

Emergence of a new predator in the North Sea: evaluation of potential trophic impacts focused on hake, saithe, and Norway pout

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Abstract :

During the last 15 years, northern European hake (*Merluccius merluccius*) has increased in abundance, and its spatial distribution has expanded in the North Sea region in correlation with temperature. In a context of global warming, this spatial shift could impact local trophic interactions: direct impacts may affect forage fish through modified predator–prey interactions, and indirect impacts may materialize through competition with other resident predators. For instance, North Sea saithe (*Pollachius virens*) spatial overlap with hake has increased while saithe spawning-stock biomass has decreased recently notwithstanding a sustainable exploitation. In this context, we investigated the range of potential impacts resulting from most recent hake emergence in the North Sea, with a particular focus on saithe. We carried out a multispecies assessment of North Sea saithe, using the Stochastic MultiSpecies (SMS) model. In addition to top-down processes already implemented in SMS, we built in the model bottom-up processes, relating Norway pout (*Trisopterus esmarkii*) abundance and saithe weight-at-age. We simulated the effects, on all North Sea species being considered but focusing on Norway pout and saithe, of combining different hake abundance trends scenarios with the inclusion of bottom-up processes in SMS. North Sea saithe *FMSY* was then evaluated in a multispecies context and contrasted with single-species value. The different scenarios tested revealed a negative impact of hake emergence on saithe biomass, resulting from an increase of predation pressure on Norway pout. These results confirm the competition assumption between saithe and hake in the North Sea and might partially explain the most recent decrease of saithe biomass. This study also highlighted that taking into account bottom-up processes in the stock assessment had a limited effect on the estimation of saithe *FMSY* which was consistent with single-species value.

Keywords : hake, interspecific competition, maximum sustainable yield, multispecies stock assessment, Norway pout, predator–prey interactions, saithe, simple foodweb, SMS

1 Introduction

In 2002, the Johannesburg World Summit on Sustainable Development provided a legally binding framework to implement and develop science relevant to the Ecosystem Approach to Fisheries (EAF) and associated management tools (FAO, 2003). In that context, Plaganyi (2007) reviewed models available to take into account species interactions in fisheries research and management. Models are ranging from complex and holistic ecosystem models to minimum realistic models, which are restricted to marine organisms known to have strong interactions with the species of interest. Such minimum realistic models have been preferred by different advisory agencies worldwide to account for multispecies interactions in stock assessment-based fisheries advice, because of their flexibility and ability to fit to observations (Plaganyi, 2007). The International Council for the Exploration of the Sea (ICES), *i.e.* the main advisory body of fisheries management in the Northeast Atlantic, has promoted a multispecies assessments, building in biological interactions since the late 1980's. ICES has recently drawn particular attention to multispecies considerations for stock management in the North Sea, and clearly expressed the objective to provide regular multispecies advice on fisheries in this area (ICES, 2013b).

A major assumption of most single-species stock assessments is that natural mortality (M) is a static and exogenous scalar. In addition to the usual single-species stock assessment parameters, multispecies stock assessment models often separate M into a static natural mortality ($M1$) and a dynamic predation mortality ($M2$) exerted on prey stocks by predator's (top-down control). The age-structured Stochastic MultiSpecies (SMS) model developed by Lewy and Vinther (2004) is used by ICES Working Group on multiSpecies Assessment Methods (WGSAM) as a basis for advice on multispecies considerations for the North Sea area. In its standard version, the SMS model assumes that consumption rates of predators are constant over time because changes in the availability of certain prey species are assumed to be of minor importance. Multispecies models focusing on top-down processes only, such as SMS, provide an improvement for the stock assessment of forage fish populations and predator juveniles. However, such models are less informative when focusing on top-predator populations where older ages are more likely impacted by decreasing prey availability and resulting consumption rates than by predation. Indeed, bottom-up control, *e.g.* dependency of predators on forage fish (Frederiksen *et al.*, 2006; Engelhard *et al.*, 2014; Pikitch *et al.*, 2014), and competitive interactions, are often not taken into account in multispecies stock assessment models, even if their importance is acknowledged (Hollowed *et al.*, 2000).

Changes in environmental factors reported during the last 20 years in the North Sea (ICES, 2008) have led to changes in species distribution and abundances (Beaugrand, 2004; Perry *et al.*, 2005; Jones *et al.*, 2013) but also in ecosystem functioning. The recent increase of Northern hake (*Merluccius merluccius*) abundance in the North Sea

39 (Baudron and Fernandes, 2014; Cormon *et al.*, 2014) could impact other exploited species
40 through changes in the food-web. These trophic impacts could be direct, *e.g.* on prey
41 species, or indirect, *e.g.* on other predator species feeding on similar prey assemblages
42 *i.e.* competitors. These concerns led in 2013 to an initiative to collect hake diet data
43 from stomach contents within an EU-funded project (MARE/2012/02) in order to include
44 hake in the North Sea multispecies assessment. North Sea hake is currently considered
45 as a small component of the larger Northern hake stock (ranging from the Spanish to the
46 Norwegian coast, (ICES, 2013a)). As a result, the biomass of North Sea hake is input in
47 SMS as an exogenous factor and it is not explicitly assessed within the model. Using the
48 newly sampled hake diet data, the most recent predation mortality outputs (ICES, 2014a)
49 indicate a direct impact of hake on two forage fish species: Norway pout (*Trisopterus*
50 *esmarkii*), and herring (*Clupeus harengus*). These two preys are also predated by other
51 species such as saithe (*Pollachius virens*).

52 Saithe and hake are generally found at depth ranges that largely overlap (Scott and
53 Scott, 1988; Cohen *et al.*, 1990). Although they are demersal species, they both exhibit
54 pelagic behaviour (Scott and Scott, 1988; Cohen *et al.*, 1990; Bergstad, 1991a), partic-
55 ularly when feeding (Cohen *et al.*, 1990; Homrum *et al.*, 2013). Saithe and hake are
56 top-predators and have similar diet with Norway pout being an important prey for both
57 species (Bergstad, 1991b; Du Buit, 1991; DuBuit, 1996). In addition, Cormon *et al.*
58 (2014) showed an increasing spatial overlap between hake and saithe in the North Sea,
59 which was positively correlated with Norway pout presence. For these reasons, it is rea-
60 sonable to assume that both species are subject to competitive interactions (Link and
61 Auster, 2013) particularly when feeding on Norway pout. The emergence of hake in the
62 North Sea might then affect food availability and, as a result, the growth of North Sea
63 saithe (Cormon *et al.*, 2016) with knock-on effects on saithe biomass, spawning success,
64 and recruitment (Jakobsen *et al.*, 2009). These might partly explain the recent decline in
65 saithe biomass and weight-at-age, notwithstanding an exploitation at around Maximum
66 Sustainable Yield (MSY) for several years (ICES, 2013d).

67 Fishing mortality corresponding to MSY or F_{MSY} is a commonly used limit or target
68 reference point based on long-term yield predictions. Species interactions may adversely
69 affect the estimation of F_{MSY} , and therefore the reliability of fisheries advice (ICES, 1997;
70 Gislason, 1999; Collie and Gislason, 2001). Gislason (1999) compared several reference
71 points (including F_{MSY}) estimated in single- and multispecies models for the main Baltic
72 Sea species, *i.e.* cod (*Gadus morhua*), herring and sprat (*Sprattus sprattus*). Collie and
73 Gislason (2001) investigated the sensitivity of reference points to changes in natural mor-
74 tality (changes of predation pressure on prey population) and growth changes (changes
75 of prey availability to predators). However, to our best knowledge, the sensitivity of
76 predator's F_{MSY} estimates to prey availability and growth changes. have never been
77 investigated, when bottom-up processes are built in multispecies stock assessments.

