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## **Environmental risk assessment: A critical approach of the European TGD in an *in situ* application**

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### **Abstract:**

The aim of this study was to test field relevance of the prospective methodology for the assessment of environmental risk described in the EU technical guidance document (TGD) [European Commission 2003. Technical guidance document in support of Commission Directive 93/67/EEC on Risk assessment for new notified substances and Commission Regulation (EC) No 1488/94 on Risk assessment for existing substances and Commission Directive (EC) 98/8 on biocides, second ed. European Commission, Luxembourg, Part 1, 2 and 3, 760pp.]. To achieve this goal, an environmental risk assessment was performed according to the TGD for two major contaminants, atrazine and diuron, that are present in the Seine River estuary (France) and listed in the EU Water Framework Directive (Directive 2000/60/CE). Results showed that atrazine presented a source of risk in the upper- and mid-estuary throughout the 1993 and 1996 spring seasons. Diuron introduced a risk into the same areas throughout spring periods of 1993–2005. Results are discussed and some suggestions for a more realistic *in situ* risk assessment are given. For the computation of a more relevant PNEC for pesticides, their specific mode of action should be taken into consideration as well as ecotoxicological data on species endemic to the considered area.

**Keywords:** Chemical risk assessment; Technical guidance document; The Seine River; Estuaries; Atrazine; Diuron

## 29 **Introduction**

30

31 In order to assess and manage the potential impact that could result from the dispersion of chemical  
32 molecules into aquatic ecosystems, a certain number of measures and regulations have been set up by EU  
33 member states. In 1991, Directive 91/414/EEC dealing with pesticides authorization was approved. This  
34 directive considers that it is necessary to make sure that pesticides do not have unacceptable impacts on the  
35 environment. It focuses on phytopharmaceutical products and introduces for the first time the need for  
36 environmental risk assessment in the EU.

37 The Directive 2000/60/EC or “Water Framework Directive” (WFD) aims at providing a « good status »  
38 for surface waters (freshwaters, estuarine and marine waters) and underground waters by 2015. This good status  
39 is characterized by both good chemical and ecological status. The good chemical status of water bodies is  
40 defined in reference to the Environmental Quality Standards established for 33 priority substances (Annex X of  
41 the directive) and 9 other substances or families of substances (Annex IX), *i.e.*, a total of 41 substances (15  
42 pesticides, including atrazine and diuron, 4 metals and 22 diverse organic substances).

43 A new European regulation, “REACH”, has recently been approved to govern chemical substances  
44 (Regulation (EC) No 1907/2006) (EUROPA, 2007). The “REACH” acronym stands for “Registration,  
45 Evaluation, Authorization and restriction of Chemicals”. According to this new regulatory framework, the onus  
46 of proof falls onto chemical manufacturers. Prior to commercialization, all new substances must undergo  
47 assessment to ascertain there are no possible environmental and human risks or that these risks are acceptable.  
48 REACH came into force on the 1<sup>st</sup> of June 2007. European companies producing or importing more than 1 ton  
49 per year of chemicals will have to provide appropriate measures to manage risks to the environment and human  
50 health. However, REACH includes a specific authorization procedure for the use of “extremely hazardous  
51 substances”. This procedure applies to substances that can lead to cancer, sterility, genetic mutations or  
52 congenital abnormality as well as persistent and bioaccumulative substances. Implementation of both WFD and  
53 REACH regulation relies on environmental risk assessment methodology described in the Technical Guidance  
54 Document.

55 Based on concepts associated with ecological risk assessment as proposed by the United States  
56 Environmental Protection Agency (US-EPA, 1998), the European methodology for chemical risk assessment is  
57 set out in the EU “Technical Guidance Document” (TGD) (European Commission, 2003). TGD is a guidance  
58 developed to prevent environment and human from risks of chemical substances. It is commonly used for

59 assessment of chemical risks. Establishment of Environmental Quality Standards is also based on this  
60 methodology. On the other hand, it is a somewhat uncommon practice to perform TGD application tests with a  
61 retrospective aiming at performing assessment of substances in the field (Villa *et al.*, 2003a; Villa *et al.*, 2003b).

62

63 Chemical contamination of aquatic environments due to pesticides has become a major environmental  
64 issue in recent years. The impact of pesticides upon the environment is difficult to establish specifically apart  
65 from the immediately visible impact of certain accidental spills. The difficulty to characterize those herbicides  
66 effects from the general impact of other contamination is due to exposure to complex combinations of various  
67 industrial, household and agricultural contaminants present in the same environment at the same time. While  
68 wastes are treated in order to reduce the number of domestic and industrial molecules dispersed into the  
69 environment, the widespread use of pesticides and their destructive properties make them considered as priority  
70 contaminants in terms of ecological impact. Due to their final vocations, pesticides do not only seriously  
71 jeopardize certain living processes but they can equally seriously threaten biodiversity.

72 There are three large pesticide families known to be major hazardous molecules to aquatic systems due to  
73 their specific mode of action : herbicides, insecticides and fungicides. Among them, herbicides cause  
74 environmental problems mainly due to the amounts involved and their ubiquitous nature in the aquatic  
75 environment. The two substances studied in this chemical risk assessment are atrazine and diuron, two herbicides  
76 listed on the priority list of substances (Annex X) of the European Directive 2000/60/EC or “Water Framework  
77 Directive” (WFD). The occurrence of atrazine and diuron in the environment derives from their use as herbicides  
78 or weed killers. In France, atrazine has been widely used for forty years since its introduction in 1960 until it was  
79 totally banned in 2003. Diuron is mainly used for non agricultural purposes and sometimes as an active  
80 substance in antifouling paints applied on boat hulls.

81

82 The aim of this work was to apply the European methodology described in the Technical Guidance  
83 Document to the Seine Estuary, in order to assess the environmental risk pertaining to two herbicides and to  
84 examine the possibility of improving this methodological tool for better *in situ* approaches.

85

86

87 **Materials and Methods**

88

89 *Place of study*

90

91 The Seine River is an area of considerable interest due to its substantial primary productivity, which is the first  
92 link of the sea trophic chain. It receives inputs from the side basin (79,000 km<sup>2</sup>) and is home to 17 million  
93 inhabitants (most of whom live in the vicinity of Paris). Moreover, it receives 40% of French industrial and  
94 farming activities (Lafite and Romaña, 2001). This results in a serious chemical contamination of the area, due  
95 for parts to phytosanitary substances (Tronczynski *et al.*, 1999). Taking the basin as a whole, pesticide  
96 consumption is estimated to be between 20,000 and 30,000 tons p.a. (Comité de Bassin Seine Normandie, 2004).  
97 This considerable and widespread use can lead to general pollution due to runoff and leaching (Lafite and  
98 Romaña, 2001; Miramand *et al.*, 2001).

