### The shy prefer familiar congeners

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#### Abstract :

The shy-bold continuum is both a fundamental aspect of human behavior and a relatively stable behavioral trait for many other species. Here we assessed whether shy individuals prefer familiar congeners, taking the European sea bass, a recently domesticated fish showing similar behavioral responses to wild fish, as a model to better understand the inter-individual variability in social behavior previously observed in this species. In the wild, the link between familiarity i.e. the preference of fish for familiar congeners and boldness could be part of the mechanism underlying shoaling formation in fish. Thirty fish were individually tested in a device designed to assess the preference for a familiar vs. an unfamiliar congener on the basis of visual cues only. An open field test (OFT) with shelter was performed on the same fish 32 days later to assess the boldness of each individual. Variables of interest included the proportion of time spent in the shelter, border and center zone of the arena and variables of activity. Variables measured in OFT were collapsed into first principal component scores using Principal Components Analysis (PCA) which allowed characterizing a shy-bold continuum. Time spent near the familiar congener was negatively correlated with boldness i.e. shy individuals spent most of the time near the familiar congener. We discuss the relevance of these findings to the understanding of the behavior of European sea bass and suggest that the link between familiarity and shyness is a general aspect of both animal and human behavior.

#### Highlights

► We assessed sea bass preference for familiar congener. ► We assessed sea bass boldness. ► Shy individuals prefer to spend time near familiar congeners. ► We suggest the link between familiarity and shyness is a general aspect of both animal and human behaviour.

Keywords : familiarity, personality, open field, social behavior, swimming activity, shyness, shoaling behavior, visual cue

#### 30 INTRODUCTION

31 The shy-bold continuum is both a fundamental aspect of human behavior and a relatively 32 stable behavioral component for many other species (Wilson, 1994). Coleman and Wilson 33 (1998) suggested that shyness and boldness may be context specific, but they also form one of 34 the five axes of animal personality defined as a correlated set of individual behavioral and 35 physiological characteristics that are consistent over time and across situations (Koolhaas et 36 al., 1999). These five axes are: boldness (response to potentially risky situations), activity, 37 exploration (response to novel situations), aggressiveness and sociability. Correlations 38 between different personality traits may exist, leading to the formation of a behavioral 39 syndrome (Sih et al., 2004). The shy-bold continuum has been studied in numerous 40 vertebrates (Benus, 1991; Boon, 2007; Careau, 2010; Verbeek et al., 1994), including teleosts 41 e.g. the rainbow trout Oncorhynchus mykiss (Øverli et al., 2006; Sneddon, 2003) and the European sea bass (Ferrari et al., 2014; Ferrari et al., 2015) as well as in invertebrates (Briffa 42 43 and Greenaway, 2011).

44 In fish species, boldness is usually associated with a proactive strategy whereas shyness is associated with a reactive strategy. Proactive animals tend to engage in active avoidance or 45 46 cope with stressful stimuli (Koolhaas, 2008; Koolhaas et al., 1999) through a "fight or flight" 47 response contrary to reactive ones which display a passive behavior through a "freeze and hide" response. Bold fish take more risks and explore their environment faster (less 48 49 cautiously) when exposed to novelty (MacKenzie, 2009; Øverli et al., 2006), and they are 50 more aggressive, dominant (Castanheira et al., 2013; Øverli et al., 2004), and less flexible 51 than shy fish i.e. they show a lower variability in their behavioral responses with 52 environmental changes (Ruiz-Gomez, 2011). By contrast, shy individuals tend to be risk 53 averse and are generally neophobic, show higher behavioral flexibility and are more

responsive to their environment (Sneddon, 2003), and more sociable than bold individuals
(Ward et al., 2004). Intermediate fish are in the middle of these two extremes.

In the wild, gregarious species form shoals that represent non-random assemblages according 56 57 to species, size, parasite load and familiarity i.e. individuals prefer to join groups with which they have had a previous experience (Griffiths, 2003; Griffiths and Ward, 2007; Hoare et al., 58 59 2000; Krause et al., 1996; Krause and Ruxton, 2002; Pitcher and Parrish, 1993). Shoaling 60 behavior in fish is also an important antipredator strategy (Magurran, 1990; Pitcher and 61 Parrish, 1993). Familiarity maximizes the benefits of grouping and mediates association 62 decisions in shoaling species (Griffiths and Ward, 2007). However, the importance of social 63 dynamic and fidelity of fish shoals in the wild remains unclear because these variables are 64 difficult to monitor (Helfman, 1984; Hilborn, 1991; Hoare et al., 2000; Svensson et al., 2000). 65 Furthermore, the decision to shoal strongly depends on the context encountered by the fish 66 e.g. European minnows Phoxinus phoxinus shoal to obtain shelter, but only when there is 67 insufficient physical structure available (Orpwood et al., 2008). Thus, one could expect that 68 the preference for familiar fish increases under the threat of predation, but several studies 69 have found that the preference of fish for familiar individuals is not affected by the 70 appearance of a model predator (Brown, 2002; Griffiths, 1997). This suggests that fish have 71 adapted to maintain a consistent preference for familiar congeners in habitats where they are 72 often exposed to predators.

