

Dynamical modelling of a reactive extrusion process: Focus on residence time distribution in a fully intermeshing co-rotating twin-screw extruder and application to an alginate extraction process

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Abstract:

The context of this study is the modelling of reactive extrusion process based on an alginate extraction protocol. Residence Time Distribution (RTD) is one important part to predict the kinetics of reactive compounds. A simple model is proposed to predict RTD in fully intermeshing co-rotating twin-screw extruders without reaction. This model, which can be easily extended to reactive case in a future work, is based on the extension of an axial dispersion model, including control parameters (screw speed and flow rate) and geometrical parameters (screw profile and die design). Simulations were performed for various operating and geometrical conditions so as to illustrate possibilities offered by the proposed model. Validation was conducted for two different extrusion applications, seaweed extrusion and polymer extrusion. This highlighted the model ability to predict RID for various kinds of materials after adjusting only one parameter thanks to a unique experimental RID curve.

Keywords: Alginate, Extraction, Extrusion, Mathematical modeling, Residence time distribution, Simulation, *Laminaria digitata*

Notation

a, *b*, *c* and *d* : piecewise constant functions depending on screw geometry (m6, m3, m3 and m6 respectively) (Equation 10)

C : tracer concentration (mol.m⁻³ or g.m⁻³),

C_{in} : input tracer concentration (mol.m⁻³ or g.m⁻³)

D : screw diameter (m)

D_{ax} : axial dispersion function (m².s⁻¹)

F_{di} and *F_{pi}* : parameters depending on the channel narrowness in screw zone *i* (Equation 8)

H_i : channel height of screw zone *i* (m)

i : screw zone index (Figure 2)

j : spatial discretization index associated to the last fully filled zone (Figure 2)

- 51 K : parameter depending on the die geometry (m^3) (Equation 9)
- 52 l : length of fully filled channel (m) (Equation 12)
- 53 l_i : channel length of screw zone i (m)
- 54 l_{tot} : total length of the screw channel (partially + fully filled) (m) (Figure 2)
- 55 $LDPE$: low density polyethylene
- 56 m : number of elements for spatial discretization
- 57 n : number of fully filled screw zones (Figure 2)
- 58 n_{tot} : total number of screw zones (Figure 2)
- 59 N : screw speed ($\text{rad}\cdot\text{s}^{-1}$)
- 60 P : pressure (Pa)
- 61 P_d : pressure at the head of the die (Pa)
- 62 Q : input flow rate ($\text{m}^3\cdot\text{s}^{-1}$)
- 63 Q_{ci} : flow rate in the channel of screw zone i ($\text{m}^3\cdot\text{s}^{-1}$)
- 64 Q_{out} : output flow rate ($\text{m}^3\cdot\text{s}^{-1}$)
- 65 r : algae feed rate to reactive solution feed rate ratio
- 66 RTD : residence time distribution
- 67 S_i : section of the channel in screw zone i (m^2)
- 68 t : time (s)
- 69 v : fluid speed ($\text{m}\cdot\text{s}^{-1}$)
- 70 v_{bx}, v_{bz} : boundary values of fluid speed ($\text{m}\cdot\text{s}^{-1}$) (Equations 3 and 5)
- 71 V_b : barrel speed ($\text{m}\cdot\text{s}^{-1}$) (Figure 1)
- 72 V_i : volume of the channel in screw zone i (m^3)
- 73 W_i : width of the channel in screw zone i (m)
- 74 z : abscissa along the unrolled screw channel

75 α_i and β_i : parameters depending on the geometry of screw zone i (m^3 and m^6 respectively)

76 (Equation 8)

77 λ_1 and λ_2 : correction parameter relative to axial dispersion function D_{ax} in the fully filled

78 zone and in the partially filled zone respectively (Equation 16)

79 μ : fluid viscosity (Pa.s)

80 θ_i : pitch angle in screw zone i (rad)

81

82 **1. INTRODUCTION**

83 Extrusion is a continuous process consisting in shaping or in transforming a material within a
84 screw/barrel system. Mostly, the involved mechanisms are purely thermo-mechanical.

85 Reactive extrusion process, which consists in using extruders as chemical reactors, has

86 developed since few decades. For some applications, it appears as an interesting alternative to

87 batch process, with several advantages due to the fact that it is a continuous process, its

88 modularity (screw profile can be adapted to each application and several zones can be created

89 along the screw to conduct different steps), its thermal regulation facilitated by a favourable

90 surface/volume ratio and its ability to work with high viscosity products, enabling solvent

91 consumption limitation (gains in waste treatment and process safety) (Berzin and Hu, 2004).

92 Most of developed reactive extrusion applications deal with polymer science or food fields.

93 Several applications have also been developed with biological raw materials, mostly for

94 biomolecules extraction or for by-products upgrading (Perrin and De Choudens, 1996;

95 N'Diaye et al., 1996; Dufaure et al., 1999; Rouilly et al., 2006). Hence, a previous work

96 highlighted the interest of reactive extrusion process when extracting alginate from brown

97 algae in terms of extraction yield, time, reactant and water demand and alginate rheological

98 properties (Vauchel et al., 2008a).