78 This study focuses on the hake, Norway pout and saithe trio. The SMS model was
79 extended with a correlation between Norway pout abundance and saithe growth (Cormon
80 *et al.*, 2016) and the estimation of consumption rates as a function of predator's estimated
81 mean weight-at-age. Including these bottom-up processes allowed the investigation not
82 only of direct impacts of hake on Norway pout, but also of the indirect impacts of hake on
83 saithe. We investigated the effects of increased future levels of hake abundance, reflecting
84 that in the context of global warming (Boyd *et al.*, 2014) hake might settle or even
85 expand in the North Sea (Cormon *et al.*, 2014). In addition, North Sea saithe MSY, and
86 its associated fishing mortality F_{MSY} , were investigated taking into account bottom-up
87 processes between saithe and Norway pout, and potential competitive interactions with
88 hake.

89 2 Materials and methods

90 2.1 Model presentation

91 2.1.1 Stochastic MultiSpecies (SMS) model

92 The SMS model (Lewy and Vinther, 2004) was used to study the biological interactions
93 between Norway pout, saithe and hake. SMS is an age-length structured model extending
94 the MultiSpecies Virtual Population Analysis (MSVPA) (Helgason and Gislason, 1979;
95 Pope, 1979) used by the International Council for the Exploration of the Sea (ICES) to
96 carry out multispecies fish stock assessments in the North Sea and the Baltic Sea. SMS
97 allows the estimation of predation mortality based on prey suitability, prey availability,
98 predator' stomach contents and predator' consumption rates (Andersen and Ursin, 1977;
99 Gislason and Helgason, 1985). Estimated prey suitabilities are constant over time leading
100 to a Holling type II feeding functional response in the model (Magnusson, 1995). SMS
101 is operated with a quarterly time-step with spawning occurring in winter (1st quarter)
102 and recruitment occurring in summer (3rd quarter), while yearly biomass is calculated at
103 the beginning of the year. The model can be used in hindcast and forecast mode and
104 it is subject to a so-called key-run every three years within the ICES Working Group
105 on multiSpecies Assessment Methods (WGSAM), which aims to include and validate
106 updates of input data and potential modifications of the model structures.

107 The present study is based upon the last key-run (ICES, 2014a), which includes 10
108 dynamically assessed fish species (predators and preys), four "other" fish predators as well
109 as seabirds and marine mammal species (see Table 1 for details about species included
110 in the model).

111 2.1.2 Implementation of bottom-up process

112 As a first step, we modified SMS 2014 key-run version to model the extent to which
113 bottom-up processes (availability of Norway pout (*Trisopterus esmarkii*)) may limit the
114 growth and consumption rates of saithe (*Pollachius virens*) and ultimately impact its
115 Spawning Stock Biomass (SSB). We focused on the hake (*Merluccius merluccius*), saithe
116 and Norway pout trio even if methods presented here could in principle be applied to other
117 species. The implementation of bottom-up processes in the model had two components:
118 (i) the implementation of saithe mean weight-at-age calculation depending on Norway
119 pout biomass and (ii) the calculation of consumption rates as a function of saithe mean
120 weights. All parameters described below and the values used in this study are presented
121 in Table 2.

122 **Saithe weight calculation** As highlighted by Cormon *et al.* (2016), saithe growth
123 was assumed to follow a sigmoidal relationship correlating length, l , and age, a , as de-
124 scribed by Equation (1). The asymptotic length, l_∞ , was expressed in centimetres, the
125 relative growth constant, K_{LG} , in years⁻¹, and the sigmoidal curve inflection point, which
126 represents the theoretical age at which individuals growth trajectory changes, a_i , in years.

$$127 \quad l_a = l_\infty \cdot \frac{1}{1 + e^{-K_{LG} \cdot (a - a_i)}} \quad (1)$$

128 The two growth parameters, a_i and l_∞ , were fixed as the median values of Cormon
129 *et al.* (2016) estimations excluding years where these two parameters had no biological
130 meaning ($l_\infty > 500$ cm and $a_i > 15$ y). Based on the empirical conclusions of Cormon
131 *et al.* (2016), saithe growth constant K_{LG} was assumed to vary linearly, at a rate defined
132 by coefficient β_1 , in relation to previous year's Norway pout Total Stock Biomass (TSB)
133 ($NP_{TSB_{t-1}}$ in tonnes), see Equation (2).

$$134 \quad K_{LG_t} = \mu + \beta_1 \cdot NP_{TSB_{t-1}} \quad (2)$$

135 where t is the time in years and μ the intercept.

136 To estimate β_1 , we first realised a multiple regression of K_{LG} as a function of Norway
137 pout TSB, a_i and l_∞ using annual time-series of K_{LG} provided by Cormon *et al.* (2016).
138 The strong correlation between a_i and l_∞ led to drop the variable with the highest
139 variance inflation factor. This procedure allowed the estimation of the partial regression
140 coefficient β_1 describing the effect of previous year Norway pout abundance on K_{LG} ,
141 taking into account the two other parameters effects. All these preliminary analyses were
142 conducted using R 2.15.3.

143 Estimated saithe length-at-ages l_a were transformed in millimetres and weights-at-age

144 w_a in kg were derived from Equation (3):

$$145 \quad w_{a_t} = a \cdot l_{a_t}^b \quad (3)$$

146 where a and b are the allometric coefficients assumed constant over time and extracted
147 from Froese and Pauly (2014).

148 **Consumption rate calculation** Saithe consumption rate r , at age a and time t , was
149 calculated as a function of saithe weight-at-age w_a following Equation (4):

$$150 \quad r_{a_t} = c_q \cdot w_{a_t}^{d_q} \quad (4)$$

151 where c and d are the quarterly-dependent (q) allometric coefficients, estimated during
152 model calibration or hindcast (see Section 2.2) using historical consumption rates and
153 historical mean weight-at-ages.

154 2.2 Hindcast

155 In order to fit the model to historical data and to estimate the parameters needed for
156 multispecies stock assessment, a hindcast was conducted based on the last model key-
157 run that was conducted over the period 1974-2013 (ICES, 2014a). Two changes were,
158 however, brought about this model. First, the Ricker stock-recruitment relation used for
159 saithe was replaced by a segmented regression (hockey stick) relation (ICES, 2013d) and
160 was calibrated on a shortened time-series (1986-2013) to exclude the historically high
161 recruitment values observed in the 1970's during the gadoid outburst (Cushing, 1984).
162 Second, the described bottom-up effects on saithe mean weight-at-age and consumption
163 rates were included.

164 2.3 Forecast

165 Forecasts were carried out over a period of 51 years (2014-2065) to simulate the effects
166 of bottom-up processes in multispecies stock assessment, particularly for a top-predator
167 such as saithe, and also to evaluate the effects of hake emergence on the Norway pout
168 and saithe stocks.

169 2.3.1 Fishery context

170 Three F-based fishery contexts were considered to conduct the simulations in order to
171 reduce fishery effects on saithe and Norway pout biomass before any further investigation.

- 172 • *Status-quo* fishery context (FSQ): fishing mortality (F) of all species assessed within
173 the model were based on F estimated at the last year of the hindcast (F_{sq}).

- 174 • Sustainable fishery context (*FST*): all species were fished at sustainable levels pref-
 175 erentially based on presently used recovery/management plan targets, F_{plan} , or when
 176 not available, based on either single-species F_{MSY} , or the precautionary approach
 177 F level, F_{pa} (ICES, 2014b).
- 178 • Alternative sustainable fishery context (*FSTx*): all species were fished at sustain-
 179 able levels (as defined above) except for Norway pout which was based on last year
 180 hindcast (F_{sq}).

181 All fishing mortality values are shown in Table 3.

182 2.3.2 Species interactions scenarios

183 Each of the three fishery contexts described in Section 2.3.1 were combined with four
 184 species interactions scenarios, focusing on the hake-Norway pout-saithe trio.

