Transition from Environmental to Partial Genetic Sex Determination in *Daphnia* through the Evolution of a Female-Determining Incipient W Chromosome

Reisser Céline ^{1, 2, 3, *}, Fasel Dominique ², Hurlimann Evelin ², Dukic Marinela ⁴, Haag-Liautard Cathy ², Thuillier Virginie ², Galimov Yan ⁵, Haag Christoph R. ^{1, 2}

¹ CNRS Univ Montpellier Univ Paul Valery Montpellie, Ctr Ecol Fonct & Evolut CEFE UMR 5175, Montpellier, France.

² Univ Fribourg, Ecol & Evolut, Fribourg, Switzerland.

³ IFREMER Centre du Pacifique, UMR 241 EIO, Labex CORAIL, BP 49, Taravao, Tahiti, Polynesie française

⁴ Univ Basel, Inst Zool, Evolutionary Biol, Basel, Switzerland.

⁵ Russian Acad Sci, Koltsov Inst Dev Biol, Moscow, Russia.

* Corresponding author : Céline Reisser, email address : Celine.Reisser@ifremer.fr

Abstract :

Sex chromosomes can evolve during the evolution of genetic sex determination (GSD) from environmental sex determination (ESD). Despite theoretical attention, early mechanisms involved in the transition from ESD to GSD have yet to be studied in nature. No mixed ESD-GSD animal species have been reported, except for some species of Daphnia, small freshwater crustaceans in which sex is usually determined solely by the environment, but in which a dominant female sex-determining locus is present in some populations. This locus follows Mendelian single-locus inheritance, but has otherwise not been characterized genetically. We now show that the sex-determining genomic region maps to the same lowrecombining peri-centromeric region of linkage group 3 (LG3) in three highly divergent populations of D. magna, and spans 3.6 Mb. Despite low levels of recombination, the associated region contains signs of historical recombination, suggesting a role for selection acting on several genes thereby maintaining linkage disequilibrium among the 36 associated SNPs. The region carries numerous genes involved in sex differentiation in other taxa, including transformer2 and sox9. Taken together, the region determining the genetic females shows characteristics of a sex-related supergene, suggesting that LG3 is potentially an incipient W chromosome despite the lack of significant additional restriction of recombination between Z and W. The occurrence of the female-determining locus in a pre-existing low recombining region illustrates one possible form of recombination suppression in sex chromosomes. D. magna is a promising model for studying the evolutionary transitions from ESD to GSD and early sex chromosome evolution.

Keywords : sex determination, sex chromosome, turnovers, gynodioecy, male sterility mutation, *Daphnia* magna

21 Introduction

22 Sex chromosomes have evolved independently multiple times in many taxa (Miura et al. 23 2008; Pokorná and Kratochvíl 2009; Stöck et al. 2011; Cheng et al. 2013; Tree of Sex 24 Consortium 2014). Two evolutionary routes are thought to lead to the evolution of genetic sex 25 determination, and sometimes of sex chromosomes. First, separate sexes may evolve from 26 hermaphroditism, most likely through a male-sterility (i.e. female determining) mutation creating 27 a breeding system called gynodioecy, with genetic females co-occurring with functional 28 hermaphrodites. An initial male-determining mutation is also possible, but is less likely 29 (Charlesworth and Charlesworth 1978). The sex-determining mutation can be favoured through 30 causing obligate outcrossing, if inbreeding depression is severe, or if there is a fitness 31 disadvantage to investing resources in both male and female functions compared to investing in only one sex (Charlesworth and Charlesworth 1978; Innes and Dunbrack 1993). Additional 32 33 mutations in genes linked to the sex-determining locus may then be favoured if their effects are 34 sexually antagonistic (i.e. have opposite effects in the two sexes; Rice 1987; Ellegren 2011). In 35 the case of a female sex-determining locus, additional mutations include ones benefiting males, 36 and deleterious in females (including female-sterility mutations creating males), which will often 37 be eliminated unless they are linked to the femaleness-determining gene. Closer linkage will then 38 be favored in this genomic region (Bull, 2006), which may lead to suppression of recombination. 39 Eventually, this may result in a system with "proto-sex chromosomes" carrying linked genes that 40 determine both sexes genetically (with male and female determining mutations on opposite 41 homologs).

42 The second route towards evolving GSD and sex chromosomes may start from43 environmental sex determination (ESD), which could be the ancestral state in several major

44 animal groups (Ohno 1967; Pokorná and Kratochvíl 2016). Although transition from ESD to 45 GSD may be gradual, involving shifting genotype-specific thresholds for male vs. female 46 development under fluctuating environmental conditions (Van Dooren and Leimar 2003), a 47 scenario similar to that of the transition from hermaphroditism to separate sexes is also plausible: 48 a female-determining mutation in a population with pure ESD could lead to a system in which 49 females are genetically determined, while other individuals have ESD (the route through an 50 initial male-determining mutation is also possible). Such a sex-determining mutation can be 51 favoured if it restores a 1:1 sex ratio of the population, for example, after environmental 52 conditions change (Edwards 1998), or through other advantages like those outlined for the first 53 pathway above.

54 The evolution of suppressed recombination between sex chromosomes and more generally, the early stages of sex chromosome evolution remain poorly understood (Wright et al. 55 56 2016). Because of their intermediate position between hermaphroditic and dioecious species, 57 gynodioecious plants might have been good candidates to study these early stages. However, they 58 proved not to be very informative in this respect, because gynodioecy is often controlled by cyto-59 nuclear interactions between mitochondrial male sterility mutations and nuclear "restorer" genes 60 (McCauley and Bailey 2009; Beukeboom and Perrin 2014). Only a few species appear to have 61 pure nuclear control and may therefore conform to the above theory of sex chromosome 62 evolution (Kohn 1988; Connor and Charlesworth 1989; Weller and Sakai 1991; Spigler et al. 63 2008). Species in transition from ESD to GSD are therefore of particular interest, although the 64 transition may be rapid (Pokorná and Kratochvíl 2009), so that few systems are available for 65 study.

In some populations of *Daphnia*, pure ESD individuals co-occur with genetically
determined females (Innes and Dunbrack 1993, Tessier and Caceres 2004, Galimov et al. 2011).

68 Daphnia are cyclical parthenogens, in which clonal reproduction with live born offspring is 69 interspersed with sexual reproduction phases producing diapause stages. Sex determination is 70 usually environmental (Hobaek and Larson 1990, Zhang and Baer 2000, LeBlanc and Medlock 71 2015), with cues differing among populations (Roulin et al. 2013). In nature, male development 72 of the clonal offspring present in the ovaries may be elicited by the mother emitting a juvenile 73 hormone (JH) or a JH pathway-related molecule (Olmstead and Leblanc 2002). Male production 74 can also be artificially induced by adding hormone analogs to the culture medium (Olmstead and 75 Leblanc 2002). However, some *Daphnia* individuals never produce males, neither under natural 76 conditions nor when artificially exposed to hormone analogs (Innes and Dunbrack 1993; Tessier 77 and Caceres 2004: Galimov et al. 2011). This non-male-producer "NMP" trait segregates as a 78 single Mendelian locus (or single region) with a dominant female-determining allele called "W". 79 Heterozygous genotypes ("ZW") are genetically determined females called NMP individuals, 80 which take part in sexual diapause stage production exclusively as females. Homozygous 81 genotypes ("ZZ") are cyclical parthenogens with ESD. They produce diapause stages either through male or female function, and are called male producers ("MP" individuals; Galimov et 82 83 al. 2011).

84 Daphnia populations harboring NMP as well as MP individuals offer an opportunity to 85 study the transition from ESD to GSD, and potentially the early stages of sex chromosome 86 evolution. At present, female is the only genetically determined sex in the populations, so that the 87 situation resembles gynodioecy, with no genetically determined males present. Nonetheless, the 88 early steps of sex chromosome evolution may have taken place on both homologs. For instance, 89 sexually antagonistic mutations may have occurred in genes linked to the female-determining 90 locus, and suppressed recombination may have evolved in response to such two-locus 91 polymorphisms in the region.

92 We used genetic linkage mapping, association mapping, and comparative genomics 93 methods to genetically characterize the sex-determining locus and its surrounding region in D. 94 *magna*. Specifically, we first investigated whether the sex-determining locus maps to the same 95 genomic location in crosses involving NMP females from populations with highly divergent 96 mitochondrial lineages (Galimov et al. 2011, Svendsen et al. 2015). This is relevant because the 97 W allele is expected to get co-transmitted with the mitochondrial haplotype (as both have female-98 limited transmission). Hence the existence of NMP in these divergent lineages might be due to 99 recurrent evolution of a W allele, or, alternatively, might hint at long-term maintenance of the 100 polymorphism within this species. The recombinant frequencies among the offspring of the experimental crosses were also used to investigate the hypothesis of reduced recombination 101 102 between W and Z. Second, we used association mapping within a single population to identify 103 female-associated SNPs and test if the association among SNPs is maintained purely through 104 physical linkage or also by selection. For this, we estimated levels of linkage disequilibrium and 105 searched for traces of historical recombination between different associated SNPs in the region 106 around the sex-determining locus. Finally, we screened the NMP associated region of D. magna 107 for genes involved in sex-determination in this or other species to identify potential candidate 108 female determining genes. The overall aim of these approaches was to gain a genetic 109 understanding of the transition from ESD to GSD and to assess the possible evolution of an 110 incipient ZW sex chromosome system in D. magna.

