
Intraseasonal variability of mixed layer depth in the tropical Indian Ocean

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Abstract :

In this paper, we use an observational dataset built from Argo in situ profiles to describe the main large-scale patterns of intraseasonal mixed layer depth (MLD) variations in the Indian Ocean. An eddy permitting (0.25A degrees) regional ocean model that generally agrees well with those observed estimates is then used to investigate the mechanisms that drive MLD intraseasonal variations and to assess their potential impact on the related SST response. During summer, intraseasonal MLD variations in the Bay of Bengal and eastern equatorial Indian Ocean primarily respond to active/break convective phases of the summer monsoon. In the southern Arabian Sea, summer MLD variations are largely driven by seemingly-independent intraseasonal fluctuations of the Findlater jet intensity. During winter, the Madden-Julian Oscillation drives most of the intraseasonal MLD variability in the eastern equatorial Indian Ocean. Large winter MLD signals in northern Arabian Sea can, on the other hand, be related to advection of continental temperature anomalies from the northern end of the basin. In all the aforementioned regions, peak-to-peak MLD variations usually reach 10 m, but can exceed 20 m for the largest events. Buoyancy flux and wind stirring contribute to intraseasonal MLD fluctuations in roughly equal proportions, except for the Northern Arabian Sea in winter, where buoyancy fluxes dominate. A simple slab ocean analysis finally suggests that the impact of these MLD fluctuations on intraseasonal sea surface temperature variability is probably rather weak, because of the compensating effects of thermal capacity and sunlight penetration: a thin mixed-layer is more efficiently warmed at the surface by heat fluxes but loses more solar flux through its lower base.

1. Introduction

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The mixed-layer, i.e., the quasi-homogeneous upper ocean layer with a fairly uniform density profile, is critical to the ocean variability as it acts as the interface between the atmosphere and ocean interior. This layer is also an essential parameter for air-sea interactions: a shallow Mixed Layer Depth (MLD) exhibits a reduced thermal capacity and can hence promote large sea surface temperature (SST) anomalies (e.g. Shinoda and Hendon, 1998). This is particularly critical in the Indian Ocean (IO), 40% of which is covered by waters warmer than 28.5°C. At those high surface temperatures, small SST perturbations can induce strong variations of the deep atmospheric convection (Gadgil et al. 1984) with both local and remote consequences on the atmospheric circulation (see review by Schott et al. 2009). In addition to air-sea interaction, MLD also affects the primary productivity and the timing of phytoplankton blooms through controlling the availability of nutrients and light, as well as the dilution of grazers (Sverdrup, 1953; Behrenfeld and Michael 2010). Because of those impacts, it is important to describe MLD variability in the IO and the main processes that control it. While the MLD seasonal variability has been abundantly described, as detailed below, there are fewer studies that investigate its variability at other timescales, and in particular at the omnipresent intraseasonal timescale in the IO (e.g. Goswami, 2005; Zhang, 2005). The objective of this study is thus to describe intraseasonal MLD variability in the IO, as well as the associated climate modes and driving processes, using a combination of observations and model experiments.

Numerous studies have already investigated the patterns and mechanisms of seasonal MLD variations in the IO (Rao et al. 1989; McCreary and Kundu 1989; Rao and Sivakumar 2003; Prasad 2004; de Boyer Montegut et al. 2007; Sreenivas et al. 2008) and discussed their biological impact (e.g. Wiggert et al. 2005; Levy et al. 2007; Kone et al. 2009). The amplitude of the seasonal MLD variations is particularly large in the Arabian Sea, reaching up to 30 m (Figure 1a). The mechanisms driving these variations differ during the southwest and northeast monsoons. The summer monsoon is characterized by a strong southwesterly Findlater jet (Findlater, 1969) along the western coast of the Arabian Sea, which markedly deepens the MLD in the southern central Arabian Sea owing to Ekman convergence. The winter monsoon is characterized by a negative heat flux at the air-sea interface that plays a dominant role in the convective deepening and cooling of the MLD (Rao et al. 1989; Prasanna Kumar and Narvekar, 2005; de Boyer Montegut et al. 2007). As shown on Figure

81 1a, the Bay of Bengal (BoB) exhibits weaker seasonal MLD variations of ~10 m
82 (Gopalakrishna et al. 1988; Rao et al. 1989; Shenoi et al. 2002; Prasad, 2004; Babu et al.
83 2004; Narvekar and Prasanna Kumar, 2006), especially in its northern part where strong
84 salinity stratification prevents convective cooling. Finally, the southwestern tropical IO also
85 exhibits large seasonal MLD variations (~20-30 m; Figure 1a), which have been related to the
86 annual cycle of the wind, through both its stirring effect and impact on buoyancy fluxes, and
87 to thermocline depth (Foltz et al. 2010).

88 As depicted by Keerthi et al. (2013) using both an ocean model and *in-situ*
89 observations, the IO is also home to very significant MLD fluctuations at interannual
90 timescales. They showed that the MLD interannual variability is typically of ~10 m (Figure
91 1b), about two to four times smaller than the seasonal cycle (Figure 1a), except in the eastern
92 equatorial IO and along the Sumatra and Java coast. Aside from coastal and subtropical
93 regions where eddy-related small-scale structures dominate interannual MLD variations,
94 Keerthi et al. (2013) found that a large fraction of IO MLD interannual variations could be
95 related to large-scale climate modes. The Indian Ocean Dipole, a mode of interannual
96 variability intrinsic to the tropical IO and arising from a positive feedback between the ocean
97 and atmosphere (e.g. Saji et al. 1999), explains most of the MLD interannual variability close
98 to the equator. The Subtropical Indian Ocean Dipole, a large-scale mode of SST variability
99 that apparently arises from atmospheric forcing in the subtropical southern IO (Behera and
100 Yamagata 2001), largely controls large-scale winter MLD variations in the southern IO. In
101 contrast, while El Niños in the neighbouring Pacific Ocean drive SST fluctuations in the IO
102 through zonal shifts in the Walker circulation (e.g. Klein et al. 1999), MLD variations related
103 to El Niño and interannual variations of the summer monsoon appear to be rather weak in the
104 IO. Buoyancy fluxes appear to dominate interannual MLD fluctuations in most of these
105 regions, with wind stirring and Ekman pumping only playing a role in a few regions.

106 The tropical IO is also home to very clear atmospheric intraseasonal variability, which
107 arises from the interaction between atmospheric large-scale dynamics and deep atmospheric
108 convection. Fluctuations in deep atmospheric convection, rainfall, surface winds and air-sea
109 fluxes within the 30-90 days frequency band develop as the result. This variability is
110 dominated by the northward propagating active and break phases of the monsoon in summer
111 (e.g. Goswami, 2005), and by the eastward propagating Madden Julian Oscillation (MJO, e.g.
112 Zhang, 2005) in winter. Those phenomena have strong regional consequences, for instance
113 impacting agriculture (e.g. Gadgil, 2003; Ingram et al. 2002), modulating the occurrence of
114 tropical weather systems and cyclones (e.g. Webster and Hoyos, 2004; Bessafi and Wheeler,

115 2006) or influencing the IO chlorophyll variability (e.g. Resplandy et al. 2009; Jin et al.
116 2012).

117 Many studies have identified strong intraseasonal SST fluctuations in response to the
118 aforementioned atmospheric signals in the IO for both winter (Harrison and Vecchi, 2001;
119 Duvel et al 2004, Vialard et al. 2008; Vialard et al. 2013) and summer (Sengupta et al 2001;
120 Vecchi and Harrison 2002; Duvel and Vialard, 2007; Vialard et al. 2012). As an illustration,
121 an index of intraseasonal monsoon activity proposed by Goswami (2005) and detailed in
122 Section 2.4 reveals two strong intraseasonal convective perturbations from July to September
123 2000 (Figure 2a): these perturbations are associated with large SST variations of $\sim 0.8^{\circ}\text{C}$ in
124 the BoB (Figure 2b). Similarly, a strong MJO event in January-February 1999 as depicted by
125 the Wheeler and Hendon (2004) index detailed in Section 2.4 (Figure 2d) was found to force a
126 peak-to-peak intraseasonal SST perturbation of $\sim 1^{\circ}\text{C}$ south of the equator (Figure 2e;
127 Harrison and Vecchi, 2001; Duvel and Vialard, 2007). It is important to understand the
128 processes responsible for these SST variations because they appear to feed back onto the
129 atmospheric intraseasonal variability (e.g., Maloney and Sobel, 2004; Matthews, 2004; Bellon
130 et al. 2008; Bellenger and Duvel, 2009).

131 While SST intraseasonal variability has been extensively studied in the IO, there have
132 only been a handful of studies discussing MLD intraseasonal variations. Yet, those MLD
133 intraseasonal variations are far from negligible. An ocean general circulation model
134 simulation (to be described in detail in the next section) for example suggests that the
135 intraseasonal SST signals in Figure 2b, e are associated with MLD intraseasonal variations
136 ranging from 10 to 20 m in these regions (Figure 2c, f). Historically, intraseasonal MLD
137 variations have been difficult to estimate from observations due to the scarcity of *in situ* data.
138 The advent of the ARGO program considerably increased the number of available *in situ*
139 profiles over the recent decade. Compiling these data, Drushka et al. (2012, 2014) estimated a
140 MLD fluctuation of more than 15 m peak-to-peak in the eastern equatorial IO in response to
141 winter MJO forcing. In contrast to seasonal and interannual timescales, there is however to
142 date no exhaustive description and understanding of the main patterns of intraseasonal MLD
143 variations at the basin scale of the IO. Modelling results from Keerthi et al. (2013), however,
144 suggest that interannual and intraseasonal MLD variations have roughly the same magnitude
145 (around 10 m), except along the equator and northern BoB where intraseasonal fluctuations
146 are about twice as large (Figure 1b, c). Intraseasonal MLD variations along the equator are
147 even larger than their seasonal counterpart (Figure 1a, c).

148 Atmospheric heat flux forcing appears to be the dominant process driving

149 intraseasonal SST variability in the BoB in response to active/break phases of the summer
150 monsoon (Waliser et al. 2004; Bellon et al. 2008; Duncan and Han, 2009; Vialard et al. 2012)
151 and south of the equator in response to winter MJO forcing (Duvel and Vialard, 2007;
152 Jayakumar et al. 2011). Much debate however remains about the possible impact of
153 intraseasonal MLD variations on these intraseasonal SST variations. Several studies indeed
154 suggest that a slab ocean model using climatological MLD estimates can reasonably well
155 capture observed intraseasonal SST signals in the southwestern tropical IO (Jayakumar et al.
156 2011) and northwestern Australian Basin (Vialard et al 2013) in winter, as well as in the
157 northern BoB in summer (Vialard et al. 2012). This suggests that MLD intraseasonal
158 variability does not strongly contribute to SST intraseasonal variability in these regions.
159 Drushka et al. (2012) have shown that intraseasonal MLD variations in the southwestern
160 tropical IO and northwestern Australian Basin regions do not affect intraseasonal SST, but
161 that not accounting for intraseasonal MLD variations in the eastern equatorial IO could result
162 in an overestimation of intraseasonal SST signals by up to 40% there.

163 Our aim in the present paper is to investigate intraseasonal MLD fluctuations. To that
164 end, an observational dataset built from Argo data and outputs from an eddy permitting
165 (0.25°) regional ocean general circulation model will be used to describe the main large-scale
166 patterns of intraseasonal MLD variations in the IO. We will also show that these MLD
167 fluctuations are linked with well-known modes of atmospheric intraseasonal variability in
168 most regions (the MJO in winter and active/break phases of the Indian monsoon during
169 summer), except in the Arabian Sea where more local atmospheric fluctuations related to
170 intraseasonal fluctuations of the Findlater jet in summer and intraseasonal air temperature
171 perturbations in winter explain the large intraseasonal MLD variations there. Our modelling
172 approach will finally allow us to understand the main mechanisms responsible for these MLD
173 variations (buoyancy fluxes vs. wind stirring), and to assess their potential impact on the
174 related SST response. The paper is organized as follows. Section 2 describes the numerical
175 experiments, the observed MLD validation product, as well as the statistical methods used to
176 extract the intraseasonal signals. In Section 3, we describe the patterns of intraseasonal MLD
177 variability in the model and observations for both summer (Section 3.1) and winter (Section
178 3.2), and relate them to atmospheric variability. Model analysis and sensitivity experiments
179 are further used to discuss the respective control of wind-driven mixing and buoyancy fluxes
180 on MLD intraseasonal fluctuations (Section 4.1) and their impact on intraseasonal SST
181 signals (Section 4.2). The last section provides a summary and discussion of our results.

182

183 **2. Data and Methods**

184 This section describes the observational and modelling tools used in the present study.
185 Section 2.1 describes the model configuration and reference experiment along with the
186 sensitivity experiment used to disentangle the respective influence of buoyancy fluxes and
187 wind stirring on these MLD fluctuations. Section 2.2 describes the processing used to infer
188 intraseasonal MLD variations from Argo data and the datasets used to describe the associated
189 atmospheric variability. The filtering and composite analysis methods are described in
190 Sections 2.3 and 2.4.

191

192 **2. 1. Modelling tools**

193 The model configuration used here is based on the NEMO ocean general circulation
194 modelling system (Madec 2008) and is an IO sub-domain from the global 0.25° resolution
195 (i.e. cell size ~25 km) coupled ocean/sea-ice configuration described by Barnier et al. (2006).
196 The African continent closes the western boundary of the domain. The oceanic portions of the
197 eastern, northern and southern boundaries use radiative open boundaries (Treguier et al.
198 2001), constrained with a 150-day timescale relaxation to 5-day-average velocities,
199 temperature and salinity from an interannual global 0.25° simulation (Dussin et al. 2009),
200 using a similar atmospheric forcing as the regional simulation detailed below. This simulation
201 is a product of the DRAKKAR hierarchy of global configurations (Drakkar Group 2007) and
202 has been extensively validated in the tropical Indo-Pacific region (Lengaigne et al. 2012;
203 Keerthi et al. 2013; Nidheesh et al. 2013).

204 The model starts from World Ocean Atlas temperature and salinity climatologies
205 (Locarnini et al. 2010) at rest and is forced from 1990 to 2007 with the Drakkar Forcing Set
206 #4 (DFS4, Brodeau et al. 2010) which consists of a modified version of the CORE dataset
207 (Large and Yeager, 2004). In this forcing dataset, ERA40 reanalysis (Uppala et al. 2005) and
208 European Centre for Medium-Range Weather Forecasts (ECMWF) analysis after 2002 are
209 used to compute latent and sensible heat fluxes. Radiative fluxes are based on corrected
210 International Satellite Cloud Climatology Project-Flux Dataset (ISCCP-FD) surface radiations
211 (Zhang et al. 2004) and precipitation forcing consists of a blending of several products
212 proposed by Large and Yeager (2004), including two of the most widely used datasets: the
213 global precipitation climatology project (GPCP, Huffman et al. 1997) and the Climate

214 Prediction Center Merged Analysis of Precipitation (CMAP, Xie and Arkin, 1997). All
215 atmospheric fields are corrected to avoid temporal discontinuities and remove known biases
216 (see Brodeau et al. 2010 for details). This experiment successfully reproduces the observed
217 boreal summer intraseasonal SST variations along the coasts of India (Nisha et al. 2013) and
218 boreal winter intraseasonal SST variations in the thermocline ridge region and Northwest
219 Australian basin (Vialard et al. 2013). A more detailed description of the reference
220 experiment can be found in Nisha et al. (2013) and Vialard et al. (2013).

221 The MLD is controlled by air-sea fluxes of both momentum and buoyancy.
222 Momentum fluxes drive vertically sheared currents, thereby inducing upper ocean mixing and
223 modulating the MLD, while buoyancy fluxes across the air-sea interface modulate the MLD
224 through their stabilizing or destabilizing effect (e.g., Weller and Price, 1988; McWilliams et
225 al., 1997). We hence also perform a sensitivity experiment to evaluate the respective influence
226 of wind stresses and atmospheric buoyancy fluxes in forcing intraseasonal MLD signals in the
227 model. After storing the wind stress computed by the model in the reference simulation, the
228 sensitivity experiment (hereafter NOWIND) is forced by smoothed wind stress that filtered
229 out the intraseasonal component, keeping the buoyancy flux forcing identical to the reference
230 simulation. This sensitivity experiment is run over the same 1990–2007 period from the same
231 initial condition as in the reference experiment.

