



RESEARCH LETTER

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Key Points:

- Recent evolution of ocean carbon sink is attributed to rising atmospheric CO₂
- Climate change impacts on air-sea CO₂ exchanges are detected in tropical oceans
- Variability in polar oceans hampers the detection climate impacts on CO₂ fluxes

Supporting Information:

- Readme
- Text S1

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Detecting the anthropogenic influences on recent changes in ocean carbon uptake

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Abstract Anthropogenic greenhouse gas emissions have modified the rate at which oceans have absorbed atmospheric CO₂ over the last centuries through rising atmospheric CO₂ and modifications in climate. However, there are still missing pieces in our understanding of the recent evolution of air-sea CO₂ exchanges related to the magnitude of their response to anthropogenic forcings versus that controlled by the internal variability. Here, to detect and attribute anthropogenic influences on oceanic CO₂ uptake between 1960 and 2005, we compare an ensemble of Coupled Model Intercomparison Project Phase 5 (CMIP5) climate model simulations forced by individual drivers to ocean-only model reconstructions. We demonstrate that the evolution of the global oceanic carbon sink over the last decades can be understood without invoking climate change, attributing rising atmospheric CO₂ as prominent driver of the oceanic sink. Nonetheless, at regional scale, the influence of climate change on air-sea CO₂ exchanges seems to emerge from the internal variability within the low-latitude oceans.

1. Introduction

One of the greatest sources of uncertainty for future climate predictions is the response of the global carbon cycle to climate change [IPCC, 2013]. The growth of atmospheric CO₂ acts on the ocean carbon sink in two contrasting ways: it strengthens the ocean carbon sink by increasing the difference in the partial pressure of CO₂ between the atmosphere and the ocean, but also weakening that sink from associated global warming caused by anthropogenic CO₂ and other greenhouse gases [Sarmiento *et al.*, 1998; Plattner *et al.*, 2001; Friedlingstein *et al.*, 2006; Cadule *et al.*, 2009]. The mechanisms invoked to explain this weakening refer to changes in CO₂ solubility [Roy *et al.*, 2011], biology [Bopp *et al.*, 2013] and ocean circulation and ventilation [Sférian *et al.*, 2012; Schwinger *et al.*, 2014]. The reduction in the ocean carbon sink can be ultimately exacerbated by a contribution from the changing buffer capacity of ocean water [Egleston *et al.*, 2010], as the inorganic carbon content of surface waters increases in response to the ocean circulation slowdown.

Up to present, the oceanic uptake of CO₂ has reduced the rate at which anthropogenic carbon accumulates in the atmosphere [Sabine *et al.*, 2004]. The magnitude of this carbon sink is presently estimated to roughly one fourth of the anthropogenic CO₂ emissions [Le Quéré *et al.*, 2013; Wanninkhof *et al.*, 2013]. However, as the ocean carbon sink is sensitive to climate as well as atmospheric CO₂, models predict a future weakening of the CO₂ uptake rate in response to ongoing climate change, resulting in a positive carbon-climate feedback [Friedlingstein *et al.*, 2006]. The effort to identify this climate-carbon feedback promoted the investigation of trends in ocean carbon uptake [Le Quéré *et al.*, 2007; Schuster and Watson, 2007; Thomas *et al.*, 2008; Schuster *et al.*, 2009; Metzl *et al.*, 2010; Watson *et al.*, 2011] in order to detect substantial deviations from the expected response to rising atmospheric CO₂. However, the causes of the recent variations in the ocean carbon uptake over the last decades are still poorly understood [Le Quéré *et al.*, 2007; Lovenduski *et al.*, 2008; McKinley *et al.*, 2011; Bates, 2012].

Over the past 10 years, numerous studies tried to explain observed decadal changes in ocean carbon uptake from atmospheric or oceanic data taking a regional approach. For example, recent variability in oceanic carbon uptake in the North Atlantic sector was attributed to either changes in stratification or to the modification of the horizontal currents in response to a shift in the North Atlantic Oscillation regime [Thomas *et al.*, 2008; Schuster *et al.*, 2009]. The decrease of the Southern Ocean carbon sink, on the other hand, was explained by the strengthening of the westerlies in response to increasingly positive phases of the Southern Annular Mode [Lovenduski *et al.*, 2008]. However, the fact that these previous studies differed in their methodology and region of focus makes drawing conclusions at the global scale difficult.

Here, we present a first application of detection and attribution (D&A) methods to changes in ocean carbon uptake over the last decades. In this framework, *detection* refers the process of demonstrating that an observed change cannot be explained by internal climate variability in a statistical sense. The *attribution* of a change to a cause requires, in addition, the demonstration that the detected change is consistent with the expected response to known external forcings and not consistent with other alternative physically-plausible explanations.

