### Mutually honest? Physiological 'qualities' signalled by colour ornaments in monomorphic king penguins

Viblanc Vincent A. <sup>1, 2, \*</sup>, Dobson F. Stephen <sup>2, 3</sup>, Stier Antoine <sup>4, 5</sup>, Schull Quentin <sup>4, 5</sup>, Saraux Claire <sup>6</sup>, Gineste Benoit <sup>4, 5</sup>, Pardonnet Sylvia <sup>4, 5</sup>, Kauffmann Marion <sup>4, 5</sup>, Robin Jean-Patrice <sup>4, 5</sup>, Bize Pierre <sup>1, 7</sup>

<sup>1</sup> Univ Lausanne, Dept Ecol & Evolut, CH-1015 Lausanne, Switzerland.

<sup>2</sup> Ctr Ecol Fonct & Evolut Equipe Ecol Comportementa, UMR CNRS 5175, 1919 Route Mende, F-34293 Montpellier, France.

<sup>3</sup> Auburn Univ, Dept Biol Sci, 311 Funchess Hall, Auburn, AL 36849 USA.

<sup>4</sup> Univ Strasbourg, IPHC, 23 Rue Becquerel, F-67087 Strasbourg, France.

<sup>5</sup> CNRS, UMR 7178, F-67087 Strasbourg, France.

<sup>6</sup> IFREMER, UMR MARBEC, Ave Jean Monnet, BP 171, F-34203 Sete, France.

<sup>7</sup> Univ Aberdeen, Inst Biol & Environm Sci, Aberdeen AB9 1FX, Scotland.

\* Corresponding author : Vincent Viblanc, email address : vincent.viblanc@gmail.com

### Abstract :

Mate choice is expected to be important for the fitness of both sexes for species in which successful reproduction relies strongly on shared and substantial parental investment by males and females. Reciprocal selection may then favour the evolution of morphological signals providing mutual information on the condition/quality of tentative partners. However, because males and females often have differing physiological constraints, it is unclear which proximate physiological pathways guarantee the honesty of male and female signals in similarly ornamented species. We used the monomorphic king penguin (Aptenodytes patagonicus) as a model to investigate the physiological qualities signalled by colour and morphological ornaments known to be under sexual selection (coloration of the beak spots and size of auricular feather patches). In both sexes of this slow-breeding seabird, we investigated the links between ornaments and multiple indices of individual quality; including body condition, immunity, stress and energy status. In both sexes, individual innate immunity, resting metabolic rate, and the ability to mount a stress response in answer to an acute disturbance (capture) were similarly signalled by various aspects of beak coloration or auricular patch size. However, we also reveal interesting and contrasting relationships between males and females in how ornaments may signal individual quality. Body condition and oxidative stress status were signalled by beak coloration, although in opposite directions for the sexes. Over an exhaustive set of physiological variables, several suggestive patterns indicated the conveyance of honest information about mate quality in this monomorphic species. However, sex-specific patterns suggested that monomorphic ornaments may signal different information concerning body mass and oxidative balance of males and females, at least in king penguins.

**Keywords** : body condition, king penguin, monomorphic seabird, mutual mate choice, ornament, oxidative stress, sexual selection, ultra-violet signals

### 51 **INTRODUCTION**

52 The evolutionary explanation for conspicuous and similar ornaments in both sexes (i.e. in 53 sexually monomorphic ornamented species) has been a long-standing quandary in 54 evolutionary biology (reviewed by Kraaijeveld et al. 2007). Two main hypotheses have been 55 proposed to explain mutual ornamentation. The first suggests that female ornaments are nonfunctional, but arise as a by-product of genetic correlations between the sexes (Lande 1980, 56 57 Price 1996). The second, mutual selection, suggests that functional ornaments may result 58 from selection on their expression in both sexes. Processes that may select for both male and 59 female ornaments include mimicry to conceal sexual identity (Burley 1981), mutual sexual 60 selection for high quality partners (Hooper and Miller 2008), or social competition over non-61 mate resources in both sexes (West-Eberhard 1979, Tobias et al. 2012). As pointed out by 62 Kraaijeveld et al. (2007), these processes are not mutually exclusive, as traits may be used in 63 several contexts, for instance both in contests over resources (either mates or non-mate 64 resources) and mate choice (Berglund et al. 1996).

65 Mutual sexual selection is expected when variance in reproductive success is similar 66 between males and females, and when mate quality is an important predictor of variation in male and female success (Trivers 1972, Clutton-Brock and Vincent 1991), such as in slow 67 68 breeding seabirds (e.g. Velando et al. 2001). Where both sexes should be choosy in their 69 pairing preferences, ornaments may be favored because they assist the individual expressing 70 them in acquiring a high quality mate, whereas preferences for ornaments may do the same 71 for receivers (Johnstone et al. 1996, Kokko and Johnstone 2002, Hooper and Miller 2008). 72 Furthermore, mating systems with extended mate-sampling periods are expected to lead to 73 reduced mutual ornamentation ("dull monomorphism"; Badyaev and Qvarnström 2002, 74 Badyaev and Hill 2003), whereas mating systems with short mate-sampling periods should 75 favor extravagant "bright" monomorphism (Fitzpatrick 1994). However, because males and

females often differ in physiological constraints, the aspects of individual quality signaled and
of interest to receivers may differ between the sexes (Alvarez et al. 2005, Lopez et al. 2008).
For instance, in goldfinches (*Spinus tristis*), monomorphic bill coloration is correlated with
acquired immunity in females but not males, probably linked to the different functional roles
of beak coloration in male and female social communication (Kelly et al. 2012).

81 King penguins (Aptenodytes patagonicus) are monomorphic seabirds, where both 82 sexes experience a highly energy demanding breeding cycle (Groscolas and Robin 2001) and 83 cooperate for as long as 14 months to successfully raise a single chick (Stonehouse 1960). 84 Both males and females display conspicuous color ornaments including auricular feather 85 patches that only reflect yellow-orange colors, a breast feather patch that reflects yellow to rusty-brown colors (Pincemy et al. 2009), and keratin beak spots on their lower mandibles 86 87 that reflect yellow-orange and UV color (Jouventin et al. 2005). Although it has been 88 previously demonstrated that feather and beak spot colorations are used in mate choice 89 (Pincemy et al. 2009, Nolan et al. 2010), little is known on the information carried by those 90 ornaments. We tested whether the ornaments of king penguins convey similar information in 91 both sexes in order to determine whether the condition-dependence of ornamental features 92 occurs only in one sex, suggesting that selection operates primarily in that sex and that 93 monomorphism is the outcome of genetic correlation between the sexes; or whether 94 condition-dependence occurs in both sexes (though not necessarily on the same ornaments 95 nor related to the same qualities) supporting the idea of mutual sexual selection. We aimed at 96 providing an extensive list of quality measures choosing key mediators of vertebrate life 97 histories expected to exhibit important associations with fitness. Those included body 98 condition, immune status, energy expenditure, hormonal stress status, hormonal and heart rate 99 stress responsiveness, and oxidative status (e.g. Norris and Evans 2000, Monaghan et al. 100 2009).

101 Because beak UV is important to pairing decisions for both male and female king 102 penguins (Nolan et al. 2010), we expected it to reflect information on individual quality in 103 both sexes. In contrast, larger auricular patches are more important to females during mate 104 choice (Pincemy et al. 2009, Dobson et al. 2011), but have also been positively linked to 105 social aggressiveness in both sexes (Viera et al. 2008). Thus, we expected auricular patch size 106 to yield information on male quality, or non-exclusively to signal male and female abilities to 107 cope with their aggressive colonial environment, including via physiological stress responses 108 (e.g. Parker et al. 2002, Bortolotti et al. 2009). Social competition has been suggested to favor 109 the evolution of ornaments as 'badges of status' that are used in alternative contexts to mate 110 choice (West-Eberhard 1979, Kraaijeveld et al. 2007). King penguins are known to 111 aggressively compete over breeding sites, and thus colored ornaments might convey 112 information about social dominance or aggressiveness (Viera et al. 2008, Keddar et al. 113 2015a). Specifically, given that males perform the first and longest reproductive fast of the 114 breeding cycle (typically 1-mo. including courtship and incubation; Stonehouse 1960), 115 information on body condition should be more important to females. We predicted that 116 ornamental features should be associated with body condition, especially in males. In 117 contrast, information relating to immunity should be particularly relevant to both sexes in this 118 species, since ticks (Ixodes uriae) are prevalent in king penguin colonies and detrimentally 119 affect adult and offspring fitness (Mangin et al. 2003, Bize P., Schull Q., Pardonnet S., Handrich Y., Criscuolo F., Viblanc V.A., Robin J.P., unpubl. data). Finally, stress status 120 121 (including oxidative stress; von Schantz et al. 1999) in relation to mate choice (e.g. parental 122 breeding quality; Angelier and Chastel 2009) or social territory acquisition should be 123 mutually important to males and females, and associated with ornamental traits in both sexes.

