

## Induction of apoptosis by UV in the flat oyster, *Ostrea edulis*

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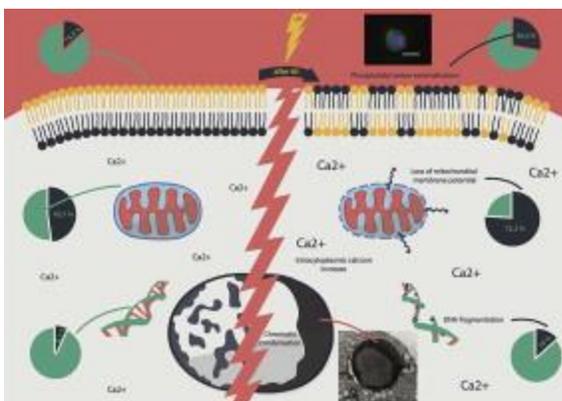
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### Abstract :

Apoptosis is a fundamental feature in the development of many organisms and tissue systems. It is also a mechanism of host defense against environmental stress factors or pathogens by contributing to the elimination of infected cells. Hemocytes play a key role in defense mechanisms in invertebrates and previous studies have shown that physical or chemical stress can increase apoptosis in hemocytes in mollusks. However this phenomenon has rarely been investigated in bivalves especially in the flat oyster *Ostrea edulis*. The apoptotic response of hemocytes from flat oysters, *O. edulis*, was investigated after exposure to UV and dexamethasone, two agents known to induce apoptosis in vertebrates. Flow cytometry and microscopy were combined to demonstrate that apoptosis occurs in flat oyster hemocytes. Investigated parameters like intracytoplasmic calcium activity, mitochondrial membrane potential and phosphatidyl-serine externalization were significantly modulated in cells exposed to UV whereas dexamethasone only induced an increase of DNA fragmentation. Morphological changes were also observed on UV-treated cells using fluorescence microscopy and transmission electron microscopy. Our results confirm the apoptotic effect of UV on hemocytes of *O. edulis* and suggest that apoptosis is an important mechanism developed by the flat oyster against stress factors.

### Graphical abstract :



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## Highlights

► Apoptosis in flat oysters was investigated in vitro by flow cytometry and microscopy. ► UV exposure induces significant modifications of tested apoptosis parameters. ► Hemocyte response was similar between two tested oyster populations. ► Apoptosis: an important mechanism developed by *Ostrea edulis* against stress factors.

**Keywords** : Apoptosis, hemocyte(s), oyster, *Ostrea edulis*, UV, flow cytometry, microscopy

## 1. Introduction

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Apoptosis is a multifunctional process, which is involved in tissue and cellular homeostasis, embryonic development, immune defense against pathogens, and adaptive mechanism against environmental stresses [1,2]. Contrary to necrosis, apoptosis does not induce inflammation [1,3,4]. Apoptosis plays a key role in immune system by eliminating cells infected with pathogens [5–7] or cells subjected to various stress factors [2,8,9]. In mollusks, besides the anatomical and chemical barriers (mucus), immunity relies on cellular and soluble components of hemolymph, including hemocytes [4]. Hemocytes play a pivotal role in invertebrates notably by being involved in phagocytosis [10–12] and producing immune effectors including antimicrobial peptides and hydrolytic enzymes [13]. Previous studies have shown that stress factors like UV, toxic agent, heavy metals or toxic algae induce an increase of hemocytes apoptosis in mollusks [8,14–18].

39 This phenomenon results in numerous morphological and biochemical changes at the  
40 cellular level. Early morphological change consists in chromatin condensation along the  
41 nuclear membrane [19]. Cell blebbing is then observed while the nucleus appears condensed  
42 and is broken down into several fragments [7,20]. Although organisms generally stay intact,  
43 cells are disintegrated in apoptotic bodies. These bodies can be phagocytized by other cells  
44 [2,4,7,20].

45 Apoptosis can be triggered by two major pathways: (i) the intrinsic or mitochondrial  
46 pathway is activated in response to internal cellular damage and (ii) the extrinsic pathway is  
47 stimulated by external signal received from the environment. Several works have  
48 demonstrated that apoptosis can be activated through both pathways in bivalves. In the  
49 Mediterranean mussels *Mytilus galloprovincialis*, a modulation of genes involved in the  
50 intrinsic pathway such as p53, Bax, Bcl2 and BI-1 was described in hemocytes exposed to UV  
51 [21]. A homolog to Bcl2 was also reported from Pacific oyster hemocytes exposed to Ostreid  
52 Herpesvirus 1 (OsHV-1) [22]. Some genes involved in the extrinsic pathway such as Fas  
53 ligand, TNF- $\alpha$ , caspase 2 and caspase 8 have also been described in various mollusks  
54 [17,23,24].

55 The flat oyster, *O. edulis*, is an endemic European oyster species. Its production has been  
56 threatened by overfishing and two protozoan parasites, *Marteilia refringens*, and *Bonamia*  
57 *ostreae* and is today in the OSPAR (Oslo and Paris Conventions for the protection of the  
58 marine environment of the North-East Atlantic) list of threatened and/or declining species and  
59 habitats (OSPAR agreement 2008-6).

60 In *O. edulis*, few studies related to apoptosis have been carried out up to now [23,25–27].  
61 Experimental studies have shown modulation of genes involved in apoptosis and suggested  
62 that in flat oysters, *O. edulis*, apoptosis is involved in response to infection with the protozoan  
63 parasite *B. ostreae* [23,26,27]. A particular study reported apoptosis associated with gill  
64 lesions based on morphological modifications and fragmentation of DNA [28].

65 Considering the importance of apoptosis in response to pathogens or stress factors in  
66 mollusks and the lack of information in the flat oyster *O. edulis*, we have studied cellular  
67 changes in hemocytes from this oyster species. Oysters used in the present study were  
68 collected from two natural populations genetically different and originating from two different  
69 geographic locations [29].

70 The aim of this study is to investigate the effect of various stress factors on hemocyte  
71 apoptosis in the flat oyster. This work allowed us to select the most relevant parameters to

72 describe apoptosis in our conditions and to better understand this process as a defense  
73 mechanism in marine bivalves.

74

## 75 **2. Materials and methods**

### 76 *2.1. Oysters*

77 Adult flat oysters (> two-year-old) *Ostrea edulis* were collected from two distinct natural  
78 beds in Quiberon Bay (Brittany, Atlantic Ocean) and Diana lagoon (Corsica, Mediterranean  
79 Sea) in France in October 2013. These populations are genetically distinct and previous works  
80 showed that they display different response against pathogen such as the parasite *B. ostreae*  
81 [29]. They were acclimatized in Ifremer's facilities (La Tremblade, Charente Maritime,  
82 France) for at least 14 days and maintained in raceways (800 L) with a constant flow of  
83 seawater enriched in phytoplankton (*Skeletonema costatum*, *Isochrysis galbana* and  
84 *Tetraselmis suecica*).

### 85 *2.2. Haemolymph collection*

86 Hemolymph was withdrawn from the adductor muscle of oysters with a 1 mL syringe and  
87 a needle of 0.60 x 25 mm. Hemolymphs were kept on ice to avoid cellular aggregation and  
88 were filtered between 60 and 100  $\mu\text{m}$  to remove debris and cell aggregates. Hemocytes were  
89 counted using a hemocytometer and concentration was adjusted at  $5 \cdot 10^5 \text{ cells} \cdot \text{mL}^{-1}$  by adding  
90 0.22  $\mu\text{m}$  filtered sea water (FSW).

