
Environmental impacts of genetic improvement of growth rate and feed conversion ratio in fish farming under rearing density and nitrogen output limitations

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Abstract :

Today, fish farming faces an increasing demand in fish products, but also various environmental challenges. Genetic improvement in growth rate and feed conversion ratio is known to be an efficient way to increase production and increase efficiency in fish farming. The environmental consequences of genetic improvement in growth rate and feed conversion ratio, however, are unknown. In this study, we investigated the environmental consequences of genetic improvement in growth rate and feed conversion ratio in an African catfish farm, using Recirculating Aquaculture System (RAS). In RAS, total fish production of the farm is limited by rearing density or by the capacity to treat dissolved nitrogen. To evaluate the environmental consequences of genetic improvement in growth rate and feed conversion ratio, we combined life cycle assessment and bioeconomic modelling of genetic response to selection. We explored different impact categories, such as climate change, eutrophication, acidification and energy use, and we expressed impacts per ton of fish produced. Results show that the environmental impact of genetic improvement in growth rate and feed conversion ratio varies among impact categories and depends on the factor limiting production at farm level (i.e. rearing density or nitrogen treatment capacity). Genetic improvement of feed conversion ratio reduces environmental impacts in each scenario tested, while improving growth rate reduces environmental impacts only when rearing density limits farm production. Environmental responses to genetic selection were generally positive and show similar trends as previously determined economic responses to genetic improvement in growth rate and feed conversion ratio in RAS. These results suggest that genetic improvement of growth rate and feed

conversion ratio for species kept in RAS will benefit both the environmental impacts and the economics of the production system.

Highlights

► We combined a bioeconomic model and a life cycle assessment. ► We estimated the environmental impacts of genetic improvement in fish farming. ► Improving growth keeps environmental impacts constant in nitrogen limiting condition. ► Improving feed conversion ratio always decreases environmental impacts. ► We observed that decreasing environmental impacts could increase farm profitability.

Keywords : life cycle assessment, African catfish, feed efficiency, recirculating aquaculture system, selection, thermal growth coefficient

1 **1. Introduction**

2 Fish farming is the fastest growing animal food-producing sector in the world, due to the joint
3 effect of an increase in demand of fish products and a stagnation of fisheries captures (FAO,
4 2012). Fish farming, however, also faces some environmental challenges, such as
5 eutrophication resulting from emission of pollutants during fish rearing and the use of natural
6 resources for feed (Folke et al., 1994; Naylor et al., 2000; Read and Fernandes, 2003).
7 Previous life cycle assessments (LCA) showed that production of feed and fish farming are
8 chain stages that contribute most to environmental impacts of fish farming (Aubin et al.,
9 2006; Pelletier et al., 2009). Several studies have investigated the potential of alternative feed
10 compositions (Boissy et al., 2011; Papatryphon et al., 2004; Pelletier and Tyedmers, 2007) or
11 alternative rearing systems (Aubin et al., 2009; Ayer and Tyedmers, 2009; d'Orbcastel et al.,
12 2009) to reduce the environmental impact. These studies found trade-offs between different
13 environmental impacts, such as climate change and eutrophication, when changing feed
14 composition or rearing conditions.

15 Genetic improvement has potential to reduce various environmental impacts simultaneously
16 but this aspect of selective breeding has not been explored so far in fish production. In many
17 fish species, genetic response to selective breeding is high due to high heritability of
18 commercial important traits, high intensity of selection and high genetic variation (Gjedrem et
19 al., 2012). Genetic improvement, obtained through selective breeding programs, is a powerful
20 tool to generate cumulative change in animal population. A genetic change in fish
21 performances is expected to improve not only economic benefit of farms (Besson et al., 2014;
22 Ponzoni et al., 2007), but to reduce also environmental impacts, as shown in livestock (Bell et
23 al., 2011; Buddle et al., 2011). Wall et al. (2010) suggested to evaluate these environmental
24 impacts of genetic improvement by calculating environmental values (ENV), based on the
25 principle of economic values (EV) from Hazel (1943). These environmental values express

1 the difference in environmental impacts between a base situation and a situation with genetic
2 improvement in one trait while keeping the other traits constant (Groen, 1988). From the
3 whole farm perspective, genetic improvement in a trait can alter feeding strategy,
4 management practices and also purchase of inputs like feeds (van Middelaar et al., 2014).
5 Moreover, the impact of genetic improvement on farm management changes according to the
6 factor limiting production at farm level (Gibson, 1989; Groen, 1989). Evaluating the
7 environmental impact of genetic improvement requires, therefore, (1) to model the whole
8 farm, using, for example, a bio-economic model and (2) to evaluate the environmental impact
9 of changes at farm level, which can be performed using LCA.

10 Van Middelaar et al. (2014) combined bioeconomic farm modelling with an LCA to calculate
11 EV and ENV in dairy production. They found that genetic improvement of milk yield and
12 longevity increased economic benefit at farm level and decreased greenhouse gas (GHG)
13 emissions along the production chain of one ton of fat-and-protein-corrected milk (FPCM). In
14 fish farming, we developed a bioeconomic model for a farm producing African catfish
15 (*Clarias gariepinus*) in recirculating aquaculture system (RAS) and investigated the EV of
16 growth rate and feed conversion ratio (Besson et al., 2014). Growth rate and feed conversion
17 ratio are considered key production parameters by fish farmers. In Besson et al. (2014), we
18 showed that genetic improvement of both traits could increase farm income by improving the
19 production of the farm and/or by improving production efficiency (fish produced per unit of
20 feed consumed). Modelling the whole farm showed that the impact of genetic improvement
21 on farm income depends on the trait and on the factor limiting the production of the farm: the
22 capacity of the bio-filter to treat nitrogen or the maximum rearing density in the system
23 studied.

24 Changes in production and production efficiency are expected to decrease environmental
25 impacts also, by diluting fixed environmental impacts over more fish produced and by

1 reducing the use of feed per ton of fish produced (Wall et al., 2010). In fish farming, however,
2 the impact of genetic improvement on the direction and on the magnitude of a change in
3 environmental impacts is not known. Moreover, possible synergies or trade-offs between EV
4 and ENV are unknown. In this study, therefore, environmental values of growth rate and feed
5 conversion ratio of African catfish reared in a RAS were calculated by combining the
6 bioeconomic model developed in Besson et al. (2014) with an LCA of fish production.