185 A baseline scenario involving saithe constant weight-at-ages and constant hake abun-
 186 dance over the whole period of forecast (*BAS*) was first investigated as basis of comparison
 187 with the three alternative scenarios integrating the newly implemented bottom-up pro-
 188 cesses between saithe and Norway pout. In the baseline scenarios (*BAS*, hake abundance
 189 was estimated as the average of the last three years of the hindcast (2011, 2012 and 2013).
 190 Saithe stock outputs resulting from *BAS* scenario were equivalent to outputs resulting
 191 from single-species assessment because of the absence of species interactions impacting
 192 saithe stock in the model: neither predation mortality (as saithe is an exclusive predator,
 193 Table 1), nor bottom-up processes were included in the model.

194 The three alternative scenarios, exploring hake predation pressure on Norway pout
 195 and its indirect effects on the saithe stock, were investigated through the implementation
 196 of bottom-up processes in the model as described in Equations (1) to (3). Including
 197 bottom-up precesses results in saithe stock outputs, such as biomass and consumption
 198 rates, being dependent on the level of hake abundance used in forecast (see below and
 199 Figure 1).

- 200 • *CST*, hake abundance was constant over the whole period of forecast and was
 201 estimated as for *BAS* scenario.
- 202 • *MOD*, hake abundance increase was moderate: 5% per year during 11 years starting
 203 in 2014 (based on the 2011-2013 averaged abundance) and reaching a plateau from
 204 2025 onwards.
- 205 • *HIG*, hake abundance increase was high: 10% per year during 11 years starting in
 206 2014 (based on the 2011-2013 averaged abundance) and reaching a plateau from
 207 2025 onwards.

208 A summary of the four species interactions scenarios is presented in Table 3.

209 2.3.3 Saithe yield optimization

210 Finally, we tested the sensitivity of saithe F_{MSY} (currently assessed within single-species
211 model) to multispecies interactions, including bottom-up control. To that purpose, we
212 simulated North Sea saithe yield for each of the four scenarios (*BAS*, *CST*, *MOD*, *HIG*),
213 considering the *status-quo* fishery context (*FSQ*). Only Norway pout and saithe fishing
214 mortalities varied. Norway pout F took values of either F_{sq} or F_{pa} (Table 3). Saithe
215 fishing mortality F ranged from 0 to 1, with an increment of 0.1. Saithe yield was
216 optimized for the short-term by considering the average saithe yield over the first five
217 years of forecast (2014-2018), and then for the long-term by considering the yield in the
218 final forecast year (2065). These optimisations led to the estimation of F_{MSTY} (Maximum
219 Short-Term Yield) and F_{MSY} , respectively.

220 3 Results

221 3.1 Baseline scenario and fishery context

222 Considering the baseline scenario (*BAS*), the comparison of different fishery context sug-
223 gested to consider in subsequent analyses the alternative sustainable fishery context sce-
224 nario, so to limit the effects of fishing on saithe (*Pollachius virens*) and Norway pout
225 (*Trisopterus esmarkii*) biomass.

226 In the *status-quo* fishery context (*FSQ*), the baseline scenario (*BAS*) forecast, pre-
227 sented in the Supplementary material, showed that adult Norway pout (age 1 to age
228 3) were mainly predated by saithe, which contributed to about half of total predation
229 mortality ($M2$); while hake (*Merluccius merluccius*), cod (*Gadus morhua*) and whiting
230 (*Merlangius merlangus*) contributed to the other half (Figure S1). In contrast, young
231 Norway pout (age 0) were mainly predated by other predatory fishes (about half of total
232 $M2$). Norway pout and saithe biomass trends (Figure S2) were opposite with a decrease of
233 Norway pout Total Stock Biomass (TSB) concurrent with the increase of saithe Spawning
234 Stock Biomass (SSB) and the associated $M2$ increase (Figure S1).

235 The results obtained when combining the *BAS* scenario and the *FSQ* fishery context
236 were used as a basis for further comparisons of the three fishery contexts. Saithe SSB
237 (Figure 2a) increased following the reduction of saithe F in the sustainable fishery con-
238 text (*FST*) and in the alternative sustainable fishery context (*FSTx*). However, Norway
239 pout biomass (Figure 2b) was severely impacted by the strong increase of fishing mor-
240 tality in *FST* compared to *FSQ* ($\times 10$, see Table 3). The alternative sustainable fishery
241 context (*FSTx*), where Norway pout F is at *status-quo* level, was more sustainable with
242 an increase of Norway pout biomass compared to the two other fishery contexts (*FSQ*
243 and *FST*). For these reasons, the alternative sustainable fishery context was selected to
244 simulate the effects of the various hake abundance scenarios under considerations.

245 **3.2 Interactions scenarios**

246 In order to understand the differences resulting from the inclusion of bottom-up processes
247 between saithe and Norway pout, at a constant hake abundance, we first compared the
248 status of Norway pout and saithe stocks as derived from the baseline (*BAS*) and the
249 constant (*CST*) scenarios. Then, the effects of increased hake abundance on both Norway
250 pout and saithe stocks were investigated by comparing the outputs of scenarios *CST*,
251 *MOD*, and *HIG*. As explained in Section 3.1, all hake abundance scenarios were simulated
252 within alternative sustainable fishery context (*FSTx*).

253 **3.2.1 Inclusion of bottom-up processes between saithe and Norway pout**

254 The inclusion of bottom-up processes between saithe and Norway pout had negative ef-
255 fects on both saithe and Norway pout biomass, even when hake abundance remained
256 constant (*CST*). Norway pout and saithe biomass were reduced by around 10% (Fig-
257 ure 3a) and 17% (Figure 3b), respectively, compared to the baseline scenario where no
258 bottom-up processes were included (*BAS*).

259 Age 1 Norway pout predation mortalities ($M2$) in the baseline scenario (Figure 4) were
260 similarly distributed to the ones derived from *status-quo* fishery context (Figure S1): half
261 of $M2$ due to saithe predation, while hake, cod and whiting contributed to the remaining
262 half. There was, however, a slight increase of total $M2$ exerted on Norway pout when
263 bottom-up processes were included (Figure 4), which is an indirect consequence of the
264 reduction in saithe biomass (Figure 3b). Indeed, the lower predation exerted by saithe
265 on young whiting and haddock (*Melanogrammus aeglefinus*) resulted in an increase of
266 their biomass, and hence in the increase of the predation exerted by these two species
267 on Norway pout (not shown). Accordingly, there was a slight decrease in the predation
268 pressure induced by saithe.

269 **3.2.2 Hake abundance increase**

270 In scenarios where hake abundance increased and bottom-up processes were included,
271 there were negative effects on both saithe and Norway pout forecast biomass (Figure 3).
272 These effects were generally proportional to the magnitude of hake abundance changes
273 (moderate or high).

274 When hake abundance increased moderately (*MOD*), the resulting Norway pout
275 biomass was about 30% lower compared to the scenario where hake abundance was kept
276 constant (*CST*). In the high hake abundance scenario (*HIG*), Norway pout biomass de-
277 creased swiftly to finally collapse in 2030 (Figure 3a). Concerning indirect effects, a
278 moderate increase of hake abundance (*MOD*) had only a slight negative impact on saithe
279 biomass compared to the *CST* scenario (around 1%, Figure 3b). However, in the *HIG*
280 scenario, saithe biomass decreased relatively swiftly to finally reach a stable level, around

281 5% lower compared to the *CST* scenario.

282 Changes in hake abundance induced changes of Norway pout $M2$ allocation (Figure 4).
283 In the *MOD* scenario, hake became almost as important as saithe and as cod, whiting
284 and haddock combined. In the *HIG* scenario, hake became the major predator of Norway
285 pout, followed by saithe while the predation exerted by other species became insignificant
286 ($< 5\%$). In addition, the high level of hake abundance in *HIG* had a severe impact on
287 Norway pout predation mortality with estimated Norway pout $M2$ reaching extreme
288 values ($M2 > 7.5$) after 15 years. These extreme $M2$ values explain the decrease and
289 subsequent collapse of Norway pout biomass in 2030.

