
The efficiency of using remote sensing for fisheries enforcement: Application to the Mediterranean bluefin tuna fishery **

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Abstract:

This paper analyzes the impact of applying vessel detection technology using satellite images (vessel detection system, VDS) to complement air patrols for fisheries enforcement and control. Due to limited fisheries enforcement budgets there is the need to allocate costs efficiently among competing control tools. This paper focuses on assessing the benefits of using VDS jointly with VMS (vessel monitoring system) and air patrol surveillance to improve effectiveness of controls. A statistical model to estimate the number of inspections was developed and was used with enforcement costs data as reported by a number of EU countries. The result of applying VDS in fisheries enforcement is presented in one of the most demanding fisheries enforcement contexts: the Mediterranean bluefin tuna (BFT) fishery.

Highlights

- ▶ Reduced fisheries enforcement budgets require more efficient control tools
- ▶ VDS can complement VMS and aircraft inspections
- ▶ Development of a statistical model to measure the efficiency of VDS
- ▶ Application of the model to the Mediterranean bluefin tuna fishery with real data
- ▶ Results indicate that savings of 10–12% can be obtained through the use of VDS.

Keywords: Vessel detection system ; Synthetic Aperture Radar ; Illegal fishing ; Cost–benefit analysis; Bluefin tuna

**** CAVEAT:** The opinions expressed in this paper do not reflect the European Commission's positions or policy.

52

53 **1. Introduction**

54

55 Fisheries enforcement of fisheries management systems is typically quite costly relative to the
56 gross value of the fisheries. Available empirical estimates put the cost of fisheries
57 enforcement at somewhere between 2 and 8 % of the gross value of landings [1]. In the
58 Mediterranean this percentage is estimated at 1 to 10% [2,3].

59

60 As the total value of landings by the EU fleet is estimated to be more than seven billion
61 Euros, the cost of fisheries enforcement might well be between 150 and 600 million Euros per
62 annum. It is likely that these funds could be more effectively used. A recent study conducted
63 on a number of fisheries worldwide, suggested that the optimal enforcement system
64 maximizing social benefit, expressed in terms of enforcement tools and their optimal
65 intensity, seems to be very far away from the current situation [4].

66

67 Naturally, the enforcement effort is affecting the cost of enforcement. As predicted by the
68 theory, most fisheries confirmed that an increase in the enforcement level will increase the
69 compliance and the social benefits up until the point where the costs of enforcement outweigh
70 the benefits [4-8]. Consequently, a better allocation of resources in different enforcement
71 tools is needed so that the optimal level of control is achieved at lower costs.

72

73 Fisheries inspections can take place at sea using patrol vessels or aircrafts, or at port or even
74 at later stages of the market chain. However, not all types of inspection can detect the same
75 type of irregularities or illegal activities. For example, inspections at landing ports can detect
76 mainly the presence of undersize fish, forbidden species or excesses in the quotas, while
77 inspections by aircraft are mainly used to detect vessels fishing illegally.

78

79 Often aircraft inspections are used in conjunction with automated systems that monitor the
80 vessel positions and activity. The most important system of this type is the Vessel Monitoring
81 System (VMS). VMS is used widely worldwide, while it is mandatory for all EU fishing
82 vessels above 12 meters in length [9]. The fishing vessels having this device on board
83 transmit GPS-derived positions by satellite to their national Fisheries Monitoring Centre
84 (FMC) at regular intervals.

85 Although VMS is a powerful tool for fisheries enforcement, it assumes cooperative behaviour
86 by the fishers. Moreover, not all fishing vessels are equipped with this system while VMS
87 boxes can also malfunction, or be switched off or be manipulated to report wrong positions.
88 In contrast, the Vessel Detection System (VDS), another type of automated system, allows for
89 an independent identification of vessel positions through the analysis of satellite Synthetic
90 Aperture Radar (SAR) imagery. In this way, it can also detect non-cooperative vessels. Over
91 the last few years the VDS through SAR imagery has evolved and has become relatively
92 mature technology with applicability to the maritime context at the operational level. VDS
93 relies on polar orbiting satellites carrying SAR instruments, which can detect vessels at sea
94 under most conditions – day, night and through clouds.

95
96 Therefore, VDS is used to determine independently the number of vessels and their position
97 in a given area. The vessel positions detected by VDS are then cross-checked with vessel
98 positions as provided by VMS. In this way, VDS flags the possible presence of suspicious
99 fishing vessels from which no position reports have been received through VMS [10, 11].
100 Image delivery time (necessary for VDS) will depend on the capability and geographic
101 location of the satellite receiving station and normally can vary from just a few minutes to one
102 hour, if near-real-time was requested. Thus, the use of VDS has the potential to increase the
103 efficiency of aircraft controls, because inspections can be directed towards areas where
104 suspected targets are identified (i.e. when vessels appear on the VDS image that do not match
105 with vessels reporting through VMS).

106
107 However, satellite images are still relatively expensive. Considering that fisheries
108 enforcement budgets are limited, VDS should only be used if it can be proven that it is useful
109 for fisheries enforcement. Accordingly, EU countries are required to ensure the operational
110 use of satellites for fisheries control and enforcement in contexts where cost-benefit can be
111 established [9, 12].

112
113 For what concerns the BFT fishery, praised for its high valued flesh, tuna has become one of
114 the most important target species in fisheries worldwide. It has been fished in the
115 Mediterranean waters since at least the 7th millennium B.C. In modern times, annual catches
116 in the Mediterranean increased dramatically after the mid 80's, from less than 10,000 tons up
117 to more than 30,000 tons in the mid 90's. Introduction of annual quota regulations in 1998

118 leveled these numbers below 25,000 tons in subsequent years, reaching 12,500 tons in 2009
119 [13].

120

121 However, , the ICCAT assessment of bluefin tuna stock status for eastern Atlantic and
122 Mediterranean reported a strong decline in number and biomass of adult fish (spawning stock)
123 since 1993. There is consensus [14] that among 26 tuna populations worldwide, eastern
124 Atlantic bluefin tuna can be assigned the lowest status, i.e. “overexploited”.

