCP, salinity at 15 m
466 below the mixed-layer and the density criteria 0.125 kg.m^{-3} for the reference experiment in the
467 two boxes. To complete sensitivity tests, we replace in the reference experiment, the MLD by
468 the product of de Boyer Montegut et al (2004) which is based on density criteria 0.03 kg.m^{-3}
469 (Figure A). In the SCAS, we have obtained $\text{RMSD}=0.32$ instead of 0.24 with the reference
470 MLD, while in the STIO, $\text{RMSD}= 0.38$ instead of 0.25 with the reference. These results
471 suggest that the reference MLD used in this study is more appropriate to approach the salinity
472 balance than the product of de Boyer Montegut et al (2004).

473 All the sensitivity tests are used to estimate the error bar on the diagnosed MLS
474 tendency. Following Da-Allada et al. (2013), standard error is estimated from all the
475 diagnosed tendencies described above, for each month of the seasonal cycle.

476 For the observed MLS tendency, we first estimate monthly error (ε_s) in MLS as the
477 standard error of all available observations for each month over the 2004 – 2012 period. Then,
478 errors in MLS (ε_{obs}) are obtained following Foltz and McPhaden (2008) formula:

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$$\varepsilon_{obs} = \left(\sqrt{\varepsilon_{S_{t+1}}^2 + \varepsilon_{S_{t-1}}^2} \right) / \Delta t$$
, with $\Delta t = 2$ months.

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497 **Acknowledgments**

498 C.Y. Da-Allada was supported by an Ifremer post-doctoral grant. The ERA Interim
499 reanalyses products are provided by European Center for Medium-Range Weather Forecasts
500 (http://data-portal.ecmwf.int/data/d/interim_mnth/); the NCEP/NCAR reanalysis products are
501 available at
502 <http://www.esrl.noaa.gov/psd/data/gridded/data.ncep.reanalysis.derived.surfaceflux.html>, the
503 evaporation OAFlux product is provided by the WHOI OAFlux project
504 (<http://oaflex.whoi.edu>); the Global Precipitation Climatology Project is available at
505 <http://www.esrl.noaa.gov/psd/data/gridded/data.gpcp.html>; the seasonal climatology of
506 mixed-layer depth is available at
507 http://www.ifremer.fr/cerweb/deboyer/mld/Surface_Mixed_Depth.php and the current data is
508 available at <http://www.oscar.noaa.gov> for OSCAR currents and for the Global Drifter
509 Program at <http://www.aoml.noaa.gov/envids/gld/index.php>.

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661 **Figure Captions:**

662 **Figure 1.** Annual mean for a) error on mixed-layer salinity (MLS) in percentage of explained
663 variance, b) mixed-layer salinity, c) evaporation (OAFlux-E) minus precipitation (GPCP-P)
664 and d) seasonal standard deviation of MLS.

665 Boxes shown in Figure 1a and d indicate the areas of this study.

666 Annual mean for each product are calculated from monthly averaged values spanning the
667 2004-2012 period. Units are pss for b) and d) ; and mm.day^{-1} for c).

668 **Figure 2.** Monthly distribution of the available Argo profiles in the SCAS and the
669 STIO regions between 2004 and 2012.

670 **Figure3.** Oscar surface currents (1a-b) and ISAS (color) and de boyer Montegut et al., 2004
671 (contours) product of the mixed-Layer depth (1c-d) for January and July. Unit is m.s^{-1}
672 for (a and b) and m for (c, d). Contour intervals are 20 m.

673 **Figure4.** Seasonal cycle of MLS (in pss) averaged in the SCAS and STIO boxes.

674
675 **Figure 5.** For the SCAS region: a) Observed and diagnosed MLS tendencies with the shaded
676 areas indicating error estimates (see Appendix) for these terms. b) Individual contributions to
677 the salt budget equation for horizontal (zonal + meridional) advection (HADV in blue),
678 entrainment (ENT in dashed blue), freshwater flux (FWF in pink), and horizontal diffusion
679 (DIFH in light blue). c) Decomposition of the horizontal advection into Ekman (dashed green)
680 and geostrophic components (dashed blue).

681 **Figure 6.** For the SCAS region: Latitude-time section for the SCAS region. a) Zonal MLS
682 gradient (pss.m^{-1}) and zonal current in contours (m.s^{-1}). Contour intervals are 0.15 m.s^{-1} . b)
683 Meridional MLS gradient (pss.m^{-1}) and meridional current in contours (m.s^{-1}). Contour
684 intervals are 0.05 m.s^{-1} . c) Difference between evaporation and precipitation (mm.day^{-1}). d)

685 Salinity gradient near the mixed-layer base (pss) and the positive entrainment velocity in
686 contours (10^{-6} m.s^{-1}). Contour intervals are 2.5 m.s^{-1} .