290 3.3 Saithe yield

291 Saithe F_{MSY} estimated from single-species stock assessments (0.3) was not altered when
292 derived from multispecies stock assessments, even with bottom-up processes being built
293 in the model. However, the inclusion of bottom-up processes narrowed the plateau around
294 the maximum long-term yield versus fishing mortality relationship, and highlighted the
295 importance of Norway pout fishing mortality level.

296 The relationship between short-term saithe yield and saithe fishing mortality (F)
297 was similar across the different species interactions scenarios investigated (Figure 5a).
298 Saithe Maximum Short-Term Yield (MSTY) was reached at around $F_{MSTY} = 0.5$ for all
299 scenarios. Only absolute yield estimates differed depending on whether or not bottom-up
300 processes were included, while Norway pout fishing mortality and hake abundance had
301 limited effects. The inclusion of the bottom-up processes between saithe and Norway
302 pout led to lower saithe yield estimates, compared to the baseline scenario (*BAS*) for the
303 same saithe F .

304 The inclusion of bottom-up processes between saithe and Norway pout affected long-
305 term saithe yield, while hake abundance level had barely any effect (Figure 5b). When
306 no bottom-up processes were included, a large plateau was found around the maximum
307 long-term saithe yield versus F relationship, from $F = 0.2$ to $F = 0.6$, a range where
308 F_{sq} , F_{MSY} , and F_{MSTY} were all included. Exploiting saithe within that F range, which
309 includes the values of F_{sq} , F_{MSY} , and F_{MSTY} , would then lead to a long-term yield close
310 to Maximum Sustainable Yield (MSY). However, when bottom-up processes were in-
311 cluded, the plateau including F_{MSY} narrowed (from $F = 0.2$ to $F = 0.4$). Consequently,
312 when saithe fishing mortality was set to F_{MSTY} and bottom-up processes were not taken
313 into account, long-term saithe yields were barely changed compared to F_{sq} , while they
314 became very low when bottom-up processes were included. Overall, long-term saithe
315 yields were maximised in all scenarios when F was set to current single-species target:
316 $F_{MSY_{multispecies}} \simeq F_{MSY_{single-species}} \simeq 0.3$. Finally, the inclusion of bottom-up processes high-
317 lighted differences depending on the levels of Norway pout fishing mortality. Indeed, the

318 general decrease of absolute saithe yield resulting from the inclusion of bottom-up pro-
319 cesses was even more dramatic when Norway pout fishing mortality was set to $F_{pa} = 0.6$
320 instead of $F_{sq} = 0.06$.

321 4 Discussion

322 4.1 Species interactions in top-predator assessment

323 In this study, we conducted a comprehensive multispecies assessment of North Sea saithe
324 (*Pollachius virens*) stock, including bottom-up processes relating saithe weight-at-age
325 to Norway pout (*Trisopterus esmarkii*) abundance. It revealed in particular the ad-
326 verse effects, on the precision of top-predator assessments, of neglecting such bottom-up
327 processes, particularly in a context of competition for a common prey with another top-
328 predator. This study thus highlighted that an increase of hake (*Merluccius merluccius*)
329 abundance in the North Sea would have a strong impact on Norway pout biomass through
330 predation, resulting in indirect negative effects on saithe stock through competitive in-
331 teractions.

332 4.1.1 Importance of prey availability for top-predator assessment

333 In the absence of bottom-up control linking saithe growth and Norway pout abundance,
334 saithe Spawning Stock Biomass (SSB) increased in the first years of the forecast period,
335 as a result of initial saithe recruitments being set above recent average in the baseline
336 forecast. These relatively high values used in the forecast stem from the three peaks
337 observed in the saithe recruitment hindcast period (1986, 1995 and 2001), from which
338 they are calculated.

339 The negative effect of Norway pout biomass reduction on saithe SSB, when the cor-
340 relation between saithe weight-at-age and Norway pout biomass was taken into account,
341 bears out the results of Lynam *et al.* (2015), who found a direct correlation between the
342 SSB of these two species using Generalized Additive Models (GAMs). There are numer-
343 ous examples of such bottom-up relationships between preys and predators all along the
344 marine food web, *e.g.* Atlantic mackerel (*Scomber scombrus*) dependency on copepods
345 (Ringuette *et al.*, 2002), North Sea demersal fishes dependency on sandeel (*Ammodytes*
346 *sp.*) (Engelhard *et al.*, 2013, 2014), and bottlenose dolphins sensitivity to resource deple-
347 tion in the Bay of Biscay (Lassalle *et al.*, 2012).

348 The importance of taking into account prey availability for predator assessment was
349 confirmed by the differences in saithe yield prediction depending on, whether or not,
350 bottom-up processes were built in the assessment. Indeed, when saithe growth was related
351 to Norway pout availability, saithe long-term yield predictions were reduced by around
352 25% when saithe was exploited at *status-quo* (F_{sq}), compared to the scenario with no

353 bottom-up processes.

354 The lower estimations of saithe long-term yield, when taking into account bottom-up
355 processes, are consistent with recent assessment results (ICES, 2013d). Therefore, taking
356 into account Norway pout availability in saithe assessment may lead to more realistic
357 yield predictions that could inform management (Rice, 2011). For instance, Buchheister
358 *et al.* (2015) showed that an increase in prey availability may have a positive impact on
359 the Northeast U.S. Atlantic coast flounder stock and suggest that taking into account
360 these bottom-up processes may support the management of that depleted stock. Several
361 studies suggested similar ideas concerning management of forage fish predators worldwide
362 (Pikitch *et al.*, 2014; Essington *et al.*, 2015) including the North Sea (Engelhard *et al.*,
363 2014).

364 In addition, an increase of saithe F to F_{MSTY} (Maximum Short-Term Yield) would
365 only increase slightly short-term yields, but at the expense of a dramatic decrease of
366 long-term yields. This pattern was only revealed when bottom-up processes were built in
367 the model, which confirms the importance of taking prey availability into account when
368 studying long-term yields of fish predators like saithe (Rice, 2011; Plaganyi, 2013).

369 Finally, the inclusion of bottom-up processes in multispecies models, through prey
370 availability, is necessary to study indirect competitive interactions effects, which may
371 disturb the functioning of marine ecosystems, particularly when combined with fishing
372 (Jennings and Kaiser, 1998; Hollowed *et al.*, 2000).

373 4.1.2 Increased competitive interactions revealed

374 Despite the dramatic increase of hake abundance in the last 12 years (Baudron and
375 Fernandes, 2014; Cormon *et al.*, 2014; ICES, 2014a), its abundance was still around four
376 times lower than saithe abundance in the North Sea at the beginning of the forecast
377 period. This explains the higher Norway pout predation mortality $M2$ induced by saithe
378 when hake abundance was constant. However, an increase of hake abundance led to
379 an increase of the predation mortality $M2$ exerted by this predator. In the high hake
380 abundance scenario, hake became the major predator of Norway pout leading directly
381 to its collapse and indirectly to a decrease of saithe biomass (when bottom-up processes
382 were built in the assessment).

383 The collapse of the Norway pout stock could result from the Holling functional feeding
384 response assumed in the model. Indeed, the Holling type II function did not allow Norway
385 pout predators to switch prey at low Norway pout abundance which compromised Norway
386 pout stock recovery. Another functional response, *e.g.* Holling type III feeding response,
387 could have been considered (Kempf *et al.*, 2008), although that would likely only have
388 delayed, and not prevented, the collapse of the Norway pout stock (Floeter *et al.*, 2005).
389 In the Scotian Shelf, Carruthers *et al.* (2005) showed that saithe persistently preyed upon

390 euphausiids even at low euphausiids abundance, instead of switching to other preys, which
391 resulted in a loss of saithe body condition. These results provide some support to the
392 Holling type II assumption. In that case, the absence of prey shift could be explained
393 by the high energetic value of euphausiids (Mauchline and Fisher, 1969).