99 According to Fairbridge (1980) classification and its application on the river by Guézennec *et al.* (1999), three  
100 areas of the Seine estuary have been marked out as follows (Fig. 1):

- 101 - the upstream estuary or river estuary from the Poses dam to Vieux-Port (upper limit of seawater  
102 propagation);
- 103 - the mid estuary, from Vieux-Port to Honfleur ;
- 104 - the sea estuary, from Honfleur to the bay of the Seine River.

105

106 *Chemicals studied*

107 Atrazine is an herbicide widely used in agricultural practices until its ban in 2003. The moderate solubility of  
108 atrazine in water (33 mg.L<sup>-1</sup> at 22°C) and its quite low Henry's law constant (1.5.10<sup>-4</sup> Pa.m<sup>-3</sup>.mol<sup>-1</sup>) and log K<sub>OW</sub>  
109 (2.5) makes it more likely to occur in the water column (FHI, 2005a). Degradation studies on atrazine give very  
110 controversial results depending on environmental conditions. Half-lives of 42 to 120 days have been calculated  
111 (Cunningham *et al.*, 1984; Rice *et al.*, 2004; Hackett *et al.*, 2005). Given that atrazine has been shown to be more  
112 inhibitory to photosynthesis than its transformation products (Stratton, 1984; Eisler, 1989), it was decided not to  
113 take atrazine degradation into account in this risk assessment study in order to create a "worst case scenario".

114 Diuron is an herbicide used in agricultural practices as well as an active substance in antifouling paints applied  
115 on boat hulls. Like atrazine, movements of diuron are favored in the dissolved state due to a moderate solubility  
116 in water (35 m.L<sup>-1</sup> at 20°C and 42 mg.L<sup>-1</sup> at 25°C), a low vapor pressure (1.1 10<sup>-6</sup> Pa at 25°C) and a log K<sub>OW</sub> < 3  
117 (2.55) (FHI, 2005b). Diuron is considered to be more persistent than atrazine. Haynes *et al.* (2000) gives a 120

118 days aquatic half-life for diuron. Consequently, no degradation process was either taken into account in the  
119 modelling of this molecule.

120

121

122 *Environmental risk assessment methodology (European Commission, 2003)*

123

124 The TGD was developed as a guidance to prevent environment and human from risks of chemical substances. It  
125 is based on a 3 steps iterative process: problem formulation, analysis of exposure and effects and risk  
126 characterization (Fig. 2). The principle of risk assessment of chemical substances described in the TGD is based  
127 on the relationship that can be established between the level of a well-known contamination that can be  
128 reasonably predicted in the environment (Predicted Environmental Concentrations: PECs) and the threshold of  
129 unacceptable effects of this substance upon representative organisms of the relevant environment (Predicted No  
130 Effect Concentrations for the structure and the functioning of the ecosystem: PNECs). The atrazine and diuron  
131 PNECs taken into consideration by the EU are respectively  $0.6 \mu\text{g.L}^{-1}$  (FHI, 2005a) and  $0.2 \mu\text{g.L}^{-1}$  (FHI, 2005b).  
132 In deterministic risk assessments, risk characterization is the last step that consists in calculating the PEC/PNEC  
133 ratio. When the PEC/PNEC ratio equals one or more, it means that a potential risk to the environment is likely to  
134 occur.

135

136 *Modelling tools*

137

138 For the whole estuary, PECs were calculated with a hydrodynamic model using monitoring data. Such a  
139 hydrodynamic model is required to simulate behavior and fate of contaminants in order to calculate their  
140 theoretical concentrations in the different areas of the river and estuary. Given the fact that it was created and  
141 validated in the Seine estuary, it was decided that the SIAM 3D model developed by IFREMER would be used.  
142 The SIAM-3D is a tridimensional hydrodynamic model that calculates the transport of dissolved or particulate  
143 matter in a coastal environment. The model makes it possible to simulate dispersion of organic matter,  
144 nutrients, metal traces and soluble organic contaminants in real conditions of flow, tide and wind. In this  
145 model, the whole of the Seine bay is meshed (downstream area) from Cherbourg in the west, to Pays de Caux in  
146 the east and as far as central Manche in the north. Upstream the Seine River, it goes as far as the Poses dam  
147 which is the point of upstream tidal limit propagation, 150 km from the mouth (Cugier and Le Hir, 2000).

148 The SIAM-3D model is used to calculate herbicide concentrations within the area of study.  
149 In order to create a “worst case scenario”, the annual maximum concentration of atrazine and diuron measured  
150 by the tracking system of the Service de Navigation de la Seine is used as input data for the level of the upstream  
151 limit of the model (Poses). The simulation is conducted with a constant input of herbicide associated with a  
152 variable water flow corresponding to the flows measured during the relevant period. The simulation is performed  
153 over a 56-day period, a duration time which corresponds to two tidal cycles and which is lower than the  
154 maximum water residence time of 60 days for conservative contaminants within the Seine estuary (Thouvenin *et*  
155 *al.*, 1999).  
156 The SIAM-3D model was validated by its creators (Cugier and Le Hir, 2002). In this study, environmental  
157 concentrations of atrazine calculated by the model have been compared with data measured by Tronczynski *et al.*  
158 (1999) during oceanographic campaigns in the Seine estuary. Values calculated for 1996 are consistent with  
159 values measured by Tronczynski *et al.* (1999) on the same sites and at the same time. These observations  
160 allowed the validation of the model for hydrophobic conservative contaminants.

161

#### 162 *Monitoring of atrazine and diuron in the Seine River estuary*

163

164 Thanks to the Service de Navigation de la Seine, the Réseau National de Bassin has been monitoring both  
165 atrazine (from 1993 until now) and diuron (from 1997 until now), and continues to take samples of the Seine  
166 River estuary from Poses dam to Honfleur every 15 days (Fig. 3 and Fig. 4) up to now. Three sites (Poses, La  
167 Bouille and Caudebec en Caux) are monitored.

168 Atrazine and diuron are analyzed as follows: sampling is realized by collecting five liters of water and filtering  
169 the water to separate particulate phase from dissolved phase. Samples are then immediately vacuum-filtered using  
170 fiberglass filters and solid phase extraction (SPE). OASIS HLB (Waters, St Quentin en Yveline, France), a  
171 copolymer of pyrrolidone and divinylbenzene, is used as a sorbent as it allows efficient recovery and extraction of  
172 atrazine and diuron. The dissolved phase is used for atrazine and diuron extraction. After evaporation of the  
173 extract solution to near dryness using a gentle stream of nitrogen and dissolution of the residue in a solvent  
174 adapted to the chromatographic technique, analysis are performed with gas-chromatography (thermoionic  
175 nitrogen/phosphorus detector or ion trap mass spectrometer : column : 60 m ; DB5 ; 0,25 mm ; 0,25 µm).  
176 Detection limit is 2 ng.L<sup>-1</sup> for both atrazine and diuron.