Instrumental records of oceanic carbon-related data go back to the early 1960s. They are unevenly distributed with five times more data collected each month in the Northern Hemisphere than in any other oceanic regions [Bakker *et al.*, 2012]. The scarcity of observations impairs our capability to detect a weakening of the ocean carbon sink over the past decades in response to anthropogenic climate impacts [Fay and McKinley, 2013]. To circumvent this issue, we use gridded reconstructions of ocean carbon fluxes provided by the seven ocean biogeochemical models as pseudo-observations over the 1960–2005 period. These model reconstructions have contributed to the Regional Carbon Cycle Assessment and Processes [Canadell *et al.*, 2011] (RECCAP) project as a part of the Global Carbon Project [Le Quéré *et al.*, 2013] (GCP).

2. Materials

2.1. RECCAP Reconstructions

The RECCAP initiative provides a set of reconstructions from 1959 to 2005 performed with seven ocean biogeochemical general circulation model forced by atmospheric reanalyses of the National Center for Environmental Prediction (NCEP, [Kalnay *et al.*, 1996]). The reconstructions of ocean carbon fluxes in the RECCAP initiative are estimated from MICOM-HAMOCC5.1 [Assmann *et al.*, 2010], CCSM-BOGCM [Matear and Lenton, 2008], CCSM-BEC [Doney *et al.*, 2009], CCSM-ETHk15, CCSM-ETHk19 [Graven *et al.*, 2012], NEMO-PISCES [Aumont and Bopp, 2006], and NEMO-Planktom5 [Buitenhuis *et al.*, 2010]. Even if some of the models that are used here are based on similar original codes (it is the case for the models derived from CCSM model), we consider them as independent from each other since all the ocean biogeochemical models are based on different representations of the marine biogeochemistry. Of the nine available reconstructions, three were carried out with NEMO-Planktom5 using three different wind products: ECMWF (European Centre for Medium-Range Weather Forecasts, [Dee *et al.*, 2011]), NCEP, and CCMP (cross-calibrated multiplatform, [Atlas *et al.*, 2011]). Here, we have chosen to keep only one of these three reconstructions in order to ensure consistency in the model distribution. The seven remaining reconstructions produced by the seven ocean biogeochemical models forced with the same atmospheric forcing product are used here, as pseudo-observations, to detect and attribute anthropogenic influences on the oceanic sink of CO₂ from 1960 to 2005.

2.2. Coupled Model Intercomparison Project Phase 5 (CMIP5) “Fingerprints” Simulations

We used several ensembles of simulations from CMIP5 Earth system models to distinguish between the effect of increasing atmospheric CO₂, the effect of climate, the combined effects of both, and the internal variability on the ocean carbon fluxes. The GEO ensemble represents the influence of rising anthropogenic CO₂ into the atmosphere without accounting for its contribution to the radiative forcing (hereafter, the geochemical forcing). The CLIM ensemble considers only change in climate due to external forcings without accounting for their contributions to geochemical forcing (hereafter, the climate forcing). External forcings include anthropogenic CO₂ and other anthropogenic greenhouse gases and aerosols as well as natural forcings like natural aerosols, volcanoes and variations of the solar irradiance. The ALL ensemble integrates the influences of both the geochemical and the climate effect on the ocean carbon uptake. We evaluate the internal variability (IV) of ocean carbon fluxes by considering more than 13,000 years of control simulations including experiments in which external forcings are set to their preindustrial values and those in which atmospheric CO₂ is prognostically computed by the model without anthropogenic emissions. The size of each ensemble is, respectively, 7 for GEO, 5 for CLIM, 21 for ALL, and 297 for IV.

2.3. Data Processing

The ensembles of simulations, ALL, GEO, CLIM, RECCAP, and IV were processed as follows. We interpolated model outputs on a regular 1° × 1° grid. Carbon uptake was computed at global and regional scales by spatially integrating ocean carbon fluxes from 1960 to 2005. Regional budgets are calculated for the North Atlantic and North Pacific domains (north of 30°N); the low-latitude Atlantic and Pacific which includes the

Indian ocean (30°S and 30°N) and the Southern Ocean which includes all oceanic domains south of 30°S. The mean seasonal cycle was removed from both regional and global time series in order to compute yearly anomalies of ocean carbon uptake from 1960 to 2005. These time series were reduced to 10 time steps corresponding to temporal averages over 10 non-overlapping periods of ~4.5 years. We used a similar methodology for the 13,000 years of preindustrial simulation, except that these simulations were split into 297 time segments of 45 years before computing the 10 year averaged time series.

3. Detection and Attribution Methods

3.1. Detection Procedure Based on Long-Term Trend Analysis

The first step of our detection and attribution approach consists of a simple consistency test focusing on long-term trend analysis over the whole period. Here, we analyze the long-term contribution of the anthropogenic forcings to ocean carbon fluxes with respect to the long-term variations driven by the internal variability. We compared the linear trends computed from the time series of ocean carbon uptake at the global scale and in five oceanic domains. The probability density functions (pdf) of these long-term trends are described by a Gaussian distribution. The p -value results from a consistency test comparing long-term trends of the RECCAP's multi-model mean trends, y , to the distribution of the trends estimated from the GEO, CLIM, and ALL ensembles, X , as follows:

$$\frac{y - \bar{x}}{\sigma_x \sqrt{\frac{n+1}{n}}} \sim T_{n-1}$$

where \bar{x} and σ_x are the mean and standard deviation of the sample X . In this statistical test, a high [low] p -value indicates that trends of the RECCAP's multi-model mean are consistent [inconsistent] with the underlying distribution of the GEO, CLIM, and ALL ensembles. We compared the trends of the RECCAP, GEO, and CLIM ensemble means to the distribution of the estimated internal variability using the same protocol.

