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Low and high frequency Madden–Julian oscillations in austral summer: interannual variations

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Abstract:

The Madden–Julian oscillation (MJO) is the main component of intraseasonal variability of the tropical convection, with clear climatic impacts at an almost-global scale. Based on satellite observations, it is shown that there are two types of austral-summer MJO events (broadly defined as 30–120 days convective variability with eastward propagation of about 5 m/s). Equatorial MJO events have a period of 30–50 days and tend to be symmetric about the equator, whereas MJO events centered near 8°S tend to have a longer period of 55–100 days. The lower-frequency variability is associated with a strong upper-ocean response, having a clear signature in both sea surface temperature and its diurnal cycle. These two MJO types have different interannual variations, and are modulated by the Indian Ocean Dipole (IOD). Following a negative IOD event, the lower-frequency southern MJO variability increases, while the higher-frequency equatorial MJO strongly diminishes. We propose two possible explanations for this change in properties of the MJO. One possibility is that changes in the background atmospheric circulation after an IOD favour the development of the low-frequency MJO. The other possibility is that the shallower thermocline ridge and mixed layer depth, by enhancing SST intraseasonal variability and thus ocean–atmosphere coupling in the southwest Indian Ocean (the breeding ground of southern MJO onset), favour the lower-frequency southern MJO variability.

Keywords: Intraseasonal Madden–Julian oscillation (MJO) - Seychelles–Chagos thermocline ridge/thermocline dome of the Indian Ocean - Indian Ocean dipole (IOD) - El Niño southern oscillation (ENSO) - Diurnal cycle - Oceanic diurnal warm layers - Air–sea interactions - Ocean–atmosphere coupling - Interannual variations - Mixed layer - Australian weather

40 **1. Introduction**

41 The Madden-Julian Oscillation (MJO) is the main component of intraseasonal (30-100
42 days) variability of the tropical climate, and has strong societal impacts at an almost global
43 scale (Madden and Julian 1972, 1994; Zhang 2005). The Madden-Julian oscillation has a
44 strong seasonality and its central latitude follows roughly the movements of the Inter-Tropical
45 Convergence Zone (ITCZ; Zhang and Dong 2004). The MJO can be very broadly defined as
46 eastward-propagating perturbations of the tropical convection in the 30-100 days range.
47 During the MJO active phase, anomalous convection develops over the tropical Indian Ocean
48 and propagates eastward at a slow speed of about 5 m/s into the Pacific Ocean, before fading
49 at the eastern edge of the ITCZ/South Pacific Convergence Zone (SPCZ).

50 The eastward propagation of the MJO is slow compared to atmospheric moist Kelvin
51 waves (~15-20 m/s, Wheeler and Kiladis 1999), and many mechanisms have been proposed
52 to explain this slow propagation. The most prevalent one seems to be the “frictional-
53 convective interaction with dynamics”. The Kelvin-Rossby wave structure of the response to
54 heating (Gill 1988) is associated with frictional convergence in the boundary layer to the east
55 of the convective center (Wang 1988), providing a low-level humidity source. This frictional
56 convergence process interacts with CISK (conditional instability of the second kind, Charney
57 and Eliassen 1964) mechanism - that relates low-level convergence (divergence) to
58 atmospheric heating (cooling) – to create a slow eastward propagating mode.

59 While coupling between convection and dynamics probably holds many keys to the
60 MJO properties, the coupling with the oceanic mixed layer also seems to influence the MJO.
61 The ocean surface layer is the main source of moisture for the atmosphere (5 m of water has
62 the same heat capacity as the entire tropical tropospheric column) and varies significantly
63 with the MJO. MJO-related, surface, latent-heat and short-wave flux variations can exceed +/-

64 50 W m⁻² and zonal wind variations can exceed 5 m/s (see reviews of Hendon 2005, Zhang
65 2005, Waliser 2005). Prior to an MJO active phase, clear-sky and low wind-speed conditions
66 prevail, warming SST through diminished air-sea fluxes and oceanic mixing (e.g. Waliser et
67 al. 1999; Inness and Slingo 2003; Maloney and Sobel 2004). These SST anomalies can in turn
68 favour eastward propagation of MJO convection. The MJO is indeed generally more realistic,
69 with enhanced eastward propagation, in AGCMs coupled to a slab-ocean or Ocean GCM
70 (Zhang et al. 2005; Watterson and Syktus 2007), even if a counter-example exists (Hendon
71 2000). Coupling can also improve forecasts of the MJO (see review of Waliser 2005, and also
72 Woolnough et al. 2007).

73 The recent advent of satellite microwave data has highlighted regions of strong SST
74 response to the MJO during austral summer (Harrison and Vecchi 2001; Duvel et al. 2004;
75 Saji et al. 2006; Duvel and Vialard 2007). One of these regions is the thermocline ridge
76 between 5°S and 10°S in the Indian Ocean, also known as Seychelles-Chagos Thermocline
77 Ridge (SCTR, Xie et al. 2002, Yokoi et al. 2008, Hermes and Reason 2008). The
78 climatological wind curl in this region favours a shallow thermocline, and this property
79 maintains a shallow, reactive mixed layer, which might explain the strong SST variability in
80 this region (Duvel and Vialard 2007). The 5°S-10°S band in the Indian Ocean exhibits a
81 strong heat content variability at interannual timescales (Masumoto and Meyers, 1998), which
82 seems to be more directly caused by the Indian Ocean Dipole (IOD, Saji et al. 1999; Webster
83 et al. 1999) than by El Niño / La Niña (Rao and Behera 2005). Duvel et al. (2004) and Vialard
84 et al. (2009) showed that interannual variability of the thermal content along the SCTR could
85 modulate the mixed layer depth, and thus the SST response to the MJO. They also proposed
86 that this SST modulation might feedback onto the atmosphere and modulate the interannual
87 variability of the MJO.

88 Recent studies have also suggested that the diurnal cycle could also influence the MJO.

89 A thin (≤ 1 m), warm, mixed layer can develop during the day throughout MJO break phase
90 due to low-wind and clear-sky conditions. Such oceanic layer can strongly enhance SST
91 diurnal peak and amplitude (by about 1° to 2°C), and daily mean (by about 0.2° - 0.5°C) (e.g.
92 Bernie et al. 2005). Diurnal warm layers often have broad horizontal extents (larger than
93 ~ 500 - 1000 km). They appear in already warm regions (mean SST $> 28^{\circ}\text{C}$), which are very
94 sensitive to small SST changes because of the non-linearity of the Clausius-Clapeyron
95 equation. They favour diurnal convection by increasing evaporation, warming and
96 destabilizing the low-level atmosphere (Kawai and Wada 2007; Yasunaga et al. 2008), and
97 help to moisten the lower troposphere gradually during the MJO break phase, creating
98 favourable conditions for deep convection and MJO onset (Godfrey et al. 1998). So they
99 possibly play an essential role in onset, amplitude, propagation and/or termination of the MJO
100 (e.g. Bernie et al. 2007, 2008; review of Kawai and Wada 2007). A recent study even showed
101 that taking the diurnal cycle into account could improve forecasts of the MJO (Woolnough et
102 al. 2007).

103 Early descriptions of the MJO coined it as the “ 30 - 60 day oscillation” (e.g. Madden
104 and Julian, 1994). The MJO period band is in fact broader during boreal winter (~ 30 - 100
105 days) compared to boreal summer (~ 30 - 50 days) (e.g. Zhang and Dong 2004). Saji et al.
106 (2006) pointed out that the timescale of convective variability was longer around 8°S than at
107 the equator in the eastern Indian Ocean, and suggested that there might be two types of
108 intraseasonal variability in the Indian Ocean (a 30 - 50 day mode in the equatorial band and a
109 lower frequency mode further south). They also showed that the SST response to the southern
110 mode was larger, possibly because of longer periods (Duvel and Vialard 2007).

111 In this paper, we investigate in more detail the differences in timescales and properties
112 of the austral-summer MJOs along the equator and in the 5° - 10°S band. We first analyze how
113 different the oceanic and atmospheric anomalies related to MJO are in both cases,

114 distinguishing precisely the two MJO types¹. We then investigate how both types of MJO
115 variability are influenced by interannual variability, notably in the SCTR region as suggested
116 in several earlier studies (e.g., Duvel et al., 2004; Saji et al., 2006; Duvel and Vialard, 2007;
117 Vialard et al. 2008, 2009). Section 2 presents the data and analysis methods we used. In
118 section 3, we analyze the observed oceanic and atmospheric anomalies related to the two
119 MJO types. The higher-frequency MJO (HF-MJO, 35-50 days) tends to be symmetric with
120 respect to the equator, while the lower frequency MJO (LF-MJO, 55-100 days) is maximum
121 around 8°S. The LF-MJO is associated with stronger SST, SST diurnal cycle and upper–
122 ocean, heat-content signatures. Section 4 investigates interannual variations of the two MJO
123 types, and shows that the lower-frequency type has larger amplitude following negative IOD
124 years. Section 5 then provides a summary and suggests mechanisms that could explain the
125 difference in properties between the two MJO types. These hypotheses will be tested through
126 a set of coupled general circulation model (CGCM) in a sequel to this study.

127

128 **2. Data and methods**

129 **2.1 Observations**

130 Outgoing Longwave Radiation (OLR) is a classical proxy for deep convection in the
131 tropics and widely used to characterize the MJO. We use the daily $2.5^\circ \times 2.5^\circ$ interpolated
132 product from (Liebmann and Smith 1996) available from 1974 to 2008 with a gap in 1978.

