

Directional bilateral asymmetry in otolith morphology may affect fish stock discrimination based on otolith shape analysis

Mahé Kélig^{1,*}, Ider Djamila², Massaro Andrea³, Hamed Oussama⁴, Jurado-Ruzafa Alba⁵,
 Goncalves Patricia⁶, Anastasopoulou Aiketerini⁷, Jadaud Angelique⁸, Mytilineou Chryssi⁷,
 Elleboode Romain¹, Ramdane Zohir², Bacha Mahmoud⁹, Amara Rachid⁹, De Pontual Hélène¹⁰,
 Ernande Bruno¹

¹ Fisheries Laboratory, IFREMER, 150 Quai Gambetta, BP 699, 62 321 Boulogne-sur-Mer, France

² Laboratoire de Zoologie Appliquée et d'Ecophysiologie Animale, Université Abderrahmane Mira, Be'jay'a, Algérie

³ APLYSIA – Via Menichetti 35, 27121 Livorno, Italy

⁴ Université de Tunis El Manar, Campus Universitaire, 2092 El Manar II, Tunisie

⁵ Instituto Español de Oceanografía, Centro Oceanográfico de Canarias, 38180 Santa Cruz de Tenerife, Spain

⁶ Departamento do Mar e Recursos Marinhos, Instituto Português do Mar e da Atmosfera (IPMA), Lisboa, Portugal

⁷ Hellenic Centre for Marine Research, PO Box 712, P.C. 19013, Anavyssos Attiki, Athens, Greece

⁸ Fisheries Laboratory, IFREMER-UMR MARBEC, Avenue Jean Monnet, CS 30171, 34203 Sete Cedex, France

⁹ Laboratoire d'Océanologie et de Géosciences, Université Littoral Côte d'Opale, Univ. Lille, CNRS, UMR 8187, LOG, F 62930 Wimereux, France

¹⁰ IFREMER, Sciences et Technologies Halieutiques, CS 10070, 29280 Plouzane, France

* Corresponding author : Kélig Mahé, email address : kelig.mahe@ifremer.fr.

Abstract :

Otolith shape analysis is an efficient fish stock identification tool. However, most applications used left and right otoliths or only one of them arbitrarily chosen without testing for biases resulting from potential directional bilateral asymmetry (DA) in otolith shape, i.e. a unimodal population-level deviation from bilateral symmetry between right and left otolith shapes. In this study, 560 bogues (Boops boops) were sampled from 11 geographical locations from the Canary Islands to the Aegean Sea and elliptical Fourier descriptors were used to describe their otoliths' shape. First, a significant otolith DA was observed at the global scale with an average amplitude of 2.77%. However, at the scale of sampling locations, DA was not always significant and varied in amplitude and direction. Second, population structure was investigated using the shape of either right otoliths or left otoliths or both together. Analyses based on right otoliths or both otoliths together, suggested three stock units: a North-Western Mediterranean Sea stock, an Eastern Mediterranean Sea stock, and a Central-Eastern Atlantic Ocean and South-Western Mediterranean Sea stock. In contrast, no coherent geographical pattern was found based on left otoliths. Our results highlight the importance of accounting for potential otolith DA in otolith shape-based stock identification.

Keywords : Boops boops, elliptical Fourier analysis, Mediterranean Sea, side effect, stock identification.

58 **Introduction**

59 Stock identification and the knowledge of population spatial structure provide a basis for
60 understanding fish population dynamics and achieving reliable assessments for fishery
61 management (Reiss *et al.*, 2009). Some studies suggested that a lack of knowledge of
62 population spatial structure in fisheries management might be responsible for fishery
63 collapses (e.g. Atlantic cod (*Gadus morhua*) in the Western Atlantic, Hutchings, 2005;
64 the crustacean fisheries of Alaska, Wooster, 1992; North-Western Atlantic herring,
65 Stephenson *et al.*, 1999). Therefore, a wide number of techniques were developed and
66 applied to identify and discriminate stock units, such as tagging experiments or analyses
67 of spatial variation in arrange of markers including genetic markers, morphological traits,
68 life-history traits at various life-stages, parasite load or infracommunity structure, or
69 contaminant concentration (e.g. Pawson and Jennings, 1996; Garcia *et al.*, 2011; Cadrin
70 *et al.*, 2014; ICES, 2016, Pita *et al.*, 2016). Otoliths are calcified structures overlying the
71 sensory epithelia in the inner ears. The left and right inner ear contain three pairs of
72 otoliths each. They grow throughout the life of the fish and, unlike scales and bones, are
73 metabolically inert (i.e. once deposited, otolith material is unlikely to be resorbed or
74 altered, Casselman, 1987). Consequently, otolith shape remains unaffected by short-

75 term changes in fish condition (Campana and Casselman, 1993) or environmental
76 variations (Campana, 1999). Shape analysis was first used to discriminate fish stocks by
77 using either scales of Atlantic salmon (*Salmo salar*) (de Pontual and Prouzet, 1987,
78 1988) or otolith of Atlantic cod (Campana and Casselman 1993). Since then, many
79 studies have focused on otolith-shape analysis to discriminate between stock units,
80 reaching an updated total of 91 papers published from 1993 to 2017 exclusively on this
81 topic.

82 The shape of a fish's otolith depends on its genotype, its developmental stage (potentially
83 described by a series of individual-state variables such as body size, age, sex and sexual
84 maturity status) and both the biotic and abiotic environments encountered during its
85 lifetime (Castonguay *et al.*, 1991, Lombarte and Lleonart, 1993; Cadrin and Friedland,
86 1999; Begg and Brown, 2000; Cardinale *et al.*, 2004; Gagliano and McCormick, 2004;
87 Monteiro *et al.*, 2005; Swan *et al.*, 2006; Mériçot *et al.*, 2007; Hüßy, 2008; Vignon and
88 Morat, 2010; Capoccioni *et al.*, 2011; Mille *et al.*, 2016). Besides inter-individual variation,
89 otolith shape is also known to vary potentially intra-individually as left otolith shape may
90 not be perfectly symmetrical to right otolith shape one and vice-versa (Díaz-Gill *et al.*,
91 2015). Such deviation or bilateral asymmetry can be of three different types
92 corresponding to three different distributions of its individual values within a population
93 (Palmer and Strobeck 1986, 1992). Fluctuating asymmetry (FA) corresponds to random
94 individual deviations from perfect bilateral symmetry resulting in a normal distribution
95 with mean 0. FA in otolith shape has been reported for some species of both roundfish
96 and flatfish (Lemberget and McCormick, 2009; Lychakov, 2013; Díaz-Gil *et al.*, 2015).
97 Directional asymmetry (DA) relates to a systematic deviation from bilateral symmetry
98 towards one side (e.g. the otolith asymmetry in flatfish, Mille *et al.*, 2015) and is thus
99 characterized by a normal distribution with a mean different from 0 whose sign depends
100 on the side of the deviation. Antisymmetry (A) occurs when there is a systematic but
101 alternating deviation towards one side or the other in the population, thus generating a