7

8 **2. Method**

9 *2.1. Bioeconomic model*

10 The bioeconomic model used in this study was developed in Besson et al. (2014) using R (R
11 Development Core Team, 2008). This model describes a RAS producing 500 tons of African
12 catfish per year. Tanks are restocked after fishing all along the year and during a one year
13 period, the model assumes that all stocked fish have a common genetic value. The model was
14 based on information provided by private companies. The RAS was composed of four main
15 compartments: (1) a series of 20 rearing tanks (6 tanks of 6 m³ for fish from 13 to 80 g and 14
16 tanks of 50 m³ for fish from 80 to 1300 g), (2) a mechanical filter, which remove solid waste,
17 (3) a bio-filter where nitrifying bacteria brake down the ammoniacal nitrogen (NH₃-N)
18 excreted by the fish into nitrites and nitrates and (4) a denitrification reactor where
19 denitrifying bacteria processes nitrates into nitrogen gas (N₂). Clean-up water was re-used in
20 rearing tanks and only 30 m³/day of effluent water was directed to a municipal waste water
21 treatment plant. The bioeconomic model was divided in 3 parts: (1) fish model, estimating
22 individual fish growth using thermal growth coefficient (Dumas et al., 2007) and estimating
23 individual emission of pollutants using mass-balance (Cho and Kaushik, 1990; Cowey and
24 Cho, 1991); (2) batch model, estimating the maximum stocking density of a batch according
25 to the two limiting factors, the density at harvest (230 kg/m³) and the maximum treatment

1 capacity of the bio-filter (40 kg of dissolved $\text{NH}_3\text{-N}$ per day); (3) farm model, estimating
2 annual fish production, pollutants emission, feed consumption and finally annual profit by
3 combining technical and economic parameters. Further details about the bioeconomic model
4 are given in appendix A.1. The outputs of the bioeconomic model were used to generate
5 inventory data for the LCA.

6 7 *2.2. Life cycle assessment*

8 *2.2.1. Goal and scope*

9 LCA is a standardized method to calculate the environmental impact of a production chain,
10 from raw material extraction up to the product's end-of life (Guinée et al., 2002). In this study,
11 we applied LCA according to the main specifications of ILCD standards (Joint Research
12 Center, 2010). The system was defined from cradle-to-farm-gate and included five distinct
13 sub-systems (Fig. 1): (1) production of purchased feed, including cultivation of ingredients,
14 processing, and transportation; (2) production of energy expended at farm level (electricity
15 and gas); (3) production of farming facilities and equipment used; (4) fish farming, including
16 nutrients emission from biological transformation of feed after onsite treatment of wastewater;
17 (5) offsite treatment of effluent at a municipal wastewater treatment plant. The functional unit
18 in which environmental impacts were expressed was ton of fish produced at farm level on a
19 basis of one year of routine production.

20 21 *2.2.2. Life cycle inventory*

22 *(1) Production of purchased feed* - Crop-derived ingredients used in fish feed originated from
23 Brazil and France (e.g. soybean meal from brazil and wheat bran from France), whereas fish-
24 derived ingredients originated from the Peruvian and the Norwegian fish milling industry (e.g.
25 fish meal from Peru and fish meal from fish trimming from Norway). The exact diet

1 composition is given in appendix A.2. Economic allocation was used to calculate the
2 environmental impacts of processes yielding multiple products. We choose economic
3 allocation because it has the advantage of stimulating the use of by-products from crops in
4 feed ingredients for livestock compare to mass allocation, which put high environmental
5 impacts to by-products with high mass value. Economic allocation is, therefore, the most used
6 method to deal with process yielding multiple outputs in livestock production systems (de
7 Vries and de Boer, 2010). The transport of feed ingredients to feed manufacture in France was
8 by transoceanic ship and by lorry (>32t), whereas the transport of feed from France to the fish
9 farm in Eindhoven was by lorry (>32t). Transport distances and other data required to
10 compute the environmental impact of feed ingredients were based on the literature (Boissy et
11 al., 2011; Pelletier et al., 2009), and presented in detail in appendices A.2 and A.3.

12 (2) *Production of energy expended on farm*– The energy consumed by the farm was
13 considered fixed at 600 MWh per year of electricity and 600 MWh per year of natural gas.
14 The electricity used by the farm was coming from the Dutch energy mix proposed by
15 Ecoinvent v2.2 database (Swiss Centre for Life Cycle Inventories, 2010). Contribution
16 analysis is available in appendix A.4.

17 (3) *Production of farming facilities and equipment used* – We consider the construction of a
18 building of 5200 m² with a life span of 30 years. The production of equipment used (i.e.
19 pump, tanks) was calculated using data from INRA and corresponded to 11477 kg of material
20 used for a building of 5200 m² per year. The use of building and equipment was considering
21 fixed per year at farm level. Contribution analysis is available in appendix A.5.

22 (4) *Fish farming* – The farm operation sub-system includes the use of energy, facilities and
23 equipment as well as the emission of pollutants from biological transformation of the feed
24 distributed to the fish. The amount of nitrogen (N), phosphorus (P) and chemical oxygen
25 demand (COD) of the dissolved organic matter excreted by the fish in effluent water were
26 calculated through the bioeconomic model based on the onsite treatment capacity of the bio-
27 filter. The effluent water was further treated in an offsite wastewater treatment plant. The

1 sludge produced by the farm was used for agricultural purposes and was not included in the
2 analysis.

3 *(5) Offsite treatment of waste water* – Effluent water, highly concentrated in nutrients, coming
4 from the fish farm was disposed in a plant treating wastewater. We considered a typical
5 treatment plant running in Europe, including three treatment stages: mechanical treatment,
6 biological treatment, chemical treatment. It also included sludge digestion via fermentation.
7 Life cycle inventory data of water treatment were extracted from Ecoinvent v2.2 database
8 (Swiss Centre for Life Cycle Inventories, 2010). The final amount of nutrients emitted to the
9 environment was calculated based on the capacity of the offsite plant to treat wastewater.
10 Thus, 28% of the COD, 75% of the nitrogen and 52% of the phosphorus coming from the fish
11 farm were assumed to be released into water (Swiss Centre for Life Cycle Inventories, 2010).
12 Contribution analysis is available in appendix A.6.

13 *2.2.3. Life cycle impact assessment*

14 Each flow observed in the system was assigned to different impact categories relatively to its
15 potential environmental effects. The four environmental categories investigated were:
16 eutrophication, acidification, climate change (CML2 Baseline 2000 version 2.04)(Guinée et
17 al., 2002) and cumulative energy demand (Frischknecht et al., 2007). These four impact
18 categories were chosen because they represent the main environmental impacts that
19 aquaculture contributes to (Aubin, 2013; Henriksson et al., 2012; Pelletier et al., 2007)
20 Eutrophication is mainly the consequence of the emissions of nitrogen (N) and phosphorus (P)
21 to the air, water and soil and is expressed in kg PO_4^{3-} equivalents. Acidification refers to
22 negative effects of acidifying pollutants, such as SO_2 , NO_x , HCL and NH_3 , on the
23 environment and is expressed in kg SO_2 -equivalents. Climate change is the potential impact
24 of gaseous emissions, such as CO_2 and CH_4 on the heat radiation absorption in the
25 atmosphere. Climate change was calculated according to the GWP100 factors (potential effect

1 at a 100-year time horizon) and expressed in kg CO₂-equivalents. Cumulative energy demand
2 expresses the depletion of energy resources, expressed in MJ. The characterisation factors
3 from CML2 Baseline 2000 version 2.04 were used for eutrophication, acidification and
4 climate change. The impact categories were calculated using Simapro® 7.0 software.