232

233 **2. 2. Observed datasets**

234 As in Drushka et al. (2012), observed MLD signals are derived from Argo profiles
235 downloaded from the Global Ocean Data Assimilation Experiment (GODAE) database. To
236 avoid erroneous MLD estimates, profiles with less than five measurements within the top
237 100m as well as without measurements in the top 6 m were discarded. As in Drushka et al.
238 (2012), MLD for each profile was calculated as the depth at which density exceeds density at
239 a 6 m reference depth by 0.05 kg/m^3 . Modelled MLD is calculated online using a 0.01 kg/m^3
240 criterion, lower than the one used in observations because of the absence of a proper diurnal
241 variability in the model (de Boyer Montégut et al. 2004). We also validate the model MLD
242 climatology to the climatology derived from observations by de Boyer Montégut et al. (2004,
243 dBM04).

244 Typical intraseasonal perturbations of convection, wind, air and SST associated with
245 intraseasonal MLD perturbations will be described using daily data from the National Oceanic

246 and Atmospheric Administration 2.5° resolution gridded Outgoing Longwave Radiation
247 (OLR) product (Liebmann and Smith, 1996), 10 m winds and 2 m surface air temperature
248 from ERA-Interim reanalysis data (Dee et al. 2011), windstress data from QuikSCAT
249 scatterometer produced at Centre ERS d'Archivage et de Traitement (CERSAT, Bentamy et
250 al. 2003) and optimally interpolated Tropical Rainfall Measuring Mission Microwave
251 Instrument 0.25° resolution SST data produced by Remote Sensing Systems (available at
252 www.remss.com).

253

254 **2.3. Filtering method**

255 Intraseasonal signals are isolated using 20 to 110-day filtering based on Fourier
256 transform for all datasets (except MLD Argo-based estimates; see below). Using different
257 filtering methods and different bandpass windows (e.g. 30 to 60 days and 30 to 90 days
258 windows) does not significantly affect our results. In contrast with other data sources in this
259 study, Argo data are unevenly distributed in space and time, and Fourier filtering can
260 therefore not be applied. Intraseasonal signals from Argo data are thus estimated as the
261 difference between the raw signal and a background signal representative of the seasonal and
262 interannual components. This background MLD signal is estimated from the monthly gridded
263 density dataset produced by Roemmich and Gilson (2009), which is based exclusively on
264 Argo profiles and is available from 2004 onward. MLD from this product was then low-pass
265 filtered with a 110-day cutoff and projected onto the exact time and position of each Argo
266 profile using linear interpolation to provide the expected background MLD component for
267 each profile.

268 In our eddy-permitting simulation, there is a significant amount of meso-scale MLD
269 variability associated with oceanic eddies or other small-scale features. Since we are
270 interested in large-scale MLD variations, the model MLD is filtered in space to retain only
271 large spatial scales (> 250 km). We do this by applying the iterative application of the heat
272 diffusion equation described in Weaver and Courtier (2001), which is well suited to conduct
273 spatial filtering in domains with complex boundaries, like the ocean.

274

275 **2.4. Composite analysis**

276 The sparse and irregular temporal and spatial distribution of Argo profiles does not
277 easily allow mapping MLD variations for individual intraseasonal events. We therefore

278 compute composites by averaging all measurements made at a given grid point during a given
279 phase of, for example, the MJO or monsoon active/break cycle, defined using one of the
280 indices described below. The floats provide patchy spatial coverage in some regions, so we
281 restrict our analysis to grid boxes where more than twenty Argo profiles were available for a
282 given phase. Regions where the magnitude of the composite average is smaller than the
283 standard error are masked to highlight the significant patterns of variability. Shaded areas
284 indicate a signal that is coherent across various events, and not merely noise. As shown in the
285 following, both summer and winter intraseasonal MLD variations derived from these
286 composites are of order of 10 m peak-to-peak but it must be kept in mind that individual
287 MLD events can reach amplitude of up to 30 to 40 m in all regions discussed below.

288 We use two well-known indices to define the phases of the main modes of
289 intraseasonal variability in the IO, namely the MJO in winter and the active/break phase of the
290 monsoon in summer. The temporal evolution of the MJO is based on the real-time multi-
291 variate MJO indices (RMM1 and RMM2) proposed by Wheeler and Hendon (2004). They
292 correspond to the principal components of a pair of empirical orthogonal functions of the
293 combined fields of near-equatorially averaged 850-hPa zonal wind, 200-hPa zonal wind, and
294 satellite-based outgoing longwave radiation data (see Wheeler and Hendon, 2004 for details).
295 These indices can be used to separate the MJO evolution into eight discrete phases that
296 represent the location of the active MJO as it moves eastward over the IO and through the
297 Pacific Ocean. The RMM1 evolution for the 1999 winter season is shown on Figure 2d as an
298 illustration, with the 8 phases indicated. Composites based on these eight phases (referred to
299 MJO phases in the following) will be used to describe the MLD signals associated to the MJO
300 forcing.

301 The Wheeler and Hendon (2004) index, however, fails to capture the northward
302 propagation of the monsoon intraseasonal oscillation in boreal summer (Kikuchi et al. 2012).
303 We hence also use a simple index of monsoon active and break phases proposed by Goswami
304 (2005; hereafter Monsoon index) and constructed as the difference between BoB (70°E–95°E,
305 10°N–20°N) and equatorial IO (70°E–95°E, 5°S–5°N) intraseasonal-filtered outgoing
306 longwave radiation (a proxy for atmospheric convection). As illustrated on Figure 2a for the
307 2000 summer monsoon, we divide this index into six discrete phases that depict the northward
308 propagation of the intraseasonal monsoon spells. Positive and negative parts of the index are
309 each divided into 3 phases of equal duration. As we will show in the following, Phase 2
310 corresponds to the index maximum and captures the monsoon break phase, while Phases 3

311 and 4 correspond to the transition phase from a break to an active phase. Similarly, the index
312 minimum of Phase 5 captures the monsoon active phase, with Phases 6 and 1 corresponding
313 to the subsequent transition to the break phase.

314

315 **3. MLD intraseasonal variability in the IO and its mechanisms**

316 **3.1. Summer intraseasonal MLD variations**

317 We will first provide a general overview of the seasonal and intraseasonal MLD
318 variations. We will show that intraseasonal MLD variations in the BoB and eastern equatorial
319 Indian Ocean (EEIO) are related to active/break convective phases of summer monsoon while
320 those in the southern Arabian Sea (SAS) are largely driven by seemingly independent
321 intraseasonal fluctuations of the intensity of the Findlater jet.

322

323 **3.1.1. General overview**

324 The observed June to September (JJAS) climatological MLD and wind stress in the
325 Tropical IO are shown on Figure 3a. The northern IO, BoB and Arabian Sea exhibit
326 contrasted MLD patterns. The mixed layer is deeper in the Arabian Sea (up to 50 m), because
327 of the intense Findlater jet that causes both mixing and downwelling to the east of the jet axis
328 (de Boyer Montegut et al. 2007). In contrast, the northern BoB displays a shallower MLD in
329 response to the stabilizing effect of the intense freshwater flux received by this basin during
330 the summer monsoon (Shenoi et al. 2002). South of the equator, the MLD is largely driven by
331 the intensity of climatological winds, with a deeper MLD south of 10°S where easterlies are
332 strongest. The model reproduces these large-scale MLD structures reasonably well (Figure
333 3b). However, the model simulates a shallower MLD than the one inferred from the
334 observational dataset in the coastal regions, which is likely to arise because a lack of Argo
335 profiles in these regions leads to uncertainties in the MLD estimates (Nisha et al. 2013). The
336 model MLD is also shallower along the equator, which cannot be explained by observational
337 coverage but is probably related to a deficiency in either atmospheric forcing or the vertical
338 mixing scheme.

339 The strongest summer intraseasonal MLD fluctuations are found in the EEIO, where
340 typical MLD variations exceed 15 m (Figure 3c). Variations of the order of 10 m are also
341 evident in the BoB, SAS and south of 10°S. In the BoB and EEIO, these intraseasonal MLD
342 variations occur over regions of relatively shallow climatological MLD (20-40 m) and could

343 therefore influence the mixed layer heat budget at intraseasonal timescales. The MLD
344 variability depicted on Figure 3c can be either the result of large-scale intraseasonal
345 atmospheric forcing or the intraseasonal signature of oceanic meso-scale variations. Contours
346 on Figure 3c show the standard deviation of the model intraseasonal MLD variations after
347 applying a 250-km low-pass filter (discussed in section 2.3). This analysis illustrates that
348 intraseasonal MLD variability in the southwestern Arabian Sea is largely an intraseasonal
349 signature of small-scale variations, most likely related to energetic meso-scale eddies
350 occurring in the Somalia and Oman upwellings (Brandt et al. 2003), with larger-scale
351 variations occurring further east. We will thus focus on the three regions framed on Figure 3c
352 (the boxes' boundaries are provided in Table 1), where large-scale MLD maxima are found:
353 the BoB, the EEIO and the SAS.

354 During summer, monsoon active/break phases are the most prominent mode of
355 intraseasonal atmospheric variability. Figure 4a, d maps the percentage of variance of large-
356 scale intraseasonal modelled MLD and OLR explained by the Monsoon index: this figure
357 illustrates to which extent this mode drives the MLD fluctuations on Figure 3c and where this
358 mode is related to large atmospheric convective perturbations at intraseasonal timescales. As
359 expected, this OLR-based index explains a large fraction of atmospheric convection
360 intraseasonal variance in the EEIO and BoB boxes (Figure 4d). Figure 4a further reveals that
361 this index is also able to explain a large fraction of the MLD variance in these two regions.
362 Box-averaged intraseasonal MLD variations in the BoB (resp. EEIO) box indeed display a
363 maximum correlation with the Monsoon index of -0.74 (0.72) at 5-day lag. This illustrates
364 that the EEIO and BoB MLD vary out of phase at intraseasonal timescales, under the
365 influence of active/break phases of the summer monsoon as will be discussed in section 3.1.2.

366 In contrast, the Monsoon index is unable to explain the MLD variations in the SAS
367 box (Figure 4a). Joseph and Sijikumar (2004) report that intraseasonal modulation of the
368 Findlater jet induces strong low-level wind perturbations over the Arabian Sea in summer.
369 We therefore constructed an index based on averaged intraseasonal zonal wind over the SAS
370 box, referred to as the “Jet index” in the following. In contrast to the monsoon index, the Jet
371 index is able to explain a large part of the MLD variance in the SAS (Figure 4b), with a 0.8
372 correlation between intraseasonal MLD and zonal wind fluctuations averaged over this
373 region. This result illustrates that summer SAS intraseasonal MLD variations are largely
374 driven by intraseasonal wind fluctuations associated with the Findlater jet. The Jet index is
375 not strongly related with the Monsoon index (maximum lag correlation of 0.3), suggesting

376 that intraseasonal Findlater jet fluctuations in the Arabian Sea are quite independent from
377 convective perturbations. The Jet index indeed only explains up to 30% of OLR variance
378 along the western coast of India (Figure 4e), and ~15-25% in the BoB where strongest
379 convective perturbations are found in summer. Collectively, the Monsoon and Jet indices
380 explain a large part of MLD variations (50-70%) in the 3 regions of strongest variability
381 (SAS, BoB and EEIO, Figure 4c, f). In the following, we will use the Monsoon index (i.e.
382 active/break monsoon phase) to describe intraseasonal MLD fluctuations in the BoB and
383 EEIO regions and the Jet index (i.e. enhanced / reduced Findlater Jet) for MLD fluctuations in
384 the SAS region.

385

386 3.1.2. MLD response to active/break phases of the monsoon

387 Figure 5 displays the composite patterns of intraseasonal OLR and wind along with
388 modelled and observed intraseasonal MLD for the Monsoon index phases 1 to 4. The wind
389 and convection patterns (Figure 5a-d) are typical of the evolution from a break to an active
390 phase of the summer monsoon (e.g. Goswami 2005). Phase 1 characterizes the onset of a
391 break phase with weakly suppressed convection over the Indian subcontinent and weakly
392 enhanced convection in the equatorial region. Phase 2 is typical of the peak of the break
393 phase, with increased convection (with typical OLR signals of $-20\text{W}\cdot\text{m}^{-2}$) south of the equator
394 while a tilted band of suppressed convection occupies the northern IO (Figure 5b). The
395 associated wind stress anomaly displayed as contours on Figure 5f indeed shows a decreased
396 monsoonal wind flow across the SAS and BoB, and increased eastward flow at the equator, as
397 a consequence of the monsoon jet deflection around the southern tip of India. The bands of
398 excess and suppressed convection progress northward during the transition between Phases 3
399 and 4 (Figure 5c-d), with enhanced convection over the southern part of the BoB and southern
400 India during Phase 4 (Figure 5d). Phases 5 and 6 are almost exactly the opposite of Phases 2
401 and 3 and are therefore not shown: they characterize a monsoon active phase with an
402 increased monsoon flow and deep atmospheric convection across the Indian subcontinent and
403 northern part of the BoB.

404 The model MLD response to those monsoon active/break phases is shown on the
405 middle panels of Figure 5, with largest and out-of-phase MLD variations in the EEIO and
406 BoB regions. The main patterns of MLD changes generally agree well with wind stress
407 intensity changes (contours on the middle panels of Figure 5). MLD anomalies are largest
408 during Phase 3 (and Phase 6, not shown). During Phase 3, increased westerly winds and

409 convection in the EEIO result in a MLD deepening of up to 10m while reduced monsoonal
410 south-westerly winds and convection in the BoB act to shoal the MLD by up to 7 m there. In
411 contrast with convective signals, MLD anomalies do not exhibit any clear northward
412 propagation from BoB to EEIO but rather appear as a standing oscillation. In contrast, the
413 Arabian Sea exhibits a weak MLD shoaling signal (up to 2 m) that appears to propagate
414 northward from its southern (Phase 1; Figure 5e) to its northern boundary (Phase 4; Figure
415 5h).

416 These modelled MLD composites generally agree well with the observed estimates
417 from Argo both in terms of structure and amplitude, except for Phase 2 (Figure 5, lower
418 panels), for which observations do not exhibit the significant signal in the BoB that is seen in
419 the model. Figure 6a, b provides more quantitative comparison of the box-averaged
420 composites in regions of largest MLD variations. Consistent with Figure 5, MLD anomalies
421 are out of phase between the EEIO and BoB boxes, with maximum deepening during Phase 3
422 in the BoB and Phase 6 in the EEIO. Peak-to-peak amplitude of composite MLD signals
423 derived from Argo data reaches 8 m for the BoB and EEIO regions. In both regions, the
424 model MLD evolution closely matches the observed one, despite a slight amplitude
425 overestimation in the EEIO region. It must however be noted that the amplitude of individual
426 events can largely exceed those derived from the composite analysis: for example, peak-to-
427 peak MLD variations during summer 2000 reached 30 m (20 m) in the EEIO (BoB) (Figure
428 2).

