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## From discard ban to exemption: How can gear technology help reduce catches of undersized *Nephrops* and hake in the Bay of Biscay trawling fleet?

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### Abstract :

On January 1st, 2016, the French mixed *Nephrops* and hake fishery of the *Grande Vasière*, an area located in the Bay of Biscay, fell under the discard ban implemented as part of the new European Common Fisheries Policy. The fleet records historically high levels of discard despite numerous gear selectivity studies. Together with high discards survival, new technological solutions to minimize catches of undersized individuals could justify local exemptions from the discard ban. Our study focuses on the effects of two selective devices, a square mesh cylinder (SMC) and a grid, on the escapement of undersized individuals and discard reduction. Relative catch probability of the modified gear compared with the traditional gear was modelled using the catch comparison method. Potential losses from the commercial fraction of the catch were taken into account to assess their influence on the economic viability of fishing with the modified gears. The two devices had similar effects on undersized *Nephrops* escapement and on discard reduction, with median values of 26.5% and 23.6% for the SMC and of 30.4% and 21.4% for the grid, respectively. Only the grid was efficient for undersized hake, recording median values of escapement and discard reduction equal to 25.0% and 20.6%, respectively. Some loss from the commercial fraction of the catch was to be expected with both devices, which could be compensated for in the long term by the contribution of undersized individuals to the stock biomass. Our results support the use of selective gears technology as part of an integrated framework including control and management measures to mitigate the effect of the discard ban both for fishers and for the ecosystem. Further work is needed to quantify the effect of additional escapement from the gear on stock dynamics.

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## Highlights

► A square mesh cylinder and a grid were tested to reduce *Nephrops* and hake discards. ► Both devices were efficient at letting *Nephrops* <30 mm cephalothoracic length escape. ► Only the grid allowed hake <23 cm total length to escape. ► Commercial losses may be compensated for in the long term by improved stock dynamics.

**Keywords** : *Nephrops*, European hake, Discard ban, Gear technology, Catch comparison, Selectivity

## 33 2. Introduction

34 Article 15 of the new European Common Fisheries Policy (EU, 2013) imposes a discard ban for all species subject  
35 to either quota or minimum landing size (MLS) as specified in Regulation (EC) No 850/1998 (EC, 1998). The  
36 recently submitted discard plan for demersal species of the North-East Atlantic (Regulation (EU) 2015/2438)  
37 identifies both *Nephrops norvegicus* (hereafter *Nephrops*) and European hake (*Merluccius merluccius*) as falling  
38 under this regulation, with MLS thresholds of 28 mm Cephalothoracic Length (CTL) and 27 cm Total Length (TL),  
39 respectively.

40 *Nephrops* are crustaceans fished by bottom trawling. European *Nephrops* fisheries generate large amounts of  
41 demersal species bycatch, with high market value. Frequent bycatch species are cod, whiting, haddock and, for  
42 the French trawling fleet of the Bay of Biscay, European hake (Catchpole et al., 2006; Graham and Fryer, 2006;  
43 Madsen and Valentinsson, 2010; Nikolic et al., 2015). With 191 trawlers targeting the species in the area, it is  
44 one of the largest fishing fleet in France. In 2012, these vessels landed 2 175 tons of *Nephrops*, worth more than  
45 €29 million in market value (Leblond et al., 2014). Due to its economic importance, *Nephrops* fisheries have long  
46 been studied for trawl selectivity (Briggs, 2010; Catchpole and Revill, 2008; Frandsen et al., 2011). At the national  
47 level, the fishery has been the subject of the largest number of selectivity trials of any fishery (Vogel, 2016).

48 Early attempts to mitigate the effect of *Nephrops* trawling in ICES Area VIIIa focused on hake, following measured  
49 low levels of abundance at the end of the 1990s. Various technical measures were implemented to preserve  
50 juvenile fish including mesh size restrictions (Regulation (EC) 850/1998: art. 4), zoning for spawning grounds  
51 (Regulation (EC) 494/2002: art. 5 and 6), fishing effort limitation (Macher et al., 2008) and mandatory selective  
52 devices (Regulation (EC) 724/2001) (Nikolic et al., 2015). In 2010, further restrictive measures were adopted by

53 the French national fishing committee (*Comité National des Pêches et des Elevages Marins*, CNPMEM) in the  
54 form of the mandatory implementation of one of four specified selective devices (French Republic (RF) arrêtés  
55 of 2011, and 2010). The selective devices were based on research conducted on trawl selectivity for *Nephrops*  
56 and round fish in the North-East Atlantic, with either a large mesh codend, a sorting grid, a square mesh panel  
57 (SMP) or a cylinder made of net tilted 45° sideways (CNPMEM, 2004; Fonseca et al., 1999; Krag et al., 2008;  
58 Madsen and Stæhr, 2005). Despite these measures, discards in the *Nephrops* fishery of the Bay of Biscay still  
59 accounted for 35.6 % of all *Nephrops* catches and for 55.3 % of all hake catches in weight in 2013, of which 85.1 %  
60 and 89.1 %, respectively, were undersized (Cornou et al., 2015).

61 The discard ban, which started on 1<sup>st</sup> January 2016, enforces the mandatory landing of all catches. Recent studies  
62 point out the ecological impacts of such a reduction in nutrients income for the ecosystem, with adverse effects  
63 foreseen for all components of the trophic food web (Heath et al., 2014; Sardà et al., 2015). In the Bay of Biscay,  
64 *Nephrops* and hake are the species which contribute the most to total discards in weight; their removal from the  
65 food web will induce a shift in the predation pressure exerted by top-predators on the different trophic groups  
66 of the area although it is difficult to assess its amplitude (Kopp et al., 2016). For example, sea birds target fishing  
67 vessels for food (Sommerfeld et al., 2016), consuming up to ¼ of all fish discarded by trawling in the Bay of Biscay  
68 (Depestele et al., 2016). Limiting bycatch therefore appears a prerequisite to limit the effects of the discard ban  
69 on the ecosystem and to the success of the new Common Fishery Policy (CFP) (Fauconnet and Rochet, 2016;  
70 Prellezo et al., 2016).

71 Achieving the sustainable management of *Nephrops* and hake stocks is a vital goal for maintaining commercial  
72 fishing in the Bay of Biscay. In the long term, the discard ban aims at rationalizing the fishing process, inducing a  
73 mind shift from “minimum landing size” to “minimum catching size”; selective gears and sustainable practices  
74 are different tools to achieve this aim (Gullestad et al., 2015). The discard ban will among other issues put a stop  
75 to high-grading practices and help to improve stock assessment (Batsleer et al., 2015; Catchpole et al., 2014).  
76 Exemptions from the discard ban are however possible based on two specific criteria: either when a high survival  
77 rate of discards has been demonstrated, or when all potential technical and management measures have been  
78 implemented to reduce catches of undersized individuals (EU, 2013: Art. 15). The mixed *Nephrops* and hake  
79 fishery of the Bay of Biscay presently benefits from a one-year exemption from the now enforced discard ban,  
80 until 1<sup>st</sup> January 2017 (Regulation (EU) 2015/2438), based on high survival rates of the discarded *Nephrops*

81 (Méhault et al., 2016). Further work is required by the STECF (Scientific and Technical European Council for  
82 Fisheries) to guarantee that the exemption can be pursued in the long term (Regulation (EU) 2015/2438).