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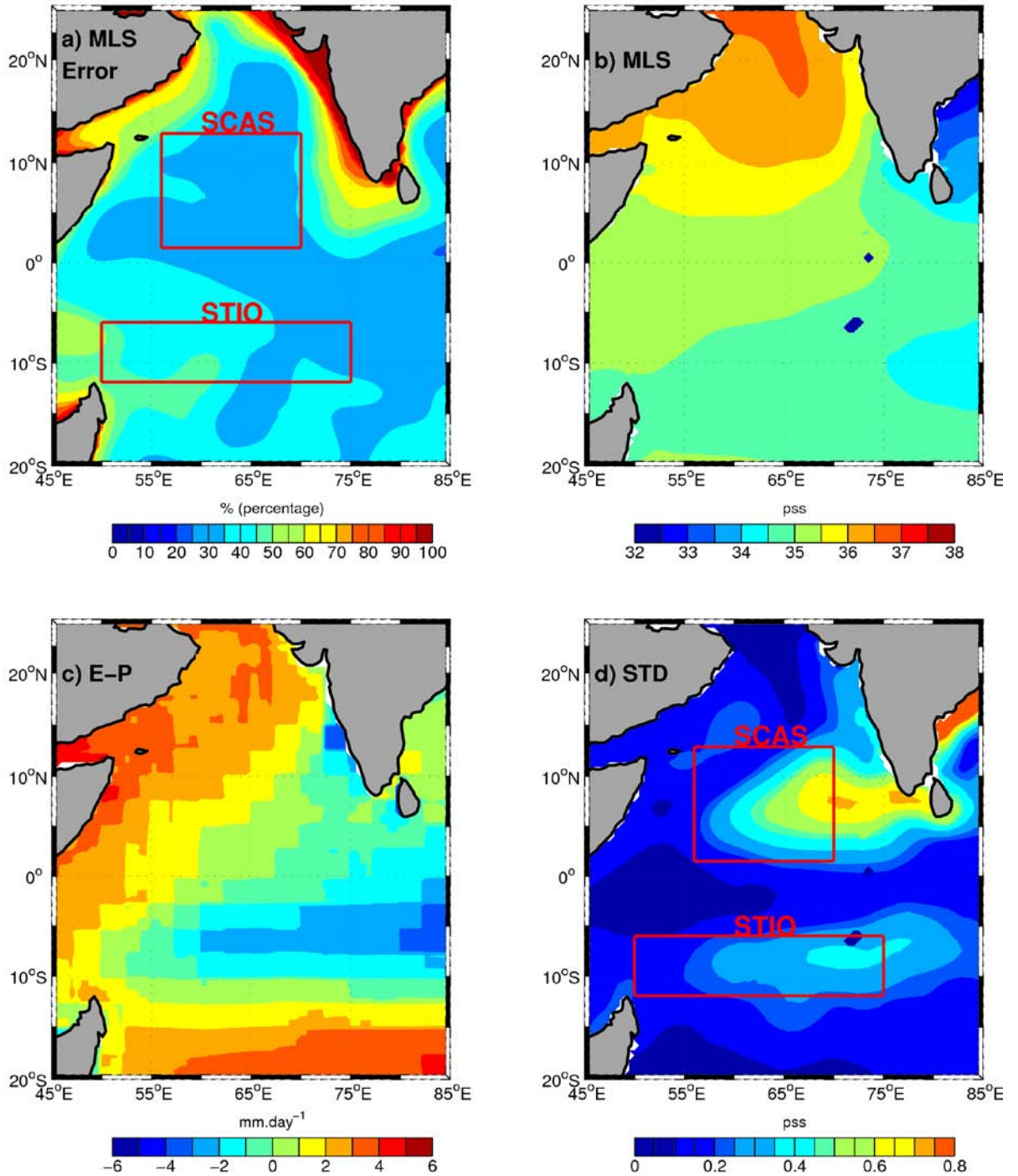
688 **Figure 7.** Vertical profile of the seasonal salinity averaged over the two focal areas a) SCAS
689 and b) STIO. Solid black lines show the mixed-layer depth (MLD) and the 20°C isotherm (a
690 proxy for central thermocline depth).

691 **Figure 8.** Same as Figure 5 except for the STIO region.

692 **Figure 9.** Same as Figure 6 except for the STIO region. Note that here contour intervals are
693 0.08 m.s^{-1} for a). Meridional current unit is 10^{-1} m.s^{-1} and contour intervals are 0.25 m.s^{-1} for
694 b). Contour intervals are 1 m.s^{-1} for d).

695 **Figure A.** Taylor diagram in the SCAS and the STIO regions. Observed and diagnosed MLS
696 tendencies are represented by points on a diagram where the correlation coefficient (R)
697 between the observed and diagnosed time series is given by the azimuthal position, standard
698 deviation of the observed or diagnosed time series is given by the radial distance from the
699 origin, and the centered root mean square difference (RMSD) is given by the distance
700 between the observed point and diagnosed point. REF is the reference experiment.

1



2 **Figure 1.** Annual mean for a) error on mixed-layer salinity (MLS) in percentage of explained
3 variance, b) mixed-layer salinity, c) evaporation (OAFflux-E) minus precipitation
4 (GPCP-P) and d) seasonal standard deviation of MLS.
5 Boxes shown in Figure 1a and d indicate the areas of this study.

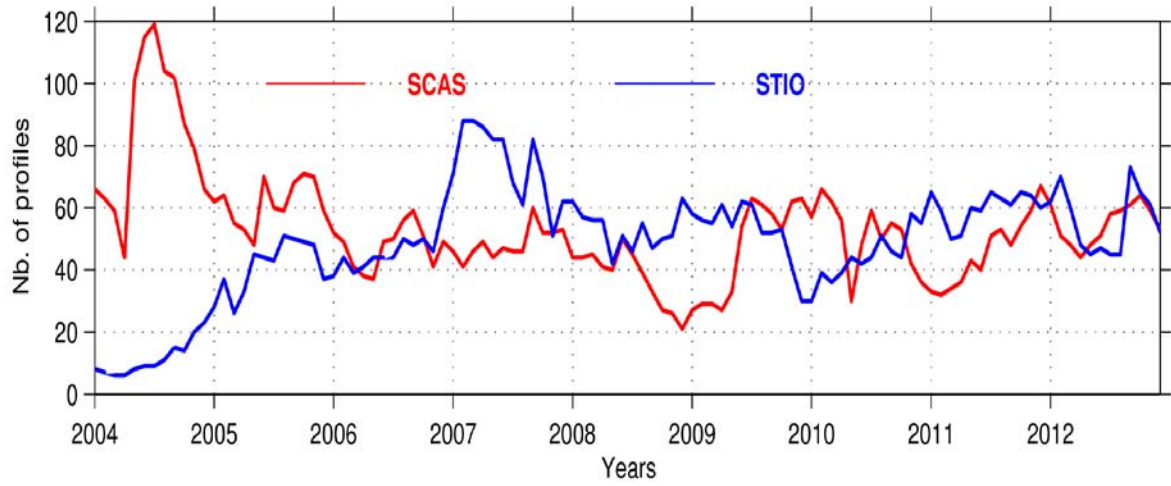
6 Annual mean for each product are calculated from monthly averaged values spanning the
7 2004-2012 period. Units are pss for b) and d) ; and mm.day⁻¹ for c).