429

430 **3.1.3. MLD response to intraseasonal Findlater jet variations**

431 As discussed above, summer MLD intraseasonal variability also exhibits a clear
432 maximum in the SAS region (Figure 3), associated with intraseasonal modulation of the
433 Findlater jet over the Arabian Sea. Composite patterns of OLR, wind and MLD intraseasonal
434 anomalies associated with the Findlater Jet index are displayed on Figure 7. The upper panels
435 on Figure 7 illustrate the onset (Phase 1; Figure 7a), mature (Phase 2; Figure 7b), decay
436 (Phase 3; Figure 7c) and termination phases (Phase 4; Figure 7d) of intraseasonal pulses of
437 this jet, which are evident in the composite wind field over the SAS. As expected from the
438 weak maximum lag-correlation between Findlater Jet and Monsoon indices (0.3),
439 intraseasonal fluctuations of the Findlater jet are only related to modest convective
440 perturbation over the BoB (up to 6 W.m^{-2} to be compared with the 20 W.m^{-2} perturbations
441 related to monsoon active/break phases). Largest convective perturbations (up to 12 W.m^{-2})

442 are found southwest of India during the mature phase of the intensification of this jet (Phase
443 2; Figure 7b). As already noted by previous authors (e.g. Murtugudde et al. 2007), there are
444 large Ekman pumping signals of opposite phases on both sides of the jet, associated with
445 fluctuations in the jet intensity (most clearly during phases 2-3, see Figure 7fg). We will
446 discuss the role of those Ekman pumping perturbations on the mixed layer depth in section 4.

447 Composite patterns of modelled MLD anomalies related to these phases are provided
448 on Figure 7e-h. Strongest MLD fluctuations occur during phases 2 and 3 in the SAS region,
449 when the jet is most intense (Figure 7b, c), with MLD deepening of up to 7 m associated with
450 increased winds (Figure 7f, g). MLD signals are weak during the transition phases 1 and 4
451 (Figure 7e and 7h). The spatial pattern and amplitude of this modelled MLD composite is in
452 broad agreement with the one derived from the observation (Figure 7i-l), where the deepest
453 MLD signals also occur in the SAS region during phases 2 and 3 (Figure 7j, k). Figure 6c
454 provides a more quantitative comparison of the modelled and observed MLD variations in
455 this region. The peak-to-peak amplitude of composite MLD signals derived from Argo data
456 (green line) reaches 12 m. The modelled MLD phase and amplitude reasonably matches the
457 observed one, despite a slight tendency for the model to lead the observed signal.

458

459 3.2. Winter intraseasonal MLD variations

460 We will now describe winter intraseasonal MLD variations, and show they mostly
461 occur in the southeastern equatorial Indian Ocean (where they are primarily driven by the
462 Madden Julian Oscillation) and in the northern Arabian Sea (in response to advection of
463 continental air temperature anomalies).

464

465 3.2.1. General overview

466 In boreal winter, the Eurasian continent cools and a high-pressure region develops on
467 the Tibetan plateau with resulting north/northeasterly winds over the Arabian Sea (Smith and
468 Madhupratap, 2005; DileepKumar, 2006; Figure 8a, vectors). Though the winds are not as
469 strong as during summer (Figure 3a), they are cold and dry, leading to strong evaporative
470 cooling (Dickey et al. 1998). This buoyancy forcing at the air-sea interface leads to
471 convective mixing and ocean mixed layer deepening in the northern Arabian Sea (Lee et al.
472 2000; de Boyer Montegut et al. 2007). The MLD remains shallow along the equator and
473 southern IO, due to relatively weak winter winds there. Figure 8a, b shows the model is able

474 to capture these observed seasonal MLD patterns, despite a slight overestimation in deep
475 MLD regions and underestimation in shallow MLD regions.

476 Strongest winter intraseasonal MLD variations are found in the southeastern
477 Equatorial IO (SEEIO) and in the northern Arabian Sea (NAS) regions, where the typical
478 MLD amplitude exceeds 10 m (Figure 8c). MLD fluctuations of about 8m also occur in the
479 BoB. Contours on Figure 8c display the large-scale model MLD variations and illustrate that
480 most of the signal in NAS and SEEIO regions is large-scale, while it is largely mesoscale in
481 the BoB, consistent with previous observational results (Drushka et al., 2014). We will
482 therefore focus our analysis on the NAS and SEEIO regions framed on Figure 8c (see boxes
483 details in Table 1).

484 The most prominent mode of atmospheric winter intraseasonal variability is the MJO.
485 Figure 9a, d maps the variance percentage of large-scale modelled intraseasonal MLD and
486 OLR fluctuations that can be explained by the MJO index. This index explains 30 to 60% of
487 OLR variations in the central and eastern IO and 20 to 30% of intraseasonal MLD variations
488 south of the equator and in the SEEIO box. SEEIO box-averaged intraseasonal MLD has a
489 maximum correlation of 0.5 at lag 0 with the Wheeler and Hendon (2004) MJO index.
490 However, the MJO index explains a weaker percentage of variance than the monsoon index in
491 summer for the BoB and EEIO boxes. A more local wind index (average intraseasonal zonal
492 wind over the SEEIO box) enhances the correlation with MLD variations in the SEEIO region
493 from 0.5 to 0.75. This local index results in similar MLD patterns to those obtained with the
494 Wheeler and Hendon (2004) MJO index and we therefore decided to illustrate the MLD
495 variations in the EEIO box using this widely used Wheeler and Hendon (2004) MJO index.

496 The MJO index is unable to explain the strong MLD fluctuations in the NAS region
497 (Figure 9a). At seasonal timescales, strong evaporative cooling associated with cooler and
498 drier air drives the MLD deepening in the northern Arabian Sea (Prasanna Kumar and
499 Narvekar 2005). Hypothesizing that this mechanism also operates at intraseasonal timescales,
500 we constructed an index based on intraseasonal air temperature fluctuations averaged over the
501 NAS box (NAS index), which represents intraseasonal fluctuations of the outbreaks of cold
502 air over the northern Arabian Sea. This index explains a large part of MLD variance in the
503 NAS region (Figure 9b), with a 0.8 correlation between average intraseasonal MLD and air
504 temperature fluctuations over the NAS box. This illustrates that, as for seasonal timescales,
505 intraseasonal winter MLD fluctuations in the northern Arabian Sea are driven by intraseasonal

506 air temperature fluctuations. The NAS index is uncorrelated with atmospheric convection
507 anywhere in the IO and is only weakly correlated with the MJO indices (maximum lag-
508 correlation of 0.2), indicating that those air temperature fluctuations are not related to
509 intraseasonal atmospheric convective variations. Drivers of the intraseasonal NAS air
510 temperature variations are discussed below.

511

512 **3.2.2. MLD response to the MJO**

513 Figure 10 (top panel) shows the winter MJO typical OLR and wind evolution for
514 suppressed convection over the Indian Ocean. The MJO is associated with eastward
515 propagation of suppressed convective signals in the equatorial IO, with positive OLR and
516 easterly wind anomalies propagating from the western part (Phase 1, Figure 10a) to the
517 eastern part of the IO (Phase 4, Figure 10d). Suppressed MJO conditions are strongest in
518 phases 3 and 4 in the eastern Indian Ocean slightly south of the equator, with positive OLR
519 anomalies of $\sim 15 \text{ W.m}^{-2}$. Maximum wind anomalies are found south of the equator, where
520 climatological winds are westerly (Figure 8b). Westerly wind anomalies during Phase 1
521 therefore correspond to an intensification of these climatological westerly winds while
522 easterly wind anomalies during Phase 3 and 4 correspond to a reduction of the climatological
523 westerlies (contours on Figure 10e-f). Phases 5 to 8 are almost exactly the opposite of Phases
524 1 to 4 and are therefore not shown: they characterize an MJO active phase over the IO with an
525 increased deep atmospheric convection and westerly anomalies south of the equator.

526 The model MLD response to MJO forcing is largest in the SEEIO region, where MLD
527 shoals during phases 3 and 4 (colors on Figure 10e-f) in response to reduced climatological
528 westerlies (contours on Figure 10e-f) and suppressed convection (Figure 10a-b). Model MLD
529 patterns (Figure 10e-h) generally agree with Argo observations (Figure 10i-l), with a
530 maximum (minimum) MLD in the SEEIO box during Phase 1 (Phase 4). Figure 11a provides
531 a quantitative comparison of modelled and observed MLD intraseasonal variations in this
532 region. The peak-to-peak amplitude of composite MLD signals derived from Argo data (green
533 line) reaches 5 m. The model MLD generally agrees with the observed MLD within the
534 uncertainties, with a slight tendency for the model to lag the observed signal.

535

536 **3.2.3. MLD response in the NAS**

537 We saw earlier that winter intraseasonal MLD variations in the NAS region are
538 strongly related to local air temperature fluctuations. During winter, snowfall and weaker

539 solar radiation cools the Asian continent and continental high pressure builds up. This results
540 in northerly winds advecting dry and cold air masses from the continent towards the equator
541 over the IO (de Laat and Lelieveld 2002, Figure 12a). Figure 12b shows a latitude-time
542 section of lag-regressed air temperature over the Arabian Sea and continent to the north of it
543 to air temperature in the NAS box. This figure reveals that air-temperature fluctuations over
544 the NAS region are related to large intraseasonal air temperature fluctuations over northwest
545 India and south Pakistan and propagate southward at ~ 5 degrees latitude per day. Mean winds
546 over the continent are relatively weak (Figure 12a). Figure 13 further shows composite maps
547 of temperature and wind anomalies associated with intraseasonal variations of surface air
548 temperature over the NAS. These clearly illustrate that Phases 2-3 correspond to anomalously
549 warm air over the continent and the NAS region and associated southerly wind anomalies.
550 Phases 5-6 (not shown) are associated with anomalies opposite in sign to those in Phases 2-3
551 and are related to anomalously cold air and northerly wind anomalies in these regions.

552 These intraseasonal cold air intrusions and related wind fluctuations over the northern
553 part of the Arabian Sea result in large-scale MLD variations. These variations are associated
554 with a positive latent heat flux anomaly (not shown) that shoals the model MLD, with the
555 strongest signals occurring during Phase 2 and 3 (Figure 13f-g). The model MLD signal is
556 consistent with the one derived from observations for Phase 3 (Figure 13k, g) but the
557 insufficient number of observed Argo profiles prevents a proper validation of the signal
558 during Phase 2. Time evolution of the MLD signal in the NAS box agrees well between
559 model and observations, although the model displays a somewhat larger amplitude (Figure
560 11b).

561

562

563 **4. Related mechanisms and SST impact**

564 In this section, we will explore the mechanisms driving intraseasonal tropical Indian
565 Ocean MLD variations (Section 4.1) and discuss their potential impact on intraseasonal SST
566 variations (Section 4.2).

567

568 **4.1. Mechanisms driving intraseasonal MLD fluctuations**

569 Our objective in this subsection is to better quantify the processes that control
570 intraseasonal MLD fluctuations in the regions of largest variability in summer (BoB, EEIO

571 and SAS) and winter (SEEIO and NAS). The influence of buoyancy fluxes and wind stirring
 572 influences can be respectively estimated by calculating surface buoyancy fluxes and the cube
 573 of the friction velocity, which are roughly proportional to the amount of energy transferred
 574 from the atmosphere to the mixed layer (Niiler and Kraus, 1977). We will use these two
 575 parameters to qualitatively infer their respective contribution onto the modelled MLD
 576 variations. The net surface buoyancy flux B_o is computed as follows:

$$577 \quad B_o = \frac{\alpha Q_{net}}{C_p} + \beta(P - E)S_o \quad (1)$$

578 where the first and second terms on the right hand side are respectively the buoyancy fluxes
 579 due to heat and fresh water fluxes. α and β the coefficients of thermal and haline expansion,
 580 Q_{net} is the net heat flux at the air-sea interface, C_p the specific heat capacity of seawater, $P-E$
 581 the net surface fresh water flux and S_o the surface salinity (Gill 1982). The friction velocity u^*
 582 is calculated as:

$$583 \quad u^* = \sqrt{\frac{\tau}{\rho}} \quad (2)$$

584 where τ is the surface wind stress and ρ the density of seawater. Previous studies (e.g.
 585 Murtugudde et al. 2007) indicate that a third mechanism can control MLD in the Arabian Sea
 586 in summer. Strong Ekman pumping variations on the southern flank of the Findlater jet (see
 587 Figure 7fg and 14c) can indeed also influence the mixed layer depth by making the
 588 thermocline shallower or deeper, and hence stabilizing or destabilizing the ocean column near
 589 the bottom of the mixed layer. We verified that significant intraseasonal Ekman pumping
 590 variations only occur in the SAS box (i.e. the only box close to the Findlater jet in summer)
 591 and will hence only show Ekman pumping variations for that region (Figure 14c).

592 Figure 14f-j demonstrates that MLD deepening is associated with both reduced
 593 buoyancy fluxes and increased frictional velocity in all regions, except in the NAS where
 594 friction velocity variations are negligible. These two forcing mechanisms therefore combine
 595 to produce intraseasonal MLD fluctuations in most regions. MLD deepening (resp. shoaling)
 596 for all regions except NAS are indeed associated with a wind intensification (resp. reduction)
 597 (see contours on middle panels of Figures 5, 7 and 10 and Figure 14, upper panels), which
 598 both increases (resp. reduces) the frictional velocity and reduces (resp. increases) the
 599 buoyancy fluxes through a modulation of the amplitude of the evaporative cooling (Figure 14,

600 middle panels). MLD deepening (resp. shoaling) for all regions except NAS are also
601 associated with an OLR reduction (resp. increase) (see colors on top panels of Figures 5, 7
602 and 10 and Figure 14, upper panels), which contribute to reduce (resp. increase) the buoyancy
603 fluxes through a modulation of the amplitude of incoming shortwave flux (Figure 14, middle
604 panels). In the NAS region, there is no strong wind variation (see contours on middle panel of
605 Figure 13 and Figure 14e), and hence no wind stirring, but the changes in air temperature
606 drive evaporation and hence buoyancy changes. The non-solar heat flux component
607 (dominated by latent heat flux variations; not shown) significantly contributes to buoyancy
608 flux fluctuations in all regions (Figure 14a-e). Latent heat fluxes dominate buoyancy fluxes
609 fluctuations in the Arabian Sea for winter (NAS box, Figure 14j), due to the modest deep
610 atmospheric convection and surface solar heat flux perturbations associated with MLD
611 variations there (see Figure 9e and Figure 14e). In contrast, summer MLD fluctuations in the
612 BoB and EEIO regions and winter MLD fluctuations in the SEEIO are related to phenomena
613 (MJO and monsoon active/breaks phases) that involve a clear modulation of atmospheric
614 convection (Figure 14a, b, d) and related surface solar flux. As a result, solar heat flux also
615 contributes to buoyancy flux in these regions (Figure 14f, g, and i). Decreased atmospheric
616 convection is generally associated with reduced winds (Figure 14a-e), explaining the in-phase
617 relationship of solar and non-solar heat fluxes contribution to buoyancy fluxes. In the SAS
618 region, the intensification of the Findlater jet is associated with a negative wind stress curl,
619 i.e. wind-driven downwelling (Fig14c) that slightly lags the maximum MLD deepening.
620 These wind stress curl variations probably contribute to the MLD deepening in the SAS
621 region, in addition to the wind stirring and buoyancy effects. This is confirmed by the spatial
622 pattern of the deepening (Figure 7fg) that is collocated with the maximum Ekman pumping
623 rather than with the largest wind stress anomalies.

624 It is difficult to quantify the respective influences of buoyancy fluxes and wind stirring
625 on MLD fluctuations from the above analysis, as these two terms strongly co-vary (see
626 correlations between buoyancy fluxes and frictional velocity on Figure 14f-j). We therefore
627 use the NOWIND sensitivity experiment described in section 2.1, forced by intraseasonal-
628 filtered wind stress and identical buoyancy flux forcing to the reference simulation.
629 Comparing REF (green line) and NOWIND experiment (dashed green line) on Figure 14k-o
630 therefore allows to quantitatively assessing the respective role of buoyancy fluxes and wind
631 stirring (plus Ekman pumping in the SAS box) on intraseasonal MLD variations. The only
632 region where buoyancy fluxes almost entirely control (~90%) intraseasonal MLD fluctuations

633 is the NAS box in winter. In the other regions (BoB, EEIO and SAS in summer and SEEIO in
 634 winter), the buoyancy fluxes and the wind stirring have a similar contribution, with the
 635 contribution from buoyancy fluxes ranging from 45% in the SAS box in summer to 65% in
 636 the SEEIO box in winter. In these four boxes, MLD fluctuations are associated with similar
 637 amplitude buoyancy fluxes signals but frictional velocity fluctuations are comparatively
 638 weaker in the equatorial regions (EEIO and SEEIO). This suggests that in these regions, a
 639 relatively small wind stress perturbation produces a MLD response that is quite similar to
 640 other regions, due to the increased responsiveness of currents (and hence shear and
 641 turbulence) to wind in the equatorial waveguide. Finally, it should be noted that for the SAS
 642 region (where the largest ~55% effect of wind stress intraseasonal variations is found), this
 643 effect probably results from a combination of wind stirring and Ekman pumping during
 644 Findlater jet intraseasonal fluctuations.

