
Occurrence and diversity of thermophilic sporeformers in French dairy powders

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Abstract :

This study reports the prevalence of sporeforming bacteria and contamination levels of a variety of powdered dairy products from cows', ewes', goats' and mares' milk produced in France. The concentration of mesophilic spores, thermophilic spores and highly heat-resistant thermophilic spores was assessed in 61 dairy powders. Thermophilic spore concentration was highly variable between powders, likely due to the different manufacturing processes used for transforming milk into dairy powders. The different stages of processing, particularly heat treatment close to 55 °C had a strong impact on selection of thermophilic bacteria contaminating dairy powders. For some products, thermophilic spore counts were as high as 5.89 log TSC g⁻¹. Of the 313 thermophilic isolates selected, 93.3% belonged to the species *Geobacillus stearothermophilus* (43.5%), *Bacillus licheniformis* (30.0%) and *Anoxybacillus flavithermus* (19.8%). These results confirm the presence of the three majority species reported worldwide.

Keywords : Spore forming bacteria, Thermophilic bacteria, dairy powders, diversity

38 1. Introduction

39

40 The manufacture of powdered products is an important part of the dairy industry because of
41 their long shelf life and ease of use. France is one of the leading producers and processors of milk in
42 Europe. Although non-pathogenic, spore-forming bacteria and more specifically thermophilic aerobic
43 species have become an important parameter for monitoring production hygiene in this type of
44 product. Three species dominate this group of bacteria. They include *Geobacillus stearothermophilus*,
45 *Anoxybacillus flavithermus* and *Bacillus licheniformis* (Rückert, Ronimus, & Morgan, 2004).

46 In the dairy industry, the provenance of bacterial spores is highly diverse as spores originate
47 from soil (Heyndrickx, 2011), water (Christiansson, Bertilsson, & Svensson, 1999) or silage (Te Giffel,
48 Wagendorp, Herrewegh, & Driehuis, 2002). The spores can be consumed by cows, resist transit and
49 end up in the faeces, from which they can contaminate the milk, through dirty teats and thus
50 entering milking equipment (Miller, Kent, Boor, Martin, & Wiedmann, 2015a). Contamination can
51 also occur during the transport of milk from the farm to the dairy industry (Huck, Sonnen, & Boor,
52 2008). All these sources of contamination contribute to explaining the presence of bacterial spores in
53 raw milk (Huck, Hammond, Murphy, Woodcock, & Boor, 2007). Concentrations of mesophilic
54 bacterial spores can be as high as $3.88 \log \text{ mL}^{-1}$ in raw milk (McGuiggan, McCleery, Hannan, &
55 Gilmour, 2002). More specifically, thermophilic spores have been found at concentrations ranging
56 from 0.26 to $2.41 \log \text{ spore mL}^{-1}$ in raw milk (Buehner, Anand, & Garcia, 2014; Murphy et al., 2019).
57 Those thermophilic spores are mainly represented by *Bacillus licheniformis* but also slightly by
58 *Geobacillus stearothermophilus* or *Anoxybacillus flavithermus* (from not detected to minus than 2%;
59 Chauhan et al., 2013).

60 In addition to milk contamination and the concentration effect by processing, it has been
61 shown that spore-forming (especially thermophilic) bacteria can multiply during processes and
62 thereby persist in industrial sites as a biofilm (Scott, Brooks, Rakonjac, Walker, & Flint, 2007).

63 After pasteurisation, which has no effect on spores, the manufacturing processes for dairy
64 powders differ according to the raw material and desired end product.

65 Milk powders (whole or skimmed) and whey powders are obtained by concentration in
66 evaporators followed by atomisation, while milk protein or whey protein powders need an
67 ultrafiltration before concentration and atomisation (see McHugh, Feehily, Hill, & Cotter, 2017 for
68 nice scheme). In addition, other casein precipitation processes such as acid, alkali or rennet can be
69 used. These different processes lead to different temperatures, a_w , pH conditions and durations that
70 will select and influence the development of microorganisms. Cleaning in place operations can also
71 affect contamination levels.

72 Infant formulas are another category of powder products of dairy origin, which can be
73 manufactured either by dry formulation (mixing of powders) or wet formulation (mixing of liquid
74 ingredients), concentrated and atomised (Happe & Gambelli, 2015).