99 Reactive extrusion modelling is essential to help understanding phenomena taking place in the
100 extruder, optimization and scale up. The model structure adopted for alginate extraction
101 application is based on the combination of an extraction kinetics model and one describing
102 material flow inside the extruder. The extraction kinetics model was presented in a previous
103 paper (Vauchel et al., 2008b). The current paper aims at modelling the material flow inside
104 the extruder based on residence time distribution. The coupling between both models will be
105 discussed in a forthcoming paper. Residence time distribution (RTD) is a particularly
106 important parameter in reactive extrusion process as it is directly linked to contact time of
107 reactants. Few authors have proposed models for reactive extrusion processes based on the
108 coupling of a RTD model and kinetics model for chemical reaction and/or for viscosity
109 (Ganzeveld and Janssen, 1993; Prat et al., 1999, 2002; Puaux et al., 2006). RTD models are
110 generally built by fitting experimental RTD curves with different flow models (Ainser, 1996;
111 Puaux et al., 2000). Good correlations have been obtained, especially with the backflow cell
112 model and the axial dispersion model. Nevertheless these models don't directly take into
113 account the geometrical parameters (screws profile and die design) and the control parameters
114 (screw speed and flow rate). These points limit the prediction value of this modelling
115 approach especially when scaling up.

116 The present paper aims at presenting a model enabling to predict the residence time
117 distribution in fully intermeshing twin-screw extrusion process and including all geometrical
118 and control parameters. At first, the physical considerations and hypotheses taken into
119 account are described. Simulations of residence time distribution, in various conditions, are
120 then presented and discussed. The model is then validated in the case of seaweeds and
121 polymer extrusion.

122

123

124 **2. RESIDENCE TIME DISTRIBUTION MODEL**

125

126 **2.1. Seaweeds extrusion process considerations**

127 In seaweeds extrusion application, material flowing in the extruder evolves along the screw
128 channels. In the feeding section, two different phases are injected, a solid one composed of
129 seaweeds cut in pieces, and a liquid one composed of a sodium carbonate solution. However,
130 reaction between seaweeds and reactive solution takes place very rapidly in the extruder
131 (under the combined effects of a sodium carbonate chemical action and the shearing provided
132 by the screws) resulting shortly in a pseudo-homogenous phase. Experiments of instantaneous
133 stop and opening of the extruder showed that it appears in the first third of the screw channels
134 in all cases. This pseudo-homogenous phase is composed of a viscous sodium alginate
135 solution with very small (less than 1mm diameter) seaweed particles in suspension. The goal
136 of this work is to build a simple model for RTD prediction. To assure the assumption of a
137 homogenous fluid flowing all along the screw channels, seaweeds under the form of a pseudo
138 homogeneous phase were run twice through the extruder. Tracer experiments for validation,
139 which is presented in a further section, were carried out during the second extrusion run.

140

141 **2.2. RTD model description**

142 The spatiotemporal tracer concentration evolution is described by means of an axial
143 dispersion model with two parameters depending on the length of the fully filled channel, the
144 axial dispersion coefficient and fluid speed. The final proposed RTD model is based on the
145 combination of a tracer concentration evolution model and a model for the calculation of the
146 length of a fully filled channel, which are both described below.

147

148 **2.2.1. Length of fully filled channel model**

149 The adopted approach to determine the length(s) of fully filled channel is based on elements
 150 described by Baron (1995). A simplified solution of the Navier-Stokes equations is used to
 151 describe fluid flow at steady state in a fully intermeshing co-rotating twin-screw extruder. To
 152 solve the Navier-Stokes equations, several simplifying assumptions have to be considered
 153 concerning extruder geometry, fluid properties and flow type.

154 It is assumed that the screw channel is unrolled and fixed, and that the barrel is plane and
 155 slides on the screw channel at V_b velocity (Figure 1). The totally unrolled channel length l_{tot} is
 156 divided into n_{tot} zones, corresponding to different screw element geometries composing the
 157 screw profile. The correspondence between the abscissa z along the unrolled screw channel
 158 and the iteration i for screw zones of different geometries is described in Figure 2. Filling of
 159 the screw channel occurs in the opposite direction to the flow (behind the die or reverse pitch
 160 screw elements). Hence, it was simpler to perform calculation iteration from the die to the
 161 feeding section (see axes directions for i, j and z on Figure 2). The fluid is assumed to be
 162 incompressible, Newtonian, and viscosity is assumed to be constant along the screws. The
 163 flow is assumed to be established, laminar, isothermal and uniform along the screw channel,
 164 which length is considered as infinite (width to length of the channel ratio as well as height to
 165 length of the channel ratio are assumed to be close to zero). If the interpenetration zone of the
 166 screws is neglected it can be assumed that the channel passes from one screw to the other
 167 without leakage or flow restriction. Gravity forces can be neglected compared to others forces
 168 as well as inertial forces compared to viscous forces.

169 (1)
$$\begin{cases} \frac{\partial P}{\partial x} = \mu \cdot \frac{\partial^2 v_x(y)}{\partial y^2} \\ \frac{\partial P}{\partial y} = 0 \\ \frac{\partial P}{\partial z} = \mu \cdot \left(\frac{\partial^2 v_z(x, y)}{\partial x^2} + \frac{\partial^2 v_z(x, y)}{\partial y^2} \right) \end{cases}$$

170 (2) $v_x(y=0) = 0$

171 (3) $v_x(y=H) = -v_{bx} = -\pi \cdot D \cdot N \cdot \sin \theta$

172 (4) $v_z(x, y=0) = 0$

173 (5) $v_z(x=0, y=H) = v_{bz} = \pi \cdot D \cdot N \cdot \cos \theta$

174 (6) $v_z(x=0, y) = 0$

175 By doing so, a linear form of the Navier-Stokes equations can be obtained, which can be
 176 simplified according to Equation system 1. If the boundary conditions described by Equations
 177 2 to 6 are taken into account, Equation system 1 can be solved to get fluid speed components
 178 expressions according to the solution described by Tadmor and Klein (1970).

