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## Variance in the reproductive success of flat oyster *Ostrea edulis* L. assessed by parentage analyses in natural and experimental conditions

D. Lallias<sup>1, p1</sup>, N. Taris<sup>1, p2</sup>, P. Boudry<sup>1, p3</sup>, F. Bonhomme<sup>2</sup> and S. Lapègue<sup>1, \*</sup>

<sup>1</sup> Ifremer, Laboratoire Génétique et Pathologie, Ronce-les-bains, 17390 La Tremblade, France

<sup>2</sup> Département Biologie Intégrative, ISEM, UMR 5554 CNRS-Université Montpellier II, S. M. E. L., 1 quai de la daurade, 34200 Sète, France

<sup>p1</sup> Present address: School of Ocean Sciences, Bangor University, Menai Bridge, Anglesey LL59 5AB, UK.

<sup>p2</sup> Present address: Laboratoire 'Adaptation & Diversité en Milieu Marin' UMR 7144 CNRS-UPMC, Station Biologique de Roscoff, Place Georges-Teissier, BP 74, 29682 Roscoff cedex, France.

<sup>p3</sup> Present address: Ifremer – UMR M100 Physiologie et Ecophysiologie des Mollusques Marins – 29280 Plouzané, France.

\*: Corresponding author : S. Lapègue, Tel: (33) 5 46 76 26 31. Fax: (33) 5 46 76 26 11, email address : [Sylvie.Lapegue@ifremer.fr](mailto:Sylvie.Lapegue@ifremer.fr)

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### Abstract:

In order to document further the phenomena of variance in reproductive success in natural populations of the European flat oyster *Ostrea edulis*, two complementary studies based on natural and experimental populations were conducted. The first part of this work was focused on paternity analyses using a set of four microsatellite markers for larvae collected from 13 brooding females sampled in Quiberon Bay (Brittany, France). The number of individuals contributing as the male parent to each progeny assay was highly variable, ranging from 2 to more than 40. Moreover, paternal contributions showed a much skewed distribution, with some males contributing to 50–100% of the progeny assay. The second part of this work consisted of the analysis of six successive cohorts experimentally produced from an acclimated broodstock (62 wild oysters sampled in the Quiberon Bay). Allelic richness was significantly higher in the adult population than in the temporal cohorts collected. Genetic differentiation ( $F_{st}$  estimates) was computed for each pair of samples and all significant values ranged from 0.7 to 11.9%. A limited effective number of breeders (generally below 25) was estimated in the six temporal cohorts. The study gives first indications of the high variance in reproductive success as well as a reduced effective size, not only under experimental conditions but also in the wild. Surprisingly, the pool of the successive cohorts, based on the low number of loci used, appeared to depict a random and representative set of alleles of the progenitor population, indicating that the detection of patterns of temporal genetic differentiation at a local scale most likely depends on the sampling window.

**Keywords:** *Ostrea edulis*, microsatellite, reproductive success, temporal cohort, brooding females

## 1. INTRODUCTION

The mating system can greatly influence the genetic structure of populations. Crosses between relatives and selfing reduce multilocus heterozygosity and increase gametic disequilibria in the resulting progenies (Hedrick 2000). At the population level, they also lead to a reduction of effective size and an increase of inter-population differentiation. Moreover, demographic fluctuations (caused by variable ecological conditions) may result in transient bottlenecks that are expected to have the same effect on population's diversity and differentiation (Cornuet & Luikart 1996). Marine species with high fecundity and high early mortality such as oysters (Elm-oyster model; Williams 1975), are particularly prone to display large variance in reproductive success, because of gametic (gamete quality, sperm-egg interaction) and zygotic (zygotic competition, differential viability of genotypes) effects (Boudry *et al.* 2002), contributing to a reduction of their effective population size. Hence, many marine species have a combination of high fecundity and narrow conditions for spawning success that may lead to wide individual variation in realised reproductive success, such that an annual cohort is the result of only a few spawning events or individuals (Hedgecock 1994).

The flat oyster, *Ostrea edulis*, an endemic European species, naturally occurs from Norway to Morocco in the North-Eastern Atlantic and in the whole Mediterranean Sea. It has been harvested for at least 6000 years (Gouilletquer & Héral 1997). However, overharvesting and, more recently, the successive occurrence during the 1960's of two protozoan diseases caused by *Marteilia refringens* and *Bonamia ostreae* drastically decreased its production. For example, the French production was reduced from 20,000 tons in the 1950's to 1,900 tons nowadays (FAO 2007). Hence, the native European flat oyster is listed in the OSPAR (Oslo-Paris) Convention for the Protection of the Marine Environment of the North-East Atlantic (species and habitat protection). In the context of potential restoration along European coasts (Laing *et al.* 2005), it is important to assess the potential impact of hatchery-propagated stocks on the genetic variability and the effective population size of wild populations (Gaffney 2006). Therefore, information is needed about the genetic variability of hatchery-propagated stocks (Lallias *et al.* 2010) and the structure and dynamics of wild populations to ensure proper management of populations and aquaculture production.

The genetic structure of wild *O. edulis* populations has been analysed with microsatellite DNA (Launey *et al.* 2002) and mitochondrial DNA (12S) markers (Diaz-Almela *et al.* 2004). Genetic differentiation based on mitochondrial data was 10-fold greater

92 ( $F_{st} = 0.224$ ; Diaz-Almela *et al.* 2004) than the one established on microsatellite data ( $F_{st} =$   
93  $0.019$ ; Launey *et al.* 2002). This quantitative difference of a factor of ten observed between  
94 the nuclear and mitochondrial  $F_{st}$  was proposed to be attributable to a reduced female  
95 effective population size. This could be explained by several factors: i) a biased effective sex-  
96 ratio towards males owing to the protandry of the species and the higher energy cost in  
97 oogenesis (Ledantec & Marteil 1976), leading to a lower probability of becoming female.  
98 This is aggravated by the *B. ostreae*-caused disease (Culloty & Mulcahy 1996) which induces  
99 high mortalities within 2-3 year-old adults, ii) a higher variance in female than male  
100 reproductive success (Boudry *et al.* 2002; Taxis *et al.* 2009). Other explanations are: (1)  $N_{ef}$  is  
101 one-quarter of  $N_e$  and (2)  $F_{st}$  is proportional to  $(H_S - H_T)$  ( $H_S$  being the average subpopulation  
102 Hardy-Weinberg heterozygosity,  $H_T$  being the total population heterozygosity) and  $H_S$   
103 approached 1.0 in the microsatellites used (Hedrick 2005a).

104 Heterozygote deficiencies with regard to Hardy-Weinberg equilibrium expectations  
105 are common in marine bivalve populations (Zouros & Foltz 1984; Huvet *et al.* 2000; Hare *et*  
106 *al.* 2006) and were reported in *O. edulis* for allozymes (Wilkins & Mathers 1973; Saavedra *et*  
107 *al.* 1987; Alvarez *et al.* 1989) and microsatellites (Launey *et al.* 2002). Microsatellite markers  
108 are particularly prone to PCR artifacts such as the presence of null alleles and upper allele  
109 drop-out, which are responsible for the commonly observed heterozygote deficiencies.  
110 Moreover, a positive correlation between multi-locus heterozygosity (MLH) and life history  
111 traits such as growth or survival was reported in *O. edulis* based on allozymes (Alvarez *et al.*  
112 1989; Launey 1998) and microsatellite markers (Bierne *et al.* 1998). Two kinds of arguments  
113 were mentioned to explain heterozygote deficiencies and correlations heterozygosity-growth.  
114 The first hypothesis, overdominance, implies that selection acts directly on allozymic  
115 genotypes, questioning allozymes neutrality. This hypothesis was refuted by the evidence of  
116 the same phenomenon occurring with reputedly neutral markers like microsatellites (Bierne *et*  
117 *al.* 1998; Launey & Hedgecock 2001). The second hypothesis, associative overdominance,  
118 stipulates that marker polymorphism is neutral but reflects indirectly variation in loci linked  
119 to fitness by genetic correlations. Genetic markers, be they allozymes or microsatellites, can  
120 therefore either represent neutral loci in gametic disequilibrium with physically close loci  
121 under selection (local effect) or represent neutral markers of the overall genomic  
122 heterozygosity (general effect, David *et al.* 1995). Whether local or general, the associative  
123 overdominance hypothesis takes root in the characteristics of reproductive biology and  
124 dynamics of these species. Indeed, according to Bierne *et al.* (1998), an instantaneous reduced  
125 effective population size can induce gametic disequilibrium between genetic markers and loci

