# Impacts of data assimilation on the global ocean carbonate system

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#### Abstract :

In an ocean reanalysis, historical observations are combined with ocean and biogeochemical general circulation models to produce a reconstruction of the oceanic properties in past decades. This is one possible method to better constrain the role of the ocean carbon cycle in the determination of the air-sea CO2 flux. In this work, we investigate how the assimilation of physical variables and subsequently the combined assimilation of physical data and inorganic carbon variables - namely dissolved inorganic carbon (DIC) and alkalinity - affect the modelling of the marine carbonate system and the related air-sea CO2 fluxes. The performance of the two assimilation exercises are quantitatively assessed against the assimilated DIC and alkalinity data and the independent ocean surface pCO2 observations from global datasets. We obtain that the assimilation of physical observations has contrasting effects in different ocean basins when compared with the DIC and alkalinity data: it reduces the root-mean square error against the observed pCO2 in the Atlantic and Southern oceans, while increases the model error in the North Pacific and Indian Oceans. In both cases the corrected evaporation rates are the major factor determining the changes in concentrations. The assimilation of inorganic carbon variables on top of the physical data gives a generalized improvement in the model error of inorganic carbon variables, also improving the annual mean and spatial distribution of air-sea fluxes in agreement with other published estimates. These results indicate that data assimilation of physical and inorganic carbon data does not guarantee the improvement of the simulated pCO2 in all the oceanic regions; nevertheless, errors in pCO2 are reduced by a factor corresponding to those associated with the air-sea flux formulations.

### Highlights

► Impacts of physical-biogeochemical data assimilation on marine carbonate system are addressed. ► A coupled model (NEMO, LIM2, BFM) for the global ocean is combined with 3DVAR system. ► Physical-only data assimilation has contrasting effects on the regional carbonate system dynamic. ► Combined assimilation of physical and inorganic carbon data improves global estimate of DIC and ALK.

### Keywords : Ocean biogeochemical dynamics, Data assimilation, Carbon cycle

## 43 1. Introduction

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Both the land and the ocean act as sinks capable of absorbing fractions of atmospheric  $CO_2$  (Le Quéré *et al.* 2015). Since the terrestrial sink turns out to be one of the most uncertain terms, it is usually derived as a difference between the atmospheric growth rate and ocean uptake (Canadell *et al.* 2007; Le Quéré *et al.* 2012). The reconstruction of the air-sea  $CO_2$  flux is thus crucial in closing the global carbon budget. In addition, an adequate estimation of current ocean-atmosphere fluxes is required by the concern that the capability of the ocean in absorbing atmospheric  $CO_2$  is likely to diminish in the future because of the saturation in the natural sinks due to surface ocean warming

and reduced uptake efficiency (Sarmiento and Le Quéré 1996; Matear and Hirst 1999; Joos *et al.*1999; Le Quéré *et al.* 2007, 2010; Ballantyne *et al.* 2012).

54 Recent studies aimed at assessing the value of the global and regional air-sea CO<sub>2</sub> flux, using 55 inorganic carbon data from publicly available global ocean databases, account for ocean inversion 56 methods (Gloor et al. 2001, 2003; Gurney et al. 2004; Patra et al. 2005; Jacobson et al. 2007; 57 Mikaloff-Fletcher et al. 2007; Gruber et al. 2009; Maksyutov et al. 2013), interpolation procedures 58 (Takahashi and Sutherland 2007; Takahashi et al. 2009; Jones et al. 2012; Park et al. 2010; Chen et 59 al. 2011; Deng and Chen 2011; Gerber and Joos 2010), neural networks (Lefèvre et al. 2005; 60 Telszewski et al. 2009; Landschützer et al. 2013), and prognostic Ocean Biogeochemical General 61 Circulation Models (OBGCM) (Watson and Orr 2003; Matsumoto et al. 2004; Le Quéré et al. 62 2010).

63 In particular, the application of OBGCMs represents an alternative to the ocean and atmosphere 64 inversion methods (Wanninkhof et al. 2013). In such a framework, a biogeochemical and physical 65 oceanic models are coupled to reconstruct both the physical state and the biogeochemical properties 66 of the ocean. The advantage offered by OBGCMs over statistical methods stems in the fact that the 67 underlying models rely on diagnostic and prognostic equations, which in turn tests our knowledge 68 of the main mechanisms involved. In a forward OBGCM, ocean physical dynamics are simulated 69 with discretized primitive equations whose major uncertainties are mostly related to coarse spatial 70 resolutions and sub-grid scale parameterizations. In particular, different realizations of the surface 71 forcing or the model architecture used in the ocean dynamics have been demonstrated to give 72 substantial differences in the resulting fields of inorganic carbon variables even when a rather 73 simplified biogeochemical model is used (Doney et al. 2004; Sitch et al. 2015). A more complex 74 alternative relies on the combination of an OBGCM with an atmospheric model to realize an Earth 75 System model (Crueger et al. 2008; Vichi et al. 2011). Another source of uncertainty is represented 76 by the parameterization of the air-sea CO<sub>2</sub> flux that is usually based on empirical estimates of the 77 exchange rate at the interface (Wanninkhof 1992).

One approach at constraining the air-sea  $CO_2$  fluxes consists in the realistic simulation of the space and time evolution of surface  $pCO_2$ , which is linked to the physical and biogeochemical dynamics of the two main carbonate system variables dissolved inorganic carbon (DIC) and total alkalinity (ALK). However, the large number of different biogeochemical models used in OBGCMs is an indication that there are few evidence-based constraints on biological processes, whose knowledge is derived heuristically from laboratory experiments and in situ measurements with necessarily limited spatial and temporal extents.

85 Only recently the scientific literature reported on the assimilation of these inorganic carbon 86 variables or of the CO<sub>2</sub> partial pressure into an OBGCM (Ridgwell et al. 2007; Dowd et al. 2014; 87 Valsala and Maksyutov 2010; While et al. 2012; Gregg et al. 2014). Ridgwell et al. (2007) used an 88 ensemble Kalman filter method to assimilate alkalinity and phosphates into the global Grid 89 ENabled Integrated Earth oceanic model, coupled to a model that resolves the biogeochemistry. 90 Ensemble Kalman Filter was also used to estimate the parameters of the biological processes related 91 to carbon cycling (Dowd et al. 2014). Valsala and Maksyutov (2010) modelled the ocean carbon 92 cycle by coupling a biogeochemical model to an offline transport model for physical circulation, 93 assimilating  $pCO_2$  data with a variational method, but do not focus on the benefits of the 94 assimilation of physical data. While et al. (2012) modified the FOAM data assimilation system to 95 allow for the possibility of assimilating  $pCO_2$  data, using the NEMO ocean model coupled to the 96 HadOCC biogeochemical model.