125

126 Satellite technology has been widely used by fleets as an aid to fishing. Tuna fisheries were
127 the first to use satellite technology (back in 1971 - US tropical Pacific tuna fleet) to search for
128 tuna schools as discussed in Santos [15]. With this technology fleets reduce the searching
129 time, and consequently the fuel consumption and their costs. While the fishing industry has
130 been using satellites to reduce costs for years, this work aims at demonstrating that it can also
131 reduce the costs of fisheries enforcement.

132

133 In order to measure the impact of VDS to reduce the cost of fisheries enforcement, a
134 statistical model that estimates the probability of detecting position infringements is proposed.
135 As explained in the following sections, this model takes into account the two main types of
136 position infringements depending on whether vessels had or did not have VMS on board (if
137 they had VMS, because it was switched off or was malfunctioning). The model allows for the
138 quantitative assessment of the contribution of VDS to reduce the cost of airborne fisheries
139 enforcement, based on the reduction in the number of air patrol hours to reach the same level
140 of infringement detection.

141

142 The paper is structured as follows: The first part provides a description of the model and the
143 assumptions considered, and is followed by an overview of the different enforcement
144 modalities and their estimated costs. The paper then continues with the application of the
145 model to the Mediterranean bluefin tuna fishery and discusses the results. Finally, the paper
146 concludes with an assessment of the ability of VDS to increase efficiency of fisheries
147 enforcement by reducing its costs.

148

149 **2. Methodology**

150 To measure the efficiency of VDS we estimate the required cost necessary to perform the
151 same level of infringement detection, with and without remote sensing to support current

152 enforcement means. This is done with a model that calculates the probability of discovering
153 position infringements based on VMS data as well as the capability of the airplane to discover
154 the actual position of the targets as vessels move into its radar footprint.

155

156 **2.1. Assumptions**

157 This section discusses the assumptions that have been made for the development of the
158 model.

159

160 **Infringements:** In general two different position infringement types are considered. The first
161 type is due to vessels that are broadcasting either intentionally or unintentionally erroneous
162 information about their position (these are VMS positions that could not be correlated to a
163 satellite-derived target); this is called **positional infringement** and N_{vms}^i is the number of
164 vessels that are reporting these erroneous positions. A second type of infringement is
165 committed by vessels that have switched off their VMS or lack VMS equipment (it is not
166 possible to distinguish between these two cases) but carry out illegal fishing; such vessels are
167 detected by the radar but cannot be correlated to any VMS signal. We call this behaviour
168 action infringement; and N_{nvms}^i is the number of vessels that commit such position
169 infringements.

170

171 Note that there are other types of infringements not related to vessel positions but to licensing,
172 fishing authorisations, use of illegal gears, catching of non-authorized species, non respect of
173 other technical measures etc. These are not considered by the model, as they are not
174 detectable though VDS' SAR technology. Even if the above seem to constitute the majority
175 of reported infringements, it is logical to assume that a vessel conducting 'positional
176 infringement' is more likely to conduct other types of infringement as well.

177

178 **A Priori Knowledge:** It is assumed that surveillance is planned (before being executed)
179 based only upon VMS information (this is in fact valid in areas far away from any coastal or
180 patrol radar available to enforcement authorities). It is also accepted that all VMS tracks are
181 treated evenly and there is no special targeting of vessels (e.g. inspecting only vessels of a
182 certain nationality or fitted with a given type of gear). Therefore distinction is only made at
183 the level of "whether a vessel is committing an infringement or not".

184

185 **Spatial Distribution:** The model assumes that the targets are evenly distributed and the time
186 that a plane employs to check each target is constant, which allows achieving statistically
187 representative results at a general level [16-18].

188

189 **Discovery of New Vessels:** Since patrol planning is only based on VMS, it is when the plane
190 arrives to the inspection area and moves to check the VMSs positions that it starts detecting
191 the actual number of vessels that are present in the area, regardless of the number of initial
192 VMSs that were available before taking off. Some of these vessels will also be taken into
193 account thus modifying the initial plan of the inspection. Since it has been assumed that
194 vessels are evenly distributed, the number of vessels that are discovered on the spot will
195 depend on the coverage of the radar and it is assumed to be a proportion of the total number
196 of vessels that a plane can check during a flight (due to the limited time that the plane is in the
197 air).

198

199 **Accuracy of the VDS:** The images used in this study have 25 m resolution (this is the
200 minimum distance that allows distinguishing between two close targets), however in terms of
201 detection it is possible to detect targets under the resolution size provided that they have a
202 high radar reflectance (e.g. man-made objects such as vessels). This feature allows the
203 detection of vessels well under the limit of the resolution size; as an example, in the course of
204 VDS campaigns, the correlation between VDS targets with VMS and AIS data allowed JRC
205 to verify the detection of vessels of 17 m length with 25 m resolution images.

206

207 Regarding errors, VDS false positives (detections without vessels) has not been taken into
208 account. VDS errors are normally a very small fraction of total detections, but they can
209 increase in case of rough weather conditions or in coastal areas where high degree of azimuth
210 ambiguities can occur.

211

212 **2.2. The model**

213 Taking into account the above assumptions, it is possible to assign the probability of detecting
214 a certain number of VMS infringing vessels (k_{vms}) and non VMS detected vessels (k_{nvms}) as:

215

$$P(\mathcal{X}_{vms} = k_{vms}, \mathcal{X}_{nvms} = k_{nvms}) = \frac{\binom{N_{vms}^i}{k_{vms}} \binom{N_{vms}^{ni}}{P_{vms} \cdot n - k_{vms}}}{\binom{N_{vms}}{P_{vms} \cdot n}} \cdot \frac{\binom{N_{nvms}^i}{k_{nvms}} \binom{N_{nvms}^{ni}}{P_{radar} \cdot n - k_{nvms}}}{\binom{N_{nvms}}{P_{radar} \cdot n}} = Pk_{vms} \cdot Pk_{nvms}$$

217

218 where $\binom{()}{()}$ is the combination operator. $N_{vms} = N_{vms}^i + N_{vms}^{ni}$ is the total number of vessels that
 219 are sending VMS messages, obtained from the addition of infringing vessels (indicated by i)
 220 and vessels not doing infringement (indicated by ni). $N_{nvms} = N_{nvms}^i + N_{nvms}^{ni}$ is the number of
 221 non VMS targets (vessels only detected on satellite images ,i.e. vessels with no VMS
 222 equipment or intentionally being switched off), also divided between vessels committing or
 223 not position infringements. Parameter n gives the number of vessels that are checked by the
 224 plane. K_{vms} and K_{nvms} are the number of infringing vessels to be found, these committing
 225 positional and action respectively that are to be found. Finally P_{vms} and P_{radar} reflect the
 226 proportion of vessels that will be uniquely discovered by either VMS or the aircraft radar and
 227 which are intrinsically related to the total proportion of vessels equipped with functioning
 228 VMS system during the time in which the action is taking place. The condition
 229 $P_{vms} + P_{radar} = 1$ holds in order that the number of discovered vessels $n \cdot P_{vms} + n \cdot P_{radar}$
 230 remains n, total number of inspected vessels.

