
Eutrophication: A new wine in an old bottle?

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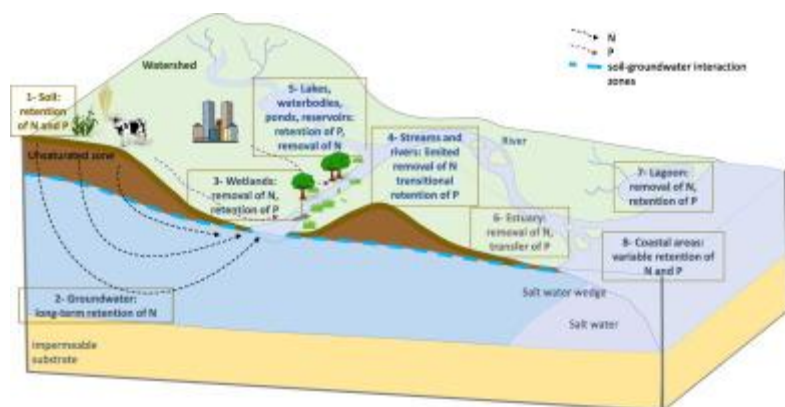
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Abstract :

Eutrophication is one of the most common causes of water quality impairment of inland and marine waters. Its best-known manifestations are toxic cyanobacteria blooms in lakes and waterways and proliferations of green macro algae in coastal areas. The term eutrophication is used by both the scientific community and public policy-makers, and therefore has a myriad of definitions. The introduction by the public authorities of regulations to limit eutrophication is a source of tension and debate on the activities identified as contributing or having contributed decisively to these phenomena. Debates on the identification of the driving factors and risk levels of eutrophication, seeking to guide public policies, have led the ministries in charge of the environment and agriculture to ask for a joint scientific appraisal to be conducted on the subject. Four French research institutes were mandated to produce a critical scientific analysis on the latest knowledge of the causes, mechanisms, consequences and predictability of eutrophication phenomena. This paper provides the methodology and the main findings of this two years exercise involving 40 scientific experts.

Graphical abstract



Highlights

► Eutrophication in the 70ies was related to point source pollution, mainly phosphorus. ► Eutrophication is pervasive in many lakes, coastal areas and rivers of the world. ► Diffuse nitrogen and phosphorus losses are now the main drivers of this new wave of eutrophication. ► It is a wicked problem as a consequence of multiple, often cumulative actions over large spatio-temporal scales. ► Solutions to tackle eutrophication need to address the entire land-sea continuum.

Keywords : Eutrophication, Nitrogen, Phosphorus, Algae bloom, Land-sea continuum, Diffuse pollution

28 **Introduction**

29 Eutrophication is one of the most common causes of water quality impairment of inland and
30 marine waters (Vitousek et al., 1997; Smith et al., 1999; Bennett et al., 2001; de Jonge et al., 2002;
31 Smith, 2003). It is generating major disruptions to aquatic ecosystems and has impacts on related

32 goods and services, on human health and on the economic activities of the territories where they occur.
33 A large amount of research has been conducted during the 1970ies and 80ies to understand the causes
34 and mechanisms underlying the process of eutrophication which was spreading in the Northern
35 Hemisphere's lakes (Vollenweider, 1970; Schindler, 1974 ; Dillon and Rigler, 1974 ; Hecky and
36 Kilham, 1988). These researches clearly pointed out the key role of phosphorus point source pollutions
37 and spectacular recoveries, at least at the time, were monitored following a reduction of point source
38 phosphorus pollution.

39 Yet, today eutrophication is pervasive in many lakes, coastal areas and rivers of the World. In
40 some areas, these environmental crises have become an urgent societal issue, involving a wide variety
41 of stakeholders with contrasting values and interests (Rabalais et al., 2002, Smetacek and Zingone,
42 2013). Diffuse nitrogen and phosphorus pollutions are now the main drivers of this new wave of
43 eutrophication (Beusen et al., 2016). We argue with this diffuse context of nutrient pollution that this
44 new eutrophication crisis can be considered as a “new wine in an old bottle”. We consider that it is an
45 “old bottle” because the consequences, i.e. algal bloom, anoxia are similar as those encountered in the
46 1970ies and 80ies. Yet, this is a “new wine” because this diffuse propagation forces to address: i) the
47 long term cumulative impact of far reach anthropogenic activities, ii) the consequences of multiple,
48 and often cumulative, actions which can be very distant both in space and time, iii) the difficulty to
49 disentangle past and present causes from past anthropogenic legacy. The consequence of multiple,
50 often cumulative actions, which can be very remote both in space and time from the visible impact, the
51 uniqueness of each aquatic ecosystem, its resistance, resilience and trajectory, the difficulty to
52 disentangle past and present causes from legacy of the past anthropogenic activities fulfil many
53 attributes of a wicked or complex problem facing society (Thornton et al., 2013). Indeed, there is no
54 single answer applicable to resolving eutrophication, no true-false answers, and there is no end point
55 in implementing a solution. Moreover, there is no a priori understanding of the outcomes associated
56 with interventions intended to solve eutrophication. Furthermore, the application of one intervention to
57 resolve a specific case of eutrophication may have a different outcome when applied to a similar
58 problem in a different location. Yet, the planner has no right to be wrong (Thornton et al., 2013). The
59 development of eutrophication exemplifies the linkages between physical and biogeochemical

60 processes along the land-sea continuum. However, from headwater catchments to coast areas, several
61 often antagonistic interests prevail, while scientists are often specialized in one domain, with limited
62 interactions and shared methods, tools or models. There is a need for interdisciplinary approach calling
63 for several disciplines of agronomy, engineering, biogeochemistry, ecology, hydrology, economy,
64 political sciences and sociology to provide ways and approaches for aquatic ecosystems remediation
65 from this world-wide and pervasive problem of eutrophication.

