

Article

A simple empirical band-ratio algorithm to assess suspended particulate matter from remote sensing over coastal and inland waters of Vietnam: application to the VNREDSat-1/NAOMI sensor.

Dat Dinh Ngoc ^{1,2,3,*}, Hubert Loisel ^{1,2,3}, Vincent Vantrepotte ^{1,2}, Huy Chu Xuan ¹, Ngoc Nguyen Minh ¹, Charles Verpoorter ², Xavier Meriaux ², Hanh Pham Thi Minh ⁴, Huong Le Thi ⁴, Hai Le Vu Hong ⁶ and Thao Nguyen Van ⁵

¹ Space Technology Institute, Vietnam Academy of Science and Technology, 18 Hoang Quoc Viet, Cau Giay, Hanoi, Vietnam; dndat@sti.vast.vn, cxhuy@sti.vast.vn, nmngoc@sti.vast.vn

² Univ. Littoral Côte d'Opale, Univ. Lille, CNRS, UMR 8187, LOG, Laboratoire d'Océanologie et de Géosciences, F 59000 Lille, France; hubert.loisel@univ-littoral.fr, vincent.vantrepotte@univ-littoral.fr, charles.verpoorter@univ-littoral.fr, xavier.meriaux@univ-littoral.fr

³ LOTUS, University of Science and Technology of Hanoi, Vietnam Academy of Science and Technology, 18 Hoang Quoc Viet, Cau Giay, Hanoi, Vietnam

⁴ Institute of Mechanics, Viet Nam Academy of Science and Technology, 264 Doi Can, Ba Dinh, Hanoi, Vietnam; hanhcmesc@gmail.com, lthuong211@gmail.com

⁵ Institute of Marine Environment and Resources, Vietnam Academy of Science and Technology, 246 Da Nang, Ngo Quyen, Haiphong, Vietnam; thaonv@imer.vast.vn

⁶ Institute of Techniques for Special Engineering, Le Quy Don University, 236 Hoang Quoc Viet, Bac Tu Liem, Hanoi, Vietnam; lhvh108@gmail.com

* Correspondence: dndat@sti.vast.vn; Tel: +84-915-089-159

Abstract: VNREDSat-1 is the first Vietnamese satellite enabling the survey of environmental parameters such as vegetation and water coverages, or surface water quality at medium spatial resolution (from 2.5 to 10 meters depending on the considered channel). The NAOMI sensor on board VNREDSat-1 has the required spectral bands to assess the suspended particulate matter concentration, SPM. Because recent studies have shown that the remote sensing reflectance, $R_{rs}(\lambda)$, at the blue (450 – 520 nm), green (530 – 600 nm), and red (620 – 690 nm) spectral bands can be assessed from NAOMI with a good accuracy, the present study is dedicated to the development and validation of an algorithm (hereafter referred to as V1SPM) to assess SPM from $R_{rs}(\lambda)$ over inland and coastal waters of Vietnam. For that purpose, an in situ data set of hyper-spectral $R_{rs}(\lambda)$ and SPM (from 0.47 to 240.14 $\text{g}\cdot\text{m}^{-3}$) measurements collected at 205 coastal and inland stations has been gathered. Among the different approaches, including 4 historical algorithms, the polynomial algorithms involving the red-to-green reflectance ratio presents the best performance on the validation data set (MAPD of 18.7%). Compared to the use of a single spectral band, the band ratio reduces the scatter around the polynomial fit as well as the impact of imperfect atmospheric corrections. Due to the lack of matchup data points with VNREDSat-1, the full VNREDSat-1 processing chain (RED-NIR and V1SPM) aiming at estimating SPM from the top-of-atmosphere signal was applied to the Landsat-8/OLI match-up data points with relatively low to moderate SPM concentration (3.33-15.25 $\text{g}\cdot\text{m}^{-3}$) yielding a MAPD of 15.8%. An illustration of the use of this VNREDSat-1 processing chain during a flooding event occurring in Vietnam is provided.

Keywords: VNREDSat-1/NAOMI; Landsat-8/OLI; Suspended particulate matter; algorithm

1. Introduction

Climate change, sea level rise, and human activities impact to Vietnam coastal regions which spread over about 3200 km of coastline [1-3]. Climate change and sea level rise will impact Vietnam

coastal areas in the near future, natural hazards (sea water intrusion, flooding, typhons) and human activities such as aquaculture, sand mining, dam construction, and urban development represent current threats. For instance, human activities may bring pollutants into the waters [4], reduce the sediment load especially in Red River [5] and Mekong River deltas [6, 7], or increase coastal erosion through the cutting of mangrove for aquaculture activities [8]. Integrating risk assessment is essential for the development of a suitable strategy for the protection of Vietnamese coastal areas [2]. In that context, spatial remote sensing observation from which specific spatio-temporal patterns may be identified, is one of the key elements to consider in synergy with in situ monitoring and numerical modeling [9, 10].

Ocean color radiometry (OCR) from satellite remote sensing has recently been used for monitoring water surface biogeochemical parameters of Vietnam coastal waters such as chlorophyll-a [9], Chl-a, and suspended particulate matter [6,11], SPM. In contrast to offshore waters, where inherent optical properties (IOPs) are mainly driven by phytoplankton and its associated material (the Case-1 waters), coastal and inland waters are optically more complex due to the diversity of the suspended and dissolved organic and inorganic substances, as well as the occurrence of multiple coupled physical/biological/chemical/geological processes covering various temporal scales [12, 13]. In contrast to open ocean waters, coastal and inland surface waters are generally characterized by large spatial heterogeneity in terms of bio-optical properties, requiring medium (about 250 m) to high (about 10 m) spatial resolution sensors. SPM, which includes both organic and mineral suspended particles, is one of the OCR parameters that has attracted the most attention, mainly due to its implication in many different processes, such as sediment dynamics, coastal erosion processes, and water quality monitoring. Different families of algorithms have then been developed to assess SPM from the remote sensing reflectance, $R_{rs}(\lambda)$, which is the radiometric parameter estimated after atmospheric correction. Among these different approaches one may distinguish purely empirical algorithms based on single band, multiple bands, or band ratios [14-18], to semi analytical algorithm [19-21] generally involving IOPs.

Table 1. VNREDSat-1 spectral bands, spatial resolution, gain and bias values. The two later coefficients are used to calculate the top of atmosphere radiometric signal from the measured digital number using Equation (4) in [22].

| Band | Wavelength (nm) | Resolution (m) | Gain | Bias |
|--------------------|-----------------|----------------|-------|------|
| B1 – Blue | 450 - 520 | 10 | 1.638 | 0 |
| B2 – Green | 530 - 600 | 10 | 1.621 | 0 |
| B3 – Red | 620 - 690 | 10 | 1.848 | 0 |
| B4 - Near Infrared | 760 - 890 | 10 | 2.511 | 0 |
| B5 - Panchromatic | 450 - 740 | 2.5 | 1.950 | 0 |

The first Vietnamese optical satellite, VNREDSat-1, which has been launched on May 7, 2013, carries the New AstroSat Optical Modular Instrument (NAOMI) which has 4 multi-spectral bands (Table 1) at 10 m of spatial resolution and 1 panchromatic band at 2.5 m [23, 24]. The water pixel extraction (referred to as WiPE) and atmospheric correction (referred to as Red-NIR) algorithms for VNREDSat-1 have recently been developed (Ngoc et al, 2019), allowing to estimate $R_{rs}(\odot)$ at each NAOMI spectral band. Bio-optical algorithms can now be used to assess biogeochemical properties of surface waters from the NAOMI $R_{rs}(\odot)$ spectra. For the different reasons mentioned above, the objective of the current paper is to develop an algorithm to estimate SPM from NAOMI over Vietnam coastal and inland waters (hereafter referred to as V1SPM). For that purpose, we first present the in situ data set which has been used for the development and validation of the algorithm. Then, the performance of

V1SPM is evaluated and compared to existing algorithms on the in situ validation data set. Because, unfortunately, no in situ SPM measurements have been collected during VNREDSat overpass, this new SPM algorithm is applied to Landsat-8/OLI observations performed nearly simultaneously to some water sampling in Vietnam and Cambodia coastal and inland waters. For that purpose, the OLI scenes have been processed using the NAOMI algorithms, that is the NAOMI RED-NIR atmospheric correction [22] and V1SPM algorithms, to first assess the coherence of NAOMI algorithms to assess SPM. The pertinence of this match-up exercise procedure, developed from OLI observations but processed using the NAOMI algorithms, has already been partly discussed in [22] for the validation of the Red-NIR atmospheric correction algorithm. Inter-comparison of the NAOMI SPM products is then performed at the Camau Peninsula (South of Vietnam) observed nearly simultaneously by NAOMI, processed using the Red-NIR and V1SPM algorithms, and OLI, processed using the last version of ACOLITE [25].

