# Seasonal phasing of Agulhas Current transport tied to a baroclinic adjustment of near-field winds

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France.

## <sup>10</sup> Key Points:

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11	•	A baroclinic adjustment to Indian Ocean winds can explain the Agulhas Current
12		seasonal phasing and a barotropic adjustment cannot.
13	•	Seasonal phasing is found to be highly sensitive to reduced gravity values which
14		modify adjustment times to wind forcing.
15	•	Near-field winds have a dominant influence on the seasonal cycle of the Agulhas
16		Current as remote signals die out while crossing the basin.

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#### 17 Abstract

The Agulhas Current plays a significant role in both local and global ocean circulation 18 and climate regulation, yet the mechanisms that determine the seasonal cycle of the cur-19 rent remain unclear, with discrepancies between ocean models and observations. Obser-20 vations from moorings across the current and a 22 year proxy of Agulhas Current vol-21 ume transport reveal that the current is over 25% stronger in austral summer than in 22 winter. We hypothesize that winds over the Southern Indian Ocean play a critical role 23 in determining this seasonal phasing through barotropic and first baroclinic mode ad-24 justments and communication to the western boundary via Rossby waves. Our hypoth-25 esis is explored using single layer and one-and-a-half layer models. We find that the barotropic 26 contribution to seasonal phasing is small, with the majority of the seasonal signal de-27 flected offshore and along the Mozambique Ridge. The summertime maximum and win-28 tertime minimum can, however, be reproduced by a one-and-a-half layer reduced grav-29 ity model in which adjustment time to wind forcing via Rossby waves is in line with ob-30 servations from satellite altimetry. Additionally, near-field winds (to the west of  $35^{\circ}E$ ) 31 are shown to have a controlling influence on the seasonal phasing, as signals from far-32 ther afield dissipate through destructive interference with overlying winds before reach-33 ing the western boundary. These results suggest a critical role for a baroclinic adjust-34 ment to near-field winds in setting the summertime maximum in Agulhas Current trans-35 port. 36

## 37 1 Introduction

The Agulhas Current (AC) is the western boundary current of the South Indian 38 Ocean subtropical gyre, hereafter referred to as the Southern Indian Ocean (Figure 1). 39 The AC carries water poleward along the east coast of southern Africa and is the strongest 40 western boundary current globally at 30° latitude [Bryden et al., 2005]. At the south-41 ern tip of Africa, the AC exports warm, saline Indian Ocean water into the South At-42 lantic via a process of ring shedding and filamentation. The current is consequently re-43 garded as an essential limb of the Global Thermohaline Circulation [Beal et al., 2011]. 44 At a regional scale the current exerts an influence on rainfall and climate over southern 45 Africa [Njouodo et al., 2018]. Despite the important role of the AC in both ocean cir-46 culation and in moderating local and global climate, the principal processes that gov-47 ern the seasonality in volume transport of the current are poorly understood. 48

Due to the historic deficiency of *in-situ* time-series data on the AC, studies focus-49 ing on the variability of this current have largely relied on ocean models or satellite data. 50 Previous studies used ocean general circulation models (OGCMs) to predict an austral 51 winter-spring maximum in transport of the AC [Biastoch et al., 1999; Matano et al., 2002]. 52 Biastoch et al. [1999] used the  $1/3^{\circ}$  Modular Oceans Model (MOM2) and proposed that 53 the spring (November) maximum in AC transport (blue line Figure 2) was advected from 54 the Mozambique Channel. Matano et al. [2002] used the 1/4° Parallel Ocean Circula-55 tion Model (POCM) and suggested that the seasonality is controlled by barotropic modes 56 that are forced directly by the winds, resulting in a winter (August) maximum in the 57 current (red line Figure 2). Both these models are B-grid, z level, primitive equation, 58 large scale circulation models derived from the Bryan-Cox-Semtner code. To the best 59 of the authors' knowledge, no more recent model results on AC seasonality have been 60 published. Krug and Tournadre [2012] used satellite altimetry and suggested the oppo-61 site seasonality, finding that the surface geostrophic currents are stronger in austral sum-62 mer. There has been no direct work linking AC seasonality to the East Madagascar Cur-63 rent (EMC). While there is evidence for an upstream control of AC leakage linked to the 64 EMC via the triggering of meanders [Schouten et al., 2000; Penven et al., 2006; Elipot 65 and Beal, 2015], the EMC is not considered to be of primary importance in influencing 66 AC seasonality as the south-westward transport of the AC was shown to be largely un-67

affected by the passing of a meander [Leber and Beal, 2104], and there is no evidence for seasonality in volume transport of the EMC [Nauw et al., 2008; Ponsoni et al., 2016].

An extensive set of *in-situ* measurements of the AC was recently obtained as part 70 of the Agulhas Current Time-series (ACT) experiment. These measurements span the 71 period from April 2010 to February 2013, providing 34 months of continuous velocity and 72 transport data [Beal et al., 2015]. The 300 km long array left the South African coast-73 line at  $33.4^{\circ}$ S following the path of a satellite altimeter groundtrack (Figure 1). Both 74 the ACT mooring data, and a derived 22-year altimeter proxy for transport show that 75 76 the current is strongest in austral summer (January-February-March), with a 25% increase in volume transport from the winter minimum (July-August) [Beal et al., 2015; 77 Beal and Elipot, 2016]. Figure 2 shows the seasonal cycle of the proxy for the time pe-78 riod for which QuikSCAT was in operation (1999-2009). The summertime maximum and 79 wintertime minimum in AC volume transport is robust across the 3-year period of in-80 situ observations from 2010-2013 [Beal et al., 2015], the 22 year proxy from 1993-2015 81 [Beal and Elipot, 2016], and for the 1999-2009 period coinciding with QuikSCAT mea-82 surements. This seasonal transport signal matches that observed in surface geostrophic 83 currents [Krug and Tournadre, 2012], but is different from the seasonality previously re-84 ported by modelling studies [Biastoch et al., 1999; Matano et al., 2002]. 85