645

646 **4.2. Impact of intraseasonal MLD fluctuations on SST**

647 As shown on the bottom panels of Figure 14, the maximum SST warming generally
 648 lags the maximum MLD shoaling by ~5 days. This suggests that MLD variations could
 649 influence the SST variations although this influence would be larger if the SST and MLD
 650 were in quadrature. Several previous studies (e.g. Jayakumar et al. 2011; Vialard et al. 2012,
 651 2013) have demonstrated the ability of a simple slab ocean model (i.e. fixed MLD) to
 652 reproduce intraseasonal SST fluctuations in the IO. We hence assess the impact of
 653 intraseasonal MLD variations on intraseasonal SST fluctuations using such a slab ocean
 654 described as follows:

$$655 \quad \partial_t T = \left[\frac{Q_s(1-f(-h)) + Q^*}{\rho C_p h} \right]' \quad (3)$$

656 where T is SST; Q_s the surface shortwave flux; Q^* the sum of longwave, latent and sensible
 657 fluxes; the $f(z)$ function describes the fraction of shortwave that penetrates down to the depth
 658 z following the double exponential rule corresponding to type I water in the Jerlov (1968)
 659 classification; h is the mixed-layer depth; and $'$ denotes intraseasonal filtering. We will
 660 quantify the importance of intraseasonal MLD fluctuations on SST by applying Eq. (3) for
 661 climatological (i.e. without intraseasonal variations) and time-varying MLD.

662 Figure 15a-e first allows a rough validation of the modelled intraseasonal SST signals

663 and the relevance of the slab ocean for modelling it. For all boxes, the model reproduces the
664 observed SST intraseasonal fluctuations well, despite a tendency for the model to
665 underestimate those fluctuations in the EEIO, SAS and NAS regions. The simple slab ocean
666 approach is generally in agreement with the REF experiment (Figure 15a-e), suggesting that
667 heat flux forcing dominates SST intraseasonal variations in these regions, consistent with past
668 studies (e.g. Jayakumar et al. 2011; Vialard et al. 2012, 2013). However, the slab ocean model
669 SST amplitude is larger than in REF experiment in the BoB. In this region, Nisha et al. (2013)
670 indicate that oceanic processes tend to damp SST intraseasonal fluctuations: mixed layer
671 cooling decreases the temperature vertical gradient, hence resulting in reduced cooling by
672 vertical mixing. Despite this negative feedback from oceanic processes in the BoB, heat flux
673 forcing is the first order mechanism that drives SST fluctuations in all the considered regions,
674 justifying our slab ocean approach.

675 The impact of intraseasonal MLD fluctuations is illustrated on Figure 15f-j by
676 comparing slab ocean model SST computed using the actual (blue) and intraseasonal filtered
677 (green) model MLD. Neglecting intraseasonal MLD fluctuations has a minor influence on
678 SST fluctuations in all regions, suggesting that MLD intraseasonal variability does not
679 significantly modulate SST intraseasonal variability (compare green and blue curves on
680 Figure 15f-j). The primary mechanism by which intraseasonal MLD variations can affect
681 intraseasonal SST variations is the modulation of the mixed layer thermal capacity (hereafter
682 “scaling effect”). However, in regions of shallow mixed layer such as the tropics, a
683 significant part of the incoming solar heat flux penetrates below the mixed layer and therefore
684 does not contribute to mixed layer heating. A small variation of the mixed layer depth can
685 change the amount of heat flux that is “lost” beneath the mixed layer quite significantly
686 (hereafter the “penetrative effect”), because of the exponential nature of shortwave
687 penetration into the ocean. Red curves on Figure 15f-j exhibit SST fluctuations derived from
688 the slab ocean model when not accounting for intraseasonal MLD variations when calculating
689 the solar penetration in (3): comparing red and blue curves on these panels allows quantifying
690 the impact of the “penetrative effect” on the amplitude of intraseasonal SST fluctuations.
691 Similarly, brown curves on Figure 15f-j exhibit SST fluctuations derived from the slab ocean
692 model when not considering intraseasonal MLD fluctuations in the denominator of Eq. (3),
693 comparing brown and blue curves on these panels allows the impact of the “scaling effect” to
694 be quantified. Not accounting for the penetrative effect results in overestimated SST
695 intraseasonal amplitude (from 20% in the BoB to 70% in the EEIO) for all regions. This is

696 because less incoming solar heat is trapped in the mixed layer when the MLD is shallower
697 than normal during the warming phase (and vice versa during the cooling phase), so the
698 penetrative effect damps the SST fluctuations. The impact of the “scaling effect” is more
699 subtle, as it depends on both the sign of the heat flux forcing and the amplitude of the mixed
700 layer depth in each phase. As noted by Shinoda and Hendon (1998) and Drushka et al. (2012),
701 negative net heat fluxes are associated with deep mixed layers (i.e., reduced cooling) and
702 positive heat fluxes with shallow mixed layers (i.e., enhanced warming), so that the scaling
703 effect nearly always induces a relative warming. Because the mixed layer is thinner during the
704 phase with positive heat fluxes, this warming effect is stronger compared to the phase with
705 negative heat fluxes. As a result of this asymmetry, the "scaling effect" results in a SST
706 anomaly with a larger mean (which is filtered out when the intraseasonal variations are
707 extracted) and a larger amplitude. The overall impact of the scaling effect is therefore to
708 amplify SST fluctuations (blue against brown curves on Fig. 15f-j). The compensation
709 between the “scaling” and “penetrative” effects at intraseasonal timescales therefore seems to
710 result in an overall weak impact of intraseasonal MLD fluctuations on intraseasonal SST
711 variations. The slab model approach above is however very simple and its limitations will be
712 discussed in section 5.

713

714

715 **5. Summary and Discussions**

716 **5.1. Summary**

717 The winter MJO and the active and break phases of the summer monsoon are the
718 dominant modes of atmospheric intraseasonal variability in the IO. To date, there was no
719 exhaustive study describing the intraseasonal MLD response to atmospheric intraseasonal
720 variability over the IO. This paper hence aims at a better description of large-scale
721 intraseasonal variability of MLD over the IO. Our study relies on the joint analysis of a
722 dataset built from 2002–2013 Argo data and an eddy permitting (0.25°) regional ocean model,
723 which reproduce observed intraseasonal MLD variations reasonably well.

724 During the summer monsoon, largest intraseasonal MLD signals are found in eastern
725 equatorial Indian Ocean, Bay of Bengal and southern Arabian Sea. Active and break phases of
726 the summer monsoon drive most of the MLD fluctuations in the eastern equatorial Indian
727 Ocean and Bay of Bengal. During the break phase, enhanced convection south of India is

728 associated with a MLD deepening in the eastern equatorial basin, while suppressed
729 convection over the Bay of Bengal results in shallow MLD there. Intraseasonal MLD
730 fluctuations in the southern Arabian Sea are relatively independent from MLD and
731 atmospheric convection variability in the two previous regions. Intraseasonal MLD variations
732 in the southern Arabian Sea are driven by fluctuations of the Findlater jet intensity.

733 During winter, strongest large-scale MLD variations occur in southeastern equatorial
734 Indian Ocean and in the northern Arabian Sea, while MLD perturbations in Bay of Bengal are
735 mostly small-scale and related to eddy variability. The MLD intraseasonal variability in the
736 southeastern equatorial Indian Ocean is related to MJO forcing, with suppressed convection
737 and light winds associated with shallow MLDs. The southward advection of continental air
738 temperature anomalies induces intraseasonal air temperature fluctuations over the northern
739 Arabian Sea, which drive intraseasonal convective MLD variations.

740 Buoyancy fluxes and friction velocity both contribute significantly to intraseasonal
741 MLD fluctuations in all regions, except in the northern Arabian Sea in winter, where
742 buoyancy flux forcing dominates and in the southern Arabian Sea in summer, where Ekman
743 pumping on the southern flank of the Findlater jet also contributes. A slab ocean model
744 analysis suggests that these intraseasonal MLD fluctuations have a weak impact on
745 intraseasonal SST signals in any of the regions (less than 10% of the amplitude). This weak
746 response is largely explained by the compensation between the “scaling” (i.e. modulation of
747 mixed layer thermal capacity by MLD fluctuations that acts to enhance SST variations) and
748 “penetrative” effects (i.e. modulation of the amount of incoming solar heat flux lost through
749 the base of the mixed layer that has the opposite impact) in our simple framework.

750

751 **4.2. Discussion and perspectives**

752 To our knowledge, Drushka et al (2012, 2014) are to date the only observational
753 studies that have described intraseasonal MLD variations in the Indian Ocean, focussing on
754 the MLD response to the MJO in winter in the central and eastern equatorial part of the basin.
755 For this particular region and season, our results echo the observational analysis of Drushka et
756 al (2012, 2014), with more than 10 m peak-to-peak fluctuations in this region. The present
757 study expands this description of the intraseasonal MLD variability for the entire Indian
758 Ocean and for both winter and summer seasons, complementing the analysis of in-situ data
759 with an oceanic simulation. Although model and observationally-derived MLD intraseasonal

760 composites exhibit consistent patterns in all the regions of strong intraseasonal variability, the
761 limited density of Argo data did not allow providing a complete mapping of these
762 intraseasonal anomalies. In addition, the use of a composite analysis to extract meaningful
763 MLD variations from observations does not allow monitoring the large event-to-event
764 variability. Future studies with other models and a longer Argo dataset will be needed to
765 ascertain the MLD patterns and amplitudes presented here.

766 The main goal of this study was to explore MLD variations and their causes in the
767 tropical Indian Ocean. Our results however raise two interesting questions regarding
768 atmospheric variability in the Indian Ocean. Intraseasonal variations in most regions are
769 linked with well-known modes of atmospheric intraseasonal variability: the MJO in winter
770 and active/break phases of the Indian monsoon during summer. On the other hand, MLD
771 intraseasonal variations in the Arabian Sea cannot be clearly connected with a known mode of
772 intraseasonal atmospheric variability. In summer, MLD variations in the southern Arabian
773 Sea are driven by intraseasonal fluctuations of the Findlater jet intensity. Joseph and
774 Sijikumar (2004) already noted changes in the monsoon jet position and intensity linked to
775 active and break phases of the monsoon, which can be seen on Figure 5a-d. In contrast, the
776 wind variations that drive intraseasonal MLD variations in the southern Arabian Sea are
777 upstream (Figure 7a-d), and are independent from monsoon active/break phases and
778 convection over the BoB and appear to be more driven by convective variability southwest of
779 India (Figure 7b and 7d). A more thorough study is needed to assess if this corresponds to a
780 different “flavour” of active/break phase with main convective perturbations over the AS
781 rather than over the BoB, and how the dynamics of this intraseasonal “mode” compare with
782 the more standard active/break phases. Similarly, the occurrence of intraseasonal temperature
783 perturbations over the northern Arabian Sea has to be investigated in more detail. Our results
784 suggest that they are associated with southward advection of continental temperature
785 anomalies by northerly winds. However, the exact nature, process and dominant timescale (if
786 any) of this phenomenon has yet to be understood.

787 Regarding the potential impact of MLD intraseasonal fluctuations, our slab ocean
788 model results are in line with those of Jayakumar et al. (2011) and Vialard et al. (2012, 2013),
789 which suggested a rather weak influence of intraseasonal MLD fluctuations on intraseasonal
790 SST variations. Our analysis suggests that the “scaling” and “penetrative” effects tend to
791 cancel each other, explaining the overall weak effect of MLD variations on SST. This result
792 apparently contradict those of Drushka et al (2012), which suggests that intraseasonal MLD

793 fluctuations may reduce the amplitude of the SST signal during the MJO active phase in the
794 southeastern equatorial Indian Ocean. These differences may well be explained by the very
795 localised SST impact discussed in Drushka et al. (2012), which may be wiped out when
796 averaging over a large region as in the present paper. Alternatively, our results could also be
797 hampered by methodological caveats. First, our assessment using a simple slab ocean model
798 does not account for oceanic processes (lateral advection, entrainment, upwelling). In
799 addition, modelled intraseasonal SST and MLD estimates may suffer from errors inherent to
800 the forcing dataset.

801 In addition to these methodological caveats, other processes that we did not consider
802 may also influence intraseasonal SST fluctuations. We did not attempt for instance to isolate
803 the contribution of internal oceanic instabilities (e.g. eddies) on large-scale intraseasonal
804 fluctuations. This internally driven variability may indeed constructively/destructively
805 interacts with the intraseasonal variability forced by the large-scale climate modes such as the
806 MJO or the monsoon active/break phases. Jochum and Murtugudde (2005) indeed showed
807 that these small-scale features could significantly contribute to the large-scale SST variations
808 in specific regions of the Indian Ocean. The very intense eddy variability off the Somalia
809 upwelling region may in particular contribute to large-scale upper ocean variations there, as
810 demonstrated by Jochum and Murtugudde (2005). Intraseasonal chlorophyll fluctuations may
811 also alter the vertical profile of solar penetration and hencefore the SST variability. A crude
812 estimate of this effect by including surface chlorophyll variations derived from the satellite
813 data and their impact on the SST of our slab ocean model however suggests that the average
814 impact is very small, although it can be significant for peculiar events (not shown). Finally,
815 scale interaction mechanisms such as the potential rectification of intraseasonal variability
816 onto lower frequency suggested by Waliser et al. (2003, 2004) or the potential influence of
817 diurnal cycle onto longer timescales as suggested by Wiggert et al. (2002) may also operate.
818 Addressing such issues would require a more idealized model setup similar to the one used in
819 Waliser et al. (2003, 2004) and a properly resolved diurnal cycle, which is lacking in the
820 present model configuration.

821 Mixed layer depth variability is not only crucial for air-sea interactions and climate but
822 also from a biogeochemical perspective. Mixed layer entrainment and thickness are important
823 determinants of the nutrient flux into the euphotic zone and average light intensity
824 experienced by phytoplankton (McCreary et al. 2001). In the Bay of Bengal, there is a strong
825 coupling between the seasonal cycle of mixed layer depth and the processes that affect upper

826 ocean chlorophyll pigment concentrations (Narvekar, 2013). The mixed layer in the central
827 Arabian Sea deepens considerably during both monsoons seasons (McCreary et al. 2001;
828 Wiggert et al. 2005). This gives rise to competing mechanisms that can either lead to a
829 phytoplankton biomass increase or decrease. On the one hand, nutrient concentration
830 increases due to entrainment and grazing-pressure decreases because of a vertically wider
831 habitat, but on the other hand light-limitation increases because of less time spent in the
832 euphotic layer (Levy et al. 2007). Although there have been significant advances in our ability
833 to describe and model the oceanic biogeochemistry in the Indian Ocean, the biogeochemical
834 impact of MLD variations in response to climate variability at intraseasonal timescales in the
835 IO remain largely unknown. Only a handful of studies have examined the ocean ecosystem
836 response to the MJO (e.g. Waliser et al. 2005; Resplandy et al. 2009; Jin et al. 2012). While
837 the MJO drives large intraseasonal chlorophyll signals in the southern IO and in the Bay of
838 Bengal, there are strong intraseasonal chlorophyll fluctuations in the Arabian Sea that only
839 seem to be marginally related to the MJO and whose driving processes remain unclear (Jin et
840 al. 2012). In future, we will use a combination of observations and modelling to investigate
841 the impacts of MLD variations on chlorophyll and primary production in the Arabian Sea.