3.2. Fingerprint Analysis

To assess the relative contribution of each external forcing to the multi-decadal evolution of the ocean carbon uptake at both regional and global scales, we applied a detection and attribution analysis based on the Regularized Optimal Fingerprint (ROF [Ribes *et al.*, 2013]). A detection and attribution procedure is performed using a regression model where pseudo-observations Y are decomposed into the sum of scaled model-simulated responses to the geochemical and the climate external forcings g plus internal climate

variability ε_Y as $Y = \sum_{i=1}^2 \beta_i g_i + \varepsilon_Y$. In this statistical model, we assume that model-simulated responses to

external forcings are not perfectly known. They are potentially modulated by the internal variability (ε_g), so that $\tilde{g}_i = g_i + \varepsilon_g$. Scaling factors, β , are unknown and estimated by regressing pseudo-observations onto individual fingerprints using a total least square regression (TLS [Allen and Stott, 2003]). Confidence intervals on β are estimated for 90% significance level (see [Allen and Stott, 2003; Ribes *et al.*, 2013] for further details). Simultaneously, the TLS algorithm also provides estimates for ε_Y and ε_g , which are used to test goodness of fit (see section 3.3). Fingerprints of the GEO or the CLIM forcings have been evaluated from the ensemble mean of the corresponding set of simulations. Finally, more than 13,000 years of control simulation from the CMIP5 Earth system model ensemble (see supporting information) have been used to determine internal variability of ocean carbon fluxes.

The analysis was applied twice over the 10 non-overlapping periods between 1960 and 2005. The first application considers each oceanic domain individually, whereas the second integrates all regions together in order to capture global spatiotemporal information.

For GEO and CLIM, scaling factor β statistically inconsistent with 0 (meaning its confidence interval does not include 0) indicates that the response to the corresponding forcing is detected, confirming that this forcing has a significant influence on the observed changes. The influence of the corresponding forcing in the RECCAP reconstructions is similar in magnitude with that simulated by CMIP5 models when β is equal to 1. β smaller [larger] than 1 suggests that the CMIP5 model tends to overestimate [underestimate] the RECCAP reconstructions.

