

## RESEARCH ARTICLE

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Impact of oceanic circulation changes on atmospheric  $\delta^{13}\text{CO}_2$ L. Menviel<sup>1,2</sup>, A. Mouchet<sup>3,4</sup>, K. J. Meissner<sup>1,2</sup>, F. Joos<sup>5</sup>, and M. H. England<sup>1,2</sup>

## Key Points:

- Enhanced AABW decreases atmospheric  $\delta^{13}\text{CO}_2$
- Changes in NADW formation rate have a small impact on  $\delta^{13}\text{CO}_2$
- Ventilation changes affect the global climate, which also impact  $\delta^{13}\text{CO}_2$

## Supporting Information:

- Text S1

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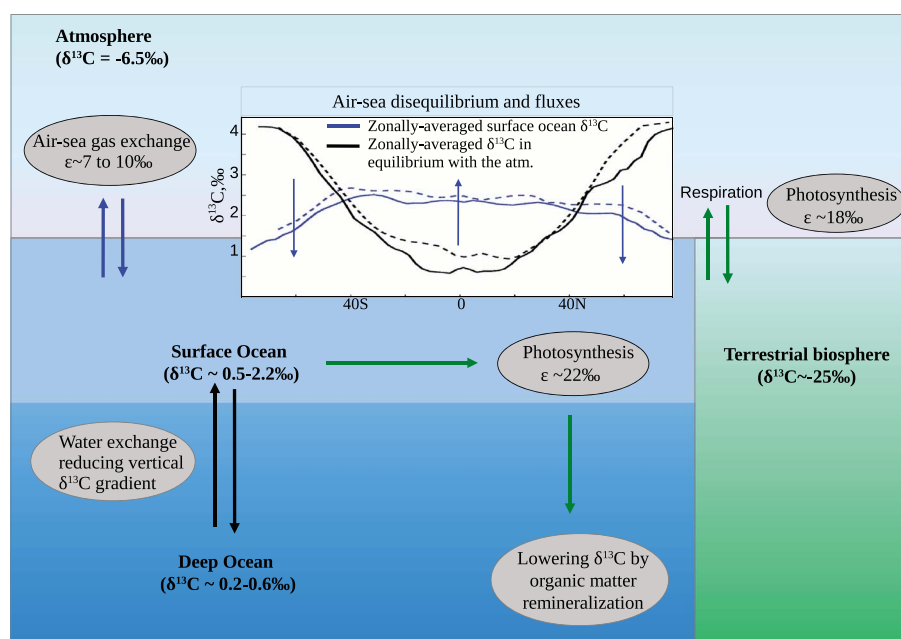
**Abstract**  $\delta^{13}\text{CO}_2$  measured in Antarctic ice cores provides constraints on oceanic and terrestrial carbon cycle processes linked with millennial-scale changes in atmospheric  $\text{CO}_2$ . However, the interpretation of  $\delta^{13}\text{CO}_2$  is not straightforward. Using carbon isotope-enabled versions of the LOVECLIM and Bern3D models, we perform a set of sensitivity experiments in which the formation rates of North Atlantic Deep Water (NADW), North Pacific Deep Water (NPDW), Antarctic Bottom Water (AABW), and Antarctic Intermediate Water (AAIW) are varied. We study the impact of these circulation changes on atmospheric  $\delta^{13}\text{CO}_2$  as well as on the oceanic  $\delta^{13}\text{C}$  distribution. In general, we find that the formation rates of AABW, NADW, NPDW, and AAIW are negatively correlated with changes in  $\delta^{13}\text{CO}_2$ : namely, strong oceanic ventilation decreases atmospheric  $\delta^{13}\text{CO}_2$ . However, since large-scale oceanic circulation reorganizations also impact nutrient utilization and the Earth's climate, the relationship between atmospheric  $\delta^{13}\text{CO}_2$  levels and ocean ventilation rate is not unequivocal. In both models atmospheric  $\delta^{13}\text{CO}_2$  is very sensitive to changes in AABW formation rates: increased AABW formation enhances the transport of low  $\delta^{13}\text{C}$  waters to the surface and decreases atmospheric  $\delta^{13}\text{CO}_2$ . By contrast, the impact of NADW changes on atmospheric  $\delta^{13}\text{CO}_2$  is less robust and might be model dependent. This results from complex interplay between global climate, carbon cycle, and the formation rate of NADW, a water body characterized by relatively high  $\delta^{13}\text{C}$ .

## 1. Introduction

Recent technological advances now allow stable carbon isotope measurements in  $\text{CO}_2$  bubbles trapped in Antarctic ice sheets ( $\delta^{13}\text{CO}_2$ ).  $\delta^{13}\text{CO}_2$  has been measured across the deglaciation and the Holocene in Taylor Dome [Smith *et al.*, 1999], EPICA Dome C [Elsig *et al.*, 2009; Laurantou *et al.*, 2010], and Talos Dome ice cores [Schmitt *et al.*, 2012], thus providing strong constraints on carbon cycle changes occurring in the terrestrial and oceanic reservoirs during that time [Indermühle *et al.*, 1999; Brovkin *et al.*, 2002; Joos *et al.*, 2004; Elsig *et al.*, 2009; Menviel and Joos, 2012; Schmitt *et al.*, 2012]. However, due to the number of processes possibly impacting atmospheric  $\delta^{13}\text{CO}_2$  on millennial timescales, the interpretation of changes in  $\delta^{13}\text{CO}_2$  can prove difficult [Broecker and McGee, 2013]. For example, the  $\sim 0.3\text{‰}$   $\delta^{13}\text{CO}_2$  decrease measured during Heinrich stadial 1 has been attributed to enhanced Southern Ocean ventilation [Laurantou *et al.*, 2010; Tschumi *et al.*, 2011; Schmitt *et al.*, 2012], reduced iron fertilization [Laurantou *et al.*, 2010; Broecker and McGee, 2013], and weakened North Atlantic Deep Water (NADW) formation [Schmittner and Lund, 2015].

Carbon isotope fractionation occurs primarily during photosynthesis and air-sea gas exchange (Figure 1), while fractionation during carbonate precipitation is usually small [Turner, 1982]. In the ocean, the largest fractionation occurs during photosynthesis, when the light isotope ( $^{12}\text{C}$ ) is preferentially incorporated into organic matter, thus leaving dissolved inorganic carbon enriched in the heavy isotope ( $^{13}\text{C}$ ). Due to the subsequent remineralization of low  $\delta^{13}\text{C}$  organic matter at depth, deep ocean  $\delta^{13}\text{C}$  is 1 to 2‰ lower than the surface ocean (Figure 1).

Numerical experiments performed with box models, and models of intermediate complexity can help constrain the impact of different terrestrial and oceanic processes on atmospheric  $\delta^{13}\text{CO}_2$ . Reduced terrestrial carbon lowers  $\delta^{13}\text{CO}_2$  by about 0.1‰ per 135–200 GtC [Joos *et al.*, 2004; Brovkin *et al.*, 2007; Köhler *et al.*, 2010; Elsig *et al.*, 2009; Menviel and Joos, 2012]. Previous studies have shown that as fractionation between atmospheric  $\text{CO}_2$  and dissolved inorganic carbon (DIC) is temperature dependent [Zhang *et al.*, 1995], lower sea surface temperature increases the magnitude of the fractionation by 0.12‰ per degree Celsius,



**Figure 1.** Mean preindustrial  $\delta^{13}\text{C}$  distribution (‰) and main processes influencing  $\delta^{13}\text{C}$  with an estimated isotopic difference ( $\epsilon$ , gray oval). In the inserted graph, the zonally averaged surface ocean  $\delta^{13}\text{C}$  (blue lines) as well as the zonally averaged  $\delta^{13}\text{C}$  resulting from an isotopic equilibrium with the atmosphere (black lines) are shown for the preindustrial control run of LOVECLIM (solid) and the Bern3D (dashed). On land, a signature indicative of C3 plants is given.

which tends to decrease atmospheric  $\delta^{13}\text{CO}_2$  [Lourantou *et al.*, 2010]. Iron fertilization during the Last Glacial Maximum (LGM) and the associated enhanced nutrient utilization could increase  $\delta^{13}\text{CO}_2$  by about 0.2‰ [Köhler *et al.*, 2010; Lourantou *et al.*, 2010; Bouttes *et al.*, 2011; Menviel *et al.*, 2012]. Finally, Galbraith *et al.* [2015] suggested that the lower atmospheric  $\text{CO}_2$  at the LGM and associated higher surface ocean pH could induce an atmospheric  $\delta^{13}\text{CO}_2$  increase of about 0.1‰.

Paleoproxy records and modeling studies have shown that significant changes in oceanic circulation occurred on millennial timescales during the last glacial period and the deglaciation. For example, Heinrich stadials [Heinrich, 1988] have been associated with weakened NADW [e.g., Broecker, 1997; Ganopolski and Rahmstorf, 2001; Menviel *et al.*, 2014b], strengthened North Pacific Deep Water (NPDW) [Saenko *et al.*, 2004; Okumura *et al.*, 2009; Okazaki *et al.*, 2010; Menviel *et al.*, 2011; Rae *et al.*, 2014], and enhanced Antarctic Bottom Water (AABW) formation [Broecker, 1998; Anderson *et al.*, 2009; Skinner *et al.*, 2010; Toggweiler and Lea, 2010; Burke and Robinson, 2012; Matsumoto and Yokoyama, 2013; Menviel *et al.*, 2014a].

Previous numerical experiments [Köhler *et al.*, 2010; Tschumi *et al.*, 2011] suggested that enhanced Southern Ocean ventilation would lead to an atmospheric  $\delta^{13}\text{CO}_2$  decrease on centennial to millennial timescales. An idealized numerical experiment in which NADW formation was shut off also led to a  $\delta^{13}\text{CO}_2$  decrease [Schmittner and Lund, 2015], but in this study the possible role of other water masses was not highlighted. A more systematic study of the link between changes in oceanic circulation and  $\delta^{13}\text{CO}_2$  is thus needed.

Here we employ two carbon isotope-enabled Earth System Models, LOVECLIM and the Bern3D, to investigate the impact of changes in oceanic circulation on atmospheric  $\delta^{13}\text{CO}_2$  under preindustrial conditions. This systematic study includes variations in NADW, NPDW, Antarctic Intermediate Water (AAIW), and AABW arising from changes in both buoyancy and dynamic forcing. Our study thus provides a framework to better understand millennial-scale changes in atmospheric  $\delta^{13}\text{CO}_2$ .

## 2. Model and Experimental Setup

### 2.1. Carbon Isotope-Enabled LOVECLIM

The ocean component of LOVECLIM [Goosse *et al.*, 2010] consists of a free-surface primitive equation model with a horizontal resolution of  $3^\circ$  longitude,  $3^\circ$  latitude, and 20 depth layers. The atmospheric component is a spectral T21, three-level model based on quasi-geostrophic equations of motion. LOVECLIM also includes a

dynamic-thermodynamic sea ice model, a land surface scheme, a dynamic global vegetation model (VECODE) [Brovkin *et al.*, 1997], and a marine carbon cycle model (LOCH) [Menviel, 2008; Mouchet, 2011].

