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## Evidence of a variable “unsampled” pelagic fish biomass in shallow water (<20 m): the case of the Gulf of Lion

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**Abstract:** Studies of small pelagic fish biomass are limited by the fact that research vessels and fishing boats are usually restricted to working areas with a bottom depth >20 m. Consequently, “unsampled” areas can represent a large proportion of the continental shelf, and the biomass in those areas can be important and must be taken into account in assessment methods in order to avoid misleading interpretations in population dynamics. A time-series ten years long has been compiled from acoustic-assessment surveys of small pelagic fish stocks, and the results show an overall increase in the acoustic fish density towards the coast, where values were the highest. Additional experiments on transects covering shallow-water areas (5–20 m) were conducted from 2001 to 2003 with small boats and a research vessel to evaluate the acoustic fish density in those areas. The results confirmed that the fish biomass in shallow water is significant, sometimes very large, and should be evaluated to avoid underestimation. Therefore, surveys should be conducted in shallow water, if at all possible, as well as at greater depths when carrying out surveys destined to support assessment exercises.

**Keywords:** assessment; hydroacoustic methods; shallow water; small pelagic fish; spatial distribution

## 29 Introduction

30 Most of the world fish tonnage landed comes from small pelagic fish, mainly belonging to the  
31 Clupeidae and Engraulidae ([www.fao.org](http://www.fao.org)). The often critical current state of pelagic-fish  
32 fisheries (Troadec *et al.*, 2003) requires the use of stock-assessment methods that are either  
33 dependent on or independent of commercial fish landings. Regular scientific monitoring  
34 surveys of fish populations (Mesnil, 2003) are usually conducted by scientific trawling or  
35 hydroacoustic methods or a combination of both (Johannesson and Losse, 1977; MacLennan  
36 and Simmonds, 1992). The direct and indirect stock-assessment methods are carried out using  
37 oceanographic research vessels or commercial fishing boats (Hilborn and Walters, 1992;  
38 Fréon and Misund, 1999). Inshore coastal waters less than 20 m deep are inaccessible to these  
39 vessels for obvious navigational-safety reasons and also for the avoidance of conflict with  
40 artisanal fishers (Petursdottir *et al.*, 2004). Therefore industrial fisheries are usually excluded  
41 from these waters by national regulations. On the other hand, small-scale fisheries are often  
42 very limited in their catches of small pelagic fish. Consequently very little is known about the  
43 fish populations of the shallow waters adjacent to coastlines. However, that part of the  
44 continental shelf with a depth of 0 to about 20 metres can account in some regions for a large  
45 proportion of the total shelf area. In our study the continental shelf along the French coastline  
46 in the 'Golfe du Lion' (Mediterranean Sea), where most of the small pelagic fish occur,  
47 accounts for about 8% of the total continental shelf. It is therefore necessary to determine  
48 whether the fact that these areas are not taken into account has any effect on direct fish-stock  
49 assessments. Indeed no official recommendation has been made in small pelagic-fish  
50 assessment methods regarding the biomass of shallow water areas, where research vessels  
51 usually do not go.

52 To determine the importance of the unsampled coastal waters in stock assessment, our  
53 study was focused on acoustic-assessment surveys of small pelagic fish (PELMED surveys

54 conducted by IFREMER, ‘PELagiques MEDiterrannée’) that took place every year from 1993  
55 to 2003 in the ‘Golfe du Lion’ (Abad *et al.*, 1996; Guennégan *et al.*, 2000; Lleonart and  
56 Maynou, 2003). Surveys were completed by oceanographic research vessels at depths from 20  
57 to 140 metres, the edge of the continental slope, where fish densities become very low  
58 (Whitehead, 1985). Complementary scientific surveys were carried out in the same area and at  
59 the same time but in shallow waters (5 to 40 m deep), for three consecutive years, at the end  
60 of the PELMED surveys, using a small boat fitted with an outboard and equipped with a  
61 scientific echo-sounder. Acoustic surveys in shallow waters have become more common in  
62 recent years (Duncan *et al.*, 1998; Thorne, 1998) and can be used to survey environments that  
63 until now have not been explored by this method (Mulligan, 2000; Trevorrow *et al.*, 2000;  
64 Brehmer *et al.*, 2003a). The goals of this paper are to show how to investigate shallow waters  
65 by hydroacoustic methods and demonstrate that an important part of the fish biomass  
66 distribution could occur in them.