124

125 METHODS

### 126 Field site and study species

This study was conducted in the king penguin colony of *La Baie du Marin* (Possession Island,
Crozet Archipelago; 46°25'S, 51°45'E) during the 2011-2012 breeding season (Dec.–Mar.).
After an initial courtship period (~15 days), male and female penguins alternate periods
fasting on-land and foraging at sea during incubation and chick-brooding (Stonehouse 1960).
Hatching occurs after approximately 54-days and both parents alternate feeding and guarding
duties on-land during most of the austral summer.

133 In early November (breeding onset), we captured 31 penguin pairs and marked them 134 with non-permanent animal dye (Porcimark; Kruuse, Langeskov, Denmark) and plastic 135 flipper-bands. Because of logistical constraints, all birds were caught after courtship, and had 136 already undergone the mate choice and the pairing processes. We assumed that ornaments at 137 mate choice were correlated with the moment at which we measured them, after birds had 138 paired (see below). Accordingly, the size of the ear patch is determined at molt and beak 139 measures at the start of breeding showed little within-individual variation compared to 140 between individual variation (Schull Q., Viblanc V.A., Dobson F.S., Bize P., unpubl. data). 141 Males (N = 31) were tagged during the first incubation shift, shortly after the female had departed to feed at sea. Females (N = 30) were tagged upon return from their foraging trip. 142 143 Birds were observed daily from a distance, during the entire breeding season (Nov.-Mar.), to 144 monitor their breeding status and determine sex-specific breeding shifts. All plastic flipper-145 bands were removed at the end of the study.

- 146
- 147 Morphometric measures

Flipper (± 1 mm) and beak length (± 0.1 mm) were measured using a solid metal ruler and
dial calipers (Stonehouse 1960). Body girth (thoracic circumference) was measured (± 1 mm)
with a flexible tape-ruler just below the upper articulation of the flippers to the body (Viblanc

et al. 2012a). Birds were measured at the onset of incubation shift 2 for females and
incubation shift 3 for males, to insure that both males and females had experienced similar
minimal fasting durations (2-3 days) on land.

154

### 155 **Ornament measures**

156 Standardized measures of the width and height of the right and left auricular feather patches 157 were performed using a flexible tape-ruler (see online supporting information S1). Left and 158 right distances were averaged and the surface of the patch was calculated as *width x height* 159  $(mm^2)$ .

160 Reflectance measurements of the beak spot were obtained using a portable JAZ 161 spectrophotometer (Ocean Optics Inc., Dunedin, FL, USA) with a spectral resolution of 0.3 nm across the spectral range 320-700 nm. The spectrophotometer contained a pulsed-xenon 162 163 light module and was calibrated against a white Spectralon standard. All measures were 164 performed using a 200 µm fiber probe with a 90° angle window. Measures were repeated 3 165 times across each bill plate (in the orange region from bill tip to base) and spectra were 166 averaged using an R script adapted from Montgomerie (2008). From spectral data, we 167 calculated tri-stimulus color variables: mean brightness, hue and chroma. We considered the 168 spectral range 320-700 nm, given the range of spectral sensitivity in birds (Cuthill 2006). The 169 reflectance of king penguin beak spots is characterized by a bimodal pattern including a 170 reflectance peak in UV and a peak/plateau in the yellow-orange (YO) portion of the spectrum 171 (see Fig. 1). Thus, we calculated color variables over wavelength sub-regions of interest. For 172 yellow-orange colors, we focused on the 500-700 nm portion of the spectrum. For the UV 173 peak, we focused on the range 320-450 nm. Although this region extends beyond UV 174 coloration per se, the choice was deliberate to account for the UV peak of king penguin beak 175 spots in its entirety (Jouventin et al. 2005). Mean brightness is a measure of spectral intensity

of the ornament, and yellow-orange and UV mean brightness were calculated by averaging 176 177 reflectance over wavelengths 500-700 nm and 320-450 nm, respectively (Montgomerie 2006). 178 Hue is a measure of color appearance (e.g. 'blue', 'yellow', etc.). For the YO plateau portion 179 of the spectrum, it was calculated as the wavelength at which the reflectance was halfway 180 between its maximum and minimum (Keddar et al. 2013). For the UV peak, hue was calculated as the wavelength of maximum reflectance between 320 and 450 nm. Finally, 181 182 chroma is a measure of color purity and was calculated as the difference between maximum 183 and minimum reflectance over the mean reflectance for that particular region (formula  $S_8$ ; 184 Montgomerie 2006).

185

### 186 **Body condition**

We used a principal component analysis to calculate a structural size index (SSI), which explained 86% of the variation in beak size and flipper length (SSI = 0.95 x flipper + 0.31 x beak). We then regressed body girth on this SSI ( $F_{1,59}$  = 18.87, P < 0.001,  $R^2$  = 0.24) and used the residuals as an index of body condition. This method yields condition indices very similar to classical mass/size regressions (correlation, r = 0.92; Viblanc et al. 2012a), but is more practical than weighing birds within the breeding colony.

193

### 194 Immunity measures

Immune status was assessed from blood samples collected during the second incubation shift of males and females. Blood (1 mL) was collected within 3-minutes of capture (see stress protocol below) from the marginal flipper vein using a 0.7\*40 mm, 22G needle fitted to a 5 mL heparinized syringe. Within 10 min of sampling, blood was centrifuged at 3000xg for 5 min separating plasma and blood cells. Samples were kept at -18°C until the end of the day before being transferred at -80°C until lab-analyses. Constitutive innate humoral immunity 201 was determined using the hemolysis-hemagglutination assay described for birds (including 202 seabirds) by (Matson et al. 2005). This assay evaluates natural antibody (NAb) levels and 203 associated complement activation potential in plasma. Briefly, NAbs are innate non-specific 204 antibodies encoded by the germ line that react with virtually any antigen. They are naturally 205 present in antigen-naïve individuals, form a large portion of serum immunoglobulin, and 206 initiate the complement enzyme cascade that ends in cell lysis (Matson et al. 2005). We 207 exposed 25µL of penguin plasma (serially diluted from 1 to 1/1024) to 25µL of a 1% rabbit 208 blood cell suspension and scored lysis (Lysis titers) and agglutination (NAb titers) for each 209 sample. All assays were run on the same day and scored by the same observer (AS). Within 210 and among-assay variation was 2.4% and 7.5% for lysis, and 3.0% and 4.1% for agglutination 211 titers, respectively.

212

### 213 **Resting metabolic rate**

214 An estimate of bird's resting metabolic rate was obtained by measuring their daily resting 215 heart rate (rHR). The conversion of HR to VO<sub>2</sub> (the classic measure of metabolic rate) using 216 previously established calibrations is complicated by various issues including error 217 measurement (for a discussion see Green 2011). Thus, we used raw HR data as a qualitative 218 rather than quantitative index of metabolic rate in king penguins (Viblanc et al. 2014). We 219 attached external HR-loggers (Polar® RS800 and RS800CX, Polar Electro Oy, Kempele, Finland) to breeding birds on the 6<sup>th</sup> day of their second incubation shift (shift 3 for males, N 220 221 = 26; shift 4 for females, N = 24). Details on logger attachment, technology and accuracy of 222 HR measurement are provided elsewhere (Groscolas et al. 2010). Birds' HR was recorded for 223 48 hours (until day 8 of their incubation shift) at a rate of 1 value every 5 or 2 seconds (depending on the logger model and memory). HR typically recovered to resting levels within 224 225 30 minutes of the initial capture stress (Viblanc et al. 2012b). We thus systematically

discarded the first 60 minutes of each recording to avoid confounding our calculations with handling stress. We calculated daily rHR using moving averages to determine the 10 consecutive minutes where HR was lowest over 12-h periods. Daily rHR values were highly repeatable (r = 0.95; Lessels & Boag 1987) and were averaged (Viblanc et al. 2014).