2. Material and methods

2.1. In situ data sets

In situ data (N=205) of remote sensing reflectance (R_{rs}) and SPM have been collected in Vietnam coastal waters (Figure 2) from 2011 to 2015 during 7 field surveys performed during different time periods of the year, as already described in [9]. This in situ data set gathers data collected in 3 different locations. About 60% of the data set (N = 131, 1.05-147.69 $\text{g}\cdot\text{m}^{-3}$, 17.62 +/- 25.96) is composed by data collected in the North Vietnam in water masses under the influence of the Red River as well as in typical case 1 waters for which the inherent optical properties are driven by phytoplankton and its associated material. The second data set, which represents 22% of the whole data set, (N = 46, 1.01-240.14 $\text{g}\cdot\text{m}^{-3}$, 22.68 +/- 48.98) is composed by measurements performed in the South Vietnam in water masses impacted by the Mekong River outputs [5, 20]. In these highly turbid waters, measured SPM concentration reached up to 240.14 $\text{g}\cdot\text{m}^{-3}$. In addition, samples have been collected in the Nhatrang coastal waters where relative low SPM values (N = 28, 0.47-7.7 $\text{g}\cdot\text{m}^{-3}$, 1.85 +/- 1.74) have been sampled [4]. The protocol used to calculate the $R_{rs}(\lambda)$ spectra from radiometric measurements is fully described in [9]. Due to the large fluctuation of salinity in the data set (28.73 +/- 2.26), driven by impact of fresh water inputs at some stations, and which may bias the SPM measurements [26, 27], a great care has been taken to properly remove salt from the filters which have gently been washed with deionized water. Three replicates have been made at each station, and only stations with standard deviation lower than 20% have been kept, allowing to reduce the impact of measurements uncertainties.

The latter in situ data set has been divided into two subsets, one for developing the SPM algorithm over coastal waters of Vietnam (D-DS, N=143) and the second for the validation exercise (V-DS, N=62). D-DS and V-DS comprise 70% and 30% of initial *in situ* data set, respectively. SPM distribution for the two latter data sets are similar (Figure 3) ensuring an unbiased evaluation of new SPM algorithm for VNREDSat-1/NAOMI from V-DS. Hyperspectral R_{rs} spectra were measured in situ using Trios radiometers (see the methodology in Loisel et al., 2017). Multi-spectral R_{rs} corresponding to the bands of VNREDSat-1/NAOMI have been generated from these hyperspectral data for each in situ samples as follows:

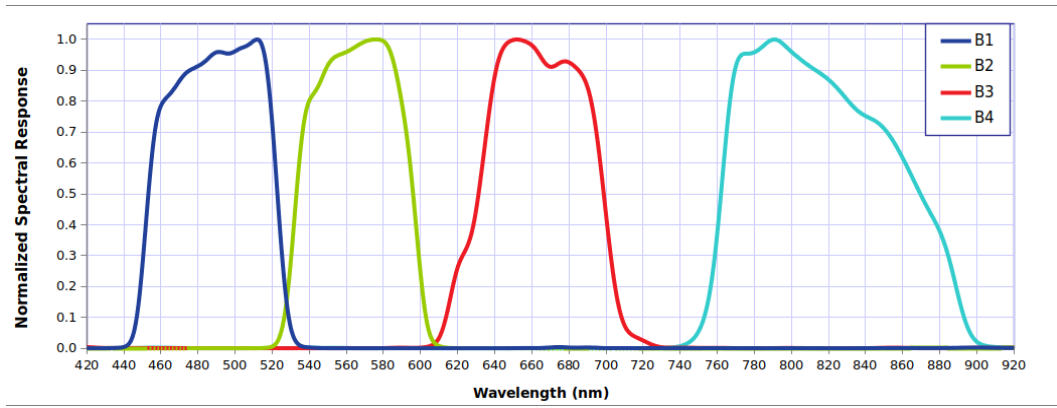


Figure 1. Spectral response functions for each VNREDSat-1 band.

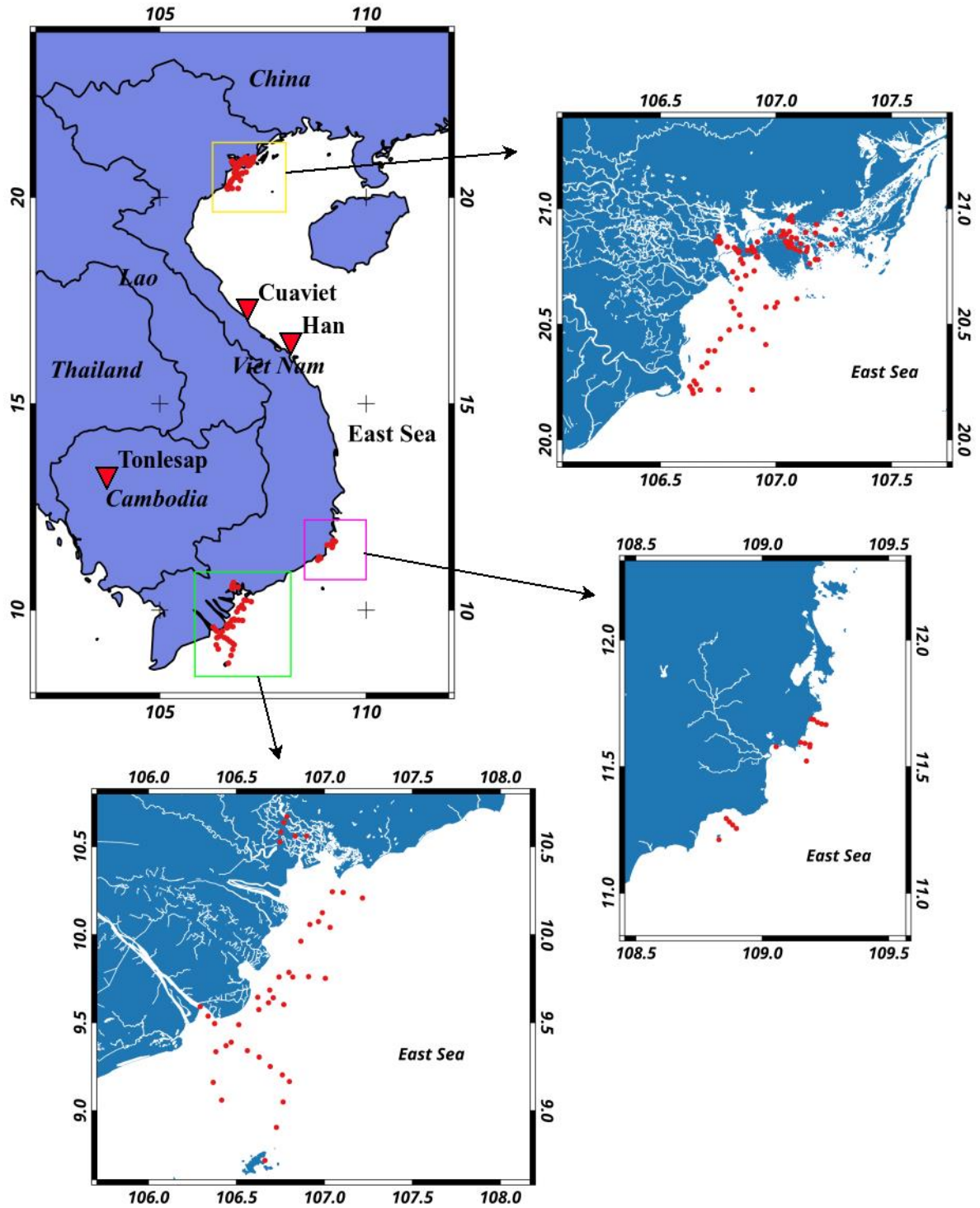


Figure 2. Location of the *in situ* data collected over Vietnamese coastal waters (Yellow: Red River influenced waters, Green: Mekong influenced waters, Pink: Nhatrang coastal waters)

$$R_{rs}(\lambda_i) = \frac{\int_{j=p}^{j=q} W_j R_{rs}(\lambda_j)}{\int_{j=p}^{j=q} W_j} \quad (1)$$

where $R_{rs}(\lambda_i)$ is the multispectral remote sensing reflectance for the VNREDSat-1 band i ($i=1;2;3;4$) ranging over the spectral domain $[p, q]$ (Table. 1). W_j is the hyperspectral weight applied to the hyperspectral remote sensing reflectance $R_{rs}(\lambda_j)$ spanning over each VNREDSat-1 band provided by the satellite manufacturer (Figure 1). Sensor specific R_{rs} values were also computed (using the V-DS

validation dataset) for OLI and MODIS in order to able the evaluation of other sensor specific models for estimating SPM concentration in the coastal waters of Vietnam using the respective spectral response functions documented for these two latter sensors (OLI SRF: https://landsat.gsfc.nasa.gov/wp-content/uploads/2013/06/Ball_BA_RSR.v1.1-1.xlsx; MODIS SRF: https://oceancolor.gsfc.nasa.gov/docs/rsr/HMODISA_RSRs.txt).