66 This manuscript brings together the reviews undertaken by a set of French scientists who were
67 requested from the French ministries in charge of environment and agriculture to provide the state-of-
68 the-art on eutrophication. The following papers of the special issue on “eutrophication: a new wine in
69 an old bottle” gather interdisciplinary research on eutrophication with special emphasis on land-water
70 interactions along the land-water-sea continuum.

71

72 **Method**

73 The joint scientific appraisal is an institutional, scientific and collective expertise. It consists in
74 collating the international scientific literature on a given topic and extracting points of certainty and
75 uncertainty, knowledge gaps and any questions that are the subject of scientific controversy. The
76 purpose of a joint scientific appraisal is to provide the public authorities and all the stakeholders with a
77 base of certified scientific knowledge on which to build a political science-based decision-making
78 process. This state of knowledge is not intended to provide expert advice or turnkey technical
79 solutions to the issues faced by administrators, but to identify levers for action.

80 A national appraisal charter signed by the CNRS (National Center for Scientific Research),
81 Ifremer (French institute of Marine Research), Inra (National Research Institute of Agronomy) and
82 Irstea (Institute of Research and Development on Environment and Agriculture) governed this joint
83 scientific appraisal. A multidisciplinary group of expert researchers from various backgrounds has
84 conducted this appraisal on eutrophication. 40 French and foreign experts were mobilized, with skills
85 in the following disciplines: ecology, hydrology, biogeochemistry, biotechnical sciences, social
86 sciences, law, economics, and covering the various types of aquatic ecosystems: lakes, streams,
87 estuaries, marine coastal and offshore environment, as well as the concept of continuum between these

88 systems. The experts' work drew on a bibliographic corpus selected from the Web of Science of
89 around 4,000 references, composed of scientific articles validated by peers, and supplemented, for a
90 number of topics, by technical or scientific reports and legal texts. The full report is freely accessible
91 on the following site (<http://www.cnrs.fr/inee/communication/breves/eutrophisation.html>).

92

93

94 **What is eutrophication and why and how does it occur?**

95 *Definition of eutrophication*

96 The term “eutrophication” is used in the scientific literature to refer to a natural process of
97 increased production of organic materials (Rabalais et al., 2004), accompanying the evolution of an
98 aquatic ecosystem over geologic time, until eventually it fills up completely. It can also refer to a
99 process resulting from anthropogenic activities on short time scales (hours, days, months, years).

100 Anthropogenic eutrophication, in its proposed definition based on an analysis of the literature, refers to
101 the overproduction of organic material induced by anthropogenic inputs of phosphorus and nitrogen
102 (Smith et al., 1999; Andersen et al., 2006). Although similar in terms of mechanisms, these two
103 definitions involve processes that do not occur on the same time scales, and therefore have totally
104 different ecological and societal effects. Anthropogenic eutrophication is the focus of societal concerns
105 and is the subject of this joint scientific appraisal. In this definition, the concept of syndrome, which is
106 defined as a set of symptoms, is used to overcome the difficulty of summarizing in a few words the
107 multitude of biogeochemical and biological responses (also called direct and indirect effects) triggered
108 by nitrogen and phosphorus inputs underlined by different authors such as Carpenter et al. (1998).

109

110 *What are the key factors and the mechanisms responsible for eutrophication?*

111 The functioning of aquatic ecosystems is governed by dynamic balances. Eutrophication is an
112 imbalance in functioning, triggered by a change in the quantity, relative proportions or chemical forms
113 of nitrogen and phosphorus entering aquatic systems. The nature and intensity of responses also
114 depends on environmental factors: long water residence times, high temperatures and a sufficient
115 amount of light all stimulate eutrophication. Both continental and marine water ecosystems share the

116 same general response mechanism to changes in nutrient flows (Fig. 1) (Claussen et al., 2009): an
117 increase in nutrient inputs causes an increase in plant biomass, gradually generating a decrease in light
118 penetration in the water column. Aquatic ecosystems thus shift from a system with limited nutrient
119 inputs to a system gradually saturated in nutrients, in which light becomes the new limiting factor.

120

121 *What are the manifestations of eutrophication?*

122 Proliferations of opportunistic plant species, adapted to these new environmental conditions, replace
123 the species initially present, inducing changes in the structure and functioning of all the communities
124 (phytoplankton, zooplankton, benthic fauna, fish, etc.). These proliferations, or blooms, produce large
125 biomasses. Their degradation by bacteria results in oxygen depletion in the aquatic environment
126 (hypoxia or anoxia), or even toxic emissions (CO₂, H₂S and CH₄). Some of these proliferations may be
127 toxic. Responses generated by such a disturbance are initially detectable at the
128 physiological/biochemical level of an individual, then at behavioural or morphological levels, and
129 finally at the levels of the populations and communities. The most notable effects of eutrophication are
130 vegetal blooms, sometimes toxic, loss of biodiversity and anoxia, which can lead to the massive death
131 of aquatic organisms. In the bays of large river systems and some lakes, water chestnut (*Trapa*
132 *natans*), or water ferns such as *Azolla* sp., for example, have proliferated to the extent of causing
133 hypoxia and anoxia in the environment. In lakes, cyanobacteria all include species capable of
134 producing toxins. They belong to the *Microcystis*, *Planktothrix*, *Dolichospermum*, *Aphanizomenon*,
135 *Oscillatoria*, *Lyngbya*, *Nodularia* genera. In coastal environments, the decomposition of opportunistic
136 green macroalgae blooms, mainly of the *Ulva* genus, results in hypoxia and anoxia, causing mass
137 mortality of benthic fauna, a regression of fish nursery areas and health risks through the release of
138 hydrogen sulphide. Excessive proliferation of phytoplankton in coastal seas also causes hypoxia or
139 even anoxia in bottom waters (e.g. Gulf of Mexico, Chesapeake Bay, and Baltic Sea). Finally, marine
140 eutrophication can stimulate Harmful Algal Blooms with (i) production of phytoplanktonic toxins, for
141 instance in species of the *Alexandrium*, *Dinophysis* and *Pseudo-nitzschia* genera and/or (ii) production
142 of high biomass with foam accumulation by *Phaeocystis globosa*, for example .