102 bimodal distribution with mean 0 in its extreme form (e.g. of the claws of fiddler crabs
103 Palmer and Strobeck, 1986; or lobsters Govind and Pearce, 1986). If DA or A occurs, it
104 may affect the results of otolith-shape based stock discrimination, depending on which
105 otolith is used. Among the 91 published studies on marine fish stock identification based
106 on otolith shape analysis, only 20 of them took into account otolith shape asymmetry (A)
107 and only 3 based their analyses on the two otoliths together. Most studies arbitrarily used
108 otoliths from only one side. In this context, the first objective of the present study was to
109 explore the effect of DA in otolith morphology on stock identification based on otolith
110 shape analysis.

111 To describe the external contour or shape of otoliths, several techniques have been
112 developed: univariate descriptors such as shape factors that include roundness or
113 circularity (e.g. Tuset *et al.*, 2003), geometric morphometrics (e.g. Ponton, 2006;
114 Ramirez-Perez *et al.*, 2010; Vergara-Solana *et al.*, 2013), wavelet functions (Parisi-
115 Baradad *et al.*, 2005; Sadighzadeh *et al.*, 2014), growth markers (Benzinou *et al.*, 2013),
116 curvature scale space (Mapp *et al.*, 2013) and geodesic methods (Benzinou *et al.*, 2013).
117 However, the Elliptical Fourier Analysis (EFA) remains the most widely used method to
118 describe otolith shape. In recent years, the number of studies using EFA has increased
119 substantially and allowed the analysis of population structure of as diverse species as
120 highly migratory oceanic swordfish (*Xiphias gladius*, Mahé *et al.*, 2016) and sedentary
121 big-scale sand smelt (*Atherina boyeri*; Boudinar *et al.*, 2015).

122 In this paper, we used the bogue (*Boops boops*; Linnaeus, 1758), a species within the
123 Sparidae family, as a case study for assessing the effect of DA and/or A on stock
124 identification based on otolith shape analysis using EFA. This species has a wide
125 geographical distribution from the Norwegian to Angolan coasts along the northeastern
126 Atlantic, as well as in the Mediterranean and Black seas (Whitehead *et al.*, 1984). Bogue
127 is both demersal and semi-pelagic and inhabits all types of seabed (sand, mud, rock and
128 seagrass beds) down to 350m depth, but is most abundant in the upper 100m. It is an

129 important species for Mediterranean fisheries with an average landing of 27,000 tons
130 from 2010 to 2013 (ranked 7th and accounting for 1.8% of total catches in the
131 Mediterranean Sea, FAO, 2016). This species is currently fully exploited by several
132 commercial fisheries, either as a target species or as bycatch, mainly from pelagic
133 trawlers (FAO, 2016; Dimarchopoulou *et al.*, 2017). Moreover, although bogue is one of
134 the seven most studied species in the Mediterranean Sea (Dimarchopoulou *et al.*, 2017),
135 no information is available on its general stock structure. Hence, the second objective of
136 this study was to investigate the stock structure of the bogue in the Mediterranean Sea
137 and the adjacent area of the Atlantic Ocean.

138 To reach the two objectives of this study, i.e. (1) to explore the impact of otolith DA on
139 otolith-shape based stock identification and (2) to investigate bogue stock structure in
140 the Mediterranean Sea, we extract left and right otolith shape using Elliptical Fourier
141 descriptors and use them to, first, test for otolith DA and investigate how its varies across
142 sampling locations and, second, investigate stock structure through a combination of
143 linear discriminant analysis and clustering using sequentially the shape of left otoliths
144 only, rights otoliths only and both together.

145

146 **MATERIALS AND METHODS**

147 ***Sample collection***

148 Sagittal otoliths (left and right) were extracted from a total of 560 individuals ranging from
149 13 to 26 cm total length (mean±SE: 18.38±2.63 cm), collected from 11 locations from the
150 Canary Islands to the Aegean Sea (Figure 1 and Table 1). Samples were collected
151 between 2013 and 2016 by six Institutes (Institut Français de Recherche pour
152 l'Exploitation de la Mer - IFREMER, France; University Abderrahmane Mira, Algeria;
153 APLYSIA institute, Italy; University of Tunis, Tunisia; Instituto Español de Oceanografía
154 - IEO, Spain; Hellenic Centre for Marine Research-HCMR, Greece) during the
155 international bottom trawl survey in the Mediterranean Sea (MEDITS surveys), on board

156 fishing vessels and from fish markets. The sex of the sampled individuals was
157 determined by macroscopic examination of their gonads and only mature fish were
158 included in this study to minimize the effect of sexual maturity, which may affect otolith
159 shape (Cardinale *et al.*, 2004). Moreover, to limit the effect of age on the otolith shape,
160 the age range of fish sampled was limited from two to four years. In order to estimate the
161 age of each individual, whole sagittal otoliths were examined, after cleaning, by two
162 expert age readers in order to limit interpretation errors. To increase the visibility of the
163 growth marks, otoliths were covered with clove essential oil and observed with a
164 stereomicroscope under reflected light on a dark background.