230

### 231 Stress status

232 We assessed penguins' stress status by measuring plasma total corticosterone (CORT), the 233 main glucocorticoid stress hormone in birds. We determined both basal total CORT levels and acute total CORT increase to a standardized capture stress on the 8<sup>th</sup> day of second 234 235 incubation shift, at the same time that HR-loggers were removed. The capture stress was a 236 standardized approach starting > 25m away from the bird, before hooding and capturing it. At 237 the start of the approach, the experimenter insured that the bird was resting. The time at which 238 it became vigilant to the approaching experimenter was considered T<sub>0</sub> and a first blood 239 sample (as previously described) was made within the following 3-5 minutes. In king 240 penguins, plasma CORT levels do not significantly increase due to a capture-handling stress 241 within this time period (Ménard 1998). After initial blood sampling, the experimenter loosely 242 maintained the bird captive for 30 min and performed a second blood-sample at  $T_{30}$ . 243 Concentrations of plasma CORT were measured in duplicate using a quantitative competitive 244 sandwich enzyme immunoassay technique according to guidelines provided by the 245 manufacturer (ELISA Corsticosterone kit, Enzo Life Sciences, Farmingdale, NY, USA, ADI-246 900-097). Kit sensitivity was 27.0 pg/mL, intra- and inter-assay variation were 7.6% and 13.3%, respectively. The CORT response to acute stress was calculated as  $100*(CORT_{30} -$ 247 248  $CORT_0)/CORT_0$ 

During the standardized capture protocol we also measured HR response. We defined the initial resting HR (HR<sub>i</sub>) as the HR at the moment preceding a rapid constant increase in HR due to the approaching experimenter (Viblanc et al. 2012b). Maximal HR (HR<sub>max</sub>) in response to the capture corresponded to the maximal HR achieved in the 3 minutes following the onset of the stress. The maximum increase in HR was then calculated as  $100*(HR_{max} - HR_i)/HR_i$ . HR-loggers were removed at the end of the stress.

255

### 256 Oxidative status

On the 8<sup>th</sup> day of the second incubation shift, we determined plasma oxidative status as previously described for king penguins (Geiger et al. 2012). The antioxidant capacity of penguin's plasma (OXY) and its concentration of reactive oxygen metabolites (ROM; a measure of exposure to oxidative stress) were respectively measured using commercially available OXY adsorbent and dROM kits (Diacron International srl, Grosseto, Italy). Intraand inter-assay variation was 7.4% and 7.0% for OXY, and 6.4% and 7.9% for ROM.

263

### 264 Data analyses

265 Analyses were performed using R v.3.0.2. All individuals only appeared once in the data set 266 and we had no repeated measures. First, we investigated male and female dimorphism by considering the effect of sex on structural size, beak color variables and auricular patch 267 268 surface in linear models. For auricular patch surface, we also considered sexual dimorphism 269 controlling for structural size (specified as a covariate in the analysis). We then investigated whether ornaments reflected physiological variables (i.e. could the birds "predict" 270 271 physiological quality from the ornaments) by running separate models for each physiological 272 trait and specifying beak color traits (hue, chroma and brightness) and auricular patch size as 273 predictor variables in our models. Sex was included as a cofactor in the analyses and its 274 interactions with beak coloration variables and auricular patch size were considered. The area 275 of the colony in which the bird was sampled (close to the beach or further up the valley) was

276 fixed as a cofactor in all analyses to account for known colony-related differences in parasites 277 and stress responses (Viblanc et al. 2012b). Independent variables were standardized prior to 278 analyses, so that model estimates were comparable (Schielzeth 2010). We used multi-model 279 inference with Akaike's Information Criterion corrected for small sample size to identify the 280 best model (AICc and AIC weights) for each physiological parameter considered ('dredge' 281 package in R; Bartoń 2015). We retained the most parsimonious model within potential 282 candidates ( $\Delta AICc < 2$ ). Models were compared using Maximum Likelihood. Because most 283 color variables were correlated to some extent (see online supporting information S2), we 284 insured collinearity was not an issue before performing model selection in our analyses. We 285 checked for variance inflation factors (VIFs) in the full model (suggested cut-off = 5; Zuur et al. 2007). Yellow hue was the only variable which appeared problematic in all models, with 286 7.2 < VIF < 9.4. Thus, we removed it from all analyses, and subsequent collinearity was low 287 288 (1.2 < VIFs < 5.2). Due to sampling and slight variations in success of laboratory analyses, 289 sample sizes varied across physiological measures. Diagnostic plots and the Shapiro-Wilk 290 normality test were used to inspect model residuals for normality and potential outliers. When 291 necessary (i.e. for resting HR and the acute CORT response), data were transformed prior to 292 analyses using Box-Cox power transformations (Viblanc et al. 2012b) to insure residual 293 normality. For each model, we calculated effect sizes (ES, Hedges' unbiased d and z-294 transformed r) and their associated 95% confidence intervals based on respective *t*-statistics 295 using equations 10, 11, 14, 15, 17 and 19 from (Nakagawa and Cuthill 2007). We use the benchmarks r = 0.1, 0.3, 0.5 and d = 0.2, 0.5, 0.8, to discuss small, medium and large effect 296 297 sizes (Nakagawa and Cuthill 2007).

298

299 **RESULTS** 

### 300 Male and female dimorphism in sexual ornaments

Males were slightly but significantly larger than females (3-4% for flipper and beak, respectively; Fig2; online supporting information S3), and had significantly larger auricular patches (14%), even when accounting for structural size as a covariate in the model (Fig. 2). Sexes did not differ significantly in terms of ornamental colors, except for UV chroma, which was slightly higher in males (Fig. 2).

306

### 307 Body condition and ornaments

308 The most parsimonious model explaining body condition in breeding birds with the lowest 309 AICc and highest AIC weight retained beak UV brightness, yellow orange chroma, and their 310 interactions with sex as important factors (Table 1, see online supplementary material S4). 311 Patterns of association between beak UV brightness, yellow-orange chroma, and body 312 condition were different in males and females (Fig. 3, Table 1). Beak UV brightness was 313 weakly positively (Zr = +0.29;  $CI_{95} = [-0.00, 0.58]$ ) related to body condition in males, but moderately negatively in females (Zr = -0.51;  $CI_{95} = [-0.22, -0.80]$ ) (Fig. 3A). Beak yellow-314 315 orange chroma was moderately positively related to body condition in females (Zr = +0.53; 316  $CI_{95} = [0.24, 0.82]$ ), but not in males (Zr = -0.06;  $CI_{95} = [-0.35, 0.23]$ ) (Fig. 3B).

317

### 318 Oxidative status and ornaments

UV hue, sex and their interaction were selected by AICc as important variables related to ROM levels (Table 2, online supplementary material S5). In females, beak UV hue was strongly negatively related to ROM levels (Zr = -0.59;  $CI_{95} = [-0.20, -0.99]$ ), whereas the association was positive in males, though the effect was weak as CI barely overlapped zero (Zr = +0.37;  $CI_{95} = [-0.02, 0.77]$ ) (Fig. 4). In contrast, OXY levels were not related to beak coloration or auricular patch surface, *i.e.* only the intercept was retained in the best model (online supplementary material S6).

### 327 Immunity and ornaments

The most parsimonious model retained YO beak chroma as a feature explaining variation in lysis scores in both sexes, but no sex interaction (Table 3, online supplementary material S7). YO chroma was weakly negatively (Zr = -0.24; CI<sub>95</sub> = [-0.54, 0.05]) related to lysis titers (Fig. 5A). NAb titers were moderately negatively (Zr = -0.42; CI<sub>95</sub> = [-0.72, -0.12]) related to patch surface in both sexes (again, no sex interaction) (Table 4, online supplementary material S8) (see Fig. 5B).

334

### 335 Resting metabolic rate and ornaments

Model selection retained UV brightness as a variable related to daily resting HR, but no sex interaction (Table 5, online supplementary material S9). UV brightness was moderately positively (Zr = +0.35; CI<sub>95</sub> = [0.05, 0.66]) associated with daily resting HR levels (Fig. 6).

339

### 340 Stress and ornaments

341 Beak and patch ornaments did not relate to basal total CORT levels, as the best and most 342 parsimonious model only retained colony area as an important factor explaining CORT levels  $(d_{\text{unbiased}} = +0.94; \text{CI}_{95} = [0.29, 1.59]$ , see online supplementary material S10). Birds breeding 343 further up the valley had significantly higher basal CORT  $(3.56 \pm 0.35 \text{ ng.mL}^{-1})$  levels than 344 birds breeding close to the beaches  $(2.15 \pm 0.23 \text{ ng.mL}^{-1})$ . For the birds' acute CORT 345 346 response to a standardized 30-min capture, model selection retained UV hue as a variable 347 explaining variation in the CORT response, but no sex interaction (Table 6; see online supplementary material S11). UV hue (Zr = -0.37;  $CI_{95} = [-0.69, -0.06]$ ) was moderately 348 349 negatively related to the acute CORT response (Fig. 7). Finally, birds' HR response to capture 350 did not appear to be related to beak or auricular patch ornaments. Indeed, the best and most parsimonious model only retained colony area as an important factor explaining variation in birds' acute HR response to stress ( $d_{unbiased} = +0.59$ ; CI<sub>95</sub> = [-0.09, 1.26]; see online supplementary material S12). Birds breeding up the valley had slightly higher HR responses to captures (132.6 ± 8.1 %) than birds breeding close to the beaches (113.8 ± 11.6 %).