179 (7)
$$Q_c = \int_0^H \int_0^W v_z \cdot dy \cdot dx$$

180 (8)
$$Q_{ci} = \alpha_i \cdot N - \frac{\beta_i}{\mu \cdot S_i} \cdot \frac{\partial P}{\partial z}$$
 with $\alpha_i = \frac{\pi \cdot D \cdot \cos \theta_i \cdot W_i \cdot H_i \cdot F_{di}}{2}$ and

181
$$\beta_i = \frac{(W_i \cdot H_i^2)^2 \cdot F_{pi}}{12}$$

182 The flow rate in the screw channel at abscissa z (Q_c) is then obtained by solving Equation 7.

183 Its expression (Equation 8) is assumed to be the difference between a pumping flow rate

184 depending on the screw speed N and a drag flow rate depending on the pressure gradient $\frac{\partial P}{\partial z}$

185 (Janssen et al., 1979; Tadmor and Klein, 1970). It holds true for each type of screw element,

186 direct or reverse screw pitch for example. Screw geometry is taken into account via

187 parameters α and β (with correction factors F_{di} and F_{pi} to take into account the impact of a

188 limit layer in the screw channel).

189 (9)
$$Q_{out} = \frac{K}{\mu} \cdot P_d$$

190 (10)
$$Q_{out} = \left(\frac{a + b \cdot l \cdot S}{c + \frac{d}{K} + l \cdot S} \right) \cdot N, \text{ with } a = \sum_{i=1}^{n-1} \left(\alpha_i \cdot \frac{\beta_n}{\beta_i} - \alpha_n \right) \cdot V_i, \quad b = \alpha_n,$$

191
$$c = \sum_{i=1}^{n-1} \left(\frac{\beta_n}{\beta_i} - 1 \right) \cdot V_i \quad \text{and} \quad d = \beta_n, \text{ for } \sum_{i=0}^{n+1} \frac{V_i}{S_i} < l < \sum_{i=0}^n \frac{V_i}{S_i}.$$

192 As the outflow rate Q_{out} is constrained by the die, it is assumed to follow a Hagen-Poiseuille
 193 equation (Equation 9). It depends on the pressure at the end of the die (P_d), which is equal to
 194 atmospheric pressure and on the geometrical coefficient K , which is inversely proportional to
 195 the flow restriction. Moreover, the output pressure of the iteration zone 1 is assumed to be
 196 equal to the pressure present at the head of the die, and the output pressure of iteration zone i
 197 to be equal to the input pressure of the iteration zone $i-1$. Consequently, pressure gradients
 198 can be eliminated in the flow rate expression (Equation 8) resulting in the outflow rate
 199 expression presented in Equation 10 (Baron, 1995). a , b , c and d are piecewise constant
 200 functions, depending on screw geometry.

201 (11)
$$\frac{dl}{dt} = \frac{Q(t-\tau) - Q_{out}}{S_n}$$

202 (12)
$$l = \frac{-a + \left(c + \frac{d}{K} \right) \cdot \frac{Q}{N}}{\left(b - \frac{Q}{N} \right) \cdot S_n}$$

203 Hence, length of the fully filled channel l can be obtained by solving a dynamical equation
 204 traducing mass balance (Equation 11). τ is a pure delay depending on control parameters and
 205 length of starved screw ($l_{tot} - l$) and describing the conveying time in the feeding zone. Carrot et
 206 al. (1993) proposed a specific flow model for this area. At steady-state, the outflow rate Q_{out} is
 207 equal to the input flow rate Q , and the fully filled channel length l can be estimated by
 208 Equation 12.

209 In the case of a screw profile containing restrictive elements (reverse screw pitch or kneading
 210 discs for example), several fully filled channel zones appear along the screws. The proposed
 211 model enables to deal with these cases. Two examples are given below, one in the case of a
 212 unique fully filled channel zone, the second one in the case of several fully filled channel
 213 zones. Screw profiles, corresponding to validation experiments with seaweeds exposed in a
 214 further section, are described in Figure 3.

215 **Example 1 (Profile 1)**

216 Screw profile is described in Figure 3a. Each zone corresponds to a different type of screw
 217 element and is characterized by four functions depending on its geometry, l_i , S_i , α_i and β_i . In
 218 this case, there is only one fully filled zone. Matter fills the screw channel from the die to the
 219 feeding zone.

220 If $l < (l_3 + l_2 + l_1)$ (Figure 3b), then $a = (\alpha_1 \cdot \frac{\beta_3}{\beta_1} - \alpha_3) \cdot S_1 \cdot l_1 + (\alpha_2 \cdot \frac{\beta_3}{\beta_2} - \alpha_3) \cdot S_2 \cdot l_2$

221 $b = \alpha_3$

222 $c = (\frac{\beta_3}{\beta_1} - 1) \cdot S_1 \cdot l_1 + (\frac{\beta_3}{\beta_2} - 1) \cdot S_2 \cdot l_2$

223 $d = \beta_3$.

224 If $(l_3 + l_2 + l_1) \leq l < (l_4 + l_3 + l_2 + l_1)$ (Figure 3c), then

225 $a = (\alpha_1 \cdot \frac{\beta_4}{\beta_1} - \alpha_4) \cdot S_1 \cdot l_1 + (\alpha_2 \cdot \frac{\beta_4}{\beta_2} - \alpha_4) \cdot S_2 \cdot l_2 + (\alpha_3 \cdot \frac{\beta_4}{\beta_3} - \alpha_4) \cdot S_3 \cdot l_3$

226 $b = \alpha_4$

227 $c = (\frac{\beta_4}{\beta_1} - 1) \cdot S_1 \cdot l_1 + (\frac{\beta_4}{\beta_2} - 1) \cdot S_2 \cdot l_2 + (\frac{\beta_4}{\beta_3} - 1) \cdot S_3 \cdot l_3$

228 $d = \beta_4$.

