

Bioassay battery interlaboratory investigation of emerging contaminants in spiked water extracts – Towards the implementation of bioanalytical monitoring tools in water quality assessment and monitoring

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Abstract :

Bioassays are particularly useful tools to link the chemical and ecological assessments in water quality monitoring. Different methods cover a broad range of toxicity mechanisms in diverse organisms, and

account for risks posed by non-target compounds and mixtures. Many tests are already applied in chemical and waste assessments, and stakeholders from the science-police interface have recommended their integration in regulatory water quality monitoring. Still, there is a need to address bioassay suitability to evaluate water samples containing emerging pollutants, which are a current priority in water quality monitoring. The presented interlaboratory study (ILS) verified whether a battery of miniaturized bioassays, conducted in 11 different laboratories following their own protocols, would produce comparable results when applied to evaluate blinded samples consisting of a pristine water extract spiked with four emerging pollutants as single chemicals or mixtures, i.e. triclosan, acridine, 17 α -ethinylestradiol (EE2) and 3-nitrobenzanthrone (3-NBA). Assays evaluated effects on aquatic organisms from three different trophic levels (algae, daphnids, zebrafish embryos) and mechanism-specific effects using *in vitro* estrogenicity (ER-Luc, YES) and mutagenicity (Ames fluctuation) assays. The test battery presented complementary sensitivity and specificity to evaluate the different blinded water extract spikes. Aquatic organisms differed in terms of sensitivity to triclosan (algae > daphnids > FET) and acridine (FET > daphnids > algae) spikes, confirming the complementary role of the three taxa for water quality assessment. Estrogenicity and mutagenicity assays identified with high precision the respective mechanism-specific effects of spikes even when non-specific toxicity occurred in mixture. For estrogenicity, although differences were observed between assays and models, EE2-spike relative induction EC50 values were comparable to the literature, and E2/EE2 equivalency factors reliably reflected the sample content. In the Ames, strong revertant induction occurred following 3-NBA-spike incubation with the TA98 strain, which was of lower magnitude after metabolic transformation and when compared to TA100. Differences in experimental protocols, model organisms, and data analysis can be sources of variation, indicating that respective harmonised standard procedures should be followed when implementing bioassays in water monitoring. Together with other ongoing activities for the validation of a basic bioassay battery, the present study is an important step towards the implementation of bioanalytical monitoring tools in water quality assessment and monitoring.

Graphical abstract :



Highlights

► Bioassay suitability to evaluate emerging aquatic pollutants is a research need. ► 11 laboratories evaluated blinded spiked water extracts with a bioassay battery. ► Spiked extracts contained 4 emerging pollutants as single chemicals or mixtures. ► Tests presented complementary organism-sensitivity and mechanism-specificity. ► Standard harmonized procedures are needed for regulatory water quality monitoring.

Keywords : Triclosan, Acridine, 17 α -ethinylestradiol, 3-Nitrobenzanthrone, Organism-level toxicity, Mechanism-specific toxicity

73 1. Introduction

74 Water quality investigation and monitoring in Europe and worldwide is facing a challenge.
75 There is societal, regulatory and scientific consensus on the urgent need to achieve good water
76 quality in national and transboundary river basins. Meanwhile, an immense variety of
77 contaminants is constantly reaching aquatic systems, which complicates the identification of
78 drivers of chemical toxicity to be routinely monitored (von der Ohe et al. 2011). Further, there is a
79 lack of direct indicators on the regulatory level to verify the biological relevance of chemical
80 monitoring in different water bodies. While the ecological status assessment is certainly of high
81 environmental relevance, it is based primarily on biodiversity indices that often do not present
82 consistency with respective chemical monitoring (Wernersson et al. 2015). Therefore,
83 complementary monitoring strategies are required to achieve the Water Framework Directive
84 (WFD) aim to maintain and improve water quality in Europe (EC 2000).

85 Effect-based tools such as bioassays and biomarkers are particularly useful to bridge the gap
86 between chemical contamination and ecological status, since they can cover a broad range of
87 toxicity mechanisms in diverse organisms, and account for additional risks posed by non-target
88 compounds and mixtures. Bioassays already provide the regulatory basis to derive environmental
89 quality standards (EQS) (EC 2011) and to evaluate pelagic toxicity under the REACH
90 authorization process (ECHA 2014). They are also applied to assess effluents from domestic
91 wastewater treatment plants and industrial sectors (OSPAR 2007, Gartiser et al. 2009). Moreover,
92 the recommendation to integrate bioassays in regulatory water quality monitoring (Hecker and
93 Hollert 2011, Hamers et al. 2013, Wernersson et al. 2015) is supported by many tests being
94 available as standardized methods (OECD guidelines, ISO standards). However, there are still
95 open questions that prevent their application in effect-based monitoring of water bodies. A major
96 issue is whether reliable results can be achieved when evaluating effects of samples containing
97 diverse aquatic pollutants and chemical mixtures. Particularly, the evaluation of emerging

98 contaminants, such as pharmaceuticals, personal care and disinfection products, is a current
99 priority in regulatory water quality monitoring (Loos et al. 2009, von der Ohe et al. 2012).

100 In response to that, the present interlaboratory study (ILS) was developed as a collaborative
101 exercise to investigate whether a battery of miniaturized bioassays would produce consistent
102 results for the evaluation of blinded samples containing pristine water extract spiked with
103 representative emerging pollutants as single-chemicals or mixtures. These included:

104 (i) Triclosan, a chlorinated phenoxy phenol used as biocide in personal care and household
105 products, already suggested as candidate priority substance (von der Ohe et al. 2012);

106 (ii) 17 α -ethinylestradiol (EE2), a synthetic estrogenic human and veterinary pharmaceutical
107 recently included in the European chemical watch list for water quality monitoring (EC 2013,
108 Kunz et al. 2015);

109 (iii) Acridine, an heterocyclic aromatic hydrocarbon of industrial origin and a carbamazepine
110 transformation product found in aquatic sediments and groundwater (Hartnik et al. 2007, de Voogt
111 and Laane 2009);

112 (iv) 3-Nitrobenzanthrone (3-NBA), a potent mutagenic diesel exhaust component that occurs in
113 aquatic sediments and rainwater (Murahashi et al. 2003, Lübcke-von Varel et al. 2012).

114 The water extract included a realistic environmental matrix as a sample component, increasing
115 the relevance of the study for water quality assessment. Methods evaluated effects on organisms
116 from three trophic levels (algae, daphnids, fish) and mechanism-specific effects using *in vitro*
117 estrogenicity and mutagenicity assays. The resulting interlaboratory trial brings a novel approach
118 since, with very few exceptions (Carvalho et al. 2014, Escher et al. 2014), previous bioassay ILS
119 focused on only one or few methods, a single mode of action, or single chemical or sample (Hoss
120 et al. 2012, Reifferscheid et al. 2012, Feiler et al. 2014). Finally, a unique aspect of this study that
121 is reflected in the discussion is the clear aim to promote the regulatory use of bioassays for water
122 quality monitoring at the European policy-makers level.

