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### Evaluation of a lifetime-based optode to measure oxygen in aquatic systems

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#### Abstract:

In this paper we evaluate the performance of a commercially available life-time based optode and compare it with data obtained with other methods. A set of 11 different tests including targeted laboratory evaluations and field studies were performed covering a wide range of situations from shallow coastal waters and waste water treatment plants to abyssal depths. The principal conclusion reached is that this method, due to high accuracy ( $\pm 2 \mu\text{M}$ ); long-term stability (more than 20 months); lower fouling sensitivity; no pressure hysteresis and limited cross sensitivity, is overall more suitable for oxygen monitoring than other methods.

**Keywords:** aquatic systems, oxygen, measure, lifetime-based optode

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2

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12

13 Abstract

14

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16 and compare it with data obtained with other methods. A set of 11 different tests including  
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18 situations from shallow coastal waters and waste water treatment plants to abyssal depths. The  
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20 stability (more than 20 months); lower fouling sensitivity; no pressure hysteresis and limited  
21 cross sensitivity, is overall more suitable for oxygen monitoring than other methods.

22

23 Introduction

24

1 Most chemical and biological processes are influenced by changes in dissolved oxygen  
2 concentrations. Oxygen is therefore a prime parameter to measure in a variety of applications  
3 ranging from industrial processes to environmental studies.

4 The standard method to analyze oxygen content in water is a two-step wet chemistry  
5 precipitation of the dissolved oxygen followed by a titration. The method was first described  
6 by Winkler (1888) and has since then remained the overall standard. Winkler titration is the  
7 method which is almost always performed in the laboratory on collected water samples. The  
8 collection and handling of water samples can induce errors and the analytical work is time  
9 consuming and demands meticulous care. It is therefore not a suitable method to obtain *in-situ*  
10 data with high spatial and temporal resolution.

11 For *in-situ* measurements of oxygen, electrochemical sensors (often called Clark type  
12 sensors after a US patent, Clark, 1959; Kanwisher, 1959) are the most common.

13 Electrochemical sensors have been developed and used in a wide range of applications and  
14 therefore vary in size and design from micrometer sized glass electrodes to more robust macro  
15 electrodes with sensing tips of several centimeters (for a recent review see Glud et al., 2000  
16 and references therein). Performance studies of different types of electrochemical sensors  
17 have been presented in e.g. Briggs and Viney (1964); Atwood et al. (1977); Hitchman (1978);  
18 Gneiger and Forstner (1983); Short and Shell (1984); Berntsson et al. (1997); Gundersen et  
19 al., (1998) and Glud et al. (2000). Regardless of the design, these studies have shown that the  
20 Clark sensor requires frequent (at least monthly) calibration to obtain accurate measurements  
21 of dissolved oxygen.

22 Optodes (also called optrodes) may provide a more suitable method than  
23 electrochemical sensors for direct measurement of dissolved oxygen. Optode technology has  
24 been known for years (e.g. Kautsky, 1939) but it is relatively new to the aquatic research (e.g.  
25 Klimant et al., 1995; Glud et al., 1999; Wenzhöffer et al., 2001). The fundamental principle is

1 based on the ability of selected substances to act as dynamic luminescence quenchers. In the  
2 case of oxygen, if a ruthenium-complex is illuminated with a blue light it will be excited and  
3 emit a red luminescent light with an intensity, or lifetime, that depends on the ambient oxygen  
4 concentration. It is important to distinguish between three different principles in detecting the  
5 red luminescence: Intensity (how strong the luminescence is), life-time (how quickly the  
6 luminescence dies out) and phase shift (in principle also a life time based measurement, see  
7 below *Measurement Principle*). Intensity based measurements are technically easier to do, but  
8 they can drift over time. The different signal detection techniques are summarized by  
9 Wolfbeis (1991), Demas et al. (1999) and Glud et al. (2000) along with a wide range of  
10 applications. Klimant et al. (1995) and Stokes and Romero (1999) described intensity based  
11 oxygen optodes and their use. The function and use of lifetime based optodes was described  
12 by Holst et al. (1995) and Klimant et al. (1996).

13 Optode technology has an advantage over conventional sensors in that it can also be  
14 used to assess oxygen distributions in two dimensions (e.g. Holst et al., 1997, 1998; Glud et  
15 al., 1999, 2001, 2005) as well as detection of other substances (e.g. Klimant et al., 2000;  
16 Huber et al., 2000, 2001a, 2001b; Hulth et al., 2002; Bültzingslöwen et al., 2002).

17 In this paper we evaluate a commercially available lifetime-based oxygen optode and  
18 compare its performance with different electrochemical sensors and Winkler titrations. A  
19 novelty with this sensor, compared to the optodes used in other studies, is that it combines the  
20 benefits of using a platinum porphyrine luminescence dye with digital signal processing  
21 electronics (DSP). The construction and working principles of this sensor is described briefly  
22 and its accuracy and precision evaluated in the laboratory. The influence of simultaneous  
23 changes in oxygen, salinity, temperature, stirring, pressure and pH were tested by using  
24 multivariate statistical methods. Data from extended evaluations of pressure behavior as well

1 as response time are presented and discussed. Finally a range of field application examples  
2 are given to demonstrate the ability and stability of oxygen optodes in different field settings.

3

#### 4 Measurement principle

5

6 The particular type of sensor that has been used in this evaluation is commercially available  
7 from Aanderaa Instruments, Norway (Oxygen Optode model 3830 and model 3930, Tengberg  
8 et al., 2003). The sensor is based on oxygen luminescence quenching of a platinum  
9 porphyrine complex. The lifetime and hence the oxygen measurement is made by a so called  
10 phase shift detection of the returning, oxygen quenched red luminescence. The relationship  
11 between oxygen concentration and the luminescent decay time can be described by the *Stern-*  
12 *Volmer* equation:

13

$$14 \quad [O_2] = \frac{1}{K_{SV}} \left\{ \frac{\tau_0}{\tau} - 1 \right\}$$

15

16 Where:  $\tau$  = decay time,  $\tau_0$  = decay time in the absence of oxygen and  $K_{SV}$  = Stern-  
17 Volmer constant (the quenching efficiency). The foil is excited with a blue-green light  
18 modulated at 5 kHz. The decay time is a direct function of the phase of the received red  
19 luminescent light which is used directly for oxygen detection, without calculating the decay  
20 time. The basic working principles of dynamic luminescence quenching, lifetime-based  
21 optodes and phase shift detection can be found in e.g. Klimant et al. (1996); Demas et al.  
22 (1999); Glud et al. (2000).

23

24 The sensor housing is made of Titanium, rated to 600 bar pressure, with a diameter of  
36 mm and a total length of 86 mm. This housing includes an optical part, a temperature

1 sensor (Fig. 1) and the necessary electronics (a microprocessor with digital signal processing  
2 capacity) to process signals and output absolute temperature compensated oxygen readings (in  
3  $\mu\text{M}$  or % saturation). An advantage of using digital signal processing, over analog technology,  
4 is enhanced possibilities of signal filtering and less risk of drift in the electronics with changes  
5 in temperature and over time.

6 The sensing foil is composed of an oxygen sensitive luminescent substance  
7 (luminophore) that is embedded in a polymer layer which is coated onto a thin film of  
8 polyester support (Fig. 1). The most commonly used oxygen luminophores have been  
9 ruthenium complexes (e.g. Klimant et al., 1996; Stokes & Romero, 1999) but for this sensor  
10 an oxygen-sensitive luminophore based on a platinum porphyrine complex, commercial  
11 available from PreSens GmbH (Regensburg, Germany) was used mainly due to its longer  
12 lifetime (about a factor 5 longer than for ruthenium). A longer lifetime makes it easier to  
13 detect the signal and to obtain stable readings. Another advantage with platinum porphyrine is  
14 that it is less sensitive to photobleaching.

15 We tested two types of foils, with and without, a gas permeable protective black  
16 silicon layer (Fig. 1). The silicon layer also acts as an optical isolation layer to avoid potential  
17 influence from fluorescent/luminescent material in the surrounding water and/or direct  
18 incoming sunlight, when measuring in the photic zone. The disadvantage of this layer is that  
19 the sensor response time becomes longer.

