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Lagrangian water mass tracing from pseudo-Argo, model-derived salinity, tracer and velocity data: An application to Antarctic Intermediate Water in the South Atlantic Ocean

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Abstract :

We use the tracer and velocity fields of a climatological ocean model to investigate the ability of Argo-like data to estimate accurately water mass movements and transformations, in the style of analyses commonly applied to the output of ocean general circulation model. To this end, we introduce an algorithm for the reconstruction of a fully non-divergent three-dimensional velocity field from the simple knowledge of the model vertical density profiles and 1000-m horizontal velocity components. The validation of the technique consists in comparing the resulting pathways for Antarctic Intermediate Water in the South Atlantic Ocean to equivalent reference results based on the full model information available for velocity and tracers. We show that the inclusion of a wind-induced Ekman pumping and of a well-thought-out expression for vertical velocity at the level of the intermediate waters is essential for the reliable reproduction of quantitative Lagrangian analyses. Neglecting the seasonal variability of the velocity and tracer fields is not a significant source of errors, at least well below the permanent thermocline. These results give us confidence in the success of the adaptation of the algorithm to true gridded Argo data for investigating the dynamics of flows in the ocean interior.

Keywords : Ocean circulation, Conservation equations, Mathematical models, Density field, Subsurface drifters, Intermediate water masses

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1. Introduction

Argo data provide invaluable and remarkable information about the ocean structure over the first 2000 m of the water column, especially in basins that were, until recently, poorly sampled by field experiments (Roemmich et al., 2009). The standard lifestyle of an Argo float is the following: it drifts passively for 8-9 days at depth 1000 m, it dives down to 2000 m and then samples the water column up to the surface where it can emit data to satellites, before it returns to its parking level. Since the early results proposed by Wong and Johnson (2003), many efforts have been made in using Argo temperature and salinity measurements over the vertical, especially in basins that remained poorly observed until the intensive deployment of Argo floats. Among the recent studies that deal with the South Atlantic (the regional focus of our work) Dong et al. (2011) analysed the performance of a coupled general circulation model with respect to the reproduction of the meridional overturning circulation and meridional heat transport, with and without assimilation of Argo data. Garzoli et al. (2013) combined cruise data and Argo profiles to infer the variability these two quantities over 2002-2011. Wu et al. (2011) could relate the spatial distribution of turbulent diapycnal mixing at depths 300 to 1800 m to the interaction of the Antarctic Circumpolar Current with the topography by making full use of high-resolution vertical profiles. Sato and Polito (2014) focused on the identification and formation of South Atlantic subtropical mode waters. They showed that most of the eddies sampled by an Argo profile and with marks of these mode waters were anticyclonic.

Probably more marginally because more complicated, some other studies have attempted to make the most of the displacements of autonomous floats at depth, knowing that only the successive positions of the instruments at the sea surface are trackable (e.g., Davis, 2005, Park et al., 2005, Lebedev et al., 2007, Katsumata and Yoshinari, 2010, Menna and Poulain, 2010). In each study, the extrapolation of the start and end of the deep displacement from the successive surface positions is an essential step. Recently, Gray and Riser (2014) used a velocity analysis at the Argo parking depth to produce a reference velocity field for geostrophic calculations at other depths. A

51 few years ago, the Laboratoire de Physique des Océans in Brest (France) undertook a
52 comprehensive processing of the Argo data collected over the world ocean to produce an atlas
53 (named ANDRO, for Argo New Displacements Rannou and Ollitrault) of deep displacements based
54 on Argos-tracked surface locations, and fully checked and corrected for possible errors found in the
55 public Argo data files because of wrong decoding or instrumental failure (Ollitrault and Ranou,
56 2012).

57 It is tempting to blend absolute velocity information from a dataset like ANDRO and
58 geostrophic velocity profiles obtained over the vertical with the thermal wind equation, as done for
59 instance successfully by Gray and Riser (2004) in their global analysis of the Sverdrup balance.
60 Then, the resulting gridded absolute geostrophic velocity field might be a good candidate for the
61 investigation of water mass displacements and conversions, in the style of the analyses performed
62 on the output of an ocean general circulation models (OGCM), by combining Lagrangian
63 trajectories and the knowledge of in situ temperature and salinity (e.g., Blanke et al., 2006).
64 Unfortunately, the ocean interior is not purely geostrophic, and oceanic variability develops at time
65 scales ranging from a few hours (i.e., internal waves) to several years (i.e., decadal variability)
66 (Ferrari and Wunsch, 2010). Gridded climatologies of temperature and salinity and of the mean
67 absolute geostrophic velocity field correspond to independent calculations, which may result in the
68 poor rendering of the genuine temperature and salinity modifications along three-dimensional
69 movements. The physical reality of the modifications is however essential because they relate to the
70 sudden or progressive conversion of a water mass into another. The study of such water mass
71 transformations refers essentially to the close combination of tracer and velocity information, and a
72 Lagrangian analysis of gridded mass and velocity annual fields may not be so accurate unless
73 special care is brought to the calculations and to the interpretation of the results.

74 We will not discuss the process of gridding scattered velocity and tracer information though
75 this is of course a crucial step when working with genuine Argo data, and we take the availability of
76 gridded datasets for granted. Our paper aims at testing the successful derivation of a fully non-

77 divergent three-dimensional velocity field by using here, for convenience, synthetic velocity and
78 tracer data calculated and gridded by an OGCM. Our methodology uses only model data that mimic
79 the gridded information that can be retrieved from the profiles and displacements of the Argo
80 profilers. Therefore, we are confident that it will be easily transposable to true, gridded Argo data,
81 while benefiting from the present results inferred from equivalent and thorough model-based
82 calculations. For instance, the sensitivity of water mass tracing experiments to the inclusion or
83 exclusion of seasonal variability in the gridded dataset can be investigated in the model, knowing
84 that Argo-derived gridded products consist predominantly of annual mean climatologies that
85 disregard the seasonal scales of ocean variability. Section 2 presents the model simulation that
86 provides pseudo-like Argo information, i.e., the horizontal velocity field at 1000 m and the vertical
87 profiles of temperature and salinity. Section 3 introduces a retrieval algorithm for the full three-
88 dimensional velocity and tracer fields, and discusses some key hypotheses in the light of known
89 patterns of the circulation of intermediate waters. Section 4 details the validation of the Lagrangian
90 experiments that can be carried out with these fields, with reference to results obtained with the
91 original velocity and tracer model data. Our concluding remarks follow in section 5.

92

93 **2. Model and method**

94 The numerical simulation we choose for our study is a somewhat realistic description of the
95 world ocean circulation (see detailed description in Blanke et al., 2002). The model that generated it
96 (the OPA model, Madec et al. (1998)) was used mostly as a dynamic interpolator of the mass field
97 derived from an observational climatology of salinity and temperature (Levitus, 1982). The domain
98 simulation extends from 78°S to 90°N with a 2° zonal resolution at the equator and a meridional
99 grid interval that varies from 0.5° at the equator to a maximum of 2° in the tropics. There are 31
100 levels in the vertical with the highest resolution (10 m) in the upper 150 m. The simulation is forced
101 by a daily climatology obtained from the European Centre for Medium-Range Weather Forecasts
102 (ECMWF) 15-year (1979-1993) reanalyses, and smoothed by an 11-day running mean. The

103 restoring term to the Levitus climatology appears as a Newtonian damping in the temperature and
104 salinity equations of the OGCM. The intensity of the restoring is given by the inverse of a
105 characteristic time scale that varies with depth and with the distances from the surface, the coast,
106 and the equator (Madec and Imbard, 1996). The simulation is equilibrated (there is no substantial
107 drift in the tracer and velocity fields after ten years of integration) and the internal sources and sinks
108 of heat and salt introduced by the Newtonian damping balance exactly the surface heat and
109 evaporation-minus-precipitation fluxes that are almost zero when integrated over the global domain.
110 The restoring (together with the climatological atmospheric forcing) is here an essential ingredient
111 to allow useful comparisons with circulation schemes deduced from observations (e.g., Blanke et
112 al., 2001, Friocourt et al., 2005). It does not interact directly with the model turbulent mixing and
113 bottom boundary layer schemes since it is not applied near the coastlines or within the mixed layer.
114 The restoring can still be considered as part of the model physics (at it intends to mimic the effects
115 of poorly performing subgrid scale parameterizations), with a non-local redistribution of heat and
116 salt (unlike lateral and vertical mixing that do conserve heat and salinity through exchanges
117 between adjacent grid cells).

118 The model horizontal resolution and the time scales kept for variability (monthly averages)
119 compare favourably with the resolution of the gridded atlases one can calculate from in situ Argo
120 data (e.g., Hosoda et al., 2008, Roemmich and Gilson, 2009, von Schuckmann et al., 2010). Of
121 course, at this resolution, the model does not sample the full spectrum of variability of the real
122 ocean: submonthly and subgrid scale movements are only parameterized with physical schemes that
123 account for the mean effect of turbulent lateral and vertical mixing. By comparison, the gridded
124 atlases calculated with Argo data average all the scales of variability truly experienced by the
125 drifters.

126 One model level for tracers and horizontal velocities lies at 1033 m and matches appropriately
127 the parking depth of most Argo drifters. Therefore, in this study, we use the annual mean currents
128 modelled at this depth in the South Atlantic Ocean as a reference absolute velocity field. The annual

129 mean values of temperature and salinity are combined to define an average mass field on which the
130 thermal wind equations are applied to derive geostrophic velocity components. The surface wind
131 stress that forced the model is used to estimate the annual mean Ekman circulation that can be
132 added on the total horizontal velocity field. These three stages represent a set of operations that can
133 be applied to true Argo-derived gridded datasets and available wind stress climatologies. The full
134 monthly varying model velocity and tracer fields are kept for reference Lagrangian experiments, to
135 which all comparisons will be made.

136 The focus is here on the South Atlantic Ocean because the northward spreading of Antarctic
137 Intermediate Water (AAIW) from the Subantarctic Front to the North Atlantic has long been a
138 subject of intense interest (Wüst, 1935; Talley 1996) and has been addressed in the recent years by
139 major research projects, e.g., GoodHope (Ansorge et al., 2005, Speich et al., 2007) and SAMOC
140 (Garzoli et al., 2007). Our study builds especially on the results obtained by Rusciano et al. (2012)
141 on the spreading of AAIW south of Africa, based on a thorough Eulerian analysis of Argo
142 hydrographic profiles and reference displacements at 1000 m. Evidence for AAIW penetration into
143 the South Atlantic north of the Subantarctic Front is a major result of their study, which
144 complements and updates the description of the AAIW circulation in the South Atlantic provided
145 by Suga and Talley (1995). This will be the focus of the model-based water mass tracing
146 experiments developed in section 4.

147 148 **3. Retrieval algorithm for a fully non-divergent 3D velocity field**

149 On seasonal time scales, the OGCM tracer field is in balance with the velocity field and
150 model physics (which includes here the vertical penetration of the solar radiation, as well as the
151 sources and sinks of tracers induced by the internal restoring of temperature and salinity on
152 climatological values). Water mass tracing requires the knowledge of the three components of the
153 velocity. The vertical velocity is usually several orders of magnitude smaller than the zonal and
154 meridional velocities, but the large-scale volume fluxes are of equivalent magnitude in the three

155 directions because of the depth-to-width aspect ratio of an ocean basin. Volume conservation (no
156 source or sink of volume within the active ocean domain) is a main property to be satisfied, before
157 addressing tracer conservation.

