
A review of the fisheries, life history and stock structure of tropical tuna (skipjack *Katsuwonus pelamis*, yellowfin *Thunnus albacares* and bigeye *Thunnus obesus*) in the Indian Ocean

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Abstract :

Skipjack (*Katsuwonus pelamis*), yellowfin (*Thunnus albacares*) and bigeye (*Thunnus obesus*) tuna are the target species of tropical tuna fisheries in the Indian Ocean, with high commercial value in the international market. High fishing pressure over the past three decades has raised concerns about their sustainability. Understanding life history strategies and stock structure is essential to determine species resilience and how they might respond to exploitation. Here we provide a comprehensive review of available knowledge on the biology, ecology, and stock structure of tropical tuna species in the Indian Ocean. We describe the characteristics of Indian Ocean tropical tuna fisheries and synthesize skipjack, yellowfin, and bigeye tuna key life history attributes such as biogeography, trophic ecology, growth, and reproductive biology. In addition, we evaluate the available literature about their stock structure using different approaches such as analysis of fisheries data, genetic markers, otolith microchemistry and tagging, among others. Based on this review, we conclude that there is a clear lack of ocean basin-scale studies on skipjack, yellowfin and bigeye tuna life history, and that regional stock structure studies indicate that the panmictic population assumption of these stocks should be investigated further. Finally, we identify specific knowledge gaps that should be addressed with priority to ensure a sustainable and effective management of these species.

Keywords : Indian Ocean, Tropical tuna, Fisheries, Life history, Stock structure, Tuna biology, Tuna ecology, Skipjack tuna, Yellowfin tuna, Bigeye tuna

32 1. Introduction

33 Skipjack (*Katsuwonus pelamis*), yellowfin (*Thunnus albacares*) and bigeye (*Thunnus obesus*) tuna are
34 members of the Scombridae family. They are commonly referred to as tropical tuna because they inhabit
35 tropical and subtropical waters of the three major oceans (Atlantic, Indian, and Pacific). These species
36 represent a vital source of food, employment and livelihood for numerous coastal communities and nations
37 (FAO, 2016). Two main markets drive tuna production; traditional canned tuna, dominated by light meat
38 species such as skipjack and yellowfin, and sashimi/sushi products, where red meat species such as bluefin
39 and bigeye tuna are preferred (FAO, 2018). Market demand for tuna has increased over the last few decades,
40 which has led to increased exploitation. Global catch of tuna species in 2018 was 5.1 million tonnes, of
41 which tropical tuna species accounted for 95% (ISSF, 2020). In addition to being a major component of the
42 global fishing industry, tropical tuna are also amongst the world's most valuable fish (Galland et al., 2016),
43 Tropical tuna contribute considerably to the global economy (\$29.17 billion market value), and account for
44 the 88.5% of the global tuna sales value in 2014 (Macfadyen, 2016). The Pacific Ocean supports the world's
45 largest tuna fisheries, followed by the Indian Ocean, which accounts for 21% of worldwide tropical tuna
46 catches (Galland et al., 2016). Tropical tuna are an important source of food for millions of people around
47 the world, and support the livelihoods and employment of local communities and fishermen who depend
48 on them (FAO, 2016). These species are top predators that play a significant role in the open ocean
49 ecosystems, due to their influence in marine food webs structure and dynamics (Estes et al., 2016; van
50 Denderen et al., 2018), hence their decline can initiate trophic cascades (Heithaus et al., 2008), and
51 jeopardise the resilience and stability of marine resources (Kerr et al., 2016).

52 Despite their inherent importance to the marine ecosystem, the global economy and human wellbeing, the
53 complex and diverse range of countries participating in tuna Regional Fisheries Management Organizations
54 (tRFMOs), organizations responsible for managing tuna and tuna-like species, hinder the implementation
55 of effective conservation strategies to ensure the sustainability of tuna and related ecosystems (Cullis-
56 Suzuki and Pauly, 2010; Collette, 2017; Juan-Jordá et al., 2017). This is particularly true in the Indian
57 Ocean, where the co-existence of artisanal and industrial fisheries (total catches are divided about equally

58 between them), poses a major challenge for the assessment and management of Indian Ocean tropical tuna
59 stocks by the Indian Ocean Tuna Commission (IOTC) (Murua et al., 2015; McCluney et al., 2019). Overall,
60 data collection is less coordinated and naturally more difficult when it comes to small vessels, and
61 management and enforcement outcomes harder to implement (Pons et al., 2017). Many developing
62 countries experience serious capacity and infrastructure constraints, which can impede adequate data
63 collection and reporting (Ceo et al., 2012). And this is particularly the case of the Indian Ocean, where for
64 many tuna species artisanal fisheries, and in some case semi-industrial, tuna catches are estimated by IOTC
65 using several sources of information (see for more details IOTC, 2019c). The difficulty of monitoring the
66 fisheries within the Indian Ocean not only affects the stock assessment process, but also the implementation
67 of the agreed management measures in the IOTC area. For instance, although catch limitations of yellowfin
68 tuna in the Indian Ocean were agreed due to the overfished status of the stock (IOTC Resolution 19/01),
69 the measure failed to achieve its goal as catches has increased by around 9% in 2018 from 2014/2015 levels
70 despite limitations (IOTC, 2019b). Similarly, a Harvest Control Rule was agreed in 2016 for skipjack tuna
71 (IOTC Resolution 16/01), but the resultant catch limit for 2018-2020 has been exceeded in 2018 (IOTC,
72 2019b). As mis-assessment and mis-management of a species can lead to stock depletion (Hutchings, 2000;
73 Pauly et al., 2003), efforts must focus on improving the capacity of monitoring the fishery to collect fishery
74 statistics and statistical methods needed for improved assessment as well as improving the capacity to
75 implement the agreed management measures of the three tropical tuna stocks (i.e., skipjack, yellowfin and
76 bigeye) under the IOTC mandate.

