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## Hemispherically asymmetric trade wind changes as signatures of past ITCZ shifts

McGee David <sup>1,\*</sup>, Moreno-Chamarro Eduardo <sup>1</sup>, Green Brian <sup>1</sup>, Marshall John <sup>1</sup>, Galbraith Eric <sup>2,3,4</sup>,  
Bradtmiiller Louisa <sup>5</sup>

<sup>1</sup> MIT, Dept Earth Atmospher & Planetary Sci, Cambridge, MA 02139 USA.

<sup>2</sup> Univ Autonoma Barcelona, Inst Ciencia & Tecnol Ambientals ICTA, E-08193 Barcelona, Spain.

<sup>3</sup> Univ Autonoma Barcelona, Dept Math, E-08193 Barcelona, Spain.

<sup>4</sup> ICREA, Pg Lluís Companys 23, Barcelona 08010, Spain.

<sup>5</sup> Macalester Coll, Dept Environm Studies, St Paul, MN 55105 USA.

\* Corresponding author : David McGee, email address : [davidmcg@mit.edu](mailto:davidmcg@mit.edu)

[chamarro@mit.edu](mailto:chamarro@mit.edu) ; [brianmg@mit.edu](mailto:brianmg@mit.edu) ; [jmarsh@mit.edu](mailto:jmarsh@mit.edu) ; [eric.d.galbraith@gmail.com](mailto:eric.d.galbraith@gmail.com) ;  
[lbradtmi@macalester.edu](mailto:lbradtmi@macalester.edu)

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### Abstract :

The atmospheric Hadley cells, which meet at the Intertropical Convergence Zone (ITCZ), play critical roles in transporting heat, driving ocean circulation and supplying precipitation to the most heavily populated regions of the globe. Paleo-reconstructions can provide concrete evidence of how these major features of the atmospheric circulation can change in response to climate perturbations. While most such reconstructions have focused on ITCZ-related rainfall, here we show that trade wind proxies can document dynamical aspects of meridional ITCZ shifts. Theoretical expectations based on angular momentum constraints and results from freshwater hosing simulations with two different climate models predict that ITCZ shifts due to anomalous cooling of one hemisphere would be accompanied by a strengthening of the Hadley cell and trade winds in the colder hemisphere, with an opposite response in the warmer hemisphere. This expectation of hemispherically asymmetric trade wind changes is confirmed by proxy data of coastal upwelling and windblown dust from the Atlantic basin during Heinrich stadials, showing trade wind strengthening in the Northern Hemisphere and weakening in the Southern Hemisphere subtropics in concert with southward ITCZ shifts. Data from other basins show broadly similar patterns, though improved constraints on past trade wind changes are needed outside the Atlantic Basin. The asymmetric trade wind changes identified here suggest that ITCZ shifts are also marked by intensification of the ocean's wind-driven subtropical cells in the cooler hemisphere and a weakening in the warmer hemisphere, which induces cross-equatorial oceanic heat transport into the colder hemisphere. This response would be expected to prevent extreme meridional ITCZ shifts in response to asymmetric heating or cooling. Understanding trade wind changes and their coupling to cross-equatorial ocean cells is key to better constraining ITCZ shifts and ocean and atmosphere dynamical changes in the past, especially for regions and time periods for which few paleodata exist, and also improves our understanding of what changes may occur in the future.

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## Highlights

► In ITCZ shifts, trade winds strengthen in cooler hemisphere, weaken in warmer. ► Asymmetric trade wind responses during ITCZ shifts predicted by theory and models. ► Proxy data show stronger NH trades, weaker SH trades during Heinrich stadials. ► Trade wind changes drive heat transport into the NH by ocean subtropical cells. ► Trade wind proxies trace dynamics of Hadley cell and ocean response during stadials.

**Keywords** : ITCZ, Hadley circulation, Tropics, Heinrich stadials, Quaternary, Climate dynamics, Global

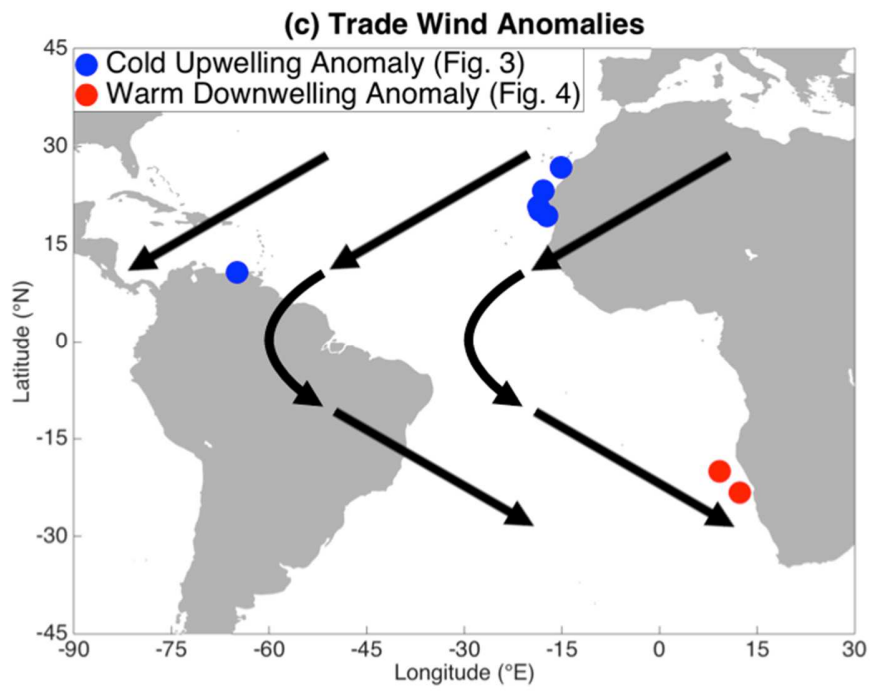
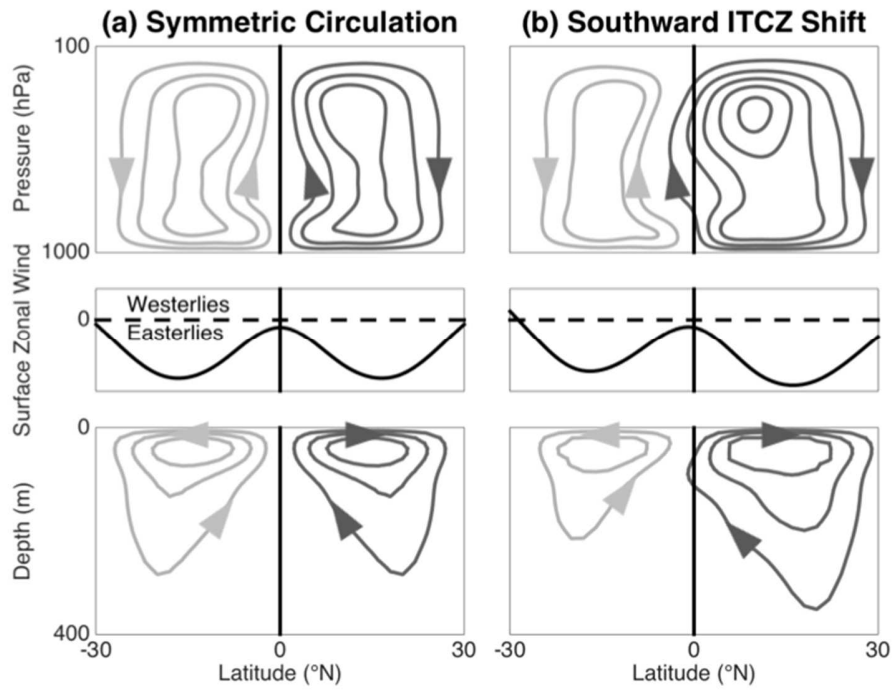
## 1. Introduction

The paleoclimate record contains abundant evidence of past perturbations of the balance of surface temperatures between the two hemispheres, and as such it provides unique insights into the climate system's response to hemispherically asymmetric heating (Broecker and Putnam, 45 2013; Harrison et al., 1983; Schneider et al., 2014). The clearest examples of such perturbations are millennial-scale coolings of the Northern Hemisphere (stadials) that occurred during the last glacial period (Dansgaard et al., 1982; Alley et al., 1993; Bond et al., 1993; Taylor et al., 1993; Blunier et al., 1998; Blunier and Brook, 2001; EPICA Community Members, 2006; Clement and Peterson, 2008). During these events, expansions of sea ice in the North Atlantic and reduction 50 of cross-equatorial ocean heat transport associated with the Atlantic Meridional Overturning Circulation (AMOC) are thought to have cooled the Northern Hemisphere (NH) (Boyle and Keigwin, 1987; Clement and Peterson, 2008; Henry et al., 2016; Li et al., 2005) and warmed the Southern Hemisphere (SH) (Barker et al., 2009; Blunier et al., 1998; Broecker, 1998; Buizert et al., 2015). The most prolonged of these stadials were also marked by iceberg discharge into the 55 North Atlantic and are known as Heinrich stadials (Hemming, 2004). Stadials have been connected with shifts in the tropical rain belt associated with the Intertropical Convergence Zone (ITCZ) and changes in monsoon strength. A broad range of evidence indicates a southward shift of the ITCZ, a weakening of NH monsoons, and a strengthening of at least the South American