394 Being a highly piscivorous predator, hake may impact other forage fish preys con-
395 tributing to its diet such as herring (*Clupeus harengus*) (ICES, 2014a). Herring, which is
396 a prey with high energetic value (Pedersen and Hislop, 2001), is also consumed by saithe.
397 The variation of saithe growth as a function of prey availability was here reduced to a
398 dependency on Norway pout abundance, based on Cormon *et al.* (2016). The potential
399 bottom-up processes between saithe and other preys, such as herring, need to be further
400 investigated to be integrated, when evidenced, in future multispecies assessments. In
401 addition, saithe is not the only predator sharing prey with hake in the North Sea. For in-
402 stance, Norway pout and herring are also consumed by cod (*Gadus morhua*) and whiting
403 (*Merlangius merlangus*) (Engelhard *et al.*, 2014; ICES, 2014a). Therefore, hake might
404 have an impact on these other demersal species, particularly if their spatial overlap is im-
405 portant. These questions need to be investigated in order to broaden the understanding
406 of the potential impact of hake on the North Sea ecosystem.

407 Finally, the uncertainty around the logistic growth parameters *i.e.* l_∞ and a_i , may
408 also bias our results (Payne *et al.*, 2015). For instance, current estimates of a_i are proba-
409 bly too high to realistically reflect actual changes in life-stage and/or maturation. Lower
410 a_i values would have resulted in a slower growth, thereby impacting saithe weight-at-age
411 and biomass more substantially. In addition, the absence of bottom-up processes between
412 hake and Norway pout and the fact that hake biomass is not assessed but forced into the
413 model suggest that our results should be interpreted with caution. The lack of informa-
414 tion available about hake stock identity and dynamics in the North Sea is an important
415 issue, which needs to be addressed to explicitly assess hake within the model. For in-
416 stance, the question of the existence of one or two hake stocks needs to be investigated.
417 Baudron and Fernandes (2014) assumed that the recent increase of hake abundance in the
418 North Sea is exclusively due to density dependent effects in West Scotland, consistently
419 with the current definition of the Northern hake stock (ICES, 2013a). However, hake
420 is a batch spawner (Murua, 2010) and, when present around Shetland Islands during
421 spawning, its larvae might drift, along with saithe larvae, towards Norwegian coast and
422 Skagerrak (Munk *et al.*, 1999). These processes would lead to different dynamics than
423 those currently assumed and would need to be further investigated to better inform the
424 management of hake in the North Sea.

4.2 Multispecies advice

When bottom-up processes of Norway pout on saithe were not included, the overestimation of saithe biomass (around 17%) had no marked effect on the estimation of F_{MSY} . Saithe *status-quo* fishing mortality ($F_{\text{sq}} = 0.31$) was very close to single-species and multispecies Maximum Sustainable Yield (MSY) and recovery plan fishing mortalities ($F_{\text{MSY}} = F_{\text{plan}} = 0.3$), which confirms that the exploitation of saithe in the North Sea is probably not subject to overfishing and also the robustness of F_{MSY} estimates to changes in growth, even if these changes lead to different absolute yields (Collie and Gislason, 2001).

The suitability of Norway pout single-species management measures in a multispecies context is more questionable. Indeed, single-species precautionary approach fishing mortality ($F_{\text{pa}} = 0.6$) was 10 times higher than *status-quo* fishing mortality ($F_{\text{sq}} = 0.06$). When applied in a multispecies context, F_{pa} would lead to stock collapse (even with no increase of natural mortality). Even if F_{pa} should be an upper limit reference point in an escapement strategy and not a permanent target, the sensitivity of Norway pout stock to an increase of mortality (F and/or $M2$) should be taken into account in the next management decisions concerning this key forage fish species. In addition, Norway pout recruitment, which is to a large extent determined by environmental factors during egg and larval phase, is an important driver of its stock dynamics (ICES, 2013c). The forecast are consequently largely dependent upon assumptions made concerning Norway pout recruitment. These uncertainties reinforce the fact that single- and multispecies advices are not comparable because of the different assumptions used in the different methods, *e.g.* shape of the stock-recruitment relationships and natural mortality settings.

Potential environmental disturbances were not taken into account in this study. In a context of global warming (GIEC, 2014), an increase of temperature in the North Sea might have different consequences on the ecosystem, *e.g.* changes in predator-prey spatial overlap (Perry *et al.*, 2005; Jones *et al.*, 2013), or change in size- or age-at-maturation (Thorsen *et al.*, 2010; Baudron *et al.*, 2011), which may influence our results. Such environmental changes could be more explicitly built in our model. As an example, a more accurate estimation of hake abundance in the future years, *e.g.* by downscaling climate scenarios, could allow inferring hake distribution in the area as a function of temperature and reduce our scenarios uncertainties (Payne *et al.*, 2015). In addition, a reduction of prey availability might have consequences for predator spawning success and recruitment (Jakobsen *et al.*, 2009). Köster *et al.* (2009) showed that environmentally sensitive stock recruitment of Eastern Baltic cod might lead to unsuitable biological reference point estimations where not taken into account. As the latter processes were not included in the model this study might have underestimated the negative impacts of reduced Norway pout availability on saithe biomass. Thus, the resulting effects of

463 saithe and hake competitive interactions may have been underestimated, which may have
464 adversely affected the estimation of multispecies saithe F_{MSY} .

465 5 Conclusion

466 This study revealed the importance of taking into account bottom-up processes, in addi-
467 tion to more usual top-down processes, to assess the status of predators in a multispecies
468 context. To our best knowledge, it is the first time that both processes are combined
469 in a multispecies stock assessment model parameterised for the North Sea. We focused
470 here on North Sea saithe, for which predator dependency on prey as well as interspecific
471 competition were accounted for and we showed some potential negative effects of hake
472 emergence in the area on both Norway pout and saithe biomass.

473 North Sea saithe was a good case to study competitive interactions with hake due
474 to the absence of spatial overlap between adult and juvenile individuals ICES (2013b)
475 which allowed to disentangle top-down and bottom-up effects. However, interspecific
476 competitive processes need to be investigated for other North Sea species. For instance,
477 cod might become another "victim" of hake emergence in the area, should it also compete
478 for preys with hake. In the Northwest Atlantic and in the Barents Sea, cod was found
479 to depend on capelin (*Mallotus villosus*) abundance (Krohn *et al.*, 1997; Gjøsæter *et al.*,
480 2009). In the North Sea, Norway pout and/or herring may affect cod stock as these preys
481 contribute importantly to its diet (Engelhard *et al.*, 2014).

482 In this study, the competition between hake and saithe was investigated through their
483 preying on Norway pout. Actually, competition may also occur for other preys (particu-
484 larly if the Norway pout stock collapses), such as herring, blue whiting (*Micromesistius*
485 *poutassou*) or euphausiids. Herring and euphausiids may be key forage species in the
486 North Sea due to their high energetic content (Mauchline and Fisher, 1969; Pedersen and
487 Hislop, 2001). Combined with fishing and potential environmental disturbances (Beau-
488 grand, 2004), an increased predation mortality exerted by hake on these key species might
489 inflate some of the adverse effects of hake emergence on the North Sea ecosystem. For
490 instance, herring density-dependency was assumed to have implications for the manage-
491 ment of harbour porpoises and mackerel in the eastern North Sea, Skagerrak and Kattegat
492 (Sveegaard *et al.*, 2012). In the Baltic Sea, herring was found sensitive to competition
493 with sprat (*Sprattus sprattus*) on zooplankton (Casini *et al.*, 2006) while in the North
494 Sea it was assumed to compete with sprat and anchovy (*Engraulis encrasicolus*) (Raab
495 *et al.*, 2012). Concerning euphausiids, the dependency of predators, such as saithe, was
496 shown in the Scotian Sea (Carruthers *et al.*, 2005; Plaganyi, 2013). Ultimately, this work
497 could be extended to other species if sufficient data were available. Indeed, understanding
498 distribution and dynamics of hake, as well as of key forage species such as herring and
499 euphausiids, would help the precision of multispecies stock assessment and thereby of

500 the science basis supporting the management of the heavily exploited North Sea marine
501 ecosystem.

