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## First Assessment of SMOS Data Over Open Ocean: Part II-Sea Surface Salinity

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### Abstract:

We validate Soil Moisture and Ocean Salinity (SMOS) sea surface salinity (SSS) retrieved during August 2010 from the European Space Agency SMOS processing. Biases appear close to land and ice and between ascending and descending orbits; they are linked to image reconstruction issues and instrument calibration and remain under study. We validate the SMOS SSS in conditions where these biases appear to be small. We compare SMOS and ARGO SSS over four regions far from land and ice using only ascending orbits. Four modelings of the impact of the wind on the sea surface emissivity have been tested. Results suggest that the L-band brightness temperature is not linearly related to the wind speed at high winds as expected in the presence of emissive foam, but that the foam effect is less than previously modeled. Given the large noise on individual SMOS measurements, a precision suitable for oceanographic studies can only be achieved after averaging SMOS SSS. Over selected regions and after mean bias removal, the precision on SSS retrieved from ascending orbits and averaged over 100 km  $\times$  100 km and 10 days is between 0.3 and 0.5 pss far from land and sea ice borders. These results have been obtained with forward models not fitted to satellite L-band measurements, and image reconstruction and instrument calibration are expected to improve. Hence, we anticipate that deducing, from SMOS measurements, SSS maps at 200 km  $\times$  200 km, 10 days resolution with an accuracy of 0.2 pss at a global scale is not out of reach.

**Keywords:** L-Band, Microwave Radiometry, Ocean Salinity, Sea Surface

## I. Introduction

SINCE the seventies, satellite oceanography has made huge progresses and today satellite observations over the ocean are key components of the global climate observing systems (GCOS). While sea surface temperature, sea level, sea ice and sea state are relatively well monitored [1], until 2009, sea surface salinity (SSS) was not measured from space although salinity is recognized as an essential climate variable [2] and satellite SSS is expected to be very complementary to existing in situ salinity measurements [3].

The feasibility of measuring SSS from space was first demonstrated in the frame of the Skylab mission launched in 1973 [4]. However, at L-band, the sensitivity of radiometric measurements to the salinity is low and the radiometric resolution of the instruments remained an obstacle to the development of new satellite missions until the nineties. Then, the development of new technologies [5] has contributed to a renewed interest in L-band radiometry. This led to two satellite missions accepted by space agencies: the Soil Moisture and Ocean Salinity (SMOS) mission of the European Space Agency and the Aquarius/SAC-D mission of the NASA/CONAE agencies. SMOS uses a new antenna concept (synthetic aperture) for spaceborne radiometry applications and was successfully launched in November 2009; Aquarius uses a large size real aperture antenna and has been launched in June 2011. The goal of these two missions is to achieve accuracy on the SSS averaged over 150-200 km and one month of  $\sim 0.2$  pss (pss is for practical salinity scale as recommended by [6]) or better.

An overview of the retrieval of SSS from SMOS measurements since SMOS launch is given in [7].

In this paper, we concentrate on the assessment of the quality of the SSS retrieved from one month of SMOS measurements using radiative transfer models defined before SMOS launch and implemented in the ESA level 2 processor. We describe data and methods in section II, results are

presented in section III and discussed in section IV.

## II. DATA AND METHODS

### A. SMOS processing

The SMOS 2-D interferometric concept [8][9] allows the instrument to perform measurements over two-dimensional snapshots so that a grid point over the ocean is seen by numerous independent snapshots under various incidence and azimuth angles. The retrieval of SSS is based on a maximum likelihood Bayesian approach [10][11]. The SMOS brightness temperatures  $TB^{meas}$  reconstructed at different incidence angles are fitted to  $TB^{mod}$  modeled in the antenna reference frame, using forward models described below,  $TB^{mod}$ , by minimizing the cost function:

$$\chi^2 = \sum_{n=1}^N \frac{[TB_n^{meas} - TB_n^{mod}(\theta_n, P_i \dots)]^2}{\sigma_{TBn}^2} + \sum_{i=1}^M \frac{[P_i - P_{i0}]^2}{\sigma_{Pi0}^2} \quad (1)$$

$N$  is the number of SMOS measurements available for SSS retrieval at different incidence angles  $\theta_n$  in the four polarizations.  $TB_n^{mod}$  are simulated at incidence angles  $\theta_n$  using forward model, with  $\sigma_{TBn}^2 = \sigma_{TB\_meas\_n}^2 + \sigma_{TB\_model\_n}^2$ .  $\sigma_{TB\_meas\_n}$  is the instrumental expected rms error on  $TB^{meas}$  simulated by the L1C processor and  $\sigma_{TB\_model\_n}$  is an estimate of the model error. Both are given in the antenna reference frame. The geophysical parameters of the forward model,  $P_i$ , include SSS, SST and sea surface roughness descriptors.  $M$  is the number of retrieved geophysical parameters;  $P_{i0}$  are a priori estimates of the  $P_i$  with *a priori* variances  $\sigma_{Pi0}^2$ .  $P_{i0}$  values are specified a priori. A general least square iterative algorithm [12] is used to retrieve the  $P_i$  values that minimize the cost function (Eq. 1), and this algorithm provides estimates of the theoretical variances  $\sigma_{Pi}^2$  of the retrieved parameters.

The forward models that simulate SMOS measurements use the physical modelling of atmospheric radiative transfer [13], and take into account the occurrence of the galactic glints [14][15]; the sea surface emissivity modelling is separated in several components: the perfectly flat sea component estimated with the model of [16] plus a rough sea and foam-induced components. Four parameterizations for describing these rough/foam effects based on electromagnetic modelling of sea surface scattering or empirically derived from radiometric measurements performed from an oil rig and from an aircraft have been tested:

-1- the model 1 implemented in SMOS level 2 processor neglects the foam influence and simulates the rough sea using a two scale model that uses the [17] wave spectrum multiplied by a factor two [18][19].

- 2- the model 2 implemented in SMOS level 2 processor models the emissivity of a rough sea from the small-slope approximation model [20][21] and uses the Kudryavtsev wave spectrum [22]. In addition to the rough sea emissivity, model 2 considers the foam emissivity. It partitions the ocean surface into foam-free and foam-covered areas [23], and it includes a

specific foam emissivity model to account for the effect of the presence of foam on the sea surface emission. Foam contribution can have a significant impact for wind speed in excess of  $10-12 \text{ m} \cdot \text{s}^{-1}$  at L-band [24].

Theoretical models 1 and 2 have been compared with experimental data previous to SMOS launch with reasonable agreement [25][26].

- 3- the model 3 (WISE model) implemented in SMOS level 2 processor is a semiempirical model derived from the Wind and Salinity Experiment (WISE) in 2000-2001 during which a polarimetric L-band radiometer was mounted on an oil rig in the Mediterranean Sea while extensive oceanic and meteorological measurements were performed[27][28]. It describes the increment of TB due to the roughness of the sea as function of wind speed and significant wave height. Model 3 has been found to perform fairly well to retrieve sea surface salinity from airborne radiometric measurements acquired in a region characterized by a large range of oceanographic conditions [29].

-4- the PALS (Passive/Active L-band Sensor) model [30] has been derived recently from PALS airborne data obtained over a large wind speed range (between 4 and  $28 \text{ m} \cdot \text{s}^{-1}$ ). At a given incidence angle, it relates linearly the L-band brightness temperatures to wind speed. The measurements during circles indicate an influence of the azimuth direction (up to 1.5 K at  $30^\circ$  and  $24 \text{ m} \cdot \text{s}^{-1}$ ) but this was obtained only for a limited number of wind speeds and incidence angles. We tested two azimuth dependencies: one extrapolated from PALS measurements over SMOS incidence angles and the whole range of wind speeds (Yueh, pers. comm.), and one derived from model 1. The SSS retrieved with these two azimuth dependencies were not significantly different so that we decided in the following to use the azimuthal dependency simulated by model 1.

The dependency of the four models with respect to wind speed is summarized on Fig. 1. Above  $7 \text{ m} \cdot \text{s}^{-1}$ , model 2 is highly non linearly evolving with wind speed due to the inclusion of foam effects. At moderate incidence angle, the sensitivity of the PALS and WISE models to wind speed is smaller than model 1 and 2 at low wind speed (less than  $4 \text{ m/s}$ ) whereas at larger wind speed, up to  $15 \text{ m} \cdot \text{s}^{-1}$ , and in vertical polarization, they predict a stronger impact with wind speed. The sensitivity of the PALS model to incidence angle is much smaller than the one of the three other models; variations with incidence angle of models 1 and 2 are quite close whereas the dependence of model 3 with incidence angle is linear (see Fig. 1 in [11]).

By default in the ESA processor, SSS is retrieved with model 1 with two additional SSS products generated using model 2 and 3.