165 ***Otolith shape analysis***

166 A calibrated high-resolution image (3.200 dpi) of the proximal face of the whole left and
167 right sagittal otolith was obtained using a scanner with reflected light (Epson V750).
168 During this process, a fixed single magnification was used to ensure as high a resolution
169 as possible. Image processing was performed using the image analysis system TNPC
170 (Digital processing for calcified structures, version 7) with the *sulcus acusticus* facing up.
171 In order to compare left and right otolith shapes, mirror images of left otoliths were used.
172 The length and width of otoliths were automatically extracted as the largest distance
173 along the antero-posterior axis and the ventro-dorsal axis, respectively.
174 To describe otolith contours, Elliptic Fourier Analysis (e.g. Lestrel, 2008) was carried out
175 on each otolith contour delineated and extracted after image binarization. All Elliptic
176 Fourier Descriptors (EFD's) were obtained by using TNPC 7 software. For each otolith,
177 the first 99 elliptical Fourier harmonics (H) were extracted and normalised with respect
178 to the first harmonic and were thus invariant to otolith size, rotation and starting point of
179 contour description (Kuhl and Giardina, 1982). To determine the number of harmonics
180 required to reconstruct the otolith outline, the cumulated Fourier Power (F) was
181 calculated for each individual otolith as a measure of the precision of contour
182 reconstruction obtained with n_k harmonics (i.e., the proportion of variance in contour
183 coordinates accounted for by the n_k harmonics):

184
$$F(n_k) = \sum_{i=1}^{n_k} \frac{A_i^2 + B_i^2 + C_i^2 + D_i^2}{2}$$

185 where A_i , B_i , C_i and D_i are the coefficients of the H_i harmonic. $F(n_k)$ and n_k were
 186 calculated for each individual otolith k in order to ensure that each individual otolith in
 187 the sample was reconstructed with a precision of 99.99% (Lestrel, 2008). The maximum
 188 number of harmonics $n = \max(n_k)$ across all otoliths was then used to reconstruct each
 189 individual otolith.

190 **Statistical analyses**

191 DA in otolith shape was analysed as the effect of otolith's location side, i.e., left *versus*
 192 right inner ear (side SI , thereafter) on otolith shape. Firstly, Principal Components
 193 Analysis (PCA) was applied to the selected Elliptical Fourier Descriptors (EFD's) matrix
 194 (EFD's as columns and individual otolith as lines) of otolith contours (Rohlf and Archie,
 195 1984) and a subset of the resulting Principal Components (PC's) was selected as otolith
 196 shape descriptor according to the broken stick model (Legendre and Legendre, 1998).
 197 The matrix of selected PCs, with PCs as columns and otoliths as lines, is referred to as
 198 the shape matrix S hereafter. This procedure allowed us to decrease the number of
 199 variables used to describe otolith shape variability while ensuring that the main sources
 200 of shape variation were accounted for, as well as to avoid co-linearity between shape
 201 descriptors (Rohlf and Archie, 1984).

202 The shape matrix (S) was analyzed using the following multivariate mixed-effects model:

203
$$S \sim L + SI + LO + SI:LO + i$$

204 where otolith shape variations due to side (SI), sampling location (LO) and their
 205 interaction ($SI:LO$) are represented by fixed effects. More precisely, SI measures DA at
 206 the global scale, LO assesses shape variation across sampling locations affecting both
 207 otoliths and $SI:LO$ represents variation in DA across sampling locations. Individuals' total
 208 length L was also included as a covariate to remove some potentially confounding

209 ontogenetic effect on otolith shape. Finally, a random intercept (i) was used to account
210 for variability due to individuals (or some of their characteristics, such as total length for
211 instance) and autocorrelation between left and right otolith shape within individuals. The
212 model was fitted with a different variance for each PC of the shape matrix S . Normality
213 of the residuals and the random effects as well as homoscedasticity of the residuals were
214 assessed by visual inspection of diagnostic plots. The significance of explanatory
215 variables at 5% was tested by likelihood ratio tests between nested models while
216 respecting marginality of the effects (type 2 tests; Fox and Weisberg 2011) that are
217 supposed to follow a χ^2 distribution under the null hypothesis. To visualise differences in
218 otolith shape between right and left sides, an average otolith shape was rebuilt for each
219 side based on EFD's. Moreover, the direction and amplitude of DA at the global scale
220 and at each sampling location was extracted from the multivariate mixed-effects model
221 as the estimators of the side effect SI and of the interaction between head side and
222 sampling location $SI:LO$, respectively. To ease interpretation, it was also evaluated as
223 the average percentage of non-overlapping surface between the right and left otoliths'
224 shapes reconstructed on the basis of the EFD's at the individual level. The percentage
225 was computed relative to the total area.

226 To discriminate fish from the 11 sampled locations based on otolith shape, a Linear
227 Discriminant Analysis (LDA) with jackknifed prediction was applied to the residuals R_S of
228 a redundancy analysis (RDA) $S \sim L$ of the shape matrix S explained by individuals' total
229 length L . The use of the residual matrix R_S instead of the shape matrix S was meant to
230 avoid potential confounding effects due to otolith shape variation across sampling
231 locations related to variations in individuals' size originating from different size-selectivity
232 of the capture procedure/gear at different sampling sites (Rencher and Christensen,
233 2012). To evaluate the resulting discriminant functions, the percentage of correct
234 classification of individuals into sampling areas was calculated using jackknife cross-
235 validation (Klecka, 1980) and compared to those obtained from random distribution.

236 Moreover, the performance of the discriminant analyses was assessed using the Wilks'
237 λ . This value is the ratio between the intra-group variance and the total variance, and
238 provides an objective way of calculating the percentage of agreement between real and
239 predicted groups' membership. Wilks' λ values range from 0 to 1 and the closer to 0, the
240 better the discriminating power of the RDA. To complete the stock identification
241 procedure, a cluster analysis according to Ward's hierarchical agglomerative algorithm
242 based on squared Euclidean distances was performed on the residual shape matrix R_S
243 to group individuals with similar otolith shapes. These analyses were carried out three
244 times: on left otoliths only, on right otoliths only and on both.

245 Statistical analyses were performed using the following packages in the statistical
246 environment R (R Development Core Team, 2016): 'nlme' (Pinheiro *et al.*, 2016), 'Effects'
247 (Fox, 2003), 'Vegan' (Oksanen *et al.*, 2013), 'SP' (Bivand *et al.*, 2013), 'ggplot2'
248 (Wickham, 2016), 'RGEOS' (Bivand *et al.*, 2013), 'MASS' (Venables and Ripley, 2002)
249 and 'RRCOV' (Todorov and Filzmoser, 2009).

250

251 **RESULTS**

252 ***Directional asymmetry in otolith shape***

253 Among the 99 Fourier harmonics extracted to describe individual otolith contours, the
254 first 26 harmonics explained at least 99.99% of the variation in otolith contour of each
255 individual and were thus used for further analysis. After PCA on the EFD's, only the first
256 six PC's were kept for the shape matrix S according to the broken-stick model (which, in
257 this case, corresponded to a threshold of 2.4% of the total variance explained; Borcard
258 *et al.*, 2011). These 6 PC's explained 78% of the total variance in the EFD's.