97 At the best of our knowledge, the impact of physical data assimilation alone on the simulation of 98 the carbonate system was not specifically addressed in previous works and only a limited literature 99 deals with the effects on other biogeochemical variables. The first pioneering paper by Anderson et 100 al. (2000) indicated the creation of spurious biogeochemical fluxes when physics and biology were 101 not assimilated together and a joint assimilation process was suggested. Berline et al. (2007) 102 reported some slight improvements of the assimilation of physics alone, mostly due to changes in 103 the mixed layer depth in the North Atlantic. The impact on the ecosystem features was however 104 deemed small and not necessarily positive. Following the previous work, Ourmieres et al. (2009) 105 reported that the assimilation of physical data has a rather weak the impact on the ecosystem and, in 106 some situations, it can even worsen the ecosystem response for areas where the prior nutrient 107 distribution is significantly incorrect. They come to the conclusion that the combined assimilation 108 of physical and nutrient data has a positive impact on the phytoplankton patterns, by claiming the 109 urgent needed of more intensive in situ measurements of biogeochemical nutrients to overcome 110 these issues. More recently, Raghukumar et al. (2015) used a physical-biogeochemical model of the 111 California Current System with an incremental 4-dimensional variational method for physical data 112 assimilation. They found that the method improves correlation with observations, although 113 artificially enhancing the phytoplankton standing stock that leads to a large bias particularly in 114 regions of low mean concentration.

115 In this work, we investigate the benefits and drawbacks of using an assimilation system for 116 physical-only observations (temperature and salinity) and the subsequent inclusion of inorganic 117 carbon data (DIC and ALK) to simulate the evolution of the carbonate system and the related air-118 sea CO<sub>2</sub> fluxes in a forward OBGCM.

- 119 The approach based on the assimilation of physical quantities is worth exploring since an 120 established and well-maintained monitoring network for the physical state of the global ocean exists 121 (see http://www.argo.ucsd.edu). In view of a similar organized effort in collecting carbonate system 122 observations through a global ocean network, we also aim at assessing the possible improvements 123 emerging from the combination of data assimilation and inorganic carbon observations.
- 124 We used the Nucleus for European Modelling of the Ocean (NEMO, Madec 2008) general 125 circulation model coupled online to the Biogeochemical Flux Model (BFM, Vichi et al. 2007a,b). 126 The assimilation of the physical and biogeochemical components is performed with a three-127 dimensional variational ocean data assimilation system (Storto et al. 2011).
- 128 In this study, we run three different experiments under current climate conditions, which differ by 129 the inclusion in the assimilation system of in-situ physical data, both physical and inorganic carbon 130 data (DIC and ALK), compared against a control run. Beside the evaluation of the assimilated state variables, the overall assessment of the performed simulations focuses on the independent 131 132 comparison with observations of the sea surface pCO<sub>2</sub> and with literature estimates of the air-sea 133 CO<sub>2</sub> fluxes.
- 134 The manuscript is organized as follows. Section 2 describes the OBGCM, the data assimilation 135 system, and observational data considered for the assimilation and the validation. In Section 3 we 136 highlight the assessment of the assimilation for both the physical and inorganic carbon variables, 137 and we present the results for the simulation of the  $pCO_2$  and the air-sea  $CO_2$  flux. In Section 4 we 138 discuss the results obtained, drawing conclusions in Section 5.
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#### 140 2. Methods 141

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### 2.1. Oceanic Biogeochemical General Circulation Model 143

144 The OBGCM used in the present work is composed by the NEMO general circulation model 145 (Madec, 2008; see also http://www.nemo-ocean.eu, version 3.4), coupled with the Louvain-La-146 Neuve sea-ice model (Fichefet and Maqueda, 1997; version 2) and with the Biogeochemical Flux 147 Model (Vichi et al. 2007a,b, Vichi and Masina, 2009; see http://bfm-community.eu, version 5).

The model is based on an ORCA grid with a horizontal grid resolution of  $2^{\circ} \times 2^{\circ}$ , except in the 20°N – 20°S belt where the meridional grid spacing reduces to 0.5°. The grid is irregular and features three poles, two of which are located over the land regions in the northern hemisphere and the third over Antarctica. The number of ocean vertical levels is 30, 20 of which are located in the top 500 m.

153 The net freshwater flux is corrected by means of the relaxation towards the World Ocean Atlas 154 2009 (WOA09, http://www.nodc.noaa.gov/) monthly climatology of sea surface salinity, with a 155 relaxation timescale corresponding to 300 days for a 50 m deep mixed layer. An additional three-156 dimensional relaxation is applied northward of 60°N and southward of 60°S in order to avoid high-157 latitude model drifts. At each time step, the freshwater flux is adjusted according to the 158 climatological flux computed on the previous year. This adjustment directly modifies the Sea 159 Surface Salinity (SSS). At the same time, we do not enforce the relaxation in the Sea Surface 160 Temperature (SST).

161 The Biogeochemical Flux Model (BFM) describes the dynamics of major biogeochemical processes 162 occurring in global marine systems including the carbonate system. The model is based on a set of 163 differential equations describing the fluxes of matter and energy between inorganic pools and living 164 functional groups. The BFM describes through a continuum biomass representation the lower 165 trophic levels dynamics of the marine ecosystem. The model implements a set of biomass-based 166 differential equations that solve the fluxes of nutrients (carbon, nitrogen, phosphorus, silicate and 167 iron) among selected biological functional groups (namely, 1 bacterial, 3 phytoplanktonic and 3 168 zooplanktonic groups) representing the major components of the ocean ecosystem (Vichi et al. 169 2015).

170 It was here included the parameterization of calcite formation and dissolution proposed by Aumont 171 and Bopp (2006), with the reference phytoplankton content of particulate inorganic carbon (PIC) as 172 estimated by Gehlen et al. (2007). Calcite is produced by nanoflagellates and released as a consequence of grazing by micro- and meso-zooplankton and loss processes involving particulate 173 174 matter originate by cells death. The sinking velocity of PIC is set to a constant value of 30 m/d and 175 changes in the calcite pool lead to a stoichiometric adjustment in DIC and ALK concentrations. A 176 scheme of the state variables and resolved physiological and ecological processes is available on the 177 model web page (http://bfm-community.eu), where it is also possible to download the code and 178 access the full documentation. Additional details for the parameterization of the advection and 179 diffusion schemes, the forcing, and the river runoff used in the experiments are provided in Tab. 1.