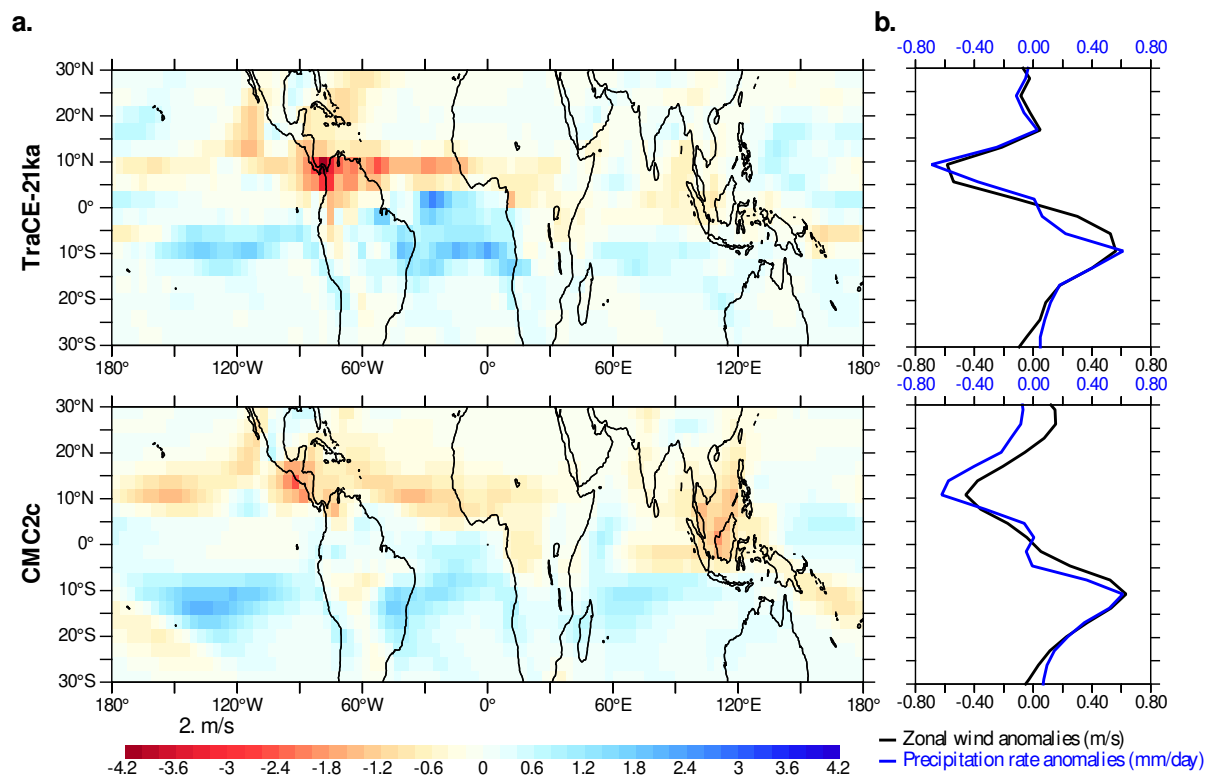
summer monsoon in the SH in response to NH cooling (Arbuszewski et al., 2013; Baker, 2001; 60 Collins et al., 2013; McGee et al., 2014; Peterson, 2000; Placzek et al., 2006; Stager et al., 2011; Wang et al., 2007, 2004; Wang, 2001).

A variety of modeling experiments have found a qualitatively similar southward shift of the ITCZ in response to NH cooling. In these studies, ITCZ shifts are accompanied by a pronounced intensification of the annual-mean Hadley cell in the NH and a weakening in the SH, 65 with each hemisphere's trade winds – the surface expression of the Hadley circulation – changing in kind (Figures 1 and 2) (Broccoli et al., 2006; Chiang, 2003; Chiang and Bitz, 2005; Dahl et al., 2005; Timmermann et al., 2005; Vellinga and Wood, 2002; Zhang and Delworth, 2005). As we review below, this asymmetric Hadley cell and trade wind response is a fundamental expectation of the atmosphere's response to asymmetric cooling of one hemisphere 70 and a shift of the ITCZ toward the warmer hemisphere.

Trade wind changes are central to the dynamics of stadials and other perturbations of interhemispheric temperature differences. By regulating upwelling along eastern boundaries, trade winds determine surface productivity and air-sea heat and carbon dioxide fluxes over large areas of the world's oceans. Trade winds also have a pronounced influence on the ocean 75 circulation, helping drive the circulation of the subtropical gyres and subtropical cells. Attempts to model ocean circulation in the Atlantic during the Last Glacial Maximum (LGM) have demonstrated that even small changes in surface trade winds can alter ocean circulation and improve data-model agreement (Amrhein et al., 2015; Dail and Wunsch, 2014); as such, accurate characterization of trade wind responses during stadials may be essential to modeling of the 80 ocean's response to these events. Trade winds modulate evaporative fluxes from subtropical oceans, leading to sea surface temperature (SST) cooling in the hemisphere in which trade winds



**Figure 1. A-B,** Idealized zonally averaged Hadley circulation (*top*; with dark grey/light grey describing a clockwise/anticlockwise circulation), surface zonal winds (*center*) between 30°N and 30°S, and zonally averaged subtropical cells (*bottom*, with colors the same as in the top panel) in **(A)** a hemispherically symmetric scenario and **(B)** an asymmetric scenario of a southward ITCZ shift. **C.** Anomalies in surface winds (*arrows*) in response to a southward ITCZ shift. Expected changes in SST and upwelling in response to Heinrich events are shown at sites in the Atlantic Ocean discussed in the text. Panels A and B adapted from Green and Marshall (2017).



95 **Figure 2. A.** Anomalies in annual-mean precipitation rate (in mm/day; *blue-red shading*) and near-surface (10 m) wind (in m/s; *arrows*) between Heinrich Stadial 1 and the LGM (averaged over the periods 16.5-15.5 ka and 21-20 ka respectively) in the TraCE-21ka simulation (*top*) and between the hosing and their respective control experiments with the CM2Mc model (*bottom*). For the latter case the average of the anomalies of the four experiments is shown. **B.** Anomalies, 100 calculated as in **A**, of the zonally averaged, annual-mean precipitation rate (in mm/day; *blue line*) and near-surface zonal wind (in m/s; *black line*) in the TraCE-21ka simulation (*top*) and on average for the CM2Mc experiments (*bottom*).

intensify; this wind-evaporation-SST feedback may play a central role in communicating high-  
105 latitude cooling to the low latitudes (Chiang and Bitz, 2005), and may warm tropical SSTs in the  
opposite hemisphere, where trade winds are expected to weaken. Perhaps most importantly for  
the dynamics of abrupt climate change, trade wind-driven changes in the ocean's subtropical  
cells may increase ocean heat transport into the cooler hemisphere, limiting the magnitude of  
ITCZ shifts (Green and Marshall, 2017; Yang et al., 2017, 2013).

110 It is thus clear that trade winds are more than passive tracers of atmospheric circulation  
changes during NH cooling events; rather, they actively modulate the atmosphere-ocean  
system's response to asymmetric warming/cooling. Reconstructions of trade wind changes  
during NH cooling events thus have the potential to provide unique insights into the dynamics of  
the atmosphere and ocean's response to asymmetric warming or cooling. Proxy-based constraints  
115 on trade wind changes are particularly valuable in light of models' long-standing biases in  
simulating the ITCZ (Oueslati and Bellon, 2015), which raise questions about the accuracy of  
simulated responses to forcing.

Despite this potential, few studies have focused on reconstructing trade wind responses to  
changes in the balance of heating between the hemispheres. Most observational studies of the  
120 tropical atmosphere's response to NH cooling events have employed precipitation proxies  
(Arbuszewski et al., 2013; Baker, 2001; Collins et al., 2013; Peterson, 2000; Sachs et al., 2009;  
Schneider et al., 2014; Wang et al., 2004) and have focused on the behavior of the tropical rain  
belt and monsoons, leaving changes in the broader Hadley circulation relatively unexplored.  
Previous investigations of trade winds in Late Pleistocene climates have primarily focused on  
125 single regions and on glacial-interglacial changes. Studies in the eastern subtropical Atlantic  
(Sarnthein et al., 1981), the southeastern Atlantic Ocean (Little et al., 1997; Stuut et al., 2002),



the southeastern Indian Ocean (Stuut et al., 2014), and the southeastern Pacific Ocean (Saukel, 2011) have concluded that trade winds in each of these regions were more intense during the last glacial period than today, with little indication of hemispheric asymmetry. A study of past changes in thermocline depth in the western tropical Pacific, which reflects the surface wind field, found evidence for an anomalous thermocline ridge centered at  $\sim 6\text{-}7^{\circ}\text{S}$  during the LGM, consistent either with a southward shift of the ITCZ or a more zonal orientation of the South Pacific Convergence Zone (Leech et al., 2013).

Here we present a compilation of trade wind proxies during Heinrich stadials, the longest and largest-amplitude NH cooling events of the last glacial period and deglaciation, and explore the implications of trade wind changes for the dynamics of these events. This compilation offers an important complement to existing precipitation-based reconstructions, as precipitation proxies are not available everywhere and are particularly sparse over oceans, which underlie the majority of the tropical rain belt. Further, interpretation of precipitation proxies is not always straightforward; each proxy is sensitive to multiple variables, including precipitation amount, evapotranspiration, water vapor source, and convective processes, and the relationship between these variables and the broader Hadley circulation can sometimes be unclear. Trade wind-sensitive proxy data provide additional leverage in testing interpretations of past atmospheric changes, as they directly reflect the tropical atmospheric circulation.

We begin, in Section 2, with a description of the dynamics and observations of the trade winds and their response to Northern Hemisphere cooling in models. In Section 3, we summarize and evaluate proxies for trade wind intensity. In Section 4, we review evidence for trade wind changes associated with Heinrich stadials. We discuss the implications of these results in Section 5, including the role trade winds play in damping the ITCZ response to interhemispheric

150 temperature differences, and close with a summary of key findings and a discussion of directions  
for future research in Section 6.

## **2. Dynamics of wind responses to ITCZ shifts**

### **2.1. Dynamics of the Hadley circulation and trade wind changes accompanying ITCZ shifts**

155 Before describing the paleoclimate evidence, we introduce the physical mechanisms  
underlying the response of the tropical atmospheric circulation and trade winds to an  
interhemispheric heating contrast, as reconstructed during stadials. We start by considering an  
idealized state without any heating contrast between the Northern and Southern hemispheres, and  
without cross-equatorial energy transport. In this idealized configuration, the zonally averaged  
160 tropical atmospheric circulation is symmetric about the Equator in the annual mean; the Hadley  
cells and the surface zonal winds are of equal strength in both hemispheres (Fig. 1A). The  
ascending branch of the Hadley circulation and the ITCZ are therefore situated at the Equator.