75 Studies on the prevalence of mesophilic or thermophilic spore-forming bacteria have mainly
76 focused on whole milk powders (WMPs) and skimmed milk powders (SMPs) (Dettling et al., 2019;
77 Miller et al., 2015b; Reginensi et al., 2011; Rückert et al., 2004; Sadiq et al., 2016). However, few
78 other studies have focused on whey powders, whey protein powders and even infant formula
79 powders (Miller et al., 2015b; Sadiq et al., 2016; Yuan et al., 2012; Zain, Bennett, & Flint, 2017).

80 The objective of this study was to evaluate the contamination levels and prevalence of
81 sporeforming bacteria in French dairy powders targeting specific products of interest for dairy
82 powder production from cows', ewes', goats' and mares' milk.

83

84 **2. Material and methods**

85

86 *2.1. Sampling and spore enumeration*

87

88 A total of 61 French dairy powders was collected from 19 industrial plants on a voluntary
89 base. Powders were derived from cows', ewes', goats' and mares' milk. Analysed samples were
90 composed of WMP, SMP, whey powder (WP), whey protein concentrate (WPC), milk protein
91 concentrate (MPC), casein powder (CP), caseinate powder (CtP), permeate powder (PP) and infant
92 formula (IF).

93 Analyses were performed according to ISO 6887-5 (ISO, 2010) for milk solutions
94 reconstitution; to NF V08-250 (NF, 2010), for mesophilic spore counts (MSC) and thermophilic spore
95 counts (TSC), and according to ISO standard ISO/TS 27265:2009 (106 °C for 30 min; ISO, 2009) for
96 highly heat-resistant thermophilic spore count (HRTSC).

97 All bacterial spores were enumerated on agar according to ISO/TS 27265 (ISO, 2009). Counts
98 were performed in duplicate for each powder. The enumeration data were processed with Statistica
99 version 13.5.0.17.

100

101 2.2. *Isolate recovery*

102

103 Thermophilic spore-forming bacterial counts were used to select isolates for further
104 identification. Based on the colony morphology diversity, a proportional number of isolates were
105 selected and isolated twice on TSA (Biokar Diagnostics) + potato starch 0.2% (Panreac). Selected
106 isolates were stored in a 20% sterile glycerol solution at -80 °C.

107

108 2.3. *DNA extraction and isolate identification*

109

110 Procedures used for DNA extraction, M13 PCR amplification, gel migration, clustering
111 fingerprints, isolates selection for 16S rDNA sequencing, and identification, are similar to Ronimus et
112 al. (2003) and are fully explicated in Supplementary material.

113

114 3. Results and discussion

115

116 Milk powders analysed in this study can be classified into four major groups. This included
117 powders processed with evaporation and atomisation (WMP; SMP and WP), which have to be re-
118 divided because of their protein composition (into WMP and SMP on the one hand and WP on the
119 other); powders processed with filtration step (MPC and WPC); powders made of reconstitution (IF).
120 Finally, we arbitrarily grouped in “others” the remaining samples (CP, CtP, PP) that did not fit into
121 these categories and for which there are few samples analysed. Enumerations and diversity between
122 those four groups is different (Table 1).

123 The high concentrations of thermophilic spores in WMP or SMP (Fig. 1) from cows’ milk may
124 be explained by the temperature conditions during manufacturing processes, which were favourable
125 for the development of thermophilic bacteria and the formation of biofilms (Zhao et al., 2013).
126 Indeed, in plate heat exchangers used for pasteurisation, preheaters used before evaporation, and
127 evaporators, temperatures classically range from 45 to 70 °C (Goff, 2019). In WMP and SMP, *G.*
128 *stearothermophilus* seems to be predominant, followed by *B. licheniformis* and *A. flavithermus*.

129 International studies demonstrate that *B. licheniformis* is the main contaminant in some
130 WMP (Rückert et al., 2004; Sadiq et al., 2016) and SMP (Sadiq et al., 2016). However, other studies
131 demonstrate that *A. flavithermus* is also the main contaminant of some WMP (Dettling et al., 2019)
132 and SMP (Dettling et al., 2019; Ronimus et al., 2003). Results obtained in our study are in agreement
133 with worldwide one where *G. stearothermophilus* reaches more than 22% of identified isolates
134 (Sadiq et al., 2016). The contamination level of WMP from ewes’ and goats’ milk seems less
135 important (average: 1.68 log TSC g⁻¹) and could be linked to the size of the industry or to the run
136 duration, in addition, no thermophilic spores were quantified in mare milk probably because of the
137 process used (lyophilisation).