67

## 68 Material and methods

### 69 The annual ‘PELMED’ surveys, 1993 - 2003

70 These stock-assessment surveys were conducted each year during summer (Table 1) using the  
71 same sampling strategy of transects perpendicular to the coast. The distance between each  
72 transect was 12 nautical miles and each survey consisted of 9 transects with a total length of  
73 355 nautical miles (Figure 1). An Elementary Sampling Distance Unit, ESDU, was defined  
74 for each mile covered and the acoustic energies reflected by the fish were measured for each  
75 ESDU. The mean acoustic energies, i.e. the sum of the deviations or energies divided by the  
76 number of ESDUs, were calculated for each year and each isobath interval, in increments of  
77 20 metres depth, over the whole of the survey. To avoid annual variations in total abundance  
78 and differences due to the change of boat and the analysis software used - successively the

79 deviations ( $Q_p$  in mm) from 1993 to 1999, the energies ( $E$  in  $m^2.mV^2$ ) from 2000 to 2001  
80 and finally the 'nautical area scattering coefficient' ( $s_A$ ,  $m^2. nmi^{-2}$ ) (Mac Lennan *et al.*, 2002)  
81 in 2002 and 2003 - we standardized the data by using the total acoustic energy percentage for  
82 each year. Except for the first survey (1993) which was made from the R/V Thalassa (73.6 m,  
83 Ifremer) the work was carried out from the R/V L'Europe (29.6 m, Ifremer). The 38 kHz  
84 echo-sounders used were an Ossian 1500, and then a Simrad EK500 with a constant pulse  
85 length of 1 ms. The threshold on the echo-sounder was -60 dB for echointegration analysis, to  
86 ensure that only pelagic fish were detected. The data-processing software was Ines-Movies at  
87 first (Weill *et al.*, 1993) then Movies+ (Diner *et al.*, 2002), following technological  
88 developments in this field. This change had no effect on the stock-estimation process. The ten  
89 years of acoustic survey and trawl-sampling data collected (Table 1) provided the estimated  
90 biomass of a fish stock that was mainly anchovies (*Engraulis encrasicolus*) and sardines  
91 (*Sardina pilchardus*).

92

### 93 *In situ* experimental observations in shallow waters

94 At the end of the PELMED surveys in the period 2001-2003, specific investigations were  
95 conducted in shallow waters from the 'Chlamys' (aft draught: 0.50 m), which was powered by  
96 an outboard motor, and from R/V L'Europe (aft draught: 3.40 m) to determine the importance  
97 of the acoustic fish density in the inshore area situated between the 20 m isobath and the  
98 coastline (Figure 1 A). In each of the three years these two vessels simultaneously conducted  
99 transects, the R/V L'Europe stopping at a depth of 15 m in 2001 and 2002, and 20 m in 2003,  
100 whereas the Chlamys, which was built for manoeuvring in very shallow waters, began at 30 m  
101 depth and systematically continued its transects toward the coast up to 5 m bottom depth  
102 (Figure 1 B). The R/V L'Europe was fitted with a Simrad EK500 echo-sounder while the  
103 Chlamys was equipped with a SIMRAD EY500 split-beam echo-sounder, 70 kHz frequency,  
104 pulse length 0.3 ms and a pulse repetition rate of about 8 emissions per second. The

105 transducer was fixed on a vertical pole alongside the outboard motor. All the data collected by  
106 the Chlamys echo-sounder were processed using *Movies+* software (Diner *et al.*, 2002) with  
107 the same threshold of -60 dB for echointegration (in  $s_A$ ). The arithmetic mean of the acoustic  
108 densities  $s_A$  observed by the R/V L'Europe and the Chlamys were calculated per depth class.  
109 For the R/V L'Europe the bottom-depth classes were defined as follows: 15-20 m, 20-30 m  
110 and 30-40 m; except in 2003 where depths of less than 20 m were not sampled. For the  
111 Chlamys, two depth classes were distinguished: less than 20 m (i.e. 5-20 m), and 20-30 m  
112 (Figure 1 B).