126 linked to fitness (local effect); whereas partial inbreeding can generate a variation in the  
127 global genomic heterozygosity between individuals (general effect). Li & Hedgecock (1998)  
128 in *Crassostrea gigas* and Hedgecock *et al.* (2007) in *O. edulis* highlighted the fact that, under  
129 local circumstances, the effective population size can be drastically reduced by a high  
130 variance in reproductive success, which could in turn generate a temporary gametic phase  
131 disequilibrium (reinforcing the associative overdominance hypothesis).

132 Variance in individual reproductive success among parents has also been documented  
133 under experimental conditions using controlled crossing (e.g. Hedgecock & Sly 1990;  
134 Hedgecock *et al.* 1992 and references therein; Petersen *et al.* 2008). The most direct evidence  
135 comes from studies of the Pacific oyster, *Crassostrea gigas*, in which changes in family  
136 representation in progenies resulting from factorial crosses were analysed using  
137 microsatellites markers for parentage analyses (Boudry *et al.* 2002; Taris *et al.* 2006). Their  
138 results showed large variance in parental contributions at several developmental stages,  
139 leading to a strong reduction of experiment-wide effective population size that could be  
140 attributed to four main factors: gamete quality, sperm-egg interaction, sperm competition and  
141 differential survival among families.

142 In order to document further the phenomena of variance in reproductive success both  
143 in natural and hatchery-produced populations of *O. edulis*, we performed two complementary  
144 studies to answer two questions: (1) Is it possible to detect a variance in reproductive success  
145 which could result in a reduced effective population size? (2) How is this variance temporally  
146 expressed? To answer these questions, brooding females were firstly sampled in the wild and  
147 the number of males fertilising each female estimated on the basis of microsatellite allele  
148 frequencies. Then, to get rid of drawbacks inherent to working with large natural populations  
149 and multiple environmental factors, parentage analyses were conducted under experimental  
150 conditions: successive cohorts were collected from a population of potential progenitors kept  
151 in hatchery, whose genotypes were known, in order to infer *a posteriori* the relative  
152 contribution of each. The results of these two studies are discussed in the light of previous  
153 studies of wild or hatchery-bred flat oysters.

154

## 155 **2. MATERIALS AND METHODS**

156

### 157 (i) Sampling and experimental design

158

159 First experiment - During summer 2001, 13 flat oysters, *O. edulis*, and the larvae  
160 present in their mantle cavity (i.e. brooding females) were collected when sampling  
161 individuals in Quiberon Bay (Brittany, France). This area represents a natural recruitment  
162 zone for this species. The sampling period extended from June to August:

- 163 - 26/06/2001: females F1 and F2
- 164 - 10/07/2001: females F4, F5, F6, F7 and F8
- 165 - 17/07/2001: females F9 and F10
- 166 - 08/08/2001: female F21
- 167 - 14/08/2001: females F22, F23 and F24

168 Second experiment - In November 2002, 62 adult oysters were sampled from a natural  
169 population in the same bay and transferred in raceways in the Ifremer experimental hatchery  
170 of La Tremblade (France). They were first anaesthetised with  $MgCl_2$  (Culloty & Mulcahy  
171 1992) to get biopsies of the gills for microsatellite genotyping. They were then conditioned  
172 for spawning, by increasing water temperature and food supply. Additional food consisted of  
173 three species of phytoplankton: *Isochrysis galbana*, *Chaetoceros calcitrans* and *Tetraselmis*  
174 *suecica*. Sieves were placed under the outflow pipe in order to collect larvae during the  
175 reproductive period (water flow: 150 l/h). The term “cohort” refers to larvae that were  
176 collected, just after their release, on these sieves. Sieves were checked daily to collect the  
177 larvae that were then kept in 70% ethanol for further genetic analysis. It is known that stocks  
178 of adult flat oysters produce larvae over an extended period, contrary to the cupped oysters  
179 which are mass spawners (Helm *et al.* 2004).

180

## 181 (ii) Genotyping

182

183 DNA extraction for adult oysters (gill tissue) was performed by a classical  
184 phenol/chloroform method (Sambrook *et al.* 1989). Eighty larvae per brooding female or per  
185 cohort were separated under binocular lens in a Dolfuss tank, and individuals were put in a  
186 0.2 ml Eppendorf tube with 4  $\mu$ l of 70% ethanol. Larval DNA extraction was performed by  
187 evaporating ethanol and adding 50  $\mu$ l of extraction buffer (1.5 ml of 10X PCR Buffer, 75  $\mu$ l  
188 of Tween 20, distilled water up to 15 ml) and 5  $\mu$ l of Proteinase K (10  $mg \cdot ml^{-1}$ ) (Taris *et al.*  
189 2005). Larvae were incubated one hour at 55°C and 20 minutes at 100°C. Genomic DNA was  
190 kept at -20°C.

191 Four microsatellite loci were used: *OeduJ12*, *OeduU2*, *OeduH15* and *OeduT5*  
192 described in Launey *et al.* (2002). Polymerase chain reactions (PCR) were performed in a 10

193  $\mu\text{l}$  reaction mix containing 5  $\mu\text{l}$  template DNA, 2.5 mM  $\text{MgCl}_2$ , 0.1 mM dNTPs, 0.25  $\mu\text{M}$  of  
194 each primer, 1 unit of Goldstar Licensed Polymerase (Eurogentec) and 1X polymerase buffer  
195 (supplied by the manufacturer). The primers were synthesised by MWG Biotech with each  
196 forward primer labelled with IRD-700 (*OeduJ12* and *OeduU2*) or IRD-800 (*OeduH15* and  
197 *OeduT5*). Amplifications were processed as follows: pre-denaturation (95°C, 5 min) followed  
198 by 30 cycles of denaturation /annealing of primers / polymerisation (95°C, 20 s;  $T_a$ , 20 s;  
199 72°C, 20 s) and a final elongation step (72°C, 30 min). The annealing temperature  $T_a$  of the  
200 primer pair was respectively 50°C for *OeduJ12*, *OeduH15*, and *OeduU2* and 53°C for  
201 *OeduT5*. Variation in fragment size was visualised by 6.5% polyacrylamide denaturing gels  
202 run at 1500 V, 40 W, 40 mA, at 50°C on a LICOR® DNA sequencer. Genotypes were scored  
203 with reference to individuals, whose alleles were of known size, and resulting data were  
204 analysed with the Gene Profiler 4.0 software.