158 One major difficulty when dealing with absolute geostrophic velocity is the lack of control on
159 2D divergence. The implications are huge, since the vertical velocity is mostly a diagnostic field. It
160 can be computed by integrating upward or downward the 2D convergence of the horizontal
161 circulation, noting that it will accumulate all the errors and inaccuracies of the horizontal velocity
162 estimate. Three main issues exist here. First, geostrophy defines horizontal velocity components
163 that are only 2D non-divergent once multiplied by the Coriolis parameter (f); since f varies with the
164 sine of latitude, the deep and open ocean is usually characterized by a balance between the
165 advection of planetary vorticity (the beta effect) and divergence. Second, the absolute velocity field
166 at 1000 m has no reason for being itself exactly 2D non-divergent; being added at each depth, any
167 local divergence has repercussions on the whole water column and can translate into a linear growth
168 rate (with depth) of the calculated vertical velocity. Finally, the geostrophic relations cannot be
169 applied with confidence near continents or continental slopes where the ocean currents can be
170 highly turbulent or show full three-dimensionality (with for instance topography-induced vertical
171 movements), which adds uncertainty on the vertical velocity.

172 In this study we propose the following procedure for determining a three-dimensional (3D)
173 velocity field plausibly suitable for trajectory calculations in the ocean interior and eventually for
174 interrelation with tracer or salinity variability. The first guess 3D velocity field consists of the
175 addition of the geostrophic, Ekman and 1000-m absolute components of the horizontal velocity
176 field, as calculated from the annual mean model outputs and forcing fields. Then, a control surface
177 defined at the level of the intermediate waters is used with simple geometric and kinematic
178 considerations to infer the value of vertical velocity at that depth. Finally, a minimization technique
179 finds the optimal barotropic velocity corrections to add to the first guess so that the depth-integrated
180 circulation from the ocean surface to the control surface is compatible with this vertical velocity.

181 The resulting 3D velocity field will be used as a test bed for Lagrangian experiments: numerical
182 particles will be transported by the zonal, meridional and vertical components of the velocity field
183 across the South Atlantic Ocean, with special insight into water mass modification, in conjunction
184 with the original model tracers.

185

186 *3.1. Horizontal interpolation of the model salinity and temperature values*

187 As already specified, Argo temperature and salinity data are commonly gathered, and possibly
188 merged with other available measurements, to produce gridded fields. The temporal (monthly to
189 annual) and horizontal (0.5° to 2°) resolution of these Argo-based fields varies according to the
190 period of analysis and to the amount of independent data. For the Southern Ocean, because of more
191 recent systematic deployments of Argo profilers, the definition of robust monthly fields continues
192 to be a challenge. Therefore, the annual mean values of temperature and salinity from the model
193 simulation are good candidates for use as pseudo gridded Argo data.

194 The equations of the OGCM are discretized on a staggered C-grid (Arakawa, 1972). The
195 horizontal interpolation of the tracer values onto the "F" points of the grid is a necessary step to
196 calculate easily the horizontal density gradients that provide the zonal and meridional geostrophic
197 components of the flow, on the "U" and "V" points of the C-grid, respectively (**Fig. 1**). The
198 interpolation is made by averaging the ocean tracer grid points available in the immediate
199 neighbourhood of each "F" grid point. In the absence of any neighbouring tracer information, the
200 "F" grid point remains masked and is considered inland. Then, the in situ density is calculated at the
201 "F" grid points with the formulation that was used in the OGCM (Jackett and McDougall, 1995).

202

203 *3.2. Geostrophic velocity components*

204 At each level of the original model grid, the geostrophic u_g and v_g components are calculated
 205 at the "U" and "V" points of the grid with the thermal wind equations, assuming a zero horizontal
 206 velocity at 1000 m, or at the ocean bottom if it is locally shallower than 1000 m.

$$207 \quad \begin{cases} \frac{\partial u_g}{\partial z} = \frac{g}{\rho_0 f} \frac{\partial \rho}{\partial y} \\ \frac{\partial v_g}{\partial z} = -\frac{g}{\rho_0 f} \frac{\partial \rho}{\partial x} \end{cases} \quad (1)$$

208 The horizontal density gradients are first computed, and then the vertical integration is
 209 performed upward.

210

211 *3.3. Account for a surface wind-induced Ekman spiral*

212 An analytical Ekman spiral is added from the knowledge on the mean value of the surface
 213 wind stress used in the OGCM (a seasonal climatology obtained from the ECMWF reanalyses),
 214 with a coefficient of eddy viscosity (A_v) arbitrarily set to a constant:

$$215 \quad u_e + i v_e = \frac{1}{\rho_0 \sqrt{2|f|A_v}} (1 + i) (\tau^x + i \tau^y) \exp \left\{ \sqrt{\frac{|f|}{2A_v}} (1 - i) z \right\} \quad (2)$$

216 The formulation was first introduced by Ekman (1905). It is given here in complex variable
 217 form and is valid for the Southern Hemisphere ($f < 0$), with z negative and increasing upward ($z = 0$
 218 at the sea surface). The actual existence of such a spiral in the real ocean is debatable, especially
 219 because the viscosity coefficient actually varies in the vertical (see for instance Ralph and Niiler
 220 (1999)), but this is by far the simplest way to account fully for the wind stress forcing in a gridded
 221 velocity field. The value of A_v is chosen here equal to $10^{-2} \text{ m}^2 \text{ s}^{-1}$ to match the order of magnitude
 222 derived by Chereskin (1995) from the observation with moored instruments of a wind-driven flow
 223 in Ekman balance, knowing that the recent results obtained from an underway acoustic Doppler
 224 current profiler in Drake Passage suggest eddy coefficients values about 10 times greater (Polton et
 225 al., 2013). However, it is worth noting that the value of A_v will not interfere much with our

226 Lagrangian calculations since we will focus on movements well below the vertical extent of the
 227 theoretical Ekman layer. What matters most is the algebraic divergence of the Ekman transport,
 228 which is a function only of the surface wind stress (and of the Coriolis parameter):

$$229 \quad \nabla \cdot (U_e, V_e) = -\frac{\nabla \times (\tau^x, \tau^y)}{\rho_0 |f|} \quad (3)$$

230 where, for H much larger than the depth of the surface Ekman layer,

$$231 \quad \begin{cases} U_e = \int_{-H}^0 u_e dz = -\frac{\tau^y}{\rho_0 |f|} \\ V_e = \int_{-H}^0 v_e dz = \frac{\tau^x}{\rho_0 |f|} \end{cases} \quad (4)$$

232

233 *3.4. Addition of a reference velocity field at 1000 m*

234 Wherever the ocean floor is deeper than 1000 m, the annual mean reference velocity field
 235 (u_a, v_a) modelled at 1000 m is added at each depth. The total velocity fields then reads:

$$236 \quad \begin{cases} u(x, y, z) = u_g(x, y, z) + u_e(x, y, z) + u_a(x, y) \\ v(x, y, z) = v_g(x, y, z) + v_e(x, y, z) + v_a(x, y) \end{cases} \quad (5)$$

237

238 *3.5. Expression of the vertical velocity at intermediate depth*

239 Most Argo profilers have a parking depth at 1000 m, which strengthens the reliability of the
 240 circulation calculated at AAIW depth range. We choose to introduce an additional constraint on the
 241 velocity field based on a priori knowledge of the behaviour of this water mass. In this step, we
 242 determine on each vertical the depth of minimum salinity, z_{ctrl} , and we estimate the vertical velocity

243 as $w_{\text{ctrl}} = U_{\text{ctrl}} \cdot \nabla z_{\text{ctrl}}$

$$\begin{cases}
u_{\text{ctrl}} = u_{z=z_{\text{ctrl}}} \\
v_{\text{ctrl}} = v_{z=z_{\text{ctrl}}} \\
w_{\text{ctrl}} = (u_{\text{ctrl}}, v_{\text{ctrl}}) \cdot \nabla z_{\text{ctrl}}
\end{cases} \quad (6)$$

245 We suggest here that, in the absence of direct information on vertical velocity, simple
246 geometric consideration can help. In the Southern Ocean, north of the Antarctic Circumpolar
247 Current, the vertical position of the salinity minimum related to AAIW is a useful marker of the 3D
248 velocity field at ~ 1000 m depth. Assuming here that turbulent mixing processes have a lesser role
249 than large-scale advection in controlling the layout of the temperature and salinity fields, we just
250 ask the 3D movement to operate locally along the chosen control surface:

251 To broaden the scope of our sensitivity experiments, we also test the assumption of a pure
252 isopycnal flow at the level of the intermediate waters. In this case, the search for the salinity
253 minimum is replaced by the identification of a selected density value on the vertical, $31.77 \sigma_1$ to be
254 specific, that is chosen to match optimally the core of the AAIW layer in the South Atlantic domain
255 of the modelled ocean. Alternatively, but less reasonably, the assumption of a horizontal flow at
256 1000 m ($w_{\text{ctrl}} = 0$) can be made to assess the impact on the results of this simplest choice that has
257 been used in recent calculations (Rosell-Fieschi et al., 2013). These three different expressions for
258 W_{ctrl} will be independently tested in the reconstruction of the 3D non-divergent velocity field.

259 In the following, W^* will denote the corresponding vertical volume flux at depth z_{ctrl} for each
260 water column of the model grid.

$$W^* = w_{\text{ctrl}} \Delta x \Delta y \quad (7)$$

262

263 *3.6. Volume transports*

264 The optimization of the velocity field calculated with Eq. (5) will consist of making it fully
265 3D non-divergent and compatible with the vertical velocity field imposed at depth z_{ctrl} . This will be
266 achieved by making the divergence of the barotropic circulation equal to W^* . As a preliminary step,

267 we calculate the depth-integrated lateral transport components over the 4 sides of each water
 268 column above $z_{\text{ctrl}}(i, j)$ (or above the sea floor if z_{ctrl} is undefined): $U^w(i, j)$, $U^e(i, j)$, $V^s(i, j)$ and
 269 $V^n(i, j)$, in $\text{m}^3 \text{s}^{-1}$. The indices w , e , s , and n refer to the western, eastern, southern and northern sides
 270 of the column, with areas A^w , A^e , A^s and A^n , respectively. Note that the cross-sections $A^e(i-1, j)$ and
 271 $A^w(i, j)$ (just as $A^n(i, j-1)$ and $A^s(i, j)$) may correspond to different surfaces because of the
 272 convergence of the grid meridians, or because z_{ctrl} can be chosen with horizontal variations (**Fig. 2**).