113

## 114 Results

115 The results are presented in two parts. First, the chronological series derived from the ten  
116 PELMED stock-assessment surveys (Figure 2) and second, the specific experiments in  
117 shallow water (Figure 3).

118

119 All the data derived from the PELMED stock-assessment surveys (Table 1) can be  
120 represented graphically from the coast to offshore by plotting the mean acoustic densities for  
121 each increasing depth class and by year (Figure 2). These graphs show that there is a  
122 decreasing gradient in the estimated mean acoustic densities seaward from the coast.  
123 Gradients were more or less pronounced depending on the year, but always followed the same  
124 trend. The linear regressions (Figure 2) calculated for the ten years of surveys showed the  
125 same characteristic trend (Table 2). The slopes of the fitted regressions were always negative,  
126 reflecting the decreasing acoustic densities the greater the distances from the shore; the years  
127 2002 and 2003 being distinguished from the other years by a gentler slope. In all cases the  
128 lowest acoustic densities occurred on the margins of the continental slope, i.e. at depths  
129 between 100 and 140 m.

130

131 Direct *in situ* observations of the pelagic-fish biomass present in shallow water

132 Observations from the R/V L'Europe

133 The results for the years 2001 and 2002 in the area shallower than 20 m differed greatly from  
134 one another; the arithmetic mean of observed  $s_A$  in 2001 was 5437  $\text{m}^2 \cdot \text{nmi}^{-2}$ , some 9.4 times  
135 greater than that found in 2002 (Figure 3 A). The density for the 20-30 m and 30-40 m depth  
136 class was of the same order. There was a pronounced gradient in density from the seaward in  
137 2001. In 2002, the 20-30 m depth class had a higher density (factor 2.6) than the 5-20 m class  
138 and 1.8 times greater than the 30-40 m class. In 2003, the acoustics densities ( $s_A$ ) in the 20-30  
139 m and 30-40 m depth classes were similar.

140

141 The 'Chlamys' observations

142 In 2001, the acoustic density ( $s_A$ ) for depths of less than 20 metres was some five times  
143 greater than that measured in 2002 and 2003. For the 20-30 m depth class, the densities were  
144 very similar for the three consecutive years (Figure 3 B). In 2001 the highest density were  
145 found close to the coast and was three times that found in the next depth class, 20-30 m.

146

147 Comparison between the R/V L'Europe and Chlamys results

148 The same trends in terms of variations in acoustic density according to the bottom depth were  
149 observed irrespective of the type of boat used, but the values measured from the research  
150 vessel (38 kHz) were always higher than those of the small boat (70 kHz) (Figure 3).

## 151 **Discussion**

152 A strongly decreasing gradient from the coast to offshore in fish-biomass estimation was  
153 observed, irrespective of the year and the variations in total acoustic density. This trend was

154 consistent over all the ten years in the French part of the ‘Golfe du Lion’ though in 2001 and  
155 2002, the highest acoustic densities were not in the most inshore area sampled by the research  
156 vessel (20-40 m), but just inside the next zone (40-60 m). This could have been related to  
157 different meteorological or trophic conditions in those years which in turn has implications  
158 for the sampling methods to be used in any one year just as classical fish spatio-temporal  
159 variability or migration phenomenon have (Godø, 1989; McAllister, 1998).

160 The surveys conducted in waters shallower than 20 m, to a depth of 15 m by the R/V  
161 L’Europe, did not provide a good overall view of the biomass distribution occurring in coastal  
162 shallow waters of the whole ‘Golfe du Lion’ because of the incomplete sampling to which we  
163 were restricted. However, the results obtained showed the acoustic fish density in the  
164 shallowest zone was of the same order as that in the adjacent zone (20-40 m), which  
165 according to the ‘PELMED’ time series was the zone with the highest values. In 2001, the  
166 coastal zone (5-20 m deep) had densities three times higher than the next depth zone. In the  
167 following two years, this area did not have a higher density but was similar to that found at  
168 between 20 and 40 m. The observations made in 2002 and 2003 therefore show that the  
169 shallow inshore area is important in terms of acoustic fish biomass, i.e. that it has an  
170 important higher density than the mean density found on an entire ‘PELMED’ transect from  
171 20 to more than 140 m. Hence the ten-year series of surveys and direct observations in  
172 shallow waters combine to indicate the importance of the usually unsampled inshore area, in  
173 terms of fish biomass.