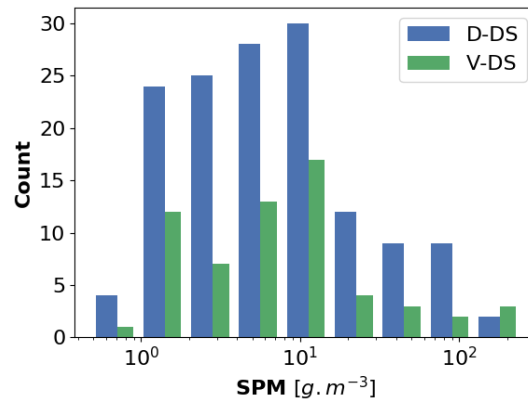


Figure 3. In situ SPM frequency distribution histograms for the development (D-DS, N=143) and validation (V-DS, N=62) subsets.

Table 2. SPM ($\text{g}\cdot\text{m}^{-3}$) statistics for the development (D-DS) and validation (V-DS) in situ subsets collected over the Vietnamese coastal waters. N indicates the number of samples for each data set.

| Data set | N | Min, Max | Geometric mean | Standard deviation |
|--------------------|-----|--------------|----------------|--------------------|
| Development (D-DS) | 143 | 0.47, 188.12 | 5.44 | 27.51 |
| Validation (V-DS) | 62 | 0.52, 240.14 | 6.84 | 42.77 |
| Overall | 205 | 0.47, 240.14 | 5.68 | 32.85 |

Table 3. SPM ($\text{g}\cdot\text{m}^{-3}$) statistics for the Landsat-8/OLI matchup dataset (M-DS). N indicates the number of samples for each data set.

| Data set | Nr | Min, Max | Geometric mean | Standard deviation |
|--|----|-------------|----------------|--------------------|
| Tonlesap, Cambodia [13 rd October, 2018] | 18 | 3.33, 15.25 | 5.85 | 3.00 |
| Cuaviet estuary, Vietnam [25 th April, 2019] | 20 | 8.30, 14.90 | 10.20 | 1.60 |
| Han estuary, Vietnam [4 th May, 2019] | 13 | 6.84, 14.58 | 10.54 | 2.37 |
| Overall | 51 | 3.33, 15.25 | 8.45 | 3.07 |

In addition to the two previous data sets, an independent SPM *in situ* matchup data set (M-DS, N=51) for Landsat-8/OLI (Table 3) was also collected for validating the new SPM algorithm over Vietnamese coastal waters and inland waters. This dataset covers three study areas (Tonlesap, Cambodia, Cuaviet Estuary, Han Estuary) showing relatively low to moderate SPM concentration ($3.33\text{-}15.25 \text{ g}\cdot\text{m}^{-3}$, Table 3). The time difference between in situ and satellite over-pass is always lower than 4 hours.

2.2. Satellite match-up data set

Landsat-8/OLI images Level-1 data were downloaded from the Glovis portal (<https://glovis.usgs.gov>) of the U.S. Geological Survey (USGS). Water pixels were identified using the WiPE masking procedure [28]. Since there was no direct matchup data for VNREDSat-1/NAOMI an indirect evaluation of the VNREDSat-1/NAOMI derived SPM was performed using Landsat-8/OLI images processed using the RED-NIR NAOMI atmospheric correction [22] and V1SPM algorithm.

2.3. Evaluated historical SPM inversion algorithms

Initially, four existing algorithms were considered. The selected SPM inversion algorithms differ in terms of the sensor specific development assumption and also vary regarding the SPM range over which each algorithm has been developed, as well as from the development data set used which may differ in terms of inherent optical properties driven by the concentration, chemical nature (mineral vs. organic), and particulate size distribution of the optically significant matter

Doxaran et al. (2005) [29] developed a SPM inversion algorithm for an application on SPOT5 images which are relatively similar in terms of spatial and spectral resolution with those provided by VNREDSat-1/NAOMI. This model (hereafter referred to as Doxaran-SPOT5) based on the NIR/green band ratio is expressed as follows:

$$SPM = 26.083 \times e^{(0.336X)} \quad (21)$$

where $X = [R_{rs}(865)/R_{rs}(555)]$

Nechad et al. has developed a SPM inversion algorithm [19] that can be applied on diverse sensors including Landsat-8/OLI and Sentinel-2/MSI [30, 31]. This model is based on the marine reflectance in the red part of the spectrum. For applications on Landsat-8/OLI Nechad's model (hereafter referred to as Nechad-OLI) is expressed as follows:

$$SPM = \frac{384.11\rho_w(655)}{1 - \frac{\rho_w(655)}{0.1747}} + 1.44 \quad (3)$$

where $Q_w(655) = \pi R_{rs}(655)$

A general semi analytical SPM algorithm documented by Han et al. [20] was designed for estimating surface SPM at global scale from OCR based on a large in situ data set covering 3 orders of magnitudes of SPM concentration which has been optimized for a variety of OCR sensors. The model proposed by Han et al. [20] for Landsat-8/OLI applications (hereafter referred to as Han-OLI) is expressed as follows:

$$SPM = \frac{W_L \cdot SPM_L + W_H \cdot SPM_H}{W_L + W_H} \quad (4)$$

where

$$W_L = \begin{cases} 1, & \text{if } R_{rs}(655) \leq 0.03 \\ 0, & \text{if } R_{rs}(655) \geq 0.045 \\ \log_{10}(0.045) - \log_{10}[R_{rs}(655)], & \text{otherwise} \end{cases}$$

$$W_H = \begin{cases} 0, & \text{if } R_{rs}(655) \leq 0.03 \\ 1, & \text{if } R_{rs}(655) \geq 0.045 \\ \log_{10}[R_{rs}(655)] - \log_{10}(0.03), & \text{otherwise} \end{cases}$$

Finally, the multiband SPM algorithm developed by Siswanto for MODIS regional applications [16] was also selected. It is expressed as follows:

$$\log_{10}(SPM) = 0.649 + 25.623X_1 - 0.646X_2 \quad (5)$$

where $X_1 = R_{rs}(555) + R_{rs}(659)$, $X_2 = R_{rs}(490)/R_{rs}(555)$

The performance of the latter four historical models documented for different medium and low spatial resolution sensor was assessed and compared to that of the SPM VNREDSat-1/NAOMI specific model further developed in this work over the Vietnamese coastal waters.

2.4. Statistical indicators

These SPM algorithms have been evaluated considering diverse statistical indicators including the root mean square deviation in log space ($RMSD_{log}$) and the mean absolute percent difference ($MAPD$). $RMSD_{log}$ and $MAPD$ were computed as follows:

$$RMSD_{log} = \sqrt{\frac{\sum_{k=1}^N (\log(SPM^k_{estimated}) - \log(SPM^k_{observed}))^2}{N}} \quad (6)$$

$$MAPD = 100 \cdot \frac{1}{N} \sum_{k=1}^N \left| \frac{SPM^k_{estimated} - SPM^k_{observed}}{SPM^k_{observed}} \right| \quad (7)$$

where $SPM^k_{observed}$ and $SPM^k_{estimated}$ represent the measurement and estimated SPM values for a defined station k .

3. Results and discussion

3.1. Development of a SPM inversion algorithm for VNREDSat-1/NAOMI

Historical SPM algorithms have been developed for past ocean color sensors and were not specifically optimized for Vietnamese coastal waters. A new SPM inversion algorithm (referred to as V1SPM) was therefore developed to improve the accuracy of SPM estimation from VNREDSat-1/NAOMI reflectance maps. SPM inversion algorithms have been evaluated considering various possible VNREDSat-1/NAOMI single band or band ratios formalisms based on the D-DS data set.