83 In the English *Nephrops* fishery, selective gear technology was identified as the best solution to limit discards  
84 while preserving fishing (Catchpole et al., 2006). Reducing catches of undersized individuals according to their  
85 MLS through the use of selective devices improves stock exploitation diagrams by reducing fishing mortality of  
86 young individuals (Macher et al., 2008). Effects on the recruitment process due to increased spawning biomass  
87 of both *Nephrops* and hake would be immediate and stabilize within 5 to 10 years (Raveau et al., 2012); Potential  
88 short-term economic loss due to some reduction of the commercial fraction of the catch would be compensated  
89 for in the long term (Raveau et al., 2012).

90 The present study focuses on technical solutions to reduce catches of undersized individuals of both *Nephrops*  
91 and hake. We present new results on two potential selective devices: a square mesh cylinder (SMC) and an  
92 inverted selective grid, which were tested onboard commercial vessels in 2010 and 2011. Both the SMC and the  
93 grid tested were developed on the basis of existing devices whose use is enforced in the area: the SMC of the  
94 present study provides larger mesh openings than the imposed appliance made of diamond mesh tilted 45°  
95 sideways (Frandsen et al., 2010a), and the grid located on the dorsal part of the extension section provides  
96 greater chances of contact for undersized hake than a ventral grid (Frandsen et al., 2010b; Graham and Fryer,  
97 2006).

98 Our aims were (1) to quantify the escapement rate of undersized *Nephrops* and hake associated with the use of  
99 each device, compared with the control trawl, and to estimate the corresponding discard rate reduction; (2) to  
100 model the catch probability of the test gears relative to the control gear for each species; and (3) to establish  
101 whether professional fishers would experience a loss of commercially valuable individuals if they put these  
102 devices to use. On the basis of these findings we discuss how much these selective devices would contribute to  
103 improving management for the mixed *Nephrops* and hake fishery in the Bay of Biscay, in the context of the new  
104 CFP and its associated discard ban. The potential ecological effects of different management options are  
105 discussed in views of the existing literature for this fishery and for other mixed fisheries targeting *Nephrops* in  
106 the North-East Atlantic.

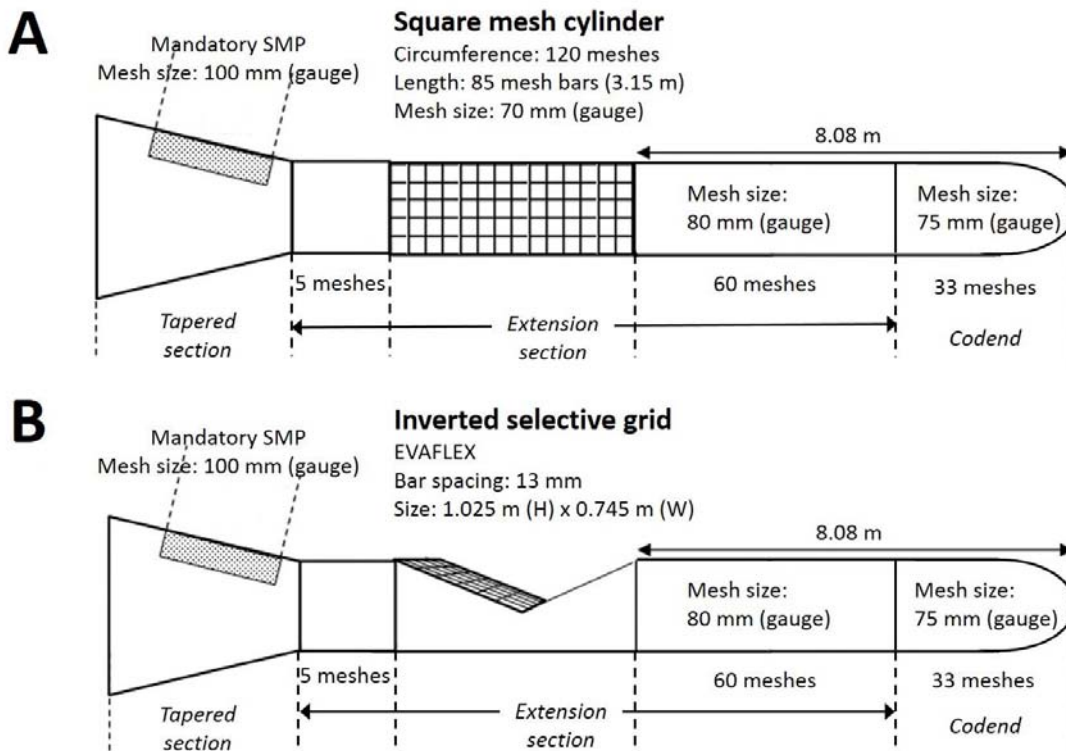
107 3. Material and methods

108 3.1. Fishing gear characteristics

109 All fishing vessels involved in the trials were twin-rigged with identical trawl bodies and codends. For the control  
110 trawl, the configuration was designed to be representative of professional fishing conditions and to comply with  
111 regulations currently in force in the area. A mandatory 100-mm SMP, 3m by 1 m, was inserted in the tapered  
112 section of each, 12 meshes ahead of the extension section. The extension section was 100 meshes long, made of  
113 single-twine polyethylene fibre (PE), 3 mm in diameter and of 80-mm mesh-size (gauge). Codends were 33  
114 meshes deep, 120 meshes in circumference and made of single-twine PE with a mesh-size of 75 mm (gauge).  
115 Aside of the selective devices, selective trawls were identical to the control trawls. The selective devices used are  
116 shown in figure 1:

117 (i) The SMC is located in the extension section of the trawl, five meshes down from the tapered  
118 section. Mesh size is 70 mm at the gauge (37 mm mesh bar). The SMC is made of PE, has 120 meshes  
119 in circumference and is 85 meshes long (3.15 m). Fitting of the SMC to the diamond mesh trawl  
120 body is done by joining two diamond meshes to one square mesh. The lower side of the SMC is  
121 located 60 meshes up from the codend (Figure 1A).

122 (ii) The inverted selective grid, hereafter referred to as 'the grid', is also located in the extension section  
123 of the trawl, on the ventral part, five meshes away from the tapered section. The grid is made of  
124 soft polyurethane (EVAFLEX), with 13 mm vertical bar spacing. Bars have a round cross section; five  
125 horizontal bars ensure the grid's rigidity. The lower side of the grid is located 60 meshes up from  
126 the codend (Figure 1B).



127

128 **Figure 1. Diagram of the square mesh cylinder (A) and inverted selective grid (B), with location details within**  
 129 **the trawl body.**

130 3.2. Sea trials

131 Sea trials were performed during the periods April–August 2010 and May–June 2011 for the square mesh  
 132 cylinder, and April–September 2011 for the grid. Trials were carried out on the “*Grande Vasière*”, an area of the  
 133 Bay of Biscay starting at the latitude of the Gironde estuary and stretching up to the south-west point of Brittany  
 134 (Lat. 47.856 N, 45.833 S, Long. -5.129 O, -2.082 E) (Macher et al., 2008). Ten vessels of similar length and horse  
 135 power belonging to the *Nephrops* fishing fleet were involved in the trials of the SMC, and 13 for the grid. Each  
 136 vessel was equipped with a control trawl on one side and a selective trawl on the other side, to allow paired  
 137 tows. In total, 113 valid hauls (i.e., no operating damage while trawling) were run for the SMC and 74 for the  
 138 inverted grid, for a mean haul duration of 186 min and 193 min respectively (Table 1). Average trawling speed  
 139 was 3.56 knots (SD: 0.34), sea state varied from calm to rough with median wave height equal to 0.75 m and a  
 140 maximum of 3.00 m.