143

144 *What are the environmental, economic and social impacts?*

145 Eutrophication poses a threat to the environment, the economy (e.g. impact on shellfish
146 production, fishing, tourism), but also to human health (Von Blottnitz et al., 2006; Sutton et al., 2011).
147 Attempts to evaluate the monetary impacts of eutrophication have been made over the last two
148 decades, mainly in the United States and in the Baltic Sea (Dodds et al., 2008; Gren et al., 1997).
149 These studies indicate a variety of impacts and costs which are quantifiable fairly directly, for instance
150 when cities of hundreds of thousands of people are deprived of drinking water for several days. One
151 example is the toxic algal bloom in the western Lake Erie basin in 2014, which led to disruption of
152 water supplies to 400,000 people (Smith et al., 2015) On the other hand, integrating all the
153 environmental, health and socio-economic impacts in the calculations of indirect effects, poses more
154 of a challenge (Folke et al., 1994; Romstad, 2014).

155

156 *What criteria can be used to characterize eutrophication?*

157 Indicators of eutrophication are generally classified into indicators of pressure, chemical status
158 and impact (Table 1). Pressure and status indicators relate respectively to the identification and
159 quantification of pollutant sources, their loads and concentrations, whereas the impact indicators use
160 the biological responses of the living communities specific to each type of environment. Some
161 indicators have been provided by different authors (e.g. Friberg, 2014, for lotic systems; Ferreira et al.,
162 2011, for seawater). These indicators can be used to link emissions and flows exported by watersheds
163 with the concentrations measured in receiving environments and the biological or ecological status of
164 these environments. While the Marine Strategy Framework Directive has settled on a Descriptor 5
165 dedicated to eutrophication to contribute to the Good Environmental Status assessment (MSFD, 2008,
166 2017), the Water Framework Directive opted instead for an aggregate vision of the Good Ecological
167 Status of water bodies as a result of multiple pressures (WFD, 2000). The pressures responsible for
168 eutrophication are partly documented in these directives (e.g. nutrient concentrations), but non-linear
169 relations with ecological status often require more in-depth analysis in a number of regions. The
170 interpretation of biological data (macrophytes, phytobenthos, invertebrates, and fish) is complex,

171 contained within information on the integrated response of hydrosystems to multiple pressures, and
172 dependent on adapted monitoring methods (frequency, accuracy).

173

174 **How is eutrophication changing over decades?**

175 Increasing global population growth and the development of urban concentration, agricultural
176 industrialization and specialization of agriculture per region, including crop-livestock decoupling by
177 means of animal feed transport, phosphorus mining and chemical manufacturing process of mineral
178 nitrogen (Haber-Bosch method) have led to an increase in loads and concentrations of nutrients in
179 terrestrial environment, and ultimately in aquatic ecosystems (Smith and Schindler, 2009). Estimation
180 of changes in loads varies from one publication to another based on the approach, the scale and the
181 databases used (Moatar and Meybeck, 2005). Many historical analyses have been performed
182 (Howarth, 2008; Chen et al., 2016; Lu and Tian, 2017; Minaudo et al., 2015; Floury et al., 2017).
183 Based on the latest models deployed globally, outflows to the sea doubled during the 20th century,
184 from 34 to 64 Tg N per annum for nitrogen and from 5 to 9 Tg P per annum for phosphorus. The
185 contribution of agriculture to these outputs has increased from 20% to 50% for nitrogen, and from
186 35% to 55% for phosphorus (Beusen et al., 2016). In industrial countries, agricultural sources are now
187 dominant, higher for nitrogen than for phosphorus (Dupas et al., 2015, Garnier et al., 2015).

188 Eutrophication phenomena started to be recognized from the beginning of the 20th century
189 near major urban and industrial centres in industrialized countries of the northern hemisphere.
190 Between the 1970s and 1990s, public action in these countries focused on the treatment of industrial
191 and domestic pollution. The drastic reduction in point-source phosphorus pollution as a result of
192 improving wastewater treatment, then banning phosphates in detergents, led to a gradual decrease in a
193 number of eutrophication phenomena, notably in Lake Erie (United States) and Lake Geneva (France-
194 Swiss) (Anneville et al., 2002).