259 The multivariate mixed-effects model on the shape matrix S showed that there was a
260 significant DA between left and right otolith shape at the global scale (Table 2, *SI* effect)
261 with a consistent bias towards the right side (Supplementary Figure S1). The amplitude
262 of DA, measured as the percentage of the non-overlapping surface between the right

263 and left otolith shapes, was on average equal to 2.77% among all sampling sites.
264 However, there was also a significant effect of the interaction between side and sampling
265 location on otolith shape (Table 2; *SI:LO* effect) indicating that the amplitude and/or the
266 direction of DA varied across sampling locations. More precisely, the amplitude of DA
267 varied between 1.25% and 4.66% depending on the sampling site (Fig. 2) and was
268 significant in only 5 of them, located along the Algerian and Italian coasts. The main
269 shape difference between left and right otoliths was located between the rostrum and
270 the antirostrum has shown by average left and right otoliths shape reconstruction at each
271 sampling location (Fig. 2). In addition to its amplitude, the direction of DA also varied
272 according to the considered location (Fig. 2). Among the sampling locations with a
273 significant DA, the right otolith presented a width/length ratio larger than the left one in
274 the Ligurian and Tyrrhenian Seas whereas it was the reverse in the Gulfs of Oran, Bejaia
275 and Annaba (Fig. 2; Supplementary Table S1).

276 ***Fine-grained geographical structuring of otolith shape***

277 The effect of sampling location on otolith shape was significant in the multivariate mixed
278 effects model (Table 2, *LO* effect) suggesting geographical variation in otolith shape that
279 could used to discriminate individuals from different geographical origins. Sampling
280 location was therefore used as an explanatory variable in the subsequent otolith shape-
281 based LDA. In contrast with the previous analyses, the procedure to produce the otolith
282 shape matrix S was performed separately for left and right otoliths. The first six PC's after
283 PCA on the first 26 harmonics EFD's were selected for the corresponding left and right
284 shape matrices S_L and S_R . After removal of the effect of individuals' total length L by a
285 RDA on S_L and S_R to obtain residual shape matrices R_{S_L} and R_{S_R} , the overall jackknifed
286 classification success of the LDA was 37% for the left otoliths and 39% for the right ones
287 (Tables 3 and 4). The analysis confirmed significant differences between sampling sites
288 for otoliths of both sides (left otoliths: Wilks' $\lambda = 0.426$; $F_{2860}^{60} = 10.13$; $p < 0.001$; right
289 otoliths: Wilks' $\lambda = 0.351$; $F_{2860}^{60} = 12.97$; $p < 0.001$). For left otoliths, the misclassified

290 individuals were distributed across all locations (Table 3) whereas, for right otoliths, they
291 were segregated between two main groups: N-W Mediterranean Sea *versus* other
292 locations (Table 4).

293 ***Clustering on otolith shape***

294 The hierarchical clustering analysis identified three clusters for left and right otoliths
295 (Table 5). No geographical coherence was identified for the three clusters of the left
296 otoliths (Table 5). However, for right otoliths, the predicted areas coincided with 1) the
297 north-western part of the Mediterranean Sea (Gulf of Lions, Corsica, Northern Ligurian
298 Sea and Southern Ligurian Sea), 2) a large continuum composed of the central-eastern
299 Atlantic Ocean (Tenerife Island) and the South-Wwestern part of Mediterranean Sea
300 (Gulfs of Oran, Bejaia, Annaba and Tunis) and 3) the eastern part of the Mediterranean
301 Sea (Ionian Sea and Aegean Sea) (Table 5). The same analyses as above were
302 repeated but using the shape of otoliths of both sides at the same time. The results
303 corroborated those obtained when using the right otoliths only. Firstly, the overall
304 jackknifed classification success was 39%, similar to the one obtained with the right
305 otoliths (Supplementary Table S2; Table 4). Secondly, the hierarchical clustering
306 analysis identified the same 3 geographically coherent clusters (Supplementary Table
307 S2; Table 5)

308 **Stock structure inferred from otolith shape**

309 The stock structure was investigated using right and left otoliths together. Combining the
310 geographical areas into two stocks, the North-Western Mediterranean Sea and the rest
311 consisting of the Atlantic Ocean and the South-Western Mediterranean Sea, 72% of
312 individuals were assigned correctly by the LDA. However, the overall classification
313 success was the same when integrating the Central and Eastern Mediterranean Sea
314 (Gulf of Tunis, Aegean and Ionian Seas) in either of the two previous stocks. The best
315 overall classification success (Wilks' $\lambda = 0.525$; $F_{1106}^{12}=40.13$; p-value<0.001; Correct
316 classification rate = 86%) was obtained with 3 stocks units consisting in:

317 - the North-Western Mediterranean Sea (from the Gulf of Lions to the Tyrrhenian Sea),
318 - the Central-Eastern Atlantic Ocean and South-Western Mediterranean Sea (from the
319 Canary Islands to Gulf of Tunis), and
320 - the Eastern Mediterranean Sea (from the Ionian Sea to Aegean Sea).
321 The otoliths from the North-Western Mediterranean Sea presented a lower width/length
322 ratio than that of the otoliths from the southern part of the Mediterranean Sea and the
323 Atlantic Ocean. The otoliths from the Eastern Mediterranean Sea were closer to those
324 from the southern part of the Mediterranean Sea than to those from the North-Western
325 Mediterranean Sea in terms of shape (Figure 3). This split into 3 stocks using both right
326 and left otoliths dataset together was also coherent when using right otoliths' shape
327 (Wilks' $\lambda = 0.627$; $F_{1106}^{12}=31.71$; p-value<0.001; Correct classification rate= 75%),
328 whereas it was not when left otoliths' shape (Wilks' $\lambda = 0.741$; $F_{1106}^{12}=30.45$; p-
329 value=0.081, Correct classification rate = 47%).