273

274 3.7. Computation of an optimal barotropic velocity field

275 We formulate the velocity field optimization in terms of a constrained minimization problem
 276 that we solve with the help of Lagrange multipliers (e.g., Arfken, 1985). We seek the barotropic
 277 corrections $u'(i, j)$ and $v'(i, j)$ that minimize the Lagrange function

$$278 L = \sum_{i,j} p_{i,j} (u'_{i,j})^2 + \sum_{i,j} q_{i,j} (v'_{i,j})^2 + \sum_{i,j} \lambda_{i,j} \left\{ (U^e_{i,j} + u'_{i+1,j} A^e_{i,j}) - (U^w_{i,j} + u'_{i,j} A^w_{i,j}) + (V^n_{i,j} + v'_{i,j+1} A^n_{i,j}) - (V^s_{i,j} + v'_{i,j} A^s_{i,j}) - W^*_{i,j} \right\}$$

$$279 \quad (8)$$

280 where the weights $p(i, j)$ and $q(i, j)$ that appear in the cost function (i.e., the first two terms of
 281 the right-hand side of Eq. (8)) will be discussed below. Null partial derivatives of L with respect to
 282 each Lagrange multiplier, $\lambda(i, j)$, correspond to the local satisfaction of volume conservation (over
 283 the water columns into consideration). The number of such equations is the number of ocean
 284 columns used for optimization, N_λ , and each equation reads:

$$285 u'_{i+1,j} A^e_{i,j} - u'_{i,j} A^w_{i,j} + v'_{i,j+1} A^n_{i,j} - v'_{i,j} A^s_{i,j} = W^*_{i,j} - (U^e_{i,j} - U^w_{i,j} + V^n_{i,j} - V^s_{i,j}) \quad (9)$$

286 Null partial derivatives of L with respect to each velocity correction give N relationships
 287 between the Lagrange multipliers $\lambda(i, j)$ and u' and v' , such as:

$$288 2p_{i,j} u'_{i,j} - \lambda_{i,j} A^w_{i,j} + \lambda_{i-1,j} A^e_{i-1,j} = 0 \quad (10)$$

289 where N is the total number of velocity components to be optimized ($N = N_u + N_v$). Therefore,
 290 we can write:

291
$$\begin{bmatrix} u'_{i,j} \\ v'_{i,j} \end{bmatrix}_{N_u+N_v}^1 = [\mathbf{T}]_{N_u+N_v}^{N_\lambda} [\lambda_{i,j}]_{N_\lambda}^1 \quad (11)$$

292 where \mathbf{T} represents the transfer matrix defined with Eq. (10), and where the superscript and
 293 subscript numbers to the right of the bracketed matrices refer to the numbers of their columns and
 294 lines, respectively.

295 In this minimization algorithm, we aim to diagnose barotropic velocity corrections that are
 296 applied over the full extent of the 4 sides of each water column. Null corrections would imply that
 297 the volume convergence calculated by default (i.e., with the first guess velocity) already balances
 298 the vertical volume flux W^* imposed at depth z_{ctrl} . The adjustment of the barotropic velocity comes
 299 down to the modification of the reference velocity used for the calculation of the absolute
 300 geostrophic velocity. In the case of the ocean model we analyse, this reference field is the simulated
 301 annual mean circulation at 1000 m. Its modification is required because this field is not fully
 302 consistent with the total - absolute geostrophic and Ekman - circulation we calculated from the
 303 model fields, whereas it is fully consistent, of course, with the original mean model circulation. For
 304 an application to Argo data, the adjustment of the barotropic velocity would also account for
 305 uncertainty in the gridded reference velocity field provided at 1000 m (Ollivault and Rannou ,
 306 2013). The irregular time sampling of the gridded oceanic domain by the profilers can also bias the
 307 estimate of the local annual mean.

308 Replacing in Eq. (9) each occurrence of u' and v' by its expression according to the Lagrange
 309 multipliers gives a linear system of dimension $N_\lambda \times N_\lambda$ that can be solved explicitly for the values of
 310 the multipliers. Its expression in matrix form is:

311
$$[\mathbf{M}]_{N_\lambda}^{N_\lambda} [\lambda_{i,j}]_{N_\lambda}^1 = [W_{i,j}^* - (U_{i,j}^e - U_{i,j}^w + V_{i,j}^n - V_{i,j}^s)]_{N_\lambda}^1 \quad (12)$$

312 The weights $p(i, j)$ and $q(i, j)$ introduced in Eq. (8) must be chosen carefully. In our case, one
 313 can give a weight that depends on the confidence put in each velocity component. Near the coast,
 314 because of instability in boundary currents, the first guess calculations give plausibly a poor

315 description of the real circulation, so we use a low weight value. Conversely, in the open ocean,
316 there is less reason to question the validity of the first guess and the weights are chosen larger. As a
317 simple rule, we relate here the local values of p and q to the number n_t of tracer profiles truly
318 available in an immediate 6-point-wide neighbourhood of the reference velocity grid point (see
319 example in **Fig. 3**). We use $q = 2(n_t - 2)$, and the same relationship for p . This choice accounts for
320 the interpolation of the original temperature and salinity values on the "F" grid points of the C-grid
321 and for the centred numerical scheme used to derive geostrophic velocity components. It associates
322 small weights to velocity grid points close to the coast. In the open ocean (where 6 independent
323 tracer profiles are available for the horizontal interpolation of temperature and salinity, at grid
324 points $F(i-1, j)$ and $F(i, j)$ when dealing with meridional velocity), the weights are maximum and
325 equal to 8. Along a straight coastline, only 4 tracer profiles can be used for the interpolation (with
326 for instance all of them for grid point $F(i, j)$ and 2 of them for grid point $F(i+1, j)$), and the weight is
327 equal to 4. It falls down to zero in the hypothetical case of a narrow channel edged by two
328 coastlines, where the same pair of tracer grid points is used for the interpolation of temperature and
329 salinity at grid points $F(i-1, j)$ and $F(i, j)$.

330

331 *3.8. Numerical implementation and sample results*

332 In summary, the numerical implementation of the algorithm consists principally of the
333 calculation of the first guess total velocity field (Eq. (5)), the choice of a value for w_{ctrl} , the
334 calculation of the Lagrange multipliers (Eq. (12)) with a LU decomposition method (see Press et al.
335 (2002) for its numerical implementation), and finally the diagnostic of the barotropic corrections u'
336 and v' using Eq. (11). The reconstructed fully non-divergent 3D velocity field is obtained by adding
337 these corrections to the total velocity field at each depth. The next section will evaluate the ability
338 of such a reconstructed velocity field to be used in numerical water mass tracing experiments in
339 conjunction with the gridded temperature and salinity fields. The overall performance of the

340 reconstruction will be assessed by a comparison with the reference Lagrangian results derived from
341 the original velocity and tracer model fields (i.e., from the model "truth").

342 Before that validation stage, it is useful to study the horizontal velocity field at selected depths
343 to better understand the two main steps of the reconstruction. At any depth, the first guess consists
344 of the addition of the geostrophic, Ekman and 1000-m absolute velocity components, noting that the
345 horizontal Ekman circulation is negligible away from the first few hundred meters. Except at
346 1000 m, where by definition the first guess is equal to the annual mean model velocity field, there
347 are differences between both fields. **Figure 4a** shows both the original annual mean circulation at
348 500 m and the error made by using Eq. (5). As expected, the first guess gives a fair representation
349 of the model truth, especially away from regions of large bathymetric relief (the Walvis ridge, mid-
350 Atlantic ridge and Rio Grande rise, from east to west, to be specific). The relative error made on
351 each horizontal velocity component is rarely above 10%, i.e., a few mm s^{-1} at the most. This
352 amplitude is to be compared with the velocity correction calculated by the reconstruction algorithm.
353 We show the latter at 1000 m because it can be interpreted as an adjustment of the reference
354 velocity field. Since the reconstruction is based on a few adjustable key parameters that are used in
355 combination (the wind stress product used to derive the Ekman circulation; the depth at which an
356 expression is provided for the vertical velocity component; the nature of this expression), the
357 algorithm was in fact applied dozens of times (see **Table 1**) and we show here only the results
358 obtained with the ECMWF wind and a purely horizontal flow at 1000 m (Test_5). The barotropic
359 correction calculated by the algorithm is about one order of magnitude smaller than the difference
360 between the estimated and the true annual mean circulation a few hundred meters above (i.e., at
361 500 m). In other words, the adjustment of the first guess (to ensure its full 3D non-divergence and
362 its compatibility with the null vertical velocity field prescribed at 1000 m) is smaller than the errors
363 introduced by the construction of the first guess itself. It means that the first guess is close to being
364 compatible with the constraints introduced for the optimization. It also implies that the numerical

365 optimization does not alter dramatically the velocity information readily available from the initial
366 gridded tracer and velocity fields.

367

368 **4. Validation protocol**

369 We test the relevance of the reconstructed velocity field by running Lagrangian analyses in
370 the spirit of diagnoses commonly derived from the output of an OGCM. They aim at diagnosing the
371 volume transfer between a selected set of control sections. In our study, both the intensity of the
372 connection and the evolution of tracers between the sections are checked by comparison with
373 reference calculations done with the original model velocity and tracer fields. One risk of
374 Lagrangian analyses run on independently reconstructed tracer and velocity fields is the diagnosis
375 of invalid salinity or temperature modifications along individual trajectories. An erroneous vertical
376 velocity field, specifically, can introduce significant biases in depth determination and improper
377 interpretation of along-path tracer variations. The care taken here to assess proper constraints on W ,
378 both at the basis of the surface mixed layer (Ekman pumping) and at the depth of the intermediate
379 waters, should ensure that this problem is handled correctly in our reconstruction.

380 Following the work by Rusciano et al. (2013), our analysis is based on the priori knowledge
381 of the fates of the AAIW present southwest of Africa. We diagnose the northward and westward
382 propagation of the low-salinity waters identified at 10°E in the depth range 400 – 1600 m. For this
383 purpose, we use the offline mass-preserving Ariane toolkit (<http://www.univ-brest.fr/lpo/ariane>)
384 (Blanke and Raynaud, 1997, Blanke et al., 1999). The approach allows volume transport estimates
385 based on the tiny weight (here $10^3 \text{ m}^3 \text{ s}^{-1}$) allotted to each numerical float and transported without
386 alteration along its trajectory. The volume of water transported from an initial to a final
387 geographical section is computed by summing the transport of the numerical floats that achieve the
388 connection that is being considered (Döös, 1995). Default information interpolated along individual
389 trajectories includes salinity, temperature, depth, and density. The comparison of initial and final

390 statistics for the tracers is, therefore, a good way to assess the reliability of the Lagrangian analysis,
391 knowing that reference results are, in our case, available and robust.

392

393 *4.1. Basin-scale experiment with the original model fields*

394 We use first the full information available from the OGCM to characterize the transmission of
395 intermediate water from south of Africa to the equatorial Atlantic. This step reveals the main
396 pathways to focus on in the South Atlantic Ocean for subsequent sensitivity Lagrangian
397 experiments. In this framework, the lateral control sections are chosen at 21°E (south of Africa),
398 50.7°S, and 0.25°S (just south of the equator). Then, following the results of Reid (1994) and
399 Rusciano et al. (2013), only the depth range from 600 m to 1200 m is seeded at 21°E with particles
400 to identify conveniently the AAIW vein.

401 Initial positions are regularly distributed both in time (still within the same 30 day interval
402 and then repeated for all climatological months) and space (along the vertical and lateral extent of
403 each grid cell). The number of particles in each cell is defined by the constraint about the maximum
404 transport imposed on one single particle (in our case, 10^{-3} Sv per month; $1 \text{ Sv} = 10^6 \text{ m}^3 \text{ s}^{-1}$). The
405 particles are integrated forward in time until they reach one of the four sections enclosing our South
406 Atlantic domain (see **Fig. 5**). The resulting connections are portrayed with a Lagrangian horizontal
407 streamfunction, as introduced by Blanke et al. (1999). The AAIW pathways in the model consist
408 mostly of an eastward displacement across the South Atlantic, with a small northward shift,
409 followed by a capture by the western boundary currents and either transmission to the equatorial
410 current system (about 3.9 Sv) or southward recirculation in the subtropical gyre (about 2.9 Sv). The
411 organization and intensity of both routes are consistent with the circulation schemes deduced from
412 observations (e.g., Schott et al., 1995, Núñez-Riboni et al., 2005).