231

232 The situation is similar to the classical problem where a known number of black and white
 233 balls (infringing and non infringing vessels) are in a bag and we try to calculate the
 234 probability that a ball is black (infringing vessel) given a certain number (n) of extractions
 235 from the bag.

236

237 When combining the two terms: the first one takes into account preliminary information of
 238 VMS data (Pk_{vms}); and second, when the non VMS vessels that are to be discovered enter
 239 into the plane radar footprint (Pk_{nvms}), the already described probabilistic mixed model arises.

240

241 This model allows us to calculate the number of vessels to be checked (n) in order to achieve
 242 a given level of enforcement efficiency, which is done by detecting a certain number of
 243 infringing VMS (k_{vms}) and non VMS (k_{nvms}) with a certain probability threshold (P_{th}).

244 Therefore try to minimize n such as:

245
$$P(\chi_{vms} \geq k_{vms}, \chi_{nvms} \geq k_{nvms}) = \sum_{k_{vms}}^{N_{vms}^i} \sum_{k_{nvms}}^{N_{nvms}^i} Pk_{vms} \cdot Pk_{nvms} \geq P_{th}$$

246

247 Once n is set, then it is possible to associate a cost of achieving this level of enforcement and
 248 study the case when VDS data is incorporated. This can be done by simply taking into
 249 account that when VMS-VDS correlation is provided, identification of most of the VMS
 250 positional infringing vessels is possible thus resulting in reducing the population N_{vms}^i by a
 251 certain coefficient (and by extension this also changes k_{vms}). The ability of VDS to reduce the
 252 value N_{vms}^i depends on the quality of the VDS and the proximity of the image acquisition
 253 time to the provided VMS positions. These will vary according to the image type employed to
 254 analyse, the size of the vessels and also according to some external factors such as the weather
 255 conditions at the image acquisition time.

256

257 **2.3. Satellite images**

258 Due to its ability to measure the electromagnetic properties of the targets, its all-weather,
 259 night-and-day and wide area coverage, SAR imagery is one of the most appropriate remote
 260 sensing techniques used in maritime applications. SAR satellites that were available and
 261 regularly used (when this study was conducted) for fisheries and environmental sea
 262 monitoring purposes: RADARSAT-1/2 and Envisat ASAR. Envisat's lifetime ended recently,
 263 but other SAR sensors are now available for this purpose: TerraSAR-X and COSMO-SkyMed
 264 constellations. And shortly, the new satellite called Paz will be launched.

265

266 By varying the incidence angle satellites can operate in several modes: from wide coverage at
 267 low resolution to narrow coverage at higher resolution. To choose the appropriate mode, a
 268 balance between spatial coverage and resolution needs to be found, depending on the size of
 269 the vessels to be detected and the area to be covered. A resolution increase can only be done
 270 at the expense of reducing the spatial coverage; this means that in order to detect small vessels
 271 (where higher resolution is required) the total surface covered by the image is reduced. This is
 272 determined by the satellite swath, which is the distance swept by the satellite footprint as it
 273 moves along its orbit during the acquisition.

274

275 Therefore, VDS costs will depend mainly on the type of vessels that needs to be monitored, as
 276 this requirement will define the resolution of the image to be employed. Then the size of the
 277 area required to control will allow us to calculate the total number of images needed. Table 1
 278 presents a summary of the characteristics and costs of the most commonly used SAR satellites
 279 for fisheries enforcement at the time of the study.

280

281 Table 1. Characteristics and costs of sensor images for VDS for fisheries control (year 2008).

Satellite	Image mode	Resolution (in m)	Coverage			Monitoring costs	
			Swath (in km)	Km2	Nm2	Unit price (Euro)	Cost per 100 Nm2
	ScanSAR	50	300	90,000	26,400	3,174	12
RADARSAT-1 ¹	Standard	25	100	10,000	2,916	3,174	109
	Fine	8	50	2,500	729	3,174	435
Envisat	Image mode	25	60	6,000	1,749	N/A	N/A

282

283 For example, in North-East Atlantic (NEAFC waters), the most suitable RADARSAT
 284 ScanSAR mode was chosen, with 50m resolution and the swath covered is 300Km, since
 285 fishing vessels operating there are larger than 50m. In the Mediterranean, the fishing vessels
 286 are smaller, therefore RADARSAT Standard mode was chosen, with 25m resolution and a
 287 swath of 100km per image or Envisat ASAR image mode with equivalent resolution.

288

289 Table 2 presents a summary of characteristics and costs to be used in the Bluefin tuna
 290 fisheries enforcement scenario for the new alternative sensors available, in 2011.

291

292 Table 2. Characteristics and costs of sensor images for VDS for Bluefin tuna fisheries control
 293 (year 2011).

Satellite	Image mode	Resolution (in m)	Coverage			Monitoring costs	
			Swath (in km)	Km2	Nm2	Unit price (Euro)	Cost per 100 Nm2
RADARSAT-2 ²	Standard	25	100x100	10,000	2,916	3,488	120

¹ Using the current official price list for Radarsat-1 (<http://gs.mdacorporation.com/SatelliteData/Radarsat1/Price.aspx>) in effect since 2007 (the price used is 4,950\$ Canadian dollars and is composed of image price plus NRT fee). The price is converted to euro using the official exchange rates for 2008 (1EUR=1.5594 CAD), extracted from the European Central Bank (<http://sdw.ecb.europa.eu/browse.do?node=2018794>).