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40 **Figure 2.** Monthly distribution of the available Argo profiles in the SCAS and the
41 STIOregions between 2004 and 2012.

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49 a) JANUARY

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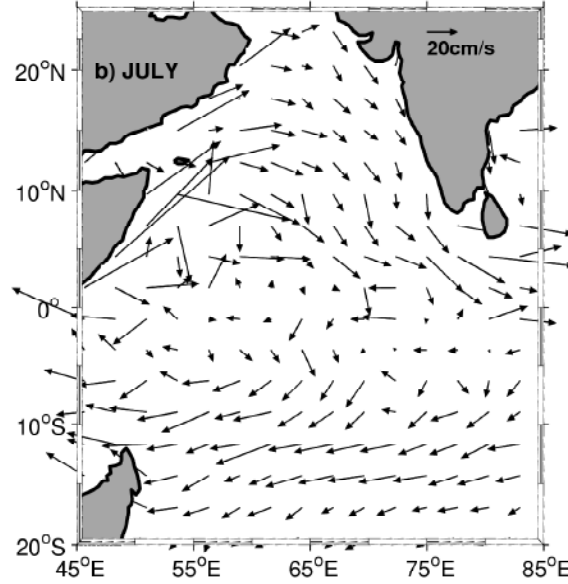
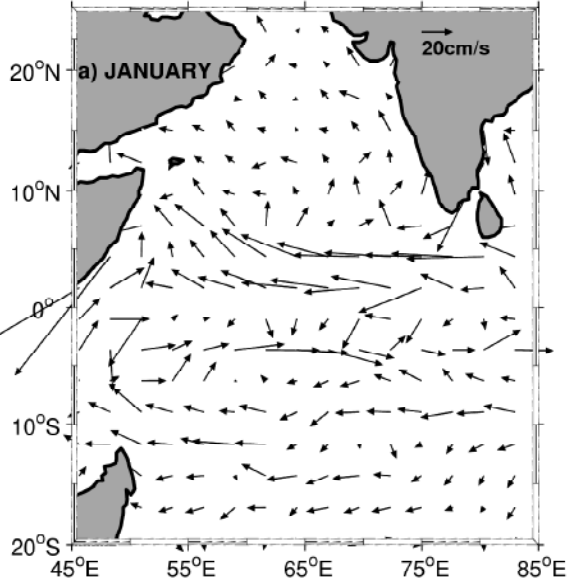
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58 c) MLD JANUARY

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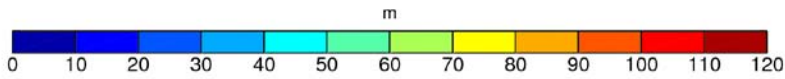
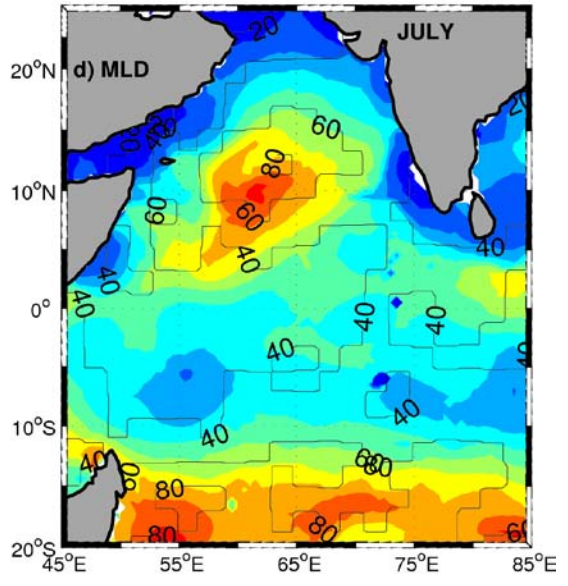
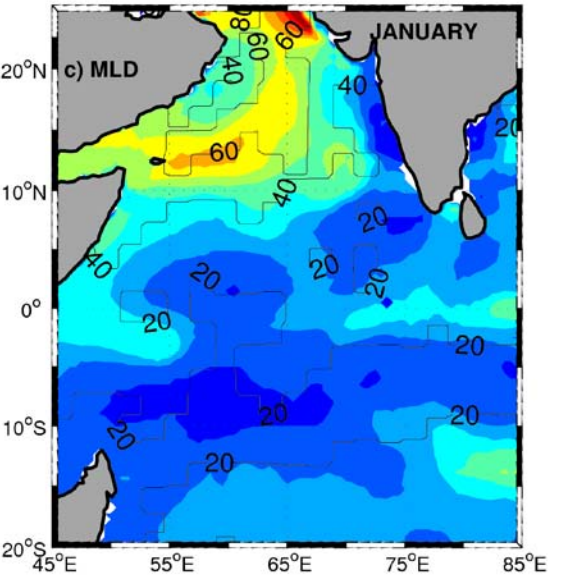
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67 **Figure3.** Oscar surface currents (a-b) and ISAS (color) and de boyer Montegut et al., 2004

68 (contours) product of the mixed-layer depth (c-d) for January and July. Unit is $m.s^{-1}$ for

69 (a and b) and m for (c and d). Contour intervals are 20 m.