355

### 356 **DISCUSSION**

357 The two main hypotheses proposed to explain the evolution of elaborate ornamentation in 358 males and females are the 'genetic correlation' and the 'mutual selection' hypotheses 359 (Kraaijeveld et al. 2007). The former proposes that showy ornaments are functional in males, 360 but evolve as non-functional by-products of genetic correlations between the sexes in females 361 (Lande 1980). Selection then operates in males and the condition-dependence of ornaments 362 should be primarily related to the male sex. The latter proposes that ornaments are functional 363 in both sexes, evolving as honest signals of individual quality related to sexual or other, not 364 mutually-exclusive, forms of social selection (e.g. social competitiveness for breeding sites) 365 (Johnstone et al. 1996, Kokko and Johnstone 2002, Hooper and Miller 2008, Tobias et al. 366 2012). Although the genetic correlation hypothesis predicts that ornaments should convey 367 information mostly in males, the mutual selection hypothesis predicts that ornament should 368 convey information in both sexes.

In agreement with the mutual selection hypothesis, in king penguins we found that the showy ornaments used in mate choice were related to various aspects of physiological quality in both sexes. Successful breeding in this species involves obligate bi-parental care over an extended 14-mo. period (Stonehouse 1960). Adults experience high annual divorce rates (up to 81%; Olsson 1998) and courting birds encounter prospective mates at a high rate. Such conditions provide scope for mutual choosiness (Johnstone et al. 1996, Kokko and Johnstone 2002) and are indeed expected to favor the evolution of ornamental signals reflecting individual quality in both sexes (Kraaijeveld 2003, Kraaijeveld et al. 2007). However, we also
found that not all facets of physiological quality were similarly related to ornamentation in
both sexes, suggesting that mutual ornamentation may be maintained by varying selective
pressures in males and females (e.g. Murphy 2007).

380

### 381 Mutual ornamentation and immunity

382 One important cost of colonial breeding is parasitism (Mangin 2003). The 383 immunocompetence hypothesis predicts that, given limited resources (energy, nutrients, 384 protein), trade-offs occur between energy allocations to immunity or to the production and 385 maintenance of ornamentation (Saino et al. 1997, Verhulst et al. 1999). Consistently, we 386 found weak to moderate negative associations between measures of innate immunity and 387 ornamental features in both sexes. Lysis and NAb titers were negatively related to YO beak 388 chroma and auricular patch surface respectively suggesting that investing into larger auricular 389 patches and more YO beaks may incur a cost in terms of immunity. Interestingly, Nolan et al. 390 (2006) previously documented a link between the PHA skin test and breast coloration in 391 males, although they failed to detect an association with beak coloration or auricular patch 392 size. Unlike the PHA-test that measures a wide range of immune responses involving both 393 innate and acquired immunity (Tella et al. 2008), NAb titers reflect a well-defined component of the innate immune response not induced by an experimental infection (Matson et al. 2005). 394 395 These findings support the notion that different ornaments may signal different components 396 of immunity in breeding birds (Kelly et al. 2012).

397

### 398 Mutual ornamentation and body condition

Acquiring information on body condition should be especially important to mate choice inbreeding seabirds that undergo extended periods of fasting while caring for the egg or chick

401 (Groscolas and Robin 2001). Surprisingly, we found that body condition was related to beak 402 spot coloration differently in males and females. Better body condition was associated with 403 lower UV brightness and higher YO chroma (both strong effects) in females, but higher UV 404 brightness (moderate effect) in males. These results are consistent with previous findings of 405 lower UV brightness for females in better body condition (Dobson et al. 2008), but at odds 406 with the idea that mutual selection for high UV reflectance occurs in both sexes (Nolan et al. 407 2010, Keddar et al. 2015b). One explanation is that males and females use beak spot signals 408 differently. As males have to endure the longest reproductive fast (Stonehouse 1960), 409 including courtship and the first incubation shift, choosing mates of high body condition 410 should be especially important for females. In females, poor body condition to an extent could 411 reflect greater investments into reproduction to the detriment of self-maintenance, which 412 should be favored by males. In females, body condition was negatively associated with 413 increasing UV brightness but positively associated with increasing YO chroma, raising 414 questions about the interactions between carotenoid and structural signals (Shawkey and Hill 415 2005, Mougeot et al. 2007, Dugas and McGraw 2011). For instance, in red grouse (Mougeot 416 et al. 2007) and nestling house sparrows (Dugas and McGraw 2011), carotenoid pigments appear to act as a mask, decreasing UV reflectance in soft structures. There is some 417 418 suggestion that carotenoid pigments are also found in the beak of king penguins (see McGraw 419 et al. 2007), and similar interactions might explain the opposite relationships we find for beak 420 YO chroma and UV brightness. Further, only high condition females may have been able to 421 allocate carotenoid pigments to their beak spots to function as signals (Blount et al. 2003, 422 Mougeot et al. 2010).

423

### 424 Mutual ornamentation and metabolic rate

425 We found that beak UV brightness was positively (medium effect size) associated with 426 resting HR levels (a proxy for resting metabolic rate; Viblanc et al. 2014) in both sexes. High 427 resting metabolic rates may reflect increased capacities to engage in a suite of challenging 428 activities such as foraging, caring for the young or competing for ressources, and might be 429 honestly reflected by color ornaments (Biro and Stamps 2010, Kelly et al. 2012). The links between UV coloration and metabolic rate may lie within the energy costs of 430 431 producing/maintaining structural colors (Siefferman and Hill 2005, Doutrelant et al. 2012). 432 For example, Siefferman and Hill (2005) showed that experimentally reducing the energy cost 433 of reproduction by reducing brood size in bluebirds (Sialia sialis) allowed males to increase 434 their investment into plumage UV in the subsequent year. Rather than a long-term energy 435 trade-off between competing functions (conserving energy for ornament production vs. 436 expanding it for current reproduction), our results suggest possible indirect metabolic costs, 437 such as keeping the beak clean, for UV maintenance.

438

### 439 Mutual ornamentation and stress

440 Glucocorticoid hormones (GC) play key roles in mediating physiological trade-offs and energy allocation, and baseline GC levels have been suggested to ensure signal honesty 441 442 (Husak and Moore 2008, Weiss et al. 2013). Whereas we found no link between baseline 443 CORT and ornaments in our study, UV hue was moderately and negatively associated with the birds' CORT response to acute stress (Zr = -0.37;  $CI_{95} = [-0.69, -0.06]$ ). Birds with more 444 445 UV hued beaks mounted a greater stress response to capture. Because stress responses are 446 energy costly, this is consistent with the idea that the ability to mount stress responses while fasting is reflected in ornamentation, which may be particularly relevant in the context of 447 448 colonial breeding during exposure to overt social aggressiveness (Côté 2000). In contrast, we 449 did not observe a link between ornaments and the acute HR response to stress, suggesting that

450 HPA and sympathetic stress pathways may be modulated and signaled independently in 451 breeding birds (e.g. Nephew et al. 2003). We found that birds up the valley mounted slightly 452 higher HR responses to capture, and had higher baseline CORT levels than birds breeding 453 close to the beach. These results suggest two alternatives: that birds breeding close to the 454 beach might have habituated to chronic human disturbance (Viblanc et al. 2012b), and that birds up the valley may have been more exposed to parasites (Bize P., Schull Q., Pardonnet 455 456 S., Handrich Y., Criscuolo F., Viblanc V.A., Robin J.P., unpubl. data), Manipulating 457 circulating CORT levels in breeding birds may allow further exploration of the interplay 458 between ornamentation, glucocorticoids, and cardiovascular function. For instance, chronic 459 experimental increases in baseline stress levels (via CORT implants) have been shown to 460 negatively affect UV and orange-red reflectance in female striped plateau lizards (Sceloporus 461 virgatus) (Weiss et al. 2013).