COSMO-SkyMed ³	ScanSAR Wide	30	100x100	10,000	2,916	2,450	84
TerraSAR-X ⁴	ScanSAR	18	100x150	15,000	4,374	3,450*	79*

294

295 With these new sensors, for the Mediterranean scenario, the choice would be: RADARSAT-2
 296 Standard, COSMO-SkyMed ScanSAR Wide and TerraSAR-X ScanSAR. In 2011 the mean
 297 cost per 100Nm² of those was 94 Euros (using table 2), around 2,800 Euros for a
 298 100x100Km² scene.

299

300 During the last few years, image providers have become more active in taking into account
 301 maritime surveillance requirements (e.g. Near Real Time services) and develop a different
 302 market model approach for maritime surveillance. The fact that maritime images lose the
 303 value of the information they contain more rapidly compared to inland images (where an
 304 image can be used in seasonal and even yearly studies) combined with the ease of
 305 accommodating sea customers' requests within their image acquisition plan (lack of conflicts
 306 due to less concurrent customers) has driven providers to adopt a more competitive stand⁵.
 307 JRC's experience is that providers can offer competitive image package discounts for
 308 maritime surveillance that are proportional to the volume of images ordered by customers
 309 (typically these are Agencies at the EU or global level, government authorities, etc). It can be
 310 concluded that for the case where large campaigns are organised (such as BFT-tuna joint
 311 deployment plans (JDP) by EU Agency EFCA - typically, more than 100 images are
 312 purchased per JDP) or for regular services (such as CleanSeonet for oil spill detection offered
 313 by EU Agency EMSA) competitive discounts are possible thus lowering the surveillance cost.
 314 A sensitivity analysis of impact of image price variation on cost savings is included in the cost
 315 analysis section of this paper.

316

317 **2.4. Aircraft surveillance costs**

² Using the official price list for Radarsat-2, (<http://gs.mdacorporation.com/SatelliteData/Radarsat2/Price.aspx>), the price used is 4,800 Canadian dollars and is composed of image price and NRT fee). Price is converted to euro using the official exchange rates for 2011 (1 EUR=1.3761 CAD), extracted from the European Central Bank (<http://sdw.ecb.europa.eu/browse.do?node=2018794>).

³ For CosmoSkyMed in the official price list (<http://www.e-geos.it/products/pdf/prices.pdf>) the image price is 1,650 € and the NRT fee is 800 €.

⁴ For TerraSAR-X, in the international price list issued in 2011 (http://www.astrium-geo.com/files/pmedia/public/r463_9_itd-0508-cd-0001-tsx_international_pricelist_en_issue_03.pdf) the image price is 2,750 €, but the NRT fee is not available. Since the NRT fee is not available in 2011 price list, 700 € (as in the 2012 price list) has been used.

⁵ For example, TerraSAR-X has lowered its price to 1600 € during 2012 to celebrate its anniversary (<http://www.astrium-geo.com/en/4214-terrasar-x-5-years-of-precision-reliability-celebrate-with-us->).

318 The analysis of aircraft surveillance costs for fisheries enforcement was carried out, based on
319 data collected at the end of 2008 from several European countries: Belgium, Finland, France,
320 Iceland, Ireland, Italy, Latvia, Malta, Sweden, Poland and United Kingdom, therefore
321 representing inspection costs in the North Sea, Baltic Sea, Mediterranean and North East
322 Atlantic.

323

324 Air surveillance costs depend very much on fuel consumption, and consequently vary a lot
325 depending on the type of aircraft used and the area to be monitored (extension, distance from
326 coast and density of vessels activity). An average cost per flight hour has been estimated at
327 3,800 Euros; on the average 4h50min flight hours are needed to monitor an area of about
328 10,000 Nm². Thus, we estimated a cost of around 170 Euros per 100 Nm².

329

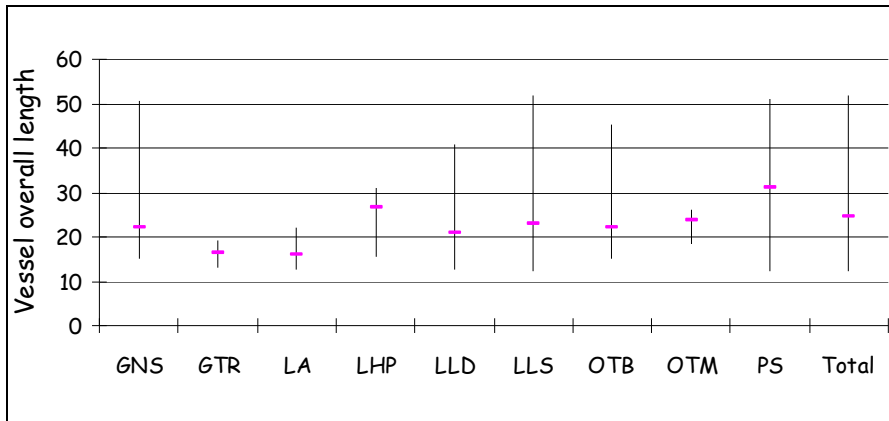
330 **3. Mediterranean bluefin tuna application**

331 Each fishery has specific fisheries control and enforcement problems, depending mainly on
332 the main species to be controlled, characteristics of the vessels and gears involved on that
333 fishery, as well as the presence of support vessels or reefers.

334

335 In this section we apply the model presented to one of the most demanding fisheries in terms
336 of IUU fishing enforcement: the Bluefin tuna fishery in the Mediterranean [19,20]. Bluefin
337 tuna prices are relatively high and the fishery offers high potential catch, which have attracted
338 many countries in the fishery, some of them coming from outside the Mediterranean basin.
339 Moreover, there are many landing ports where catches are landed and near-shore farms where
340 tuna is towed [21] hundreds of kilometres in large net-cages (also visible on SAR images).
341 Figure 1 shows the distribution of overall vessel length per different gear from the VMS data
342 received in 2007. This indicates that EU fishing vessels in the Mediterranean are not very
343 large, maximum around 50m length and majority around 20-30m length. The capability of
344 detection of vessels on a SAR image, well under its resolution limit (see discussion on
345 paragraph “Accuracy of VDS” in section 2.1) and its balance with surface coverage
346 (maximum extension desired) deemed the selection of 25 m resolution as adequate to detect
347 the majority of vessels involved in the Mediterranean tuna fishing campaign.