112 Results

113 Genetic linkage mapping of the NMP-determining region using microsatellites markers

We performed three NMPxMP crosses involving NMP females from three populations with highly divergent mitochondrial haplotypes. In each cross, F1 lines were phenotyped (MP vs. NMP) and genotyped at a total of 81 microsatellite loci to perform linkage mapping of the genomic regions underlying the NMP phenotype. The resulting maps were compared to the *Daphnia* genetic map (Dukič et al. in press), which is based on an F2 panel of an MPxMP cross.

119 In the NMPxMP 1 and NMPxMP 2 crosses, partially overlapping sets of thirteen markers were significantly linked to the NMP phenotype (or to other markers linked to it). In 120 each cross, eleven of these markers were successfully genotyped in >70% of offspring and were 121 122 thus used for mapping (see Supplementary Material S1). They all mapped to linkage group 3 123 (LG3) of the reference genetic map (Fig. 1). In the NMPxMP 1 cross, three markers 124 (dm scf02569 310402, dm scf00933 2550 and dm scf00700 81490) were completely linked 125 with the NMP phenotype, as were five markers in the NMPxMP 2 cross (dm scf02569 317703, 126 dm scf01492 1407, dm scf00933 2550, dm scf03156 57375 and dm scf00966 75426). Only 127 two of these markers were genotyped in the NMPxMP 3 cross, but they too showed complete linkage with the NMP phenotype (a third tested marker was not polymorphic in this cross, see 128 129 Supplementary Material S1). In all three crosses, the fully linked markers mapped between cM 130 positions 87.8 cM and 94.0 cM of LG3 in the reference genetic map. This region also contains 131 the centromere (at 90.8 cM). We call this region the "NMP region" (Fig. 2). A Marey map of 132 LG3 (Dukič et al. in press) shows that the NMP-region corresponds to a large (~3 Mb) non-133 recombining region around the centromere (Fig. 2). Non-recombining regions around the 134 centromeres are found on all linkage groups of D. magna, and are not a sign of reduced

recombination between sex chromosomes, since they also occur on all other linkage groups in theMPxMP cross of the reference genetic map.

137 We investigated the possibility of additional recombination suppression in NMPxMP crosses as an indication of reduced recombination between the Z and (putative) W chromosomes 138 139 compared to between two Z chromosomes. Indeed, genetic map distances between adjacent 140 markers in and around the NMP region were on average lower in the NMPxMP crosses than in 141 the reference genetic map (Fig. 1). However, this pattern is not statistically supported. The 142 reduction was significant only for one, non-identical interval in each of the two crosses. 143 Furthermore, the two crosses are not entirely independent. Both involved, at a different stage of 144 the experiment, outcrossing of an NMP-female (different in the two crosses) to a male from the 145 same distant population. In one cross this was done to create the actual mapping population, in 146 the other it was done one generation earlier to obtain a highly heterozygous, hybrid NMP female 147 with the intention to increase the number of markers available for mapping the W. Moreover, it is possible that the slight reduction in recombination frequencies was not specific to the NMP 148 149 region (we did not have sufficient genotype data on linked markers in other genomic regions to 150 test for this possibility). Overall, our results are clearly inconsistent with strongly reduced 151 recombination across large parts of the putative incipient Z and incipient W chromosomes (Table 152 1), suggesting that if such a reduction has happened (compared to recombination in MPxMP 153 crosses), it concerns only a small portion of the chromosome.

154

155 Association mapping of SNPs in the NMP region

A genome-wide association analysis using RAD-sequencing of 72 individuals from a
 single population revealed 43 SNPs significantly associated (FDR <10⁻⁵) with the NMP

158 phenotype (Fig. 3a, Supplementary material S2). Of these, 36 were contained in a region of LG3 159 between 72.3 and 95.7 cM, which is only slightly larger than the NMP region defined above (Fig. 160 3b). Of the other seven significantly associate SNPs, five mapped to two scaffolds on LG1, and 161 one SNP to each of LG2 and LG4 (Table 2; Fig. 3). The number of associated SNPs per scaffold did not correlate with the scaffold size (Pearson's correlation coefficient r^2 - 0.117). On the 162 physical map of LG3 (see Methods and Dukič et al. in press), the main associations were 163 164 distributed across 3.6 Mb, between positions 5.4 and 9.0 (Fig. 4.c; LG3 is 10.1Mb in total). The 165 36 highly associated SNPs are distributed across 15 non-contiguous scaffolds whose combined length is 2.42 Mb (about a guarter of LG3's size). Thus, even though the physical map based on 166 167 LD mapping may be incorrect, there is strong evidence that significantly associated SNPs are 168 distributed across a large proportion of LG3. Interestingly, 25% of the significantly associated SNPs occurred on just a single 400kb scaffold. This scaffold, scf02569, is therefore a good 169 170 candidate for the location of the initial, female-determining mutation.

171

172 Differentiation, heterozygosity, linkage disequilibrium, and historical recombination in

the NMP region

To investigate the levels of differentiation between the NMP and the MP individuals in the NMP region, we performed several correlated analyses (to test for consistency of the results): we estimated F_{ST} , compared levels of heterozygosity between both phenotypes, and performed a linkage disequilibrium analysis (LD). The NMP region showed strong differentiation between NMP and MP individuals for the associated SNPs, reflected in F_{ST} -values for individual markers as high as 0.7 (Fig. 4.a). Equally, there was strong LD between the 36 associated SNPs (Fig. 5), although interspersed with areas of low LD, among non-associated SNPs. In concordance with the association results, we also found higher levels of heterozygosity in NMP individuals than in MP individuals (average heterozygosity in the NMP region of 0.50 vs. 0.33, respectively, P <0.0001; Figure 4.b). This difference in heterozygosity is specific to the NMP region: in the rest of the genome, the NMP and MP individuals did not differ in heterozygosity (the means were 0.34 and 0.32 respectively, P = 0.149). However, heterozygosity of four, supposedly inbred MP individuals was only half of the population average (Supplementary Material S3).

187 Second, to investigate whether the occurrence of multiple, highly associated SNPs 188 distributed across a large region can be explained by a lack of recombination, we tested for 189 historical recombination in the region using Hudson's four gamete tests (see Material and 190 Methods). These tests detected the presence of recombination in 37 pairs of adjacent polymorphic 191 sites in the NMP region. These historical recombination events were distributed across the entire 192 NMP region, and were found both between and within scaffolds (Fig. 4.d.). This implies that a 193 low level of recombination or gene conversion occurs between the incipient Z and the incipient W chromosome, or has at least occurred historically. 194

195

196 Identification of candidate genes involved in sex determination, and prediction of the

197 <u>effect of SNP substitutions on amino acid identity.</u>

To search the NMP region for genes known to be involved in sex determination / differentiation in other taxa, we analysed the 283 non annotated protein sequences that where located in the NMP region. Of these, 184 returned a BLAST result, but 39 were described as hypothetical proteins in the *D. pulex* draft transcriptome DAPPUDRAFT and did not reliably match any proteins in the NCBI non-redundant protein database. Among the remaining 145 successfully annotated sequences, 121 (82%) had a top hit on *D. pulex* or *D. magna* sequences,

204 while the others matched various arthropods (15 sequences), and other invertebrates (ten 205 sequences). We compared the 145 annotated genes with the NCBI list of 601 genes known to be 206 involved in sex determination or sex differentiation in other invertebrates, and identified 14 207 candidate genes (Table 3). These include genes involved in sex-specific endocrine signaling 208 pathways, such as a membrane androgen receptors (zip9), a tissue specific modulator of the ecdysone response in *Drosophila (Broad* complex; Karim et al. 1993), as well as a member of the 209 210 aldo-keto reductase family (Penning et al. 2000). Scf02569 also harbors a gene resembling 211 transcription factor sox9, which acts to inactivate the female differentiation pathway and promote 212 spermatogenesis in males in mammals. In addition, genes involved in chromatin remodeling 213 (lysine-specific histone demethylases, histone deacetylases) are also present in the region. The 14 214 genes are located on 6 different scaffolds, with scf02569 containing eight of these genes, whereas 215 other scaffolds harbor at most two of these genes (Table 3).

216 Nine of the 176 SNPs identified by RAD-sequencing and mapping in the NMP region 217 were located in genes within which the exon-intron structure could not be determined (very likely 218 because of errors in the assembly or gene structure definition), 69 were in intergenic regions, 17 219 in 5' UTR regions, 8 in 3' UTR regions, 19 in introns, and 53 within a gene (Supplementary Material S2). Of these 53 coding SNPs, 32 of are in non-annotated genes, including one (on 220 221 scaffold02003 in position 98755) that introduced a stop codon into a gene which was annotated 222 as "hypothetical protein" in the D. pulex draft genome. 23 SNPs were synonymous and 30 were 223 non-synonymous mutations. Only one significantly associated SNP induced a non-synonymous 224 mutation in a candidate gene: the SNP at position 4433 on scf03156, inducing a change from 225 Valine to Leucine in the lysine specific histone demethylase. However, RAD-sequencing covers only a small fraction of the genome (here 154745 loci were mapped, with reads of 95bp, 226 227 representing an estimated 6.12% of the genome). Hence, additional non-synonymous SNPs may be present in parts of the NMP region not covered by RAD-sequencing reads. The same appliesfor potential regulatory SNPs.