We impose on this symmetric state an interhemispheric heating contrast, with cooling  
and warming in the NH and SH extratropics respectively. Such an imbalance is meant to mimic  
165 the anomalous heating contrast associated with a shutdown of the AMOC's northward oceanic  
heat transport during a Heinrich stadial (e.g., Zhang and Delworth, 2005). Assuming that this  
north-south heating contrast is not fully compensated by radiation to space or storage in the  
ocean, the atmosphere responds by transporting energy across the Equator to maintain its energy  
balance (e.g., Kang et al., 2008). The Hadley cells transport energy in the direction of the air  
170 flow within their upper branches, as there is greater moist static energy (the sum of sensible and  
latent heat and gravitational potential energy) in their upper branches than in their lower  
branches (Neelin and Held, 1987). As a result, a net northward cross-equatorial energy transport  
will require an anomalous northward upper-branch flow across the Equator. This is achieved by

shifting the Hadley cells and hence the ITCZ southward, as shown in Fig. 1B. Note that in Fig.  
175 1B we also indicate that, along with the southward shift of the system, the NH Hadley cell and  
associated trade winds intensify, while the SH Hadley cell and trade winds weaken.

Hemispherically asymmetric changes in the trade winds can be understood to be a  
consequence of the (quasi) conservation of angular momentum. Imagine a ring of air encircling  
the globe near the Earth's surface along a latitude circle. The rotation of the Earth moves this  
180 ring in an eastward direction, termed a 'westerly' velocity. Poleward displacement of that ring  
reduces its distance to Earth's axis of rotation, reducing its rotational inertia; if it is to conserve  
its angular momentum, it must acquire greater westerly velocity. Applying this concept to a  
southward shift of the ITCZ and associated trade winds, a near-surface ring of air in the SH that  
shifts poleward away from the Equator will contract toward Earth's axis of rotation and acquire a  
185 westerly velocity. Conversely, a southward-shifted ring in the NH expands away from the axis of  
rotation and acquires easterly velocity. We therefore expect the trade winds to intensify in the  
NH and weaken in the SH, as sketched in Figure 1B. However, the rings' angular momentum is  
not perfectly conserved, due to surface friction. The resulting ageostrophic surface wind anomaly  
is to the left (right) of the zonal wind anomaly in the NH (SH). This results in a southward  
190 (northward) surface trade wind anomaly that strengthens (weakens) the Hadley cell in the NH  
(SH), as can be seen comparing Figure 1B to 1A.

A horseshoe pattern of trade wind anomalies emerges when their zonal (i.e., east-west)  
and meridional (north-south) components are combined, as shown schematically for a southward  
ITCZ shift in the tropical Atlantic in Figure 1C. These drive anomalous Ekman transports in the  
195 surface ocean at right angles to the wind – to the right in the NH and to the left in the SH –  
which, when combined with the orientation of the coasts, result in enhanced offshore transport at

sites in the NH (blue dots) and reduced offshore transport at sites in the SH (red dots). Enhanced offshore transport at NH sites upwells more cool water from depth and SSTs there are reduced, while reduced offshore transport and upwelling leading to increased SSTs at sites in the SH.

200 Nutrient transport to the photic zone similarly increases in NH upwelling zones and decreases in SH upwelling zones, driving changes in productivity. Strengthened trade winds are also expected to increase windblown mineral dust emissions from subtropical dust sources in the NH, while dust emissions and dust grain size may decrease in the SH subtropics. The physics linking changes in the trade winds, coastal upwelling, SSTs and mineral dust fluxes to ITCZ shifts

205 motivates the study of multiple climate variables when investigating past ITCZ shifts, instead of focusing on changes in precipitation alone.

## **2.2. Simulated changes in trade winds during ITCZ southward shifts**

Modeling studies of anomalous NH extratropical cooling consistently show southward

210 ITCZ shifts and accompanying changes in the trade winds. Climate models forced with cooling in the North Atlantic – by imposing a surface freshwater flux there that shuts down the AMOC’s northward oceanic heat transport, for example – show an anomalous atmospheric energy transport northward across the Equator and a southward ITCZ shift (see the review by Chiang and Friedman, 2012). Intensified NH trade winds and abated SH trade winds, accompanied by

215 anomalous northerlies at the Equator (similar to Fig. 1C), are most clearly seen in the tropical Atlantic Ocean in these simulations (Chiang, 2003; Timmermann et al., 2005; Vellinga and Wood, 2002). Although the same pattern of wind anomalies is not necessarily seen in the other ocean basins (Timmermann et al., 2005; Vellinga and Wood, 2002), zonally averaged wind anomalies clearly reflect those in the tropical Atlantic.

220 We complement these previous modeling studies by exploring results from two different  
climate model simulations of Heinrich stadials. Both simulations are made with full-complexity  
general circulation models, run at roughly 3-degree resolution for the ocean and atmosphere. The  
first is the TraCE-21ka simulation, a transient climate simulation of the last 21,000 years from  
the LGM to the present (Liu et al., 2009). It uses the coupled atmosphere–ocean general  
225 circulation model CCSM3, and it is forced with changes in the Earth’s orbit, atmospheric CO<sub>2</sub>  
concentration, ice sheets, sea level, and, during events like Heinrich Stadial 1 or the Younger  
Dryas, geologically-informed surface freshwater fluxes via river drainages into the North  
Atlantic. The second model ‘simulation’ is actually the average of four different sets of  
freshwater hosing experiments performed with the coupled Earth system model CM2Mc, in  
230 which the atmospheric CO<sub>2</sub> concentration is set to 180 ppm, and the land-based ice sheet  
topography and land cover type of the Paleoclimate Model Intercomparison Project 3 (PMIP3)  
LGM state are prescribed (Brown and Galbraith, 2016). The high-latitude land-sea mask and  
ocean bathymetry were also adjusted to close the Bering Strait and to eliminate ocean grid cells  
beneath ice sheets, but no attempt was made to represent other changes in sea level, given that  
235 such changes might introduce unrealistic features considering the coarse resolution of the model.  
The CM2Mc experiments are run in four different orbital configurations, reflecting opposite  
phases of precession and the maximum and minimum values of obliquity during the Pleistocene.  
The orbit’s eccentricity is held constant at 0.03. Each orbital configuration includes a control and  
a hosed simulation, in which a 0.2 Sv freshwater input is applied uniformly over a rectangular  
240 area in the open North Atlantic Ocean for 1000 years. The mean state for each simulation is  
obtained by averaging the final 100-year-long period of the 1000-year hosing interval. In all  
cases we show the average of the four orbital configurations as a general response to hosing.

We focus on changes in the climate between Heinrich Stadial 1 and the LGM in the TraCE-21ka simulation, and between the hosing experiments and their respective control runs in the CM2Mc model (Fig. 2). These two cases represent a similar scenario in which a shutdown of the AMOC's northward heat transport induces an anomalous NH cooling. In response to this cooling, and in agreement with the idealized case discussed in Section 2.1, both models exhibit a southward ITCZ shift that reduces tropical rainfall in the NH and increases tropical rainfall in the SH. In the TraCE-21ka simulation, changes in the precipitation rate are most pronounced in the tropical Atlantic, whereas in the CM2Mc experiments the changes are of similar magnitude across most of the tropical region. Both models thus exhibit a global reorganization of tropical precipitation, even though the forcing is confined to the Atlantic Ocean. The change in the zonally averaged precipitation rate is strikingly consistent between the models, and reflects a southward migration in the ITCZ's centroid position (defined following Donohoe et al., 2013) of about  $1.2^\circ$  in the TraCE-21ka simulation, and of about  $1.8^\circ$  on average for the CM2Mc experiments. Given that these are different models, integrated under significantly different forcings, this agreement is remarkable. As shown by Brown and Galbraith (2016), the response of precipitation in CM2Mc to hosing is also quite similar under an interglacial background state, and is even remarkably similar for unforced internal AMOC oscillations. Drier and wetter conditions develop in the NH and SH tropics respectively, with maximum changes in the zonal mean precipitation rate around  $10^\circ\text{N}$  and  $10^\circ\text{S}$  in both models.

Changes in the trade winds are also robust across the simulations, with an overall intensification in the NH and a weakening in the SH (Fig. 2). Regionally, trade wind anomalies in both models include weakening on the eastern side of all ocean basins in the SH and an intensification in the northern tropical Pacific. In the tropical North Atlantic, by contrast, changes

in the trades differ slightly between the two models: whereas the CM2Mc experiments show a rather continuous belt of intensified coastal trades along the western African coast, the TraCE-21ka simulation exhibits a more complex pattern, with anomalous easterlies and westerlies converging over the eastern tropical North Atlantic and leading to anomalous southerlies along the African coast north of 15°N. Despite these regional differences, a hemispherically asymmetric response of the trade winds associated with a southward ITCZ shift appears as a robust feature in both models (right panels of Fig. 2), and is consistent with the mechanisms described in Section 2.1.

### 2.3 Response of low-latitude ocean circulation to trade wind changes

The low-latitude ocean circulation is primarily driven by the trade winds. Zonal wind stress from the trade winds drives poleward Ekman transport of shallow tropical waters in both hemispheres; these water masses converge and subduct in the subtropics at approximately 30° latitude. Subducted water flows equatorward in the thermocline at ~300-400 m depth, upwelling at the equator to close the meridionally-overturning subtropical cells (STCs) (Figure 1A, bottom panel) (McCreary and Lu, 1994). Water in the upper limb of the STCs loses heat to the atmosphere as it moves poleward and sinks, leading to a strong temperature gradient between the upper and lower limbs of the STCs. As a result, the STCs are the ocean's primary means of transporting heat from the tropics to the subtropics and closely mirror the atmosphere's Hadley cells (Held, 2001; Klinger and Marotzke, 2000; Trenberth and Caron, 2001).

The direct relationships between the Hadley cells, trade winds, and STC strength in each hemisphere imply that hemispherically asymmetric trade wind changes accompanying Hadley cell shifts will drive similarly asymmetric changes in STC strength. As shown by Green and

Marshall (2017), this response results in cross-equatorial flow of warm surface waters into the  
290 cooler hemisphere due to relative strengthening of the cooler hemisphere's trade winds and  
STCs. The anomalous cross-equatorial STC circulation thus transports heat into the cooler  
hemisphere, partially offsetting the inter-hemispheric heating contrast and damping the  
atmosphere's response. We explore the implications of this point for ITCZ shifts in Section 5.