229 And so on ...

230 For reverse pitch or kneading disc elements, we assumed that they act like a direct pitch
 231 element. α , β and a mean adjusted section S can be assessed by specific experiments not
 232 discussed here.

233 **Example 2 (Profile 2)**

234 In this case, screw profile contains two restrictive elements zones (Figure 3d), which implies
 235 two fully filled zones (l_2^* , l_3^*) on top of the one implied by the die (l_1^*). These lengths are
 236 characterized by equations similar to equation (13). For l_2^* and l_1^* , the input flow corresponds
 237 to the output flow of the previous fully filled zone but with a specific pure delay. For each
 238 fully filled zone l_i^* , functions a_i^* , b_i^* , c_i^* and d_i^* are defined, just as described above.

239 For example (Figure 3e), if $l_1^* < (l_3 + l_2 + l_1)$ then,

$$240 \quad a_1^* = \left(\alpha_1 \cdot \frac{\beta_3}{\beta_1} - \alpha_3\right) \cdot S_1 \cdot l_1 + \left(\alpha_2 \cdot \frac{\beta_3}{\beta_2} - \alpha_3\right) \cdot S_2 \cdot l_2$$

$$241 \quad b_1^* = \alpha_3$$

$$242 \quad c_1^* = \left(\frac{\beta_3}{\beta_1} - 1\right) \cdot S_1 \cdot l_1 + \left(\frac{\beta_3}{\beta_2} - 1\right) \cdot S_2 \cdot l_2$$

$$243 \quad d_1^* = \beta_3;$$

244 If $l_2^* < (l_6 + l_5 + l_4)$ then,

$$245 \quad a_2^* = \left(\alpha_4 \cdot \frac{\beta_6}{\beta_4} - \alpha_6\right) \cdot S_4 \cdot l_4 + \left(\alpha_5 \cdot \frac{\beta_6}{\beta_5} - \alpha_6\right) \cdot S_5 \cdot l_5$$

$$246 \quad b_2^* = \alpha_6$$

$$247 \quad c_2^* = \left(\frac{\beta_6}{\beta_4} - 1\right) \cdot S_4 \cdot l_4 + \left(\frac{\beta_6}{\beta_5} - 1\right) \cdot S_5 \cdot l_5$$

$$248 \quad d_2^* = \beta_5$$

249 If $l_3^* < (l_9 + l_8 + l_7)$ then,

$$250 \quad a_3^* = (\alpha_7 \cdot \frac{\beta_9}{\beta_7} - \alpha_9) \cdot S_7 \cdot l_7 + (\alpha_8 \cdot \frac{\beta_9}{\beta_8} - \alpha_9) \cdot S_8 \cdot l_8$$

$$251 \quad b_3^* = \alpha_9$$

$$252 \quad c_3^* = (\frac{\beta_9}{\beta_7} - 1) \cdot S_7 \cdot l_7 + (\frac{\beta_9}{\beta_8} - 1) \cdot S_8 \cdot l_8$$

$$253 \quad d_3^* = \beta_9$$

254 It is easy to generalize Equations 10 and 11 to the case of overlapping fully filled zones.

255

256 **2.2.2. Model for tracer concentration**

257 A largely used approach is based on the description of the flow pattern by conceptual models,

258 combining ideal reactors, which represent the overall features of the physical flow. But

259 residence time distributions commonly encountered in twin-screw extrusion present

260 intermediate characteristics between those obtained with two ideal limiting cases, the perfect

261 mixer and the plug flow reactor. Therefore, non-ideal models have to be used to describe the

262 material flow. One of the main significant criteria for an extrusion flow model is its ability to

263 describe with sufficient flexibility the axial mixing along the screw. Two models seem to

264 better fulfil this requirement, the one-parameter axial dispersion model and the two-parameter

265 backflow cell model (Piaux et al., 2000).

266 In this paper, the axial dispersion model has been chosen. It consists in a combination of the

267 convective transport and an eddy diffusion mechanism in the axial direction.

$$268 \quad (13) \quad \frac{\partial C}{\partial t} = D_{ax} \cdot \frac{\partial^2 C}{\partial z^2} - v \cdot \frac{\partial C}{\partial z}$$

269 For a constant fluid velocity v and a constant axial dispersion coefficient D_{ax} along the flow

270 axis z , the spatio temporal tracer concentration evolution can be described by Equation 13.

$$271 \quad (14) \quad v(z) = \psi \cdot N \text{ for } l < z \leq l_{tot}$$

272 (15) $v(z) = \frac{Q}{S(z)}$ for $0 < z \leq l$

273 (16) $D_{ax}(z) = \lambda(z) \cdot |\alpha(z) \cdot N - Q|$

274 with $\lambda(z) = \lambda_1$ for $0 < z \leq l$ and $\lambda(z) = \lambda_2$ for $l < z \leq l_{tot}$

275 Equation 13 has been extended to the case where D_{ax} and v functions are piecewise constant.

276 The fluid velocity v depends on the fully filled length l : when the screw channel is partially

277 filled ($l < z < l_{tot}$), it depends on the pumping effect (Equation 14) whilst when the channel is

278 fully filled ($0 \leq z \leq l$), it depends on the global outflow rate (Equation 15). Equation (14) is an