842

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1100 **Figure Captions:**

1101 **Figure 1:** Standard deviation of MLD variations (in meters) in the Indian Ocean at **(a)**
1102 seasonal, **(b)** interannual and **(c)** intraseasonal timescales from a $\frac{1}{4}^\circ$ simulation
1103 provided by the DRAKKAR project detailed in Keerthi et al. (2013). Contours on
1104 panel **b** (resp. panel **c**) show the ratio of interannual (resp. intraseasonal) against
1105 seasonal MLD standard deviation. This figure is adapted from Keerthi et al. (2013).

1106

1107 **Figure 2:** May to September 2000 time series of **(a)** intraseasonal summer monsoon
1108 active/break index (Goswami et al. 2005) detailed in section 2.4, **(b)** averaged
1109 intraseasonal TMI SST (in $^\circ\text{C}$) and **(c)** averaged intraseasonal modelled MLD (in m)
1110 over the Bay of Bengal (10°N - 20°N ; 80°E - 100°E). December 1998 to April 1999 time
1111 series of **(d)** intraseasonal MJO index (RMM1 from Wheeler and Hendon 2004)
1112 detailed in section 2.4, **(e)** averaged intraseasonal TMI SST (in $^\circ\text{C}$) and **(f)** averaged
1113 intraseasonal modelled MLD (in m) over the thermocline ridge of the Indian Ocean
1114 (2°S - 10°S ; 60°E - 90°E). The climatological seasonal SST and MLD depth are
1115 indicated on the bottom left corner of the corresponding panels. Details on the model
1116 simulation from which intraseasonal MLD are calculated are provided in Section 2.1
1117 while intraseasonal monsoon and MJO indices and TMI satellite SST data are detailed
1118 in Section 2.2. An illustration of the phase definition for monsoon and MJO indices is
1119 also provided on the top panels.

1120

1121 **Figure 3:** **(a)** Observed summer climatological MLD (color) from de Boyer Montegut et al
1122 2004 climatology and wind stress (arrows) from Tropflux and **(b)** Modeled summer
1123 (JJAS) climatological MLD (color) and wind stress (arrows). **(c)** Summer standard
1124 deviation of MLD (color) and large-scale MLD (contour) intraseasonal variations. The
1125 black boxes indicate regions of maximum large-scale MLD variability, whose
1126 boundaries are provided in table 1.

1127

1128 **Figure 4:** Percentage of summer intraseasonal modelled MLD variance explained by **(a)** the
1129 Monsoon index (Goswami 2005), **(b)** the JET index (zonal wind averaged over the

1130 [55°E-75°E; 2.5°N-12.5°N] box) and **(c)** the two previous indices, collectively. **(d-f)**
1131 Same but for intraseasonal OLR variance. Contours on panel c and f display the
1132 standard deviation of summer intraseasonal large-scale MLD and OLR, respectively.
1133 Black boxes indicate the three regions of largest intraseasonal MLD variations, whose
1134 boundaries are provided in table 1.

1135

1136 **Figure 5:** Composites of the phases 1 to 4 of the intraseasonal summer monsoon index from
1137 Goswami (2005) for **(top)** OLR (color) and winds (arrow), **(Middle)** large-scale
1138 model MLD (color) overlaid with large-scale model wind stress intensity anomalies
1139 (contours in 10^{-2} N.m⁻²), **(Bottom)** Argo MLD. Regions where composite values are
1140 less than the standard error are displayed in white. Phases 5 and 6 are almost exactly
1141 the opposite of Phases 2 and 3 and are therefore not shown.

1142

1143 **Figure 6:** Box-averaged composite evolution of model (black) and Argo (green) intraseasonal
1144 MLD anomalies for the six phases of the intraseasonal summer monsoon index in the
1145 **(a)** BoB and **(b)** EEIO boxes. **(c)** Same but for MLD composites anomalies based on
1146 the Findlater Jet index in the SAS box. The error bars represent the standard error.

1147

1148 **Figure 7:** Composites of phases 1-4 of the intraseasonal Findlater “jet index” of **(top)** large-
1149 scale OLR (color) and winds (arrow), **(middle)** large-scale model MLD (color)
1150 overlaid with large-scale model wind stress intensity anomalies (contours in 10^{-2} N.m⁻²)
1151 and large scale model wind stress curl (blue contours in 10^{-7} N.m⁻³), **(bottom)** Argo
1152 MLD (color). Regions where composite values are less than the standard error are
1153 displayed in white. Phases 5 and 6 are almost exactly the opposite of Phases 2 and 3
1154 and are therefore not shown.

1155

1156 **Figure 8:** **(a)** Observed summer climatological MLD (color) from de Boyer Montegut et al
1157 2004 climatology and wind stress (arrows) from Tropflux and **(b)** Modeled winter
1158 (DJFM) climatological MLD (color) and wind stress (arrows). **(c)** Winter standard
1159 deviation of MLD (color) and large-scale MLD (contour) intraseasonal variations. The

1160 black boxes indicate regions of winter maximum large-scale MLD variability, whose
1161 boundaries are provided in table 1

1162

1163 **Figure 9:** Percentage of winter intraseasonal modelled MLD variance explained by **(a)** the
1164 MJO index **(b)** the NAS temperature index (2-m air temperature averaged over the
1165 [55°E-75°E; 15°N-25°N] box) and **(c)** the two previous indices. **(e-f)** Same but for
1166 intraseasonal OLR variance. Contours on panel **(c)** and **(f)** display the standard
1167 deviation of winter intraseasonal large-scale MLD and OLR respectively. Black boxes
1168 indicate the boxes used for calculating the winter indices.

1169

1170 **Figure 10:** Composites of phases 1-4 of the Wheeler and Hendon (2004) MJO index for **(top)**
1171 large-scale OLR (color) and winds (arrow), **(Middle)** large-scale model MLD (color)
1172 overlaid with large-scale model wind stress intensity anomalies (contours in 10^{-2} N.m⁻²),
1173 **(Bottom)** Argo MLD (color). Regions where composite values are less than the
1174 standard error are displayed in white. Phases 5-8 are almost exactly the opposite of
1175 phases 1-4 and are therefore not shown.

1176

1177 **Figure 11:** Box-averaged composite evolution of model (black) and Argo (green)
1178 intraseasonal MLD anomalies for **(a)** the eight phases of the Wheeler and Hendon
1179 (2004) index in the SEEIO box and **(b)** the six phases of the NAS temperature index in
1180 the NAS box. The error bars represent the standard error.

1181

1182 **Figure 12:** **(a)** Climatological map of DJFM 2m air temperature (color) and wind (arrows).
1183 **(b)** Lag-regression of intraseasonal air temperature anomalies zonally averaged
1184 between 55°E and 75°E onto NAS air-temperature index. This box is marked on panel
1185 (a). The land-sea limit is marked as thick black line in panel (a).

1186

1187 **Figure 13:** Composites of phases 1-4 of the intraseasonal NAS winter air temperature index
1188 for **(top)** large-scale near surface air temperature (color) and winds (arrow), **(Middle)**

1189 large-scale model MLD (color) overlaid with large-scale model wind stress intensity
1190 anomalies (contours in 10^{-2} N.m⁻²), **(Bottom)** Argo MLD (color). Regions where
1191 composite values are less than the standard error are displayed in white. Phases 5-8 are
1192 almost exactly the opposite of phases 1-4 and are therefore not shown.

1193

1194 **Figure 14:** Lag regression onto the relevant local climate modes of intraseasonal variations of
1195 **(top)** OLR, wind stress module and wind stress curl (only for panel c), **(middle)**
1196 frictional velocity and buoyancy fluxes including the solar and non-solar heat flux
1197 component from REF experiment and **(bottom)** MLD and SST from REF experiment
1198 and MLD from NOWIND experiments in the **(a, f, k)** BoB (Monsoon index), **(b, g, l)**
1199 EEIO (Monsoon index), **(c, h, m)** SAS (Jet index), **(d, i, n)** SEEIO (MJO index) and
1200 **(e, j, o)** NAS (NAS index). The regression coefficient of the NOWIND on to the REF
1201 is indicated on the upper right corner of each panel in (k-o). The correlation between
1202 frictional velocity and buoyancy fluxes intraseasonal variations is indicated on the
1203 upper right corner of each panel in (f-j).

1204

1205 **Figure 15:** Lag regression of SST onto the relevant local climate modes: **(a, f)** BoB
1206 (Monsoon index), **(b, g)** EEIO (Monsoon index), **(c, h)** SAS (Jet index), **(d, i)** SEEIO
1207 (MJO index) and **(e, j)** NAS (NAS index). **Top panels** show SST from TMI (black),
1208 REF (purple) and slab ocean model (blue). **Bottom panels** are for slab ocean model
1209 SST (blue) and slab ocean model SST recalculated neglecting the impact of
1210 intraseasonal MLD variations on the “scaling” effect (brown), the “penetrative” effect
1211 (red) or both (green). See text for details.

1212

1213 **Table Captions:**

1214 **Table 1 :** Regions of strong large-scale MLD intraseasonal signals in the Indian Ocean.

1215

1216

1217

Figure 1
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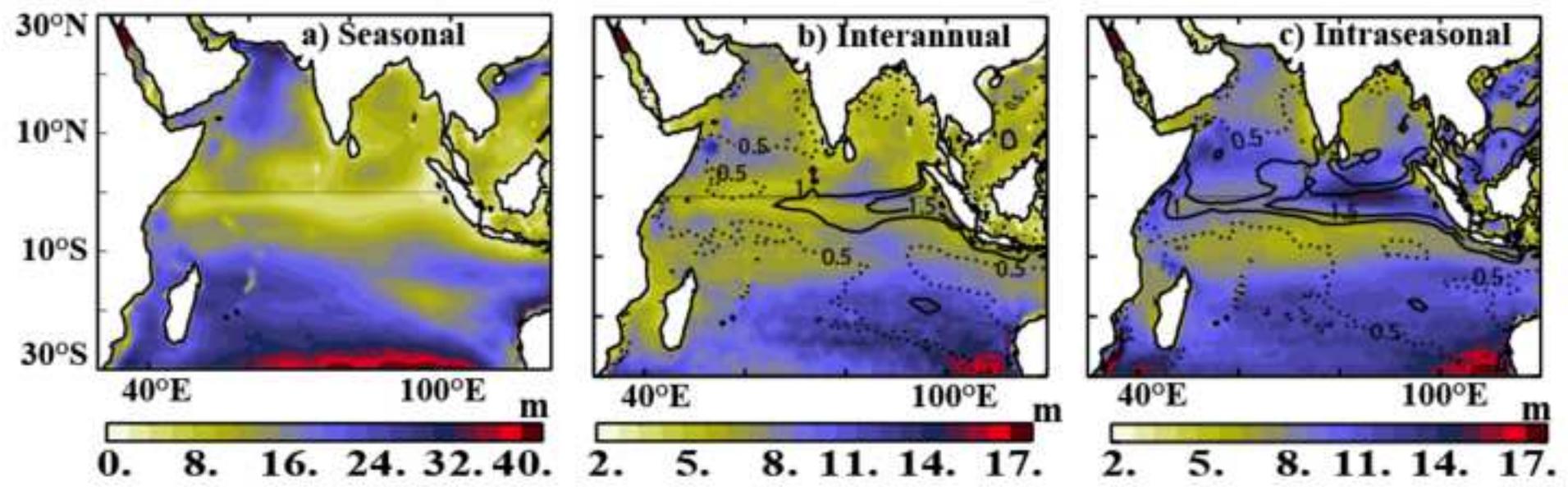


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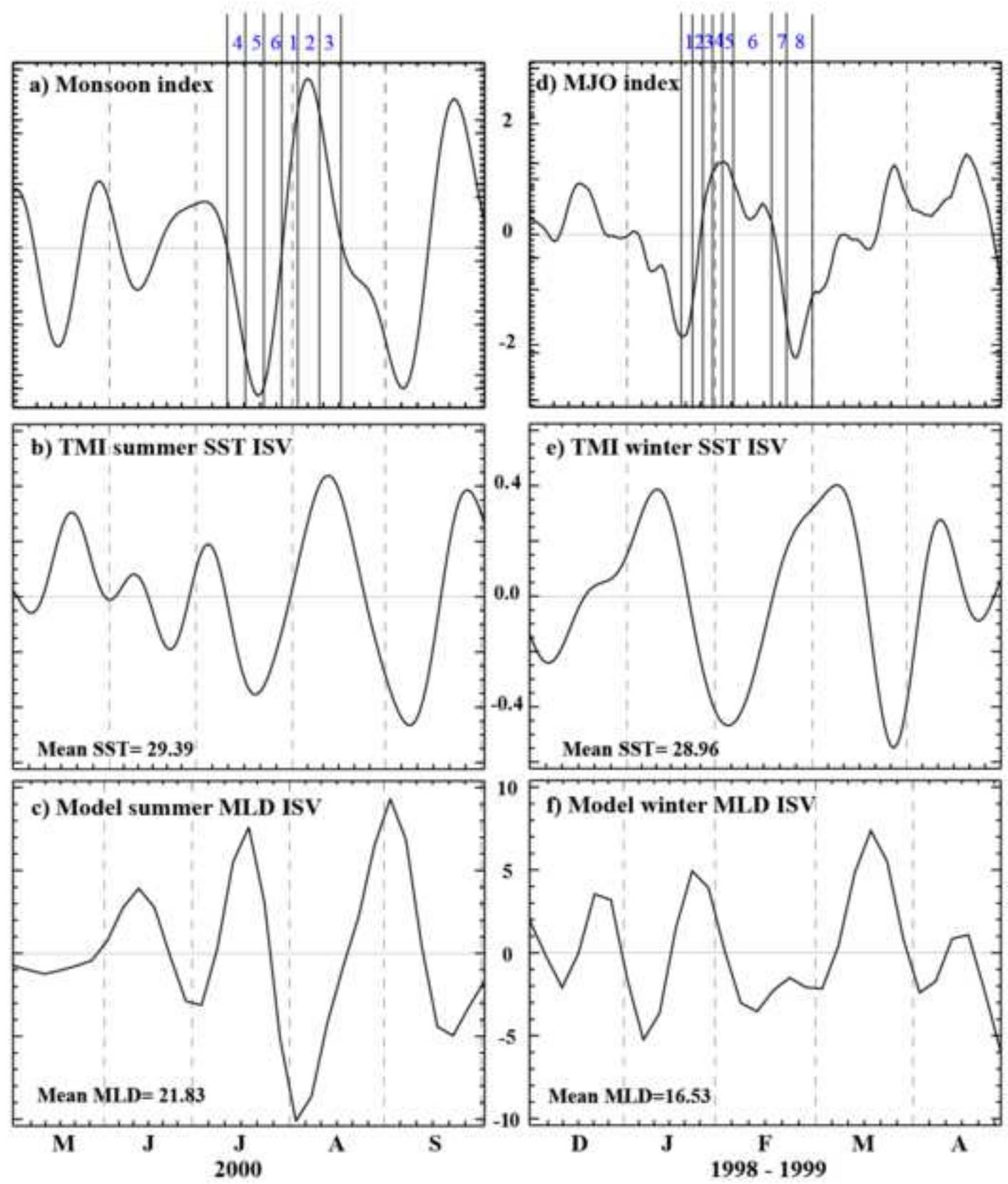