### 295 **3. Trade wind proxies**

Changes in past trade wind intensity are most often reconstructed using marine sediment  
records of upwelling and windblown dust. Dust fluxes respond sensitively to changes in surface  
wind speed, as dust emissions increase at a factor of roughly the cube of the wind speed once a  
threshold speed is reached (Gillette, 1974; McGee et al., 2010). Dust grain size (either the modal  
300 size or the proportion of grains greater than a given size) is expected to increase with increased  
wind speed (Rea, 1994; Stuut et al., 2002). Sand dune activity is also strongly influenced by  
wind speed (Roskin et al., 2011; Tsoar, 2005), so reconstructions of dune field activity in trade  
wind regions can also provide insight into past wind intensity.

However, dust fluxes, grain size and dune activity are each subject to additional controls  
305 beyond mean wind speed. Dust flux and dune activity can vary with source aridity, and both flux  
and grain size are expected to increase if the distance to the source decreases, for example due to  
exposure of continental shelves in response to falling sea level. The grain size of dust deposited  
at downwind sites can also change due to changes in dust source area properties (such as the  
proportion of coarse grains) (Grini and Zender, 2004), changes in the balance of dry deposition  
310 (settling) vs. deposition by precipitation scavenging, and changes in transporting winds rather  
than source area winds. In addition, dust-related proxies reflect dust storms and thus tell us about



a long-term mean of high-wind-speed events rather than directly reflecting mean trade wind speed. Nevertheless, it is likely that strong wind events increase with mean trade wind speed, based on the common observation that wind speed variance (and thus the occurrence of strong winds) increases with increasing mean wind speed (Grini and Zender, 2004; Wang et al., 2015).

Coastal upwelling also responds sensitively to changes in surface trade winds when the relative orientation of winds and coastlines causes Ekman transport to move surface waters offshore. In this case, which most commonly occurs along eastern boundaries of subtropical oceans, strengthening of trade winds leads to cooler SSTs and an increased supply of nutrients to the photic zone. These nutrients increase primary productivity and alter species distributions in surface waters, and respiration of sinking organic matter causes dissolved oxygen levels to drop in intermediate-depth waters. As a result, records of the accumulation rate of biogenic sediments (e.g., organic carbon, biogenic opal), species distributions, SSTs and the oxygenation of intermediate-depth bottom waters in upwelling zones have been interpreted to reflect past changes in upwelling intensity (that is, vertical velocities in upwelling zones).

However, each of these indicators is controlled by additional factors beyond trade wind speed. Changes in biogenic sediment flux may also reflect changes in the nutrient content of upwelled water (Takesue et al., 2004) or in preservation efficiency in sediments rather than upwelling intensity. Changes in SST may result from changes in the temperature of upwelled waters or surface-advected waters without changes in upwelling intensity. Species distributions may also change due to changes in the temperature and/or nutrient content of upwelled waters. Subsurface oxygenation can change due to changes in the oxygen content of waters entering the upwelling zone rather than oxygen demand (Schmittner et al., 2007). Upwelling at a given site may also respond to changes in wind stress curl (the spatial gradient of wind speed) in addition

335 to changes in wind speed. The importance of the spatial distribution of the wind stress curl has particularly been noted in the Arabian Sea (Le Mézo et al., 2017), as changes in the position of the wind speed maximum can lead to opposite nearshore and offshore responses.

The multiple controls on each potential trade wind proxy suggest that we must be cautious in inferring past changes in trade winds and must evaluate the potential for other factors to produce the observed signals. In this study, we focus on regions for which responses during Heinrich stadials are recorded by multiple proxy types, as many of these proxies are reasonably independent of each other (e.g. dust grain size vs. SSTs), and paired changes in records from the same region are best explained by changes in winds. Where multiple proxy types are not available, we evaluate the likelihood that the observed changes are the result of variations in trade wind speed.

The trade wind proxies described above are interpreted qualitatively, as indicating either increases or decreases in wind strength but not the magnitude of these changes. Sea surface and subsurface temperature proxies can be compared directly between models and data to test whether simulated wind changes are approximately similar to the changes reflected in proxy records, as long as the seasonality and depth recorded by temperature proxies is understood (e.g., Tierney et al., 2015). Quantitative data-model comparisons are also possible for other proxies through the use of forward models simulating proxy responses from model output ("proxy system models"; Evans et al., 2013; Goose, 2016). Initial efforts have been made to model the productivity and dust responses during Heinrich stadials and to compare simulated changes to proxy data (Albani et al., 2016; Mariotti et al., 2012; Murphy et al., 2014). Further work building on these studies may allow us to place quantitative bounds on past trade wind changes and to better test data-model agreement.

#### **4. Trade wind changes and ITCZ shifts in paleoclimate records**

360 We now review evidence for changes in trade wind intensities during Heinrich stadials,  
which exhibit the largest perturbations to the balance of surface heating between the hemispheres  
(Figure 3A) and the clearest examples of shifts of the annual-mean ITCZ in the recent paleo-  
record (Clement and Peterson, 2008; McGee et al., 2014; Schneider et al., 2014). We focus in  
particular on the most recent two stadials – Heinrich Stadial 1 (~18-15 ka) and the Younger  
365 Dryas (12.9-11.7 ka) – because of the increased data availability and data quality for these  
intervals, but we also examine previous Heinrich stadials when possible. We assess the  
robustness of proxy evidence for changes in the trade winds and then look for regional and  
interhemispheric differences in trade wind responses to build a clearer picture of atmospheric  
circulation changes during these periods. Sediment core locations and a summary of observations  
370 from each core are included in Table 1.

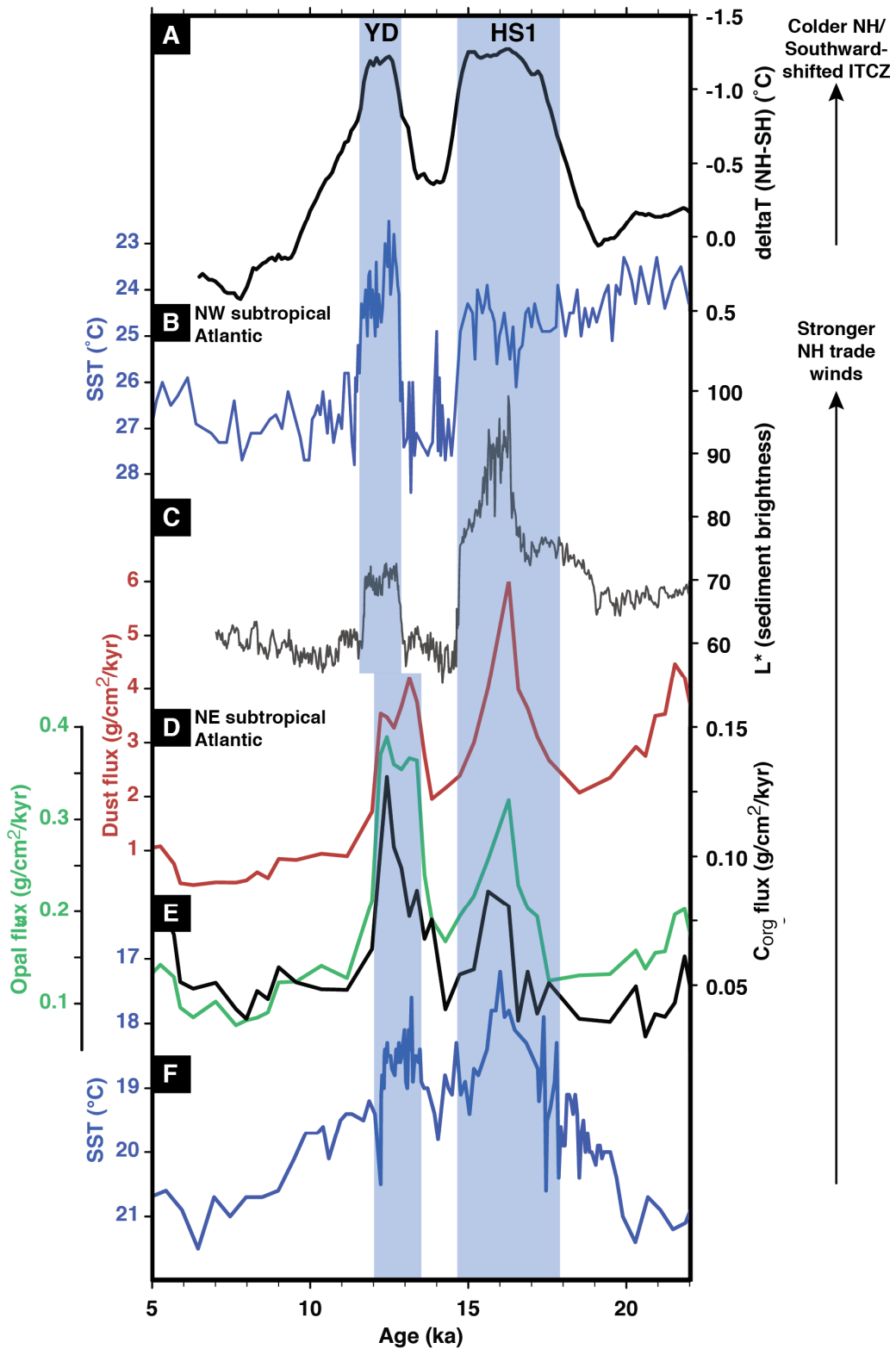
#### **4.1 Northern Hemisphere trade winds during Heinrich stadials**

##### **4.1.1 North Atlantic**

The clearest trade wind signals during Heinrich stadials are in the subtropical North  
375 Atlantic. Along the northwest African margin, a variety of marine sediment records document  
increases in windblown dust flux or dust abundance during Heinrich stadials (Figure 3D)  
(Adkins et al., 2006; Collins et al., 2013; McGee et al., 2013; Tjallingii et al., 2008). Records of  
biogenic sediment composition and flux from the NW African margin also document increases  
in opal and organic carbon accumulation during Heinrich stadials (Figure 3E) (Bradt Miller et al.,  
380 2016; Romero et al., 2008). In addition, SST reconstructions from the region indicate SST

minima during Heinrich stadials (Figure 3F) (Romero et al., 2008). Though each of these records in isolation could reflect other environmental factors, the dust, productivity and SST records together paint a consistent picture of substantially intensified trade winds along the NW African margin during Heinrich stadials (Bradt Miller et al., 2016; Romero et al., 2008), with the best data availability for HS1 and the YD. Based on the modern seasonality of coastal upwelling and dust transport in this region, it is most likely that these changes reflect increases in winter trade winds (Anderson et al., 2016; Bradt Miller et al., 2016; McGee et al., 2013).