502 Finally, the negative impact of hake on saithe biomass through a reduction of Norway
503 pout availability bears out the competition hypothesis (Link and Auster, 2013), suggested
504 between the two species by Cormon *et al.* (2014) and might explain partially the most
505 recent reduction observed in saithe biomass and weights-at-age.

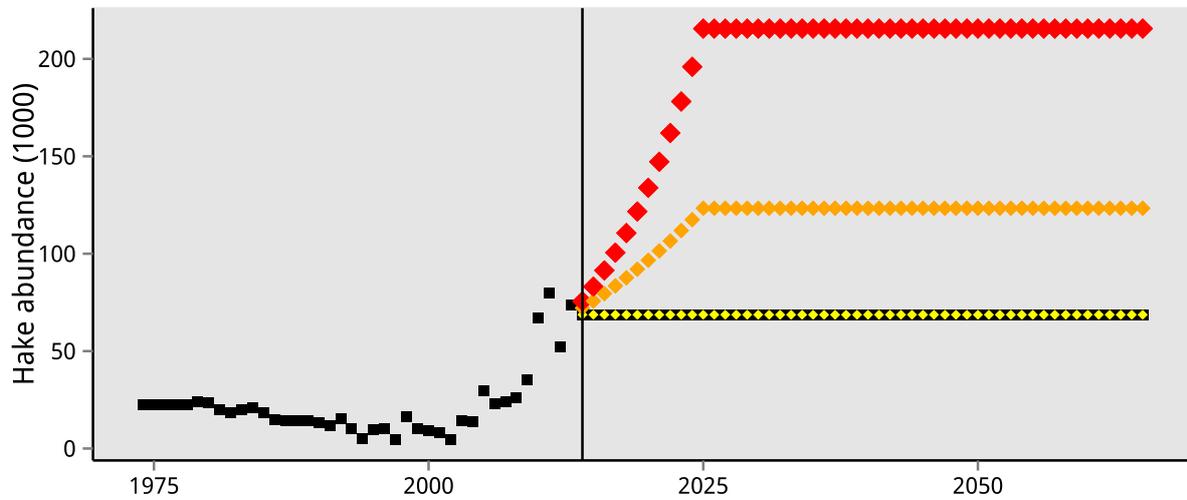
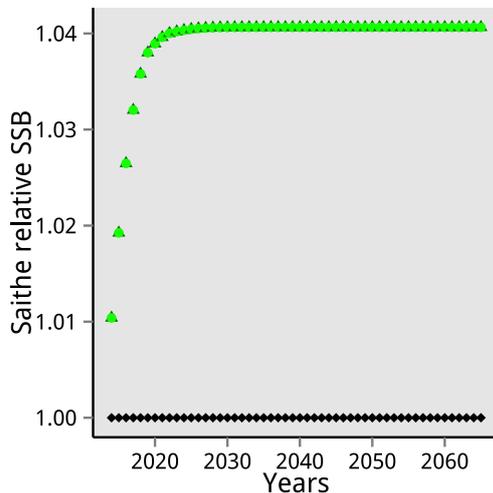


Figure 1: Hake abundance in number of individuals over time depending on the four different scenarios investigated. Black square: baseline scenario where hake abundance is constant and no bottom-up processes are included (*BAS*). Small yellow diamond: constant hake abundance (*CST*). Orange diamond: moderate hake abundance (*MOD*). Large red diamond: high hake abundance (*HIG*).

(a) Saithe



(b) Norway pout

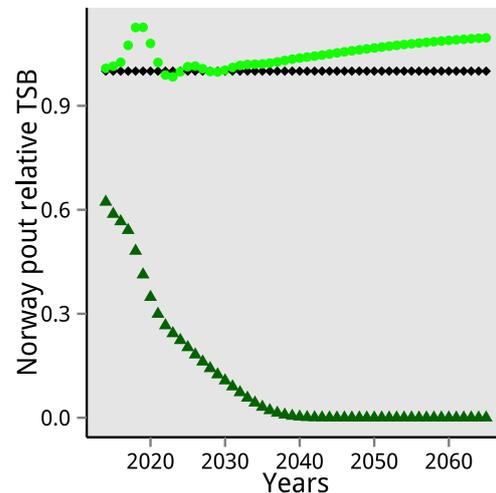
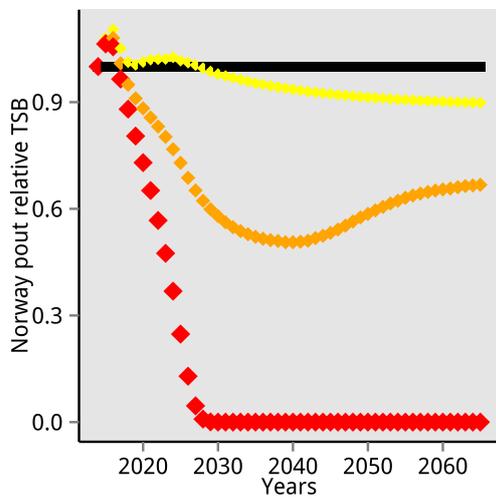


Figure 2: Difference between saithe and Norway pout relative biomass depending on three fishery contexts while hake is assumed constant and no bottom-up processes between saithe and Norway pout are included (*BAS*). (a) Saithe Spawning Stock Biomass (SSB) estimates comparison. (b) Norway pout Total Stock Biomass (TSB) estimates comparison. Black diamond: *status-quo* fishery context (*FSQ*). Olive triangle: sustainable fishery context (*FST*). Green circle: alternative sustainable fishery context (*FSTx*).

(a) Norway pout



(b) Saithe

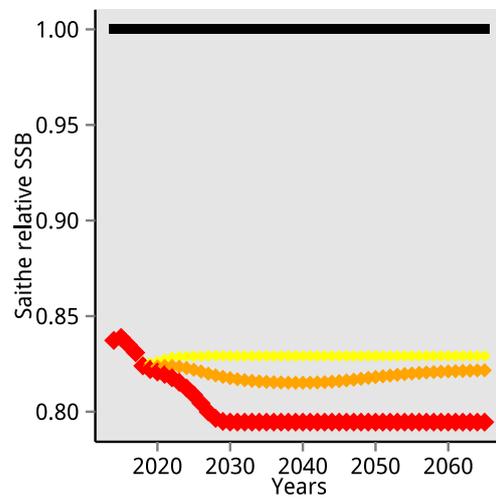


Figure 3: Differences between Norway pout and saithe relative biomass estimated for each of the species interaction scenarios. (a) Norway pout Total Stock Biomass (TSB) and (b) saithe Spawning Stock Biomass (SSB). Black square: baseline scenario used for comparisons where hake abundance is constant and no bottom-up processes are included (*BAS*). Small yellow diamond: constant hake abundance and bottom-up processes (*CST*). Orange diamond: moderate hake abundance and bottom-up processes (*MOD*). Large red diamond: high hake abundance and bottom-up processes (*HIG*).