123

124 2. Material and Methods

125 2.1 Chemicals

126 Information on the test chemicals is provided in Table 1.

127 2.2 Participant institutes and design of study

128 The study was coordinated by the Department of Ecosystem Analysis, Institute for
129 Environmental Research, RWTH Aachen University, Germany. The 11 participant laboratories
130 (Table S1, S.I.) are associates of the NORMAN working group (WG) on bioassays and
131 biomarkers. The battery composition was defined during a WG meeting in agreement with the
132 different participants, considering the relevance of different bioassays for water quality
133 assessment. After, the WG participants responded to a query regarding their interest in performing
134 the different tests. Finally, three to four laboratories were selected to perform each bioassay, with
135 inclusion of all interested.

136 2.3 Battery of bioassays

137 The bioassay battery (Table 2, Table S2) evaluated effects on organisms from different trophic
138 levels: unicellular green algae growth inhibition (Algae), daphnid immobilization (*Daphnia*), and
139 zebrafish embryo lethality and morphological effects (FET). Mechanism-specific assays evaluated
140 estrogenicity (ER-Luc and YES) and mutagenicity (Ames). Experiments were performed in
141 miniaturized format following static exposure without vessel pre-incubation with test solutions.

142 2.4 Water sample extract spiking

143 A 180 L water sample was collected at the pristine creek Wormsgraben (Harz Mountains,
144 Germany), transported to the laboratory in stainless steel drums, extracted using large-volume
145 solid phase extraction (Schulze et al. in preparation), and concentrated in 18 mL methanol. The

146 method is described in the supplementary material. The water extract was evaluated in some
147 bioassays (Table S3) by the coordinator.

148 Chemicals for spiking (Table 1) were selected due to relevance as emerging pollutants and
149 bioactivity. Effect-data from previous studies and own preliminary tests (Table S3) provided the
150 basis for spiking composition decision. Two or three spikes were designed per assay (Table 3)
151 having either the most active toxicant(s) for each method or a final chemical mixture containing a
152 fixed ratio of respective single chemical(s). Concentrations aimed to produce full dose-response
153 curves considering as maximum test concentration $1 \mu\text{L}_{\text{extract}}/\text{mL}_{\text{medium}}$, corresponding to an
154 enrichment factor of 10 ($10 \text{ mL}_{\text{water-equivalent}}/\text{mL}_{\text{medium}}$). Spikes for *Daphnia*, FET, ER-Luc and
155 Ames were prepared by water extract evaporation to dryness, addition of DMSO as carrier, and
156 spiking of chemicals using stock solutions in DMSO followed by separation in aliquots for each
157 participant. For algae and YES, the water extract was spiked with the chemicals in methanol,
158 divided in aliquots, and evaporated to dryness. Aliquots were coded and shipped at room
159 temperature to the laboratories, who were not informed on sample composition during the testing
160 period. DMSO was also provided for solvent control conditions. Samples were then stored at 4°C.

161 2.5 Exposure setup and tested concentration ranges

162 Experiments were repeated mostly three times per bioassay, in each test with 3-4 replicate
163 wells/vessels for each test condition following exposure setups described in Table 3.

164 2.6 Integrated data and statistical analysis

165 Bioassay results (expressed as described in Table 2) were evaluated following the same data
166 preparation and statistical analysis methods. Results from experimental replicates were pooled and
167 EC₅₀ values were calculated for grouped experiments either by 2-parameter Weibull function
168 using R language package (*Daphnia*), two parameter log-logistic curve from 0 to 100% with the
169 two adjustable parameters being slope and EC₅₀ by GraphPad Prism 6 (algae, FET, Ames), or
170 four-parameter log-logistic function with GraphPad (ER-Luc, YES). Differences between logEC₅₀

171 values from different laboratories were compared by t-test or one-way ANOVA followed by
172 Tukey's multiple comparisons test. EC_{50} values obtained in $\mu\text{L}_{\text{extract}}/\text{mL}_{\text{bioassay}}$ (S.I.) were converted
173 to nominal concentrations of individual chemicals contained in each sample. For algae, *Daphnia*
174 and FET, ratios between EC_{50} ($\mu\text{L}_{\text{extract}}/\text{mL}_{\text{bioassay}}$) values of single-chemical and mixture spikes
175 ($EC_{50\text{-single}} \cdot EC_{50\text{-mixture}}$) were calculated. That allowed comparing single- and mixture-spike effects,
176 since the mixture contained a fixed ratio of triclosan and acridine. For ER-Luc and YES, toxic-
177 equivalent factors to respective standard chemical, 17β -estradiol (E2) or EE2, were obtained.
178 Relative estrogenic potencies are expressed as E2 or EE2 equivalents (EEQ), calculated as a ratio
179 between the EC_{50} of the reference compound and the EC_{50} of the spiked sample: $EEQ = EC_{50\text{-E2}}$ or
180 $EE2/EC_{50\text{-sample}}$. The only exception was the water extract, for which the EEQ was obtained with the
181 PC10 approach (Besselink 2015).

182

183 3. Results and Discussion

184 Differences between assay results are indicated either as not significant (n.s.) or according to p
185 values. Effect-concentration values for different tests and laboratories are detailed in S.I.

186 3.1 Toxic effects on aquatic organisms

187 Aquatic organisms differed in terms of sensitivity to triclosan (algae>daphnids>FET) and
188 acridine (FET>daphnids>algae) spikes. Present EC_{50} nominal ($EC_{50\text{-nom}}$) for single-chemical
189 spikes (Fig.1) were in same range as literature data for tests performed in microtiter plates (Table
190 S4) but tended to be higher than literature values based on measured concentrations or for
191 experiments in higher medium volume.

192 3.1.1 Algae test

193 The OECD/ISO Algae test was the most sensitive aquatic organism assay to triclosan, in
194 agreement with freshwater algal growth being more sensitive than endpoints in bacteria, protozoa,
195 macrophytes, daphnids, amphibians and fish (Orvos et al. 2002, Tatarazako et al. 2004, Harada et

196 al. 2008, Tamura et al. 2013). Detected 72 h growth-inhibition EC_{50-nom} (14.7 and 25.7 $\mu\text{g/L}$, n.s.)
197 are in the same range as previous 72 and 96 h EC_{50-nom} for *P. subcapitata* determined also in 96-
198 well plates (Harada et al. 2008, Rosal et al. 2010). However, our values are 3-50 times higher than
199 results obtained by incubation in 20-100 ml of medium (i.e. 100-500 times the present volume)
200 (Orvos et al. 2002, Tatarazako et al. 2004, Yang et al. 2008, Tamura et al. 2013). Since triclosan is
201 relatively hydrophobic, adsorption to the plate material could have occurred (Rojíčková et al.
202 1998). Triclosan is also prone to phototransformation (Tixier et al. 2002), which could be another
203 source of variability. The OECD TG (2011) already discusses the interference of these aspects
204 with single-chemicals, which can provide a basis for investigating the stability of water extracts
205 components during exposure. Finally, the water extract matrix could have decreased triclosan
206 bioavailability due to its high sorption capacity to organic matter (Reiss et al. 2002).