### 91 *2.3. Apoptosis induction experiments*

#### 92 *2.3.1. UV exposure*

93 Hemocytes were exposed for 45 min to UV (11 Watts, Atlantium) at room temperature in  
94 24 well plates (Cellstar®, Greiner Bio-One). Concurrently, control (= non-exposed  
95 hemocytes) was kept in the dark in the same conditions of temperature. After 45 min of  
96 exposure, both plates (exposed and non-exposed hemocytes) were maintained at 15°C in the  
97 dark until cell sampling. Cell suspensions were transferred to 1mL tubes (Eppendorf) for  
98 analyses 1, 3 and 5 h after UV exposure. Experiments were carried out three times and  
99 included two replicates for each condition.

#### 100 *2.3.2. Dexamethasone exposure*

101 Hemocytes were exposed to dexamethasone (Apoptosis inducers set, GBiosciences) at 10  
102  $\mu\text{M}$  (final concentration) in 24 well plates (Cellstar®, Greiner Bio-One). Suspensions were  
103 kept at  $15^\circ\text{C}$  in the dark for 2, 4 and 6 h of exposure. Non-exposed hemocytes were  
104 maintained in similar conditions of temperature in the dark. After incubation, cell suspensions  
105 were transferred to 1 mL tubes (Eppendorf). Experiments were performed three times and  
106 included two replicates for each condition.

#### 107 *2.4. Flow cytometry analyses*

108 Apoptosis markers were analysed by flow cytometry using an EPICS XL 4 (Beckman  
109 Coulter) following settings previously determined by [30]. Results were depicted as cell  
110 cytograms and reported in log scale fluorescence level for each marker used.

##### 111 2.4.1. Intracytoplasmic calcium activity

112 Intracytoplasmic calcium activity was evaluated by adding the fluorescent probe Fluo-  
113 4/AM (Molecular Probes) at the final concentration of  $2.5 \mu\text{M}$  to  $200 \mu\text{L}$  of hemocyte  
114 suspensions. Cells were incubated for 2h in the dark at room temperature. Labelled cells emit  
115 in the green (FL1: 500-550 nm).

##### 116 2.4.2. Mitochondrial membrane potential ( $\Delta\Psi\text{m}$ )

117 Mitochondrial membrane potential was measured by adding  $2.5 \mu\text{L}$  of JC-10 dye  
118 (FluoProbes®) at  $0.5 \mu\text{M}$  to  $100 \mu\text{L}$  of hemocyte suspensions. Tubes were incubated at  $18^\circ\text{C}$   
119 during 30 min in the dark and transferred on ice for 5 minutes to stop cellular process. JC-10  
120 dye selectively enters into mitochondria and its color changes depending on membrane  
121 potential. When  $\Delta\Psi\text{m}$  is low the predominant form is the monomer emitting green  
122 fluorescence (FL1), when membrane potential increases an accumulation of the aggregated  
123 form appears and emits orange fluorescence (FL2: 570 nm).

##### 124 2.4.3. Phosphatidyl-serine externalization

125 Phospholipid asymmetry of plasma membrane was measured using a commercial  
126 apoptosis detection kit (Eurobio). Hemocyte suspensions were centrifuged at  $500 \times g$  for 8  
127 min at  $4^\circ\text{C}$ . The supernatant was removed and cells were washed with 3X Phosphate-Buffered  
128 Saline (PBS). Two hundred  $\mu\text{L}$  of hemocyte suspensions ( $5.10^5 \text{ cell.mL}^{-1}$ ) were pelleted and  
129 suspended in  $190 \mu\text{L}$  of 3X Binding buffer. Ten  $\mu\text{L}$  of Annexin-V-FITC were added to cell  
130 suspensions and incubated for 20 min at room temperature. After incubation, cells were

131 centrifuged (500 x g, 8 min at 4°C), suspended in 185 µL of 3X Binding buffer before adding  
132 15 µL of the viable propidium iodide (PI) (initial concentration: 20 µg.mL<sup>-1</sup>). Cell labelling  
133 with Annexin or with PI allowed identifying four cell populations: (i) cells that stain positive  
134 to Annexin V-FITC and negative for PI were undergoing apoptosis and emitted green  
135 fluorescence (FL1); (ii) cells positive to both Annexin V-FITC and PI (FL3: 560-670 nm)  
136 were either in the end stage of apoptosis, or were undergoing necrosis;(iii) negative cells were  
137 alive and (iv) cells positive only for PI were considered dead.

#### 138 2.4.4. Caspase activities

139 Caspase activities were measured using the Vybrant® FAM Poly Caspases Assay Kit  
140 (Molecular Probes). After induction of apoptosis, 150 µL of cell suspensions at 5.10<sup>5</sup> cell.mL<sup>-1</sup>  
141 were centrifuged for 8 min at 500 x g at 4°C. Cells were resuspended in FSW. After adding  
142 5 µL of FLICA (Fluorochrome-Labeled Inhibitors of Caspases) 30X, cell suspensions were  
143 incubated 1h in the dark at 15°C. Cells were washed twice in 3X washing buffer. Finally, 2  
144 µL of PI were added and suspensions were incubated 10 min on ice in the dark. Four  
145 populations were identified depending on the staining: (i) cells that stain positive to FLICA  
146 and negative for PI were considered as apoptotic cells (FL1); (ii) cells positive to both FLICA  
147 and PI (FL3) were necrotic cells; (iii) cells positive only for PI were considered dead and (iv)  
148 cells negative to both FLICA and PI were alive.

#### 149 2.4.5. Hemocyte populations

150 Cell cytograms indicating cell size (forward scatter (FSC) values) and cell complexity  
151 (side scatter (SSC) values) allowed identifying two main populations of hemocytes: cells  
152 showing lowest FSC and SSC values were considered as hyalinocytes and cells showing  
153 highest FSC and SSC were considered as granular cells or granulocytes [31,32].

#### 154 2.5.DNA fragmentation (TUNEL)

155 One hundred µL of hemocyte suspensions were deposited on glass slide and  
156 cytocentrifuged (100 x g, 1 min, 4°C). Cells were fixed with 4% paraformaldehyde for 10 min  
157 at room temperature and kept at -20°C after fixation. Cell permeabilization was carried out by  
158 incubating slides in 0.1 M citrate buffer at pH6 and by heating them in a microwave (350W)  
159 for 5 min [33]. DNA fragmentation was detected using the *In situ* Cell Death Detection Kit,  
160 POD (Roche) according to the manufacturer's recommendations except that enzyme solution  
161 was half diluted. Positive controls consisted in slides treated with TURBO DNase (Ambion)

162 during 10 min at 37°C. Non-specific staining was checked by testing slides without adding  
163 the enzyme solution (Terminal deoxynucleotidyl transferase from calf thymus). Six slides  
164 were observed for each condition.

#### 165 *2.6. Fluorescence microscope analysis*

166 UV-exposed and non-exposed hemocytes collected from oysters originating from  
167 Quiberon were observed under fluorescence microscope (Leica DFC3000 G). One hundred  
168  $\mu\text{L}$  of cell suspensions were stained using Annexin-V or JC-10 using the same protocol used  
169 for flow cytometry, centrifuged (100 x g, 1 min, 4°C) and fixed with 4% paraformaldehyde  
170 for 10 min. Cell nuclei were stained by adding 4',6-diamidino-2-phenylindole (DAPI (2  
171  $\mu\text{g}/\mu\text{L}$  of PBS)). After a 4 min incubation in the dark, slides were washed with 1X PBS.  
172 Appropriate images were extracted from LAS AF and manipulated with the ImageJ software.  
173  $\Delta\Psi\text{m}$  values were calculated at the 590/530 emission fluorescence ratios (n=30). Percentages  
174 of apoptotic cells were calculated by estimating the number of cells labelled with Annexin-V  
175 divided by number of observed cells.