230 Discussion

231 Our results suggest that the NMP phenotype is determined by a single, large genomic 232 region located on LG3. The few significantly linked SNPs on other linkage groups may be in 233 linkage disequilibrium with the major region on LG3, maintained by pleiotropic effects. 234 Alternatively, they may be explained by errors in the genetic map or in the genome assembly, and 235 might, in fact, be variants within the NMP-region. The NMP phenotype mapped to the same LG3 236 region in all three crosses, involving populations with divergent mitochondrial lineages (Galimov 237 et al. 2011). This indicates either a single evolutionary origin of NMP in D. magna or parallel 238 evolution repeatedly involving the same genomic region. Given the divergent mitochondrial sequences and the co-inheritance of mtDNA with the female-determining allele. a single 239 240 evolutionary origin of NMP in *D. magna* would imply that the female-determining mutation is old. However, parallel (convergent) evolution remains possible, particularly as the NMP region 241 242 contains more than one gene potentially involved in sex determination and sex differentiation. 243 These genes could represent different mutational targets for NMP-inducing mutations, and hence 244 the mutation may not be the same in all populations, despite occurring in the same genomic 245 region. Finally, rare paternal transmission of mitochondria or transmission of the female-246 determining mutation through rare males cannot be ruled out, but are unlikely (Galimov et al. 247 2011; Svendsen et al 2015). Interestingly, a dominant NMP phenotype has also been described in 248 D. pulex (Innes and Dunbrack 1993) and in D. pulicaria (Tessier and Caceres 2004). Considering 249 that an estimated 150 Myr separate D. magna and D. pulex (Kotov and Taylor 2011), parallel evolution of the NMP phenotype seems more likely for these two species, rather than afemaleness allele having been maintained for such a long evolutionary time.

252 According to the classical model of sex chromosome evolution discussed in the 253 introduction, the establishment of a female-determining mutation is followed by additional 254 mutations with sex antagonistic (SA) effects occurring at nearby loci. Recombination between 255 these loci and the female sex-determining locus is deleterious, and hence SA selection is thought 256 to favour a reduction of recombination between the incipient Z and W (or X and Y). Such 257 recombination reduction in the heterogametic sex (compared to recombination in the 258 homogametic one) is considered to be a hallmark of early sex chromosome evolution. 259 Alternatively, the sex-determining mutation may occur in a region with already low 260 recombination. Such regions occur on autosomes, for instance due to inversions or vicinity to the 261 centromere (Hoffman and Rieseberg 2008; Ironside 2010; Joron et al. 2011). If such a region 262 contains, from the outset, multiple linked potential target loci for SA mutations, then a further 263 reduction of recombination after the occurrence of the sex-determining mutation might not be 264 favoured by selection, at least not initially. In our study, the sex-determining mutation mapped to 265 the peri-centromeric region of LG3, which, as expected, has a low recombination rate not only in MPxNMP crosses, but also in MPxMP crosses. Interestingly, the sex-determining mutations of 266 267 Papaya and Populus are also found in peri-centromeric locations (Yu et al. 2007, Kersten et al. 268 2014). In addition, we did not find strong evidence for a further reduction of recombination between the incipient Z and the W chromosome, suggesting that SA selection did not act strongly 269 270 (if at all) to further extend the low-recombination region containing the female-determining 271 mutation. Yet, SA selection may still play a major role in determining the NMP phenotype. 272 Under the hypothesis that SA selection occurs, multiple loci should contribute to the NMP 273 phenotype, not only for the determination femaleness, but also for the expression or fitness of the

274 female phenotype, or for enhancing maleness of ZZ individuals. Here, we found highly 275 associated SNPs distributed on a total of 3.6 Mb, but separated by regions of low LD in which 276 there is strong evidence for historical recombination or gene conversion. This suggests a role for 277 selection to be acting on several genes in the NMP region. This selection is likely sex-278 antagonistic, as it appears to maintain different W-linked vs. Z-linked alleles in several sub-279 regions independently (and thereby maintain the high LD between associated SNPs despite 280 historical recombination). We identified 14 genes in the NMP region that are known to be 281 involved in sex determination/sex differentiation in other taxa. These genes might be potential 282 target for SA mutations. Hence, the NMP region shows all characteristics of a supergene (Joron 283 et al. 2011), with different alleles appearing to be selectively maintained between Z and W in 284 several genes throughout the region. Such a "sex-super gene" is nothing else than the first step towards the evolution of a sex chromosome, even though low recombination in this region is not 285 286 due to secondary suppression of recombination, but due to a chance event (occurrence of the sex-287 determining mutation in a large region with pre-existing low levels of recombination). However, 288 such a chance event may, in fact, be one of the possible mechanisms leading to initial suppression 289 of recombination between incipient sex chromosomes.

290 The transition from ESD to GSD is thought to happen rapidly in nature. However, 291 intermediate stages (such as gynodioecy, or partial GSD) can be evolutionary stable, depending 292 on their origin and genetic control (Charlesworth and Charlesworth 1978). The NMP mutation 293 might first have been favoured by selection because it leads to obligate outcrossing (Innes and 294 Dunbrack 1993). This is supported by the fact that inbreeding depression in Daphnia is strong 295 (e.g., Lohr and Haag 2015) and that within-clone mating occurs at an appreciable frequency (e.g., 296 5 % of MP individuals in our association study were offspring of within-clone mating). Once it 297 attained an intermediate frequency, it was probably also affected by negative frequency298 dependent sex-ratio selection, which may have set the stage for additional mutations to gradually 299 improve maleness of ZZ individuals (Innes and Dunbrack 1993; Galimov et al. 2011). The 300 coexistence of ESD and GSD individuals in some populations of *D. magna* might be evolutionary stable. This stability could be due to their reproductive cycle, called cyclical parthenogenesis. 301 302 which might prevent further evolution towards full GSD. Indeed, only females participate in 303 parthenogenetic reproduction. Hence, in order to profit from parthenogenetic multiplication, all 304 genotypes have to be females at some point during the seasonal cycle. ZZ females might increase 305 their male function during sexual reproduction by prolonging their investment in parthenogenetic 306 clutches (late season parthenogenetic clutches are usually male, Galimov et al. 2011). It is also possible that the maleness of ZZ individuals may have been quantitatively improved by linked 307 308 genes with SA effects (see above). Nonetheless, at the very end of the season, it may still be more 309 profitable for these females to engage in sex rather than to abandon reproduction or to 310 parthenogenetically produce additional males which might not have the time to develop into 311 adults. If however, Daphnia was to complete the transition from ESD to pure GSD (as envisaged 312 by the theory outset in the introduction), the incipient Z homolog of the NMP region would need to acquire a mutation determining the male sex (i.e. a recessive female-sterility mutation), and the 313 314 two chromosomes would then be called proto-sex chromosomes. This has not happened in D. 315 magna, as the ZZ individuals still have ESD and still contribute to the diapause stage production 316 also through female function. However, while hatchlings from diapause stages always seem to be 317 female in *Daphnia*, male hatchlings do occur in the related *Daphniopsis ephemeralis*, living in 318 short-lived environments (Schwartz and Hebert 1987). Hence, it is not inconceivable that some 319 Daphnia populations or species might evolve full GSD, perhaps especially under conditions that 320 reduce the importance of the pathenogenetic phase.

321 The molecular mechanisms underlying male differentiation in D. magna and its 322 relationship with ESD are the object of much research (Kato et al. 2008, 2010, 2011; LeBlanc and Medlock 2015). To date, we know that a homolog of the *Doublesex* gene (dsx) is found in D. 323 324 *magna (dapmadsx)* and that it is a major effector regulating the male phenotype (Kato et al. 2011; 325 Salz 2011; Beukeboom and Perrin 2013). The regulation of its expression appears, however, to be 326 different in *Daphnia* compared to other arthropods, as no evidence for sex-specific splicing was 327 identified for the *transformer* gene in male versus female embryos (Kato et al. 2010, 2011; 328 Verlhulst et al. 2010). Instead, the expression of *dapmadsx* might be controlled by an "on/off" mechanism whose activation may involve elements responsive to juvenile hormone (Kato et al. 329 330 2011). Our results show that the NMP region does not contain *dapmadsx* nor *transformer*, and 331 hence neither of these genes is the likely location of the female-determining mutation. However, 332 the NMP mutation does contain multiple genes that are potentially members of the same 333 pathways. These genes include *transformer2 (tra2)* on scf02569, a splicing regulator known to 334 interact with *transformer* to control the female sex-specific splicing of dsx in insects. It will be 335 interesting to investigate if and how tra2 interacts with dapmadsx. Moreover, we found four 336 genes involved in hormonal pathways (zip9, zip11, Broad complex, aldo-keto reductase), which might be relevant as dsx expression might be controlled by hormone-responsive elements. 337 338 Furthermore, scf02569 contains a gene resembling transcription factor sox9, which inactivates the 339 female differentiation pathway and promotes spermatogenesis in males mammals. Overall, the 340 presence of these 14 genes in the NMP region is congruent with a major role of this region in sex 341 determination/differentiation. In addition, scf02569 is a strong candidate for carrying the original 342 sex-determining mutation. Not only does it contain 25% of the significantly associated SNPs and 343 eight of the 14 candidate genes, but one of these candidate genes (tra2) appears to be one of the most promising ones, based on its function in other organisms, and on what is currently knownabout the mechanisms underlying male differentiation in *D. magna*.