The terrestrial [Brovkin *et al.*, 2002] and marine carbon cycle components of the model [Mouchet, 2011, 2013] also include carbon isotopes ( $^{13}\text{C}$  and  $^{14}\text{C}$ ). The coupling of isotope cycles is fully coherent with the carbon cycle in LOVECLIM, which allows computing the distribution of these isotopes among the atmosphere, the ocean, and the continents in a prognostic way [Fichefet *et al.*, 2012]. The air-sea gas exchange of  $\text{CO}_2$  depends on sea ice fraction and on the gas transfer velocity, which is a function of the square of the wind speed and of the square root of the Schmidt number [Wanninkhof, 1992]. Kinetic [Siegenthaler and Münnich, 1981] and equilibrium fractionation [Mook *et al.*, 1974] occur during air-sea  $^{13}\text{C}$  exchange (Appendix A).  $^{13}\text{C}$  fractionation occurs during marine photosynthesis [Freeman and Hayes, 1992], but no fractionation during  $\text{CaCO}_3$  precipitation is included in LOVECLIM. Fractionation during carbonate precipitation is usually small [Turner, 1982] and highly species-dependent [Hoefs, 1997]. An extensive description of the equations governing the carbon isotopes in LOCH can be found in Chapter 4 of Mouchet [2011] as well as in Mouchet [2013].

LOVECLIM represents generally well present-day climate [Menviel, 2008; Goosse *et al.*, 2010], nutrient, export production, and radiocarbon distributions [Menviel, 2008; Mouchet, 2011, 2013]. The main discrepancies between the simulated modern state of the ocean and observations are due to relatively weak AAIW as well as a weak halocline in the North Pacific.

## 2.2. Bern3D Earth System Model

The physical ocean model of the Bern3D+C Earth system model [Tschumi *et al.*, 2011; Menviel and Joos, 2012] is a three-dimensional frictional geostrophic balance ocean model [Müller *et al.*, 2006] with a horizontal resolution of  $36 \times 36$  grid boxes and 32 unevenly spaced vertical layers. Monthly wind stress climatologies from the National Centers for Environmental Prediction/National Center for Atmospheric Research reanalysis [Kalnay *et al.*, 1996] are applied. The atmospheric model is an Energy Balance Model, which includes a hydrological cycle, and has the same temporal and horizontal resolutions as the ocean model [Ritz *et al.*, 2011]. The marine biogeochemical cycle model consists of a three-dimensional global model of the oceanic carbon cycle, fully coupled to the physical ocean model and prognostic tracers including DIC, total alkalinity,  $^{13}\text{C}$ ,  $^{14}\text{C}$ , phosphates ( $\text{PO}_4^{3-}$ ), organic products, oxygen, silica, and iron [Parekh *et al.*, 2008; Tschumi *et al.*, 2008]. Carbon-13 fractionation occurs during marine photosynthesis [Freeman and Hayes, 1992] and the formation of calcium carbonate [Mook, 1986]. The air-sea gas exchange is implemented following the Ocean-Carbon Cycle Model Intercomparison Project 2 protocol [Orr and Najjar, 1999; Najjar *et al.*, 1999] but applying a linear relationship between wind speed and gas exchange rate [Krakauer *et al.*, 2006]. Air-sea  $^{13}\text{C}$  exchange is subjected to kinetic fractionation [Siegenthaler and Münnich, 1981], and the global mean air-sea transfer rate is prescribed according to Müller *et al.* [2008]. The sedimentary component represents sediment formation, dissolution, remineralization, and sediment diagenesis in the top 10 cm beneath the sea floor in the pelagic ocean [Heinze *et al.*, 1999; Gehlen *et al.*, 2006]. The accumulation of opal,  $\text{CaCO}_3$ , and organic matter is calculated on the basis of a set of dynamical equations for the sediment diagenesis process [Tschumi *et al.*, 2011]. Land carbon is represented by the four-boxes model of Siegenthaler and Oeschger [1987], but the land carbon inventory is kept constant in the experiments performed here.

Present-day nutrient and export production distributions [Parekh *et al.*, 2008; Tschumi *et al.*, 2008] as well as radiocarbon [Müller *et al.*, 2006; Gerber and Joos, 2013; Roth and Joos, 2013] distribution are generally well simulated by the model, but AAIW formation and equatorward propagation are generally too weak.

## 2.3. Experiments Performed With LOVECLIM

A 10,000 year long preindustrial control run is integrated with a fixed atmospheric  $\text{CO}_2$  content of 280 ppmv and an atmospheric  $\delta^{13}\text{CO}_2$  of  $-6.45\text{‰}$ . The control run is then extended for 3500 years with prognostic atmospheric  $\text{CO}_2$  and  $\delta^{13}\text{CO}_2$ .

To compare the performances of the model against present-day observations, a present-day run is also performed with LOVECLIM. Starting from the equilibrium preindustrial run, LOVECLIM is forced from year 1400 to year 2000 A.D. with changes in atmospheric  $\text{CO}_2$  and  $\delta^{13}\text{CO}_2$  as recorded in Law Dome ice core [Rubino *et al.*, 2013].

All sensitivity experiments start at the end of the control preindustrial state with constant preindustrial boundary conditions. In all sensitivity experiments, atmospheric  $\text{CO}_2$  and  $\delta^{13}\text{CO}_2$  are prognostic; however,

**Table 1.** Experiments Performed With LOVECLIM and the Bern3D Model Under Constant Preindustrial Boundary Conditions With Prognostic Atmospheric CO<sub>2</sub> and δ<sup>13</sup>CO<sub>2</sub><sup>a</sup>

Experiment	Region	FW Flux (Sv)	Wind Stress
<i>LOVECLIM</i>			
Love-NA-W	NA	0.05	-
Love-NA-Off	NA	0.1	-
Love-SO-S	SO	-0.15	-
Love-SO-W	SO	0.1	-
Love-SHW-S	SO	-	+35%
Love-SHW-W	SO	-	-30%
Love-SHW-Snas	SO	-	+35% <sup>b</sup>
Love-SHW-Wnas	SO	-	-30% <sup>b</sup>
<i>Bern3D Model</i>			
Bern-NA-W	NA	0.07	-
Bern-NA-Off	NA	0.25	-
Bern-SO-S	SO	-0.15	-
Bern-SO-W	SO	0.2	-

<sup>a</sup>NA indicates that the perturbation (freshwater input) is added into the North Atlantic, while SO indicates a perturbation (freshwater input/withdrawal or wind stress change) applied to the Southern Ocean. S indicates that the forcing leads to stronger; W indicates weaker or *Off* cessation of water mass formation (NADW for NA or AABW for SO).

<sup>b</sup>In experiments Love-SHW-Xnas, air-sea gas exchange is not impacted by the imposed wind stress change.

as the purpose of the experiments is to study the impact of ocean circulation change, the terrestrial carbon cycle is decoupled, i.e., there is no carbon flux between the land and the atmosphere.

NADW formation is weakened (Love-NA-W) by a freshwater input of 0.05 sverdrup (Sv; 10<sup>6</sup> m<sup>3</sup>/s) into the North Atlantic (55°W–10°W, 50°N–65°N), and NADW cessation is obtained by adding 0.1 Sv (Love-NA-Off, Table 1). In these experiments, the Bering Strait is closed, thus preventing freshwater to seep through the Bering Strait into the North Pacific. NADW cessation therefore leads to formation of North Pacific Deep Water (NPDW) through oceanic and atmospheric teleconnections [Saenko *et al.*, 2004; Okumura *et al.*, 2009; Menviel *et al.*, 2011]. The impact of NPDW formation on oceanic δ<sup>13</sup>C can therefore be inferred from experiment Love-NA-Off.

Changes in AABW formation are obtained either through buoyancy forcing (Love-SO-W and Love-SO-S) or dynamic forcing changes (Love-SHW-S and Love-SHW-W). In Love-SO-W, AABW is weakened

through a meltwater input of 0.1 Sv in the Southern Ocean (50°S–62°S, 0°E–280°E), while AABW is enhanced in Love-SO-S through a freshwater withdrawal (0.15 Sv). In Love-SHW-W, AABW is weakened through a 30% decrease of the Southern Hemispheric westerly wind stress between 60°S and 34°S, while +35% stronger Southern Hemispheric westerly wind stress enhances AABW formation in Love-SHW-S.

Variations in the wind intensity affect the air-sea CO<sub>2</sub> exchange, which can have a significant impact on δ<sup>13</sup>CO<sub>2</sub>. To quantify this effect, we perform two additional wind stress perturbation experiments similar to Love-SHW-S and Love-SHW-W, in which the air-sea CO<sub>2</sub> exchange is not impacted by the wind stress change (Love-SHW-Snas and Love-SHW-Wnas).

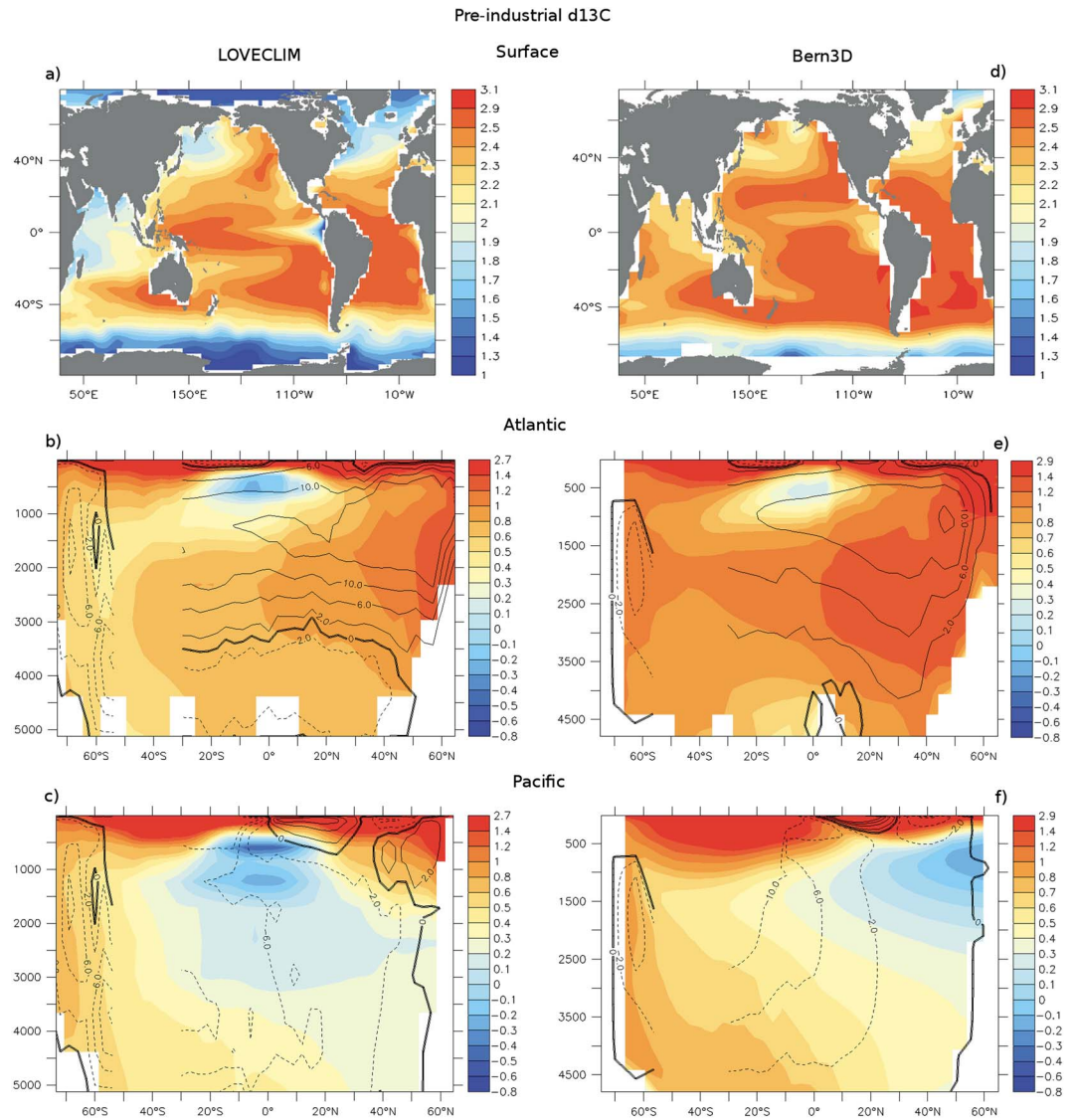
#### 2.4. Experiments Performed With the Bern3D Earth System Model

Similar to the experiments performed with LOVECLIM, changes in NADW and AABW are initiated by changing the surface buoyancy forcing from a control preindustrial state and under constant preindustrial conditions, with prognostic atmospheric CO<sub>2</sub> and δ<sup>13</sup>CO<sub>2</sub> (Table 1). In Bern-NA-W and Bern-NA-Off, NADW weakening is obtained by adding, respectively, 0.07 Sv and 0.25 Sv of freshwater into the North Atlantic. In Bern-SO-W, AABW is weakened by adding 0.2 Sv of freshwater into the Southern Ocean, while AABW is strengthened in Bern-SO-S by a freshwater withdrawal (0.15 Sv).