348



349
 350 Figure 1: Distribution of vessel length per different vessel gear.⁶ (Source: EU fleet register.
 351 Fleet register from EU countries involved in Bluefin tuna fishing in 2007)

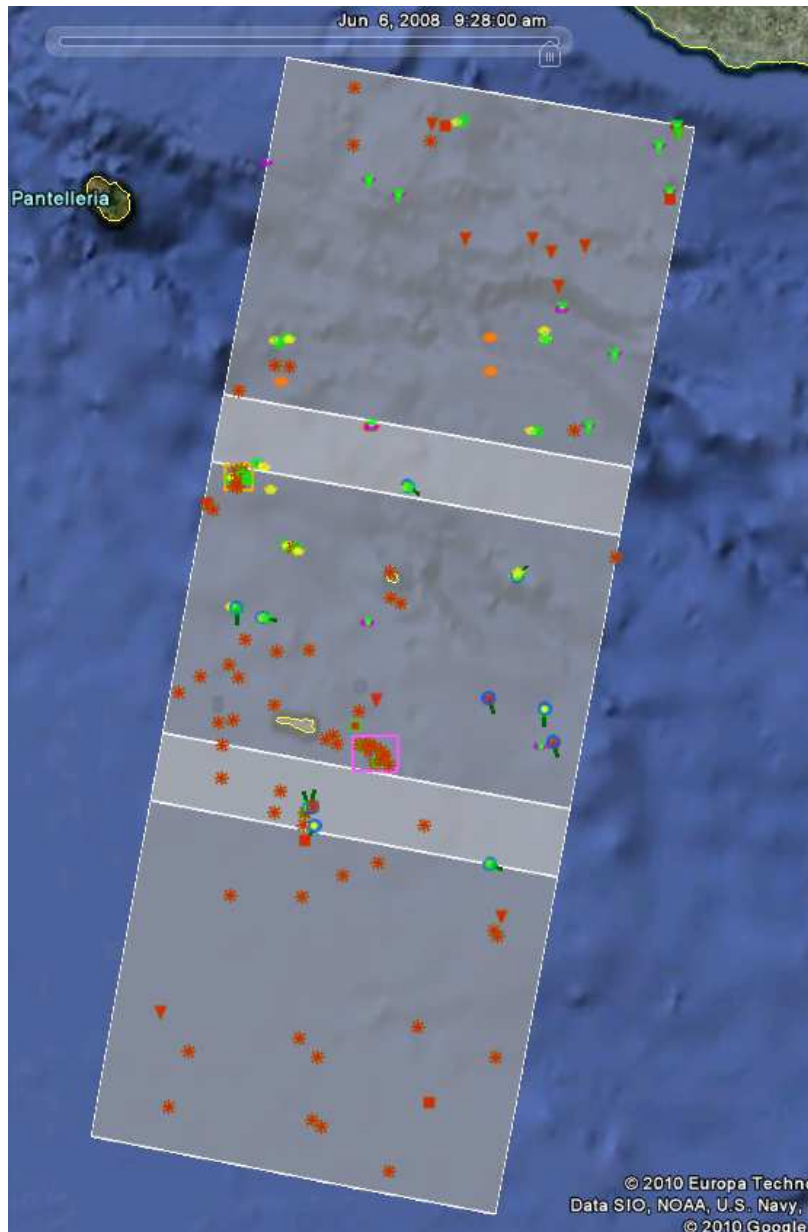
352
 353 The Joint Research Centre (JRC) of the European Commission in cooperation with different
 354 national FMCs has been running VDS campaigns, mostly in near-real-time, in European and
 355 international waters since 1999. In particular, large VDS campaigns were organised in 2007
 356 and 2008 to support inspections in the Mediterranean bluefin tuna fishery. Data from both
 357 campaigns were used to estimate the efficiency of VDS use for fisheries enforcement. We
 358 report two different cases, first the use of VDS on a given day to show exactly how this
 359 method works, and then the use of VDS for the whole of the 2007 Central Mediterranean
 360 campaign.

361
 362 **3.1. Case 1: One typical day on the bluefin tuna fishery in Central Mediterranean in**
 363 **2008**

364 In this case, the analysis corresponds to a 3-framed image (Figure 2) from a single satellite
 365 pass on the Central Mediterranean on 6th of June 2008. This example has been chosen because
 366 it is a clear example of the bluefin tuna fishery, when a lot of fishing activity takes place.

367

⁶ GNS: set gillnets (anchored); GTR: Trammel nets; LA :lampara nets; LHP: handlines and pole-lines (hand operated); LLD: drifting longlines; LLS:set longlines; OTB: bottom otter trawls; OTM: Midwater otter trawls PS: purse seines



368

369 Figure 2: VDS correlation results for images acquired in the Central Mediterranean on the 6th
 370 of June 2008.

371

372 The red asterisks represent the VDS targets that are potential fishing vessels and could not be
 373 matched against any VMS position. Yellow small boats represent VMS positions that match
 374 VDS targets and orange small boats (there are three) are VMS positions that could not match
 375 any target. Triangles represent big vessels, too large to be Mediterranean fishing vessels. See
 376 also the clusters of fishing activity on the orange and purple rectangles in the central image.
 377 Blue circles indicate tuna cages being towed by a vessel (these are also detectable from SAR
 378 images [21]) and squares indicate vessels of intermediate size.

379

380 On Table 3 there are summarized the VMS-VDS enforcement statistics. The total number of
 381 potential fishing vessels (small signature size) detected by VDS was 104 (represented as
 382 asterisk). The number of fishing vessels in the area with VMS available was 21 (reported as
 383 “*number of VMS*” on table 3); of these 21 vessels, for 18 of them correlation VDS-VMS could
 384 be established (those VMS positions are represented by a small yellow boat and the
 385 corresponding VDS target with a green asterisk and reported as “*Correlations VMS-VDS*” on
 386 table 3). Finally, a set of 86 suspicious vessels (red asterisk in the figure and reported as “*non*
 387 *correlated VDS-targets*” on table 3) remained to be inspected. In the case VDS was not used
 388 more vessels should be checked, all potential fishing vessels (104). Table 3 gives the
 389 summary of the data.