462

### 463 Mutual ornamentation and oxidative stress

464 We observed sex-related differences in UV advertising for oxidative stress. In females, lower 465 UV hue (i.e., hue more strongly embedded in the peak UV wavelengths) was strongly and positively associated with higher pro-oxidant levels (higher ROM but not higher OXY levels), 466 467 whereas the opposite occurred in males (a moderate effect and the CI overlapped zero). This 468 result was surprising for a structural color, as links between ornamentation and oxidative 469 status are expected for yellow-orange colors, because of the allocation trade-off of carotenoid 470 pigments to either anti-oxidant or ornamental functions (von Schantz et al. 1999, Mougeot et 471 al. 2010). However, the interplay between UV and yellow-orange color reflectance might also 472 convey information on carotenoid availability (Jacot et al. 2010). Carotenoids absorb wavelengths of short to medium wavelengths (400-515 nm), and greater deposition of 473 474 carotenoids in feathers has been experimentally shown to cause a shift in the UV peak to

475 shorter wavelengths in great tits (Jacot et al. 2010). The precise link between carotenoid 476 concentration and beak reflectance both in UV and YO wavelengths remains to be determined 477 in king penguins. But our result may suggest that females depositing more carotenoids in their 478 beak suffered from greater oxidative stress, highlighting a trade-off between pigment 479 allocation to anti-oxidant defenses or beak coloration. The exhaustive measurement of oxidative status of breeding birds requires supplementary markers of oxidative damage and 480 481 antioxidant defense (e.g. lipid peroxidation, antioxidant enzymatic activity), and preferentially 482 in different tissues (Selman et al. 2012). However, our results add to the evidence that 483 condition-dependent UV signals indeed occur in many bird species (Keyser and Hill 2000, 484 Bize et al. 2006, Mougeot et al. 2010), likely in interaction with carotenoid signaling.

485

### 486 Conclusion

487 Taken together our results suggest that monomorphic ornamentation reflects several aspects 488 of physiological quality in king penguins, supporting the mutual selection hypothesis. 489 Interestingly, the qualities signaled by mutual ornamentation may nonetheless differ (in fact 490 be opposite) between the sexes, likely due to physiological differences and varying selection 491 pressures. Because we collected the physiological and ornamental measures only at only one 492 point in time, it remains to be explored if some of those traits are dynamic (e.g. beak 493 coloration: Faivre et al. 2003, Pham et al. 2014) and whether birds may use them for short-494 term behavioral decisions. The further study of monomorphic species should shed new 495 insights on the maintenance, information and costs of sexual signals.

496

### 497 ACKNOWLEDGMENTS

We thank G.E. Hill for helpful discussion on the analyses, and F. Criscuolo for help with fieldand lab work and insightful comments on the manuscript. We are grateful S. Calhim, I.

Keddar and two anonymous reviewers for insightful comments on the analyses and on previous versions of the paper. L. Cattin and L. Bovet helped with preliminary data analyses.
S. Reichert and S. Massemin-Challet helped with oxidative stress analyses. The research was funded by the French Polar Institute (IPEV–Research Program 119) and the French National Centre for Scientific Research (CNRS-INEE). Field logistic support was provided by Terres Australes et Antarctiques Françaises. VAV was funded by a post-doctoral fellowship from the Fondation Fyssen.

### 507 FIGURE CAPTIONS

Fig 1. Reflectance curve obtained from the beak spot of a breeding king penguin
(*Aptenodytes patagonicus*). Note the typical bi-modal pattern with a UV-peak around 380390 nm and a yellow-orange plateau from 500-700 nm.

Fig 2. Effect sizes and 95% confidence intervals for ornamental and structural size dimorphism between king penguin males and females. Effect sizes and 95% CI were calculated after Nakagawa & Cuthill 2007. Effects are considered significant if their 95% CI does not overlap zero.

Fig 3. Relationships between beak coloration and body condition in breeding king penguins. Relationships are given for (A) beak UV brightness, and (B) beak yellow-orange chroma. Females are depicted by open circles and a full line, males by filled circles and a dashed line. The right panel provides effect sizes and 95% CI calculated after Nakagawa & Cuthill 2007. Effects are considered significant if their 95% CI does not overlap zero.

Fig 4. Relationship between beak coloration and standardized plasma concentration of reactive oxygen metabolites [ROM] in breeding king penguins. Females are depicted by open circles and a full line, males by filled circles and a dashed line. The lower panel provides effect sizes and 95% CI calculated after Nakagawa & Cuthill 2007. Effects are considered significant if their 95% CI does not overlap zero.

**Fig 5. Relationship between beak coloration, auricular patch surface and innate immunity in breeding king penguins.** Relationships are given for (A) plasma lysis titers and yellow-orange chroma, and (B) plasma Nab titers and auricular patch surface. On the left panel, males are depicted by filled circles, females by open circles. The right panel provides effect sizes and 95% CI calculated after Nakagawa & Cuthill 2007. Effects are considered significant if their 95% CI does not overlap zero. 531 Fig 6. Relationship between beak UV brightness and daily resting HR levels (bpm) in

532 breeding king penguins. On the left panel, males are depicted by filled circles, females by

533 open circles. The right panel provides effect sizes and 95% CI calculated after Nakagawa &

534 Cuthill 2007. Effects are considered significant if their 95% CI does not overlap zero.

- 535 Fig 7. Relationship between the relative corticosterone increase in response to a
- 536 standardized 30 minute capture and beak UV hue in breeding king penguins. On the left
- 537 panels, males are depicted by filled circles, females by open circles. The right panel provides

538 effect sizes and 95% CI calculated after Nakagawa & Cuthill 2007. Effects are considered

- 539 significant if their 95% CI does not overlap zero.
- 540

### 541 **REFERENCES**

Alvarez F, Sanchez C, Angulo S. 2005. The frontal shield of the moorhen: sex
differences and relationship with body condition. *Ethology Ecology & Evolution* 17: 135148.

545 **Angelier F, Chastel O. 2009.** Stress, prolactin and parental investment in birds: A review. *General and Comparative Endocrinology* **163**: 142-148.

547 Badyaev AV, Hill GE. 2003. Avian sexual dichromatism in relation to phylogeny and
548 ecology. *Annual Review of Ecology and Systematics* 34: 27-49.

549 **Badyaev AV, Qvarnström A. 2002.** Putting sexual traits into the context of an organism: a life history perspective in studies of sexual selection. *Auk* **119**: 301-310.

551 **Bartoń K. 2015.** MuMln: multi-model inference. R package, version 1.13.14. Available 552 at: http://CRAN.R-project.org/package=MuMIn.

Berglund A, Bisazza A, Pilastro A. 1996. Armaments and ornaments: an
evolutionary explanation of traits of dual utility. *Biological Journal of the Linnean Society*58:385-399.

556 **Bize P, Piault R, Moureau B, Heeb P. 2006.** A UV signal of offspring condition 557 mediates context-dependent parental favouritism. *Proceedings of the Royal Society B* 558 *Biological Sciences* **273**:2063-2068.

Biro PA, Stamps JA. 2010. Do consistent individual differences in metabolic rate
promote consistent individual differences in behavior? *Trends in Ecology & Evolution* 25:
653-659.

562 **Blount JD, Metcalfe NB, Birkhead TR, Surai, PF. 2003.** Carotenoid modulation of 563 immune function and sexual attractiveness in zebra finches. *Science* **300**:125-127.

Bortolotti GR, Mougeot F, Martinez-Padilla J, Webster LMI, Piertney SB. 2009.
Physiological stress mediates the honesty of social signals. *Plos One* 4: e4983.

Burley N. 1981. The evolution of sexual indistinguishability. Pages 121-137 *in* R. D.
Alexander and D. W. Tinkle, editors. Natural selection and social behaviour: recent
research and new theory. Chiron Press, New York.

569 **Clutton-Brock TH, Vincent ACJ. 1991.** Potential reproductive rates and the 570 operation of sexual selection. *Quarterly Review of Biology* **67**:437-456.

571 **Côté SD. 2000.** Aggressiveness in king penguins in relation to reproductive status and territory location. *Animal Behaviour* **59**:813-821.

573 Cuthill IC. 2006. Color perception. Pages 3-40 *in* G. E. Hill and K. J. McGraw, editors.
574 Bird coloration, volume 1: Mechanisms and measurements. Harvard University Press,
575 Cambridge, MA.

576 **Dobson FS, Couchoux C, Jouventin P. 2011.** Sexual Selection on a Coloured 577 Ornament in King Penguins. *Ethology* **117**:872-879.

578 Dobson FS, Nolan PM, Nicolaus M, Bajzak C, Coquel A.-S., Jouventin P. 2008.
579 Comparison of color and body condition between early and late breeding king penguins.
580 *Ethology* 114: 925-933.

Doutrelant C, Gregoire A, Midamegbe A, Lambrechts M, Perret P. 2012. Female
plumage coloration is sensitive to the cost of reproduction. An experiment in blue tits. *Journal of Animal Ecology* 81:87-96.

584 **Dugas MB, McGraw KJ. 2011.** Proximate correlates of carotenoid-based mouth 585 coloration in nestling house sparrows. *Condor* **113**:691-700.