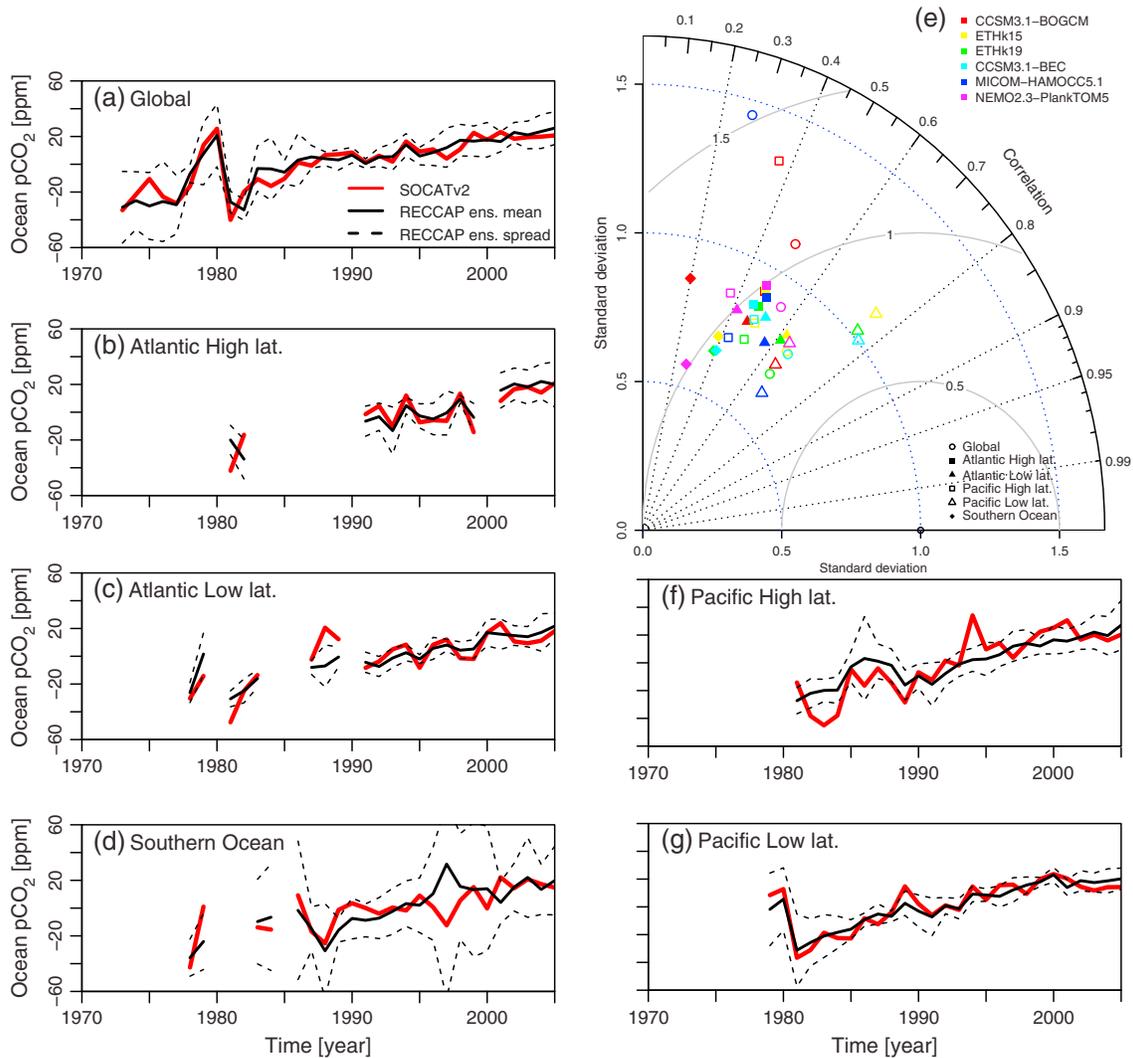


Figure 1. SOCATv2 and Regional Carbon Cycle Assessment and Processes (RECCAP) 1970–2005 time series of oceanic pCO₂ anomalies. Annual anomalies (in ppm) averaged at global scale and over the five oceanic domains as performed in the optimal fingerprint analysis. Observed time series of oceanic pCO₂ of SOCATv2 are represented in red thick line. The reconstructed time series of oceanic pCO₂ is estimated from the RECCAP ensemble including all the models except NEMO-PISCES; the ensemble mean is represented in solid black line while the 5%–95% confidence interval is given in dashed thin lines. Taylor diagram (e) compares spatiotemporal reconstructions of the six available RECCAP models to the SOCATv2 observations from 1970 to 2005. Available RECCAP reconstructions have been subsampled in time and space accordingly to the SOCATv2 observations.

3.3. Residual Consistency Test

The final step in our detection and attribution procedure is a residual consistency test to check whether the estimated residuals ε_Y and ε_g are consistent with the assumed internal variability (IV). This test was derived from the two residual consistency tests introduced by *Allen and Tett* [1999] and *Allen and Stott* [2003] and relies on two different estimates of the null-hypothesis distribution (i.e., based on the estimated internal variability, implementation details are provided in [Ribes et al., 2013]). The overall statistical model can be considered suitable if this test is passed. If not, then at least one of the initial assumptions should be revised. Rejection may occur, for example, if the IV is too low or response patterns to external forcings are not correct.

4. Evaluation of the RECCAP Ensemble

Here, we evaluate the accuracy of the available RECCAP reconstructions that are used in our statistical framework as pseudo-observations. For this purpose, we use *in situ* observations of ocean fCO₂ from the SOCATv2 database [Bakker et al., 2014], which span the years 1970 to 2011. We estimate *in situ* pCO₂ from the