195 Since then, a new wave of eutrophication has been spreading, affecting many lakes, reservoirs,
196 rivers and coastal areas around the world. Many iconic places are now subject to recurring
197 eutrophication episodes and literature review: the Baltic Sea (Andersen et al., 2017), the Laurentian
198 Great Lakes, the Chesapeake Bay (Kemp et al., 2005), the Gulf of Mexico, the Venice Lagoon

199 (Bergamasco and Zago, 1999), a large number of lakes and coastal areas in China, Lake Victoria, the
200 Brittany coast, Mediterranean lagoons and sea (Barausse et al., 2009), etc. Some of these sites had
201 never been affected before, while others experienced a new eutrophication phenomenon after a
202 previous remission phase (Jarvie et al., 2017). Since the end of the 20th century, public action has
203 been focusing on the issue of agricultural non-point pollution. In industrialized countries, these
204 measures have led to positive developments in freshwater, more so for phosphorus than for nitrogen,
205 while marine eutrophication phenomena do not appear to have diminished since the beginning of the
206 21st century (Conley et al., 2009a). At global level, the number and footprint of hypoxic and anoxic
207 zones in the marine environment has tripled since the 1960s (Diaz and Rosenberg, 2008). A 2010
208 census numbered nearly 500 of these areas, with a geographical footprint of 245,000 km². There has
209 also been an increase in the diversity, frequency, size and geographical extent of Harmful Algal
210 Blooms in recent decades. Although it is still difficult to extrapolate trends from one region to another,
211 the link between the increase in nutrients inputs and that of toxic blooms is often established.

212

213 **Can the risk of eutrophication be characterized and predicted?**

214 An analysis of the literature stresses that a risk analysis framework should combine hydro-
215 biogeochemical transfers and transformations, climate hazards and the ecological vulnerability of
216 receiving systems. These three dimensions are more or less integrated in modelling to better
217 characterize risk and resilience of water bodies.

218

219 *Transfer, retention and transformation of nitrogen and phosphorus along the land-sea continuum*

220 The risk of eutrophication in an aquatic ecosystem depends partly on nutrient inputs from its
221 watershed via the water pathways or groundwater inflows. Nutrient inputs can therefore come from
222 source areas hundreds or even thousands of kilometres away, and their transit time from these areas to
223 the receiving aquatic ecosystems can span decades (Pinay et al., 2015).

224 Along the land-sea continuum, phosphorus is mainly retained in soils and sediments (Withers and
225 Jarvie, 2008; Jarvie et al., 2013a, Jarvie et al., 2013b) (Fig. 2). Phosphorus can be remobilized
226 depending on biological demand, under anoxic conditions, or when sediments are shifted. The entire

227 phosphorus cycle is in solid or liquid form, while the nitrogen cycle has also a gas phase. Nitrogen is
228 more mobile than phosphorus and is transported mainly as a dissolved form of nitrate directly to
229 surface and also to groundwater, where it can be stored for decades (Molenat et al., 2013; Kolbe et al.,
230 2016) (Fig. 2). In groundwater, wetlands and lake sediments, nitrates can, to a certain extent, be
231 transformed into gaseous nitrogen by denitrification (biogeochemical processes). In soils and
232 sediments, storage of the phosphorus introduced for more than a century by human activity has
233 resulted in there being an excess of phosphorus (Delmas et al., 2013) in relation to nitrogen, although
234 nitrogen can also be stored for decades in soils (Sénilo et al., 2013). Differences in biogeochemical
235 processes controlling the cycling and transfers of N and P along the land-sea continuum can result in
236 marked changes in nutrient stoichiometry from headwater catchments to the sea (Alexander et al.,
237 2000).

238 These findings also explain why assessments of the retention capacity of phosphorus and of
239 the elimination capacity of nitrogen in a watershed are currently difficult to make and highly
240 uncertain. There is a great variability of flows in headwater catchments, and it has not been possible
241 yet to establish a clear relation between landscape structures and agricultural practices, and the water
242 quality of the draining rivers (Abbott et al., 2017). While the assessment can be performed with the
243 help of a significant amount of equipment and measures, it remains difficult to quantify their effect in
244 all real landscape configurations. Biogeochemical rates measured at one site cannot be extrapolated to
245 other sites due to the specific hydrological, hydrogeomorphological and biogeochemical settings of
246 each site (Bishop et al., 2008). This creates great spatial and temporal variability in denitrification and
247 phosphorus retention.

248

249 *Taking account of climate change*

250 The effects of climate change, some of which are already felt, will impact all the
251 mechanisms involved in eutrophication and amplify its symptoms (Moss et al., 2011; Paerl et al.,
252 2014; Woznicki et al., 2016). Plant biomass production, transfers within watersheds, nutrient loads
253 reaching hydrosystems, the physical chemistry of environments, especially oxygen, pH and discharges
254 of phosphorus and metals from benthic sediments, the metabolization of nutrients in aquatic

255 environments, organisms' habitats and their distribution, the dynamics of trophic networks; all of these
256 processes are likely to be modified by forecast climate changes (changes in thermal and water
257 regimes) as well as their interaction with related changes in human activity and terrestrial landscapes
258 (Jeppesen et al., 2014). In turn, the benthic physico-chemical reactions involved in hypoxia are likely
259 to contribute to the emission of greenhouse gases (CO₂, CH₄, and N₂O). The literature is starting to
260 propose distributed scenarios of future developments by changing the forcing factors of eutrophication
261 risk analyses (Skogen et al., 2014). This is an essential step in guiding adaptation actions and scaling
262 efforts to combat eutrophication.