207 For acridine, even if our EC_{50-nom} differed (5.9 and 4.1 mg/L, $p < 0.01$), values were in good
208 agreement with previous 72 h EC_{50-nom} for *Desmodesmus subspicatus* following exposure in 24-
209 well plates (Eisentraeger et al. 2008). However, values were circa one order of magnitude higher
210 than 96 h $EC_{50-meas}$ for *Selenastrum capricornutum* (current *P. subcapitata*) exposed in 100-250
211 mL medium (Blaylock et al. 1985, Dijkman et al. 1997). Sensitivity differences are not known for
212 acridine due to non-specific toxicity mechanism (Dijkman et al. 1997). Decrease in exposure
213 concentration instead may be relevant, since 40-60% losses occurred already prior to exposure
214 start, followed by additional circa 10% decrease during 72 h incubation in 24-well plates
215 (Eisentraeger et al. 2008). Therefore for acridine chemical losses during sample shipping,
216 handling and experiments could have interfered with effective test concentrations.

217 In the combined algae assay, 24 h growth inhibition EC_{50-nom} values for triclosan (65.0 and 56.2
218 $\mu\text{g/L}$, n.s.) and acridine (13.7 and 29.6, $p < 0.001$) spikes were 2-3 and 2-7 times higher than for the
219 OECD tests, respectively. That indicates time-dependency of effects for both chemicals on algae
220 growth. No tendency for specific photosynthesis inhibition was observed since the photosynthesis

221 endpoint was equally or less sensitive than growth inhibition (results not shown) (Escher et al.
222 2008, Tang and Escher 2014). Still, this is a very relevant endpoint since many current WFD
223 priority and emerging compounds present this mode of action.

224 $EC_{50\text{-single}}:EC_{50\text{-mixture}}$ ratios for triclosan reached values near or less than 1 and were lower than
225 those for acridine, suggesting its effects were prevalent in the mixture. EE2 is not considered to
226 have caused substantial growth inhibition, since the higher exposure concentration (0.1 mg/L) was
227 seven to ten-fold lower than previous NOEC (0.71 mg/L) or LOEC (1.2 mg/L) (Maes et al. 2014).

228 3.1.2 *Daphnia* test

229 The OECD/ISO *Daphnia* immobilization test presented intermediate sensitivity to both
230 triclosan- and acridine-spikes. Present triclosan 48 h immobilization $EC_{50\text{-nom}}$ (351 to 516 $\mu\text{g/L}$,
231 n.s.) are in similar range as previous studies (Orvos et al. 2002, Harada et al. 2008, Peng et al.
232 2013). The compound was also found to cause effects in *D. magna* reproduction test lasting 21
233 days, with LOEC values for reduced number of neonates being circa half of respective 48 h
234 immobilization EC_{50} (Orvos et al. 2002, Peng et al. 2013).

235 Also for acridine the obtained $EC_{50\text{-nom}}$ (3.0 to 5.1 mg/L, n.s.) agree with previous results
236 (Blaylock et al. 1985, Feldmannová et al. 2006, Eisentraeger et al. 2008). Acridine caused also
237 reduction in offspring number produced per brood in semi-static exposure during 14 d, with the
238 LOEC being less than half of respective acute EC_{50} (Blaylock et al. 1985).

239 Considering $EC_{50\text{-single}}:EC_{50\text{-mixture}}$ ratios, acridine values were near 1 and lower than for
240 triclosan, indicating that its effects were prevalent in the mixture. EE2 effects are considered to be
241 negligible, since its highest exposure concentration (0.1 mg/L) was 50 times lower than previous
242 NOEC (Goto and Hiromi 2003). Although no information for 3-NBA was found in the literature,
243 acute effects are not considered relevant due to low concentrations.

244 **3.1.3 FET test**

245 The OECD FET test presented the lowest sensitivity to triclosan and the highest sensitivity to
246 acridine among aquatic organism tests.

247 Triclosan 96 h LC_{50-nom} (1.3 to 1.9 mg/L, n.s.) and EC_{50-nom} (Table S5) are circa three times
248 higher than previous 96 h LC_{50-nom} for zebrafish embryos exposed in 24-well plates (Oliveira et al.
249 2009) or medaka in petri dishes under semi-static conditions (Ishibashi et al. 2004). This
250 discrepancy could be related to differences in medium volumes and ratios surface area to volume
251 of exposure vessels. However, triclosan concentrations decreased to circa half even in 1 L of water
252 after 24 h adult medaka exposure (Ishibashi et al. 2004). Therefore other factors could play a role
253 such as phototransformation, which can be minimized by incubation in dark. Among sublethal
254 effects, reduced growth and delayed development were prevalent, similarly to effects in *Xenopus*
255 *laevis* embryos (Harada et al. 2008). Triclosan was also related to delayed swim-up behaviour
256 initiation and reduced survival in rainbow trout early-life stages (Orvos et al. 2002) and to
257 disrupted swimming and predator avoidance in fathead minnow larvae (Cherednichenko et al.
258 2012, Fritsch et al. 2013). We observed increased heartbeat rates at 96 h in zebrafish exposed to
259 1.0 (47.0 beats / 20 s, $p<0.01$) and 1.3 mg/L (48.7 beats / 20 s, $p<0001$) compared to water and
260 solvent controls, concentrations which caused none and circa 10% ($p<001$) cumulative effects,
261 respectively. Since triclosan can impair the excitation-contraction coupling of cardiac and skeletal
262 muscle (Cherednichenko et al. 2012, Fritsch et al. 2013), increased compensatory heartbeat rate
263 could have occurred. Therefore the assessment of sublethal endpoints can support the
264 identification of toxic effects other than lethality (Di Paolo et al. 2015a, Jonas et al. 2015).

265 For acridine, FET 96 h LC_{50-nom} (0.71 to 1.28 mg/L, n.s.) were circa three times lower than
266 those from *Daphnia* and algae tests. Present values are slightly higher than previous measured 48
267 h LC_{50} performed in 24-well plates (Peddinghaus et al. 2012). That can be related to possible
268 acridine losses before and during experiments, since concentrations were shown to decrease to less

269 than half of nominal values (Peddinghaus et al. 2012). Performance of semi-static exposure with
270 solution renewal could be a possible solution to maintain exposure concentrations (OECD 2013b).

271 Considering the $EC_{50\text{-single}} \cdot EC_{50\text{-mixture}}$, triclosan tended to present lower values when
272 compared to acridine, indicating it was prevalent in the mixture toxicity. EE2 effects are
273 considered to be negligible, since its highest exposure concentration (0.1 mg/L) was 50 times
274 lower than previous NOEC (5 mg/L) (Goto and Hiromi 2003). For 3-NBA, although no
275 information was found in the literature, acute effects are considered to be negligible.