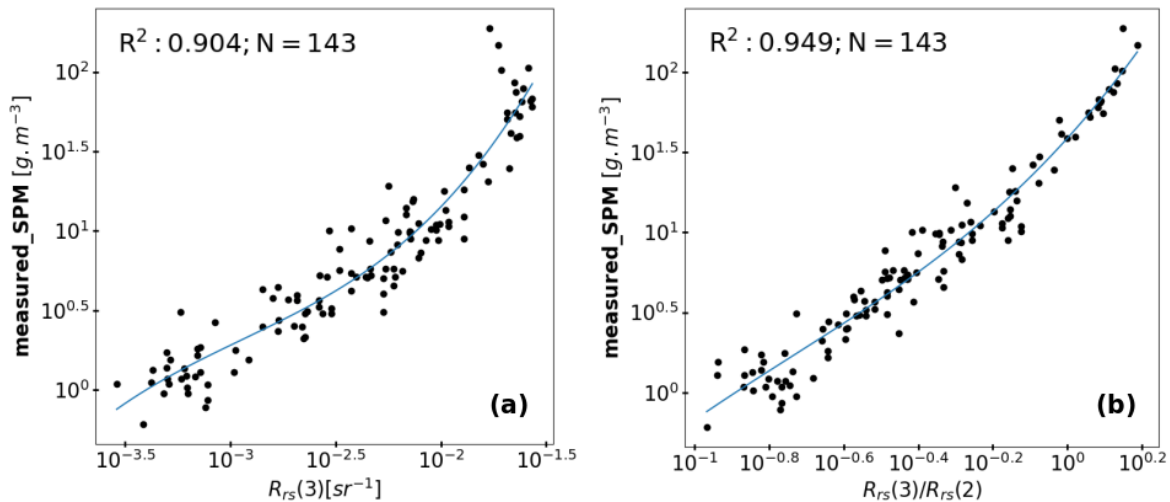


Figure 5. (a) Cubic transformed relationships between in situ remote sensing reflectance corresponding to the VNREDSat-1/NAOMI red band, $R_{rs}(3)$, and in situ SPM and (b) between the Cubic transformed relationship between the VNREDSat-1/NAOMI Red/Green band ratio, $R_{rs}(3)/R_{rs}(2)$ and in situ SPM.

The best single band algorithm found is based on a cubic transform relationship (Figure 5a) between $\text{Log}_{10}[R_{rs}(3)]$ and $\text{Log}_{10}(\text{SPM})$ ($R^2 = 0.904$, Eq.8) although a relative high scatter was observed around the mean. This scatter can be expected in coastal environments where the reflectance signal at visible wavelengths is impacted by illumination condition [32-34] and sediment type [17] (Figure 5a). The use of band ratio formalisms allows such effects to be reduced [35]. The best band ratio found from the D-DS data set correspond to a cubic transform relationship between $\text{Log}_{10}[R_{rs}(3)/R_{rs}(2)]$ and $\text{Log}_{10}(\text{SPM})$ (Eq. 9, $R^2 = 0.949$, Figure 5b). Globally, a better performance ($R^2 = 0.949$) and a lower scatter is observed for this band ratio algorithm when compared to the single band one. The improvement of the band ratio-based inversion model is particularly visible for the highest SPM loads ($> 100 \text{ g.m}^{-3}$).

$$\log_{10}(V1SPM) = 0.281\log_{10}^3 R_{rs}(3) + 2.48\log_{10}^2 R_{rs}(3) + 7.94\log_{10} R_{rs}(3) + 9.35 \quad (8)$$

$$\log_{10}(V1SPM) = 0.663\log_{10}^3 \left(\frac{R_{rs}(3)}{R_{rs}(2)} \right) + 1.48\log_{10}^2 \left(\frac{R_{rs}(3)}{R_{rs}(2)} \right) + 2.57\log_{10} \left(\frac{R_{rs}(3)}{R_{rs}(2)} \right) + 1.59 \quad (9)$$

Considering the previous results, the VNREDSat-1/NAOMI regional SPM inversion algorithm based on the $R_{rs}(3)/R_{rs}(2)$ band ratio was selected for further computing VNREDSat-1/NAOMI images, validating the SPM estimates and comparing the performance of this new formalism with that of historical models.

3.2. Inter-comparison and validation of SPM inversion algorithms for medium spatial sensors over Vietnamese coastal waters

Hyperspectral in situ remote sensing reflectance spectra were used to compute the reflectance signal corresponding to the VNREDSat-1/NAOMI (this work), SPOT-5 [29] Landsat-8/OLI [19, 20] and MODIS [16] bands using the spectral response function respective to each of the sensors in order to compare the performance of the various sensor specific models proposed for estimating SPM in an application over the Vietnamese coastal waters.

Results obtained on the V-DS validation data set are presented in the Figure 6 and Table 5. SPOT 5-Doxaran (MAPD = 218%) show the lowest performance for retrieving SPM in the Vietnamese coastal waters although the latter formulation provided relevant SPM retrievals in the most turbid waters ($SPM > 35 \text{ g.m}^{-3}$). This result was expected as the empirical SPOT-5-Doxaran algorithm was developed from 42 in situ SPM data points with concentrations ranging from 35 to 2072 mg.l^{-1} . Conversely, the four other SPM algorithms evaluated on V-DS (namely Nechad-OLI, Siswanto, Han-OLI and V1SPM-NAOMI) show relevant prediction accuracy due their general character and thus to the fact that they have been developed on a more larger SPM range than the two previous models. V1SPM has the highest prediction (MAPD = 18 %) and measurement accuracy ($\text{RMSD}_{\log} = 0.188$) in comparison with other selected SPM algorithms. Note that the use of single band formulation (eq. 8) tend to generate a higher scatter in the SPM retrieval (MAPD=27.47%), underlining the interest of using band ratio formulation for minimizing the impact of atmospheric correction imperfection. A gain in precision provided by the V1SPM-NAOMI model when compared to Nechad-OLI, Siswanto and Han-OLI. Indeed, Nechad-OLI (Figure 6b) estimates are sharply underestimated at SPM levels higher than 100 g.m^{-3} while an overestimation is found for SPM loads lower than the latter concentration. Siswanto and Han-OLI (Figures 6c and 6, respectively) are conversely underestimating SPM over the whole range of values in V-DS dataset. Although the general better performance for the V1SPM algorithm (Figure 6e), a slight under-estimation of the SPM loads is observed at the highest levels ($> 100 \text{ g.m}^{-3}$), while a low SPM, where measurement uncertainties can occur (e.g. salt retention issues) are slightly

overestimated.