177

178 It must be noticed that sampling takes place every 15 days and that it is very likely that measured values do not  
179 correspond to the highest points of the real contaminant input. Over the 1993-2005 period, the highest atrazine  
180 concentrations found in water were observed in Poses (Fig. 3), upstream of Rouen (0.61 and 0.65  $\mu\text{g.L}^{-1}$  in 1993  
181 and 1996 respectively). Over the 1997-2005 period, the highest diuron concentrations found in the Seine River  
182 were observed in La Bouille (Fig. 4), downstream of Rouen (1.28, 0.91 and 0.95  $\mu\text{g.L}^{-1}$  in 1997, 1999 and 2000  
183 respectively), except for 2001 and 2004 when the maximum concentrations were observed in Poses in the upper  
184 part of the estuary (1 and 0.54  $\mu\text{g.L}^{-1}$  in 2001 and 2004 respectively).

185

186 Some NOECs for atrazine and diuron for a single aquatic food chain (three trophic levels: plancton, invertebrate,  
187 vertebrate) are given in Table 1 (FHI, 2005a; FHI, 2005b). These data stress the fact that atrazine and diuron  
188 have a major impact on phytoplanktonic communities.

189

190

191 *The validation of the dispersion model*

192

193 The SIAM 3D model was used to simulate dispersion of atrazine from the upstream estuary towards the sea  
194 estuary. A covariance statistical analysis performed on the measurements of atrazine made on the three sites  
195 (Poses, La Bouille and Caudebec en Caux) from 1993 to 2005 showed that there are no significant intra-  
196 estuarine inputs in this part of the estuary. The hydrodynamic model was tested to confirm the choice of the  
197 simulation parameters. The results obtained by the model in the sea estuary were compared to the *in situ*  
198 measurement during an oceanographic campaign in the same area in June 1996 (Tronczynski, personal  
199 communication). A constant input concentration of 0.32  $\mu\text{g.L}^{-1}$  of atrazine (concentration measured at Poses site  
200 by Tronczynski in June 1996) was set up with a variable flow measured in the Seine River at the same period of  
201 time. Within the sea estuary, concentrations measured *in situ* and concentrations calculated by the model are  
202 either identical or very similar (Fig. 5). Values calculated for 1996 are consistent with values measured by  
203 Tronczynski *et al.* (1999) on the same sites and at the same time. These observations confirms the validation of  
204 the model for hydrophobic conservative contaminants.

205

206

207 **Results**

208

209 *Assessment of the environmental risk related to atrazine*

210

211 Given the biogeochemical behavior of atrazine, the dispersion in the Seine estuary was achieved in the water  
212 column. Period of study was 1993 to 2005 due to availability of monitoring data. In June 1993 and October  
213 1996, atrazine concentrations measured and/or calculated in the upstream and mid estuary were above the PNEC  
214 value of  $0.6 \mu\text{g.L}^{-1}$ . Therefore, for this period, the PEC/PNEC ratio exceeded 1 and an environmental risk linked  
215 to the presence of atrazine was shown in upstream and in mid estuary areas during the spring period (Fig. 6). On  
216 the other hand, except for only one part of the sea estuary in 1993, there was no risk in this area ( $\text{PECs} < \text{PNECs}$ ).  
217 Albeit high concentrations in upstream and mid estuary areas, PECs remained below the PNEC (concentrations  
218 ranging between  $0.45$  and  $0.6 \mu\text{g.L}^{-1}$ ) after the implementation of the first measures to restrict the use of atrazine  
219 in 1997. Therefore, the risk receded from 1997 until 2005. From 2000 onwards, atrazine concentrations  
220 dwindled and dipped below  $0.15 \mu\text{g.L}^{-1}$  for the whole of the area as from 2002. Therefore, following the TGD  
221 methodology and considering solely the impact of atrazine, the upstream and mid estuaries of the Seine River  
222 were subjected to a potential risk during spring periods of 1993 and 1996.

223

224 *Assessment of the environmental risk related to diuron*

225

226 The simulation of diuron dispersion covered 1997 to 2005 but the years with the highest concentrations are  
227 merely described (Fig. 7).

228 Throughout this period, concentrations of diuron exceeded the PNEC determined for this substance ( $0.2 \mu\text{g.L}^{-1}$ )  
229 on both the upstream and mid estuary areas. In this part of the estuary, the PEC/PNEC ratio exceeded 1, thus  
230 implying a potential risk for the ecosystem. Only one part of the sea estuary was submitted to the same level of  
231 risk. The risk assessment applied to the Seine River revealed a diuron-induced risk within the mid and upstream  
232 estuaries during the spring, summer and autumn from 1997 to 2005. The risk lasted 4 to 7 months depending on  
233 the year.

234

235



236 **Discussion**

237

238 An environmental risk assessment was carried out on the two herbicides typical of contamination in the Seine  
239 River estuary (France). The analysis was performed in accordance with the methodology described in the  
240 Technical Guidance Document, the European reference guide of environmental risk assessment. The analysis  
241 was both conceived and built around a prospective approach aiming at providing background data pertaining to  
242 environmental risk prior to the marketing authorization dossier of new chemical substances and in compliance  
243 with EU rules. In its current form, the TGD does not have the immediate purpose of assessing real *in situ* risk  
244 within a multi-contaminated natural environment. This work aims to apply TGD methodology to the Seine  
245 estuary and to discuss the relevance of this methodology using a retrospective *in situ* approach.

246

247 The risk assessment conducted in the Seine estuary revealed an atrazine-induced risk for the upstream and mid  
248 estuary sections during the spring periods of years 1993 and 1996 and a diuron-induced risk in these same areas  
249 during the spring, summer and autumn periods of years 1993 to 2005.

250

251 Whenever the risk assessment shows a potential risk for the environment ( $PEC/PNEC > 1$ ), the TGD procedure  
252 (Fig. 2) recommends that a certain number of iterative steps should be taken prior to implementation of any  
253 measures, *i.e.*, that more data should be looked into when made available by industrials. As a result, the  
254 PEC/PNEC ratio must be adjusted. In an *in situ* study, PECs mainly come from concentrations measured directly  
255 in the environment or calculated by means of a dispersion model validated with measured data. Therefore, there  
256 are fewer opportunities for adjusting the PECs. In addition, in our study, calculations of atrazine dispersion by  
257 the model are validated by measurements made in the field, and it is thus founded to generally apply this  
258 validation to all hydrosoluble contaminants displaying, like atrazine, conservative behavior throughout the  
259 duration of the simulation. In these conditions, PNEC is the value that can most likely be adjusted. PNECs are  
260 defined on the basis of ecotoxicological tests related to survival, growth and reproduction of species belonging to  
261 at least 3 different trophic levels (algae, crustaceans and fish) simulating a basic trophic chain. PNECs are  
262 calculated from No Observed Effect Concentrations (NOECs) or other effects concentration (EC50 or LC50).  
263 Using this approach, the diversity and the structure of the ecosystem are expected to be safeguarded by the  
264 protection of the most sensitive species and therefore, it is assumed that the very best functioning of the  
265 ecosystem itself is guaranteed.