586 **Faivre B, Gregoire A, Preault M, Cezilly F, Sorci G. 2003.** Immune activation 587 rapidly mirrored in a secondary sexual trait. *Science* **300**:103-103.

Fitzpatrick S. 1994. Colourful migratory birds: evidence for a mechanism other than
 parasite resistance for maintenance of 'good genes' sexual selection. *Proceedings of the Royal Society B Biological Sciences* 257:155-160.

591 Geiger S, Le Vaillant M, Lebard T, Reichert S, Stier A, Le Maho Y, Criscuolo F.
592 2012. Catching-up but telomere loss: half-opening the black box of growth and ageing
593 trade-off in wild king penguin chicks. *Molecular Ecology* 21:1500-1510.

Green JA. 2011. The heart rate method for estimating metabolic rate: Review and
 recommendations. *Comparative Biochemistry and Physiology A Molecular & Integrative Physiology* 158:287-304.

597 Groscolas R, Robin JP. 2001. Long-term fasting and re-feeding in penguins.
598 Comparative Biochemistry and Physiology A Molecular & Integrative Physiology 128:645599 655.

Groscolas R, Viera VM, Guerin N, Handrich Y, Côté SD. 2010. Heart rate as a
 predictor of energy expenditure in undisturbed fasting and incubating penguins. *Journal* of Experimental Biology 213:153-160.

603 **Hill GE, McGraw KJ. 2006.** Bird coloration, volume 1: mechanisms and 604 measurements. Harvard University Press, Cambridge, MA.

Hooper PL, Miller GF. 2008. Mutual mate choice can drive costly signaling even
 under perfect monogamy. *Adaptive Behavior* 16:53-70.

Husak JF, Moore IT. 2008. Stress hormones and mate choice. *Trends in Ecology & Evolution* 23:532-534.

Jacot A, Romero-Diaz C, Tschirren B, Richner H, Fitze PS. 2010. Dissecting
carotenoid from structural components of carotenoid-based coloration: a field
experiment with Great Tits (*Parus major*). *American Naturalist* 176: 55-62.

612 **Johnstone RA, Reynolds JD, Deutsch JC. 1996.** Mutual mate choice and sex differences in choosiness. *Evolution* **50**:1382-1391.

Jouventin P, Nolan PM, Ornborg J, Dobson FS. 2005. Ultraviolet beak spots in King
 and Emperor penguins. *Condor* 107:144-150.

Keddar I, Jouventin P, Dobson FS. 2015a. Color ornaments and territory position in
 king penguins. *Behavioural Processes* 119:32-37.

Keddar I, Altmeyer S, Couchoux C, Jouventin P, Dobson FS. 2015b. Mate choice
 and colored beak spots of king penguins. *Ethology* in press.

620 **Keddar I, Andris M, Bonadonna F, Dobson FS. 2013.** Male-Biased Mate 621 Competition in King Penguin Trio Parades. *Ethology* **119**:389-396.

Kelly RJ, Murphy TG, Tarvin KA, Burness G. 2012. Carotenoid-based ornaments of
female and male American goldfinches (*Spinus tristis*) show sex-specific correlations
with immune function and metabolic rate. *Physiological and Biochemical Zoology*85:348-363.

Keyser AJ, Hill GE. 2000. Structurally based plumage coloration is an honest signal
 of quality in male blue grosbeaks. *Behavioral Ecology* 11:202-209.

Kokko H, Johnstone RA. 2002. Why is mutual mate choice not the norm?
Operational sex ratios, sex roles and the evolution of sexually dimorphic and
monomorphic signalling. *Philosophical Transactions of the Royal Society of London Series B Biological Sciences* 357:319-330.

Kraaijeveld K. 2003. Degree of mutual ornamentation in birds is related to divorce
 rate. *Proceedings of the Royal Society B Biological Sciences* 270:1785-1791.

634 **Kraaijeveld K, Kraaijeveld-Smit FJL, Komdeur J. 2007.** The evolution of mutual 635 ornamentation. *Animal Behaviour* **74**:657-677.

Lande R. 1980. Sexual dimorphism, sexual selection, and adaptation in polygenic
 characters. *Evolution* 34:292-305.

Lopez G, Figuerola J, Soriguer R. 2008. Carotenoid-based masks in the European
goldfinch *Carduelis carduelis* reflect different information in males and females. *Ardea*96:233-242.

Mangin S, Gauthier-Clerc M, Frenot Y, Gendner J.-P., Le Maho Y. 2003. *Ticks Ixodes uriae* and the breeding performance of a colonial seabird, king penguin Aptenodytes
 patagonicus. *Journal of Avian Biology* 34:30-34.

Matson KD, Ricklefs RE, Klasing KC. 2005. A hemolysis-hemagglutination assay for
characterizing constitutive innate humoral immunity in wild and domestic birds. *Developmental and Comparative Immunology* 29:275-286.

647 McGraw KJ, Toomey MB, Nolan PM, Morehouse NI, Massaro M, Jouventin P.
648 2007. A description of unique fluorescent yellow pigments in penguin feathers. *Pigment*649 *Cell Research* 20:301-304.

Ménard JJ. 1998. Conséquences hormonales et métaboliques du stress de contention
chez le manchot royal (*Aptenodytes patagonicus*). Université Paul Sabatier, Toulouse,
France.

Monaghan P, Metcalfe NB, Torres R. 2009. Oxidative stress as a mediator of life
history trade-offs: mechanisms, measurements and interpretation. *Ecology Letters*12:75-92.

Montgomerie R. 2006. Analyzing colors. Pages 90-147 *in* G. E. Hill and K. J. McGraw,
editors. Bird coloration, Volume 1: Mechanisms and measurements. Harvard University
Press, Cambridge, MA.

659 **Montgomerie R. 2008.** RCLR. Queen's University, Kingston, Canada.

660 **Mougeot F, Martinez-Padilla J, Blount JD, Perez-Rodriguez L, Webster LMI,** 661 **Piertney SB. 2010.** Oxidative stress and the effect of parasites on a carotenoid-based 662 ornament. *Journal of Experimental Biology* **213**:400-407.

663 **Mougeot F, Martinez-Padilla J, Perez-Rodriguez L, Bortolotti GR. 2007.** 664 Carotenoid-based colouration and ultraviolet reflectance of the sexual ornaments of 665 grouse. *Behavioral Ecology and Sociobiology* **61**:741-751. 666 **Murphy TG. 2007.** Racketed tail of the male and female turquoise-browed momot: 667 male but not female tail length correlates with pairing success, performance, and 668 reproductive success. *Behavioral Ecology and Sociobiology* **61**:911-918.

Nakagawa S., Cuthill IC. 2007. Effect size, confidence interval and statistical
 significance: a practical guide for biologists. *Biological Reviews* 82:591-605.

Nephew BC, Kahn SA, Romero LM, 2003. Heart rate and behavior are regulated
independently of corticosterone following diverse acute stressors. *General and Comparative Endocrinology* 132: 172-180.

Nolan PM, Dobson FS, Dresp B, Jouventin P. 2006. Immunocompetence is signalled
by ornamental colour in king penguins, *Aptenodytes patagonicus. Evolutionary Ecology Research* 8:1-8.

Nolan PM, Dobson FS, Nicolaus M, Karels TJ, McGraw KJ, Jouventin P. 2010.
Mutual mate choice for colorful traits in king penguins. *Ethology* 116:635-644.

Norris K, Evans MR. 2000. Ecological immunology: life history trade-offs and
 immune defense in birds. *Behavioral Ecology* 11:19-26.

681 **Olsson O. 1998.** Divorce in king penguins: asynchrony, expensive fat storing and ideal free mate choice. *Oikos* **83**:574-581.

Parker TH, Knapp R, Rosenfield JA. 2002. Social mediation of sexually selected
ornamentation and steroid hormone levels in male junglefowl. *Animal Behaviour*64:291-298.

Pham TT, Queller PS, Tarvin KA, Murphy TG. 2014. Honesty of a dynamic female
aggressive status signal: baseline testosterone relates to bill color in female American
goldfinches. *Journal of Avian Biology* 45:22-28.

689 Pincemy G, Dobson FS, Jouventin P. 2009. Experiments on colour ornaments and
 690 mate choice in king penguins. *Animal Behaviour* 78:1247-1253.

691 Price DK. 1996. Sexual selection, selection load and quantative genetics of zebra
 692 finch bill colour. *Proceedings of the Royal Society B Biological Sciences* 263:217-221.

Saino N, Bolzern AM, Møller AP. 1997. Immuno-competence, ornamentation and
viability of male barn swallows (*Hirundo rustica*). *Proceedings of the National Academy of Sciences of the United States of America* 94:579-585.