#### 176 *2.7. Transmission electron microscopy (TEM)*

177 Hemocyte suspensions ( $1.10^6$  cells) were centrifuged at 500 x g for 8 min at 4°C and  
178 supernatant was eliminated. Samples were fixed in 3% glutaraldehyde solution for 1 day at  
179 4°C. Cells were washed 3 times with 0.4 M cacodylate buffer and post-fixed with a solution  
180 of 1% osmium tetroxide for 1 h at 4°C. Cells were washed twice again in 0.4 M cacodylate  
181 buffer. After dehydration in successive baths of ethanol, and two baths of propylene oxide,  
182 samples were progressively impregnated and embedded in Epon. After polymerization at  
183 60°C, semi-thin sections were cut to  $1\mu\text{m}$  thickness for quality control and then to 80-85 nm  
184 for examination on Leica ultracut (EM UC6), floated onto copper EM grids and stained with  
185 uracil acetate/Fahmys lead citrate (Lewis and Knight, 1977). The sections were examined  
186 using a transmission electron microscope (JEOL-JEM 1000). Four conditions were observed  
187 for oysters from Quiberon: non-treated cells at 4h and UV-exposed cells at 2, 4 and 6h.

#### 188 *2.8. Statistical analyses*

189 Flow cytometry data were analysed with Flowing software 2.5.1. Results were expressed  
190 as means  $\pm$  standard error. Two-way analysis of variance (ANOVA) followed by Bonferroni  
191 post-test were used to analyse differences between control and exposed hemocytes tested by  
192 flow cytometry and TUNEL using GraphPad Prism v.5.03. Two-way ANOVA and

193 Bonferroni post-test were also used to compare hemocyte populations (hyalinocytes and  
194 granulocytes). A paired t-test was performed to compare intensity fluorescence ratio of  $\Delta\Psi_m$ .

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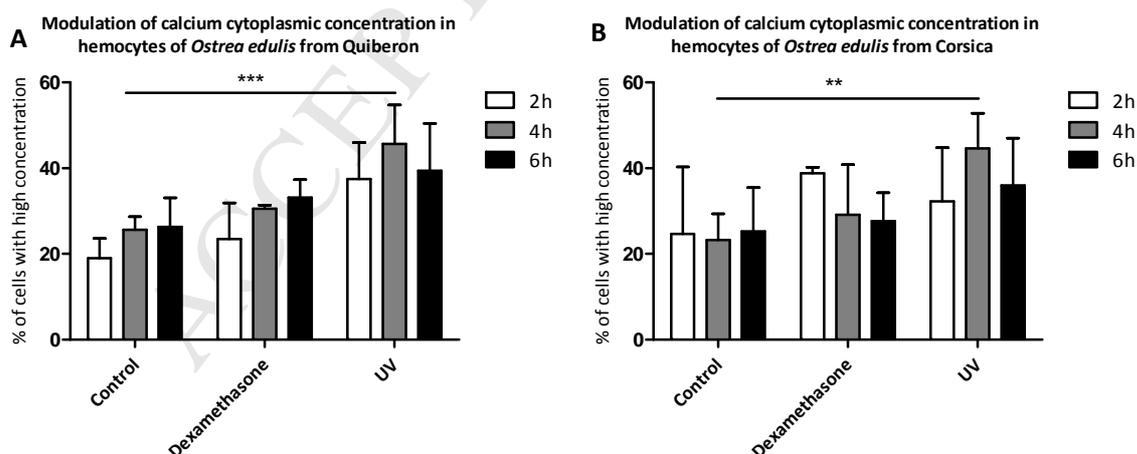
### 196 3. Results

#### 197 3.1. Effect of UV and dexamethasone on early apoptosis

198 Effects of UV and dexamethasone on early apoptosis in hemocytes of flat oysters were  
199 evaluated by measuring the cytoplasmic calcium concentration (Fig. 1) and the  $\Delta\Psi_m$  (Fig. 2)  
200 in exposed and non-exposed hemocytes. Two pools of hemocytes were presently tested:  
201 hemocytes collected from Quiberon oysters and hemocytes from Corsica oysters.

202 For the cytoplasmic calcium concentration, three different populations of cells were  
203 distinguished: population of cells with low, intermediate and high fluorescence corresponding  
204 to low, moderate and high cytoplasmic calcium concentration, respectively.

205 A significant increase of cells with high cytoplasmic calcium concentration was observed  
206 as soon as 2 hours post UV exposure ( $p < 0.001$ ) in hemocytes from Quiberon oysters and after  
207 4 hours of UV exposure in hemocytes from Corsican oysters ( $p < 0.01$ ) (Fig. 1A and B).  
208 Dexamethasone did not induce any significant change in tested conditions whatever was the  
209 origin of the oysters (Fig. 1A and B). Time of incubation after UV or dexamethasone  
210 exposure did not impact this parameter.



211

212 **Figure 1. Modulation of calcium cytoplasmic concentration in hemocytes of *Ostrea edulis*.** A and  
213 B: Percentages of cells with calcium cytoplasmic concentration for hemocytes of flat oyster from  
214 Quiberon (A) and Corsica (B) non-exposed (=control), exposed to dexamethasone or UV. Results  
215 represent the mean  $\pm$  SD of three experiments. \*\*\*( $P < 0.001$ ), \*\*( $P < 0.01$ )

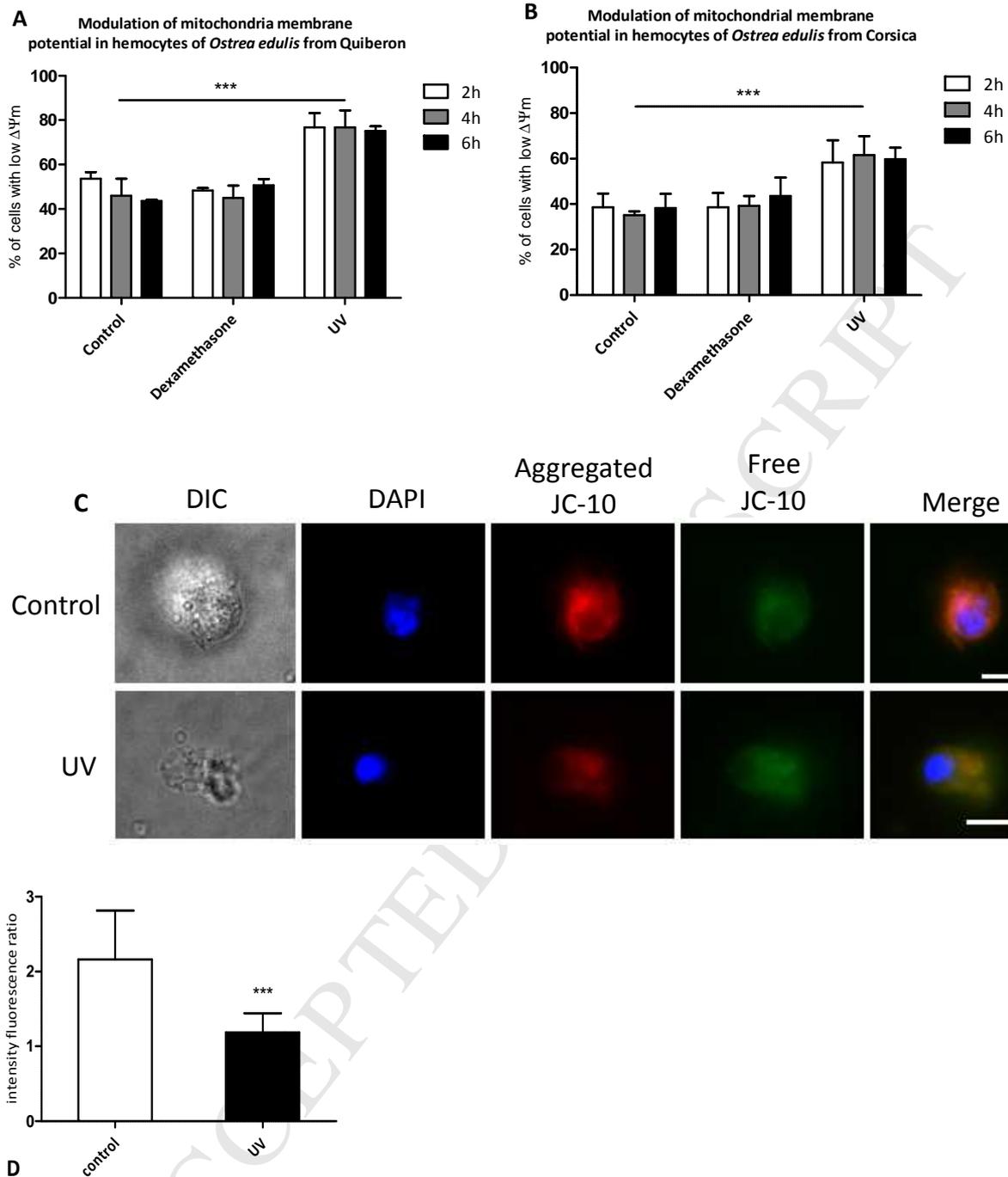
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217 The level of the mitochondrial membrane potential allowed identifying two cell  
218 populations, with low and high  $\Delta\Psi_m$ . UV-treated cells showed a modification of the  $\Delta\Psi_m$   
219 (Fig. 2A and 2B) while no change was observed in dexamethasone-treated cells. UV induced  
220 a significant increase of cells with low  $\Delta\Psi_m$  as soon as 2 hours after UV exposure in  
221 hemocytes from Quiberon and Corsican oysters. Percentages of cells with low  $\Delta\Psi_m$  remained  
222 stable whatever was the time of incubation after UV exposure.