Finally, to compare the performances of the model against present-day observations, a present-day run is also performed with the Bern3D model. Starting from the equilibrium preindustrial run, the Bern3D is forced from year 1850 to year 2000 A.D. with changes in atmospheric CO<sub>2</sub> and δ<sup>13</sup>CO<sub>2</sub> as recorded in Law Dome ice core [Francey *et al.*, 1999].

#### 2.5. Attributing δ<sup>13</sup>C Changes

Changes in oceanic circulation drive changes in the solubility, the biological, and the carbonate pumps. Disentangling the different contributions will thus help to understand the simulated oceanic δ<sup>13</sup>C and δ<sup>13</sup>CO<sub>2</sub>



**Figure 2.** Preindustrial  $\delta^{13}\text{C}$  distribution as simulated by (a–c) LOVECLIM and the (d–f) Bern3D model. Surface  $\delta^{13}\text{C}$  (‰) is shown in Figures 2a and 2b, zonally averaged  $\delta^{13}\text{C}$  (‰) over the Atlantic basin is shown in Figures 2c and 2d, and over the Pacific basin is shown in Figures 2e and 2f. The meridional overturning stream function ( $S_v$ ) over the Atlantic basin (Figures 2c and 2d) and the Indo-Pacific basin are overlaid (Figures 2e and 2f) north of  $30^\circ\text{S}$ , and the globally integrated meridional overturning stream function ( $S_v$ ) is shown over the Southern Ocean.

changes.  $\delta^{13}\text{C}$  is defined as  $\left(\frac{(^{13}\text{C}/^{12}\text{C})_{\text{Sample}}}{(^{13}\text{C}/^{12}\text{C})_{\text{Ref}}} - 1\right) * 1000$ ,  $(^{13}\text{C}/^{12}\text{C})_{\text{Ref}}$  being the Vienna Peedee belemnite carbon isotope standard (0.0112372) [Craig, 1957].

At depth, remineralization of organic matter ( $C_{\text{org}}$ ) and dissolution of calcium carbonate ( $C_{\text{CaCO}_3}$ ) as well as the preformed carbon ( $C_{\text{Pref}}$ ) contribute to the DIC pool of  $^{12}\text{C}$  and  $^{13}\text{C}$ , so that

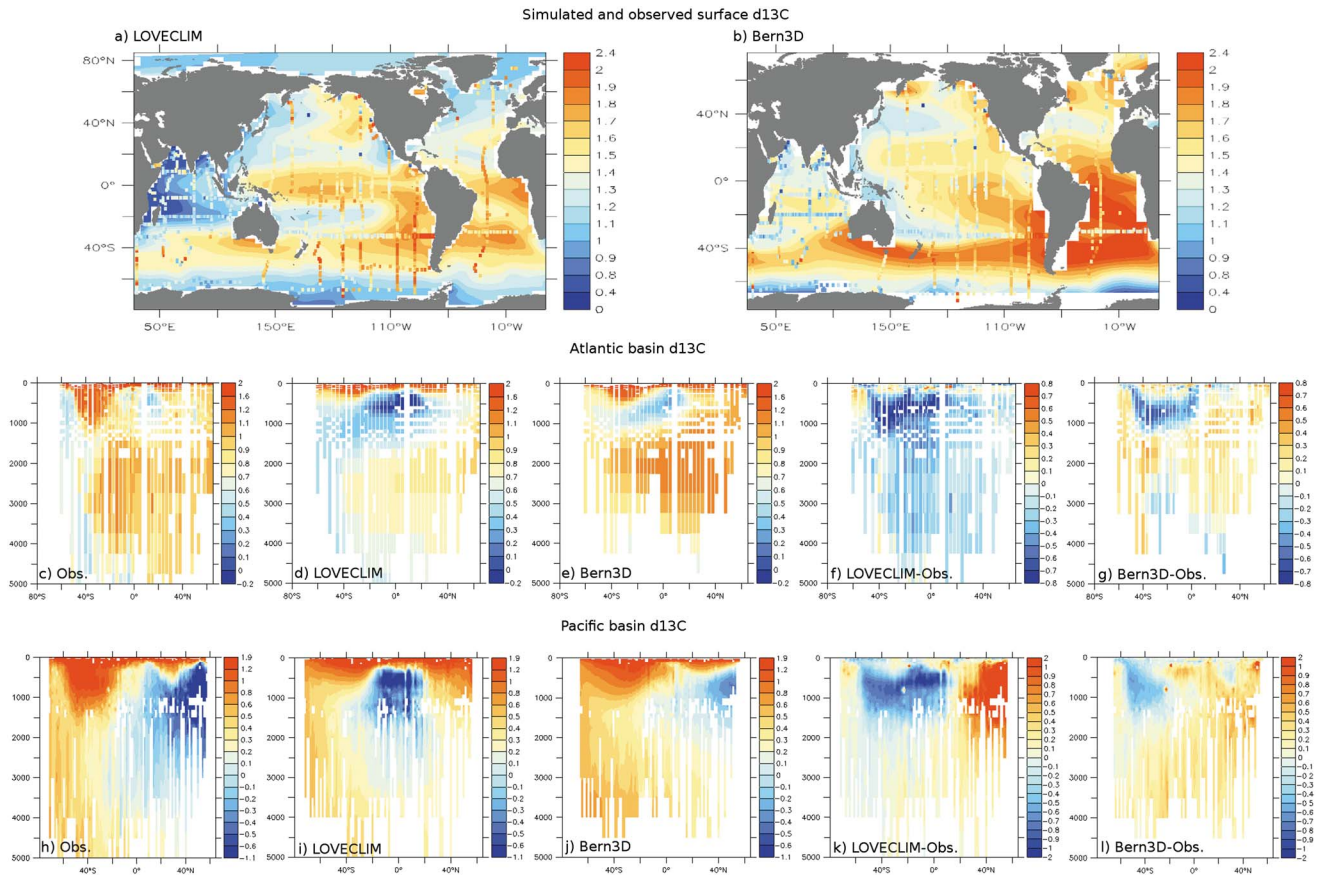
$$^{12}\text{C} = ^{12}C_{\text{org}} + ^{12}C_{\text{CaCO}_3} + ^{12}C_{\text{Pref}} \quad \text{and} \quad ^{13}\text{C} = ^{13}C_{\text{org}} + ^{13}C_{\text{CaCO}_3} + ^{13}C_{\text{Pref}}. \quad (1)$$

Therefore,

$$\delta^{13}\text{C} = \frac{1}{^{12}\text{C}} \cdot (\delta^{13}\text{C}_{\text{org}} * ^{12}C_{\text{org}} + \delta^{13}\text{C}_{\text{CaCO}_3} * ^{12}C_{\text{CaCO}_3} + \delta^{13}\text{C}_{\text{Pref}} * ^{12}C_{\text{Pref}}). \quad (2)$$

Since here  $\delta^{13}\text{C}_{\text{CaCO}_3} \sim 0$ , we can approximate

$$\delta^{13}\text{C} = \frac{1}{^{12}\text{C}} \cdot (\delta^{13}\text{C}_{\text{org}} * ^{12}C_{\text{org}} + \delta^{13}\text{C}_{\text{Pref}} * ^{12}C_{\text{Pref}}). \quad (3)$$



**Figure 3.** Present-day (years 1990–2000 A.D.)  $\delta^{13}\text{C}$  distribution (‰) as simulated in LOVECLIM and the Bern3D model and compared to observations [Schmittner *et al.*, 2013]. Ocean surface  $\delta^{13}\text{C}$  as simulated (shaded) in (a) LOVECLIM and (b) the Bern3D and compared to observations (color squared).  $\delta^{13}\text{C}$  zonally averaged over the Atlantic basin and the Pacific basin only taking into account the observation locations are shown in Figures 3c–3g and 3h–3l, respectively. (c and h)  $\delta^{13}\text{C}$  from observations, (d and i) the  $\delta^{13}\text{C}$  LOVECLIM distributions, (e and j)  $\delta^{13}\text{C}$  Bern3D distributions, (f and k) the difference between LOVECLIM and the observations, and (g and l) the difference between the Bern3D model and the observations. The correlation coefficients ( $R$ ) between LOVECLIM (the Bern3D model) and the observations at the surface, in the Atlantic, and Pacific basins are 0.46 (0.51), 0.76 (0.74), and 0.65 (0.93), respectively.