346 In the present study, we determined that the dominant female sex-determining locus 347 observed in multiple population of D. magna maps within a single genomic region in the peri-348 centromeric region of LG3. With a pre-existing low recombination rate, the region contains 349 multiple genomic regions in high LD spanning a total of 3.6Mb, separated by regions of low LD 350 due historical recombination or gene conversion likely occurred. The strong LD is likely 351 maintained by sex antagonistic selection, since multiple genes involved in both males and 352 females sex differentiation were found throughout the region. We conclude that D. magna's LG3 353 carries a sex-related supergene, and is an incipient W chromosome, the youngest stage that might 354 be possible to empirically observe in sex chromosome evolution. D. magna is thus a very 355 promising model for studying the evolutionary transitions from ESD to GSD and early stages of 356 sex chromosome evolution.

357

358 Material and Methods

359 Genetic linkage mapping of the NMP-determining region, and assessment of

360 <u>recombination rates</u>

In order to map the genomic region responsible for the NMP phenotype, we performed experimental crosses between known NMP and MP genotypes. Because the NMP locus is believed to be heterozygous dominant in females, the goal was to maximize heterozygosity of the mother NMP clone, while ensuring the MP father was homozygous or had different alleles. All three crosses involved outcrossing between two populations to ensure that a sufficient number of 366 markers had different genotypes between fathers and mothers. One of the crosses also involved a 367 NMP mother that was already a hybrid between two populations, again in order to maximize 368 heterozygosity. The first cross called "NMPxMP 1" involved a NMP female from Volgograd, 369 Russia (48.53 N, 44.486944 E) and a male from Orog-Nur, Mongolia (45.032708 N, 100.718133 370 E) as well as 66 of their F1 offspring. The second cross ("NMPxMP 2") used a hybrid NMP 371 female, which was produced by crossing a NMP female from Moscow, Russia, (55.763514 N, 372 37.581667 E), with a male from Orog-Nur. The cross then involved this hybrid female and a male 373 from Vääränmaanruskia, Finland (60.271617 N, 21.896317 E), as well as 54 of their offspring. 374 The third cross ("NMPxMP 3") involved a NMP female from Yakutsk, Russia (61.964047 N, 375 129.630956 E) and a male from Rybnove, Russia (56.425003 N, 37.602672 E), as well as 22 of 376 their offspring. The three NMP females had divergent mitochondrial haplotypes (based on a 534 377 bp alignment of the COI sequences, the average number of substitutions per site was 0.037 378 between Yakutsk and Moscow, 0.041 between Yakutsk and Volgograd, and 0.010 between Moscow and Volgograd; Galimov et al. 2011; Genbank accession number JF750770.1 for 379 380 Moscow, AY803073.1 for Volvograd, and [added upon acceptance] for Yakutsk). Microsatellite 381 markers distributed across the genome were used to investigate the parental lines. Markers that 382 were heterozygous in the NMP mother and for which father and mother had different genotypes 383 were selected and genotyped in the offspring. For all these markers, it could unambiguously be 384 determined which of two maternal alleles was transmitted to a given offspring. Hence, linkage of 385 markers to the NMP-determining region could be assessed, by assaying co-transmission of 386 maternal alleles with the phenotype (i.e. with the W vs. Z chromosome). Before genotyping, the 387 offspring were thus phenotyped using the juvenile hormone Methyl Farnesoate, which triggers 388 the production of males in MP strains but not in NMP strains of Daphnia (Galimov et al. 2011).

389 Microsatellite loci were amplified using the M13-protocol (Schuelke 2000): For each 390 locus, unlabeled forward and reverse primers were used together with fluorescently labelled, 391 universal M13 primer. The forward primer consisted of a locus-specific part as well as an 392 overhang complementary to M13. PCR reactions were carried out using the Type-it 393 Microsatellite PCR Kit (Qiagen) according to the manufacturer's protocol with an annealing temperature of 60°C. After 22 cycles, the annealing temperature was lowered to 53°C for another 394 395 20 cycles in order to allow for proper M13 annealing. The resulting PCR products were diluted 396 four times and mixed with a LIZ5000 size ladder (Applied Biosystems). Samples were genotyped 397 using ABI 3730 capillary sequencer and GENEMAPPER software v. 3.0 (Applied Biosystems). 398 A total of 81 microsatellite loci (see Supplementary Material S1) were tested in the parents. Of 399 these, 60 were polymorphic in one or both parents and thus genotyped in the offspring (47 in 400 NMPxMP 1 and 21 in NMPxMP 2, partially overlapping). Linkage to the NMP phenotype was 401 assessed with a Fisher's Exact tests (two-tailed). Some of the markers were specifically designed 402 in regions for which linkage to the NMP phenotype was suspected based on information from an 403 earlier version of the genetic map (Routtu et al. 2010; Routtu et al. 2014) and the initial finding of 404 weak but significant linkage of one marker (dm scf00243 208642) in the NMPxMP 1 cross. 405 Therefore, the markers do not represent a random sample throughout the genome. The 406 NMPxMP 3 cross, which included the NMP female with the most divergent mitochondrial 407 haplotype, was done at a later stage. It was only used to test whether NMP maps to the same 408 genomic region as in the two other crosses. Hence, only three loci closely linked to NMP in the 409 first two crosses were also genotyped in the offspring of this cross.

Genetic linkage mapping of the NMP region was carried out in R/qtl (Broman et al.
2003). It soon became evident that NMP mapped to a region of LG3 of the *D. magna* reference
genetic map (Dukič et al. in press), of which a first version called v4.0.1 was published in

413 Svendsen et al. (2015). Hence, map construction was done using markers that either showed 414 significant linkage with the NMP phenotype (P < 0.01 in pairwise Fisher's exact tests) or were 415 found on scaffolds of the *D. magna* genome v2.4 (bioproject reference PRJNA298946, on the 416 NCBI repository: https://www.ncbi.nlm.nih.gov/bioproject/?term=PRJNA298946) that had been 417 mapped to LG3. Markers that had more than one third of missing genotypes (amplification 418 failures, etc.) were discarded.

419 The mapping procedure consisted in first positioning and ordering the markers according 420 to previously available data (Dukič et al. in press), if possible, and secondly by estimating genetic 421 distances among the ordered markers according to standard procedures. Specifically, we ordered 422 our markers by using the cM position of the nearest mapped SNP from the same scaffold in the 423 reference genetic map v4.0.1. Microsatellite markers on scaffolds that were not mapped in the 424 reference genetic map were positioned according to the estimated recombination fraction in our 425 crosses between these markers and already mapped markers. The only exception to this 426 procedure was done for microsatellite marker scf02066 483524, which is located on a mis-427 assembled part of scf02066, closely linked to the end of scf00494 (Dukič et al. in press), and thus 428 was ordered according to this position. Once ordered, Kosambi-corrected genetic map distances 429 among all markers were recalculated from the offspring genotypes of our crosses using R/qtl 430 (with the option sliding-window = 8 markers).

To test for a reduction of recombination around the NMP region in the MPxNMP crosses versus the reference MPxMP cross of the genetic map v.4.0.1, we compared the genetic distances for intervals of adjacent markers between the crosses. Specifically, for each interval, we assessed the number of recombinant vs. non-recombinant individuals in each of the NMPxMP crosses versus the reference cross, and tested for significant differences using Fisher's Exact tests (twotailed) implemented in R core package stats (R Development Core Team, 2008).

Association mapping of SNPs in the NMP region

439 To further characterize the NMP region, we used single-nucleotide polymorphism (SNP) 440 data obtained by RAD-sequencing of a random sample of 72 individuals (17 NMP and 55 MP) 441 obtained by hatching resting stages from the Moscow population. The details of the RAD-442 sequencing protocols, alignment to the *D. magna* reference genome, and SNP calling are given in 443 Supplementary Material S4. We obtained 7376 SNPs to be analyzed (Supplementary Material S2) 444 for an excel document containing all SNPs). We first performed a genome-wide association 445 study, using the expectation that any bi-allelic SNP functionally related to NMP or tightly linked 446 to it should be heterozygous in NMP individuals and homozygous for the more frequent of the 447 two alleles in MP individuals, corresponding to the ZW and ZZ genotypes, respectively. Only 448 sites with a minor allele frequency larger than 0.1 and less than one third of the individuals with 449 missing genotypes were used in the analysis. For each retained bi-allelic site, we grouped 450 individuals into four categories: Heterozygous NMP individuals, homozygous NMP individuals, 451 homozygous MP individuals for the major allele, and MP individuals non-homozygous for the 452 major allele (either heterozygous or homozygous for the minor allele). For each site, we counted 453 the number of individuals in each of the four categories and calculated the expected number of 454 individuals (under the null-hypothesis of no association) using standard Hardy-Weinberg 455 proportions with allele frequencies estimated across all individuals. We then used Pearson's Chi-456 square tests with two degrees of freedom to evaluate the genotype-phenotype association at each 457 site. However, in order to only test the hypothesis specified above, any excess that went in the 458 direction opposite the hypothesis (for instance an excess of non-heterozygous individuals among 459 NMP) was discarded (i.e., was not taken into account for the overall Chi-square value). The R

script of this association analysis is given in Supplementary Material S5. The significance of the
association was assessed by correcting the *P*-value of the Chi-square test according to an overall
false discovery rate (FDR) of 10⁻⁵ using the p.adjust function of the R core stats package.