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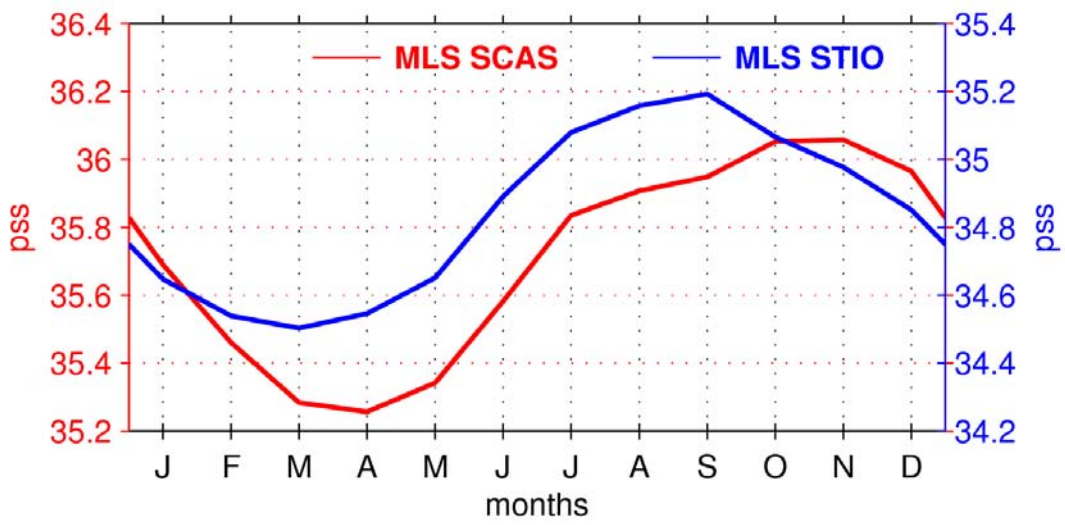


Figure4. Seasonal cycle of MLS averaged in the SCAS and STIO boxes. Unit is pss.

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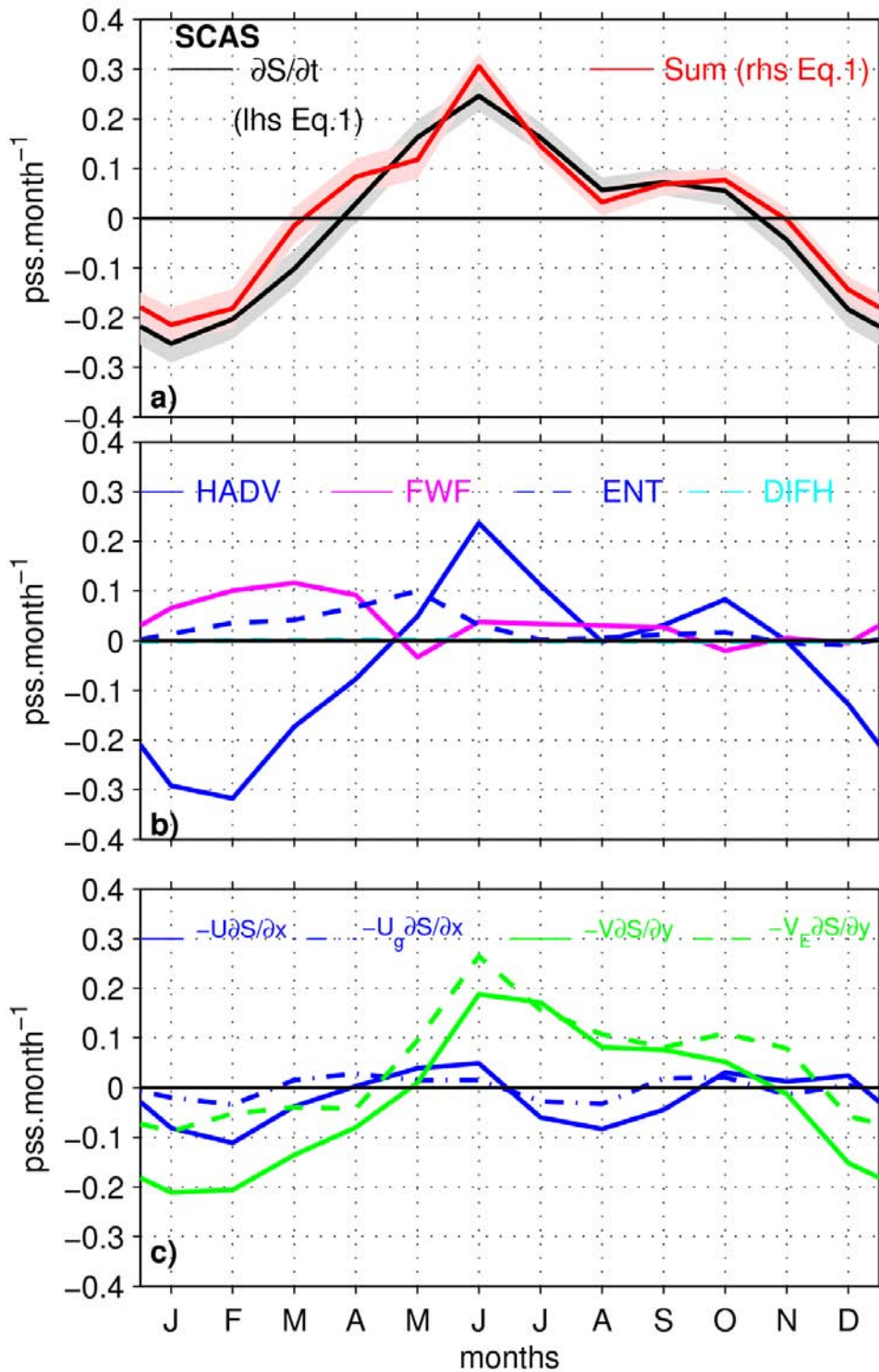
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117 **Figure 5.** For the SCAS region: a) Observed and diagnosed MLS tendencies with the shaded