133 The Tropical Rainfall Measuring Mission Microwave Imager (TMI) (3-day mean every
134 day, 1998-2007; Wentz et al. 2000) is used to investigate SST intraseasonal variability,

¹We prefer to use the term “type” rather than “mode”, as the broad properties (eastward propagation in the 30-100 day band) and main mechanism (coupling between atmospheric dynamics and convection) are likely the same for the two MJO types, even if ocean-atmosphere interactions could also play an important role for the low-frequency MJO type.

135 whereas NOAA Optimum Interpolation SST V2 data (for 1981-2007, Reynolds et al. 2002)
136 and Extended Reconstructed SST (ERSST V2 for 1974-1981; Smith and Reynolds 2004) are
137 used to study interannual timescales. Afternoon and night SST from the Advanced Very High
138 Resolution Radiometer (4 km AVHRR Pathfinder Version 5.0) are used to estimate the
139 variations in amplitude of SST diurnal cycle for 1985-2002, and also in daily mean SST for
140 1985-2007. As AVHRR SST is sensitive to cloud cover, we kept only data with a quality flag
141 higher or equal to 4 (on a range from 1 to 7). We also pay particular attention on drifts in
142 passing local time during the day (Stuart-Menteth et al. 2003) and excluded periods when
143 day-pass is too late. Note that our AVHRR-based estimate of SST diurnal amplitude is
144 weaker than TMI measurements (available on a shorter period) by about a factor of 2 (Kawai
145 and Wada 2007). With two passes per day, it cannot provide a precise estimate of the absolute
146 SST diurnal amplitude, but it can help to assess the variability of SST diurnal amplitude.

147 To assess observed wind variability on the longest period possible, we merged data
148 from daily Quick Scatterometer (QUIKSCAT, 1999-2007, <http://www.ssmi.com/qscat/>),
149 weekly European Remote Sensing (ERS) scatterometers winds (from April 1992,
150 <http://www.ifremer.fr/cersat>, Bentamy et al. 1996) and daily Special Sensor Microwave /
151 Imager (SSMI) wind speed (from 1987, Goodberlet et al. 1989), the later being combined
152 with ECMWF reanalysis wind direction [Atlas et al. 1996].

153 Ocean heat content and thermocline depth variations are investigated using sea level
154 anomaly (SLA) from TOPEX/Poseidon and JASON satellites (AVISO product). We also use
155 mixed layer depth estimates form oceanic reanalyzes produced by the CERFACS within the
156 ENSEMBLES project (Weaver et al. 2005; Daget et al. 2008).

157 All satellite data are regridded on a $1^\circ \times 1^\circ$ grid, except for OLR, and averaged on
158 pentads. For each dataset, the pentad seasonal cycle, calculated over the longest available
159 period, is removed to obtain interannual anomalies.

160 **2.2 Methods**

161 In this paper, we use a space-time spectral analysis similar to that of previous studies
162 (Takayabu 1994; Wheeler and Kiladis 1999) to evaluate the timescales of the eastward
163 propagating components of the convection and its latitudinal distribution.

164 We will use a lag-composite analysis to extract the two intraseasonal types of
165 convective variability. For that, we use the 90°E, 10°S-10°N average, OLR anomaly during
166 DJFM as an index of convective activity. This index will be band-pass filtered in three
167 frequency bands (justification later in the text): 30-120 days, 35-50 days and 55-100 days, so
168 as to obtain filtered indices of MJO for these timescales ($OLR_{filt\ 90^\circ E}$). (Note that as a Lanczos
169 filter is used with a 1 year moving window, the resulting band-pass filtered signal in DJFM
170 will actually contain some information related to signals in an extended boreal winter season,
171 larger by about half of the MJO period (i.e. about one month) on each side of DJFM (i.e.
172 ~NDJFMA).) This index can be used to make weighted composites for the active (filtered
173 OLR < 0) phases of the MJO, where the weight is the filtered index when negative at day 0,
174 so $OLR_{filt\ 90^\circ E} \times H(-OLR_{filt\ 90^\circ E})$ where $H(x)$ denotes the Heavyside function ($H=0$ if $x<0$, $H=1$
175 if $x>0$). The weighted composite (*wcompos*) of a field Y (e.g. SST, wind, OLR, possibly
176 lagged with $OLR_{filt\ 90^\circ E}$ to obtain lag-composites) is computed using the formulae:

$$177 \quad wcompos(Y) = \frac{\int Y \times OLR_{filt\ 90^\circ E} \times H(-OLR_{filt\ 90^\circ E}) dt}{\int OLR_{filt\ 90^\circ E} \times H(-OLR_{filt\ 90^\circ E}) dt}$$

178 These weighted composites are quite similar to a linear regression for only negative values of
179 the MJO index $OLR_{filt\ 90^\circ E}$, giving similar results to simple composites but with more
180 statistical information. The interest of weighted composites compared to standard linear
181 regression is that the active and break phases of the MJO can be clearly separated, so that the
182 MJO phase captured by the statistical method is well known. Note that the composite analyses
183 presented here were also done with linear regressions and give rather similar results. The

184 fields to be composited on MJO index are band-pass filtered in the 30-120 days band, except
185 if noted. Weighted composites are computed on the MJO index of December to March
186 (DJFM) period.

187 In section 4 on MJO interannual variations, the weighted composites have also been
188 computed using the MJO index of Wheeler and Hendon (2004). The negative values of their
189 RMM2 index correspond to an active phase of the MJO over the eastern Indian Ocean, similar
190 to the MJO index previously defined. As this index is not filtered temporally, it can be helpful
191 to test the possible sensitivity of the results in section 4 to the temporal filter used. Similar
192 results were obtained for the interannual variations of MJO composites when using the
193 RMM2 index or the 30-120 days MJO index. For clarity, only the results with the MJO index
194 $OLR_{filt\ 90^{\circ}E}$ will be presented here.

195 Statistical significances of correlations, regression coefficients and composites are
□ 196 calculated using the Student's t-test, taking into account effective degrees of freedom for MJO
197 composites (and their decrease when doing composites for only positive or negative IOD
198 years).

199 In this paper, we will examine the influence of El Niño Southern Oscillation (ENSO)
200 and Indian Ocean Dipole (IOD) on MJO variability over the Indian Ocean. We will use the
201 classical Niño3.4 (120°W to 170°W, 5°N to 5°S) index in DJF for ENSO. For the IOD, we
202 use a new index in addition to the conventional dipole mode index (DMI, Saji et al. 1999).
203 Our index is based on OLR and is defined as the southeast (80°E-100°E; 10°S-5°S) minus
204 southwest (50°E-70°E; 5°S-0°) OLR poles in SON. This OLR IOD index (OLR IOD) has
205 three advantages. First, OLR, a robust proxy of atmospheric deep convection and heating rate
206 in the tropics, is less subject to errors than long-term SST measurements (which rely heavily
207 on surface infra-red emission and are subject to masking by clouds; Wentz 2000). Second it is
208 available from 1974 onward whereas SST satellite observation starts in 1985. Third, this

209 index based on convection is dynamically linked to the winds that force SCTR thermocline
210 variability. During SON, this OLR index is very well correlated with the conventional SST
211 DMI (0.92) and moderately with Niño3.4 SST (corr. 0.54, signif. 99.5%). Our index is thus
212 well representative of the IOD, which is somewhat influenced by ENSO. Based on a
213 threshold of 50% of the standard deviation, there are 14 negative and 8 positive years over the
214 1974-2008 period. Tests using other threshold values, or the DMI index instead of our OLR
215 index, give qualitatively similar results.

216

217

218 **3. Characteristics of the two austral-summer MJO types**

219 Figure 1 shows spectra of OLR and SST for austral summer in the eastern Indian
220 Ocean. As suggested by (Saji et al., 2006), the strongest peak of convective variability is
221 observed at longer periods (55-80 day) near 8°S, while the secondary peak is at shorter
222 periods (35-45 days) and located at the equator. The SST response is also much larger at 8°S.
223 Figure 2a shows a zonal wavenumber-time OLR spectrum zoomed on timescales
224 corresponding to the MJO. Based on this analysis, we computed the latitudinal dependence of
225 the eastward propagating part of the OLR signal (with speed between 2.5 m/s and 15 m/s, see
226 continuous lines on Fig. 2a) over the whole globe (Fig. 2b) and Indian Ocean (Fig. 2c). At
227 global scale, the most energetic eastward propagating convective signal during Austral
228 summer is found south of the equator, between 4°S and 12°S with a period of roughly 55 to
229 90 days. Over the Indian Ocean, this signal is still the main pattern but a secondary maximum
230 is now emerging around the Equator with a period around 35-45 days.

231 In order to diagnose the spatial properties of the signals at those two timescales, and
232 determine if they are two different types of variability, we will use band-pass filtering. Even if

233 MJO consists of episodic pulse events (Zhang 2005), this standard technique permits to
234 capture in a simple way the amplitude of MJO events separated by a characteristic period.

235 We have selected two period bands: 35-50 days and 55-100 days bands. The choice of
236 these bands has been determined by three constraints: they (1) are centered approximately on
237 the peaks seen in Figs. 1 and 2c, (2) have the same frequency width and (3) do not overlap.
238 Hereafter in the text, we will use the name high/low frequency (HF/LF-MJO) to designate the
239 different types of MJO variability associated with these two frequency bands. We will further
240 use the abbreviations LF and HF to design the 55-100 and 35-50 days band, respectively.
241 Figure 3 shows the spatial distribution of the OLR and SST variability in those two frequency
242 bands. In agreement with figure 2, figures 3b,d suggest that HF OLR variability is
243 equatorially confined over the Indian Ocean and shifts southward only over the maritime
244 continent and western Pacific. On the other hand, the stronger LF OLR variability is clearly
245 localized between 5°S and 15°S, both in the onset region (the Indian Ocean) and further east.