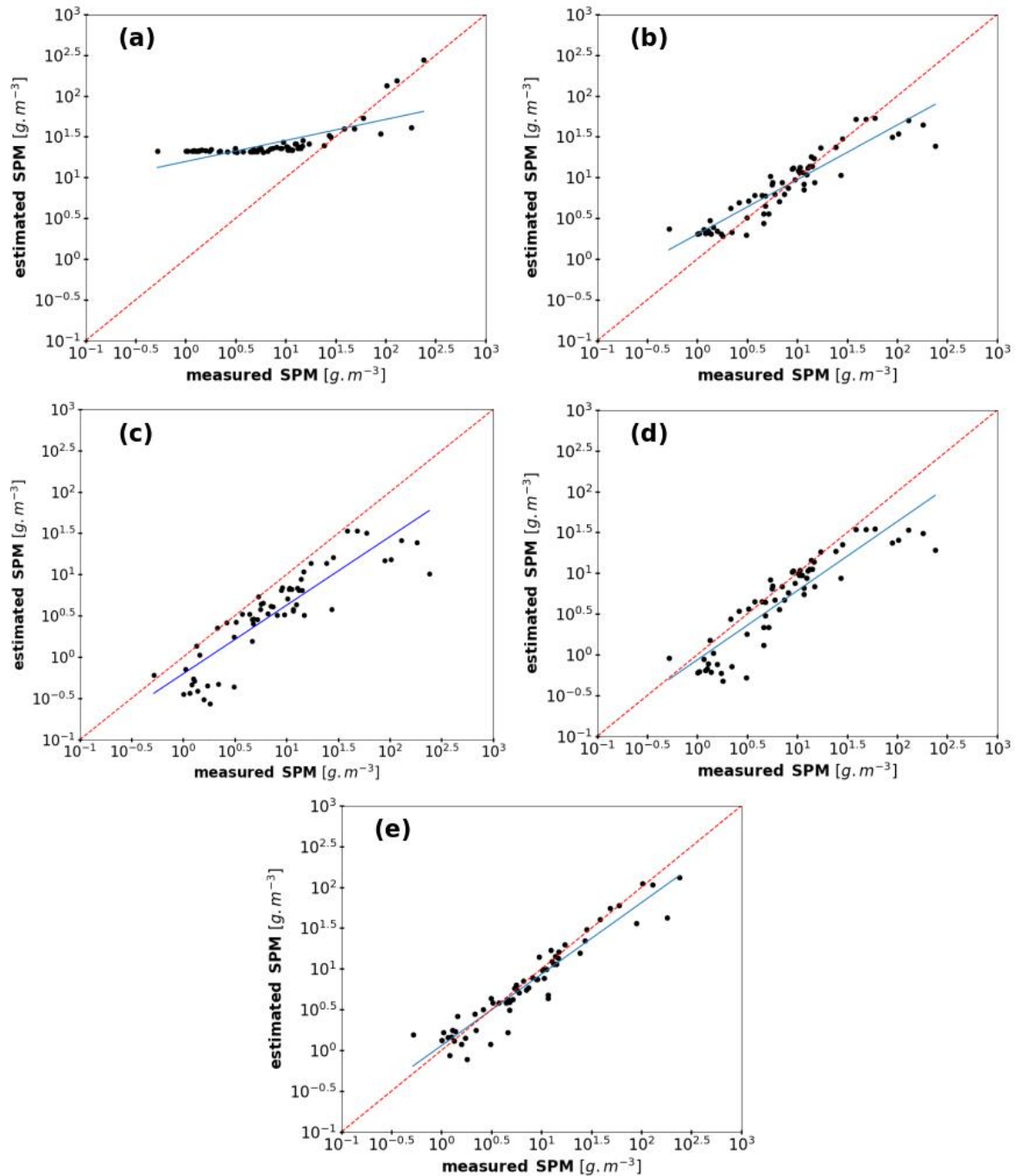


Figure 6. Inter-comparison of SPM inversion algorithms over Vietnamese coastal waters using the V-DS validation data set (N=62) Doxaran SPOT 5 (a), Nechad algorithm OLI (b), Siswanto algorithm (c), Han algorithm OLI (d), and V1SPM algorithm NAOMI (e). The dashed red line represents the 1:1 line and the blue line the regression line between the measured and estimated values for each model.

Table 5. Performance statistics of the SPM retrieval on the validation data set (V-DS, N=62) for the different historical and new inversion algorithms.

| Algorithm | MAPD [%] | RMSD _{log} | Slope | Bias | R ² |
|-----------|----------|---------------------|-------|--------|----------------|
| Doxaran | 218 | 0.725 | 0.258 | 1.200 | 0.54 |
| Nechad | 36 | 0.255 | 0.670 | 0.304 | 0.86 |
| Siswanto | 46 | 0.45 | 0.831 | -0.203 | 0.78 |

| | | | | | |
|----------|----|-------|-------|--------|------|
| Bing Han | 30 | 0.321 | 0.848 | -0.064 | 0.81 |
| V1SPM | 18 | 0.188 | 0.877 | 0.058 | 0.91 |

3.3. Validation of the Red-NIR/V1SPM algorithms using OLI observations

Due to the lack of SPM match-up data points for VNREDSat-1, the V1SPM algorithm is applied to the OLI sensor for which 51 SPM match-up data points are available (Figure 7). The considered OLI scenes are here processed using the Red-NIR atmospheric correction algorithm [22] using only the spectral bands in common between OLI and NAOMI. This latter configuration allows the performance of the whole VNREDSat processing chain dedicated to the estimation of SPM to be fully addressed. Considering the whole match-up data set built from the 3 different areas the Red-NIR/V1SPM algorithm is able to estimate SPM with excellent accuracy (Table 6 and Figure 8). For instance, the MAPD and bias values are 15.79% and 1.43 g.m⁻³, respectively. Except for the Cuaviet Estuary, the performance only slightly differs according to the sub-match-up data set used (Table 6), which mainly reflects the impact of a different distribution of the data rather than a true regional variability. The impact of the regional discrepancies could indeed only be addressed with a higher number of match-up data points. The lowest performance of the algorithm observed at the Cuaviet Estuary (smaller than the Han Estuary) could be explained by the complex hydrological condition of the Estuary, bottom albedo effect, and adjacency effect.

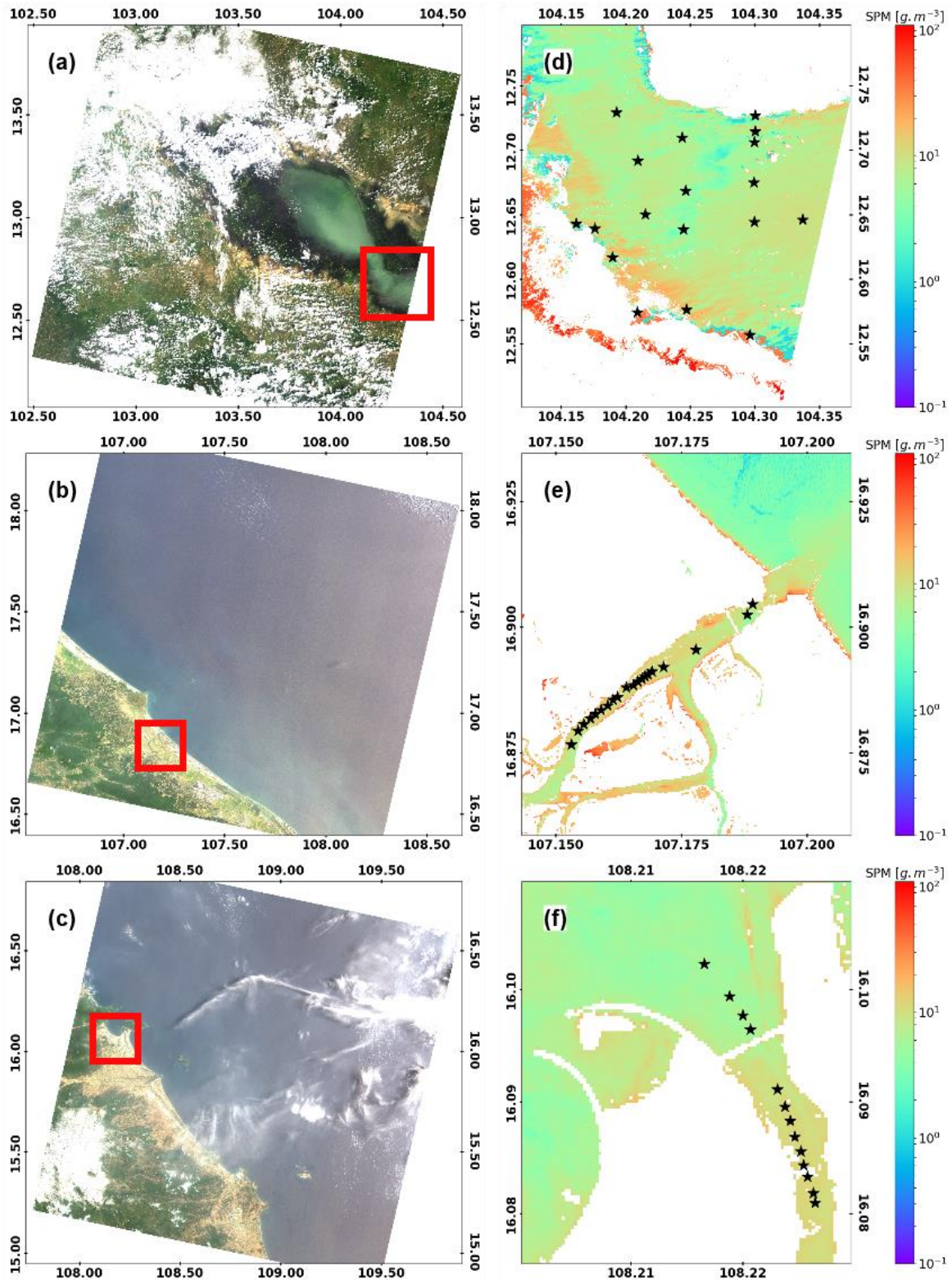


Figure 7. RGB Landsat-8/OLI composited images acquired over (a) the Tonle Sap lake (Cambodia) the 13 of October 2018, (b) the Quangtri province (Vietnam) the 25 of April 2019, and (c) in Danang (Vietnam) the 4 of May 2019. Results of the VSPM algorithm over the sub-areas delimited by the red squares over the (d) Tonle Sap lake, (e) Cuaviet Estuary, and (f) Han Estuary. Black stars provide the location of the different match-up stations.