463

464 Differentiation, heterozygosity, linkage disequilibrium, and historical recombination in

the NMP region

466 To confirm that the NMP associated region is highly differentiated between NMP and the 467 MP individuals, we estimated F_{ST} for each SNP with the R package PopGenome (Pfeiffer et al. 468 2014). We also performed a linkage disequilibrium (LD) analysis of the NMP region and 469 investigated relative levels of heterozygosity for MP and NMP individuals. Note that all three 470 analyses test essentially for the same thing (Charlesworth et al 1997): If there are many strongly 471 associated SNPs, we would expect F_{ST} to be high, heterozygosity to be high in NMP individuals, 472 and LD to be high, at least between associated SNPs. All three analyses were run to check for 473 consistency, and in the case of heterozygosity also as a comparison with other genomic regions. 474 The subsequent analyses were restricted to cM positions between 85 and 95 of the genetic map, 475 which includes the NMP region as well as some flanking regions on either side. However, due to 476 the dearth of recombination in this region, the relative position and orientation of many of the 477 scaffolds is unknown (several entire scaffolds having the exact same cM position). Hence, we first inferred the likely relative position and orientation of these scaffolds by LD mapping in MP 478 479 individuals, assuming no structural rearrangement of those scaffold between MP and NMP 480 individuals (see Supplementary Material S6 for details of the LD mapping procedures). Once the 481 inferred physical map was established, we used it to plot the genotype-phenotype association in 482 the region as well as F_{ST} , and heterozygosity.

483 Linkage disequilibrium for all individuals (i.e., including NMP) was then estimated via pairwise r^2 for each pair of SNPs (see Supplementary Material S7), using the program MCLD 484 (Zaykin et al. 2008). Significance was tested using 9999 permutations, and the extent of LD was 485 486 visualized using a heatmap constructed in R using the LDheatmap package (Shin et al. 2006). To 487 test for signatures of historical recombination between W and Z haplotypes, we used a filtered 488 dataset composed of 140 polymorphic sites in the region, retaining just one site per read (a 489 maximum of two polymorphic sites on the same read were present in the whole data set, but 490 SNPs on the same read were always in full linkage). We then phased these data using the 491 GERBIL program implemented in the package GEVALT V2.0 (Davidovich et al. 2007). We did 492 not allow the program to infer missing genotypes, since the algorithm favors the use of the more 493 common allele, here the Z allele (only 17 out of 144 haplotypes are W haplotypes). The phasing 494 resulted in two Z haplotypes for each MP individual and in one W and one Z haplotype for each 495 NMP individual (Z haplotypes were identified according to the allelic state of the two most 496 strongly associated SNPs named scf2723 2194 and scf2723 13482). Because we could not 497 exclude genotyping nor phenotyping error (the latter only in the direction of falsely identifying an 498 individual as NMP), we used conservative criteria for the test: we first removed the NMP 499 individual (RM1-01) which had a high genotypic resemblance to MP and thus resulted the 500 highest evidence for recombination (this individual was most likely mis-phenotyped during the 501 hormonal exposure). Furthermore, before carrying out the test we corrected singleton variants within each haplotype group: if a variant was present in only one haplotype in the group, we 502 503 reverted its state to the majority allele in this group. Overall, 27 loci and 6 loci out of 140 loci 504 were reverted in W and Z, respectively. This conservatively assumes that all these singleton 505 variants were due to genotyping error (note that loci with a minor allele frequency of < 0.1 across 506 both groups had already been excluded during the initial filtering, see Supplementary Material S8

for the list of uncorrected and corrected haplotypes). Finally, to test only for recombination between Z and W haplotypes (as opposed to recombination within Z), we performed the Hudson's four-gamete test, which stipulates that the presence of the four possible gametes in a pair of segregating bi-allelic sites is a sign of historical recombination or gene conversion. We inspected all instances where recombination was detected by the test and retained only those where the inferred recombination had occurred between the Z and the W haplotypes.

513

514 SNP effect and identification of candidate genes involved in sex determination

515 To assess whether the NMP region contains candidate genes with known functions related 516 to sex differentiation or sex determination, we extracted all 1306 protein sequences 517 corresponding to transcript sequences located on the scaffolds in the NMP region, and reduced 518 isoform redundancy using BlastClust (http://toolkit.tuebingen.mpg.de/blastclust) with the 519 following parameters: minimum length coverage of 60%, minimum identity of 90%, minimum 520 transcript size of 100 amino acids. This resulted in a set of 361 protein sequences, which we 521 trimmed by hand to remove further the redundancy (we only kept one transcript for each gene). 522 The retained 283 protein sequences (see Supplementary Material S9 for the complete list) were 523 blasted against the NCBI nr database with Blast2GO (Conesa and Götz 2008), using blastp and a maximum e-value of 10⁻¹⁰. Annotated genes were then compared with a list of 601 genes 524 525 obtained from the NCBI gene data base using the keywords "sex determination" and "sex differentiation". We also used the GFF file (also available on NCBI, bioproject reference 526 527 PRJNA298946), which contains gene features of D. magna, to classify each SNP in the NMP 528 region according to whether it induces a synonymous or a non-synonymous change. This analysis 529 was done using the software tool IGV (Robinson et al. 2011).

530 Acknowledgements

531 We thank the Zoo of Moscow and N. I. Skuratov for sampling permits, and David Frey 532 for help with culture maintenance indoors. We thank the Department of Biosystem Science and 533 Engineering of the ETH Zurich, in particular C. Beisel and I. Nissen for Illumina sequencing, and 534 we gratefully acknowledge support by M-P. Dubois, the platform Service des Marqueurs 535 Génétiques en Ecologie at CEFE, and the genotyping and sequencing facilities of the Institut des 536 Sciences de l'Evolution-Montpellier and the Labex Centre Méditerranéen Environnement 537 Biodiversité (CeMEB). We thank M. Roesti for the modified RAD-seq protocol and for the 538 discussions and scripts on the linkage disequilibrium analysis. We thank the University of 539 Fribourg, the Montpellier Bioinformatic Biodiversity plateform and the Labex CeMEB for access 540 to high-performance computing clusters. The sequence data for the *D. magna* genome project 541 V2.4 were produced by The Center for Genomics and Bioinformatics at Indiana University and 542 distributed via wFleaBase in collaboration with the *Daphnia* Genomics Consortium (project 543 supported in part by NIH award 5R24GM078274-02 "Daphnia Functional Genomics 544 Resources"). We also thank Peter Fields and Deborah Charlesworth for their constructive 545 comments at various stages of this paper, as well as David Innes and two other anonymous 546 reviewers who contributed to its great improvement during the reviewing process. This work was 547 supported by the Swiss National Science Foundation (Grant no. 31003A 138203), the Russian 548 Foundation of Basic Research Grant 16-04-01579, the European Union (Marie Curie Career 549 Integration Grant PCIG13-GA-2013-618961, DamaNMP), and the Council on Grants from the 550 President of the Russian Federation in support of leading scientific schools (NSh-9249.2016.5).

551 Bibliography

- 552 Bachtrog D, Mank JE, Peichel CL, Kirkpatrick M, Otto SP, Ashman TL, Hahn MW, Kitano J,
- Mayrose I, Ming R, et al. 2014. Sex Determination: Why So Many Ways of Doing It? PLoS Biol.
 12:e1001899.

555

Beukeboom L, Perrin N. 2014. The evolution of sex determination. Oxford: Oxford University
Press. ISBN: 9780199657148.

558

Broman KW, Wu H, Sen S, Churchill GA. 2003. R/qtl: QTL mapping in experimental crosses.
Bioinformatics 19:889-890.

561

562 Bull JJ. 1983. Evolution of Sex determining Mechanisms. San Francisco: Benjamin/Cummings
563 Pub. Co., Advanced Book Program, Menlo Park, CA. ISBN: 9780805304008.

564

565 Catchen J, Hohenlohe P, Bassham S, Amores A, Cresko W. 2013. Stacks: an analysis tool set for
566 population genomics. Mol. Ecol. 22:3124--3140.

567

568 Charlesworth B. 1991. The evolution of sex chromosomes. Science 251:1030-1033.

569

570 Charlesworth B, Charlesworth D. 1978. A model for the evolution of dioecy and gynodioecy.571 Am. Nat. 112:975-997.

573	Charlesworth B, Nordborg M, Charlesworth D. 1997. The effect of local selection, balanced
574	polymorphism and background selection on equilibrium patterns of genetic diversity in
575	subdivided populations. Genet. Res. Camb. 70:155-174.
576	
577	Charlesworth D, Mank JE. 2010. The birds and the bees and the flowers and the trees: lessons
578	from genetic mapping of sex determination in plants and animals. Genetics 186:9-31.
579	
580	Charlesworth D. 2013. Plant sex chromosome evolution. J. Exp. Bot. 64:405-420.
581	
582	Cheng J, Czypionka T, Nolte AW. 2013. The genomics of incompatibility factors and sex
583	determination in hybridizing species of Cottus (Pisces). Heredity 111:520-529.
584	
585	Conesa A, Götz S. 2008. Blast2GO: A comprehensive suite for functional analysis in plant
586	genomics. Int. J. Plant Genomics. Article ID 619832.
587	
588	Connor HE, Charlesworth D. 1989. Genetics of male sterility in gynodioecious Cortaderia
589	(Gramineae). Heredity 63:373-382.
590	
591	Davidovich O, Kimmel G, Shamir R. 2007. GEVALT: An integrated software tool for genotype
592	analysis. BMC Bioinformatics 8:36-43.
593	
594	Dukič M, Berner D, Roesti M, Haag CR, Ebert D. A high-density genetic map reveals variation
595	in recombination rate across the genome of Daphnia magna. BMC Genetics, in press.
596	