174 Our study was limited to the ‘Golfe du Lion’, but in many regions the areas that are  
175 inaccessible to research vessels are less extensive, as in the case of Peru where the 20 m depth  
176 contour is usually close to the coast. However, during specific climatic conditions such as El  
177 Niño events, part of the considerable anchovy biomass can concentrate very close to the  
178 coastline and therefore escape acoustic sampling by standard survey vessels (Bertrand *et al.*,  
179 2005). Similar event have been reported by Glantz (1996) during ‘El Niño 1972/1973’ where

180 pockets of cold waters close to the coastline contained large numbers of anchovies. In other  
181 regions, these shallow areas are very extensive, e.g. the Gabès Gulf off Tunisia or the Gulf of  
182 Italy, where the shallow-water part of the continental shelf is bigger than in the ‘Golfe du  
183 Lion’. In such a case it is quite possible that leaving the zone unsampled could lead to  
184 significant errors in stock assessment by underestimating stocks.

185 It seems likely that this ‘methodological’ sampling error does not only affect the values  
186 provided by scientific fishing or echo-integration analysis produced by data collection from  
187 oceanographic vessels, but that other factors may be involved. In fact historically in many  
188 countries national fisheries regulations rarely allow trawling in shallow inshore waters, only  
189 small-scale fishing (Petursdottir *et al.*, 2004) being authorized there. Therefore an  
190 overabundance of small pelagic fish near the coast can quickly lead to the small-scale  
191 fisheries outlets becoming saturated. This was the case, for example, during the acoustic  
192 survey conducted off the southern shelf coast of Senegal ‘Petite Côte’ in 1999 by IRD  
193 personnel on board R/V Antéa (Brehmer, 2004). Almost no *Sardinella aurita* was detected in  
194 the areas sampled (> 20 m) by the R/V Antéa (unpublished data), whereas the landings by  
195 local small-scale fishermen quickly flooded the markets, overcoming the capacities of the  
196 local fish wholesaler. Surveys conducted in areas shallower than 15 m in the same Senegalese  
197 region (Guillard and Lebourges, 1998) showed the importance of this zone, which is not  
198 usually sampled, in terms of quantitative and qualitative biomass, with the occurrence mostly  
199 of juvenile fish.

200 In some cases, a concentration of the biomass near the coast, can lead to an increase in the  
201 catch (Glantz, 1996). Consequently catch data that already suffer from catchability variation  
202 (Arreguin-Sanchez, 1996; Brehmer and Gerlotto, 2001), are difficult to interpret and cannot  
203 reflect the real population biomass, but only the available biomass (i.e. fish stock). Obviously  
204 even in the short term, if the environmental characteristics are more attractive or less repulsive  
205 according to the specific intrinsic fish behaviour, a similar process can occur in the case of the



206 offshore distribution of the fish stock as that found in the shallow waters of the continental  
207 shelf. In this case the targeted fish are out of the fishing areas. So the assumption that the  
208 production of biomass can be described by a function of exploited biomass may be false in  
209 this instance of inaccessible biomass (Laloë, 1988).

210 The variations in biomass between years in the coastal area can be caused by either fish  
211 behavioural changes or resource exploitation or environmental changes (Binet *et al.*, 2001)  
212 or a combination of all three factors. The comparison between the spatial structures of that  
213 occur with shoal clustering should be done in two and three dimensions (Petitgas *et al.*, 2001)  
214 using echo-sounder data and also long-range sonar data (Petitgas *et al.*, 1996) to properly  
215 describe the spatial characteristics of the fish biomass. The extent of small-scale spatial and  
216 temporal migrations of small pelagic fish is not well known because of the absence of direct  
217 field observations of shoal movements (Misund and Aglen, 1992; Brehmer *et al.*, 2005).