413 In the rest of the study, we will exclude from our Lagrangian calculations the equatorial
414 domain as well as the oceanic regions too close to continents, where geostrophic calculations and,

415 thus, absolute velocities cannot be trusted. We use the model grid point at 35°W, 27.8°S to
416 distinguish conveniently the northward and southward fates of AAIW (see Fig. 5). A zonal
417 interception section at 27.8°S and a meridional interception section at 35°W define relevant limits
418 for isolating both AAIW branches in the Lagrangian experiments. New limits at 41°S and 7°E will
419 also be used (see thick dotted lines in Fig. 5).

420

421 *4.2. Framework for the intercomparison of Lagrangian test experiments*

422 Based on our experience in water mass tracing, we select the following parameters for a
423 quantitative comparison of the results: (i) the volume of water actually transferred from 7°E to
424 35°W and 27.8°S within both AAIW branches; (ii) the average depth and latitude (longitude) of the
425 transfer mapped at 35°W (27.8°S) and at 7°E, as well as the associated standard deviations; (iii) the
426 inflowing and outflowing mean temperature and salinity specific to each AAIW branch, again with
427 their standard deviations. The first two sets of parameters refer only to the reconstructed velocity
428 field, whereas the third one combines kinematic and thermodynamic information. We use the full
429 monthly varying tracer and velocity fields of the OGCM to derive reference results (**Table 2**)
430 against which the Lagrangian experiments run with various reconstructed velocity fields can be
431 compared. The results obtained when using the annual mean model fields are also provided.

432 The change in the control sections modifies the assessment of the northward transfer (now
433 5.6 Sv) and westward transfer (now 3.6 Sv) of AAIW (**Fig. 6**). The analysis is indeed run here over
434 a smaller domain, whereas the first basin-wide experiment was more demanding about the
435 connections to operate: in particular, the volume of water that circulates from 21°E to the equator is
436 included in the connection diagnosed from 7°E to 27.9°S, while the reverse is not true. Slightly
437 more than 5 degrees of latitude separate the average positions of both veins at 7°E, with the
438 westward transfer lying of course south of the northward transfer. The corresponding standard
439 deviations (2.18° and 1.16°) account for the almost complete separation of the two veins, as shown
440 in Fig. 6. Their depth ranges are similar by construction, but it is worth noting that the northward

441 transfer starts warmer (4.6°C) and less salty (34.44 psu) than its westward counterpart (3.8°C and
442 34.37 psu). The geometry of the domain used for Lagrangian integration is such that the spreading
443 at 27.8°S is much larger than at 35°W (Fig. 6). Between 7°E and 27.8°S , the northward vein is
444 slightly upwelled (about 21 m in average), warmed ($+0.14^{\circ}\text{C}$) and freshened (-0.006 psu), whereas
445 the westward vein is downwelled (-33 m), warmed ($+0.3^{\circ}\text{C}$) and salinized ($+0.005$) from 7°E to
446 25°W . The standard deviations about the mean values change little between the initial and final
447 states.

448 For the reconstruction of the velocity field, different Ekman circulations, and thus
449 distributions of Ekman pumping, are tested at the surface to mimic the uncertainty inherent to the
450 surface wind stress climatology that would be selected when dealing with gridded in situ
451 measurements. The standard case uses the same wind stress that was prescribed at the surface of the
452 model during its numerical integration, namely a climatology of momentum fluxes obtained from
453 the ECMWF 15-year (1979-1988) reanalyses. The Hellerman and Rosenstein's (1983) monthly
454 mean climatology is used as an alternative. Another testing case is obtained by omitting the wind
455 stress at the sea surface, i.e., by setting the Ekman circulation to zero. In that case, we simply ignore
456 in the reconstructed velocity field the vertical movements attributable to the convergence or
457 divergence of the wind-induced surface circulation. The vertical velocity on the control surface
458 chosen in the ocean interior is also varied to investigate the dependence of the results on this added
459 assumption: a pure horizontal flow, an isopycnal flow, and a flow along the salinity minimum are
460 successively tested at 1000 m. For an extended analysis, we also shift the constraint deeper in the
461 water column, with the tests of a pure horizontal flow and of a isopycnal flow at 1800 m, within the
462 domain of our Lagrangian calculations. Then, in the case of an isopycnal flow, we test the
463 sensitivity to vertical eddy diffusion in the ocean interior, by rewriting Equation 6 as an advective-
464 diffusive balance:

465
$$w = \frac{K_v \frac{\partial^2 \rho}{\partial z^2}}{\frac{\partial \rho}{\partial z}} + u \frac{\partial z}{\partial x} + v \frac{\partial z}{\partial y} \quad (13)$$

466 where K_v is the eddy diffusivity coefficient chosen equal to 0, 10^{-5} , or $10^{-4} \text{ m}^2 \text{ s}^{-1}$, noting that
 467 the non-zero values match or exceed the order of magnitude of published estimates (e.g., Gargett,
 468 1984). The consideration of lateral diffusion would be of course an appreciable extension, but the
 469 operator even in its simplest form (horizontal mixing with a Laplacian expression) does not allow
 470 for easy inclusion in our optimization algorithm. A total of 30 configurations could be prepared and
 471 tested by varying the various options (Table 1).

472

473 *4.3. Interpretation of the results*

474 As a first assessment of the general performance of each reconstructed velocity field, we
 475 calculate the sum of the relative errors made on the three sets of parameters previously introduced.
 476 Individual relative errors are computed using the "ground truth" (i.e., Lagrangian results obtained
 477 with the monthly-varying velocity and tracer fields of the model) as a reference. The results are
 478 presented in **Fig. 7** along two axes to distinguish easily between pure kinematic parameters and
 479 parameters that couple tracer and velocity via the Lagrangian analysis.

480 The main findings from this diagnosis are the following. When working with the original
 481 model velocity and tracer fields, only small differences (i.e., a mean relative error of a couple
 482 percent) can be noted between the transfers obtained with (Test_0) and without (Test_1) inclusion
 483 of seasonal variability. This provides strong confirmation of the ability of annually averaged fields
 484 to describe the circulation and water mass conversion in the ocean interior, below the seasonal
 485 thermocline. Therefore, the absence of monthly variability in a gridded Argo-derived atlas would
 486 not be a critical issue when addressing equivalent matters. Then, the test experiments that assume
 487 an isopycnal flow at 1800 m (tests 8 to 10, 17 to 19 and 26 to 28) give by far the poorest results,
 488 with unacceptable errors on kinematics. The magnitude of the westward transfer to 35°W is

489 especially underestimated by more than 40% in all cases. The main reason for this mismatch is an
490 intense upward velocity that follows from this assumption and that diverts the AAIW from its
491 standard depth range, with dramatic consequences on the shape of the transfer. Finally, the failure
492 to account for the Ekman pumping induced by the surface wind stress (tests 20 to 28) leads to
493 relative errors much higher than those obtained with the inclusion of a surface Ekman spiral,
494 whether it is provided by the genuine atmospheric product that forced the model or by an alternate
495 wind stress climatology. Without Ekman pumping, the reconstruction of the velocity field requires a
496 sizable alteration of the horizontal velocity field to reconcile the value imposed on vertical velocity
497 at 1000 m (or, worse, at 1800 m) and the non-divergence of the flow.

498 To emphasize better the main sources of errors in each test experiment, we contour with a log
499 scale the relative errors by taking care to reorder the experiments according to their total score
500 (from the lowest to the highest total error) and to sort the tested parameters according to their
501 general impact on the results (**Fig. 8**). For instance, the mean initial value of salinity for the two
502 AAIW transfers contribute very little to the total error, whatever the test experiment, and are,
503 therefore, put at the bottom of the diagram. Quite the opposite applies for the standard deviation
504 around the mean salinity values at the end of each transfer. As a result, these two parameters are at
505 the top of the diagram. The test experiments that come out best (Test_2 and Test_11) assume a
506 horizontal flow at 1000 m and correspond to mean relative errors of the order of 2% on the
507 kinematic parameters and of the order of 4% on the thermodynamic parameters. This result is very
508 encouraging because it shows that the bulk of the information on the AAIW circulation is indeed
509 captured by the geostrophic calculations combined with the knowledge of the horizontal circulation
510 at 1000 m and the quantification of the surface Ekman pumping. More sophisticated constraints
511 such as the prescription of an isopycnal flow at 1000 m (i.e., a vertical velocity component that, in
512 conjunction with the local horizontal velocity field, leads everywhere at 1000 m to the alignment of
513 the movement along isopycnal surfaces) or the connection of salinity minima (i.e., 3D movements
514 parallel to the surface encompassing all local salinity minima) do not lead to better results. It is still

515 worth noting that the mean relative errors in these test experiments remain within an acceptable
516 range (of the order of 10%). Using the full variable velocity and tracer fields of the OGCM, we
517 could check that the circulation at 1000 m is not purely isopycnal (even when turbulent vertical
518 mixing is considered), nor does it follow exactly the salinity minimum. Given that the tracer
519 equations in the model include sinks and sources of temperature and salinity through the restoring
520 to the Levitus (1982) seasonal climatology, it is difficult to reach a definitive conclusion as to
521 whether the results with a purely horizontal flow would be always better than the results including
522 more physical considerations, especially when dealing with genuine Argo data. Interestingly, the
523 inclusion of vertical turbulent diffusivity in the diagnostic of the vertical velocity at 1000 m, in the
524 form of an advective-diffusive balance, most often reduces the errors of the test experiments when a
525 realistic value of $10^{-5} \text{ m}^2 \text{ s}^{-1}$ is used for the eddy diffusivity coefficient (Test_6 and Test_15).
526 Therefore, in the absence of non-local redistribution of heat and salt (i.e., without restoring),
527 turbulent vertical mixing is likely a matter not to be neglected for the derivation of a relevant
528 expression for the vertical velocity.

529 In the event of promoting monthly gridded datasets for tracer and velocity such as the AGVA
530 (Absolute Geostrophic Velocities from Argo) product distributed by the University of Washington
531 (Gray and Riser, 2014), an optimization of the velocity field based on monthly values is worth
532 testing. This is achieved by defining experiment Test_29 on the basis of the same parameters as
533 Test_2 but with inclusion of monthly variability in the Ekman, geostrophic and reference velocity
534 fields. In the scripts that allow the calculation of the first guess, we simply replace the annual mean
535 values of the model and wind fields by the 12 successive monthly mean climatological values, and
536 the optimization is applied independently over each monthly velocity sample. Unsurprisingly, the
537 reconstructed velocity field shows a good capacity to recover the main features of the AAIW
538 transfers across the South Atlantic. However, the compatibility of the tracer and velocity
539 information is slightly less accurate than for the reconstructions based on annual mean values. We
540 interpret this last result as evidence that the condition used for the vertical velocity at 1000 m can be

541 acceptable on annual average, but more debatable at monthly scales. This is confirmed by the
542 outcome of another monthly varying test experiment (not shown), using this time the vertical
543 position of the salinity minimum as a marker of the 3D velocity field at ~1000 m depth (as in
544 Test_4), instead of the less physically grounded zero vertical velocity (as in Test_2). The
545 performance of this experiment in the meaning of the synthesis expressed by Table 1 is comparable
546 to Test_2. It denotes significant improvement over the results of Test_4 run with annual tracer and
547 velocity fields, which validates the use of a physical condition on the vertical velocity in that case.