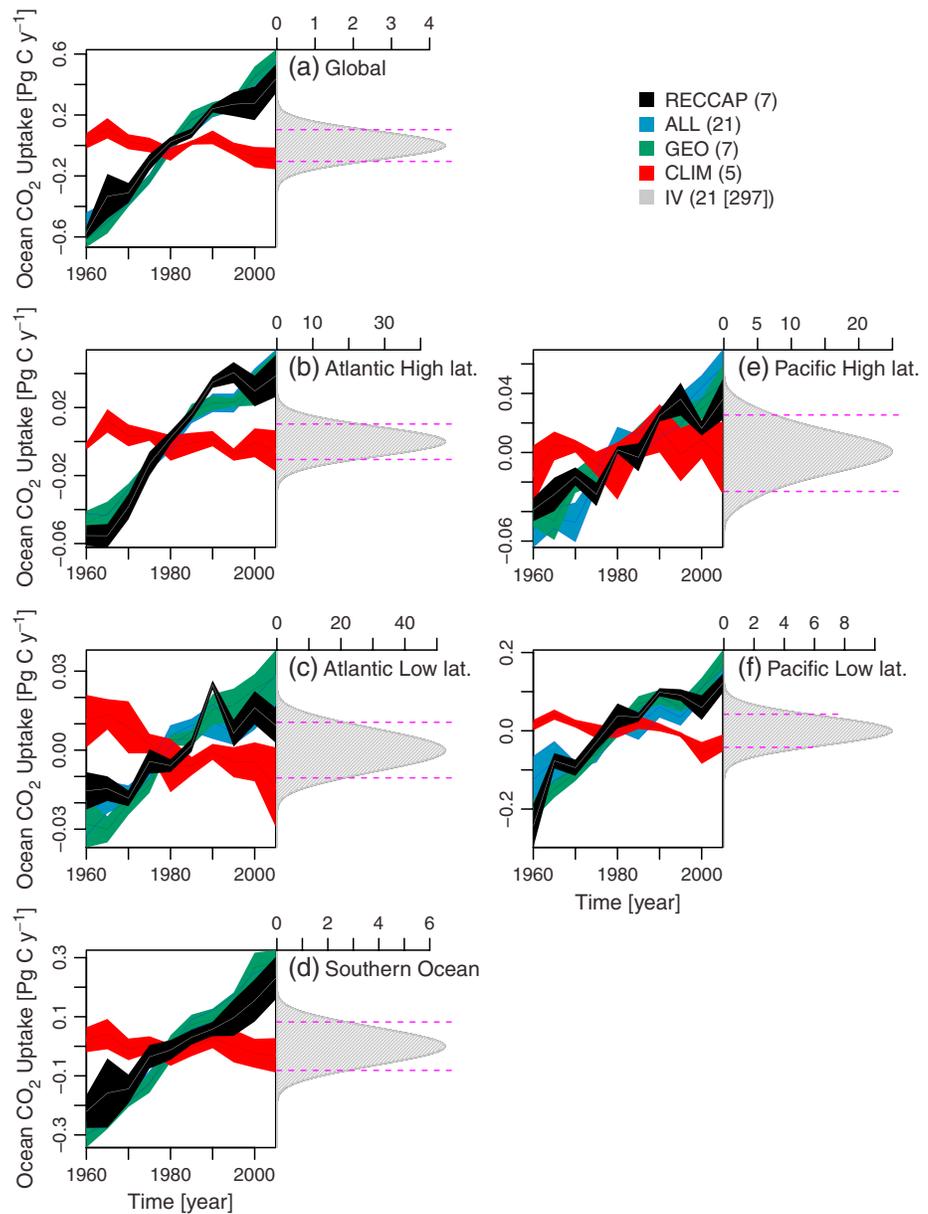


Figure 2. Coupled Model Intercomparison Project Phase 5 (CMIP5) and RECCAP 1960–2005 time series of ocean CO₂ uptake anomalies. Annual anomalies (in Pg C y⁻¹) averaged over 10 non-overlapping periods as performed in the detection and attribution (D&A) analysis. Blue and black shading represents the 5%–95% confidence interval of each 5 year mean historical ensemble (ALL) of CMIP5 models and RECCAP reconstructions, respectively. Green and red shading indicate the 5%–95% confidence interval of each 5 year mean as computed from the CMIP5 multi-model ensemble considering individual responses due to the geochemical forcings (GEO) and the climate forcings (CLIM). Internal variability (IV) of the ocean CO₂ uptake is represented with the probability density function (grey hatched) and the corresponding 5%–95% quantiles (dashed magenta lines) as computed from the 297 non-overlapping time segments of the CMIP5 preindustrial simulations. The size of each ensemble used is bracketed.

SOCATv2 fCO₂ database using a fixed 1/0.996 conversion factor. The six available RECCAP reconstructions for pCO₂ (including all the models except NEMO-PISCES) have been subsampled in order to keep consistency with the same spatiotemporal coverage as the observations. Correlations, standard deviations, and root-mean squared errors of the year-to-year spatial distribution of averaged anomalies of pCO₂ for the observations and the reconstructions are summarized on the Taylor Diagram (Figure 1e). The reconstructed pCO₂ agrees reasonably well with the observed pCO₂; the correlations and standard deviations essentially