390

391 Table 3: VMS-VDS statistics for the 1 day Mediterranean application (3 images)

Number VDS targets	Number of VMS	Correlations VMS-VDS	Non correlated VDS targets
104	21	18	86

392

393 In order to apply the model it is necessary to make some assumptions:

394 1. The percentage of infringements is required. According to the European Fisheries
 395 Control Agency (EFCA)⁷ the average overall infringements found at sea inspections in 2009
 396 was 16% [22]⁸. For this case a conservative estimate of 15% was adopted⁹.

397 2. Also the distribution of the “positional” and “action” infringement is not available.
 398 Weights of 20% and 80% of the total infringement have been assumed respectively, based on
 399 previous experiences.

400 3. Finally, the model will be asked to predict how many inspections or checks (number
 401 of samples, n) a plane will have to do in order to find all the infringing vessels with a certain
 402 degree of probability. A value of 80% was set for the study
 403 ($P(\chi_{vms} \geq N_{vms}^i, \chi_{nvms} \geq N_{nvms}^i) = 0.8$).

404

405 Introducing these parameters (taking integer numbers from Table 3: 104 as the number of
 406 VDS targets and 86 as non correlated VDS targets) in the model and searching for the number

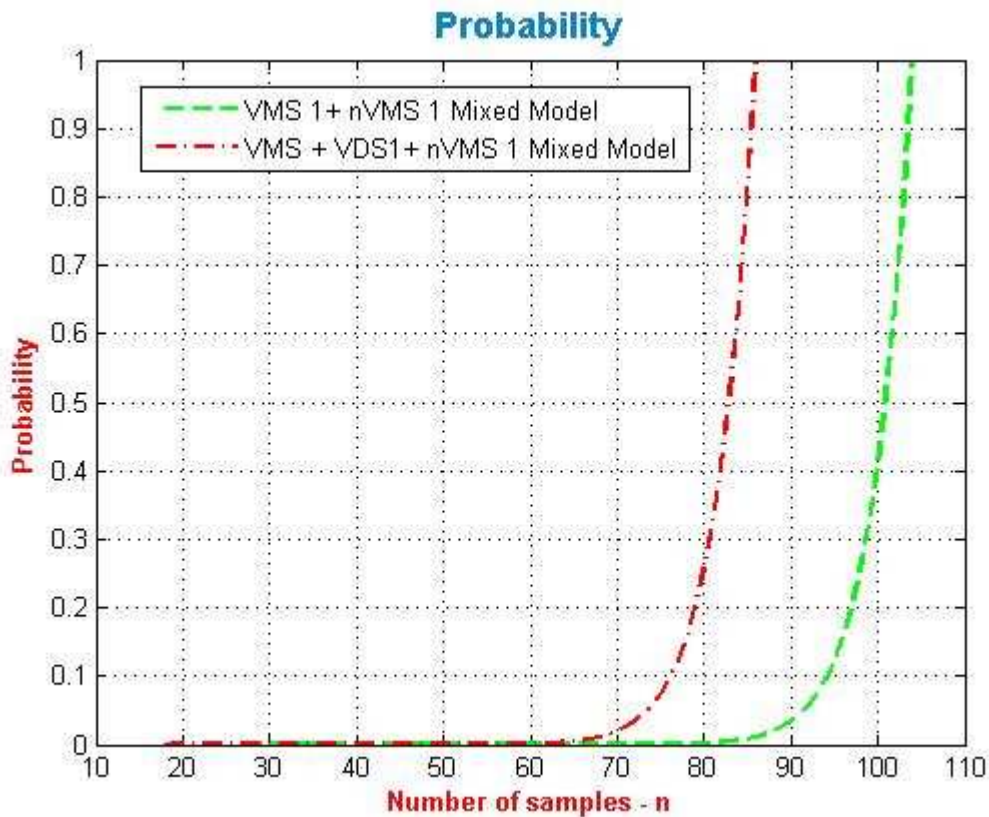
⁷ Previously called Community Fisheries Control Agency (CFCA).

⁸ It should be noted that overall infringements detected in 2009 slightly decreased from 2008 [23].

⁹ Arnason et al., [1] estimate total IUU fishing in volume between 12.5% and 32.5% of the total marine production [24].

407 of inspections, results can be summarised with the following plot (Figure 3) of the cumulative
408 probability of detecting infringements:

409



410

411 Figure 3: Cumulative probability of detecting infringements without (green) /with (red) VDS
412 for Mediterranean data for 6th of June 2008.

413

414 The number of inspections required to obtain a certain probability to detect all infractions,
415 using only VMS or VDS+VMS, are shown in Figure 3. When using VMS+VDS, in order to
416 achieve 0.8 probability to detect positional plus action infringements only 85 inspections are
417 required, whereas 103 inspections are needed if only VMS is considered. Therefore
418 confirming that with a significant (17%) reduction in the number of inspections thanks to the
419 use of VDS, the same infringement detection can be achieved.

420

421 3.2. Case 2: The whole 2007 season in Central Mediterranean

422 71 images were acquired for the 2007 bluefin tuna fishery campaign on the area covering the
423 Central Mediterranean (from the Sicilian Channel to South Malta) from the 21st of May until
424 the 27th of July 2007.

425

426 On Table 4 there are displayed the 2007 bluefin tuna campaign VMS-VDS enforcement
 427 statistics (only considering the targets detected on the images that are potential fishing
 428 vessels). On the first row, data refers to the whole set of images analyzed in the area (71
 429 images); while on the second row there are reported the average values per image.