548

549 **5. Concluding remarks**

550 The reconstruction of the annual average of the 3D velocity field of an OGCM for tracing
551 AAIW in the South Atlantic Ocean, within the spirit of analyses to be done with the climatological
552 information deduced from Argo profilers, has proved successful provided that enough care is
553 brought to the procedure. Accurate surface wind stress information and a suitable expression for the
554 vertical velocity at the level of the intermediate waters are essential ingredients for deriving
555 eventually Lagrangian analyses that do not fall short in comparison with equivalent analyses based
556 on the original model velocity and tracer fields. The emphasis was here on the transmission of
557 AAIW from a meridional section at 7°E west of Southern Africa to either the tropical Atlantic
558 (27.8°S) or to the western boundary current system before southward recirculation (interception at
559 35°W). The intensity of the transfers as well as the mean and standard deviation of their positioning
560 on each control section were used to assess the soundness of the reconstructed velocity field. The
561 in-depth investigation of the validity of the results also required the careful inspection of the
562 coupling between the velocity and tracer fields, knowing that water mass transformations will likely
563 be well worth studying with gridded Argo data. It was therefore essential to test the thermodynamic
564 properties of the water transferred across the South Atlantic. On that point, our analysis focused on
565 the mean and standard deviation of temperature and salinity at both ends of each transfer. Subtle
566 changes in the vertical velocity that balances the convergence of the horizontal velocity field had

567 major implications for the mapping of initial and final thermodynamical properties, and thus for the
568 inference of the true conversion allowed by turbulent mixing with adjacent water masses. Among
569 the parameters tested in the analysis, the mean initial and final salinity values do not pose
570 significant difficulties. This is quite the reverse for the spreading around these averages, especially
571 on the final interception sections. Unsuccessful test experiments tend to overestimate significantly
572 the standard variation associated with final salinity, a sign that erroneous displacements, either
573 horizontally or vertically, misplaced each AAIW vein or at least altered its identity as a water mass,
574 with too much modification along its displacement.

575 Successful though it appears in the ocean interior, the reconstruction algorithm is not a
576 relevant technique for an extension of the calculations to regions closer to the continents and to
577 boundary layers. In particular, one cannot rely only on a mathematical optimization algorithm to
578 recover the missing dynamics near the continents where ageostrophic processes can be important.
579 Then, mass conservation on a larger scale would require special care in the definition of an
580 appropriate condition for vertical velocity at all intermediate depths, which may not be
581 straightforward to draft. We guess that a reanalysis of the ocean circulation with the full inclusion
582 of the observations allowed by the Argo network, possibly with a data assimilation scheme, would
583 define a more convenient framework for a study focusing on regions closer to the coast, to the
584 bottom floor or to the surface. Not relying only on Argo and surface wind observations is however a
585 major change in direction that would make obsolete the approach we promote in this study.

586 Then, it is worth reminding that the OGCM simulation we analyse does not allow eddy-scale
587 variability. At the most, the operators used for lateral and vertical mixing account crudely for some
588 of the turbulence maintained by eddies in the true ocean. Most of the turbulent mixing is actually
589 achieved implicitly, thanks to the restoring applied on tracer values. The restoring terms are
590 especially active in the regions where the model physics cannot account for strong eddy or vertical
591 diffusion and, thus, intend to mimic roughly the physical processes that are missing in the model.
592 Eddy-resolving simulations can provide a more accurate description of the ocean circulation at

593 small scales, but with the disadvantage of not being integrated long enough to describe conveniently
594 the mass exchanges organized at basin scale: several tens (or even hundreds) of years of Lagrangian
595 integrations are needed for instance for a thorough derivation of the mass exchanges analysed in
596 this study. However, these simulations could prove useful to investigate whether tracer-velocity
597 correlations at time scales between a few days and a few months, at the standard parking level
598 of the Argo profilers, do matter for the interpretation of tracer modifications in the longer term.

599 On-going work targets its application to gridded Argo-derived data, by valuing in particular
600 the deep displacements available in the ANDRO atlas (Ollitrault and Ranou, 2013). The South
601 Atlantic Ocean is a clear target, but we are also looking at investigating the fates and origins of
602 AAIW in other oceanic basins. The extension of the analysis to other water masses merits further
603 research, notably to test the relevance of an absolute reference velocity field at 1000 m for a
604 thorough investigation of displacements at remote depths. Ageostrophic processes and especially
605 turbulent mixing as well as seasonal variability might indeed constitute serious obstacles to the
606 derivation of unbiased Lagrangian analyses. Here again, preliminary tests based on synthetic data,
607 just like those used in this study, could prove highly instructive.

608

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610

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615

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618

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740

741

742 **Table and figure caption**

743

744 Figure 1: Horizontal layout of the model variables on a C-grid, at level k . Arrows show the
745 horizontal interpolation of the tracer values on the "F" points (first step of the reconstruction
746 algorithm).

747 Figure 2: Example of adjacent water columns over which the volume budget optimization is
748 to be done.

749 Figure 3: Example of active neighbourhood for the computation of a meridional velocity
750 value. Dotted arrows show the horizontal interpolation of the tracer values on the "F" points and
751 solid arrows show the interpolated values used for calculation of the meridional velocity. The
752 shaded tracer grid cell in the bottom right-hand corner is chosen on land and is not used for the
753 interpolation.

754 Figure 4: a) Original annual mean circulation at 500 m shown as black segments with a size
755 proportional to the local horizontal velocity. White segments show the difference (magnified 10
756 times) with the first guess velocity field that consists only of the addition of the geostrophic, Ekman
757 and 1000-m absolute components. b) Original model horizontal circulation at 1000 m shown as
758 black segments with a size proportional to the local velocity. White segments now show the
759 barotropic correction (magnified 100 times) needed to complete the optimization process and added
760 to the first guess at each level. In both panels, the bathymetry is shaded with a 1000 m contour
761 interval (the lighter the shading, the deeper the ocean floor) and the bathymetric features mentioned
762 in the text are specified in white in the bottom panel.

763 Figure 5: Lagrangian horizontal streamfunction for the basin-wide experiment. The contour
764 interval is 0.5 Sv. The domain over which the test experiments will be performed is framed with a
765 dashed line.

766 Figure 6: Lagrangian horizontal streamfunction for the reference experiment using full
767 information from the model velocity field. Solid contours: the volume transfer from 7°E to 27.8°S.
768 Dashed contours: volume transfer from 7°E to 35°W. The contour interval is 0.5 Sv.

769 Figure 7: Ranking of test experiments according to the mean relative errors made on
770 kinematics (transfer intensities, mean positions at 27.8°S and 35°W and associated standard
771 deviations) and on thermodynamics (inflowing and outflowing temperature and salinity fluxes for
772 each transfer). The numbers and features of all test experiments are listed in Table 1. For easier
773 readability, test experiments using $W = 0$, an isopycnal flow or the connection of salinity minima as
774 a condition on the vertical velocity are shown with plus, star and diamond symbols, respectively.

775 Figure 8: Relative errors for each test experiment and each control parameter. The filled
776 contours show the error intervals [0-0.1%], [0.1-0.3%], [0.3-1%], [1-3%], [3-10%], [10-30%],
777 [30-100%], and [100-300%] with progressively darker shading. The code for the control parameters
778 is the following: TR, X, Y, Z, T, and S refer to the intensity, longitude, latitude, depth, temperature,
779 and salinity, respectively, for the transfers made either to the northern section at 27.8°S (suffix
780 "_n") or the western section at 35°W (suffix "_w"). For each transfer, each parameter (except TR) is
781 tested both on the initial section at 7°E ("i") and on the final section ("f"). The experiments and
782 parameters have been sorted to make the plot more legible (see text for details).

783

784 Table 1: Summary table showing the characteristics of each test configuration. Configurations
785 Test_0 and Test_1 refer to the original model tracer and velocity fields, considered at monthly and
786 annual scale, respectively.

787 Table 2: List of all control parameters and corresponding values for the reference experiment,
788 (top) for the northward transfer from 7°E to 27.8°S, (bottom) for the westward transfer from 7°E to
789 35°W. Means and standard deviations (std) are calculated from the individual properties of the
790 particles that make each connection.

Figure 1
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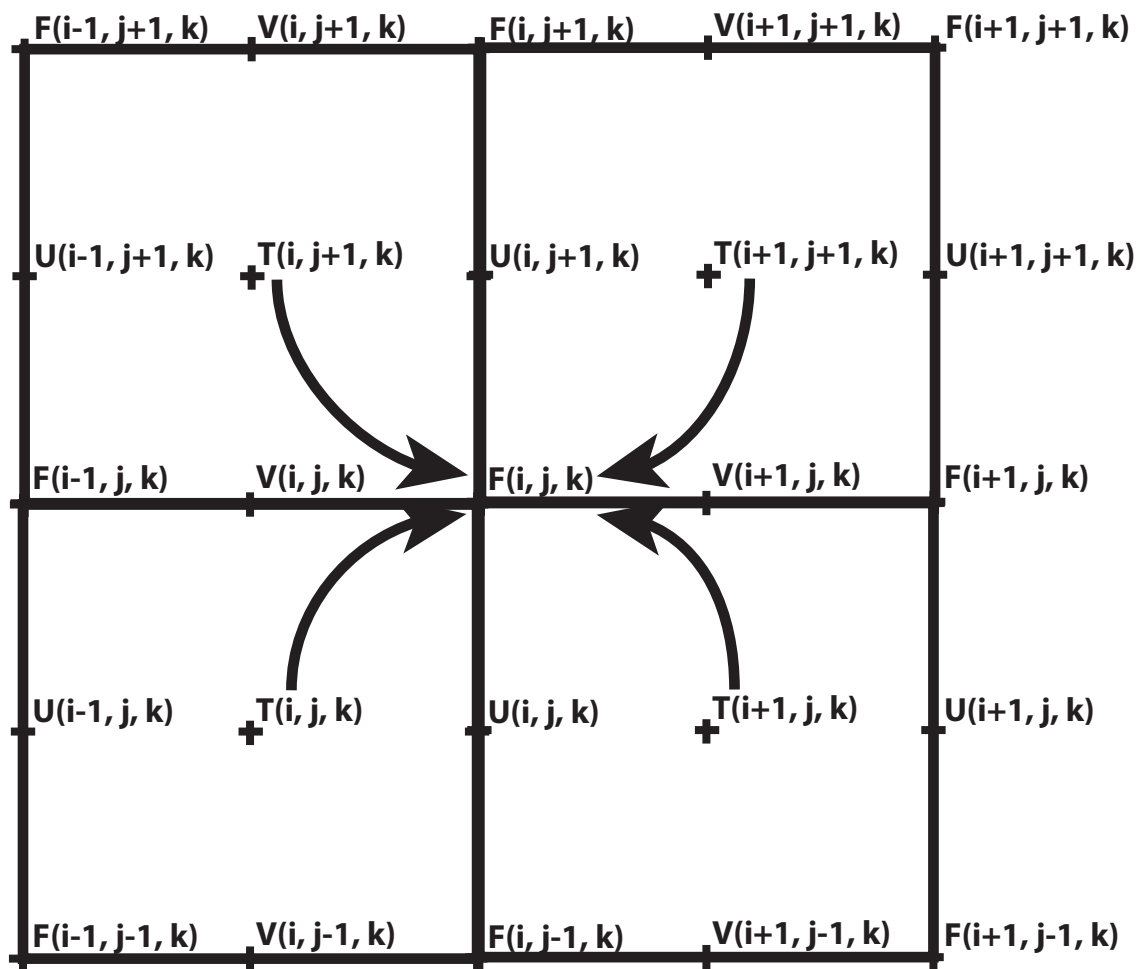