138 Whey can be concentrated and evaporated directly, or fractioned by filtration, giving
139 different types of dairy powders, protein powders or permeate powders (Snappe, Lepoudere, &

140 Sredzinski, 2010). The high contamination of WP can be explained by evaporation steps like WMP
141 and SMP, and filtration (for some of them), increasing the bacterial population rate. The spore
142 diversity is quite similar to that for WMP and SMP, where *G. stearothermophilus* is predominant
143 (52%), but *A. flavithermus* is more present in WP (29%), which is in parallel with the study from Miller
144 et al. (2015b) who found, in acid WP, that those two sporeformers represent 27.1% and 38%,
145 respectively.

146 Concentration of thermophilic spores of proteins obtained from whey (WPC) or milk (MPC) is
147 consistent with the work of Kent, Chauhan, Boor, Wiedmann, and Martin (2016). Bacterial spores are
148 retained by the filtration membrane into the retentate (Chamberland, Lessard, Doyen, Labrie, &
149 Pouliot, 2017). Besides predominance of *B. licheniformis* in this type of powder was explained by Zain
150 et al. (2017) by the development upstream of ultrafiltration processes in tanks or plate exchangers,
151 where whey protein and lactose concentrations and temperatures are favourable for its
152 development.

153 For casein powder (CP), the high level of thermophilic bacteria is due to use of rennet. There
154 was no modification of pH value and bacteria were concentrated in the protein part. Moreover,
155 during casein powder production, rennet casein is washed with hot water (45/60 °C) which may
156 promote thermophilic bacteria growth. The low concentration of bacterial spores in CtP may be
157 explained by the combination of acid and alkaline treatments as well as the absence of heat
158 treatment in plate heat exchangers or evaporation before drying. To our knowledge, no comparison
159 data for CP or CtP are available in the bibliography. Permeate powders originate from the liquid part
160 obtained by ultrafiltration of milk or whey (bacteria and proteins are retained in the retentate). This
161 observation explains the absence or very limited level of $1.18 \log \text{TSC g}^{-1}$ in one of the four permeate
162 powder samples analysed. No correlation between diversity and process can be done due to the lack
163 of isolates obtained from those types of powder.

164 Infant powders are produced by blending various milk ingredients (SMP, lactose and WPC)
165 from the fractionation of milk constituents to approximate the composition of human breast milk. It

166 is, therefore, difficult to explain the variability in spore concentrations observed by the impact of the
167 manufacturing process. This difference in composition may explain the high presence of *G.*
168 *stearothermophilus*, followed by *A. flavithermus* and *B. licheniformis*. These results are not in
169 accordance with studies of Yuan et al. (2012) that demonstrated that *A. flavithermus* is the most
170 prevalent contaminant with more than 40.4% of isolates obtained. However, Sadiq et al. (2016)
171 demonstrated that *B. licheniformis* was the most predominant isolate with more than 47.2%. Those
172 two studies shown that *G. stearothermophilus* was present at a level of 21% and 17.1% respectively.
173 The contamination level of thermophilic spores in goat and ewe formula (average: 1.72 log TSC g⁻¹)
174 seems equal to IF from cow milk, but mesophilic concentration is higher (average: 2.51 log MSC g⁻¹
175 versus 1.06 log MSC g⁻¹ in cows' milk IF).

176 Regarding enumeration of TSC present in the four groups, ANOVA analysis (Table 1) can
177 classify them in two groups (a and b) resulting in a high contamination for WMP and SMP compared
178 with IF (group b). WP, WPC and MPC are part of groups a and b due to of their median TSC
179 contamination.

180 The high concentration of HRTSC (Fig. 1; Table 1) in WMP and SMP seems to be related to the
181 presence of *G. stearothermophilus* and *A. flavithermus*, unlike MPC and WPC that were mainly
182 contaminated by *B. licheniformis* resulting of low amount of HRTSC.