141 **Table 1. Basic haul information on sea trials run with the square mesh cylinder and the inverted grid in 2009-**  
 142 **2010 in the Bay of Biscay.**

<i>Selective devices</i>	<i>Total number of hauls</i>	<i>with Nephrops</i>	<i>with hake</i>	<i>Mean haul duration in min (SD)</i>
SMC	113	62	87	186 (35)
Grid	84	74	84	193 (40)

143 3.3. Data collection

144 For each haul, both commercial catches and discards of *Nephrops* and hake were weighed and measured. Total  
 145 length was measured in cm for fish, and cephalothoracic length was measured in mm for *Nephrops*. When the  
 146 total catch was too large to allow measurement of every individual, random sub-sampling was performed and  
 147 the weight ratio between total catch and subsample was recorded for the subsequent data processing. Estimated  
 148 total numbers of individuals of each species and size per haul were computed as the product of the number of  
 149 individuals sampled, using the recorded weight ratio. They are hereafter referred to as “scaled-up count data”.  
 150 Hauls lasting less than one hour were removed from the data set as non-representative of commercial fishing  
 151 operations. Data selection was made based on the number of individuals recorded per length class: when less  
 152 than five individuals had been caught for the control and test gear combined for a given haul, the length class  
 153 was removed as non-representative.

154 3.4. Data analysis

155 3.4.1. Sampling scheme validation

156 All statistical analyses were run in ‘R’ (R Core Team, 2014). Pooled scaled-up count data were plotted for each  
 157 selective device against the corresponding control gear, creating catch comparison graphs. Size distributions of  
 158 catches by the test and control gears were compared using the Kolmogorov-Smirnov test (Wilcox, 2005).  
 159 Proportions of fish retained per length class,  $P(l)$ , were computed and displayed on the graph, such that:

160 
$$P(l) = \frac{N_{l,t}}{N_{l,c} + N_{l,t}}$$

161 where  $N_{l,t}$  is the sum of scaled-up count data of fish of length  $l$  in the test gear and  $N_{l,c}$  the sum of scaled-up  
 162 count data of fish of length  $l$  in the control gear across all hauls. A weighted spline regression with four degrees  
 163 of freedom was run on the observed proportions and added to the graph. A horizontal line was drawn at 0.5 to  
 164 indicate the level at which the two gears showed equal fishing performance.



### 3.4.2. Escapement rates

Escapement rates in numbers,  $r_{esc}$ , due to the implementation of the different selective devices for undersized individuals were estimated for each device and species, as:

$$r_{esc} = 1 - \frac{n_{l < MLS,t}}{n_{l < MLS,c}}$$

where  $n_{l < MLS,t}$  is the number of undersized individuals in the test gear and  $n_{l < MLS,c}$  the number of undersized individuals in the control gear. A positive escapement value is returned when less fish are captured by the test gear than by the control gear; this is equal to zero if there is the same number of fish in both gears and negative if more fish are captured by the test gear than by the control gear. Escapement rates for each haul were plotted as violin plots (Hintze and Nelson, 1998), which provide a visual representation of the data distribution; outliers were kept to give a full representation of the variability observed during the sampling process. The descriptive statistics median escapement, mean escapement and associated standard deviation were calculated for undersized and for market-sized individuals.

### 3.4.3. Modelling

Relative catch probability of the test gear compared with the control gear was modelled according to Holst and Revill's method (2009) and traditional data analysis of binomial type data (Agresti, 2010). A logistic regression was run on the observed proportions of fish retained in the framework of generalized linear mixed models (GLMM), which made it possible to account for variability arising from the experimental design by adding random terms to the model's structure that would affect either or both the intercept and the slope parameter estimates (Pinheiro and Bates, 2000).

Fish length was considered in terms of explanatory variable. The use of absolute catch values induces some variability owing to differences in tow duration between hauls; additional variability arises from differences in experimental conditions between hauls, such as sea state, water temperature or turbidity. This environmental variability is accounted for in the modelling process by implementing "haul" as the random term. Fish length was standardized to facilitate model convergence. Centring (L-mean) and scaling (L-sd) parameters for length were reported to make estimate interpretation in GLMM results easier.

190 GLMM were set using the lme4 'R' package with the *glmer()* function (Bates et al., 2014). By this method, model  
 191 selection is based on the AIC score of each model (Burnham and Anderson, 2002). Two models were considered.  
 192 The first one was set with a random intercept, which takes into account that the baseline escapement probability  
 193 varies from one haul to another:

$$194 \quad \text{logit}(p) = (\beta_0 + \beta_{0L}) + \beta_1 L + \beta_2 L^2 + \varepsilon_L$$

195 The second model includes both a random slope and a random intercept. The former implies that escapement  
 196 probability varies for fish of the same length class between hauls. Size variability of fish and crustacean caught  
 197 by the gear is associated with individual's swimming abilities and resilience to effort, which affect escapement  
 198 probability (Killen et al., 2015) and justify the use of a random term associated with length:

$$199 \quad \text{logit}(p) = (\beta_0 + \beta_{0Lj}) + (\beta_1 + \beta_{1j})L + (\beta_2 + \beta_{2j})L^2 + \varepsilon_{Lj}$$

200 For both models,  $L$ s is the constant term in its quadratic form and  $j$ s is the different levels of the random factor.  
 201 GLMM parameter estimates and statistical significance are presented in the results section. As for splines, a  
 202 horizontal line was drawn at 0.5 to indicate the level at which the two gears showed equal fishing performance.  
 203 An efficient selective device will hereafter designate a device for which the 0.5 level was not reached for small  
 204 sized individuals (<MLS) but was either equal to or greater than 0.5 for individuals larger than the MLS. Such a  
 205 pattern corresponds to an escapement of undersized individuals without commercial losses from the catch. Some  
 206 tolerance was allowed around the length at which the model reached the 0.5 level when qualifying a device as  
 207 efficient.

#### 208 3.4.4. Discard and commercial catch rates reduction

209 Estimated discard rate reduction in weight,  $r_{disc}$ , and estimated commercial losses in terms of weight,  $r_{cc}$  –  
 210 hereafter referred to as commercial catch rate reduction, associated with the use of the selective devices  
 211 compared to the traditional gear were computed for simulation, based on length–weight relationships for each  
 212 species in the Bay of Biscay (Mahe et al., 2007) and using MLS as the discarding criterion:

$$213 \quad r_{disc} = 1 - \frac{\hat{m}_{disc,t}}{\hat{m}_{disc,c}} \quad \text{and} \quad r_{cc} = 1 - \frac{\hat{m}_{cc,t}}{\hat{m}_{cc,c}}$$

214 where  $\hat{m}_{disc,t}$  is the estimated weight of discards in the test gear and  $\hat{m}_{disc,c}$  the estimated weight of discards in  
 215 the control gear, while  $\hat{m}_{cc,t}$  is the estimated weight of commercial catches in the test gear and  $\hat{m}_{cc,c}$  the

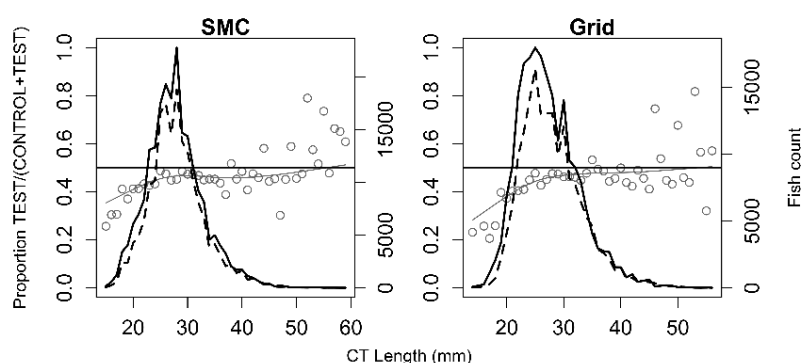
216 estimated weight of commercial catches by the control gear. As for escapement, a positive value expresses a  
 217 reduction in discards or, respectively, a reduction in commercial catches in the test gear compared with the  
 218 control gear.