77 Understanding life history strategies and stock structure is essential in determining how a species might
78 respond to fishing pressure (Jennings et al., 1998; Begg et al., 1999; Juan-Jordá et al., 2013). Life history
79 information such as age and growth, reproduction, maturity and mortality is required to determine
80 population productivity and, hence, resilience to fisheries (King and McFarlane, 2003; Morgan et al., 2009),
81 while the knowledge on habitat utilization and niche exploitation at different life stages can be useful for
82 evaluations of species-specific vulnerability to different fisheries. Besides, the understanding of stock
83 structure helps determine the appropriate units for stock assessment and suitable spatial scale for
84 management (Kerr et al., 2016). Different stocks (i.e. semi-discrete groups of fish with some definable
85 attributes that are of interest to fishery managers *sensu* Begg and Waldman 1999) may possess specific
86 genetic, physiological or/and behavioral traits, that can influence life history processes (Stepien, 1995). As
87 such, when the stock structure is more complex than recognized, management measures based on a single

88 stock assumption can potentially lead to overexploitation and possible collapse of less productive
89 subpopulations, and under-exploitation of more productive populations (Stephenson, 1999; Ying et al.,
90 2011). Each tropical tuna species is considered to form a single stock in the Indian Ocean for management
91 purposes (IOTC, 2017a, 2017b, 2017c) although spatial structure is recognized in current stock
92 assessments, which need to determine relative abundances among regions (Hoyle, 2018; Hoyle and
93 Langley, 2020). Since 2008, Indian Ocean yellowfin tuna assessments used a spatial stratification (Langley
94 et al., 2008; Fu et al., 2018), and so did recent bigeye assessments (Langley, 2016; Fu, 2019). These models
95 consider spatial differences in catches but assume a single-stock recruitment relationship (i.e. a unique
96 spawning population with no reproductively isolated units). However, scientific evidence on how tropical
97 tuna are structured in the Indian Ocean is required to validate the adequacy of the divisions used in this
98 approach and to resolve the single stock hypothesis assumption, as different scenarios may lead to different
99 management strategies and implications (Fig.1)

100 The aim of this review is to (i) describe the particularities of Indian Ocean tropical tuna fisheries (ii)
101 synthesize the existing information on Indian Ocean skipjack, yellowfin and bigeye tuna life history
102 strategies, (iii) compile the available information on stock structure sourced from studies using a range of
103 different approaches, and (iv) identify major knowledge gaps and highlight future research priorities needed
104 for the implementation of an effective conservation strategy for the tropical tunas in the Indian Ocean.

105 **2. Tropical tuna fisheries in the Indian Ocean**

106 Tropical tunas are the main target species of many industrial and artisanal fisheries in the Indian Ocean,
107 representing 53% of the total IOTC catches in 2018. Of the tropical tunas, skipjack tuna contributes the
108 most to catches (~53%), followed by yellowfin (~38%) and bigeye (~9%) tuna (IOTC, 2019a). Tuna fishing
109 operations increased rapidly during the 1980s (Majkowski et al., 2011; Marsac et al., 2014), with tropical
110 tuna catches growing from 146,483 tonnes (t) in 1980 to 560,308 t in 1990. At the beginning of the 20th
111 century, catches reached record levels, with 1,204,041 t reported in 2005. Over the last decade, total catches
112 have fluctuated between ~0.8-1.0 million tonnes, with evidence of an increasing trend since 2015 with
113 1,130,359 t landed in 2018 (IOTC, 2019a) (Fig. 2a). Until the late 1960s catches of tropical tuna were
114 dominated by Japan, but the large increase of catches during the 1980s was mainly driven by Taiwan,
115 European Union, Maldives and Indonesia (Fonteneau, 2010).

116 A characteristic of the Indian Ocean tropical tuna fishery is the relatively high contribution by the artisanal
117 sector (e.g., handline, gillnet, and pole-and-line) with around of 50% of total Indian Ocean tuna catches.
118 Domestic fisheries represent the main livelihood for millions of people in developing countries of the
119 eastern African coastal countries (van der Elst et al., 2005; Walmsley et al., 2006), and are of crucial
120 importance for food and employment security (Christ et al., 2020). At the beginning of the 2000s, these
121 fisheries accounted for ~35% of the total catch, exceeding 50% in the 2010-2014 period, after which it
122 steadily decreased down to ~43% in 2018 (Fig. 2b). The remaining catch is landed by industrial purse
123 seiners and longliners (Murua et al., 2015; IOTC, 2019a). The contribution of each fishing gear to the catch
124 varies among species. During the 2014-2018 period, skipjack tuna was mostly caught by industrial purse
125 seiners (~40%), pole-and-line (~20%), and gillnet (~20%) whereas yellowfin was mostly caught by purse
126 seiners (~36%), handline (~29%), gillnet (~21%) and longline (~10%) (IOTC, 2019b). This indicates, that
127 for these two species, catches are relatively evenly split between industrial and artisanal fisheries. By
128 contrast, industrial fisheries account for the majority of bigeye tuna catches in the Indian Ocean; deep-
129 freezing and fresh longline (~42%) and purse seiners (~31%) for the 2014-2018 period (IOTC, 2019a).

130 The majority of tropical tuna catches in the Indian Ocean are taken from the western region, with ~80% of
131 total catches occurring west of 80°E (i.e. FAO fishing area 51) in 2018 (IOTC, 2019a). However, location
132 of catches can vary seasonally, interannually and between gear types (Kaplan et al., 2014). Longline
133 fisheries operate throughout the entire Indian Ocean but catches of tropical tunas (primarily bigeye and
134 yellowfin tuna) are predominantly taken between latitudes 30°S-20°N, and peak from November to June
135 (Fig.3). From November to February (Fig. 3a), high catches are widespread from west to east whilst they
136 become concentrated in the Somali basin, north of 5°S, from March to June (Fig. 3b). Although catches in
137 July to October are generally lower than other months, they are again spread across the whole ocean basin
138 (Fig. 3c). An interesting characteristic of the fishery is the dominance of yellowfin tuna in catches in the
139 Mozambique Channel and the Bay of Bengal, in all seasons, whereas bigeye is the primary target species
140 in the equatorial region of the fishery. To a lesser extent, longline fisheries also operate in the southern
141 Indian Ocean along a zonal region between South Africa and Australia, where bigeye (and albacore – not
142 shown here) is caught as a bycatch of the southern bluefin fishery.

143 Purse seiners fishing activity is mainly concentrated in the western and central Indian Ocean, between
144 latitudes 20°S-15°N (Fig.4). Skipjack tuna, and to lesser extent juveniles of yellowfin and bigeye tunas, are
145 the predominant species caught by purse seiners fishing around fish aggregating devices (FADs) (Fig.4a, c

146 and e), while yellowfin tuna dominate catches by purse seiners fishing on free-swimming schools (Fig.4b,
147 d and f). From November to February, purse-seine catches on FADs are mainly in the western-equatorial
148 Indian Ocean (Fig.4a), whereas purse seine catches on free-swimming schools increase around the
149 Seychelles and Chagos archipelagos (Fig.4b). From March to June, the purse seine fishery operates in the
150 western-equatorial area but extends as far south as the Mozambique Channel, which is a mixture of FAD
151 and free school fishing (Fig.4c and d). During this period, tropical tuna catches by purse seiners are also
152 higher off the East African continent relative to central Indian Ocean. During the southwest monsoon (July-
153 October, Fig.4e and f), catches shift northward 5°S again, being highest off Somalian coast up to the
154 Seychelles archipelago.