597	Edwards AWF. 1998. Selection and the sex ratio: Fisher's sources. Am. Nat. 151:564-569.
598	
599	Ellegren H. 2011. Sex-chromosome evolution: recent progress and the influence of male and
600	female heterogamety. Nat. Rev. Genet. 12:157-166.
601	
602	Galimov Y, Walser B, Haag CR. 2011. Frequency and inheritance of non-male producing clones
603	in Daphnia magna: evolution towards sex specialization in a cyclical parthenogen? J. Evol. Biol.
604	24:1572-1583.
605	
606	Hobaek A, Larson P. 1990. Sex determination in Daphnia magna. Ecology. 71: 2255-2268.
607	
608	Hoffmann AA, Rieseberg LH. 2008. Revisiting the impact of inversions in evolution: from
609	population genetic markers to drivers of adaptative shifts and speciation? Annu. Rev. Ecol. Evol.
610	39:21-42.
611	
612	Hudson RK. 1985. Statistical properties of the number of recombination events in the history of a
613	sample of DNA sequences. Genetics 111:147-164.
614	
615	Innes DG, Dunbrack RL. 1993. Sex allocation variation in Daphnia pulex. J. Evol. Biol. 6:559-
616	575.
617	
618	Ironside JE. 2010. No amicable divorce? Challenging the notion that sexual antagonism drives
619	sex chromosome evolution. BioEssays 32:718-726.

- Jarne P, Auld JR. 2006. Animal mix it up too: the distribution of self-fertilization amonghermaphroditic animals. Evolution 60:1816-1824.
- 623
- 524 Joron M, Frezal L, Jones RT, Chamberlain N, Lee SF, Haag CR, Whibley A, Becuwe M, Baxter
- 625 SW, Ferguson L, et al. 2011. Chromosomal rearrangements maintain a polymorphic supergene626 controlling butterfly mimicry. Nature 477:203-206.
- 627
- Karim FD, Guild GM, Thummel CS. 1993. The *Drosophila Broad-Complex* plays a key role in
 controlling ecdysone-regulated gene expression at the onset of metamorphosis. Development
 118:977-988.
- 631
- 632 Kato Y, Kobayashi K, Oda S, Colbourne JK, Tatarazako N, Watanabe H, Igushi T. 2008.
- 633 Molecular cloning and sexually dimorphic expression of DM-domain genes in *Daphnia magna*.
- 634 Genomics 91:94-101.
- 635
- Kato Y, Kobayashi K, Oda S, Tatarazako N, Watanabe H, Igushi T. 2010. Sequence divergence
 and expression of a transformer gene in the branchiopod crustacean *Daphnia magna*. Genomics
 95:160-165.
- 639
- Kato Y, Kobayashi K, Watanabe H, Iguchi T. 2011. Environmental Sex Determination in the
 Branchiopod Crustacean *Daphnia magna*: Deep Conservation of a Doublesex Gene in the SexDetermining Pathway. PLoS Genet. 7:e1001345.
- 643

644	Kersten B, Pakull B, Groppe K, Lueneburg J, Fladung M. 2014. The sex-linked region in <i>Populus</i>
645	tremuloides Turesson 141 corresponds to a pericentromeric region of about two million base
646	pairs on <i>P. trichocarpa</i> chromosome 19. Plant. Biol. 16:411-418.
647	
648	Kohn J. 1988. Why be female? Nature 335:431-433.
649	
650	Kotov A, Taylor DJ. 2011. Mesozoic fossils (>145MYA) suggest the antiquity of the subgenera
651	of Daphnia and their coevolution with chaoborid predators. BMC Evol. Biol. 11:129-138.
652	
653	LeBlanc GA, Medlock EK. 2015. Males on demand: the environmental-neuro-endocrine control
654	of male sex determination in daphnids. FEBS J. 282:4080-4093.
655	
656	Li H, Durbin R. 2009. Fast and accurate short read alignment with Burrows-Wheeler Transform.
657	Bioinformatics 25:1754-60.
658	
659	Lohr JN, Haag CR. 2015. Genetic load, inbreeding depression, and hybrid vigor covary with
660	population size: An empirical evaluation of theoretical predictions. Evolution 69:3109-3122.
661	
662	McCauley DE, Bailey MF. 2009. Recent advances in the study of gynodioecy: the interface of
663	theory and empiricism. Ann. Bot. 104:611-620.
664	
665	Miura I. 2008. An evolutionary witness: the frog Rana rugosa underwent change of
666	heterogametic sex from XY male to ZW female. Sex. Dev. 1:23-331.
667	

668 Ohno S. 1967. Sex chromosomes and sex-linked genes. New York: Springer-Verlag, Berlin,669 Heidelberg, New York.

670

671 Olmstead AW, Leblanc GA. 2002. Juvenoid hormone methyl farnesoate is a sex determinant in
672 the crustacean *Daphnia magna*. J. Exp. Zool. 293:736-739.

673

Penning TM, Burczynski ME, Jez JM, Hung CF, Lin HK, Ma H, Moore M, Palackal N, Ratnam
K. 2000. Human 3alpha-hydroxysteroid dehydrogenase isoforms (AKR1C1-AKR1C4) of the
aldo-keto reductase superfamily: functional plasticity and tissue distribution reveals roles in the
inactivation and formation of male and female sex hormones. Biochem. J. 351:67-77.

678

679 Pfeifer B, Wittelsbuerger U, Ramos Onsins SE, Lercher MJ. 2014. PopGenome: An Efficient
680 Swiss Army Knife for Population Genomic Analyses. Mol. Biol. Evol. 31:1929-1936.

681

682 Pokorná M, Kratochvíl L. 2009. Phylogeny of sex-determining mechanisms in squamate reptiles:

Are sex chromosomes an evolutionary trap? Zool. J. Linn. Soc. 156:168-183.

684

Pokorná M, Kratochvíl L. 2016. What was the ancestral sex-determining mechanism in amniote
vertebrates? Biological Reviews. 91:1-12.

687

R Development Core Team. 2008. R: A language and environment for statistical computing. R
Foundation for Statistical Computing, Vienna, Austria. ISBN 3-900051-07-0, URL http://.Rproject.org.

- Rice WR. 1987. The accumulation of sexually antagonistic genes as a selective agent promoting
 the evolution of reduced recombination between primitive sex chromosomes. Evolution. 41: 911914.
- 695
- 696 Robinson JT, Thorvaldsdóttir H, Winkler W, Guttman M, Lander ES, Getz G, Mesirov JP. 2011.
- 697 Integrative Genomics Viewer. Nature Biotech. 29:24-26.
- 698
- Roulin AC, Routtu J, Hall MD, Janicke T, Colson I, Haag CR, Ebert D. 2013. Local adaptation of
 sex induction in facultative sexual crustacean: insights from QTL mapping in natural populations
 of *Daphnia magna*. Mol. Ecol. 22:3567-3579.
- 702
- Routtu J, Jansen B, Colson I, De Meester L, Ebert D. 2010. The first-generation *Daphnia magna*linkage map. BMC Genomics 11:508-514.
- 705
- Routtu J, Hall MD, Albere B, Beisel C, Bergeron RD, Chaturvedi A, et al. 2014. An SNP-based
 second-generation genetic map of *Daphnia magna* and its application to QTL analysis of
 phenotypic traits. BMC Genomics 15:1033-1048.
- 709
- Salz HK. 2011. Sex determination in insects: a binary decision based on alternative splicing.
 Curr. Opin. Genet. Dev. 21:395-400.
- 712

Shin JH, Blay S, McNeney B, Graham J. 2006. LDheatmap: An R function for graphical display
of pairwise linkage disequilibria between single nucleotide polymorphisms. J. Stat. Softw.
16:Code Snippet 3.

717 Shuelke M. 2000. An economic method for the fluorescent labeling of PCR fragments. Nature718 Biotech. 18:233-234.

719

Spigler RB, Lewers KS, Main DS, Ashman TL. 2008. Genetic mapping of sex determination in a
wild strawberry, *Fragaria virginiana*, reveals earliest form of sex chromosome. Heredity
101:507-517.

723

Stöck M, Horn A, Grossen C, Lindtke D, Sermier R, Betto-Colliard C, et al. 2011. Ever-Young
Sex Chromosomes in European Tree Frogs. PLoS Biol. 9.

726

727 Svendsen N, Reisser CMO, Dukič M, Thuillier V, Ségard A, Liautard-Haag C et al. 2015.
728 Identification of cryptic asexuality in *Daphnia magna* by RAD-sequencing. Genetics 201:1143729 1155.

730

731 Tessier AJ, Caceres CE. 2004. Differentiation in sex investment by clones and populations of
732 *Daphnia*. Ecol. Lett. 7:695-703.

733

The Tree of Sex Consortium. 2014. Tree of Sex: A database of sexual systems. Scientific Data.
1:140015.

736

737 Van Dooren TJM, Leimar O. 2003. The evolution of environmental and genetic sex738 determination in fluctuating environments. Evolution 57:2667-2677.

Weller SG, Sakai AK. 1991. The genetic basis of male sterility in *Schiedea* (Caryophyllaceae), an
endemic Hawaiian genus. Heredity 67:265-273.