On the other side of the subtropical North Atlantic, easterly trade winds associated with the southward shift of the ITCZ during boreal winter drive upwelling in the Cariaco Basin off the northern coast of Venezuela, as Ekman transport moves waters offshore (Peterson et al., 1991). High-resolution records from the Cariaco Basin show evidence for SST cooling during the Younger Dryas and, to a lesser extent, during Heinrich Stadial 1 (Figure 3B) (Lea, 2003). The Younger Dryas and the end of Heinrich Stadial 1 are also marked by lighter sediment color, taken to represent increased surface productivity (Figure 3C) (Deplazes et al., 2013; Hughen et al., 1996), and increased abundance of upwelling-adapted species (*G. bulloides* and diatoms) (Dahl et al., 2004; Peterson et al., 1991). Longer records suggest that similar changes in sediment color accompany stadials throughout the last glacial cycle (Deplazes et al., 2013). Together, these findings point to increased trade wind-driven upwelling in the western subtropical North Atlantic during Heinrich stadials, suggesting trade wind intensification across the breadth of the low-latitude North Atlantic. When compared with the modeling results shown in Fig. 2, we find the best model–data agreement with the CM2Mc model, where a clear pattern of intensified trade winds is simulated in the tropical North Atlantic.

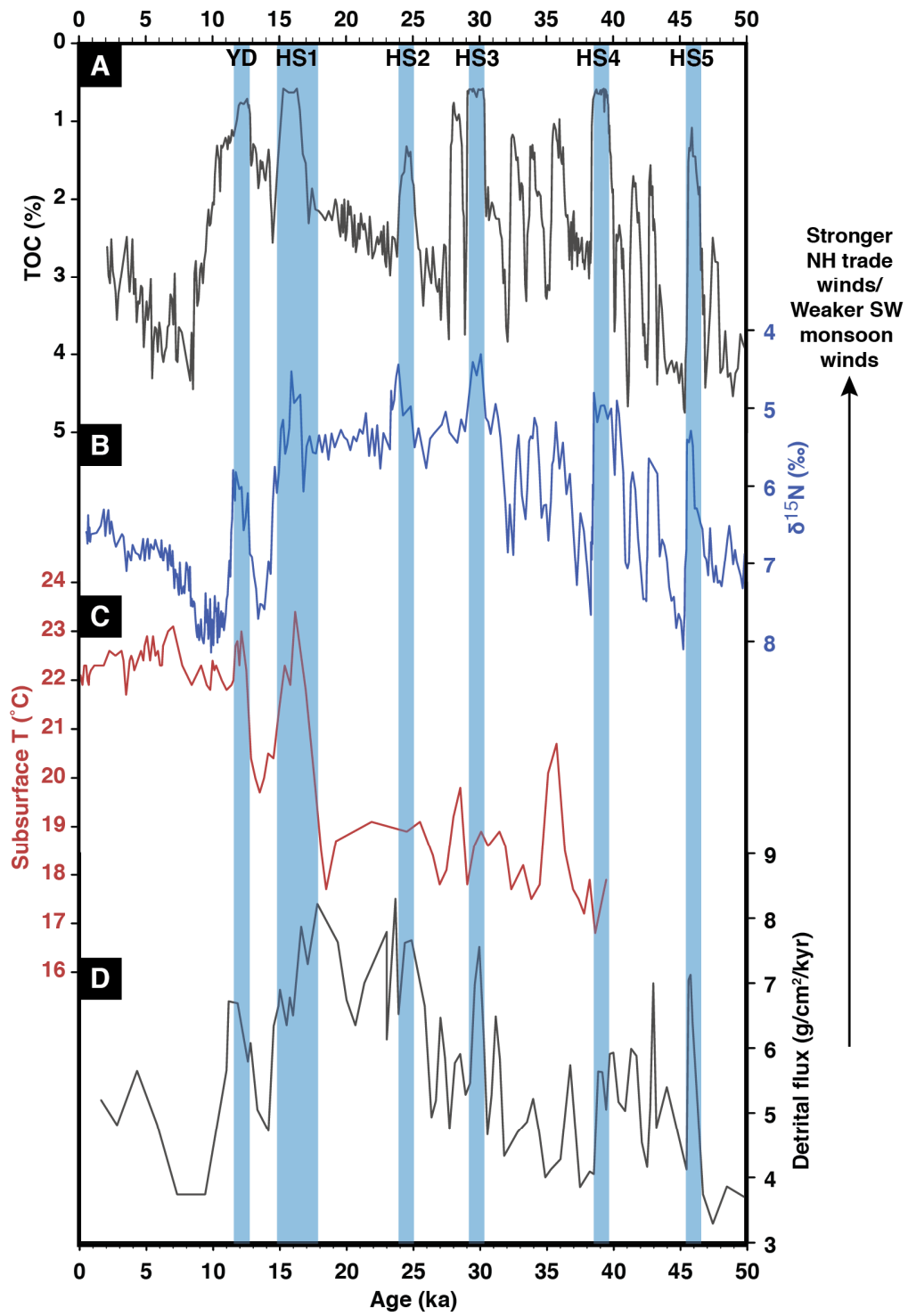


405 **Figure 3.** Examples of trade wind proxies from the North Atlantic showing evidence for NH  
trade wind intensification in association with implied southward ITCZ shifts during Heinrich  
Stadial 1 (HS1) and the Younger Dryas (YD). **A.** Reconstruction of hemispheric surface  
temperature difference (Shakun et al., 2012); **B.** Mg/Ca-based SST reconstruction from Cariaco  
Basin core PL07-39PC (Lea, 2003); **C.** Sediment color from Cariaco basin core MD03-2621,  
410 with higher values interpreted as reflecting higher primary productivity (Deplazes et al., 2013);  
**D.** Dust flux reconstruction from NW African margin core OCE437-7 GC68 (McGee et al.,  
2013); **E.** Reconstructions of opal and organic carbon fluxes from NW African margin core  
OCE437-7 GC68 (Bradtmitter et al., 2016); **F.** Alkenone-based SST data from NW African  
margin core GeoB7926-2 (Romero et al., 2008). The offset in the age of the YD in the African  
415 margin cores may reflect changes in surface water radiocarbon age in this region. Note flipped  
vertical axis directions on temperature plots. Core locations listed in Table 1.

#### 4.1.2 Arabian Sea

In the Arabian Sea, southwesterly winds associated with the Indian summer monsoon  
420 drive upwelling and high productivity along the Oman and Somali margins during the summer  
months, and northeasterly winter winds reduce upwelling due to the orientation of the coastlines.  
A variety of proxy records of upwelling intensity and subsurface temperatures are consistent  
with a reduction in upwelling during Heinrich stadials throughout the last glacial period. These  
records indicate reduced total organic carbon (TOC) content in sediments (Figure 4A)  
425 (Ivanochko et al., 2005; Schulz et al., 1998); nitrogen isotope data suggesting reduced water  
column denitrification, interpreted as reflecting reduced oxygen demand from sinking organic  
matter (Figure 4B) (Altabet et al., 2002; Ivanochko et al., 2005); and increased subsurface  
temperatures, consistent with reduced vertical mixing and heat loss to the atmosphere during  
winter (Figure 4C) (Huguet et al., 2006; Tierney et al., 2015). Dust fluxes in the Arabian Sea also  
430 increase substantially during several Heinrich stadials (Figure 4D) (Pourmand et al., 2004),  
suggesting an increase in northerly winter winds transporting dust from the Arabian peninsula  
and South Asia. As seen in Figure 4, the Heinrich stadials are best captured by the high-  
resolution TOC and nitrogen isotope records, but the lower resolution subsurface temperature  
and dust flux records also show consistent changes during HS1 and the YD.

435 Proxy data are thus consistent with a reduction in southwesterly summer monsoon winds  
and an intensification of northeasterly winter trade winds in the Arabian Sea during Heinrich  
stadials. Though this seasonal wind reversal characterizes the South Asian monsoon system, the  
winds project strongly onto the zonal mean Hadley circulation and constitute a major portion of  
interhemispheric atmospheric heat transport associated with the annual mean, zonal mean  
440 tropical circulation (Heaviside and Czaja, 2013). Regardless of the seasonal balance of wind



**Figure 4.** Wind proxies from the Arabian Sea showing evidence for northeasterly trade wind



intensification and southwesterly monsoon wind weakening in association with implied southward ITCZ shifts during Heinrich Stadials (HS) and the Younger Dryas (YD). **A.** Total organic carbon (TOC) content of core SO90-136KL (Schulz et al., 1998), on reversed axis; **B.** nitrogen isotope data from core NIOP905 (Ivanochko et al., 2005), on reversed axis; **C.** Subsurface temperature reconstruction from core P178-15P (Tierney et al., 2015); **D.** Dust flux reconstruction from core SO90-93KL (Pourmand et al., 2004). Core locations listed in Table 1.

450

changes, weakening of summer southwesterly winds and strengthening of winter northeasterly winds during stadials produce northeasterly wind anomalies in the annual mean that weaken both the lower branch of the zonally and annually averaged Hadley circulation and the wind-driven oceanic subtropical cell in the NH portion of the Indian Ocean (Section 5). Consistent with these reconstructed changes, the model simulations considered here show northerly and northeasterly wind anomalies in the Arabian Sea in the annual mean (Figure 2a), as well as in both winter (DJF) and summer (JJA; not shown).