205

### 206 (iii) Genetic analysis

207

208 Microsatellite genetic polymorphism within the adult population and within each  
209 temporal cohort was measured as the mean number of alleles per locus, the observed ( $H_o$ ) and  
210 expected unbiased ( $H_{nb}$ ) heterozygosity (Nei 1978). Estimate of allelic richness ( $A$ ) that uses  
211 rarefaction to correct for unequal sizes (El Mousadik & Petit 1996) was also performed per  
212 locus and sample with the program FSTAT version 2.9.3 (Goudet 1995). A Friedman test was  
213 applied to detect differences in allelic richness among samples (Minitab 14.0): the adults and  
214 progeny cohorts were the treatments and the loci were the blocks. F-statistics described by  
215 Wright (1931) were computed according to Weir and Cockerham's estimators, using Genetix  
216 4.1 software (Belkhir *et al.* 1996-2001). Deviations from Hardy-Weinberg equilibrium ( $F_{is}$ )  
217 were computed in the adult population and in each cohort. Moreover, genetic differentiations  
218 between adult population and cohorts were estimated using Wright's fixation index  $F_{st}$ ,  
219 estimated by  $\theta$  (Weir & Cockerham 1984). The significance of departures from zero of  $F_{is}$  and  
220  $F_{st}$  was assessed by 1000 permutations of the appropriate data (alleles within individuals for  
221  $F_{is}$ , individuals among populations for  $F_{st}$ ).

222 We used three different methods for estimating the effective number of breeders ( $N_b$ ):  
223 1) temporal moments method of Waples (1989), based on the changes of allelic frequencies  
224 between the adult population and each of the cohorts (NeEstimator 1.3 software; Peel *et al.*  
225 2004; [http://www.dpi.qld.gov.au/28\\_6908.htm](http://www.dpi.qld.gov.au/28_6908.htm)), 2) excess heterozygosity method  
226 (NeEstimator 1.3 software) and 3) linkage disequilibrium (LD) method (LDNe program;

227 Waples & Do 2008). For the LD method, the  $P_{crit}$  value is the minimum frequency for alleles  
228 to be included in the analysis. We performed the analyses using a  $P_{crit}$  value of 0.05 or 0.01.  
229 There is a tradeoff between bias and precision: generally, the lower the  $P_{crit}$  value, the more  
230 precise but also the more biased the  $N_b$  estimates will be (Waples & Do 2009).

231

#### 232 (iv) Paternal analysis of larvae collected in brooding females

233

234 For the larvae collected in the mantle cavity of 13 wild brooding females, only  
235 mothers' genotypes and adult population allelic frequencies were available. Because of the  
236 size of the studied population, it was indeed impossible to sample all its individuals in order  
237 to obtain genotypes of all possible fertilizing males. To determine the number of males that  
238 contributed to the progeny of each female, two parental reconstruction software were used,  
239 one based on Bayesian statistics, the other on a combinatory approach. Both used multilocus  
240 genotypes of the known parent and offspring to reconstruct the genotypes of unknown fathers  
241 contributing to the progeny array.

242 The mean numbers of males having fertilised each of the 13 brooding females  
243 analysed, as well as the standard error over the 1000 iterations, were first estimated using  
244 PARENTAGE 1.0, a software based on Bayesian statistics developed by Ian Wilson (Emery  
245 *et al.* 2001; <http://www.mas.ncl.ac.uk/~nijw>). In the input file, several priors concerning  
246 distributions of offspring among males were stated:

- 247 - an equivalent contribution (each male contributes equally to the offspring)
- 248 - a number of fathers between 1 and 60

249 The mutation rate, accounting for assignation failures, was stated as equal to 0.02.

250 We also used GERUD 2.0 (Jones 2005), based on a combinatory approach, which does  
251 not rely on the choice of priors. First, paternal alleles were established by subtraction. Then,  
252 an exhaustive search was performed, which tried every possible combination of paternal  
253 genotypes. The program provided all possible combinations of the minimum number of  
254 fathers. When several combinations of paternal genotypes were consistent with the progeny  
255 array, the solutions were ranked by likelihood, based on the segregation of paternal alleles in  
256 the general population according to Mendelian expectations. As this approach is  
257 computationally intensive, it is restricted to progeny arrays with less than six fathers (Jones &  
258 Ardren 2003). Therefore, it was computed only for females whose progeny presented a low  
259 number of alleles.

#### 260 (v) Parentage analysis of temporal cohorts collected in the hatchery population

261

262 For the temporal cohorts collected in the hatchery, genotypes of all potential  
263 progenitors are known, but not their sex as flat oysters are alternative hermaphrodites and can  
264 change sex during the same reproductive season (personal observations). First of all,  
265 exclusion probabilities, which correspond to the probability that a parent taken at random in a  
266 population can be excluded, were computed. It is of prime importance to compute exclusion  
267 probability prior to any parentage analysis, to ensure that the set of molecular markers used is  
268 powerful enough to achieve successfully parentage analysis. Exclusion probabilities were  
269 computed for each locus separately ( $P_{El}$ ) and for all loci progressively combined ( $P_{CE}$ )  
270 according to Chakraborty *et al.* 1988:

271

272

$$P_{El} = 1 - 4 \sum_{i=1}^n p_i^2 + 2 \left( \sum_{i=1}^n p_i^2 \right)^2 + 4 \sum_{i=1}^n p_i^3 - 3 \sum_{i=1}^n p_i^4$$

273 where  $n$  is the number of alleles at locus  $l$  and  $p_i$  is the frequency of the  $i$ th allele.

274 And for  $L$  loci:

275

$$P_{CE} = 1 - \prod_{l=1}^L (1 - P_{El})$$

276 Exclusion probabilities computed for the pool of 62 potential progenitors were 73.7%, 94.5%  
277 and 98.3% for *OeduJ12*, *OeduU2* and *OeduT5* respectively. The combined exclusion  
278 probability obtained with the three loci was 99.9%.

279 For parentage assignment, the “Parental pair (sexes unknown)” option of CERVUS  
280 3.0 (Marshall *et al.* 1998, Kalinowski *et al.* 2007) was used. It is a parental pair allocation  
281 program, based on a maximum likelihood approach. The statistic Delta is defined as the  
282 difference in LOD scores between the most likely candidate and the second most likely  
283 candidate. In the simulation of parental analysis, the proportion of loci typed was 0.93, the  
284 simulated genotyping error was set at 0.01, the number of candidate parents was 62 and the  
285 proportion of candidate parents sampled was set at 100%. Critical values of Delta were  
286 determined for 80% and 95% confidence levels based on simulations of 10 000 offspring.

287

### 288 3. RESULTS

289

#### 290 (i) Genetic and paternity analyses of the brooding females collected in a natural population

291

292 Genotypes at 3 to 4 microsatellite loci were determined for 80 larvae collected in each  
293 brooding female. Beforehand, compatibility of maternal alleles was checked in each  
294 offspring; five females, respectively F4, F6, F8, F23 and F24 showed some larvae whose



295 genotypes were not compatible at locus *OeduH15*. In these cases, the five females were  
296 apparently homozygous; mismatching arose from the presence of homozygous larvae for an  
297 allele different from the one of the corresponding female. Null alleles were suspected; females  
298 were most likely heterozygous for a null allele thus making the larvae heterozygous  
299 exhibiting a paternal allele and the suspected maternal null allele. This has already been  
300 reported for this locus (Launey *et al.* 2002). Consequently, genotypes at *OeduH15* were  
301 recoded to take into account the segregation of a null allele, before performing the paternity  
302 analyses. The number of alleles per locus was assessed in each progeny array for each female.  
303 Locus *OeduH15* presented a lower number of alleles, always below 12. Mean number of  
304 alleles per locus was highly variable, from 4.3 for F7 to 18.5 for F10 (Table 1).