## 219 4. Results

### 220 4.1. Effects of the selective devices on *Nephrops* catches

#### 221 4.1.1. Catch composition from sampling

222 *Nephrops* captured through the different trials ranged from 10 mm to more than 50 mm in carapace length. No  
 223 cohort-like structure could be identified from the pooled scaled-up catch data (Figure 2). Size structure of the  
 224 *Nephrops* population sampled through the use of selective gears is different to the control gear for both selective  
 225 devices, with highly statistically significant t-values ( $p < 0.001$ ) (Table 2), indicating an effect of the devices.  
 226 Overdispersion in the proportions of *Nephrops* retained is observed for length values greater than 45 mm in both  
 227 cases (Figure 2), limiting our ability to infer the effect of the devices over this size.



228  
 229 **Figure 2. Pooled scaled-up catches of *Nephrops* from trials of the SMC (left) and of the grid (right). Catches**  
 230 **from the control gear (thick black line), catches from the test gear (thick broken line), proportions (dots) and**  
 231 **a regressive spline with four degrees of freedom run on weighted proportions (thin grey line) are shown.**

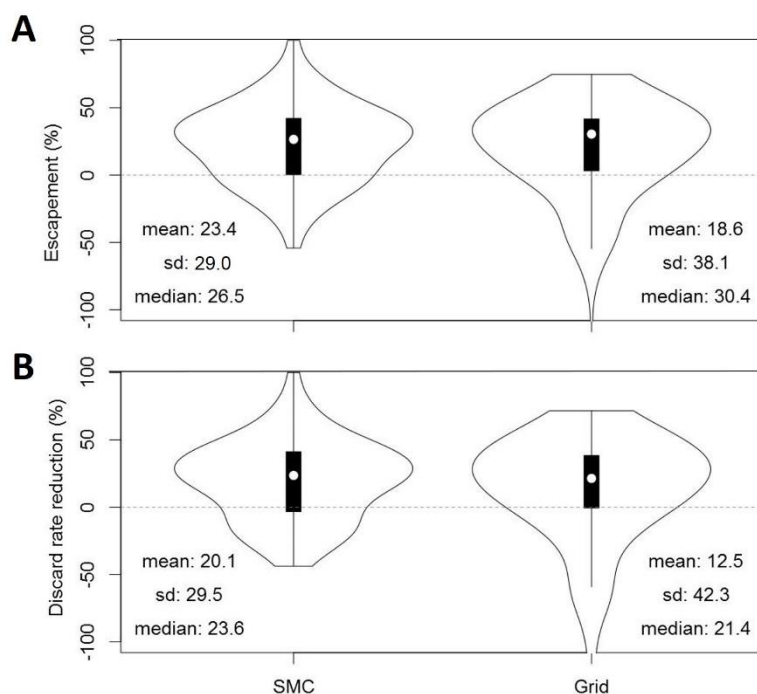
232 **Table 2. Descriptive statistics and t-value with level of statistical significance of *Nephrops* sampled with the**  
 233 **different trawl designs. (L: length; SD: standard deviation; \*\*\* $p < 0.001$ )**

	SMC		Grid	
	Control	Test	Control	Test
L – mean (mm)	30.9	31.2	31.9	32.2
L – median (mm)	30.0	30.0	31.0	32.0
L – sd (mm)	8.7	8.5	8.6	8.4
t-value	7.41***		8.29***	

234 *Nephrops* caught during the SMC trials were smaller on average than *Nephrops* caught during the grid trials  
 235 (Welsh's t-test on catches of the control gears:  $t = 5.69$ ,  $p < 0.001$ ) (Table 2). Standard deviation around the mean  
 236 was similar for both selective devices and for the test and control gears (Table 2), which can be attributed to the  
 237 effect of environmental variability alone.

#### 238 4.1.2. Escapement of undersized *Nephrops*

239 Undersized *Nephrops* escaping through the SMC display a distribution that is close to normal (Figure 3A).  
 240 Escapement values range from -54.1 % to 100 %. Standard deviation is greater than mean escapement value; the  
 241 difference between mean and median escapement values is negligible for this device (Figure 3A).



242  
 243 **Figure 3. Escapement levels of undersized *Nephrops* in numbers (A) and discard rate reduction of undersized**  
 244 ***Nephrops* based on estimated discard weights (B) for the implementation of the SMC (left) or of the grid (right)**  
 245 **compared with the control gear. Violin plots display the median (white dots), 25<sup>th</sup> to 75<sup>th</sup> percentiles (black-**  
 246 **filled rectangle) and extreme 5<sup>th</sup> and 95<sup>th</sup> percentiles (straight black line), the black curves illustrate the data**  
 247 **distribution. Mean, SD and median values are given.**

248 Outliers are recorded for undersized *Nephrops* escaping through the grid: distributions of escapement values are  
 249 skewed towards negative values (Figure 3A), with a minimum value of -139.4 %. The maximum escapement  
 250 observed was 74.8 %. The grid shows a lower mean escapement value of undersized *Nephrops* than the SMC and

251 its results are more variable. There is an 11.8 % difference between mean and median escapement values for  
 252 this device, showing the influence of outliers on the arithmetic mean value (Figure 3A).

253 *4.1.3. Discard rate reduction*

254 Discard rate reduction in terms of weight of *Nephrops* from the SMC trials ranges from -43.8 % to 100 %, with a  
 255 bimodal distribution (Figure 3B). Standard deviation is 1.5 times greater than mean escapement value; mean and  
 256 median values are similar (Figure 3B).

257 Distribution of discard rate reduction from the grid trials is skewed towards negative values (Figure 3B), although  
 258 only two records are inferior to -100 %, at -107.4 % and -158.9 %. The 5<sup>th</sup> quantile is equal to -66.8 %. Standard  
 259 deviation is 3.4 times larger than the mean value; the median value 1.7 larger than the mean value (Figure 3B).  
 260 The maximum discard rate reduction recorded was 71.5 %.

261 *4.1.4. Relative catch probability*

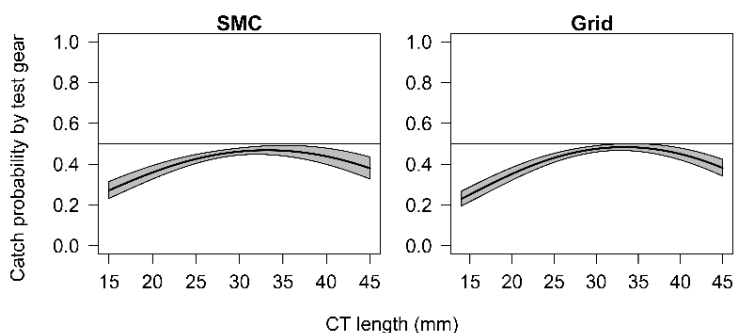
262 Catch probability of the test gear relative to the control gear was modelled on the 5-45 mm CTL interval to  
 263 guarantee homogeneity of the variance in the dataset of predicted values. Models including both a random  
 264 intercept and a random slope returned the best fit, with the lowest AIC value for both selective devices tested  
 265 (Table 3). All the parameters included made a statistically significant contribution to the model, with size effect  
 266 being different from zero.