#### 460 **4.1.3 North Pacific**

Reconstructing trade wind changes in the tropical and subtropical North Pacific poses a greater challenge. There are no high-resolution dust records reflecting short-range transport, as regional dust sources in the trade wind belt (e.g., the deserts of western Mexico) are weak. Coastal upwelling records are complicated by the fact that most coastlines in the northeast tropical Pacific trend southeast/northwest, orthogonal to canonical trade wind directions. As detailed below, changes in the nutrient content and temperature of upwelled waters also obscure interpretation of upwelling records.

In Baja California, where wind-driven upwelling occurs throughout the year (Takesue et al., 2004), marine sediments document minima in organic carbon accumulation and benthic foraminifera abundance during most Heinrich stadials of the last 50 ka (Cartapanis et al., 2011; Ortiz et al., 2004). Similarly, records from the Gulf of California indicate reduced opal abundance and increasing SSTs during Heinrich stadials of the last 50 ka (Cheshire and Thurow, 2013; McClymont et al., 2012). These indications of reduced surface ocean productivity and increasing SSTs may seem to indicate weakened trade winds during Heinrich stadials. However,

475 Ortiz et al. (2004) suggest that this pattern primarily reflects a shift to El Niño-like conditions in  
the tropical Pacific during Heinrich stadials, which would lead to a deepened regional  
thermocline/nutricline and thus a reduction in nutrient content and increase in temperature in  
upwelled waters. Modern observations of upwelling and nutrients in southern Baja California  
indicate that nutrient delivery to the surface can be reduced during and immediately following El  
480 Niño events due to deepening of the regional nutricline even in the presence of strengthened  
coast-parallel winds and upwelling intensity (Takesue et al., 2004). At present it is unclear  
whether Heinrich stadials were characterized by El Niño- or La Niña-like mean states (e.g.,  
Prange et al., 2010), or whether ENSO is an inappropriate analogue for regional ocean-  
atmosphere changes during stadials (Leduc et al., 2009; McClymont et al., 2012). At the very  
485 least, modern data suggest that upwelling records in the subtropical North Pacific may primarily  
reflect changes in the equatorial Pacific thermocline rather than local trade wind strength.

Closer to the equator in the North Pacific, records of opal accumulation and diatom  
species assemblages at a coastal site off the Costa Rica margin in the Panama Basin indicate  
lower nutrient supply and decreased opal flux during Heinrich stadials (Romero et al., 2011).  
490 These indicators of decreased upwelling are interpreted by the authors to represent increased  
northeasterly winds, as the site lies in the lee of the Central American highlands (Talamanca  
Cordillera) and experiences downwelling due to the wind stress curl driven by northeasterly  
wind jets traveling through topographic gaps (Romero et al., 2011). The speed of these wind jets  
is correlated with regional trade wind strength (Chelton et al., 2000), supporting a connection  
495 between overall trade wind strength, wind jet strength, and downwelling intensification at this  
core site.

Just north of the equator in the eastern Pacific, Heinrich Stadial 1 and the Younger Dryas are marked by SST minima and maxima in organic carbon and opal accumulation rates (Kienast et al., 2006). These changes are interpreted by the authors to reflect increased northeasterly trades during these periods, consistent with expectations. However, without more data it is not clear whether these responses were caused by changes in northeasterly trade winds, the temperature and nutrient content of upwelled waters, or changes in southeasterly trade winds.

Improved trade wind reconstructions in the Pacific would be valuable for clarifying equatorial Pacific ENSO dynamics and the Pacific ITCZ response during Heinrich stadials. Hosing simulations suggest that the trade wind-driven Pacific STC response to NH cooling plays a major role in increasing heat transport into the NH during stadials (Yang et al., 2017, 2013), and additional proxy data could assist in testing this simulated response. Proxy data are also of particular value in this region because its modern climatology is poorly represented in models, which tend to overestimate rain belt precipitation south of the equator (Oueslati and Bellon, 2015). As a result, model simulations of ITCZ responses to past climate changes in this region may not be accurate.

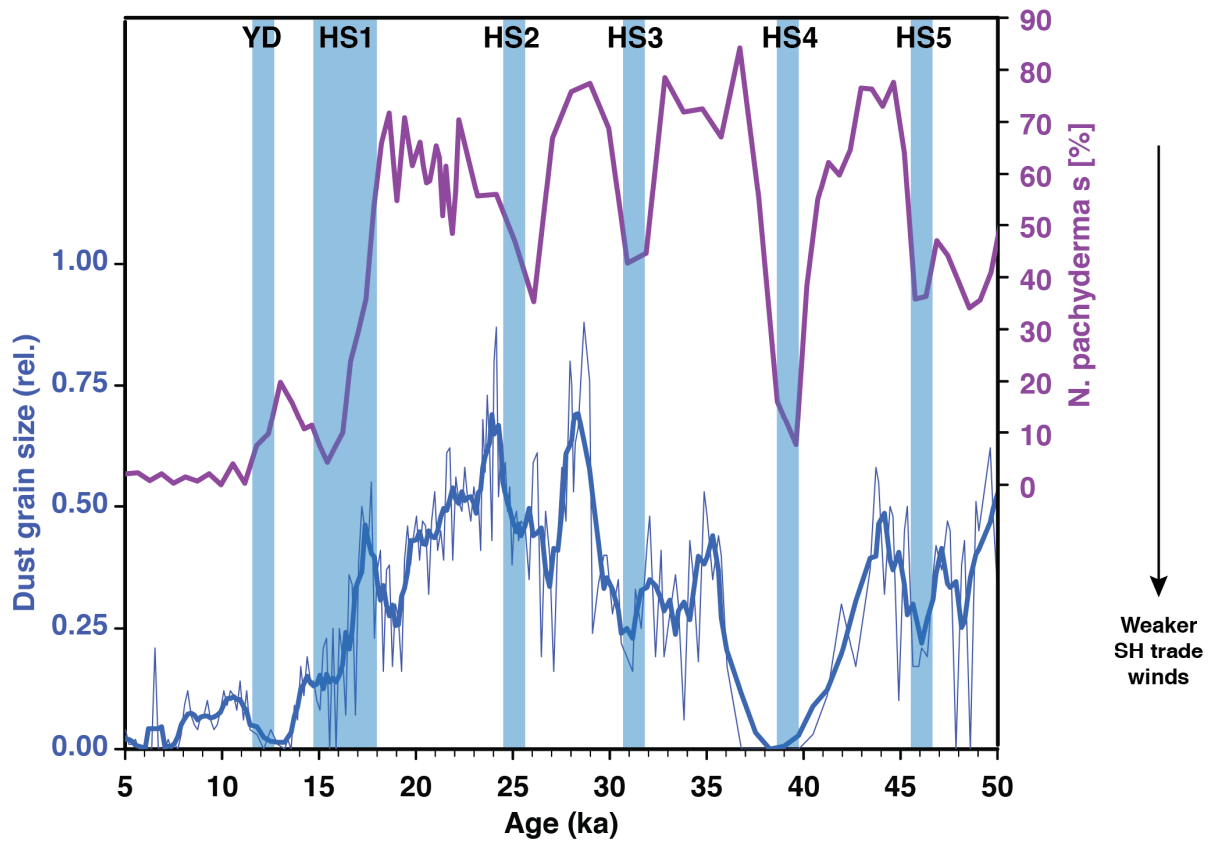
#### **4.2 Southern Hemisphere trade winds during Heinrich stadials**

The highest-quality trade wind-related records in the SH exist in the subtropical South Atlantic near the Benguela upwelling system. Records of windblown dust grain size indicate a rapid reduction in dust grain size off the coast of southwest Africa during HS1, reflecting reduced transport of coarse-grained dust from sources in the Kalahari Desert (Figure 5B) (Stuut et al., 2002). Similar reductions appear to correspond to Heinrich stadials 3 through 5 and possibly HS2 as well, though the age model of this core leaves uncertainty as to the precise

520 timing of these events. As dust grain size is unlikely to respond so coherently to changes in  
aridity, and as there is no evidence for changes in distance to dust sources beyond the gradual  
impact of glacial-interglacial sea level changes, the authors interpret these changes as reflecting  
the strength of dust-generating trade winds. Future work should aim to more precisely test the  
correspondence of these grain size changes with Heinrich stadials and develop dust flux records  
525 to accompany the grain size data.

Nearby in the northern portion of the Benguela upwelling system, Little et al. (1997) find  
reductions in upwelling-sensitive coldwater species (*N. pachyderma*) during Heinrich stadials of  
the last 50 ka, including a large reduction during HS4 and a rapid decline at the beginning of  
HS1 (Figure 5). The authors interpret this result as reflecting reduced trade wind intensity during  
530 Heinrich stadials. Finally, alkenone-based SST records suggest pronounced warming in the  
Benguela system during HS1 (Kim et al., 2003, 2002). Taken together, these dust grain size,  
faunal abundance and SST data suggest reductions in trade wind strength in the southeast  
Atlantic during Heinrich stadials.

Fewer wind-related records are available in other regions of the SH subtropics. In marine  
535 sediments from the Peruvian margin, Saukel (2011) documents a rapid reduction in windblown  
dust flux early in the deglaciation. This core site primarily receives windblown dust from the  
Atacama Desert (Saukel et al., 2011), a region that has been dry for at least the last 2 million  
years (Amundson et al., 2012); as a result, dust fluxes are unlikely to be modulated by aridity  
changes and are likely to directly reflect changes in surface wind strength, making this an  
540 excellent region for dust-based reconstructions of winds. Similarly, a record of dust abundance  
from the eastern Indian Ocean off the coast of northwestern Australia suggests steep drops in  
dust abundance and grain size during the deglaciation (Stuut et al., 2014). Unfortunately, neither



**Figure 5.** Trade wind proxies from the South Atlantic showing evidence for trade wind

545 weakening in association with southward ITCZ shifts during Heinrich stadials of the last 50 ka.