276 3.2 Estrogenicity assessment

277 Although differences occurred between different estrogenicity assays and models, relative
278 induction EC_{50} values were comparable to the literature, and obtained EEQ for the EE2-spike are
279 in good agreement with previous values for ER-Luc and YES (Figure 3).

280 3.2.1 ER-Luc assay

281 Among all assays performed by the coordinator (Table S3), the non-spiked water extract was
282 active only in the ER-Luc (ER-CALUX), with an EEQ of 0.17 ± 0.01 ng/L_{water} for the enrichment
283 factor of 1. EE2-spike induction EC_{50} (0.53 and 0.39 ng/L_{medium}, n.s.) were within the range of
284 previously reported values for EE2 (Legler et al. 2002, Murk et al. 2002, Wilson et al. 2004,
285 Bermudez et al. 2012, OECD 2012). Although EEQ values showed some variation (Fig.3C),
286 which could be related to differences in assay protocol or model sensitivity (Jarošová et al. 2014),
287 EEQ determination showed to be a reliable measurement for sample content.

288 Considering the mixture-spikes, concentrations ≥ 0.5 $\mu\text{L}_{\text{extract}}/\text{mL}_{\text{medium}}$ caused cytotoxicity and
289 were excluded from regression analysis. This effect is considered to be caused by triclosan
290 concentrations (≥ 0.5 mg/L_{medium}) in the cytotoxic range for human cells (Henry and Fair 2013);
291 while no acridine cytotoxicity is indicated (Brinkmann et al. 2014). Tendency for higher EEQ
292 values was observed for the mixture-spikes (Fig.3C). It could be discussed that such response is
293 related to estrogen receptor binding by other chemicals in mixture, since acridine induction in

294 T47Dluc assay produced an estradiol equivalency factor (EEF) of $2.5 \cdot 10^{-7}$ (Brinkmann et al.
295 2014). However there is no evidence of triclosan agonism in estrogen-receptor reporter gene cell-
296 based assays (own results) (Ahn et al. 2008). More likely, non-specific effects on cellular
297 membranes or metabolism (Ajao et al. 2015) could have interfered with induction.

298 3.2.2 *YES assay*

299 Our induction EC_{50} for the EE2-spike varied up to 2.5-fold (54.1 to 132.7 ng/L, $p < 0.01$ to
300 0.0001), in similar range to literature data (Table S4). The lowest EE2-spike EC_{50} was produced
301 by the Routledge/Sumpter strain (1996), in agreement with previous studies (Van den Belt et al.
302 2004, Balsiger et al. 2010), while the bioluminescent strain (Leskinen et al. 2005) produced the
303 highest value. For the McDowell/ISO assay (ISO 2013), the EC_{50} of 99.5 ng/L was slightly higher
304 than the EC_{50} obtained for the standard curve (80.4 ng/L), which also uses EE2 in this assay. EEQ
305 values varied circa 2-fold (45.8 to 94.3 $\mu\text{g}/\text{mL}_{\text{extract}}$), which can be related to the fact that different
306 yeast strains and protocols can produce different EEF values (Svobodová et al. 2009, Jarošová et
307 al. 2014). Therefore for the application of estrogenicity assays in water quality, effect-
308 concentrations for the standard chemical, main estrogens and investigated samples should be
309 determined using the same model and protocol (Jarošová et al. 2014, Kunz et al. 2015).

310 The highest mixture-spike test concentrations ($\geq 0.1 \mu\text{L}_{\text{extract}}/\text{mL}_{\text{medium}}$) caused cytotoxicity to the
311 yeast cells and were excluded from regression analysis. This is attributed mostly to triclosan (≥ 0.1
312 $\text{mg}/\text{L}_{\text{medium}}$), since acridine concentrations are not expected to be toxic to the yeast cells
313 (Brinkmann et al. 2014). No differences occurred between respective EEQ values for single and
314 mixture spikes (Fig.3D). Previously, acridine was not identified as estrogenic by the lyticase YES
315 assay (Brinkmann et al. 2014). Although triclosan was active in the Routledge/Sumpter strain, the
316 compound was not identified as estrogenic in the bioluminescent YES (Svobodová et al. 2009).

317 3.3 Mutagenicity assessment by the Ames fluctuation assay

318 Strong revertant induction occurred following 3-NBA-spike incubation with the TA98 strain
319 in the absence of S9 fraction (-S9) (Fig.4A), which was of lower magnitude after metabolic
320 transformation and for TA100 -S9 (Fig.4B-C). 3-NBA-spike revertant induction EC_{50} values were
321 0.21 and 1.56 $\mu\text{g/L}$ ($p < 0.01$) for TA98-S9; and 5.73 $\mu\text{g/L}$ for TA100-S9. Therefore the compound
322 was clearly identified as mutagenic, although further improvement might be needed if precise
323 effect-concentration values are required. Such results are in agreement with previous studies
324 describing 3-NBA as a strong direct-acting mutagen in the TA98 strain, and the fact that it is less
325 active in TA100 suggests that it causes frameshift-type mutations (Enya et al. 1997, IARC 2014).
326 Further, there are indications that 3-NBA is also genotoxic *in vitro* and in *in vivo* (Watanabe et al.
327 2005b). 3-NBA is a major mutagen in diesel particles, sediments, and surface soils (Enya et al.
328 1997, Watanabe et al. 2005a, Lübcke-von Varel et al. 2012) and concentrations up to 2.6 ng/L
329 were identified in rainwater (Murahashi et al. 2003).

330 For the mixture-spike, test concentrations $\geq 0.5 \mu\text{L}_{\text{extract}}/\text{mL}_{\text{medium}}$ caused toxic effects in -S9
331 exposures (attributed to triclosan 50 ng/mL medium), which were excluded from regression
332 analysis (Fig. 4B, Fig. S7). Cytotoxic effects were reduced by the S9 mix incubation (Fig. S7),
333 suggesting that resulting triclosan metabolites present less toxic effects than the parent compound.
334 Our results showed that neither triclosan nor acridine caused increase in the number of revertants
335 (Table S4), in agreement with previous studies investigating their mutagenicity through the Ames
336 plate incorporation method (Eisentraeger et al. 2008, SCCP 2009).

337 **3.4 Bioassay battery strategy**

338 Bioassay battery assessment of water quality is based on the consideration that one single
339 bioassay does not provide an overview on potential effects on different organisms and toxicity
340 mechanisms. Since sensitivity to different toxicants varies between organisms, multi-taxa
341 assessment supports the comprehension of toxicant effects on aquatic communities (Guillen et al.
342 2012). The organism-level assays proposed in the present study investigate population-level

343 effects in freshwater algae as primary producers, acute toxicity to the filter-feeder invertebrate
344 *Daphnia*, and acute toxicity to fish individuals. Multi-taxa toxicity assessment is applied for EQS
345 derivation within the WFD, which requires evaluation of acute and chronic data for (i)
346 alga/macrophyte, (ii) *Daphnia*/another invertebrate, and (iii) fish (EC 2011). Similar strategy is
347 applied in REACH to evaluate aquatic pelagic toxicity (ECHA 2014). The suitability of the algae,
348 *Daphnia* and FET assays to compose a basic (eco)toxicity test battery was evaluated for hazard
349 waste, wastewater effluent, freshwater and drinking water assessment (Keddy et al. 1995, Diaz-
350 Baez et al. 2002, Manusadžianas et al. 2003, Pandard et al. 2006, Gartiser et al. 2009, Römbke and
351 Moser 2009); and for effect-directed analysis (Brack et al. 2013, Di Paolo et al. 2015b, Brack et al.
352 2016). Therefore the assays are expected to be already established in diverse laboratories
353 worldwide. Finally, the followed miniaturized assay performance has already been investigated in
354 comparison with higher-volume methods and with adult fish for the FET (Eisentraeger et al. 2003,
355 Knobel et al. 2012, Baumann et al. 2014).