Organic matter is depleted in  $^{13}\text{C}$  ( $\delta^{13}\text{C}_{\text{org}} \sim -20\text{‰}$ ), so that remineralization has a significant impact on oceanic  $\delta^{13}\text{C}$ . We can estimate the effect of organic matter remineralization in the ocean in the following way:

$$\delta^{13}\text{C}_{\text{BIO}} = \frac{\delta^{13}\text{C}_{\text{org}} * {}^{12}\text{C}_{\text{org}}}{{}^{12}\text{C}} = \frac{\delta^{13}\text{C}_{\text{org}} * R_{\text{c/p}} * P_{\text{Rem}}}{{}^{12}\text{C}} \quad (4)$$

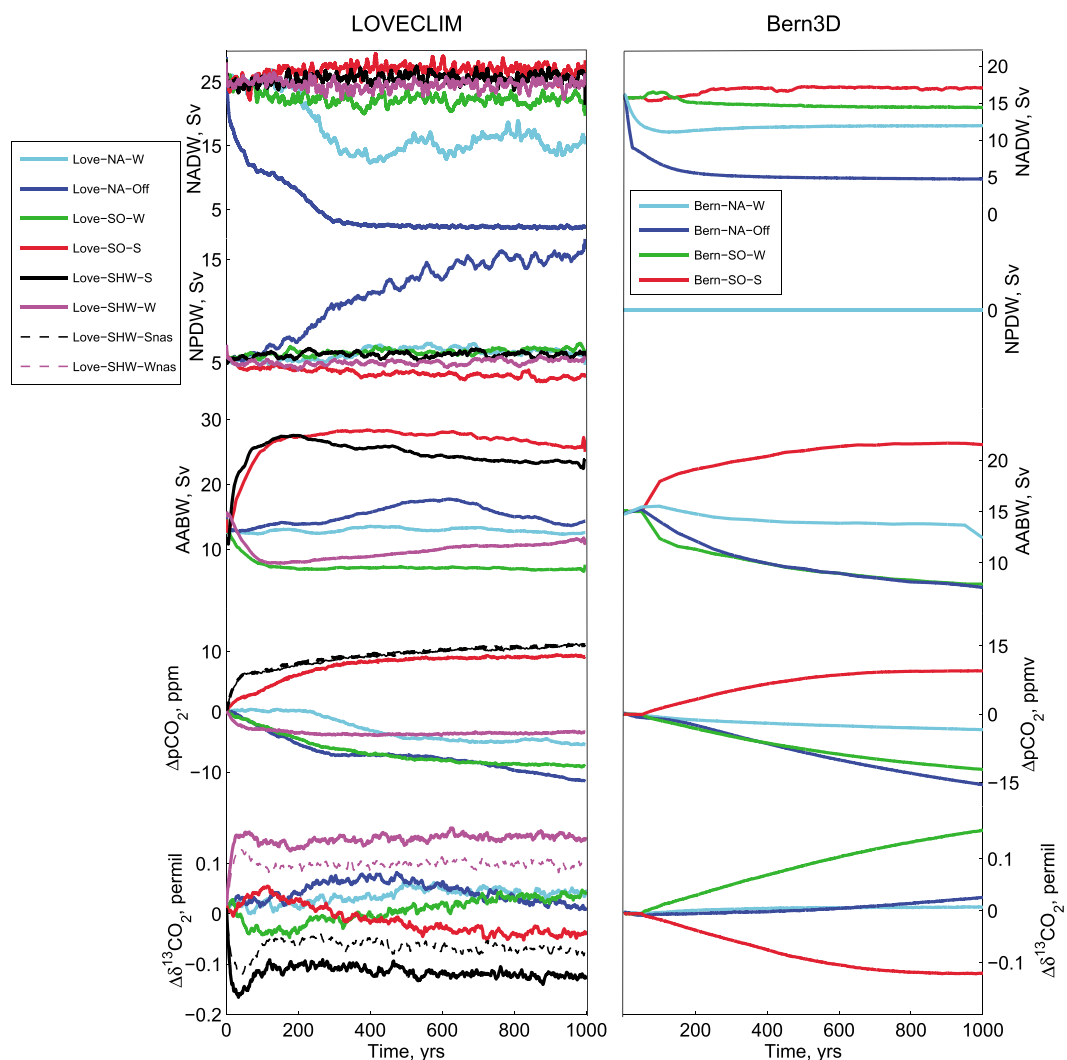
with  $R_{\text{c/p}}$  the carbon over phosphate Redfield ratio (117) and  $P_{\text{Rem}}$  the phosphate generated through remineralization. In the simulations performed with LOVECLIM, preformed phosphate ( $P_{\text{Pref}}$ ) is a tracer, so  $P_{\text{Rem}} = P_{\text{Tot}} - P_{\text{Pref}}$ . In the Bern3D, remineralized phosphate is estimated from the Apparent Oxygen Utilization (AOU):  $P_{\text{Rem}} = \text{AOU} / R_{\text{o/p}}$ , with  $R_{\text{o/p}}$  the oxygen over phosphate Redfield ratio (170).

$\Delta\delta^{13}\text{C}_{\text{TH}}$  reflects the changes in  $\delta^{13}\text{C}$  due to air-sea  $\text{C}_{\text{TH}}$  exchange and temperature and is estimated by  $\Delta\delta^{13}\text{C}_{\text{TH}} = \Delta\delta^{13}\text{C} - \Delta\delta^{13}\text{C}_{\text{BIO}}$ .

### 3. Results

#### 3.1. Preindustrial and Present-Day $\delta^{13}\text{C}$ Distributions and Validation Against Observations

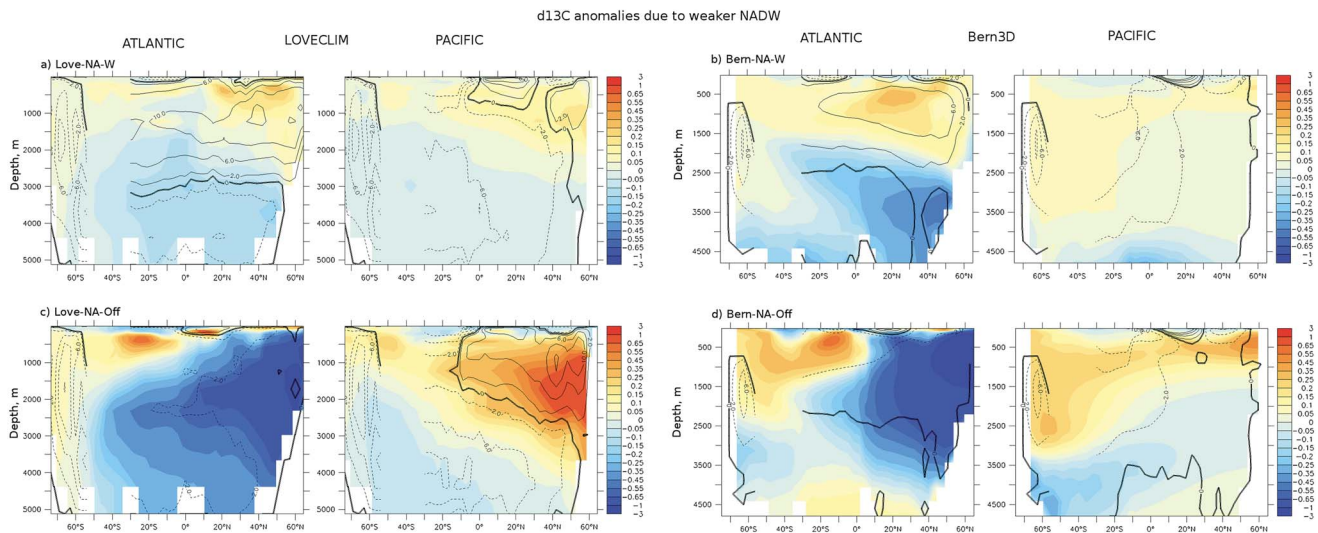
The  $\delta^{13}\text{C}$  distribution in the ocean is governed by air-sea gas exchange, photosynthesis in the Sun-lit surface layer, export of organic matter from the surface to the deep ocean, remineralization of organic matter in the deep and ocean circulation (Figure 1). On global average, DIC is isotopically enriched in the surface



**Figure 4.** (top to bottom) Time series of NADW, NPDW, |AABW| (Sv), as well as  $pCO_2$  (ppmv) and atmospheric  $\delta^{13}CO_2$  (‰) anomalies for experiments performed with (left) LOVECLIM and (right) the Bern3D model. NADW and NPDW represent the maximum overturning stream function in the North Atlantic and the North Pacific, respectively. AABW is taken as the minimum of the global overturning stream function in the  $80^\circ S - 60^\circ S$  region and its absolute value is shown. Experiments performed with both models and with weakened NADW (Love-NA-W and Bern-NA-W) are in cyan, NADW cessation (Love-NA-Off and Bern-NA-Off) are in blue, weakened AABW (Love-SO-W and Bern-SO-W) are in green, and strengthened AABW (Love-SO-S and Bern-SO-S) are in red. Experiments performed with LOVECLIM only: weaker (Love-SHW-W, magenta) and stronger (Love-SHW-S, black) Southern Hemispheric westerlies as well as experiments in which the  $CO_2$  air-sea gas exchange is not impacted by the wind stress changes (Love-SHW-Snas, dashed black and Love-SHW-Wnas, dashed magenta).

ocean and depleted in the deep ocean. This vertical  $\delta^{13}C$  gradient is established by the preferential uptake of  $^{12}C$  during photosynthesis, leaving behind isotopically enriched DIC, and the export and subsequent remineralization in the deep of isotopically depleted organic matter. Physical transport (advection, diffusion, and convection) tends to remove this vertical gradient by bringing isotopically depleted deep water to the surface and vice-versa.

The role of air-sea exchange in shaping the  $\delta^{13}C$  distribution is latitude dependent (Figure 1, inset). The equilibrium fraction factor increases with decreasing ocean surface temperature ( $\sim -0.12\text{‰}/^\circ C$ ) [Mook *et al.*, 1974; Charles *et al.*, 1993]. As a consequence, the  $\delta^{13}C$  isotopic equilibrium with the atmosphere is higher for cold polar than warm tropical waters (Figure 1, black lines in inset). In turn, air-sea gas exchange tends to enrich the



**Figure 5.**  $\delta^{13}\text{C}$  anomalies (‰) compared to the control run, averaged over the (a and c) Atlantic and (b and d) Pacific basin resulting from (a and b) a weakened and (c and d) a cessation of NADW formation for experiments performed with LOVECLIM (Figures 5a and 5c) and the Bern3D model (Figures 5b and 5d). The meridional overturning stream function ( $S_v$ ) is overlaid for each experiment.

high-latitude surface oceans in  $^{13}\text{C}$ , thereby partly mitigating the low  $\delta^{13}\text{C}$  due to upwelling of  $^{13}\text{C}$ -depleted deep waters. At low latitudes, photosynthesis and organic matter export enrich surface water in  $^{13}\text{C}$ , whereas air-sea exchange tends to deplete the surface ocean. Overall, this interplay results in relatively small latitudinal gradients in surface ocean  $\delta^{13}\text{C}$ , with slightly lower than average values in the Southern Ocean (Figure 1, blue lines in inset).