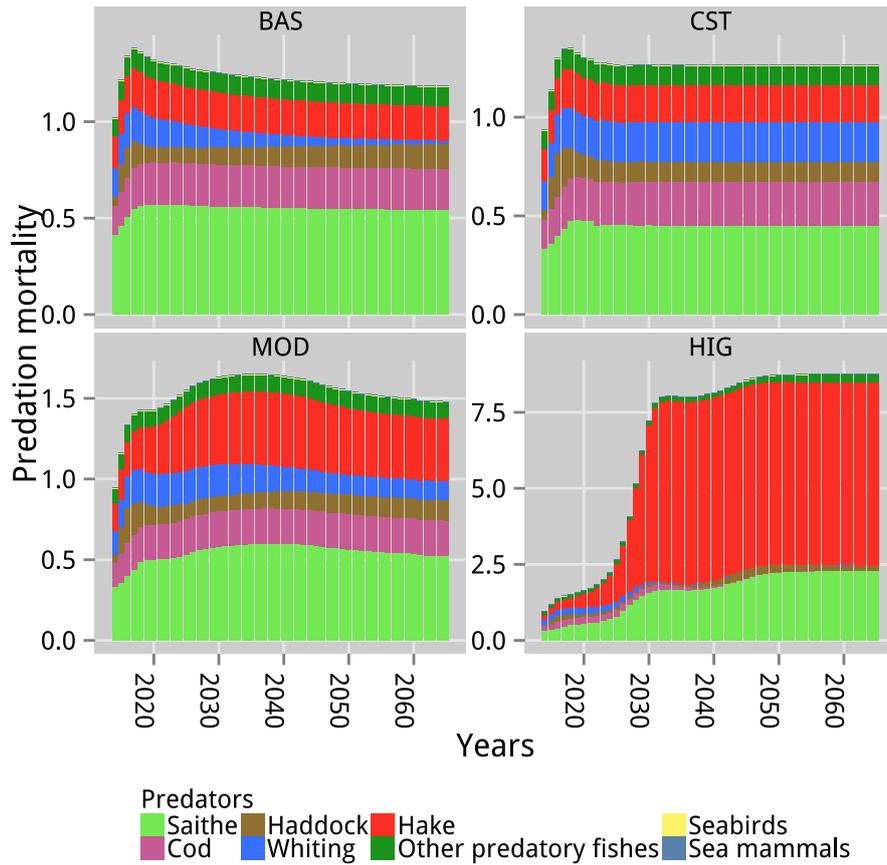
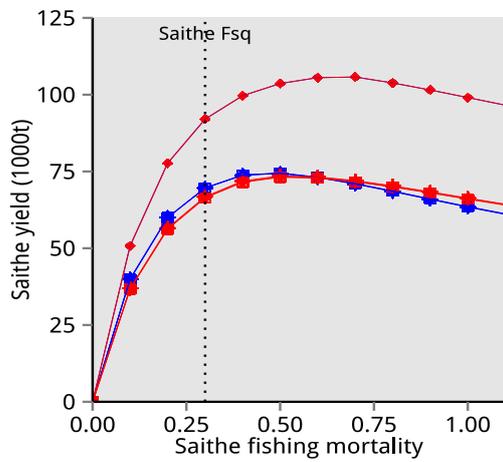


Figure 4: Age 1 Norway pout predation mortalities for each of the species interaction scenarios. BAS: baseline scenario used for comparisons with constant hake abundance and no bottom-up processes included. CST: constant hake abundance and bottom-up processes. MOD: moderate hake abundance and bottom-up processes. HIG: high hake abundance and bottom-up processes.

(a) Short-term yield



(b) Long-term yield

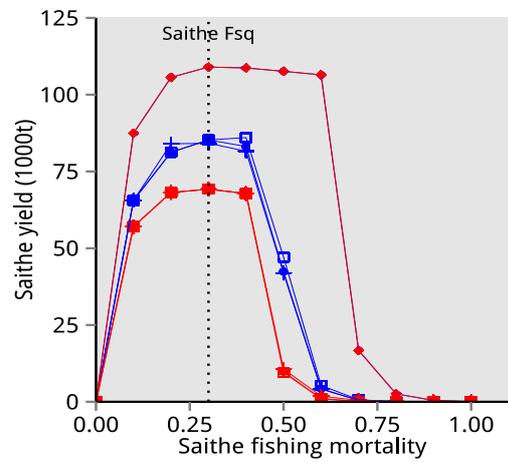


Figure 5: Saithe yield as a function of saithe fishing mortality depending on the species interaction scenarios and Norway pout fishing mortality. (a) Short-term yield estimated by averaging yield from 2014 to 2018 included. (b) Long-term yield estimated at final year of the forecast period value (2065). Blue: Norway pout status-quo fishing mortality (F_{sq}). Red: Norway pout precautionary approach fishing mortality (F_{pa}). Diamond: baseline scenario where hake abundance is constant and no bottom-up processes are included (*BAS*). Plus: constant hake abundance and bottom-up processes (*CST*). Dot: moderate hake abundance and bottom-up processes (*MOD*). Square: high hake abundance and bottom-up processes (*HIG*).

Table 1: Species included in the Stochastic MultiSpecies model.

Assessed species
Predator only
Saithe (<i>Pollachius virens</i>)
Predator and prey
Cod (<i>Gadus morhua</i>)
Haddock (<i>Melanogrammus aeglefinus</i>)
Whiting (<i>Merlangius merlangus</i>)
Prey only
Norway pout (<i>Trisopterus esmarkii</i>)
Herring (<i>Clupeus harengus</i>)
Sprat (<i>Sprattus sprattus</i>)
Sandeel (<i>Ammodytes sp.</i>)
No predator-prey interaction
Common sole (<i>Solea solea</i>)
Plaice (<i>Pleuronectes platessa</i>)
"Other" predators (biomass assumed known)
Fish
Hake (<i>Merluccius merluccius</i>)
Grey gurnard (<i>Eutrigla gurnardus</i>)
Horse mackerel (<i>Trachurus trachurus</i>)
Mackerel (<i>Scomber scombrus</i>)
Starry ray (<i>Raja radiata</i>)
Seabird
Fulmar (<i>Fulmarus sp.</i>)
Guillemot (<i>Uria aalge.</i>)
Herring gull (<i>Larus argentatus</i>)
Kittiwake (<i>Rissa sp.</i>)
Great-black-backed gull (<i>Larus marinus</i>)
Gannet (<i>Morus sp.</i>)
Puffin (<i>Fratercula sp.</i>)
Razorbill (<i>Alca torda</i>)
Sea mammal
Grey seals (<i>Halichoerus grypus</i>)
Harbour porpoise (<i>Phocoena phocoena</i>)

Table 2: Parameters used for the implementation of bottom-up process between saithe and Norway pout. q: quarter.

	Value	Equation
Growth		
K_{LG}		1,2
μ	0.17	2
β_1	1.01×10^{-7}	
a_i	5.9	1
l_∞	131	1
Length-weight relationship		
a	2.8322×10^{-8}	3
b	2.7374	
Consumption rates		
c_q		
$q = 1$	0.4528	
$q = 2$	1.3127	
$q = 3$	0.6991	
$q = 4$	0.8230	4
d_q		
$q = 1$	1.0334	
$q = 2$	1.0160	
$q = 3$	1.0153	
$q = 4$	1.0123	

Table 3: Synthesis of the different scenarios explored (Section 2.3). Average fishing mortalities (y^{-1}) are computed for ages indicated in square brackets. BAS: baseline scenario. CST: constant hake abundance scenario. MOD: moderate hake abundance scenario. HIG: high hake abundance scenario. Dash: constant parameter. Tilde: varying parameter. Non-constant hake abundance indicates an increase rate ($\%.y^{-1}$) over the time period (y) indicated between brackets.