In this paper, SSS is retrieved from SMOS brightness temperatures produced in real time by the SMOS operational chain that uses the ESA SMOS level 1c processor v344. We choose to use the SMOS data produced from 3<sup>rd</sup> to 31<sup>st</sup> of August 2010, a period during which the calibration parameters

and the algorithms used in the L1c processor were stable, contrary to previous periods.

SSS is retrieved using the ESA level 2 processor version 316 that uses the forward models and the retrieval algorithms described in [11]. After this version has been implemented in the operational chain, we found inconsistencies in the wind direction convention used for model 1; we corrected for the bug and a corrected version of the processor has been rerun on LOCEAN machines for the whole month of August. This bug had a small influence on the retrieved SSS itself except at low wind speed (below 3 m.s<sup>-1</sup>) and it hampered a rapid convergence of the retrieval. It has been corrected in version v317 of the level 2 processor.

When comparing SMOS TBs and modelled TBs, systematic image reconstruction biases have been evidenced as depending on the location in the field of view (see [7] and companion paper [31]). These systematic biases were estimated over an ascending orbit in the south east Pacific between 50°S and 10°N on 5th August by averaging the difference at antenna level between SMOS measurements and the forward model predictions evaluated using the WOA2005 climatology. The resulting two-dimensional bias estimated from the 5<sup>th</sup> August orbit has been systematically removed from all August SMOS measurements. This bias removal (so called Ocean Target Transformation) has been computed separately for each tested forward model. It is assumed to remain constant over the August period. It is hoped that, in the future, improvements in image reconstruction and forward radiative transfer models will help to find the causes of this bias and reduce it.

$N$  in (1) decreases from about 200 measurements at the center of the swath to a few measurements at the edge of the sub-satellite track. Typically,  $\sigma_{TB\_meas\_n}$  ranges from 1.7 to 3.9 K for measurements integrated over 1.2 s (see companion paper [31]). In a first approach, we take the model error  $\sigma_{TB\_model\_n}$  to be constant and equal to 0.5K for the first and second Stokes parameters and 0.1 K for the third and fourth Stokes parameters. The auxiliary data required to specify prior parameters  $P_{i0}$  (SST, wind descriptors, atmospheric parameters) are obtained from the forecasts provided by the European Center for Medium-Range Weather Forecast (ECMWF). The level 1C product includes one Total Electron Content (TEC) value per snapshot, produced by the IGS (International Global Navigation Satellite System Service) ([http://www.aiub.unibe.ch/content/research/gnss/code\\_research/igs/global\\_ionosphere\\_maps\\_produced\\_by\\_code/index\\_en\\_g.html](http://www.aiub.unibe.ch/content/research/gnss/code_research/igs/global_ionosphere_maps_produced_by_code/index_en_g.html)). In case IGS data are not available, the level 1C processor uses TEC produced by the IRI2001 (International Reference Ionosphere) model instead ([http://omniweb.gsfc.nasa.gov/vitmo/iri\\_vitmo.html](http://omniweb.gsfc.nasa.gov/vitmo/iri_vitmo.html)). For each grid point, there are as many TEC values as TB values, so the median TEC is used as prior. The uncertainties  $\sigma_{P_{i0}}$  associated with the geophysical parameters are set to 1°C on SST and 10TECU on TEC and, for model 1: 1.5 m s<sup>-1</sup> on components of neutral wind speed, for model 2, 0.05 m s<sup>-1</sup> on friction velocity, 10% on inverse wave age and 5° on wind direction;

for PALS model, 2 m.s<sup>-1</sup> on neutral wind speed; for model 3, 2 m.s<sup>-1</sup> on neutral wind speed and 10% on significant wave height. These values were chosen as rough estimates of the expected error of the ECMWF forecasts extrapolated at the time of SMOS measurement. The influence of these errors onto the SSS retrieval has been studied in [11]. The only exception is the sea surface salinity, which is left free during the retrieval procedure, by putting the SSS uncertainty equal to 100 pss. For technical reasons, the prior SSS is taken from the world ocean atlas (WOA 2005) [32] but we checked that the same SSS is retrieved if instead the prior SSS is set to 35 pss everywhere.

The equivalent footprint diameter assigned to each retrieved SSS is computed as the diameter of the equivalent circle which surface equals the mean surface of the SMOS measurements involved in the retrieval.

In this paper, we selected the SSS retrieved with a ‘valid quality flag’, with good fit indicators, sufficient number of TBs to be used in the retrieval (>16), small number of measurements (<10) possibly affected by sunglint, small number (<50) of discarded outliers, number of iterations less than 20; details about the definition of these flags can be found in [25] and in [33].

### B. Global maps of SSS

10 days-100 km x 100 km resolution SSS maps are built by taking a weighted average of SMOS SSS. The weight is inversely proportional to the error variance of the retrieved SSS (that gives more weight to the best SSS retrievals) and to the mean equivalent surface of the pixel as follows:

$$\langle SSS \rangle = \left[ \frac{\sum_{j=1}^N \frac{SSS_j}{R_j^2 \sigma_j^2}}{\sum_{j=1}^N \frac{1}{R_j^2 \sigma_j^2}} \right] \quad (2)$$

Where,  $\sigma_j$  is the error of retrieved SSS estimated during the retrieval process at a grid point  $j$  and  $R_j$  is the equivalent footprint diameter of the equivalent circle, centred on a grid point  $j$ , where SSS is retrieved. The area of the equivalent circle is equal to the mean surface of the footprint ellipses of the measurements entering in the SSS retrieval.

The weight by the surface of the pixel is because SMOS SSS are delivered over an oversampled grid (ISEA grid) at 15 km resolution whereas the actual resolution of independent SMOS measurements is variable (from about 30 km to 100 km). A more complete justification of this formula is given in the appendix of our companion paper [31].

These maps are compared to the WOA05 SSS climatology built for the month of August. Although the WOA05 map does not reproduce interannual variability peculiar to the August 2010 month, it shows the main features of SSS spatial variability.

### C. ARGO data

We use the ARGO SSS data between 29 July and 5 September 2010 distributed in near real time by the CORIOLIS data centre (<http://www.coriolis.eu.org>). For most of the ARGO vertical profiles, we consider the measurement

the closest to the sea surface between 0.5 m and 10 m depth. We do not take into account measurements which depth is between the surface and 0.5m as we observed some spurious measurements close to the sea surface likely due to inaccuracies in the depth-pressure measurement. For SOLO and PROVOR profilers types, we only consider profiles between 5 m and 10 m because these profilers types do not pump water at a depth upper than 5 m. We only consider measurements with flags of position, date, depth, temperature and salinity set to 1 (good) or 0 (not checked because of real time transmission).

Detailed comparisons have been performed in four regions of the global ocean (see color boxes on Fig. 2, bottom, left), two in the Southern Ocean characterized by very variable wind speed, and two in the Northern tropics and subtropics:

- in the Southern Pacific ocean (50°S-180°W); mean (standard deviation) of SST and SSS are 9,8 (1.8) °C and 34,4 (0.2) pss respectively

- in the Southern Indian ocean (40°S-70°E); mean (standard deviation) of SST and SSS are 14,8 (2.0) °C and 35,4 (0.3) pss respectively

- in the Northern subtropical Atlantic ocean (15°N-30°N-30°W-45°W), characterized by very salty waters and relatively low SSS variability with respect to the tropical Pacific Ocean zone (see below); mean (standard deviation) of SST and SSS are 26,4 (0.8) °C and 37.3 (0.3) pss respectively

- in the Northern tropical Pacific ocean (5°N-15°N-180°W-110°W): mean (standard deviation) of SST and SSS are 27,7 (0.6) °C and 34,1 (0.6) pss respectively. This region is characterized by a low SSS anomaly with respect to the climatology (Fig. 2) and by a strong SSS variability, as seen on the standard deviation of ARGO SSS due to high precipitations in this area.

In the tropical Pacific Ocean, 225 ARGO profilers are of WEBB type and 33 are of SOLO type. In the Southern Ocean, half ARGO profilers are WEBB and half are SOLO. In the subtropical Atlantic Ocean, one third of ARGO profilers are WEBB type, two thirds SOLO. No influence of the type of float has been noticed in our comparisons.

SMOS SSS is collocated with ARGO SSS using collocation radii of +/-5 days and +/-50 km. Only SMOS SSS retrieved during ascending orbits is used in order to avoid imperfect estimate of galactic glint which is dominant in descending passes at this period of the year (Reul et al., 2008).

We will characterize the systematic difference between SMOS and ARGO collocated SSS by the median of the difference and by the standard deviation of the difference between both data sets.

Since SMOS SSS is very noisy at the edge of the swaths, in our analysis we will distinguish statistics performed using SSS over the whole swath and using SSS retrieved only at +/-300km from the centre of the track where the number of TB measurements is much larger and the SSS error is much smaller [11].