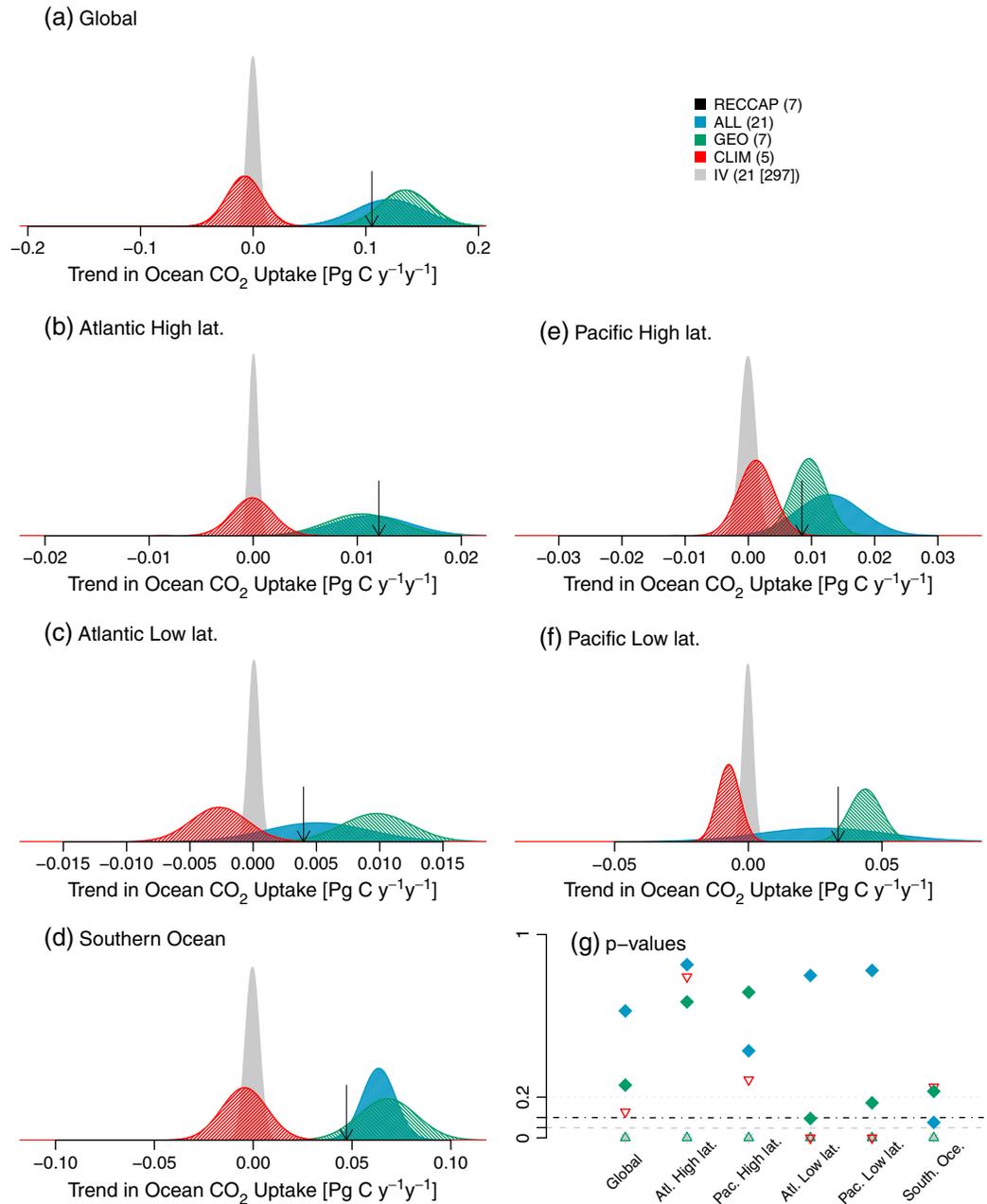


Figure 3. Probability density function (pdf) of ocean CO₂ uptake 1960–2005 long-term trends at global and regional scale. Multi-decadal trends of ocean CO₂ uptake including all external forcings are estimated from the ALL ensemble (in blue pdfs), while those representing the individual influence of the geochemical and the climate forcings are estimated from the GEO and CLIM ensembles (in green and red pdfs, respectively). Black arrows give the long-term evolution of the RECCAP multi-model mean. The *p*-values testing the different hypotheses are given in (g). Grey stars (respectively, green and red filled squares) illustrate, respectively, the *p*-values obtained from testing whether the RECCAP (respectively, GEO and ALL) multi-model mean is consistent with the distribution of the internal variability (IV, in grey pdfs). The *p*-values testing whether the GEO and CLIM multi-model mean are consistent with the IV distribution are given with green and red triangles. *P*-values thresholds at 5% and 10% significance levels are given in black and grey dashed lines. The size of each ensemble used is bracketed.

range between 0.4–0.8 and 0.6–1.0, respectively. Exceptions are found for CCSM-BOGCM and MICOM-HAMOCC5.1 at both the global scale and in the high-latitude Pacific, for ETHk15 in the low-latitude Pacific and for NEMO-PlankTOM5 in the Southern Ocean. Better reconstructions-data agreement is found in the low-latitude Pacific; moderate agreement is found in the high-latitude oceans, low-latitude Atlantic and at global scale;