218 Another point where the fish behaviour can have an important impact in biomass estimation is  
219 the avoidance reaction in front of a boat, the fishing gear or the echo-sounder beam (Olsen *et*  
220 *al.*, 1983; Misund and Aglen, 1992; Fréon *et al.*, 1993; Brehmer, 2004). It may be thought  
221 likely that the avoidance behaviour of shoals of small pelagic fish would differ greatly  
222 depending on the very different characteristics of the two types of boats used (Mitson, 1995)  
223 in this instance but this was not entirely the case. The same number of shoals was observed  
224 with both boats but the vertical avoidance movements were different, the shoals observed by  
225 the R/V L'Europe being significantly deeper than those observed by the Chlamys (Brehmer *et*  
226 *al.*, 2003b). Gauthier and Rose (2002) have shown a significant increase in the target-strength  
227 values (Love, 1977) on the same fish (*Sebastes* spp.) between the targets detected below 50 m  
228 depth compared with more shallow ones. They attribute this phenomenon to fish-avoidance  
229 reaction. This vertical diving phenomenon could explain the differences in the backscattered  
230 energies observed between the two boats. The noise generated in the water column is higher  
231 for the R/V L'Europe than for the outboard (Brehmer *et al.*, 2003b). The difference of a factor

232 3 to 4 in acoustic fish density (Figure 3) between the two frequencies can be caused by the  
233 noise generated by the type of vessel used (i.e. R/V vs. small craft) or to an acoustic effect of  
234 the echo-sounder frequency used (38 kHz vs 70 kHz).

235 Nowadays the technologies available for direct hydroacoustic observation in shallow waters  
236 include both traditional split-beam echo-sounders and also multi-beam sonars (Gerlotto *et al.*,  
237 2000; Mayers *et al.*, 2002; Brehmer *et al.*, 2003a), on mobile or fixed stations (Fabi and Sala,  
238 2002). Platforms like the Autonomous Under-water Vehicle (Fernandes *et al.*, 2003) should  
239 allow these shallow areas to be surveyed. Aerial or Lidar observations, which are also suitable  
240 for shallow environments and gregarious populations, can also be envisaged (Churnside *et al.*,  
241 2003).

242

## 243 **Conclusion**

244 In the case of 'Golfe du Lion' a significant underestimate may occur in the assessment of the  
245 small pelagic fish stock if the coastal zone is not taken into account because of the spatial and  
246 temporal distribution of the population. Whatever the methodology used, it seems to be  
247 essential to assess the importance of the coastal strip that is not sampled by conventional  
248 research vessels. The results can then be taken into consideration in the fisheries management  
249 and ecological studies based on the variations of small pelagic fish stocks biomass. The  
250 assessment underestimation variability depends on characteristics of the continental shelf  
251 (available area under 20 m and water temperature/salinity) and the natural habitat of the small  
252 pelagic fish, but mainly on their displacement strategies, which could lead to an unsampled  
253 biomass of part of the resources targeted. Although the factors determining the behavioural  
254 aspects of spatial distribution of small pelagic fish are not yet well understood, methods exist  
255 for taking into consideration the biomass occurring in the coastal zone. It is necessary to use  
256 them to confirm or refute the importance of the biomass present in those areas that are

257 inaccessible to normal sampling vessels and that are often not sampled in commercial  
258 landings data. We suggest carrying out traditional acoustic-assessment surveys over the  
259 deeper continental shelf part by the research vessel, simultaneously with shallow-water  
260 surveys from a small craft equipped with a portable echo-sounder. Similarly adapted pelagic  
261 trawl (Guillard and Gerdeaux, 1993) should be used to sample the fish population in these  
262 areas and complete the trawling carried out in the deeper continental shelf part by the research  
263 vessel.

264

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390

391 Table 1: The nine PELMED acoustics surveys with the research-vessel name and the echo-  
 392 sounder model used (frequency: 38 kHz). The data have been processed using Ines-Movies  
 393 software then with Movies+.