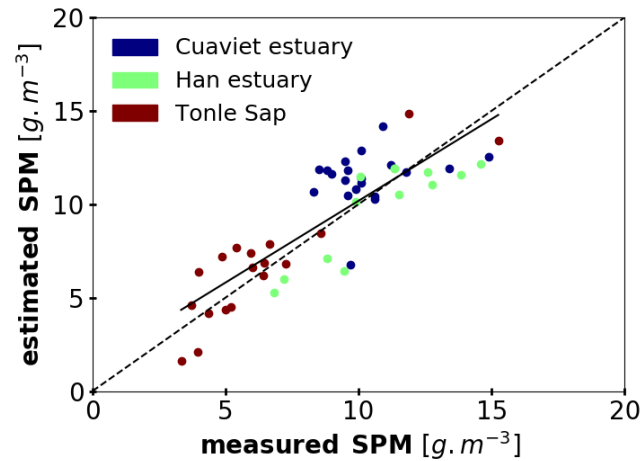


Figure 8. Comparison of the measured and inversed SPM values from the Landsat-8/OLI match-up data set ($N = 51$) at the three different locations as indicated (Tonle Sap, Cuaviet Estuary, and Han Estuary). The solid line represents the best linear regression fit to data and the dashed line represents the 1:1 line.

Table 6. Statistical indicators of the performance of the Red-NIR/V1SPM algorithm over the 51 SPM match-up data points.

| Data set | MAPD [%] | RMSD _{log} | Slope | Bias | R ² |
|-----------|----------|---------------------|-------|--------|----------------|
| Tonle Sap | 15.87 | 0.13 | 0.979 | 0.515 | 0.793 |
| Cuaviet | 17.33 | 0.09 | 0.243 | 8.987 | 0.068 |
| Han | 13.86 | 0.08 | 0.931 | -0.265 | 0.734 |
| All | 15.79 | 0.10 | 0.875 | 1.431 | 0.703 |

Satellite SPM products are now compared over the Camau (South of Vietnam) coastal area which has been sampled almost simultaneously by Landsat-8/OLI (at 03:14:41.7 UTC time) (Figure 9a) and VNREDSat-1/NAOMI (03:51:14.2 UTC time) (Figure 9b) on January 8th 2015, that is during the dry season which generally occurs from November to April. During this period, suspended sediments are generally concentrated along the Eastern Camau peninsula coast where the sediment dynamics is basically controlled by the Mekong River inputs during summer and resuspension effects during winter [6]. Other images were also available for this inter-comparison exercise but have not been considered due to glitter contamination. The Landsat-8/OLI and VNREDSat-1/NAOMI images are processed using the last version of ACOLITE (Vanhellemont, 2019), and Red-NIR/V1SPM algorithms, respectively. Due to the different spatial resolution of the two considered sensors, the VNREDSat-1/NAOMI pixels are resized from 10 m to 30 m, the nominal spatial resolution of Landsat-8/OLI. The inter-comparison is performed on a sub-area of the images to limit the impact of white caps (but see discussion in Ngoc et al., 2019). A relatively good agreement between the two SPM products can be observed, with however more pixels with higher SPM values for OLI than NAOMI (Figure 9e).

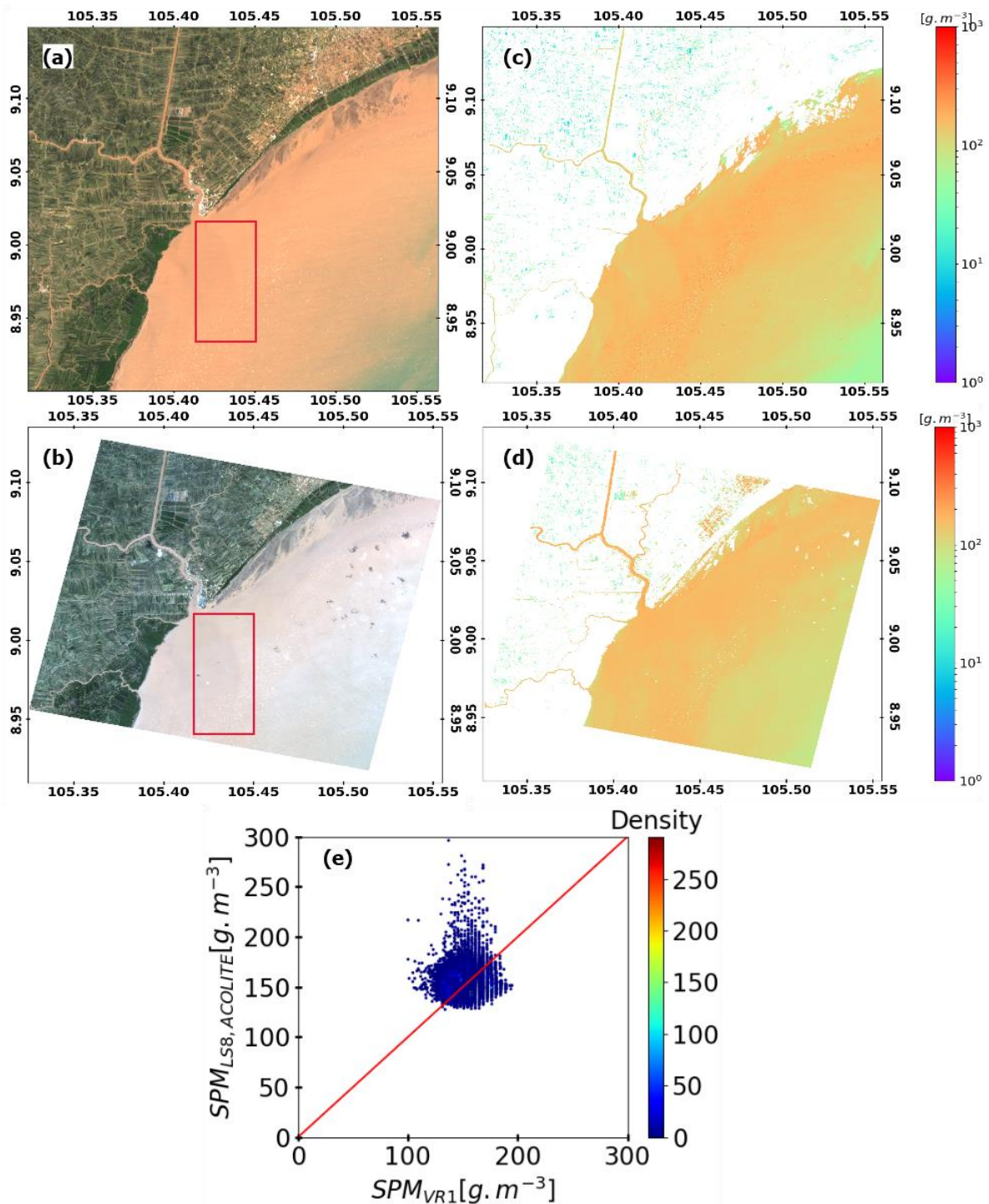


Figure 9. Landsat-8/OLI (a) and VNREDSat-1/NAOMI (b) RGB composited at coastal of Camau province in South of Vietnam on January 8th, 2015. The captured time at central scenes are 03:14:41.7 UTC time (Landsat-8) and 03:51:14.2 UTC time (VNREDSat-1). In the panel (e), VNREDSat-1/NAOMI SPM (d) by V1SPM estimation is compared with Landsat-8/OLI SPM (c) estimation by the Nechad algorithm used in ACOLITE over the red rectangle areas in (a) and (b). The 1:1 line is draw in red.

3.4. Illustration of the monitoring of a flooding event from VNREDSat-1

An illustration of how the Red-NIR and V1SPM algorithms can be used to monitor environmental issues from VNREDSat-1 is provided in Figure 10. Due to heavy rainfall and water released by the Vucmau hydro power dam, a large flooding event occurred in the Nghean province (Figure 10a) from 22nd September 2013 to 1st October 2013. A series of VNREDSat-1 images were

acquired during the flooding time period (Figure 10b), and 3 (Figure 10c) and 8 (Figure 10d) days after this event. This very short time series captured by VNREDSat-1 shows the spatial coverage of the flooding, as well as the rapid retreat of waters following this natural hazard event. Information about the concentration of SPM is also provided over each flooded area, as well as at the outlet of the Yen River where the River plum areas sharply decreases in two weeks.