223 Modulation of the  $\Delta\Psi_m$  in UV treated hemocytes was also evaluated by epifluorescence  
224 microscopy (Fig. 2C). Non-exposed hemocytes showed more red staining, corresponding to  
225 aggregate JC-10, than UV-treated cells. Conversely, in UV-exposed, free JC-10 emitting  
226 green fluorescence, was more abundant than in control. A significant decrease of the  
227 fluorescence intensity ratio was noticed between UV treated cells (ratio= $1.19 \pm 0.26$ ) and non-  
228 treated cells (ratio= $2.16 \pm 0.66$ ) at 6 hours after UV exposure (Fig. 2D).

### 229 *3.2. Plasma membrane modification and caspase activities*

230 Two additional apoptosis parameters were measured in hemocytes after UV and  
231 dexamethasone exposure: caspase activation (Fig. 3) and externalization of phosphatidyl-  
232 serine on plasma membranes (Fig. 4). Four populations of cells were described for these two  
233 parameters: alive, apoptotic, primary or secondary necrotic and dead cells (Fig. 3 and Fig. 4).



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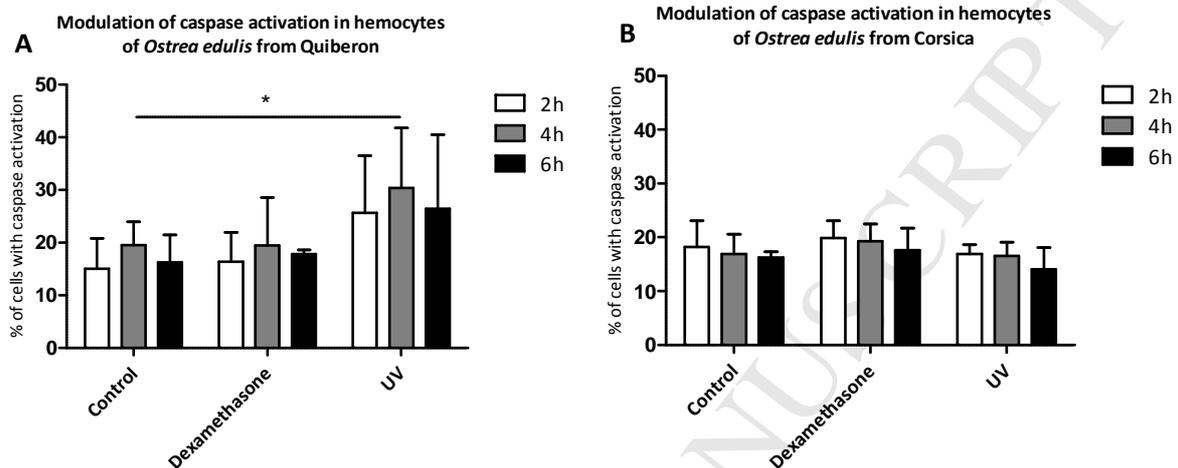
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241

242 **Figure 2. Modulation of mitochondrial membrane potential ( $\Delta\Psi_m$ ) in hemocytes of *Ostrea***  
 243 ***edulis*.** Percentages of cells with low  $\Delta\Psi_m$  for hemocytes of oysters from Quiberon (A) and Corsica  
 244 (B) non-exposed (=control), exposed to dexamethasone or UV. (C)  $\Delta\Psi_m$  modification in non-exposed  
 245 (=control) and UV-treated hemocytes of oysters from Quiberon, bar = 10  $\mu\text{m}$ . (D) Intensity  
 246 fluorescence ratio (590/530) of non-exposed (=control) and UV-treated hemocytes of oysters from  
 247 Quiberon at 6 hours post exposure. Results represent the mean  $\pm$  SD of three experiments.  
 248 \*\*\*( $P < 0.001$ )

249

250 For caspase activation, percentage of necrosis in the control was never above 7.4%.  
 251 Percentages of cells that showed caspase activation were similar in control and in hemocytes  
 252 treated with dexamethasone (Fig. 3A and B). Conversely, UV-exposure induced a significant  
 253 increase of caspase activation in hemocytes from Quiberon oysters (Fig. 3A). Time of  
 254 incubation did not modulate this parameter.

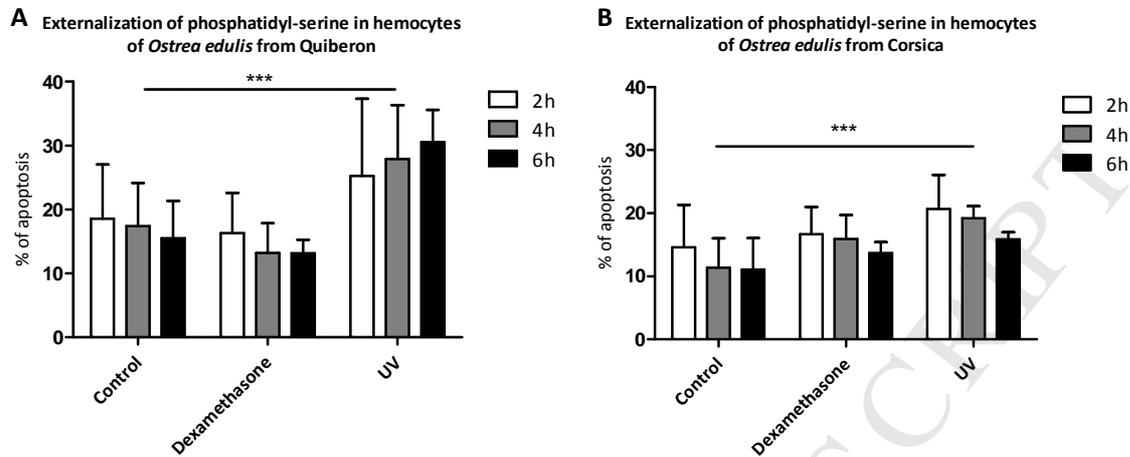


255  
 256 **Figure 3. Modulation of caspase activation in hemocytes of *Ostrea edulis*.** Percentages of caspase  
 257 positive and IP negative hemocytes of oyster from Quiberon (A) and Corsica (B) non-exposed  
 258 (=control) or exposed to dexamethasone and UV. Results represent the mean  $\pm$  SD of three  
 259 experiments (one replicate for each experiment). \*( $P < 0.05$ )