155 Spatio-temporal patterns of activity of artisanal and semi-commercial fisheries cannot be described
156 accurately because spatialized catch and effort data are not usually provided by the fleets. Within the Indian
157 Ocean, the major pole-and-line fishery occurs in Maldives. This fishery is active all year round, targeting
158 mainly skipjack tuna, and to less extent yellowfin tuna (Kolody and Adam, 2011; Miller et al., 2017).
159 Maldivian hand-line also operates year around catching large yellowfin (Ahusan et al., 2016). The gillnet
160 fishery of yellowfin tuna is also important in Sri Lanka, Pakistan and Iran, catching yellowfin and skipjack
161 (IOTC, 2019b).

162 Since 2001 a significant progress has been made to reduce illegal, unreported, and unregulated fishing
163 (IUU) within the Indian Ocean (Anganuzzi, 2004; Agnew et al., 2009), but the true extent of this problem
164 may be underestimated due to paucity of information on non-industrial fisheries (Pauly and Zeller, 2016).
165 Considering all fisheries in the region (not only tuna), reconstructed catch trajectories of the Indian Ocean
166 differ considerably from the national landings data submitted to the FAO, especially in the western region
167 (Pauly and Zeller, 2016). Inconsistencies between reported landings and reconstructed catch data for all
168 taxa have been described for the south-western Indian Ocean (Temple et al., 2018), the Seychelles (Christ
169 et al., 2020), Madagascar (Le Manach et al., 2016) and Somalia (Glaser et al., 2019).

170 **3. Life history**

171 Skipjack, yellowfin and bigeye tuna are classified into the Scombridae family, and together with the other
172 12 tuna, form the tribe Thunnini. Among the five genera that form this tribe, yellowfin and bigeye tuna
173 belong to the genus *Thunnus* while skipjack tuna belongs to the genus *Katsuwonus* (Collette et al., 2001)
174 (Fig. 5). Tunas (except *Allothunnus sp.*) are unique among bony fishes as they possess a counter-current

175 heat exchanger system of *retia mirabilia* (i.e., a vein-arterial vascular system for heat conservation in
176 muscles, viscera and brain tissue) that allows them to maintain the temperature of body tissue warmer than
177 the surrounding waters (Collette et al., 2001). This endothermy, along with an elevated metabolic rate and
178 frequency modulated cardiac output, support continuous, relatively fast swimming and reduce thermal
179 barriers to habitat exploitation (Graham and Dickson, 2004). Skipjack and yellowfin tuna possess both
180 central and lateral heat exchangers, although lateral retia mirabilia are relatively small. Bigeye tuna have
181 no central heat exchanger, but a well-developed lateral heat exchanger (Carey et al., 1971; Graham, 1975),
182 as well as an additional retia, the function of which is to increase the temperature of their viscera, eyes and
183 brain. This allows bigeye to explore deeper and cooler waters than yellowfin or skipjack. These adaptations
184 imply different life history traits and behaviours among the three species of tropical tuna (Díaz-Arce et al.,
185 2016).

186 3.1. *Biogeography and habitat utilization*

187 Tropical tuna are circumtropical species that inhabit marine pelagic regions of the world's oceans between
188 45°N and 45°S (Fig. 6) (Sharp, 2001). Whereas adult yellowfin and bigeye tuna inhabit mid-ocean waters,
189 juveniles of these species and skipjack tuna can be found both in coastal and offshore waters (Goujon and
190 Majkowski, 2010). In the Indian Ocean, the three species are more abundant between the 15°S-15°N
191 longitudinal band, the Arabian Sea and the Mozambique Channel, although they can also be found in other
192 regions (Stéquer and Marsac, 1989; Lee et al., 2005; Kaplan et al., 2014). North of 10°S, the Indian Ocean
193 is characterized by a marked seasonality, due to the monsoonal system that determines the ocean circulation
194 and climate (Schott and McCreary, 2001). Two monsoon periods occur annually, the southwest monsoon
195 (June-September) and the northeast monsoon (December-March), with two inter-monsoon periods in
196 between, one from April to May and the second from October to November (Schott et al., 2009; Han et al.,
197 2014). In addition, there is a non-periodical mode of natural climate variability in the Indian Ocean, known
198 as the Indian Ocean Dipole (IOD). This phenomenon is the result of sustained changes in the differences
199 between sea surface temperatures (SST) of the western and eastern Indian Ocean (Saji et al., 1999). Both
200 processes have an impact on the biological production and ecological processes of the area (Wiggert et al.,
201 2006; Jury et al., 2010), and thus may affect the seasonal distribution and behaviour of tropical tuna in the
202 Indian Ocean (Marsac and Le Blanc, 1998, 1999; Ménard et al., 2007b; Marsac., 2017).

203 Although investigations of Indian Ocean tuna habitat preferences are scarce, experimental studies (Dizon
204 et al., 1977; Sharp and Dizon, 1978; Sharp, 2001) have defined the main environmental preferences and
205 physiological adaptation characterizing tuna habitats. As confirmed by other authors (e.g. Reygondeau et
206 al., 2012; Arrizabalaga et al., 2015; Druon et al., 2017), tropical tuna prefer relatively warm and stratified
207 waters. Skipjack, yellowfin and juvenile bigeye tuna inhabit the epipelagic zone, preferentially occupying
208 the surface mixed layer above the thermocline and waters with sea surface temperatures (SSTs) ranging
209 from 18 to 31°C (Barkley et al., 1978; Stéquert and Marsac, 1989; Pecoraro et al., 2016). Particularly in the
210 Indian Ocean, adult yellowfin tuna preferred habitat has been described to be at depths between 100 and
211 180m and water temperatures between 15.0-17.9°C (Song et al., 2008). By contrast, adult bigeye tuna
212 inhabit mesopelagic waters, and prefer depth and water temperatures between 240 and 280 m and 12 to
213 13.9°C, respectively (Song et al., 2009).

214 Tropical tuna larvae require warmer water (Conand and Richards, 1982; Wexler et al., 2011; Kim et al.,
215 2015), being confined to narrower habitat ranges than juveniles and adults (Reglero et al., 2014) (Fig. 6).
216 The isothermal boundary of tropical tuna spawning areas is >24°C (Schaefer, 2001), although Reglero et
217 al., (2014) suggested that this assumption should be revisited as they found a lower tolerance for tropical
218 tuna larvae of 20°C. Larval occurrence seems to increase at intermediate values (0.01-0.06 m² s⁻²) of eddy
219 kinetic energy (Kimura et al., 2004; Reglero et al., 2014).

220 Despite temperature preferences restricting tropical tuna spatial distribution, electronic tagging has shown
221 that these species are able to perform deep dives into colder waters (e.g. Schaefer et al., 2009). Depth
222 preference is known to change according to body size (Barkley et al., 1978; Graham and Dickson, 2004)
223 and time of the day (Evans et al., 2008). In the Indian Ocean, deep diving behaviour has only been studied
224 in yellowfin tuna; archival tagging of adults showed that large individuals can dive to depths in excess of
225 1000 m (Dagorn et al., 2006). Oxygen availability also limits the bathymetric range of tropical tuna species.
226 Skipjack and yellowfin tuna have limited tolerance to low levels of dissolved oxygen (Barkley et al., 1978;
227 Graham and Dickson, 2004), while bigeye tuna can tolerate, and even favour, less oxygenated (e.g. <0.2
228 mmol/L) waters (Sharp and Dizon, 1978; Graham and Dickson, 2004; Song et al., 2009; Arrizabalaga et
229 al., 2015). These species-specific physiological abilities and tolerances to environmental characteristics of
230 their vertical habitat, result in exploitation of distinct ecological niches at different stages of their life-
231 histories.