246 HF and LF SST variability (Figs 3 a,c) shows similar patterns but the amplitude of LF
247 SST standard deviation is significantly stronger. The LF-MJO is associated with stronger
248 OLR and wind perturbations over the SCTR and the region between Australia and Indonesia,
249 which are characterized by a shallow and responsive mixed layer (Harrison and Vecchi, 2001,
250 Duvel et al., 2004; Bellenger and Duvel 2007; Vialard et al., 2008, 2009). This shallowing
251 explains probably the larger SST response there for the LF-MJO. In addition, amplitude of the
252 SST response is also expected to grow with the timescale of forcing (Duvel and Vialard,
253 2007), and is thus expected to be larger for the LF-MJO type.

254 Figures 4 and 5 show weighted composites of SST and its diurnal amplitude (Fig. 4),
255 OLR (Fig. 5) and surface wind, for the HF and LF-MJO. These figures illustrate the MJO
256 patterns during the onset of convection over the Indian Ocean (1st and 2nd columns) and later
257 during its propagation toward the maritime continent (3rd and 4th columns). In the Indian

258 Ocean, the HF-MJO convection onset is mostly located along the equator, whereas the LF
259 signal appears over the SCTR and maintains maximum values between 5°S and 10°S during
260 its eastward propagation (Fig. 5). For both types, the lead-lag relation between OLR and SST
261 is similar to previous studies (see review of Waliser 2005); warm SST anomalies follow/lead
262 MJO inactive/active phase by about a quarter of period. However, the oceanic response
263 related to the LF type is much stronger than that related to HF type, with warm SST
264 anomalies exceeding 0.2°C over a large domain and important anomalies of SST diurnal
265 amplitude over the southern Indian Ocean (1st and 2nd columns in Fig. 4).

266 Farther east, the oceanic signature of MJO propagation is also different for the two
267 timescales (3rd and 4th columns in Fig. 4). Within the maritime continent, warmer SST with a
268 larger diurnal cycle is observed for the LF-MJO component before the passage of MJO active
269 phase. Interestingly, convection (as well as precipitation, not shown) anomalies over north
270 Australia are significantly stronger for the LF-MJO, suggesting that this LF type has a greater
271 impact on North Australian weather than the HF one.

272

273 **4. Interannual variations of the austral-summer MJO types**

274 Previous studies (e.g. Harrison and Vecchi 2001, Duvel et al. 2004, Saji et al. 2006,
275 Duvel and Vialard 2007, Vialard et al 2008, 2009) have suggested that interannual variability
276 of the oceanic stratification could modulate the SST signature of the MJO and even maybe the
277 MJO itself. In this section, we will investigate the LF and HF-MJO types interannual
278 variability. The interannual variations of the activity of each of the two MJO types in austral
279 summer (not shown) are not correlated (correlation 0.06), which is an indication that the two
280 MJO types tend to occur independently from one another.

281 **4.1 Interannual modulation of LF-MJO activity**

To understand what can modulate LF-MJO, Figure 6 shows interannual surface atmospheric and oceanic anomalies during the boreal winter (DJFM in Figs 6c,d) and the preceding fall (SON in Figs 6a,b) regressed on the amplitude of the LFMJO activity during the boreal winter. The clearest signal for the regressed variables in DJFM is in the sea-level anomaly (SLA, Fig. 6c), with negative values in the SCTR associated with an intensification of the LF type. Similar results are obtained over a longer period when using SLA from various oceanic re-analysis products. The same analysis for SST, OLR and surface wind in boreal winter show small amplitude signals in the Indian Ocean, and do not seem to be strongly associated with LF-MJO interannual variability (not shown). Other papers (e.g. Vecchi and Harrison, 2001; Duvel et al. 2004) had already proposed that thermocline changes in the SCTR could increase or diminish the amplitude of the SST signature associated with the MJO. Observed interannual variations of the DJFM intraseasonal SST variability in 60°E-90°E, 8°S-3°S are indeed anti-correlated (-0.60, significant at the 99% confidence level) with mean DJFM SLA along the thermocline ridge. The present paper shows that, in addition, the amplitude of the LF-MJO type itself varies interannually with the amplitude of LF SST variability (see fig. 6d and first column of Table 1) and with the thermocline depth variability in the SCTR region (Fig. 6c).

The origin of the sea-level anomaly seen in figure 6c can easily be traced back to ocean-atmosphere conditions in preceding September-November (SON). The wind, SST and OLR pattern in SON is consistent with a negative phase of the IOD. Negative IOD are known to force off-equatorial Rossby upwelling waves propagating slowly toward the south-west Indian Ocean and generating the negative sea-level anomaly seen in Fig. 6c (Masumoto and Meyers, 1998; Xie et al., 2002; Rao and Behera, 2005; Vialard et al., 2009). Figures 6a and 6b show that negative IOD tend to occur during fall (SON) that precede winters with strong LF-MJO activity. There tends to be a phase locking of IOD events to El Niño events (Gualdi

307 et al. 2003, Yamagata et al. 2004). Hence, maps similar to figure 6a,b,c show a typical La
308 Niña pattern in the Pacific Ocean (not shown). To isolate which of the IOD or ENSO does
309 mostly influence the LF-MJO type, partial correlations for different pairs were computed
310 (Table 1). Even if the LF-MJO is correlated with Niño3.4 SST, it is IOD variability (see
311 definition of the index in section 2) rather than ENSO that explains most of the variance of
312 the southern low-frequency MJO.

313 **4.2 Impact of IOD on HF-MJO and LF-MJO**

314 In the rest of the section, we will assess systematically the impact of IOD on the LF and
315 HF MJO types. To that end, we will use the IOD index described in section 2. We first
316 perform analyses similar to Figs. 1, 2 and 4 separately for positive and negative IOD years.

317 *4.2.1 Modulation of SST and OLR intraseasonal variability by IOD*

318 Fig. 7 shows the changes in SST and OLR spectrum after a negative or positive IOD
319 year. At the equator, the SST spectrum is not significantly changed. On the other hand, there
320 is a clear increase of OLR equatorial variability at lower frequencies after negative IOD years.
321 At 8°S, there is an increase of intraseasonal SST variability in the SCTR following negative
322 IODs. This again confirms what was hypothesized by several previous studies (e.g. Vecchi
323 and Harrison, 2001; Duvel et al. 2004): a thinner SCTR thermocline favours a local increase
324 of SST intraseasonal variability (cf. their significant correlation of 0.60 shown previously in
325 section 4.1). Second, there is also an increase in OLR LF variability following a negative IOD
326 (Figure 7b). This increase is almost within the range of uncertainty, but fig. 6a,b shows that
327 the negative relationship between LF-MJO, estimated with the simpler method using band-
328 pass filtering, and IOD is significant.

329 *4.2.2 Latitudinal-frequency distribution modulated by IOD*

330 Figure 8 (similar to Fig. 2 but after positive/negative IOD years) shows changes in the
331 eastward-propagating components of OLR. Over the globe (left and middle panels), the OLR

332 eastward-propagating signal is stronger after negative IOD years, with a maximum at LF (Fig.
333 8d). In the Indian Ocean, the differences are the strongest with a clear unique peak at LF
334 centered on 8°S after negative IOD years. After positive IOD years, there are two spectral
335 peaks. The 8°S LF peak remains, but is weaker than after negative IOD years. But another
336 peak at HF appears at the equator. The “HF-MJO type” is much better defined after positive
337 IOD years and quasi-inexistent after negative IOD years.

338 *4.2.3 spatial patterns of MJO composites*

339 Figure 9 (cf. figures 4 and 5) shows the changes in the HF and LF types following a
340 positive or negative IOD. The MJO index used to produce figs 9 and 10 is the 30-120 days
341 one, so that MJO period is not constrained *a priori*. Hence the difference in latitude and
342 period respectively obtained eventually in Figs 9 and 10 can thus only originate from the
343 different intrinsic periods of MJO events after positive and negative IOD. After positive IOD
344 years, an equatorial MJO onset with symmetric patterns similar to the HF-MJO type
345 described in section 3 seems to be favoured. On the other hand, after negative IOD years (and
346 also to some extent after neutral IOD conditions, not shown), the patterns are similar to those
347 of the LF-MJO southern type: stronger SST signature with enhanced warming (cooling)
348 during MJO break (active) phase, stronger diurnal cycle signal and maximum wind and OLR
349 anomaly shifted to the south.

350 *4.2.4 Propagation: extent and speed*

351 Figure 10 finally illustrates the impact of IOD (and ENSO) on the propagation
352 characteristics of the MJO. Time-longitude (15°S-5°N) diagrams of lagged weighted
353 composites are plotted for positive/negative IOD. The MJO OLR signal is stronger and
354 propagates further eastward (to the date line) after negative IOD events than after positive
355 ones. The difference in MJO timescales shown previously through spectral analyses (Figs. 7
356 and 8) is also evident in Fig. 10, especially in the Indian Ocean, with a shorter/longer

357 (~30/60) days period between two successive non-active phases after positive/negative IOD
358 (due to the “pulse” nature of the MJO convective event (e.g. Zhang, 2005), these periods
359 should be somewhat shorter than the ones between two active phases). So as to better
360 differentiate the southern LF-MJO, stronger after negative IOD, from the equatorial HF-MJO,
361 stronger after positive IOD, Hovmullers with thinner latitudinal widths of 5° were computed.
362 Slower propagation speeds in the ITCZ/SPCZ are observed for the southern (12°S - 7°S) MJO
363 after negative IOD ($\sim 4.5\text{m/s}$) compared to the equatorial MJO (2.5°S - 2.5°N) after positive
364 IOD ($\sim 6.5 \text{ m/s}$), for the active as well as for the non-active phases (not shown). MJO event
365 after negative IOD tends to be to the south and to have asymmetric circulation anomalies. The
366 asymmetry weakens low-level frictional convergence/divergence processes and could be at
367 the origin of MJO slowdown [Salby et al. 1994]. This issue requires further studies. To
368 conclude this time-longitude analysis, the longer longitudinal pathway in the ITCZ/SPCZ
369 (and slower speed) of MJO events after negative IOD could possibly explain the increase in
370 MJO period.