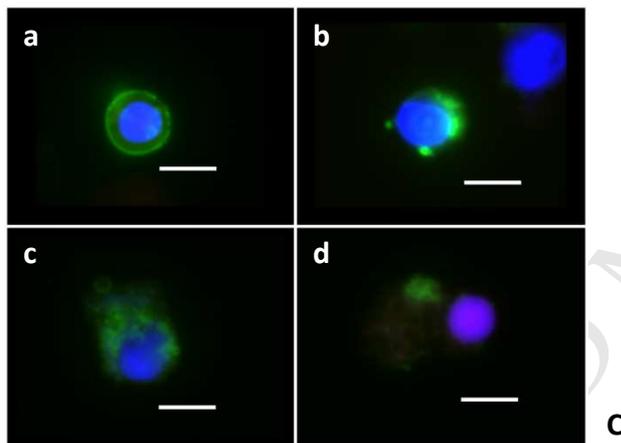
260  
 261 For the externalization of phosphatidyl-serine, percentage of apoptosis and necrosis in the  
 262 control was lower than 28% and 12%, respectively. Dexamethasone did not induce any  
 263 significant change in hemocytes of flat oysters whereas UV significantly increased the  
 264 percentage of Annexin-V positive cells as soon as 2 h of UV exposure in hemocytes from  
 265 Quiberon and Corsican oysters ( $p < 0.001$ ) (Fig. 4A and B). In addition, UV-treated cells  
 266 showed an increase of secondary necrosis between 2 and 6 hours from  $11.87\% \pm 5.01$  to  
 267  $19.78\% \pm 2.86$ , but only for hemocytes of oysters from Quiberon.

268 Modification of plasma membrane in UV treated hemocytes was also evaluated by  
 269 epifluorescence microscopy (Fig. 4C). Few cells showed Annexin-V staining in control after  
 270 6 hours (7.6%) compared to UV treated cells (23.6%). Annexin-V staining was observed in  
 271 the periphery of cells showing different apoptotic stages. In first stages, cells showed regular  
 272 membrane surface while in more advanced stages, blebbing and irregularity were observed on

273 the cell surface (Fig. 4Cb-c). Only 11.3% of cells presented IP staining in nucleus  
 274 corresponding to necrotic or dead cells (Fig. 4Cd).



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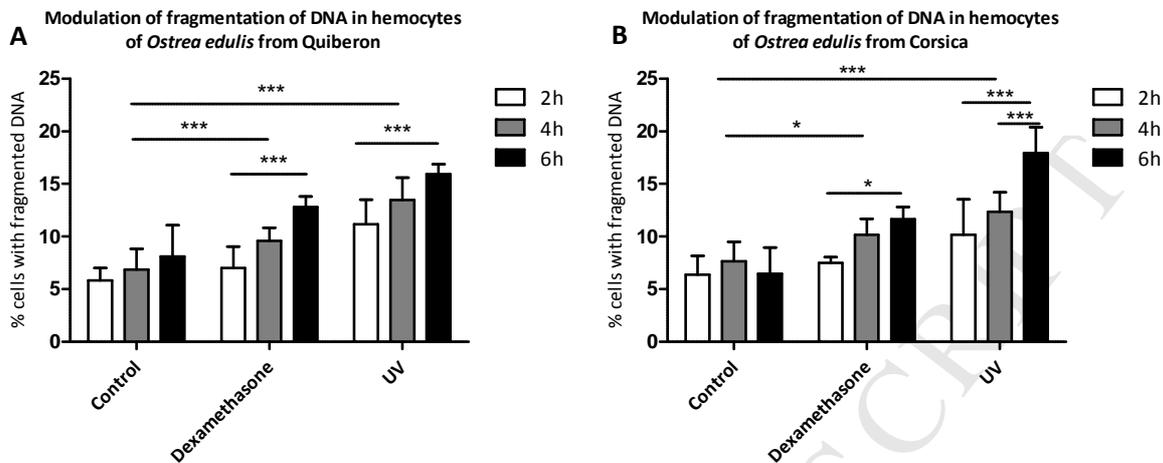
277 **Figure 4. Modification of plasma membrane in hemocytes of *Ostrea edulis*.** Percentages of  
 278 AnnexinV positive and PI negative hemocytes of oysters from Quiberon (A) and Corsica (B) non-  
 279 exposed (=control), exposed to dexamethasone or UV (\*\*\*) ( $P < 0.001$ ). (C) Externalization of  
 280 phosphatidyl-serine in hemocytes of oysters from Quiberon after 6 hours UV exposure. Different  
 281 apoptosis stages are visible with an increase of membrane blebbing from a to c. d show a necrotic cell  
 282 both PI and Annexin V, bar = 5  $\mu\text{m}$ . Results represent the mean  $\pm$  SD of three experiments.

283

### 284 3.3. DNA fragmentation

285 DNA fragmentation was evaluated using TUNEL assay and by estimating percentage of  
 286 stained cells under light microscope. Whatever was the origin of the oysters percentage of  
 287 cells displaying DNA fragmentation was significantly higher in cells treated with UV  
 288 ( $p < 0.001$ ) and dexamethasone ( $p < 0.001$  and  $p < 0.05$  respectively) compared to the control

289 (Fig. 5A and B). An increase was also observed between 2 and 6 h of incubation only for cells  
 290 treated with dexamethasone and UV.



291

292 **Figure 5. Modulation of DNA fragmentation in hemocytes of *Ostrea edulis*.** A and B: Percentages  
 293 of hemocytes showing fragmented DNA using TUNEL assay in oysters from Quiberon (A) and  
 294 Corsica (B) non-exposed (=control), exposed to dexamethasone and UV. Results represent the mean  $\pm$   
 295 SD of three experiments. \*\*\*( $P < 0.001$ ), \*( $P < 0.05$ )