266 According to TGD methodology, PNECs can be derived using two different methods, depending on quantity and  
267 reliability of data for a given molecule. These two methods are described as follows :

268 - by means of the application of an extrapolation factor to the available ecotoxicological dataset. The  
269 extrapolation factor is chosen applying “expert judgement”. This judgement is a function of quality and  
270 quantity of data. These assessment factors recommended in the TGD are considered as conservative and  
271 protective factors and range from 10 to 1,000 for freshwaters and from 10 to 10,000 for estuarine and  
272 marine waters.

273 - by means of the statistical extrapolation method, based on Species Sensitivity Distribution (SSD)  
274 approach, when the ecotoxicological dataset of the substance is sufficient, *i.e.*, at least 10 NOECs  
275 (preferably more than 15) for 8 different taxonomic groups. A “Hazardous Concentration 5” (HC5),  
276 which is the 5<sup>th</sup> percentile of the SSD is then divided by an extrapolation factor to derive the PNEC.  
277 This extrapolation factor ranges from 1 to 5 and it is based on expert judgement.

278 Within the context of the WFD, PNECs have been validated for all the priority substances. Atrazine and diuron  
279 are well-known contaminants for which dataset are quite substantial. PNEC for atrazine was calculated using  
280 statistical extrapolation based on mesocosms data. Although many data are available for diuron, the number of  
281 taxonomic groups represented is not high enough to apply the statistical extrapolation method. Therefore, PNEC  
282 for diuron was calculated with the extrapolation factors method.

283 Some specific issues of any given *in situ* study are not taken into account in the PNEC derivation methodology  
284 of the TGD. The specificity of the mode of action of the substance, *i.e.*, specific hazards underlying the effects of  
285 the molecule, is an important factor in understanding the ecological risk. Substantial quantities of atrazine flowed  
286 through the Seine estuary : in total 2850 k/p.a. in 1994 and 2750 k/p.a. in 1995 (Tronczynski *et al.*, 1999). If  
287 atrazine affects survival, growth and development of aquatic insects (Dewey, 1986) as well as swimming  
288 behavior of fish (Steinberg *et al.*, 1995) and given the way this herbicide works (photosynthesis inhibitors), the  
289 available toxicological data demonstrates a sizeable impact on the algal community. Jones and Winchell (1984)  
290 have shown that the inhibition of aquatic macrophyte photosynthesis increases with increasing atrazine  
291 concentrations. The NOEC for atrazine measured on the chlorophyce *Scenedesmus subspicatus* is 2100 times  
292 lower than the NOEC measured on the copepod *Eurytemora affinis* and 150 times lower than the NOEC in the  
293 fish *Brachydanio rerio*. This is also true for diuron : the crustacean *Daphnia magna* is 120 times less sensitive to  
294 diuron than the microalgae *Scenedesmus subspicatus* and this microalgae is 70 times less sensitive to diuron than  
295 the fish *Pimephales promelas*. As primary producers are at the base of trophic networks, it is reasonable to

296 postulate that the inhibition of plant growth may have an impact on herbivores. If resources diminish, this could  
297 mean less availability of specific foods. Legrand *et al.* (2006) have shown that a low concentration of both  
298 herbicides atrazine and diuron ( $0.1 \mu\text{g.L}^{-1}$  of each) triggers a synergic effect of microphytobenthos  
299 photosynthesis inhibition as compared to the individual effect of each molecule alone. Atrazine and diuron act on  
300 the processes of photosynthesis in the same way (Haynes *et al.*, 2000) and are used during the same periods  
301 (spring and summer). In addition, certain previously used formulations contained both molecules. They are  
302 therefore likely to produce additive or synergic effects. PNECs for herbicides should therefore be calculated  
303 from NOEC from toxicity tests on vegetal species mainly.

304 Another issue is the fact that PNECs generally come from ecotoxicity tests carried out on certain laboratory  
305 species. TGD methodology does not recommend a calculation of the PNECs using toxicity data on local species.  
306 Working on data issued from test on local species would give more relevance to the PNEC in the context of an *in*  
307 *situ* study. This could result in the development of “local PNECs”, based on toxicity data obtained from local  
308 endemic organisms of the area of study with a strong ecological relevance in the local ecosystem, *i.e.*, species  
309 considered as “key species”. In the case of the Seine estuary, this “key species” could be *Eurytemora affinis*, an  
310 oligohalin copepod dominant in this estuary. *E. affinis* dominates the estuarine mesozooplanktonic community  
311 throughout the year thanks to conditions in the Seine River estuary that considerably favor its development. As a  
312 result, certain maximum densities exceed  $190,000 \text{ individuals.m}^{-3}$ , *i.e.*, an abundance an order of magnitude  
313 greater than in other European estuaries. It is preyed upon by many planctivorous and suprabenthic species as  
314 well as by fish that live in this upstream part of the Seine estuary (Mouny and Dauvin, 2002).

315

316 As part of the prospective TGD approach, PNEC calculation is based on ecotoxicological data divided by  
317 extrapolation factors ranging from 10 to 10,000 as a function of availability and reliability of ecotoxicity data. A  
318 low extrapolation factor can only be used when one has a large and validated data set (European Commission,  
319 2003). It must be kept in mind that in the case of atrazine and diuron herbicides, there is much data and this  
320 enables the allocation of a minimum safety factor given that one has NOECs at one’s disposal for all three  
321 trophic levels. Thus, in all probability, the analysis is deemed relevant. When the toxicity of certain contaminants  
322 is poorly documented, the application of these extrapolation factors may lead to an assessment of a PNEC less  
323 realistic. The acquisition and use of toxicological data derived from organisms specific to the area of study  
324 would allow one to narrow factors and thus to obtain a better insight into the sensitivity of the environment.  
325 Finally, in the particular case of an estuary, the most realistic risk assessment could consist in determining a

326 “local PNEC” for each of the three ecosystems: the upstream, the mid estuary and the sea estuary, which are the  
327 habitats of different living species.

328

329 Another question to be addressed when studying risk assessment is the validation and demonstration of an  
330 environmental risk in terms of a real damage to the ecosystem. In order to appraise the relevance of *in situ* risk  
331 analysis, the demonstration of a risk must be set against ecological data, thus confirming or dismissing the risk.

332 In this study, one could ask whether an impact on the biological communities of phytoplankton has been  
333 observed further to the chemical risk caused by atrazine and diuron in the Seine estuary. Alternatively, is the  
334 impact of herbicides on primary production quantifiable when using data from the existing monitoring network ?

335 A statistical study of existing dataset against monitoring of phytoplanktonic populations at a local level (the  
336 structure of the populations, chlorophyll concentrations) could provide essential information to address these  
337 important issues.

338

339 When applying TGD methodology with *in situ* data, accuracy of PNEC derivation is the decisive criterion.  
340 Indeed, one could suggest that for molecules that have a specific mode of action, e.g. herbicides and insecticides,  
341 ecotoxicological data on target species should be prioritized to improve the PNEC relevance. Moreover,  
342 derivation of PNEC should be based on ecotoxicological data issued from tests on species that are endemic to the  
343 place of study.