267 **Table 3. Parameter estimates for fixed effects and standard deviation associated with random effects for**  
 268 ***Nephrops* relative retention models (RI: model with random intercept; RIRS: model with random intercept and**  
 269 **random slope; SD: standard deviation; \* p<0.05, \*\*p<0.01, \*\*\*p<0.001).**

	<i>Square Mesh Cylinder</i>		<i>Inverted Grid</i>	
	<i>RI</i>	<i>RIRS</i>	<i>RI</i>	<i>RIRS</i>
AIC	54257	51069	53938	51150
<i>Fixed effects : estimates (SD)</i>				
(Intercept)	- 0.16 (0.03) ***	- 0.16 (0.03) ***	- 0.09 (0.03) **	- 0.09 (0.03) **
Length	0.10 (0.01) ***	0.15 (0.05) **	0.12 (0.01) ***	0.14 (0.04) **
Length <sup>2</sup>	- 0.10 (0.01) ***	- 0.15 (0.01) ***	- 0.13 (0.01) ***	- 0.17 (0.01) ***
<i>Random effects : SD</i>				
Haul	0.27	0.27	0.26	0.26
Length	-	0.39	-	0.35

270 Conclusions drawn from graphical representations of the models outputs as relative catch probability are  
 271 identical for the two selective devices (Figure 4). The random effect associated with length has either a larger or  
 272 an equivalent influence on the odds ratio of the retention than does the random effect associated with haul

273 (Table 3); both selective gears reduce catches over the whole range of sizes sampled, from 15 mm to 45 mm CTL  
 274 (Figure 4). The effect of the selective devices is, however, weak for individuals between 27 mm and 39 mm CTL,  
 275 while it is stronger for small sized individuals (<25 mm CTL). The 95 % confidence intervals (CI) are small over the  
 276 whole size range considered in the modelling process (Figure 4).

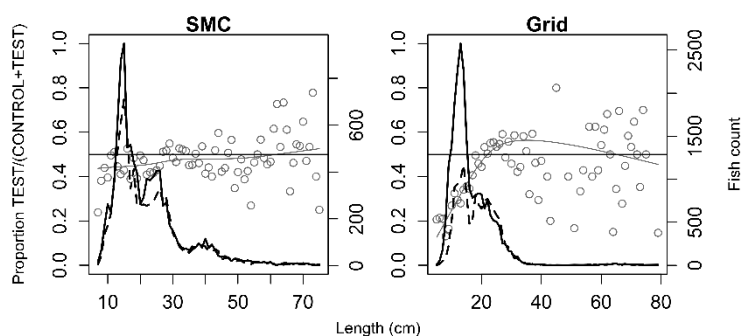


277  
 278 **Figure 4. GLMM output representing *Nephrops* relative catch probability by the test gear relative to the control**  
 279 **gear from the implementation of the SMC (left) and grid (right), with 95 % confidence intervals around the**  
 280 **mean.**

## 281 4.2. Effects of the selective devices on hake catches

### 282 4.2.1. Catch composition from sampling

283 Hake captured during the trials ranged from 10 to 80 cm in length, and less catches of hake were recorded from  
 284 trials with the SMC than from trials with the grid (Figure 5). Population structure of individuals sampled through  
 285 the use of selective gears is different to the control gear for both selective devices, with statistically highly  
 286 significant t-values ( $p < 0.001$ ) (Table 4), indicating an effect of the devices. However, overdispersion in the  
 287 proportions of fish retained are observed for length values greater than 40 cm for the SMC and greater than 35  
 288 cm for the grid (Figure 5), limiting our ability to infer the effect of the devices above these sizes.



290 **Figure 5. Pooled scaled-up catches of hake from trials of the SMC (left) and of the grid (right). Catches from the**  
 291 **control gear (thick black line), catches from the test gear (thick broken line), proportions (dots) and a regressive**  
 292 **spline with four degrees of freedom run on weighted proportions (thin grey line) are shown.**

293 **Table 4. Descriptive statistics and t-value with level of statistical significance of hake sampled with the**  
 294 **different trawl designs. (L: length; SD: standard deviation; \*\*\*p<0.001)**

	SMC		Grid	
	Control	Test	Control	Test
L – mean (cm)	32.4	32.6	26.0	27.9
L – median (cm)	31.0	31.0	22.0	24.0
L – sd (cm)	15.2	15.4	16.2	16.8
t-value	3.94***		7.97***	

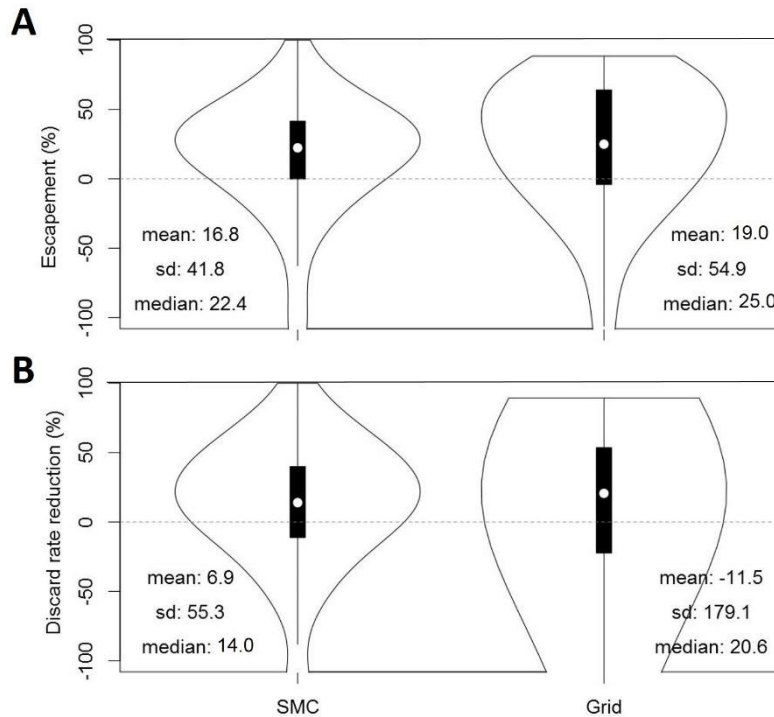
295 Hake caught during the SMC trials were larger on average than those caught during the grid trials (Welsh’s t-test  
 296 on catches of the control gears:  $t = -13.33$ ,  $p < 0.001$ ) (Table 4). Standard deviation around the mean was similar  
 297 for the two selective devices and for the test and control gears (Table 4), which can be attributed to the effect of  
 298 environmental variability alone.

299 From the SMC trials, data structure in length indicates three cohorts with modes at 15 cm, 27 cm and 40 cm  
 300 (Figure 5). From the grid trials, only two cohorts, with modes at 15 cm and 25 cm, could be identified; few  
 301 individuals of length greater than 35 cm were encountered during the grid trials (Figure 5).

#### 302 4.2.2. *Escapement of undersized hake*

303 Undersized hake escapement values from the SMC display a quasi-normal distribution, with a longer tail toward  
 304 negative values; the 5<sup>th</sup> quantile is -60.8 % (Figure 6A) and the recorded minimum value is -118.9 %. The  
 305 maximum escapement value recorded was equal to 100 %. Standard deviation is 2.5 times larger than the mean  
 306 escapement value for undersized hake; the difference between mean and median escapement values is  
 307 negligible for this device.