696 Schielzeth H. 2010. Simple means to improve the interpretability of regression
 697 coefficients. *Methods in Ecology and Evolution* 1:103-113.

698 Selman C, Blount JD, Nussey DH, Speakman JR. 2012. Oxidative damage, ageing,
 699 and life-history evolution: where now? *Trends in Ecology & Evolution* 27: 570-577.

Shawkey MD, Hill GE. 2005. Carotenoids need structural colors to shine. *Biology Letters* 1:121-124.

Siefferman L, Hill GE. 2005. Male eastern bluebirds trade future ornamentation for
 current reproductive investment. *Biology Letters* 1:208-211.

Stonehouse B. 1960. The king penguin *Aptenodytes patagonicus* of South Georgia I.
Breeding behavior and development. *Falkland Island Dependency Survey Scientific Report*23:1-83.

Tella JL, Lemus JA, Carrete M, Blanco G. 2008. The PHA Test Reflects Acquired T Cell Mediated Immunocompetence in Birds. *Plos One* 3: e3295.

Tobias JA, Montgomerie R, Lyon BE. 2012. The evolution of female ornaments and
 weaponry: social selection, sexual selection and ecological competition. *Philosophical Transactions of the Royal Society B Biological Sciences* 367:2274-2293.

Trivers RL. 1972. Parental investment and sexual selection. Pages 136-179 *in* B.
Campbell, editor. Sexual selection and the descent of man. Aldine, Chicago.

714 Velando A, Lessells CM, Márquez JC. 2001. The function of female and male
715 ornaments in the Inca Tern: evidence for links between ornament expression and both
716 adult condition and reproductive performance. *Journal of Avian Biology* 32:311-318.

717 **Verhulst S, Dieleman SJ, Parmentier HK. 1999.** A tradeoff between 718 immunocompetence and sexual ornamentation in domestic fowl. *Proceedings of the* 719 *National Academy of Sciences of the United States of America* **96**:4478-4481.

Viblanc VA, Bize P, Criscuolo F, Le Vaillant M, Saraux C, Pardonnet S, Gineste B,
Kauffmann M, Prud'homme O, Handrich Y, Massemin S, Groscolas R, Robin JP.
2012a. Body girth as an alternative to body mass for establishing condition indexes in
field studies: a validation in the king penguin. *Physiological and Biochemical Zoology*85:533-542.

- Viblanc VA, Smith AD, Gineste B, Groscolas R. 2012b. Coping with continuous
   human disturbance in the wild: insights from penguin heart rate response to various
   stressors. *BMC Ecology* 12:10.
- Viblanc VA, Saraux C, Malosse N, Groscolas R. 2014. Energetic adjustments in
  freely breeding-fasting king penguins: does colony density matter? *Functional Ecology*28:621-631.

731 Viera VM, Nolan PM, Côté SD, Jouventin P, Groscolas R. 2008. Is territory defence
732 related to plumage ornaments in the king penguin Aptenodytes patagonicus? *Ethology*733 114:146-153.

- von Schantz T, Bensch S, Grahn M, Hasselquist D, Wittzell H. 1999. Good genes,
   oxidative stress and condition-dependent sexual signals. *Proceedings of the Royal Society B Biological Sciences* 266:1-12.
- 737 Weiss SL, Mulligan EE, Wilson DS, Kabelik D. 2013. Effect of stress on female-738 specific ornamentation. *Journal of Experimental Biology* 216:2641-2647.
- West-Eberhard MJ. 1979. Sexual Selection, Social Competition, and Evolution.
   *Proceedings of the American Philosophical Society* 123:222-234.
- 741 **Zuur AF, Ieno EN, Smith GM. 2007.** Analysing ecological data. Springer, New York.
- 742

### TABLES

	Estimate	Std. Error	t value
Intercept	-2.00	0.53	-3.73
Sex[M]	2.46	0.55	4.45
UV brightness	-1.59	0.45	-3.51
YO chroma	1.71	0.47	3.61
Colony area [A1]	0.82	0.58	1.41
Sex[M]*UV brightness	2.34	0.59	3.99
Sex[M]*YO chroma	-1.85	0.59	-3.11

**Table 1.** Model estimates for the influence of beak color variables on body condition in breeding king penguin (*Aptenodytes patagonicus*). The sex effect is given in reference to the female level [F]. The colony area effect is given in reference to area [A2]. See Fig. 3 for effect sizes with 95% CI.

	Estimate	Std. Error	t value
Intercept	2.43	0.20	12.10
Sex[M]	0.18	0.27	0.66
UV hue	-0.50	0.16	-3.20
Sex[M]*UV hue	0.93	0.27	3.48

**Table 2.** Model estimates for the influence of beak UV hue on plasma reactive oxygen metabolite levels in breeding king penguin (*Aptenodytes patagonicus*). The sex effect is given in reference to the female level [F]. See Fig. 4 for effect sizes with 95% CI.

	Estimate	Std. Error	t value
Intercept	3.33	0.14	23.13
YO chroma	-0.15	0.09	-1.70
Colony area [A1]	-0.65	0.18	-3.55

**Table 3.** Model estimates for the influence of beak YO chroma on plasma lysis titers in breeding king penguin (*Aptenodytes patagonicus*). The colony area effect is given in reference to area [A2]. See Fig. 5A for effect sizes with 95% CI.

	Estimate	Std. Error	t value
Intercept	5.46	0.24	22.32
Patch surface	-0.47	0.16	-2.94
Colony area [A1]	-0.85	0.31	-2.70

**Table 4.** Model estimates for the influence of auricular patch surface on plasma NAb titers in breeding king penguin (*Aptenodytes patagonicus*). The colony area effect is given in reference to area [A2]. See Fig. 5B for effect sizes with 95% CI.

	Estimate	Std. Error	t value
Intercept	5.97	0.07	83.36
UV brightness	0.11	0.05	2.40
Colony area [A1]	-0.07	0.09	-0.72

**Table 5.** Model estimates for the influence of UV brightness on daily resting heart rate in breeding king penguin (*Aptenodytes patagonicus*). The colony area effect is given in reference to area [A2]. See Fig. 6 for effect sizes with 95% CI.

	Estimate	Std. Error	t value
Intercept	2.42	0.24	10.25
UV hue	-0.36	0.15	-2.45
Colony area [A1]	-1.16	0.31	-3.74

**Table 6.** Model estimates for the influence of beak UV hue on the acute relative increase in plasma total corticosterone levels in response to a standardized 30-min capture in breeding king penguin (*Aptenodytes patagonicus*). The colony area effect is given in reference to area [A2]. See Fig. 7 for effect sizes with 95% CI.



Wavelength (nm)

- 3 Fig 1.



- 7 I





- 22 Fig 4.











### **Online supporting information S1:**

**Standardized measures of the auricular patches of breeding king penguin** (*Aptenodytes patagonicus*). The head of the bird was held such that its beak rested on the shoulder opposite to the side of the body where the auricular patch was measured (Fig. 1A). A virtual line was pictured along the side of the auricular patch closest to the eye (line 1; Fig 1A). Then, a second perpendicular line reaching the most distant point of the circle (diameter) was pictured (line 2; Fig 1A), and the width of the auricular patch was measured (distance A; Fig 1B). From the center of distance A (line 3; Fig 1A), the height of the auricular patch was measured at a 90° angle (distance B; Fig 1C).



### **Online supporting information S2:**

Correlation matrix for the ultraviolet (UV) and yellow-orange (YO) beak coloration measures (hue, brightness and chroma), and auricular patch surface, of breeding king penguins (*Aptenodytes patagonicus*). The upper right panel presents the standardized data, the lower left panel the correlation value (colors from light grey to black representing weak to strong correlations).



Online
ddns
ortin
ginfo
rmati
on S

Sample size is indicated in parentheses and varies across measures due to constraints during field sampling. The average percent difference between males and females is given. Beak mean brightness, hue and chroma were calculated both across the UV-blue peak and yellow-orange Summary statistics of the structural size and ornamental data of breeding king penguin (Aptenodytes patagonicus) used in the study. regions characteristic of king penguin beak spots (Jouventin et al. 2004).