330

331 **DISCUSSION**

332 ***Effect of confounding factors on the otolith shape***

333 The otolith shape of fish from different geographical origins is affected by both abiotic
334 environmental parameters (e.g. temperature, salinity) and biotic parameters such as prey
335 availability, and is dependent on individual genotype (Campana and Casselman, 1993;
336 Cadrin and Friedland, 1999; Torres *et al.*, 2000; Cardinale *et al.*, 2004; Gagliano and
337 McCormick, 2004; Swan *et al.*, 2006; Vignon and Morat, 2010). Consequently, a
338 combination of both environmental and genetic variation generates the morphological
339 differences in otolith shape that may allow the discrimination of stock units. However, the
340 factors that influence the shape are not fully understood and have not been investigated
341 deeply yet (Burke *et al.*, 2008). A recent study showed that the ontogenetic trajectory of
342 otolith shape could be affected by the environmental disturbance during early life stage
343 (Vignon, 2018). Other studies have attributed shape differences to fish length (Smith,

344 1992; Campana and Casselman, 1993; Mérigot *et al.*, 2007), age (Bird *et al.*, 1986),
345 year-class (Castonguay *et al.*, 1991; Campana and Casselman, 1993; Bolles and Begg,
346 2000; Mapp *et al.*, 2017), sexual maturity (Campana and Casselman, 1993; Cardinale *et*
347 *al.*, 2004) and sexual dimorphism (Campana and Casselman, 1993; Bolles and Begg,
348 2000). More specifically, otolith shape has been reported to be related to fish growth and
349 thus subsequently size (e.g. Campana and Casselman, 1993). Furthermore, ontogenic
350 changes in otolith shape, also often measured as size-related variation, have been
351 shown to arise from variability in growth rates depending on habitat quality and the
352 developmental processes (Simoneau *et al.* 2000, Monteiro *et al.*, 2005). Additionally, the
353 formation of a secondary growth centre and/or the appearance of checks in response to
354 stress conditions can modify the otolith shape and the level of crenulation during the
355 early life stages (Campana and Nielson, 1985; Massou *et al.*, 2004; Hüssy, 2008;
356 Vignon, 2018). Consequently, the complexity of the otolith outline increases with the
357 ontogenetic stage of the fish. To limit this effect, sampling can be restricted to a specific
358 life-stage and/or to a narrow length range. If these factors are not taken into account,
359 results of otolith shape-based stock discrimination might be biased. This is the reason
360 why all analyses in this study were carried out on adults of two to four years old within a
361 limited size range. In addition, the effect of individuals' total length was systematically
362 accounted for.

363 **Directional bilateral asymmetry in otolith shape**

364 Our results show that DA can be observed in otolith shape in roundfishes such as bogue.
365 DA between right and left otolith shapes was previously described for other roundfish
366 species such as *Liza ramada* (Rebaya *et al.*, 2017), *Diplodus annularis* (Trojette *et al.*,
367 2015), *Diplodus puntazzo* (Bostanci *et al.*, 2016), *Clupea harengus* (Bird *et al.*, 1986)
368 and *Scomberomorus niphonius* (Zhang *et al.*, 2016). Conversely, symmetry between left
369 and right otolith shapes was observed for other fish species: *Gadus morhua* (Cardinale
370 *et al.*, 2004; Petursdottir *et al.*, 2006), *Synechogobius ommaturus* (Wang *et al.*, 2011),
371 *Coryphaena hippurus* (Duarte-Neto *et al.*, 2008), *Xiphias gladius* (Mahé *et al.*, 2016),

372 *Scomber scombrus* (Castonguay *et al.*, 1991), and *Lutjanus kasmira* (Vignon and Morat,
373 2010). Although these studies tested for DA in roundfishes (and sometimes detected),
374 they never studied it in detail.

375 DA has also been reported for flatfish species, such as *Solea solea* (Merigot *et al.*, 2007;
376 Mille *et al.*, 2015), *Limanda limanda* and *Lepidorhombus whiffiagonis* (Mille *et al.*, 2015).
377 Otolith DA is expected in flatfishes and could arise during their early life, particularly after
378 the cranial deformation and the migration of one eye to the other side caused by cell
379 proliferation in suborbital tissue during metamorphosis at the larval stage (Bao *et al.*,
380 2011). This lateralization process may induce the difference in otolith biomineralisation
381 (carbonate accretion rates) often observed between the two inner ears of flatfishes, with
382 the blind side generally growing faster in length and weight than the eyed side (Sogard,
383 1991; Fischer and Thompson, 2004; Helling *et al.*, 2005, Mille *et al.*, 2015).

384 In contrast, in bilaterally symmetrical organisms, i.e., most vertebrates including
385 roundfishes, symmetry is expected to be the rule and to be maintained by homeostasis
386 processes (Palmer, 2009). Deviations from bilateral symmetry in roundfish otolith shape
387 have been reported as potentially resulting from FA and/or DA (Lemberget and
388 McCormick, 2009; Lychakov, 2013). The origin and consequences of otolith FA on fish
389 remain largely unknown and debated (Diaz *et al.*, 2015), although it has been
390 documented in several fish species and is regularly associated with stress and/or
391 environmental heterogeneity and thus thought as an indicator of developmental
392 instability (Downhower *et al.*, 1990; Lemberget and McCormick, 2009, Green *et al.*,
393 2017). Lemberget and McCormick (2009) supported that otolith FA could be considered
394 as a sensitive indicator of fish health that directly affects the fish performance because
395 otoliths are essential to balance and hearing. More studies need to be carried out to
396 improve the understanding of otolith FA, including its sources and effects.

397 Regarding DA, which is a consistent bias towards one side, even less is known regarding
398 its potential origin in roundfishes. Although it has been observed in some previous
399 studies, it was only considered as a nuisance in otolith shape analysis and not

400 considered further. Specifically, there was no study trying to identify potential
401 geographical or phylogenetic patterns in otolith DA in roundfishes. The present study
402 shows that DA can be observed for one roundfish species in some geographical areas,
403 whereas it is not in others. In addition, the direction of DA can change according to the
404 geographical area where it is detected. Although it is difficult to say whether these results
405 could be generalized to other roundfish species, they suggest that variability in otolith DA
406 in bogue at least could be related to population geographical structuration and thus could
407 be a phenotypically plastic response to environmental drivers, such as temperature,
408 current patterns and food availability, and/or result from genetic differentiation between
409 geographical locations.

410 Whether varying DA across geographical location results from adaptive or passive
411 phenotypic plasticity and/or adaptive or neutral genetic differentiation is then an open
412 question. Otolith shape asymmetry, be it FA or DA, could generate some dysfunction of
413 the vestibular sensing (Hilbig *et al.*, 2011). Notably, otolith asymmetry affects the
414 acoustic functionality (sensitivity, temporal processing and sound localization) (Lychakov
415 and Rebane, 2005; Lychakov *et al.*, 2008) and is related to kinetotic swimming of fish
416 (aberrant movement pattern or static space sickness) (Anken *et al.*, 1998; Beier *et al.*,
417 2002; Hilbig *et al.*, 2003; Hilbig *et al.*, 2011). In contrast, no obvious advantage of otolith
418 asymmetry has been put forward in the literature, which suggests that, be it of plastic or
419 genetic origin, otolith DA in roundfishes could be non-adaptive.