Theory suggests that the variability of the AC will be related to the large-scale wind 90 stress pattern over the Southern Indian Ocean (Figure 1). When there is an alteration 91 in wind stress curl (WSC) over the Indian Ocean, there is an adjustment of the circu-92 lation within the basin, ultimately resulting in a modification in the volume transport 93 of the western boundary current [Stommel, 1948; Gill, 1982]. This adjustment to WSC 94 variability is not instantaneous, as it is communicated across the basin by Rossby waves 95 with varying propagation speeds depending on the mode of the wave [Gill, 1982; Kill-96 worth, 2001; Subrahmanyam et al., 2001]. At the latitude of the ACT line  $(34.5^{\circ}S)$  the 97 seasonal cycle of the Sverdrup transport driven by winds across the basin is opposite to 98 the seasonal cycle of AC transport (Figure 2). 99

Hence, we hypothesize that winds over the Southern Indian Ocean should play a 100 critical role in determining the seasonal phasing of the AC and we use simple shallow 101 water models to investigate the contributions of the barotropic and first baroclinic mode 102 adjustments to local, near-field, and far-field wind forcing. This is not a theoretical study 103 into wind driven ocean circulation as the theory has been well covered by the work of 104 Anderson and Killworth [1977], Anderson and Corry [1985], and Kamenkovich and Ped-105 losky [1996] among others. Instead we hope to gain some insight into the dominant mech-106 anisms setting the seasonal phasing of the western boundary current at the location of 107 the ACT line. To do this we use QuikSCAT wind stress climatology to force single layer 108 and  $1\frac{1}{2}$  layer shallow water ocean models. Idealized models are chosen for the purposes 109 of this study instead of using multi-layer more realistic models as the more simple ap-110 proach to the problem enables the identification of the individual influences of the barotropic 111 and first baroclinic mode adjustment processes as well as the sensitivity of the seasonal 112 phasing to winds in different areas of the basin. 113

This study is the first to use a combination of *in-situ* observations, satellite measurements, and idealized ocean models to obtain a better understanding of the drivers of the observed seasonal phasing of the AC.

## <sup>125</sup> 2 Data and Methods

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#### 2.1 Shallow Water Models

The Regional Ocean Modelling System (ROMS) is used as a platform from which to run idealized shallow water models. ROMS is a four dimensional free surface, terrainfollowing coordinates, realistic ocean model [Shchepetkin and McWilliams, 2005]. It solves

the barotropic and baroclinic components of the primitive equations separately using a 130 'time-split' technique. Here we use the barotropic subsystem of ROMS to run simple, 131 wind driven, shallow water models as done by LaCasce and Isachsen [2007]. The grid 132 size is  $1/3^{\circ}$  (31.5 km), and the domain is limited to the Southern Indian Ocean (5°S -133 50°S, 19°E - 119°E). The boundaries are closed and no-slip, with a turbulent Laplacian 134 viscosity for velocity of 500  $m^2/s$ , and the frictional parameter, r, is large  $(3 \times 10^{-4} m/s)$ 135 in order to maintain stability. The models are only forced with climatological winds -136 there are no thermohaline processes- and run for 50 years with the first 20 years discarded 137 as spin-up time. 138

Both the barotropic and first baroclinic mode models simulate the response of a 139 single active layer to climatological winds. In the case of the barotropic model, this sin-140 gle layer represents the full water column and its base is set by the depth of the topog-141 raphy of the Southern Indian Ocean. We use ETOPO2 bathymetry [ETOPO2, 2006], 142 a two arc minute ocean-floor elevation data-set, averaged to a final resolution of 55.2 km 143 to avoid the creation of spurious circulation features in areas of rapidly changing topog-144 raphy. In the case of the first baroclinic mode model, the single layer lies over a passive 145  $(\frac{1}{2})$  layer, and the interface between the active and passive layers can be thought of as 146 the pycnocline, as it is defined by a step function in density. The model is spun up from 147 an initial pychocline depth informed by observations, with the heaving of the interface, 148 and hence changes in thickness of the upper active layer, driven by wind stress at the 149 surface. A frictional coefficient, r, is applied to the interface between the active and pas-150 sive layers. Gravity,  $g = 9.8 \ m/s^2$ , is replaced by reduced gravity (g') which is depen-151 dent on the density gradient between the two layers: 152

$$g' = \left(\frac{\rho_2 - \rho_1}{\rho_0}\right)g\tag{1}$$

where  $\rho_1$  is the constant density of the upper layer,  $\rho_2$  is the constant density of the lower layer, and  $\rho_0$  is the mean density of the water column.

#### 155 2.2 QuikSCAT winds

The wind stress climatology used to force our shallow water models is from the NASA 156 Quick Scatterometer (QuikSCAT) which retrieved surface winds from backscatter over 157 the oceans for 10 years, between July 1999 and November 2009. From these data, Risien 158 and Chelton [2008] created a Scatterometer Climatology of Ocean Winds (SCOW) at 159 a  $1/4^{\circ}$  resolution. A comparison of wind atlases (QuikSCAT, ERA-Interim, ERS and 160 NCEP-NCAR) showed that broad-scale features of seasonal WSC are robust between 161 products, but QuikSCAT is able to resolve smaller scale features close to the boundaries 162 of the Southern Indian Ocean which could be important drivers of variability in the AC. 163

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#### 2.3 Agulhas Current Time-series Data

The ACT experiment produced the longest continuous set of *in-situ* measurements 165 of the AC to date, providing 34 months of velocity and transport data [Beal et al., 2015] 166 The array, nominally at 34.5°S, followed the path of the TOPEX/Jason-1-2 satellite al-167 timeter groundtrack (Figure 1) and consisted of 7 full-depth current meter moorings and 168 4 current pressure inverted echo sounders (CPIES) [Beal et al., 2015]. Beal and Elipot 169 [2016] built a statistical model to relate the local volume transport at each mooring and 170 between each CPIES pair with the satellite measured sea surface slope during each al-171 timeter pass, producing a proxy for AC volume transport spanning the period 1993-2015. 172 Beal et al. [2015] defined two transport estimates, a stream-wise Tjet and a fixed bound-173 ary layer integration Tbox, and found that both have the same phasing of the seasonal 174 cycle. Those is defined as the net transport  $90^{\circ}$  to the ACT line, integrated from the coast 175 out to the time-mean position of the zero velocity isotach [Beal et al., 2015]. In this study, 176

we use Tbox for comparison with our model results because it can be cleanly 'joined' with the interior ocean and region of wind forcing in the case of estimating the Sverdrup transport (Figure 2).