118 areas indicating error estimates (see Appendix) for these terms. b) Individual contributions to

119 the salt budget equation for horizontal (zonal + meridional) advection (HADV in blue),
120 entrainment (ENT in dashed blue), freshwater flux (FWF in pink), and horizontal diffusion
121 (DIFH in light blue). c) Decomposition of the horizontal advection into Ekman (dashed green)
122 and geostrophic components (dashed blue).

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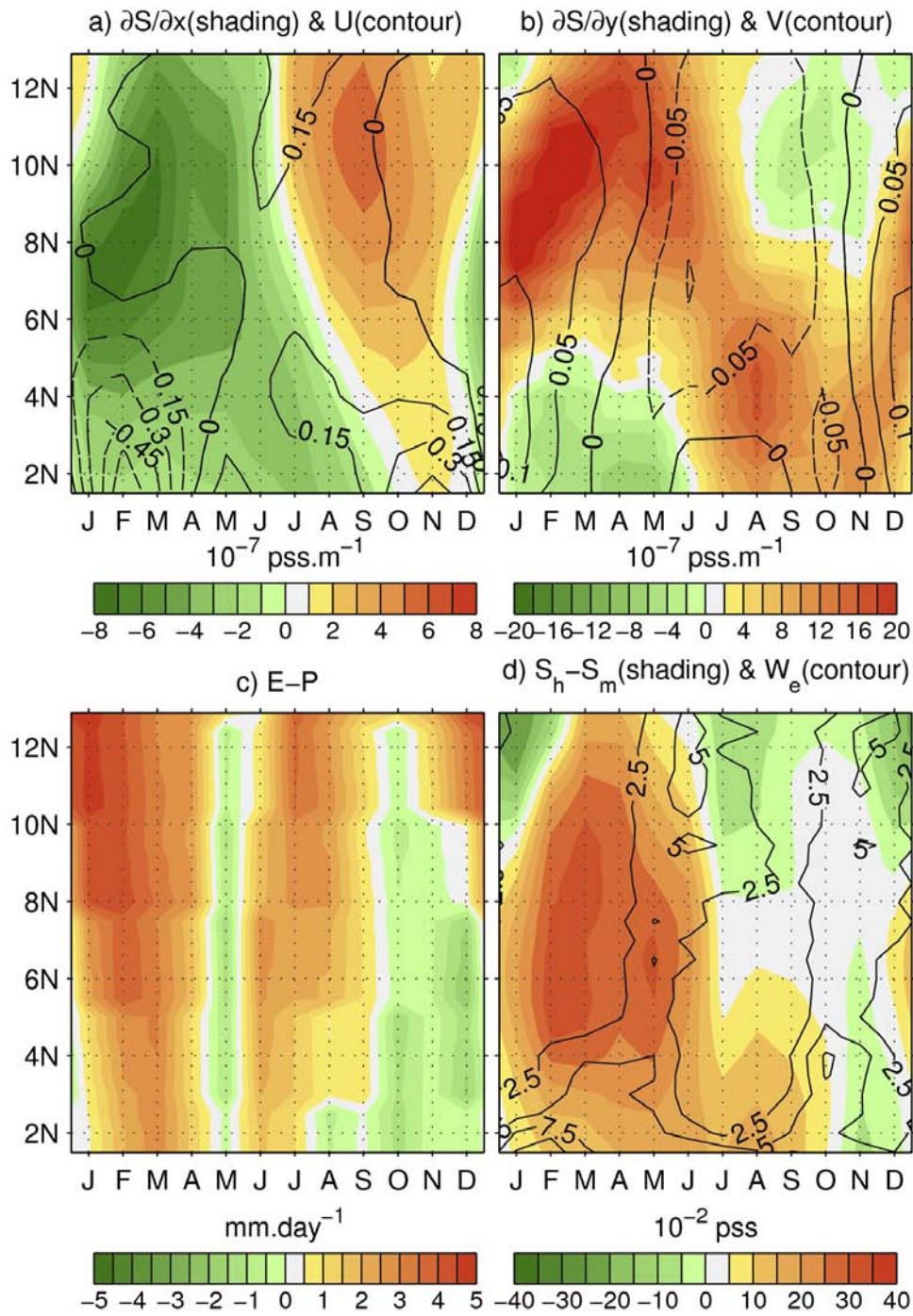
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164 **Figure 6.** For the SCAS region: Latitude-time section for the SCAS region. a) Zonal MLS

165 gradient (pss.m $^{-1}$) and zonal current in contours (m.s $^{-1}$). Contour intervals are 0.15 m.s $^{-1}$. b)

166 Meridional MLS gradient (pss.m $^{-1}$) and meridional current in contours (m.s $^{-1}$). Contour

167 intervals are 0.05 m.s^{-1} . c Difference between evaporation and precipitation (mm.day^{-1}). d)

168 Salinity gradient near the mixed-layer base (pss) and the positive entrainment velocity in

169 contours (10^{-6} m.s^{-1}). Contour intervals are 2.5 m.s^{-1} .