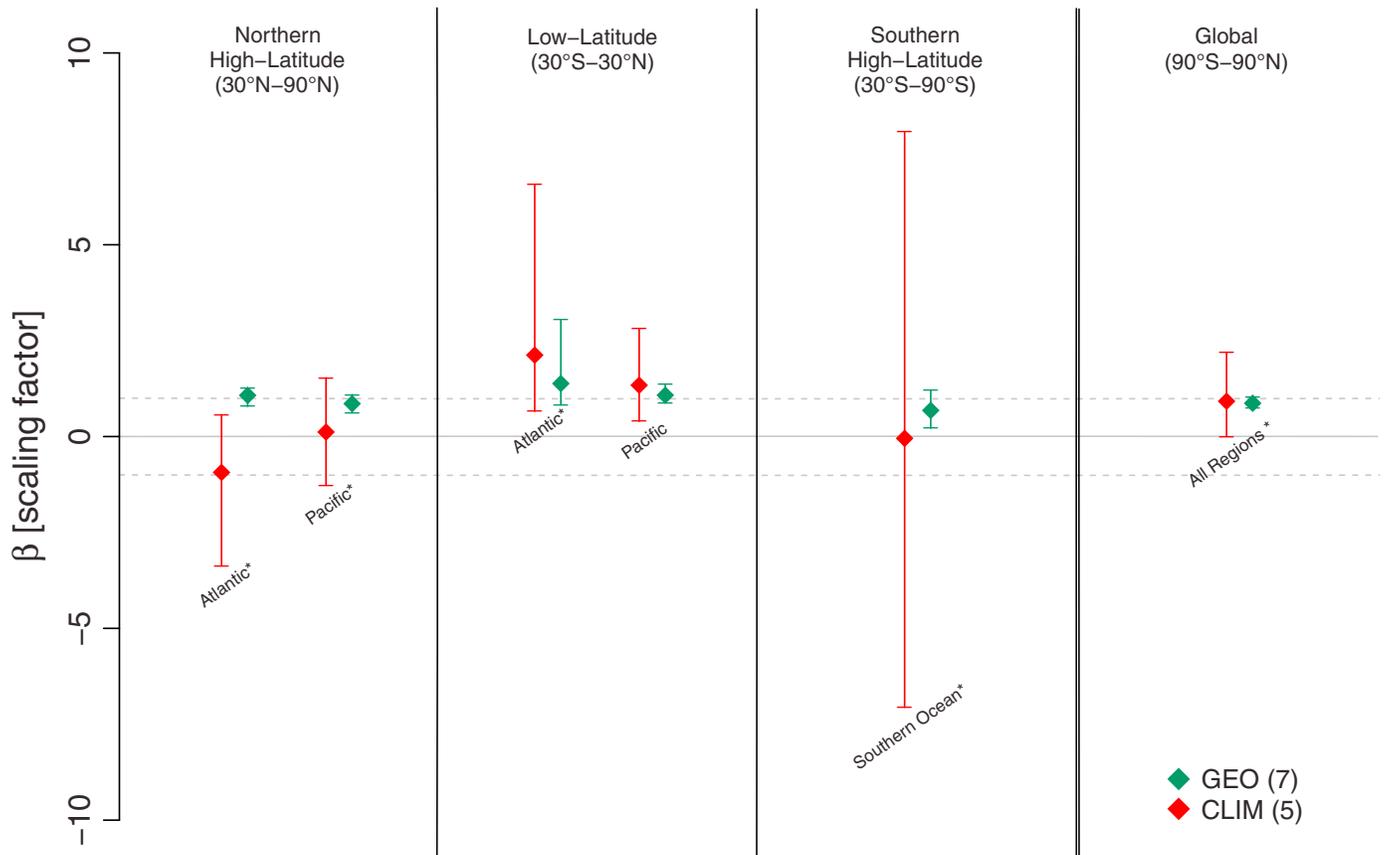


Figure 4. Scaling factors (β) best estimates and their 5%–95% confidence intervals as computed from the optimal fingerprints analysis applied to the RECCAP data sets. Regional scaling factors are estimated from time series of ocean carbon uptake anomalies, while global scaling factor integrates spatiotemporal information by performing all of the regional time series at once in the optimal fingerprints analysis (* indicates a passed residual consistency test). Optimal fingerprints analyses were based on the 1960–2005 ensemble mean time series. The size of each ensemble used is bracketed.

while the poorest reconstructions-data agreement is found in the Southern Ocean. The RECCAP reconstructions underestimate the spatiotemporal variability at both regional and global scales; that is, the normalized standard deviations are lower than 1.

Despite these discrepancies, anomalies of regionally and globally averaged $p\text{CO}_2$ estimated from the RECCAP reconstructions are in good agreement with those estimated from SOCATv2 (Figure 1, see also [Tjiputra et al., 2014]). Given that the correlation between the RECCAP ensemble mean and SOCATv2 $p\text{CO}_2$ are higher than 0.8, the RECCAP ensemble mean should be a reasonable surrogate for air-sea CO_2 exchange observations.

5. Results and Discussions

The multi-decadal evolution of ocean carbon uptake estimated by the RECCAP reconstructions and the one simulated by the CMIP5 models in the ALL ensemble indicate a reinforcement of the oceanic carbon sink over the last 5 decades (Figure 2). Considering influences of each forcing, the individual influence of rising atmospheric CO_2 alone (GEO on Figure 2) leads to a progressive reinforcement of the carbon uptake in the various oceanic domains, whereas the influence of the climate change alone (CLIM on Figure 2) leads to a progressive but smaller reduction (Figure 2).

With our first simple approach, we have compared long-term trends of the RECCAP's multi-model mean to the distribution of the expected trends from CMIP5 models under different combinations of forcings (Figure 3). Our analysis demonstrates that substantial changes in ocean carbon uptake are detected over all regions (inconsistency with the internal variability, p -value $< 10^{-5}$) and are well captured by the combination

of external forcings (consistency with ALL, p -value > 0.1). The attribution is completed by comparing the influence of each forcing individually. While the influence of rising atmospheric CO₂ (GEO on Figure 3) is clear in the high-latitude oceans and at global scale, a significant influence of climate forcing (CLIM on Figure 3) can only be attributed in the low-latitude oceans (p -value $< 10^{-5}$, Figure 3). In the other oceanic domains, influence of climate forcing drives changes, which are confounded with internal variability (IV). This result demonstrates that, even at multi-decadal time scales, the lower signal-to-noise ratio of the climate forcing long-term trend is difficult to distinguish from the internal variability for a high confidence level (90%).