<sup>180</sup> 3 Results and Discussion

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#### 3.1 Seasonality of Southern Indian Ocean Winds

A plot of the annual mean QuikSCAT WSC over the Southern Indian Ocean (Fig-182 ure 3a) shows the generally positive curl over the center of the basin, set up by the strong 183 westerly winds in the southern portion of the domain (south of  $40^{\circ}$ S). An Empirical Or-184 thogonal Function (EOF) analysis was used to explore the spatial variability of seasonal 185 changes in the QuikSCAT WSC fields. The first EOF (Figure 3b) captures 55 % of the 186 variance of the seasonal WSC. The spatial pattern of the anomalies indicates that the 187 majority of the WSC variability over the Southern Indian Ocean is associated with sea-188 sonal meridional shifts in the winds, as the subtropics exhibit opposite seasonal anoma-189 lies to the southern portion of the domain. In austral summertime (December to April, 190 Figure 3d), the principal component (PC) is negative. This indicates a stronger nega-191 tive WSC over the tropics, weaker positive WSC over the mid-latitudes  $(20^{\circ}S \text{ to } 35^{\circ}S)$ . 192 and stronger negative WSC south of  $36^{\circ}$ S. This corresponds to the more southerly po-193 sition of the trade winds and the westerlies during austral summer. The pattern inverts 194 during winter, when wind belts shift northwards, thereby strengthening the positive WSC 195 over the subtropics, and decreasing the strength of the negative WSC over the tropics. 196

Seasonal WSC changes at the mean latitude of the ACT line (34.5°S; dotted line Figures 3b) are not zonally coherent, and therefore a simple spin-up, spin-down and/or shifting of the gyre is not the whole picture. In summertime the WSC over the eastern and western boundaries has a strong positive anomaly while the anomalies over the center of the basin are small and negative (Figure 3c). In winter, the opposite is true, where the WSC at the eastern and western boundaries drops in strength, and the curl over the center of the basin increases.

#### 3.2 Barotropic Model

We start by exploring the barotropic adjustment of the Southern Indian Ocean to the seasonal wind forcing (Figure 3). The mean circulation simulated by the barotropic model is strongly constrained by topography, such that in place of a single subtropical gyre, there are smaller sub-gyres delineated by f/H contours (Figures 4a and b). According to the Topographic Sverdrup Relation:

$$\overrightarrow{U}.\overrightarrow{\nabla}\left(\frac{f}{H}\right) = \overrightarrow{\nabla} \times \left(\frac{\tau}{H}\right) \tag{2}$$

for a homogenous layer of variable depth H, mass transport  $(\vec{U} = H\vec{u})$  is driven across 215 f/H contours by the curl of wind stress over water column depth  $(\frac{\tau}{H})$ . Hence where there 216 is a weak curl, such as over the western Southern Indian Ocean (Figure 3a), the circu-217 lation will be parallel to f/H isolines, thereby creating subgyres (Figure 4a and b). Bathy-218 metric barriers shallower than 2000 m such as the Southwest Indian Ocean Ridge, the 219 Madagascar Plateau, and the Mozambique Ridge largely block the western boundary from 220 a barotropic adjustment originating in the eastern portion of the basin. In this model, 221 the main western boundary current does not penetrate onto the South African continen-222 tal slope, but instead follows the Mozambique Ridge southwards (Figure 4a). 223

To compare the seasonality of the modelled AC to that observed by the ACT proxy, we calculate the boundary layer transport in the same way as done by Beal et al. [2015] and at the same location (Figure 4a). The volume transport is small, as the majority

of the flow is deflected offshore, with the maximum south-westward transport occurring 227 in November (Spring) and the minimum in May (Autumn) (Figure 4c). The seasonal 228 phasing is similar to the seasonality reported by Biastoch et al. [1999] and Matano et al. 229 [2002], even though the volume transport is far less. They argued that the AC's season-230 ality was advected from the Mozambique Channel with a delay of approximately two to 231 three months, while signals directly from the east were blocked by topography. A sim-232 ilar connection is apparent in our model where the peak in southward flow through the 233 Mozambique Channel at 23°S is in June (Figure 4d), 5 months ahead of the simulated 234 AC seasonal cycle. Whilst the results from our barotropic simulation are similar to the 235 two previous model studies of AC seasonality Biastoch et al., 1999: Matano et al., 1999. 236 2002], they do not capture the observed seasonality of the AC and our findings suggest 237 that the observed summertime maximum in AC flow must be set by other processes. 238

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## 3.3 First Baroclinic Mode Model Initialized with Realistic Reduced Gravity Parameters

Moving beyond the simplest case of a barotropic model, we now investigate the first 247 baroclinic mode adjustment to climatological wind forcing over the Southern Indian Ocean 248 using a 1  $\frac{1}{2}$  layer reduced gravity model. The set-up of this model is similar to that of 249 Meyers [1979] for the North Pacific, in that there is a single active layer, the depth of 250 which is driven only by wind stress forcing. The baroclinic model is initialized to the same 251 pycnocline depth and reduced gravity everywhere. To inform a realistic upper layer thick-252 ness, density profiles for the Southern Indian Ocean for the latitude range of the ACT 253 line were obtained from the World Ocean Atlas [WOA, 2013]. The mean pycnocline depth 254 was found to be 800 m, with a mean density of the upper layer of  $\rho_1 = 1026.2 \ kg/m^3$ 255 and a mean density of the lower layer of  $\rho_2 = 1027.6 \ kg/m^3$ , giving a reduced gravity 256 parameter of  $g' = 0.0134 \ m/s$ . 257

The model's mean circulation (Figure 5a) compares well with that observed at steric 258 heights of 200 m and 400 m from mapped Argo profiles referenced to 2000 m [Ridgway, 259 2007; Roemmich and Gilson, 2009]. At the surface, the reduced gravity model differs from 260 the observed surface circulation (Figure 1) because there is no Indonesian Throughflow 261 (ITF) in the model. The buoyancy driven thermohaline overturning is not simulated by 262 the model either, and the lack of a Southern Hemisphere supergyre means that the finer 263 features of the Agulhas Retroflection and Agulhas Return Current are missing. Results 264 from the Agulhas Undercurrent Experiment suggested that there was no inertial recir-265 culation of the AC between 30°S and 36°S [Casal et al., 2009]. We thus assume that the 266 absence of the Retroflection and Return Current in the model is not critical for the in-267 vestigation into AC seasonality at 34.5°S. 268

The simulated flow at the location of the ACT array has a mean south-westward speed of 0.24 m/s, a maximum speed of 0.60 m/s, the average flow is 224 km wide and 946 m deep. The simulated AC is slower than observed by the ACT *in-situ* measurements, which exhibits a mean south-westward speed out to 224 km and over the upper 946 m of 0.39 m/s, and a maximum speed of 1.07 m/s. The Rossby radius of deformation in the model at the ACT line is 45 km, 10 km larger than estimated using observations in the AC area [Chelton et al., 1998].