20

## 21 Results from sensor tests and trials

22

23 To evaluate how suitable optodes are for measurements of dissolved oxygen, 11  
24 different tests (see Table 1) using about 70 different sensors were selected from an initial set  
25 of 40 datasets.

1

2 *a) Calibration performance*

3

4       The response of an optode is giving highest sensitivity at low oxygen concentrations,  
5 and follows the Stern-Volmer equation (e.g. Demas et al., 1999). The response is also affected  
6 by temperature, which requires compensation in calibrations/measurements. To evaluate the  
7 effect of calibration on sensor accuracy two different procedures were tested (Table 1). The  
8 first was a 30-point calibration (5 different temperatures and 6 different oxygen  
9 concentrations) of a batch of foils (normally produced in batches of 100) to obtain foil-  
10 specific calibration constants. These are stored in the sensor processor when the foil is  
11 mounted. Then a two point calibration is performed at 0 % and 100 % air-saturation, taking  
12 into account the prevailing air pressure. The batch constants and the two-point calibration are  
13 together used to fit the Stern-Volmer equation to the particularities of the foil and the sensor.  
14 This is the calibration procedure that is presently used when sensors are produced.

15       A second set of calibrations were performed on 20 different sensors to assess if and by  
16 how much the accuracy could be improved by making an individual sensor specific 30-point  
17 calibration with the foil mounted from the start. This method is more time consuming, since  
18 every sensor has to go through an elaborate calibration procedure, but it is likely to better  
19 account for individualities of sensors and foils.

20       The individual 30 point sensor calibrations enhanced the accuracy by a factor of  
21 approximately 3 compared to a batch calibration with a subsequent two point calibration  
22 (Table 1). We have not been able to pinpoint the reason(s) for the improvements but we  
23 suspect that the lower accuracy from batch calibrations was caused by a combination of  
24 factors: 1. Individual temperature behavior of the foil; 2. Differences in the lifetime between  
25 foils, even though the foils are produced in batches of 100; 3. Differences in the electronic

1 and optical properties between sensors, even if the sensors are produced in batches of 25. The  
2 obtained accuracy ( $\pm 5\mu\text{M}$ ) using a batch-calibrated sensor should be sufficient for most  
3 applications, especially in the coastal environment where variations in dissolved oxygen are  
4 often large. The absolute precision (resolution) of the sensors was the same regardless of the  
5 calibration method. As expected the sensor performance was better ( $\pm 0.5\mu\text{M}$ ) at lower  
6 oxygen concentrations. At the higher end (300-500  $\mu\text{M}$ ) precision was approximately  $\pm 1\mu\text{M}$ .  
7 A major advantage of the optode is that it is more accurate at lower concentrations for which  
8 Winkler titrations are more difficult, due to the risk of contamination.

9

10 *b) Physical and chemical cross sensitivity*

11

12 The solubility of oxygen in water is dependent on salinity and temperature (e.g. Weiss, 1970;  
13 Garcia and Gordon, 1992). This means that in absolute concentration a seawater sample will  
14 contain less oxygen than a freshwater sample at the same temperature although the partial  
15 pressure is the same (e.g. 100 % saturation). In theory both electrochemical sensors and  
16 optodes measure partial pressure which implies that salinity and temperature correction has to  
17 be done to obtain absolute values. To validate if such relatively simple corrections are  
18 sufficient to get an accurate response or if also other factors can have an effect multivariate  
19 statistical methods can be used (e.g. Francois et al., 2002; Bourget et al., 2003; Haus et al.,  
20 2003). In Berntsson et al. (1997) electrochemical oxygen sensors were tested for simultaneous  
21 changes in oxygen concentration, temperature, salinity, pressure, stirring and pH in 19  
22 different experiments. Only pH did not have an influence on the sensor response. All the other  
23 factors affected the sensor readings in a complex interrelated manner.

1           In this study we have used the same methods as Berntsson et al. (1997) but with higher  
2 pressure levels of 1, 250 and 500 bar (Table 1). To be able to perform these tests under  
3 controlled conditions, an experimental chamber that can be placed into a pressure tank was  
4 constructed (Fig. 2).

5           The outcome was that stirring had no effect, which was expected from earlier work by  
6 Klimant et al. (1995) and since the sensor is not consuming any oxygen. This is an advantage  
7 and also explains why optodes are less sensitive to fouling (see field data below) as compared  
8 to the oxygen consuming electrochemical sensors. Pressure had an effect (about 4 % lower  
9 response per 100 bar of pressure) but was fully reversible and predictable (i.e. all sensors had  
10 the same response). Temperature also has an influence on the optical measurements but since  
11 the optodes described here are equipped with temperature sensors this effect is automatically  
12 compensated for by the internal processor and no remaining influence of temperature could be  
13 distinguished, which also indicates that the temperature compensation was done correctly.  
14 Figure 3A gives raw data from the three different sensors that were run in parallel during the  
15 multivariate evaluations. Figure 3B shows the data after a general salinity (according to  
16 Garcia and Gordon, 1992) and pressure compensation.

17           A set of separate more detailed pressure tests were performed, using the same  
18 equipment as described in Fig. 2, to evaluate the effects of high pressure and rapid pressure  
19 changes. These evaluations confirmed the above described pressure effect of 4 % lower  
20 response per 100 bar and also demonstrated that rapid pressure cycling from 3 to 405 bar and  
21 back did not leave any remaining pressure effects (hysteresis) on the sensors (Fig. 4). Pressure  
22 hysteresis is a know problem for electrochemical sensors which limits the usefulness of this  
23 technology at high pressure (e.g. above 100 bar).

24           Potentially other substances present in natural waters could interfere with the oxygen  
25 measurements. Contamination by hydrogen sulfide (H<sub>2</sub>S) is a major concern when using

1 electrochemical sensors in oxygen depleted environments. H<sub>2</sub>S has no influence on the  
2 response of the optodes and it will not damage them in any way (Klimant et al., 1995). The  
3 only interferences (cross-sensitivity) are found with gaseous sulfur dioxide (SO<sub>2</sub>) and gaseous  
4 chlorine (Cl<sub>2</sub>). The sensors can also be used in methanol- and ethanol-water mixtures as well  
5 as in pure methanol and ethanol. It is recommended to avoid other organic solvents, such as  
6 acetone, chloroform or dichloromethane, which may swell the sensor's foil matrix (data not  
7 shown).

8

9 *c) Response time*

10

11 A fast response time is a requirement for sensors that are used on profiling CTD  
12 instruments (typically descending/ascending at rates of 0.5 m/s), on towed vehicles (often  
13 towed at 2-5 m/s) and when high frequency sampling is required to resolve rapid oxygen  
14 fluctuations for example close to the bottom (e.g. Berg et al., 2003). Electrochemical  
15 microelectrodes (e.g. Revsbech, 1989) are unmatched with regard to fast response time and a  
16 well-designed sensor can have a 90 % response time ( $t_{90}$ ) of around 0.1 s (Glud et al., 2000).  
17 These sensor are however not rugged and difficult to handle and they suffer from the typical  
18 limitations of electrochemical sensors (except possibly stirring sensitivity since the oxygen  
19 consumption is low). Microoptodes are easier to make and more robust than the  
20 electrochemical microelectrodes and these have shown response times ( $t_{90}$ ) of around 2 s.  
21 Larger electrodes are more rugged but the response times are generally longer. A typical  
22 electrochemical macro-sensor has a  $t_{90}$  of 30-120 s but this can be improved by using thinner  
23 membranes (which also makes the sensor more stirring sensitive and noisy). The response  
24 time of the optodes used in this study were tested with two different foils one which was

1 optically isolated ( $t_{90} = 47$  s) and one without optical isolation with a  $t_{90} = 10$  s. It should be  
2 noted that there is no standard way of testing the response time and the results obtained are to  
3 a large extent dependent on how the tests were done. In our case we choose to simply move  
4 the sensors, without wiping the water off, between two containers one which was air saturated  
5 and the other depleted in oxygen, through stripping with  $N_2$  gas. If the sensor is wiped off  
6 before being submerged into a new container the thin water film in contact with the foil is  
7 eliminated and the response time drops by approximately a factor 3. We have, however,  
8 chosen to use the conservative values here since we believe that this test method is more  
9 representative to what is happening in the field, e.g. when profiling through the water column.  
10 While a  $t_{90}$  of 10 s should be sufficient for most applications a  $t_{90}$  of 47 s is probably too slow  
11 for many profiling applications, especially when passing through sharp gradients in the water  
12 column. It is likely that the response time of these sensors could be improved by optimizing  
13 the foil and sensor design for this particular purpose.