305 Mean numbers of male parents as determined with PARENTAGE 1.0 was highly  
306 variable among females, from 2 to more than 40 (Table 1). Software GERUD 2.0 was used  
307 for the five progeny arrays showing the lowest number of alleles: F5, F7, F8, F21 and F24.  
308 Minimum numbers of fertilizing males were obtained (Table 1), as well as the genotypes of  
309 males contributing to each array. Each paternal genotype was associated with the number of  
310 larvae compatible with this genotype. Paternal contributions showed a very skewed  
311 distribution, with some males contributing to 50-100% of the progeny assay (Figure 1).

312

313 (ii) Genetic diversity, differentiation and effective number of breeders of temporal cohorts  
314 collected in hatchery

315

316 Six temporal cohorts were collected from the batch of adult oysters kept in the  
317 hatchery during a short period of time between the 14<sup>th</sup> of March 2003 and the 30<sup>th</sup> of March  
318 2003, although the experiment was pursued until the end of June. These cohorts were named  
319 by the date of collection: 14, 17, 20, 22, 28 and 30/03/2003. The six cohorts were also pooled  
320 into a “Total cohort”, for analysis. Multilocus genotypes (at *OeduJ12*, *OeduU2* and *OeduT5*)  
321 were determined for the adult population and for 80 larvae from each cohort. The population  
322 of potential progenitors consisted of 62 adults. Linkage disequilibrium was computed for each  
323 pair of loci for the adults kept in hatchery with the option 2 of the web-based version  
324 Genepop software (genepop@wbiomed.curtin.edu.au). No significant linkage disequilibrium  
325 ( $p > 0.63$  for each combination) was observed in the population of progenitors: the three loci  
326 studied segregate independently.

327 The values of allelic richness varied from 23.00 to 27.00 for the adult population  
328 depending on the locus (Table 2). For the six temporal cohorts collected, the values of allelic

329 richness varied from 11.52 to 20.33 depending on the locus and the cohort. For the Total  
330 cohort (6 pooled cohorts), allelic richness was 18.70 for *OeduJ12*, 21.07 for *OeduU2* and  
331 18.86 for *OeduT5* (Table 2). Regarding the allelic richness across loci in the adult sample and  
332 the six cohorts, there were significant differences observed (Friedman test statistic  $S = 13.30$ ,  
333  $df = 6$ ,  $p = 0.04$ ). Values of observed and expected heterozygosity were high, above 0.9  
334 (Table 2). Deviations from Hardy Weinberg equilibrium ( $F_{is}$ ) were computed for the adults  
335 and the cohorts (Table 2). The global heterozygote deficiency was not significant for the  
336 population of progenitors. None of the heterozygote excesses observed in the cohorts was  
337 significant. The significant heterozygote deficiency observed for the cohort of 28/03/03 ( $p <$   
338  $0.05$ ) was attributable to locus *OeduJ12* ( $p < 0.001$  for this locus after Bonferroni correction).

339 Genetic differentiations ( $F_{st}$  values) were computed for each pair of samples  
340 (adult/cohort; cohort/cohort). All values were highly significant ( $p < 0.001$  or  $p < 0.01$ ).  
341 Genetic differentiation ranged from 0.7% (between cohorts 20/03/2003 and 22/03/2003) to  
342 11.9% (between cohorts 14/03/2003 and 17/03/2003) (Table 3a). Genetic differentiations  
343 were also computed between the population of progenitors and the cohorts progressively  
344 pooled (Table 3b). With pooling, genetic differentiation became blurred, but was non  
345 significant only when all 6 pooled cohorts were compared to the progenitors.

346 The effective number of breeders ( $N_b$ ) was computed for each temporal cohort, using  
347 three different methods. The  $N_b$  estimates varied according to the method used, but were  
348 generally below 25. The excess heterozygosity method and the linkage disequilibrium method  
349 (with a  $P_{crit}$  value of 0.05) gave consistently lower  $N_b$  estimates (Table 4). The temporal  
350 method and the linkage disequilibrium method (using a  $P_{crit}$  value of 0.01) gave very similar  
351 estimates. The cohort 17/03/2003 had the lowest  $N_b$ . For the Total cohort, the heterozygote  
352 excess and linkage disequilibrium methods gave  $N_b$  estimates ranging between 15 and 34,  
353 whereas  $N_b$  estimate was 96 based on the temporal method (Table 4).

354

### 355 (iii) Parentage analysis of temporal cohorts collected in hatchery

356

357 CERVUS 3.0 software was used to assign the most likely parental pair to each  
358 offspring of a progeny array. For each of six temporal cohorts collected in hatchery, the  
359 percentage of larvae that were assigned a parental pair ranged from 49% to 65% with a 95%  
360 statistical confidence, and from 68% to 88% with a 80% statistical confidence (Table 5). Out  
361 of 62 potential progenitors, 10 did not contribute, 15 contributed to only one cohort, 11 to two  
362 cohorts, 10 to three cohorts, 5 to four cohorts, 5 to five cohorts and 6 contributed to all 6

363 cohorts. Depending on the cohort, the number of contributing progenitors ranged from 19  
364 (17/03/2003) to 28 (14/03/2003 and 28/03/2003) (Table 4).

365 It is apparent from Figure 2 that the total contribution of each progenitor, in terms of  
366 number of offspring, was very variable. For example, 10 progenitors contributed each to a  
367 single offspring (e.g. P007, P009, P018) whereas 4 progenitors contributed each to more than  
368 40 offspring (P006, P026, P048 and P094). Also, it can be noticed that some parents  
369 contributed to successive cohorts (e.g. P014, P075 contributed to 28/03/2003 and 30/03/2003)  
370 while others contributed to cohorts spaced in time. For example, P083 contributed to two  
371 cohorts spaced by two weeks: 14/03/2003 and 28/03/2003. The contribution of this individual  
372 to these two cohorts was confirmed by the segregation of rare alleles (exhibited by only this  
373 individual): hence P083 exhibited a rare allele at locus *OeduU2*, which was found in some  
374 larvae of these cohorts. Segregation of such rare alleles was used to check qualitatively the  
375 succession of some individuals along the time found with CERVUS. Results were consistent:  
376 rare allele analysis revealed contribution of P014 in 28/03/2003 and 30/03/2003; of P028 to 5  
377 cohorts (from 17/03/2003 to 30/03/2003); of P045 in 14/03/2003; and of P061 in 20/03/2003  
378 and 22/03/2003.

379 Finally, there was a succession in time of major contributing progenitors (Figure 3).  
380 The main progenitor of 14/03/2003 was P083, contributing to more than 20% of the progeny.  
381 P026 contributed to almost 50% of the 17/03/2003 cohort, whereas P006 contributed to  
382 almost 30% of the 20/03/2003 cohort. For the last three cohorts, no progenitor had a  
383 contribution over 20%.

384

#### 385 **4. DISCUSSION**

386

387 The advent of molecular tools and methods for parentage analysis (reviewed in Jones *et al.*  
388 2010) has greatly facilitated genetic investigation of mating systems and the evaluation of  
389 patterns and determinants of reproductive success in aquatic organisms. Genetic methods  
390 have recently added much insight into the reproductive and parental care behaviour of several  
391 fish species by analysing genetic parentage of broods collected in nature (Sefc *et al.* 2008,  
392 Tatarenkov *et al.* 2008, Byrne & Avise 2009). Moreover, genetic parentage analyses have  
393 been employed to gain a better understanding of the spawning behaviour and reproductive  
394 dynamics of captive fish broodstock held in commercial breeding tanks (Jeong *et al.* 2007,  
395 Herlin *et al.* 2008, Blonk *et al.* 2009). Finally, high variance in reproductive success has  
396 previously been reported in bivalves, both in natural populations (Li & Hedgecock 1998,

397 Hegdgecock *et al.* 2007, Arnaud-Haond *et al.* 2008) and in experimental conditions (Boudry  
398 *et al.* 2002, Petersen *et al.* 2008). To our knowledge, only a few studies combine experimental  
399 studies with observations in natural populations.