5 2.3. Environmental values

6 Similarly to the economic values proposed by Hazel (1943), environmental values (ENV)
7 express the change in each environmental impact category as a result of one generation of
8 selection for a given trait while keeping the other trait constant. We calculated ENV for two
9 important traits representing rearing performances of a farm, the thermal growth coefficient
10 (TGC) and the feed conversion ratio (FCR). Rearing performances in the reference scenario
11 were 8.33 for TGC and 0.81 for FCR. Changes in environmental impacts were calculated as
12 environmental impacts per ton of fish produced before genetic improvement minus
13 environmental impacts per ton of fish produced after genetic improvement. Genetic
14 parameters for TGC and FCR are not yet available for African catfish, therefore, as in Besson
15 et al. (2014), we used genetic parameters of rainbow trout (*Onchorhynchus mykiss*) to
16 estimate genetic improvement (Δ_t) in both trait (Sae-Lim et al., 2012): $\Delta_{TGC} = \mu_{TGC} \times$
17 6.8% and $\Delta_{FCR} = \mu_{FCR} \times 7.6\%$. We used genetic parameters of rainbow trout as a proxy
18 because there are not yet genetic parameters for African catfish. This proxy is in the range of
19 what has been observed or estimated in many fish species (Gjedrem et al., 2012; Gjedrem and
20 Thodesen, 2005). The different FCR values were obtained by varying only the weight
21 exponent of the FCR_{wn} formula (Appendix A.1). The model assumes genetic improvement of
22 the traits over time. We calculated values at several hypothetical time points within that
23 “transition” period. ENV_{TGC} and ENV_{FCR} were calculated for two generations of selection for
24 each trait, which resulted in nine scenarios and nine ENV_{TGC} and ENV_{FCR} (Table 1). The
25 endpoint of selection and thus transition period, is not defined.

1 **3. Results**

2 *3.1. Environmental impacts in the reference scenario*

3 In the reference scenario (TGC = 8.33 and FCR = 0.81), fish production is limited to 518 tons
4 per year because emission of dissolved $\text{NH}_3\text{-N}$ by the fish at maximum standing stock reaches
5 the maximum treatment capacity of the bio-filter, 40 kg/day. Table 2 shows the contribution
6 of each different sub-systems to the four environmental impact categories in this scenario.
7 Production of purchased feed is by far the main contributor for acidification, climate change
8 and cumulative energy demand (respectively 57.2%, 72.3%, 68.5%). The second major
9 contributors to these impact categories are the fixed sub-systems at farm level, i.e. production
10 of facilities and equipment used contributes to 37.6% to acidification, production of energy
11 expended contributes to 21.5% to climate change and to 23.8% to cumulative energy demand.
12 Conversely, the two main contributors to eutrophication are farm operation (68.5%) and
13 production of feed purchased (38.9%).

14 *3.2. Effects of genetic improvement in TGC and FCR*

15 In our previous study (Besson et al., 2014), we showed that the economic response to genetic
16 improvement in TGC and FCR is different depending on whether the limiting factor is
17 dissolved $\text{NH}_3\text{-N}$ or rearing density. Depending on the limiting factor, genetic improvement
18 will impact production (i.e. annual fish production) and production efficiency (i.e. ton of fish
19 produced per ton of feed consumed) differently (Table 3). Increasing production, while
20 keeping the same production efficiency, dilutes environmental impacts that are fixed at farm
21 level, such as production of facilities and equipment used, over more fish produced.
22 Increasing production efficiency, while keeping the same production, decreases the amount of
23 feed required to produce one ton of fish and decreases the amount of nutrients emitted per ton
24 of fish, which decreases environmental impacts. Consequently, the environmental response to

1 genetic improvement in FCR and TGC after 2 generations of selection is different depending
2 on whether the limiting factor is dissolved $\text{NH}_3\text{-N}$ or rearing density (Fig. 2).

3 *3.2.1. Dissolved $\text{NH}_3\text{-N}$ as limiting factor*

4 Faster growing fish have higher daily feed intake (at constant FCR), which increases
5 dissolved $\text{NH}_3\text{-N}$ excreted per fish per day. When dissolved $\text{NH}_3\text{-N}$ is the limiting factor,
6 fewer fish should be stocked per batch to respect the limitations on dissolved $\text{NH}_3\text{-N}$ defined
7 by the treatment capacity of the bio-filter. This decreasing number of fish is offset by the
8 possibility to rear more batches. Consequently, improving TGC (without changing FCR)
9 when dissolved $\text{NH}_3\text{-N}$ is the limiting factor does not improve production nor production
10 efficiency (Table 3) and environmental impacts remain constant (superimposed lines on Fig.
11 2).

12 On the other hand, improving FCR (at constant TGC) results not only in lower total feed
13 distributed per fish but also in lower dissolved $\text{NH}_3\text{-N}$ excreted per day. With lower excretion,
14 the number of fish stocked per batch can be increased until the limitation on dissolved $\text{NH}_3\text{-N}$
15 is reach again. Consequently, improving FCR when dissolved $\text{NH}_3\text{-N}$ is the limiting factor
16 improves production efficiency and production (Table 3), which decreases environmental
17 impacts per ton of fish produced (Fig. 2).

18 *3.2.2. Rearing density as limiting factor*

19 When rearing density is the limiting factor and the dissolved $\text{NH}_3\text{-N}$ excretion is below the
20 limit set by the bio-filter, the number of fish harvested per batch is constant. Improving FCR
21 (at constant TGC) decreases the total amount of feed distributed per fish. With fixed densities,
22 improving FCR increases production efficiency (Table 3), which decreases environmental
23 impacts per ton of fish produced (Fig. 2).

24 Improving TGC (at constant FCR) increases the number of batches reared during a year.
25 Consequently, improving TGC when rearing density is the limiting factor improves

1 production (Table 3), which decreases environmental impacts per ton of fish produced (Fig.
2 2). The environmental response to genetic improvement in TGC, however, differs among
3 impact categories. Improving TGC in this situation decreases acidification, climate change,
4 cumulative energy demand quite significantly and eutrophication only to a very limited
5 extent. The difference can be explained by the main sub-systems contributing to the impact
6 categories.

7 Eutrophication is dependent on the production of feed purchased and on farm operation due to
8 the emission of $\text{NH}_3\text{-N}$ directly into the water (Table 2). When rearing density is the limiting
9 factor, increasing TGC increases production, which increases not only the annual
10 consumption of feed but also the emission of $\text{NH}_3\text{-N}$. Consequently, improving TGC has little
11 impact on eutrophication because the dilution of fixed environmental impacts over more fish
12 produced is almost compensated by the increase in the annual emission of nitrogen and the
13 increase in annual purchased of feed.

14 In most livestock system, $\text{NH}_3\text{-N}$ is released into the air and contributes to acidification. In
15 fish farming, however, $\text{NH}_3\text{-N}$ is released into the water and does not participate to
16 acidification. Consequently, the sub-systems contributing to acidification are the production
17 of feed purchased and the production of facilities and equipment used (Table 2). Thus, when
18 rearing density is the limiting factor, improving TGC increases production, which dilutes
19 fixed environmental effects of the production of facilities and equipment used, over more fish
20 produced.

21 Climate change and cumulative energy demand are both influenced by the production of feed
22 purchased and by the production of energy expended (Table 2). When rearing density is the
23 limiting factor, improving TGC increases production, which dilutes fixed environmental
24 impacts of the production of energy expended over more fish produced.