14

15 *d) Long-term stability*

16

17 The amplitude of the red luminescent signal (see Fig. 1) should not be of importance if  
18 a life time based detection method is used. So if the foil is bleached/degraded over time,  
19 which it will be, or the optical properties of the system change, for example with changes in  
20 temperature the response should not be affected. To evaluate the long-term stability several  
21 laboratory and field evaluations were performed. Two are presented here each one which  
22 lasted for about 600 days (Table 1).

23 The first dataset was collected from an optode that was mounted on a profiling Argo  
24 float. These floats are autonomous and freely drifting in the oceans. Today there are about  
25 1900 units in operation (<http://www-argo.ucsd.edu/>). The floats can change their buoyancy

1 and hence move up and down in the water column while collecting data (salinity, temperature  
2 and more recently also oxygen). When the float is at the surface they transmit data back via  
3 satellite. For more information about these floats and their use with focus on oxygen  
4 measurements see Körtzinger et al. (2004a,b).

5 Fig. 5 presents 580 days of oxygen and density data from one of these floats that was  
6 drifting off the east coast of Canada, most of the time in the Labrador Current. The data are  
7 collected every time the float is passing at 1800 m depth. At this depth and in this region  
8 previous investigations have demonstrated that the density and oxygen conditions should be  
9 constant. This is confirmed by the collected data which gave relatively constant density and  
10 oxygen ( $295.0 \pm 0.7 \mu\text{mol L}^{-1}$ ) readings. No drift in the oxygen readings could be detected it  
11 is only at the end of the deployment (after about 400 days) when the float has moved out of  
12 the Labrador Current that there is a small and expected shift in oxygen and density values.

13 Another data set which also gives an indication of the long-term stability of this  
14 technology was collected by 37 so called Rafos floats (<http://www.po.gso.uri.edu/rafos/>).  
15 These floats are also free drifting but do not make profiles. They follow density layers and  
16 collect data every 12 h. The normal deployment time is 600 days and when this period is over  
17 the floats rise to the surface where they transmit data to the office via satellite. In the beginning  
18 of 2003 fifty optode equipped Rafos floats were launched at the south of the Canary Islands  
19 (east Atlantic). Thirty-seven of these floats were operating for 600 days and then returned to  
20 the surface. At the surface they were also collecting and transmitting data. The position of the  
21 oxygen optodes on these floats is about 2 m below the surface. Since all of these floats  
22 surfaced in an oligotrophic (nutrient depleted) area little primary production is expected and  
23 the oxygen saturation should be close to 100 %. The data in Fig. 6 are collected from 5 days  
24 of measurements in the surface layer just after the floats arrived to the surface. Although all  
25 the floats give readings not far from 100 % differences exist between them. These differences

1 can depend on local variations since they are all drifting at different locations and/or on  
2 different calibrations of the float mounted optodes. This data set is not an absolute evidence  
3 that the sensors have not drifted over the 600 day deployment period but the results indicate  
4 that no or little (less than 5 %) drift has occurred.

5 Other data sets from continuous field measurements for periods of 10-16 months have  
6 also indicated no drift (not presented here) which give reason to believe that the stability of  
7 these sensors is at least 600 days and probably longer.

8 It should be noted that all the above presented stability data were collected in  
9 environment with low fouling. When fouling is affecting the sensor such long stabilities can  
10 not be expected (see below).

11

#### 12 *e) Sensitivity to biofouling*

13

14 Biofouling and bacterial growth is a major obstacle to long-term monitoring in the  
15 aquatic environment. The types of fouling that occur vary greatly from one environment to  
16 another and so do the effects of fouling. It is well known that the electrochemical measuring  
17 principle is sensitive to fouling since oxygen is consumed during measurements. If the supply  
18 of oxygen is impeded this might results in a sensor drift. Various technical solutions such as  
19 wipers, pulsed sensors and slow response sensors with thicker membranes can improve the  
20 fouling resistance. Optical sensors are likely to be less sensitive to fouling since they do not  
21 consume any of the measured analyte. In order to test this, three different evaluations were  
22 done with the aim of covering a wide variety of fouling situations (Table 1).

23 A network of environmental on-line monitoring stations has been operational in rivers  
24 around Paris (river L'Orge) for many years. One of the most important parameters to monitor  
25 at these stations is oxygen which is measured with electrochemical sensors. Oxygen has also

1 been the most labor-demanding parameter since drifting slime blocks the membrane of the  
2 sensors and rarely allows them to be operational for more than one week. Therefore weekly  
3 service intervals are conducted. During service the oxygen sensors are taken up, cleaned and a  
4 recalibrated (in two points). With the aim of prolonging the time between services an oxygen  
5 optode was tested for three weeks in parallel with one of the electrochemical sensors in  
6 normal use. The electrochemical sensor was submitted to its regular weekly service intervals  
7 while the optode was left untouched for the 20 days test period. Measured oxygen  
8 concentrations in the river follow the daily variations in temperature (Fig. 7). When the water  
9 becomes colder during night the oxygen solubility increases leading to higher concentrations.  
10 During daytime the temperature increases and the oxygen level drops. In spite of becoming  
11 covered with slime only after 2-3 days the optode was stable for the whole test period. In  
12 general the electrochemical sensor started to drift towards lower values after 2-3 days. After  
13 cleaning and recalibration it returned to readings which were similar to the optode recordings  
14 (Fig. 7). In this particular case fouling did not have any detectable influence on the optode.  
15 There are, however, other river examples (data not shown here) in which the slime possibly  
16 contained more active microorganisms and the fouling started to affect the naked optode after  
17 14 days (see also example from waste water treatment plants below).

18         City waste waters have a high content of organic material, the microbiological activity  
19 is elevated and the oxygen concentration is a critical element for proper processing. An  
20 accurate monitoring of oxygen in waste water treatment plants is necessary to optimize the  
21 relation between cleaning efficiency and energy used for water aeration. Several comparative  
22 tests (Table 1) were made with optodes in two different waste water treatment plants (in  
23 Västerås, Sweden and at the Attleboro waste water treatment plant, Providence, USA). In the  
24 aeration basins, the residence time is relatively short (hours) and the bubbling ensures mixing  
25 of the water. The fouling consists of high organic content slime. Unprotected optodes were

1 placed in parallel with special process-adapted electrochemical sensor systems with  
2 continuous cleaning. Unprotected optodes gave correct readings on average for 14 days. After  
3 that the microbiological oxygen consumption of the organic material that had accumulated in  
4 the small depression in front of the foil (see Fig. 1) resulted in signal drift. The use of a  
5 protective copper plate had no effect, on the contrary the mounting of the plate made the  
6 particles accumulate faster. To solve this problem a spray nozzle (normally used to clean cars)  
7 was fitted and directed towards the sensor foil. The nozzle was connected to a freshwater  
8 garden hose. By turning on the water the sensor was sprayed/cleaned once every 1-2 days and  
9 it was continuously operated in this mode for 90 days (data not shown) without any visible  
10 fouling effects and without any drift.

11 To increase the agricultural reuse of livestock waste as fertilizers and to decrease the  
12 environmental effects when spreading these on the fields different types of bioreactors have  
13 been developed and studied (e.g. Skjelhaugen, 1999). In order to optimize the efficiency of  
14 the bioreactors and to study the ongoing processes it is desired to monitor the oxygen levels  
15 inside the 5-12 % (by weight) livestock waste / water slurries. Previously it has been difficult  
16 to use electrochemical sensors here since  $H_2S$  is often present and since these sensors are  
17 known to be stirring sensitive. An optode was used in several studies measuring both in the  
18 headspace above the slurries and directly in the slurries. Measurements in the headspace gave  
19 consistent results but when measuring in the slurries with waste concentrations above 6 % it  
20 was not possible to conclude anything on the efficiency of the aeration. When introduced into  
21 the slurry the sensor rapidly dropped to readings close to 0 and stayed at these levels in spite  
22 of high levels of aeration. We think that the reason for this behavior is caused by an improper  
23 mixing of the slurry in front of the sensor. Since the content of organic materials is elevated  
24 the oxygen is rapidly consumed and if the aeration is not capable to achieve a proper mixing /  
25 aeration in front of the sensor it will measure to low values.