233 Tropical tuna have been described as energy speculators, meaning that high rates of energy expenditure are
234 invested to obtain even higher rates of energy (Korsmeyer and Dewar, 2001). Thus, their lifestyle implies
235 the necessity for searching large areas of their habitat in search of food (Dickson, 1995). As such, tropical
236 tunas are visual opportunistic predators that feed on a wide variety of prey (Olson et al., 2016; Duffy et al.,
237 2017); the particular composition will be mainly determined by body size, location, period and feeding
238 depth of each species (Ménard et al., 2006; Olson et al., 2016). In the Indian Ocean, studies analyzing the
239 composition and size of the prey in stomachs of skipjack, yellowfin and bigeye tuna, are limited to the
240 western region.

241 As larvae and small juveniles, tropical tuna diet consists of planktonic organisms inhabiting the shallow
242 mixed layer (Young and Davis, 1990; Graham et al., 2007). Tuna larvae are precocious feeders; skipjack
243 larvae start feeding two days after hatching (Matsumoto et al., 1984), while rotifers have been found in
244 digestive tracks of 4-day old yellowfin tuna larvae (Kaji et al., 1999). With the development of thermal
245 capability, a progressive diet shift occurs (Kaji et al., 1999; Graham et al., 2007). Maldeniya (1996) found
246 a gradual increase in fish consumption in yellowfin tuna > 40 cm fork length (FL) from Sri Lanka. This
247 ontogenetic shift in diet has also been reported for bigeye tuna of the Indian Ocean: the diet of juvenile
248 bigeye tuna consists mainly on stomatopod crustaceans, while piscivory increases in larger specimens
249 (Duffy et al., 2017). Juveniles of skipjack, yellowfin and bigeye tuna can be found in mixed schools feeding
250 at the surface, generally near the continental shelf, islands or around floating objects (IOTC, 2017a, 2017b,
251 2017c). Trophic markers revealed high potential of resource overlap between smaller individuals of these
252 species (Sardenne et al., 2016).

253 In contrast, adults consume a larger range of prey sizes (mainly small fish, cephalopods and crustaceans)
254 (Ménard et al., 2007a; Jaquemet et al., 2011; Olson et al., 2016), and exhibit predation plasticity depending
255 on prey availability between times and locations (Olson et al., 2016; Duffy et al., 2017). Changes in diet
256 composition with distance from the coast have been reported for skipjack and yellowfin tuna (Smale, 1986).
257 Small pelagic fish (*Cubiceps pauciradiatus*, *Sardinella ocellata* and *Engraulis capensis*) dominate by
258 volume for skipjack and yellowfin tuna diets near the shore (Smale, 1986; Potier et al., 2008), whereas in
259 open-ocean waters the ommastrephid cephalopod *Lycoteuthis diadema* also forms part of the diet (Smale,
260 1986). For yellowfin tuna feeding in offshore waters, the pelagic crab *Charybdis smithii* was found to be

261 the dominant prey item (Potier et al., 2007; Romanov et al., 2009). Long-term dietary changes have been
262 observed for open-water surface swimming skipjack and yellowfin tuna in the western-equatorial Indian
263 Ocean, shifting from fishes to crustacea. During the 1980's, the main prey item was the small cupleid
264 *Engraulis japonicus* (Roger, 1994), whereas in the 2000s the stomatopod *Natosquilla investigatoris* was by
265 far the dominant prey item (Potier et al., 2002, 2004) for yellowfin and skipjack tuna captured in the
266 Seychelles archipelago.

267 Foraging depth also influences the type of prey consumed by tropical tuna species (Kornilova, 1980). The
268 stomach content of surface swimming yellowfin and bigeye tuna was dominated by the stomatopod *N.*
269 *investigatoris*. Additionally, surface caught yellowfin tuna showed preference for fish (scombrids) and
270 bigeye tuna for squids (ommaestrophids) (Potier et al., 2004). Deep swimming bigeye tuna showed a
271 generalized feeding behavior with no dominant prey species found in their stomach. For yellowfin tuna
272 swimming in deep waters both types of feeding strategies were found (i.e. generalized and specialized),
273 with specialized feeders favoring crustaceans such as crab larvae or the swimming crab *Charybdis edwardsi*
274 (Potier et al., 2004). Specialized feeding behaviors have also been reported in reproductive females of
275 yellowfin tuna from Atlantic, Pacific and Indian Oceans (Bard et al., 2002; Flynn and Paxton, 2013; Zudaire
276 et al., 2015). During the spawning period, the latter seem to feed more intensively on lipid-rich fish,
277 particularly on cigarfish, *Cubiceps pauciradiatus* (Zudaire et al., 2015).

278 Stomach content analyses also indicate differential diel feeding behavior among species (Fig. 7). Skipjack
279 tuna feed during the day on prey that remain in shallower depths (0 to 200 m according to Roger, 1994),
280 with a bimodal peak of feeding activity around crepuscular periods (Olson et al., 2016). Peak feeding of
281 yellowfin and bigeye tuna occurs between 8 a.m. and 12 noon, although stomach fullness indices show that
282 yellowfin tuna feed throughout the day, while bigeye tuna is, in general, a daytime feeder (Olson et al.,
283 2016). Carbon and nitrogen isotopic signatures measured in muscles of the three species of tropical tuna in
284 the western Indian Ocean, suggested that bigeye tuna have a higher trophic position than skipjack and
285 yellowfin tunas (Olson et al., 2016; Sardenne et al., 2016). Due to their relative tolerance to lower
286 temperature and oxygen levels, bigeye tuna are able to prey at greater depths and lower light intensity
287 (Stobberup et al., 1998). Finally, skipjack tuna possess greater daily ratios of ingestion (3.5-4.2%,
288 percentage of body weight per day), than yellowfin (1.1-2.0%) and bigeye (0.6-3.6%) tunas (Olson et al.,
289 2016). Research on the trophic ecology of tropical tuna of the western Indian Ocean indicate some degree

290 of resource portioning both intra- and interspecifically, which allows the exploitation of different trophic
291 niches and effectively reduces the potential for food competition.