Figure 2
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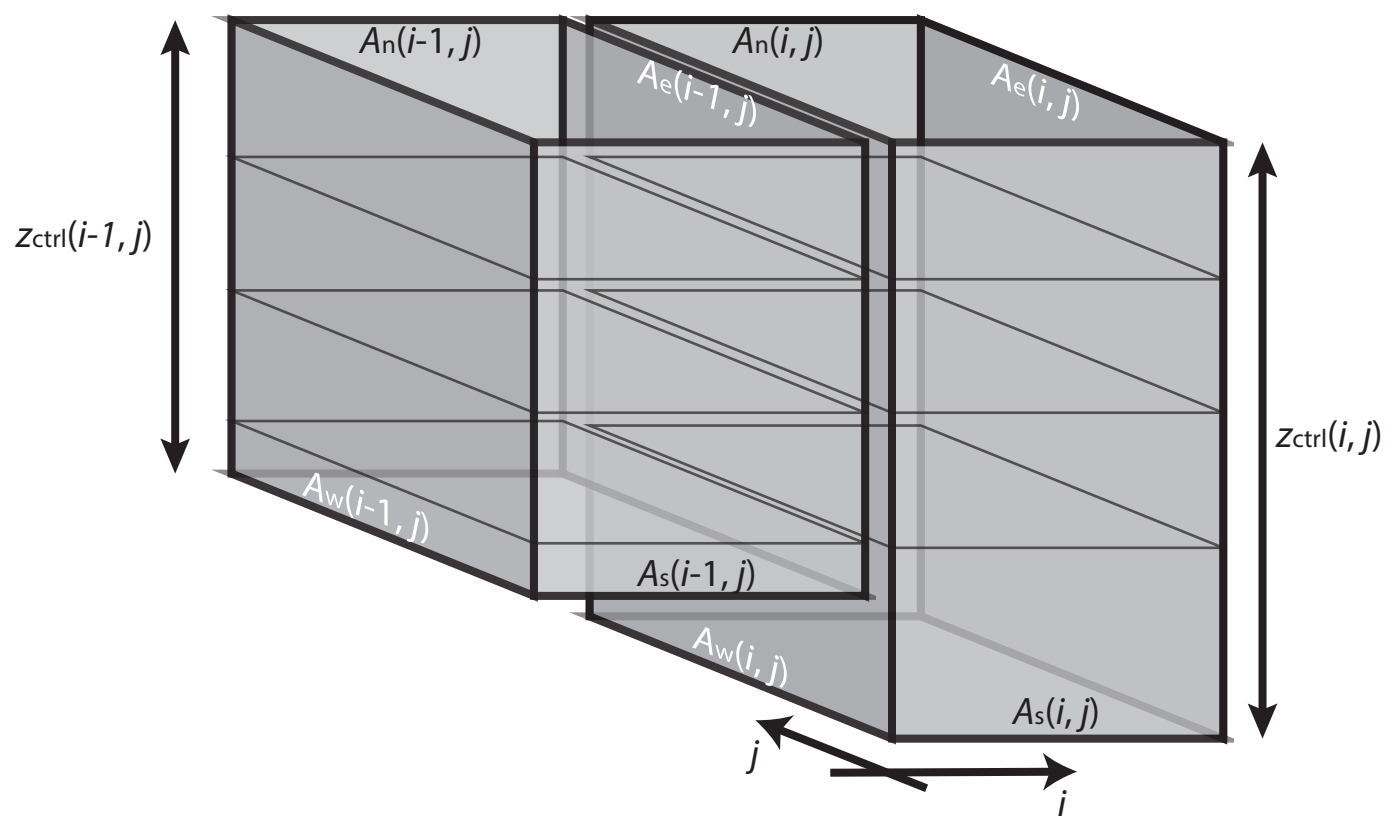


Figure 3
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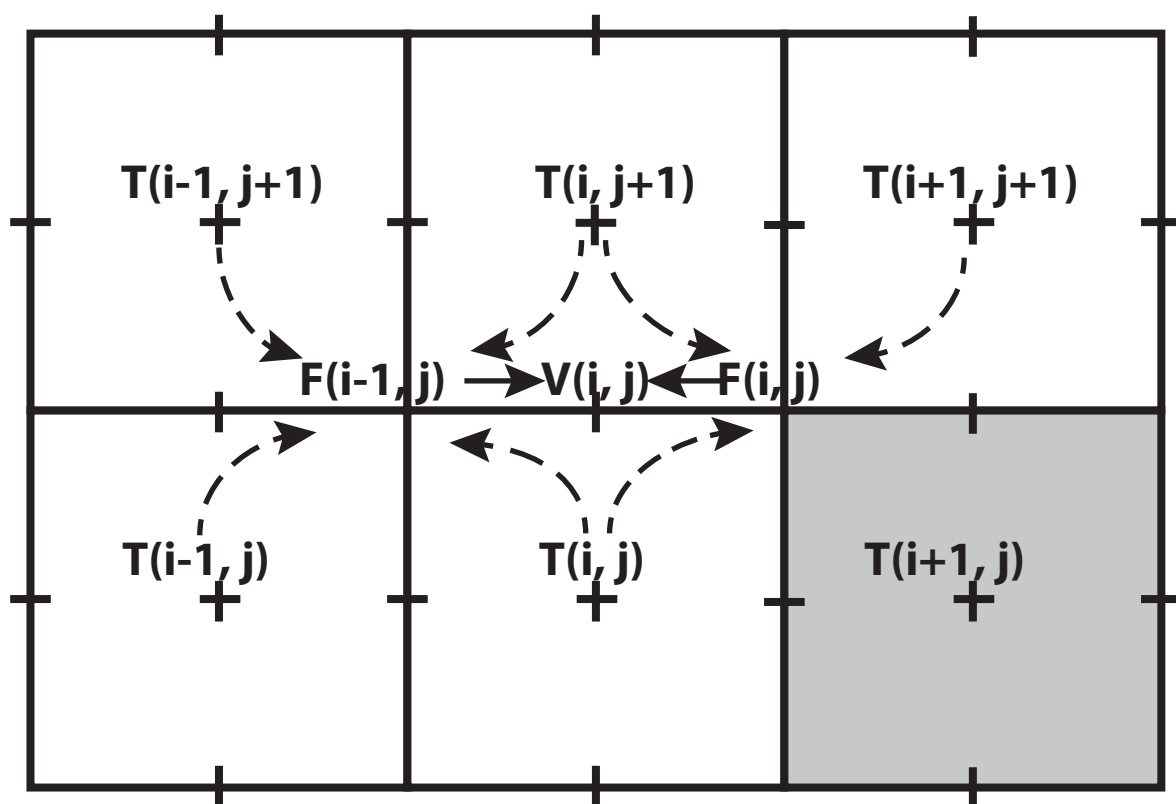