It is well known that boldness can have potential fitness consequences by influencing many traits including mate choice (Godin and Dugatkin, 1996), parental care (Budaev, 1999), reproductive success (Armitage and Van Vuren, 2003) and anti-predator behavior (Réale and Festa-Bianchet, 2003), but the link between familiarity, i.e. the preference for familiar congeners, and boldness as a mechanism underlying shoaling formation in fish, has not yet been addressed.

79 The aim of the current study was to assess whether shy individuals prefer familiar congeners, 80 taking the European sea bass as a model. This species was recently domesticated and shows 81 high interindividual behavioral variability, with some domesticated individuals presenting 82 similar responses to wild fish (Benhaïm et al., 2012). In a previous study (Benhaïm et al., 83 2013a) comparing wild and domesticated juvenile sea bass, we found that fish were similarly 84 attracted to unfamiliar congeners, on the basis of visual cues alone. However, some 85 individuals (both wild and domesticated) spent most of their time on the opposite side (empty 86 compartment) of the unfamiliar congener. Here, we used a preference test for a familiar vs. 87 unfamiliar congener and a boldness test to examine whether this inter-individual variability 88 could be explained by a link between the shy-bold continuum and familiarity.

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#### 90 METHODS

### 91 Experimental animals and housing conditions

Two separate batches of sea bass were hatched at the Aquanord SA farm (France). On November 11<sup>th</sup> 2011, when the fish were 3 days old, they were transferred to the experimental station of INTECHMER (Cherbourg) and grown in a recirculated system. All parameters were set according to the protocol used by Aquanord hatchery.

Fish (about 300 individuals per batch) were later grown in an open water system (i.e. physicochemical parameters resembling natural conditions) in two separate 2 m<sup>3</sup> tanks until the
beginning of this experiment which started on March 22<sup>nd</sup> 2014. At this time, the fish were
865 days old.

Fish from both tanks were anesthetized with benzocaine (200 ppm) and 40 individuals from each tank were selected based on previous biometric data. Total length (mean  $\pm$  SD) was 13.9  $\pm$  0.9 cm in the first tank and 13.8  $\pm$  0.8 cm in the second; weight was 28.4  $\pm$  3.2 g in the first tank and 28.1  $\pm$  2.8 g in the second. All the selected individuals were PIT-tagged (Iso, 9 x 1.4

104 mm) on the same day by inserting a tag horizontally just behind the head to prevent any105 change of position after implantation.

To optimize the familiarization process between individuals, the two groups of 40 selected individuals were placed in two 200 L tanks for 75 days. The tanks were provided with water from a recirculated system. During this period, the light regime was a 16:8 LD cycle (light onset at 06:00 U.T. +1). In both tanks, temperature, salinity and oxygen level were (Mean  $\pm$ SD), 18.5  $\pm$  0.3°C, 35.0  $\pm$  0.0 g L<sup>-1</sup>, 6.5  $\pm$  0.2 mg L<sup>-1</sup>, respectively. Fish were fed manually until satiation three times daily with a commercial diet (Neo Grower Extra Marin, 4 mm, Le Gouessant, France).

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#### 114 *Observation room*

115 Observations were made in a dedicated room. All experiments were video recorded at 25 116 frames per second (Ethovision XT recording, Noldus, the Netherlands; camera Ikegami 117 CD48E; 2.8 - 12 mm Computar® lens equipped with an IR filter positioned at 180 cm above 118 the water surface). An infrared casing (1x1 m, Noldus, The Netherlands) was placed under the 119 apparatus used in this experiment to enable the recording of videos at low light intensity and 120 to improve video analysis. Two 60 W light bulbs were horizontally placed on walls located on 121 the left and right sides of the infrared casing. They were located 180 cm above the infrared 122 casing and provided an indirect and homogenous lighting on the apparatus. The light intensity 123 measured at the water surface was 100 Lux.