263

264 *The vulnerability of ecosystems to eutrophication*

265 Each ecosystem is unique and has its own history and dynamics, which in turn are related to
266 local geological, geomorphological, hydrological, ecological and climatic conditions, but also to past
267 and present anthropogenic pressures and their nature, as well as to the sociological and economic
268 contexts in which they have evolved. For instance, there are many possible responses of aquatic
269 ecosystems under constraints of changes in nutrient inputs (Scheffer et al., 2001; Duarte et al., 2009).
270 This complexity means that if general transfer and time-response can be predicted, the ecological
271 vulnerability of ecosystems is still highly unpredictable (Fig.3). Vulnerability therefore needs to be
272 defined by taking into account the entire direct and indirect causal chains that influences the inherent
273 properties of the receiving aquatic ecosystems, in relation to the diversity of local situations and past
274 and present contexts. Biological indicators are invaluable to reveal aggregated structural and
275 functional degradations of waterbodies health, especially when they are conceived as multi metric
276 indices, as requested in legislations like the USA Clean Water Act or European Union Water
277 Framework Directive. Yet, these indicators are too global to build a risk analysis taking into account
278 the ecological vulnerability to eutrophication. There is a need to adapt them to provide specific
279 response to gradients of nutrients, and especially to tipping biological points versus nutrient
280 concentrations.

281

282

283 *Modelling: a tool for understanding and predicting the evolution of aquatic ecosystems*

284 Mathematical models of eutrophic ecosystems have been developed to understand and
285 represent ecological dynamics and their coupling with nutrients (Cugier et al., 2005; Troost et al.,
286 2013; Turner et al., 2006). Some models have also been used to estimate eutrophication risks, assess
287 the necessary reduction in nutrient inputs and define actions and priority management areas. A first
288 approach is based on the identification and combination of factors of nutrient emissions to aquatic
289 ecosystems. Multi-criteria assessment of the impacts of technical systems (agricultural systems,
290 wastewater treatment) based on life cycle analysis (Balkema et al., 2002) or nitrogen footprint (Doney,
291 2010) are also used to predict the consequences of human activities on aquatic ecosystems.. A second
292 approach is based on statistical models (Allan et al., 2012), and aims at providing descriptors of
293 eutrophication based on a number of causal variables. A third approach uses equations to represent
294 hydro-biogeochemical and ecological mechanisms and simulates the dynamics of eutrophication
295 (Almroth and Skogen, 2010). Most of the models combine these three approaches, depending on the
296 availability of data on a given area.

297 Lake modelling focuses more particularly on the phosphorus cycle in order to remedy blooms
298 of atmospheric dinitrogen-fixing cyanobacteria, because phosphorus is more easily removed from
299 waste water (Jørgensen and Bendoricchio, 2001; Carpenter et al., 1999). Since 2000's however,
300 models focus more and more on multiple nutrient cycles (Jørgensen, 2010). Due to the observed
301 stimulation of non-dinitrogen-fixing cyanobacteria, lake modelling could be similar to that of rivers
302 and coastal waters, which simulates N and P cycles in parallel. Marine eutrophication models identify
303 nitrogen as a main controlling factor and recommend significant reductions in nitrogen river inputs
304 (Chapelle et al., 2000). The transmission of this downstream ecological constraint to river system and
305 watershed models founders on the lack of knowledge about storage compartments (groundwater for N,
306 soil and sediment for P) and their residence times, as well as the geographical complexity of land uses
307 and watershed activities (Billen et al., 1991).

308 Models are commonly used to assess prospective scenarios. That said, replicability remains
309 limited without substantial data on the zone under study, and the uncertainty of the results often
310 receives little evaluation (Udovyk and Gilek, 2013; Durand et al., 2015). Very few examples integrate

311 coupling with climate hazard and the ecological vulnerability of aquatic environments. The few bio-
312 economic models make it even more difficult to use modelling approaches to help towards
313 remediation (Cellina et al., 2003). Nevertheless, modelling has made it possible to identify gaps in the
314 processes understanding and representation that are still insufficiently detailed, in the data necessary
315 for their implementation, and it has undoubtedly highlighted significant elements for reflection to
316 guide management actions.

317

318 **What are the strategies and frameworks to combat eutrophication?**

319 *Engineering in aquatic ecosystems: a local solution*

320 Actions to combat eutrophication in aquatic ecosystems can build on three types of levers:
321 physical levers, which are designed to decrease water residence time (Romo et al., 2013) or de-stratify
322 the water column (Visser et al., 2016); chemical levers to fight hypoxia by artificially re-oxygenating
323 the environment (Zamparas and Zacharias, 2014) or to help phosphorus precipitation (e.g. addition of
324 lime or calcite, aluminium salts); ecological levers which seek either the eradication of symptoms (use
325 of algaecides), or bio-manipulation by introducing species to influence the food web structure (Paerl,
326 2018). These approaches are costly, and sometimes risky, but they can help regulate a symptom, on a
327 case by case basis, in small spatial areas (Carpenter et al., 2006).

328

329 *Managing phosphorus and nitrogen sources and delivery from terrestrial environments*

330 Actions to control nutrient inputs from watersheds are essential (Conley, 1999; Jarvie et al.,
331 2018). They must be set in a long-term perspective, in relation with the transfer, retention and
332 elimination mechanisms of nutrients along the land-sea continuum. Long transit times partly explain
333 the limited decrease observed in nitrogen loads, and to a lesser extent of phosphorus loads, to
334 watershed outlets, despite the efforts made to reduce inputs for several years. A vast range of objective
335 knowledge currently supports a consensus among scientists to limit nitrogen and phosphorus inputs to
336 aquatic ecosystems, whether they are point-source or non-point source inputs, of urban, industrial or
337 agricultural origin. Nutrients cycles are not isolated from each other. Measures taken to regulate one
338 element have consequences on other elements, and ultimately on the ecological balance of systems. A

339 joint reduction in N and P inputs is therefore essential to curb eutrophication along the land-sea
340 continuum (Paerl, 2009; Pearl et al., 2016), even though schematically, the controlling factor highly
341 debated in the scientific literature (Blomqvist et al., 2004; Conley et al., 2009a Conley et al., 2009b;
342 Elser et al., 2007; Howarth and Marino, 2006; Schindler, 2012) globally shifts from phosphorus in
343 freshwater to nitrogen in marine environments.