1 3.3. Environmental values (ENV)

2 3.3.1. Effects of changes in TGC (Table 4)

3 When dissolved $\text{NH}_3\text{-N}$ is the limiting factor, ENV_{TGC} are null as TGC does not alter
4 environmental impacts. When rearing density is the limiting factor, ENV_{TGC} are positive
5 because increasing TGC increases production, which in turn dilutes fixed costs and
6 environmental impacts at farm level. ENV_{TGC} for eutrophication is, however, close to zero
7 because, as mentioned earlier, improvement in TGC increases not only production but also
8 feed consumption and nutrients emission

9 3.3.2. Effects of changes in FCR (Table 5)

10 When dissolved $\text{NH}_3\text{-N}$ is the limiting factor, ENV_{FCR} are positive because improving FCR
11 increases both production and production efficiency. When rearing density is the limiting
12 factor, ENV_{FCR} of acidification, climate change and cumulative energy demand are also
13 positive but to a lower extent because improved FCR increases production efficiency only.

14

15 4. Discussion

16 We combined bioeconomic modelling and life cycle assessment to assess the environmental
17 consequences of genetic improvement in thermal growth coefficient (TGC) and in feed
18 conversion ratio (FCR), in a recirculating aquaculture system (RAS). This combined approach
19 allows to calculate environmental values (ENV) of selected traits, which express the changes
20 in environmental impacts due to genetic improvement of a trait. A cradle-to-farm-gate LCA
21 was carried to avoid over estimation of ENV of traits decreasing environmental impacts at
22 farm level, but increasing environmental impacts at chain level (van Middelaar et al., 2014).
23 The results showed that the ENV of FCR and TGC depend on the limiting factor, density or
24 dissolved $\text{NH}_3\text{-N}$.

1 In case dissolved $\text{NH}_3\text{-N}$ was the limiting factor, improving TGC did not increase production
2 or production efficiency. In case density was the limiting factor, however, improving TGC
3 increased production, which diluted fixed environmental impacts over more fish produced.
4 Consequently, the environmental impacts per ton of fish produced decreased. The magnitude
5 of the environmental value of TGC is, therefore, dependent on the relative importance of
6 fixed environmental impacts. An energy mix with a greater contribution of fossil energy, for
7 example, would increase the relative importance of fixed environmental impacts of the farm,
8 which would lower the reduction of environmental impacts per ton of fish produced observed
9 when production increases. The direction of the change, however, would stay the same, and
10 increasing production would always decrease the environmental impacts per ton of fish
11 produced. The dilution of fixed environmental impacts per unit of fish produced reflects how
12 efficient capital goods, such as energy input, are used. The relevance of the capital goods
13 inclusion, therefore, is closely correlated to the target question of the study and to the type of
14 system. In RAS, the weight of capital good is high relatively to total plant production
15 capacity. In RAS, therefore, the environmental costs of capital goods are not sufficiently
16 diluted by the production level to be neglected.

17 The results obtained could be analysed also through a geographic perspective, by splitting
18 global and local environmental impacts. For instance, the emission of greenhouse gases
19 contributing to climate change is a global issue. In RAS, climate change is mainly caused by
20 capital goods thus, climate change can be diluted with higher production. Conversely, the
21 emission of nutrients participating to eutrophication has an impact at local scale on the
22 neighbourhood of the emission source. The emission of nutrients from the biological
23 transformation of the feed is variable and increases with higher production. Therefore, when
24 density is the limiting factor, improving TGC increases production and dilutes climate change

1 at global scale but it does not affect eutrophication at local scale. The environmental values
2 can be used also to assess the impact of genetic change at global or local scale.

3 FCR, however, always decreased environmental impacts, because improving FCR improved
4 production efficiency, in case density was the limiting factor, and production efficiency plus
5 production, in case dissolved $\text{NH}_3\text{-N}$ was the limiting factor. Compared to TGC, therefore, an
6 improvement of FCR does not only dilute fixed environmental impacts, but also reduces the
7 use variable inputs such as feed per unit of fish produced. Consequently, improving FCR
8 would also have a positive effect on environmental impacts. d'Orbcastel et al. (2009)
9 investigated the impact of a RAS producing rainbow trout with different value of FCR, 1.1
10 and 0.8. This range would correspond to 27.3% of improvement, or 3.6 generations of
11 selection in case percentage of improvement in FCR is 7.6% per generation, as in this study.

12 Scaling their results to our genetic response shows that decreasing FCR by 7.6% decreased
13 acidification by 5.8%, eutrophication by 4.3%, climate change by 6% and cumulative energy
14 demand by 2.4%. The environmental values calculated from d'Orbcastel et al. (2009),
15 therefore, are similar to the ENV_{FCR} calculated in our study for acidification, climate change
16 and cumulative energy demand., in case rearing density is the limiting factor, These similar
17 results are the consequence of better production efficiency observed in d'Orbcastel et al.
18 (2009) study and in our study, in case rearing density is the limiting factor. The response in
19 eutrophication, however, is higher (18.6%) in our study than in d'Orbcastel et al. (2009),
20 because our bioeconomic model includes a mass-balance approach to evaluate nitrogen
21 emission of the fish. In case density at harvest is the limiting factor, improving FCR not only
22 decreases feed consumption but also decreases nitrogen emission, which plays an important
23 role in eutrophication.

24 Using dynamic modelling of the relationship between genetic improvement and farm
25 management (i.e. number of fish stocked per batch), the results shows that improving FCR

1 can lead to switch limiting factors. Then, when dissolved $\text{NH}_3\text{-N}$ becomes the new limiting
2 factor improving FCR increases also production. In our study, changes in ENV represent not
3 only the direct change in environmental impacts, due to a change in a trait, but also the
4 indirect change due to changes in number of fish and changes in farm management (van
5 Middelaar et al., 2014). It is, therefore, difficult to fully use the results from d'Orbcastel et al.
6 (2009) as a comparison basis for our results, because we considered all changes that could
7 occur in farm management when genetic improvement occurs.

8 Genetic improvement is also a tool used for economic development in fish farming. In Besson
9 et al. (2014), we calculated economic values FCR and TGC using the bioeconomic model. It
10 is, therefore, possible to compare those economic values and environmental values from our
11 simulations (Tables 4 and 5). The comparison underlines interesting synergies between
12 economic and environmental values. Both values depend on the nature of the limiting factor,
13 whether rearing density or dissolved $\text{NH}_3\text{-N}$. When $\text{NH}_3\text{-N}$ is the limiting factor, only genetic
14 improvement in FCR increases profit ($\text{EV}_{\text{FCR}} = 0.13 \text{ €/kg}$ of fish and $\text{EV}_{\text{TGC}} = 0 \text{ €/kg}$ of fish)
15 and decreases environmental impacts because it increases both production and production
16 efficiency. On the contrary, when rearing density is the limiting factor both genetic
17 improvement in TGC and FCR increase profit ($\text{EV}_{\text{FCR}} = 0.06 \text{ €/kg}$ of fish and $\text{EV}_{\text{TGC}} = 0.03$
18 €/kg of fish) and decrease environmental impacts because improving FCR increases
19 production efficiency and improving TGC increases production. Such synergies between
20 economic and environmental values have been observed also in dairy cow by van Middelaar
21 et al. (2014), who found that a genetic improvement of milk yield and longevity increased
22 economic return and decreased greenhouse gases emissions per unit of fat-and-protein-
23 corrected milk.