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## 2.2. Ocean Data Assimilation system

The OBGCM is coupled with the global implementation of a three-dimensional variational data assimilation system, here OceanVar (Dobricic and Pinardi, 2008; Storto *et al.* 2011). The model assimilates data over the whole oceanic region with no depth exclusion over a fixed length time window. The data assimilation step consists in minimizing a cost function J(x) with respect to the state vector x, containing both physical and biogeochemical parameters (T, S, DIC, ALK) in the model three-dimensional grid, of the form (Courtier et al., 1994)

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$$J(x) = \frac{1}{2} (x - x^{b})^{T} B^{-1} (x - x^{b}) + \frac{1}{2} [d - H(x - x^{b})]^{T} R^{-1} [d - H(x - x^{b})], \qquad (1)$$

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194 where  $x^b$  is the background model state, B is the background-error covariance matrix, d is the vector 195 of misfits, H is the observation operator that interpolates x over d, and R is the observational error 196 covariance matrix. In OceanVar, the background error covariances of the model state B are further 197 split into a sequence of operators that account separately for the horizontal and vertical error 198 covariances of the assimilated fields (Dobricic and Pinardi, 2008). The vector of misfits d is 199 computed through the First Guess at Appropriate time (FGAT) method, namely observations are 200 compared to the model equivalents closer in time to observations within 3-hourly time slots.

201 Due to the structure of the background error covariance matrix, vertical corrections are spread over 202 the physical and biogeochemical variables by using Empirical Orthogonal Functions (EOFs). In 203 order to derive the set of EOFs used in the experiments, we first run a non-assimilative experiment, 204 from which we obtain an initial set of EOFs for both the physical and inorganic carbon variables. 205 Then, we set to zero all cross-correlations between any physical and biogeochemical variable, and 206 derive a new set of EOFs. These cross-correlations have been set to zero in order to ensure that the 207 assimilation of biogeochemical quantities does not affect the physical reanalysis, as unrealistic 208 correlations may arise when the number of biogeochemical observations is remarkably smaller than 209 the physical ones. The EOFs thus computed retain all cross-correlations between temperature and 210 salinity, as well as those between DIC and ALK. Since non-zero correlation between temperature 211 and salinity exists, when only one of the two physical quantities is assimilated, vertical corrections 212 apply to the other as well. Similarly, the assimilation of one specific biogeochemical variable 213 affects the other assimilated biogeochemical property (DIC or ALK) through the specific cross-214 correlation term. For the assimilation, we use ten EOF modes for each vertical profile, which 215 explained variance averaged over the global oceanic region is 98.9%.

In order to model horizontal correlations, a 4-iteration first-order recursive filter is used (Purser *et al.* 2003a,b), with a uniform horizontal correlation length-scale equal to 300 km for all assimilated variables. In the OceanVar system, cyclic conditions during the application of the recursive filter are approximated by imposing an extension of the domain with duplicated observations on the symmetric extension zones (Storto *et al.* 2011).

The assimilation system assumes the observational error covariance matrix to be diagonal, namely errors between observations are mutually uncorrelated, and the observation error variance is given by the sum of instrumental and representativeness errors variances.

- 224 Observational errors for the physical variables are derived from the profiles of instrumental errors 225 provided by Ingleby and Huddleston (2007), which are subsequently multiplied by a coefficient that 226 depends on the spatial variability at each point (Oke and Sakov 2008; Storto et al. 2014) and 227 accounts for large representativeness errors in areas of strong variability. Since the corresponding 228 information for the observational errors of inorganic carbon variables is not available within the 229 GLODAP dataset, we have used the method proposed in Eq. (3) of Desroziers et al. (2005) to 230 reconstruct the biogeochemical observation error. This method relates the error variance with the 231 expectation value of the product between the observation minus background (d) and the observation minus analysis, i.e.  $y - H(x^a)$ , with  $x^a$  representing the analysis. 232
- The assimilation system performs several data quality controls on both physical and biogeochemical variables, among which a check against the climatology and one against background fields that rejects observations with a too large departure from the model fields. In detail, observations are rejected if the square of the errors  $d^2$  between the data and the model outcome is
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$$239 \qquad d^2 > \alpha_{\rm INS} \left( \sigma_{\delta}^2 + \sigma_{\sigma}^2 \right), \tag{2}$$

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where  $\sigma_b$  is a fixed parameter describing the error associated with the background and  $\sigma_0$  is the error associated with the observation, and  $\alpha_{INS}$  is a threshold factor. The value of  $\alpha_{INS}$  was estimated to ensure that only a few data outliers are rejected and the use of observational information is maximized (see Storto et al. 2011). In particular, the assimilation system was repeatedly applied with different threshold values and  $\alpha_{INS}$  was selected when both the magnitude of misfit range and rejection rates were in the +/- 2% range with respect to the initial standard statistics. The threshold factors  $\alpha_{INS}$  are set as in Tab. 1. An assimilation time window of 10 days was here adopted to balance between the frequency of available observations and the assumptions implied by the OceanVar scheme. In fact, a shorter time window would prevent the assimilation system from using a fairly homogenous observing network, while a longer one would lead to infrequent corrections with a detrimental impact on the skill scores.

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## 254 **2.3.** Observations and statistical assessment

256 Global temperature and salinity data from the EN-ACT Quality Checked dataset (EN3, Ingleby and 257 Huddleston 2007) were used for the assimilation. Each measurement has been quality controlled by 258 using a set of objective tests, with data available from 1950 to present day. This collection 259 comprises several independent data from different observing platforms, like e.g., moored and 260 expendable bathythermographs, conductivity-temperature-depth profiles, moored buoys, and floats 261 such as the instrumentation used in the ARGO project (see http://www.argo.ucsd.edu). More 262 specifically, the moored buoys considered in EN3 are the PIRATA floats in the Atlantic basin, the 263 TOGA-TAO floats in the Pacific basin, and the RAMA floats in the Indian Ocean (McPhaden et al. 264 1998).