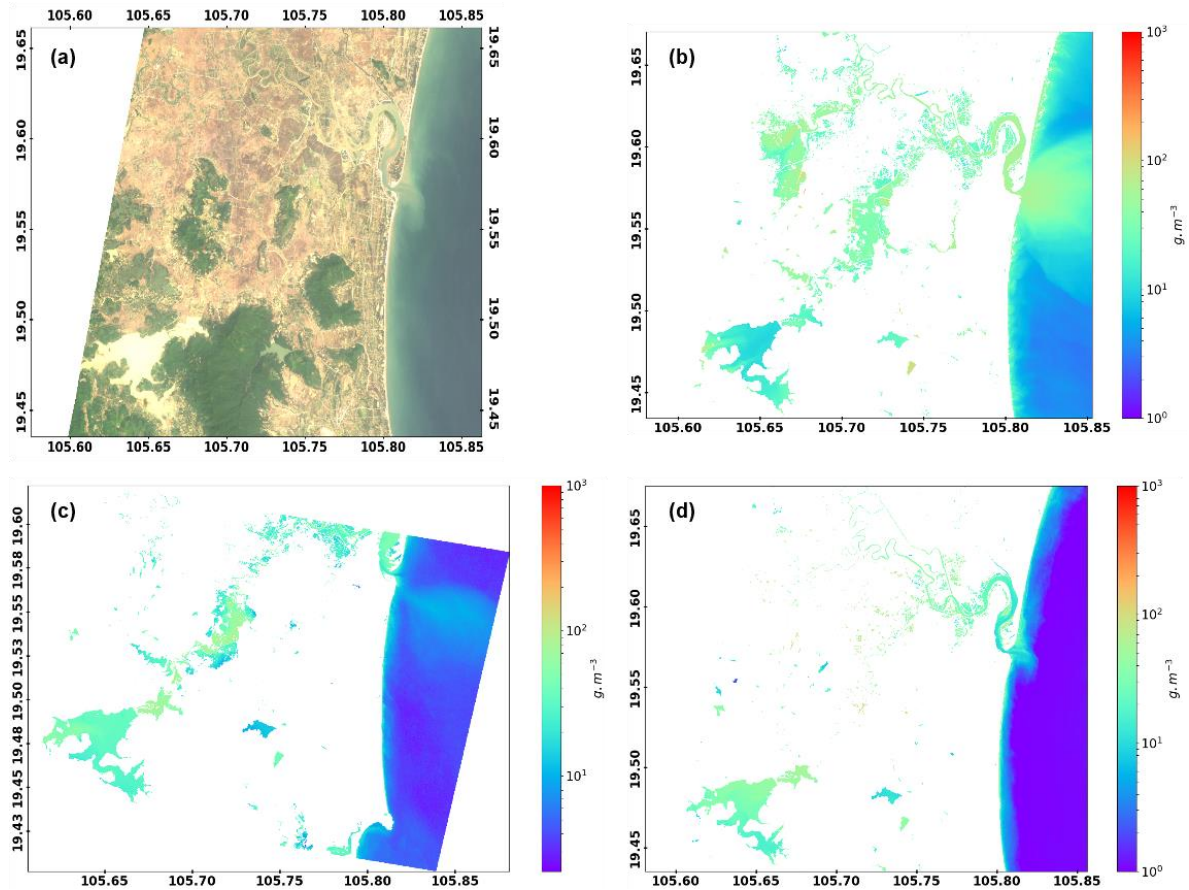


Figure 10. (a) RGB map over the Nghean province showing the Vucmau reservoir. SPM maps processed by the Red-NIR and V1SPM algorithms and acquired the (b) 22nd September, 2013, (c) 4th, and (d) 8th October, 2013.

6. Concluding remarks

The present study was dedicated to the development of an SPM algorithm for the VNREDSat-1/NAOMI sensor. The simple empirical formulation proposed here, based on a band-ratio approach, offers, at least for the present data set, the best solution for the SPM retrieval at regional scale. Additionally, this ratio allows to reduce the scatter around the adopted polynomial fit between radiometric measurements and SPM. However, further researches should be undertaken to better understand and qualify the origin of this scatter (measurements uncertainties vs. natural variability) as previously done for instance with algorithms aiming at estimating Chl-a [36]. At last, we recommend that a proper validation plan for NAOMI should also be undertaken in a very near future.

Author Contributions: Dat Dinh Ngoc developed final model and finished the original manuscript. Hubert Loisel proposed idea of the work, gave permission to data at LOG and Writing - review & editing. Vincent Vantrepotte validated final model and Writing - review & editing. Huy Chu Xuan and Ngoc Nguyen Minh worked with VNREDSat-1/NAOMI database to search the match-ups with Landsat-8/OLI. Charles Verpoorter and Xavier Mériaux collected in situ data over Tonlesap. Hanh Pham Thi Minh and Huong Le Thi collected in situ data over Cuaviet Estuary and Han Estuary. Thao Nguyen Van, Hai Le Vu Hong made VNREDSat-1 quality checking such as remove cloud scenes and processed satellite images.

Funding: This study is funded by VT-UD.02/17-20 project belong to Vietnam National Space Science and Technology Program, QTRU04.07/18-19 project under Space Technology Institute and 2018.73.041 project under Le Quy Don University.

Acknowledgments: The authors would like to thank satellite images distributors including USGS for Landsat-8/OLI and center of control and exploitation of small satellite, Space Technology Institute, Vietnam Academy of Science and Technology for distribution of Level-1 data products. The in situ data set used in this study has been collected in the frame of the VITEL (CNES, TOSCA), Black Carbon, VolTransMEKONG (TOSCA/CNES) and Vietnam National Space Science and Technology Program projects. The VITEL cruises in 2014 were performed onboard the research vessel ALIS from IRD. The authors also thankful Vietnam National Space Science and Technology Program, LOG/ULCO, University of Science and Technology of Hanoi, and Space Technology Institute, and Institute of Technology of Technology of Cambodia, for funding and facilities for this research. The authors thank to Quinten Vanhellemont and Kevin Ruddick for free ACOLITE contribution.

Conflicts of Interest: The authors declare no conflict of interest.

References

1. Pruszek, Z.; Szymkiewicz, M.; Hung, N.M.; Ninh, P.V. Coastal processes in the Red river delta area, Vietnam. *Coast. Engineer. J.* **2002**, *44*, 97-126.
2. Boateng, I. GIS assessment of coastal vulnerability to climate change and coastal adaption planning in Vietnam. *J. Coastal Conserv.* **2012**, *16*, 25-36.
3. Dastgheib, A.; Reyns, J.; Thammasittirong, S.; Weesakul, S.; Thatcher, M.; Ranasinghe, R. Variations in the Wave Climate and Sediment Transport Due to Climate Change along the Coast of Vietnam. *J. Marine Sci. and Engineering.* **2016**, *4*, 86.
4. Du, H.T.; Kunzmann, A. The Sediment Load and Deposition by River Discharge and Their Relation to Organochlorine Pesticides Pollutants in the Sediment Bottom of Nha Trang Bay, Vietnam. *Ocean Sci. J.* **2015**, *50*, 455-466.
5. Vinh, V.D.; Ouillon, S.; Thanh, T.D.; Chu, L.V. Impact of the Hoa Binh dam (Vietnam) on water and sediment budgets in the Red River basin and delta. *Hydro. Earth Syst. Sci.* **2014**, *18*, 3987-4005.
6. Loisel, H.; Mangin, A.; Vantrepotte, V.; Dessailly, D.; Ngoc, D.D.; Garnesson, P.; Ouillon, S.; Lefebvre, J.P.; Mériaux, X.; Phan, T.M.. Variability of suspended particulate matter concentration in coastal waters under the Mekong's influence from ocean color (MERIS) remote sensing over the last decade. *Remote Sens. Environ.* **2014**, *150*, 218-230.
7. Anthony, E. J.; Brunier, G.; Besset, M.; Goichot, M.; Dussouillez, P.; Nguyen, V. L.. Linking rapid erosion of the Mekong River delta to human activities. *Sci. Reports.* **2015**; doi:10.1038/srep14745.
8. Hauser, L.T.; Vu, G.N.; Nguyen, B.A.; Dade, E.; Nguyen, H.M.; Nguyen, T.T.Q.; Le, Q.T.; Vu, L.H.; Tong, A.T.H.T.; Pham, H.V.. Uncovering the spatio-temporal dynamics of land cover change and fragmentation of mangroves in the Ca Mau peninsula, Vietnam using multi-temporal SPOT satellite imagery (2004-2013). *Applied Geo.* **2017**, *86*, 197-207.
9. Loisel, H.; Vantrepotte, V.; Ouillon, S.; Ngoc, D.D.; Herrmann, M.; Tran, V.; Meriaux, X.; Dessailly, D.; Jamet, C.; D, T.; Huan, N.H.; Nguyen, T.V.. Assessment and analysis of the chlorophyll-a concentration variability over the Vietnamese coastal waters from the MERIS ocean color sensor (2002-2012). *Remote Sens. Environ.* **2017**, *190*, 217-232.
10. Marchesiello, P.; Nguyen, M.; Gratiot, N.; Loisel, H.; Anthony, E.; Dinh, S.; Almar, R.; Kestenare, E.. Erosion of the coastal Mekong delta: Assessing natural against man induced processes. *Continental Shelf Research.* **2019**, *181*, 72-89.
11. Tajima, Y.; Gunasekara, K.; Nguyen, H.T. Satellite-based monitoring of contrasting characteristics of suspended sediment discharged from the Red and the Ma river systems along the northern coast of Vietnam. *Int. J. Sediment Res.* **2018**; doi:10.1016/j.ijsrc.2018.08.004.
12. IOCCG report Number 3. **2000**; <http://ioccg.org/wp-content/uploads/2015/10/ioccg-report-03.pdf>.
13. Loisel, H.; Vantrepotte V.; Jamet, C.; Ngoc, D.D.. Challenges and New Advances in Ocean Color Remote Sensing of Coastal Waters. *Topics in Oceanography*, **2013**, Chapter; doi:10.5772/56414.
14. Tassan, S. Local algorithms using SeaWiFS data for the retrieval of phytoplankton, pigments, suspended sediment, and yellow substance in coastal waters. *Applied Opt.* **1994**, *33*, 2369-2378.
15. Forget, P.; Ouillon, S. Surface suspended matter off the Rhone river mouth from visible satellite imagery. *Oceanologica Acta.* **1998**, *21*, 739-749.