24 It is established that the quality and quantity of protein in the feed can have an impact on FCR
25 of fish (Albrektsen et al., 2006). In the present study we assumed, therefore, a fixed diet and

1 we assumed that improvement in FCR was exclusively due to genetic improvement. Our
2 results confirm that FCR would be the major trait to include in the breeding goals for
3 increasing economic profit and decreasing environmental impacts in RAS. This can be
4 explained by the importance of the feed in farm costs but also in environmental impacts. As a
5 result, any improvement in FCR will at the same time increase farm incomes and decrease
6 environmental impacts.

7 In fish breeding FCR is a difficult trait to improve as it is difficult to measure individual feed
8 intake. FCR is expected to be correlated to TGC, however, studies diverge on this subject. In
9 rainbow trout, Kause et al. (2006) predicted that selection only for daily gain, increases daily
10 gain by 17.6% per generation and simultaneously increases feed efficiency (1/FCR) by 8.4%.
11 In parallel, some other studies in salmonids did not observe any correlation between growth
12 rate and feed efficiency and showed that genetic gain in growth is due to higher feed intake,
13 while feed efficiency remains unchanged (Mambrini et al., 2004; Sanchez et al., 2001).

14 As a result, fish breeders developed breeding programs aiming mainly to improve growth rate,
15 easier to measure, assuming a positive correlation with feed conversion ratio. Our
16 results (Besson et al. 2014) and the present study show, however, that improvement in TGC
17 may result in an increase in economic profit and a decrease in environmental impacts only in
18 specific conditions (when rearing density is the limiting factor). It means that without genetic
19 correlation between growth rate and feed conversion ratio, such breeding programs aiming
20 only at increasing growth rate when $\text{NH}_3\text{-N}$ is the limiting factor would not be economically
21 and environmentally beneficial.

22 These findings can be extended to other livestock systems where animal manure is
23 responsible for high environmental impacts. In the UK, farmers located in Nitrate Vulnerable
24 Zones (NVZs), are restricted in the amount of nitrogen from livestock manure they can apply
25 on their farm (Department for Environment Food & Rural Affairs, 2013). With such

1 limitation, faster growing animals (with the same feed efficiency) will have a similar impact
2 as faster growing fish in RAS when dissolved $\text{NH}_3\text{-N}$ is the limiting factor. Faster growing
3 animals would increase production rate but farmers would have to keep fewer animals, which
4 will keep the environmental impacts constant.

5 The results of the study confirm the importance of precisely defining the rearing system and
6 its production limiting factors to be able to design effective breeding programs in terms of
7 environmental or economic consideration. Environmentally effective breeding program could
8 be developed by using environmental values, which would put more emphasis on the most
9 relevant traits in a specific limiting factor situation. Furthermore, the synergy between
10 economic and environmental values is a conducive factor for the development of
11 economically and environmentally efficient breeding program.

12

13 **5. Conclusion**

14 The framework applied in this study is a first step towards the future development of selective
15 breeding programs in fish farming considering environmental objectives. We showed that
16 there are opportunities of developing breeding objectives aiming at reducing environmental
17 impacts while at the same time maintaining economic objectives. In other words, economic
18 profit and environmental impacts are not antagonists. In recirculating aquaculture system,
19 thermal growth coefficient (TGC) and feed conversion ratio (FCR) were identified as two
20 production traits that can contribute to improve both economic and environmental
21 performances. In particular, improvement in FCR always improves environmental impacts
22 and increases economic incomes in the range of scenarios tested. On the other hand, selecting
23 for increased TGC is only relevant in specific situations. This result emphasizes the need for
24 further studies aiming at better characterising the genetic bases of feed efficiency, especially
25 any possible genetic correlation with growth trait, to implement efficient selective breeding

1 program for improving feed efficiency. The results obtained in this study are, however,
2 characteristic to a RAS and this framework needs to be tested on other systems where
3 economic and environmental responses to selection might be different. For instance, in sea
4 cages system, waste water is directly released into the environment and fish production relies
5 on environmental conditions such as water temperature and oxygen availability. Such
6 differences could lead to different economic and environmental values of growth rate and feed
7 conversion ratio in different systems.

8

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12

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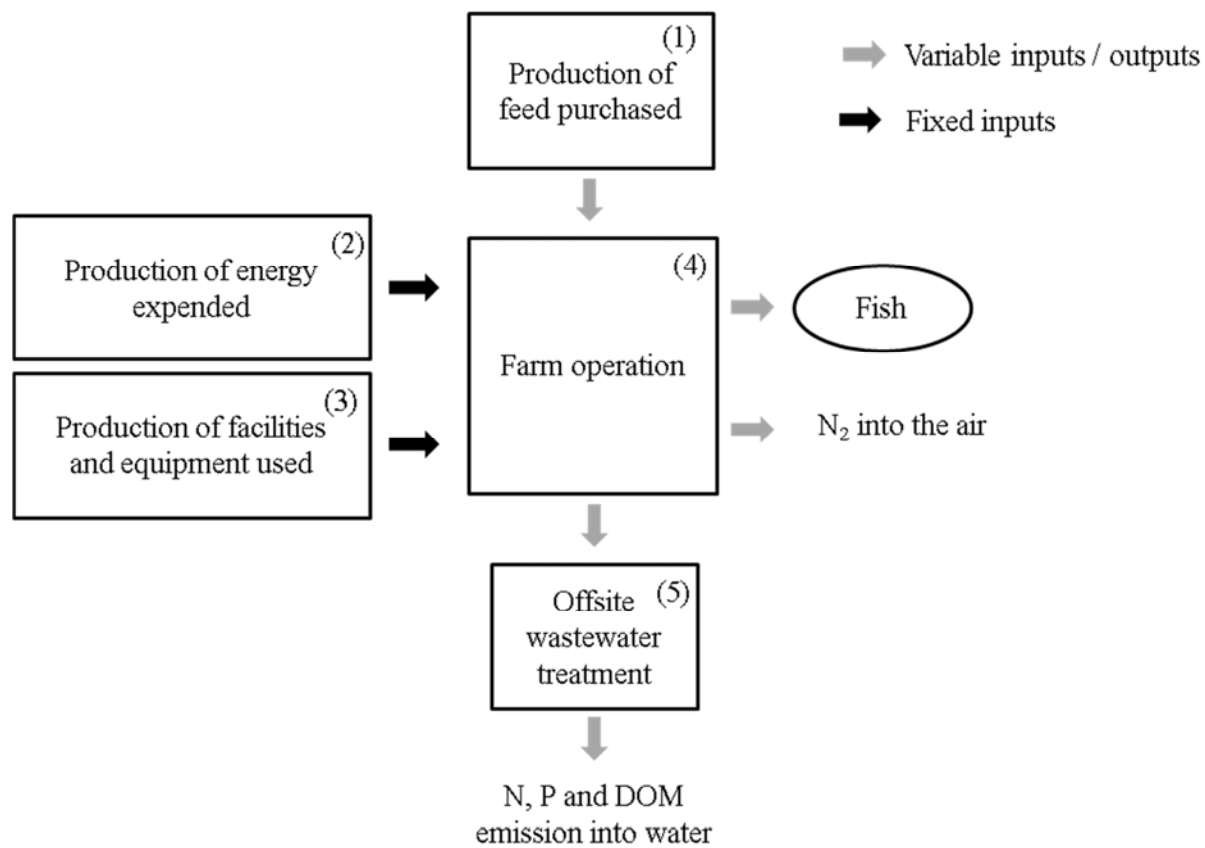
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- 9

1 **Fig. 1**

2 Diagram of the system studied including emission of nitrogen (N), phosphorus (P) and
 3 dissolved organic matter (DOM) from biological transformation of the feed by the fish.