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345

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**An environmental chemical assessment of two herbicides, atrazine and diuron, in the River Seine estuary  
(France)**

**On site application of the European Technical Guidance Document (TGD)**

Guérit I., Bocquéné G., James A., Thybaud E., Minier C.

**Response to general comments from reviewer 1 and 2**

We agree that objectives of the work were not clear enough and that there were some misunderstandings, mainly in the abstract and the introduction, the paper has been rewritten to be clearer in its main objective. Nevertheless, the study doesn't aim at developing a new risk assessment methodology. This study aims at discussing a methodology recommended by the European Commission that is a technical support in the process of authorization of marketing for chemical substances. Other european directives recommend the application of this methodology. The risk assessment methodology described in the Technical Guidance Document (TGD) was developed as a theoretical prospective approach aiming at protecting the environment.

Authors of this study have applied this methodology *in situ* in order to weight its pros and cons in realistic environmental conditions.

The article was corrected according to reviewers' comments. Goals have been more clearly explained and discussion has been consolidated.

**Point after point responses to the reviewer**

**Responses to reviewer 1**

*Abstract*

- P2, L25-26. The sentence has been entirely rewritten.

*Introduction*

- P4, L42-45. The sentence has been rewritten (it means it is difficult to specifically identify effects due to herbicides from global effects due to the whole contaminants. Moreover, the pesticides are directly dispersed in the environment while domestic and industrial wastes are partially treated through sewage.
- P4, L47-49. Sentence has been corrected in this way. The authors agree with the fact that insecticides and fungicides also impact the aquatic life.
- P5, L73-76. References for the REACH regulation have been provided. Objectives and supporting methodology for environmental risk assessment of the REACH regulation have been specified.
- P5, L85-87. We agree that the concept of PEC/PNEC approach has already been used many times in in situ risk assessment, but the TGD is not only application of the PEC/PNEC concept, it also described in details how PNEC must be theoretically calculated from available toxicity data, and for some parts this work is about the relevance of the TGD in calculating the PNEC in a complex estuarine situation.
- P5, L91. The modeling is only used for calculation of real environmental PEC, on the basis of real data measured in situ in only few stations.

*Materials and methods*

- P7, L125 : The PNEC calculation has been explained in more detail in the text. The Hazardous Concentration (HC) is quite different from the PNEC value. TGD recommends to base PNEC values upon HC when enough data are available to apply statistical extrapolation method. The TGD methodology requires to calculate the PEC/PNEC ratio for risk characterization.
- P7, L129-145 : The validation of the model was already described in the Results section now it has been transferred in the M and M section.



## Results

- P7-8, L151-175 : Authors agree that this description should be transferred to Materials and Methods section. This transfer has been done.
- P7, L152 : *Monitoring : when ?* This information is already given in the text.
- P8, L174 : Maximum concentrations have been reported in the fig.2 (atrazine) and fig.3 (diuron). They also have been added to the text.
- P8, L177-180 : Reviewer is right, the legend for the table 1 should be « Main NOEC for atrazine and diuron in a single aquatic food chain ». The way PNEC are calculated within the TGD methodology is specified in more details.
- P8/9, L182-195 : In this exercise, the risk assessment is performed in the worst case conditions. Therefore, input data are maximum concentrations of atrazine and diuron that were measured in the upstream estuary. It has been demonstrated that residence time of a conservative contaminant in the estuary ranges from 30 to 60 days. Moreover, half lives of atrazine and diuron are respectively 42-120 days and 120 days (references added in the article).

## Responses to reviewer 2 :

### *Abstract*

Abstract has been rewritten in order to give the information requested.

### *Introduction*

- Setting up of sentences and paragraph has been reviewed.
- L47 : The authors agree that insecticides do have environmental impact on ecosystem, particularly on marine species where crustacean species (mainly zooplanktonic copepods) have been showed to be very sensitive to AChE inhibitors (organophosphorous and carbamate compounds). However, the study is about 2 herbicides widely used and that are efficient inhibitors of photosynthesis implied in disturbance of marine primary production and in disturbance of algal communities (Solomon et al., 1996. Schmitt-Jansen, Altenburger, 2004).
- L50 : Authors agree that more details should be given about TGD methodology. A scheme has been added to express more clearly the procedure.

### *Materials and Methods*

- L105 : Authors agree with this comment that has been taken into account.
- L127-145 - *Modeling tools* : The SIAM 3D model is not used according to the TGD. It is a local 3D morphohydrodynamic and ecological model of the Seine Bay created for studies of the Seine estuary and validated with measured concentrations in the Seine estuary. The SiAM-3D code simulates the transports of water, sand and mud. Its Seine-specificity is the reason why it was chosen for this study (information added in the article).
- references have been added about degradation processes of atrazine and diuron and how they were taken into account in the model.

### *Results*

- *Use of the word fortnight* : remark has been taken into account
- *Use of the « bullet »* : this section (L149-175) has been transferred in the Materials and Methods section and bullets have been suppressed.
- L193 : the SIAM 3D model has been validated many times for waters and suspended materials transports. Here again we give evidence of very similar values obtained with both analytical data and calculated data but number of data was not sufficient for an efficient statistical approach.
- *Fig 4-6* : remark has been taken into account

### *Discussion*

- *First paragraph* : It is a recall of the main findings that is the basis for the discussion to follow.
- L238 : authors agree that this sentence was not clear enough. The sentence has been consolidated and a Figure has been added (cited in Materials and Methods).
- L262 : remark has been taken into account

*Potential effects of degradation products : As specified now in the MM section, degradation products were not considered in the modelling.*

Figure 1. Zonation of the estuary according to Fairbridge classification (1980).