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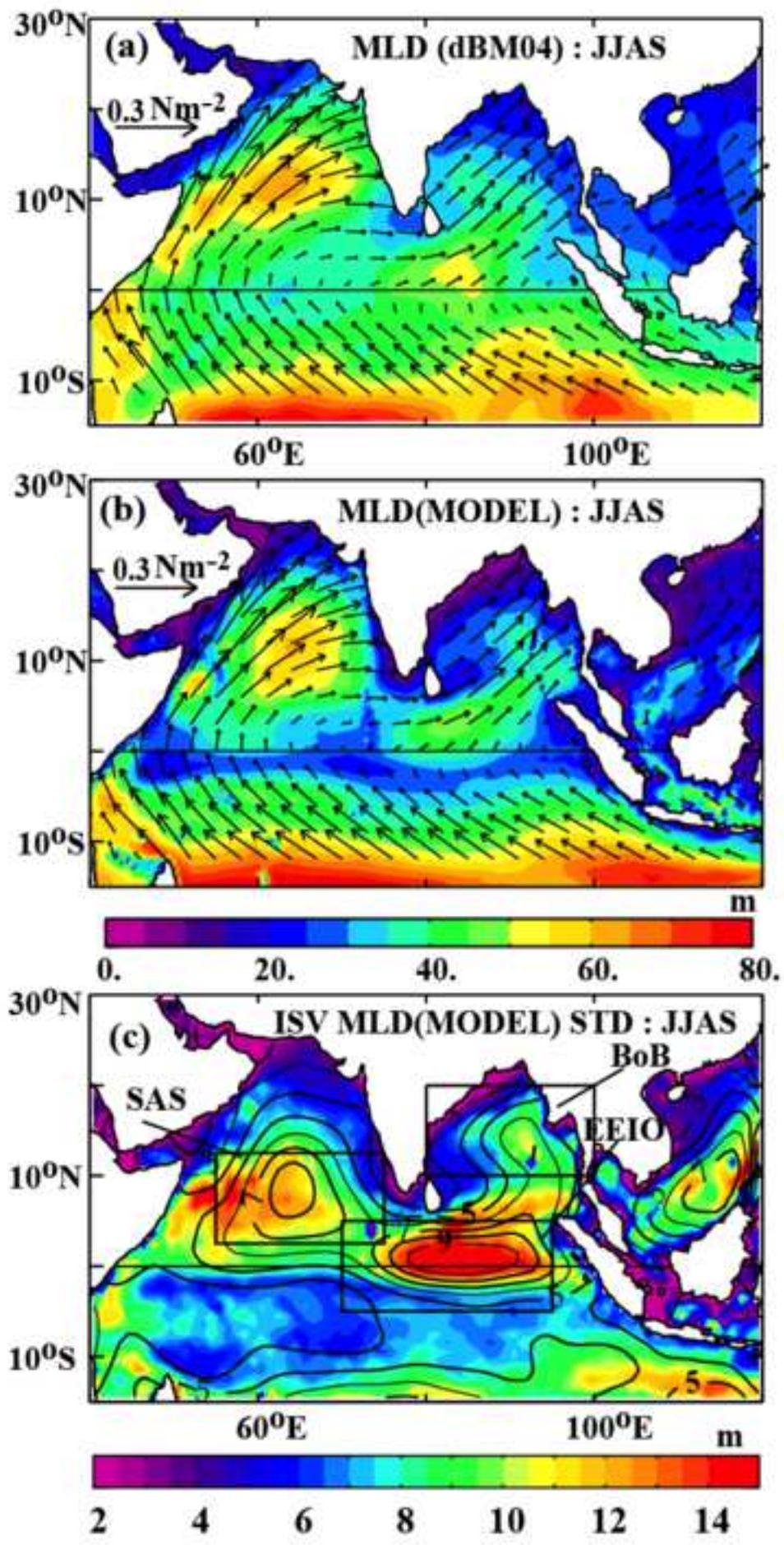


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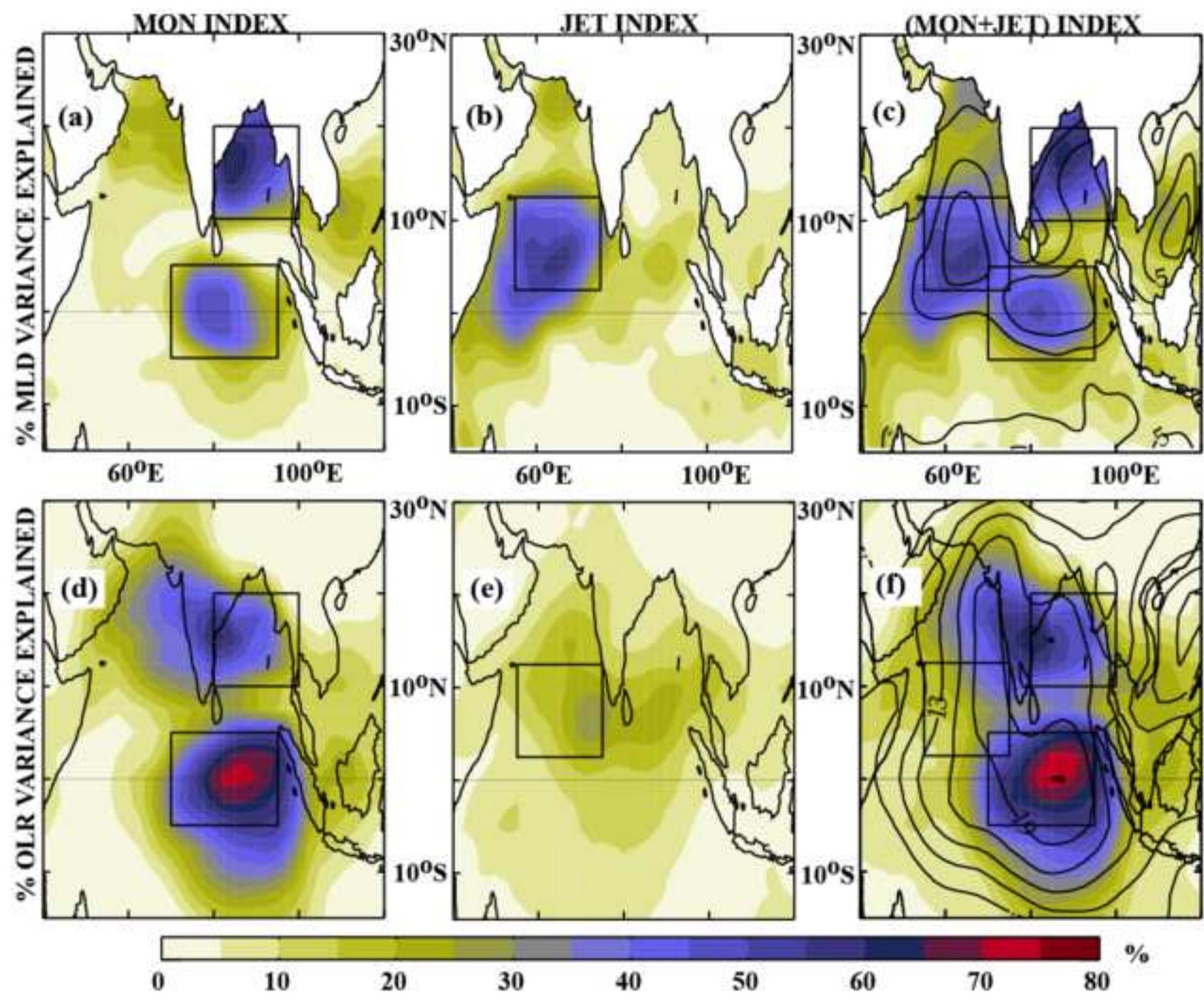


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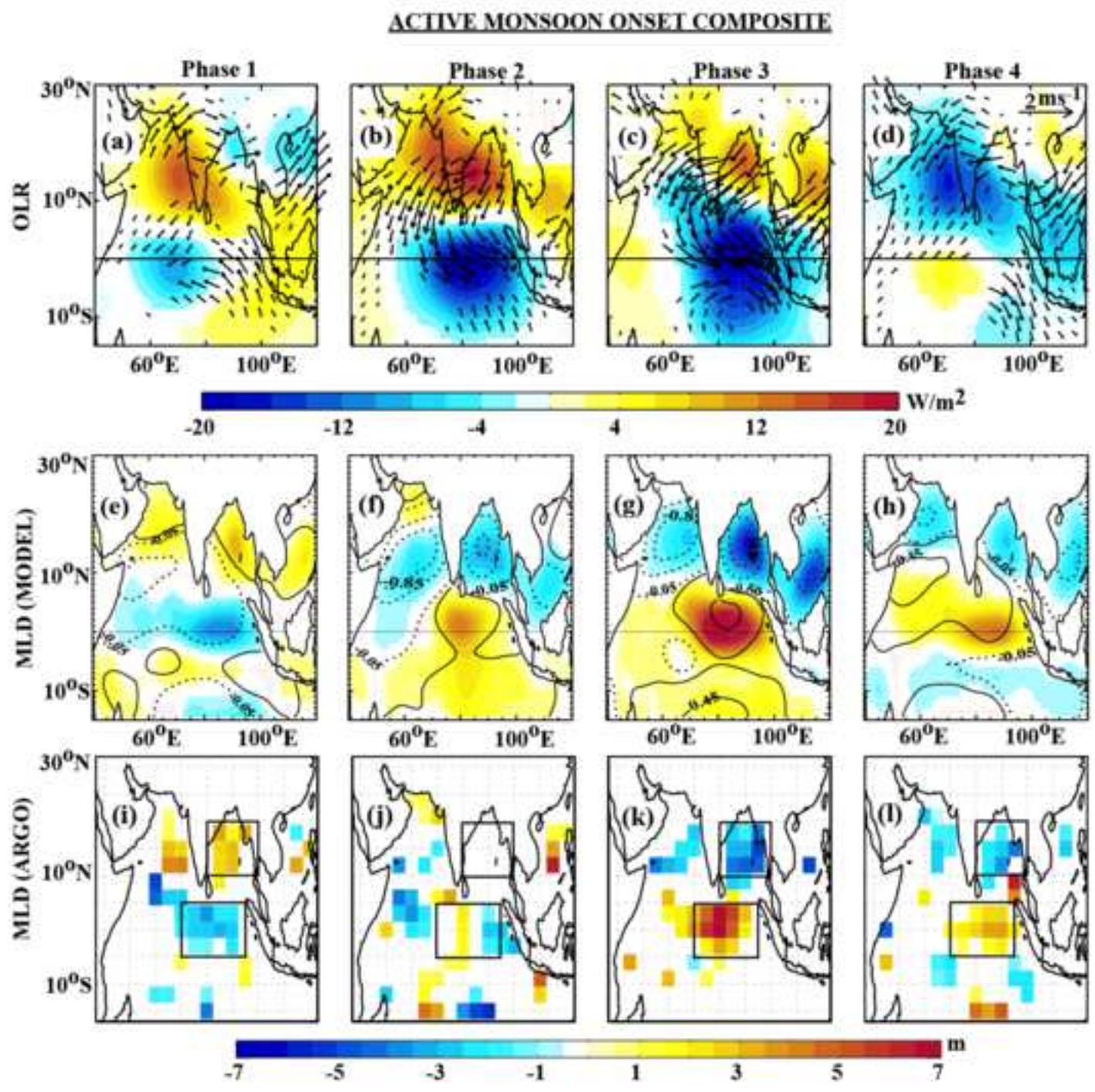


Figure 6

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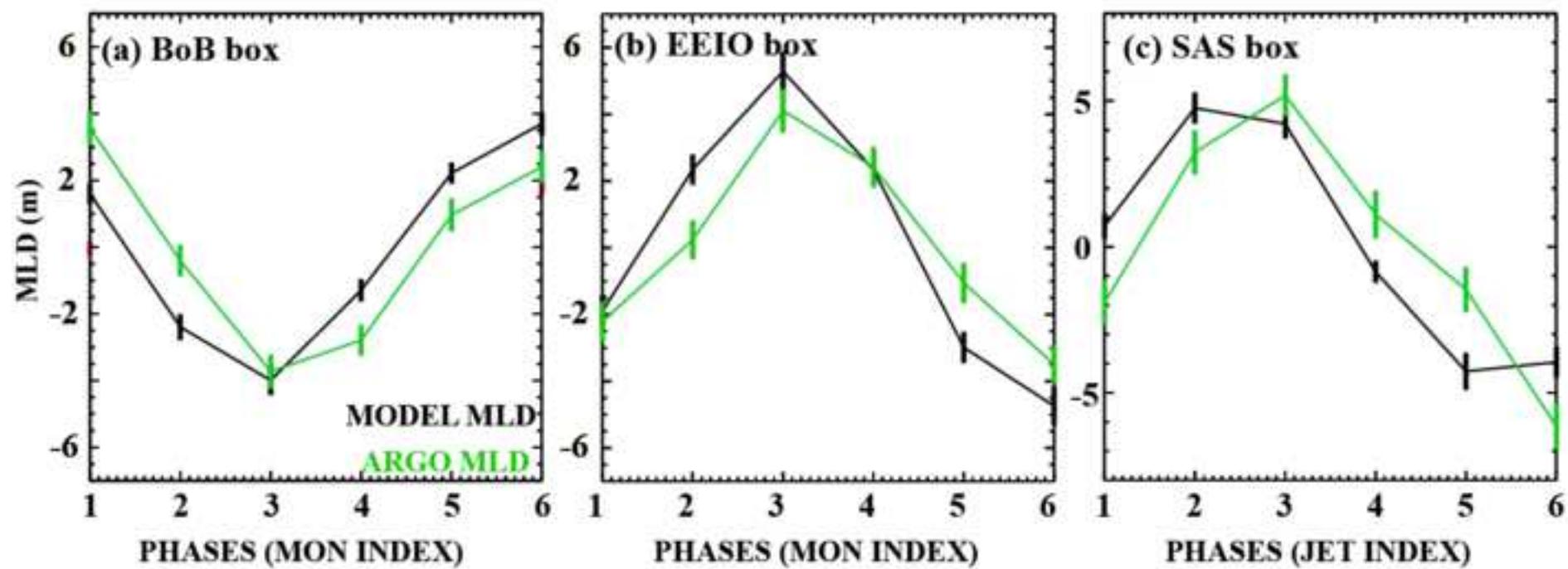