**A.** Abundance of *N. pachyderma*, an indicator of reduced SSTs and increased upwelling, in core

GeoB1711-4 (Little et al., 1997). **B.** Dust grain size, expressed as the relative abundance of

coarse-grained dust to total windblown dust, in core MD96-2094 (Stuut et al., 2002). Core

locations listed in Table 1.

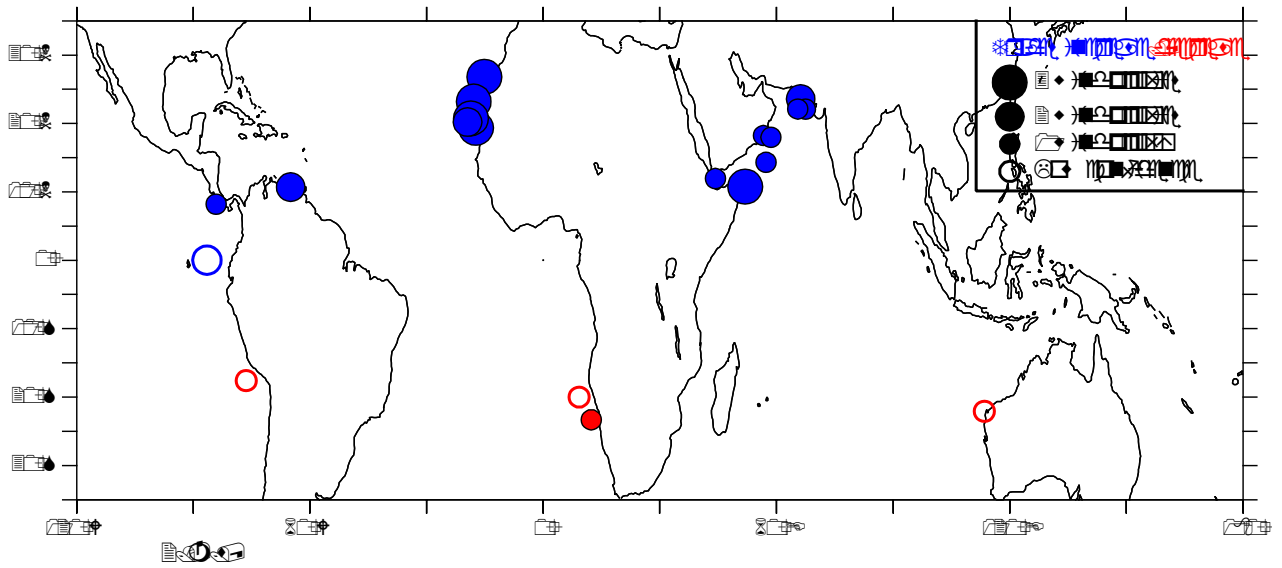
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of these records has the chronological control or temporal resolution necessary to pin down the timing of these deglacial declines in dust flux or to document changes during previous Heinrich stadials, but the steep one-step drops are similar to the changes recorded in the southeast Atlantic during HS1.

555           These dust and upwelling records suggest reductions in trade wind intensity in the South Atlantic during Heinrich stadials. As shown in Figure 2, models suggest a clear pattern of weakened trade winds in all ocean basins of the SH in association with a southward ITCZ shift, but improved records from the South Pacific and South Indian Oceans are needed to test for similar responses in these regions. One puzzle is that SH records do not suggest coherent  
560           fluctuations in trade wind strength after HS1 during the Antarctic Cold Reversal/Bølling-Allerød interstadial and Younger Dryas stadial. In part, this may reflect the fact that some proxies used in these records (e.g. dust grain size, dust flux, *N. pachyderma* abundance) become less sensitive in warmer climates that may have overall weaker trade winds and warmer ocean temperatures. However, some records suggest cooling of Benguela region SSTs during the YD, a response  
565           opposite to that expected in response to a southward shift of the ITCZ at this time (Farmer et al., 2005; Kim et al., 2003, 2002). Further research is required to better document upwelling and dust changes in the SH during the latter half of the deglaciation.

## **5. Discussion: Significance of trade wind changes during Heinrich stadials**

570           Taken together, these data point to systematic strengthening of NH trade winds and weakening of SH trade winds in the Atlantic basin during Heinrich stadials (Figure 6), with some



**Figure 6.** Map of proxy inferences of trade wind changes during Heinrich stadials on top of map  
 575 of annually averaged near-surface wind anomalies (10 m) from the CM2Mc hosing experiment,  
 from Figure 2A. Blue: implied increase in trade wind strength. Red: implied decrease in trade  
 wind strength. Symbol size indicates the number of trade wind proxies (e.g., SSTs, productivity,  
 dust flux) available at each site. Empty symbols indicate records with insufficient chronological  
 control or temporal resolution or with questions as to their interpretation. Some symbols have  
 580 been slightly displaced to make them visible on the map.



indications of similar responses in the Pacific and Indian basins. This asymmetric response is consistent with that predicted in association with a southward ITCZ shift, as the southerly location of the ITCZ is expected to lead to an intensification of the NH Hadley cell and associated trade winds and a weakening of the SH Hadley cell and trade winds (Section 2).  
585

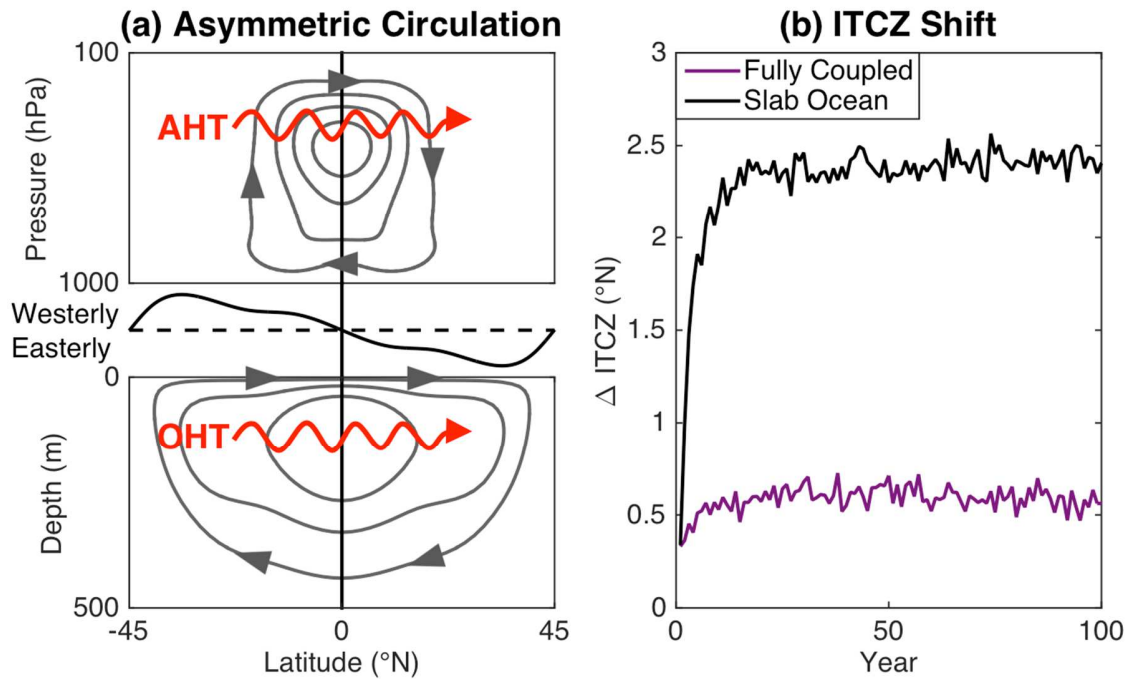
The finding of asymmetric trade wind responses during stadial events in proxy data is significant for several reasons. First, it reinforces reconstructions of southward shifts of the ITCZ during Heinrich stadials based on precipitation proxies (Hodell et al., 2008; Peterson, 2000; Wang et al., 2004) and tropical SST gradients (McGee et al., 2014). This finding thus further  
590 strengthens the relationship between asymmetric high-latitude cooling, hemispheric energy budgets, ITCZ location and Hadley cell strengthening/weakening that is central to our understanding of ITCZ position and Hadley cell dynamics (Chiang and Friedman, 2012; Donohoe et al., 2013; Kang et al., 2008; Marshall et al., 2014; Schneider et al., 2014). Asymmetric trade wind responses also suggest that wind-evaporation-SST feedbacks may have  
595 played a significant role in communicating high-latitude Northern Hemisphere cooling to the tropical North Atlantic (Chiang and Bitz, 2005).

The trade wind changes reconstructed here may also have played a role in driving the monsoon responses consistently observed during Heinrich stadials. Enhanced North Atlantic boreal winter trade winds are likely to increase moisture advection into northern South America  
600 (Garcia and Kayano, 2010; Vuille et al., 2012), leading to strengthening of the South American summer monsoon during Heinrich stadials as observed in proxy data (Baker and Fritz, 2015; Placzek et al., 2006; Strikis et al., 2015; Wang et al., 2017, 2007). Strengthening of NH northeasterly winds also increases the advection of cold, dry air from higher latitudes into NH

monsoon regions; this “ventilation” contributes to monsoon weakening during Heinrich stadials,  
605 particularly in North Africa (Liu et al., 2014).

Hemispherically asymmetric trade wind changes are also likely to have altered the strength of the ocean’s overturning STCs, in which waters move from equatorial regions to the subtropics at the surface, then sink and return to low latitudes at thermocline depths (~300-400 m) (Section 2.3). Though these cells are much shallower than the ocean’s deep overturning  
610 circulation, they transport a similar magnitude of heat due to the large difference in temperature between the upper and lower limbs (Held, 2001; Klinger and Marotzke, 2000). In response to strengthening of NH trade winds and weakening of SH trade winds, an anomalous shallow cross-equatorial circulation develops that transports surface waters and heat from the SH into the NH (Figure 7A). This increased northward heat transport in the shallow ocean offsets a significant  
615 portion (~30-40%) of the ocean heat transport anomaly associated with reductions in AMOC in GCM hosing simulations designed to resemble Heinrich stadials (Yang et al., 2017, 2013). In idealized simulations by Green and Marshall (2017) in which hemispheric energy budgets are perturbed, ITCZ shifts in fully coupled ocean-atmosphere simulations are much smaller than shifts in uncoupled (slab ocean) experiments (Figure 7B). The authors attribute most of this  
620 difference to the role of the oceans’ subtropical cells in amplifying the heat transport into the cooler hemisphere associated with an ITCZ shift (Green and Marshall, 2017).