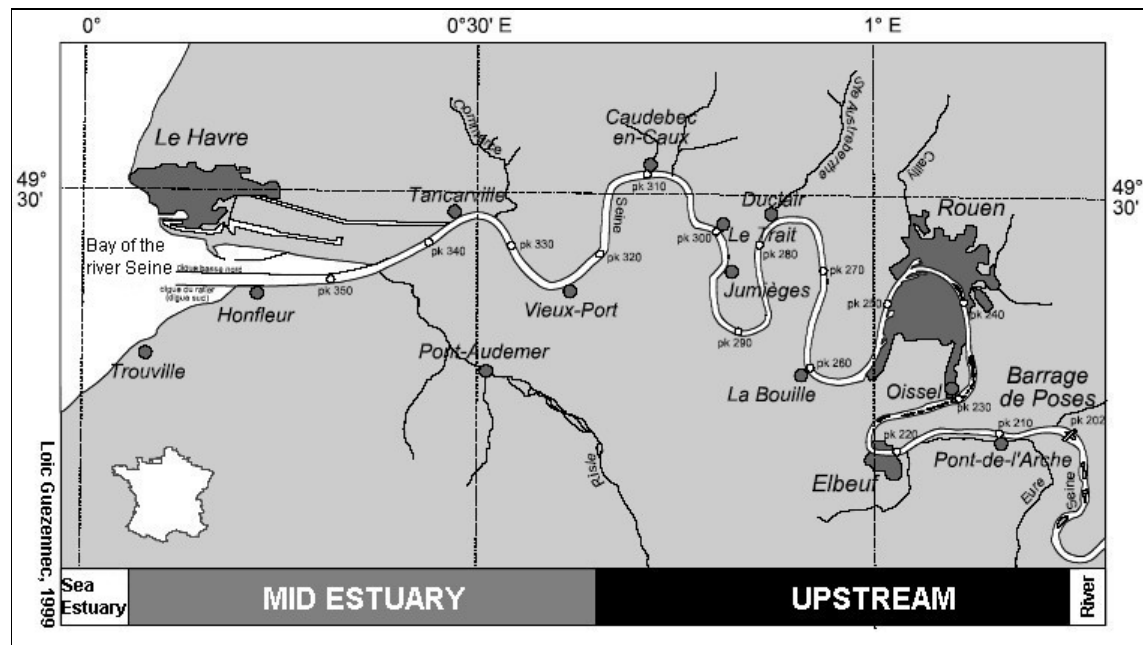


Figure 2. General procedure for risk assessment

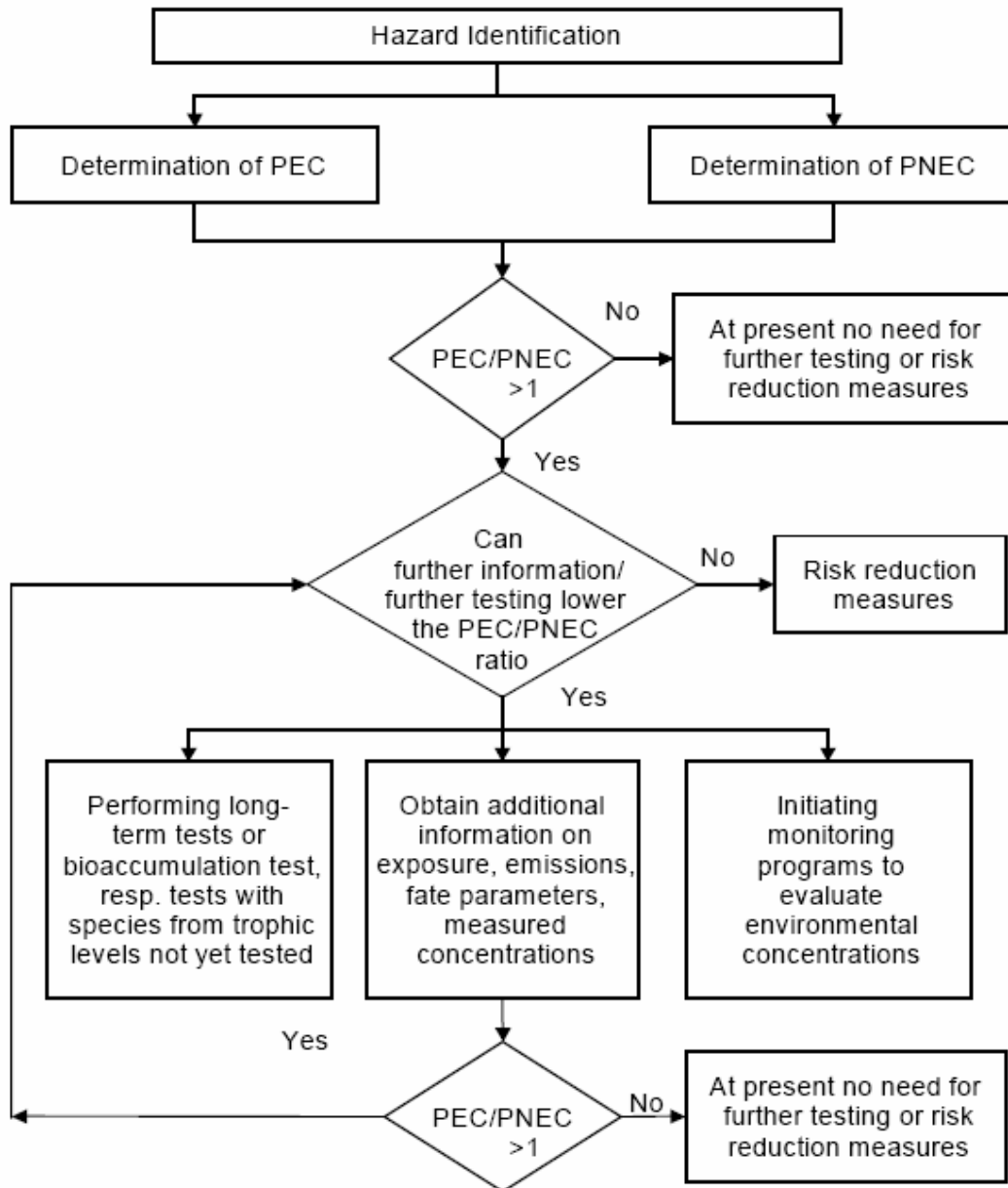


Figure 3. Variations in atrazine concentrations measured in raw waters at Poses from 1993 to 2005 (source: Services de Navigation de la Seine, Rouen)