The seasonality of the AC boundary layer transport from the model is at a max-276 imum in November and at a minimum in June (Figure 5b). This seasonal phasing does 277 not match that observed, with the maximum in flow occurring 4 months earlier in the 278 simulation. Furthermore, the amplitude of seasonal changes is small at 4.5 Sv, 9.6% of 279 the total transport, compared to the observed 22 Sv, 25% of the total transport (Fig-280 ure 2). To understand more about what influences the amplitude and phasing of the sim-281 ulated seasonal cycle, we experiment with the frictional dissipation and Rossby wave speeds 282 in the model. 283

## 284 3.3.1 Role of friction in the model

For stability reasons, frictional dissipation (r) is large in the reduced gravity model. 285 This frictional term represents the drag that the upper layer experiences as a result of 286 its movement relative to the lower stationary layer. From a scaling analysis for a 1000 287 m layer and a velocity in the order of 0.1 m/s, the frictional parameter used in the sim-288 ulations  $(r = 3 \times 10^{-4} m/s)$  is equivalent to a vertical turbulent eddy viscosity of 0.3 289  $m^2/s$ . This is three to four orders of magnitude larger than reality [Munk, 1966; Gregg, 290 1987; Ledwell et al., 1998] and may partially explain the unrealistically small amplitude 291 in the seasonal cycle of the simulated AC (Figure 5b). Chassignet and Garraffo [2001] 292 showed how the amplitude of their modelled circulation was highly sensitive to the choice 293 of viscosity magnitude. To investigate the effect of this frictional coefficient on the sim-294 ulated AC, the frictional parameter used in the initial run was reduced by an order of 295 magnitude. Figure 5b shows the seasonal cycle of the simulated AC in the reduced grav-296 ity model with the original friction (solid line), compared to the seasonal cycle in the re-297 duced friction case (dashed line). The seasonal phasing is not altered by a decrease in 298 friction, but the amplitude doubles, from 4.5 Sv to 9.8 Sv, and the mean transport of the current increases by 3.9 Sv. Note that more noise is introduced into the model so-300 lution by reducing friction, as is evident by the larger width of the 95% confidence in-301 terval on the seasonal cycle in the reduced friction case. For both simulations, however, 302 the standard error is small as there is no inter-annual variability in the forcing. This is 303 the advantage of running idealized experiments - the focus is on the adjustment process 304 without interference from variability and oceanic turbulence as can happen in more re-305 alistic multi-layer models. 306

For further experimentation it is necessary to keep the higher frictional coefficient to stabilize the simulations and prevent outcropping of the pycnocline at the eastern boundary. The original frictional parameter is maintained with the knowledge that a decrease in this value does not affect the seasonal phasing of the AC, but does increase the amplitude of the seasonal cycle.

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## 3.3.2 First Baroclinic Mode Rossby Wave Speeds

To explore the role of Rossby waves in influencing AC seasonality, the propagation 322 of anomalies across the Southern Indian Ocean at the latitude of the ACT line is inves-323 tigated. We first calculate the observed Rossby wave propagation speed using a Radon 324 Transform on Sea Level Anomaly (SLA) data [Cipollini et al., 2006] from AVISO (Archiv-325 ing, Validation and Interpretation of Satellite Oceanographic data). The Radon Trans-326 form searches for the direction of largest signal intensity along a line in longitude-time 327 space, and determines the speed of signal propagation [Cipollini et al., 2006; De La Rosa 328 et al., 2007]. A limitation of the 2D transform is that the minimum speed detected is 329  $1.5 \ km/day$ , as the angle associated with slow propagation speeds is too small for the 330 transform to detect. 331

Results from a 2D Radon Transform show sizeable variation in propagation speed 332 with longitude (Figure 6a). Along the latitude band of the ACT line, anomalies prop-333 agate slowly in the eastern portion of the basin, slow down even further to below 1.5 km/day334 at all latitudes over the longitude range 75°E to 95°E, and then speed up towards the 335 western boundary (Figure 6a). This westward increase in Rossby wave propagation speed 336 can be explained in part by an increase in the radius of deformation as pychocline depth 337 (along with sea surface height) increases westwards until just outside the western bound-338 ary current due to the geostrophic circulation of the gyre. This cannot, however, explain 339 why there is a region of elevated propagation speeds around 100°E, and why speeds then 340 drop in the center of the basin. This pattern does not relate to bathymetry across the 341 basin. Birol and Morrow [2001] found that a wind-forced model was able to reproduce 342 observed baroclinic Rossby wave variability around the  $90^{\circ}$  Ridge even though the model 343

possessed no bathymetry, as the observed changes in baroclinic Rossby wave characteristics were driven by modifications in wind forcing and not bathymetry [Hermes and Reason, 2009]. We thus hypothesise that constructive and destructive interference with local Ekman pumping driven by the overlying WSC may explain the changes in Rossby
wave speed (Figure 6).

There is no evidence that WSC variance over the eastern portion of the basin reaches the western boundary (Figure 6b). Coherent bands of SLA are only seen to propagate to the western boundary from around 40°E (Figure 6b). The average propagation speed of SLA across the whole basin at the latitude of the ACT line is 3.3 km/day, while the average propagation speed from 40°E to the western boundary is 3.5 km/day (Figure 6). It is likely that the opposing patterns of seasonal WSC contribute to this interference.