292 3.3. Age and Growth

293 Several approaches have been used to estimate growth of tropical tunas, including modal analyses of length
294 frequencies, the examination of daily and annual rings in calcified structures (e.g. otoliths, spines,
295 vertebrae) and information obtained from tag and recapture data (for an exhaustive review see Murua et al.,
296 2017). In addition, some growth models have combined the methods described above in an integrated
297 model, with the aim of reducing the biases associated with any single approach (Dortel et al., 2015; Eveson
298 et al., 2015).

299 Tropical tuna possesses a relatively high growth performance, characterized by having both high growth
300 rate coefficients (k) and, in the case of yellowfin and bigeye tuna, high asymptotic fork lengths (L_{∞}).
301 Skipjack tuna is considered the fastest growing species of all tunas (Murua et al., 2017). In the Indian
302 Ocean, estimated k values range between 0.23 and 1.41 year⁻¹ and L_{∞} values from 60.6 to 94.8 cm FL, but
303 most growth curves reported k values >0.4 and $L_{\infty} <82.5$ cm FL (Fig.8). Yellowfin tuna is the second fastest
304 growing tuna species but has a higher growth performance than skipjack tuna. Reported k and L_{∞} values in
305 the Indian Ocean range from 0.18 to 1.54 and from 123.6 to 272.7, respectively (Murua et al., 2017). Mean
306 reported k value was 0.34 and most L_{∞} values were ~ 160 cm FL (Fig.8). Fewer studies have reported growth
307 parameters of bigeye tuna in the Indian Ocean. They have slower growth rates than the other two tropical
308 tuna species, reported k values ranging from 0.06 to 0.45, with a mean k of 0.22 (year⁻¹) (Murua et al.,
309 2017). Reported asymptotic lengths range from 150.9 to 423.0 cm FL (Fig.8), although mean reported L_{∞}
310 values were ~ 190 cm FL. The large variations in growth parameter estimates among studies are probably
311 due to the different techniques used (i.e. disagreements between otoliths and spines), size of analysed fish
312 (i.e. different ages can produce different parameters), lack of inter-laboratory calibrations (i.e. different
313 readers might produce different results), sampling strategy and/or sampling coverage (i.e. spatio-temporal
314 variability in growth). All these factors caution against extrapolating the growth model outside the range of
315 the data used for parameter estimation.

316 Previous studies have indicated that tropical tuna may pass through different stanzas of growth rates during
317 their life (Fonteneau, 1980; Bard, 1984; Marsac, 1991). This hypothesis was revisited and supported by
318 Dortel et al., (2015) and Eveson et al., (2015) for the three tropical species in the Indian Ocean. In the case

319 of skipjack tuna, the two-stanza growth model is characterized by a rapid growth in the first stage, followed
320 by a slower growth in the second stage (Eveson et al., 2012, 2015) (Fig.9a). Due to the initial high growth
321 rates, skipjack tuna can reach ~45 cm FL in the first year of life, and between 50- 65 cm FL in the second
322 year, from which the growth rates diminish (Tanabe et al., 2003). Similar results were obtained by Kayama
323 et al., (2004) for skipjack in the eastern Indian Ocean (45 cm FL), although reported sizes for the second
324 year were smaller (50-55 cm FL). By contrast, yellowfin and bigeye tuna have a phase of slower growth
325 rate as juveniles, followed by a stanza of a higher growth rate. The first slow-growth phase in yellowfin
326 tuna (around 2.1 cm month⁻¹) lasts until they reach 56-70 cm FL. After a quick transition, yellowfin tuna
327 then grow at a faster rate (4.1 cm month⁻¹) until they reach approximately 145 cm FL, with a progressive
328 decrease in the growth rate with size thereafter (0.01 cm month⁻¹) (Fig. 9b) (Olivier, 2002; Dortel et al.,
329 2015; Eveson et al., 2015). In bigeye tuna, the transition between slow- and fast-growth phases occurs at a
330 similar length (around 60 cm FL), however this transition is more gradual (Fig.9c) (Eveson et al., 2015).
331 There is evidence for sexual-dimorphism in growth in Indian Ocean yellowfin and bigeye tuna (Stéquert et
332 al., 1996; Nootmorn, 2004; Nootmorn et al., 2005; Zudaire et al., 2013a), with male individuals attaining
333 larger maximum sizes compared to females (Farley et al., 2006; Shih et al., 2014; Eveson et al., 2015).
334 Bigeye tuna possess the greatest life expectancy among tropical tuna. Based on otolith interpretation and
335 tagging studies, Farley et al. (2006) proposed a maximum age of at least 16 years for bigeye tuna caught in
336 the eastern Indian Ocean, based on validated annual bands. Maximum age for skipjack however is ~6-7
337 years, whereas reported longevity for yellowfin tuna is in the order of 9 years in the Indian Ocean (Shih et
338 al., 2014; Murua et al., 2017). However, bomb radiocarbon dating has recently validated age estimates of
339 16-18 years for yellowfin tuna in the Atlantic Ocean (Andrews et al., 2020). After this, our understanding
340 on maximum ages of yellowfin tuna in the Indian Ocean should be revisited.

341 *3.4. Reproductive biology*

342 Tropical tunas are oviparous, have an asynchronous oocyte development and spawn multiple batches of
343 oocytes each spawning season (Joseph, 1963; Hunter et al., 1986; Stéquert and Ramcharrun, 1995;
344 Schaefer, 2001). In terms of reproductive strategy, female skipjack tuna follow what has been described as
345 an income breeder strategy, that is, required energy is obtained directly from food intake rather than from
346 accumulated energy reserves (Grande et al., 2016). Female yellowfin tuna however, are considered to be
347 mixed income-capital breeders (Zudaire et al., 2014). Analyzing lipid allocation of yellowfin tuna from the

348 western Indian Ocean, Zudaire et al., (2014), found that required energy for gonad development depended
349 mainly on the energy provided by feeding during the prolonged spawning period and, to a lesser extent, on
350 stored lipids. For bigeye tuna, there are no studies addressing the breeding strategy and energy allocation
351 to reproduction in the Indian Ocean. However, Sardenne et al., 2017 suggested an income-capital breeding
352 strategy for bigeye tuna after concluding that liver neutral fatty acids levels were similar to those from
353 yellowfin.

354 Mean size at maturity, i.e., length at which 50% of female skipjack tuna are classed as mature (L_{50}), has
355 been estimated at between 39.9 cm and 42.0 cm FL in the western Indian Ocean (Stéquert, 1976; Grande
356 et al., 2014). In the case of yellowfin tuna, L_{50} values range between 75 and 114 cm FL. In the western
357 Indian Ocean, L_{50} of yellowfin tuna was estimated at 75.0 cm to 102.0 cm FL, depending on the threshold
358 oocyte development stage considered to indicate maturity (i.e., cortical alveolar vs. vitellogenic) (Zudaire
359 et al., 2013a). In the eastern and west-central Indian Ocean, L_{50} for females has been estimated at 109.6 cm
360 and 114.0 cm respectively, whereas L_{50} reported for males was at 104.9 cm and 120.0 cm FL (Nootmorn
361 et al., 2005; Zhu et al., 2008). Similarly, different L_{50} estimations have been reported for bigeye tuna in the
362 Indian Ocean. Mean size at maturity estimates range from 88.1 cm FL (females) and 86.8 cm FL (males)
363 in the eastern Indian Ocean (Nootmorn, 2004) to 102.0 (females) and 119.3 (males) cm FL in the western
364 Indian Ocean (Zhu et al. 2011; Zudaire et al. 2016).