Given the large errors of individual SMOS SSS, they will be

averaged before being used for ocean process studies over typically 100 km and 10 days. In order to check that such an averaging process decreases the error of SMOS SSS, in addition to comparing each individual SMOS SSS with ARGO SSS, all SMOS SSS collocated with one ARGO measurement are averaged, each SMOS SSS being weighted by the mean surface of the pixel and by the error variance derived by the retrieval ( $\sigma_{SSS}^2$ ) (equation 2).

Detailed analysis with models 1, 2 and 3 have been performed in the 4 zones; SSS analysis retrieved with PALS model has been limited to Southern Pacific Ocean and Tropical Pacific Ocean in order to limit reprocessing at LOCEAN and because these two regions are representative of contrasted wind speed.

### III. RESULTS

#### A. Comparison to climatological SSS

The spatial variability of SSS at a global scale is quite well reproduced on global maps of SMOS SSS (Fig. 3). In particular, salty waters in the tropical Atlantic Ocean, fresh waters in intertropical convergence zones, at high latitudes and in the Amazon plume are qualitatively consistent with the climatology. However, several flaws are evident on these maps and on the maps of the differences with respect to the climatology (Fig. 4):

- Large biases close to land and ice: biases as large as 2pss in absolute value are observed at several hundreds of km from large land masses and from ice edges. The sign, the magnitude and spatial extension of these biases are different for ascending and descending orbits.

- Far from land, in the Northern hemisphere, SSS on descending orbits are saltier than on ascending orbits whereas in the Southern hemisphere, SSS on descending orbits are fresher by a few tenths of pss (Fig. 3).

- Spots of anomalously low SSS are observed in the Southern Ocean; their location seems to occur in regions with spots of high wind speed (Fig. 4). This flaw will be studied in more details in our comparisons with ARGO SSS.

- Strips of low and high SSS values appear along tracks on maps of SSS retrieved during descending orbits (Fig. 3), possibly because of an imperfect galactic noise scattering correction as galactic signal is expected to be stronger during descending orbits in August [15] (Tenerelli, 2010, pers. comm.).

- Few SSS retrievals occur in the high Northern latitudes because of the presence of radio frequency interferences that raise the flag of anomalous measurements.

All these flaws are under study by the various teams working closely to SMOS instrument. In particular, the difference between ascending and descending orbit has been shown to be linked to the variation of the antennas losses with the physical temperature and to errors in the implementation of the sun correction in the image reconstruction procedure (Tenerelli and Martin Neira, pers. comm.) and this effect is going to be corrected in future data reprocessing. In the rest of

this paper, we will concentrate on the precision of SMOS retrieved SSS in regions far from land and ice and during ascending orbits where these flaws are minima, with the hope that future studies will improve image reconstruction near land and ice and provide corrections for galactic noise.

### B. Comparison to ARGO SSS

#### SSS retrieved from model 1

The comparison of SMOS SSS to ARGO SSS (Fig. 2) evidences much more scatter than the comparison of ARGO SSS to the climatology, and again large biases in the vicinity of land and ice. ARGO SSS during August 2010 exhibits negative anomalies ( $<-0.5$  pss) with respect to the climatology around  $10^\circ\text{N}$  in the Northern Pacific, around  $15^\circ\text{N}$  in the western Atlantic and positive anomalies ( $>0.5$  pss) in the western equatorial Pacific. All these anomalies are quite well captured by SMOS SSS (Fig. 2). Nevertheless, the anomaly in the tropical Pacific region is slightly fresher on SMOS SSS than on ARGO SSS (Fig. 2, bottom left) which motivate us to look in more detail to this area.

We closely analyse the comparisons in four regions far from land and ice, where no large biases are identified on the difference between ascending and descending SSS (Fig. 3). The statistics of the comparisons are given in Table 1. As expected from the decrease of  $\partial\text{TB}/\partial\text{SSS}$  with the decrease in SST, the standard deviation of the SMOS SSS minus the ARGO SSS ( $\sigma$ ) is the largest in the coldest region:  $\sigma$  is multiplied by a factor  $\sim 1.5$  between the tropical Pacific and the Southern Pacific region; the error is reduced by a factor  $\sim 1.5$  when only the centre of the swath is considered instead of the full swath. When the SSS differences are sorted in  $1\text{m.s}^{-1}$  wind speed classes, it appears clearly that above  $12\text{ m.s}^{-1}$  SMOS SSS are systematically underestimated (Fig. 5). The pattern is very similar for the various regions: biases averaged in classes of  $1\text{m.s}^{-1}$  wind speed are less than  $0.3$  pss between  $3$  and  $12\text{ m.s}^{-1}$  ( $11\text{ m.s}^{-1}$  in the tropical Pacific Ocean); they are systematically negative above  $12\text{ m.s}^{-1}$  and lower than  $-1$  pss above  $15\text{ m.s}^{-1}$ ; they are larger in absolute value and different from one region to another below  $3\text{ m.s}^{-1}$ .

As expected, when the SMOS SSS are averaged over  $100\text{ km}$  and  $10$  days around the ARGO measurement before comparison,  $\sigma$  are reduced (Fig. 6, Table 2). The best improvement is observed when the whole  $1200\text{km}$  swath is considered. This confirms, over this particular month, results obtained during simulation studies performed previous to launch: although using the whole swath introduces data with larger noise in the average, it improves the average because of the increase of the number of data. With respect to results obtained on non averaged data,  $\sigma$  is reduced by about a factor  $3$  in the Southern Pacific Ocean, in the Southern Indian Ocean, and in the subtropical Atlantic Ocean and by about a factor  $2.5$  in the tropical Pacific Ocean.

#### SSS retrieved with model 2

Between  $2$  and  $15\text{m/s}$ , biases averaged in classes of  $1\text{m/s}$  wind speed are always less than  $0.6\text{pss}$  with a tendency of the

bias to decrease as a function of wind speed between  $7$  and  $12\text{m/s}$  in the Southern Pacific Ocean. Below  $2\text{ m.s}^{-1}$  biases are larger in absolute value and different from one region to another (Fig. 7). Above  $15\text{m/s}$ , SMOS SSS is overestimated, the overestimate being larger than  $2\text{pss}$  above  $16\text{m/s}$ . The statistics of these comparisons are reported in Table 3. Mean differences differ from model 1 as expected from the different modeling for roughness and foam; however the standard deviation of the differences is very similar to model 1.

#### SSS retrieved with model 3 (WISE model)

The retrieved SSS is as good as with models 1 and 2 up to  $10\text{ m.s}^{-1}$ . Above  $11\text{ m.s}^{-1}$  the retrieved SMOS SSS is systematically too low and lower than the one obtained with model 1 (Table 4 and Fig. 8). The number of successful retrievals is less than with the other models (between  $10$  to  $14\%$  less retrieved SSS) (Table 4).

#### SSS retrieved using PALS (2010) roughness model

Using [30] empirical model, SSS bias is strongly dependent on wind speed: it increases with wind speed up to  $7\text{ m/s}$ , remains constant between  $7$  and  $13\text{ m/s}$  and decreases above  $13\text{m/s}$  (Fig. 9). The bias is slightly lower than with models 1 and 2 between  $13$  and  $18\text{ m/s}$ . At a given wind speed, the difference between the Southern Pacific and the tropical Pacific is much stronger than with the three other models. The statistics of these comparisons are reported in Table 5. The bias and the standard deviation of the differences are worse than the ones obtained with the three other models.

## IV. DISCUSSION AND CONCLUSION

This study aims at validating SMOS SSS retrieved during the month of August 2010 using the method described in [11][25] that has been implemented into the European Space Agency SMOS processing.

Biases of several pss appear close to land and ice and depend on the orientation of the coast with respect to the orbit. In a preparatory study, [36] estimated that the bias due to the land vicinity on SMOS SSS averaged over  $10\text{days}$  should be less than  $0.2\text{ pss}$  at distances greater than  $47\text{ km}$  from the coast. With a different approach that takes into account measured antenna patterns for each antenna and all instrument errors, and that uses a land-sea decomposition technique (not implemented in the SMOS Data Processing Ground Segment (DPGS)) described in [38] to significantly reduce the effect of the coastlines, [37] found a significant influence of the coast up to  $300\text{ km}$  from the coast. In this first version of SMOS SSS, we found influence of the coast much further, up to about  $1000\text{ km}$  from the coast. The origin of these biases (problem in image reconstruction and/or in antennas characterization) is under study by SMOS level 1 teams and is expected to be significantly reduced in future versions of the level 1 processor. As a consequence, in that paper, we focus only on regions further than  $1000\text{ km}$  from the coast.