742

Verlhulst EC, Van de Zande L, Beukeboom LW. 2010. Insect sex determination: it all evolves
around *transformer*. Curr. Opin. Genet. Dev. 20:376-383.

745

Wright AE, Dean R, Zimmer F, Mank JE. 2016. How to make a sex chromosome. Nat. Commun.747 7:12087.

748

- Yu Q, Hou S, Hobza R, Feltus FA, Wang X, Jin W. 2007. Chromosomal location and gene
- paucity of the male specific region on *papaya* chromosome. Mol. Genet. Genomics 278:177-185.

751

752 Zaykin, DV, Pudovkin AI, Weir BS. 2008. Correlation-based inference for linkage753 disequilibrium with multiple alleles. Genetics 180:533-545.

754

Zhang L, Baer KN. 2000. The influence of feeding, photoperiod and selected solvents on the
reproductive strategies of the water flea, *Daphnia magna*. Environmental Pollution, 110: 425430.

758 759 **Figures** 760 761 762 763 MPxMP cross A sc00248_590269 0.0 sc00248_387772 23.0 NMPxMP_2 cross 0.0 sc00642_894707 64.0 NMPxMP_1 cross 5.0



- 764
- 765
- 766
- 767 768

769 Figure 1. Genetic map of the two NMPxMP crosses (microsatellite markers) and of the MPxMP cross used to create the Daphnia magna reference genetic map (SNP markers, Dukič et al. in 770 771 revision). Only Linkage Group 3 (LG3) is shown. Map distances are in centiMorgans, calculated 772 with the Kosambi mapping function in R/qtl. Areas in light blue / light red show a non-significant reduction / expansion of recombination by comparison to the MP cross. while areas in bright blue 773 indicates a significant reduction of recombination. For the two NMPxMP crosses, one marker per 774 775 position is represented. In NMPxMP 1, NMP+3 indicates that three markers were in full linkage 776 with the NMP locus. In NMPxMP 2, dm scf00532 1398 was fully linked with 777 dm scf02121 20555; also, NMP+5 indicates that five markers were fully linked with the NMP 778 locus.

- 779
- 780



the genomic region containing fully linked markers with the NMP phenotype.





Figure 3. Genome-wide association results. Associations of SNP loci with the NMP polymorphism in a sample of 53 MP and 17 NMP individuals (a) across the entire genome and (b) on LG3. On LG3, markers between 72.3cM and 95.7cM show significant associations with the NMP phenotype (the orange line shows significance, with FDR-corrected P-values $< 10^{-3}$).



817 818 Figure 4. Subdivision between NMP and MP individuals (F_{ST} values, in panel a), the difference in heterozygote frequencies (panel b), and log transformed P values for associations on LG3 819 (panel c). Panel (d), for the NMP and flanking regions, shows the associations and the minimum 820 821 numbers of recombinant haplotypes at different positions represented as both the genetic map 822 locations in centiMorgans, and the physical positions of scaffolds.

Position in Megabases



- 825 826
- 827

Figure 5. Linkage Disequilibrium Heatmap (r^2 coefficients) in the NMP region. Results are shown for (a) the NMP associated SNPs, (b) all SNPs mapping to the NMP and flanking region corresponding to the NMP region using the 72 individuals (55 MP, 17 NMP). Black triangles represent scaffolds, and the bi-colored band represents the genetic map positions of each SNP, in centiMorgans. + and – represent the orientations of the scaffolds (forward and reverse respectively).

834 Tables

Table 1. Comparison between crosses with one parent heterozygous (NMP x MP) and both parents homozygous for the MP factor. The
table shows Fisher's Exact Test *P*-values for all pairs of markers studied in (a) the NMPxMP_1 cross and (b) the NMPxMP_2 cross.
Table also gives the proportion of recombinants for each cross, and for the reference cross (MPxMP, Dukič et al. in revision).

(a)	NMPxMP_1 versus MPxMP	P-value % recombinant NMPXMP_1		% recombinant MPxMP	
	nmp - dm_scf02995_94235	1.000	1.515	1.905	
	dm_scf02995_94235 - dm_scf00966_72719	0.300	4.545	0.952	
(a) n n d d d d c c c (b) N c c c c c c c c c c c c c c c c c c c	dm_scf00966_72719 - dm_scf00966_347201	0.655	12.121	15.238	
	dm_scf00966_347201 - dm_scf00243_463094	>0.001	4.545	30.476	
	dm_scf00243_463094 - dm_scf00243_208642	0.070	4.545	13.333	
	dm_scf00243_208642 - dm_scf00494_79695	0.016	13.636	30.476	
	dm_scf00494_7969 - dm_scf02066_483524	1.000	12.121	13.333	
(b)	NMPxMP_2 versus MPxMP	Pvalue	% recombinant NMPXMP_2	% recombinant MPxMP	
	dm_scf00642_853353 - dm_scf02121_20555	0.115	3.704	0	
	dm_scf02121_20555 - dm_scf01654_155597	1.000	7.404	7.692	
	dm_scf01654_155597 - nmp	0.015	7.404	23.077	
	nmp - dm_scf00243_208642	0.474	27.778	34.615	
	dm_scf00243_208642 - dm_scf02066_483524	0.016	25.926	46.154	

Table 2. List of scaffolds containing SNPs significantly associated to NMP (Chi square test; $P < 10^{-5}$). LG: linkage group; Size: total size of the scaffold (in basepair); nb. SNPs: number of associated SNPs on the scaffold; *P*-values: resulting Chi square P value.

LG	scaffold	Size (bp)	Scaffold position (cM)	nb SNPs	Position of SNPs on scaffold	P-values
1	scf00512	3718170	188,7	2	1898250; 1898257	2.04E-17; 1.48E-10
1	scf00205	87516	210,8	3	7803; 7820; 7827	1.63E-13;1.63E-13; 1.63E-13
2	scf02190	2111488	58,2	1	49642	1.46E-09
3	scf02000	31222	72,3	1	4181	5.10E-09
3	scf00848	142519	83,9	2	34647; 68264	5.62E-06; 1.67E-13
3	scf02569	397658	87,9 - 88,8	9	384651; 381715; 268729; 232532; 193880; 80640; 80555; 77438; 77432	1.25E-06; 5.24E-16; 1.99E-14; 8.42E-16; 1.04E-11; 1.35E-12; 8.62E-10; 1.29E-09; 5.30E-12
3	scf03156	106208	88,8	4	4433; 50649; 50655; 79120	2.30E-16; 1.20E-06; 1.20E-06; 5.14E-07
3	scf00027	84679	88,8	4	34526; 29953; 26878; 12813	1.11E-08; 7.27E-12; 4.61E-06; 1.84E-14
3	scf02723	46460	90,8	5	2032; 2194; 13416; 13482	8.44E-14; 2.04E-17;2.30E-16; 4.78E-15
3	scf01727	97879	90,8	1	6345	5.62E-08
3	scf02895	190495	90,8	2	190140; 190147	3.76E-10; 3.76E-10
3	scf01943	93640	90,8	2	13984; 67370	5.79E-08; 2.42E-10
3	scf02003	324342	90,8	1	27223	9.30E-11
3	scf03194	167565	90,8	1	124008	1.91E-07
3	scf00378	73438	93,7	1	22714	5.24E-16
3	scf00452	41028	93,7	2	34895; 34916	8.23E-15; 8.23E-15
3	scf02995	126150	93,7	1	108378	3,47E-09
3	scf00966	460511	95,7	1	174527	3,33E-11
4	scf00311	941766	95,0	1	669922	1,18E-14

852 Table 3. Results of the Blast run showing the 14 candidate genes and their location on the *D. magna* genome. The table reports the start 853 and end position of the gene on the scaffold it maps to, the size of the expected protein (number of amino-acid), the NCBI attributed 854 gene name, the taxon with the best blast hit and its classification, the corresponding e-value, and the percentage of sequence similarity. 855

scaffold	start position	end position	size (aa)	NCBI name	Species	Classification	e-value	similarity
scf00027	2877	6078	316	Serine arginine-rich splicing factor 7	Harpegnatos saltator	Insecta	4.0E-50	82.8%
scf00848	96321	97283	136	Aldo-keto reductase family 1, member C4	Riptortus pedestris	Insecta	4.1E-59	72.5%
scf02003	35289	35935	136	Poly-U-binding splicing factor Half Pint	Acyrthosiphon pisum	Insecta	5.9E-67	95.1%
scf02003	213333	214454	115	Cytochrome P450 314 family	Daphnia magna	Crustacea	3.8E-46	88.3%
scf02569	3227	4315	108	Zinc transporter zip11	Tribolium castaneum	Insecta	3.9E-29	80.2%
scf02569	9179	10907	300	Zinc transporter zip9	Poecilia formosa	Vertebrata	2.1E-75	74.8%
scf02569	35151	44725	606	SOX-9-like transcription factor	Acromyrmex echinatior	Insecta	5.0E-48	89.8%
scf02569	218892	220701	292	DnaJ homolog dnaj-5	Acromyrmex echinatior	Insecta	4.4E-109	72.1%
scf02569	334258	337000	462	Broad-complex	Oncopeltus fasciatus	Insecta	8.3E-50	85.2%
scf02569	340469	342584	281	Transformer2	Daphnia pulex	Crustacea	2.9E-119	88.7%
scf02569	76814	79370	558	Protein SPT2 homolog	Acyrthosiphon pisum	Insecta	2,00E-33	63.9%
scf02569	228772	229714	158	Histone deacetylase complex subunit sap18	Metaseiulus occidentalis	Arachnida	1.1E-55	82.1%
scf02723	1124	6033	287	Epidermal growth factor receptor kinase	Zootermopsis nevadensis	Insecta	1.7E-30	71.2%
scf03156	4200	8559	794	Lysine-specific histone demethylase 1A	Stegodyphus mimosarum	Arachnida	0.0	85.3%

856 Supplementary material

857

858 <u>S1: Table: microsatellites.xls</u>

Excel file giving the list of the 81 microsatellite markers tested in this study.