394

Year	Month	Vessel	Echo-sounder 38 kHz	Software
1993	July	Thalassa	Ossian 1500	Movies-B
1995	August	L'Europe	Ossian 1500	Movies-B
1996	July	L'Europe	Ossian 1500	Movies-B
1997	July	L'Europe	Ossian 1500	Movies-B
1998	July	L'Europe	Ossian 1500	Movies-B
1999	July	L'Europe	Ossian 1500	Movies-B
2000	July	L'Europe	Simrad Ek500	Movies+
2001	July	L'Europe	Simrad Ek500	Movies+
2002	July	L'Europe	Simrad Ek500	Movies+
2003	July	L'Europe	Simrad Ek500	Movies+

395 Table 2: Results of the linear regression (slope and  $R^2$  linear coefficient), between the acoustic  
396 density of fish and bottom-depth layer for each year of the Pelmed surveys in the ‘Golfe du  
397 Lion’. For all nine years the slope was negative.

398

Year	1993	1995	1996	1997	1998	1999	2000	2001	2002	2003
Slope	-5.46	-6.44	-5.66	-4.71	-4.72	-5.19	-6.26	-5.73	-2.29	-2.46
$R^2_{\text{linear}}$	0.756	0.888	0.942	0.919	0.673	0.681	0.918	0.849	0.459	0.23

399

400 Figure 1: (A) The sampling scheme for each annual PELMED survey. The grey rectangle  
401 corresponds to the area of experimental observations carried out in shallow waters from 2001  
402 to 2003; (B) Example of the sampling scheme completed by the R/V L'Europe (black circle)  
403 and the Chlamys (grey circle) extending into shallow waters (5 m); circles are proportional to  
404 fish acoustic density in  $s_A$  ( $\text{m}^2 \cdot \text{nmi}^{-2}$ ).

405

406 Figure 2: The detected acoustic-density percentage in each 20 m depth class compared to the  
407 total density obtained during the whole survey for the ten PELMED surveys. For each year a  
408 trend curve has been calculated by fitting a linear regression.

409

410 Figure 3: (A) The acoustic densities ( $s_A$  in  $\text{m}^2 \cdot \text{nmi}^{-2}$ ) from 2001 to 2003, respectively in black,  
411 white and grey, recorded by the R/V L'Europe for the depth zones 15-20 m, 20-30 m, and 30-  
412 40 m respectively; (B) the acoustic densities ( $s_A$ ) from 2001 to 2003, respectively in black,  
413 white and grey, recorded by Chlamys, for sampling in the 5-20 m and 20-30 m depth zones.

Figure 1: (A) The sampling scheme for each annual PELMED survey. The grey rectangle corresponds to the area of experimental observations carried out in shallow waters from 2001 to 2003;