420 ***Stock structure of bogue in the Mediterranean Sea and the Atlantic Ocean***

421 The stock structure revealed by shape analysis of the right otoliths was similar to that
422 obtained when using otoliths from both sides. Three different stocks were identified:
423 North-Western Mediterranean Sea (from the Gulf of Lions to the Tyrrhenian Sea),
424 Central-Eastern Atlantic Ocean and South-Western Mediterranean Sea (from the Canary
425 Islands to the Gulf of Tunis) and Eastern Mediterranean Sea (from the Ionian Sea to the
426 Aegean Sea). This stock structure is similar to that observed for other species in the
427 same areas such as sardine or cephalopods (see Jemaa *et al.*, 2015; Keller *et al.*, 2017).

428 Ider *et al.* (2017) analyzing only the data from the Algerian coasts, identified only one
429 stock for this area just as the present study conducted at much larger geographic scale,
430 which indicates consistency of otolith shape-based stock discrimination across multiple
431 geographic scales. Factors structuring bogue stocks at the level of the Mediterranean
432 region seem to be linked to environmental features, notably physical oceanographic
433 characteristics of the studied area. The Mediterranean Sea presents a complex
434 circulation pattern. In the South-Western Mediterranean Sea, the environmental
435 conditions are directly influenced by oceanographic processes (Catalan *et al.*, 2013) with
436 the entrance of the Atlantic current being the main forcing agent modulating hydrological
437 processes (Garcia-Lafuente *et al.*, 1998). This exchange of water masses creates the
438 Almeria-Oran front, with Atlantic water entering the Mediterranean Sea at the surface (up
439 to 150–200m), and generates a strong current that feeds the Algerian current. This
440 circulation pattern could explain the presence of one stock unit from the Canary Islands
441 to the Algerian coast and its isolation from one stock unit in the North-Western
442 Mediterranean Sea, the latter being also under the influence of the Northern current. The
443 Sicily Channel is a known physical barrier between the western and the eastern basins
444 of the Mediterranean Sea because of its relatively low depth and its peculiar circulation
445 pattern. Regarding the latter, it is under the mixed influence of the Atlantic Ocean current
446 in the western part and, conversely, of the Levantine Intermediate Water current (LIW),
447 which moves water masses from east to west, in the eastern part (Skiriris, 2014). This
448 would explain the separation of the eastern Mediterranean stock from the two more
449 western ones. On top of the hydrological regime, variations in environmental conditions
450 are also likely to play a major role in the observed differences in otolith shape within the
451 studied geographic areas. Water temperature is a key factor influencing primary
452 production in the Mediterranean Sea and these two factors combined are important
453 drivers of fish growth. The average annual sea surface temperature (SST from 2013 to
454 2016, sampling period, Supplementary Figure S3) exhibited a clear gradient between the
455 northwestern and the southeastern parts of the Mediterranean Sea with higher values

456 along the Levantine coast (Shaltout and Omstedt, 2014). Conversely, primary production
457 (measured as average annual chlorophyll-a concentration) decreased along a gradient
458 from the North-Western Mediterranean Sea characterized by oligotrophic waters to the
459 southeastern Mediterranean Sea identified as ultraoligotrophic waters (Supplementary
460 Figure S4; Stambler, 2014). As for the circulation pattern, the Sicily Channel stands out
461 as a transition zone in terms of environmental conditions. It is characterized by
462 intermediate temperatures (Supplementary Figure S2) and a mix of high primary
463 production along the Tunisian coasts, and more specifically in the Gulf of Gabes, and
464 low primary production in the rest of the Channel (Supplementary Figure S3), which
465 generates some variations of the food web in the area (Rumolo *et al.*, 2017). The higher
466 rate of misclassification of fish sampled in Tunisia is likely to result from the transitional
467 nature of the Sicily Channel in terms of circulation and environmental conditions.

468

469 These results highlight the importance of taking into account potential otolith DA when
470 using otolith shape analysis for fish stock identification. Our analyses suggest that there
471 are 3 bogue stocks in the study area that are geographically coherent and make sense
472 relative to the circulation pattern and environmental conditions in the Mediterranean Sea
473 when using right otoliths or otoliths of both sides together, whereas no population
474 structuration is found when using left otoliths. These results about bogue population
475 structure would need to be confirmed by further studies including genetic analyses of
476 specimens included in the otolith shape analysis and/or otolith microchemistry.