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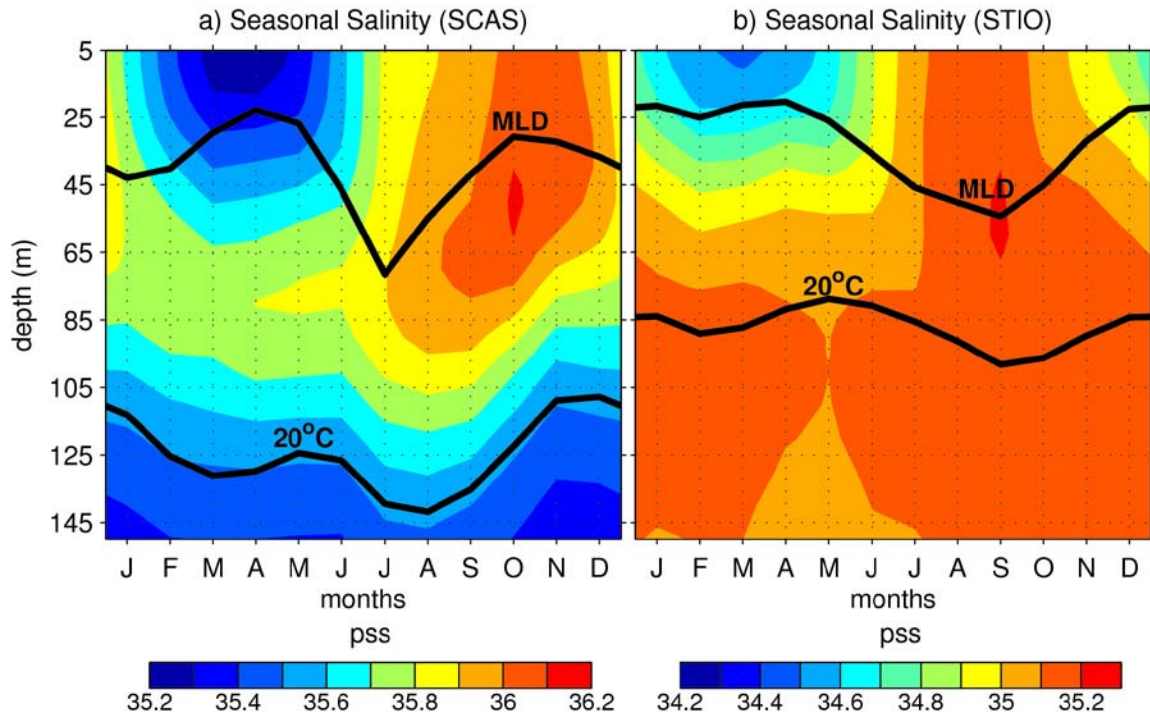
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194 **Figure 7.** Vertical profile of the seasonal salinity averaged over the two focal areas a) SCAS
195 and b) STIO. Solid black lines show the mixed-layer depth (MLD) and the 20°C isotherm (a
196 proxy for central thermocline depth).

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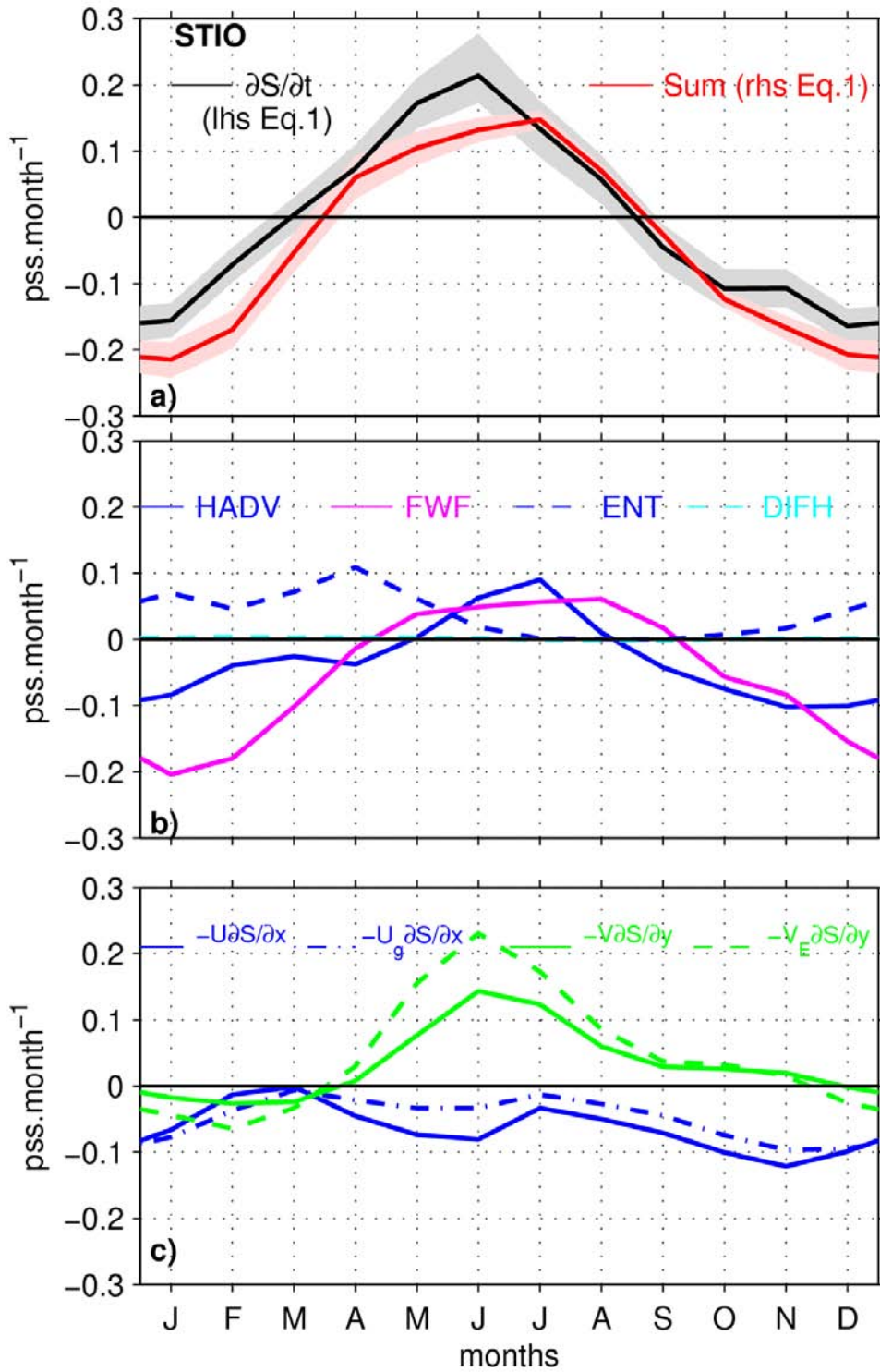