279 approximate law for the starved screw. The value of ψ can be assessed by literature (Carrot

280 et al., 1993) or experimentally fitted. In the conveying area, the throughput is the result of a

281 transport phenomenon in the intermeshing zone and then, when this zone is fully filled, of a

282 pumping flow in the C-channel area. In the intermeshing zone, material moves forward in the

283 axial direction a distance equivalent to the pitch for every screw revolution, whatever the

284 operating conditions are. In the channels, material conveying is mainly due to the friction of

285 solid polymer with both barrel and screw. Equation (15) expresses the mean velocity of

286 matter in the fully filled zone. According to Equation 16, the axial dispersion coefficient D_{ax}

287 depends on the flow regime. The term $\alpha N - Q$ takes into account the pressure gradient influence

288 on dispersion and the λ correction parameter is thought to modulate the value of the axial

289 dispersion function D_{ax} by taking into account the screw channel filling.

290 (17) $D_{ax} \frac{\partial C}{\partial z} = v \cdot (C - C_{in})$ for $z = l_{tot}$

291 (18) $\frac{\partial C}{\partial z} = 0$ for $z = 0$

292 Tracer output concentration was estimated by numerical solving of Equation 13, boundary

293 conditions being defined by Equation 17 and 18 (finite difference approximation has been

294 used for partial derivatives).

295

296

297 **3. SIMULATIONS**

298 In order to illustrate the possibilities offered by the proposed model several simulations are
299 presented in this part. It enables to simulate RTD in function of process parameters (flow rate
300 and screw speed) and geometrical parameters (screw profile and die design). Simulations
301 were performed with Matlab software (Simulink toolbox). A 100 units pulse of tracer from
302 $t=0$ to $t=2$ s at the feeding section of the extruder was considered. All simulations presented in
303 this paper were performed with $m=100$ spatial discretization elements.

304 Figure 4 illustrates the RTD evolution in function of process and geometrical parameters
305 within the frame of the explored experimental domain. Increasing screw speed leads to a
306 decrease of RTD pure delay and dispersion. An increasing flow rate leads also to a
307 distribution width decrease and to a more Gaussian distribution shape (Figure 4a&b). By
308 increasing the screw pitch mean residence time increases and distribution become larger. For
309 a low screw speed, pure time-delay (delay before tracer concentration increase) increases with
310 screw pitch, whereas for a high screw speed, pure time-delay is not influenced by screw pitch.
311 Increasing restriction at the die leads to a larger distribution, but has no influence on pure
312 time-delay (Figure 4c&d). Observed tendencies are in agreement with what is commonly
313 described in literature. These above calculations show that the influence of process and
314 geometrical parameters can be simulated thanks to the proposed model, which could be useful
315 for die and screw profile design.

316

317

318 **4. VALIDATION**

319 In order to work in absolutely homogeneous conditions, experiments with *Laminaria digitata*
320 were, as explained before, carried out during a second run through the extruder. Hence,
321 alkaline reaction had already occurred and only mechanical properties were involved in
322 material flow.

323 Model validation was performed in the case of a constant viscosity along the screws with
324 experimental data from two different extrusion applications, reactive extrusion of seaweeds
325 for alginate extraction and LDPE (low density polyethylene) extrusion. Seaweed extrusion
326 experiments are described below and polymer extrusion data were obtained from Puaux et al.
327 (2000). Assumption of a constant viscosity is maintained for polymer experiments even if
328 authors are perfectly aware that this assumption appears extremely limitative.

329

330 **4.1. Seaweeds extrusion**

331 ***4.1.1. Experimental***

332 Validation for the proposed RTD model was performed with experimental data obtained from
333 a seaweed reactive extrusion application developed by Vauchel et al. (2008). A carbonatation
334 step by means of reactive extrusion is applied to extract alginate from brown seaweeds. The
335 alginate extraction protocol was adapted from the industrial process described by Pérez et al.
336 (1992).

337 All experiments were conducted on two-year-old *Laminaria digitata* fronds harvested in
338 Portsall, Brittany, France. The entire fronds were cut into small pieces (5mm² - 5cm²) by
339 means of a separator (RM70S type provided by LIMA S.A.S., Quimper, France) and stored in
340 a 2% (w/w) formalin solution to ensure their preservation during stocking (about 4 months).
341 Before each extraction experiment, algae pieces were rinsed with distilled water in order to
342 eliminate any formalin present, immersed in a 0.5M H₂SO₄ solution for at least one night
343 (stored at 4°C), and rinsed again with distilled water to eliminate excess acid. The alkaline

344 extraction step was conducted in a corotative twin-screw extruder (BC21 type provided by
345 Clextral, Firminy, France) equipped with a 4mm diameter and 5cm long cylindrical die.
346 Algae pieces were introduced in the hopper and the feed rate was regulated by means of a
347 feed pump. An external volumetric pump was used to supply the extruder with a 5% (w/w)
348 Na₂CO₃ solution. As alginate starts to degrade at 40°C, the barrel temperature was maintained
349 at about 20°C thanks to a circulating cooling water system.

350 Two different screw profiles were used, a simple one composed of decreasing direct pitch
351 screw elements and a small reverse screw element (profile 1 in Figure 3a) and a restrictive
352 one including two kneading discs sections (profile 2 in Figure 3d). As Algae feed rate to
353 reactive solution feed rate ratio (r) influences process efficiency, two different values for this
354 parameter were also considered, $r=1$ and $r=3$. They correspond to the boundary values of r for
355 the experimental area where seaweeds extrusion operates. All experiments were undertaken
356 for a fixed screw speed and a global feed rate of respectively 300rpm and 4kg.h⁻¹.