1           In the coastal marine environment the fouling is often a major impediment to  
2 monitoring. Unlike the cases described above (rivers and waste water) the fouling often  
3 consists of plants (e.g. algae, seaweed etc.) and/or animals (e.g. shell building barnacles).  
4 Several trials were done to prevent the effects of fouling on the here described sensor. The so  
5 far most efficient method was to wrap a beryllium-copper alloy net (used for domestic  
6 cleaning) around the sensor. In environments with heavy fouling (shallow coastal waters in  
7 Chesapeake Bay and the Gulf of Mexico) this method prolonged the service interval from  
8 approximately 7-10 days to 40-60 days. It is important to prevent the beryllium-copper net to  
9 enter into contact with the metal of the sensor since this will create a galvanic element which  
10 makes the mesh disappear faster. It also makes it significantly less efficient in preventing  
11 fouling. Electronic isolation was obtained by wrapping tape around the sensor before adding  
12 the alloy net. Other solutions such as a fixing a fine meshed copper plate in front of the foil  
13 has proven inefficient in most situations since the mesh was quickly clogged and prevented a  
14 proper circulation.

15

16 *f) Other field applications*

17

18           We selected two examples of data that were collected in the marine environment with  
19 the aim of covering a wide range of measuring situations from shallow depth to the deep sea  
20 (5500 m), from tropical surface waters with temperatures approaching 30°C to cold abyssal  
21 surroundings with temperatures around 2°C and with oxygen levels ranging from 20 to 230  
22  $\mu\text{M}$ . In both cases the data registered by the optodes corresponded well (within the nominal  
23 limits of accuracy) with independent measurements of oxygen obtained through Winkler  
24 titration.

1           Figure 8 presents a subset of optode data that were collected from a shipboard  
2 underway seawater pumping system during a 35-day expedition of the German R/V *Meteor* to  
3 the tropical Atlantic Ocean. The two meridional sections along  $\sim 26.5^\circ\text{W}$  (southbound) and  
4  $\sim 24^\circ\text{W}$  (northbound) shows very similar oxygen concentrations at 5 m depth in the surface  
5 mixed layer. The corresponding saturation level is 101.5–104.5 %. The observed variability is  
6 mainly due to small-scale patchiness of phytoplankton (confirmed by separate samples) but  
7 also exhibits some diurnal cyclicality. Oxygen concentration from Winkler titration of samples  
8 taken regularly from 5 m hydro cast samples along the two transects are in good agreement  
9 with the optode data (offset =  $0.9\ \mu\text{M}$ , rms =  $1.1\ \mu\text{M}$ ). Winkler samples were also taken  
10 regularly from the underway pumping system but these discrete samples show frequent  
11 contamination by small air-bubbles and are therefore of inferior quality (data not shown).

12           On-line profiling with cable operated CTD (Conductivity, Temperature and Depth)  
13 instruments has been common practice in oceanography for several decades. The combination  
14 of real-time data access with the possibility of closing water sample bottles at depths of  
15 interest makes such instruments useful in many types of applications. CTDs have been  
16 equipped with a whole suite of sensors, e.g. to measure particles in the water, chlorophyll  
17 content, pH, current velocity etc. Oxygen, measured with electrochemical sensors, has also  
18 been one of the most common parameters to be included on CTD instruments. These sensors  
19 demand special attention and frequent recalibrations to be able to give reliable data.  
20 Furthermore, when making deep-sea profiles residual pressure effects (hysteresis) frequently  
21 causes these sensors to drift and to give unreliable readings.

22           Examples of data from one CTD mounted optode measurement, along with Winkler  
23 analyzed data from discrete samples, are presented in Fig. 9. This Figure shows an oxygen  
24 optode profile down to 5500 m off Guinea in the Equatorial Atlantic. Oxygen concentrations  
25 obtained by Winkler titrations on collected water samples are also included in the figure. The

1 average difference between the Winkler values and the optode readings was  $2.1 \pm 5.1 \mu\text{M}$ . No  
2 remaining pressure effects (hysteresis) were discovered and the sensor was reading  $252 \mu\text{M}$  in  
3 the air before the deployment and  $253 \mu\text{M}$  after.

4

5 Discussion, comments and recommendations

6

7 The data presented above strongly suggest that the lifetime-based methodology and the  
8 oxygen optode sensors evaluated here are well-suited for measurements of dissolved oxygen  
9 in the aquatic environment. The sensor specifications and performance can be summarized as  
10 follows:

11

- 12 • Precision ( $\pm 1 \mu\text{M}$ ) and accuracy ( $\pm 2 \mu\text{M}$ ) similar to what can be achieved by Winkler  
13 titration.
- 14 • Long-term stability for more than 600 days.
- 15 • No stirring sensitivity since the sensor does not consume any oxygen
- 16 • Temperature has an influence on the luminescence quenching and has to be corrected for.  
17 The sensors evaluated here had built-in temperature sensors. The corrections applied are  
18 adequate and performed internally and automatically.
- 19 • Salinity has to be corrected for by using standard formulas given in the literature (Garcia  
20 and Gordon, 1992).
- 21 • Pressure has an effect on the sensor response by lowering the signal with 4 % per 1000 m  
22 (100 bar) of water depth. This effect is fully and instantaneously reversible (no  
23 hysteresis).
- 24 • The 90 % response time ( $t_{90}$ ) is 47 s for optically isolated and 10 s for non-isolated foils.

- 1 • This and other studies (Klimant et al., 1995) have demonstrated that there exists no cross  
2 sensitivity to, and the sensors do not get damaged by, hydrogen sulfide (H<sub>2</sub>S), carbon  
3 dioxide (CO<sub>2</sub>), ammonia (NH<sub>3</sub>), pH, any ionic species like sulfide (S<sup>2-</sup>), sulfate (SO<sub>4</sub><sup>2-</sup>) or  
4 chloride (Cl<sup>-</sup>). The sensors can also be used in methanol-water and ethanol-water mixtures  
5 as well as in pure methanol and ethanol.
- 6 • Interferences (cross-sensitivity) were found only for gaseous sulfur dioxide (SO<sub>2</sub>) and  
7 gaseous chlorine (Cl<sub>2</sub>).
- 8 • Biofouling resistance depends on the environment. In the marine environment longer  
9 deployment times (months) can be achieved by wrapping a beryllium-copper alloy net  
10 (used for domestic cleaning) around the sensor. In waste water flushing or a redesign of  
11 the sensor is needed to achieve longer deployment times.

12

13 The performance of this method in general and of this type of sensor in particular has been  
14 demonstrated here through numerous laboratory and field examples. When comparing to the  
15 general behavior of electrochemical sensors it is clear that the lifetime-based optical  
16 technology is superior in every aspect, except for the fast response time that has been  
17 demonstrated by electrochemical microelectrodes. With future development there is potential  
18 to improve the response time of the foils / sensors but probably not to the level achievable  
19 with microelectrodes ( $t_{90} = 0.1$  s). Also when comparing this technology to intensity-based  
20 optodes, the lifetime-based measurements have advantages mainly in terms of stability.

21 The active chemical compound used in this sensor is a platinum porphyrine complex  
22 which has the advantage to yield a longer and more easily detectable lifetimes than the more  
23 commonly used ruthenium complexes (e.g. Klimant et al., 1996; Stokes and Romero, 1999).  
24 Ruthenium-based sensors are likely to generally perform similar to the sensors tested here but

1 the accuracy and precision is expected to be lower, due to the shorter lifetimes. The pressure-  
2 behavior might also be different.