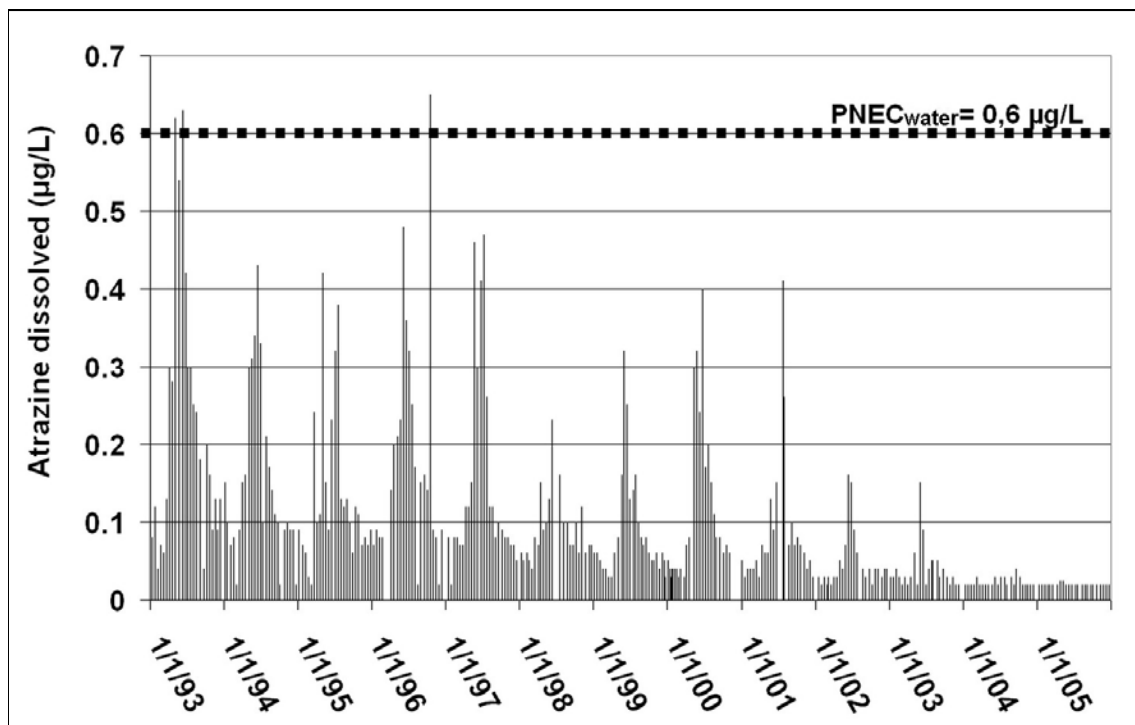


Figure 4. Variations in diuron concentrations measured in raw waters at La Bouille from 1997 to 2005 (source: Services de Navigation de la Seine, Rouen).

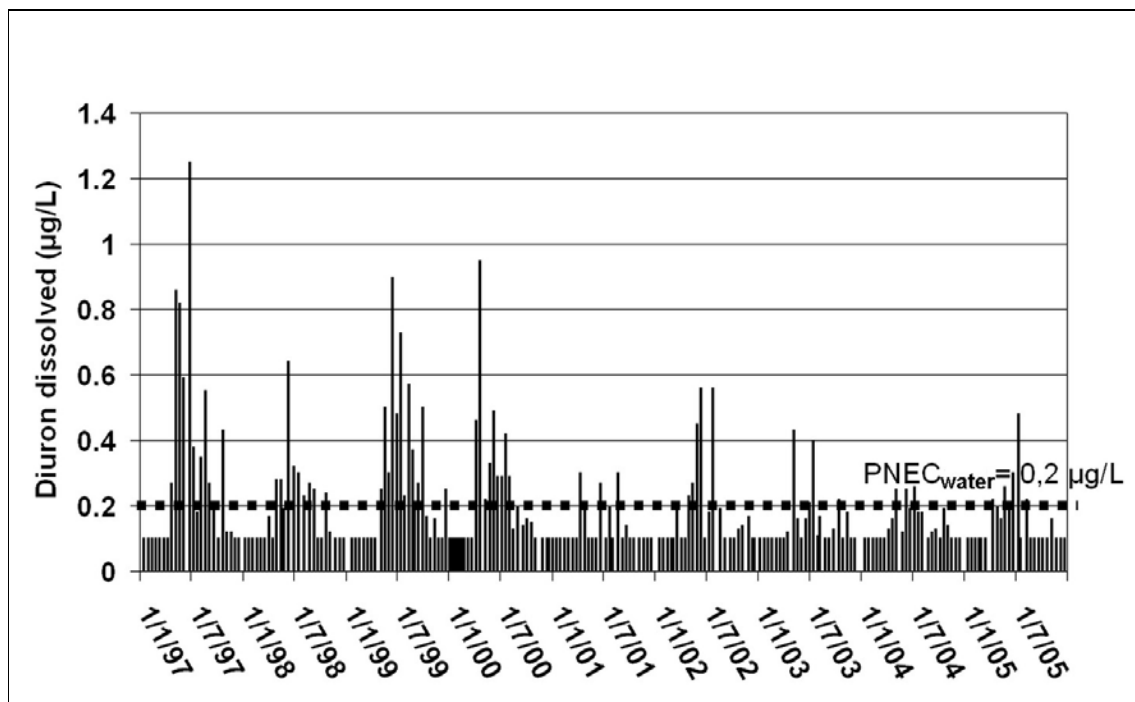


Figure 5. Comparison of data measured in June 1996 (pointed out directly on the scheme) with the data calculated by the SIAM-3D model at the same period (indicated by the legend below the scheme).

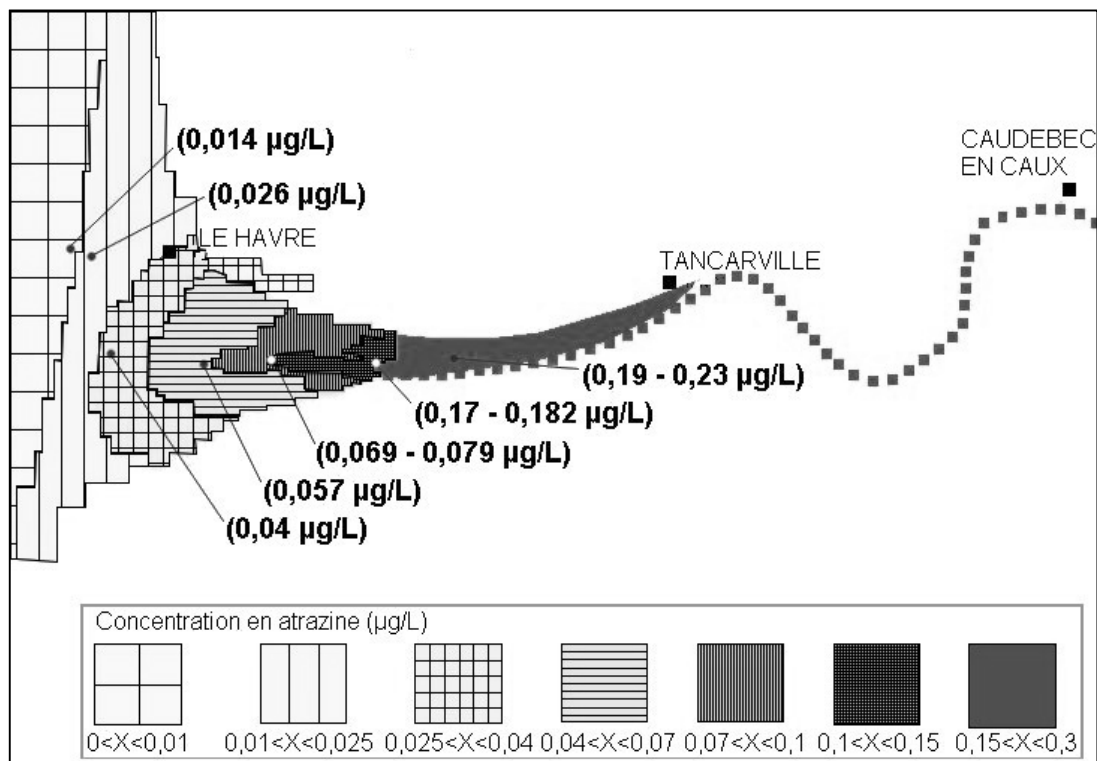


Figure 6. Results of the atrazine risk assessment in 1993, 1996, 1997 and from 2002 to 2005. A PEC/PNEC ratio > 1 depicted in black ink represents a potential risk for the environment.