371 This section has shown a strong relationship between Indo-Pacific interannual
372 anomalies of the ocean-atmosphere system during the boreal fall of a particular year and
373 characteristics of the MJO during the following winter. We have been able to separate the two
374 MJO types without any help of temporal filter, in contrast to Section 3. This separation
375 suggests that the two MJO types evidenced here are not the result of an artifact related to the
376 filtering method and that they represent two physically distinct MJO types. It also suggests
377 that the southern LF and equatorial HF MJO types do not simply correspond to the MJO in
378 austral summer and equinoctial seasons respectively.

379

380 **5. Summary and Discussion**

381 In this final section, we will first summarize the results of this paper, then propose two
382 main hypotheses for the processes explaining the interannual variations of the LF/HF-MJO
383 types, and finally discuss physical mechanisms possibly involved in the LF-MJO type.

384 **5.1 Summary**

385 In the present paper, we have used observations to describe oceanic and atmospheric
386 signals associated with the Madden-Julian oscillation in austral summer. The MJO can be
387 broadly defined as eastward-propagating, convective signals in the 30-100 days range. The
388 current analysis suggests that there are in fact two separate spectral peaks within the 35-50
389 days and 55-100 days band, with different properties, which we named the high-frequency
390 (HF) and low-frequency (LF) austral-summer MJOs. The LF-MJO is maximum under the
391 ITCZ, at around 8°S and has a clear oceanic signature, in both SST and amplitude of the SST
392 diurnal cycle. The HF-MJO is centered on the equator, and has a significantly weaker oceanic
393 signature, despite roughly equivalent amplitudes of the atmospheric perturbations. These two
394 MJO types have a clear interannual amplitude variability that can be related to the IOD (and
395 concurrent ENSO). The LF-MJO and the index that we use in this study are more directly
396 related to the IOD than to ENSO (Table 1), suggesting that the IOD has the largest influence.
397 After a negative IOD, the HF-MJO type is almost non-existent (whereas it is rather strong
398 after a positive IOD). On the other hand, the LF-MJO type shows increased variability in both
399 atmospheric convection and oceanic responses after a negative IOD, with a propagation
400 extending further east, having potentially a stronger impact on Australian and south-west
401 Pacific weather variability. In the following subsection, we will propose two main hypotheses
402 that could explain some of the observed statistics that we presented in this paper.

403 **5.2 Discussion on interannual variations: physical hypotheses**

404 *5.2.1 Importance of the background state*

405 The first and most straightforward physical hypothesis is that the mean state of the
406 atmosphere is directly influencing the properties of the MJO type that can develop. Figure 11
407 shows some variables illustrating the state of the ocean-atmosphere system in DJFM after
408 positive and negative IOD events, which characterize the two different states over which
409 MJOs develop. This figure shows patterns both characteristic of the IOD and ENSO (they
410 tend to co-occur, although not systematically). The changes in mean state could explain some
411 of the results of this study. For example, the low-level westerlies and convection / rainfall
412 from south-eastern Indian Ocean to western Pacific are stronger after a negative IOD than
413 after a positive one (Fig. 11a), and both provide a favourable ground for MJO propagation
414 (Inness and Slingo 2003; Zhang and Dong 2004; Watterson and Syktus 2007). Furthermore,
415 as negative IOD seem to favour a southward MJO onset and propagation, this southern shift
416 could allow the MJO to be less perturbed by the lands of the maritime continent, and to
417 propagate further east. All these processes could explain why, after negative IOD, MJO tends
418 to be globally stronger and to propagate more to the south and further east in a more active
419 SPCZ, having hence a longer (and slower) pathway. The latter could in turn explain the
420 longer period/lower frequency tendency of the MJO after negative IOD events (rather than the
421 contrary). Also, the equatorial HF-MJO type might be an expression of interaction between
422 linear equatorial atmospheric dynamics and convection, and as such be favoured during years
423 when there is convection along the equator in the Indian Ocean, i.e. more after positive IOD.
424 On the other hand, when considering the atmospheric background properties over the SCTR
425 region after a negative IOD, the observed significant changes cannot explain why the MJO
426 tends to onset over the SCTR: westerlies are weaker (figures 11af), convection is decreased
427 (figures 11bg) and the surface is colder (figures 11ch) over the SCTR after a negative IOD.

428 The processes of how the atmospheric background state might be responsible for the
429 increased LF-MJO remain to be investigated against existing MJO theories.

430 *5.2.2 Importance of ocean-atmosphere coupling*

431 The other possibility is that, as suggested by many studies, coupling with the ocean is
432 important for the properties of the MJO, for its onset and for its eastward propagation (e.g.
433 Waliser et al. 1999; Inness and Slingo 2003; Maloney and Sobel 2004; Zhang et al. 2005).
434 Some studies also proposed (e.g. Woolnough et al., 2007) that a good description of the
435 diurnal cycle modulation associated with the MJO is needed to improve MJO description and
436 forecasts.

437 Here, larger intraseasonal SST responses are observed for the LF-MJO type, suggesting
438 that coupling could play a stronger role for LF-MJO than for HF-MJO. We have shown, as
439 suggested by other previous studies (e.g. Duvel et al. 2004), that the SST response to the MJO
440 was stronger after negative IOD events. This increased sensitivity has been explained by
441 previous studies: a negative IOD forces upwelling Rossby waves in the 5°-10°S band in the
442 eastern and central Indian Ocean, which later raise the thermocline in the SCTR region. This
443 raised thermocline modulates the mixed layer depth and hence the reactivity of the SST to air-
444 sea fluxes (Duvel et al. 2004), but also the amount of entrainment / upwelling into the mixed
445 layer (Lloyd and Vecchi, 2009; Vinayachandran and Saji, 2008; Resplandy et al., 2009),
446 resulting in stronger SST response to the LF-MJO after a negative IOD in the SCTR, which is
447 also the breeding ground for MJO onset.

448 Extending these results, we also show here that the amplitude of the LF-MJO itself is
449 increased following negative IOD years, (and is significantly correlated to increased
450 amplitude of LF SST variability over the SCTR). While this LF-MJO increase could be
451 explained by changes of the atmospheric mean state, and could explain the increased LF SST
452 variability, the other alternative is that the larger oceanic response after the negative IOD

453 years in the SCTR favours larger amplitude of the southern LF-MJO, as suggested by Duvel
454 et al. (2004). We also observe here that the MJO modulation of the diurnal cycle seemed
455 stronger², possibly partly because the reduced mean westerlies and cloud cover after IOD
456 could favour the occurrence of diurnal warm layers. This enhancement of SST diurnal cycle
457 modulation by the MJO could also enhance SST intraseasonal variability and air-sea coupling
458 in this region, hence favouring the southern MJO onset at the end of negative IOD events. To
459 summarize, these two processes - thinner MLD and increased SST diurnal cycle - can
460 promote local MJO onset along the SCTR (even if the mean DJFM ITCZ is weaker and mean
461 SST is colder in the region).

462 However, how air-sea coupling might modify the properties of the LF-MJO
463 downstream of the SCTR region is unclear. The region between Australia and Indonesia has
464 large SST intraseasonal variability (e.g. Duvel and Vialard 2007) and a shallow MLD
465 (Bellenger and Duvel 2007). But the interannual modulation of the SST intraseasonal
466 variability in this region has not been studied. In the western Pacific, the SST intraseasonal
467 variability in response to the MJO is much smaller (Duvel and Vialard 2007), and the
468 thermocline is deep, providing no obvious mechanism of control of the SST intraseasonal
469 variability by lower frequencies. Whether interannual modulation of air-sea coupling in the
470 SCTR region is enough to modify the properties of the MJO downstream to the western
471 Pacific thus remains to be investigated more thoroughly.

472 *5.2.3 Further steps*

473 It is quite difficult to assess from observations only the relative importance of the
474 processes mentioned above (i.e. atmospheric background and/or ocean-atmosphere coupling)
475 in setting the properties of the HF and LF austral summer MJO types. On the other hand,

² The composites being however rather noisy because of observational uncertainties, this study suggests the need for further studies, when more accurate long-term observations will be available

476 these hypotheses could be tested in general circulation models with the observational analyses
477 proposed here as a benchmark. In a follow-on study, we will partly follow that objective,
478 using a coupled general circulation model, which is able to resolve the SST diurnal cycle.

479 To conclude, the present study highlights important scale interactions and emphasizes
480 the necessity to implement ocean-atmosphere observing/modeling systems for the entire
481 tropical Indian Ocean, including its southern part, with sufficient vertical and temporal
482 resolution. The ability to predict the MJO and its global impacts will depend not only on the
483 knowledge of large-scale conditions, but also on an accurate estimate of the Indian Ocean
484 variability on a regional scale.