3 A crucial point for the long-term stability of this type of sensors is how strongly the  
4 sensing compounds are bound to the support layer and how rapidly they bleach with time  
5 (number of excitations). If the sensing substance dissolves with time or if it bleaches rapidly  
6 the long-term stability will be compromised. The technology presented here appears to be  
7 stable for years (not yet fully demonstrated) but this is chemistry dependent and the same  
8 stability can not automatically be assumed for other chemistries (e.g. ruthenium complexes or  
9 platinum porphyrine complexes with different ligands). Also for the electronics, the  
10 mechanical and optical systems differences between other makes of sensors should be  
11 expected.

12 Use of this sensor in waste water treatment plants and in live stock waste has  
13 demonstrated that this design, with the foil placed in a shallow depression, is not optimal for  
14 these types of applications. Organic material accumulates in the depression, the response time  
15 becomes longer and the bacteria change the local oxygen conditions. A leveled mounting of  
16 the foil would most likely improve the ability of the sensor in this type of environments since  
17 the accumulation of organic material would become lower.

18 In conclusion it has been demonstrated here that the lifetime-based sensor technology  
19 provides a new potential for accurate long-term measurements of oxygen. This opens new  
20 possibilities not only for environmental monitoring and research in lakes, rivers and the  
21 coastal environment where oxygen variations are in general large and rapid. It also gives the  
22 possibility to use oxygen as a tracer in oceanographic studies and to monitor the open ocean  
23 and deep sea environments where changes might take years/decades (e.g. Körtzinger et al.,  
24 2004a,b). The oxygen response of an optode is exponential, yielding highest sensitivity at low  
25 concentrations. A high sensitivity at low concentrations is important in environments such as

- 1 ocean oxygen minimum zones, found in e.g. the Pacific Ocean and Arabian Sea. A slight
- 2 change in the ambient oxygen level can make a drastic change in the benthic community, due
- 3 too different tolerance levels for hypoxia (Levin, 2003).

1

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3

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1 Table 1: Description of different tests and their major conclusions.

Test [#] (number of sensors used)	Test; (test period)	Location; Equipment	Main physical settings* O=O <sub>2</sub> ; T=Temp pH=pH; P=Pres Sa=Salinity St=Stirring	Comparisons with other methods	Major conclusions	Data in Fig #
1 (about 20)	Accuracy of different calibration methods (5 days)	Lab; Mass flow controllers, thermobath	O:0-500; T:2-40 pH:7; P:1 Sa:0 St:from gas mix	Conc. is known from the gas mix	Batch calib: Accuracy $\pm 5 \mu\text{M}$ Individual calib: Accuracy $\pm 2 \mu\text{M}$ Precision $\pm 1 \mu\text{M}$	Not shown
2 (3)	Multivariate calibration (10 days)	Lab; Incubation device, press. chamb.	O:0,115,230; T:6,13,20 pH:5,6,5,8; P:1,250,500 Sa:0,17,34 St:0,25,50 RPM	Winkler	Sal influence, corrected with standard equations; Press effect 4% per 100 bar, linear; No sensor is individual	Fig.2 Fig.3A Fig.3B
3 (3)	Pressure Cycling (1 day)	Lab; Incubation device, press. chamb.	O:380; T:7 pH:7; P:3-405 Sa:0 St:0 RPM	No	Press effect 4% per 100 bar, linear, no remaining pressure effects (hysteresis). No sensor is individual.	Fig.2 Fig.4
4 (3)	Response time of isolated & non isolated foils (1 day)	Lab; Air & N <sub>2</sub> bubbled water	O:0,284; T:20 pH:7; P:1 Sa:0 St:from bubbling	No	Isolation: $t_{67}=23\text{s}$ , $t_{90}=4/\text{s}$ , $t_{99}=95\text{s}$ No isolation: $t_{67}=6\text{s}$ , $t_{90}=10\text{s}$ , $t_{99}=18\text{s}$	Not shown
5 (1)	Long term stability I (600 days)	Off Canada in Labrador Current; On Argo float	O:295; T:3 pH:ND; P:180 Sa:35 St:natural circulation	Water horizon with const. oxygen	No drift detected Average conc. = $295 \mu\text{M}$ Standard dev. = $0.7 \mu\text{M}$ values = 80 samples	Fig.5
6 (37)	Long term stability II (600 days)	Off Guinea in surface water; On Rafos floats	O:228-253; T:28 pH:ND; P:1 Sa:35 St:natural circulation	Surface zone close to 100 % sat	The floats are located at different positions. They give different saturation values 94-104 %. No indication of drift.	Fig.6
7 (1)	River fouling sensitivity (20 days)	Orge River (France); Monitoring station	O:170-300; T:15-22 pH:ND; P:1 Sa:0 St:natural circulation	Electrochemical sensor	Electrochemical sensor affected by fouling after 2 days; Naked Optode no influence of fouling within 20 days	Fig.7
8 (2)	Waste water fouling sensitivity (90 days)	Various waste water treatment plants	O:0-150; T:10-25 pH:ND; P:1 Sa:0 St:natural circulation	Several Electrochemical sensors	Naked optode stable 14 days. Copper plate has no effect. With water jet stable more than 90 days	Not shown
9 (1)	Slurry of livestock waste (2 hours)	Bioreactor	O:0-90; T:35 pH:ND; P:2 Sa:0 St:no	No	Above 6 % Total Solids the mixing in the slurry is not sufficient to achieve good readings	Not shown
10 (1)	On-line ship system accuracy (35 days)	R/V Meteor expedition; Brasil-Africa; Flowthrough	O:190-215; T:26-30 pH:ND; P:2 Sa:31-36 St:throughflow	Winkler	50400 values, no spikes Aver. diff. Winkler-Optode $0.9 \mu\text{M}$ , Standard dev. = $1.1 \mu\text{M}$ Winkler values = 72	Fig.8
11 (1)	Profiling on CTD accuracy (10 hours)	Off Guinea; Optode logger on CTD with bottles	O:17-233; T:2-28 pH:ND; P:1-550 Sa:33-26 St:natural circulation	Winkler	High corr. Winkler and Optode Average difference = $2.1 \mu\text{M}$ Standard dev. = $5.1 \mu\text{M}$ Winkler values = 14	Fig.9

2 \* Oxygen Concentrations given in  $\mu\text{mol/l}$ ; Temperature in degrees C; Pressure in bar and Salinity in ppt

1 Figure legends

2

3 Figure 1. Optical design and an outside view of the evaluated optode-based oxygen sensor.

4 Figure 2. Set-up that was used for multivariate calibration and for pressure testing of three  
5 optodes in parallel. Temperature is measured by the optodes and pressure by a separate  
6 pressure sensor placed in the center of the top plate.

7 Figure 3. Results from multivariate calibration experiments. Oxygen readings obtained with  
8 three parallel optodes plotted against oxygen readings obtained by Winkler titrations.  
9 Figure 3A shows the raw data and figure 3B the data after pressure (4 % per 100 bar)  
10 and salinity compensation (according to Garcia and Gordon, 1992).

11 Figure 4. Oxygen readings from three optodes during pressure cycling between 3 and 405 bar.  
12 The pressurization (from 3-405 bar) took approximately 4 minutes. The depressurization  
13 lasted approximately 1 minute.

14 Figure 5. Five hundred and eighty days of oxygen and density data collected from a free  
15 drifting Argo float off the east coast of Canada in the Labrador Current. The presented  
16 data shows oxygen readings as the float passes at 1800 m depth. As long as the float was  
17 in the Labrador Current (the first 400 days) it is considered that salinity and temperature  
18 and oxygen readings at this depth should be constant. The data demonstrates no drift  
19 over the given time period but as the float moves out of the Labrador Current the  
20 oxygen and density readings shift slightly. The average oxygen readings during the  
21 whole period were  $295.0 \pm 0.7 \mu\text{mol L}^{-1}$ .