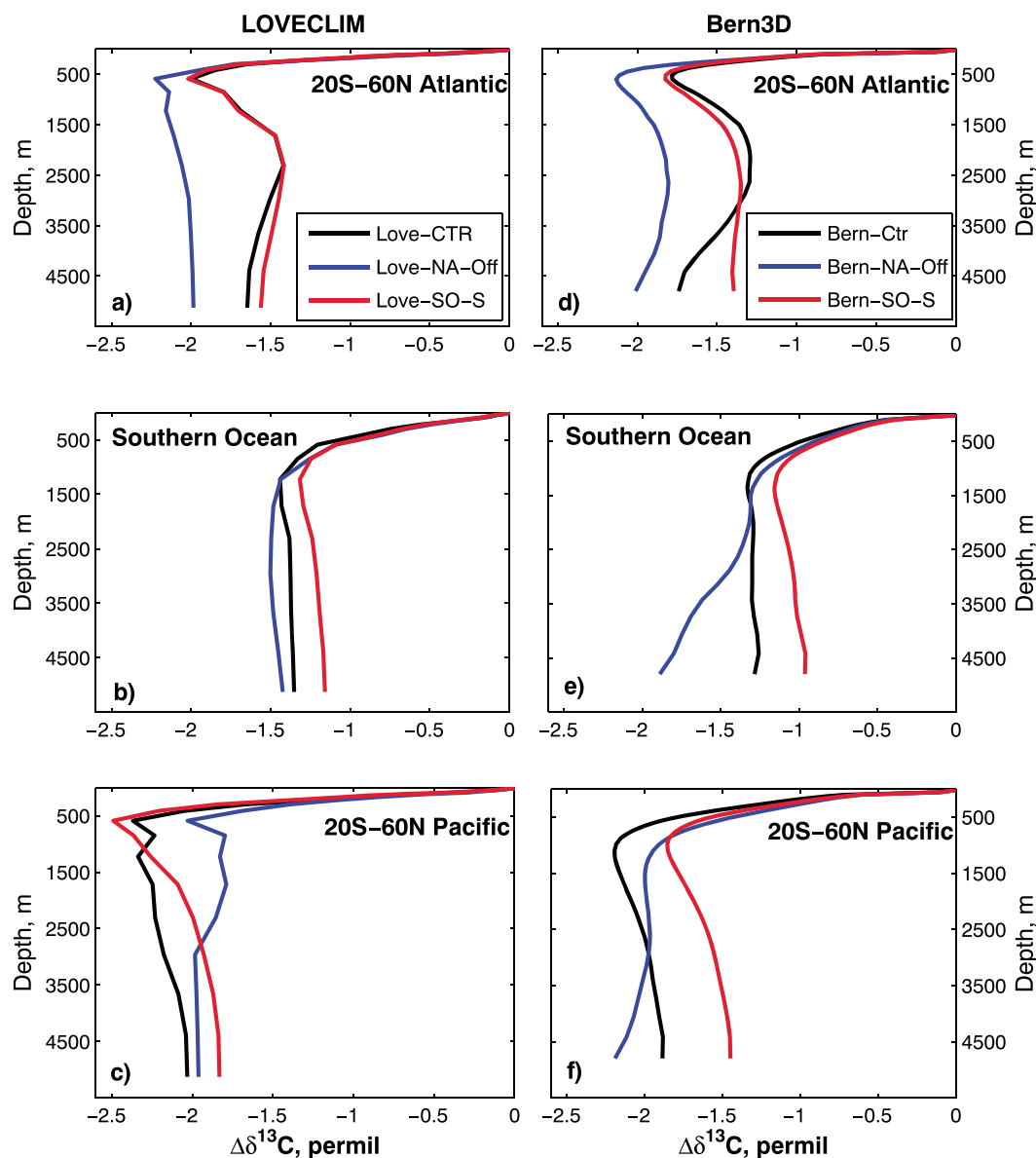
The preindustrial  $\delta^{13}\text{C}$  distribution as simulated in LOVECLIM and the Bern3D model is shown in Figure 2. In Figure 3, the simulated oceanic  $\delta^{13}\text{C}$  obtained for years 1990–2000 A.D. in the present-day experiments performed with LOVECLIM and the Bern3D is compared to the present-day oceanic  $\delta^{13}\text{C}$  observations compiled by Schmittner *et al.* [2013]. Observations are from World Ocean Circulation Experiment/Global Ocean Data Analysis Project cruises performed in the 1990s, and thus, each observation point represents a day in time, whereas model values are averaged over a 10 year period. Both preindustrial and modern atmospheric  $\delta^{13}\text{CO}_2$  are  $\sim 0.1\text{‰}$  higher in the Bern3D model than in LOVECLIM, thus leading to globally higher oceanic  $\delta^{13}\text{C}$  values in the Bern3D model.

Combustion of  $^{13}\text{C}$ -depleted fossil fuel since the industrial revolution increased the atmospheric  $\text{CO}_2$  content while decreasing atmospheric  $\delta^{13}\text{CO}_2$  [Keeling, 1979; Keeling *et al.*, 2001]. This  $\delta^{13}\text{CO}_2$  decrease led to oceanic  $\delta^{13}\text{C}$  decrease from preindustrial to present, particularly in the subtropical gyres. The simulated present-day  $\delta^{13}\text{C}$  distribution at the surface of the ocean is in general agreement with observations (Figures 3a and 3b). Surface  $\delta^{13}\text{C}$  is generally high at low latitude and midlatitude and low at high latitudes. The highest  $\delta^{13}\text{C}$  values are found in the Equatorial Pacific and Atlantic, as well as the South Pacific and South Atlantic subtropical gyres.

Sustained primary production in the low-latitude upwelling zones and the subsequent advection of high  $\delta^{13}\text{C}$  waters, as well as surface ocean  $^{13}\text{C}$  enrichment due to  $\text{CO}_2$  outgassing [Lynch-Stieglitz *et al.*, 1995] explain a large part of the surface  $\delta^{13}\text{C}$  distribution between  $40^\circ\text{S}$  and  $40^\circ\text{N}$  (not shown). On the other hand, upwelling of low- $\delta^{13}\text{C}$  waters and low-nutrient utilization leads to relatively low surface  $\delta^{13}\text{C}$  in the Eastern Equatorial Pacific and to some extent in the Eastern Equatorial Atlantic. South of the polar front in the Southern Ocean, upwelling of low- $\delta^{13}\text{C}$  waters, low-nutrient utilization, and invasion of depleted atmospheric  $\text{CO}_2$  lead to the lowest surface  $\delta^{13}\text{C}$  signature.

Both LOVECLIM and the Bern3D model correctly simulate the  $\delta^{13}\text{C}$  signature of the main water masses (Figure 3).  $\delta^{13}\text{C}$  is the highest in North Atlantic Deep Water (NADW), lower in Antarctic Bottom Waters (AABW),

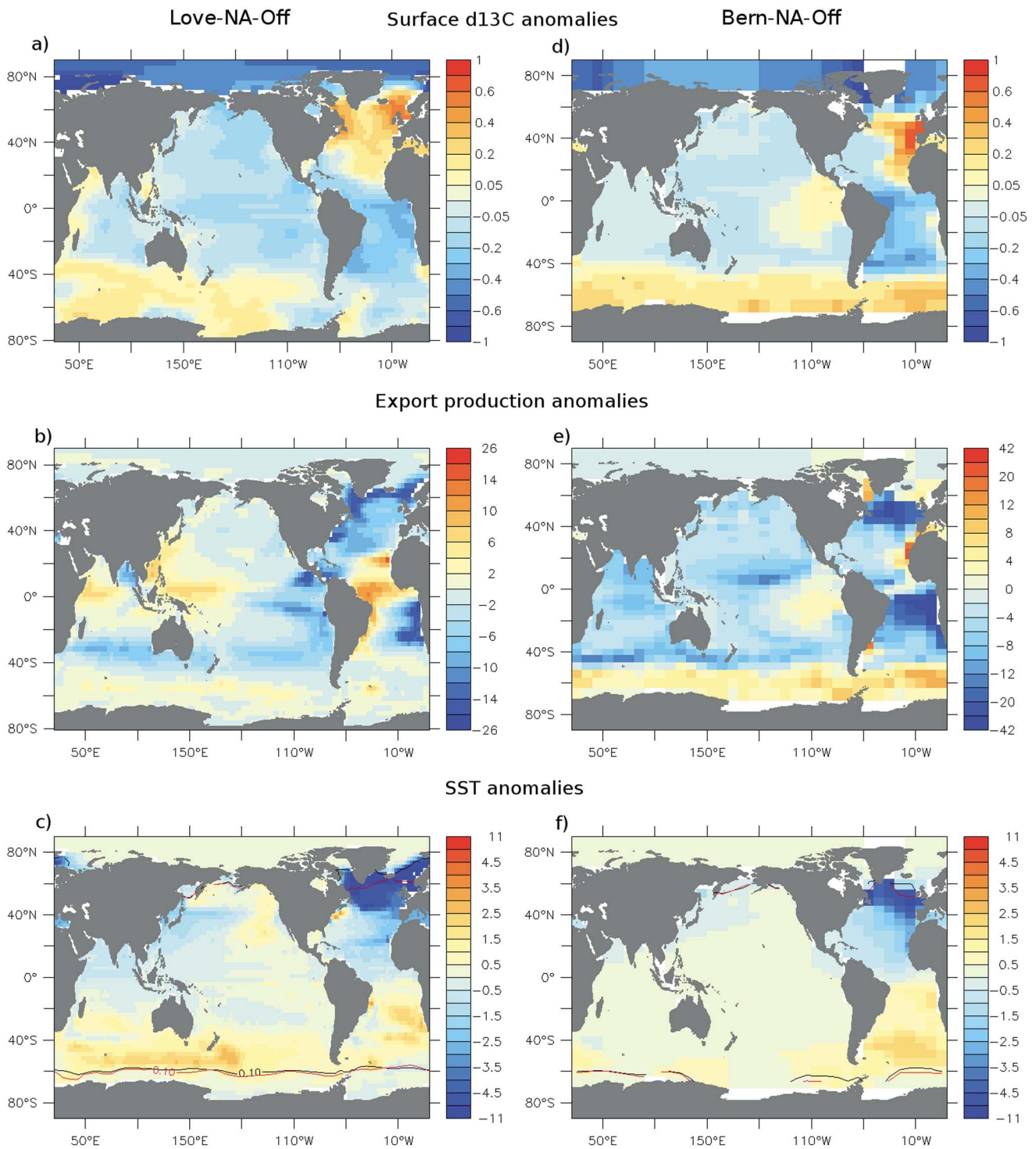




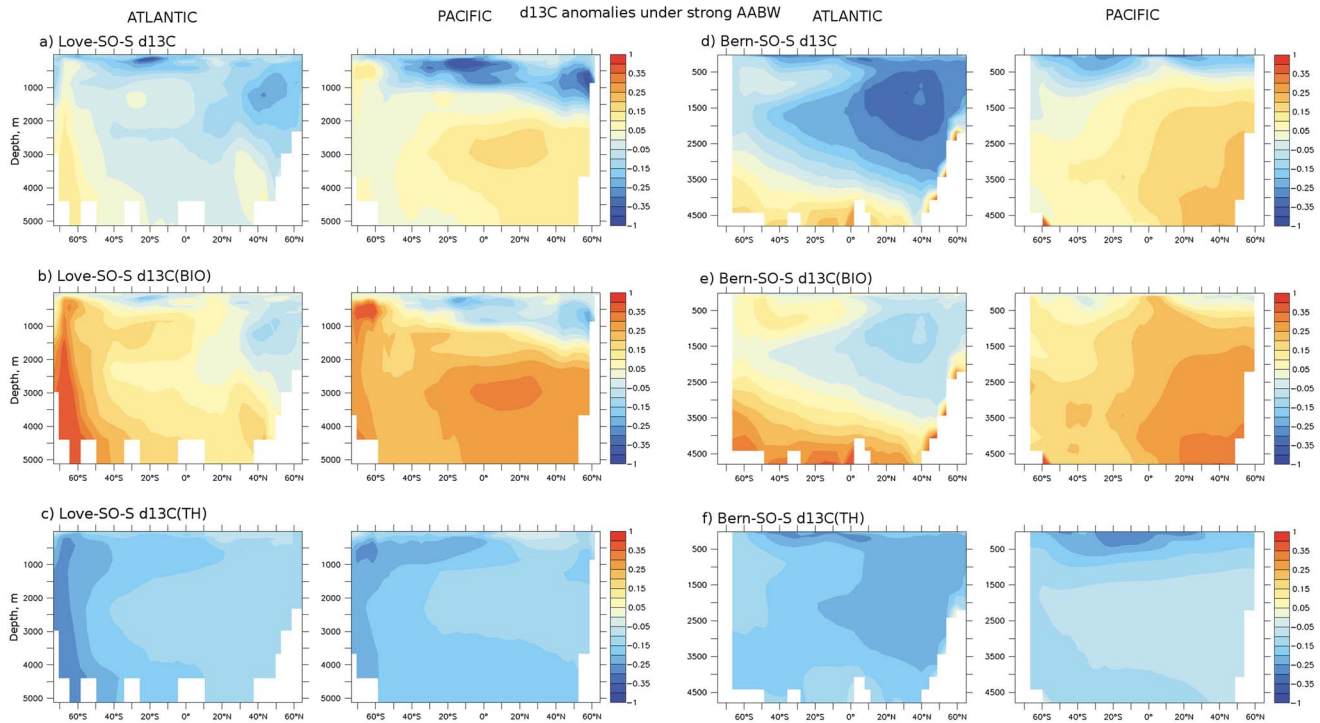
**Figure 6.** Vertical  $\delta^{13}\text{C}$  profile anomalies averaged over (a and d) the Atlantic basin north of  $20^\circ\text{S}$ , (b and e) the Southern Ocean, and (c and f) the Pacific basin north of  $20^\circ\text{S}$  for the control runs (black), NADW cessation (Love-NA-Off and Bern-NA-Off, blue), and strengthened AABW (Love-SO-S and Bern-SO-S, red).

and the lowest in the North Pacific. This gradual decrease in oceanic  $\delta^{13}\text{C}$  from the deep Atlantic to Pacific is due to remineralization of  $^{13}\text{C}$ -depleted organic carbon. As seen in Figure 2, nutrient-depleted NADW displays a relatively high  $\delta^{13}\text{C}$  (0.8–1.1‰), while nutrient-rich AABW is characterized by a low  $\delta^{13}\text{C}$  (0.4–0.6‰). The oldest, nutrient-rich waters lie in the deep North Pacific region and at intermediate depth in the Equatorial regions, which feature very low  $\delta^{13}\text{C}$ . In outcropping regions, air-sea gas exchange compensates for the low- $\delta^{13}\text{C}$  signal brought about by organic matter remineralization, particularly associated with the AABW and AAIW pathways.