485

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498

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637

638 **List of Table and Figures**

639

640 **Table 1.** First column: correlations of the standard deviation of LF OLR (DJFM, 55°E-165°E,
641 10°S-0°) with previous SON OLR_IOD, DMI, Niño3.4 SST over 1979-2008, and with the
642 standard deviation of low-freq. SST in DJFM over the SCTR (60°E-90°E, 8°S-3°S) from TMI
643 (1998-2007)/Reynolds (1985-2007). Second to fourth column: partial correlations for
644 different pairs, evidencing that rather than ENSO, it is OLR_IOD variability (and to a less
645 extent the DMI) that explains most of the variance of the southern low-frequency MJO.

646

647 **Fig. 1:** Spectra (in amplitude) for SST ($^{\circ}\text{C}$ day, left) and OLR (W m^{-2} day, right) along the
648 thermocline ridge (at 8°S; continuous black lines) and along the equator (dashed black lines)
649 in NDJFM in the Indian Ocean (spectral amplitudes averaged over 60°E-90°E). The
650 vertical/horizontal lines on the right panel show the low and high frequency bands selected
651 (55-100 days and 35-50 days). Light blue lines show the intervals confident at the 90% level.
652 To reduce windowing effect, the component of the signal with periods greater than 125 days
653 was removed before computing the spectra.

654

655 **Fig. 2:** (a) OLR spectrum (in amplitude, global, 10°S-5°N mean) ; (b,c) latitudinal distribution
656 of OLR eastward propagating component (global in (b) and Indian Ocean only (55°E-95°E) in
657 (c)) in austral summer (NDJFM). In (a), the x(y)-axis is zonal wave number (period). The
658 dashed line represents the conventional MJO speed (5m/s). In (b,c), the eastward propagating
659 signal has been calculated by averaging spectrum over positive zonal wave numbers between
660 the two continuous diagonal lines shown in (a) (i.e. between 2.5 m/s and 15 m/s speeds). In
661 (b,c), the x(y)-axis is the period (latitude). The vertical/horizontal lines on the right panel
662 show the LF and HF bands. Unit is in W m^{-2} day.

663

664 **Fig. 3:** maps of standard deviations of SST (TMI, left column; °C) and OLR (right column;
665 W m⁻²) for MJO HF (upper row) and LF (lower row) bands in DJFM.

666

667 **Fig. 4:** difference between the HF (upper row) and LF (lower row) MJO composites, for SST
668 diurnal amplitudes and daily SST, firstly prior to MJO onset in the Indian Ocean (left
669 columns) and secondly prior to MJO propagation over the maritime continent (right columns).
670 The weighted composites are at different lags for a negative intraseasonal OLR at 90°E,
671 10°N-10°S (location indicated by the black vertical bars) at t=0 in DJFM. SST diurnal
672 amplitude and daily SST are shown about a quarter to eighter period before the OLR
673 composites of figure 5. Surface winds are plotted for significance higher than 85%. Black
674 contours show the 95% significant level.

675

676 **Fig. 5:** similar to figure 4, but for OLR composites only, at various lags.

677

678 **Fig. 6:** ocean and atmosphere background state in boreal fall (SON) and winter (DJFM)
679 regressed on southern LF-MJO activity in DJFM (OLR 55-100days, 55°E-165°E; 10°S-0°).
680 (a): mean SST (°C, 1974-2007) and wind stress (N m⁻², 1987-2007) in fall (SON) before
681 strong low-frequency MJO. (b): same as (a) but for OLR (color, W m⁻², 1974-2007). (c): for
682 mean SLA in DJFM (cm, AVISO, 1992-2007). (d): for standard deviation of low-frequency
683 SST in DJFM (TMI, 1998-2007). Surface winds are plotted for significance higher than 90%.
684 The 90% significant level is added in black contours. The boxes defining the OLR-IOD index
685 are shown in (b).

686

687 **Fig. 7:** same as Fig. 1, but for boreal winters following negative (black) and positive (red)

688 IOD in fall.

689

690 **Fig. 8:** longitude-time spectrum (left column), and latitudinal distribution of eastward
691 propagating component globally (middle column) and in the Indian Ocean (right column), for
692 OLR in DJFM, after positive IOD (upper row) and negative IOD (lower row) in SON (similar
693 method to Fig. 2).

694

695 **Fig. 9:** MJO weighted composites in winter after positive (upper row) and negative (lower
696 row) IOD conditions in fall: for SST (2nd column) and OLR (3rd column) intraseasonal
697 anomalies during MJO onset, and for intraseasonal SST just after the passage of MJO active
698 phase (4th column), in DJFM. Surface winds are plotted for significance higher than 85%. The
699 90% significant level is added in black contours. In the first column, the difference of the t=-
700 20days and t=0 composites for the diurnal amplitude of SST (its total anomaly, no
701 intraseasonal filtering) is plotted to remove the strong interannual signal (cf. fig. 11e,j), as the
702 latter can not be trivially removed otherwise due to missing data constraints. The MJO index
703 used here has been filtered in the broad 30-120days band, so as to let MJO timescale
704 unconstrained *a priori* (see text for details).

705

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707 propagation in Indo-Pacific ITCZ-SPCZ) and SLP (contour, to evidence the circum-equatorial
708 propagation as faster moist Kelvin waves), following different IOD conditions in fall: positive
709 (upper) and negative (lower). The diagonal line shows the conventional MJO speed (5m/s).
710 Latitudinal averaging is done over 15°S-5°N to capture the average propagation of MJO
711 convection, shifted to the south during boreal winter. The fields composited here have not
712 been high-pass filtered to limit time-aliasing. The MJO index used here is filtered over the

713 broad 30-120days band, as in Fig. 9.

714

715 **Fig. 11:** Composites of mean DJFM state after positive (left column) and negative (right
716 column) IOD. 1st line: zonal wind stress (SSMI/ERS1-2/Quikscat; N/m²), 2nd line:
717 precipitation (mm/d, GPCP), 3rd line: SST (°C, ERSST/Reynolds), 4th line: ocean mixed layer
718 (m, from CERFACS-ENSEMBLES ocean reanalysis), 5th line: amplitude of SST diurnal
719 cycle (°C, AVHRR). 7 (14) positive (negative) IOD events were used for the longest time
720 series (1974-2007, SST, OLR and MLD). However, the shortest record (SST diurnal cycle)
721 contains only 3 (7) positive (negative) events.

TABLE and FIGURES

Std dev. of low-freq. OLR (DJFM, southern) correlated with:	Correlations	Partial corr. for OLR.IOD/Niño3.4	Partial corr. for DMI/Niño3.4	Partial corr. for OLR.IOD/DMI
OLR_IOD (SON)	-0.52(99.8%)	-0.42 (influence of Niño3.4 removed)	-	-0.33 (influence of DMI removed)
DMI (SON)	-0.44 (99%)	-	-0.30 (influence of Niño3.4 removed)	-0.11 (influence of OLR.IOD removed)
Niño3.4 SST (SON)	-0.34 (95%)	-0.04 (influence of OLR.IOD removed)	-0.05 (influence of DMI removed)	-
std dev. of low-freq. SST (DJFM, over the SCTR) from TMI/Reynolds	0.84 (99%)/ 0.62 (99.8%)			

Table 1. First column: correlations of the standard deviation of LF OLR (DJFM, 55°E-165°E, 10°S-0°) with previous SON OLR_IOD, DMI, Niño3.4 SST over 1979-2008, and with the standard deviation of low-freq. SST in DJFM over the SCTR (60°E-90°E, 8°S-3°S) from TMI (1998-2007)/Reynolds (1985-2007). Second to fourth column: partial correlations for different pairs, evidencing that rather than ENSO, it is OLR_IOD variability (and to a less extent the DMI) that explains most of the variance of the southern low-frequency MJO.

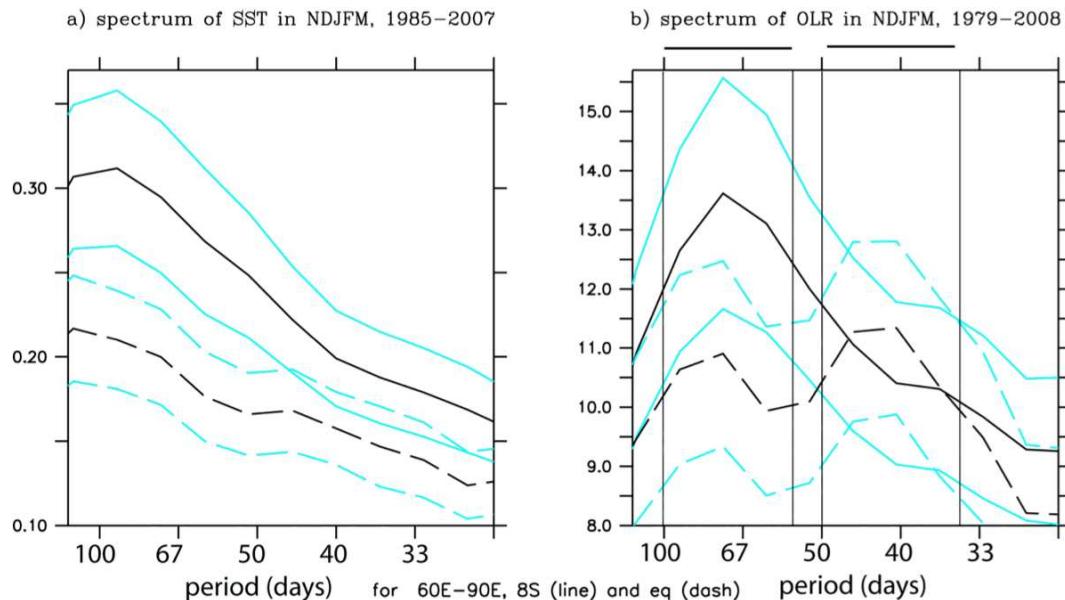


Fig. 1: Spectra (in amplitude) for SST ($^{\circ}\text{C}$ day, left) and OLR (W m^{-2} day, right) along the thermocline ridge (at 8°S; continuous black lines) and along the equator (dashed black lines) in NDJFM in the Indian Ocean (spectral amplitudes averaged over 60°E-90°E). The vertical/horizontal lines on the right panel show the low and high frequency bands selected (55-100 days and 35-50 days). Light blue lines show the intervals confident at the 90% level. To reduce windowing effect, the component of the signal with periods greater than 125 days was removed before computing the spectra.