308 Distribution of undersized hake escapement values from the grid is skewed towards negative values, with a  
 309 recorded minimum value of -174.6 %. However, 75 % of the data are positive (Figure 6A) and the maximum  
 310 escapement value is equal to 88.5 %. Median escapement value is greater than the average value; standard  
 311 deviation is 2.9 times larger than the mean value (Figure 6A).



312

313 **Figure 6. Escapement levels of undersized hake in numbers (A) and discard rate reduction of undersized hake**  
 314 **based on estimated discard weights (B) for the implementation of the SMC (left) and of the grid (right)**  
 315 **compared with the control gear. Violin plots display the median (white dots), 25<sup>th</sup> to 75<sup>th</sup> percentiles (black-**  
 316 **filled rectangle) and extreme 5<sup>th</sup> and 95<sup>th</sup> percentiles (straight black line), the black curves illustrate the data**  
 317 **distribution. Mean, SD and median values are given.**

318 *4.2.3. Discard rate reduction*

319 Hake discard rate reduction in terms of weight from the use of the SMC displays a quasi-normal distribution, with  
 320 six records having strongly negative values, from -113.8 % to a minimum of -202.0 % (Figure 6B). Maximum  
 321 discard rate reduction recorded reached 100 %. The median value is greater than the mean value, and standard  
 322 deviation is 8 times larger than the mean value (Figure 6B).

323 Hake discard rate reduction from the use of the grid is heterogeneous, with no distinctive pattern in the data  
 324 distribution (Figure 6B). Discard rate reduction observed ranged from an extreme negative value at -1422.4 % to  
 325 a maximum of 89.4 %, with eight records inferior to -100 % of which seven lie between -147.3 % and -107.0 %.  
 326 The 5th quantile has a value of -122.1 %. Mean and median values are very different, the former being negative,  
 327 which would mean that the selective device increases discards in terms of weight, and the latter positive (Figure  
 328 6B). The standard deviation is 179.1 % due to an extreme outlier.



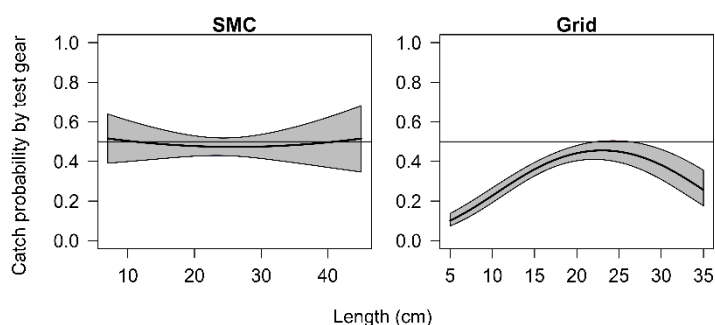
329 4.2.4. Relative catch probability

330 Relative catch probability of the test gear compared with the control gear was modelled for the 5–45 cm TL range  
 331 for the SMC, and on the 5–35 cm TL range for the grid, to guarantee homogeneity of the variance in the data set  
 332 of predicted values. Models including both a random intercept and a random slope returned the best fit, with  
 333 the lowest AIC value (Table 5).

334 **Table 5. Parameter estimates for fixed effects and standard deviation (SD) associated with random effects for**  
 335 **hake relative retention models (RI: model with random intercept; RIRS: model with random intercept and**  
 336 **random slope; SD: standard deviation; \* p<0.05, \*\*p<0.01, \*\*\*p<0.001).**

	SMC		Grid	
	RI	RIRS	RI	RIRS
AIC	6629	6320	10347	9911
<i>Fixed effects : estimates (SD)</i>				
(Intercept)	- 0.03 (0.09)	- 0.10 (0.09)	- 0.19 (0.07)**	- 0.26 (0.07)***
Length	0.08 (0.02)**	- 0.03 (0.12)	0.36 (0.02)***	0.31 (0.07)***
Length <sup>2</sup>	0.01 (0.02)	0.04 (0.03)	- 0.20 (0.02)***	- 0.28 (0.02)***
<i>Random effects : SD</i>				
Haul	0.70	0.73	0.58	0.63
Length	-	0.92	-	0.57

337 Models configured for hake selectivity from the use of a SMC appear poorly fitted, with statistical significance of  
 338 the different parameters being larger than 0.1 for all parameters, except length in the random intercept model,  
 339 and larger than 0.1 for all parameters in the random intercept and random slope model (Table 5). Random factors  
 340 have greater effects than fixed factors on the predicted relative retention’s odds ratio, with associated standard  
 341 deviations being one order of magnitude larger. When included, the random factor “length” had greater  
 342 influence on the relative retention’s odds ratio than the random factor “haul” (Table 5). There is no significant  
 343 effect of the SMC over the size range considered, with the relative catch probability ranking from a minimum for  
 344 individuals 24 cm TL, with 95 % CI [0.43; 0.52], to a maximum for individuals of 45 cm TL, with 95 % CI [0.35; 0.69]  
 345 (Figure 7).



346

347 **Figure 7. GLMM output representing hake relative catch probability by the test gear relative to the control**  
348 **gear following the implementation of the SMC (left) and grid (right), with 95 % confidence intervals around**  
349 **the mean.**

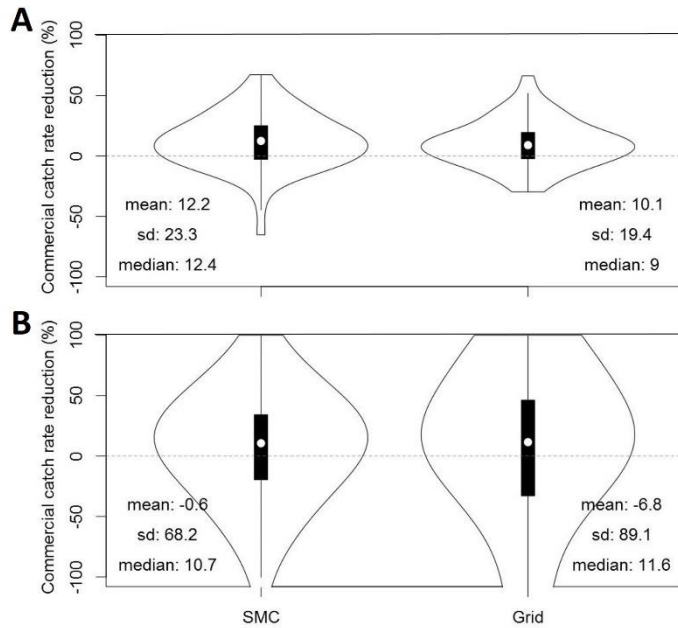
350 Models set for hake selectivity from the use of the grid showed a statistically significant effect of all parameters  
351 included (Table 5). As for the SMC, random factors have a greater effect on the relative retention's odds ratio  
352 than fixed factors. Effects of the two random factors considered are of a similar order of magnitude. The random  
353 factor associated with haul is unaffected by the addition of a length associated random factor (Table 5). Relative  
354 catch probability is significantly smaller than 0.5 for fish under 22 cm and over 27 cm TL, reflecting an escapement  
355 through the grid (Figure 7). Relative catch probability for this gear decreases with fish size below 22 cm TL; 95 %  
356 CI around the mean is small over the whole size range considered, with a minimum for fish of 17 cm TL, with  
357 95 % CI [0.36, 0.43], and a maximum for fish of 35 cm TL, with 95 % CI [0.18, 0.36].