LOVECLIM underestimates  $\delta^{13}\text{C}$  values by an average of 0.2‰ in the Atlantic basin, while it overestimates  $\delta^{13}\text{C}$  values by up to 0.6‰ in the intermediate North Pacific. As is also seen in its salinity structure [Menviel, 2008], the weak halocline in the North Pacific leads to an overestimated transport of high surface North Pacific  $\delta^{13}\text{C}$  into the intermediate North Pacific. In addition, in LOVECLIM, intermediate depth equatorial waters are



**Figure 7.** Results of experiments featuring a cessation of NADW formation performed with (a–c) LOVECLIM (Love-NA-Off) and (d–f) the Bern3D model (Bern-NA-Off). Surface  $\delta^{13}\text{C}$  anomalies (‰) compared to the preindustrial control run (Figures 7a and 7d); export production anomalies ( $\text{gC}/\text{m}^2/\text{yr}$ ) (Figures 7b and 7e); and SST anomalies ( $^{\circ}\text{C}$ ) with the 0.1 m sea ice level overlaid for the control pre-industrial run (black) and for Love-NA-Off or Bern-NA-Off (red) (Figures 7c and 7f).



**Figure 8.**  $\delta^{13}\text{C}$  anomalies (‰) compared to the control preindustrial run, averaged over the Atlantic (left) and Pacific basin (right) for (a–c) Love-SO-S and (d–f) Bern-SO-S.  $\Delta\delta^{13}\text{C}$  (‰) (Figures 8a and 8d),  $\Delta\delta^{13}\text{C}_{\text{BIO}}$  (Figures 8b and 8e), and  $\Delta\delta^{13}\text{C}_{\text{TH}}$  (Figures 8c and 8f).

associated with negative  $\delta^{13}\text{C}$  and dissolved oxygen anomalies and positive AOU anomalies when compared to observations [Menviel, 2008]. Weak Antarctic Intermediate Water (AAIW) formation, brought about by relatively weak Southern Hemispheric westerlies [Menviel, 2008], could explain the negative  $\delta^{13}\text{C}$  anomalies simulated at intermediate depth in both the South Atlantic and South Pacific. In contrast, in the Bern3D model, the North Pacific  $\delta^{13}\text{C}$  distribution is very well represented (Figures 3j and 3l), but AABW has a relatively high  $\delta^{13}\text{C}$  signature (Figures 2 and 3).

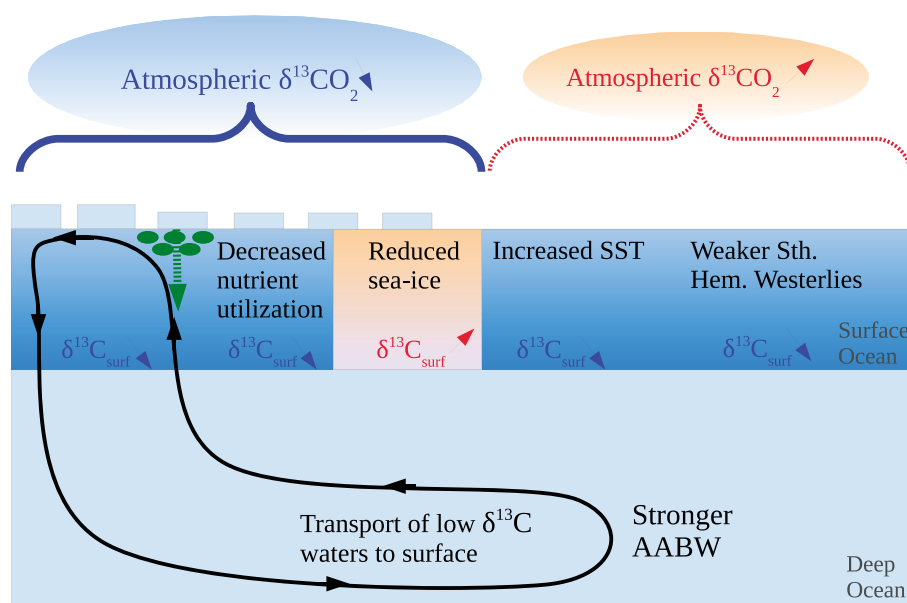
### 3.2. Weakened North Atlantic Deep Water and Enhanced North Pacific Deep Water Formation

A 50% weakening (Love-NA-W and Bern-NA-W, cyan lines in Figure 4) and ~500 m shallowing of NADW leads to positive  $\delta^{13}\text{C}$  anomalies in the upper 2000 m of the North Atlantic, with a mean anomaly of 0.09‰ over the region 10°N–60°N (Figures 5a and 5b). These positive  $\delta^{13}\text{C}$  anomalies are partly due to the reduced export production at the surface of the North Atlantic (–16% and –34% in Love-NA-W and Bern-NA-W, respectively), which reduces the remineralized carbon in the intermediate North Atlantic (Figures S1b and S1e in the supporting information). In addition, in Love-NA-W, cooler conditions in that region further enhance the surface  $\delta^{13}\text{C}$  increase, which is then advected to intermediate depth (Figure S1c). Conversely,  $\delta^{13}\text{C}$  decreases by 0.09‰ in the deep Atlantic due to increased remineralized carbon (Figures S1b and S1e), thus leading to a greater vertical  $\delta^{13}\text{C}$  gradient in the North Atlantic.

Cessation of NADW formation (Love-NA-Off and Bern-NA-Off) leads to negative  $\delta^{13}\text{C}$  anomalies over most of the Atlantic basin (Figures 5c and 5d).  $\delta^{13}\text{C}$  is about 1‰ lower at intermediate depth in the Northern North Atlantic as well as 0.4‰ and 0.2‰ lower in the deep North and South Atlantic, respectively.

Reduced ventilation of the deep/intermediate Atlantic leads to the accumulation of remineralized carbon, which decreases  $\delta^{13}\text{C}_{\text{DIC}}$ . An increase in remineralized carbon in the deep and intermediate Atlantic is thus the primary cause of the negative  $\delta^{13}\text{C}$  anomalies simulated in the Atlantic basin (Figure S2). In both models, NADW cessation leads to a greater vertical  $\delta^{13}\text{C}$  gradient in the Atlantic basin (Figures 6a and 6d, blue lines).

NADW cessation is associated with weakened Northern Hemispheric poleward heat transport and thus negative SST anomalies in the North Atlantic (Figures 7c and 7f). Following the equilibrium relationship between SST changes and surface  $\delta^{13}\text{C}$  [Mook et al., 1974], a 4°C surface cooling would lead to a  $\delta^{13}\text{C}$  increase of 0.5‰



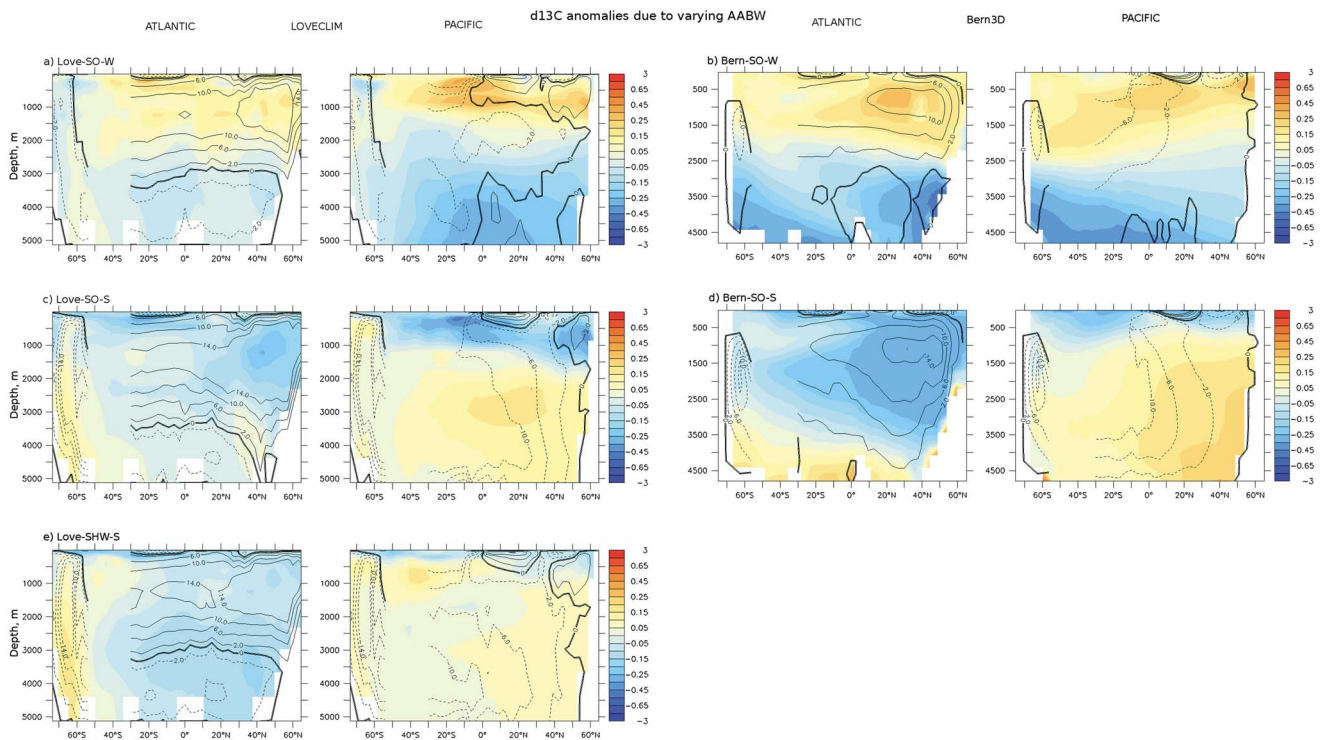
**Figure 9.** Processes influencing atmospheric  $\delta^{13}\text{CO}_2$  and Southern Ocean surface  $\delta^{13}\text{C}_{\text{DIC}}$  when AABW is enhanced (from left to right): (i) Enhanced surface-to-deep exchange brings nutrient-rich  $^{13}\text{C}$ -depleted waters to the surface, shortens the residence time of waters in the Sun-lit surface layer, and reduces the magnitude of nutrient utilization by the marine biota. This lowers the oceanic vertical  $\delta^{13}\text{C}$  gradient, surface ocean  $\delta^{13}\text{C}$ , and atmospheric  $\delta^{13}\text{CO}_2$ . (ii) Reduced sea ice cover enhances air-sea gas exchange and the net transfer of  $^{13}\text{C}$  from the atmosphere to the surface of the Southern Ocean (see Figure 1), which decreases the  $\delta^{13}\text{C}$  disequilibrium by increasing surface ocean  $\delta^{13}\text{C}$  and decreasing atmospheric  $\delta^{13}\text{CO}_2$ . (iii) Increased SST reduces the fractionation between atmospheric  $\text{CO}_2$  and surface ocean DIC, thus reducing the net transfer of  $^{13}\text{C}$  from the atmosphere to the Southern Ocean, thereby lowering surface ocean  $\delta^{13}\text{C}$  and increasing atmospheric  $\delta^{13}\text{CO}_2$ . (iv) Lower wind speeds associated with weaker westerlies reduce gas transfer rates and the net  $^{13}\text{C}$  gas transfer in the Southern Ocean, thereby decreasing Southern Ocean surface  $\delta^{13}\text{C}$  and increasing atmospheric  $\delta^{13}\text{CO}_2$ . It is the combination of these effects which ultimately determines the magnitude of the atmospheric  $\delta^{13}\text{CO}_2$  change.