22 Figure 6. Five day average of oxygen saturation values recorded with optodes mounted on 37  
23 Rafos floats drifting at the surface at different locations off Guinea (East Atlantic). The  
24 data were recorded after each of the floats had accomplished a 600 day deployment in  
25 deeper layer. The optodes were mounted approximately 2 m below the surface. Since all

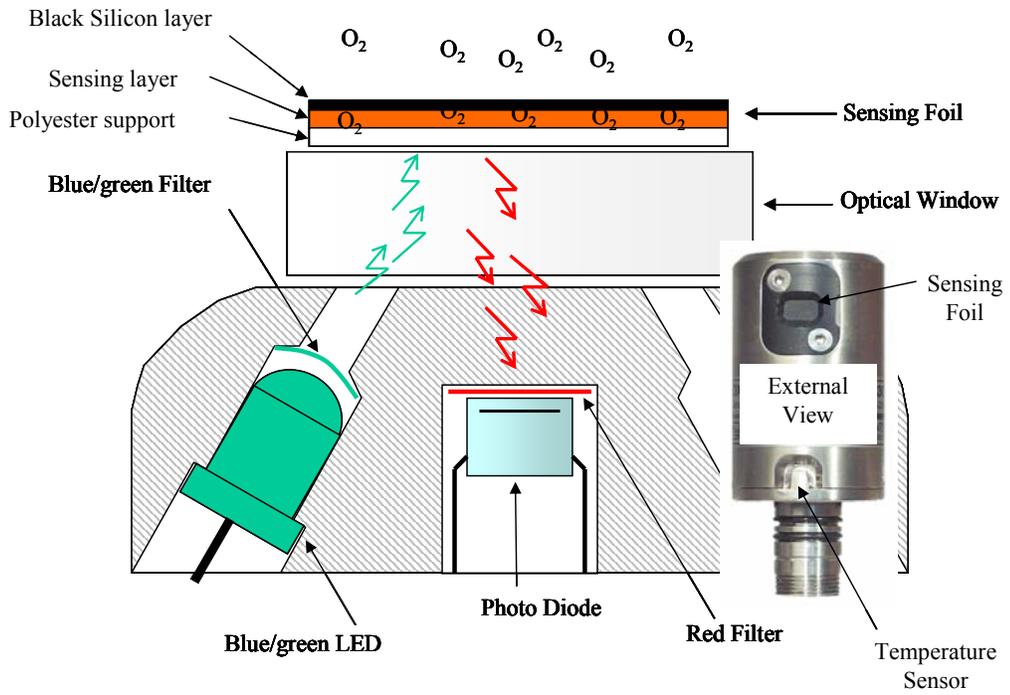
1 the floats are drifting in oligotrophic waters with low production/consumption oxygen  
2 saturation values around 100 % should be expected.

3 Figure 7. Oxygen data from a comparison between an optode and an electrochemical sensor at  
4 a monitoring station in the River l'Orge (France). Two occasions when the  
5 electrochemical sensor was taken up, cleaned and recalibrated are marked with arrows  
6 in the figure. The optode was never cleaned during this experiment.

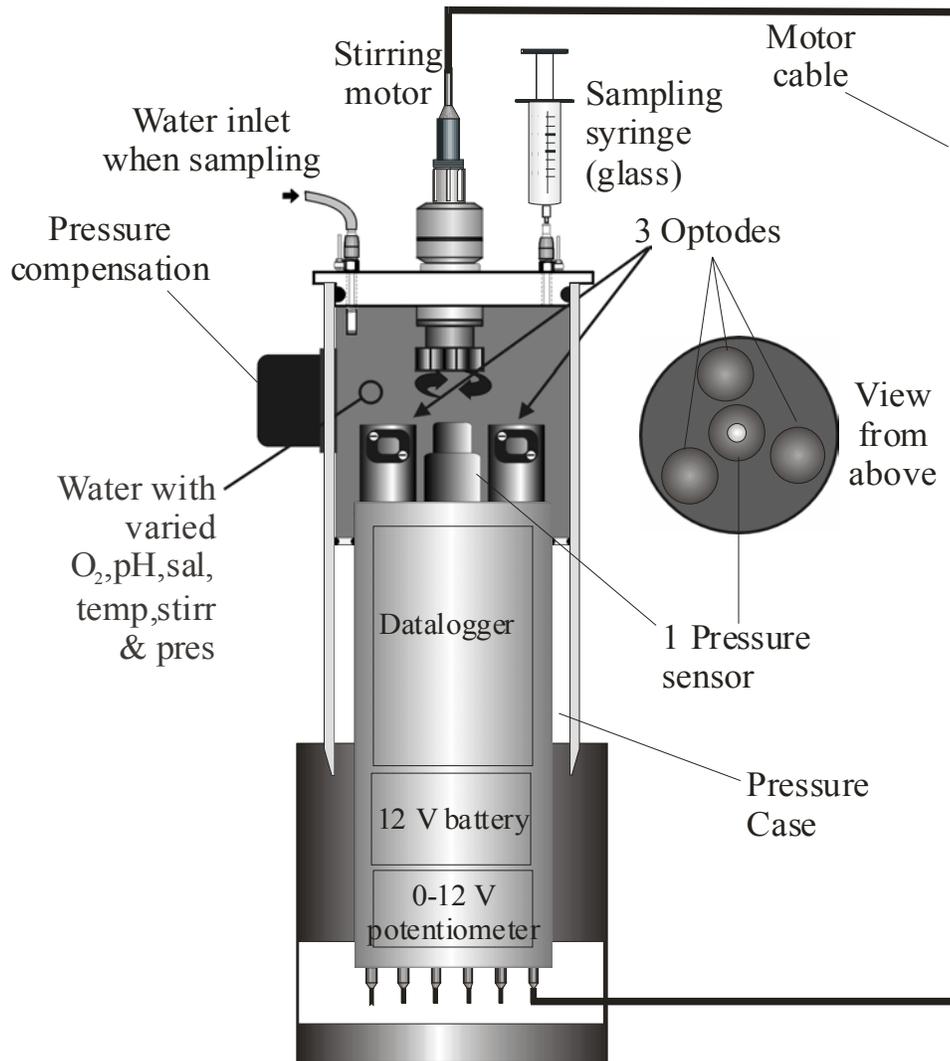
7 Figure 8. Tropical surface ocean oxygen data collected during a cruise to the tropical Atlantic  
8 Ocean. Optode measurements were made on seawater provided by an underway  
9 pumping system whereas Winkler titrations were made on water samples taken with  
10 Niskin bottles from regular hydrocasts.

11 Figure 9. Optode (continuous line) and Winkler data (dots) from CTD deployment to 5500 m  
12 depth in the equatorial Atlantic off Guinea.

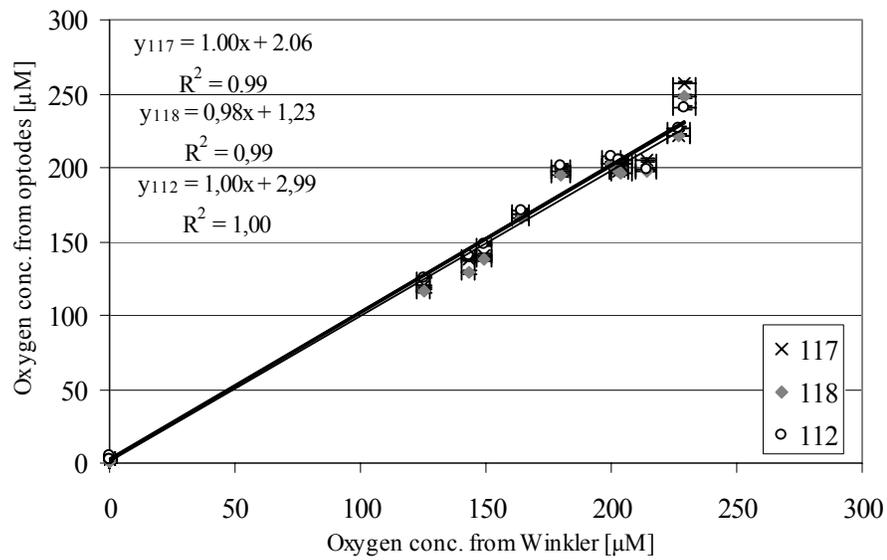
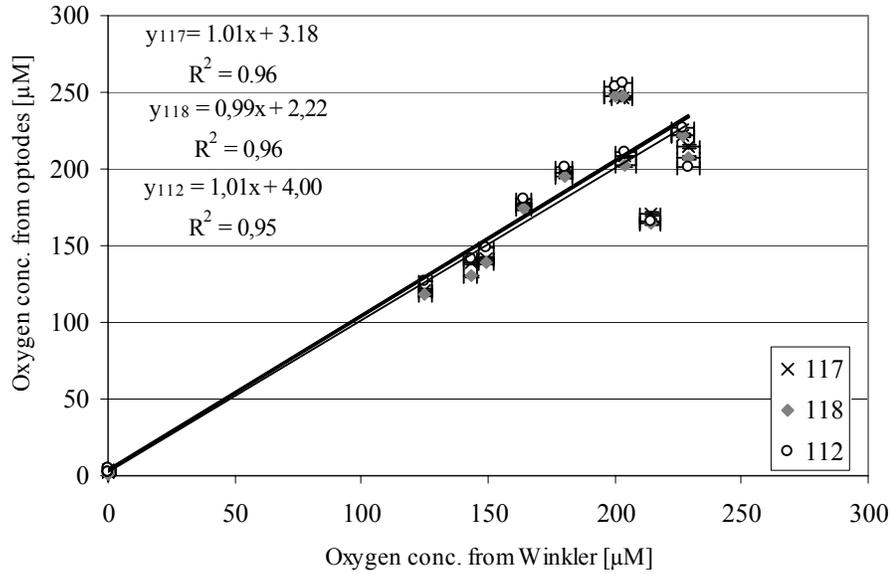
1 Fig. 1