265 Global biogeochemical data are obtained from the following datasets: GLODAP (Key et al. 2004), 266 CARINA (Velo et al. 2009; Olsen 2009a,b), PACIFICA (Suzuki et al. 2013), the Bermuda Atlantic 267 Time Series (BATS, Michaels and Knap 1996), and the Hawaii Ocean Time series (HOT, Lukas 268 and Karl 1999). The available number of data considered in the assimilation system over the whole 269 period of the simulations is shown in Fig. 1. For each basin, a different amount of data is available, 270 clustered over specific years. For example, data in the Pacific Ocean were mostly collected during 271 the period 1992-1994, while data in the Indian Ocean were mostly collected on 1995. Due to the 272 shortage of available information, we have retained all data prior assimilation, which are 273 subsequently filtered by the variational assimilation model under the condition described by Eq. (2). 274 To assess the overall efficiency of the OceanVar assimilation system, we computed the Root-Mean 275 Square Error (RMSE) for temperature, salinity, DIC and ALK three-dimensional fields with respect 276 to the datasets above over marine regions selected on the basis of the recent literature (see Schüster 277 et al. 2013; Sarma et al. 2013; Ishii et al. 2014; Lenton et al. 2013; regions listed in Tab. 2). We 278 only considered data collected in the entire water column, excluding the outliers larger than 3 279 standard deviations from the mean of the dataset. The latter condition selects data depending on the 280 statistical properties of the data only, and it is independent from the filtering performed by the 281 OceanVar scheme in Eq. (2). Although RMSE is a metric potentially affected by the sampling and 282 the representativeness of the verifying observations, our choice stems from its widespread use as

intuitive and summarizing skill score and ease of comparison among the experiments and with otherpublished works.

- 285 Given the multivariate nature of the experiments, it is useful to have a graphical representation of 286 the RMSE metric for the different regions that enables for the comparison of changes due to the 287 sequential assimilation of physical and biogeochemical data. This was done using the non-metric 288 multidimensional scaling (MDS) that is an ordination method to refit the original data into a low 289 dimensional space (here 2D). A symmetrical matrix for all pairwise distances among the original 290 data is computed using a suitable distance metric, here assumed to be the Manhattan or cityblock 291 distance (Cox and Cox 2000). An iterative procedure is then used to test the goodness of fit between 292 the ordination-based distance matrix (computed for different refits of data) and the distance matrix 293 of the original data. The Kruskal's Stress function is minimized through iteration and a value lower 294 than 0.2 was here adopted to select the optimal refit of the original distances in the lower 295 dimensional space.
- In the case of physical data the validation step aims mostly to demonstrate the efficiency of the assimilation system in this specific simulation. However, it does have a merit in the assessment of the carbonate system variables because it allows evaluating the improvements due to the assimilation of physical variables (when DIC and ALK are not assimilated), as well as providing a reference for the combined assimilation.
- The simulated surface  $pCO_2$  fields were assessed against data from the Surface Ocean CO<sub>2</sub> Atlas (SOCAT2, Sabine *et al.* 2013; Bakker *et al.* 2013) a global  $pCO_2$  dataset that reports measurements
- 303 of  $pCO_2$  at surface waters collected from 1968 to 2012.
- The estimated air-sea flux of CO<sub>2</sub> comes from Takahashi *et al.* (2012) that provides data referred to the nominal year 2000 over a  $4^{\circ} \times 5^{\circ}$  regular grid. Other global and regional estimates of CO<sub>2</sub> fluxes have been taken from Rödenbeck *et al.* (2014), Landschützer *et al.* (2014), Park *et al.* (2010),
- 307 Peters et al. (2010), Peylin et al. (2013), Jacobson et al. (2007), Le Quéré et al. (2015) and
- 308 Wanninkhof *et al.* (2013).
- Both the SOCAT2 and Takahashi *et al.* (2012) datasets are not included in the assimilation system
- 310 to enable an independent assessment of the  $pCO_2$  fields and the air-sea  $CO_2$  flux.
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## 312 **2.4. OBGCM setup and numerical simulations**

- 314 We perform three different numerical simulations covering the period 1988-2010:
- 315 1. A control run (CTRL) without any data assimilation;
- 316 2. A physical reanalysis (TSRE) where we assimilate in-situ temperature and salinity data;

317 3. A reanalysis (here REAN) where we assimilate in-situ temperature, salinity, alkalinity and
318 dissolved inorganic carbon.

In all experiments, we used the same parameterizations for the ocean and the biogeochemical models. The oceanic component has been spun up by repeating the year 1988 twenty-five times. Initial conditions for the temperature and salinity fields were obtained from the WOA09 dataset, whereas the zonal and the meridional components of the velocity fields start from rest. Initial conditions, external forcing, and parameterizations used are summarized in Tab. 1.

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### 325 **3. Results**

## 327 **3.1.** Assessment of the assimilated variables

The RMSE errors computed against the assimilated datasets for the 3 simulations over a selected number of ocean regions and over the entire ocean depth (see Sec. 2.3) in the period 1988-2010 are presented in Tab. 2.

On average, the assimilation of physical data reduces the RMSE with temperature and salinity observations by about 20-30%, reducing the temperature error below 1°C in almost every region considered but the Indian Ocean. One major correction for temperature occurs in the Northern and Tropical Atlantic Ocean and for salinity in the South Pacific Ocean where in this case the RMSE is reduced to 40% with respect to the CTRL simulation.

337 The impact of the ocean physics improvements on DIC and alkalinity is however limited to certain 338 regions, and not always the same ones where the physical model error is reduced the most. For 339 instance, DIC benefits from the assimilation of temperature and salinity observations only in the 340 Subpolar Atlantic region, and ALK only in the North and Tropical Atlantic regions. In all other 341 areas, the simulations of DIC and ALK in TSRE show larger RMSE with observations with respect 342 to the CTRL. The impact of the combined assimilation of physical and inorganic carbon variables 343 is, on the other hand, positive in all the regions, reducing the RMSE with observations with respect 344 to both the CTRL and TSRE experiments everywhere with peaks of about 40% reduction.

The values of the RMSE indicators for temperature, salinity, DIC and ALK in every region and every experiment are combined to give a measure of the distance from a perfect reference simulation without errors (all RMSE equal to 0) using the MDS method (see Sec. 2.3). This operation returns the plot shown in Fig. 2, where the axes are arbitrary and distances are representative of the quality of the simulation in that region.

350 It is evident that the combined assimilation in REAN reduces the multivariate RMSEs shifting 351 almost all region points toward the reference and closer to each other. The figure shows for instance 352 that Northern subtropical Atlantic (indicated with the code ATL-NST) is first shifted closer to the reference because of the assimilation of physical data and further more by the carbonate variable data. The use of inorganic carbon data also improves some of the regions that showed a worsening with the physical assimilation. For instance, the discrepancies in the reconstruction of the inorganic carbon variables obtained in TSRE for the Indian and Pacific oceans (see for instance the tropical Pacific region, PAC-T) are corrected with the assimilation of the inorganic carbon variables in REAN.

In Fig. 3 and 4 we show the time series of SST, SSS, surface DIC, and surface ALK for the three experiments, compared with the sustained observations at the two stations at BATS and HOT. For both stations, observed data present a positive trend in surface DIC because of the atmospheric increase in the  $CO_2$  concentration, which was generally reconstructed in all experiments.