We also observe biases between ascending and descending

orbits. Several reasons for these biases have been identified since this first version of SMOS sea surface salinity has been delivered (J. Tenerelli, M. Martin Neira, pers. comm. 2011): errors in the implementation of the sun correction in the image reconstruction procedure introduce long and short term drifts in the reconstructed brightness temperatures; the variation of the antennas losses with physical temperature along the orbit and along the year introduce some biases too. Corrections for these biases are under study [39]. In addition, strong galactic noise scattered by the sea surface in the direction of SMOS antenna in August over descending orbits is suspected to be inaccurately accounted for. Hence, it is hoped that in future studies, these systematic SSS biases will be much reduced.

In order to minimize the effects of these systematic biases, as our aim is to make a preliminary estimate of the precision that should be achievable on SMOS SSS once instrument calibration, image reconstruction algorithms and forward models will better fit SMOS measurements, we concentrate our study on ascending orbits and on four regions far from land and ice. The two regions in the Southern Ocean are characterized by very variable wind speed conditions, relatively stable SSS in two different SSS and SST ranges. The subtropical Atlantic Ocean, a region with strong evaporation, is characterized by very salty waters (37 pss) and stable SSS contrary to the tropical Pacific Ocean, a very rainy region, characterized by a large variability of the SSS (0.6 pss).

The radiative transfer models we have tested have been developed independently of SMOS data; this ensures that we do not introduce indirect instrumental flaws in the forward modelling. Nevertheless, the application of an OTT may introduce indirect correlations between forward models and OTT-calibrated SMOS measurements. On the other hand, given the large biases in TB (several K) observed to be dependent on the location in the field of view (i.e. very variable with incidence angle), it was not meaningful to perform SSS retrieval without any correction for these systematic biases and the OTT method has been developed as a provisional better-than-nothing method. The way we compute the OTT implies that we implicitly correct for a mean bias between each forward modelled TB and SMOS measurement corresponding to average geophysical conditions encountered on the orbit used to derive the OTT (on the orbit of 5 August, the mean (std) SST is 20.1(6.2)°C, the mean (std) SSS is 35.0(0.8) pss and mean (std) wind speed is 8.1(2.3) m s<sup>-1</sup>). Hence, when analysing the results presented in this paper, one should look more at the relative variations of the retrieved SSS than at its mean value that depends on the geophysical conditions used for the OTT computation. It is hoped that future research on SMOS data will allow evaluating a correction independent of any sea-surface geophysical parameter. If no other method appears applicable for avoiding an OTT computation, refinements about the statistical distribution of the geophysical parameters to be used for the OTT computation could help improving the mean bias removal but won't allow validating forward models in absolute

value.

The global distribution of SMOS SSS is very encouraging; in particular, SSS anomalies in tropical regions seen by ARGO floats also appear on SMOS SSS. Nevertheless the fresh SSS anomaly around 10°N in the Northern Pacific is fresher by 0.2 pss on SMOS SSS than on ARGO SSS. The origin of this fresh SMOS anomaly remains uncertain. Since it appears whatever the ECMWF wind speed at the SMOS time is, it is unlikely to be an effect of vertical stratification on SMOS SSS (surface fresh water anomalies are expected to mix with surrounding water when the wind speed increases). We looked whether an imperfect modelling of the sea water permittivity could lead to such a bias by testing the [40] and [41] permittivity models. The differences of the TBs simulated with the two models with respect to Klein and Swift model (Fig. 10) are non negligible (-0.3 to -1 K when using [40] and 0.2 to 0.8 K when using [41] depending on the incidence angle and on the regional salinity). However, whatever the permittivity model is used the differences are very similar for the two zones of the Pacific Ocean and the part of the orbit chosen to estimate the systematic bias in the FOV (not shown). Hence, the correction for the systematic bias between the SMOS measurements and the modelled TBs we apply is expected to remove most of the bias and none of the permittivity models we tested may explain the fresh water anomaly we observe around 10°N in the tropical Pacific Ocean. In the Southern Indian Ocean, the differences in TB obtained with [40] are similar to the ones obtained in the Pacific Ocean whereas [41] suggests that TB calibrated using Klein and Swift model may be too high by about 0.1K. If the modelled TB is too high, the retrieval process will adjust the geophysical parameters so as to get modelled TB closer to the measured TB. In order to decrease the modelled TB by about 0.1K, it will increase the SSS in the Southern Indian Ocean by about 0.2-0.3 pss: this is much larger than the biases we observe. Larger differences on the TBs appear in the subtropical Atlantic Ocean with respect to the Pacific Ocean; [40] suggests that TBs calibrated using Klein and Swift model may be too low by ~0.2 K whereas [41] suggests that they may be too high by ~0.4 K (Fig. 10). The comparison with ARGO SSS indicates a possible slight positive SSS bias in the tropical Atlantic with respect to the Pacific Ocean, this bias varying from 0 (roughness model 1, centered swath, wind speed between 3 and 12 m.s<sup>-1</sup>) and 0.4pss (roughness model 3, centred swath, wind speed between 3 and 12 m.s<sup>-1</sup>). The latter is consistent with an overestimation of the TBs calibrated using the Klein and Swift model of 0.1-0.2 K which is in the same direction but smaller by more than a factor 2 to what suggests model [41]. Hence none of the permittivity models tested resolves the SSS biases we observe in the various regions.

The bias on the SSS retrieved with model 1 implemented in the ESA processor is relatively constant between 3 and 12 m.s<sup>-1</sup>, about 0.1pss in each region except in the tropical Pacific where it varies between -0.1 and 0.1pss; the one on SSS retrieved with model 2 is similar to model 1 between 3 and 12

$\text{m.s}^{-1}$  and remains less than 0.5 pss between 12 and 15  $\text{m.s}^{-1}$ ; the bias on the SSS retrieved with model 3 in each region is relatively in the range 3 to 10  $\text{m.s}^{-1}$ , between 0. and 0.2 pss in each region except in the subtropical Atlantic where it reaches 0.4 pss. At wind speed higher than 12  $\text{m.s}^{-1}$  (respectively 10  $\text{m.s}^{-1}$ ), model 1 (respectively model 3) underestimates the SSS (indicating that they underestimate the wind speed effect on TB) and above 15  $\text{m.s}^{-1}$  model 2 overestimates the SSS (indicating that it overestimates the wind effect on TB). The standard deviation of the SSS difference are very similar with the three models in the various regions, although they do not use the same prior information for describing sea surface roughness: model 1 uses wind components from ECMWF atmospheric model, model 2 uses friction velocity and wave age from ECMWF wave model, model 3 uses wind speed and significant wave height from ECMWF atmospheric model. This is probably because the main uncertainty remains the influence of roughness and foam on TB. When using the [30] model which dependence with wind speed is linear and stronger at moderate wind speed than the one predicted with models 1 and 2, the SSS bias is very dependent on the wind speed itself; this dependency is stronger in the Southern Ocean (cold region) than in the tropics (warm region). Once SMOS data will have achieved a better maturity, future studies should confirm that a linear dependence of TB at moderate to high with wind speed is unlikely, as suggested by the large biases observed with the PALS parameterization that is linear over the whole wind speed range, and by the SSS underestimate at high wind speed with model 1 and model 3 whereas these models are almost linear above 5  $\text{m.s}^{-1}$ . The need for a non linear TB-wind speed dependence between moderate and high wind speed suggests that the effect of foam cannot be completely neglected. It is expected that a better agreement will be found with a wind speed dependency intermediate between model 1 and model 2

At low wind speed, the SMOS minus ARGO SSS differences are often higher in absolute value and more different from one region to another than at moderate wind speed. This is likely because the relationship between the sea surface emissivity and the local wind speed is weaker at low than at moderate wind speed as it is highly dependent on other parameters, e.g. on the history of the wind. In case of models 1 and 2, the dependency of TB to the wind speed has a larger slope at low wind speed than at moderate wind speed thus enhancing the impact of an error on retrieved wind speed onto retrieved SSS.

Contrary to models 1 and 2 that simulate a small (but not negligible when dealing with SSS retrieval) dependency of the wind induced TB with the SST (Fig. 11), [30] parameterization estimate the wind effect on TB independently of the SST. This could introduce part of the regional bias seen with PALS model but since such a bias is not seen on model 3 which also estimates the wind effect on TB independently of the SST, the effect of SST on wind induced TB will have to be checked once satellite L-band data will have improved.