The relative contribution of each external forcing to the multi-decadal evolution of the ocean carbon uptake has been assessed using a state-of-the-art fingerprints analysis. Outcomes of the fingerprints analysis, namely scaling factors (β , Figure 4), correspond to the amplitude coefficients that one should apply onto the simulated response given by the geochemical forcing (GEO) or the climate forcing (CLIM) to best fit the pseudo-observations (RECCAP). Goodness of this fit is assessed in turn by checking consistency of the distribution of residuals against that of the internal variability estimated from the $\sim 13,000$ years of control simulations (IV ensemble, see supporting information).

The fingerprints analysis demonstrates that geochemical forcing predominantly contributes to the evolution of the ocean carbon uptake since the best estimates of the scaling factors, β , for GEO are close to 1 at both regional and global scales. Also, the small corresponding confidence intervals indicate a clear observational constraint. Conversely, the influence of climate forcing varies regionally. Influence of climate forcing is generally confounded with the natural variability (β is consistent with 0 for CLIM ensemble), except within the low-latitude oceans where the scaling factors for CLIM are close to 1. This implies that the recent variations of carbon uptake in the low-latitude oceans cannot be explained without invoking changes due to climate forcing and confirms that ocean carbon uptake has been reduced by climate impacts in the late twentieth century. Several plausible process combinations could explain the reduction in ocean carbon uptake. Among these, recent detection and attribution studies indicate that sea surface temperature and the hydrological cycle in the Tropics have been impacted by climate change over the last decades [Durack *et al.*, 2012; Terray *et al.*, 2012]. Thus, it seems likely that the reduction in ocean carbon uptake across the low-latitude oceans was driven by changes in solubility and stratification. In other oceanic regions, no effect of climate change could be detected at the 90% significance level, potentially due to the larger internal variability of the ocean carbon fluxes. Considering the sum of all the regional influences of both the geochemical and the climate forcings, our analysis suggests that the global ocean carbon uptake has not been weakened by climate impacts over the last decades.

Despite the high statistical significance of our results, they are potentially limited by the use of model reconstructions (defined as pseudo-observations) instead of direct observations. For example, the horizontal resolution of the models used in this study is too low to account for the influence of mesoscale eddies on ocean carbon fluxes [Ito *et al.*, 2010]. Eddies may modulate the amplitude of the internal variability of the ocean carbon fluxes as well as the response of carbon uptake to climate change [Dufour *et al.*, 2013]. Yet, both the driving mechanisms and the magnitudes of the responses of the ocean carbon fluxes to eddies over different time scales are still uncertain [Lévy *et al.*, 2013; Lovenduski *et al.*, 2013]. On the other hand, the fact that preindustrial simulations were used as a surrogate for the natural variability of ocean carbon fluxes might also bias our results. Indeed, climate change can modulate the phasing and the amplitude of the natural variability of ocean carbon fluxes [Frölicher *et al.*, 2009]. A multi-model ensemble could be used to constrain this kind of uncertainty [Deser *et al.*, 2010]. However, very few ensemble simulations of Earth system models have been performed in CMIP5 and currently preclude the use of this kind of methodology. That said, even observational-based analyses may be subject to large uncertainties. Of concern, [Tjiputra *et al.*, 2014] recently showed that the scarcity of data can strongly bias the estimation of pCO₂ trends and hence demonstrates the importance of sufficient spatiotemporal coverage for trends analysis. In our case, we took advantage of the reconstructions provided by the RECCAP initiative to assess the relative influence of external forcings and internal variability on the ocean carbon fluxes at both global and regional scales.

Considering the model caveats, our study could be understood, at worst, as parallel information for the planning of future *in situ* studies. As a matter of fact, most studies of long-term changes in the ocean carbon cycle focus on the high-latitude oceans because they are the major contemporary regions of ocean carbon uptake [Takahashi, 2009; Bakker *et al.*, 2014]. Unfortunately, in the high-latitude oceans, the strong low-frequency variability [Séférian *et al.*, 2013] and a small response to climate change prevent the detection of multi-decadal changes in ocean

carbon uptake. On the contrary, in the low-latitude oceans, the strong signal-to-noise ratio at multi-decadal time scales [Friedrich *et al.*, 2012; Séférian *et al.*, 2013] allows the detection and the attribution of anthropogenically mediated climate forcing in all oceanic basins over the recent decades. However, in the low-latitude regions the data coverage is sparse [Takahashi, 2009; Bakker *et al.*, 2012]. Our findings highlight the need to maintaining the current observational networks over decades and to enhance observational coverage over the low-latitude oceans to evaluate the risk of the amplification of climate change through climate-carbon feedback loops.

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