400

#### 401 (i) Comparison of natural population and experimental hatchery conditions

402

403 The first part of our study allowed the estimation of the effective number of  
404 individuals contributing as fertilizing males to the progeny of brooding females *in natura*.  
405 Paternity analyses revealed that this number was highly variable (from 2 to more than 40,  
406 Table 1). Our results also revealed a high variance of the relative contribution of each male  
407 within a female (Figure 1). In the studied population, the number and spatial distribution of  
408 individuals was unknown, as well as effective sex ratio or local environmental conditions.  
409 Therefore, no hypothesis could be put forward to explain why a particular female was (or was  
410 not) fertilised by a particular male, or to explain the variance in the relative contribution of the  
411 males; this highlighted the need to work under experimental conditions in a controlled  
412 environment to mimic what happens at the population level. The experimental part of the  
413 present study was therefore performed to describe mating among individuals in more detail.  
414 In this second part of our study, as individuals of similar size and physiological condition  
415 were kept under common environmental conditions (temperature, food input), we could  
416 expect that all oysters would become mature around the same time. Moreover, progenitors  
417 were moved daily inside the tank aiming to avoid spatial effect on fertilisation caused by the  
418 direction of the water flow in the raceway. Thus variance in reproductive success was  
419 expected to be low. Consequently, the variance in relative contributions observed within each  
420 cohort truly represented intrinsic capacities (physiology, genetics) of individuals to reproduce.  
421 A similar approach was successfully used in the lion-paw scallop (Petersen *et al.* 2008).  
422 Furthermore, a comparable experimental design was successfully used to study the hypothesis  
423 that reproductive success is randomly distributed within spawning aggregations of Atlantic  
424 cod (Rowe *et al.* 2008). This indicates that experimental design might be of particular interest  
425 to understand better the behaviour of wild populations.

426

#### 427 (ii) Variance in reproductive success and effective population size: implications

428

429 There is a relationship between reproductive biology (variance in reproductive success  
430 implying a low  $N_e$ ) and temporary disequilibrium (or markers-based heterosis). To explain

431 heterozygote deficiencies and heterozygosity-growth correlations, the associative  
432 overdominance hypothesis postulates that selectively neutral markers are affected by selection  
433 operating on linked loci with effects on fitness. Genetic markers, be they allozymes or  
434 microsatellites, can therefore either represent neutral loci in gametic disequilibrium with  
435 physically close loci under selection (local effect) or represent neutral markers of the overall  
436 genomic heterozygosity (general effect, David *et al.* 1995). Analysis of distorted segregation  
437 ratios in *C. gigas* confirms that these distortions are mainly attributable to selection against  
438 recessive deleterious mutations of fitness genes linked to these distorted markers (Launey &  
439 Hedgecock 2001). David *et al.* (1997) suggest that even small levels of inbreeding can be  
440 sufficient to maintain disequilibrium between markers and fitness genes that causes the  
441 observed markers-associated heterosis. Moreover, the ratio  $N_e/N$  can be drastically reduced by  
442 a high variance in reproductive success (Hedgecock 1994, Launey & Hedgecock 2001,  
443 Hedrick 2005b, Hedgecock *et al.* 2007) that could generate temporary gametic disequilibrium  
444 and markers-associated heterosis.

445 This study highlighted the existence of variance in reproductive success as well as a  
446 locally reduced effective size in experimental (controlled) conditions. The two combined  
447 phenomena are compatible with the possibility of temporary gametic disequilibria, which  
448 favour the local effects hypothesis of associative overdominance. Moreover, variance in  
449 reproductive success highlighted in this study could be accentuated by variations in  
450 environmental conditions in the wild. Such a variance in reproductive success has been  
451 assessed previously in *C. gigas* by PCR-SSCP (Li & Hedgecock 1998) and by microsatellites  
452 (Boudry *et al.* 2002). This variance can be explained by asynchrony of maturation, as already  
453 observed some years in Brittany (France) with three successive spawns separated by about 2-  
454 3 weeks (Martin *et al.* 1995).

455 Effective number of breeders ( $N_b$ ) is a fundamental parameter for the management of  
456 genetic resources and conservation biology because it influences the magnitude of genetic  
457 drift in the closed population under scrutiny. It determines the rate of inbreeding ( $\Delta F=1/2N_b$ )  
458 and hence the rate of genetic variability loss in a population. In species with overlapping  
459 generations however, the effective number of breeders per year ( $N_b$ ) can differ from the  
460 population's long term effective size  $N_e$ . This study demonstrated a limited effective number  
461 of breeders in the six temporal cohorts, generally below 25 (Table 4). However,  $N_b$  (based on  
462 the temporal method) was computed between two successive generations therefore  
463 equilibrium was not achieved. Moreover, it is important to notice that effective sex ratio in the  
464 experimental population is unknown. Some features of life history of oysters tend to limit the

465 effective population size: a biased sex ratio and the fact that fertilisation takes place into the  
466 mantle cavity which pleads in favour of fertilisation by nearest neighbours (Saavedra *et al.*  
467 1987). Moreover, analysis of genetic variability of a cohort collected early in the reproductive  
468 season in Sète in 1993 (Hedgecock *et al.* 2007) demonstrated that spat collected was issued  
469 from a small number of progenitors (probably less than 20). This is in agreement with the fact  
470 that sexual maturation is not synchronous in this species (Le Dantec & Marteil 1976) and that  
471 reproductive success can be highly variable in time, at least at the beginning of the  
472 reproductive season when only a few individuals are mature.

473 The occurrence of such gametic disequilibria is temporary therefore it is not always  
474 observed. These patterns of temporal genetic differentiation at the local scale were described  
475 as “chaotic genetic patchiness” (Johnson & Black 1984; David *et al.* 1997) because they are  
476 transient and do not represent a permanent structure. The ability of detecting them depends on  
477 the sampling window and time. Indeed, in the Hedgecock *et al.* (2007) study reported above,  
478 few individuals contributed to the recruited cohort probably because of the scarcity of oysters  
479 already mature at that time of the year. However, another similar study (Taris *et al.* 2009)  
480 collected successive 15-days cohorts later in the season and showed neither genetic  
481 differentiation between adults and cohorts nor temporal structuring of the genetic diversity  
482 observed with nuclear markers. This suggests that several differentiated cohorts were  
483 integrated into a wide 15-days cohort, erasing genetic differentiation: the sampling window  
484 (15 days) was perhaps too wide. This previous result obtained in the wild is supported by the  
485 study of our experimental population where  $F_{st}$  was computed between progenitors and  
486 successive cohorts, as well as pooled cohorts. A high genetic differentiation was found  
487 between the population of potential progenitors and the first cohort ( $F_{st}$  3%,  $p < 0.001$ ): this  
488 could be explained by a few individuals contributing the cohort. As soon as successive  
489 cohorts were pooled, more and more progenitors contributed to these cohorts and hence  
490 genetic differentiation faded to cancel finally when all the cohorts were pooled (Table 3b).  
491 Therefore the pool of successive cohorts appears to represent a random and representative set  
492 of alleles of the progenitor population. However, such a result is driven in a very large part by  
493 the limited power of the dataset, as  $F_{st}$  is estimated on only 3 loci. From Table 2, we can see  
494 that the allelic richness is still quite a bit lower in the pooled cohorts than in the parents. The  
495 pooled cohorts certainly seems to constitute a more representative set than any of the  
496 individual cohorts, but it would probably still be found to be differentiated from the parents if  
497 more loci had been used. The detection of this phenomenon depends probably on the  
498 sampling window: for Hedgecock *et al.*'s (2007) cohort, this window was 15 days in early

499 spring. In this study, we showed the existence of a genetic differentiation at a smaller stepping  
500 time: between two successive cohorts spaced of 2-3 days, different individuals contributed to  
501 the cohorts explaining the genetic differentiation observed.