#### 358 4.3. Effect of the selective devices on the commercial fraction of the catch

##### 359 4.3.1. *Nephrops* commercial catch rate reduction

360 Both selective devices induce losses in the commercial fraction of the catch (Figure 8A). For the SMC, commercial  
361 catch rate reduction in weight of *Nephrops* displays a quasi-normal distribution of the data around the mean  
362 value (Figure 8A). Maximum reduction of commercial catch rate is 67.2 %, and the minimum recorded value -  
363 65.2 %.

364 The distribution of commercial catch rate reduction values for the grid was quasi-normal around the mean value  
365 (Figure 8A). Reduction of the commercial catch rate did not exceed 66.4 %, the minimum recorded value is equal  
366 to -29.7 %.



367

368 **Figure 8. Commercial catch rate reduction of *Nephrops* (A) and hake (B) based on estimated commercial catch**  
 369 **weight following the implementation of the SMC (left) and grid (right) compared to the control gear. Violin**  
 370 **plots display the median (white dots), 25<sup>th</sup> to 75<sup>th</sup> percentiles (black-filled rectangle) and extreme 5<sup>th</sup> and 95<sup>th</sup>**  
 371 **percentiles (straight black line), the black curves illustrate the data distribution. Mean, SD and median values**  
 372 **are given.**

373 4.3.2. *Hake commercial catch rate reduction*

374 Levels of losses from the commercial fraction of hake in terms of weight following the implementation of the  
 375 SMC displayed a quasi-normal distribution, with 3 records in the strongly negative values, at -112.4 %, -175.7 %  
 376 and -384.6 %. This variability causes a large standard deviation and the median to be greater than the mean value  
 377 (Figure 8B). The maximum discard rate reduction recorded is 100 % (Figure 8B).

378 Commercial catch rate reductions for hake from the use of the grid were heterogeneous. Values recorded ranged  
 379 from -290.0 % to 100.0 %, with 9 records of rates inferior to -100 %. The 5th quantile had a value of -188.3 %.  
 380 The mean commercial catch rate reduction is negative while the median is positive; the standard deviation is  
 381 13.1 times larger than the mean value (Figure 8B).

382 4.4. Results summary

383 The occurrence of extreme negative values of discard rate reduction, escapement of undersized individuals or  
 384 commercial catch rate reduction caused median values to be more reliable than the means throughout our

385 results. These extreme values were kept in the analysis process to reflect the randomness inherent to the fishing  
386 process, which is associated with herding effects of fish when confronted to trawling (Catchpole and Revill, 2008;  
387 Wardle, 1986).

388 The 68-mm gauge size SMC appears efficient at letting undersized *Nephrops* escape with little variability in the  
389 results. From the modelling outputs, length is a statistically significant parameter for explaining the variability in  
390 relative catch probability, although randomness arising from environmental conditions and from characteristics  
391 of individual fish contribute equally to the overall variability observed (Table 3). Median loss associated with this  
392 device was 12.4 % in terms of weight of market-sized *Nephrops* (Figure 8A). The device is, however, not effective  
393 at letting hake of 5 to 45 cm TL escape when taking into account random variability. Compared to median values  
394 obtained for escapement and discard rates reduction for undersized hake (Figure 6), the model outputs  
395 emphasize the dominant influence of individual variability and of environmental conditions on the expected  
396 catch probability, with the influence of length being null (Table 5). Observed loss from the commercial fraction  
397 of the catch could reach 10.7 % but variability is high (Figure 8B).

398 Median escapement and discard rate reduction of undersized *Nephrops* from the grid is greater than from the  
399 SMC (Figure 3). Variability is also greater with this device than observed with the SMC. Loss from the commercial  
400 fraction reaches 9 % median weight (Figure 8A). Models of relative catch probability return similar results as for  
401 the grid, with a statistically significant effect of size on the catch and variability being equally attributed to  
402 individual characteristics and environmental conditions (Table 3). For hake, the grid appears more effective than  
403 the SMC at letting undersized individuals escape, which is in agreement with grid studies carried out on other  
404 *Nephrops* fisheries of the North-East Atlantic (Madsen and Valentinsson, 2010; Valentinsson and Ulmestrand,  
405 2008). The most stable relative catch probability values are obtained for fish of 17 cm TL while the largest  
406 variability is associated with the maximum length considered for modelling, at 35 cm TL (Figure 7). Median  
407 escapement of undersized individuals is 25 %, corresponding to a 20.6 % discard rate reduction in weight (Figure  
408 6). However, variability is very large for the latter. Median loss from the commercial fraction represents 11.6 %  
409 of the commercial catches (Figure 8B). Despite the variability observed, relative catch probability modelling  
410 identified the grid as an efficient device for undersized hake selectivity. Although variability due to environmental  
411 conditions and individual characteristics is still important, length is a good predictor of fish catch probability  
412 (Table 5).

## 413 5. Discussion

### 414 5.1. Sampling conditions and methods

415 Different population structures for hake were observed during the SMC trials and during the grid trials, with very  
416 few fish larger than 40 cm captured during the grid trials (Figure 3A). Such a difference may arise from the  
417 sampling scheme used, with trials conducted at slightly different periods of the year. While *Nephrops* is sedentary  
418 and its abundance unaffected by this parameter, hake populations will migrate from feeding sites to  
419 reproduction sites over the year. The absence of large, sexually mature, individuals is the signature of such  
420 migratory patterns (Casey and Pereiro, 1995; de Pontual et al., 2013). When modelling the relative catch  
421 probability, this lack of information impaired our ability to analyse the effect of the grid on a potential loss of  
422 larger individuals, which have a higher market value.

423 When comparing relative proportions of hake retained per length class with catch probability graphs, the trends  
424 underpinned for fish larger than 25 cm TL by the spline seem to be further accentuated in the models. However,  
425 escapement of large fish through the grid appears unrealistic from a mechanical point of view (Valentinsson and  
426 Ulmestrand, 2008). Although there is a general consensus on the method (Holst et al., 2009), further  
427 methodological development would be necessary to evaluate if the use of a higher order polynomial may be  
428 more appropriate to limit the dome-shaped effect arising from the quadratic form used here; this is however  
429 beyond the scope of this study. One would thus recommend caution when interpreting model results for fish  
430 larger than 25 cm TL.

431 Our estimations of discards and of commercial catch rate reduction are based on MLS as discarding criterion.  
432 However, data from an on-board observer program on the percentage of undersized individuals in the discards  
433 clearly show some high-grading practices taking place. The weight of discards arising from high-grading of the  
434 commercial fraction of the catch could represent up to 15 % of all discards for *Nephrops* and up to 11 % for hake  
435 (Cornou et al., 2015). Moreover, on-board observer programs only provide a limited picture of fishing practices  
436 (Benoît and Allard, 2009), meaning that high-grading practices may be underestimated. Such phenomena would  
437 affect both the total weight of the discards and the commercial catch. Values presented here are therefore to be  
438 considered with caution: the predicted loss from the commercial fraction of the catch may be overestimated, as  
439 well as discard rate reduction.

440

## 441 5.2. Selective gear technology and development

442 Previous studies identified uneven effects of the currently mandatory selective devices for the *Nephrops* and  
443 hake trawling fleet of the Grande Vasière (Nikolic et al., 2015). With the discard ban now enforced and the strong  
444 incentive to gain exemptions, the results of our trials provide managers with new, more efficient devices to  
445 submit to professional fishermen. Taking into account the historical background of the mixed fishery for  
446 *Nephrops* and hake on the *Grande Vasière*, selectivity studies aimed primarily at reducing hake bycatch for  
447 biological reasons. Only the grid would provide an efficient tool to help and reduce catches of undersized  
448 individuals for this species. Undersized *Nephrops* would benefit from the implementation of any of the two  
449 devices tested here.