Figure7

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FINDLATER JET INTENSIFICATION COMPOSITE

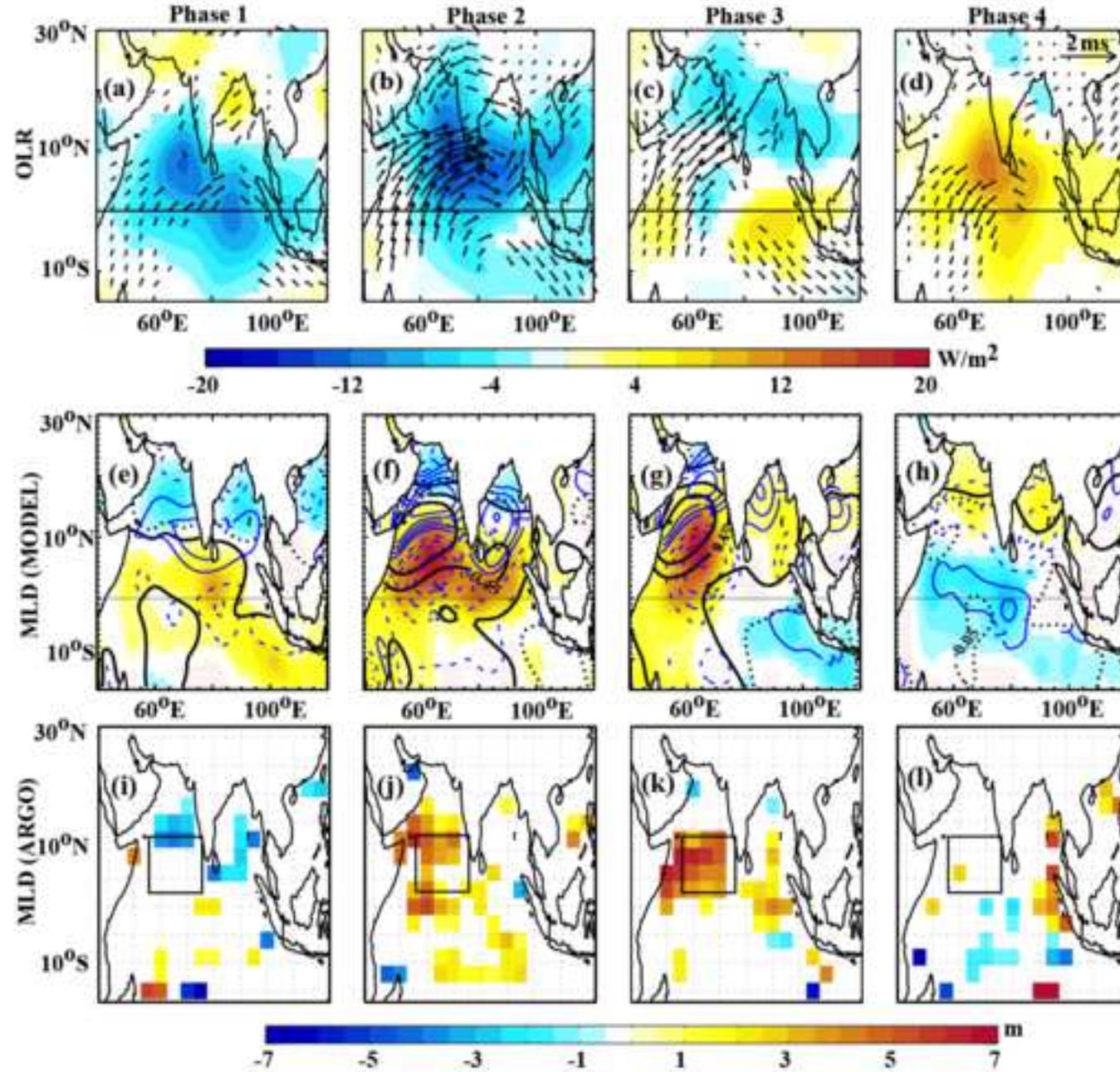


Figure 8
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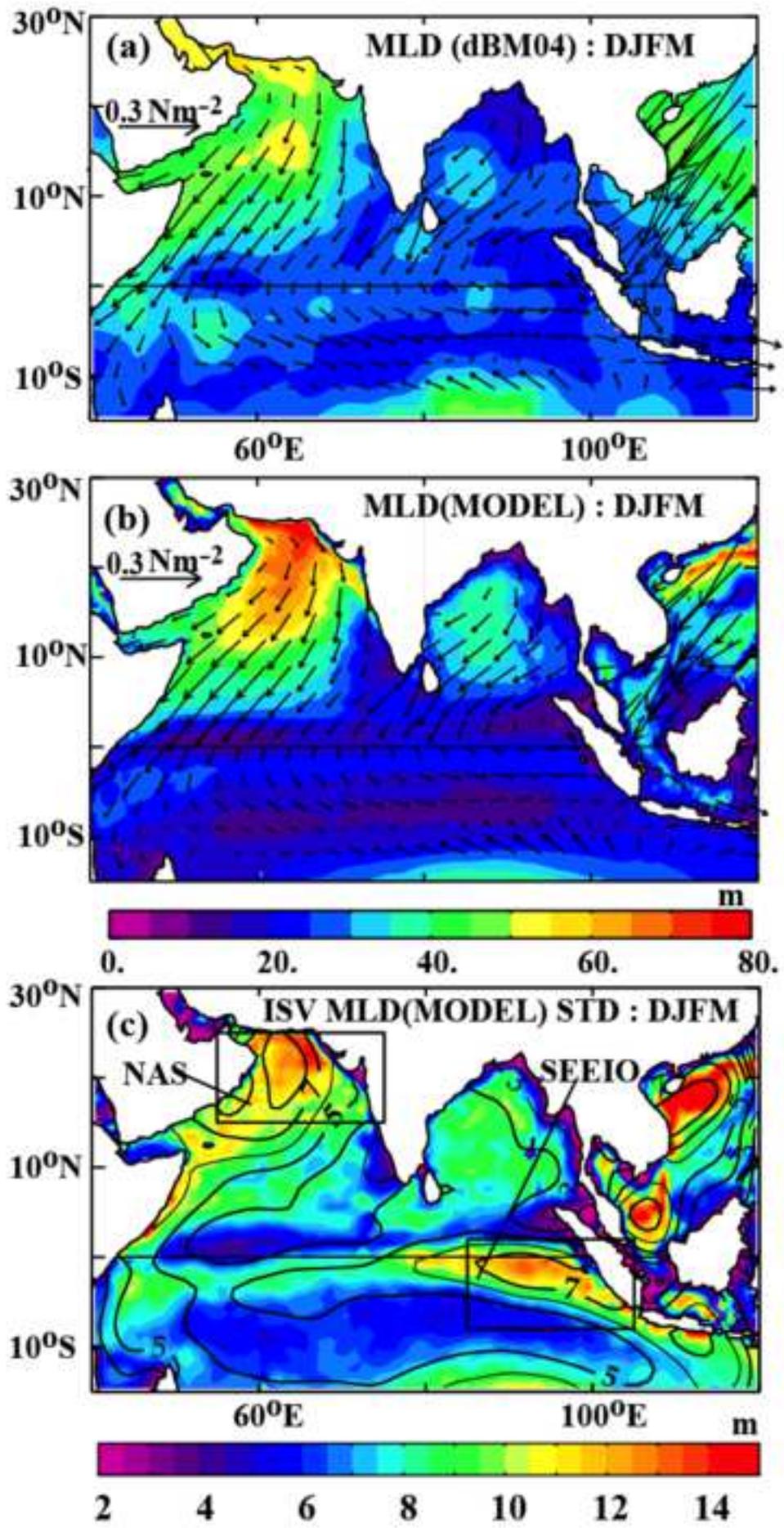


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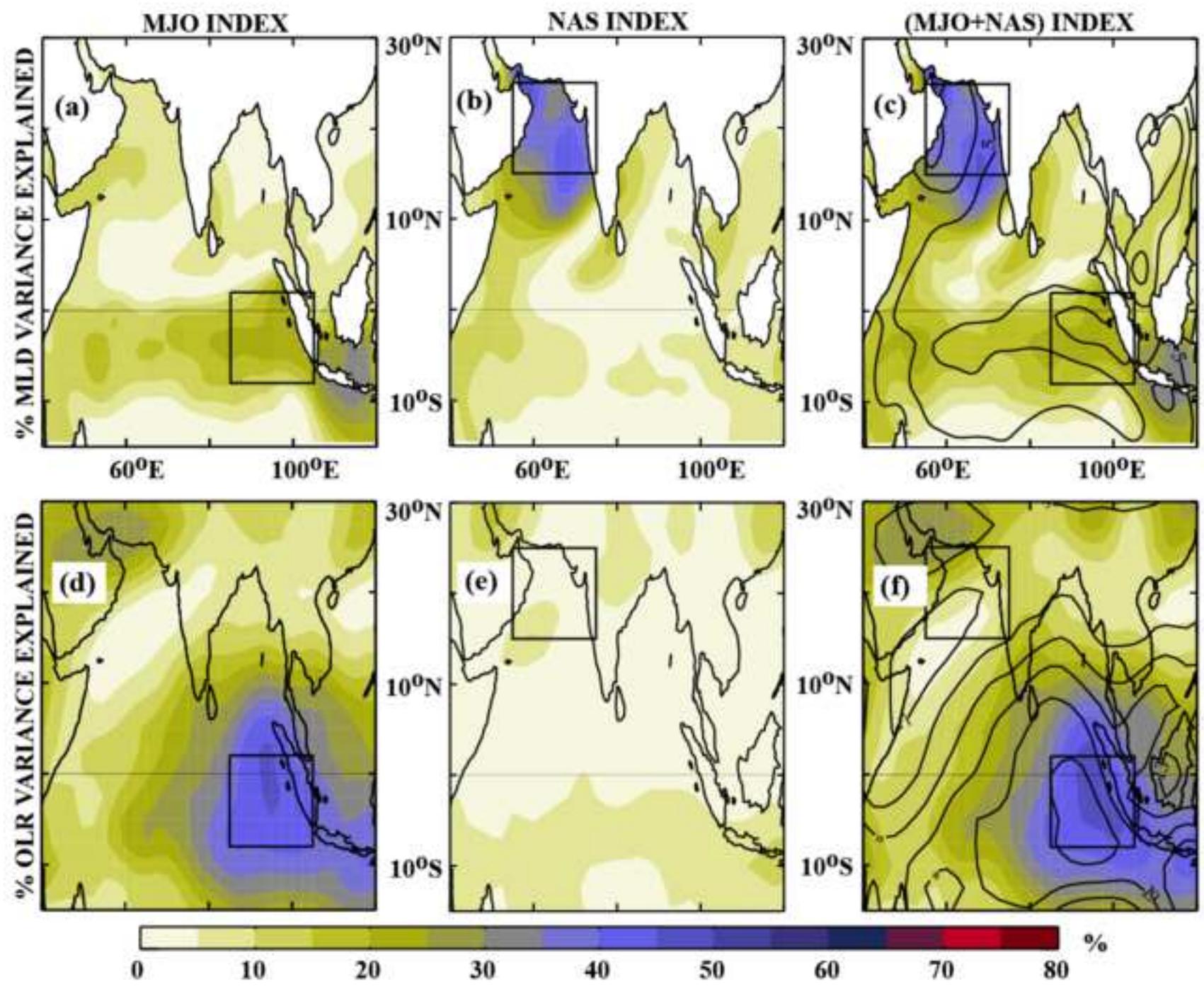


Figure 10
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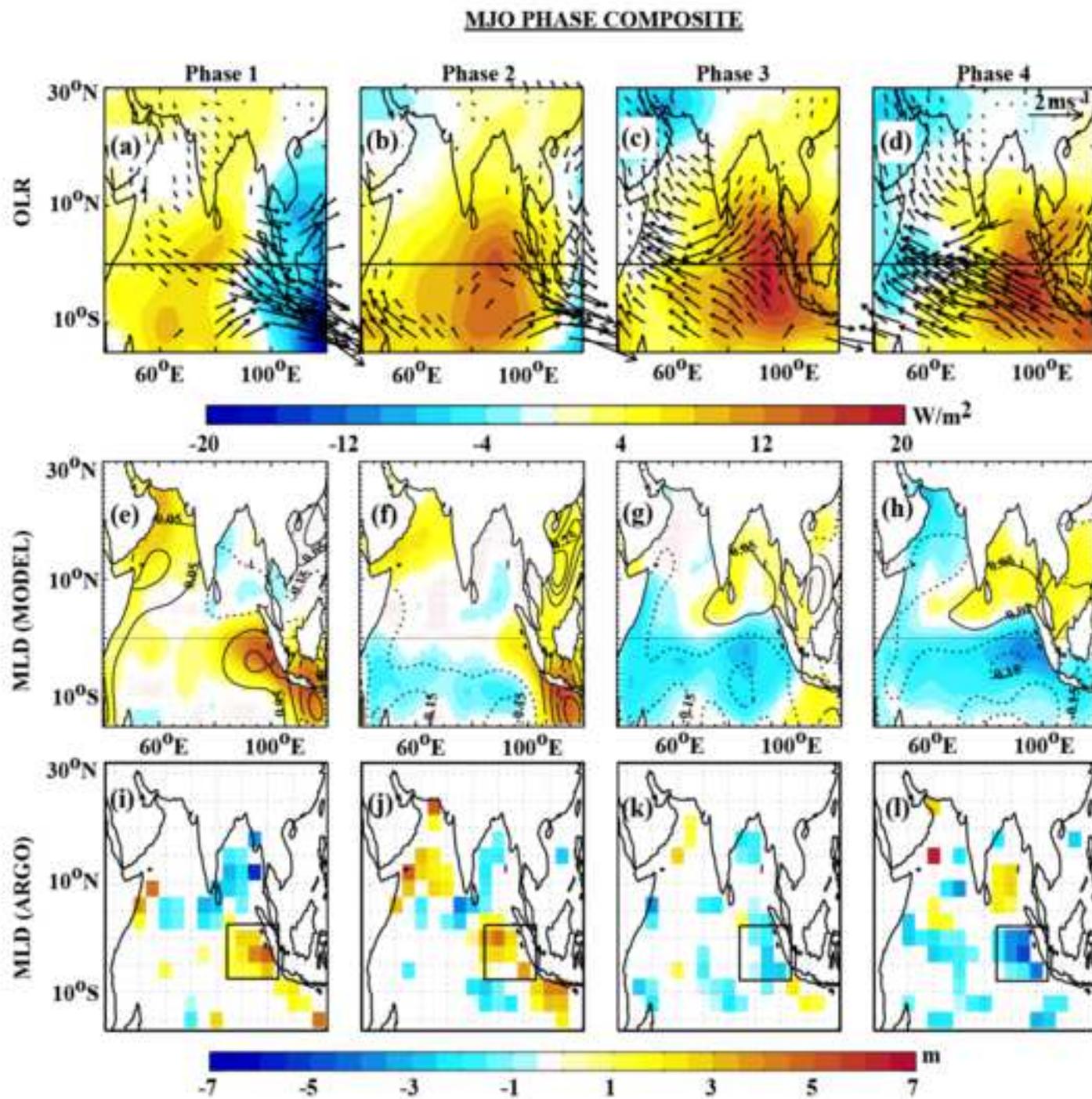