430

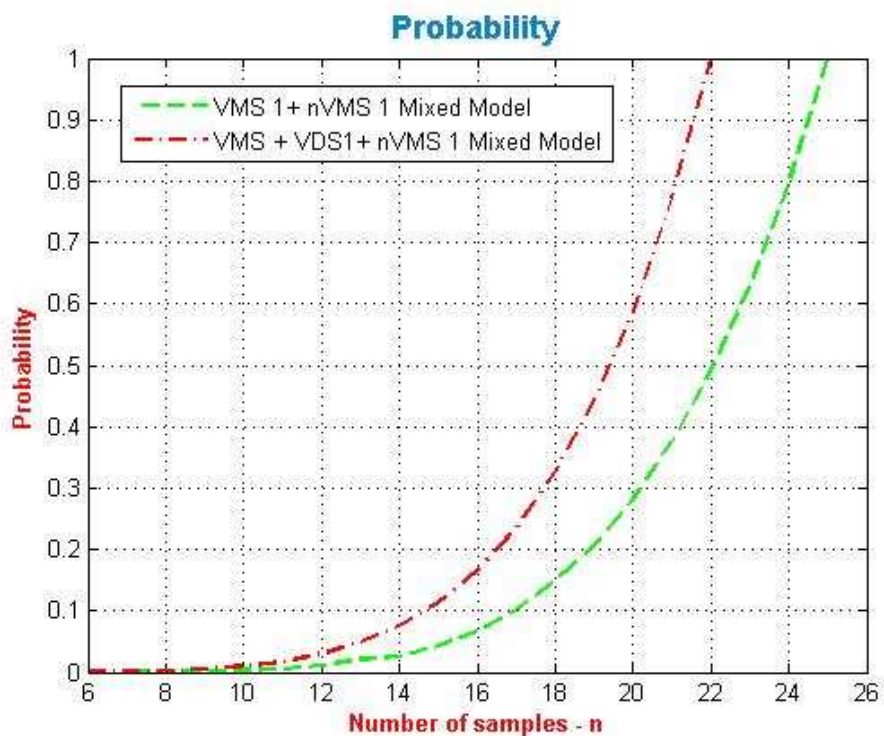
431 Table 4: VMS-VDS statistics for Mediterranean 2007.

Number of Images	Number of VDS targets	Number of VMS	Correlations VMS-VDS	Non correlated VDS targets
71	1788	320	253	1535
<i>Average per image</i>	25.2	4.5	3.6	21.6

432

433 For the 2007 Mediterranean bluefin tuna campaign, there were detected from the images 1788
 434 potential fishing vessels (very big SAR signatures have been excluded). From these potential
 435 vessels, 320 different VMS positions were reported inside the images at the time of the image
 436 acquisition. So, 1535 VDS targets could not be correlated to any VMS position.

437



438

439 Figure 4: Cumulative probability of detecting infringements without (green curve) and with
 440 (red curve) VDS for the 2007 bluefin tuna campaign in the Mediterranean.

441

442 Results of the number of vessels to be checked in order to reach the required cumulative
 443 probability of detection of infringement are presented on Figure 4. In this case, it can be
 444 appreciated that the number of vessels that the plane will need to check is 24 (without VDS)
 445 and 21 when using VDS (left curve) in order to achieve 80% probability to detect all illegal
 446 vessels. This represents a 13% reduction.

447

448 3.3. Incorporating the monitoring costs

449 On this section, we compare the costs of air patrolling with and without the use of VDS
 450 needed to reach the same level of infringement detection from the results of the model in the
 451 previous section.

452

453 Table 5 shows the costs of monitoring in both cases, when using or not VDS in conjunction
 454 with VMS and aircraft controls. The total number of flight hours needed to achieve the
 455 defined level of infringement is the number of vessels to be checked (values coming from
 456 each case respectively) divided by the number of average checks per flight hour¹⁰. The aircraft
 457 control costs are then estimated by multiplying the total number of flight hours by the average
 458 cost per flight hour (3,800 Euros per hour, as estimated on section 2.4). This calculation
 459 should be carried for both air patrolling with and without use of VDS; the difference is the
 460 need of less flight hours when using VDS due to the need of checking less vessels. Moreover,
 461 one needs to add to the aircraft costs when using VDS the costs of the VDS images
 462 themselves (from table 1, but only Standard RADARSAT images have been used). Finally,
 463 the difference between these two expenditures is the relative saving of complementing air
 464 patrolling with VDS.

465

466 Table 5: Savings from the use of VDS on the bluefin tuna fishery Central Mediterranean
 467 (2008).

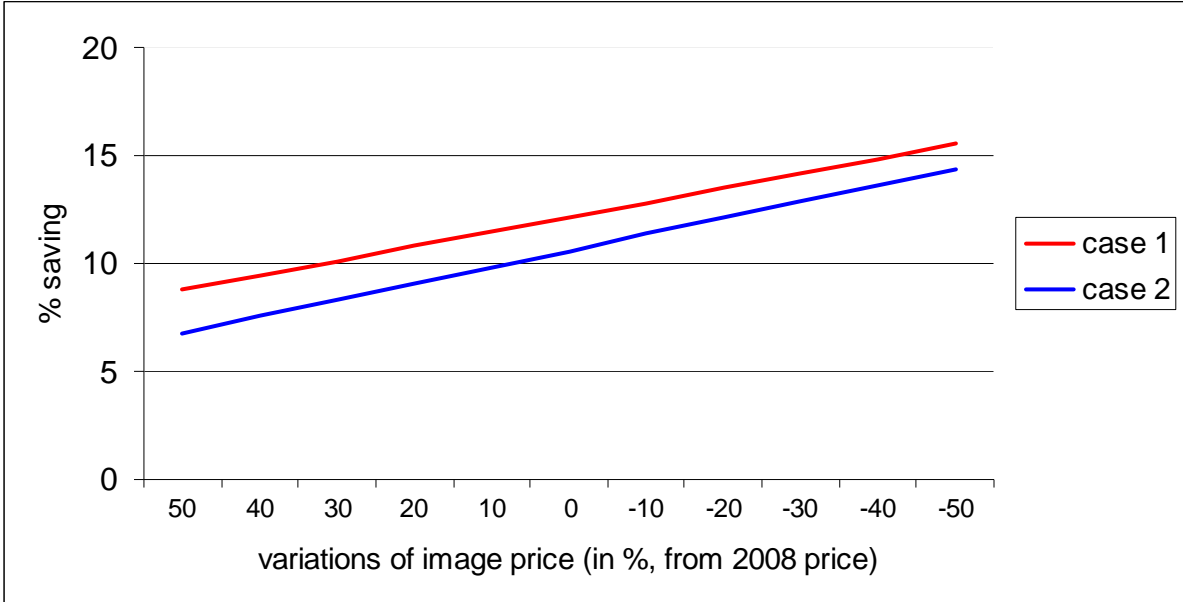
Case	N of aircraft checks per trip	Using only VMS			Using VMS and VDS					Savings (%)
		N vessels to check	N flights hours needed	Aircraft cost (Euro)	N vessels to check	N flights hours needed	Aircraft cost (Euro)	VS images cost	Total costs	
1	14	103	37	140,600	85	30	114,000	9,522	123,522	12.1
2	11	24	11	41,800	21	9	34,200	3,174	37,374	10.6

¹⁰ The difference between both cases is due to the different average flying time to reach both areas.