To approximate Rossby wave propagation speed in the reduced gravity model, a 359 negative anomaly initiated at the eastern boundary was tracked across the basin by eye 360 (Figure 5c). A Radon Transform was not stable, due to the apparent infinite speeds ob-361 served mid-basin. On average, it takes an anomaly 1800 days to cross the 8040 km of 362 the basin, equating to a mean propagation speed of 4.5 km/day. This is approximately 363  $1 \ km/day$  faster than that observed from AVISO. Similar to AVISO observations (Fig-364 ure 6), signals initiated at the eastern boundary only propagate to about  $90^{\circ}E$  and then 365 die out. A similar phenomenon was identified by Qiu et al. [1997] in the North Pacific 366 at high latitudes where the relatively slow moving Rossby waves appeared to be confined 367 close to the eastern boundary as they dissipated shortly thereafter on their journey west. 368 From around  $95^{\circ}E$  to  $65^{\circ}E$ , the reduced gravity ocean appears to respond instantaneously 369 to local WSC forcing (Figure 5c). The reduced gravity model, by construction, does not 370 have topography, as the bottom layer is infinitely deep. The changes in propagation speed 371 therefore cannot be explained by interaction with ocean ridges, and so the observed dis-372 sipation of Rossby waves during their journey west in this simulation forced only by sea-373 sonal winds, acts to strengthen our previous hypothesis that destructive interference with 374 overlying Ekman pumping and suction takes place. The absence of a coherent transmis-375 sion of SLA across the Southern Indian Ocean basin suggests that the anomalies arriv-376 ing at the western boundary are predominantly from the near-field area. 377

West of 60°E, a coherent propagation of SLA into the western boundary can be seen with negative anomalies arriving just before the end of the year (Figure 5c), coinciding with the November peak of the AC seasonal cycle (Figure 5b). The sign of anomalies at the western boundary (27°E-30°E) are opposite to the sign of anomalies around 40°E for each season (Figure 5c). This is consistent with the change in seasonal WSC identified in the EOF analysis over this longitude range (Figure 3).

If we track an anomaly from  $40^{\circ}$ E to the western boundary, it takes half a year to get there, equating to a propagation speed of 6.2 km/day. This is almost double the average speed of an anomaly from  $40^{\circ}$ E to the western boundary observed in AVISO SLA data of  $3.5 \ km/day$  (Figure 6a). The difference in the near-field propagation speeds equals approximately 4.6 months lag time, and may be the reason that the seasonal phasing in the model (Figure 5b) is different to that observed (Figure 2).

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## 3.3.3 Sensitivity of Simulated Seasonal Cycle to Reduced Gravity Parameters

<sup>392</sup> Next, we explore the affect of changing the magnitude of the reduced gravity pa-<sup>393</sup> rameters  $H_0$  and g', which influence the basin-wide pycnocline slope, the Rossby wave <sup>394</sup> deformation radius, and thus the propagation speed in the reduced gravity model. These <sup>395</sup> parameters determine the adjustment time to winds through Rossby wave propagation <sup>396</sup> speed by:

$$C_1 = -\beta \left(\frac{g'H}{f^2}\right) \tag{3}$$

where  $C_1$  is the first baroclinic mode Rossby wave speed,  $\beta$  is the gradient of Earth's plan-397 etary vorticity, and f is the Coriolis parameter. We test the influence of the density dif-398 ference  $(\Delta \rho)$  between the active and passive layers of the reduced gravity model (expressed 399 in q', Equation 1), and the initial depth of the active layer  $(H_0)$ , on the amplitude and 400 phase of the AC seasonal cycle. To isolate the respective effects, one of the parameters 401 is incrementally increased in value, while the other is kept fixed (Figure 7). Increasing 402 the active layer/pycnocline depth  $(H_0)$  results in a shift of the seasonal phasing back-403 wards in time, with a deeper pycnocline resulting in a greater mean transport and larger 404 seasonal amplitude (Figure 7). An increase in the density gradient between the two lay-405 ers (bigger g') also results in a larger seasonal amplitude, and a backwards shift in the 406 seasonal phasing as predicted by Equation 3, although the response is not simply lin-407 ear owing to the heterogeneity of WSC forcing and the integration and interference of 408 Rossby wave signals. If either g' or  $H_0$  are increased, WSC forcing signals will be com-409 municated faster to the western boundary, resulting in a backwards shift in the seasonal 410 phasing. 411

In Figure 7b, a sixth model simulation is included where the initial radius of deformation is set at 30 km and the seasonal cycle of the AC (magenta) is maximum in February and minimum in July, agreeing well with observations. It was not possible to initialize a simulation with a radius of deformation of 30 km and a pycnocline depth of less than 500 m as the pycnocline outcrops and the model blows up.

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## 3.3.4 Correctly Simulating Adjustment Time to Wind Forcing

In our first reduced gravity simulation, the initial parameters were chosen so that  $H_0$  equalled the mean depth of the pycnocline across the Southern Indian Ocean at the latitude of the ACT line (800 m), and the reduced gravity parameter ( $g' = 0.0134 \ m/s^2$ ) represented the observed density difference between the upper 800 m and the lower layer. These 'realistic' parameters, did not, however, correctly represent phase speeds of baroclinic waves observed in the Southern Indian Ocean, and thus the arrival time of the wind stress signal at the western boundary.

<sup>431</sup> In our next simulation, the propagation speeds of anomalies in the model are in <sup>432</sup> line with those observed in reality, both basin-wide and in the critical near-field area. <sup>433</sup> For this experiment we forced the model with the same initial active layer depth, but <sup>434</sup> a smaller reduced gravity,  $g' = 0.0076 \ m/s^2$  (Figure 8).

The mean radius of deformation at the ACT line is now 34 km (Figure 8a), match-435 ing the observations of Chelton et al. [1998]. In this simulation, the boundary flow (1051 436 m deep) is on average deeper than in the first simulation, and the mean flow is larger 437 at -48.9 Sv. This compares well with the Sverdrup transport at the location of the ACT 438 line of -49 Sv (Figure 2). The mean flow of the upper 1051 m at the ACT line from 2010-439 2013 when the ACT moorings were in place was -68 Sv. The difference of 19 Sv is sim-440 ilar to the combined volume transports of the buoyancy driven overturning circulation 441 and the Indonesian Throughflow [Sprintall et al., 2009; Le Bars et al., 2013], both of which 442 are absent in the model. The seasonal cycle of the current in this simulation is maximum 443 in February-March and minimum in July-August (Figure 8b), agreeing well with the ob-444 served phasing (Figure 2), but with a smaller amplitude than observed. 445