363 BATS (Fig. 3) belong to the Subtropical North Atlantic region that shows a marked improvement 364 by physical data assimilation only. In fact, CTRL has lower salinity and warmer temperature than 365 observed; both facts contribute to high evaporation rates (Fig. 5a) and to a more stratified water 366 column that determine an unrealistic trend in the surface concentrations, especially for alkalinity. 367 The assimilation of temperature and salinity in TSRE determines a strong reduction of the 368 evaporation process, which in turn leads to a strong reduction of the positive trend in ALK and an 369 improved seasonal variability. The further assimilation of inorganic carbon data drives both DIC and ALK toward a closer agreement with the observations, even if the latter is better constrained 370 371 only after the year 1992 when more data are available.

The CTRL simulation at HOT (Fig. 4) shows a remarkable overestimation of surface salinity, while the surface temperature is satisfactorily reproducing the observations. Conversely to what found at BATS, the assimilation of physical data is heavily correcting the salinity bias, namely RMSE reduces from 0.36 to 0.19, and leads to a significant increase in the net freshwater flux (Fig. 5b). Such an enhanced evaporation determines both the bias and positive trend obtained in TSRE for the simulated DIC and ALK. However, these unrealistic changes in the inorganic carbon variables are successfully corrected in the REAN simulation.

The results of the REAN simulation at BATS and HOT show the advantage of having a relatively high abundance of DIC and ALK data: the assimilation system corrects the discrepancy moving the model toward the observations. This is a demonstration of the efficiency of the adopted assimilation system that is expected to improve the carbonate system equilibrium also in oceanic areas where data are less abundant compared to these stations. This will be analysed in the next section comparing the results over the various regions against the independent surface  $pCO_2$  data. 385

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## **3.2. Regional analysis of surface** *p***CO**<sup>2</sup> **field**

389 In Fig. 6 we assess the simulated surface  $pCO_2$  against the Surface Ocean CO<sub>2</sub> Atlas (see Sect. 2.3), 390 by computing the RMSE between the monthly  $pCO_2$  data of the dataset and the corresponding 391 values from each simulation for the period 1988-2010. Since we do not assimilate  $pCO_2$  data into 392 the OBGCM, this assessment represents a totally independent validation of the assimilation system. 393 In the whole Atlantic Ocean, the RMSE against data decreases with the subsequent assimilation of 394 physical (TSRE) and both physical and inorganic carbon (REAN) data. In general, the assimilation 395 of physical data worsens surface  $pCO_2$  in the Arctic, Pacific and Indian oceans, with respect to 396 those computed for CTRL. The assimilation of DIC and ALK counters this effect and improves 397  $pCO_2$  in the Tropical Indian ocean, also lowering the RMSE obtained in the South Pacific and 398 South Indian oceans, even if with values still larger than those obtained in the CTRL simulation. 399 However, despite the assimilation of DIC and ALK data that has led to an overall improvement of 400 the main carbonate system variables (see Tab. 2 and Fig. 2), the RMSE of surface  $pCO_2$  in the 401 Arctic and North Pacific is larger in REAN than in the control run without any data assimilation. 402 Finally, the Southern Ocean is the unique region where the physical-only reanalysis slightly 403 improves the simulation of  $pCO_2$ , while the additional assimilation of inorganic carbon data leads to 404 an RMSE that is larger than CTRL. All these cases will be compared and further discussed in Sec. 405 4.

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407 The North Pacific Ocean is the most notable region where physical data assimilation increases the 408 error of surface  $pCO_2$  and, whereas improvements in DIC and ALK are obtained with their direct 409 assimilation, its error becomes even larger. By looking at the differences between the three 410 experiments (Fig. 7), the physical data assimilation leads to colder but less saline surface waters 411 similarly to what shown in the results at HOT (Sec. 3.1 and Fig. 4). Despite the data-driven cooling 412 of surface waters, the salinity corrections in REAN have a major effect on the evaporation rate in 413 the North Pacific Ocean that has a positive bias in comparison to CTRL (Fig. 7c). This modified 414 freshwater flux is physically consistent with the correction, but it also impacts the concentrations of 415 DIC and ALK whose surface concentrations steadily increase in the REAN simulation (Fig. 7d,e). 416 The assimilation of inorganic carbon data is not sufficient to correct this imbalance in the North 417 Pacific as a whole, since observations in this area are rather scarce and concentrated over specific 418 years (supplementary Fig. S1). The available data allow to partly reduce the overall RMSE (see 419 Tab. 2), but after year 2000, the REAN experiment is very little constrained by observations and the 420 lack of combined assimilation of physical and carbon data thus leads to a spurious departure in the
421 REAN *p*CO<sub>2</sub> (Fig. 7f).

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## 423 3.3. Assessment of air-sea CO<sub>2</sub> fluxes

425 The statistical indicators for the globally integrated air-sea CO<sub>2</sub> flux for the three simulations are 426 summarized in Tab. 3, where we have computed the average, decadal trend, InterAnnual Variability 427 (IAV) and Seasonal Variability (SV) over the period 1992-2010 for the three simulations. The air-428 sea CO<sub>2</sub> fluxes indicate that the global ocean is always acting as a sink (negative values) and the 429 sequential assimilation of physical and inorganic carbon data leads to a significant reduction, 430 namely from -4.7 PgC/yr in the CTRL simulation to -2.4 PgC/yr in the REAN one. Similarly, the 431 interannual and seasonal metrics are characterized by decreasing values, but IAV shows a major 432 change in the TSRE run and SV mainly reduces with the additional assimilation of DIC and ALK. 433 The decadal trends obtained with a least-squared fit for each simulations have quite different values, 434 which are not distinguishable from zero since the uncertainty on the trend is on the same order of 435 the trend itself.

436 The impacts of assimilation on the regional distribution of mean annual fluxes are illustrated in 437 Figure 8, which shows the air-sea  $CO_2$  flux climatological field obtained from the dataset by 438 Takahashi et al. (2012) and compare it with the three experiments for the period 1992-2010. The 439 Atlantic Ocean stands out as the ocean with the largest differences from the climatological 440 estimates; the large sink in the Northern Atlantic region is substantially reduced in TSREAN and 441 further improved in REAN. A similar consideration can be done for the Northern Indian Ocean, 442 where the large sink bias of the CTRL is appropriately reduced toward a source by the assimilation 443 of physics. The assimilation of carbon data is then enhancing this source, possibly exceeding the 444 estimates because of the presence of coastal data with high alkalinity. The inorganic carbon source 445 in the tropical Pacific is evidently increased as estimated in the Takahashi et al. (2012) thanks to 446 data assimilation, although the region of source is still too confined within the tropics (Vichi et al. 447 2011). The tropical Atlantic is also not well represented in both CTRL and TSRE, while the 448 additional assimilation of inorganic carbon data determines a reduction of the  $CO_2$  sinking flux.