356 Complementary, mechanism-specific bioassays can provide information on modes-of-action
357 that are intrinsically of concern for ecosystems and health. For example, the photosynthesis
358 inhibition endpoint of the performed combined algae test covers many current WFD priority
359 compounds and emerging compounds. Furthermore, endocrine disruption and mutagenicity are of
360 particular relevance for population-level effects and humans (EC 2000, 2011, ECHA 2014). For
361 estrogens, regulatory strategies involving bioassays are reinforced after the recent inclusion of
362 estrogenic pharmaceuticals in the WFD watch list (Hecker and Hollert 2011, EC 2013). In fact,
363 both ER-Luc and YES assays have been recommended for estrogen monitoring in water bodies
364 (Loos 2012). Regarding mutagenicity, the Ames fluctuation assay round-robin study was the first
365 step towards its regulatory implementation in water legislation (Wolz et al. 2010, Reifferscheid et
366 al. 2012). Moreover, the Ames and umu tests are recommended as mutagenicity and genotoxicity
367 methods for the waste ecotoxicological characterization (Römbke and Moser 2009). Due to their

368 environmental and health relevance, estrogenicity and mutagenicity assays are also established in
369 many laboratories.

370 The present results complement previous validation studies of the organism-level and
371 mechanism-specific methods by demonstrating the good performance of methods not only with
372 single chemicals but also to evaluate water extracts spiked with emerging contaminants. Our
373 approach can provide useful information to link chemical testing and field studies with those
374 assays. A relevant aspect to consider is that the assays can be applied to evaluate not only water
375 extracts but raw water samples and effluents. In this sense the proposed bioassay battery presents a
376 flexible setup for diverse applications in the context of water quality monitoring.

377 **3.5 Stepping-stones towards the establishment of bioassays in water quality monitoring**

378 Currently there are diverse European initiatives towards bioassay application in water quality
379 assessment, such as the Technical Report on effect-based tools in the context of the WFD
380 (Wernersson et al. 2015) and activities towards the validation of low volume, high-throughput
381 bioassay batteries (Brack et al. 2013, Altenburger et al. 2015, Brack et al. 2015, Neale et al. 2015,
382 Schulze et al. 2015). Such applied studies will be of high relevance for the decision on a basic
383 battery for water monitoring. Similarly to our approach, these initiatives tend to focus on assays
384 that allow relatively fast performance. Consequently, only acute toxicity is evaluated in fish and
385 daphnids, while mechanism-specific methods are investigated in the *in vitro* level. However, after
386 the setup of such basic battery, its composition can certainly be expanded according to regional
387 requirements or specific investigation. For instance, when chronic fish toxicity is suspected, the
388 decision on whether to perform chronic tests can be supported by toxicity assays with fish early-
389 life stages (OECD 2013a, Villeneuve et al. 2014, Di Paolo et al. 2015a). In cases when freshwater
390 sediments present a concern, whole-sediment toxicity assays with different organisms are
391 available. Ring tests have demonstrated the good performance of tests evaluating macrophyte
392 growth impairment (Feiler et al. 2014); and growth and reproduction effects on interstitial water

393 nematodes (Hoss et al. 2012). Recent studies include also a methodological investigation of a
394 freshwater ostracod sub-chronic test (Casado-Martinez et al. 2016); and a tiered strategy for
395 sediment risk assessment integrating different toxicity tests (Diepens et al. 2016).

396 Importantly, the investigation of additional mechanism-specific toxicities can rely on diverse
397 reporter-gene assays, for which effect-based trigger values to support decisions on water quality
398 assessment are being established (Loos 2012, Brand et al. 2013, Escher et al. 2015). In parallel to
399 these tests, it is necessary to investigate the occurrence of non-specific toxicity caused by sample
400 components, which can interfere with the performance of assays and even mask mechanism-
401 specific effects (Brack et al. 2016). That was demonstrated in our study for the ubiquitous
402 contaminant triclosan, which was cytotoxic to human cells, yeast and bacteria at concentrations
403 representative of water samples or extracts (von der Ohe et al. 2012). Finally, further studies can
404 investigate remaining aspects of relevance for bioassays screening of water sample and extracts.
405 For instance, different conditions of sample storage can partially affect chemical composition,
406 including of endocrine disruptors (Aboulfadl et al. 2010). In the future, the influence of sample
407 shipping and storage conditions should be evaluated not only through chemical analysis but also
408 regarding effects on bioassay performance and results.

409

410 **4. Conclusions and outcomes**

411 The battery of miniaturized bioassays presented complementary sensitivity and specificity to
412 the water extract spikes containing four emerging pollutants as single-chemicals or mixtures.
413 Aquatic organism sensitivity varied following exposure to different chemicals, confirming the
414 complementary role of the tests with the three taxa for water quality assessment. Estrogenicity and
415 mutagenicity assays identified with high precision the respective mechanism-specific effects of
416 spikes, even though non-specific toxicity of mixture compounds affected the evaluation of higher
417 test concentrations. Since differences in experimental protocols, model organisms, and data

418 analysis can affect the determination of effect-concentrations, respective standard methods and
419 harmonized procedures should be followed when implementing bioassays in water monitoring.
420 Together with other ongoing activities for the validation of a basic battery of bioassays, the
421 present study is an important step towards the implementation of bioanalytical monitoring tools in
422 water quality assessment and monitoring.

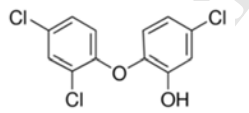
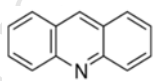
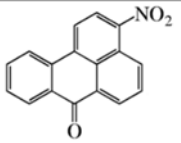
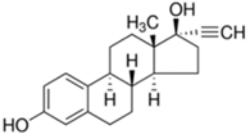
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424 **Acknowledgements**

425 We would like to thank the colleagues at RWTH Aachen Simone Hotz, Monika Lam, Christine
426 Schönlau and Josef Koch for the contribution to the preliminary tests of the water extract and
427 chemicals. This project was developed by the Working Group 2 Bioassays and biomarkers in
428 water quality monitoring of the NORMAN Association (<http://www.norman-network.net>). It was
429 funded and supported by the European Marie Curie Initial Training Network EDA-EMERGE
430 (grant agreement no. 290100; www.ufz.de/eda-emerge), the European FP7 Collaborative Project
431 SOLUTIONS (grant agreement no. 603437; www.solutions-project.eu), and the NORMAN
432 Association (www.norman-network.net). CDP was supported by the EDA-EMERGE Marie Curie
433 ITN (ESR1). SA and EM (INERIS) were supported by the French Ministry of Ecology (grant
434 P181) and by the ONEMA.