183 Besides the three major species, other species also isolated from the dairy environment or
184 ingredients such as *Aneurinibacillus thermoaerophilus*, *Bacillus smithii*, *Brevibacillus brevis*, *Bacillus*
185 *thermoamylovorans*, *Bacillus coagulans* and *Brevibacillus bortelensis* (Lücking, Stoeckel, Atamer,
186 Hinrichs, & Ehling-Schulz, 2013; Miller et al., 2015b; Ronimus, Rueckert, & Morgan, 2006;
187 Scheldeman, Pil, Herman, De Vos, & Heyndrickx, 2005; Yuan et al., 2012) or never isolated from dairy
188 environment such as *Bacillus ginsengihumi* and *Paenibacillus naphthalenovorans* accounted for
189 6.71% of all the isolates in our study.

190 Calculated Simpson Index (1/D) (Simpson, 1949) on powder type did not highlight any
191 difference between them, but the strain diversity reflected by the M13 PCR footprint shows that

192 diversity of *A. flavithermus* ($1/D = 4.17$) is higher (Table 1) than *G. stearothermophilus* ($1/D = 2.22$)
193 and *B. licheniformis* ($1/D = 1.72$).

194

195 **4. Conclusion**

196

197 The presence and concentration of mesophilic and thermophilic spore-forming bacteria in
198 dairy powders varies according to the type of powder analysed. At each stage of milk or whey
199 processing, the environmental conditions such as temperature, pH or composition, may or may not
200 promote their proliferation, biofilm formation and sporulation in the raw material or residual
201 biofilms. Furthermore, concentration processes, including evaporation, filtration or precipitation,
202 influence the concentrations of bacterial spores in dairy powders. Thermophilic spore forming
203 bacteria are used as a hygiene indicator if the cleaning in place procedure is not well respected. Due
204 to their resistance to processes and cleaning products, it is important to monitor these thermophilic
205 spores to limit their proliferation and potential impact in products using the reconstituted powders.
206 Future experiments will be conducted on the phenotypic diversity of thermophilic spores, particularly
207 their capacity to form biofilms and their resistance to different cleaning treatments.

208

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210

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213

214 **References**

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- 306

Table 1

Median of enumeration of mesophilic (MSC), thermophilic (TSC) and highly heat resistant thermophilic spores (HRTSC) in the four types of dairy powders studied and diversity of TSC isolated. ^a

Parameter	WMP / SMP	WP	WPC / MPC	IF	Others	Total	Simpson index (1/D)	^a
N samples	15	8	10	18	10	61		Abb
Median MSC / SE	2.60 / 0.46	1.57 / 0.56	2.37 / 0.68	1.51 / 0.67	NA	NA		revi
Median TSC / SE	4.02 / 1.47	1.94 / 0.80	2.78 / 0.53	1.60 / 0.83	NA	NA		atio
Median HRTSC / SE	1.81 / 1.27	1.24 / 0.26	0 / 0.48	0.94 / 0.98	NA	NA		ns
ANOVA Grouping TSC	a	a/b	a/b	b	NA	NA		are:
Total TSC isolates	108	44	67	83	11	313		WM
<i>A. flavithermus</i> (%)	17	29	22	18	NA	19.8	4.17	P,
<i>B. licheniformis</i> (%)	25	14	63	17	NA	30.0	1.72	who
<i>G. stearothermophilus</i> (%)	50	52	9	59	NA	43.5	2.22	le
Others (%)	8	5	6	6	NA	6.7		milk
Simpson index (1/D)	2.94	2.78	2.27	2.50	NA			pow

der; SMP, skimmed milk powder; WP, whey powder; WPC, whey protein concentrate; MPC, milk protein concentrate; IF, infant formula (Others are permeate powder, casein powder, caseinate powder); SE, standard error; NA, not applicable.

Figure legend

Fig. 1. Enumeration of mesophilic spores (☐, 80 °C 10 min, incubation 37 °C), thermophilic spores (▣, 80 °C 10 min, incubation 55 °C) and heat resistant thermophilic spores (▤, 106 °C 30 min, incubation 55 °C) in milk powders: □, median; box, 25th percentiles; whisker, non-outlier min and max (coefficient 1); ○, outlier values (coefficient 1.5). WMP, whole milk powder; SMP, skimmed milk powder; CP, casein powder; CtP, caseinate powder; MPC, milk protein concentrate; WP, whey powder; WPC, whey protein concentrate; PP, permeate powder; IF, infant formula.