			FEMALES	MALES	
		Variable	Mean ± SD	Mean ± SD	% Difference males - females
Structural size		Flipper length	$317.4 \pm 9.5 (30)$	$327.1 \pm 9.6 (31)$	3%
		Beak Length	$124.2 \pm 3.8 (30)$	$129.7 \pm 5.4 (31)$	4%
	Beak UV-blue	Mean brightness (% reflectance)	$18.1 \pm 3.4$ (23)	$18.8 \pm 3.6$ (27)	4%
	(320-450 nm)	Hue (nm)	$388.5 \pm 9.9$ (23)	$388.3 \pm 7.8 (27)$	-0%
		Chroma (ratio, % reflectance)	$1.26 \pm 0.17$ (23)	$1.35 \pm 0.14$ (27)	7%
Ornaments	Beak yellow-orange	Mean brightness	$31.1 \pm 6.7 (23)$	$30.1 \pm 5.6 (27)$	-3%
	(550-625 nm)	Hue	$575.4 \pm 26.8$ (23)	$574.7 \pm 24.0$ (27)	-0%
		Chroma	$1.13 \pm 0.22$ (23)	$1.14 \pm 0.25$ (27)	1%
	Auricular patch	Surface (mm <sup>2</sup> )	$1744.0 \pm 185.0$ (30)	$1995.0 \pm 198.3$ (31)	14%

## **Online supporting information S4:**

Model selection for the effects of beak coloration and auricular patch surface on body condition (residuals, see Methods) in breeding king penguin (*Aptenodytes patagonicus*). Colony area in which the bird was sampled was fixed in all models to account for known differences in parasite loads and stress responses that may affect body condition. All models with a  $\Delta AICc < 2$  compared to the best model are presented. The most parsimonious model retained is indicated in bold.

3 U	2 U a	1 + C	# N
V brightness + UV chroma + YO chroma + sex + sex*UV brightness + sex*YO chroma + x* UV chroma + colony area	V brightness + YO chroma + sex + sex*UV brightness + sex*YO chroma + colony 'ea	V brightness + YO chroma + patch surface + sex + sex*UV brightness + sex*YO chroma sex* patch surface + colony area	odel
0.57	0.52	0.58	R^2
6.67	7.75	7.06	Ъ
10	œ	10	df
-97.88	-100.38	-97.06	logLik
221.41	220.27	219.77	AICc
1.64	0.50	0.00	delta
0.20	0.35	0.45	weight

### **Online supporting information S5:**

Model selection for the effects of beak coloration and auricular patch surface on plasma reactive oxygen metabolite (ROM) levels in breeding king penguin (*Aptenodytes patagonicus*). Colony area was not included in this analyses as ROM levels were only determined for birds in one location of the colony. All models with a  $\Delta$ AlCc <2 compared to the best model are presented. The most parsimonious model retained is indicated in bold.

2 UV hue + YO chroma + sex + sex*UV hue + sex*YO chroma	1 UV hue + sex + sex*UV hue	# Model
0.46	0.37	R^2
4.1	5.04	Ъ
7	J	df
-28.94	-31.34	logLik
77.00	75.20	AICc
1.78	0.00	delta
0.29	0.71	weight

### **Online supporting information S6:**

Model selection for the effects beak coloration and auricular patch surface on plasma antioxidant capacity (OXY) in breeding king penguin (*Aptenodytes patagonicus*). Colony area was not included in this analyses as OXY levels were only determined for birds in one location of the colony. All models with a  $\Delta$ AlCc <2 compared to the best model are presented. The most parsimonious model retained is indicated in bold.

2 UV hue	1 Intercept only	# Model
0.02	0.00	R^2
0.76		ч
3	2	df
-145.60	-146.00	logLik
298.00	296.30	AICc
1.66	0.00	delta
0.30	0.70	weight

### **Online supporting information S7:**

Model selection for the effects of beak coloration and auricular patch surface on plasma lysis titers in breeding king penguin (Aptenodytes patagonicus). Colony area in which the bird was sampled was fixed in all models to account for known differences in parasite loads and stress responses that may affect immune status. All models with a  $\Delta AICc < 2$  compared to the best model are presented. The most parsimonious model retained is indicated in bold.

## **Online supporting information S8:**

Model selection for the effects of beak coloration and auricular patch surface on plasma NAb titers in breeding king penguin (*Aptenodytes patagonicus*). Colony area in which the bird was sampled was fixed in all models to account for known differences in parasite loads and stress responses that may affect immune status. All models with a  $\Delta AICc < 2$  compared to the best model are presented. The most parsimonious model retained is indicated in bold.

<ol> <li>Patch surface + colony area</li> <li>Patch surface + UV hue + colony area</li> </ol>	# Model
<b>0.24</b> 0.26	R^2
<b>7.31</b> 5.15	Ч
<b>4</b> N	df
<b>-71.14</b> -70.67	logLik
<b>151.2</b> 152.7	AICc
<b>0.00</b> 1.54	delta
<b>0.68</b> 0.32	weight

### **Online supporting information S9:**

Model selection for the effects of beak coloration and auricular patch surface on daily resting heart rate in breeding king penguin (Aptenodytes *patagonicus*). Colony area in which the bird was sampled was fixed in all models to account for known differences in parasite loads and stress responses that may affect energy expenditure. All models with a  $\Delta AICc < 2$  compared to the best model are presented. The most parsimonious model retained is indicated in bold.

<ul> <li>1 UV brightness + colony area</li> <li>2 UV brightness + sex + colony area</li> </ul>	# Model
<b>0.14</b> 0.15	R^2
<b>3.61</b> 2.62	Ъ
<b>4</b> N	df
<b>-9.84</b> -9.47	logLik
<b>28.6</b> 30.4	AICc
<b>0.00</b> 1.78	delta
<b>0.71</b> 0.29	weight

## **Online supporting information S10:**

Model selection for the effects of beak coloration and auricular patch surface on baseline plasma total corticosterone levels in breeding king penguin (*Aptenodytes patagonicus*). Colony area in which the bird was sampled was fixed in all models to account for known differences in parasite loads and stress responses that may affect corticosterone levels. All models with a  $\Delta AICc < 2$  compared to the best model are presented. The most parsimonious model retained is indicated in bold.

# Model	R^2	Ъ	df	logLik	AICc	delta	weight
1 Patch surface + sex + colony area	0.29	5.54	S	-76.26	164.1	0.00	0.23
2 Patch surface + sex + UV chroma + colony area	0.33	4.73	6	-75.21	164.7	0.59	0.17
3 Sex + colony area	0.23	6.28	4	-78.03	165.1	0.99	0.14
4 Patch surface + sex + UV brightness + colony area	0.32	4.56	6	-75.46	165.2	1.10	0.13
<b>5</b> UV chroma + sex + colony area	0.27	5.02	S	-76.88	165.3	1.24	0.12
6 Colony area	0.18	9.32	ω	-79.50	165.6	1.50	0.11
7 UV brightness + sex + colony area	0.26	4.79	5	-77.16	165.9	1.80	0.09

## **Online supporting information S11:**

Model selection for the effects of beak coloration and auricular patch surface on the relative corticosterone increase in response to a standardized 30 minute capture in breeding king penguin (*Aptenodytes patagonicus*). Colony area in which the bird was sampled was fixed in all models to account for known differences in parasite loads and stress responses. All models with a  $\Delta AICc < 2$  compared to the best model are presented. The most parsimonious model retained is indicated in bold.

7 UV brightness + UV hue + YO brightness + colony area	6 UV chroma + UV hue + colony area	<b>5</b> UV chroma + UV hue + YO chroma + colony area	4 UV hue + YO chroma + colony area	3 UV hue + colony area	2 UV choma + UV brightness + UV hue + YO brightness + colony area	1 UV chroma + UV hue + YO brightness + colony area	# Model
0.41	0.38	0.41	0.38	0.35	0.46	0.43	R^2
6.79	8.07	6.90	8.13	11.05	6.47	7.46	ъ
6	S	6	S	4	7	6	df
-58.83	-60.05	-58.69	-59.99	-60.98	-56.91	-57.96	logLik
131.9	131.7	131.7	131.6	131.0	130.9	130.2	AICc
1.74	1.49	1.47	1.37	0.79	0.75	0.00	delta
0.10	0.11	0.11	0.12	0.16	0.16	0.24	weight

# **Online supporting information S12:**

Model selection for the effects of beak coloration and auricular patch surface on the relative heart rate increase in response to a standardized capture in breeding king penguin (*Aptenodytes patagonicus*). Colony area in which the bird was sampled was fixed in all models to account for known differences in parasite loads and stress responses. All models with a  $\Delta AICc < 2$  compared to the best model are presented. The most parsimonious model retained is indicated in bold.

3 UV hue + colony area	2 Colony area	1 Patch surface + colony area	# Model
0.07	0.05	0.11	R^2
1.26	1.87	2.27	F
4	ω	4	df
-194.8	-195.2	-193.8	logLik
398.9	397.1	396.9	AICc
2.00	0.20	0.00	delta
0.16	0.40	0.44	weight