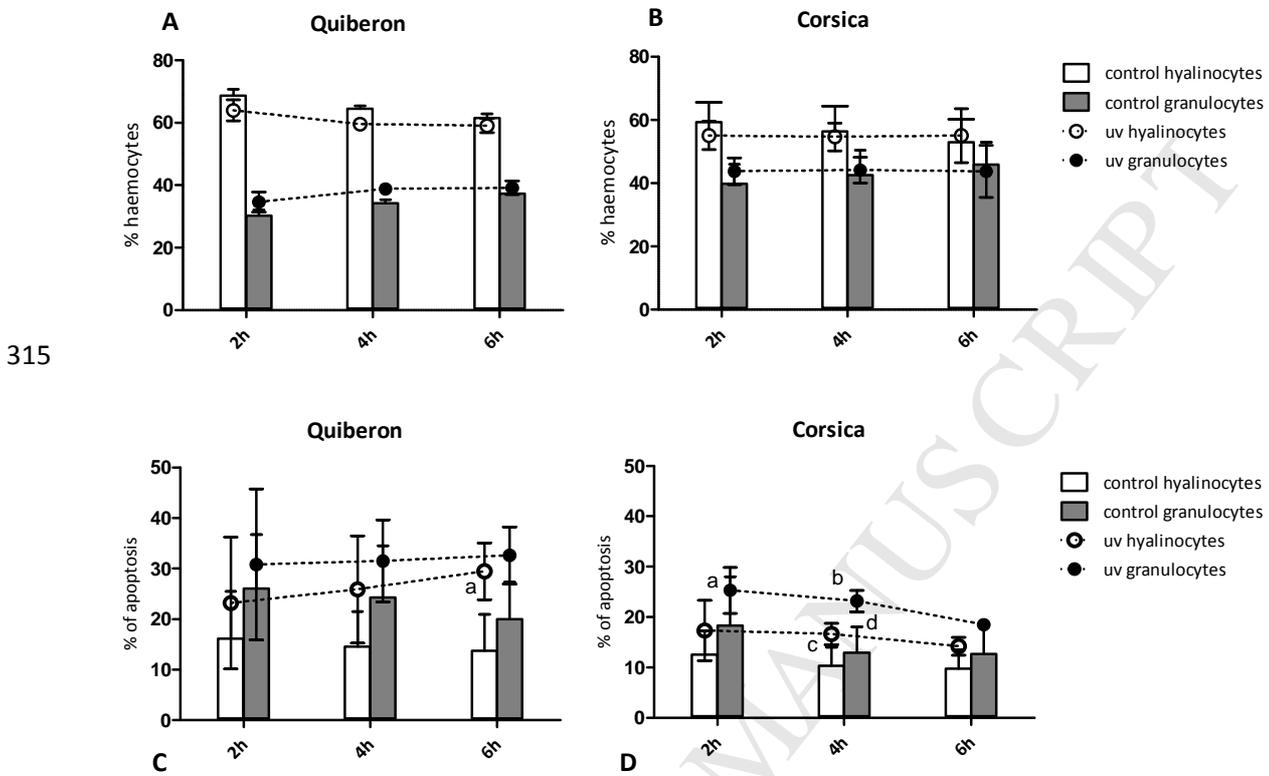
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### 297 3.4. Hemocyte populations and apoptosis

298 In order to evaluate if UV affected more specifically granulocytes or hyalinocytes, the  
 299 mean percentage of each hemocyte population was compared between exposed and non-  
 300 exposed hemocytes from Quiberon and Corsican oysters (Fig. 6A and B). In both oyster  
 301 groups, the percentage of hyalinocytes was higher than percentage of granulocytes ( $p < 0.001$ ).  
 302 UV significantly decreased percentages of hyalinocytes and increased percentages of  
 303 granulocytes at 2 and 4 hours post exposure in hemocytes from Quiberon (Fig. 6A).  
 304 Percentages were not significantly different between control and UV treated cells for Corsican  
 305 oysters (Fig. 6B).

306 In order to test if apoptosis occurred more specifically in granulocytes or hyalinocytes  
 307 after UV exposure, percentages of Annexin-V labelled cells were compared between  
 308 hyalinocytes and granulocytes in exposed and control cells. The percentage of Annexin-V  
 309 labelled cells was significantly higher for granulocytes exposed or non-exposed to UV than  
 310 for hyalinocytes only for Corsican oysters at 2 hours ( $p < 0.001$ ) and 4 hours ( $p < 0.01$ ) post-  
 311 treatment (Fig. 6D). Whatever was the hemocyte population, UV exposure increased the  
 312 percentage of apoptotic cells. This difference was significant at 6 h post exposure ( $p < 0.05$ ) for

313 hyalinocyte for Quiberon oyster (Fig. 6C) and at 4 h ( $p < 0.05$ ) for Corsican oyster and for  
 314 granulocyte at 4 h ( $p < 0.01$ ) for oyster from Corsica (Fig. 6D).



316

317 **Figure 6. Modulation of apoptosis in hyalinocytes and granulocytes of *Ostrea edulis*.** Temporal  
 318 variation of percentages of granulocytes and hyalinocytes in suspensions of hemocytes exposed or  
 319 non-exposed to UV for oysters from Quiberon (A) and Corsica (B) (a ( $p < 0.05$ ) between treated and  
 320 non-treated hyalinocytes). Temporal variation of granulocytes and hyalinocytes apoptosis in  
 321 hemocytes exposed or non-exposed to UV for oyster from Quiberon (C) and Corsica (D) (a ( $p < 0.001$ ),  
 322 b ( $p < 0.01$ ) between UV-treated hyalinocytes and granulocytes; c ( $p < 0.05$ ) between treated and  
 323 non-treated hyalinocytes, d ( $p < 0.01$ ) between treated and non-treated granulocytes). Results represent the  
 324 mean  $\pm$  SD of three experiments.