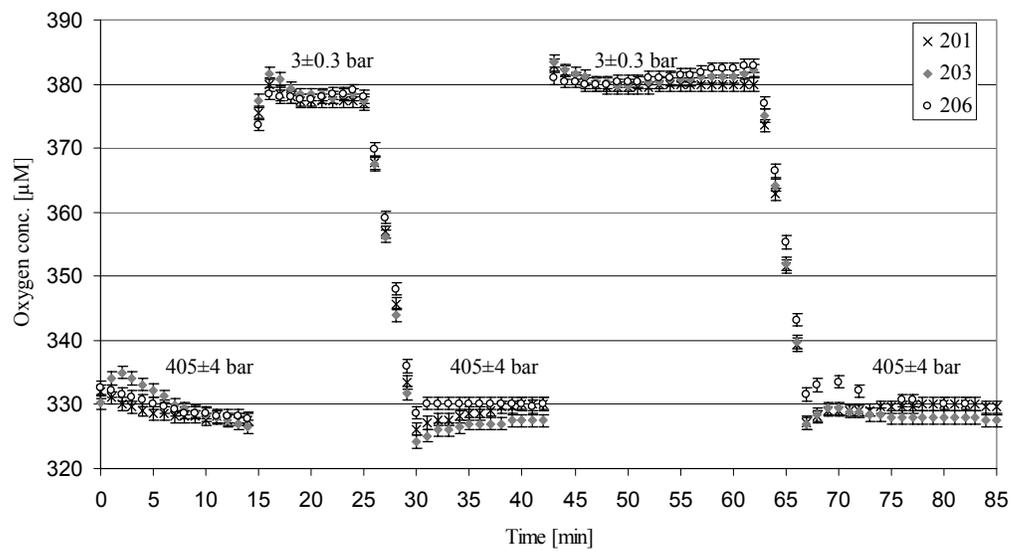
1 Fig. 2



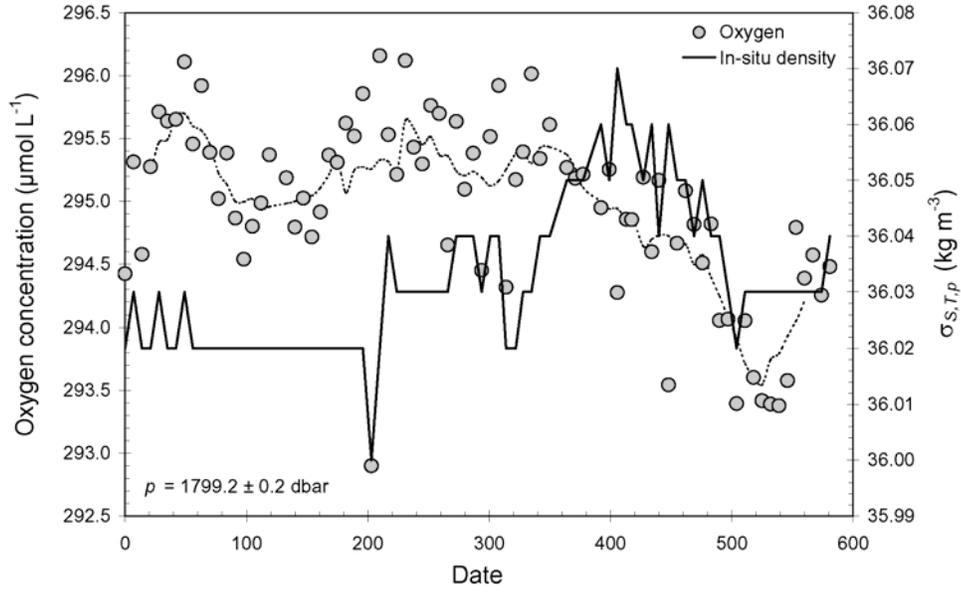
1 Fig. 3A & 3B



1 Fig. 4

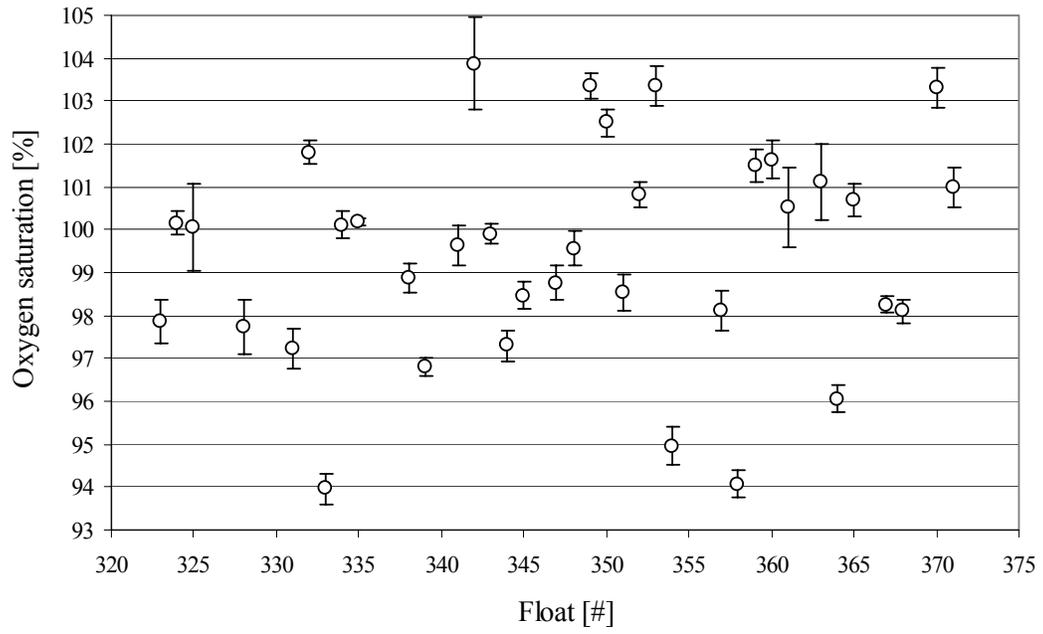


1 Fig. 5



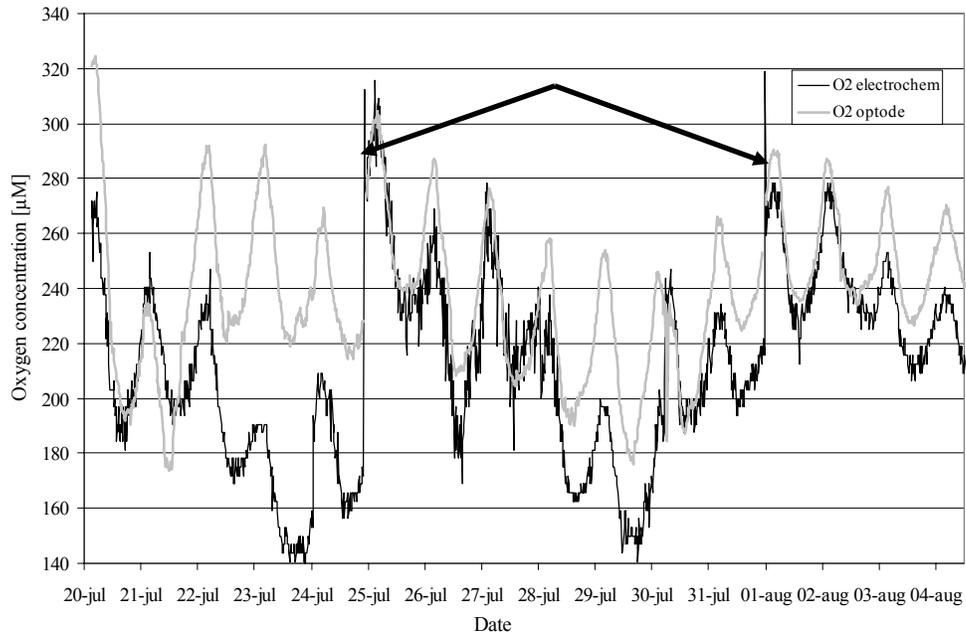
2

1 Fig. 6

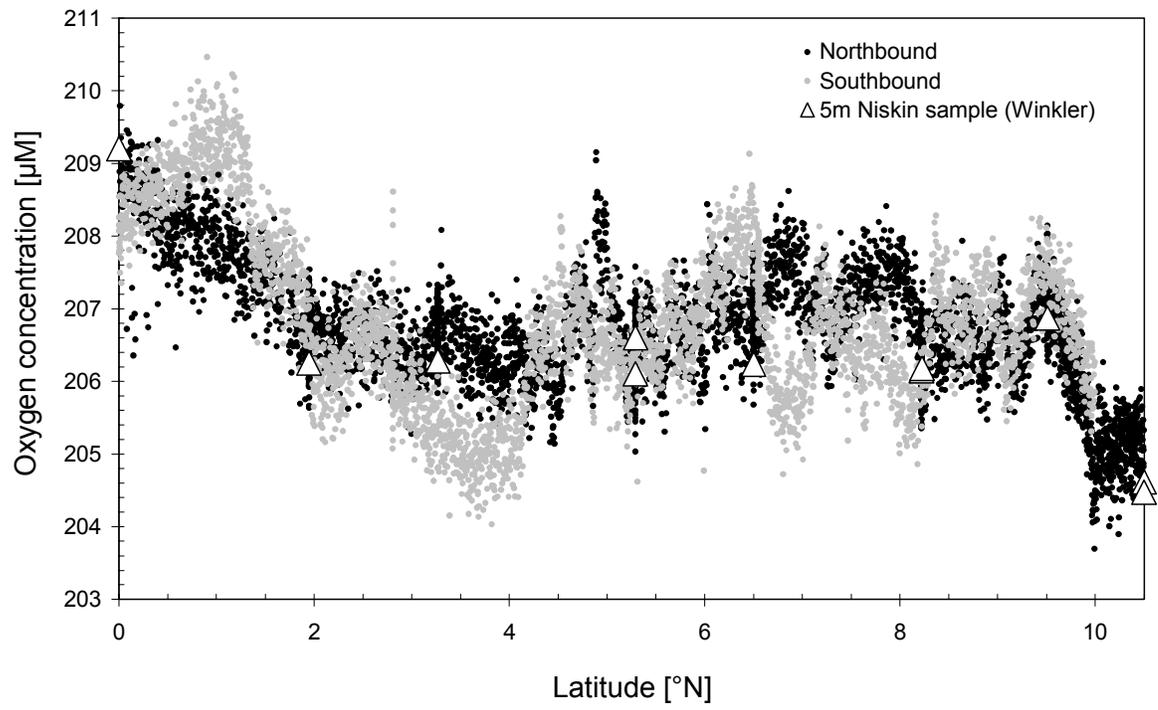


1 Fig. 7

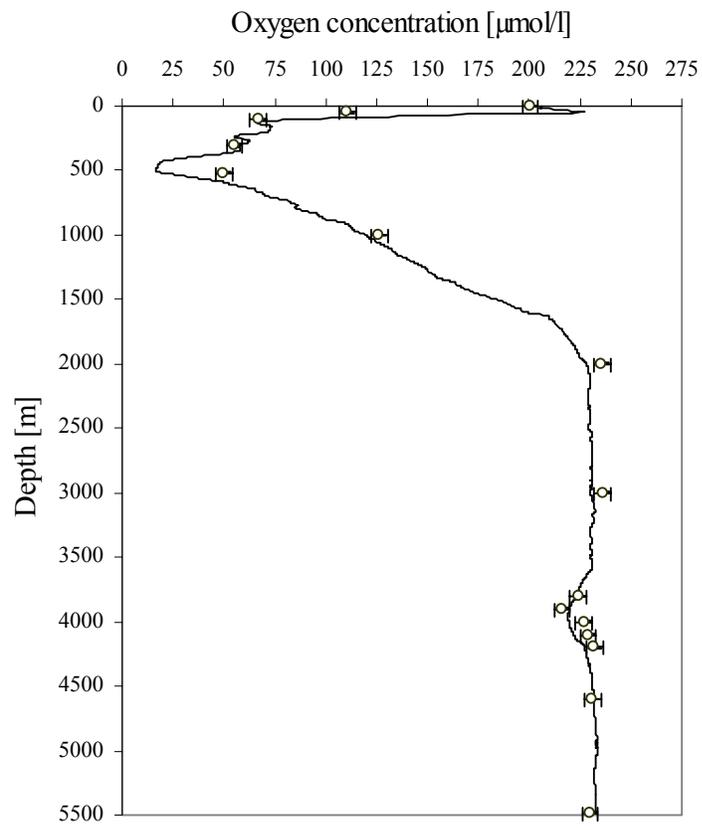
2



1 Fig. 8



1 Fig. 9



1 **Appendix 1**

2

3 Salinity compensation of oxygen readings from optode and calculation of % saturation at a  
4 given salinity and temperature (Garcia and Gordon, 1992).

5

6 **Input variables:**7  $[O_2]$  = O<sub>2</sub> concentration in μM, from the optode8  $t$  = Temperature in degrees Celsius, from the optode temperature sensor9  $S$  = Salinity in ppt, from a parallel salinity measurement

10

11 Empirical constants:

12  $A_0 = 2.00856$

13  $A_1 = 3.22400$

14  $A_2 = 3.99063$

15  $A_3 = 4.80299$