365 Tropical tuna show an indeterminate fecundity type (i.e., oocyte maturation is continuous during their
366 extended spawning periods) (Grande et al., 2012; Zudaire et al., 2013b). Studies carried out in the western
367 Indian Ocean show that skipjack tuna has a higher mean relative batch fecundity, 140.0 ± 64.0 oocyte g^{-1} of
368 gonad-free weight (Grande et al., 2014), than yellowfin, 74.4 oocyte g^{-1} of gonad-free weight (Zudaire et
369 al., 2013a), and bigeye tuna, 11.5 ± 7.1 oocyte g^{-1} of gonad-free fish (Zudaire et al., 2016). Reported
370 individual fecundity for skipjack tuna range from 80,000 oocytes for a 44 cm FL female to 1.25 million
371 oocytes for a large (75 cm FL) female in the western Indian Ocean (Stéquert and Ramcharrun, 1995).
372 Grande et al. (2010) estimated batch fecundity from 100,828 oocytes to 627,325 oocytes in female skipjack
373 ranging from 32 to 68 cm FL. In the case of yellowfin tuna, estimated batch fecundity varied from 0.3 to
374 5.3 million oocytes in females from the eastern Indian Ocean (Nootmorn et al., 2005). In the western Indian
375 Ocean mean batch fecundity for female yellowfin tuna 79-147 cm FL was estimated at 3.1 million oocytes
376 (Zudaire et al., 2013a). Finally, mean batch fecundity of bigeye tuna in the western Indian Ocean has been
377 estimated at 0.75 ± 0.52 million oocytes (Zudaire et al., 2016). Spawning frequency of tropical tuna species

378 in the Indian Ocean has not been reported yet, but in the Pacific Ocean the mean spawning interval has
379 been determined as 1.18, 1.53 and 1.09 days for skipjack, yellowfin and bigeye tuna respectively (Schaefer,
380 2001).

381 Timing of spawning varies in relation to the geographical distribution of the three species of tropical tuna
382 (Table 1). In the western Indian Ocean, spawning of skipjack tuna occurs throughout the year, with peaks
383 of intensity between November-March and June-July, coinciding with the northeastern and southwestern
384 monsoon period respectively (Stéquert et al., 2001; Grande et al., 2014). Koya et al., (2012) also observed
385 year-round spawning in Indian waters but reported a peak in activity between December-March and a
386 smaller peak from June to August. Spawning period seems to shorten as the distance from equator increases
387 (Matsumoto et al., 1984). Yellowfin tuna predominantly spawn from November to February in equatorial
388 waters, primarily on spawning grounds west of 75°E (Shung, 1973; Hassani and Stéquert, 1991; Zudaire et
389 al., 2013a). A shorter spawning period (i.e., from December to February) has been reported for females
390 smaller than 100 cm FL (Zudaire et al., 2013a). The offshore waters of Mozambique Channel and the
391 eastern Indian Ocean are also known as secondary spawning grounds. In the east, spawning is prolonged
392 from November until April (John, 1995; John et al., 1998; Nootmorn et al., 2005). Secondary spawning
393 peaks have also been described; in June for the western Indian Ocean, between April and June around Sri
394 Lanka, and from October to December off northern Australia and Madagascar (Stéquert and Marsac, 1989;
395 Stéquert et al., 2001; Zudaire et al., 2013a). Bigeye tuna from different areas of the Indian Ocean possess
396 differences in spawning seasonality. In equatorial waters of the Indian Ocean, bigeye tuna are able to spawn
397 year round (Kume et al., 1971; Stobberup et al., 1998). In the eastern Indian Ocean, the spawning season is
398 reported from December to January, with another peak in June (Nootmorn, 2004), whereas in the western
399 Indian Ocean, high reproductive activity has been observed from January to March (Zudaire et al., 2016).

400 **4. Stock structure**

401 There is little information available to determine whether the tropical tuna of the Indian Ocean constitute
402 single or several stocks. Current stock assessments are conducted assuming that there are single, Indian-
403 wide stocks of skipjack, yellowfin, and bigeye tuna; and that there is no exchange of fish among Atlantic,
404 Indian and Pacific Oceans. This assumption is based on the results obtained from the Indian Ocean Regional
405 Tuna Tagging Program (RTTP-IO) that suggest rapid and large-scale movements of the three tropical
406 species in the Indian Ocean (Fonteneau and Hallier, 2015). However, findings obtained from other

407 approaches (e.g. fishery data and genetic markers) show a more fragmented population structure than
408 typically assumed in the assessment and management of these species. The aim of this section is therefore
409 to compile and evaluate the scientific evidence available on Indian Ocean skipjack, yellowfin, and bigeye
410 stock structure.

411 *4.1. Fisheries Data*

412 Spatial and temporal distribution of catch and effort data from the commercial fishery can be used as a
413 crude indicator of stock structure if, for example, strong geographic differences in age composition, relative
414 abundance and/or distribution are reported. Early studies used catch data distribution and fishery length
415 data of skipjack, yellowfin and bigeye tuna to describe the stock structure in the Indian Ocean. A study
416 based on size composition of skipjack caught by longline vessels in the Indian Ocean during 1972-1975,
417 reported relatively smaller specimens in the central and eastern Indian Ocean (Pillai and Silas, 1979).
418 Similarly, analysing Japanese longline fishery data (1961-1965), Morita and Koto, (1970) suggested a two-
419 stock structure for the Indian Ocean yellowfin tuna; the western and eastern stocks separated approximately
420 at 100°E and possibly mixing in adjacent waters. Later, Nishida (1992) concluded that there were two major
421 and two minor stocks of yellowfin tuna in the Indian Ocean, using catch-per-unit-effort (CPUE), age-
422 specific CPUE and size variations obtained from longline fishery data. The two major stocks (named
423 western and eastern) were defined at 40°-80°E and 80°-120°E respectively. The two minor stocks were
424 defined westward 40°E and eastward of 120°E, and named far western and far eastern respectively, with
425 the latter possibly being part of the Pacific stock. In the case of the bigeye tuna, a single stock was suggested
426 for the Indian Ocean, based on the distribution, size composition and sexual maturity of the fish, although
427 some differences between eastern and western bigeye tuna were reported (Kume et al., 1971).