450 The switch from a ventral position, as currently enforced (Nikolic et al., 2015), to a dorsal position improves the  
451 efficacy of the grid for hake escapement; it may also reduce mending costs by limiting friction with the bottom,  
452 making the grid more attractive to fishermen. However, our analysis emphasized the importance of random  
453 factors in escapement success, such as environmental conditions and individual fitness (Killen et al., 2015). These  
454 results support an opportunistic escapement behaviour for hake, for which contact probability with the device  
455 would be the best predictor of escapement as documented for other round fish species in the North-East Atlantic  
456 such as cod and whiting (Jones et al., 2008; Krag et al., 2016; Vogel et al., in review).

457 Based on the SMC trials, increasing mesh opening by modifying its geometry is efficient for *Nephrops*, whose  
458 escapement is considered passive. Its influence on hake, a fish with active escapement behaviour, is null but not  
459 detrimental. Increasing mesh size for the SMC should be tested, as the device is easier to integrate into the  
460 extension part of the trawl, and requests less care and handling at sea than the grid in case of commercial  
461 application. Other mesh sizes and geometries could be considered to identify those best suited to the  
462 morphology of hake and to improve escapement of undersized individuals (Herrmann et al., 2009; Krag et al.,  
463 2011).

## 464 5.3. Implications for fisheries management

465 Favouring selective practices and gears is one of the incentives of the discard ban to reduce the overall fishing  
466 pressure on stocks and improve exploitation patterns. In another mixed fishery targeting prawns Zhou *et al.*  
467 (2014) identified discards as an element of sustainable fishing: with reduced fishing mortality rates, individuals

468 returned to the sea contribute to stock dynamics. The implementation of selective devices may foster the process  
469 as individuals escaping through the selective device may display higher survival rates than discarded individuals  
470 due to reduced stress conditions (Suuronen, 2005). *Nephrops* escaping through the selective devices would  
471 contribute to the future reproductive biomass and, despite losses on the commercial part of the catch, will  
472 benefit the population dynamics in the long term (Macher et al., 2008). The additional discard reduction provided  
473 by the grid and the SMC, associated with the recent results published on *Nephrops* survival from the discarding  
474 process (Méhault et al., 2016), need to be implemented in broader simulation models for stocks dynamics to re-  
475 evaluate Maximum Sustainable Yields in terms of biomass and effort for the fishery and its effect on near-by  
476 fleets (Guillen et al., 2014, 2013).

477 From our results, any device that has a positive effect on reducing catches of undersized individuals and the  
478 amount of discards will also affect commercial catches. The choice of one device over another will be guided by  
479 commercial practices, fishing strategies and targeted species (Eliassen et al., 2014; Sigurðardóttir et al., 2015).  
480 *Nephrops* being the species with the greatest market value, the SMC and grid would affect fisher's income equally  
481 in the short term. However, as escapement of undersized individuals is greater than commercial loss, the  
482 subsequent increase in recruitment could be beneficial both in terms of population dynamics and financial  
483 incomes for fishers in the long term (Raveau et al., 2012). Some short-term benefits may also occur if commercial  
484 sized individuals escaping from the gear remain available for capture on a later occasion upon survival, although  
485 additional costs associated with time at sea would need to be considered. Predictions also need to be made on  
486 the additional time at sea required to level off commercial catches, to estimate the amount of unwanted catches  
487 that may be generated, and to evaluate the part of TACs that will be lost to these unwanted catches.

#### 488 5.4. Ecological impacts of the discard ban for the Bay of Biscay

489 From a food web perspective, the implementation of the discard ban for the *Nephrops* fishing fleet in the Bay of  
490 Biscay represents the loss of 1208 tons of *Nephrops* and of 1252 tons of hake on average every year (Kopp et al.,  
491 2016), both to the benthic communities and to top-predators (Depestele et al., 2016). Reducing catches of  
492 undersized individuals by technological measures in the form of selective devices implemented in the body of  
493 commercially used trawls is a way to limit the consequences of the discard ban for the ecosystem.

494 Assuming that discards are exclusively composed of undersized individuals, the implementation of the SMC  
495 would reduce discards to 923 tons of *Nephrops* and 1077 tons of hake, and the implementation of the grid to

496 949 tons of *Nephrops* and 994 tons of hake, on annual average. In the case of an exemption being granted to the  
497 fleet, selective gears will help to maintain the marine food webs of the Bay of Biscay, by limiting the changes in  
498 nutrient incomes, and to ensure the resilience of their trophic dynamics under the new CFP (Fondo et al., 2015;  
499 Kopp et al., 2016). However, in case of the landing obligation being pursued, understanding the effects on the  
500 ecosystem of such a drastic reduction in food incomes would require further analyses to be carried out (Sardà et  
501 al., 2015).

## 502 6. Conclusion

503 Many questions still remain regarding the implementation of the discard ban for the mixed fishery for *Nephrops*  
504 and hake of the *Grande Vasière*. Previous experiments of discard ban in the North-East Atlantic took almost 30  
505 years to reach a state of equilibrium and required strong controls of the fishing activity to ensure the compliance  
506 of professional fishermen with the implemented measures (Gullestad et al., 2015). However, these drastic  
507 measures paved the way to the development and extended use of selective fishing gears (Gullestad et al., 2015).

508 If gear selectivity cannot be considered as an objective towards the sustainable management of fisheries  
509 (Fauconnet and Rochet, 2016), it is an essential element in the development of sustainable fishing practices at a  
510 larger scale (Condie et al., 2014). However, results from the SMC trials carried out in this study highlight the  
511 difficulty to provide selective devices for vessels targeting multiple species. Moreover, economical drivers leading  
512 to high-grading and other quotas-related discarding practices will also need to be addressed. Therefore, the  
513 success of the new CFP relies on the implementation of new selective devices within an integrated framework,  
514 including renewed management measures and strong incentives to adopt them (Condi et al., 2013).

515 If our findings together with the high survival of discarded *Nephrops* (Méhault et al., 2016) indicate that an  
516 exemption from the discard ban based on the mandatory implementation of selective gears would benefit the  
517 ecosystem, the stocks and the fishermen, the long term benefits of the discard ban and associated exemptions  
518 are debatable. From an economical point of view, potential adverse effects of the discard ban following previous  
519 measures to reduce hake bycatch in the *Nephrops* and hake fishery of the *Grande Vasière* include hake becoming  
520 a “choke species” (Schrope, 2010; Ulrich et al., 2011). TACs for hake remain low despite the stock being back to  
521 acceptable levels of abundance following the emergency plan put into force in 2001 (Baudron and Fernandes,  
522 2014). As such, an exemption granted to the fishery would guarantee that fishermen can keep targeting



523 *Nephrops* once hake quotas have been exceeded. However, in a socio-economic study of the different trawling  
524 fleets of the Bay of Biscay, Prellezo et al. (2016) simulate the effects of flexibilities and exemptions associated  
525 with the discard ban policy. They identified a strict discard ban as a more efficient management measure due to  
526 redistributive choke effect between the different fleets of the southern part of the Bay of Biscay (Prellezo et al.,  
527 2016).

528 To conclude, adding ecological considerations to the needs of the fishing sector in the context of the new  
529 Common Fisheries Policy, our results emphasize the on-going role of gear technology research towards achieving  
530 sustainable fishing practices and obtaining exemptions based on the demonstrated interests of selective discards  
531 (Heath et al., 2014).

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