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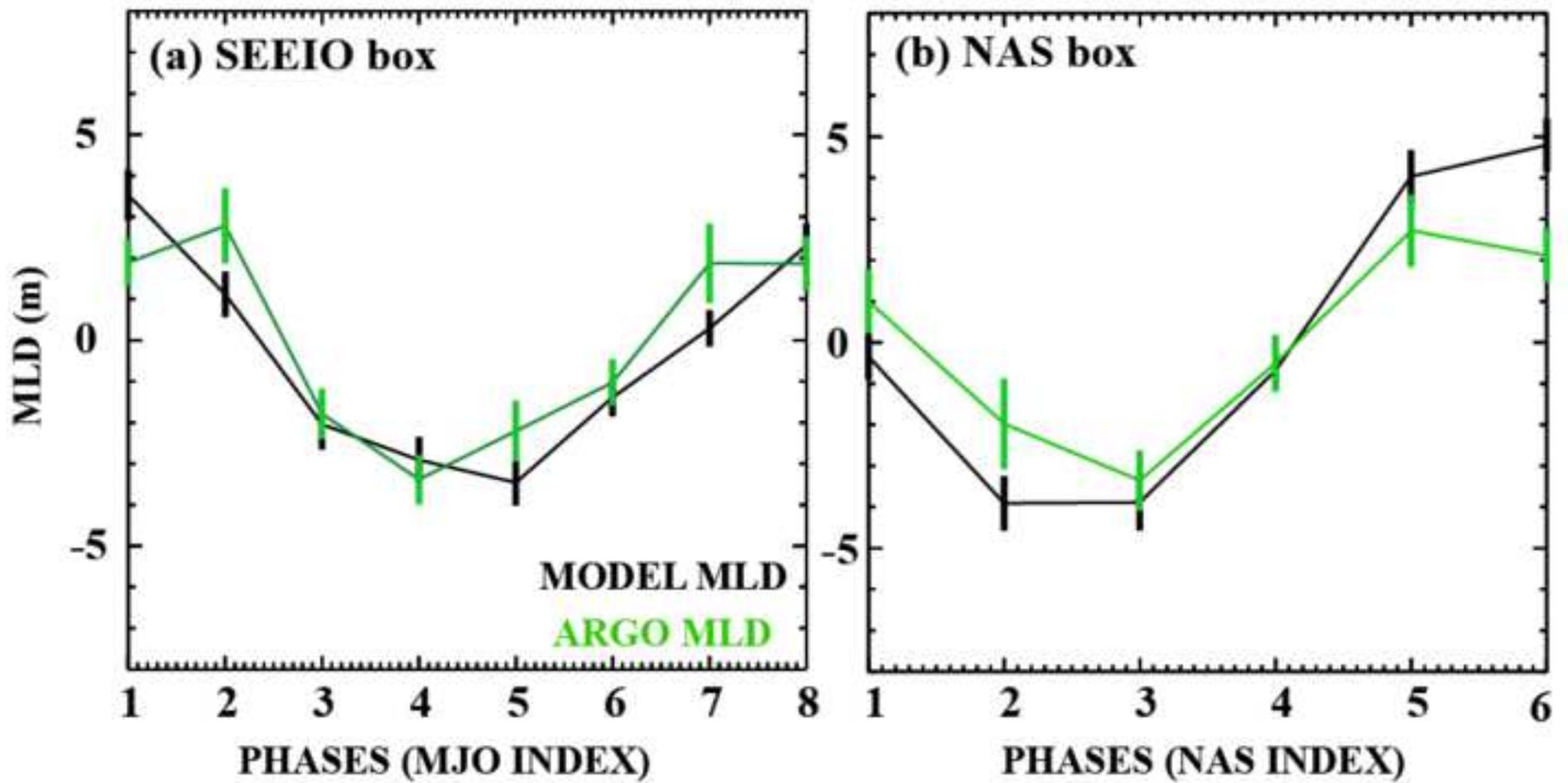
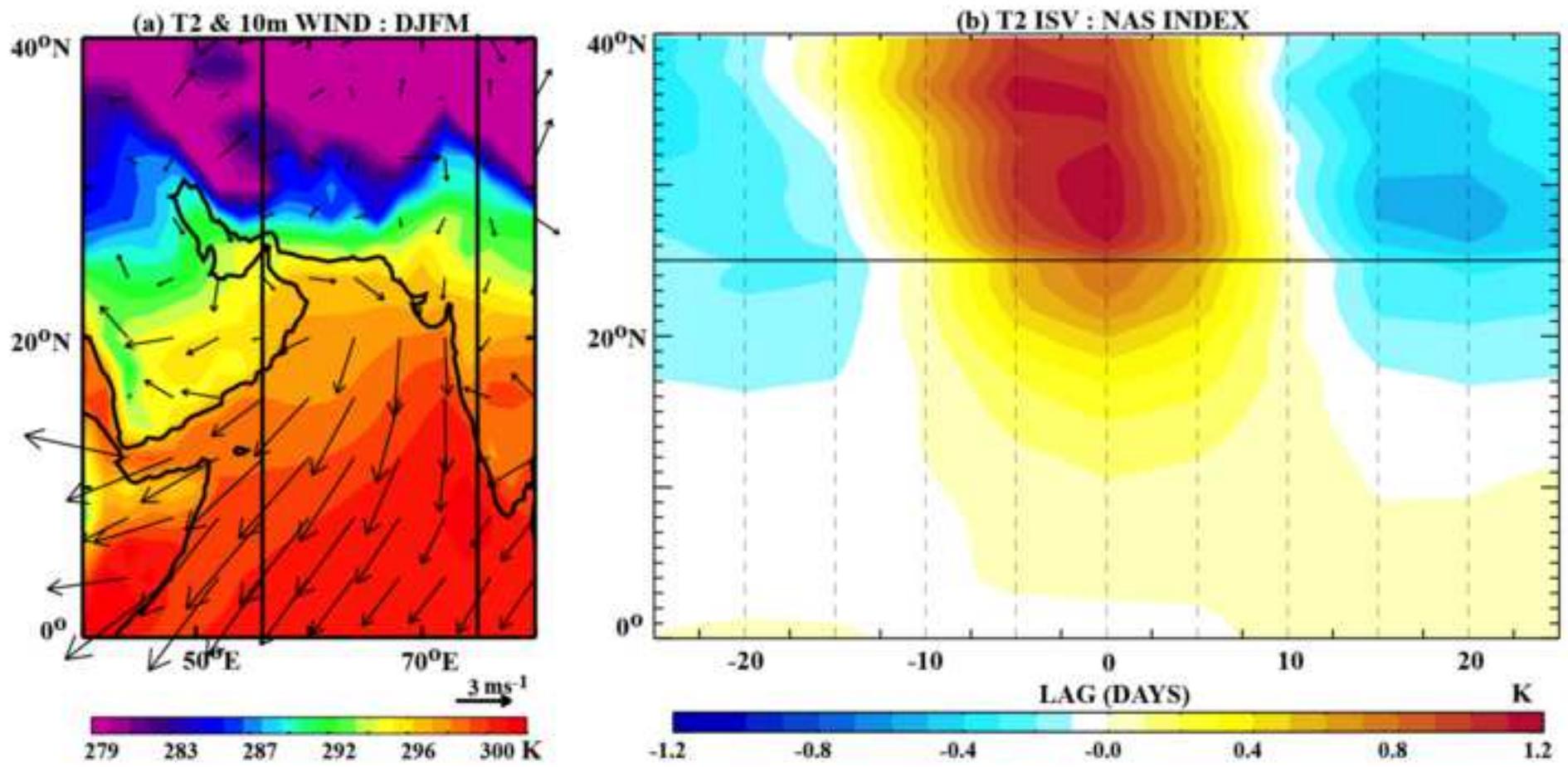


Figure 12
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NAS INTRASEASONAL EVENT COMPOSITE

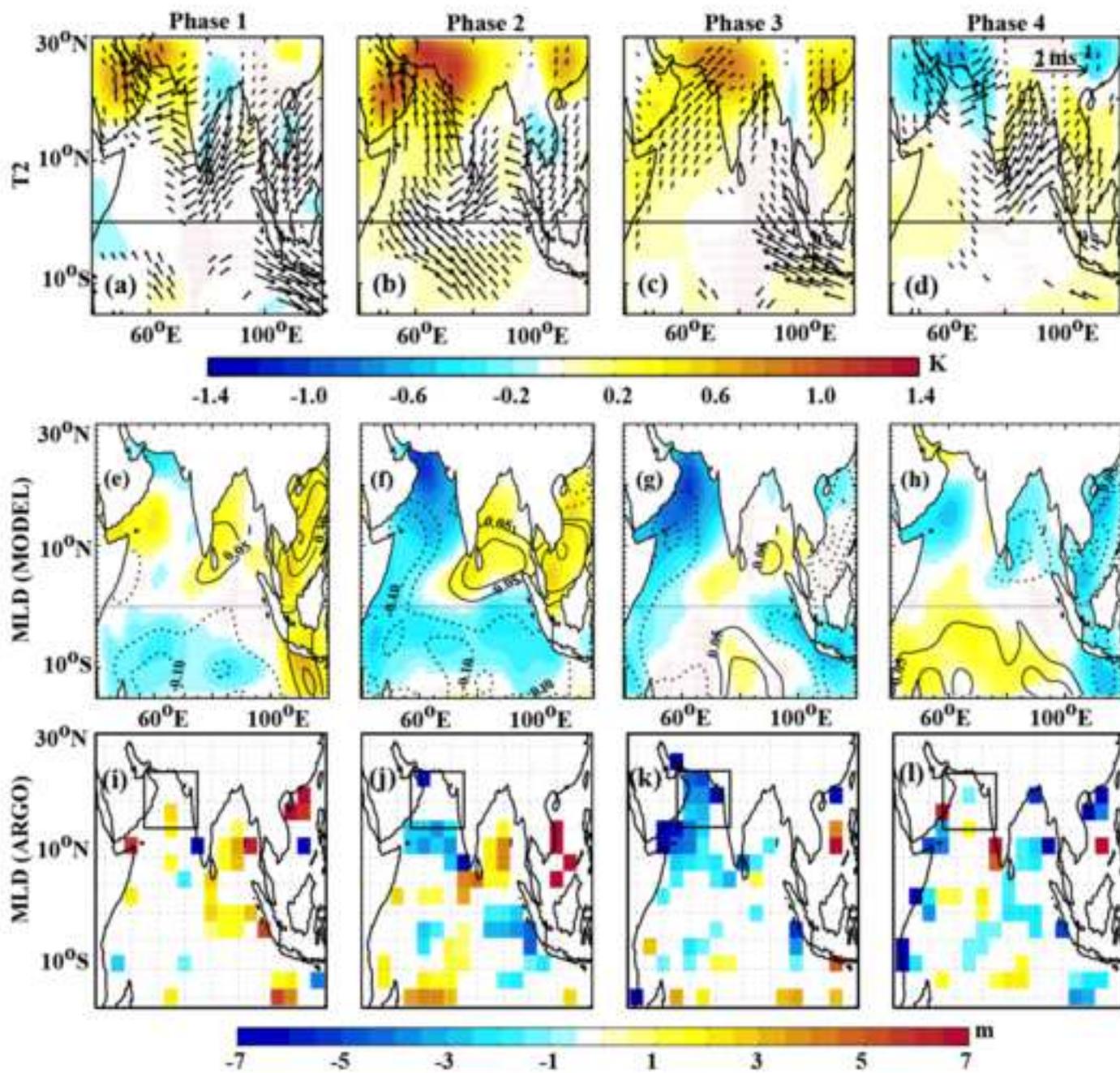


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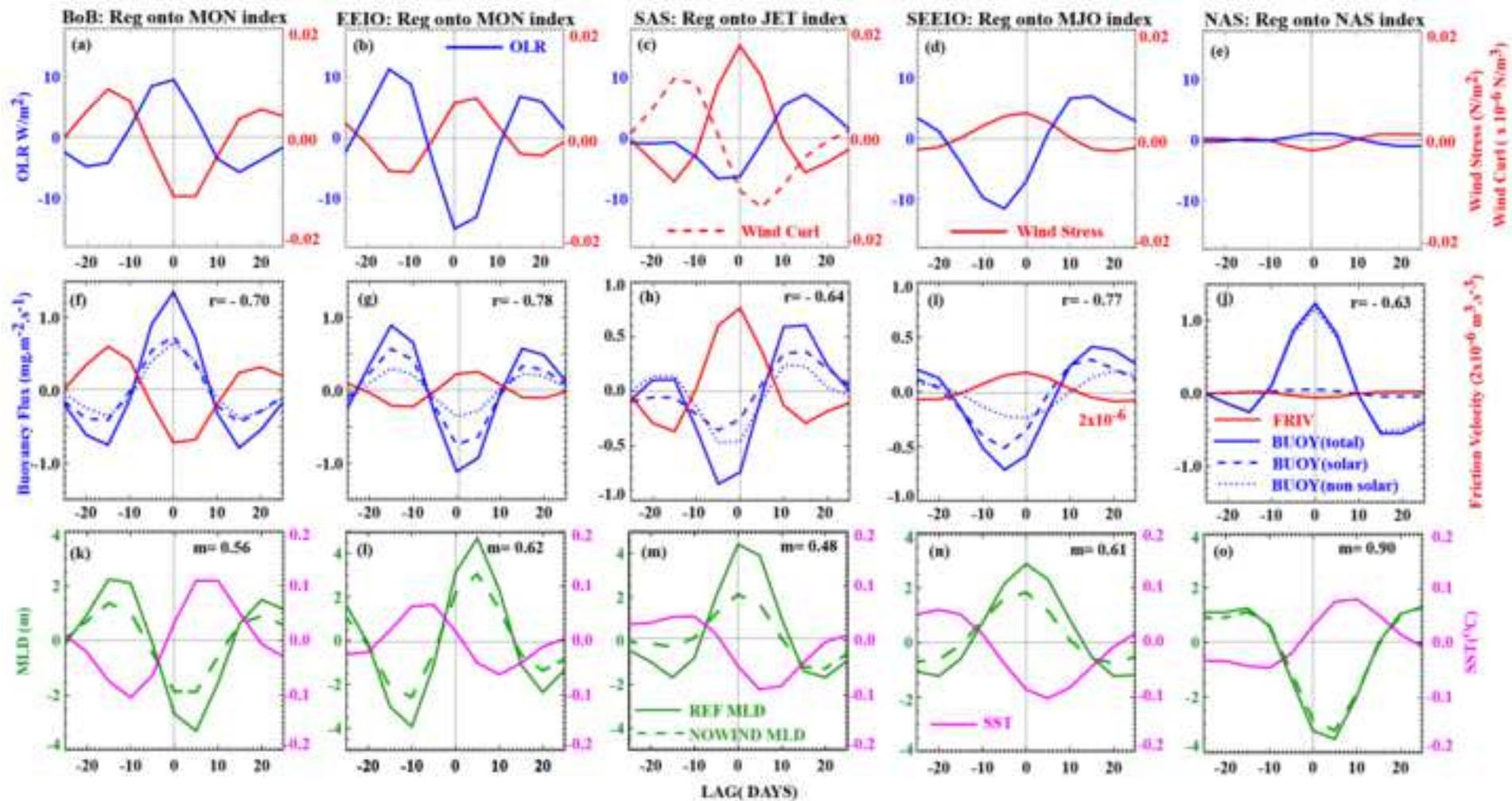


Figure 15

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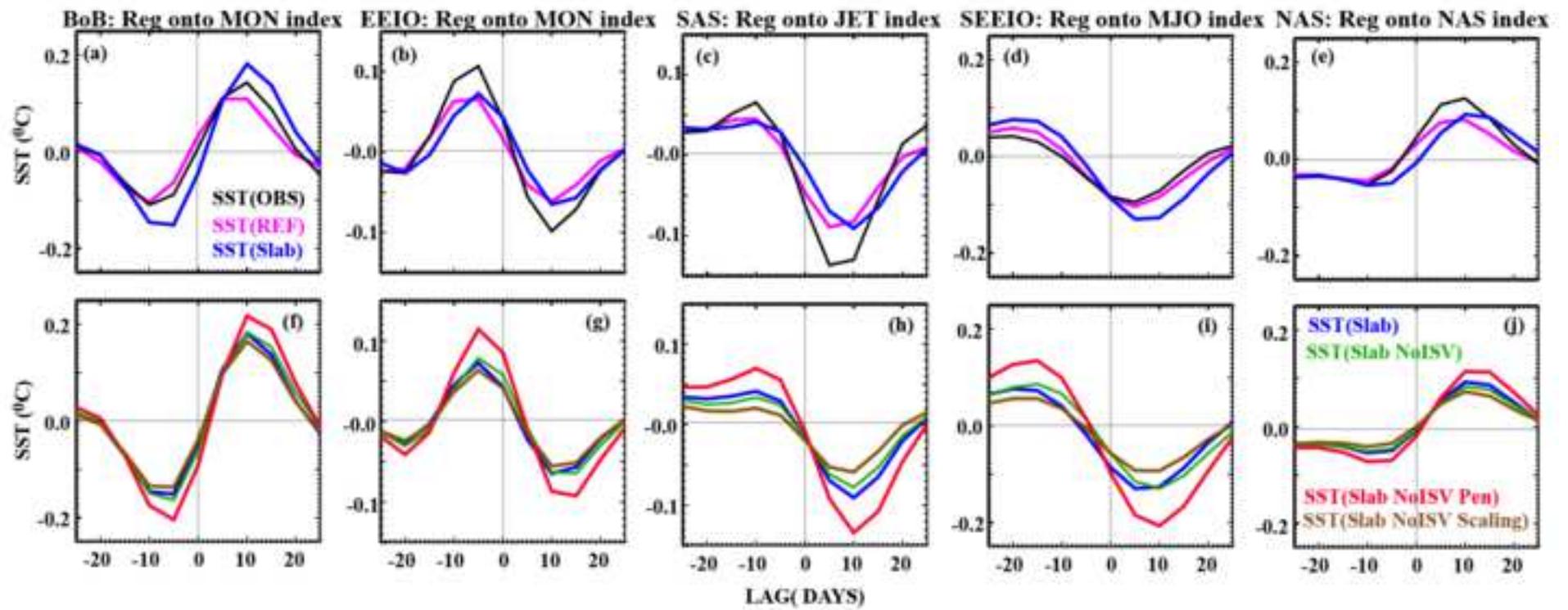


Table 1

Acronym	Name	Season	Boundaries
BoB	Bay of Bengal	Summer	[10°N-20°N; 80°E-100°E]
EEIO	eastern equatorial Indian Ocean	Summer	[5°S-5°N; 70°E-95°E]
SAS	southern Arabian Sea	Summer	[55°E-75°E; 2.5°N-12.5°N]
SEEIO	southeastern equatorial Indian Ocean	Winter	[8°S-2°N ; 85°E-105°E]
NAS	northern Arabian Sea	Winter	[15°N-25°N ; 55°E-75°E]