428 *4.2. Morphometric and meristic characters*

429 Phenotypic variation in anatomical characters have historically been used for stock identification purposes.
430 Morphometrics analyse quantitatively the size and shape of the body, whereas meristic analyses refer to
431 countable traits (e.g. fin rays, scales in rows, gill-rakers and myomeres). By comparing different body
432 characters of yellowfin tuna collected from six grounds in the Indian Ocean, Kurogane and Hiyama (1958)
433 suggested a 3-stock structure, one stock in the western Indian Ocean and two in the eastern Indian Ocean,
434 with a strong intermingling between 80-100°E. Although a preliminary study demonstrated the potential

435 utility of otolith shape variation for skipjack tuna stock structure identification in the Indian Ocean (Wujdi
436 et al., 2017), this marker has not yet been used for tropical tuna stock structure analyses in this ocean.

437 4.3. *Parasites*

438 Geographical variation in species composition and abundance of parasites has been used as a natural tag
439 for fish stock structure analyses. This is because parasite occurrence depends on biogeography, distinct
440 environmental tolerances of parasites, differential availability of intermediate hosts, and basically different
441 life history characteristics of the fish stocks *per se* (Catalano et al., 2014). Lestari et al. (2017) found 7
442 potential parasites that might be useful to discriminate juvenile yellowfin and bigeye tuna from Indonesian
443 waters. More recently parasite data from juvenile yellowfin and bigeye tuna from the Maldives proved to
444 be significantly different from those belonging to Indonesian waters (Moore et al., 2019). Therefore, authors
445 have suggested movements of yellowfin and bigeye from the Maldives archipelago into the eastern Indian
446 Ocean were limited.

447 4.4. *Genetics*

448 With the development of new molecular techniques, the use of molecular markers such as allozymes,
449 mitochondrial or nuclear DNA polymorphisms have increased in stock identification studies. Each of these
450 markers presents a series of advantages and limitations, but all have been used in Indian Ocean tropical
451 tuna stock structure studies. Often, the use of different techniques and sampling designs provide
452 contradictory results, especially when the organism being studied has a widespread distribution and/or
453 complex natural history, as is the case of skipjack, yellowfin and bigeye tuna (Kumar and Kocour, 2015).

454 For example, Menezes et al. (2006) found high levels of genetic differentiation between skipjack tuna
455 collected from the east coast of India and the coast of Japan (Pacific Ocean) after using PCR-RFLP analysis
456 of the mitochondrial D-loop region. However, when using nuclear microsatellite markers, there was no
457 differentiation between samples from the two aforementioned regions (Menezes et al., 2008). Also at the
458 inter-oceanic scale, Fujino et al. (1981) found significant differences in allozyme allele frequencies in
459 skipjack from the Indian Ocean compared to those collected both from the Atlantic and western Pacific
460 Oceans. Additional studies comparing Atlantic and Pacific Ocean skipjack tuna revealed a high degree of
461 genetic similarity among these two oceans (e.g. Graves et al., 1984; Ely et al., 2005). Few genetic studies
462 have been conducted on skipjack tuna population structure within the Indian Ocean to date. Although
463 limited to a regional scale, these studies reported the presence of heterogeneous groups of skipjack in the

464 north-central and eastern Indian Ocean. In adjacent waters of Sri Lanka, Maldives and Laccadive islands,
465 two differentiated stocks have been described after analysing patterns of genetic variation with both a
466 mitochondrial gene and six microsatellite loci (Dammannagoda et al., 2011). Additionally, sequence data
467 of the mitochondrial D-loop region revealed the presence of four clades of skipjack tuna in the east and
468 west coasts of India (Menezes et al., 2012). Although both studies failed to find a clear spatial pattern
469 among samples, Dammannagoda et al. (2011) proposed different hypotheses for the physical mixture of
470 these two distinct skipjack tuna clades around Sri Lanka: (i) different stocks may spawn in distant areas of
471 the Indian Ocean, and juveniles subsequently separately migrate towards Sri Lanka, which is a highly
472 productive foraging ground and (ii) the monsoonal currents in the Indian Ocean could drive the larvae
473 towards this area by passive transport. Menezes et al. (2012) suggested that the absence of a symmetrical
474 pattern of haplotype distribution in the analysed clades could be due to secondary contact and interbreeding
475 of populations after being geographically isolated for a prolonged period. In the eastern Indian Ocean, the
476 results from three microsatellite loci suggest the presence of two stocks of skipjack tuna in Indonesian
477 waters, with genetic differentiation between skipjack from west of Sumatra and south of Java (Jatmiko et
478 al., 2019).

479 In the case of yellowfin tuna, the use of different genetic markers has also lead to discordances both at intra-
480 and inter-oceanic patterns of differentiation (Pecoraro et al., 2016). Using allozyme markers, Smith et al.
481 (1988) described genetic heterogeneity between yellowfin tuna from the Pacific and Indian Oceans.
482 However, Wu et al. (2010) did not detect any genetic differentiation between yellowfin tuna from the
483 western Pacific and western Indian Oceans using mitochondrial markers. A global genetic study of
484 yellowfin tuna combining both allozymes and mitochondrial DNA, suggested differentiation between the
485 Atlantic, Indian, west-central Pacific and east Pacific oceans (Ward et al., 1997). Recently, the use of next
486 generation sequencing has shed new light on the global population structure of yellowfin tuna. Using
487 genomic wide single nucleotide polymorphisms (SNPs), Pecoraro et al. (2018) identified genetic
488 differentiation of yellowfin tuna between Indian, Atlantic and Pacific Oceans. Moreover, genomic analyses
489 have revealed asymmetrical dispersal from the Indian Ocean yellowfin tuna into the Atlantic and
490 highlighted that yellowfin tuna found along all the South African coast are derived from the Indian
491 population (Barth et al., 2017; Mullins et al., 2018). This connection was also noticed during the RTTP-IO
492 with a few yellowfin tuna tagged in Tanzania that were recovered in the southern Agulhas current, along
493 the South African coasts. In light of this evidence, Mullins et al. (2018) proposed that the current operational

494 boundary for management between the Atlantic and Indian stocks should be revisited. Using genome wide
495 SNPs, Barth et al. (2017) found genetic differentiation of yellowfin tuna from the Arabian Sea with respect
496 to those from the Atlantic and Indo-Pacific. Results from genome wide SNPs also indicate a marked genetic
497 difference between samples from the central Indian Ocean (Maldives) and the western Pacific Ocean, but
498 not between samples from eastern Indian Ocean (Indonesia) and the western Pacific Ocean (Proctor et al.,
499 2019). Proctor et al. (2019) proposed that the observed pattern of differentiation may indicate limited gene
500 flow between the central and eastern Indian Ocean, with more pronounced gene flow among Indonesian
501 and Western Pacific regions. At intra-oceanic scale, the comparison between samples from the westernmost
502 and easternmost parts of the Indian Ocean, did not reveal any genetic differentiation (Chow et al., 2000a;
503 Nishida et al., 2001). In contrast, mitochondrial and nuclear microsatellite loci variations of yellowfin tuna
504 collected from six fishing grounds around Sri Lanka and one in Maldives, suggested the existence of
505 discrete yellowfin tuna populations in the northwest Indian Ocean (Dammannagoda et al., 2008).
506 Additionally, a study using the mitochondrial D-loop region supported the presence of three genetically
507 different stocks of yellowfin tuna in the north Indian Ocean (Kunal et al., 2013).