Figure 8. Same as Figure 5 except for the STIO region.

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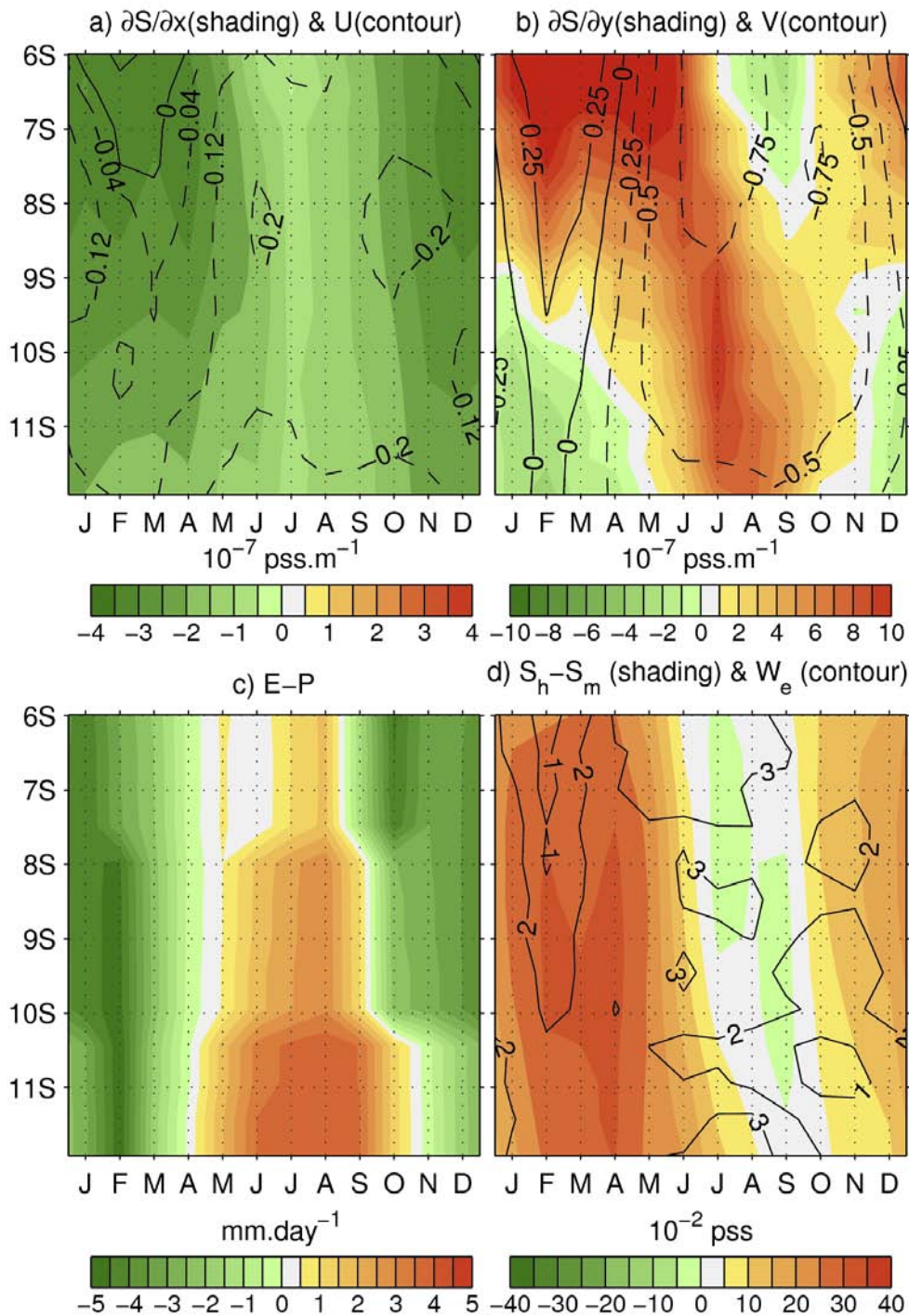
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Figure 9. Same as Figure 6 except for the STIO region. Note that here contour intervals are 0.08 m.s $^{-1}$ for a). Meridional current unit is 10 $^{-1}$ m.s $^{-1}$ and contour intervals are 0.25 m.s $^{-1}$ for b). Contour intervals are 1 m.s $^{-1}$ for d).