After averaging SMOS SSS (retrieved over the whole swath) over 100 km and 10 days and keeping only wind speeds between 3 and 12  $\text{m.s}^{-1}$  (which represent more than two thirds of the measurements in the regions under study), the standard deviation (the 95% confidence interval is indicated between parenthesis) between ARGO and SMOS SSS far from land is 0.33 (0.06) pss in the Southern Indian Ocean, 0.49 (0.05) pss in the Southern Pacific Ocean and 0.3 (0.05) pss in the subtropical Atlantic Ocean. These values are larger than the ones anticipated from various SMOS simulations before launch (e.g. [35], [37]). The one in the tropical Pacific Ocean, 0.39 (0.04) pss, is larger than the one in the Southern Indian Ocean and in the subtropical Atlantic Ocean. This cannot be explained by the number of measurements that enters in the average over 100 km-10 days as for wind speeds between 3 and 12  $\text{m.s}^{-1}$ , the mean number of SMOS measurements averaged around one ARGO float is not very different in the three regions (129 in the tropical Pacific Ocean, 138 in the Southern Indian Ocean and 117 in the subtropical Atlantic Ocean), nor by the sensitivity of the radiometric signal to the SST as the SST is colder ( $14.8^{\circ}\text{C}$ ) in the Southern Indian Ocean than in the two other regions ( $26.4^{\circ}\text{C}$  and  $27.7^{\circ}\text{C}$ ). This is likely due to the very high variability of the SSS in this rainy tropical region. Using the full swath data, the averaging process decreases  $\sigma$  by a factor  $\sim 2.5$  in the tropical Pacific Ocean whereas it decreases by a factor  $\sim 3$  in the three other regions (Fig. 6). This suggests that the SMOS SSS – ARGO SSS differences may be more systematic (not reduced in the averaging process) in the tropical Pacific Ocean than in the other regions. [42] found that the reduction of the error is expected to be larger in regions with high variability; hence the smaller variability of the wind in tropical region with respect to high latitude regions may explain some difference but not the different error reduction between sub-tropical Atlantic Ocean and tropical Pacific Ocean. The mean SSS in the tropical Pacific Ocean is fresher by 0.2pss with respect to ARGO floats SSS contrary to the other regions where it is slightly saltier. Whether this could be due in this rainy region to fresher SSS in the first centimetre of the sea surface sensed by SMOS with respect to ARGO measurements sampled at several meters depth will need to be further checked with reprocessed data (once image reconstruction and calibration issues have been completely solved). Future studies should also consider possible stratifications close to the sea surface as measured by surface drifters measuring SSS at typically 50cm in rainy regions.

If one assumes that in the future, image reconstruction and calibration issues, galactic noise correction and wind speed effect above 12  $\text{m.s}^{-1}$  may be more accurately taken into account, one could merge ascending and descending orbits and use SSS retrieved over the whole wind speed range, increasing the number of measurements by a factor 2.1 to 2.8 so that we can expect, except in rainy regions, to achieve a precision on an SSS averaged over 100 km and 10 days between 0.2 and 0.3 pss and on the order of 0.1-0.15 pss on an SSS averaged

over 200 km x 200 km and 10 days (under the assumption of random noise which is not true in rainy region).

This paper has been limited to a one month study because temporal biases observed over long periods in SMOS reconstructed brightness temperatures need to be corrected before any long time study could be envisaged.

We show that with SMOS technology, the GODAE (Global Ocean Data Assimilation Experiment) requirement (to have SSS with an accuracy of 0.1 in boxes of 200 km x 200 km in 10-days average) is not out of reach provided that systematic spatial image reconstruction errors and temporal drifts in SMOS brightness temperatures, roughness and foam emissivity modelling at high wind speed and scattering of strong galactic noise by the sea surface are handled correctly.

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TABLE 1  
STATISTICS OF SMOS SSS COLOCATED WITH ARGO AT +/-5DAYS AND +/-50KM DURING AUGUST; SMOS SSS ARE FROM ASCENDING ORBITS AND ARE NOT AVERAGED.

Zone	South Indian		South Pacific		N. Trop. Pac		N. Subtrop. Atl.	
Latitude/	40S-30S/		50S-40S/		5N-15N/		15N-30N/	
Longitude	70E-90E		180W-100W		180W-110W		45W-30W	
Mean SST/Mean SSS	14,8°C/35,4		9,8°C/34,4		27,7°C/34,1		26,4°C/37,3	
Wind speed range (m.s <sup>-1</sup> )	All	3-12	All	3-12	All	3-12	All	3-12
<b>Full swath</b>								
N	7927	6558	32461	22927	32662	30814	7590	6939
median(SSSsmos-SSSargo)	0,16	0,24	0,01	0,18	-0,11	-0,09	0,22	0,17
$\sigma$ (SSSsmos-SSSargo)	1,26	1,19	1,65	1,51	1,02	1,02	0,89	0,9
<b>Center swath (+/-300km)</b>								
N	3719	3109	16174	11677	16635	15675	3913	3603
median(SSSsmos-SSSargo)	0,09	0,13	-0,09	0,1	-0,16	-0,15	0,12	0,11
$\sigma$ (SSSsmos-SSSargo)	0,83	0,81	1,23	1,07	0,68	0,68	0,61	0,61

TABLE 2  
STATISTICS OF SMOS SSS COLOCATED WITH ARGO AT +/-5DAYS AND +/-50KM DURING AUGUST; SMOS SSS ARE FROM ASCENDING ORBITS AND ARE AVERAGED OVER 10 DAYS AND 100KM.

Zone	South Indian		South Pacific		N. Trop. Pac		N. Subtrop. Atl.	
Latitude/	40S-30S/		50S-40S/		5N-15N/		15N-30N/	
Longitude	70E-90E		180W-100W		180W-110W		45W-30W	
Mean SST/Mean SSS	14,8°C/35,4		9,8°C/34,4		27,7°C/34,1		26,4°C/37,3	
Wind speed range (m.s <sup>-1</sup> )	All	3-12	All	3-12	All	3-12	All	3-12
<b>Full swath</b>								
N	51	51	167	166	238	238	59	59
median(SSSsmos-SSSargo)	0,14	0,22	-0,01	0,13	-0,17	-0,17	0,13	0,09
$\sigma$ (SSSsmos-SSSargo)	0,35	0,33	0,54	0,49	0,38	0,39	0,29	0,3
<b>Center swath (+/-300km)</b>								
N	51	50	167	160	236	236	59	59
median(SSSsmos-SSSargo)	0,08	0,11	-0,03	0,09	-0,18	-0,18	0,05	0,1
$\sigma$ (SSSsmos-SSSargo)	0,46	0,46	0,65	0,56	0,42	0,44	0,33	0,35

TABLE 3  
STATISTICS OF SMOS SSS COLOCATED WITH ARGO AT +/-5DAYS AND +/-50KM DURING AUGUST; SMOS SSS ARE RETIEVED WITH MODEL 2 FROM ASCENDING ORBITS AND ARE NOT AVERAGED

Zone	South Indian		South Pacific		N. Trop. Pac		N. Subtrop. Atl.	
Latitude/	40S-30S/		50S-40S/		5N-15N/		15N-30N/	
Longitude	70E-90E		180W-100W		180W-110W		45W-30W	
Mean SST/Mean SSS	14,8°C/35,4		9,8°C/34,4		27,7°C/34,1		26,4°C/37,3	
Wind speed range (m.s <sup>-1</sup> )	All	3-12	All	3-12	All	3-12	All	3-12
<b>Full swath</b>								
N	8763	7307	31738	22586	32503	30669	7946	7273
median(SSSsmos-SSSargo)	0,36	0,32	0	-0,03	0,08	0,08	0,36	0,36
$\sigma$ (SSSsmos-SSSargo)	1,24	1,23	1,58	1,45	0,99	0,98	0,95	0,95
<b>Center swath (+/-300km)</b>								
N	4255	3550	15800	11549	16558	15583	3990	3671
median(SSSsmos-SSSargo)	0,28	0,26	-0,07	-0,09	0,07	0,06	0,32	0,32
$\sigma$ (SSSsmos-SSSargo)	0,81	0,81	1,21	1,04	0,67	0,67	0,6	0,59

TABLE 4

STATISTICS OF SMOS SSS COLOCATED WITH ARGO AT +/-5DAYS AND +/-50KM DURING AUGUST; SMOS SSS ARE RETRIEVED WITH MODEL 3 FROM ASCENDING ORBITS AND ARE NOT AVERAGED.

Zone	South Indian		South Pacific		N. Trop. Pac		N. Subtrop. Atl.	
Latitude/	40S-30S/		50S-40S/		5N-15N/		15N-30N/	
Longitude	70E-90E		180W-100W		180W-110W		45W-30W	
Mean SST/Mean SSS	14,8°C/35,4		9,8°C/34,4		27,7°C/34,1		26,4°C/37,3	
Wind speed range (m.s <sup>-1</sup> )	All	3-12	All	3-12	All	3-12	All	3-12
<b>Full swath</b>								
N	7667	6505	27074	20175	29018	27828	7262	6738
median(SSSsmos-SSSargo)	0,09	0,17	0,16	0,01	0,01	0,03	0,33	0,33
σ (SSSsmos-SSSargo)	1,32	1,26	1,65	1,48	1,03	1,02	1,02	1,01
<b>Center swath (+/-300km)</b>								
N	3635	3076	13099	10176	14263	13642	3513	3291
median(SSSsmos-SSSargo)	0,11	0,16	0,14	0,03	0,12	0,13	0,41	0,39
σ (SSSsmos-SSSargo)	0,81	0,78	1,30	1,04	0,67	0,67	0,60	0,59

TABLE 5

STATISTICS OF SMOS SSS COLOCATED WITH ARGO AT +/-5DAYS AND +/-50KM DURING AUGUST; SMOS SSS ARE RETRIEVED WITH PALS MODEL FROM ASCENDING ORBITS AND ARE NOT AVERAGED.