502

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504

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509

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688

689 Table 1. Allelic polymorphism and paternity analysis of 13 brooding females sampled in a  
690 natural population (Brittany, France). Numbers of alleles ( $N_a$ ) per locus and mean number of  
691 alleles are shown for 80 offspring of each female.  $n_{\text{loci}}$ : number of loci used for paternity  
692 analysis. Number of fathers ( $N_f$ ) contributing to each offspring has been determined by two  
693 software, PARENTAGE 1.0 (Bayesian method) and GERUD2.0 (parental reconstruction).  
694 Equivalent prior refers to the prior stating an equal contribution of males to the progeny. na:  
695 not available (number of alleles too high).

696

Female	$N_a$					$n_{\text{loci}}$	Mean $N_f$ (Parentage) (Equivalent prior)	Minimum $N_f$ (Gerud)
	J12	U2	H15	T5	Mean			
F1	18	18	10	-	15.3	3	35.5 (4.3)	na
F2	19	15	9	-	14.3	3	27.3 (2.9)	na
F4	11	15	10	17	13.3	4	24.0 (2.9)	na
F5	7	9	5	-	7	3	7.7 (0.7)	4
F6	14	16	6	12	12	4	14.2 (1.1)	na
F7	5	4	4	-	4.3	3	2.1 (0.3)	3
F8	8	9	7	6	7.5	4	6.9 (0.6)	4
F9	11	13	11	14	12.3	4	8.4 (1.5)	na
F10	21	23	11	19	18.5	4	44.5 (3.5)	na
F21	4	5	4	4	4.3	4	1.7 (0.9)	2
F22	17	25	10	18	17.5	4	34.2 (1.9)	na
F23	20	23	10	17	17.5	4	40.0 (4.1)	na
F24	7	8	6	9	7.5	4	6.3 (0.7)	5

697

698

699 Table 2. Genetic diversity, test for Hardy-Weinberg equilibrium for a population of 62  
700 potential progenitors and 6 cohorts obtained in an experimental hatchery. Number of samples  
701 analysed ( $N$ ), allelic richness ( $A$ ), expected ( $H_{nb}$ ) and observed ( $H_o$ ) heterozygosity and  $F_{is}$   
702 estimates according to Weir and Cockerham (1984). Total cohort corresponds to the pooling  
703 of the 6 temporal cohorts. Significance of  $F_{is}$  tested on 1000 permutations; NS corresponds to  
704 non significant values of  $p$ , \* of  $p < 0.05$ ; \*\*  $p < 0.01$  and \*\*\*  $p < 0.001$ .

705

	Adults	14/03/2003	17/03/2003	20/03/2003	22/03/2003	28/03/2003	30/03/2003	Total cohort	
$N$	62	80	80	80	80	80	80	480	
Scoring success	J12	98.9%	95%	91.25%	97.5%	88.75%	93.75%	92.92%	
	U2	100%	96.25%	92.5%	93.75%	90%	87.5%	91.46%	
	T5	98.9%	96.25%	95%	92.5%	96.25%	85%	91.25%	
$A$	J12	23.00	17.68	11.52	17.21	14.85	14.82	18.70	
	U2	27.00	17.67	15.62	20.20	18.56	20.33	21.07	
	T5	23.00	18.14	14.36	14.32	14.30	16.55	18.86	
$H_o$	J12	0.895	1	0.836	0.859	0.930	0.733	0.883	
	U2	0.906	0.922	0.919	0.987	0.912	0.944	0.943	
	T5	0.916	0.883	0.829	0.878	0.935	0.868	0.909	
$H_{nb}$	J12	0.928	0.889	0.768	0.835	0.900	0.880	0.897	
	U2	0.947	0.883	0.849	0.887	0.911	0.915	0.932	
	T5	0.914	0.852	0.774	0.819	0.855	0.895	0.878	
$F_{is}$ total		0.026NS	-0.069NS	-0.081NS	-0.072NS	-0.043NS	0.054**	-0.044NS	0.008NS

706

707

708

709 Table 3. (a) Genetic differentiation between and within the population of potential progenitors  
710 and 6 cohorts obtained in an experimental hatchery. (b) Genetic differentiation between the  
711 population of potential progenitors and the 6 cohorts progressively pooled.  $F_{st}$  values per  
712 population pair (Weir & Cockerham 1984) are expressed in percentage and their significance  
713 tested by 1000 permutations: \*\*\*  $p < 0.001$ ; \*\*  $p < 0.01$ ; \*  $p < 0.05$ ; NS non significant.

714

(a)

	14/03/2003	17/03/2003	20/03/2003	22/03/2003	28/03/2003	30/03/2003
Adults	3 <sup>***</sup>	5.5 <sup>***</sup>	3.1 <sup>***</sup>	1.5 <sup>***</sup>	1.3 <sup>***</sup>	1.2 <sup>***</sup>
14/03/2003	-	11.9 <sup>***</sup>	7.1 <sup>***</sup>	5.9 <sup>***</sup>	5 <sup>***</sup>	5.1 <sup>***</sup>
17/03/2003	-	-	9.8 <sup>***</sup>	6.8 <sup>***</sup>	7.9 <sup>***</sup>	7.8 <sup>***</sup>
20/03/2003	-	-	-	0.7 <sup>**</sup>	4.2 <sup>***</sup>	4.5 <sup>***</sup>
22/03/2003	-	-	-	-	3 <sup>***</sup>	3 <sup>***</sup>
28/03/2003	-	-	-	-	-	1.8 <sup>***</sup>

715

(b)

	14	14+17	14+17+20	14+17+20+22	14+17+20+22+28	14+17+20+22+28+30
Adult	3 <sup>***</sup>	1.1 <sup>***</sup>	0.6 <sup>**</sup>	0.6 <sup>**</sup>	0.3 <sup>*</sup>	0.2 <sup>NS</sup>

716

717

718 Table 4. Estimated effective number of breeders  $N_b$  for each cohort by temporal and  
 719 heterozygote ( $H$ ) excess methods (using NeEstimator 1.3 software) and linkage  
 720 disequilibrium (LD) method (using LDNe program). Variance intervals are given in brackets.  
 721 LD<sub>0.05</sub>: with lowest allele frequency used ( $P_{crit}$  value) of 0.05; LD<sub>0.01</sub>: with  $P_{crit}$  value of 0.01.  
 722  $N_g$  (Real) is the number of progenitors having contributed to each cohort, determined by  
 723 parentage analysis with CERVUS 3.0 software (80% statistical confidence). Total cohort  
 724 corresponds to the pooling of the 6 temporal cohorts.

725

	$N_b$ (Temporal)	$N_b$ (H excess)	$N_b$ (LD <sub>0.05</sub> )	$N_b$ (LD <sub>0.01</sub> )	$N_g$ (Real)
14/03/2003	21.0 [12.4-36.2]	10.9	3.5 [2.2-8.0]	21.8 [17.5-27.4]	28
17/03/2003	12.5 [7.8-19.6]	8.0	9.6 [3.8-17.7]	13.2 [9.6-17.8]	19
20/03/2003	21.0 [12.3-36.5]	9.0	5.7 [2.8-11.6]	18.2 [14.2-23.2]	21
22/03/2003	22.3 [12.9-39.6]	9.9	9.5 [4.1-15.5]	20.7 [15.8-27.4]	27
28/03/2003	33.2 [17.8-70.1]	27.0	14.3 [8.4-23.5]	20.9 [15.8-27.9]	28
30/03/2003	29.6 [16.3-58.9]	5.4	12.5 [7.4-20.2]	23.2 [17.5-31.2]	25
Total cohort	95.9 [43.7-347.4]	15.0	19.2 [13.2-26.5]	33.6 [29.8-37.9]	48

726



727 Table 5. Number of parentage assignments for 6 temporal cohorts collected in hatchery, using  
 728 CERVUS 3.0 software.  $N_{total}$ : number of larvae included in the analysis (genotyped for at least  
 729 2 loci). The critical Delta scores and expected number of parentage assignments were  
 730 determined by simulation of parentage analysis (see text).