4

5

1 **Table 1**

2 Thermal growth coefficient (TGC) and feed conversion ratio (FCR) of the nine scenarios
 3 tested according to two generations of selection (G1 and G2) from the reference scenario
 4 (RS).

		Feed conversion ratio, FCR (in kg/kg)		
		RS = 0.81	G1 = 0.75	G2 = 0.69
Thermal growth	RS = 8.33	×	×	×
coefficient,	G1 = 8.9	×	×	×
TGC	G2 = 9.5	×	×	×

5

1 **Table 2**

2 Percentage of contribution of the different sub-systems to the four impact categories in the
 3 reference scenario where TGC = 8.33 and FCR = 0.81.

	Acidification, kg SO ₂ -eq	Eutrophication, kg PO ₄ -eq	Climate change, kg CO ₂ -eq	Cumulative energy demand, MJ
Production of feed purchased	57.3 %	38.9 %	72.3 %	68.5 %
Production of energy expended on farm	4.7%	3.6%	21.5 %	23.8 %
Production of facilities and equipment used	37.7 %	0.5 %	5.4 %	7 %
Farm operation	0 %	56.8 %	0 %	0 %
Offsite waste water treatment	0.3 %	0.2 %	0.8 %	0.7 %
Total %	100 %	100 %	100 %	100 %
Total quantity	8.2	6.5	1461.1	21115.2

4

5 **Table 3**

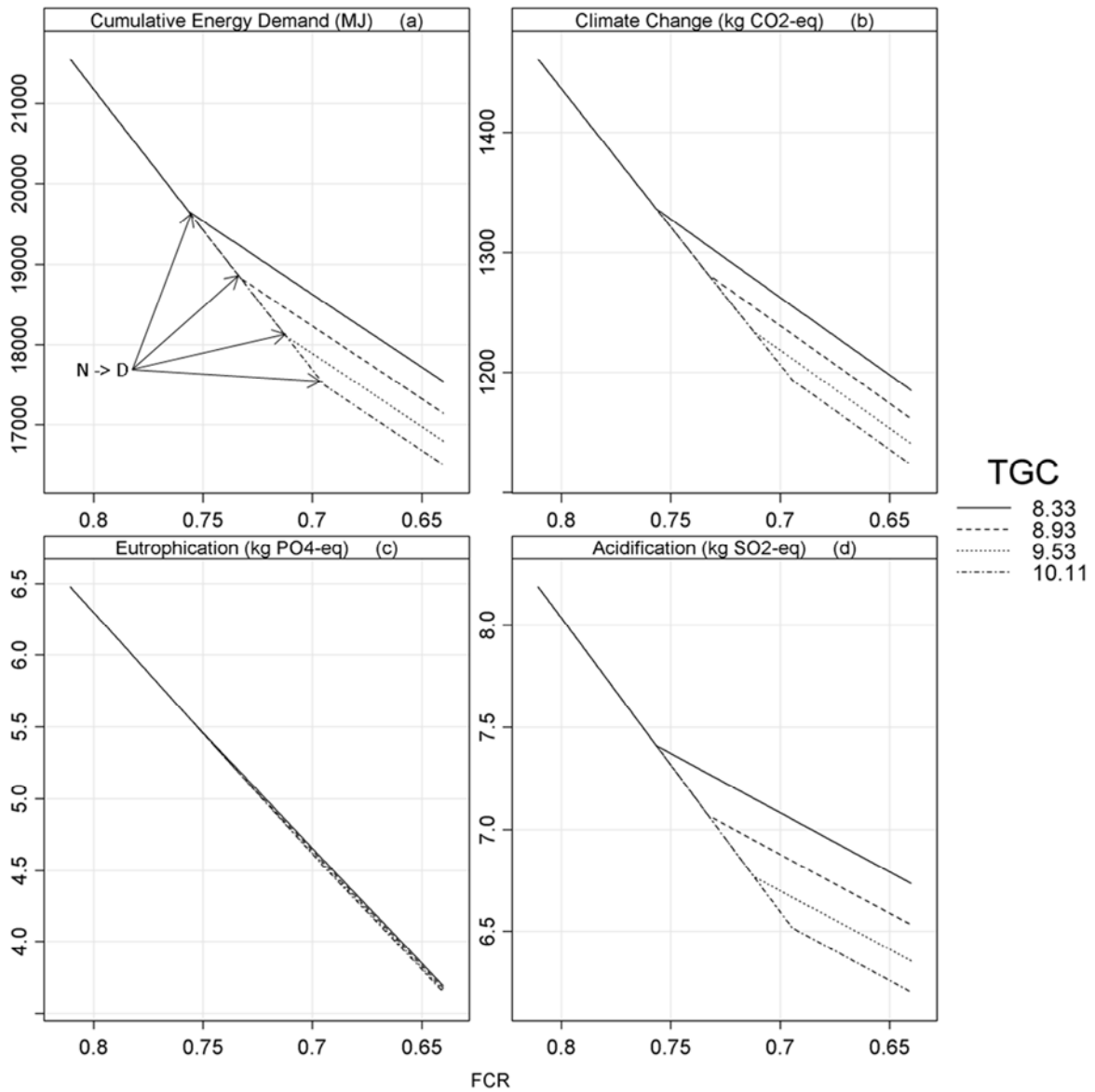
6 Summary of the impact of genetic improvement in TGC and FCR on technical performance
 7 of a recirculating aquaculture system (Besson et al., 2014).

Limiting factor	Improved TGC	Improved FCR
Dissolved NH ₃ -N	No effect	Higher production Higher production efficiency
Density at harvest	Higher production	Higher production efficiency

8

1 **Fig. 2**

2 Environmental impacts calculated per ton of fish for four impact categories as a function of
 3 improving FCR at a given value for TGC. In graph (a), the arrows illustrate the point where
 4 the limiting factor switches from dissolved $\text{NH}_3\text{-N}$ (N) to rearing density (D).



5

6

1 **Table 4**

2 Economic and environmental values of four impact categories of thermal growth coefficient
 3 TGC (EV_{TGC} and ENV_{TGC}) calculated in nine combinations of TGC and feed conversion ratio
 4 FCR. For each case, the limiting factor before genetic improvement and after genetic
 5 improvement is specified, D = rearing density and N = NH_3-N .

TGC	FCR	Limiting factors	ENV_{TGC} (%/t of fish)				EV_{TGC} (€kg of fish)
			Acidification, kg SO_2 -eq	Eutrophication, kg PO_4 -eq	Climate change, kg CO_2 -eq	Cumulative energy demand, MJ	
8.33	0.81	N -> N	0	0	0	0	0
8.33	0.75	D -> N	0.8	0.1	0.5	0.6	0.01
8.33	0.69	D -> D	2.9	0.4	1.9	2.0	0.03
8.9	0.81	N -> N	0	0	0	0	0
8.9	0.75	N -> N	0	0	0	0	0
8.9	0.69	D -> D	2.6	0.3	1.7	1.8	0.03
9.5	0.81	N -> N	0	0	0	0	0
9.5	0.75	N -> N	0	0	0	0	0
9.5	0.69	D -> D	2.3	0.3	1.5	1.6	0.03

6

1 **Table 5**

2 Economic and environmental values of four impact categories of feed conversion ratio FCR
 3 (EV_{FCR} and ENV_{FCR}) calculated in nine combinations of thermal growth coefficient TGC and
 4 FCR. For each case, the limiting factor before genetic improvement and after genetic
 5 improvement is specified, D = rearing density and N = NH_3-N .