The propagation of simulated anomalies across the Southern Indian Ocean at the mean latitude of the ACT line (Figure 8c) shows similar characteristics to the observations (Figure 6). An anomaly is initiated at the eastern boundary, propagates to about 100°E and then dies out. Thereafter, across the central region of the basin, the sea surface height (SSH) responds directly to overlying seasonal WSC changes with no incom-

ing signals to alter the local SSH (see Figure 3b). Signals arriving at the western bound-451 ary appear to originate from the near field area- this will be explored further in the next 452 section. Tracking by eye the propagation of a negative SLA across the basin (Figure 8c). 453 reveals a mean phase speed of first baroclinic mode Rossby waves of approximately 3.2 km/day, which almost matches that calculated from AVISO observations (3.3 km/day; 455 Figure 6). It takes approximately 1 year for an anomaly to reach the western boundary 456 from 40°E, equating to a mean propagation speed in this area of 3.1 km/day. This is 457 much closer to the observed AVISO SLA mean propagation speed west of  $40^{\circ}$ E (3.5 km/day) 458 when compared to the propagation speeds of the first experiment (6.2 km/day; Figure 459 5c), which may explain why the seasonal phasing is more in line with observations. 460

When propagation speeds in the reduced gravity model are close to those observed 468 in reality, lag time for the communication of near-field wind stress anomalies to the west-469 ern boundary is more realistic, and consequently the simulated AC possesses a seasonal 470 phasing that matches observations. However, while the mean transport of the simulated 471 AC is close to that expected for the wind driven gyre, the amplitude of the seasonal cy-472 cle is much smaller than observed (Figure 8). The simulated amplitude of 1.8 Sv is an 473 order of magnitude smaller than that observed (Figure 2). The reason for this small am-474 plitude could be a combination of the influence of a large frictional parameter and a smaller 475 q' than observed. The same seasonal phasing with a larger seasonal amplitude could be 476 attained by decreasing friction, but the model becomes unstable. 477

3.4 Role of Near- vs Far-field winds

478

Our model experiments have focused on the dependence of the seasonal phasing 479 of the AC on basin-wide parameters, since the model is initialised with only one value 480 of  $H_0$ , and g'. However, the resultant patterns of Rossby wave propagation suggest that 481 the influence of winds on the AC is not uniform basin-wide, with signal propagation al-482 tered or interrupted during the journey across the basin. To understand which charac-483 teristics of Indian Ocean wind forcing predominantly influence the seasonal phasing at 484 the western boundary, we separately look at the roles of zonally averaged winds, local 485 winds, near- and far-field winds and mean background winds. To carry out these exper-486 iments we use the reduced gravity model that correctly simulated the observed AC phas-487 ing (Figure 8). 488

Figure 3 revealed that different areas of the basin have differing WSC seasonal anoma-489 lies at the latitude of the ACT line. To explore the sensitivity of the simulated AC sea-490 sonality to this zonal WSC variability, the  $1\frac{1}{2}$  layer reduced gravity model is forced with 491 zonally averaged wind stresses for each month. The seasonal cycle of transport at the 492 ACT line from this simulation (Figure 9a) is shifted backwards in time by 3 months com-493 pared to the simulation with real winds (Figure 8b), to give a December maximum in 494 transport. However, the July minimum is preserved, suggesting that longitudinal vari-495 ations in wind stress do not have a dominant influence on seasonality. Without the zonal 496 variations in WSC, the instantaneous nature of the sea level response in the central re-497 gion of the basin is clearer (Figure 9b). A negative WSC anomaly along the Australian 498 coastline in summer, or a positive anomaly in winter, kicks off a disturbance at the eastern boundary of the basin which propagates coherently westwards to only  $105^{\circ}E$  over 500 a period of 6 months. Thereafter, the magnitude of the SLA is decayed as it encounters 501 destructive interferences with overlying WSC. The rest of the basin experiences an in-502 stantaneous adjustment to wind stress forcing as it receives no signals from incoming Rossby 503 waves. Fu and Qiu [2002] also showed that the majority of the SSH variability over the 504 interior of the ocean basin in the mid-latitudes is generated by the overlying WSC and 505 not by incoming SSH anomalies. Seasonal changes at the western boundary therefore 506 appear to be forced by winds only in the local area (Figure 9b). 507

Next, we investigate the influence of local winds that overlie the AC explicitly, by 511 forcing with only seasonally varying winds directly over the AC, and annual mean winds 512 over the rest of the basin. Local winds could drive seasonal upwelling and downwelling 513 along the coast, which would in turn influence the pycnocline gradient and alter the AC 514 volume transport. A hyporbolic tangent smoothing function was used over an area of 515  $4^{\circ}$  longitude from 29°E to 33°E to join the seasonal region with the mean interior. The 516 seasonal phasing of the AC from this simulation (Figure 10a) is very similar to that of 517 the zonally-averaged case (Figure 9a), indicating that in both simulations, the western 518 boundary response was dominated by local overlying wind forcing. A weak seasonal sig-519 nal in SLA emanates from the eastern boundary even in the absence of seasonal wind 520 forcing (Figure 10). A likely explanation for this is a Kelvin wave that rapidly commu-521 nicates the anomalies in SSH experienced at the western boundary clockwise around the 522 closed boundaries of the model basin. Whilst the seasonal cycle from this simulation is 523 similar to that from the zonal mean wind test, neither is in line with observations, sug-524 gesting that local winds overlying the ACT line cannot, alone, explain the seasonal phas-525 ing and that winds from further afield must therefore have an important contribution. 526

We next experiment with the region over which seasonal wind forcing is applied 531 to determine the longitude beyond which seasonal variations in WSC have little effect. 532 First the seasonality of winds to the west of  $40^{\circ}$ E is preserved while winds to the east 533 are fixed to their annual mean (red line Figure 11a). Then the inverse was applied so 534 that remote winds in the eastern portion of the basin varied seasonally, while regional 535 winds in the west were fixed to the annual mean (green line Figure 11a). The original 536 seasonal cycle from the run with normal winds is shown in blue in Figure 11. Both sea-537 sonally varying regional winds (to the west of  $40^{\circ}$ E), and seasonally changing remote winds 538 (to the east of  $40^{\circ}$ E), contribute to the observed seasonal cycle. However, by moving the 539 dividing line between near-field and far-field winds  $5^{\circ}$  westwards to  $35^{\circ}E$  we find that 540 the 'near-field' winds dominate the total seasonality at the western boundary, with the 541 contribution from the far-field seasonal changes shown to be very small (Figure 11b). Far-542 field winds act to decrease the amplitude of the strong February maximum driven by near-543 field winds in the model. 544