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Individuals were tested one by one in a device (90 \* 40 cm with a water height of 15 cm)
constructed from opaque white plastic and transparent Plexiglas<sup>®</sup> (Fig. 1). The start box (40 x
20 cm) was separated from the rest of the device by a removable transparent wall. The end of

<sup>125</sup> Familiarity test

129 the device (located in front of the start box) was occupied by two separate compartments 130 (precluding olfactory cues) with one transparent wall. These compartments, continuously 131 supplied with air (air pump), were used to place a congener that was either familiar or 132 unfamiliar to the test individual. The familiarity test was therefore based on visual cues that 133 have been shown to underpin individual recognition in a variety of species (Balshine-Earn et 134 al., 1998; Fricke, 1973; Hert, 1985). Shortly before observations, the device was filled with water, the level of which was maintained at 15 cm. Temperature, salinity and oxygen level 135 136 were verified before and after the end of observations performed on each fish and were respectively  $18.4 \pm 0.5^{\circ}$ C,  $35.0 \pm 0.0$  g L<sup>-1</sup>,  $6.6 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg L<sup>-1</sup> before and  $18.4 \pm 0.3^{\circ}$ C,  $35.0 \pm 1.3$  mg 137  $0.0 \text{ g L}^{-1}$ ,  $6.6 \pm 1.2 \text{ mg L}^{-1}$  after. 138

139 Before the beginning of observations, the position of the unfamiliar or familiar congener on the left or right side inside the independent boxes located at the end of the device was 140 141 randomly determined for each individual. This device shape was used instead of a T-maze to 142 minimize potential bias related to side-turning preference. The first tested fish was gently 143 collected from the tank using a net and immediately placed inside a bucket closed by a cover 144 and then placed in the start-box. After a 10 min acclimatization period, the transparent wall 145 was removed and the video capture started. The device was filmed for 30 min. The 146 experiment was carried out when the fish were 939 days old and three days were required to 147 test all individuals (N = 30). The water was entirely renewed every two hours. The unfamiliar 148 and familiar fish used as the attractors were changed every two hours to minimize the stress of 149 confinement and handling (10 individuals from each category were used for the experiment). 150 Before being returned to their initial tank, fish were anesthetized and their length and weight

151 were measured.

152 The video recordings were analyzed using EthoVision XT software (Noldus, The 153 Netherlands), which allowed six virtual zones to be defined in the device (Fig. 1) and to track 154 the swimming behavior of the fish.

155 Different variables of interest (position preference or activity) were chosen to analyze the 156 behavior of the fish:

157 -The proportion of time spent in each of the six defined zones (%): Start-Box in front of the

158 familiar (Start-Fam) or the unfamiliar congener (Start-UnFam), Pre-Familiar and Pre-

159 Unfamiliar located between Start-Fam/Start-Unfam and Fam/UnFam (Pre-Fam and Pre-

160 *Unfam*), zone near the familiar congener (*Fam*), zone near the unfamiliar congener (*UnFam*).

161 -The distance traveled by each fish in the device (*Dtot* in mm).

162 -The velocity mean expressed in body length per second (*Vel* in BL s<sup>-1</sup>).

163 The last two variables quantified the fish swimming activity level in the device.

164 -The absolute angular velocity of the fish expressed in degree per second (Vang in  $\circ$  s<sup>-1</sup>),

165 which was calculated by the software as follows:

166  $Vang_n = RTA_n / t_n - t_{n-1}$  where  $RTA_n$  is the relative turn angle for the sample n, and  $t_n - t_{n-1}$  is

167 the time difference between the current and previous sample. The rate of change in direction 168 was unsigned. The turn angle was calculated as the difference between two subsequent values 169 for the direction of the head. This variable was an indicator of the amount of turning per unit 170 time and quantified the swimming path complexity, high Vang values being linked to higher

- 171 level of vigilance (Benhaïm et al., 2012).
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174 Boldness test in the open field apparatus

An open field test (OFT) with shelter was performed when the fish were 973 days old i.e. 32
days after the familiarity test to ensure the fish had recovered from the first test. Among

177 numerous behavioral tests used to assess the shyness-boldness axis, open field tests (Budaev 178 1999, 1999; Yoshida 2005) are widely used and was chosen in this case because it has been successfully applied in previous studies on sea bass (Ferrari et al., 2014). The open field (90 \* 179 180 40 cm with a water height of 15 cm, Fig. 2) contained a shelter (opaque PVC box 20 \* 20 \* 181 20 cm closed by a vertically sliding opaque trapdoor) placed in one corner and was divided 182 into four virtual zones using the software EthoVision XT: Entry, Pre-shelter, Border and 183 Center. The center zone was considered a risky area because thigmotaxis (staying close to the 184 walls of an arena) is a common measure indicative of a high degree of shyness in such an 185 apparatus (Dahlbom, 2011; Maximino, 2010). Selected fish (N=30) were individually placed 186 in the shelter. After a 10 min acclimatization period, the door was gently opened. If the 187 individual did not leave the shelter within 20 minutes following the acclimatization time, the 188 experiment was stopped and a latency of 1200 seconds was attributed. . Before being returned 189 to their initial tank, fish were anesthetized and their length and weight were measured.