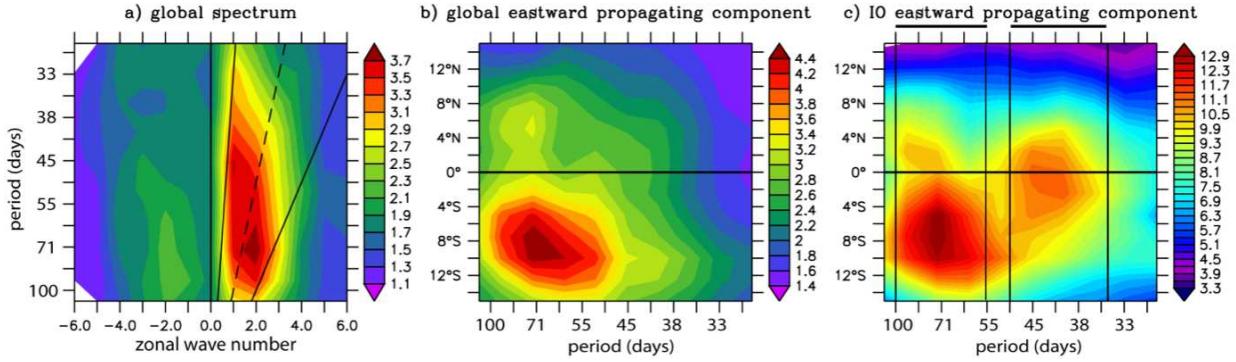


Fig. 2: (a) OLR spectrum (in amplitude, global, 10°S - 5°N mean) ; (b,c) latitudinal distribution of OLR eastward propagating component (global in (b) and Indian Ocean only (55°E - 95°E) in (c)) in austral summer (NDJFM). In (a), the x(y)-axis is zonal wave number (period). The dashed line represents the conventional MJO speed (5m/s). In (b,c), the eastward propagating signal has been calculated by averaging spectrum over positive zonal wave numbers between the two continuous diagonal lines shown in (a) (i.e. between 2.5 m/s and 15 m/s speeds). In (b,c), the x(y)-axis is the period (latitude). The vertical/horizontal lines on the right panel show the LF and HF bands. Unit is in $\text{W m}^{-2} \text{ day}^{-1}$.

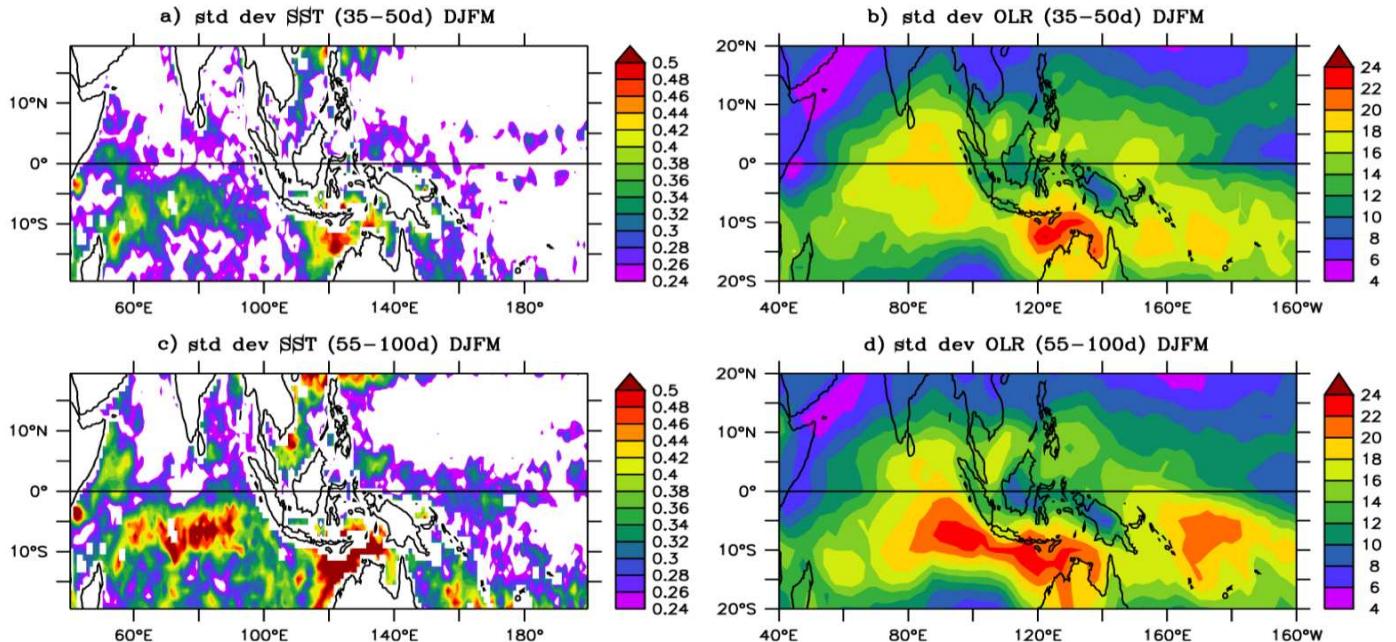
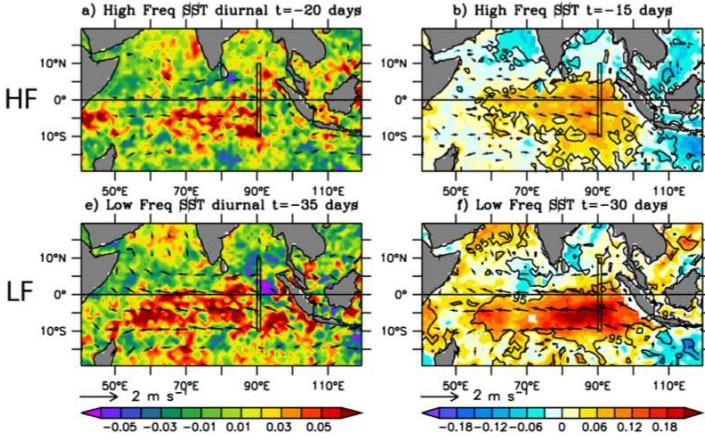


Fig. 3: maps of standard deviations of SST (TMI, left column; $^{\circ}\text{C}$) and OLR (right column; W m^{-2}) for MJO HF (upper row) and LF (lower row) bands in DJFM.

diurnal SST and SST prior to MJO onset in the Indian Ocean



diurnal SST and SST prior to MJO passage in the maritime continent

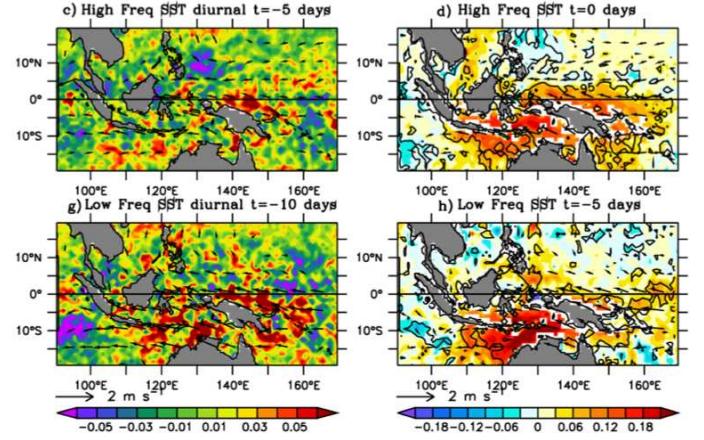
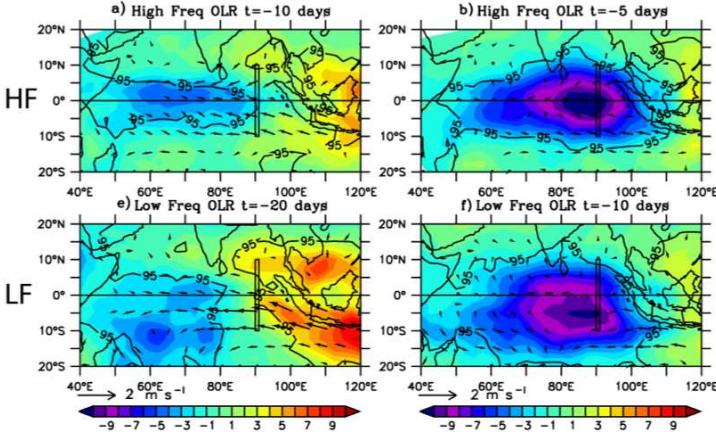


Fig. 4: difference between the HF (upper row) and LF (lower row) MJO composites, for SST diurnal amplitudes and daily SST, firstly prior to MJO onset in the Indian Ocean (left columns) and secondly prior to MJO propagation over the maritime continent (right columns). The weighted composites are at different lags for a negative intraseasonal OLR at 90°E, 10°N–10°S (location indicated by the black vertical bars) at $t=0$ in DJFM. SST diurnal amplitude and daily SST are shown about a quarter to eight period before the OLR composites of figure 5. Surface winds are plotted for significance higher than 85%. Black contours show the 95% significant level.