Finally the influence of the pattern of mean WSC on the seasonal cycle at the west-553 ern boundary is diagnosed (green Figure 11c). The mean WSC sets up the gyre, and thus 554 the shape of the pycnocline (Figure 8a) upon which the SLA anomalies of Rossby waves 555 propagate. The seasonal maximum of the AC (green Figure 11c) is shifted 3 months be-556 hind that belonging to the simulation with normal full wind stress forcing (blue), thus 557 exposing the influence that the background winds have on the AC seasonality via their 558 establishment of the background gyre. In this simulation the anomalies propagate on what 559 is effectively a flat pychocline. This explains why the choice of reduced gravity param-560 eters have a significant influence on the AC seasonality, even though near-field winds were 561 shown (Figure 11b) to have dominant effect. Reproducing a realistic background gyre 562 is therefore important when endeavouring to simulate the AC seasonal variability. We 563 initially used the mean Rossby wave propagation speed as a tool to verify that the model 564 was correctly simulating the mean basin-wide gyre response to WSC. 565

To clarify the role of background circulation in communicating near-field wind anoma-566 lies to the western boundary, the model is forced only with wind stress anomalies west 567 of 35°E and zero wind forcing in the rest of the basin (red Figure 11c). The AC trans-568 port now possesses a peak in south-westward flow in March and the wintertime mini-569 mum is shifted slightly to earlier in the year. The phasing is similar to the seasonal cy-570 cle from normal wind forcing, but not identical, and the amplitude is almost half, high-571 lighting the role of the shape of the background pycnocline in modulating the lag time 572 needed for near-field wind signals to reach the western boundary. 573

## 574 4 Summary and Conclusions

The principal processes that contribute to the seasonality of the AC have, to date, remained largely unknown. In this study we explored the barotropic and baroclinic contributions to the seasonality of the AC, as well as the influence of local, near-field, and far-field winds. A single layer model was used to show that the barotropic contribution to the seasonality is small, as most of the signal is steered away from the South African continental shelf by the Mozambique Ridge.

<sup>581</sup> A 1  $\frac{1}{2}$  layer reduced gravity model is able to capture the main features of the py-<sup>582</sup> cnocline circulation of the South Indian Ocean subtropical gyre. The seasonal cycle of <sup>583</sup> the simulated AC was found to be highly sensitive to the initial conditions of reduced <sup>584</sup> gravity and pycnocline depth as these parameters set the phase speed of propagating wind-<sup>585</sup> driven disturbances in the system. When the active layer ( $H_0$ ) is deepened, or the den-<sup>586</sup> sity gradient between the two layers (g') is increased, the adjustment time to WSC is <sup>587</sup> shorter, and the seasonal cycle phasing shifts backwards in time.

A limitation of the reduced gravity model is that it cannot reproduce the observed 588 amplitude of seasonal AC volume transport changes. While a larger seasonal variation 589 in transport can be achieved by increasing g' or  $H_0$ , these parameters also influence the 590 propagation speed of Rossby waves and result in a shift in the seasonal phasing of the 591 simulated AC. Lowering friction increases the amplitude of the seasonal cycle, but ren-592 ders the model unstable. It is, therefore, not possible to reproduce a seasonal cycle of 593 the AC where both the amplitude and phasing match observations using a reduced grav-594 ity model. A model with Rossby wave propagation speeds in line with AVISO measure-595 ments, results in a seasonal cycle of AC transport similar to that observed, with a max-596 imum in February-March and minimum in July-August. 597

Figure 12 shows the seasonal cycle of the AC from two recent ocean models of the 598 AC: the Western Indian Ocean Energy Sink model (WOES; used for example by Ramanantsoa 599 et al. [2018]), and a HYbrid Coordinate Ocean Model (HYCOM; Backeberg et al. [2014]). 600 WOES is a ROMS/CROCO regional simulation with 60 vertical levels and three nested 601 grids with resolution increasing from  $1/4^{\circ}$ ,  $1/12^{\circ}$  to  $1/36^{\circ}$  over the AC. The HYCOM 602 data is from a free-running HYCOM experiment used to generate the static ensemble 603 in a data assimilation experiment of the Agulhas region at a  $1/10^{\circ}$  resolution with 30 604 vertical layers [Backeberg et al., 2014]. These simulations capture the wintertime min-605 imum in flow, but the AC seasonal cycle is swamped by high sub-seasonal variance re-606 sulting in multiple peaks in flow (Figure 12). To the best of the authors' knowledge, there 607 is no data published from realistic ocean models where the seasonal cycle of the AC matches 608 that observed. This highlights a gap in the current capacity to simulate western bound-609 ary current seasonal variability and future work is planned by the authors where mod-610 ern global ocean circulation models will be used to explore AC seasonality in different 611 configurations. 612

The observed propagation of SLAs across the Southern Indian Ocean at the lat-617 itude of the ACT line suggested that signals from more remote wind forcing do not reach 618 the western boundary and, indeed, our simulations corroborated this, showing that the 619 influence of far-field winds on the seasonality of the AC is minor. Local winds over the 620 ACT array are found to contribute a large part to the seasonality but cannot, alone, ex-621 plain the observed seasonal phasing . Instead near-field winds to the west of  $35^{\circ}E$  are 622 found to reproduce the observed AC phasing, thereby revealing the decisive contribu-623 tion of the first order baroclinic mode adjustment to near-field winds in determining the 624 seasonal phasing of the AC. 625

The importance of the background circulation set up by mean WSC was elucidated when the model was forced with WSC anomalies only, and the seasonality of the AC shifted backwards by 3 months. The mean wind stress sets the scene regarding pycnocline depth, which in turn influences the phase speed of signals carrying the wind stress information. In summary, the seasonal variation of near-field winds is important in exciting SLA which propagate to the western boundary, while the mean winds over the whole basin set the shape of the gyre upon which the SLA are projected. Together, these two processes force a simulated AC with a seasonal cycle that exhibits a prolonged January-February-March maximum and July minimum, agreeing well with observations.

The sensitivity of the seasonal cycle to Rossby wave propagation speeds raises ques-635 tions regarding how the seasonal phasing of the AC may be affected by modifications in 636 ocean stratification due to climate change. Fyfe and Saenko [2007] looked at how the dy-637 namics of Rossby waves may change in response to upper-ocean warming and the con-638 sequent alteration in density structure. Using climate model simulations of the North 639 Pacific they found that anthropogenic warming of the upper ocean resulted in a speed 640 up of baroclinic Rossby waves. Hypothetically, the same could apply for the Southern 641 Indian Ocean where surface warming would act to increase the density gradient between 642 the surface and deep ocean, and lead to a speed up of baroclinic Rossby waves. This could 643 imply a backwards shift of the seasonal phasing of the AC. The response of western bound-644 ary current seasonality to climate change is an interesting avenue suggested for future 645 research. 646

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Figure 1. Map showing mean AVISO sea surface height (SSH; dyn meters) of the Southern

Indian Ocean with mean QuikSCAT wind stress overlaid. Wind stress vectors  $(N/m^2)$  are only

shown for every 3.5° for clarity. Position of Agulhas Current Time-series (ACT) array is shown
off the east coast of South Africa in green.