344 Concerning domestic and industrial sources (non-collective sanitation, collection network and
345 waste water treatment), significant efforts have been made, but there is still room for improvement:
346 reduction at source (household products, diets, etc.), better assessment of the volumes to be treated,
347 especially in areas where the population fluctuates, ramping up of a number of small water treatment
348 plants, specific treatments (e.g. urine/faeces, agro-industrial waste).

349 Nevertheless, the focus is now on agricultural sources, which are significant in developed
350 countries (Withers et al., 2014): more local animal feeding, effluent recycling in regions with high
351 animal density; management of fertilization, taking into account N and P, reasoned by plot, by
352 cropping system (crop and intercrop); preservation or restoration of landscapes, especially land-water
353 interfaces (Schoumans et al., 2014, as a review for P). These different levers must be taken into
354 account in current production systems. However, even if they are taken into account, this will not be
355 enough in watersheds with highly vulnerable receiving aquatic ecosystems. Agricultural systems and
356 land use must be strongly modified in these zones. Economically realistic and socially acceptable
357 territorial projects, based on targets for very low leakage of nitrogen and phosphorus, will have to be
358 put in place. Synergies between issues related to food, biodiversity, climate, efficiency and resource
359 recycling could help.

360

361 *Are regulatory monitoring frameworks well adapted to monitor eutrophication?*

362 Several regulatory texts mention the eutrophication process. They are international, European
363 or national in scope, and respond to sometimes different rationales. For instance in Europe, several
364 guidelines on uses, dating back to the 1980s and providing a framework for a given field (e.g. the
365 Nitrates Directive and the Urban Waste Water Directive, UWWDD), coexist with directives with a more
366 comprehensive objective such as the Water Framework Directive (WFD) and the Marine Strategy

367 Framework Directive (MSFD) in the 2000s. The Nitrates Directive, which focuses on nitrates from
368 agricultural sources, requires the definition and delineation of nitrate vulnerable zones. Nitrate
369 Vulnerable Zones are the watershed areas which contribute runoff to water bodies that have, or are at
370 risk of (a) concentration above the drinking water standards, or (b) eutrophication. The UWWD
371 requires the collection, treatment and discharges of wastewater, with point source-specific emission
372 standards, but no standards for the receiving environment. The WFD and the MSFD require the
373 implementation of the measures necessary to maintain or achieve the objective of good ecological and
374 environmental status respectively in water bodies, notably by a regular characterization of the health
375 state of hydrosystems. With the exception of the MSFD, these directives provide no specific
376 recommendations on eutrophication, which is considered as part of a set of potentially degrading
377 pressures. Targeted monitoring is required to evaluate compliance with water quality or ecological
378 standards.

379 The nitrate drinking water standard of 50 mg NO₃/L of nitrates, frequently referred to in the
380 regulations, is not adapted to protecting environments from the eutrophication process. Concentrations
381 of 1 to 3 mg NO₃/L are characteristic of zones with very low human pressure; some publications
382 identify a tipping point at barely higher values in the case of early changes in the species composition
383 of macrophytes (James et al. 2005). These scientifically informed values and their translation into
384 policy targets are also part of larger and disputed choices in the context of a global movement of
385 “ecologization” of public policies. Therefore, further and comparative analysis of the historical
386 trajectory of the various value guidelines suggested over time, including the influence of governance
387 systems and their territorial implementation would be instrumental. Transparency on the fundamentals
388 associated with these values and the related social learning approach are not only essential to set
389 threshold value ranges, but also to connect eutrophication management goals with meaningful and
390 shared visions of long-term local development.

391

392 *Socio-economic support for remediation*

393 Economic studies helps identify incentive or regulatory instruments capable, individually or in
394 suitable combinations, of assisting in decision-making (Hansen and Hansen, 2014; Laukkanen and

395 Huhtala, 2008; Xepapadeas, 2011). Existing economic studies show that in many cases, excessively
396 ambitious objectives are not achievable and have led to ineffective programmes, especially in relation
397 to their cost (Ahlvik et al., 2014). Targeting instruments spatially distributed is usually more effective
398 than applying generic measures on a broad scale; this raises the question of zoning and of the scale of
399 its definition. Adaptive management, by updating objectives and tools and attempting experiments
400 based on achievable objectives and on a suitable scale, appears the best approach to adopt (Pahl-Wostl,
401 2007).

402 Until now, eutrophication issues have generally received little attention from environmental
403 sociologists. Most of research work on the topic has furthermore focused on a series of emblematic
404 cases, such as Laurentian Great Lakes (Gould, 1993) and Chesapeake Bay (Paolisso, 1999) in North
405 America and the Baltic and North Seas in Europe (e.g., De Jong, 2016). In France, the case of green
406 tides is an exception: once eutrophication has gained social visibility it can be more easily studied
407 (Bourblanc, 2014). The transformation to be implemented in this context is no longer solely perceived
408 as merely biophysical. Sociological and political aspects are starting to be taken into account, calling
409 for differentiated approaches depending on the socio-ecosystems and their different spatial scales, and
410 integrating the issues of the various stakeholders in relation to eutrophication (Gould, 1993; Levain et
411 al., 2015).