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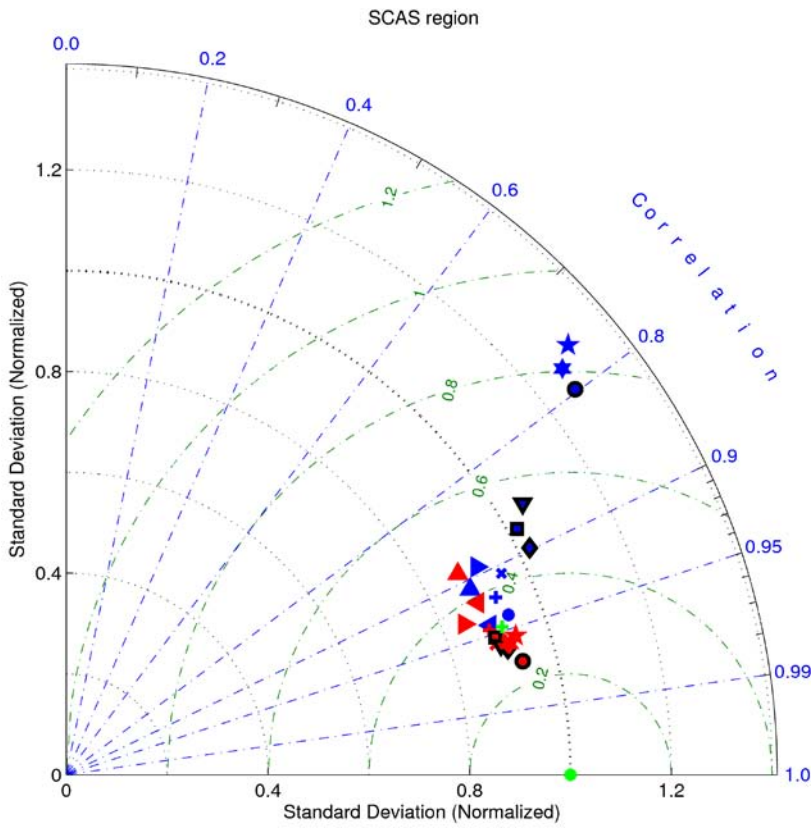
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- ★ drifter erai $S_{h_{15}} h_{d0.125}$
- drifter oaflex gpcp $S_{h_{15}} h_{d0.125}$
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- ▲ drifter erai $S_{h_0} h_{d0.03}$
- ▲ drifter oaflex gpcp $S_{h_0} h_{d0.03}$
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- * oscar ncep $S_{h_0} h_{d0.125}$
- oscar erai $S_{h_5} h_{d0.125}$
- ◆ oscar oaflex gpcp $S_{h_5} h_{d0.125}$
- ▼ oscar ncep $S_{h_5} h_{d0.125}$
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- oscar oaflex gpcp $S_{h_{15}} h_{d0.125}$ (REF)
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- ▲ oscar erai $S_{h_0} h_{d0.03}$
- ▲ oscar oaflex gpcp $S_{h_0} h_{d0.03}$
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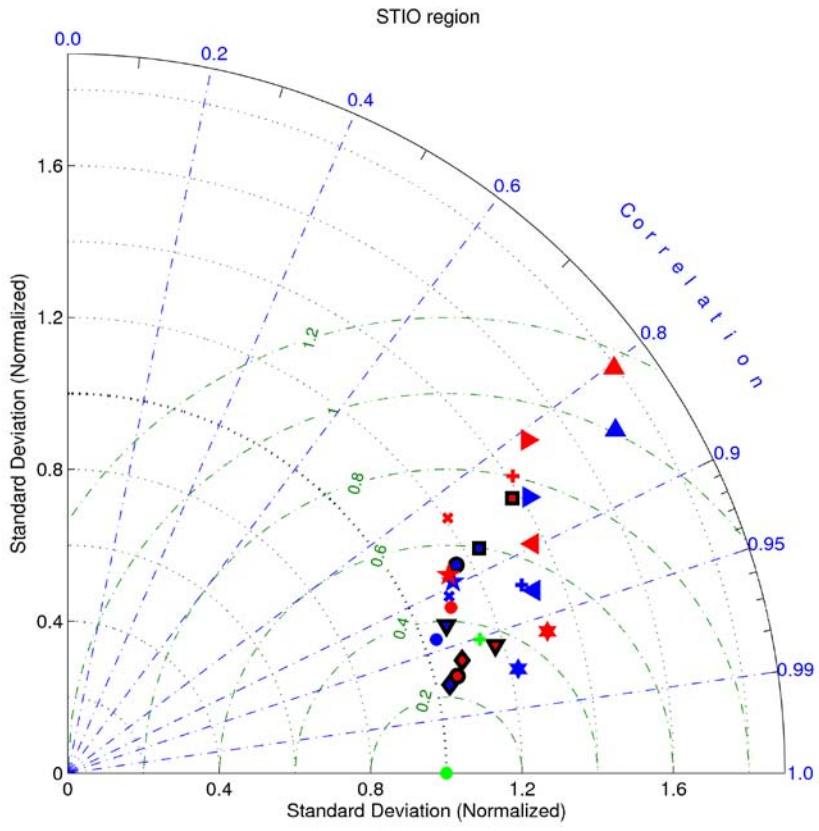
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270 **Figure A.** Taylor diagram in the SCAS and the STIO regions. Observed and diagnosed MLS
271 tendencies are represented by points on a diagram where the correlation coefficient (R)
272 between the observed and diagnosed time series is given by the azimuthal position, standard
273 deviation of the observed or diagnosed time series is given by the radial distance from the
274 origin, and the centered root mean square difference (RMSD) is given by the distance
275 between the observed point and diagnosed point. REF is the reference experiment.

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