Figure 2. Observed seasonal anomalies of the boundary layer transport (Tbox; black) from the ACT proxy time-series of Beal and Elipot [2016]. Solid black line shows the monthly mean values and shading shows the 95% confidence intervals. Shown for direct comparison are the seasonal anomalies in AC transport from the only two other publications on this topic: the Modular

121 Oceans Model (MOM2; blue) of Biastoch et al. [1999], and the Parallel Ocean Circulation Model

- 122 (POCM; red) of Matano et al. [2002]. Negative anomalies in transport indicate a stronger current
- as the AC flows south-westward. Also presented is the implied Sverdrup driven transport at the
- mean latitude of the ACT line  $(34.5^{\circ}S)$  from QuikSCAT wind stress curl (purple).



Figure 3. Summary of seasonal QuikSCAT wind stress curl (WSC) alterations over the

205 Southern Indian Ocean. a) Mean QuikSCAT WSC. b) First Empirical Orthogonal Function

(EOF) of the climatological WSC. c) Hovmöller diagram showing the WSC anomalies across

<sup>207</sup> the Southern Indian Ocean at 34.5°S. d) The Principal Component (PC) of the first Empirical

208 Orthogonal Function (EOF) shown in (b).



Figure 4. a) Time mean sea surface height (m) of the barotropic model as background shading 239 with vectors of mean circulation (m/s) overlaid. Location of sections corresponding to plots (c)240 and (d), are shown in magenta. b) Map of f/H contours for the Southern Indian Ocean. Seasonal 241 cycle of volume transport at (c) the location of the Agulhas Current Time-series array, and (d) 242 the Mozambique Channel at 23°S. Grey shading shows the 95% confidence interval of the seasonal 243 cycle estimates.

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a) Time mean sea surface height (m) of  $1\frac{1}{2}$  layer reduced gravity model as back-Figure 5. 312 ground shading with vectors of mean circulation (m/s) overlaid. The position of the Agulhas 313 Current Time-series array (ACT) is shown in magenta. b) Seasonal cycle of Agulhas Current 314 transport (Sv) in simulation initiated with a thermocline depth of 800 m and  $g' = 0.0134 \text{ m/s}^2$ . 315 Solid line shows seasonal cycle with the original frictional parameter of  $3 \times 10^{-4}$  m/s and dashed 316 line shows effect of reducing friction by an order of magnitude to  $3 \times 10^{-5}$  m/s. Grey shading 317 shows 95% confidence interval on monthly means. c) Hovmöller plot showing the propagation of 318 sea level anomalies (m) across the basin at the mean latitude of the ACT line  $(34.5^{\circ}S)$  during the 319

final 10 years of the simulation.



Figure 6. a) Westward propagation speed (km/day) of Sea Level Anomalies (SLA) across the
Southern Indian Ocean from a 2D Radon Transform over the latitude range of the ACT line. b)
Hovmöller plot of SLA (m) at the mean latitude of the ACT line (34.5°S; dotted line in (a)).



Figure 7. Simulated seasonal cycle in volume transport (Sv) at the Agulhas Current Timeseries array where (a) g' is set at  $0.0134 \text{ m/s}^2$  and  $H_o$  is increased by 100 m increments, and (b)  $H_0$  is set at 800 m and g' is increased so that the alterations in radius of deformation match those shown in plot (a). The resultant alterations in Rossby radius of deformation (Rd) are shown in the legend. An extra simulation is presented in (b) showing the effects of a further decrease in g' (magenta line).



Figure 8. a) Average depth of wind driven layer (m) as background shading with contours 461 showing the corresponding Rossby radius of deformation (km) overlaid. The position of the Ag-462 ulhas Current Time-series (ACT) array is shown in grey. b) Seasonal cycle of Agulhas Current 463 transport (Sv) in the simulation initiated with a thermocline depth of 800 m and g'= 0.0076 464  $m/s^2$ . Grey shading shows the 95% confidence interval of the seasonal cycle. c) Hovmöller plot 465 showing the propagation of sea level anomalies (m) across the basin at the mean latitude of the 466 ACT line  $(34.5^{\circ}S)$  during the final 10 years of simulation. 467



Figure 9. a) Seasonal cycle for transport (Sv) across the Agulhas Current Time-series (ACT) line from a simulation forced by zonal mean wind stress curl. b) Hovmöller plot of sea level anomalies (SLA; m) at 34.5°S from reduced gravity model forced by only zonal mean winds.



Figure 10. a) Seasonal cycle for transport (Sv) across the Agulhas Current Time-series (ACT) line from reduced gravity model forced with seasonally varying winds to the west of 29°E, tapering off to no seasonality east of 33°E. b) Hovmöller plot of sea level anomalies (SLA; m) at 34.5°S.



Figure 11. Seasonal anomalies in transport (Sv) perpendicular to the Agulhas Current Time-545 series (ACT) line in simulations forced with seasonally varying winds in different portions of the 546 basin. a) Regional (red) versus remote (green) seasonally varying wind stress. b) Near-field (red) 547 versus far-field (green) seasonally varying wind stress. c) Simulation forced with only near field 548 wind stress anomalies (red) and basin-wide wind stress anomalies only (green). In all plots the 549 seasonal cycle of the AC in the model forced with basin-wide full seasonally varying wind stress is 550 shown in blue, in plot (c) the transport anomalies are shown so that amplitude comparisons can

be made with the transports from the simulations forced with only wind stress anomalies. 552

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Figure 12. Comparison of seasonal cycles in AC transport with 95% confidence shading. ACT boundary layer transport from proxy time-series (1993-2015) shown in black, Western Indian Ocean Sink model (WOES, 1993-2015, Ramanantsoa et al. [2018]) shown in purple, and HYbrid

616 Coordinate Ocean Model (HYCOM, 1993-2015, Backeberg et al. [2014]) shown in green