731

Cohort	$N_{total}$	Confidence level of assignment	Critical Delta	Observed assignments	Expected assignments
14/03/2003	79	95%	1.38	40 (51%)	43 (54%)
		80%	0.00	64 (81%)	63 (80%)
		Unassigned		15 (19%)	16 (20%)
17/03/2003	75	95%	2.18	49 (65%)	26 (34%)
		80%	0.69	53 (71%)	42 (57%)
		Unassigned		22 (29%)	33 (43%)
20/03/2003	80	95%	1.41	48 (60%)	36 (45%)
		80%	0.69	57 (71%)	52 (65%)
		Unassigned		23 (29%)	28 (35%)
22/03/2003	77	95%	2.25	44 (57%)	34 (44%)
		80%	0.09	68 (88%)	57 (73%)
		Unassigned		9 (12%)	20 (27%)
28/03/2003	75	95%	2.02	37 (49%)	31 (42%)
		80%	0.32	56 (75%)	52 (70%)
		Unassigned		19 (25%)	22 (30%)
30/03/2003	75	95%	2.67	37 (49%)	27 (36%)
		80%	0.69	51 (68%)	49 (65%)
		Unassigned		24 (32%)	26 (35%)
Total cohort	461	95%	2.97	252 (55%)	143 (31%)
		80%	0.69	325 (70%)	289 (63%)
		Unassigned		136 (30%)	172 (37%)

732

733

734 Figure 1. Variance of reproductive success between males, determined with a parental  
735 reconstruction software, GERUD2.0 (Jones 2005), for brooding females showing few alleles  
736 in their offspring. First male refers to the male with the highest contribution to the offspring,  
737 second male is the male with the second highest contribution. For each female, first to fifth  
738 males refer to different males.

739

740 Figure 2. Total contribution of progenitors (in terms of number of offspring) to each of six  
741 cohorts collected in an experimental hatchery. Parentage analysis was performed using a  
742 parental pair categorical allocation software, CERVUS 3.0 (Marshall *et al.* 1998, Kalinowski  
743 *et al.* 2007), with an 80% statistical confidence.

744

745 Figure 3. Percentage of contribution of each potential progenitor to each temporal cohort,  
746 visualising the succession of major contributors over time. Parentage analysis was performed  
747 using a parental pair allocation software, CERVUS 3.0 (Marshall *et al.* 1998, Kalinowski *et*  
748 *al.* 2007), with an 80% statistical confidence.

Figure 1  
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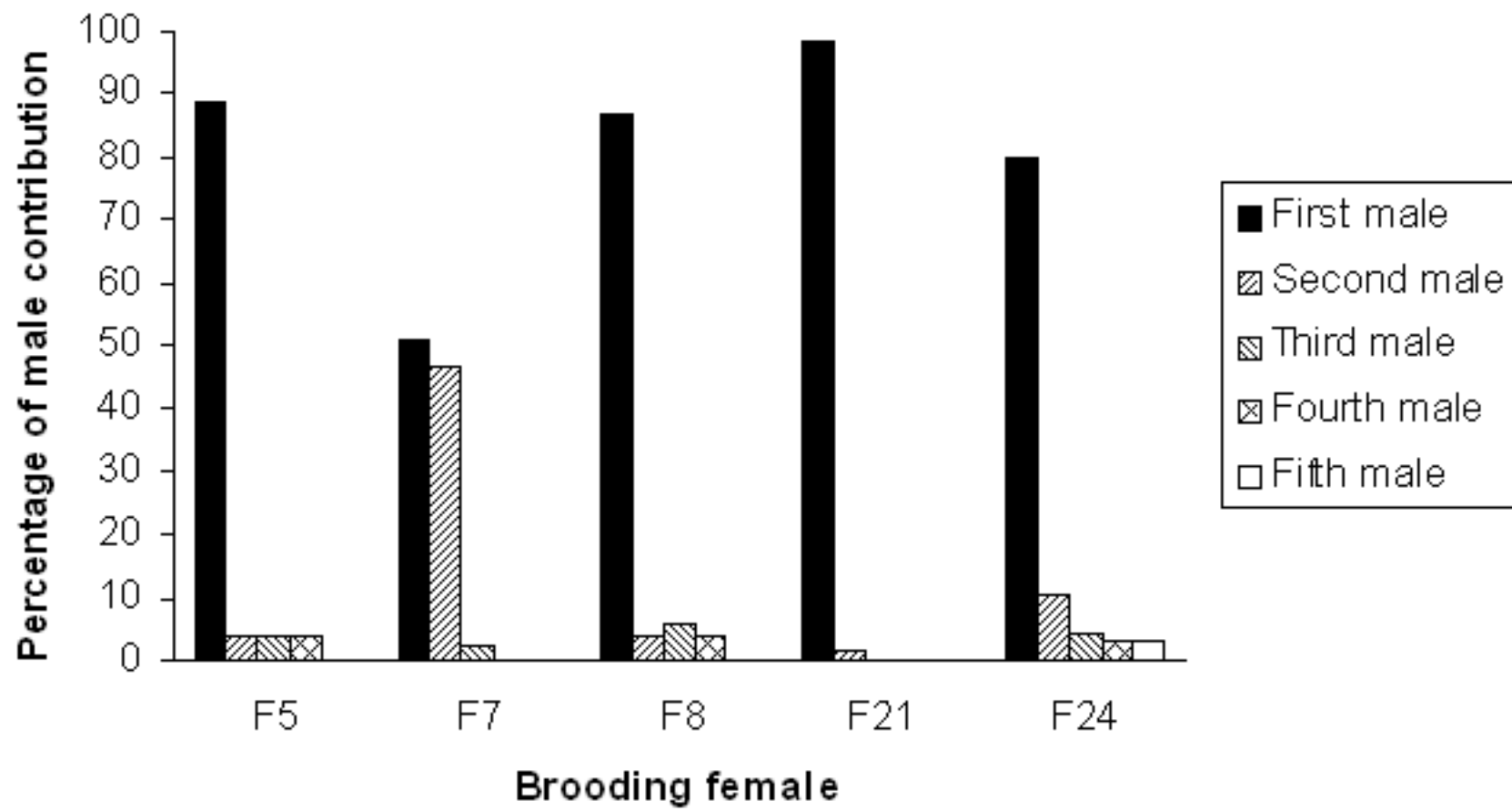