190 Variables of interest were extracted with Ethovision XT and were as follows:

- The latency of each individual to emerge from the shelter (*Lat* in s), the proportion of time
(%) respectively spent in the shelter (*Shelter*), the center zone (*Center*), the border zone
(*Border*), the pre-shelter zone (*Pre-Shelter*), and at the entrance of the shelter (*Entry*).

194 - The mean distance from the shelter (*DtoShelter* in cm) and the number of returns to the
195 shelter (*FreqShelter*).

- The distance travelled by each fish in the device (*Dtot* in mm), the absolute angular velocity of the fish expressed in degrees per second (*Vang* in  $^{\circ}$  s<sup>-1</sup>), and its mean velocity expressed in body length per second (*Vel* in BL s<sup>-1</sup>).

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200 Statistical analysis

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In both experiments, the spatial distribution of fish was analyzed using parametric analysis of variances (ANOVA) with zone as an independent factor after verification of distribution normality and homoscedasticity (Dagnélie 1975). If the data did not fulfill these requirements, non-parametric Kruskall-Wallis tests were used. Significant ANOVA were followed by a posthoc multiple comparison test (Newman–Keuls) and significant Kruskall-Wallis tests by rank-based multiple comparisons.

208 All the variables measured in OFT were collapsed into first principal component scores using 209 Principal Components Analysis (PCA). A correlation matrix was used to verify 210 multicollinearity, i.e., to identify variables that did not correlate with any other variable, or 211 correlate very highly (r = 0.9) with one or more variables. Those latter variables were 212 removed from downstream analyses. The remaining selected variables used for the PCA 213 were: Shelter, Center, Border, Pre-Shelter, Entry, DtoShelter and FreqShelter. Each 214 individual fish was then affected a PC1 score later used in cross-context analysis. The too 215 small number of variables issued by the familiarity test prevented running PCA analysis.

Associations within tests were assessed by non-parametric Spearman's rank order correlation. The correlations within tests were corrected using the Bonferroni method with n = 9 for the familiarity test and n = 11 tests for the OFT, thresholds for significance being 0.0055 and 0.0045, respectively. For cross-context analysis (between tests), we analyzed the correlation between individual values for either the proportion of time spent in the *Fam* zone or *UnFam* zone and PC1 individual scores from OFT using Pearson's correlation coefficient.

- 222
- 223
- 224 **RESULTS**

During the experiment, no mortality was recorded and all fish grew similarly: Body weight and Total length were (Mean  $\pm$  SD) 32.6  $\pm$  3.2 g and 14.3  $\pm$  0.7 cm, respectively, after the familiarity test, and 38.0  $\pm$  4.2 g and 15.1  $\pm$  0.7 cm, respectively after the open field test.

228

229 Familiarity test

All the fish tested left the start zone after the wall was removed, i.e. none of the fish spent
100% of the time in the *Start-Fam* or *Start-UnFam* zone.

232 Fish spent most of the time in the Fam zone (mean  $\pm$  SE, 28.9  $\pm$  6.7%, Fig. 3) but also some 233 time in the UnFam, Start-Fam and Start-UnFam zones (19.3  $\pm$  5.2%, 18.3  $\pm$  5.7% and 19.3  $\pm$ 234 6.4%, respectively). The time spent in the device was not randomly distributed between zones 235 (ANOVA: F5, 180 = 2.7, p = 0.02), with fish spending significantly more time in the Fam, 236 UnFam and Start-Fam zones than in the Pre-Fam and Pre-UnFam zones (Fig. 3). There was 237 high inter-individual variability with 11 individuals spending most of the time in the Fam 238 zone, six in the UnFam zone, four in the Start-UnFam zone, five in the Start-Fam zone, two 239 in the Pre-Fam zone, and two in the Pre-UnFam zone. The proportion of time spent in the 240 Fam zone was significantly correlated with Vang (Spearman rank correlation: rs = -0.58, N = 241 30, p = 0.002) and with Vel (rs = 0.67, N = 30, p = 0.0002).