Zone	South Pacific		N. Trop. Pac	
Latitude/	50S-40S/		5N-15N/	
Longitude	180W-100W		180W-110W	
Mean SST/Mean SSS	9,8°C/34,4		27,7°C/34,1	
Wind speed range (m.s <sup>-1</sup> )	All	3-12	All	3-12
<b>Full swath</b>				
N	32603	22915	32546	30757
median(SSSsmos-SSSargo)	0,57	0,66	-0,32	-0,28
σ (SSSsmos-SSSargo)	1,79	1,66	1,08	1,06
<b>Center swath (+/-300km)</b>				
N	16331	11738	16571	15656
median(SSSsmos-SSSargo)	0,49	0,62	-0,38	-0,35
σ (SSSsmos-SSSargo)	1,48	1,34	0,74	0,71

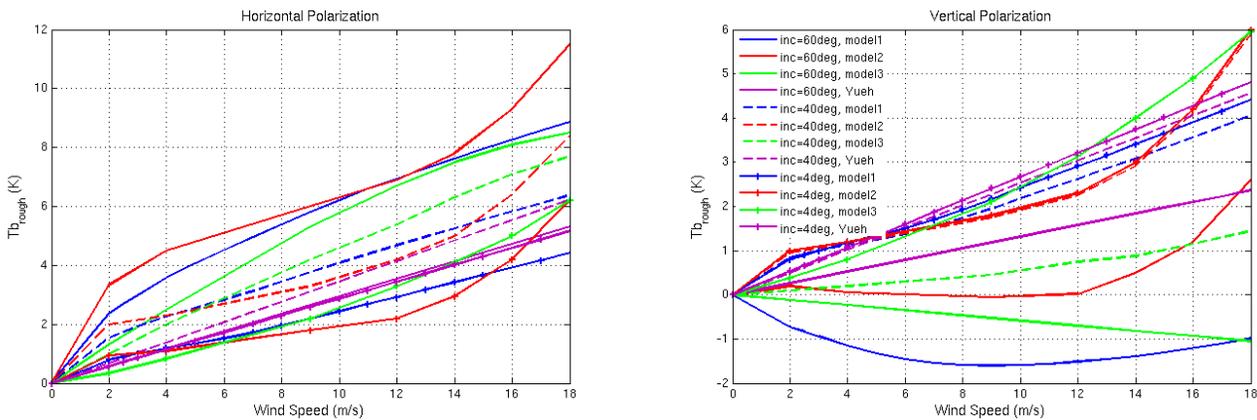


Fig. 1 : Roughness component versus wind speed for different forward models and incidence angles in (left) horizontal and (right) vertical polarizations. Model 1 (blue) , model 2 under the assumption of a fully developed sea (red), model 3 (WISE model) assuming a wind speed-significant wave height as in [11] (green) and PALS model (purple). Incidence angles: full lines with crosses: 4°, dashed line: 40°, full line: 60°.

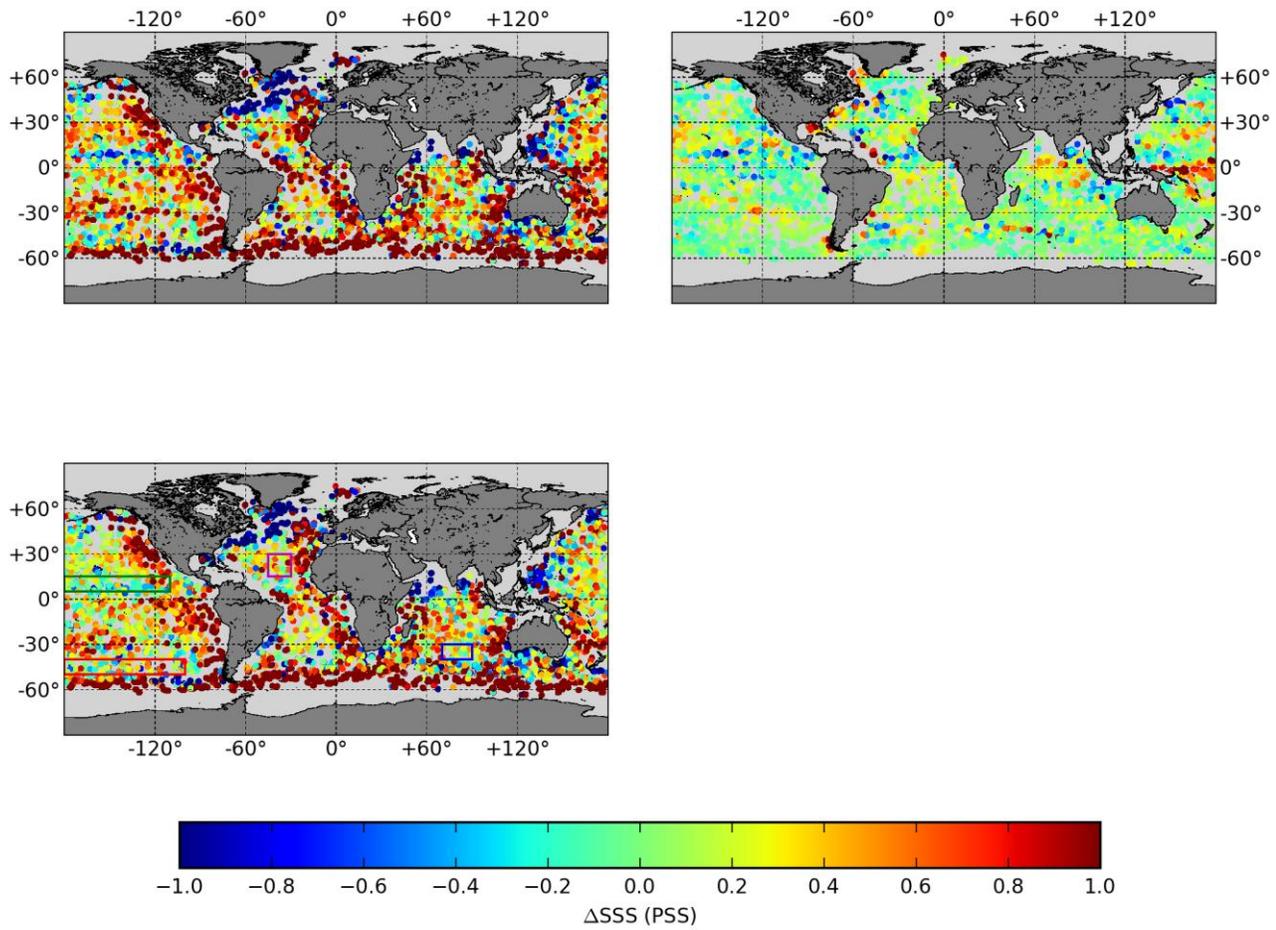


Fig. 2 : top left) Anomaly of SMOS SSS (colocated with ARGO floats) with respect to SSS climatology ; top, right) Anomaly of ARGO SSS with respect to SSS climatology; bottom) difference between SMOS SSS and ARGO SSS; color boxes indicate regions where we performed detailed comparisons. On these plots, all SMOS SSS colocated with one ARGO SSS have been averaged (equation 2); colorscale is saturated.