357 Experimental RTD were obtained by injecting a tracer at the feeding section of the extruder
358 and quantifying tracer concentration at the die exit. A red food colouring agent (E124) was
359 used. One mL of a 2g.L⁻¹ solution of this colouring agent was injected at $t=0$ at the feeding
360 section with a syringe. Extrudate was collected in several samples, each one corresponding to
361 a 10s time interval. Each sample was diluted in water and centrifuged at 10000g for 10
362 minutes (centrifuge KR22i Jouan S.A.S, Saint-Herblain, France). Supernatant tracer content
363 was quantified by measuring absorbance at 507nm (UV-vis spectrophotometer UV2 Unicam,
364 Cambridge, UK). It appeared that almost all tracer injected at the feeding section is recovered
365 in the outgoing material supernatant during the experiments.

366 **4.1.2. Simulation results**

367 Few assumptions were adopted concerning some elements of the restrictive screw profile to
368 perform simulations. Grooved reverse pitch elements and kneading elements were replaced by

369 reverse screw elements (25mm long with a 16.6mm pitch and 50mm long with a 25mm pitch
370 respectively). For each feed rates ratio, one of the two experimental RTD was used to adjust
371 values of the parameter λ_1 . Parameter λ_2 was defined in function of λ_1 : $\frac{\lambda_2}{\lambda_1} = 10$, as axial
372 dispersion is lower in the conveying zones than in the fully filled zones. This ratio value was
373 adopted because it appeared as a good compromise between a too low value that would
374 deteriorate the adjustment quality (minimization by the least squares method) and a too high
375 value that would raise numerical problems during the resolution. Hence, for $r=1$, $\lambda_1=100 \text{ m}^{-1}$
376 and $\lambda_2=1000 \text{ m}^{-1}$ were used and for $r=3$, $\lambda_1=160 \text{ m}^{-1}$ and $\lambda_2=1600 \text{ m}^{-1}$. In Figure 7, curves *a*
377 and *b* correspond to parameters adjustment and curves *c* and *d* to simulations performed by
378 means of the proposed model.

379 Simulation results presented in Figure 5 globally show that the proposed model provides good
380 predictions of experimental RTD curves. Experimental data clearly highlight the influence of
381 feed rates ratio on flow in the extruder. Increasing r (the flow rate being constant) leads to an
382 increase of the mean residence time and a wider distribution for both screw profiles. Then,
383 changing screw profile also induces flow modifications in the extruder. Screw profile 2 being
384 more restrictive, the mean residence time increases and distribution is wider than for screw
385 profile 1. The observed evolution was satisfactorily simulated by the proposed model.

386

387 **4.2. Polymer extrusion**

388 Data from literature have been used so as to validate the proposed model for another type of
389 material. The work published by Puaux et al. (2000) has been chosen because all information
390 needed to perform simulations were mentioned (screw profile, die design, material
391 properties). RTD evolution was assessed for different screw speed values in the case of low
392 density polyethylene extrusion with a BC21 type extruder (Clextral S.A.S., Fiminy, France).
393 The material being different from the previous case, value of λ_1 parameter was modified. It

394 was adjusted according to one of the four known experimental RTD curves: for $N=150\text{rpm}$,
395 $\lambda_I=150\text{m}^{-1}$. Screw profile used by Puaux et al. (2000) is described in Figure 6 (profile 3).
396 Figure 7 presents experimental data and predictions from the proposed model. Predictions
397 were globally close to experimental RTD, with a correctly simulated shape. However, some
398 imprecisions can be noticed. Distribution width was a little underestimated and predictions
399 were a little time-lagged, particularly for high screw speed values. Despite these imprecisions,
400 this second validation case confirms the ability of the proposed model to simulate and predict
401 RTD curves from process and geometrical parameters even with the assumption of a constant
402 viscosity along the screw.

403

404

405 **5. CONCLUSION**

406 In this paper, a new model is proposed to predict residence time distribution in fully
407 intermeshing co-rotating twin-screw extruders, taking into account control parameters (screw
408 speed and flow rate) and geometrical parameters (screw profile and die design). Possibilities
409 offered by the proposed model were illustrated by simulations for various operating and
410 geometrical conditions. Validation was performed for two different applications, seaweeds
411 extrusion and polymer material extrusion. It showed the model ability to predict RTD for
412 various kinds of extruded materials. The originality of the proposed model lies in its ability to
413 predict RTD after adjusting only one parameter (λ_I) thanks to a unique experimental RTD
414 curve. Once parameters adjustment is performed, RTD can be predicted for different
415 operating conditions (screw speed and feed rate) and different geometrical configurations
416 (screw profile and die design).

417 The proposed RTD model could be improved by adding several extensions. It could be

418 extended to the reactive case by coupling reaction kinetics to the equation describing spatio

419 temporal tracer concentration evolution (Equation 13). It would also be possible, provided
420 other assumptions are made, to adapt the structure of equation 10 to take into account the case
421 of an evolving viscosity along the screw channel. And if reaction advancement and viscosity
422 are linked, it would also be possible to take it into account if the relation is correctly
423 formalized. The coupling between kinetic model of alginate extraction and flow model will be
424 discussed in a forthcoming paper. The extended model could be a useful tool to help
425 optimizing reactive extrusion applications.