Figure 4

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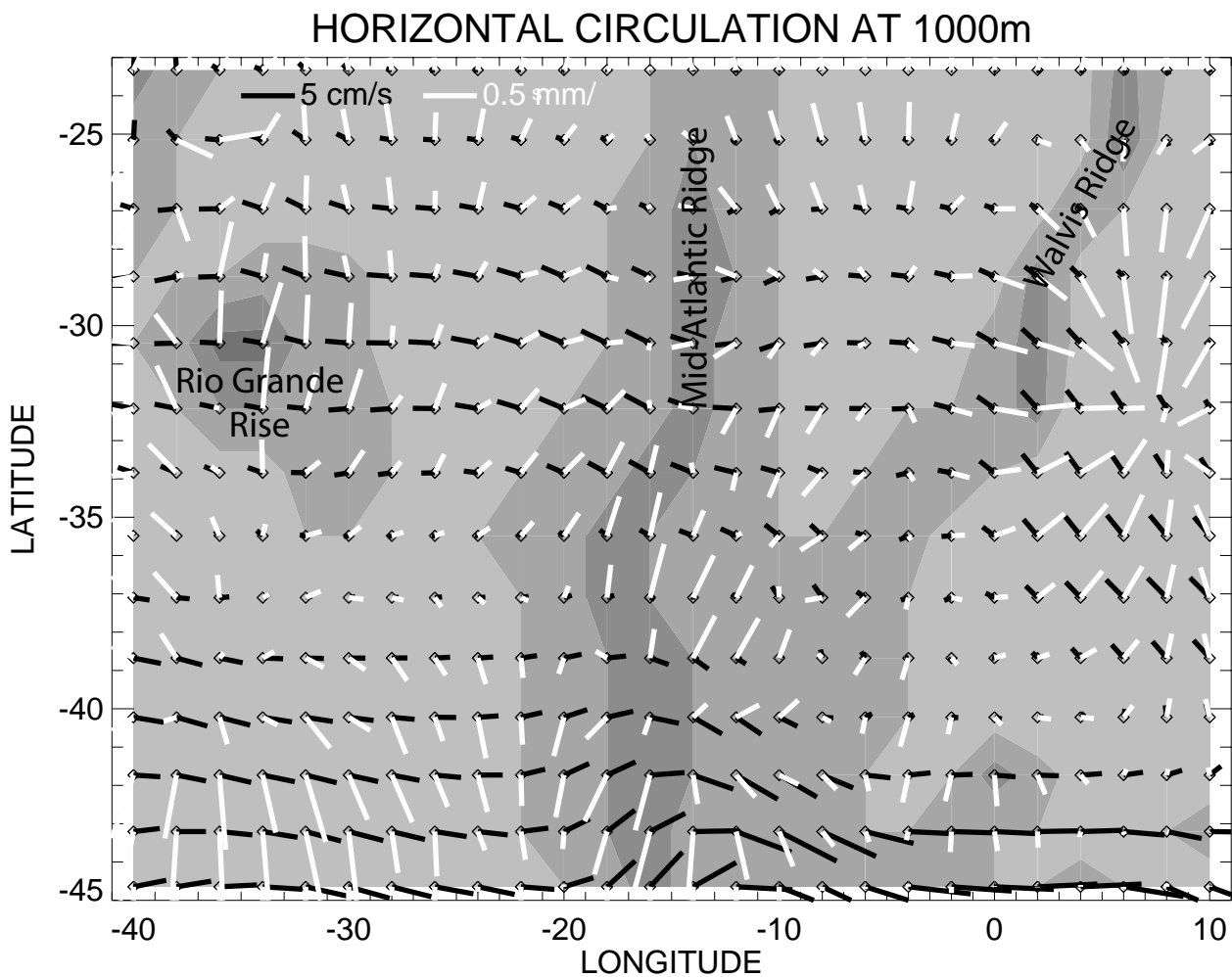
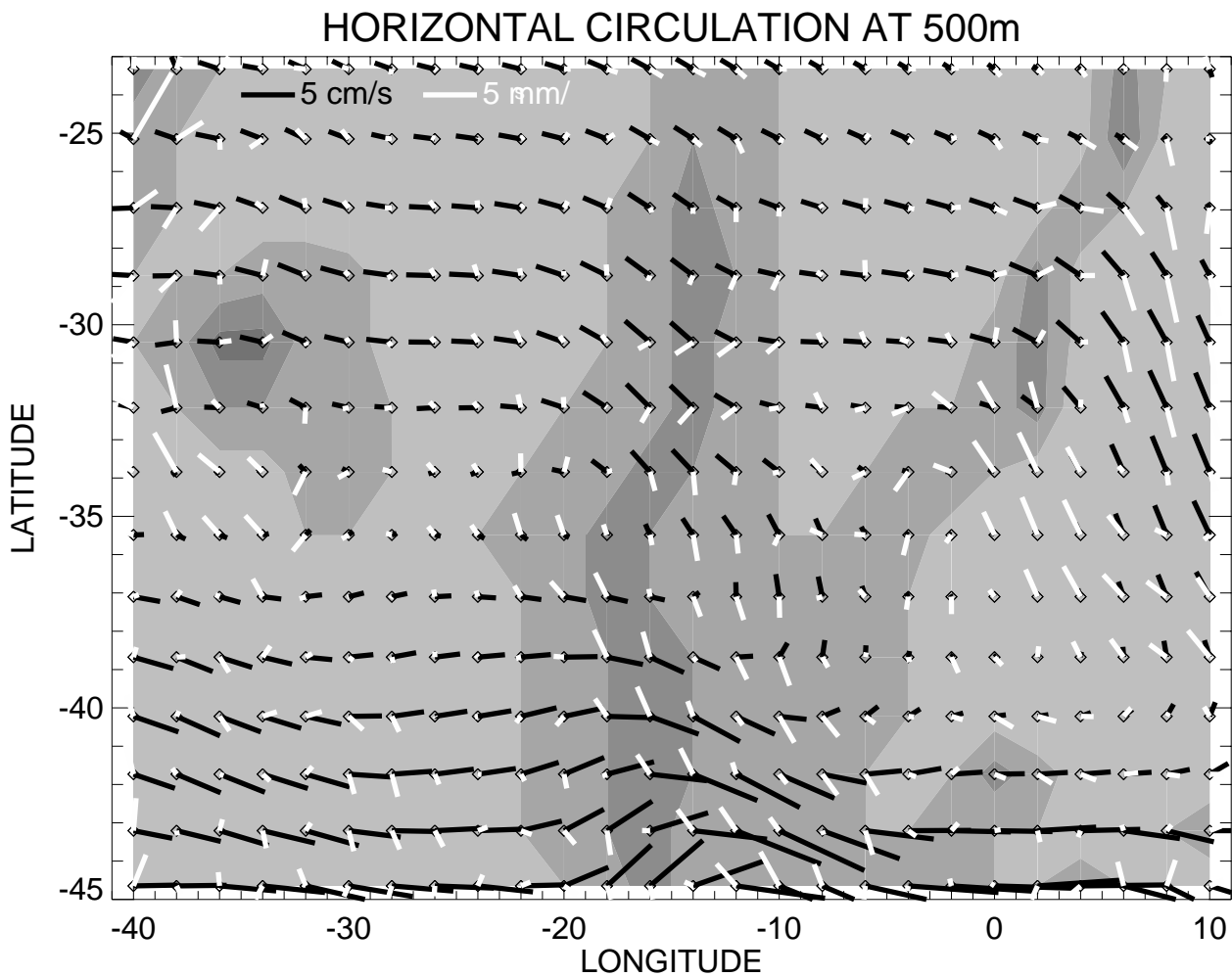


Figure 5
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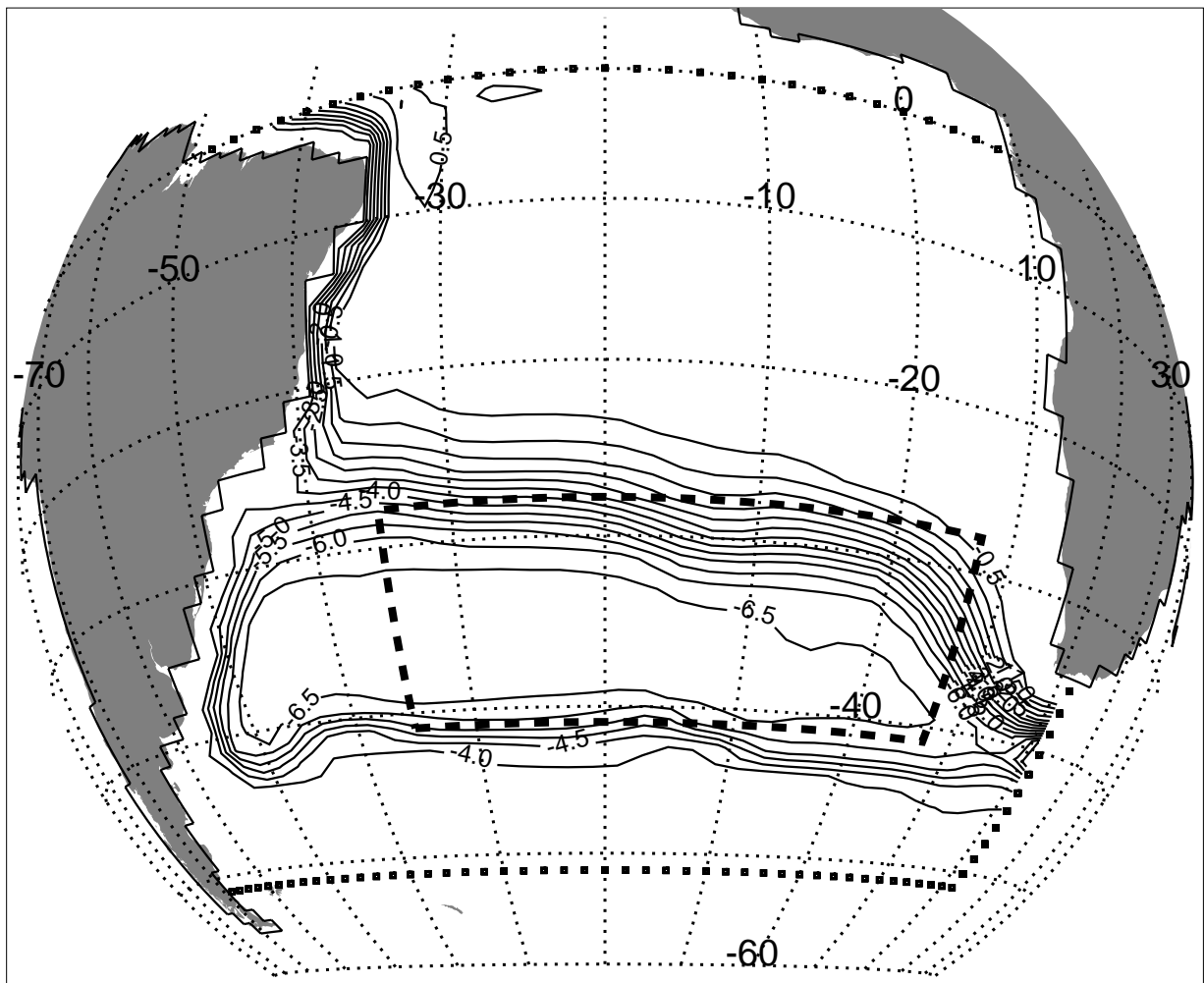


Figure 6
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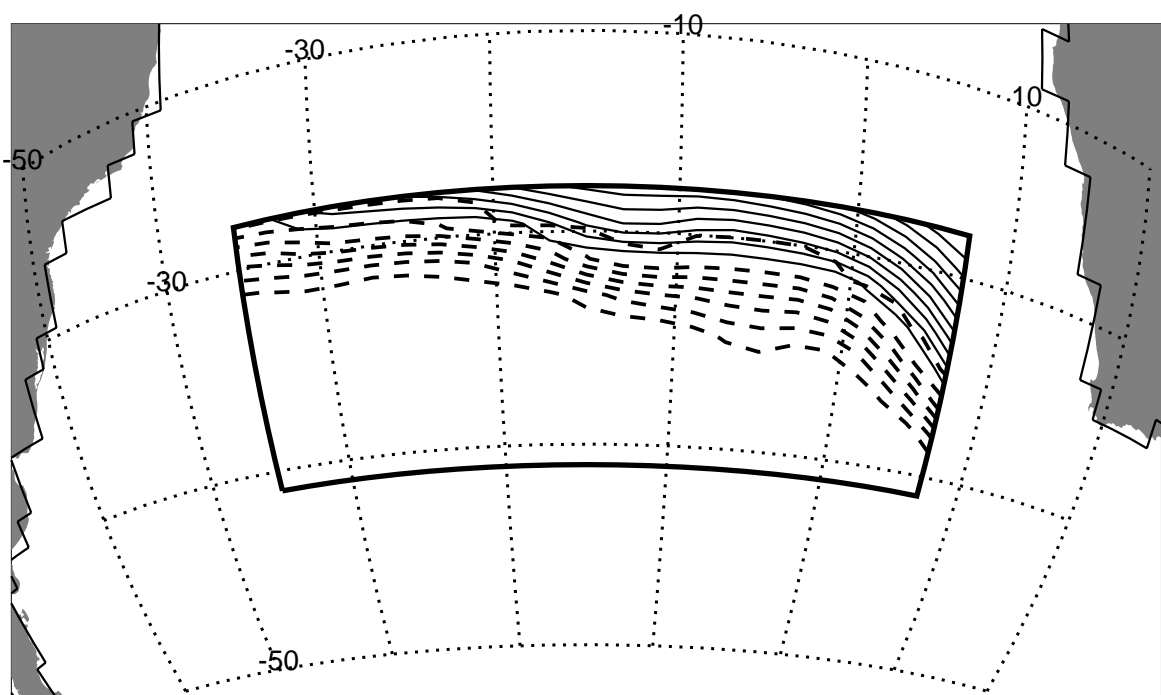