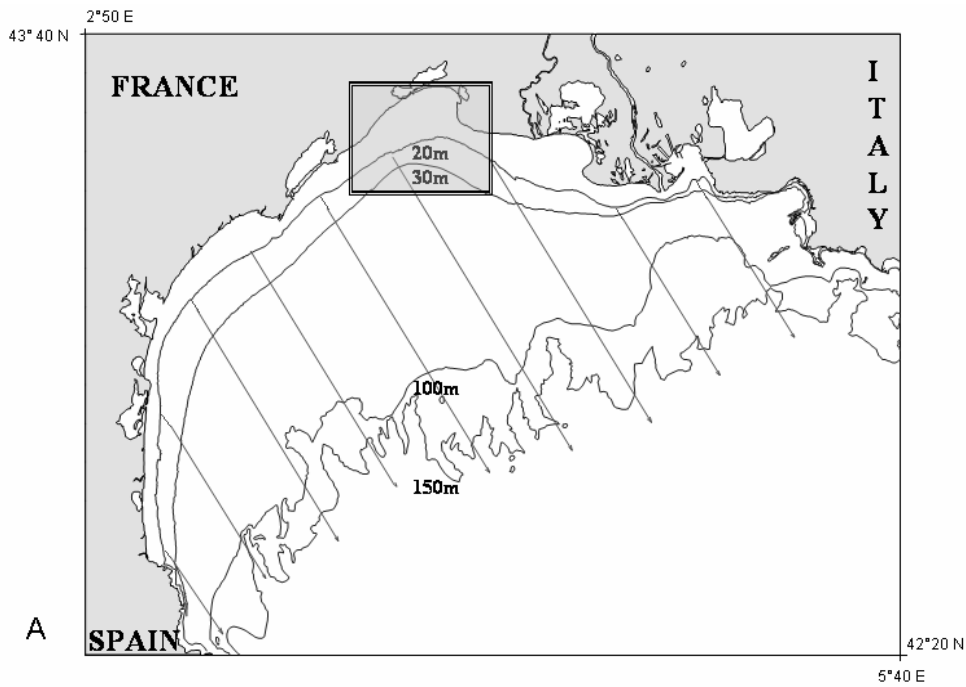


Figure 1: (B) Example of the sampling scheme completed by the R/V L'Europe (black circle) and the Chlamys (grey circle) extending into shallow waters (5 m); circles are proportional to fish acoustic density in sA ( $m^2 \cdot nmi^{-2}$ ).

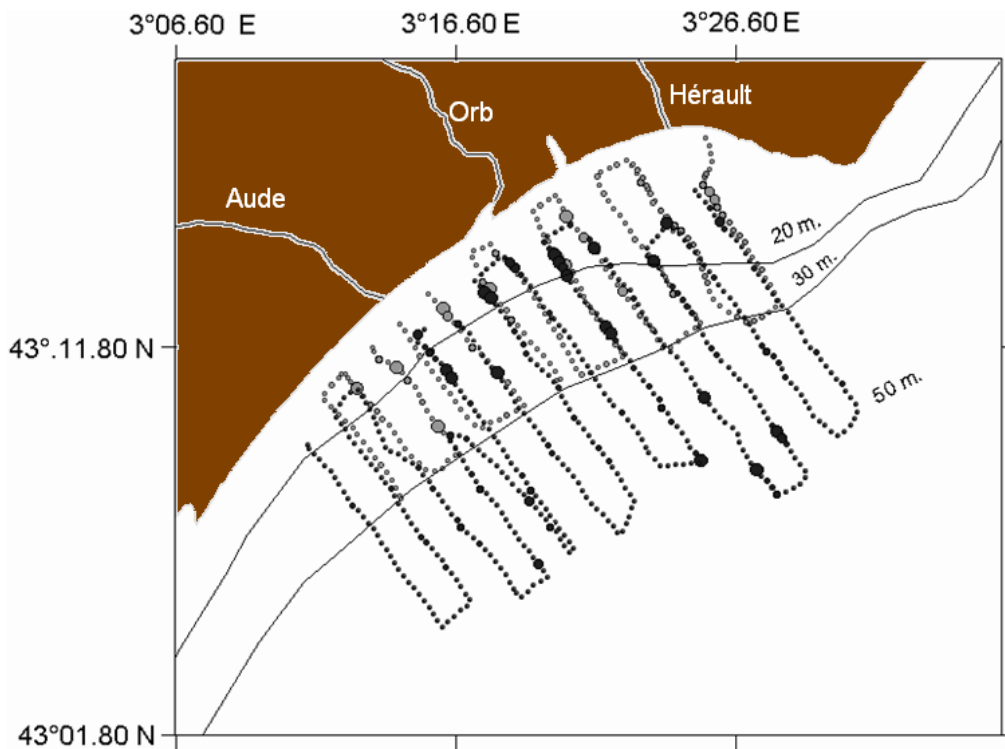


Figure 2: The detected acoustic-density percentage in each 20 m depth class compared to the total density obtained during the whole survey for the ten PELMED surveys. For each year a trend curve has been calculated by fitting a linear regression.