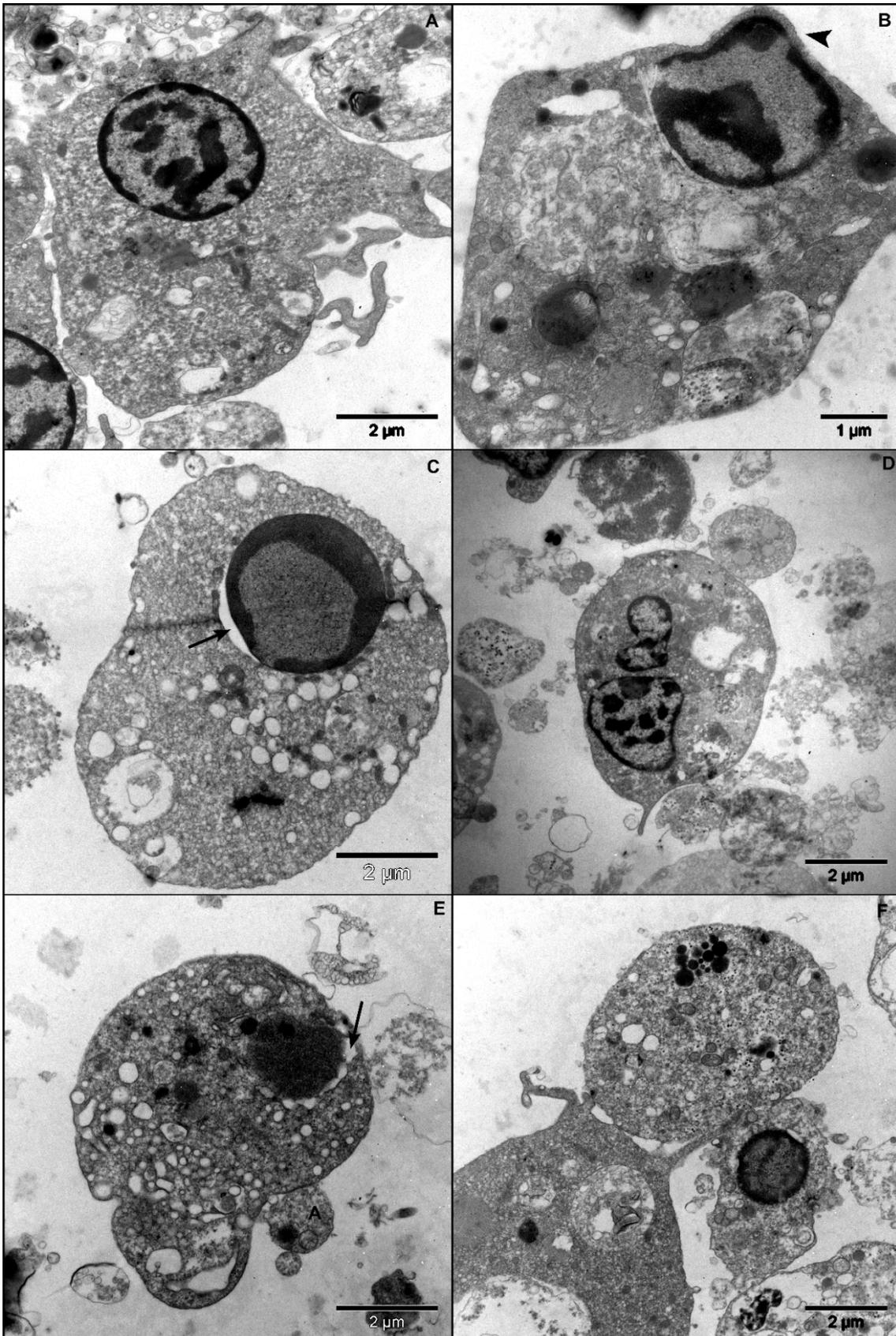
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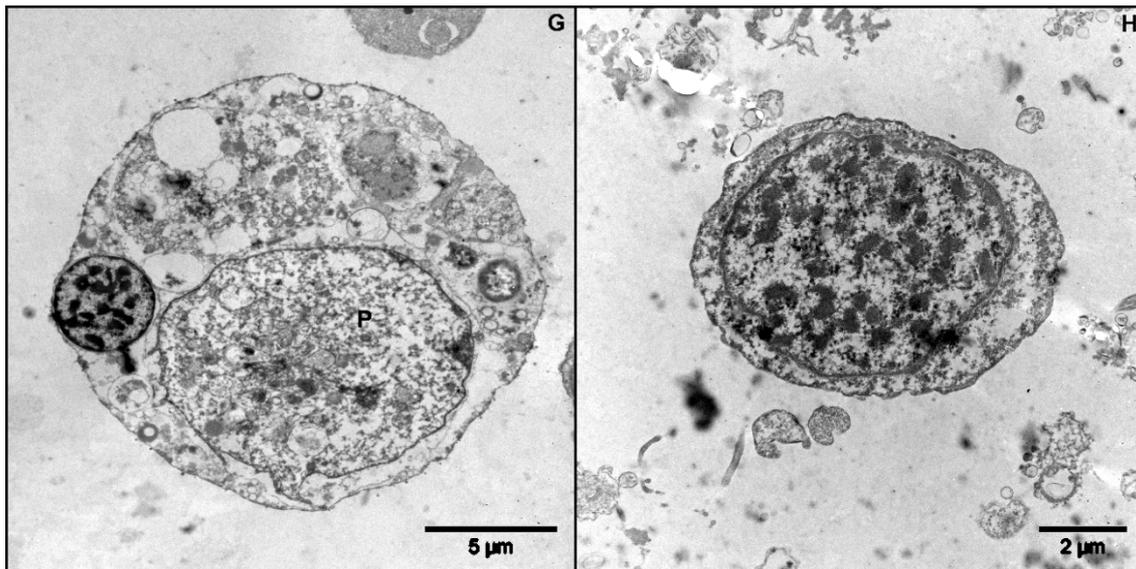
### 326 3.5. Morphological changes

327 To support results obtained using flow cytometry, fluorescence microscopy and TUNEL,

328 UV-exposed hemocytes from Quiberon were also examined by TEM. Different  
 329 morphological modifications were observed and were used to distinguish between apoptosis  
 330 and necrosis (Table 1). Most of non-exposed cells showed normal nucleus with non-  
 331 condensed chromatin and no cytoplasmic modifications (Fig. 7A). After 4 hours of  
 332 incubation, non-treated samples showed 61% of living cells (Table 2) while an increase of  
 333 apoptotic cells was observed in UV-treated samples (44%) (Table 2). The most important

334 modifications concerned the nucleus and consisted of chromatin condensation (Fig. 7B, C and  
335 E) and margination with ring-like shape characteristic of apoptosis (Fig. 7C). Nucleus  
336 .....





338

339 **Figure 7. Morphological modifications of hemocytes of flat oysters from Quiberon induced**  
 340 **by UV observed by transmission electron microscopy.** Control cell without morphological  
 341 modification (A). UV-treated hemocytes with different morphological alterations (B-H), apoptotic  
 342 cells (B-F), phagocytosed cell (G), cell in primary necrosis (H). Arrowheads: membrane blebbing;  
 343 arrow: enlargement of perinuclear space; A: apoptotic body; P: phagocytosed cell.

344

345 condensation was sometimes associated with an enlargement of the perinuclear space (Fig.  
 346 7C and E). Deformation and fragmentation of the nucleus could also be noticed (Fig. 7D). In  
 347 addition to nucleus alteration, some cells showed membrane blebbing and apoptotic bodies  
 348 (Fig. 7B and E). Pictures interpreted as hemocytes phagocytosing or having phagocytized  
 349 apoptotic cells were sometimes observed 6 h after UV-exposure (Fig 7F and G).

350 Nucleus and DNA modifications allowed distinguishing between cells under secondary  
 351 necrosis, terminal cell disruption of apoptotic cells, and primary necrosis (Table 1). Both  
 352 types of cells displayed mitochondrial and cytoplasmic swelling and cytoplasmic membrane  
 353 damage. However, DNA appeared condensed or nuclei fragmented in secondary necrosis  
 354 (Fig. 7E) and dissolved in primary necrosis (Fig. 7H).

355 Based on morphological modifications of Table 1 cells were classified and counted for  
 356 each condition of cells exposed to UV and at 4 h for the control. An increase of cells showing  
 357 morphological modifications typical of apoptosis was observed after UV exposure and was  
 358 more important after 6 h than 2 h (Table 2). More cells under primary and secondary necrosis

359 were also observed after UV treatment compared to the control but necrotic cells were less  
 360 numerous than apoptotic cells (Table 2).

<b>Morphological features</b>	
Apoptosis	Loss of surface structure (pseudopodia) Nuclear fragmentation (karyorrhexis) and/or Chromatin condensation Transformation of mitochondria (vesicle formation) Membrane blebbing Apoptotic bodies
Secondary necrosis	Chromatin condensation Nuclear fragmentation Transformation of mitochondria (swelling) Cytoplasmic swelling Damage to the cytoplasmic membrane
Primary necrosis	DNA dissolution (karyolysis) Cytoplasmic swelling Mitochondrial swelling Damage to the cytoplasmic membrane

361 **Table 1. Main morphological modifications of cell death (according to [34])**

362

#### 363 4. Discussion

364 Programmed cell death (apoptosis) is a key host response to stress factors or  
 365 pathogens. Importance of this mechanism in oysters such as the Pacific cupped oyster  
 366 *Crassostrea gigas* is demonstrated by the high number of genes related to apoptosis in its  
 367 genome including 48 Inhibitor of Apoptosis Proteins (IAPs) [35]. Several works investigated  
 368 the involvement of this mechanism in *C. gigas* and in the congeneric species *C. virginica*  
 369 against stress factors such as salinity, heavy metals, toxic algae and pathogens using  
 370 molecular and cellular approaches and morphological features [6,14–16,35]. Hemocytes of  
 371 bivalve mollusks play a major role in the immune system and homeostasis [36–38]. These  
 372 cells are also commonly used in bioassay to evaluate environmental risk assessment and  
 373 health status of animals [39,40] and apoptosis has been shown to occur in hemocytes from  
 374 bivalves exposed to stress factors [14–17].