at equilibrium. The equilibrium is rarely reached, particularly under sea ice (Figure S3). Enhanced nutrient utilization also contributes to the positive surface  $\delta^{13}\text{C}$  anomaly simulated in the North Atlantic in both models (Figures 7 and S3). In the Southern Ocean during NADW cessation, enhanced export production and nutrient utilization induce significant positive surface  $\delta^{13}\text{C}$  anomalies (Figures 7b, 7e, S3e, and S3f), which are only partly compensated by the warmer surface conditions in that region. These positive  $\delta^{13}\text{C}$  anomalies spread from the Southern Ocean northward at intermediate depth in the AAIW core and to a smaller extent in the AABW core (Figures 5c, 5d, 6b, and 6e).

These results are broadly consistent with results from idealized meltwater experiments in which NADW formation was shut down [Marchal *et al.*, 1998]. These authors also find negative anomalies in the deep North Atlantic and positive anomalies in the Southern Ocean related to the biological cycle and air-sea gas exchange, respectively. In contrast to LOVECLIM and the Bern3D model, the zonally averaged model used by Marchal *et al.* [1998] simulates positive anomalies also in the deep Southern Ocean, probably due to extensive vertical convection and mixing.

In LOVECLIM, strongly reduced NADW leads to the formation of North Pacific Deep Water (NPDW) through oceanic and atmospheric teleconnections [Menviel *et al.*, 2011]. In experiment Love-NA-Off, the maximum NPDW transport is about 10 Sv at 1000 m, and NPDW reaches  $\sim 2500$  m depth (Figure 5c). NPDW is associated with a positive  $\delta^{13}\text{C}$  anomaly of up to 1‰ centered at 1500 m in the North Pacific (Figures 5c and 6c), which decreases southward.

Enhanced ventilation of North Pacific intermediate/deep waters reduces the release of isotopically light carbon through remineralization and leads to positive  $\delta^{13}\text{C}$  anomalies there (Figure S2a). The formation of NPDW leads to the downwelling of nutrient-poor, high  $\delta^{13}\text{C}$  waters. Young, nutrient-poor, high  $\delta^{13}\text{C}$  waters thus replace old, nutrient-rich, low  $\delta^{13}\text{C}$  waters over most of the North Pacific.



**Figure 10.**  $\delta^{13}\text{C}$  anomalies (‰) compared to the control run, zonally averaged over the Atlantic (left) and Pacific basin (right) resulting from (a and b) weakened and (c and d) strengthened AABW formation for experiments performed with LOVECLIM (Figures 10a, 10c, and 10e) and the Bern3D model (Figures 10b and 10d). (e) Experiment with enhanced Southern Hemispheric westerlies performed with LOVECLIM. The Indo-Pacific, global, and Atlantic meridional stream functions (Sv) are overlaid for each experiment.

### 3.3. Changes in Antarctic Bottom and Intermediate Waters

AABW strengthening either through changes in buoyancy forcing (Love-SO-S, Bern-SO-S) or increased Southern Hemispheric westerlies (Love-SHW-S) enhances the ventilation of the deep Atlantic and Pacific Oceans. The accumulation of isotopically depleted carbon originating from organic matter remineralization is reduced, which leads to positive  $\delta^{13}\text{C}_{\text{BIO}}$  anomalies in the deep Atlantic and Pacific (Figures 8b and 8e). Enhanced ventilation of the deep ocean leads to the upwelling of low  $\delta^{13}\text{C}$  waters, which decreases surface waters  $\delta^{13}\text{C}$  (Figures 8 and 9) as well as the vertical  $\delta^{13}\text{C}$  gradients (Figure 6, red).

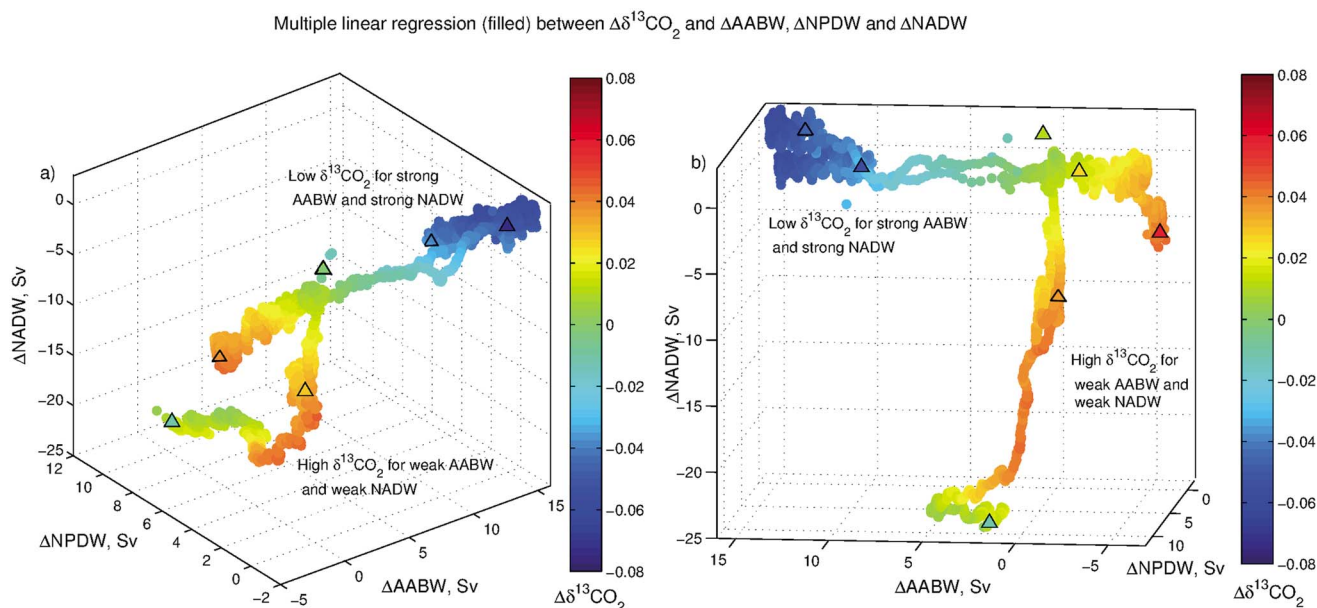
In addition, previous studies have shown that stronger AABW enhances the poleward heat transport to high southern latitudes [Talley, 1999], which leads to positive SST anomalies at the surface of the Southern Ocean [Menviel et al., 2015]. This SST increase lowers  $\delta^{13}\text{C}$  at the surface of the Southern Ocean (Figure 9). The imprint of this signal is visible by particularly large negative  $\delta^{13}\text{C}_{\text{TH}}$  anomalies in the Southern Ocean in LOVECLIM (Figure 8c).

Experiments Love-SO-S and Love-SHW-S display similar changes in AABW and NADW (Figure 4, red and black), and thus simulated oceanic  $\delta^{13}\text{C}$  anomalies are alike (Figure 10). However, stronger Southern Hemispheric westerlies also enhance the formation of AAIW, which leads to positive  $\delta^{13}\text{C}$  anomalies in the AAIW path, in contrast to experiment Love-SO-S.

Weaker AABW reduces the ventilation rates of the deep Pacific and Atlantic Oceans with increased accumulation of remineralized carbon. Negative  $\delta^{13}\text{C}$  anomalies are thus simulated in both the deep Pacific and Atlantic (Figures 10a and 10b). The impact of weaker AABW on oceanic  $\delta^{13}\text{C}$  simulated in LOVECLIM and the Bern3D model is also in qualitative agreement with the results obtained by Kwon et al. [2012].

### 3.4. Relationship Between Changes in Oceanic Circulation and Atmospheric $\delta^{13}\text{C}_{\text{CO}_2}$

Figure 4 shows the changes in atmospheric  $\delta^{13}\text{C}_{\text{CO}_2}$  obtained in the different idealized experiments. NADW cessation leads to a maximum of 0.05‰  $\delta^{13}\text{C}_{\text{CO}_2}$  increase, while weakened AABW transport induces a maximum of 0.15‰  $\delta^{13}\text{C}_{\text{CO}_2}$  increase and enhanced AABW formation leads to 0.1‰  $\delta^{13}\text{C}_{\text{CO}_2}$  decrease.



**Figure 11.** Scatterplot of changes in  $\delta^{13}\text{CO}_2$  (‰, filled) obtained with a multiple linear regression and changes in AABW, NPDW, and NADW (Sv). (a and b) Different angles of the scatterplots. The regression is performed on all the LOVECLIM experiments and is of the form:  $\Delta\delta^{13}\text{CO}_2 = -0.0041 \cdot \Delta\text{AABW} - 0.0056 \cdot \Delta\text{NPDW} - 0.003 \cdot \Delta\text{NADW}$ ,  $R^2 = 0.45$ . Triangles represent the  $\delta^{13}\text{CO}_2$  changes obtained at equilibrium. At equilibrium, the multiple linear regression is  $\Delta\delta^{13}\text{CO}_2 = -0.0069 \cdot \Delta\text{AABW} - 0.0042 \cdot \Delta\text{NPDW} - 0.0012 \cdot \Delta\text{NADW}$ ,  $R^2 = 0.70$ . Positive (negative)  $\Delta\text{AABW}$  values indicate stronger (weaker) AABW.