242

#### 243 Open field test

Six individuals (out of 30) spent all the time inside the shelter. Including those fish with a latency of 1200 s, the mean latency to emerge from the *Shelter* was 460.3 ± 88.1 s. Fish spent most of the time in the *Shelter* and *Border* zones (56.1 ± 7.9% and 24.4 ± 6.0%, respectively, Fig. 4). The proportion of time spent in the apparatus significantly differed between zones (Kruskal-Wallis test: H4,150 = 30.05, p < 0.0001), with fish spending more time in the *Shelter* zone than in the *Center*, *Pre-shelter* and *Entry* zones (Fig. 4). There was a significant

250 correlation between *Dtot* and the proportion of time spent in *Border* or *Shelter* zones (r =251 0.46, N = 30, p = 0.04, and rs = -0.49, N = 30, p = 0.03, respectively). Dtot and DtoShelter 252 were correlated (r = 0.57, N = 30, p = 0.01), and Vang and FreqShelter were inversely correlated (r = -0.52, N = 30, p = 0.02). Finally, the proportion of time spent in *Shelter* was 253 254 strongly inversely correlated with that spent in *Border* zone (r = -0.98, N = 30, p < 0.0001). 255 The first component (PC1) explained 44% of the variation in the data (loadings: Shelter = 256 0.97. Border = 0.85. Center = -0.55. PreShelter = 0.29. Entry = 0.36. FreqShelter = 0.16.257 DtoShelter = 0.93)). PC1 was therefore related to two extremes: the negative pole to high 258 values of Shelter (contribution to the first component was 0.31 and the correlation was -259 0.974), the positive one to high values of Border and DtoShelter (contributions to the first 260 component were 0.23 and 0.28 and the correlations were 0.85 and 0.93 respectively). PC1 261 represented therefore a gradient from shy (high values of *shelter*) to bold individuals (high 262 values of Border and DtoShelter).

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### 264 Links between the Familiarity and open field test results

The proportion of time spent in the *Fam* zone was negatively correlated with PC1 individual scores (r = -0.41, N = 30, p = 0.04, Fig. 5). Proportion of time spent in the *UnFam* zone was not significantly correlated with PC1 individual scores (r = -0.17, N = 30, p = 0.41).

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### 269 **DISCUSSION**

The aim of this study was to assess whether shy individuals prefer congeners with whom they are familiar, taking the European sea bass, a recently domesticated fish species, as a model. We determined whether fish were attracted to a familiar or an unfamiliar congener and then assessed boldness using an open field test.

274 Fish tended to spend more time in the zone near the familiar congener but this was not 275 significantly different from that spent near the unfamiliar congener. This is likely due to high 276 variability between individuals that reduced the statistical power of the analysis. This 277 variability has already been observed under similar situations where we showed that some 278 individuals voluntarily avoided the zone located near an unfamiliar congener, preferring 279 instead to spend time in the opposite zone near an empty compartment, whereas others spent most of the time in the start box (Benhaïm et al., 2013a). Overall, fish spent almost 60% of 280 281 the time near a familiar or unfamiliar congener, possibly because social or gregarious species 282 may greatly benefit from social interactions regulating the stress response, especially when 283 placed in a novel and therefore stressful environment (Allen et al., 2009). Indeed, group 284 behavior promotes growth as a result of social facilitation (Peuhkuri et al., 1995; Stirling, 285 1977) and limits the risk of predation (Roberts, 1996). Vigilance is much lower when the 286 distance between neighbors is small, because information about whether other group members 287 have detected a predator is easier to obtain from close individuals than from distant ones 288 (Pöysä, 1994). Such gregarious behavior is widespread among fishes, and swarms, flocks, 289 herds-group formation are also a widespread phenomenon in many different animal species 290 populations (Krause and Ruxton, 2002). Further, the time spent near the familiar congener 291 was positively correlated with velocity and negatively correlated with angular velocity. 292 Velocity and angular velocity are linked to a high level of visual interaction between the 293 tested fish and the familiar congener through the transparent wall and a lower level of 294 vigilance as already shown in previous studies (Benhaïm et al., 2013a).

Several theories can explain the observed variability in behavior. First, the decision to shoal strongly depends on the context encountered by the fish, in accordance with Hamilton's theory of the selfish herd (Hamilton, 1971). Fish choosing not to shoal with familiar or unfamiliar congeners may be considered subordinate. Indeed, staying alone is the best

strategy for subordinates (Pitcher and Parrish, 1993), because it protects them from suffering injuries in an escalated contest (Hilborn, 1991). Second, congener avoidance or preference for the familiar or the unfamiliar congener may also be linked to particular behavioral traits such as boldness, as discussed below.