375

% of viable cells	% of apoptosis	% of secondary necrosis	% of primary necrosis
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Control 4 hours (n=59)	61	27.1	3.4	8.5
UV 2 hours (n=36)	44.5	25	8.3	22.2
UV 4 hours (n=25)	28	44	20	8
UV 6 hours (n=28)	17.8	53.6	14.3	14.3

376 **Table 2. Percentages of different cells types in hemocytes of oysters from Quiberon**

377

378 Different physical or chemical treatments are known to induce apoptosis in mammal cells  
 379 like UV [41,42], actinomycine D, dexamethasone and camptothecin [43–45]. UV exposure  
 380 has also been tested in invertebrates and has been shown to induce apoptosis in mussels  
 381 [17,21]. In comparison study of apoptosis on *O. edulis* was relatively low [23,25–28].

382 Several tools are available to study this phenomenon at the cellular level such as flow  
 383 cytometry and fluorescence microscopy which target specific biochemical changes induced  
 384 during apoptosis [46–48]. Research works carried out to better understand apoptosis in  
 385 bivalves usually rely on the use of one cellular tool sometimes associated with ultrastructural  
 386 description [6,14,17,49]. However, association of several tools is necessary to demonstrate  
 387 apoptosis occurrence [46,47].

388 In order to confirm that apoptosis occurs in hemocytes of flat oysters, we exposed them to  
 389 stress factors like UV and dexamethasone and we combined several tools including flow  
 390 cytometry, TUNEL, fluorescence and transmission electron microscopy. These tools allowed  
 391 measuring different biochemical changes and describing intracellular changes induced by  
 392 apoptosis.

393 Moreover to avoid investigating hemocyte response of a specific oyster population, two  
 394 groups of genetically distinct oysters [29] were used to collect hemolymph: Corsican and  
 395 Quiberon oysters. Indeed, it has previously been reported that genetic diversity among oysters  
 396 can be related to different hemocyte responses [32]. The apoptotic response was measured at  
 397 2, 4 and 6 hours post stress exposure in order to test if apoptosis was modulated during the  
 398 time.

399 Results showed that UV exposure modified early apoptotic parameters such as calcium  
 400 cytoplasmic concentration and mitochondrial membrane potential in hemocytes from  
 401 Quiberon oysters as soon as 2 hours after treatment. Stress of organelles including  
 402 mitochondria, endoplasmic reticulum and lysosomes might lead to release of calcium into the

403 cytoplasm. The increase of cytoplasmic calcium concentration is known to deregulate cells  
404 and induce apoptosis [50] as it has been shown in hemocytes of *Penaeus monodon* subjected  
405 to copper exposure [18]. Similarly, perturbation of  $\Delta\Psi_m$  has been associated with apoptosis in  
406 invertebrates including *Drosophila melanogaster* and *Lymnea stagnalis* [8,51]. Our results  
407 suggest that like in vertebrate models, UV induce apoptosis through mitochondria and  
408 intrinsic pathway in hemocytes of flat oysters [41].

409 Additionally, UV significantly modified the integrity of cytoplasmic membrane as  
410 demonstrated by the increase of phosphatidyl-serine exposure in hemocytes of Quiberon  
411 oysters as soon as 2 hours after exposure. These results are in agreement with results obtained  
412 in hemocytes of the Mediterranean mussel, *Mytilus galloprovincialis* after UV treatment [17].  
413 Although phosphatidyl-serine externalization appears as an interesting biochemical feature to  
414 detect apoptosis in cells, it does not discriminate between activated apoptosis pathways  
415 [47,48].

416 In tested conditions, caspase activation did not appear significantly modified after UV  
417 exposure, even after 6 hours post treatment except in Quiberon oysters. Caspase activation  
418 was slightly increased after UV exposure. Caspases activation was measured using a  
419 fluorescent probe, FLICA (Fluorescent Labeled Inhibitor of Caspases) Vibrant® which  
420 inhibits a range of caspases known in vertebrates. Obtained results suggest that UV induce  
421 hemocyte apoptosis independently of caspases pathway but caspases activation could be  
422 influenced by the origin of the oysters.

423 Not only early apoptotic markers but also late parameters like DNA degradation were  
424 observed as soon as 2 hours after UV-exposure. DNA degradation is one of the latest step of  
425 apoptosis and is irreversible. TUNEL assay has previously been used to describe apoptosis in  
426 invertebrate tissues in association with lesions [28] or with pathogens [52,53] and in cells  
427 [54].

428 Hemocytes treated with UV showed various morphological modifications, increasing  
429 over the time. These modifications, typical of apoptosis, included chromatin condensation and  
430 nuclear fragmentation and sometimes modification of mitochondria and membrane blebbing.  
431 UV-exposed cells could also display morphological modifications that seemed to be related to  
432 secondary necrosis rather than to primary apoptosis or primary necrosis. Indeed, secondary  
433 necrosis shows nuclei alteration typical of apoptosis whereas primary necrosis is associated  
434 with DNA dissolution [34].

435 Previous studies showed different apoptotic response in different hemocyte populations  
436 like in the mussel *Mytilus galloprovincialis* and the clam *Ruditapes philippinarum* [17,55]. In  
437 our study, whatever was the origin of the oysters and the tested conditions, cells identified by  
438 flow cytometry as hyalinocytes were more abundant than cells identified as granulocytes.  
439 These results are in agreement with results obtained in flat oysters from different natural  
440 population [31,56]. Moreover, granulocytes appeared more affected by apoptosis than  
441 hyalinocytes even without treatment. In our study both types of hemocytes were affected by  
442 UV-treatment in the same way in contrast to *M. galloprovincialis* in which hyalinocytes  
443 appeared affected earlier than granulocytes [17].

444 Although dexamethasone is a common apoptosis inducer in various species [44,57], it did  
445 not induce significant apoptotic responses in the conditions tested in the present study except  
446 an increase of DNA fragmentation 6 h post exposure. A higher concentration of  
447 dexamethasone (100  $\mu$ M) was tested but only increased necrosis. Dexamethasone is known to  
448 induce apoptosis after binding to glucocorticoid receptor [58] which has never been identified  
449 in oysters. If this receptor lacks in *Ostrea edulis*, it could explain the lack of induction of  
450 apoptosis in our conditions. Moreover, apoptotic signs are generally observed after 8 h and  
451 more generally 24 h of exposure to dexamethasone [44,57,59] while in our tests we did not  
452 maintain hemocytes more than 6 hours.

453 Flow cytometry analyses were carried out on hemocytes from Quiberon and Corsican  
454 oysters. Both groups of oysters showed similar modulation of apoptotic parameters after UV  
455 exposure. However, percentages of apoptotic cells were lower in hemocytes of oysters from  
456 Corsica than Quiberon and caspase activation did not appear impacted by UV. Although some  
457 differences were reported in the apoptosis process depending on the tested population, the  
458 apoptotic response of hemocytes to UV was observed in oysters belonging to both populations  
459 in the present study. Differences in terms of apoptosis between oyster populations can be  
460 related to their genetic differences [29].

461 Our results confirm that apoptosis is induced in hemocytes of *Ostrea edulis* by UV  
462 exposure. Mitochondria deregulation suggests that cell death is activated through the intrinsic  
463 pathway. In mammals, it has been shown that UV can induce apoptosis by different ways,  
464 through the intrinsic pathway, the extrinsic pathway or can directly induce DNA  
465 fragmentation [41,60].

466 This work has contributed to establish different techniques to investigate apoptosis in  
467 hemocytes of *Ostrea edulis*. The combination of flow cytometry, TUNEL, fluorescence and  
468 transmission electron microscopy allowed confirming the involvement of apoptosis in

469 response to UV. These different tools could now be applied in the context of studies  
470 investigating apoptosis in flat oysters in response to other stress factors such as pollutant or  
471 pathogens known to affect *O. edulis* including *Bonamia ostreae*.

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