TGC	FCR	Limiting factors	ENV_{FCR} (%/t of fish)				EV_{FCR} (€kg of fish)
			Acidification, kg SO_2 -eq	Eutrophication, kg PO_4 -eq	Climate change, kg CO_2 -eq	Cumulative energy demand, MJ	
8.33	0.81	N -> D	10	15.9	9.2	9.3	0.13
8.33	0.75	D -> D	4.5	16.8	5.6	5.4	0.06
8.33	0.69	D -> D	4.3	18.6	5.4	5.3	0.06
8.9	0.81	N -> N	10.8	15.9	9.7	9.8	0.14
8.9	0.75	N -> D	6.4	17.0	6.9	6.8	0.08
8.9	0.69	D -> D	4.4	18.7	5.5	5.4	0.06
9.5	0.81	N -> N	10.8	15.9	9.7	9.8	0.14
9.5	0.75	N -> D	8.9	17.3	8.4	8.5	0.12
9.5	0.69	D -> D	4.6	18.8	5.6	5.5	0.06

6

1 **Appendices**2 **Table A.1**

3 Calculations and parameters involved in the bioeconomic model (Besson et al., 2014).

Parameters	Formulas	
Fish model		
Thermal growth coefficient (TGC)		
- $1-b = \text{weight exponent} = 0.475$		
- $T (\text{temperature}) = 27 \text{ }^\circ\text{C}$		
- $W_H (\text{harvest weight}) = 13 \text{ g}$	$\text{TGC} = \frac{W_H^{1-b} - W_I^{1-b}}{\sum_{i=1}^n T}$	
- $W_I (\text{initial weight}) = 1300 \text{ g}$		
- n is the length of growing period until harvest weight		
Fish weight (W_n) in kg		$W_n = [W_I^{0.475} + (\text{TGC} \times \sum_{i=1}^n T)]^{1/0.475}$
Daily weight gain (DWG_n) in g		$\text{DWG}_n = W_n - W_{n-1}$
Feed conversion ratio (FCR_{W_n}) in g/g	$\text{FCR}_{W_n} = 0.37 \times W_n^{0.112}$	
Daily feed intake (DFI_n) in g	$\text{DFI}_n = \text{DWG}_n \times \text{FCR}_{W_n}$	
Fish waste emission		
Daily dissolved N ($\text{N}_{\text{dissolved}_n}$) in g	$\text{N}_{\text{dissolved}_n} = \text{DWG}_n ((65.988 \times \text{FCR}_{W_n}) - 25)$	
Daily emission of N in effluent water (N_{eff_n}) in g	$\text{N}_{\text{eff}_n} = 0.6732 \times \text{FCR}_{W_n} \times \text{DWG}_n$	
Daily COD of effluent water ($\text{COD}_{\text{eff}_n}$)	$\text{COD}_{\text{eff}_n} = (\text{protein} \times 0.11 + \text{crude_fat} \times 0.24 + \text{carbs} \times 0.33) \times \text{DFI}_n$	
- $\text{protein} = \% \text{ of protein in the feed}$		
- $\text{crude_fat} = \% \text{ crude fat in the feed}$		
- $\text{carbs} = \% \text{ of carbohydrates in the feed}$		
Daily emission of P in effluent water (P_{eff_n})	$\text{P}_{\text{eff}_n} = 0.0876 \text{ DFI}_n - 0.04 \text{ DWG}_n$	
Batch model		

Number of fish of 13 g stocked per batch (Nb_fish₁₃)	$\text{Nb_fish}_{13} = \frac{\text{maximum NH}_3\text{-N load}}{\sum_{i=1}^j (N_{\text{dissolvedMSS}(j)}) \times (1 - M_{Wn(j)})}$
---	---

- *Maximum NH₃-N load = 40*

kg/day

- *j = 1 to 14 (number of batch reared simultaneously)*

Cumulative mortality (M_{Wn}) in %	$M_{Wn} = 0001 \times W_n + 0113$
---	-----------------------------------

Farm model

Growth period in days	$\text{Nb_days} = \frac{(W_H^{0.475} - W_I^{0.475}) \times T}{\text{TGC}} = \frac{597.30}{\text{TGC}}$
-----------------------	---

Number of batch per year	$\begin{aligned} \text{Nb_batch} &= \frac{365 \times 14}{\text{Nb_days}} = \frac{5110}{\text{Nb_days}} \\ &= 9.22 \times \text{TGC} \end{aligned}$
--------------------------	---

Economic FCR	$\text{FCR} = \frac{\text{feed distributed per year}}{\text{fish production per year}}$
--------------	---

1

1 **Table A.2**

2 Chemical composition and components of the feed of the catfish feed (Besson et al., 2014).

<i>Chemical composition</i>	<i>%</i>
Protein	45
Crude fat	12.5
Crude ash	9
Other Carbohydrates	22.5
Phosphorus	1.1
<i>Components</i>	<i>%</i>
Fish meal, Peru	43
Fish oil, Peru	3.4
Fish meal from fish trimmings, Norway	10.7
Fish oil from fish trimmings, Norway	0.8
Soybean meal, Brazil	9
Wheat starch, France	23.4
Wheat bran, France	8.8

3

1 **Table A.3**

2 Contribution analysis of 1 t of standard African catfish feed.

Ingredients	Acidification, kg SO ₂ -eq	Eutrophication, kg PO ₄ -eq	Climate change, kg CO ₂ -eq	Cumulative energy demand, MJ
Fish meal	32.6 %	24.1 %	44.2 %	41 %
Fish oil	2 %	1.5 %	2.7 %	2.5 %
Fish meal from fish trimmings	4.9 %	5.9 %	9.8 %	11.7 %
Fish oil from fish trimmings	0.3 %	0.3 %	0.6 %	0.7 %
Soybean meal	5.6 %	16.4 %	9 %	3.9 %
Wheat starch	20.7 %	38 %	17 %	18.9%
Wheat bran	0.8 %	5.7 %	1.2 %	0.9 %
Other				
Feed processing, packaging and transportation	33 %	8 %	15.5 %	20.4 %
Total %	100 %	100 %	100 %	100 %
Total quantity	5.8	3.1	1300.2	18205.7

3

1 **Table A.4**

2 Contribution analysis of energy carriers to acidification, eutrophication, climate change and
 3 cumulative energy demand, calculated for 1000 kWh of energy expended.

	Acidification, kg SO ₂ -eq	Eutrophication, kg PO ₄ -eq	Climate change, kg CO ₂ -eq	Cumulative energy demand, MJ
Electricity mix production	79.8 %	93 %	71.4 %	70.2 %
Natural gas production	20.2 %	7 %	28.6 %	29.8 %
Total %	100 %	100 %	100 %	100 %
Total quantity	0.6	0.3	479.8	7823.6

4

5 **Table A.5**

6 Environmental impacts of the construction of 1 m²y of facilities, of the production 1 kg of
 7 material, and of the treatment of 1 m³ of waste water at wastewater treatment plant.