OLR during MJO onset in the Indian Ocean



OLR during MJO passage in the maritime continent

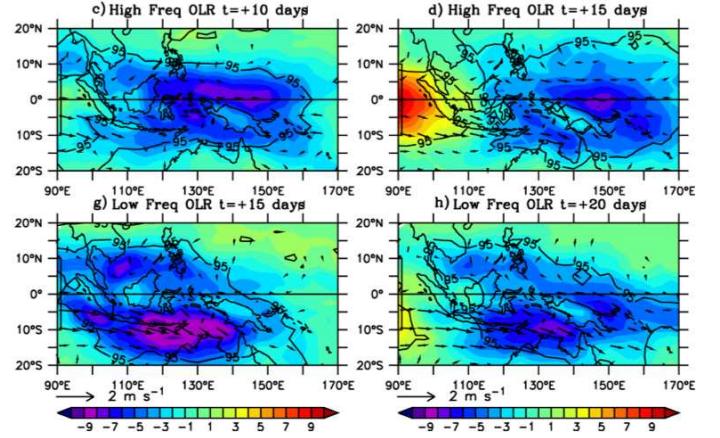


Fig. 5: similar to figure 4, but for OLR composites only, at various lags.

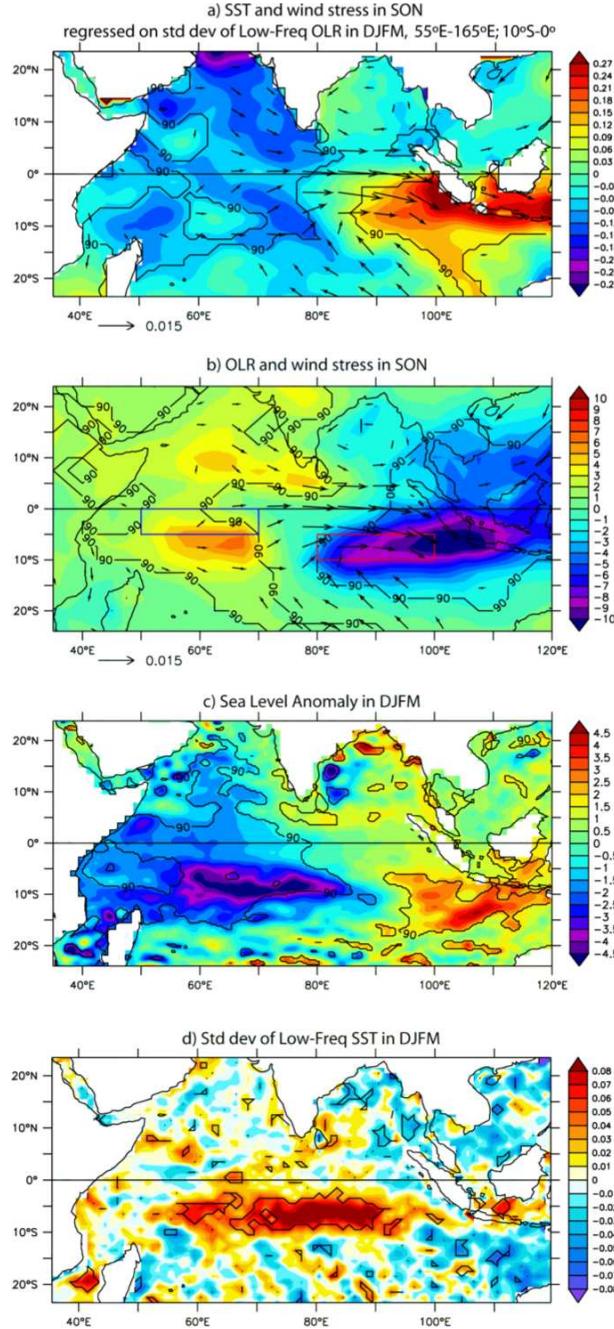


Fig. 6: ocean and atmosphere background state in boreal fall (SON) and winter (DJFM) regressed on southern LF-MJO activity in DJFM (OLR 55-100days, 55°E-165°E; 10°S-0°). (a): mean SST ($^{\circ}$ C, 1974-2007) and wind stress ($N m^{-2}$, 1987-2007) in fall (SON) before strong low-frequency MJO. (b): same as (a) but for OLR (color, $W m^{-2}$, 1974-2007). (c): for mean SLA in DJFM (cm, AVISO, 1992-2007). (d): for standard deviation of low-frequency SST in DJFM (TMI, 1998-2007). Surface winds are plotted for significance higher than 90%. The 90% significant level is added in black contours. The boxes defining the OLR-IOD index are shown in (b).

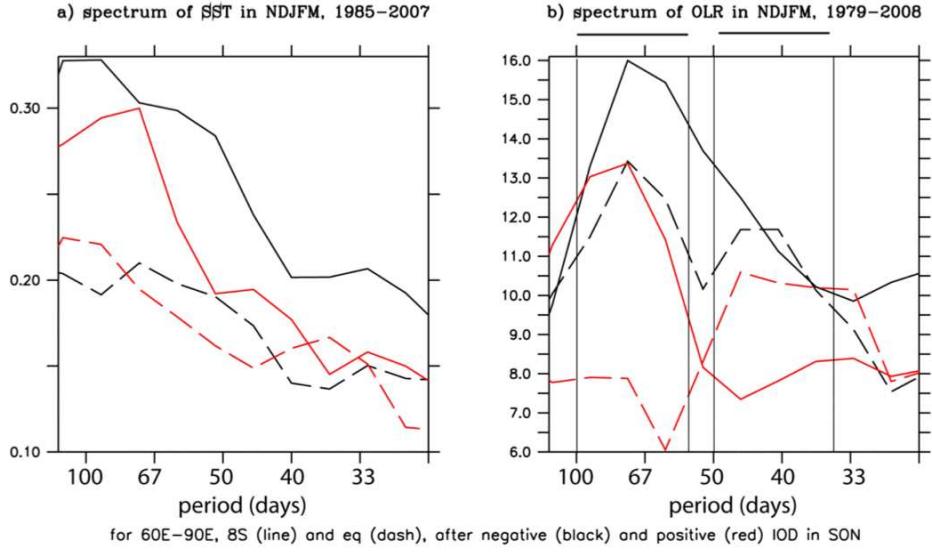


Fig. 7: same as Fig. 1, but for boreal winters following negative (black) and positive (red) IOD in fall.

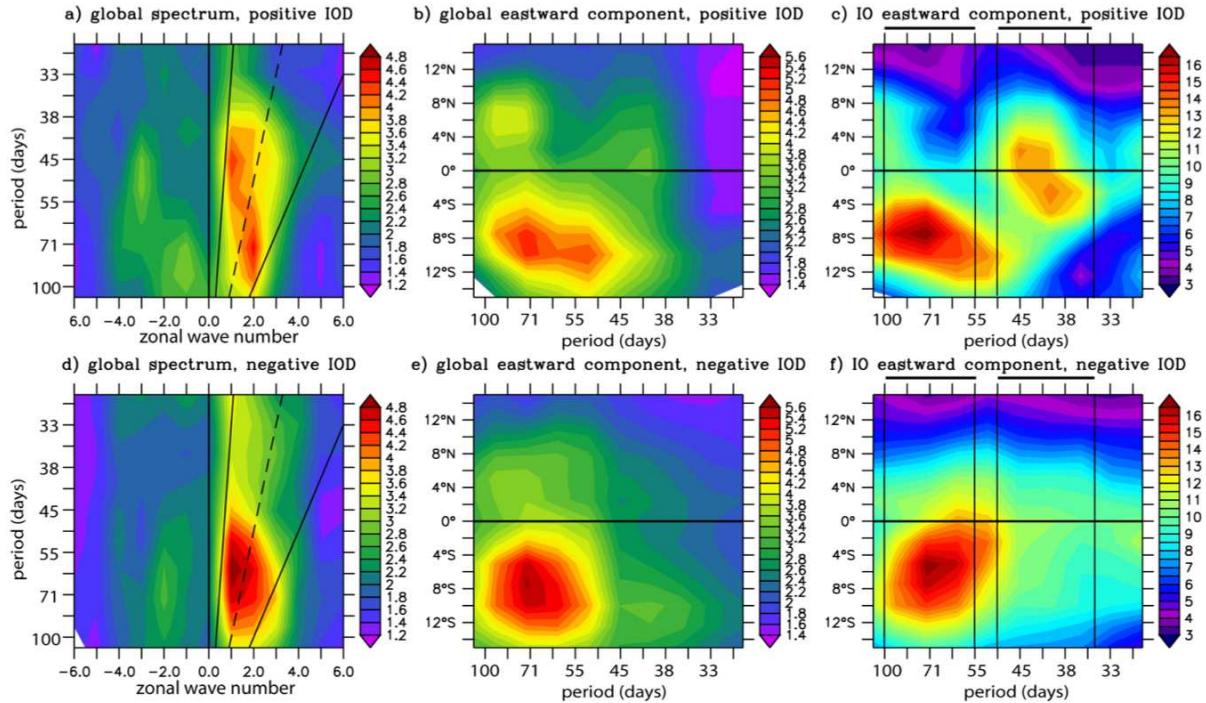


Fig. 8: longitude-time spectrum (left column), and latitudinal distribution of eastward propagating component globally (middle column) and in the Indian Ocean (right column), for OLR in DJFM, after positive IOD (upper row) and negative IOD (lower row) in SON (similar method to Fig. 2).