Estimates of the climatological air-sea  $CO_2$  flux integrated over the selected marine regions for the three experiments are presented in Tab. 4. Overall, the assimilation system leads to a reduction of the  $CO_2$  fluxes in the regions located at mid latitudes, in the Tropical Indian and Pacific oceans, and to a lower extent in the Tropical Atlantic. In particular, the major sinks in the REAN simulation occur in ATL-NST, PAC-N, and PAC-S regions. Conversely, the Arctic and Subpolar Atlantic regions have nearly unchanged values within the different simulations. The only positive value of
the CO<sub>2</sub> flux was obtained for the Southern Ocean region in the REAN simulation.

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## 459 **4. Discussion**

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461 The set of experiments presented in this work combines the assimilation of physical data and the 462 assimilation of inorganic carbon data with the final aim to better constrain the dynamics of the 463 carbonate system and the related air-sea  $CO_2$  flux.

464 The key question addressed in the previous sections is whether the assimilation of physical and 465 carbon data is likely to improve the surface carbon fluxes by improving the representation of  $pCO_2$ .

466 A summary of all the findings presented in Sec. 3 is given in Fig. 9, where the change in  $pCO_2$ 467 RMSE for each region and experiment is plotted against the corresponding change in the 468 multivariate combined RMSE (shown Fig. 2). The latter is computed as the change of the Euclidean 469 distance between the region points and the reference in Fig. 2 for each assimilation run against the 470 CTRL one. Figure 9 clearly shows that the assimilation of physics is a necessary first step to 471 improve  $pCO_2$  simulation in the Northern and Tropical Atlantic (bottom-left quadrant), whereas an 472 opposite effect was obtained, i.e., for the North Pacific (top-right quadrant). The bottom-line is that 473 the assimilation of temperature and salinity leads to significant changes in surface physical 474 processes, which have a twofold impact on the inorganic carbon variables. Beside the improved 475 solution of biogeochemical processes under more realistic physical conditions, the modification of 476 evaporation rates will directly impact other conservative oceanic properties, like e.g. alkalinity. 477 Such a feedback of the physical assimilation was clearly identified at BATS and HOT stations (see Sec. 3.1), as the changes in surface concentrations of DIC and ALK were driven by those of the 478 479 evaporation rates (Fig. 5). This condition occurs very likely for the whole North Pacific and North 480 Atlantic regions, thus explaining the opposite effect produced by the physical assimilation and 481 indirectly pointing to an imbalance due to whether the atmospheric forcing fields or the bulk 482 formulations. In all other regions, an improved representation of physical variables - as 483 demonstrated by the reduced RMSE for temperature and salinity in Tab. 2 - does not lead to 484 significantly better inorganic carbon in the ocean, although there may still be an improvement of 485  $pCO_2$  due to better constraints on temperature control.

486 On the other hand, once DIC and ALK are assimilated,  $pCO_2$  improves almost everywhere, which 487 is expected to better constrain the air-sea  $CO_2$  fluxes. It is not a substantial improvement but 488 certainly in the desired direction. An average error reduction of 3-5 µmol is comparable to the error 489 attributed to the parameterization of gas exchange piston velocity (Takahashi et al., 2009), which490 implies that data assimilation may help to reduce the overall uncertainty.

491 How is it possible to reconcile the regions where  $pCO_2$  worsens due to the assimilation of inorganic 492 carbon data, even if the improvement against those variables is large (Fig. 9 bottom-right 493 quadrant)? The typical example is the Arctic, where the assimilation of a limited number of 494 observations markedly reduces the RMSE for DIC and ALK (see Tab. 2). However, these data are 495 just enough to reduce the model bias but not sufficient to capture the  $pCO_2$  variability found in the 496 SOCAT data and the RMSE increases (Fig. 6). A similar consideration can be done for the 497 Southern Ocean. Both the Arctic and Southern Ocean have been sampled for  $pCO_2$  only for one 498 month out of the year (Sabine et al. 2013, most likely during summer) and the eddy-driven spatial 499 variability, which is estimated to account for an error of about 3 µmol (Lenton et al., 2006), is likely 500 to become the dominant factor. Given the coarse resolution of this model, the corrections applied to 501 the simulated DIC and ALK are actually worsening the performance of REAN against TSRE. The 502 assimilations of the more numerous physical data in the Southern Ocean lead to a representation of 503 the  $pCO_2$  field that is better than assimilating sparse observations of inorganic carbon (see Fig. S2 504 for the map of the assimilated data per model grid point).

The case of the North Pacific has been explored in detail in Sec. 3.3. The results of this work indicate that both physical and carbon variables should be assimilated to reach an improved representation of carbonate system variables. Supplemental figures S1 and S2 show that the North Pacific carbon data are unevenly distributed in time and have lower data density with respect to the northern Atlantic Ocean (Landschützer et al., 2013). This implies that there must be more adequate temporal and spatial collection of carbon data to improve  $pCO_2$  in the North Pacific as it occurs for the Atlantic.

The final question is whether data assimilation actually improves the simulation of the carbon flux 512 513 between ocean and atmosphere. This issue is necessarily related to the quality of the specific model 514 being analysed, and in this particular case the model presented a substantial overestimation of the 515 annual mean ocean uptake that can be reduced by means of the assimilation of physical and 516 inorganic carbon data (Sec. 3.3). As presented in the introduction, global carbon models have been 517 demonstrated to agree on the annual global means and to some extent on some regional means. 518 Table 3 compare the global air-sea CO<sub>2</sub> flux simulated in the three experiments with the results 519 obtained in previous literature works using different forward ocean models and atmospheric 520 inversion models.