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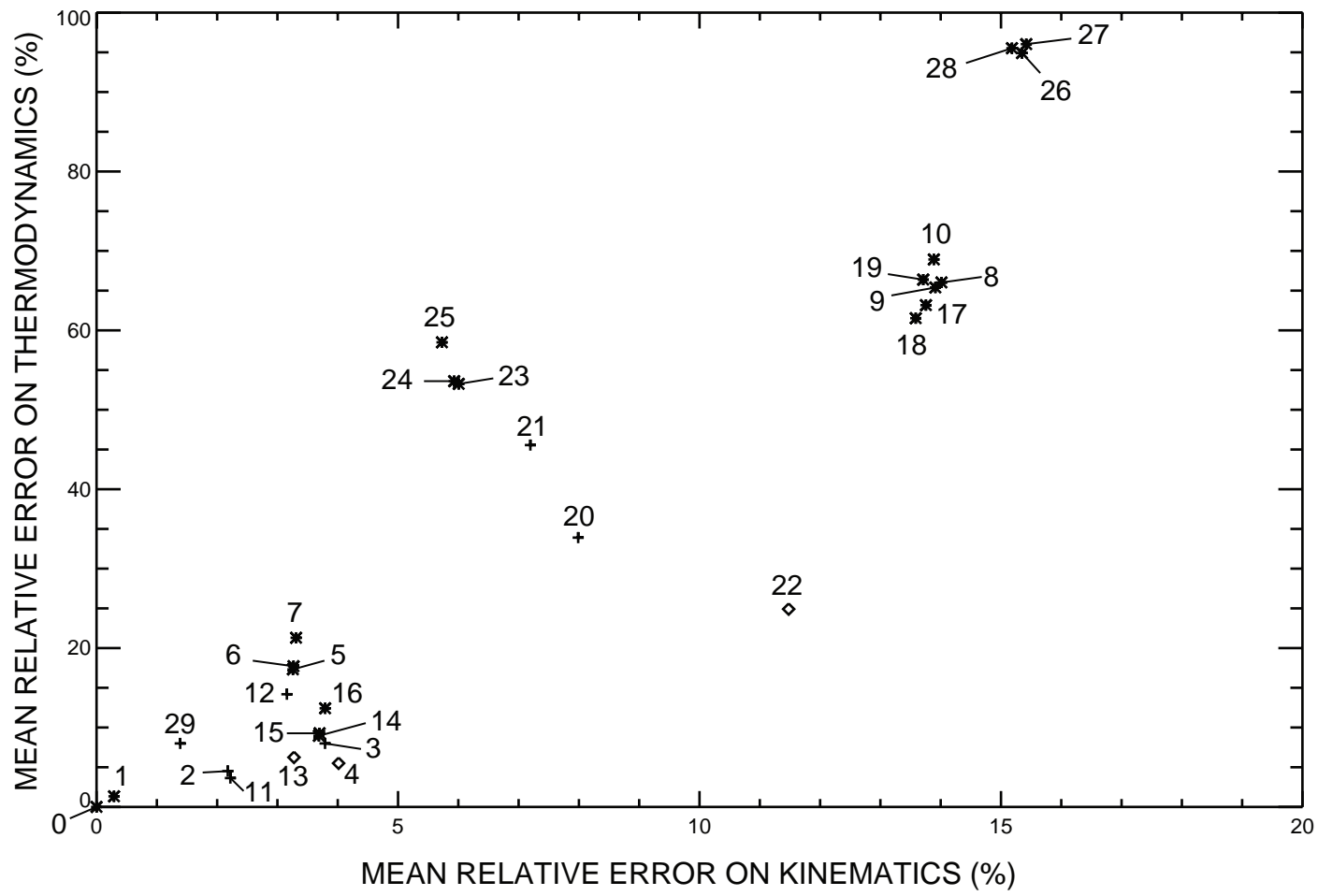


Figure 8

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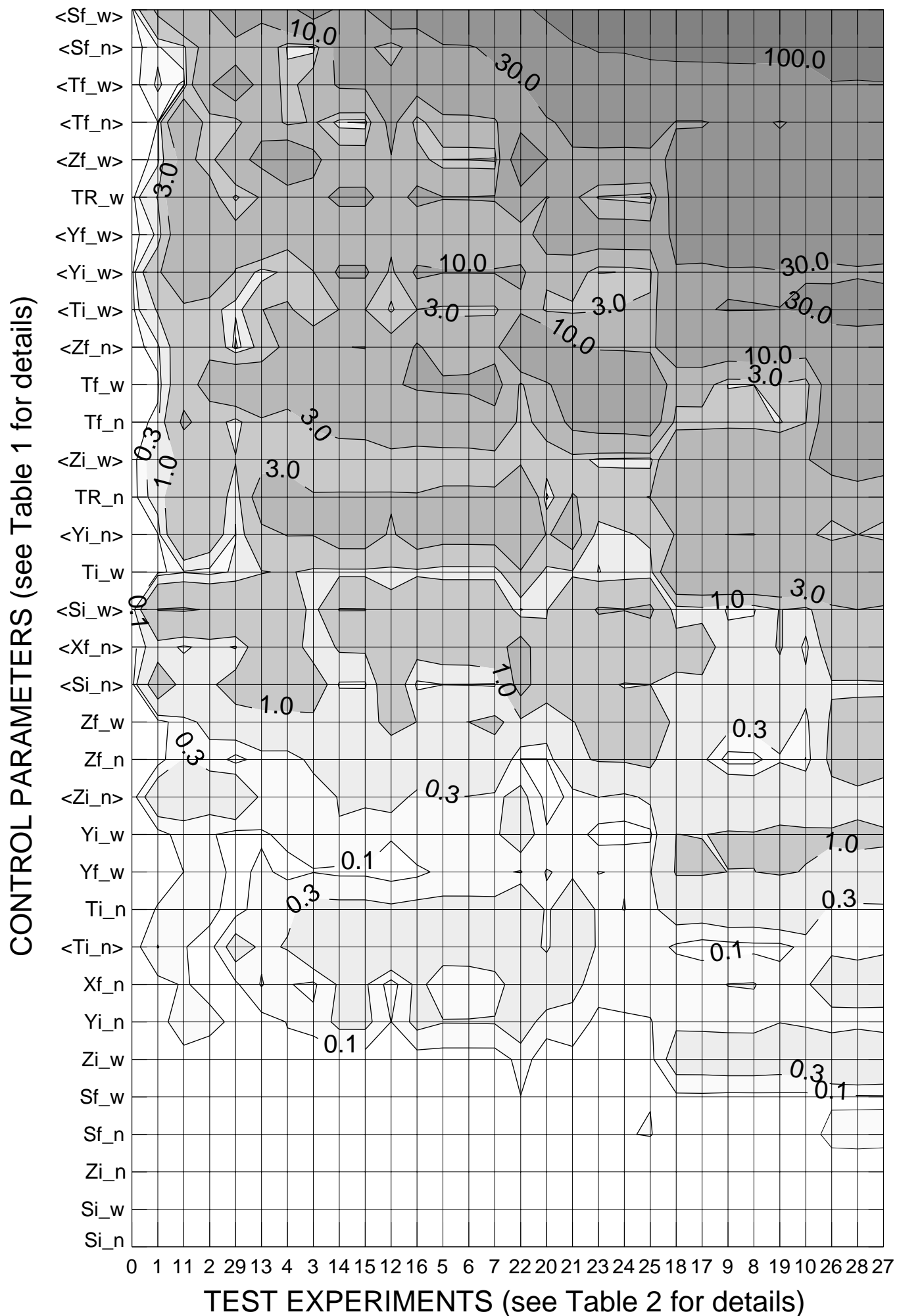


Table 1

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Experiment name	Origin for the velocity field	Time variability	Wind stress product	Ocean interior constraint on W	Working depth	K_v ($\text{m}^2 \text{s}^{-1}$)
Test_0	model	monthly	(ECMWF)	n/a	n/a	n/a
Test_1	model	annual	(ECMWF)	n/a	n/a	n/a
Test_2	reconstruction	annual	ECMWF	$W = 0$	1000 m	n/a
Test_3	reconstruction	annual	ECMWF	$W = 0$	1800 m	n/a
Test_4	reconstruction	annual	ECMWF	salinity minimum	n/a	n/a
Test_5	reconstruction	annual	ECMWF	isopycnal flow	1000 m	0
Test_6	reconstruction	annual	ECMWF	isopycnal flow	1000 m	10^{-5}
Test_7	reconstruction	annual	ECMWF	isopycnal flow	1000 m	10^{-4}
Test_8	reconstruction	annual	ECMWF	isopycnal flow	1800 m	0
Test_9	reconstruction	annual	ECMWF	isopycnal flow	1800 m	10^{-5}
Test_10	reconstruction	annual	ECMWF	isopycnal flow	1800 m	10^{-4}
Test_11	reconstruction	annual	HR	$W = 0$	1000 m	n/a
Test_12	reconstruction	annual	HR	$W = 0$	1800 m	n/a
Test_13	reconstruction	annual	HR	salinity minimum	n/a	n/a
Test_14	reconstruction	annual	HR	isopycnal flow	1000 m	0
Test_15	reconstruction	annual	HR	isopycnal flow	1000 m	10^{-5}
Test_16	reconstruction	annual	HR	isopycnal flow	1000 m	10^{-4}
Test_17	reconstruction	annual	HR	isopycnal flow	1800 m	0
Test_18	reconstruction	annual	HR	isopycnal flow	1800 m	10^{-5}
Test_19	reconstruction	annual	HR	isopycnal flow	1800 m	10^{-4}
Test_20	reconstruction	annual	$\tau = 0$	$W = 0$	1000 m	n/a
Test_21	reconstruction	annual	$\tau = 0$	$W = 0$	1800 m	n/a
Test_22	reconstruction	annual	$\tau = 0$	salinity minimum	n/a	n/a
Test_23	reconstruction	annual	$\tau = 0$	isopycnal flow	1000 m	0
Test_24	reconstruction	annual	$\tau = 0$	isopycnal flow	1000 m	10^{-5}
Test_25	reconstruction	annual	$\tau = 0$	isopycnal flow	1000 m	10^{-4}
Test_26	reconstruction	annual	$\tau = 0$	isopycnal flow	1800 m	0
Test_27	reconstruction	annual	$\tau = 0$	isopycnal flow	1800 m	10^{-5}
Test_28	reconstruction	annual	$\tau = 0$	isopycnal flow	1800 m	10^{-4}
Test_29	reconstruction	monthly	ECMWF	$W = 0$	1000 m	n/a

Table 2

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Initial section at 7°E			Final section at 25.8°S		
Control parameter	Code	Value	Control parameter	Code	Value
mean latitude	Yi_n	32.2°S	mean longitude	Xf_n	15.7°W
latitude std	<Yi_n>	2.2°	longitude std	<Xf_n>	13.9°
mean depth	Zi_n	874. m	mean depth	Zf_n	866. m
depth std	<Zi_n>	171. m	depth std	<Zf_n>	150. m
mean temperature	Ti_n	4.6°C	mean temperature	Tf_n	4.7°C
temperature std	<Ti_n>	1.1°C	temperature std	<Tf_n>	1.1°C
mean salinity	Si_n	34.44	mean salinity	Sf_n	34.43
salinity std	<Si_n>	0.05	salinity std	<Sf_n>	0.04
intensity of the transfer	TR_n	5.6 Sv			

Initial section at 7°E			Final section at 35°W		
Control parameter	Code	Value	Control parameter	Code	Value
mean latitude	Yi_w	37.3°S	mean latitude	Yf_w	29.7°S
latitude std	<Yi_w>	1.2°	latitude std	<Yf_w>	1.2°
mean depth	Zi_w	944. m	mean depth	Zf_w	976. m
depth std	<Zi_w>	166. m	depth std	<Zf_w>	136. m
mean temperature	Ti_w	3.8°C	mean temperature	Tf_w	4.1°C
temperature std	<Ti_w>	0.8°C	temperature std	<Tf_w>	0.9°C
mean salinity	Si_w	34.37 psu	mean salinity	Sf_w	34.38 psu
salinity std	<Si_w>	0.04 psu	salinity std	<Sf_w>	0.03 psu
intensity of the transfer	TR_w	3.6 Sv			