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304 The proportion of time spent in the shelter, which provides a relevant indication of boldness, 305 varied substantially between individuals, consistent with previous studies (Biro and Stamps 306 2008; Brown, 2007; Budaev 1999; Eriksson, 2010; Fraser, 2001). When the fish left the 307 shelter, they spent most of the time in the Border zone and only a very short time in the 308 Center zone. The proportion of time spent in the border zone was negatively correlated with 309 the proportion of time spent in the shelter, consistent with a previous study on the same 310 species under similar conditions (Ferrari et al., 2014). Experiments in such devices involve 311 handling and isolating the fish, which is clearly stressful for all individuals regardless of their 312 boldness level, as already shown by previous studies on Zebrafish, Danio rerio (Blaser and 313 Vira, 2014) and sea bass (Benhaïm et al., 2013a; 2013b; 2013c). This may explain why all 314 individuals preferred to swim in the border zone, because this area is perceived by the fish to 315 be safer than the central zone. Unsurprisingly, the total distance traveled in the device was 316 positively correlated with the time spent in the border zone and the distance to shelter which 317 showed that the fish were not motionless when they left the shelter as already observed in a 318 previous study (Ferrari et al., 2014). The PCA approach allowed us to identify a clear Shelter-319 Border/Distance to shelter axis which can be used as a proxy for evaluating the shy-bold 320 continuum in sea bass (Ferrari et al., 2015).

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322 Linking the results of the familiarity and open field tests, we found a strong negative 323 correlation between the proportion of time spent near the familiar congener and boldness. Fish

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324 which spent more time inside than outside the Shelter (i.e. shy fish) preferred to stay near the 325 familiar congener. On the contrary, bold fish did not show any preference for the familiar or 326 unfamiliar congener *i.e.* they were equally attracted to both congeners. Shy fish tend to be risk 327 averse (Wilson, 1994); which is supported by our work, as they preferred to shoal with 328 familiar congeners with whom they have established a stable relationship over a long period 329 of time without encountering major aggression or other incidents that they were unable to 330 cope with previously. However, our study did not show any obvious avoidance of unfamiliar 331 congeners in shy individuals. Bold fish have been shown to take more risks and explore their 332 environment less cautiously when exposed to novelty (Øverli et al., 2006; MacKenzie et al., 333 2009), which may explain why they spent the same amount of time near familiar and 334 unfamiliar congeners. We hypothesize that these individual differences in behavior could be 335 related to personality traits even though the consistency over time was not tested in the 336 present study. The repeatability of personality tests is still a major concern in sea bass as well 337 as in other animal species. For example, Bell et al. (2009) reported that repeatability was 338 greater for experiments separated by short intervals than for those separated by longer 339 intervals. Further, a previous work on sea bass showed the lack of consistency over time in 340 the results obtained for individual-based tests (OFT was however not used in this experiment) 341 that is likely due to the stress induced by repeated trials (Ferrari et al., 2015). The results of 342 these repeated tests could also be biased by the high memory and learning abilities observed 343 in this species, defined as a change of behavior with experience (Dill, 1983).

The familiar congener preference could also be related to sociability and the link between shyness and the familiar congener preference could be indicative of a behavioral syndrome in sea bass. As already shown by previous studies on birds, shy individuals tend to be more sociable than bold individuals (Verbeek et al., 1994). The potential existence of behavioral syndromes in sea bass has already been suggested in previous work e.g. individuals with a

349 passive response during a restraint test tended to be shyer during an open field test (Ferrari et 350 al., 2014). The present study and a previous one on the same species (Benhaïm et al., 2013a) 351 showing that individuals were attracted to unfamiliar congeners when they had no other 352 choice apart from an empty compartment in a T-maze, enable a better understanding of the 353 decision process in sea bass. If they have the choice, bold individuals will spend the same 354 amount of time near familiar and unfamiliar congeners whereas shy individuals will spend 355 more time near the familiar congener. If they have no choice, both bold and shy individuals 356 will spend more time near the congener whether familiar or not. Finally, because our study 357 showed a preference in shy individuals to stay near the familiar congener, it also confirms that 358 sea bass are able to discriminate familiarity on the basis of visual cues alone as already shown 359 on the same or different species (Brown, 2002; Brown and Colgan, 1986; Di-Poï, 2008; 360 Griffiths, 1997; Waas and Colgan, 1994)