477 **SUPPLEMENTARY DATA**

478 Supplementary material is available at the ICESJMS online version of the manuscript.

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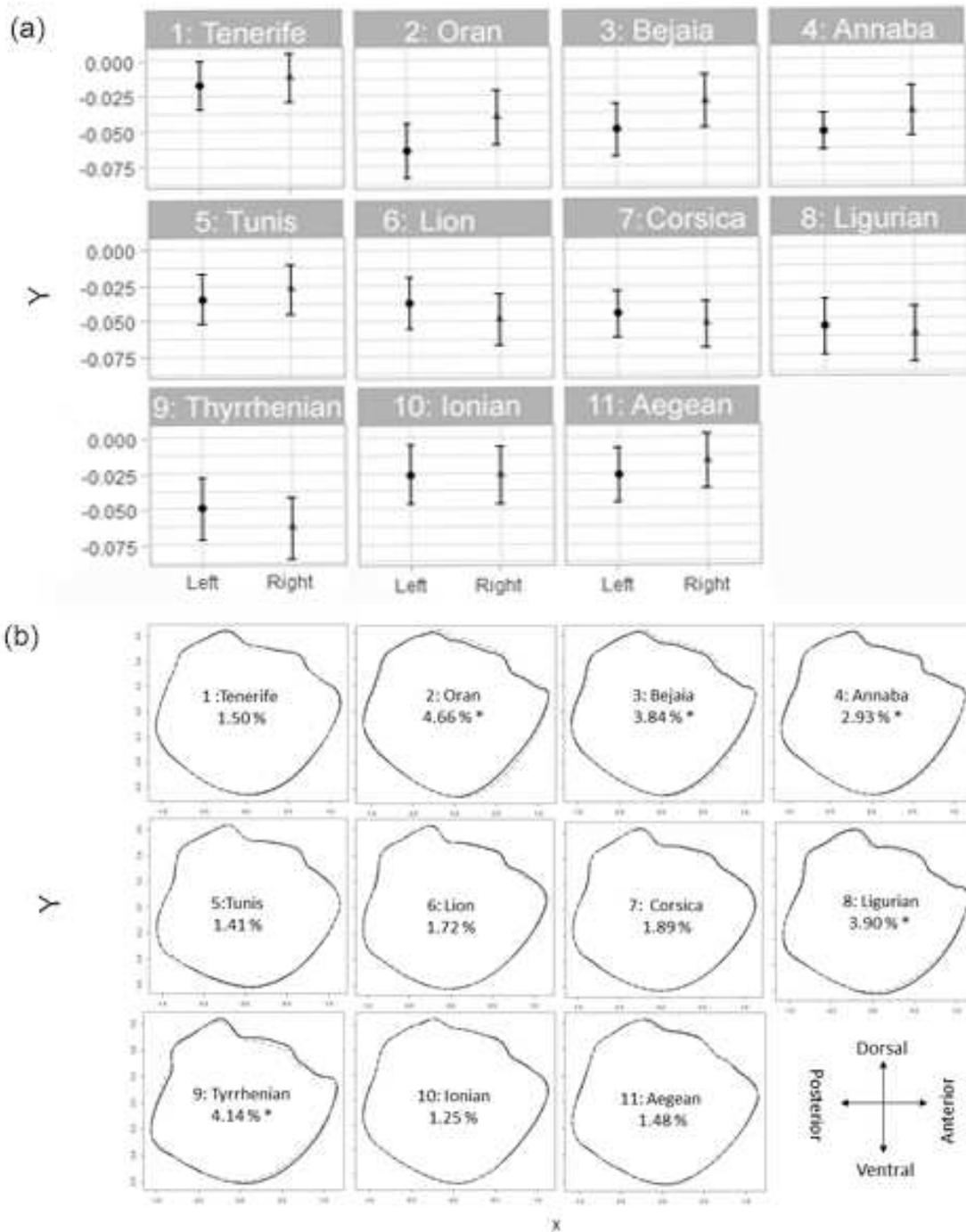
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782 Japanese Spanish mackerel *Scomberomorus niphonius* in the Bohai Sea, the Yellow
783 Sea and the East China Sea: evidence from random forests based on otolith features.
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785 Figure 1 : Map of sampling locations of bogues (1: Tenerife Island; 2: Gulf of Oran, 3:
786 Gulf of Bejaia, 4: Gulf of Annaba, 5: Gulf of Tunis, 6:Gulf of Lions , 7: Corsica Island, 8:
787 Ligurian Sea, 9: Tyrrhenian Sea, 10: Ionian Sea, 11: Aegean Sea).



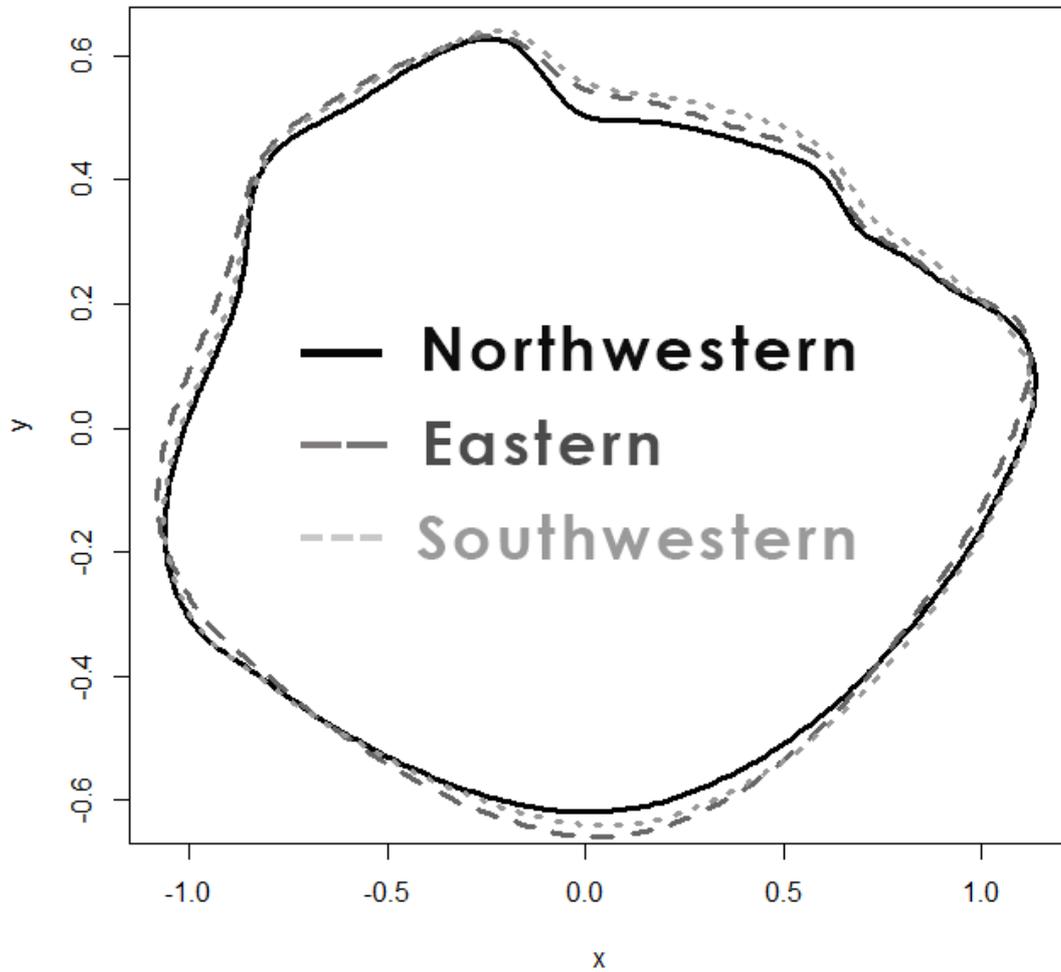
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815 Figure 2: A) Directional asymmetry between left and right otolith shape at each sampling
 816 location as estimated by the interaction between side and sampling location in the
 817 multivariate mixed-effects model. Black dots and grey triangles represent the estimator
 818 for the left and right otolith, respectively, and vertical bars are there 95% confidence
 819 intervals. Percentage given in each panel is the average percentage of non-overlapping
 820 surface between the two reconstructed otolith shapes at the individual level. B)
 821 Difference between right (black line) and left (grey dotted line) otolith shape for each
 822 geographical location as shown by average shape reconstruction for each sampling
 823 location based on EFD's. Percentages are the average percentages of non-overlapping
 824 surface between the two reconstructed otolith shapes at the individual level (*<0.05).