16  $A_4 = 9.78188e-1$

17  $A_5 = 1.71069$

18  $B_0 = -6.24097e-3$

19  $B_1 = -6.93498e-3$

20  $B_2 = -6.90358e-3$

21  $B_3 = -4.29155e-3$

22  $C_0 = -3.11680e-7$

23

24 **Intermediate calculations:**

25

1  $T_s = \text{Scaled Temperature} = \ln \left[ \frac{298.15 - t}{273.15 + t} \right]$

2

3  $\alpha = S(B_0 + B_1 \cdot T_s + B_2 \cdot T_s^2 + B_3 \cdot T_s^3) + C_0 S^2$

4

5  $\beta = A_0 + A_1 T_s + A_2 T_s^2 + A_3 T_s^3 + A_4 T_s^4 + A_5 T_s^5$

6

7

8 **Final results:**

9

10 Salinity compensated oxygen concentration

11

12  $O_2 [\mu\text{M}] = [O_2] * e^\alpha$

13

14 100 % oxygen saturation with air at a pressure of 1013 mbar:

15

16  $O_{2,100\% \text{ sat}} [\mu\text{M}] = e^{(\beta + \alpha)} / 2.2414$

17

18 percent saturation in the given water

19

20  $O_{2,\text{sat}} [\%] = O_2 [\mu\text{M}] / O_{2,100\% \text{ sat}} [\mu\text{M}]$

21