521 In Le Quéré *et al.* (2015), the ocean flux is computed over the period 1959-2013 by using an 522 ensemble containing seven OBGCMs. For each model, the reconstructed air-sea CO<sub>2</sub> flux has been

- normalized to observations by dividing it by the observed average over the period 1990-1999
  (Keeling *et al.* 2011), then multiplying the result by 2.2 Pg C/yr, obtaining a multi-model mean of -
- 525 1.9 Pg C/yr with standard deviation 0.5 Pg C/yr.
- Wanninkhof *et al.* (2013) also obtained  $-1.9 \pm 0.3$  PgC/yr with a trend -0.14 Pg C/yr/decade, by using an ensemble mean consisting of six OBGCMs, and  $-2.1 \pm 0.3$  PgC/yr with trend -0.13PgC/yr/decade, by using eleven Ocean Inversion Models, for the period 1990-2009. As the trend for the considered period is mostly due to human activities, our findings are closely comparable to those of Wanninkhof *et al.* (2013). The air-sea flux simulated by CTRL in the present OBGCM is clearly higher if compared to the results of the other global models. The assimilation of the inorganic carbon variables allows reducing the bias.
- 533 In general, OBGCMs simulate a higher air-sea CO<sub>2</sub> flux with respect to the available ocean 534 inversion models (Rödenbeck et al. 2014, Landschützer et al. 2014, Park et al. 2010, Peters et al. 535 2010, Peylin et al. 2013), although the values obtained by the inversions in Jacobson et al. (2007) 536 and Peters et al. (2010) lie within the inter-annual variability (IAV) obtained in our simulations. 537 Also the seasonal variability decreases with data assimilation towards values that are close to what 538 obtained in other works. The IAV of the air-sea CO<sub>2</sub> flux obtained in the experiments varies from 539 0.22 to 0.40, and the estimates over the period 1990-2009 in the literature give a similar range. The 540 errors associated to this quantity are however large, although all of the results from our experiments 541 fall within the range given in the literature.
- 542 These comparisons indicate the positive role played by data assimilation, although without an 543 independent measure of the carbon fluxes in the various regions it is not possible to assess the 544 overall quality. The comparison of model results with some recent assessment of the regional air-545 sea CO<sub>2</sub> flux presented in Tab. 4 indicates an improvement in some key regions. The main 546 differences with the results reported in the literature are found in the tropical regions, which 547 however have been demonstrated to improve against the independent  $pCO_2$  SOCAT data (Fig. 6). 548 These discrepancies are probably linked more to methodological differences and to the scarcity of 549 data rather than to substantial problems.
- 550

## 551 **5. Conclusions**

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This work has shown that the data-driven correction of the factors regulating the concentration of carbonate system variables do not guarantee that  $pCO_2$  is closer to the observations in a global ocean carbon model having the spatial resolution used in the last round of CMIP simulations. However, some important findings have emerged. The assimilation of physical data only has shown 557 to improve  $pCO_2$  in the North Atlantic Ocean and in the Southern Ocean, the latter being a region 558 where the extensive collection of carbon data is much more difficult. The correction of physical 559 model errors has a direct effect on evaporation that helps to constrain alkalinity biases, although this 560 does not occur in all the regions and especially in the North Pacific. The concurrent assimilation of 561 dissolved inorganic carbon and alkalinity may help to reduce the errors in some regions such as the 562 tropics, also leading to improved fluxes. In general, errors in  $pCO_2$  are reduced of a factor 563 corresponding to those introduced by to the air-sea flux formulations. However, the spatial and 564 temporal distribution of the available data appears to be an important constraint to the effective 565 improvements.

566 Since a global-scale network for collecting inorganic carbon data is still under development, the 567 current monitoring network for the global physical ocean is likely to be the most readily available 568 resource to increase the confidence on air-sea carbon fluxes especially in remote regions like the 569 Southern Ocean.



**Figure 1.** Number of DIC (left) and ALK (right) data used in the assimilation for the Atlantic (blue), Pacific (Orange) and Indian (red) oceans, for each year of the simulation. A description of this composite inorganic carbon dataset is given in Sec. 2.3.





**Figure 2.** Two-dimensional ordination for the multivariate RMSE indicators (temperature, salinity, DIC and ALK), as obtained with the MDS method (see Sec. 2.3), in CTRL (orange), TSRE (purple), and REAN (green) experiments over the different marine regions listed in Tab. 2. The blue dot is a reference perfect experiment when all RMSE values are zero.



**Figure 3**. Time series of ocean variables at surface at BATS, obtained from each experiment considered: CTRL (blue), TSRE (red), REAN (dark green). The black dots show the data collected over the first 10 m depth at each station.



**Figure 4**. Time series of ocean variables at surface at HOT, obtained from each experiment considered: CTRL (blue), TSRE (red), REAN (dark green). The black dots show the data collected over the first 10 m depth at each station.



**Figure 5**. Time series of mean annual net freshwater flux at (a) BATS and (b) HOT for the CTRL and TSRE simulations.





**Figure 6.** Root-Mean Square Error (RMSE) of surface  $pCO_2$  ( $\mu atm$ ) against the SOCAT2 dataset in the selected marine regions (see caption of Tab. 2 for a description).



**Figure 7.** Difference in the mean SST, SSS, evaporation, surface DIC, surface ALK, and surface  $pCO_2$ , averaged over the North Pacific region. The difference is taken between TSRE and CTRL for temperature, salinity, and evaporation flux, and also between REAN and CTRL for the inorganic carbon variables.



Figure 8. Map of the climatological mean air-sea  $CO_2$  flux (in mol C/m<sup>2</sup>/year) over the period 1992-2010, for the dataset from Takahashi and the three experiments.



 $pCO_2$  RMSE difference( $\mu atm$ )

**Figure 9.** Comparison of improvements in  $pCO_2$  RMSE and errors in physical and inorganic carbon variables for the two assimilation experiments. The multivariate RMSE difference is computed as the change of the Euclidean distance in Fig. 2 between a region point and the reference for each assimilation experiment against the CTRL one. Regions in the lower left quadrant are the ones where improvements in physics and/or carbonate variables lead to concurrent improvements in the  $pCO_2$  RMSE.

# 593 Tables 594 595 Table 1

**Table 1.** Description of the initial conditions, external forcing, and parameterizations used in the present OBGCM and shared by all numerical simulations.

Model	NEMO	BFM		
	CORE bulk formulae	Atmospheric CO <sub>2</sub> concentration of historical and		
Atmospheric	(Large and Yeager 2008)	RCP8.5 scenarios (Moss et al. 2010)		
forcing	ERA-INTERIM atmospheric data	Climatological iron deposition (Moore et al. 2002)		
	(Dee <i>et al.</i> 2011)	based on Tegen and Fung (1994, 1995).		
River forcing	Runoff (Dai and Trenberth 2002)	Inorganic nutrients (Cotrim da Cunha et al. 2007)		
Experiment		DIC, ALK: GLODAP (Key et al. 2004)		
IC	25years spin-up from WOA09	SEPOxygen, inorganic nutrients: WOA09		
		Iron: Vichi et al. (2007b)		
Advection	TVD scheme (Harten 1997)	MUSCL scheme (Van Leer 1979)		
Lateral	L'anlacian operator	Lanlacian operator		
diffusion				
Vertical	Turbulent Kinetic Energy (Blanke and	Same as T and S		
diffusion	Delecluse 1993)			
Assimilation	3DVar	3DVar		
system				
$\alpha_{INS}$ ,	17	17		
EOFs	10	10		
Data output	Ten days	Ten days		