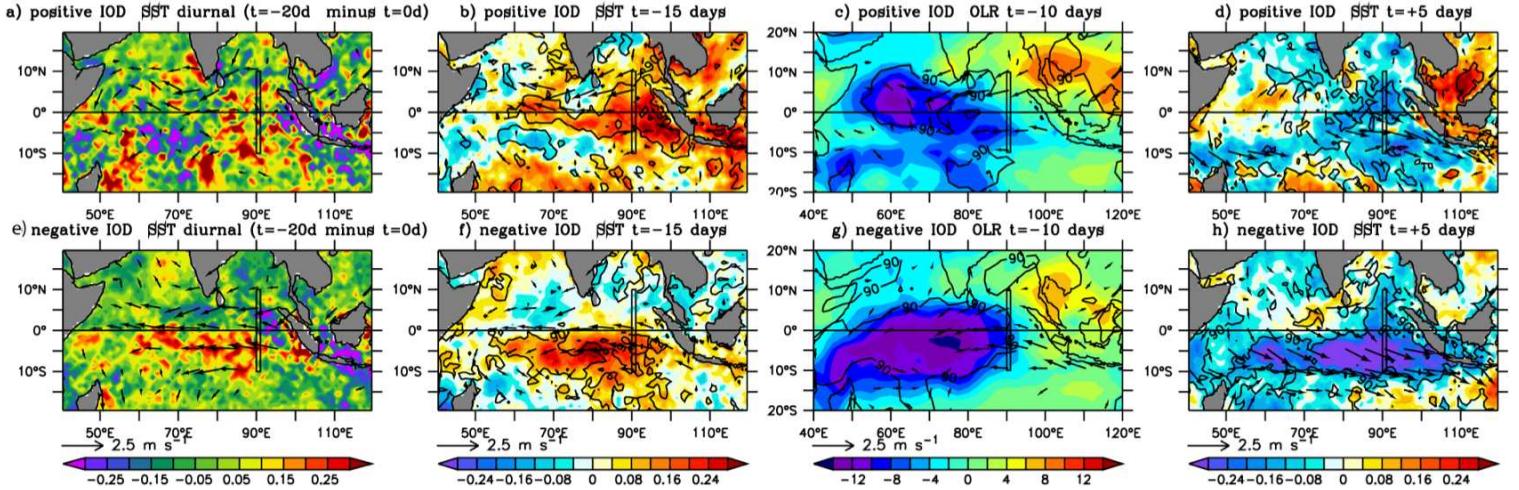


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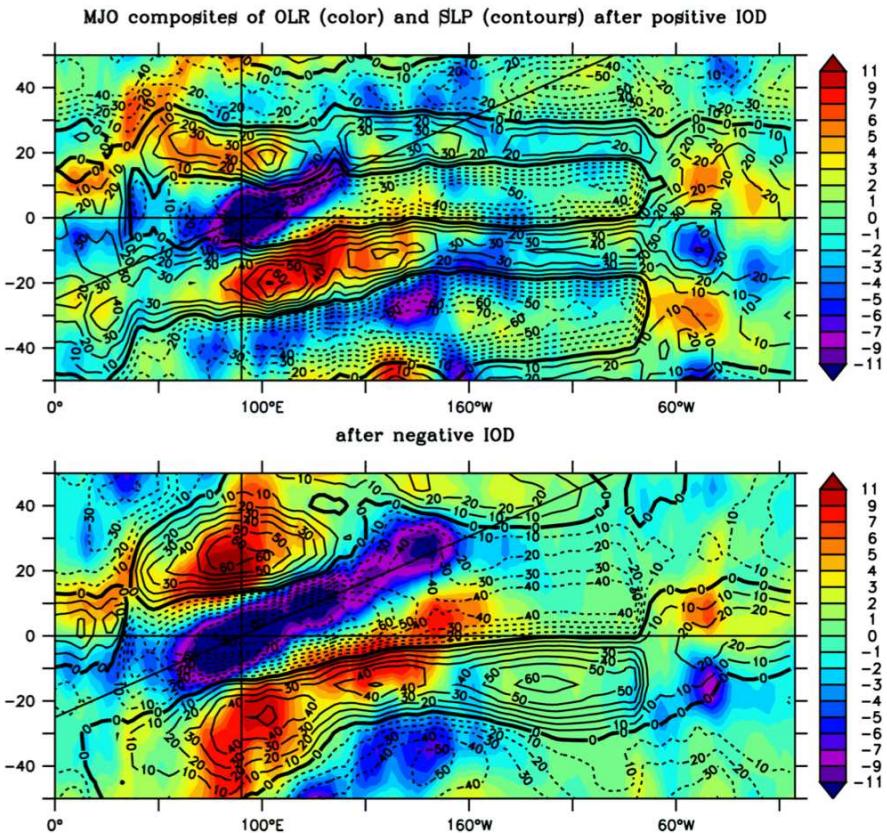


Fig. 10: time-longitude diagrams for lag-composites of OLR (color, to show MJO slow propagation in Indo-Pacific ITCZ-SPCZ) and SLP (contour, to evidence the circum-equatorial propagation as faster moist Kelvin waves), following different IOD conditions in fall: positive (upper) and negative (lower). The diagonal line shows the conventional MJO speed (5m/s). Latitudinal averaging is done over 15°S–5°N to capture the average propagation of MJO convection, shifted to the south during boreal winter. The fields composited here have not been high-pass filtered to limit time-aliasing. The MJO index used here is filtered over the broad 30–120days band, as in Fig. 9.

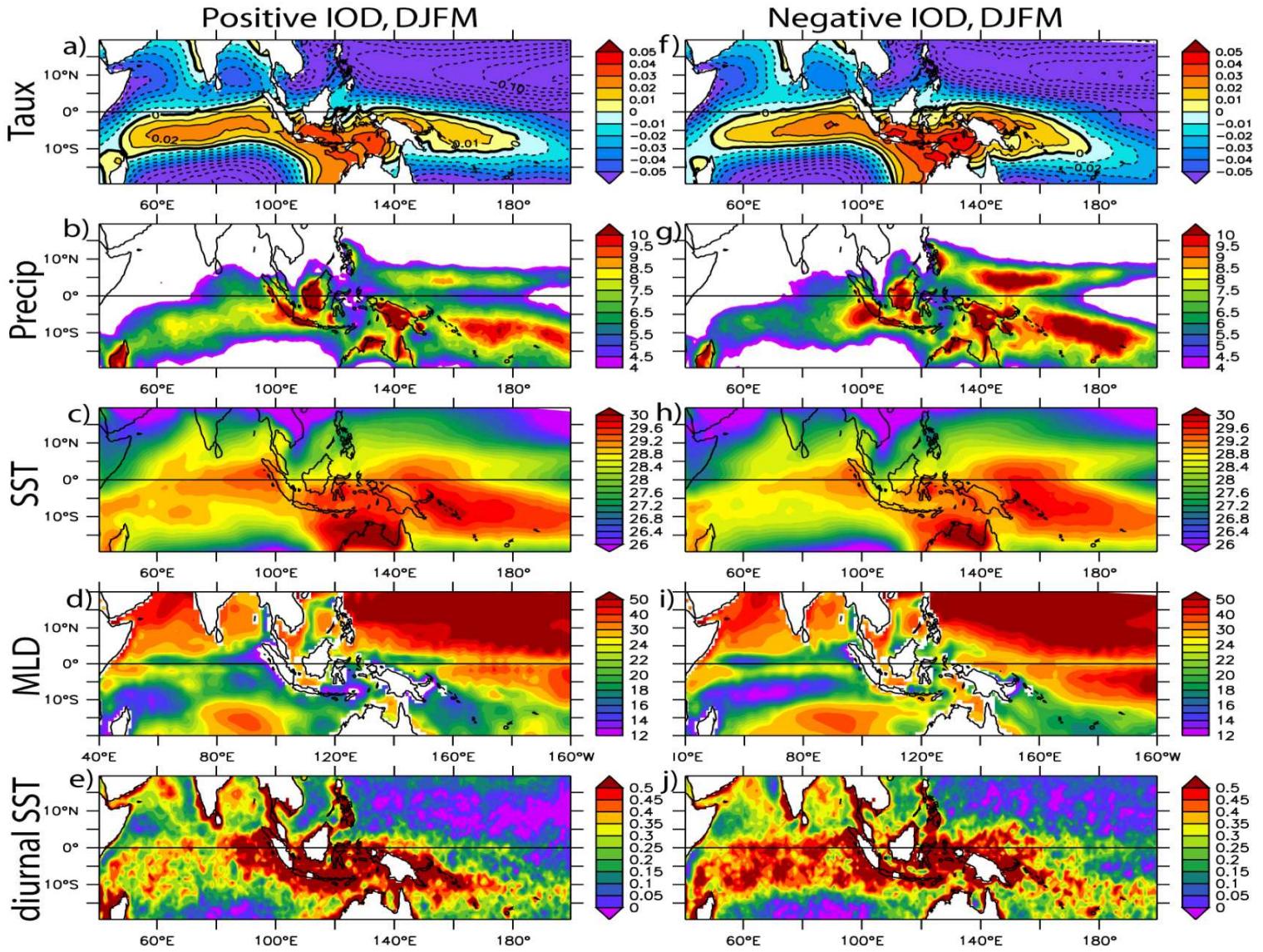


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