426

427

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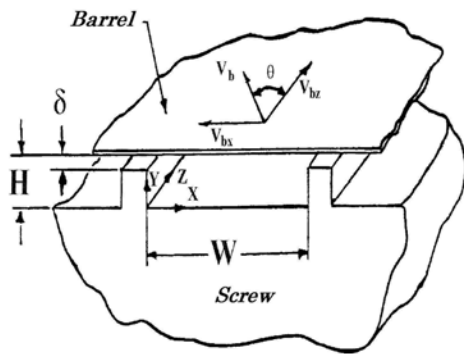
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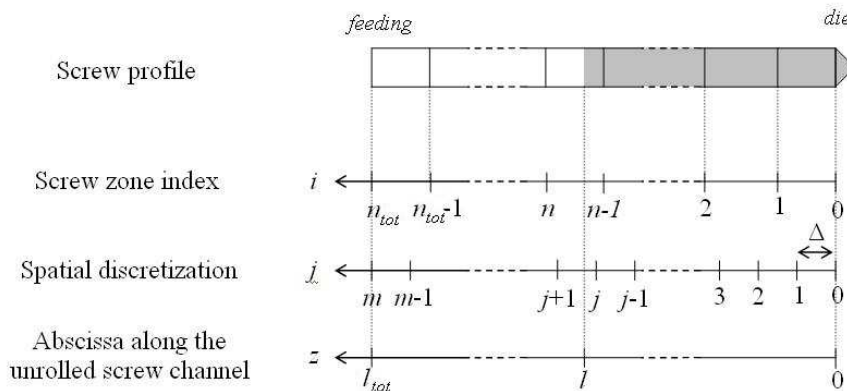
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475 **Figure captions**



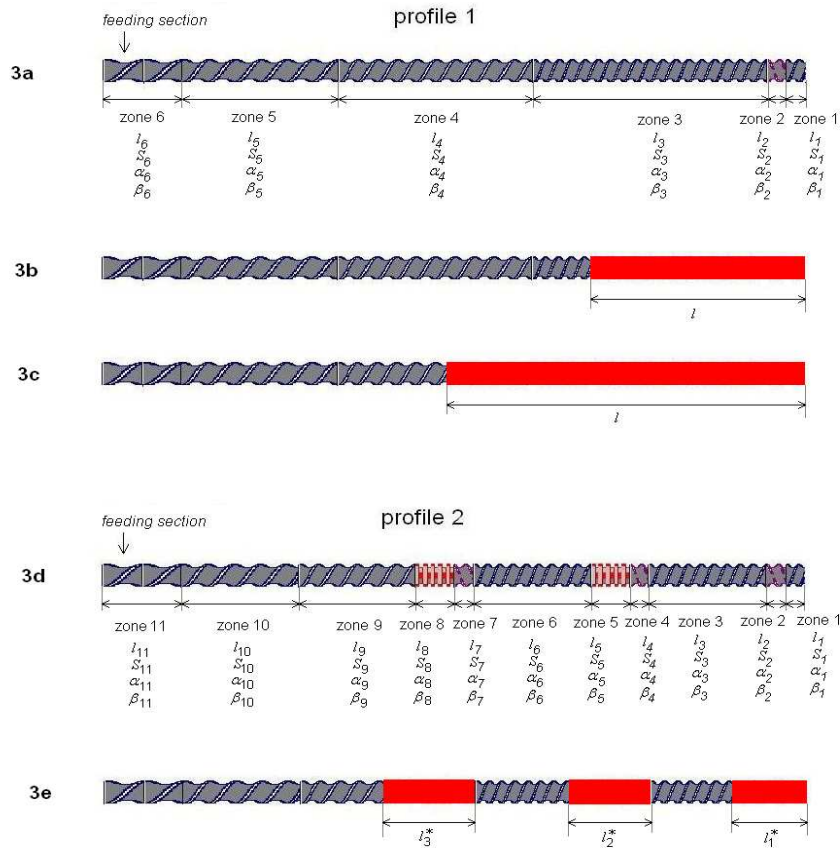
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477 Figure 1. The unrolled channel and the moving plane barrel (Tadmor and Klein, 1970).



478

479 Figure 2. Screw profile, screw zone index, spatial discretization and abscissa along the
 480 unrolled screw channel.



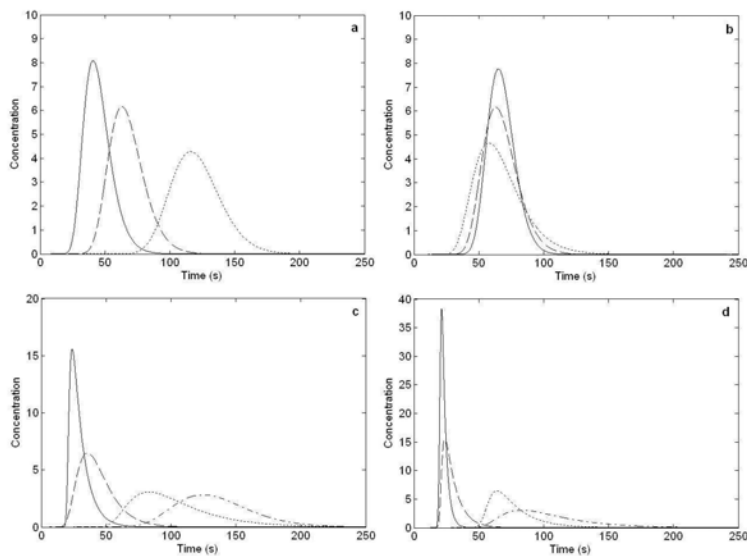
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482 Figure 3. Screw profiles used for seaweeds extrusion experiments. (a) screw profile 1 and