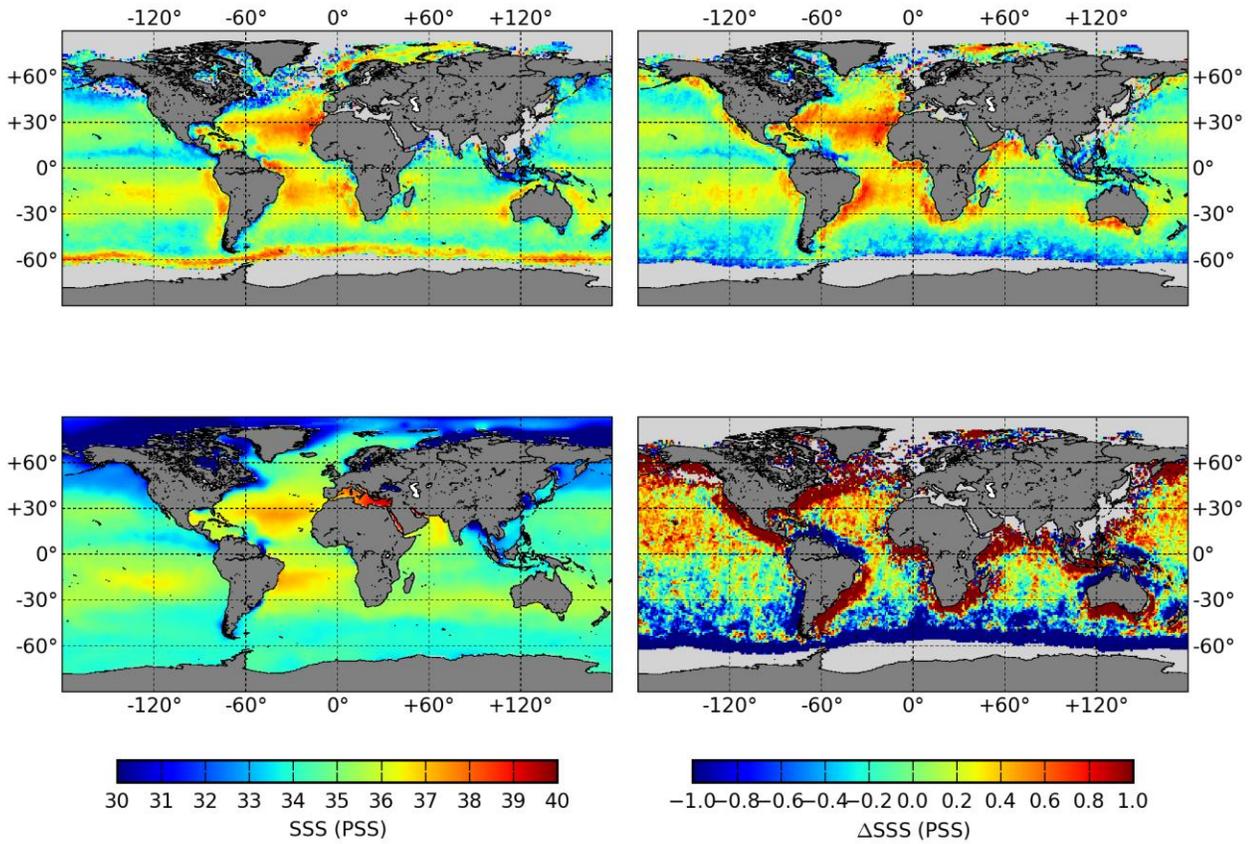


Fig. 3 : Top:Global maps of monthly SSS computed from SMOS measurements from 3 to 31 August 2010:top, left) using ascending orbits ; top, right) using descending orbits ; bottom left ) from WOA05 monthly climatology during August. Bottom right) Descending minus ascending SMOS retrieved SSS (colourscales are saturated).

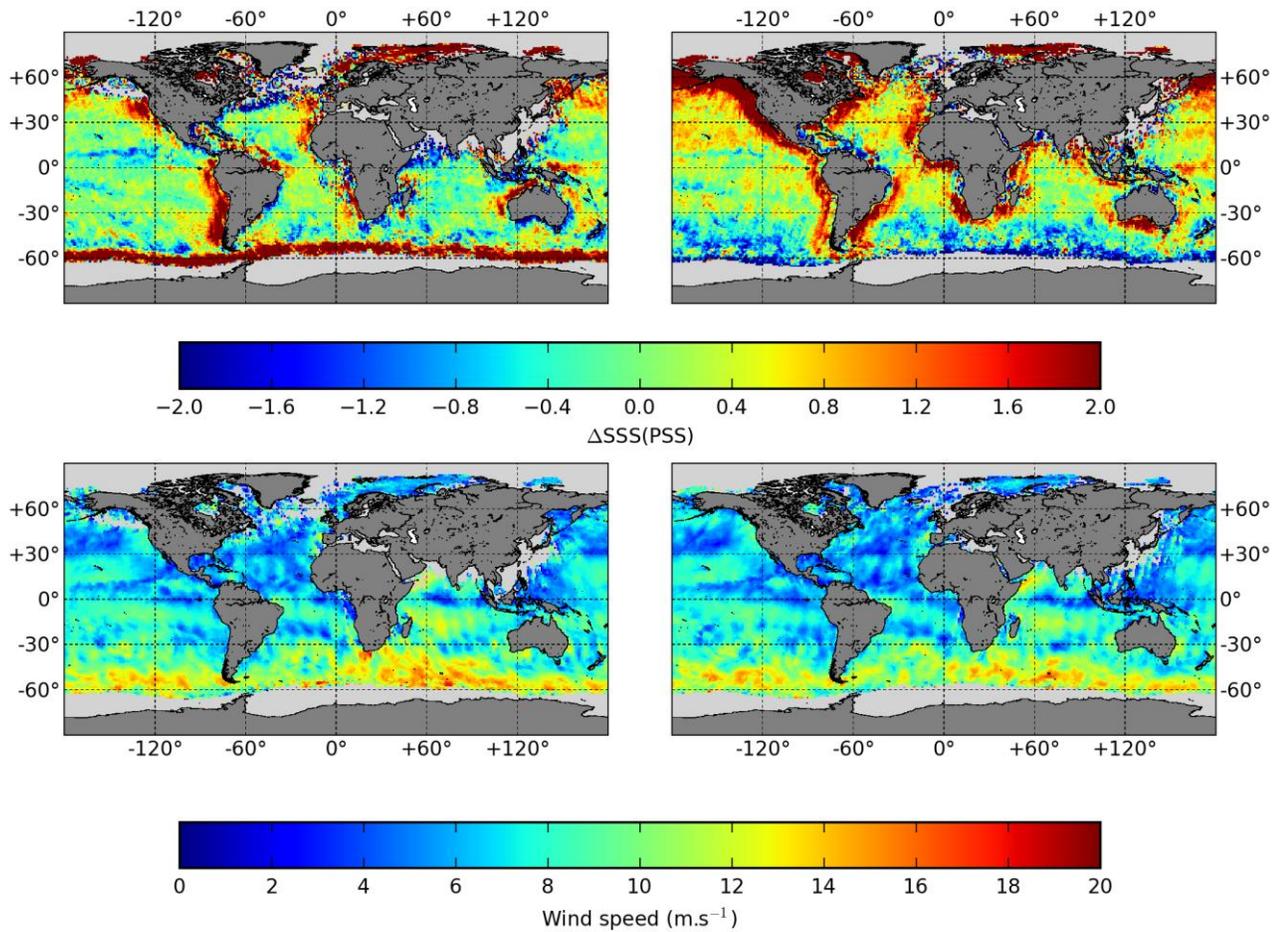


Fig. 4 : top) Maps of the differences between SMOS SSS from 4 to 13 August and the August climatology; bottom) ECMWF wind speeds associated with SMOS measurements. Left) ascending orbits; Right) descending orbits. (color scales are saturated)

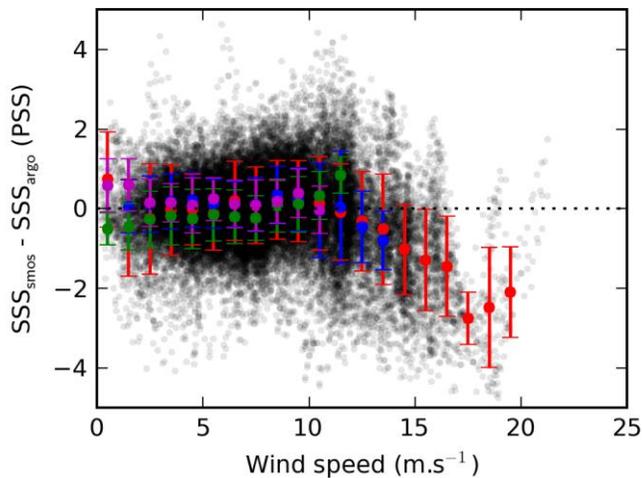


Fig. 5 : SMOS SSS (retrieved with model 1) – ARGO SSS as a function of wind speed. In order to reduce the scattering of the points, only SSS retrieved at +/-300km from the centre of the swath are used. The mean difference in classes of 1m/s wind speed plus and minus one standard deviation is plotted for classes containing more than 30 SMOS SSS in red for the South Pacific, in green for the Southern Indian Ocean, in blue for the tropical Pacific Ocean and in magenta for the subtropical Atlantic Ocean.