435

436 **Table 1:** Chemical properties of the compounds used for water extract spiking.

Chemical	CAS number	Formula	Supplier	Purity	Structure	Molecular weight (g mol ⁻¹)	logK _{ow}	Solubility in water (mgL ⁻¹)
Triclosan	3380-34-5	C ₁₂ H ₇ Cl ₃ O ₂	Sigma-Aldrich (Germany)	≥97%		289.6	4.76 ^a	10 (20°C) ^a
Acridine	260-94-6	C ₁₃ H ₉ N	Merck (Germany)	>98%		179.2	3.40 ^a	38.4 mg/L (24°C) ^a
3-Nitrobenzanthrone (3-NBA)	17117-34-9	C ₁₇ H ₉ NO ₃	Chiron AS (Norway)	>98%		275.3	4.5 ^b	0.025 ^b
17α-Ethinylestradiol (EE2)	57-63-6	C ₂₀ H ₂₄ O ₂	Sigma-Aldrich (Germany)	≥98%		296.4	3.67 ^a	11.3 (27°C) ^a

437 a: National Center for Biotechnology Information. PubChem Compound Database (September 2015)

438 b: Predicted data, US Environmental Protection Agency's EPISuite™, KOWWIN v1.67 estimate.

439

440

441 **Table 2:** Bioassays performed in the ILS, with indication of respective method title, endpoints, model organisms, exposure duration and protocol.

Bioassay	Method title	Endpoints / expressed results	Model organism	Exposure duration (h)	Exposure vessels	Medium per vessel or well (mL)	Protocols followed by laboratories (identified by codes) ^a
Algae test	Freshwater algal growth inhibition test	Growth inhibition / Growth inhibition normalized to solvent control	<i>Pseudokirchneriella subcapitata</i>	72	96-well plates	0.2	10, 9, 11: OECD Test No. 201 (OECD 2011) or ISO 8692:2012 (ISO 2012b) modified to 96-well plate
	Combined algae assay	Inhibition of microalgae growth and photosynthesis / Growth and photosynthesis inhibition normalized to solvent control	<i>P. subcapitata</i>	24	96-well plates	0.3	2, 3: Combined algae assay (Escher et al. 2008)
<i>Daphnia</i> test	<i>Daphnia sp.</i> acute immobilisation test	Immobilization of daphnids / Immobilization occurrence	<i>D. magna</i>	48	96-well plates, glass tubes, glass beakers	0.2 10 20	5, 6, 7, 10 and 11: OECD Test No. 202 (OECD 2004) or ISO 6341:2012 (ISO 2012a)
FET test	Fish embryo acute toxicity test	Fish embryo lethality and occurrence of morphological sublethal endpoints / Occurrence of survival and cumulative occurrence of lethal and sublethal morphological endpoints	<i>Danio rerio</i>	96	96-well plates	0.2	4, 9 and 10: OECD Test No. 236 (OECD 2013b) with observation of sublethal morphological endpoints modified to 96-well plate
YES assay	Yeast estrogen screening assay	Estrogen receptor binding activity / Induction values converted to % of standard maximum response (after subtracting the solvent response from both sample and standard)	Recombinant yeast cells	18-72	96-well plates	0.2	1: β -galactosidase recombinant yeast following ISO/TC 147/SC 5 N 804 (ISO 2013); 6: β -galactosidase recombinant yeast (Routledge and Sumpter 1996)
				2.5	96-well plates	0.2	9: Luciferase recombinant yeast (Leskinen et al. 2003, Leskinen et al. 2005)
ER-Luc assay	Estrogen receptor luciferase reporter-gene assays with permanent cell lines	Estrogen receptor binding activity / Induction values converted to % of standard maximum response (after subtracting the solvent response from both sample and standard)	Luciferase reporter gene permanent human cell lines	19-24	96-well plates	0.2	5: T47D-kbLuc breast cancer cells (Wilson et al. 2004) 8: BG1Luc4E2 ovarian cancer cells (Rogers and Denison 2000, OECD 2012); 10: osteosarcoma cells (Maletz et al. 2013, Besselink 2015)
Ames assay	Ames fluctuation assay	Induction of reverse mutations / Revertant numbers converted to % of positive control maximum response (after subtracting solvent revertants from both sample and positive control)	<i>Salmonella</i> strains TA100 and TA98	48 h	24- / 384well plates	0.5 (+2.5) / 0.05	1, 8, 10: ISO 11350 (ISO 2012c) or 3: (Reifferscheid et al. 2012, Escher et al. 2014)

442 a: Laboratory code numbers are described in Table S1.

443

444 **Table 3:** Composition of the spiked water samples for each bioassay, consisting of one or two
 445 single-chemical spiking and a chemical mixture for each bioassay

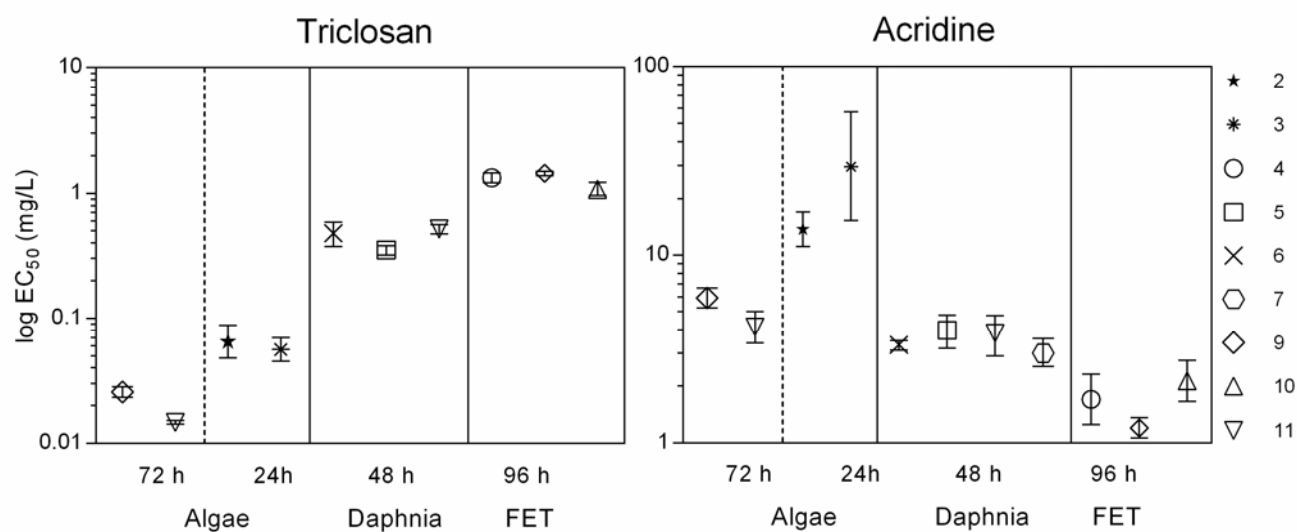
Bioassay	Sample	Composition of spiking of 10,000 times concentrated water extract				Exposure setup		
		Triclosan (mg/mL extract)	Acridine (mg/mL extract)	EE2 ($\mu\text{g/mL}$ extract)	3-NBA ($\mu\text{g/mL}$ extract)	Maximal test concentration (mL extract / L medium)	Serial dilution steps	Number of tested dilutions
Algae test	Triclosan	0.1	-	-	-	1-3 ^a 50-33 ^b	1 : 2 (2-fold)	5-7 ^a 16 ^b
	Acridine	-	10	-	-			
	Mixture	0.1	10	100	-			
Daphnia test	Triclosan	1	-	-	-	1	1 : 2 (2-fold)	4-5
	Acridine	-	15	-	-			
	Mixture	1	15	100	2			
FET test	Triclosan	3	-	-	-	0.77	1 : 1.3 (1.3-fold)	5
	Acridine	-	2	-	-	1		
	Mixture	3	2	100	2	0.58		
YES assay	EE2	-	-	100	-	0.1-2	3 : 10 and 1 : 3 (3.3 and 3-fold)	9-16
	Mixture	1	2	100	-			
ER-luc assay	EE2	-	-	1	-	0.5-1	1 : 10 (10-fold)	7
	Mixture	1	2	1	-			
Ames assay	3-NBA	-	-	-	2	1	1 : 2 (2-fold)	6
	Mixture	0.1	2	100	2			