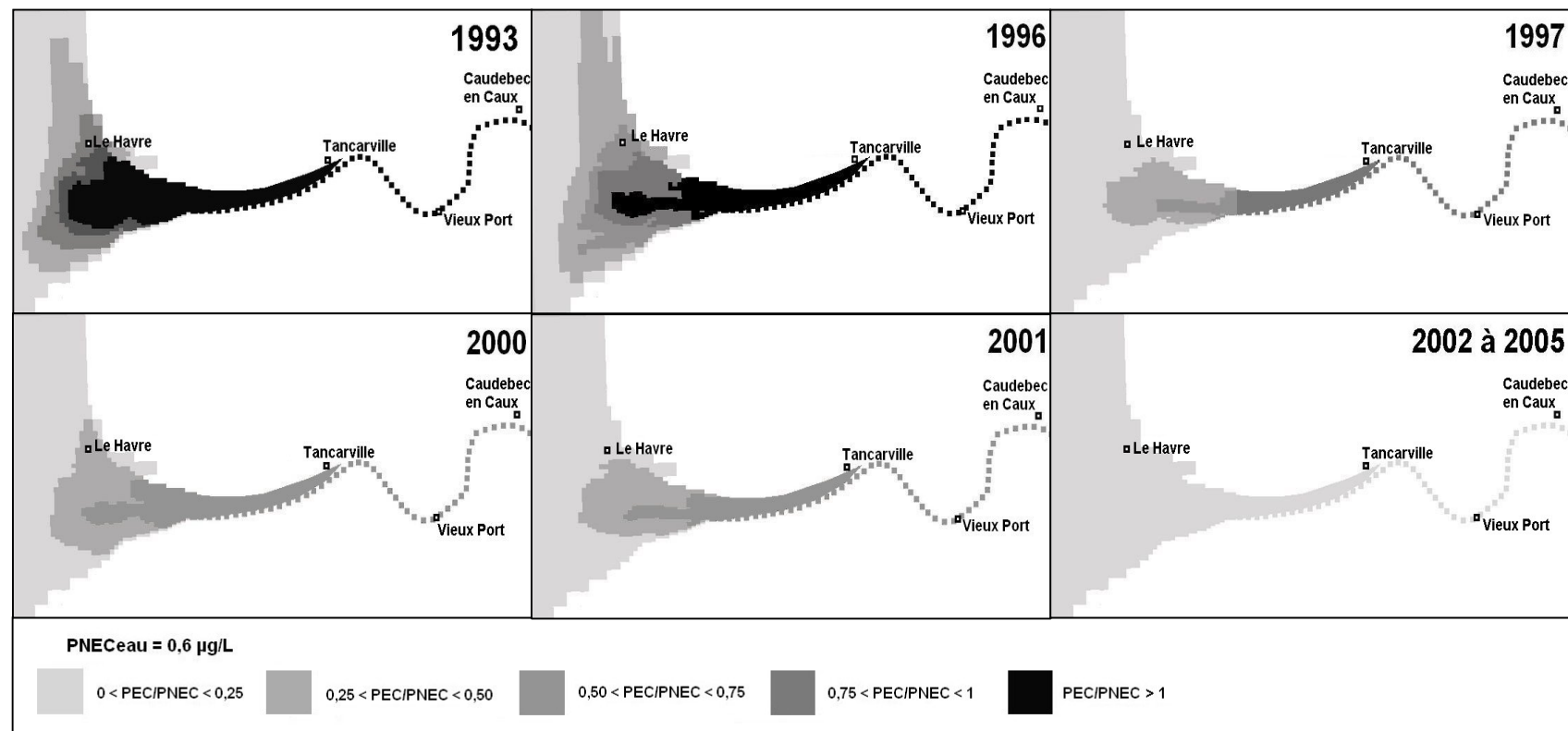


Figure 7. Results of the risk assessment for diuron in 1997, 2000, 2001 and 2005. A PEC/PNEC > 1 ratio depicted in black ink represents a potential risk for the environment.

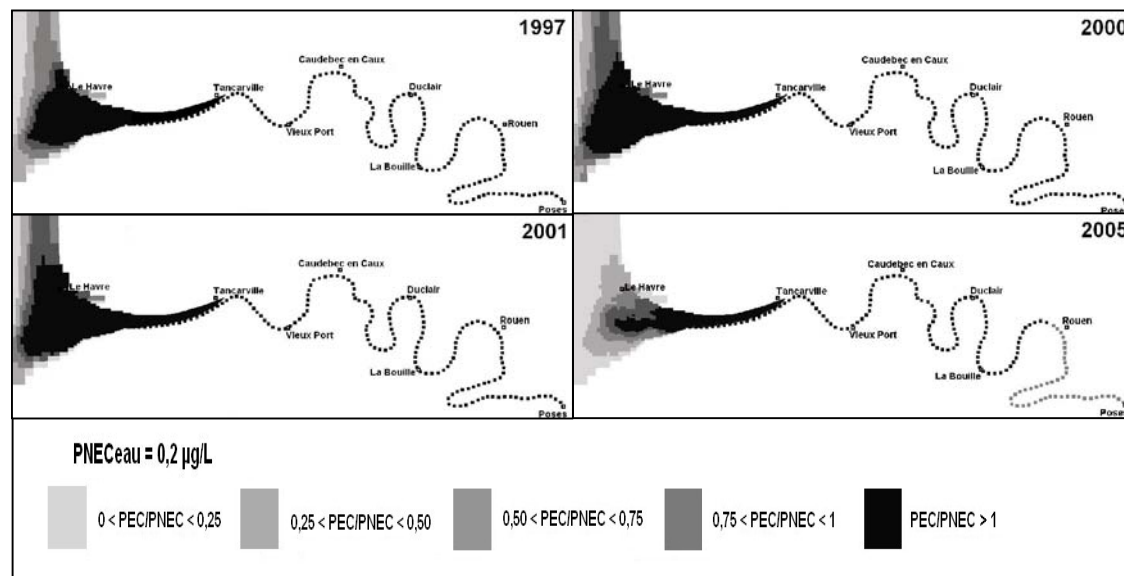




Table 1. Main No Observed Effect Concentrations (NOECs) in  $\mu\text{g.L}^{-1}$  of atrazine and diuron in a single aquatic food chain (FHI, 2005a ; FHI, 2005b).

Chemicals	Taxa	Species	Effect	NOEC ( $\mu\text{g.L}^{-1}$ )
Atrazine	Algae	<i>Scenedesmus subspicatus</i>	Growth	2
	Crustaceans	<i>Eurytemora affinis</i>	Mortality	4200
	Vertebrae	<i>Cyprinodon variegates</i>	Mortality	1900
Diuron	Algae	<i>Scenedesmus subspicatus</i>	Growth	0.46
	Crustaceans	<i>Daphnia magna</i>	Reproduction	56
	Vertebrae	<i>Pimephales promelas</i>	Reproduction	33.4