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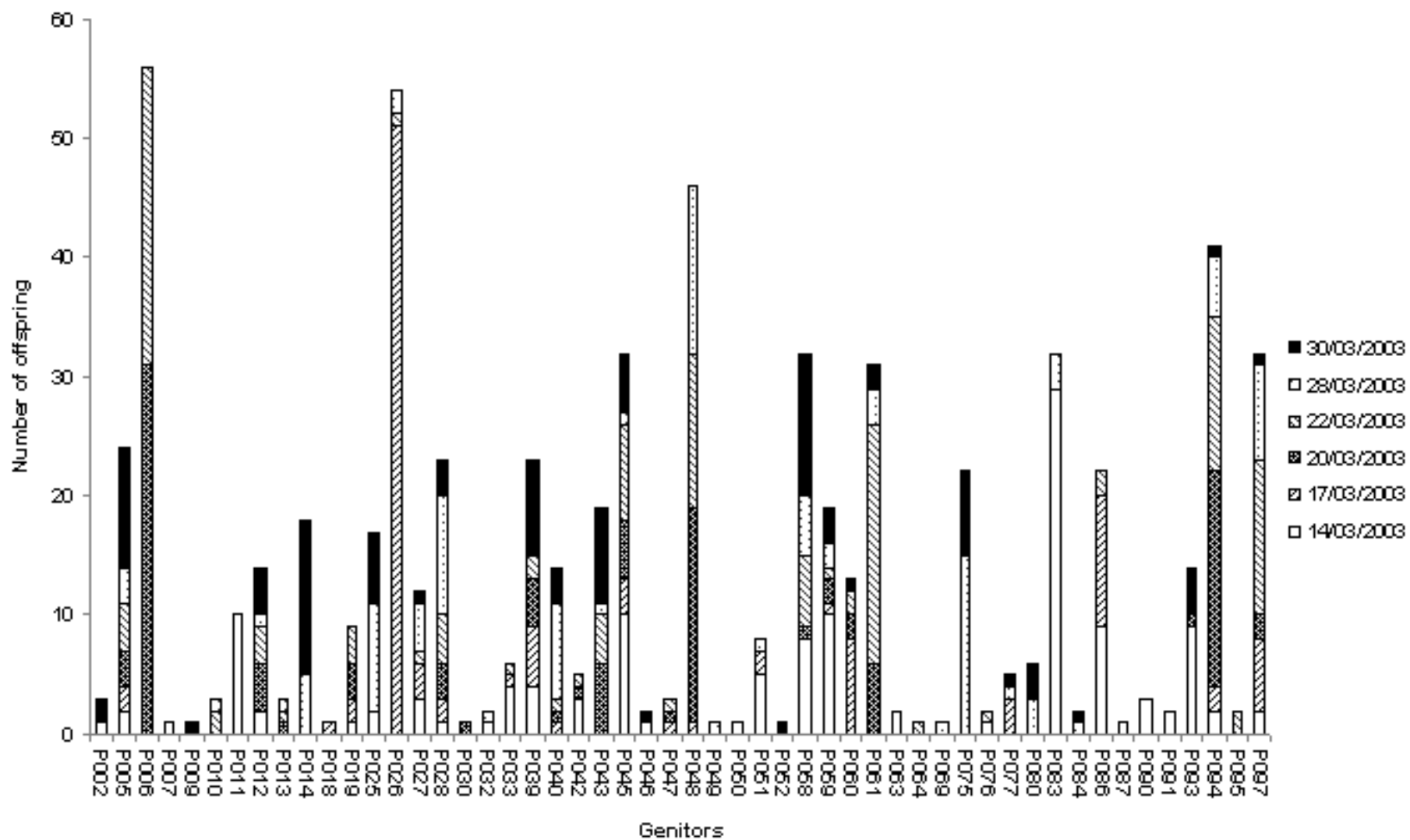
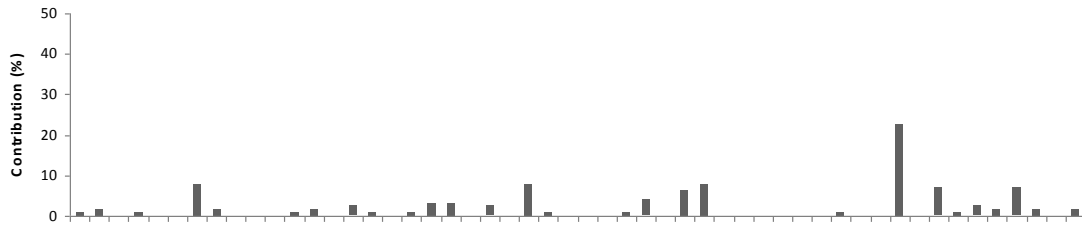


Figure 3

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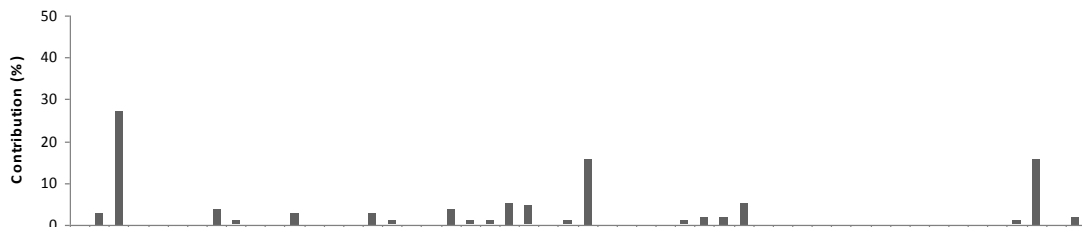
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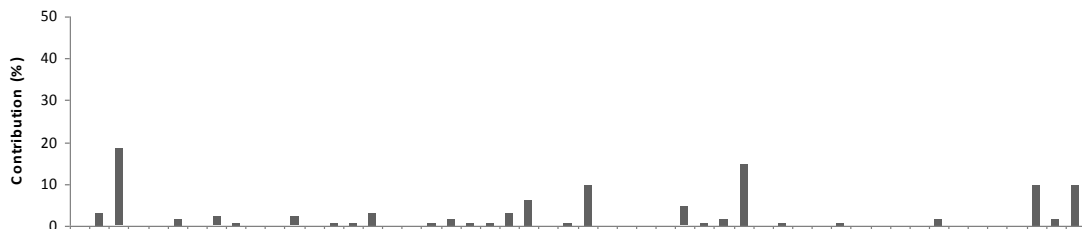
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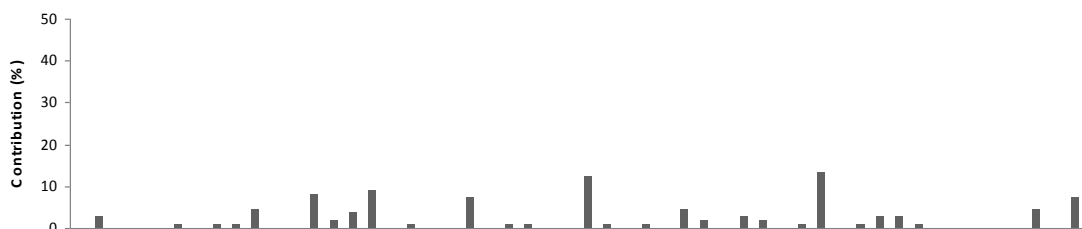
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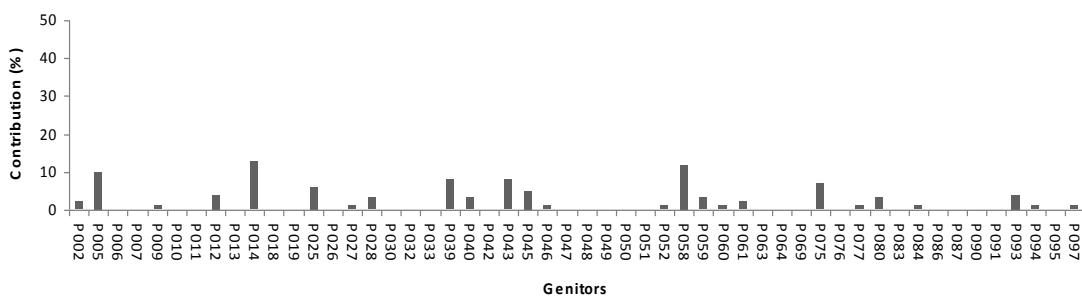
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28/03/2003



30/03/2003



Genitors