412

413 **Future areas of investigation**

414 *Developing methodologies for assessing eutrophication risk*

415 Constructing an analytical framework of eutrophication risk requires that hydro-
416 biogeochemical processes, climate and the ecological vulnerability of receiving water bodies are all
417 taken into account. In this sense, the literature identifies various areas for improvement in order to
418 fully leverage the data collected and complete it as necessary: (i) performing regular scientific
419 syntheses (e.g. every 10 years) analyzing both physico-chemical and biological data in various
420 geographical frameworks, from an integrative and functional perspective; (ii) guiding the acquisition
421 of new data to develop modelling approaches, particularly in the continental area, defining and rolling
422 out probabilistic analyses of eutrophication risk; (iii) intensifying data acquisition in poorly

423 instrumented zones (e.g. headwater catchments, soils and sediments), by increasing the frequency or
424 accuracy of measurements, by measuring variables currently not monitored (e.g. 24-hour cycles, O₂) in
425 order to better qualify the relations between pressures and impacts, as well as response times in
426 various biophysical contexts; (iv) developing new ways of data acquisition (including data
427 management and processing), notably derived from recent technologies (high frequency and real time,
428 including remote sensing) and citizen science; (v) better exploiting the functional information
429 provided by biological samples: some taxa or ecological properties could deliver more information on
430 trophic disfunctioning. For instance, taxa's ecological traits could provide more information on
431 eutrophication sensitivity than the current global indices based on community structure.

432 The respective role of climate and human activity in driving eutrophication is also a major
433 research requirement. Modelling can contribute based on long term observations. Research on the
434 ecological responses to eutrophication should be strengthened, with the ambition of clearly
435 distinguishing the part related to eutrophication in multi-pressure environments, watershed landscapes
436 and the temporal trajectories of the various nutrient regimes and their drivers such as climate change,
437 technical innovations, economic conditions or regulation.

438

439 *Moving towards systemic research*

440 The current challenge we are now facing is that despite a similar effects of nutrients pollution
441 on freshwater, coastal and marine aquatic systems, i.e. "the old bottle", we cannot use remediation
442 methods applied during the 1970ies and 80ies phase because we are dealing with diffuse inputs of
443 nutrients, i.e. the "new wine". Yet, we still lack of highly inclusive research at territorial level to meet
444 the different management issues of headwater catchments, riparian corridors and coastal areas.
445 Remediation of eutrophication should therefore strive towards systemic approaches integrating
446 hydrosystems, agricultural and urban areas, and production, feeding and recycling practices.
447 Generally, the issue of agricultural transition is closely related to that of eutrophication. Models
448 combining the biophysical and economic aspects need to be developed. The relation between changes
449 in eutrophication and changes in socio-ecosystems should also be better put into perspective, going
450 beyond sector-related focuses such as those placed on agriculture in recent decades. Sharing

451 knowledge can recreate bonds between social groups and business sectors which are currently set apart
452 from each other. There needs to be a greater number and variety of interdisciplinary investigation sites
453 (lakes, rivers, coastal areas) where biophysical and societal dynamics could be studied over the long
454 term, and existing investigation sites should be perpetuated. Sociological studies of public and
455 governance problems are also needed. Research must be carried out on the limits of sector-specific
456 regulatory approaches in terms of effectiveness, enforceability and overlapping, with as a common
457 guideline a better integration of the land-sea continuum and distinctive vulnerability of each type of
458 environment.

459

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473

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771

Figures & Table caption

772 **Figure 1.** Changes in physico-chemical parameters and in the relative dominance of plants and
773 biodiversity depending on the degree of eutrophication in an aquatic environment. Although marine
774 and freshwater systems do not host the same species, the succession of plant functional types is
775 similar. Schematically, benthic macrophytes capable of tapping nutrients from sediment dominate in
776 nutrient-poor environments. When the environment is enriched, epiphytes, followed by emerging
777 macrophytes, opportunistic floating macrophytes and/or phytoplankton proliferate at the expense of
778 perennial and submerged macrophytes, which no longer have access to light. ^{ED}: observable in
779 freshwater only.

780

781 **Figure 2.** Conceptual diagram of the transfer, retention and removal zones of nitrogen and phosphorus
782 along the land-sea continuum.

783

784 **Figure 3.** Schematic representation of six hypothetical system response trajectories (in y) following
785 changes in nutrient conditions (in x). Hysteresis refers to the fact that two different status of an
786 ecosystem can be found along an intermediate gradient of nutrient concentrations. Source: Kemp et al.
787 (2009).

788 **Table 1.** Pressure, status and impact indicators of eutrophication in rivers, lakes, transitional waters,
789 coastal and marine waters. * only for stratified lakes. Adapted from Ibisch et al. (2017).