The large changes in cross-equatorial atmospheric heat transport that accompany relatively small (1-2 degrees latitude) changes in zonal mean ITCZ position make it unlikely that the ITCZ has been far from the equator in the past unless heat transports associated with the  
625 Hadley circulation were very different (Donohoe et al., 2013; McGee et al., 2014). The STC



**Figure 7.** The tropical atmosphere and ocean response to NH cooling. **A.** Anomalies in zonal mean, annual mean atmospheric and shallow ocean circulation in response to NH cooling. Top panel shows anomalies in Hadley circulation; middle panel shows changes in zonal component of near-surface winds, showing strengthening of easterly winds in the NH and weakening in the SH; bottom panel shows resulting shallow ocean circulation anomalies. The anomalous atmospheric and ocean circulations both act to transport heat into the NH (red arrows). Contours indicate stream function anomalies. **B.** ITCZ shifts in response to cooling of one hemisphere in idealized climate model simulations. The black line shows a large ITCZ shift toward the warmer hemisphere in an uncoupled “slab” ocean simulation. The purple line shows a much smaller ITCZ shift in response to the same perturbation in a coupled simulation; the smaller response is primarily due to heat transport associated with the anomalous tropical ocean circulation shown in panel A. Note that the cooling perturbation and ITCZ responses in this simulation are not meant

to resemble those during a Heinrich stadial, only to demonstrate the role of the ocean in reducing  
640 the likelihood of extreme ITCZ shifts. Adapted from Green and Marshall (2017).

response to ITCZ shifts further decreases the likelihood that the ITCZ was located far from the equator in past climates, as it increases the interhemispheric energy transport anomalies associated with ITCZ shifts.

645 Windblown dust provenance data from the Pacific Ocean tracing the latitudinal transition from sediment compositions similar to Asian dust (found north of the ITCZ today) to compositions similar to volcanic inputs and/or American dust (found south of the ITCZ today) have been interpreted as suggesting that the Pacific ITCZ was located at 30°N at 40 Ma and 12°N as recently as 8 Ma (Hyeong et al., 2005; Lyle et al., 2002; Pettke, 2002). As the position of the  
650 Pacific ITCZ strongly influences the zonal-mean, annual-mean ITCZ position, these studies suggest either radically weaker heat transports associated with the Hadley cells and STCs in these warmer climates, very strong heating differences between the hemispheres, or the need for alternative interpretations of the dust provenance data. In particular, the northerly position of the dust provenance transition in the mid-Cenozoic may reflect low Asian dust inputs prior to the  
655 Pliocene (An et al., 2001; Zhang et al., 2016) rather than a dramatic change in ITCZ location; reduced Asian dust flux and relatively constant inputs of volcanic ash and dust from sources in the Americas would be expected to lead to a stronger volcanic/American dust signature in subtropical Pacific sediments regardless of ITCZ changes.

Large changes in the Pacific ITCZ have also been suggested on more recent timescales.  
660 Sachs et al. (2009) found evidence consistent with a 5° southward shift of the ITCZ in the central Pacific during the Little Ice Age (1400-1850 CE), and Jacobel et al. (2016) suggest that the central Pacific ITCZ shifted south by at least 4° during HS11 (~136-129 ka). If the magnitude of these shifts are taken to represent the zonal-mean ITCZ change during these events, they challenge the arguments put forward above and in McGee et al. (2014). However, both studies

665 are located in the region that shows the largest interannual variations in ITCZ-related  
precipitation in the modern climate; as shown in Figure 2 in McGee et al. (2014), in the  
observational record a 1° southward shift in the zonal mean precipitation centroid is  
accompanied by a ~5° southward shift in the central Pacific precipitation centroid. Modern data  
thus suggest that central Pacific ITCZ shifts indicated by these records may be much larger than  
670 zonal-mean changes. In addition, the proxies used in these studies may track the precipitation  
maximum associated with the ITCZ rather than the precipitation centroid metric used by  
Donohoe et al. (2013) and McGee et al. (2014), and the precipitation maximum is susceptible to  
larger jumps than the centroid.

## 675 **6. Conclusion and directions for future research**

Trade wind-sensitive proxies from Atlantic Ocean sites suggest that trade winds  
intensified in the NH subtropics and weakened in the SH subtropics during millennial-scale NH  
cooling events. Wind proxies in the Arabian Sea and eastern equatorial Pacific also indicate  
intensification of NH trade winds during stadials. Angular momentum constraints and model  
680 results indicate that these asymmetric trade wind changes are the expected response to cooling of  
the NH relative to the SH, as a southward ITCZ shift is accompanied by an intensification of the  
NH Hadley cell and associated trade winds, with an opposite response in the SH.

The coherent response of trade wind proxies during stadials demonstrates the potential of  
trade wind-sensitive proxies to trace ITCZ shifts and Hadley cell changes. As shown in the maps  
685 of Figure 2, trade wind anomalies have strong spatial coherence, particularly over the subtropical  
oceans, making wind reconstructions highly representative of regional circulation changes.  
Wind-based proxies may be particularly valuable in regions and time periods for which

precipitation proxies are scarce or difficult to interpret, but even in well-studied intervals they offer valuable independent insights into the tropical atmosphere's response to past climate changes.

This work suggests several important avenues for future research building upon the use of trade wind proxies to track ITCZ and Hadley cell changes. The lack of high-resolution, precisely dated trade wind proxy records in the southeastern Indian and southeastern Pacific Ocean presently limits our ability to trace trade wind responses to stadial events outside of the Atlantic basin, highlighting the need for additional records. Better documentation of trade wind changes in the Pacific basin is particularly important for shedding light on the magnitude of ITCZ shifts and STC changes during stadials. Trade wind proxies may also be useful in testing ITCZ shifts and Hadley cell dynamics in climates warmer than the present (e.g., the Pliocene or Cretaceous) (Hasegawa et al., 2012) or times in the Cenozoic when very large (10-25°) shifts of the ITCZ have been suggested based on dust provenance data (Lyle et al., 2002; Pettke, 2002). Modeling of upwelling and dust responses to wind variations may help constrain the trade wind changes necessary to explain proxy data and offer opportunities for quantitative data-model comparisons (Albani et al., 2016; Miller and Tziperman, 2017; Murphy et al., 2014).

Beyond tracing ITCZ shifts and Hadley cell strength, hemispherically asymmetric trade wind anomalies reconstructed here are expected to drive similarly asymmetric changes in the wind-driven ocean subtropical cells. This shallow ocean response to an ITCZ shift transports heat into the cooler hemisphere with a magnitude comparable to the anomalous atmospheric heat transport associated with the ITCZ shift itself; this amplifies heat transport anomalies associated with changes in the mean ITCZ position, thereby damping ITCZ variations induced by interhemispheric heating anomalies (Green and Marshall, 2017; Yang et al., 2017, 2013) and

reducing the likelihood of extreme ITCZ shifts. Understanding trade wind changes is thus essential not only to better constraining ITCZ shifts in past climates, but also to understanding the ocean and atmosphere dynamical changes that will determine the future response of the tropical circulation and precipitation to anthropogenic radiative forcing.

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**Table 1.** Core sites discussed in text.

Region	Core	Lat. (°N)	Long. (°E)	# wind proxies	Stadial trade wind change (+/-)	Confidence (1=high; 0=low)	Type(s) of proxies	References
S Atlantic	MD96-2094	-20.00	9.26	1	-	1	Dust grain size	Stuut et al., 2002
	GeoB1711-4	-23.32	12.38	1	-	1	Upwelling-associated foram species	Little et al., 1997
S Indian	MD00-2361	-22.08	113.48	1	-	0	Dust abundance	Stuut et al., 2015
S Pacific	ODP1237	-17.60	-76.38	1	-	0	Dust flux	Saukel, 2011
NE Atlantic	OCE437-7 GC68	19.36	-17.28	3	+	1	Dust, opal, organic C fluxes	McGee et al., 2013; Bradtmitter et al., 2016
	OCE437-7 GC49	23.21	-17.85	3	+	1	Dust, opal, organic C fluxes	McGee et al., 2013; Bradtmitter et al., 2016
	OCE437-7 GC37	26.82	-15.12	3	+	1	Dust, opal, organic C fluxes	McGee et al., 2013; Bradtmitter et al., 2016
	ODP 658	20.75	-18.58	3	+	1	Dust flux, opal flux, SST	Adkins et al., 2006; Zhao et al., 1995
	GeoB7926-2	20.22	-18.45	2	+	1	Opal/diatom abundance, SST	Romero et al., 2008
NW Atlantic	PL07-39PC/ MD03- 2621	10.70	-64.94	2	+	1	SST, sediment color, upwelling species abundance	Lea et al., 2003, Deplazes et al., 2013
NE Pacific	ME0005A-24JC	0.02	-86.46	2	+	0	SST, organic C flux	Kienast et al., 2006
	MD02-2529	8.21	-84.12	1	+	1	Opal/diatom abundance	Romero et al., 2011
Arabian Sea	SO90-111KL	23.10	66.48	1	+	1	Organic C abundance	Schulz et al., 1998
	SO90-136KL	23.12	66.50	1	+	1	Organic C abundance	Schulz et al., 1998
	RC27-14	18.25	57.66	1	+	1	N isotopes	Altabet et al., 2002
	RC27-23	17.99	57.59	1	+	1	N isotopes	Altabet et al., 2002
	NIOP905	10.77	51.95	3	+	1	Org. C abundance, N isotopes, subsurface temperature	Ivanochko et al., 2005; Huguet et al., 2006
	P178-15P	11.96	44.30	1	+	1	Subsurface temperature	Tierney et al., 2015
	74KL	14.32	57.33	1	+	1	Subsurface temperature	Huguet et al., 2006
93KL	23.58	64.22	2	+	1	Dust flux, authigenic uranium	Pourmand et al., 2004	