446 a: Freshwater algal growth inhibition test with unicellular green algae

447 b: Combined algae assay

448

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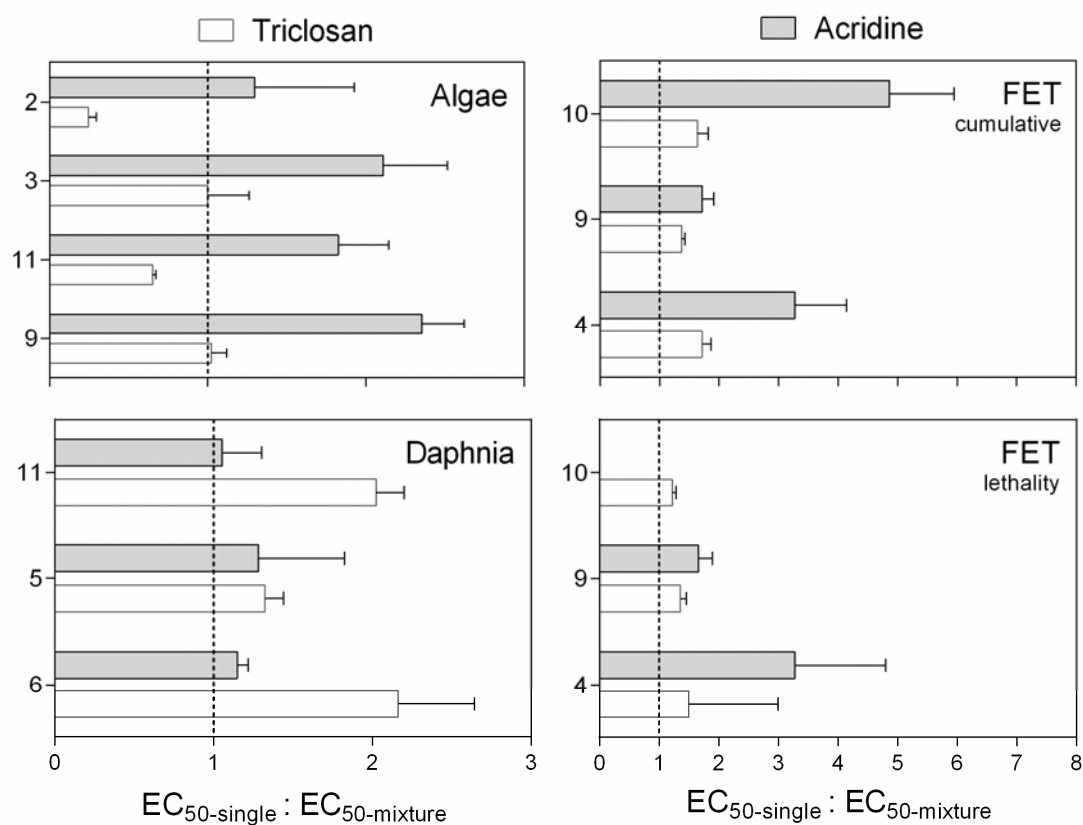
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451 **Figure 1:** Effect-concentration values ($\log EC_{50}$ and 95% C.I., mg/L) obtained for pooled data from one to
 452 three experiments for each assay for the triclosan (left) and acridine (right) spikes in the algae (72 h or 24 h
 453 growth inhibition), *Daphnia* (48 h immobilization) and FET (96 h cumulative effects) tests. Y-axes
 454 correspond to laboratory codes (Table S1).

455

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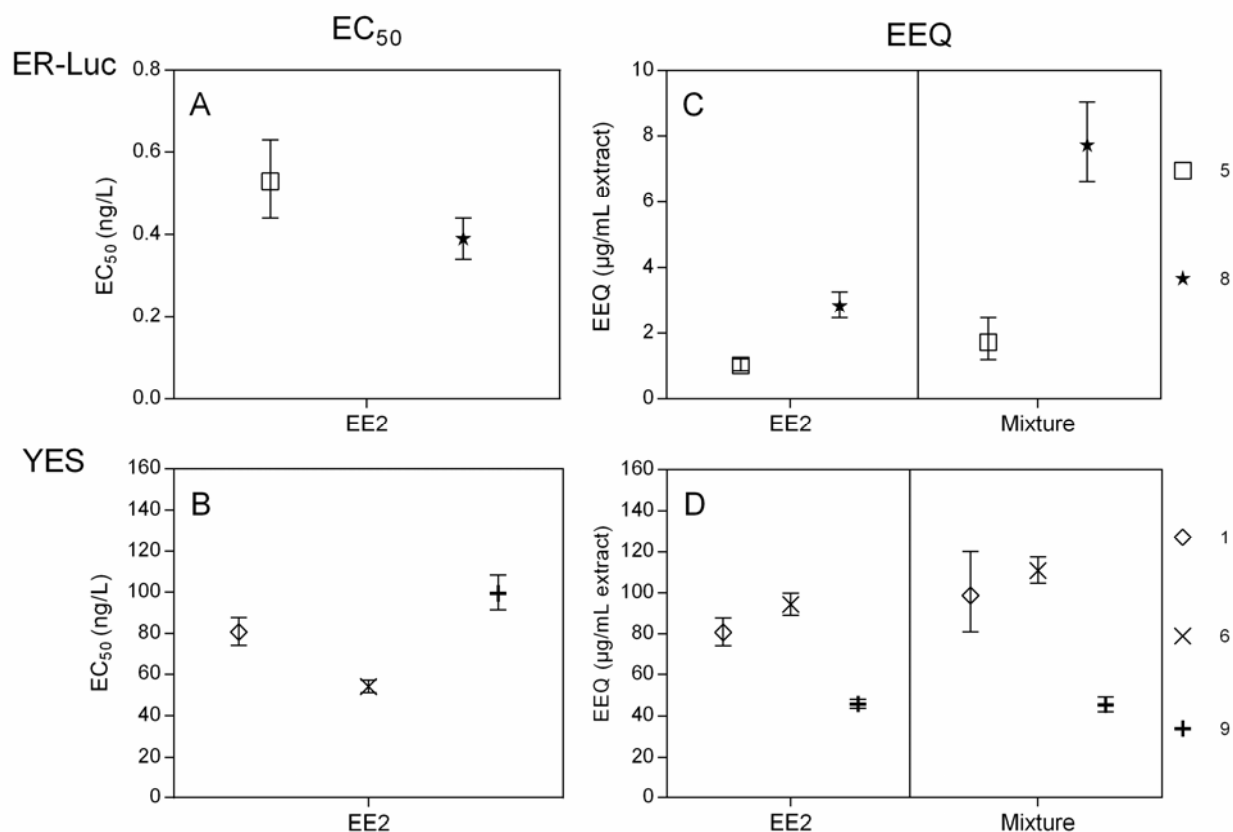
458