Fishery context scenario						
		Status-quo fishery (FSQ)	Sustainable fishery ($FST, FSTx$)			
Fishing mortality		F	F_{plan}	F_{MSY}	F_{pa}	F
Cod	[2-4]	0.26		0.33		
Whiting	[2-6]	0.17	0.15			
Haddock	[2-6]	0.16		0.37		
Saithe	[3-6]	0.31	0.30			
Herring	[2-6]	0.26	0.25			
Sandeel	[1-2]	0.30				0.30
Norway pout	[1-2]	0.06			0.60	
Sprat	[1-2]	0.30		0.70		
Species interactions scenario						
		BAS	CST	MOD	HIG	
Saithe weight-at-age		–	~	~	~	
Hake abundance		–	–	+5% (11)	+10% (11)	

506 **Supplementary material**

507 Supplementary materials presenting hindcast and status-quo fishery context forecast re-
 508 sults are available at the *ICES Journal of Marine Science* online version of the paper.

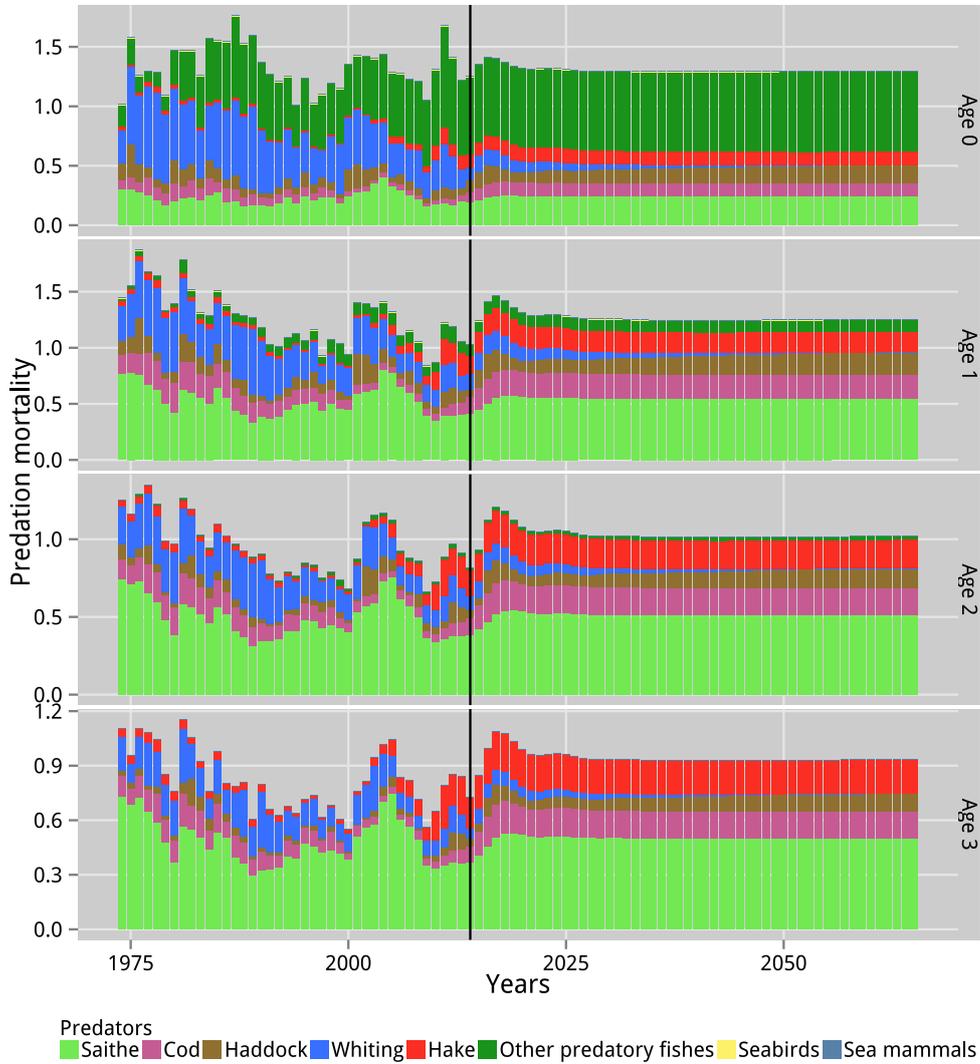
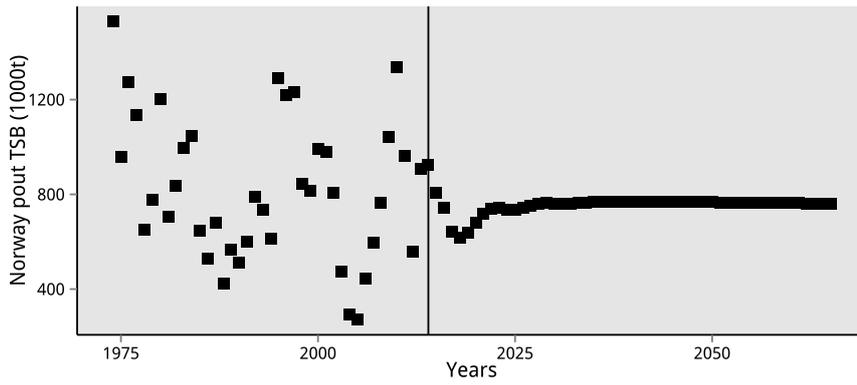
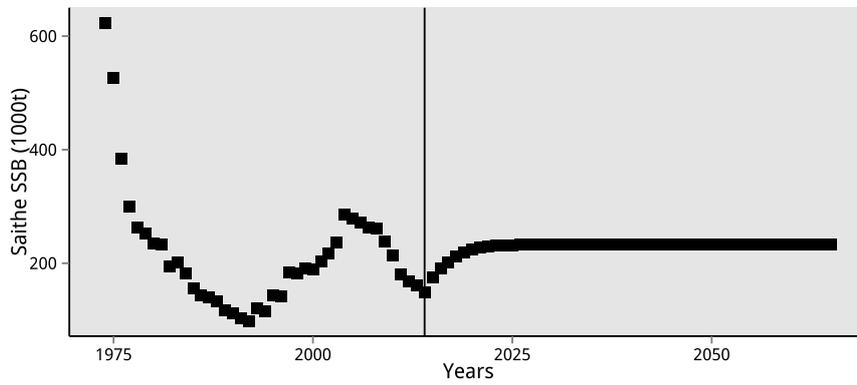


Figure S1: Norway pout predation mortalities from age 0 to age 3 over time for the hind-cast and the baseline scenario forecast within *status-quo* fishery context (*FSQ*) context (1974-2065). Vertical black line indicates first year of forecast (2014).

(a) Norway pout biomass



(b) Saithe biomass



(c) Saithe recruitment

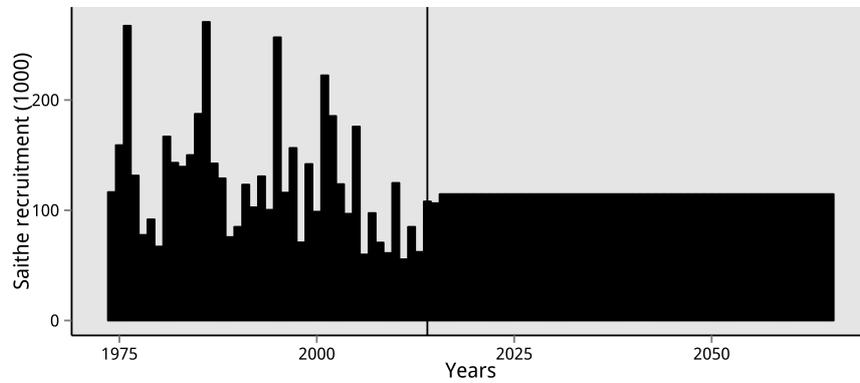


Figure S2: Biological stock parameters of saithe and Norway pout over time for the hind-cast and the baseline scenario forecast within *status-quo* fishery context (*FSQ*) context (1974-2065). (a)Norway pout Total Stock Biomass (TSB). (b)Saithe Spawning Stock Biomass (SSB). (c)Saithe recruitment (age = 3). Vertical black line indicates first year of forecast (2014).

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