790

791 Table 1 : Le Moal et al.

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793

Indicators	Rivers	Lakes	Transitional waters	Coastal water	Ocean water
Pressure indicators					
Nutrient emissions, nutrient load	x	x	x	x	x
Status indicators					
Phosphorus concentrations (total P, ortho-phosphate)	x	x	x	x	x
Nitrogen concentrations (total N, NO3)	x	x	x	x	x
Impact indicators					
Ecological status (WFD: European Water Framework Directive)	x	x	x	x	
Environmental status (MSFD: Marine Strategy Framework Directive)				x	x
Phytoplankton (chl-a, biovolume)	x	x	x	x	x
Phytoplankton (community composition, harmful and toxic algae)		x		x	x
Secchi depth		x		x	x
Macrophytes (depth of lower growth)		x		x	
Macrophytes (community composition)	x	x	x	x	
Phytobenthos (community composition of benthic algae)	x	x			
Macrozoobenthos (community composition, biomass)	x	x	x	x	x
Oxygen concentration at the bottom		x*	X	x	x

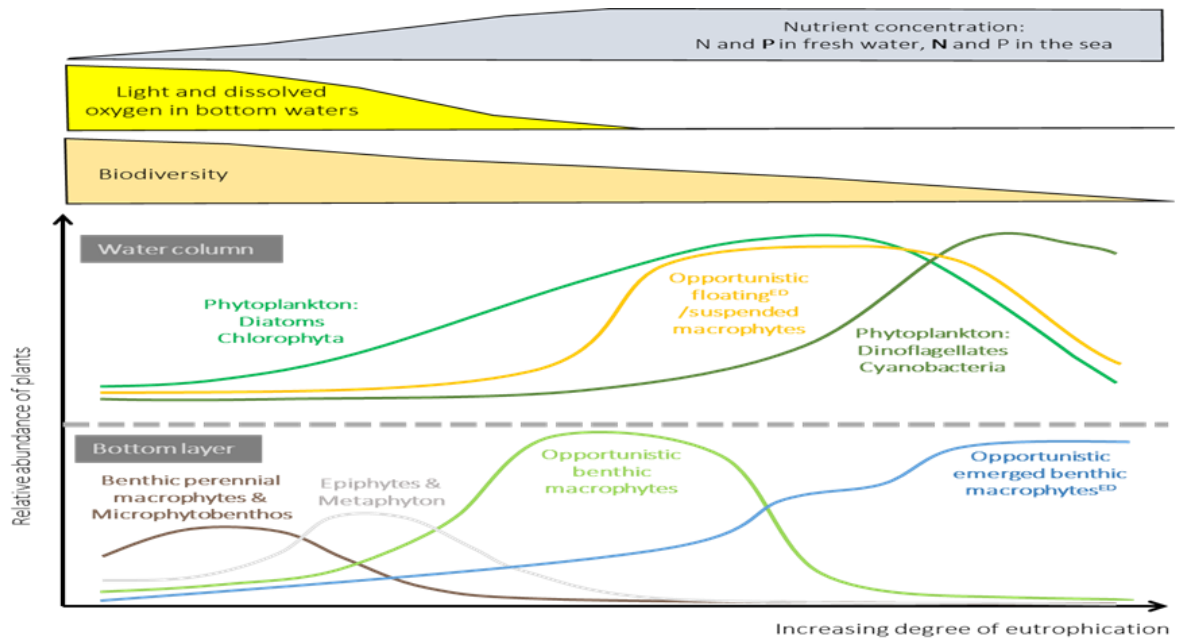
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796 Figure 1 : Le Moal et al.

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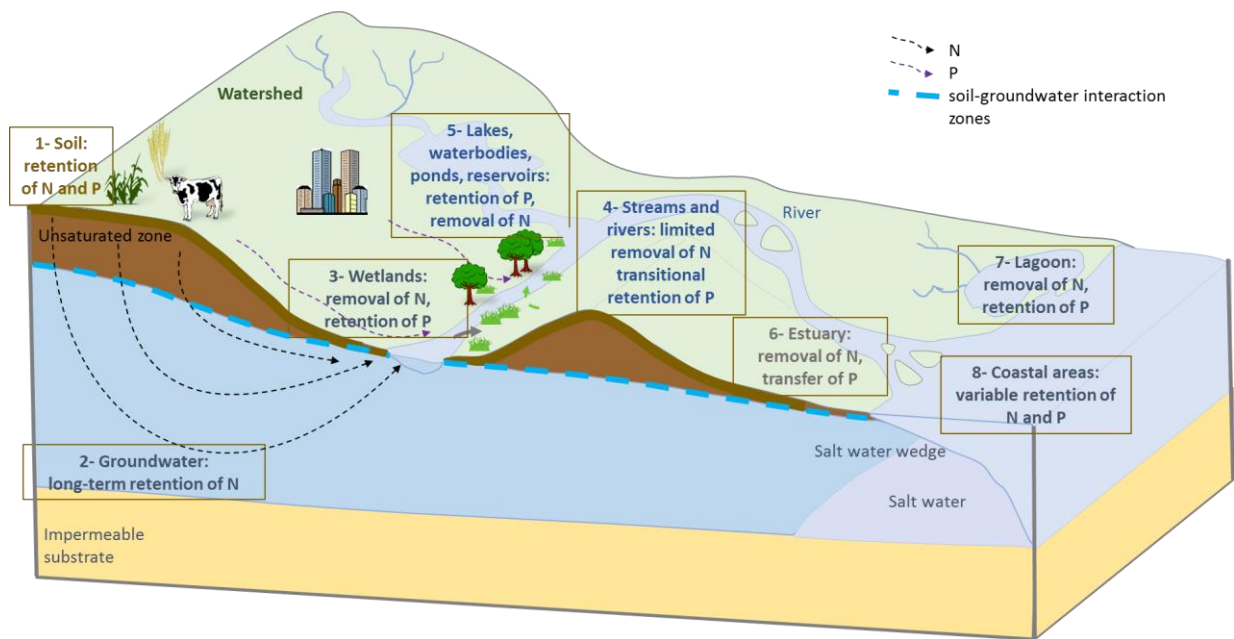
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802 Figure 2 Le Moal et al.

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806 Figure 3 : Le Moal et al.

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