860

861 <u>S2 : Table: snp_data.xls</u>

Excel file containing the list of SNPs obtained from the RAD-sequencing panel for all individuals. Information listed: Linkage Group; order of SNP on the genetic map; CentiMorgan position on the genetic map; basepair position on the physical map; MegaBase position; scaffold where the SNP maps to; position of the SNP on the scaffold (in bp); major allele; minor allele. Additional information: Chi square value; associated *P*-value; mutation location and type (intergenomic, intron, 5' / 3' UTR; amino acid substitution); synonymous or non synonymous mutation; gene impacted.

869

870 <u>S3 : Figure.</u>

871 Caption: Distribution of the percentage of relative heterozygosity in MP (black) and NMP (grey)
872 individuals. Calculations were performed without LG3, as this chromosome shows a higher
873 heterozygosity in NMP individuals.



Percentage of relative heterozygosity



876 S4 : Text : RAD-sequencing and SNP calling protocol

877 We used the RAD-sequencing protocol developed by Etter et al. (2011) with a few 878 modifications. The 72 individuals were divided in 2 libraries. Prior to DNA extraction, individuals were treated for 72 hours with three antibiotics (Streptomycin, Tetracyclin, 879 880 Ampicilin) at a concentration of 50 mg/L of each antibiotic and fed with microscopic glass beads 881 (Sephadex "Small" by Sigma Aldrich: 50 µm diameter) at a concentration to 0.5g/100 mL. The 882 aim of this treatment was to minimize contaminant DNA (i.e., bacterial DNA or algal DNA) in in the gut and on the surface of the carapace. Genomic DNA was extracted using the Qiagen Blood 883 884 and Tissue kit following manufacturer's instructions and digested with PstI (New England 885 Biolabs). Digested DNA was barcoded with individual-specific P1 adapters and pooled to create 886 a library containing 2100ng DNA. The pooled library was sheared on a Bioruptor using 2 times 3 887 cycles (1 cycle 30 seconds ON, 1 minute OFF), and fragments between 300 and 500bp were selected through agarose gel electrophoresis. DNA fragments were blunted and a P2 adapter was 888 889 ligated. The library was amplified through PCR (30 seconds at 98°C, followed by 18 cycles of 10 sec. at 98°C, 30 sec. at 65°C and 30 sec. at 72°C; a final elongation step was performed at 72°C
for 5 min.). A final electrophoresis was performed to select and purify fragments between 350
and 600bp. Each library was sequenced on a single lane of an Illumina HiSeq 2000, using singleend 100 cycle sequencing by the Quantitative Genomics Facility service of the Department of
Biosystem Science and Engineering (D-BSSE, ETH), Basel, Switzerland.

895 The quality of the raw sequencing reads (library-wide and per-base) was assessed with 896 FastOC (http://www.bioinformatics.babraham.ac.uk/projects/fastqc/), and reads were checked for 897 barcode integrity, absence of adapter sequences within the reads, and integrity of the PstI cut site. 898 The reads were sorted individually by barcode and filtered to remove reads with uncalled bases and an overall base quality score of less than 24. Reads were subsequently aligned to the Daphnia 899 900 magna genome (V2.4; Daphnia Genomic Consortium, bioproject reference PRJNA298946, on 901 the NCBI repository: https://www.ncbi.nlm.nih.gov/bioproject/?term=PRJNA298946) using 902 BWA v.0.7.10 (Li and Durbin 2009). Reads that did not map to the reference genome or that 903 mapped to more than one place were discarded. The successfully mapped reads were filtered 904 according to mapping quality (end-to-end mapping with a mapping quality score of at least 25, no 905 more than eight high quality substitutions).

906 Assignment of reads to RAD loci (defined by unique 95 bp locations on the reference 907 genome) and genotype calling was performed in Stacks V1.19 with a bounded SNP model in 908 pstacks (--bound high of 0.04, according to the base call error rate provided by the sequencing 909 facility) and allowing a maximum of two high frequency haplotypes (i.e. alleles) per locus per 910 individual. Loci with more than two high frequency alleles were excluded because of a too high 911 risk of falsely mapping paralogous reads to a single locus. Cstacks and sstacks were operated 912 with default settings and with the -g option to use genomic location as method to group reads. 913 The distribution of the minor allele frequency indicated that heterozygous loci usually had a 914 minor allele frequency ranging between 0.2 and 0.5 within an individual. We thus fixed the 915 max_het_seq parameter to 0.2 in the program genotypes. As such, potentially heterozygous 916 genotypes with a minor allele frequency of between 0.05 (default homozygote cut-off) and 0.2 917 were considered ambiguous and were scored as missing in the results. Loci were also filtered 918 according to sequencing depth: Loci with less than 20 reads were discarded (to reduce 919 uncertainty in genotype calls) as were reads with a more than five times higher depth than the 920 average depth across individuals (to reduce the risk of including repetitive elements).

After final genotype calling, loci were mapped to the Daphnia magna genetic map v.3.0 (Dukič et al, submitted). This was done by extracting for each RAD locus the linkage group and cM position of the nearest map-markers on the same scaffold and, if needed, by extrapolating the cM position of the RAD locus by linear extrapolation between the two nearest map-markers.

925

926 *References*

927 Etter PD, Preston JL, Bassham S, Cresko W, Johnson EA. 2011. Local de novo assembly of RAD
928 paired-end contigs using short sequencing reads. PLoS ONE,6:755,e18561.

929

930 <u>S5 : Script: association.R</u>

Annotated R script providing the function we used to perform the association analysis at the
genome wide level. This script comes partly from the one used in Joron et al. 2011, referenced in
the article.

935 <u>S6</u> : Text. Protocol for the physical ordering of scaffold in the NMP associated non-

936 recombining region of LG3.

937 The region controlling the NMP phenotype maps to a region with a low recombination 938 rate in the reference genetic map. This results in the fact that numerous scaffolds are mapped to 939 the exact same cM position, and their relative position and orientation amongst each other are not 940 resolved. Hence, no physical order of the scaffolds in the region can be obtained from the genetic 941 map. This is problematic for genome-wide association studies and fine mapping of the NMP 942 locus, especially for determining whether the NMP phenotype maps to one or multiple specific 943 sub-regions. In an attempt to physically order and orientate the scaffold in the NMP region, we 944 performed linkage disequilibrium (LD) mapping, which uses data on LD from a single population 945 and therefore can make use of historical recombination events present in the data. LD mapping 946 relies on the expectations that two physically close loci should show a high correlation in their 947 segregation patterns in a population (and thus high LD), since recombination events between the 948 two loci should be rare. It is a population based method, so that individual discrepancies with the 949 global population pattern cannot be tested.

950 Because the NMP region on the incipient W chromosome might carry phenotype specific 951 rearrangements, we based the physical ordering using LD mapping only on the 54 MP individuals 952 sampled from the MOS population. We performed LD mapping on a region of LG3 between 953 85cM and 95cM, in order to use SNPs just outside the NMP linked region as anchor. The MOS 954 dataset contains SNPs on 30 mapped scaffolds in this region. Among these, there are three groups 955 of scaffolds for which the relative position and orientation could not be resolved with the genetic 956 map: two scaffolds at position 88.8 cM, 17 scaffolds at 90.8 cM and 4 scaffolds at 93.7 cM. For physical ordering of the scaffolds within each of these groups, we first calculated r^2 values for 957

958 each pair of SNPs with MCLD (Zaykin 2008), which is based on the correlation of segregation of 959 genotypes in natural populations, avoiding the need to phase the data, but removing any individual particularities in segregation pattern. We then averaged the r^2 values of the three 960 terminal SNPs on each side of each scaffold and created a matrix of pairwise average r^2 values 961 962 between each pair of scaffold extremities for each of the cM groups separately. When more than 963 two scaffolds had to be ordered in a group, we perform a hierarchical clustering analysis to identify "starting clusters" (highly linked scaffold extremities), using the hclust function of the R 964 965 core package stats. Scaffolds were then added one by one to the starting clusters following the 966 hierarchical order obtained from the hclust dendogram, and oriented in a way that maximized the average r^2 values between adjacent scaffold extremities. 967

968

969 <u>S7 : Table: LD_nmp_region.xls</u>

970 Excel document containing calculated r^2 values for the SNPs present in the NMP non 971 recombining region, in order to order and orientate scaffolds in the region not recombining in the 972 reference MPxMP cross.

973

974 <u>S8 : Table: phased haplotypes.xls</u>

975 Excel document containing the list of the raw and the corrected haplotypes phased in this study,

along with the SNP coordinates for each position.

977

978 <u>S9 : Text: nmp_region_gene_content.fasta</u>

979 FASTA formatted document listing the 283 genes used in the analysis.

981 <u>Raw genomic data:</u>

- 982 The FASTQ files of all the individuals used in this study will be available on the SRA database
- 983 upon acceptance of the manuscript.