459 **Figure 2:** Ratios between EC₅₀ values (μL/mL) for the single-chemical and mixture spikes containing a
 460 fixed ratio of respective single compounds (EC₅₀-single : EC₅₀-mixture) for the triclosan (white bars) and
 461 acridine (grey bars) spikes in the algae, *Daphnia* and FET (cumulative effects and lethality) tests. Error
 462 bars correspond to the ratios between 95% C.I. for single chemicals and the EC₅₀-mixture value.

463

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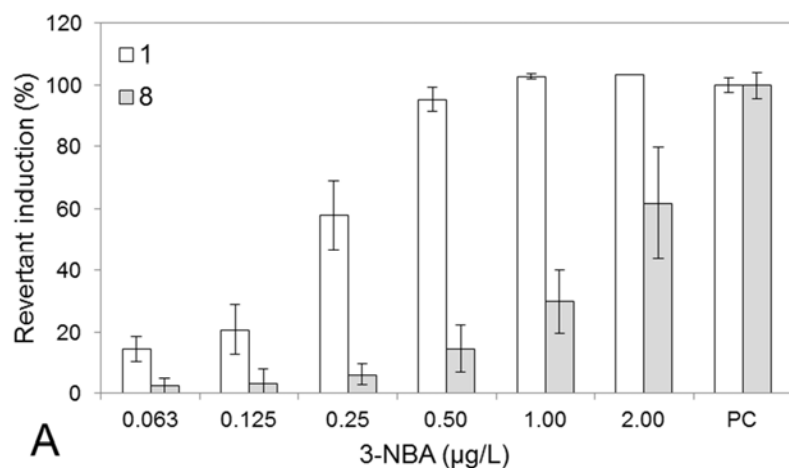
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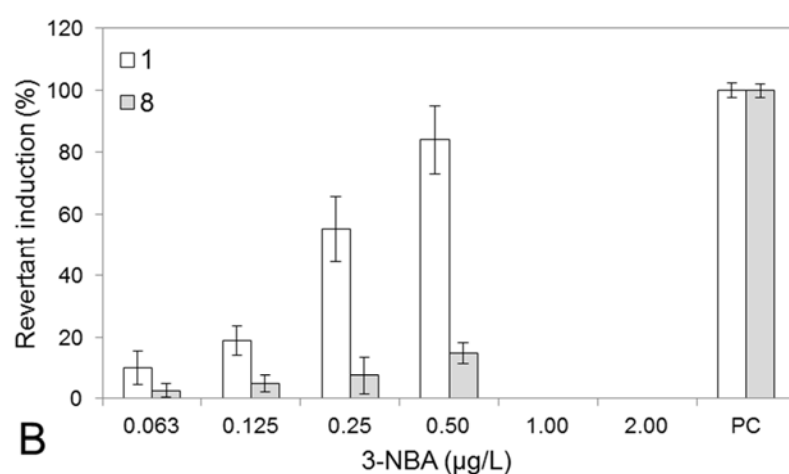
466

467 **Figure 3:** EC₅₀ (ng/L) values for EE2 in the ER-Luc (A) and YES (B) assays, and EEQ values
 468 obtained for the EE2 and the mixture spikes in the ER-Luc (C) and the YES (D) assays. EC₅₀
 469 values (symbols) and 95% C.I. (error bars) for respective sample. Results are presented according
 470 to laboratory code numbers (Table S1). Biological models are: T47D-kbLuc (5) BG1Luc4E2 (8),
 471 β-galactosidase recombinant yeast by McDonnell et al. 1991 (1), β-galactosidase recombinant
 472 yeast by Routledge and Sumpter 1996 (6), and luciferase recombinant yeast by Leskinen et al.
 473 2003 (9).

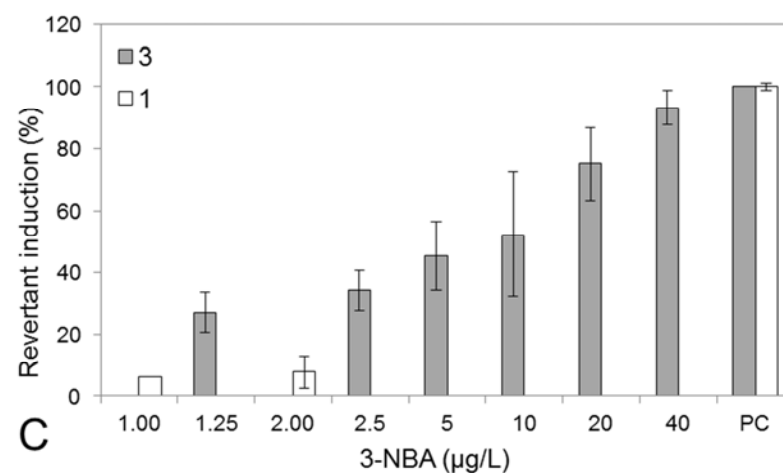
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A



B



C

475

476 **Figure 4:** Revertant induction versus 3-NBA concentrations ($\mu\text{g/L}$) contained in (A) 3-NBA-
 477 spike in TA98-S9, (B) mixture-spike in TA98-S9, and (C) 3-NBA-spike in TA100-S9; plus
 478 respective positive control (PC) conditions. Average values (bars) and standard deviations (error
 479 bars) for two to three experiments. Results are presented using laboratory code numbers (Table
 480 S1).

481

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