	Acidification, kg SO ₂ -eq	Eutrophication, kg PO ₄ -eq	Climate change, kg CO ₂ -eq	Cumulative energy demand, MJ
Construction of 1000 m ² y of facilities	83.4	1.0	2197.8	43500.7
Production of 1 ton of equipment	101.5	1.2	2605.1	48237.4
Treatment of 1 m ³ of waste water	1.1	0.6	486.7	5957.1

8

1 **Table A.6**

2 Environmental impacts of the emission to water of one ton of nitrogen (N), phosphorus (P)
3 and chemical oxygen demand (COD).

	Acidification, kg SO ₂ -eq	Eutrophication, kg PO ₄ -eq	Climate change, kg CO ₂ -eq	Cumulative energy demand, MJ
1 ton of N	0	0.42	0	0
1 ton of P	0	3.06	0	0
1 ton of COD	0	02	0	0

4

1 **Supplementary data**2 **Table S.1**

3 Results of acidification for the 5 different sub-systems in each scenario tested.

TGC	FCR	Acidification, kg SO ₂ -eq					Total
		Production of feed purchased	Production of energy expended on farm	Production of facilities and equipment used	Farm operation	Offsite waste water treatment	
8.33	0.81	3.7	0.3	2.7	0	0	6.7
8.33	0.75	4.0	0.3	2.7	0	0	7.0
8.33	0.69	4.3	0.3	2.7	0	0	7.4
8.33	0.64	4.7	0.4	3.1	0	0	8.2
8.9	0.81	3.7	0.3	2.5	0	0	6.5
8.9	0.75	4.0	0.3	2.5	0	0	6.8
8.9	0.69	4.3	0.3	2.6	0	0	7.3
8.9	0.64	4.7	0.4	3.1	0	0	8.2
9.5	0.81	3.7	0.3	2.3	0	0	6.4
9.5	0.75	4.0	0.3	2.3	0	0	6.7
9.5	0.69	4.3	0.3	2.6	0	0	7.3
9.5	0.64	4.7	0.4	3.1	0	0	8.2
10.11	0.81	3.7	0.3	2.2	0	0	6.2
10.11	0.75	4.0	0.3	2.2	0	0	6.5
10.11	0.69	4.3	0.3	2.6	0	0	7.3
10.11	0.64	4.7	0.4	3.1	0	0	8.2

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1 **Table S.2**

2 Results of eutrophication for the 5 different sub-systems in each scenario tested.

TGC	FCR	Eutrophication, kg PO ₄ -eq					Total
		Production of feed purchased	Production of energy expended on farm	Production of facilities and equipment used	Farm operation	Offsite waste water treatment	
8.33	0.81	2.0	0.2	0	1.5	0	3.7
8.33	0.75	2.2	0.2	0	2.1	0	4.5
8.33	0.69	2.3	0.2	0	2.9	0	5.5
8.33	0.64	2.5	0.2	0	3.7	0	6.5
8.9	0.81	2.0	0.2	0	1.5	0	3.7
8.9	0.75	2.2	0.2	0	2.1	0	4.5
8.9	0.69	2.3	0.2	0	2.9	0	5.4
8.9	0.64	2.5	0.2	0	3.7	0	6.5
9.5	0.81	2.0	0.2	0	1.5	0	3.7
9.5	0.75	2.2	0.2	0	2.1	0	4.5
9.5	0.69	2.3	0.2	0	2.9	0	5.4
9.5	0.64	2.5	0.2	0	3.7	0	6.5
10.11	0.81	2.0	0.2	0	1.5	0	3.6
10.11	0.75	2.2	0.2	0	2.1	0	4.5
10.11	0.69	2.3	0.2	0	2.9	0	5.4
10.11	0.64	2.5	0.2	0	3.7	0	6.5

3

1 **Table S.3**

2 Results of climate change for the 5 different sub-systems in each scenario tested.

Climate change, kg CO ₂ -eq							
TGC	FCR	Production of feed purchased	Production of energy expended on farm	Production of facilities and equipment used	Farm operation	Offsite waste water treatment	Total
8.33	0.81	832.4	273.0	69.2	0.0	10.4	1185.1
8.33	0.75	900.6	273.0	69.2	0.0	10.4	1253.2
8.33	0.69	974.4	273.0	69.2	0.0	10.4	1327.1
8.33	0.64	1054.2	315.0	79.8	0.0	12.0	1461.1
8.9	0.81	832.4	254.7	64.5	0.0	9.7	1161.4
8.9	0.75	900.6	254.7	64.5	0.0	9.7	1229.5
8.9	0.69	974.4	267.5	67.8	0.0	10.2	1320.0
8.9	0.64	1054.2	315.0	79.8	0.0	12.0	1461.1
9.5	0.81	832.4	238.7	60.5	0.0	9.1	1140.7
9.5	0.75	900.6	238.7	60.5	0.0	9.1	1208.8
9.5	0.69	974.4	267.5	67.8	0.0	10.2	1320.0
9.5	0.64	1054.2	315.0	79.8	0.0	12.0	1461.1
10.11	0.81	832.4	225.0	57.0	0.0	8.6	1123.0
10.11	0.75	900.6	225.0	57.0	0.0	8.6	1191.1
10.11	0.69	974.4	267.5	67.8	0.0	10.2	1320.0
10.11	0.64	1054.2	315.0	79.8	0.0	12.0	1461.1

3

1 **Table S.4**

2 Results of cumulative energy demand for the 5 different sub-systems in each scenario tested.

Cumulative energy demand, MJ							
TGC	FCR	Production of feed purchased	Production of energy expended on farm	Production of facilities and equipment used	Farm operation	Offsite waste water treatment	Total
8.33	0.81	11655.8	4452.4	926.9	0.0	127.4	17162.5
8.33	0.75	12610.2	4452.4	926.9	0.0	127.4	18116.9
8.33	0.69	13644.3	4452.4	926.9	0.0	127.4	19150.9
8.33	0.64	14761.7	5137.2	1069.4	0.0	147.0	21115.2
8.9	0.81	11655.8	4153.2	864.6	0.0	118.8	16792.5
8.9	0.75	12610.2	4153.2	864.6	0.0	118.8	17746.9
8.9	0.69	13644.3	4362.4	908.2	0.0	124.8	19039.7
8.9	0.64	14761.7	5137.2	1069.4	0.0	147.0	21115.2
9.5	0.81	11655.8	3891.8	810.2	0.0	111.3	16469.1
9.5	0.75	12610.2	3891.8	810.2	0.0	111.3	17423.5
9.5	0.69	13644.3	4362.4	908.2	0.0	124.8	19039.7
9.5	0.64	14761.7	5137.2	1069.4	0.0	147.0	21115.2
10.11	0.81	11655.8	3668.5	763.7	0.0	105.0	16193.0
10.11	0.75	12610.2	3668.5	763.7	0.0	105.0	17147.3
10.11	0.69	13644.3	4362.4	908.2	0.0	124.8	19039.7
10.11	0.64	14761.7	5137.2	1069.4	0.0	147.0	21115.2

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