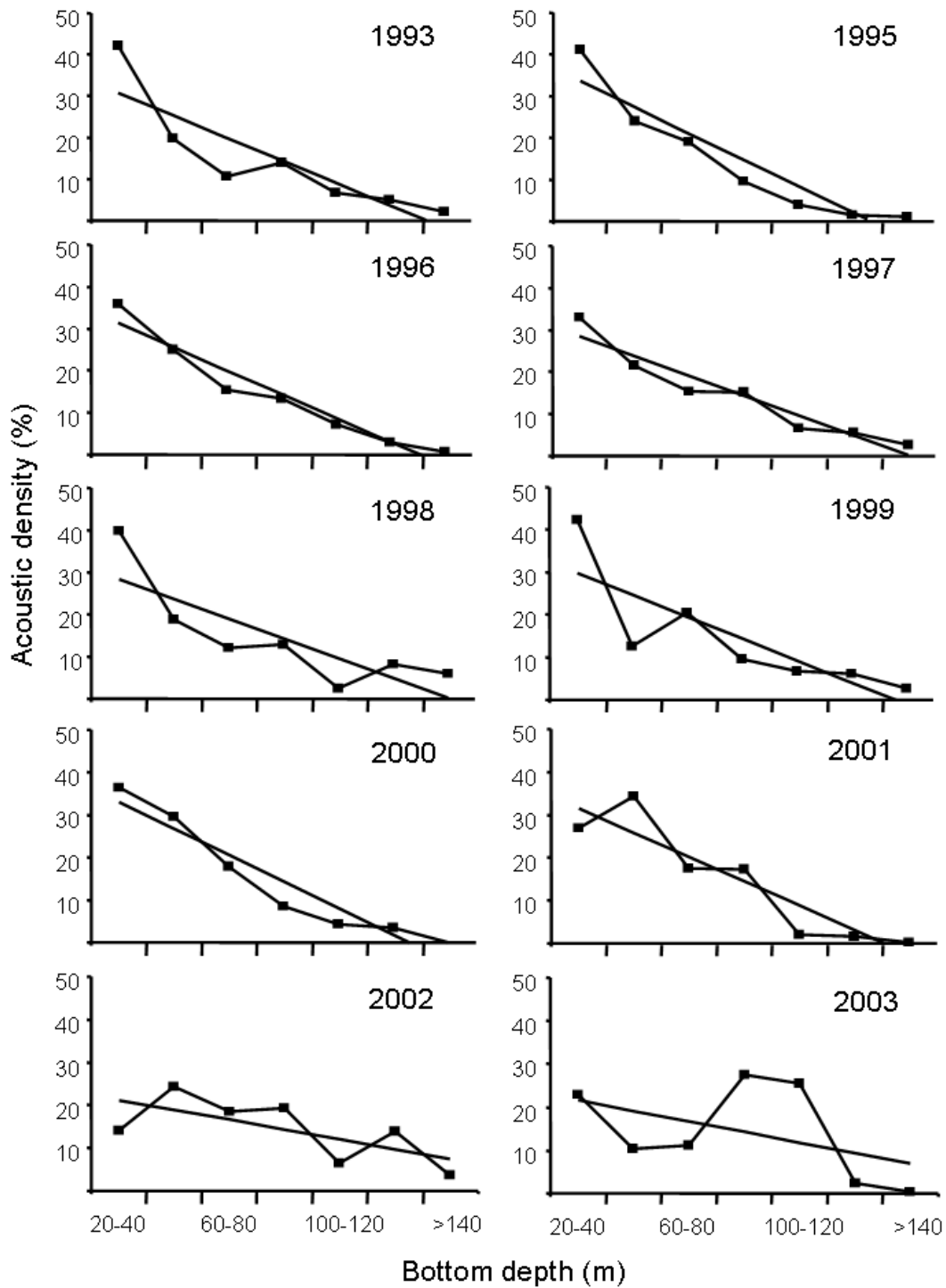


Figure 3: (A) The acoustic densities ( $s_A$  in  $m^2.nmi^{-2}$ ) from 2001 to 2003, respectively in black, white and grey, recorded by the R/V L'Europe for the depth zones 15-20 m, 20-30 m, and 30- 40 m respectively; (B) the acoustic densities ( $s_A$ ) from 2001 to 2003, respectively in black, white and grey, recorded by Chlamys, for sampling in the 5-20 m and 20-30 m depth zones.