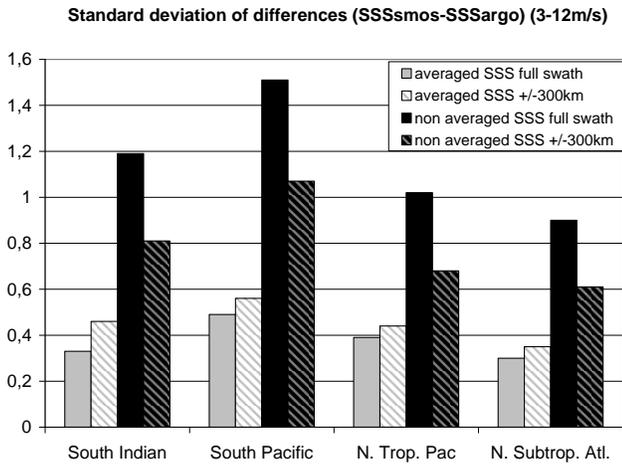


Fig. 6 : Errors (in pss) on SMOS retrieved SSS (non averaged) (dark) and on SMOS SSS averaged over 100kmx100km and 10days around ARGO SSS (grey). These statistics have been computed considering only the SSS retrieved at the center of the swath (diagonal lines) or over the whole 1200km swath (plain); only SSS retrieved with wind speeds between 3 and 12m.s<sup>-1</sup> have been considered.

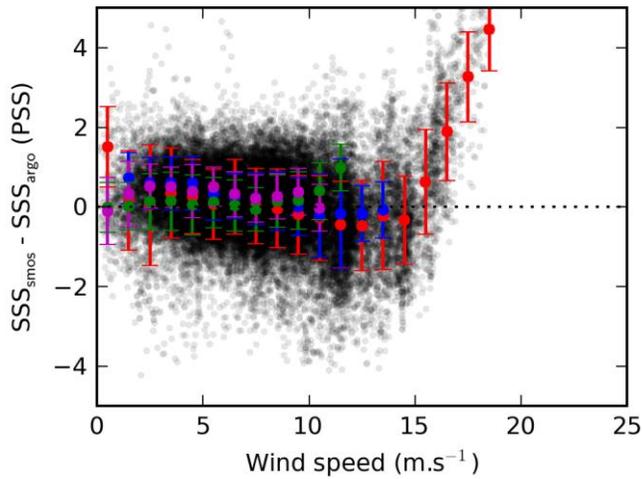


Fig. 7 : SMOS SSS retrieved with model 2 – ARGO SSS as a function of wind speed. In order to reduce the scattering of the points, only SSS retrieved at +/-300km from the centre of the swath are used. The mean difference in classes of 1m/s wind speed plus and minus one standard deviation is plotted for classes containing more than 30 SMOS SSS in red for the South Pacific, in blue for the Southern Indian Ocean, in green for the tropical Pacific Ocean and in magenta for the subtropical Atlantic Ocean.

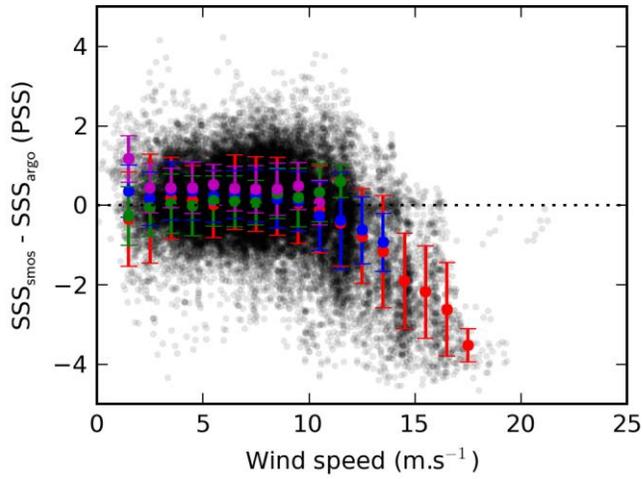


Fig. 8 : SMOS SSS retrieved with model 3 – ARGO SSS as a function of wind speed. In order to reduce the scattering of the points, only SSS retrieved at  $\pm 300$ km from the centre of the swath are used. The mean difference in classes of 1m/s wind speed plus and minus one standard deviation is plotted for classes containing more than 30 SMOS SSS in red for the South Pacific, in blue for the Southern Indian Ocean, in green for the tropical Pacific Ocean and in magenta for the subtropical Atlantic Ocean.

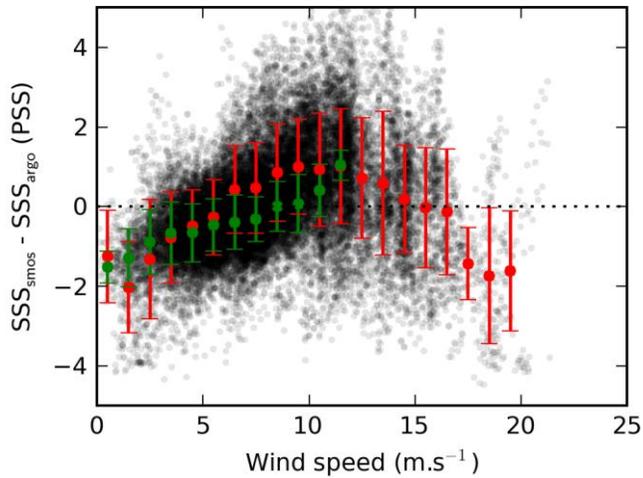


Fig. 9 : SMOS SSS retrieved with PALS model – ARGO SSS as a function of wind speed. The mean difference in classes of 1m/s wind speed  $\pm$  one standard deviation is plotted for classes containing more than 30 SMOS SSS in red for the South Pacific and in green for the tropical Pacific Ocean.

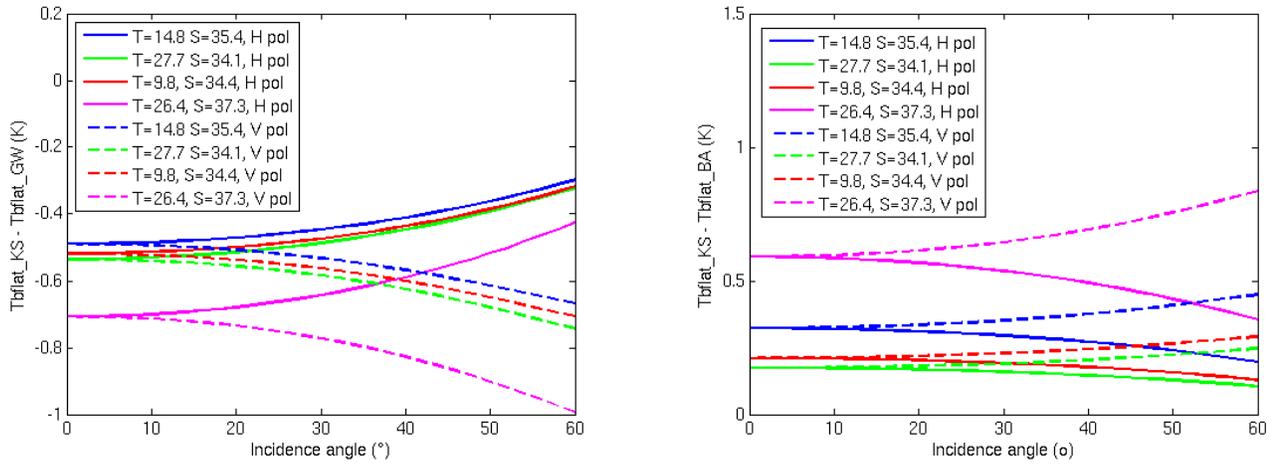


Fig. 10 : Difference between TB simulated with Klein and Swift model and (left) TB simulated with Lang et al. (2010) model, (right) TB simulated with Blanch and Aguasca (2004) model as a function of incidence angle for SSS and SST conditions in the South Pacific ocean (red), in the tropical Pacific Ocean (green), in the Southern Indian Ocean (blue) and in the subtropical Northern Atlantic (magenta) (note the different vertical scales on the two figures).

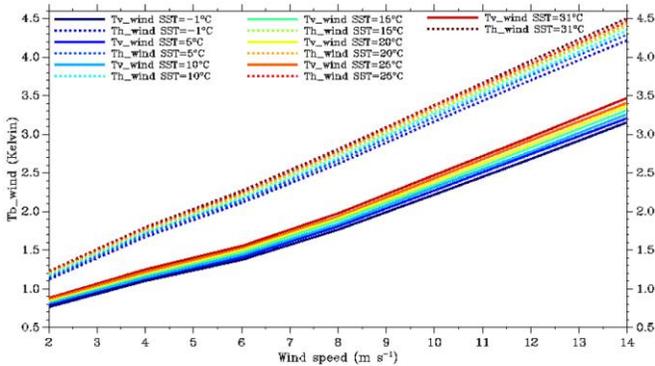


Fig. 11 : Wind induced Tb simulated with model 1 (omnidirectional component) as a function of wind speed for SST between -1°C and 31°C (dashed line: horizontal polarisation; full line: vertical polarisation) at an incidence angle of 30° and for SST varying between -1°C (blue) and 31°C (red).