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362 When assessing the significance of these results in the wild, it is important to consider that sea 363 bass pelagic eggs are largely dispersed by estuarine currents and individuals are gregarious 364 especially at the juvenile stage (Barnabé, 1978); however, little is known about dispersal, 365 shoal site fidelity and shoal fidelity in wild sea bass. Some authors however mentioned post-366 larvae and fry congregating in upper estuaries, creeks and harbors i.e., a few dozen to many 367 thousands remaining in distinct groups for several years at a time (Aprahamian and Barr, 368 1985; Claridge and Potter, 1984; Dando and Demir, 1985; Kelley, 1988). Furthermore, 369 shoaling behavior is known to be generated by visually stimulating attractions between 370 individuals but it could also involve the recognition of familiar congeners on the basis of 371 visual cues.

Further research is needed to confirm that the significant individual differences and the significant correlation between familiarity and shyness in our sea bass population point to personality traits differences and behavioral syndromes.

375

376 Subject to the confirmations of these conditions, our results may apply to many other species, 377 including humans. Indeed, personality has been identified in a broad array of species and 378 some aspects of personality show considerable cross-species generality (Capitanio, 1999; Gosling, 2001; Gosling and John, 1999; Seyfarth et al., 2012). Neuman (2014) argues for a 379 380 cognitive-biological theory of personality stating that the common denominator of various 381 personality theories are neural systems of threat/trust management and their emotional, 382 cognitive, and behavioral dimensions. The world is a challenging place and both human and 383 non-human organisms have to cope with many threats (Neuman, 2014). Variability of 384 personality traits may result from the dynamics of evolutionary game theory that reach a 385 particular optimum of trade-offs (Costa, 1980). To cope with a stressful environment, we 386 found that shy fish chose to join the familiar congener on the basis of visual cues only, much 387 like human newborn babies who prefer their parents' and other familiar voices over those of 388 strangers (Zajonc, 1968), or shy adults who prefer to avoid meeting strangers (Crozier, 2001). 389 Individual recognition and experience of past encounters strongly influences social behavior 390 (Hinde, 1985) i.e. we act differently in the company of somebody we know than in that of a 391 person we meet for the first time.

In conclusion, our study reveals an interesting link between familiarity and shyness that may be a general aspect of both animal and human behavior. Further research is needed to confirm this hypothesis. Within sea bass species, it would be useful to understand better the link between boldness and shoal preference in different environmental and social contexts i.e. trade-offs that individuals with different behavioral characteristics face when considering competition for foraging and predation risk. Behavioral studies on different social species

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398	including humans are required to verify the generality of the link between familiarity and
399	shyness.
400 401 402	Ethical Statement
403	This study was conducted under the approval of the Animal Care Committee of France under
404	the official licence of M.L. Bégout (17-010).
405 406 407 408	Acknowledgements
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411	
412	
413	References
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### 591 Figure legends

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- 594 Fig.1. Schematic representation and picture of the social interaction device and the virtual595 zones defined for the video recording analysis.
- (a) Schematic configuration of the device with the familiar congener placed on the left
  side. Dotted lines are transparent Plexiglas® walls, continuous lines are white opaque
  plastic. The bottom of the device is made of transparent Plexiglas®. Virtual zones
  defined for the video recordings analysis are:
- 600Start-Fam and StartUnfam closed by a removable transparent wall where the fish is601placed at the beginning of the experiment; Pre-Fam and Pre-Unfam: areas located after602Start-Fam and Start-Unfam; Fam: the area located near the compartment where the603familiar congener was placed; Unfam: the area located near the compartment where604the unfamiliar congener was placed; 1 and 2: left and right separate compartments605closed by a transparent Plexiglas® wall where congeners (familiar or unfamiliar) were606placed.
- 607 (b) Picture of the device showing the focal individual located in Fam.