Table 2. Root-Mean Square Error (RMSE) of temperature (in °C), salinity, DIC (in μmol/kg), and ALK (in μmol/kg), computed against the datasets presented in Sect. 2.3 in the period 1988-2010. Region codes: ARCT: Arctic Sea (>80N);
ATL-NSP: Subpolar North Atlantic (50÷80N); ATL-NST: Subtropical North Atlantic (14÷50N); ATL-T: Tropical Atlantic (15S÷14N); ATL-SST: Subtropical South Atlantic (40÷15S); PAC-N: North Pacific (18÷66N); PAC-T: Tropical Pacific (18S÷18N); PAC-S: South Pacific (44.5÷18S); IND-T: Tropical Indian (18S÷30N); IND-S: South Indian (18S÷44S); SO: Southern Ocean (44÷75S). The last column reports the total number of DIC and ALK data used in REAN (Sec. 2.3).

Code	Temperature (degC)		Salinity		DIC (µmol/kg)		ALK (µmol/kg)			# Data	
	CTRL	TSRE	CTRL	TSRE	CTRL	TSRE	REAN	CTRL	TSRE	REAN	DIC+ALK
ARCT	0.89	0.79	0.55	0.33	47.6	49.9	30.1	30.8	35.5	24.4	31
ATL-NSP	1.23	0.92	0.30	0.21	33.8	32.0	24.6	24.3	22.8	19.9	8557
ATL-NST	1.22	0.95	0.30	0.26	26.2	31.4	22.4	27.8	26.0	18.2	20364
ATL-T	1.34	0.95	0.28	0.20	50.6	58.2	40.4	35.6	27.2	24.3	2579
ATL-SST	1.17	1.00	0.21	0.18	22.3	22.3	16.8	19.5	20.5	17.3	1785
PAC-N	1.37	1.02	0.21	0.16	43.7	47.0	33.0	20.9	28.4	15.2	34507
PAC-T	1.11	0.92	0.19	0.15	39.8	44.3	32.1	17.5	21.1	14.3	22285
PAC-S	1.18	0.94	0.22	0.13	24.2	28.7	18.9	13.2	13.7	11.0	4695
IND-T	1.36	1.23	0.26	0.18	59.8	66.8	43.6	32.4	38.6	20.8	1325
IND-S	1.43	1.12	0.23	0.16	22.8	26.1	22.4	23.5	25.8	13.6	2576
SO	1.16	0.82	0.23	0.16	26	27.3	20.9	14.3	14.4	11	9147

612 613 614 **Table 3.** Statistical properties (average, trend, inter-annual and seasonal variability, IAV and SV, respectively) of the global average value of the air-sea  $CO_2$  flux over the period 1992-2010. Also shown are the comparisons with results from literature.

Air-sea CO <sub>2</sub> flux	Average (PgC/yr)	<b>Trend</b> (*0.01PgC/yr/ decade)	IAV (PgC/yr)	SV (Pg C/yr)	Method	Notes
CTRL	-4.7	0.9±1	0.40	0.97	OBGCM	1992-2010
TSRE	-3.3	0.1±0.9	0.28	0.80	OBGCM	1992-2010 T+S Assimilation
REAN	-2.4	0.7±0.9	0.22	0.69	OBGCM	T+S+DIC+ALK Assimilation
Wanninkhof <i>et al.</i> (2013)	-1.9±0.3	-0.14	0.16	0.38	Ensemble of 6 OBGCM	1990-2009 Anthropogenic,
Wanninkhof <i>et al.</i> (2013)	-2.1±0.3	-0.13	0.40	0.41	Ensemble of 11 OIM	1990-2009 Anthropogenic
Wanninkhof <i>et al.</i> (2013)	-2.0±0.6	-	-	-	Tier 1 (Canadell <i>et al.</i> 2011)	2000 Anthropogenic
Zeng et al. (2014)	-1.9 ÷ -2.3	-	-	-	NNM	1990-2011 Anthropogenic
Le Quéré <i>et al.</i> (2015)	$-1.9 \pm 0.5$	-	-	-	Ensemble of 8 OBGCM	1959-2015
Rödenbeck <i>et al.</i> (2014)	-1.45	-0.64	0.29	-	OC V1.2	2001-2011
Landschützer et al. (2014)	-1.70	-1.13	0.08	-	CDIAC Global Carbon Project	2001-2010
Park <i>et al.</i> (2010)	-1.28	-0.23	0.09	-	Diagnostic model with empirical relationshi ps	2001-2011
Jacobson <i>et al.</i> (2007)	-2.62	-0.62	0.03		CTE2014	2001-2011
CTE2014 (Peters <i>et al.</i> 2010)	-2.27 ± 0.77	-0.69			CTE2014	2001-2013

618 619 **Table 4.** Annual air-sea  $CO_2$  flux (in Pg C/yr) averaged over the selected marine regions (see caption of Tab. 2 for a description) for the three experiments in the period 1992-2010, compared with the recent literature findings from Schüster *et al.* 2013 (a), Ishii *et al.* 2014 (b), Sarma *et al.* 2013 (c), and Lenton *et al.* 2013 (d).

Marine Region	CTRL	TSRE	REAN	Literature
ARCT	-0.01	-0.01	-0.01	-0.12±0.06 <sup>a</sup>
ATL-NSP	-0.09	-0.10	-0.09	-0.21±0.06 <sup>a</sup>
ATL-NST	-0.77	-0.61	-0.54	-0.26±0.06 <sup>a</sup>
ATL-T	-0.58	-0.50	-0.35	0.12±0.04 <sup>a</sup>
ATL-SST	-0.38	-0.32	-0.28	-0.14±0.04 <sup>a</sup>
PAC-N	-0.47	-0.42	-0.31	-0.47±0.13 <sup>b</sup>
PAC-T	-0.47	-0.16	-0.07	0.44±0.14 <sup>b</sup>
PAC-S	-0.42	-0.28	-0.32	-0.37±0.08 <sup>b</sup>
IND-T	-0.50	-0.15	-0.10	0.08±0.04°
IND-S	-0.50	-0.41	-0.41	-0.43±0.07°
SO	-0.26	-0.20	0.04	-0.42±0.07 <sup>d</sup>

623

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#### **Supplemental material**



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Figure S1. Number of combined DIC and ALK data used in the assimilation for the North Pacific for each year of the simulation. A description of this composite inorganic carbon dataset is given in Sec. 2.3.



653 654 **Figure S2.** Number of assimilated DIC and ALK data (paired) computed for every model grid point over the entire study period.