To get a clearer picture of the role of each water mass in controlling atmospheric  $\delta^{13}\text{CO}_2$ , we perform a multiple linear regression analysis between changes in atmospheric  $\delta^{13}\text{CO}_2$  and changes in NADW, AABW, and NPDW formation rates for the LOVECLIM experiments (Love-NA-Off, Love-NA-W, Love-SO-S, Love-SO-W, Love-SHW-Snas, and Love-SHW-Wnas). As seen in Figure 11, we find significant negative correlations between  $\Delta\delta^{13}\text{CO}_2$  and each of the water masses transport rate ( $R^2 = 0.70$ ). Enhanced transport of AABW, NPDW, or NADW is thus associated with lower atmospheric  $\delta^{13}\text{CO}_2$ . Standardized regression coefficients ( $\beta$ ) are  $-0.6894$ ,  $-0.4415$ , and  $-0.3986$  for, respectively, AABW, NADW, and NPDW. This indicates that AABW ventilation rates are dominant in controlling  $\delta^{13}\text{CO}_2$  variations in LOVECLIM.

Deep and intermediate water masses have a lower  $\delta^{13}\text{C}$  signature than surface waters; therefore, increased ventilation decreases the vertical  $\delta^{13}\text{C}$  gradient (Figure 6) by bringing low  $\delta^{13}\text{C}$  waters to the surface and high  $\delta^{13}\text{C}$  waters to depth. At the same time, enhanced deep ocean ventilation lowers the accumulation of remineralized carbon in the deep ocean (Figure 8). Enhanced AABW and NPDW formation therefore increases atmospheric  $\text{CO}_2$  [Menviel *et al.*, 2014a], decreases surface  $\delta^{13}\text{C}$ , and thus  $\delta^{13}\text{CO}_2$  (Figure 4). This result is confirmed by a multiple linear regression analysis performed on the Bern3D experiments, which displays a significant negative correlation ( $R^2 = 0.6$ ) between changes in  $\delta^{13}\text{CO}_2$  and AABW ( $\beta = -0.97$ ). This is also in agreement with previous studies which found a significant impact of AABW changes on  $\delta^{13}\text{CO}_2$  [Tschumi *et al.*, 2011; Kwon *et al.*, 2012].

As seen in Figure 4,  $\delta^{13}\text{CO}_2$  anomalies simulated in experiment Love-SHW-S and Love-SHW-W are larger than those simulated in Love-SO-S and Love-SO-W, respectively. These differences are due to the air-sea gas exchange of  $^{13}\text{C}$  and to changes in AAIW transport. Enhanced air-sea gas exchange increases the net transfer of  $^{13}\text{C}$  from the atmosphere to the surface of the Southern Ocean (Figure 1), which increases surface ocean  $\delta^{13}\text{C}$  and decreases atmospheric  $\delta^{13}\text{CO}_2$  by  $0.06\text{‰}$  in Love-SHW-S compared to Love-SHW-Snas (Figure 4, solid and dashed black). The reverse is true for experiment Love-SHW-W, in which reduced air-sea gas exchange increases  $\delta^{13}\text{CO}_2$  by  $0.06\text{‰}$  compared to Love-SHW-Wnas (Figure 4, solid and dashed magenta). In addition, stronger Southern Hemispheric westerlies strengthen AAIW, which enhances the ventilation of very low intermediate  $\delta^{13}\text{C}$  waters. This means that for similar changes in AABW,  $\delta^{13}\text{CO}_2$  anomalies are larger when changes are due to dynamic forcing. This result should however be taken with caution, as AAIW  $\delta^{13}\text{C}$  signature is too low in LOVECLIM.

Our results are quantitatively different from the ones obtained with the UVic ESCM [Schmittner and Lund, 2015], where a 0.25‰  $\delta^{13}\text{C}_{\text{CO}_2}$  decrease is simulated following a shutdown of NADW formation over 3000 years in an idealized North Atlantic meltwater experiment performed with interactive land biosphere. The simulated  $\delta^{13}\text{C}$  anomalies in their experiment are consistent with our results for a cessation of NADW formation coupled with formation of NPDW and/or stronger AABW formation. While enhanced NPDW and AABW formation could provide a potential explanation for the simulated decrease in atmospheric  $\delta^{13}\text{C}_{\text{CO}_2}$ , the results obtained with the UVic ESCM, LOVECLIM, and the Bern3D also seem to indicate different model sensitivities to changes in oceanic circulation.

In general, enhanced deep ocean ventilation reduces the vertical  $\delta^{13}\text{C}$  gradient and leads to atmospheric  $\delta^{13}\text{C}_{\text{CO}_2}$  decrease. However, changing oceanic circulation also impacts the climate and the oceanic carbon cycle. The magnitude of the atmospheric  $\delta^{13}\text{C}_{\text{CO}_2}$  change will thus also depend on additional processes. For example, in LOVECLIM, stronger AABW (Love-SO-S) leads to a  $\sim 1.6^\circ\text{C}$  SST increase over the Southern Ocean [Menviel et al., 2015], which reduces air-sea equilibrium fractionation, thus decreasing surface ocean  $\delta^{13}\text{C}$ , while increasing atmospheric  $\delta^{13}\text{C}_{\text{CO}_2}$  (Figure 9). This provides a negative effect on the  $\delta^{13}\text{C}_{\text{CO}_2}$  decrease brought about by enhanced deep ocean ventilation. By contrast, in the Bern3D model, SST over the Southern Ocean only increase by  $\sim 0.5^\circ\text{C}$  when AABW is enhanced (Bern-SO-S). In addition, due to the weak halocline in the North Pacific in LOVECLIM, the lower atmospheric  $\delta^{13}\text{C}_{\text{CO}_2}$  leads to a  $\delta^{13}\text{C}$  decrease at intermediate depth in the Pacific (Figure 8a), which buffers the atmospheric  $\delta^{13}\text{C}_{\text{CO}_2}$  decrease. The different strength of these negative effects in both models can explain the different sensitivity to changes in AABW and also in other water masses.

#### 4. Conclusions

Here we set out to understand the impact of changes in oceanic circulation on atmospheric  $\delta^{13}\text{C}_{\text{CO}_2}$ . The main oceanic processes that can lead to an atmospheric  $\delta^{13}\text{C}_{\text{CO}_2}$  decrease are higher equilibrium fractionation during air-sea gas exchange, enhanced air-sea gas exchange, and lower surface ocean  $\delta^{13}\text{C}$  (Figure 9).

Higher equilibrium fractionation is obtained by a cooling of sea surface waters, while enhanced air-sea gas exchange occurs in the case of stronger surface winds or reduced sea ice coverage. Lower surface ocean  $\delta^{13}\text{C}$  results from a weaker oceanic  $\delta^{13}\text{C}$  vertical gradient obtained either through weaker nutrient utilization or stronger ocean ventilation (Figure 9).

To a first order approximation, enhanced formation of deep and bottom waters increases the ventilation of low  $^{13}\text{C}$  waters to the surface, which reduces atmospheric  $\delta^{13}\text{C}_{\text{CO}_2}$ . However, enhanced deep ocean circulation is often associated with higher surface ocean temperature which tends to increase atmospheric  $\delta^{13}\text{C}_{\text{CO}_2}$  (Figure 9). Different model sensitivities with respect to changes in deep ocean circulation as well as modifications of background climate conditions will thus exert a strong constraint on the magnitude of the simulated  $\delta^{13}\text{C}_{\text{CO}_2}$ .

Analyses made on Antarctic ice cores suggest that atmospheric  $\delta^{13}\text{C}_{\text{CO}_2}$  dropped by  $\sim 0.3\text{‰}$  during Heinrich stadial 1 (HS1) [Lourantou et al., 2010; Schmitt et al., 2012], probably coincident with cessation of NADW formation [McManus et al., 2004]. In contrast with a previous study [Schmittner and Lund, 2015], our results suggest that a cessation of NADW formation has little impact on atmospheric  $\delta^{13}\text{C}_{\text{CO}_2}$  and thus cannot explain the measured  $\delta^{13}\text{C}_{\text{CO}_2}$  decrease. Paleoproxy records have suggested that Southern Ocean ventilation was stronger during HS1 [e.g., Anderson et al., 2009; Skinner et al., 2010], and previous modeling studies have shown that enhanced AABW during HS1 would increase atmospheric  $\text{CO}_2$  [Menviel et al., 2014a] and decrease atmospheric  $\Delta^{14}\text{C}$  [Matsumoto and Yokoyama, 2013] in agreement with paleorecords. In addition, Tschumi et al. [2011] find in their model that enhanced Southern Ocean ventilation decreases atmospheric  $\delta^{13}\text{C}_{\text{CO}_2}$ , and Southern Ocean surface  $\delta^{13}\text{C}$ , while increasing deep ocean  $\delta^{13}\text{C}$ ,  $\Delta^{13}\text{C}$  and oxygen. In addition, Southern Ocean opal export and opal sediment burial increase, initially deepening the lysocline, all consistent with proxy information [e.g., Broecker and Clark, 2001; Anderson et al., 2009; Schmitt et al., 2012; Jaccard et al., 2014].

AABW could have been enhanced during HS1 either through buoyancy forcing or through stronger/poleward shifted Southern Hemispheric westerlies. For example, a reduced temperature gradient in the Southern Hemisphere as well as a southward shift of the Intertropical Convergence Zone in response to weakened NADW formation could enhance and shift the Southern Hemispheric westerlies poleward [Toggweiler et al., 2006; Denton et al., 2010; Toggweiler and Lea, 2010; Lee et al., 2011]. Stronger Southern Hemispheric westerlies

during HS1 would enhance AABW and AAIW, as well as the air-sea CO<sub>2</sub> exchange. Here we simulate a 0.15‰ reduction in atmospheric δ<sup>13</sup>CO<sub>2</sub> when increasing the Southern Hemispheric westerlies by 35%. In addition, reduced iron fertilization at the beginning of the deglaciation would diminish nutrient utilization over the Southern Ocean, which would further decrease atmospheric δ<sup>13</sup>CO<sub>2</sub> by 0.1 to 0.2‰ [Lourantou et al., 2010; Menviel et al., 2012; Broecker and McGee, 2013]. Our results thus suggest that enhanced AABW during HS1 combined with reduced iron fertilization could explain the 0.3‰ δ<sup>13</sup>CO<sub>2</sub> decrease. Further studies are needed to investigate the impact of boundary conditions on changes in atmospheric δ<sup>13</sup>CO<sub>2</sub> and verify this hypothesis.

## Appendix A

Temperature dependent fractionation occurs during the air-sea gas exchange of CO<sub>2</sub> as well as the dissociation of aqueous CO<sub>2</sub> into bicarbonate (HCO<sub>3</sub><sup>-</sup>) and carbonate (CO<sub>3</sub><sup>2-</sup>) ions. Due to the long timescale required for the oceanic surface to be in equilibrium with the atmosphere, water mass formation, sea ice cover, and mixing also have an impact on oceanic δ<sup>13</sup>C.

The kinetic fractionation factor during air-sea gas exchange has a weak dependence on temperature (T, °K) [Siegenthaler and Münnich, 1981]:

$$\alpha_K = 0.9995 * (1.00019 - 0.373/T) \quad (A1)$$

During photosynthesis, <sup>12</sup>C is preferentially taken up, so that organic matter is depleted in <sup>13</sup>C. Freeman and Hayes [1992] defined the isotopic difference between dissolved CO<sub>2</sub> (δ<sup>13</sup>C<sub>d</sub>) and primary photosynthate (δ<sup>13</sup>C<sub>om</sub>) as

$$\epsilon_{d-om} = \left( \frac{(\delta^{13}C_d + 1000)}{(\delta^{13}C_{om} + 1000)} - 1 \right) * 1000 \sim \delta^{13}C_d - \delta^{13}C_{om} \quad (A2)$$

In this study, ε<sub>d-om</sub> is set constant at 22‰ in LOVECLIM.

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