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828 Figure 3: Difference among average reconstructed otolith shapes between the 3
829 identified stock units: Northwestern Mediterranean Sea (solid line; from the Gulf of Lions
830 to the Tyrrhenian Sea), Eastern Mediterranean Sea (dashed line; from the Ionian Sea to
831 the Aegean Sea) and Southwestern Mediterranean Sea and Atlantic Ocean (dotted line;
832 from the Canary Islands to the Gulf of Tunis).



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849 Table 1: Sampling scheme. The number of sampled individuals (Number), their total
 850 length (mean and standard deviation) and the sampling years are given by geographical
 851 area and year.

Geographical area	Number	Total length (cm) mean±se	Year sampling
Canary Islands	67	19.00±0.93	2016
1: Tenerife Island	67	19.00±0.93	2016
Algeria	179	16.25±3.25	2013/2014/ 2015/2016
2: Gulf of Oran	47	15.50±0.71	2015
3: Gulf of Bejaia	92	15.06±1.70	2013/2014
4: Gulf of Annaba	40	19.12±4.52	2016
Tunisia	48	18.50±1.02	2016
5: Gulf of Tunis	48	18.50±1.02	2016
France	95	19.89±3.75	2016
6: Corsica Island	41	18.50±1.91	2016
7: Gulf of Lions	54	21.00±4.69	2016
Italy	109	18.91±1.91	2015
8: Ligurian Sea	50	19.00±1.74	2015
9: Tyrrhenian Sea	59	18.82±2.07	2015
Greece	62	19.23±2.65	2014
10: Ionian Sea	35	16.80±2.86	2014
11: Aegean Sea	27	20.75±0.71	2014

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854 Table 2: Results of the multivariate mixed-effects model on the shape matrix *S*. For each
 855 explanatory variable, the χ^2 statistic, the associated degrees of freedom (df) and the
 856 resulting p-value (P) for a type II test are given. *<0.05; **<0.01, ***<0.001.

Variable	χ^2	df	P
TL	422.599	7	<0.001
SI	34.580	7	<0.001
LO	96.822	7	<0.001
SI:LO	121.923	7	<0.001

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865 Table 3 : Jackknifed correct classification matrix of the Linear Discriminant Analysis for
 866 left otoliths ($n=560$) between the 11 sampling areas based on the residual shape matrix
 867 R_{SL} . The number in each cell represents the number of individuals of the area of origin
 868 corresponding to the cell row classified into the predicted area corresponding to the cell
 869 column.

		Predicted Area											%correct
		1: Tenerife	2: Oran	3: Bejaia	4: Annaba	5: Tunis	6: Lions	7: Corsica	8: Ligurian	9: Tyrrhenian	10: Ionian	11: Aegean	
Actual area	1: Tenerife	18	4	24	4	8	3	0	1	0	0	5	27%
	2: Oran	0	16	29	1	0	0	0	1	0	0	0	34%
	3: Bejaia	10	8	61	1	2	5	0	4	0	0	1	66%
	4: Annaba	0	5	10	12	3	2	0	8	0	0	0	30%
	5: Tunis	9	0	8	2	22	4	1	1	0	0	1	46%
	6: Lions	3	2	10	2	6	17	4	10	0	0	0	31%
	7: Corsica	1	0	4	3	3	9	12	8	1	0	0	29%
	8: Ligurian	0	0	12	3	3	4	2	22	4	0	0	44%
	9: Tyrrhenian	6	0	12	11	1	5	2	10	12	0	0	20%
	10: Ionian	3	4	4	0	2	1	1	1	0	6	13	17%
	11: Aegean	3	1	3	1	3	0	0	4	0	5	7	26%
Total		53	40	177	40	53	50	22	70	17	11	27	37%

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872 Table 4 : Jackknifed correct classification matrix of the Linear Discriminant Analysis for
 873 right otoliths ($n=560$) between the 11 sampling areas based on the residual shape matrix
 874 R_{SR} . The number in each cell represents the number of individuals of the area of origin
 875 corresponding to the cell row classified into the predicted area corresponding to the cell
 876 column.

		Predicted Area											%correct
		1: Tenerife	2: Oran	3: Bejaia	4: Annaba	5: Tunis	6: Lions	7: Corsica	8: Ligurian	9: Tyrrhenian	10: Ionian	11: Aegean	
Actual area	1: Tenerife	31	3	12	2	8	2	6	1	0	1	1	46%
	2: Oran	4	22	20	1	0	0	0	0	0	0	0	47%
	3: Bejaia	7	9	65	1	0	5	1	1	1	2	0	71%
	4: Annaba	2	1	21	6	0	8	0	2	0	0	0	15%
	5: Tunis	6	0	1	1	27	4	3	1	3	0	2	56%
	6: Lions	7	1	2	0	5	25	8	3	2	0	1	46%
	7: Corsica	8	0	1	1	2	12	4	9	3	0	1	10%
	8: Ligurian	1	1	5	1	5	10	8	8	9	1	1	16%
	9: Tyrrhenian	0	0	1	0	2	17	14	11	13	1	0	22%
	10: Ionian	2	1	2	0	3	0	1	2	1	12	11	34%
	11: Aegean	2	0	3	1	4	0	3	3	0	7	4	15%
Total		70	38	133	14	56	83	48	41	32	24	21	39%

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895 Table 5 : Classification matrix resulting from hierarchical clustering on the residual shape
 896 matrix for left otoliths R_{S_L} and right otoliths R_{S_R} ($n = 560$) between the 11 sampling areas.
 897 For each area, the cluster gathering the highest number of individuals is highlighted by
 898 a shaded cell.

Area	Left otolith			Right otolith			Total
	Cluster 1	Cluster 2	Cluster 3	Cluster 1	Cluster 2	Cluster 3	
1: Tenerife Island	53	14		60	4	3	67
2: Gulf of Oran	36	11		38	1	8	47
3: Gulf of Bejaia	74	18		81	5	6	92
4: Gulf of Annaba	18	22		25	10	5	40
5: Gulf of Tunis	12	36		27	20	1	48
6: Gulf of Lions	26	26	2	25	27	2	54
7: Corsica Island	19	19	3	11	29	1	41
8: Ligurian Sea	35	23	2	28	29	3	60
9: Tyrrhenian Sea	15	32	2	20	28	1	49
10: Ionian Sea	29	6		32	3		35
11: Aegean Sea	17	10		18	9		27
Total	334	217	9	365	165	30	560

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