16. Siswanto, E.; Tang, J.; Yamaguchi, H.; Ahn, Y.H.; Ishizaka, J.; Yoo, S.; Kim, S.W.; Kiyomoto, Y.; Yamada, K.; Chiang, C.; Kawamura, H. Empirical ocean-color algorithms to retrieve chlorophyll-a, total suspended matter, and colored dissolved organic matter absorption coefficient in the Yellow and East China Seas. *J. Ocean.* **2011**; doi: 10.1007/s10872-011-0062-z.
17. Doxaran, D.; Froidefond, J.M.; Lavender, S.; Castaing, P. Spectral signature of highly turbid waters Application with SPOT data to quantify suspended particulate matter concentrations. *Remote Sens. Environ.* **2002**, *81*, 149-161.
18. Yopez, S.; Laraque, A.; Martinez, J.-M.; Sa, J. D.; Carrera, J. M.; Castellanos, B.; Gallay, M.; Lopez, J. L. Retrieval of suspended sediment concentrations using Landsat-8 OLI satellite images in the Orinoco River (Venezuela). *C. R. Geoscience.* **2018**, *350*, 20-30.
19. Nechad, B.; Ruddick, K.G.; Park, Y. Calibration and validation of a generic multisensor algorithm for mapping of total suspended matter in turbid waters. *Remote Sens. Environ.* **2010**, *114*, 854-866.
20. Han, B.; Loisel, H.; Vantrepotte, V.; Mériaux, X.; Bryère, P.; Ouillon, S.; Dessailly, D.; Xing, Q.; Zhu, J. Development of a Semi-Analytical Algorithm for the Retrieval of Suspended Particulate Matter from Remote Sensing over Clear to Very Turbid Waters. *Remote Sens.* **2016**, *8*, 211; doi:10.3390/rs8030211.
21. Balasubramanian, S.V.; Pahlevan, N.; Smith, B.; Binding, C.E.; Schalles J.; Loisel, H.; Gurlin, D.; Greb, S.; Alikas, K.; Uusõue, M.; Bunkei, M.; Moses, W.S.; Nguyen, H.T.T.; Lehmann, M.K.; O'Donnell, D.M.; Ondrusek, M.; Han, T.H.; Fichot, C.G.; Moore, T.; Boss, E.S.. Robust algorithm for estimating total suspended solids (TSS) in inland and nearshore coastal waters. *Remote sens. Environ.* **2020**, *246*, 111768.
22. Ngoc, D.D.; Loisel, H.; Duforêt-Gaurier, L.; Jamet, C.; Vantrepotte, V.; Goyens, C.; Xuan, H.C.; Minh, N.N.; Van, T.N. Atmospheric correction algorithm over coastal and inland waters based on the red and NIR bands: application to Landsat-8/OLI and VNREDSat-1/NAOMI observations. *Opt. Express.* **2019**, *27*, 31676-31697.
23. Minh, C.D.. Space Technology Development of Vietnam in 2011-2012. *Proceedings of APRSAF-19.* **2012**.
24. Luquet, P.; Chikouche, A.; Benbouzid, A.B.; Arnoux, J.J.; Chinal, E.; Massol, C.; Rouchit, P.; Zotti, S.D.. NAOMI instrument: a product line of compact & versatile cameras designed for high resolution missions in Earth observation. *Proceedings of the 7th ICSO.* **2008**.
25. Vanhellemont, Q.. Adaptation of the dark spectrum fitting atmospheric correction for aquatic applications of the Landsat and Sentinel-2 archives. *Remote Sens. Environ.* **2019**, *225*, 175-192.
26. Röttgers, R.; Heymann, K.; Krasemann, H.. Suspended matter concentrations in coastal waters: Methodological improvements to quantify individual measurement uncertainty. *Estuar. Coast. Shelf Sci.* **2014**, *151*, 148-155.
27. Stavn, R.H.; Rick, H.J.; Falster, A.V.. Correcting the errors from variable sea salt retention and water of hydration in loss on ignition analysis: implications for studies of estuarine and coastal waters. *Estuar. Coast. Shelf Sci.* **2009**, *81*, 575-582.
28. Ngoc, D.D.; Loisel, H.; Jamet, C.; Vantrepotte, V.; Duforêt-Gaurier, L.; Minh, C.D.; Mangin, A.. Coastal and inland water pixels extraction algorithm (WiPE) from spectral shape analysis and HSV transformation applied to Landsat 8 OLI and Sentinel-2 MSI. *Remote Sens. Environ.* **2019**, *223*, 208-228.
29. Doxaran, D.; Cherukuru, N.C.; Lavender, S.J.. Use of reflectance band ratios to estimate suspended and dissolved matter concentrations in estuarine waters. *Int. J. Remote sens.* **2005**, *26*, 1763-1769.
30. Vanhellemont, Q.; Ruddick, K. Turbid wakes associated with offshore wind turbines observed with Landsat 8. *Remote Sens. Environ.* **2014**, *145*, 105-115.
31. Vanhellemont, Q.; Ruddick, K. Advantages of high quality SWIR bands for ocean colour processing: Examples from Landsat-8. *Remote Sens. Environ.* **2015**, *161*, 89-106.
32. Morel, A. and B. Gentili. Diffuse reflectance of oceanic waters. II. Bidirectional aspects. *Applied Opt.* **1993**, *32*, 6864-6872.
33. Morel, A.; Gentili, B. Diffuse reflectance of oceanic waters. III. Implication of bidirectionality for the remote-sensing problem. *Applied Opt.* **1996**, *35*, 4850-4862.
34. Loisel, H.; Morel, A. Non-isotropy of the upward radiance field in typical coastal (Case 2) waters. *Int. J. Remote Sens.* **2001**, *22*, 275-295.
35. Doxaran, D.; Froidefond, J.M.; Castaing, P. A reflectance band ratio used to estimate suspended matter concentrations in sediment-dominated coastal waters. *Int. J. Remote Sens.* **2002b**, *23*, 5079-5085.
36. Loisel, H.; Vantrepotte, V.; Norkvist, K.; Meriaux, X.; Kheireddine, M.; Ras, J.; Pujo-Pay, M.; Combet, Y.; Leblanc, K.; Dall'Olmo G.; Mauriac, R.; Dessailly, D.; Moutin, T.. Characterization of the bio-optical

anomaly and diurnal variability of particulate matter, as seen from scattering and backscattering coefficients, in ultra-oligotrophic eddies of the Mediterranean Sea. *Biogeosciences*. **2011**, *8*, 3295-3317.