483 geometrical parameters associated; (b) case where $l < (l_3 + l_2 + l_1)$ with profile 1; (c) case where

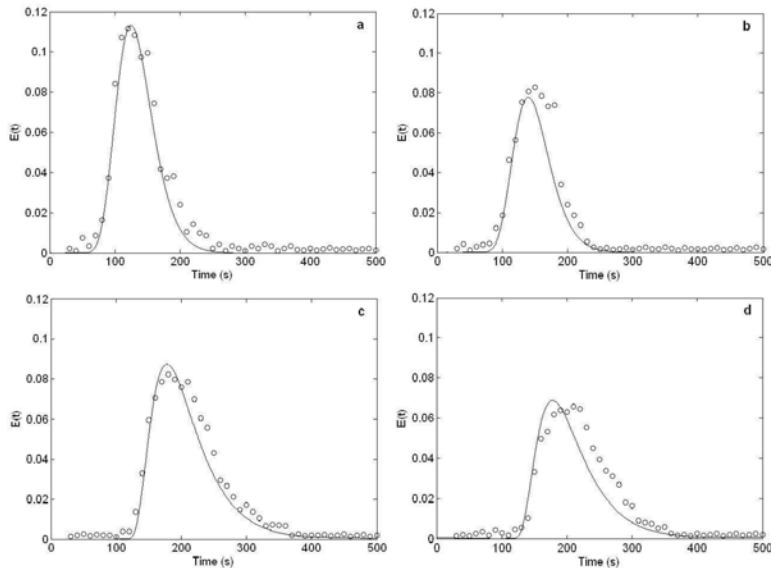
484 $(l_3 + l_2 + l_1) \leq l < (l_4 + l_3 + l_2 + l_1)$ with profile 1; (d) screw profile 2 and geometrical parameters

485 associated; (e) case of three different fully filled zones with profile 2 (and with $l_3^* < (l_9 + l_8 + l_7)$).



486

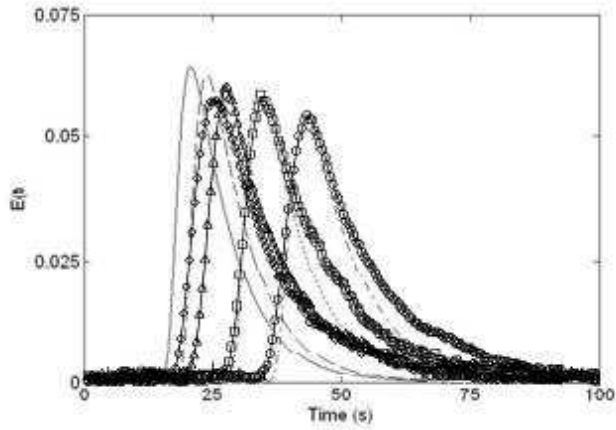
487 Figure 4. RTD simulations for different process and geometrical parameters. (a) different
 488 values of screw speed : (—) $N=600\text{rpm}$; (- -) $N=400\text{rpm}$; (---) $N=200\text{rpm}$ ($Q=5\text{kg}\cdot\text{h}^{-1}$;
 489 $p=50\text{mm}$; $K=7\cdot 10^{-11}\text{m}^3$). (b) different values of flow rate: (----) $Q=3\text{kg}\cdot\text{h}^{-1}$; (- -) $Q=5\text{kg}\cdot\text{h}^{-1}$;
 490 (—) $Q=7\text{kg}\cdot\text{h}^{-1}$ ($N=400\text{rpm}$; $p=50\text{mm}$; $K=7\cdot 10^{-11}\text{m}^3$). (c) different values of screw pitch and
 491 screw speed : (---) $N=200\text{rpm}$ and $p=25\text{mm}$; (- - -) $N=200\text{rpm}$ and $p=50\text{mm}$; (—)
 492 $N=600\text{rpm}$ and $p=25\text{mm}$; (- -) $N=600\text{rpm}$ and $p=50\text{mm}$ ($Q=5\text{kg}\cdot\text{h}^{-1}$; $K=7\cdot 10^{-11}\text{m}^3$). (d)
 493 different values of die restriction coefficient and screw speed : (—) $N=600\text{rpm}$ and $K=1,5\cdot 10^{-10}$
 494 m^3 ; (- -) $N=600\text{rpm}$ and $K=7\cdot 10^{-11}\text{m}^3$; (----) $N=200\text{rpm}$ and $K=1,5\cdot 10^{-10}\text{m}^3$; (- - -)
 495 $N=200\text{rpm}$ and $K=7\cdot 10^{-11}\text{m}^3$ ($Q=5\text{kg}\cdot\text{h}^{-1}$; $p=25\text{mm}$).



496
 497 Figure 5. Model validation for seaweeds extrusion for two different screw profiles and feed
 498 rates ratios. (O) experimental data; (—) model prediction. Parameters adjustment : (a) screw
 499 profile 1 and $r=1$; (b) screw profile 1 and $r=3$. Simulations: (c) screw profile 2 and $r=1$; (d)
 500 screw profile 2 and $r=3$.



501
 502 Figure 6. Screw profile 3 used by Puaux et al. (2000) for LDPE extrusion.



503

504 Figure 7. Model validation for polymer extrusion. Experimental data: (\diamond) $N=400\text{rpm}$; (Δ)

505 $N=300\text{rpm}$; (\square) $N=200\text{rpm}$; (\circ) $N=150\text{rpm}$. Model prediction: (—) $N=400\text{rpm}$; (— —)

506 $N=300\text{rpm}$; (----) $N=200\text{rpm}$; (- - -) $N=150\text{rpm}$.