608

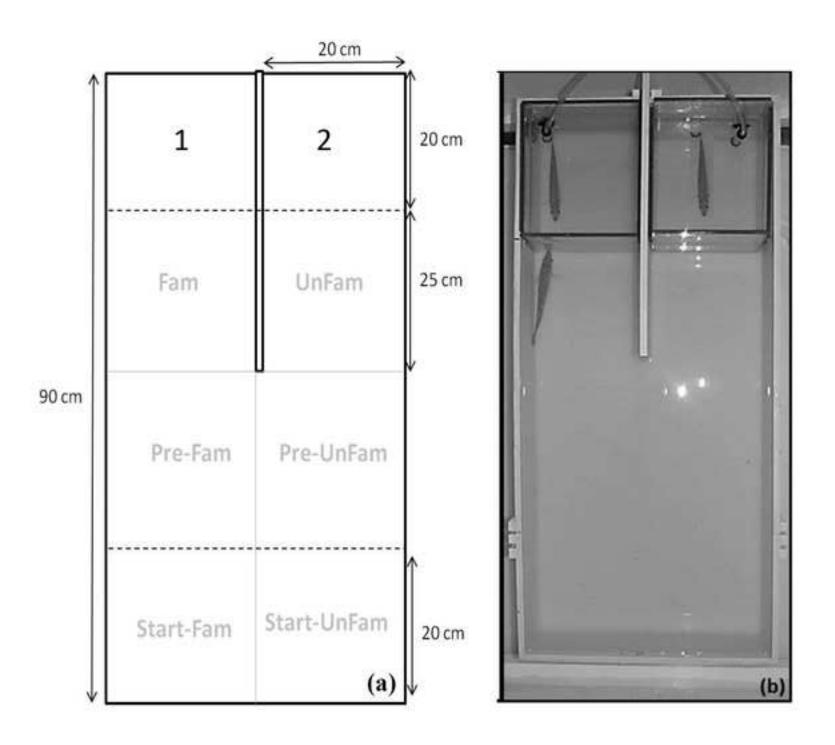
609 Fig. 2. Scheme and dimensions of the open field apparatus

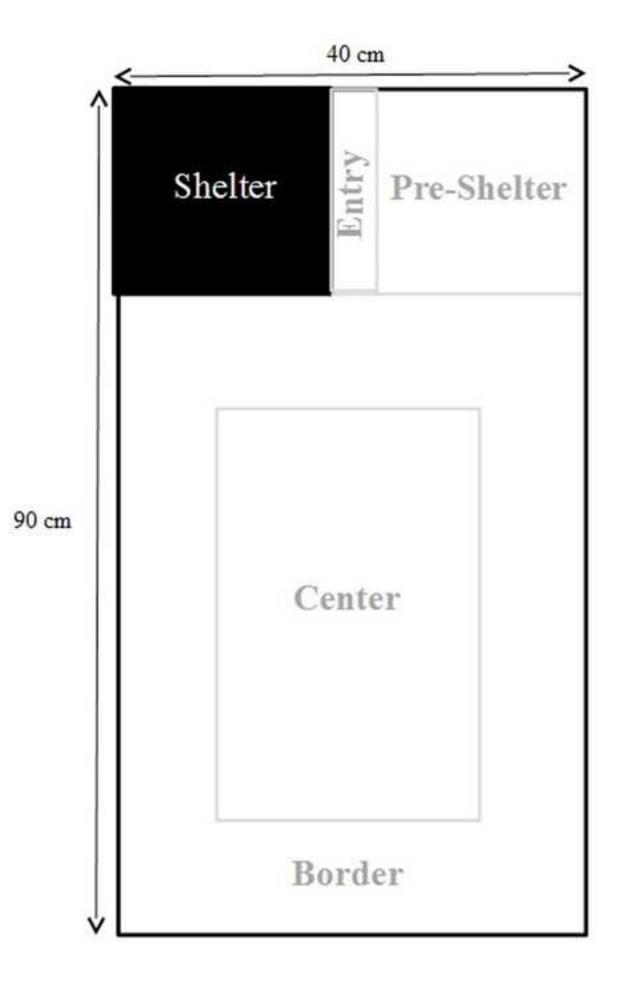
610

**Fig. 3.** Proportion of time spent (mean  $\pm$  SEM in %) by fish in each zone of the familiarity test device. *Start-Fam* and Start-UnFam: Start-Box in front of the familiar or the unfamiliar congener, Pre-Fam and Pre-Unfam: Pre-Familiar and Pre-Unfamiliar zones, Fam: zone near the familiar congener, UnFam: zone near the unfamiliar congener. Significant differences (*P* < 0.05) between zones are shown by different letters above the bar (rank-based multiple comparisons).

617 618 619	<b>Fig. 4.</b> Proportion of time spent (mean $\pm$ SEM in %) by fish in each zone of the open field.
620	Significant differences ( $P < 0.05$ ) between zones are shown by different letters above the bar
621	(rank-based multiple comparisons).
622	
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625	
626	Fig. 5. Pearson's correlation between the proportion of time spent in the zone near the familiar
627	congener (Fam) in the familiarity test and the first principal component scores using Principal
628	Components Analysis (PC1) in the open field test (OFT), $r = -0.41$ , N = 30, $p = 0.04$ .
629	Equation for the best linear fit is: $y = -7.5195x + 25.8.$
630 631 632 633	
634	

Figure





Figure