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Therefore, comparing the costs of air patrolling with and without the use of VDS needed to detect a similar level of infringement, it can be seen that by using VDS there can be obtained savings of 12.1% and 10.6%, respectively.

Figure 5 shows a sensitivity analysis on how the savings on fisheries control vary depending on potential price increase (on the left hand) or, what it is more probable, on price decrease (on the right hand). The reference price here at x-axis zero is 2008 price of satellite images (table 1), 3,174 Euros which reach savings of 12.1% and 10.6%. In the sensibility analysis the other surveillance costs (i.e. patrol costs) are considered constant; while it is expected that patrol costs may increase overtime with oil price increases. According to JRC experience, large volume purchases (for surveillance campaigns or services) and more competitiveness in maritime surveillance products result in price discounts; as an example, a hypothetical discount of 20% will increase the savings up to 13.5% and 12.1% respectively for case study 1 and 2. Even in the improbable case that satellite image prices increase significantly, still important savings on the total cost of enforcement can be achieved.



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Figure 5: Sensitivity analysis of the control cost savings in relation to the image prices

Hence, use of VDS can reduce the cost of the inspections because it reduces the number of vessels that need to be checked, and therefore the number of flying hours needed In fact, in

490 the cases analysed for the year 2008, VDS images represent only between 7.7% and 8.5% of
491 the total enforcement cost when using VDS in conjunction with the other enforcement tools.

492

493 **4. Concluding remarks**

494 In this paper, a quantitative model that estimates the minimum number of inspections required
495 to detect a given level of illegal fishing has been proposed. From the results obtained with this
496 model we can compare the aircraft inspection costs, with and without the use of VDS, as
497 needed to reach the same level of infringement detection, and in this way we can estimate
498 whether cost savings can be obtained using VDS.

499

500 The model is based on assumptions that are applicable in the majority of fishing scenarios. In
501 addition to this, the fact that the model is probabilistic means that the model can be adapted to
502 other scenarios and assumptions. The applicability of the model has been demonstrated in this
503 paper in two real case studies of using VDS in the Mediterranean tuna fisheries, obtaining
504 positive results.

505

506 Assuming a conservative value of 15% for positional and action infringement together (the
507 remaining percentage being all other types of infringements) the application of the model to
508 the data in the Mediterranean results in savings in the range of 10.6% to 12.1%. With higher
509 levels of infringement the use of VDS is expected to be more effective. Unpublished work by
510 the authors indicates similar savings also for other areas (NEAFC, North Sea and Baltic Sea).

511

512 VDS can help to increase the enforcement level while keeping the same level of enforcement
513 resources. Even if the cost of the images is high, the total cost of VDS imagery represents a
514 small proportion of the air surveillance cost. In the two cases analysed they accounted for 7.7
515 and 8.5% of the total enforcement cost, respectively, when using VDS in conjunction with
516 other enforcement tools. Moreover, the upgrade of VDS use to a proper service for
517 monitoring fishing activities is expected to result in further cost reductions because of larger
518 image quantity acquisitions. In fact, the purchasing of large volume of images to be used in
519 large maritime surveillance campaigns and/or service provision often results in pricing
520 discounts from the providers that increase the savings obtained from completing air
521 surveillance with SAR remote sensing.

522

523 According to the proposed model, the greatest benefit can be achieved when the vessels are
524 spread across a wide area (e.g. NEAFC and the Mediterranean cases), with the maximum
525 possible number of VMS data available (this improves the probability of detecting vessels
526 with no VMS, and so aircraft routing inspections can be optimised to focus on detected
527 satellite targets that could not be correlated to reported VMS vessels) and also far away from
528 the patrol base (less inspections possible and with a higher cost).

529

530 During the analysis the spatial distribution was not taken into account. However, an uneven
531 spatial distribution of the vessels is likely to occur. In fact, Druon et al., [25] have established
532 that Mediterranean bluefin tuna schools are not randomly encountered with the same
533 likelihood, but that they tend to congregate in certain marine regions following a seasonal
534 pattern. As a result, fishing fleets targeting tuna are not uniformly scattered in space and time,
535 only randomly seeking a school, but rather tend to concentrate in areas of high tuna
536 abundance. Thus, the fishing footprint is characterized by an uneven spatial distribution.

537

538 This uneven distribution will likely increase the benefit of using VDS. This is because many
539 of the vessels are not detected by the plane until they enter into its radar footprint. By the time
540 this happens, even though there might be targets that are worth checking, it may not be
541 possible to do so due to flight limitations (i.e. not enough fuel due to the distance of the
542 targets). If air patrolling could take into consideration both VMS and VDS results - rather
543 than only VMS - when planning the flight, this problem could be avoided. Furthermore, the
544 flight plan could be optimised in order to investigate suspicious vessels that not only do not
545 match with VMS, but also vessels that might present some special pattern (like the fishing
546 clusters indicated in Figure 2). In such a way, inspections will be maximised and will cover
547 more vessels, therefore improving the benefit even further. This was indeed the situation in
548 JRC's VDS campaigns in coordination with the Icelandic coast guard in the NEACF area
549 where patrolling normally takes place very far away from the coast (it takes several hours to
550 arrive to the inspection area) with very positive results according to the Icelandic authorities.

551

552 In addition to increasing the efficiency of aircraft inspections by providing information to the
553 inspection units, the use of VDS can also help to provide an overview of the situation on days
554 when surveillance flights do not take place. Importantly, VDS represents the only source of
555 data for fisheries monitoring in places that are not accessible to patrol vessels or airplanes,
556 and therefore VDS is a very valuable tool to obtain an estimate of irregular or illegal fishing

557 activity in such areas. Despite our imperfect knowledge - uncertainties in human values,
558 fisheries systems, economic value of ecosystem preservation, and statistical methodologies
559 will always be there to be challenged - action to improve enforcement needs to be taken and
560 this paper highlights one of the possible ways to do it.

561

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566

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