508 Global genetic studies have also reported an inter-oceanic division between Atlantic and Indo-Pacific
509 bigeye tuna both by analysing nuclear (Durand et al., 2005) or mitochondrial (Alvarado-Bremer et al., 1998;
510 Chow et al., 2000b; Martinez et al., 2006) markers. Indo-Pacific bigeye tuna intermingle off southern Africa
511 with Atlantic bigeye tuna (Chow et al., 2000b; Durand et al., 2005). However, Gonzalez et al., 2008 found
512 discordant results when analysing genetic structuring and migration patterns of Atlantic bigeye tuna.
513 Microsatellite loci supported a single worldwide panmictic bigeye tuna population, whereas mitochondrial
514 markers show genetic differentiation between Atlantic and Indo-Pacific bigeye tuna populations, as
515 previous studies did. However, a recent study using SNP data proved restricted connectivity between Indian
516 Ocean (central and eastern) and the western Pacific Ocean bigeye tuna, with samples from central Indonesia
517 having limited connectivity also with the previous areas (Proctor et al., 2019). Few studies have been carried
518 out to describe the structure of bigeye tuna in the Indian Ocean. Most of them did not observe signs of
519 heterogeneity, supporting the existence of a single panmictic population in this ocean. After analysing
520 variations in mitochondrial DNA polymorphisms and seven microsatellite loci of bigeye tuna distributed
521 in the eastern, central and western Indian Ocean, minimal and generally non-significant genetic
522 differentiation was reported (Appleyard et al., 2002). Likewise, a study using mitochondrial DNA data from
523 bigeye tuna sampled among 4 regions (Cocos Islands, south-eastern Indian Ocean, south-western Indian

524 Ocean and Seychelles) failed to detect any differences between areas (Chiang et al., 2008). A local study
525 carried out in distinct locations off Indonesia however, reported the existence of two genetically distinct
526 groups of bigeye tuna in the eastern Indian Ocean, based on genetic distances and RFLPs mitochondrial D-
527 loop region (Nugraha et al., 2010). Similarly, SNP analyses indicated a gradient in gene flow between the
528 Indonesian archipelago, the eastern Indian Ocean, and the Maldives, consistent with an isolation by distance
529 model (Proctor et al., 2019).

530 Overall, it seems that results obtained from the application of a single type of molecular marker should be
531 interpreted with caution. For the three species of tropical tunas, different population structure was suggested
532 depending on the analyses. In general, results relying on mitochondrial DNA showed higher heterogeneity
533 than those based on nuclear DNA. Qiu et al. (2013) hypothesized that this mito-nuclear discordance found
534 in tuna species, may be a result of behavioural differences between sexes rather than a misuse of the chosen
535 marker; mitochondrial DNA is inherited from females which may present greater philopatric behaviour. If
536 so, female philopatry would have enhanced mitochondrial DNA diversity, while male-biased dispersal
537 would have homogenized group differences that could be revealed in the nuclear genome (Qiu et al., 2013).
538 The current rise of next generation sequencing (NGS) based genotyping methods, presents a cost-effective
539 alternative to increase the precision in detecting small genetic differences (Rodríguez-Ezpeleta et al., 2019).
540 At local scale, NGS techniques have been already used to discriminate among yellowfin tuna populations
541 of the Pacific Ocean (Grewe et al., 2015). To date no studies of that kind have been published in the Indian
542 Ocean. It is recognised that this technique, combined with a structured basin-wide sampling design, would
543 provide a powerful tool to finally reveal a clear picture of the population structure of tropical tunas in this
544 ocean.

545 *4.5. Otolith microchemistry*

546 Chemical composition of fish otoliths and other calcified structures (e.g. scales, fin-rays and spines), have
547 been recognised as useful natural markers to discriminate among groups of fish that have inhabited different
548 environments. While genetic analyses provide information over evolutionary timescales, otolith chemistry
549 inform over ecological time frames (i.e., larval history, individual life span...) (Campana, 1999, 2005;
550 Tanner et al., 2016). Thus, this technique is useful to identify differences in natal origin or migration
551 patterns, revealing complex population structures and biologically meaningful units. Despite their
552 importance to delineate fish stocks, otolith microchemistry has barely been used in tropical tuna structure

553 analyses. Natal origin studies have been conducted for bigeye tuna of the Pacific Ocean (Rooker et al.,
554 2016), and for yellowfin tuna both in the Pacific (Wells et al., 2012; Rooker et al., 2016) and the Atlantic
555 Oceans (Shuford et al., 2007; Kitchens, 2017). Arai et al. (2005) described movements and life history
556 patterns of skipjack tuna in the Pacific Ocean based on otolith Sr:Ca ratios. In the Indian Ocean, initial
557 investigations of otolith trace element data proved useful for yellowfin tuna stock delineation (Artetxe-
558 Arrate et al., 2019). Moreover, the study detected some level of separation between two potential nursery
559 regions (Seychelles-Somalia and Mozambique Channel) using young-of-the-year (YOY) yellowfin tuna
560 from the western Indian Ocean. Similarly, otolith microchemistry analyses showed restricted movements
561 of yellowfin and bigeye tuna of the eastern Indian Ocean during their first 4-6 months of life (Proctor et al.,
562 2019). Otolith isotopic analyses, and particularly oxygen isotope $\delta_{18}\text{O}$ composition, might also be useful in
563 discriminating among fish of different origins in the Indian Ocean (Fig.10). Both techniques need now to
564 be expanded at ocean basin scale, to help clarify unresolved population structure of the three species of
565 tropical tuna in this ocean.

566 *4.6. Tagging*

567 Artificial tags have commonly been used as an indirect approach to identify stock structure. Tagging
568 provides information of fish dynamics (e.g. movement range and pattern), and hence can be a useful tool
569 to infer the degree of mixing among potential stocks. There is an extensive tagging data collection in the
570 Indian Ocean, as tagging programs were initiated in the Maldives in 1990-1994 and continued 15 years
571 later (2005) when the IOTC started a large-scale Indian Ocean Regional Tuna Tagging Program (RTTP-
572 IO) (Murua et al., 2015).

573 Results obtained from these programs describe fast and large-scale skipjack movements, with an average
574 monthly distance of 1066 miles between tagging and recovery positions in the first year (Fonteneau and
575 Hallier, 2015). Skipjack tagged in Tanzania showed the larger and fastest migrations (e.g. average distances
576 of 1129 miles after one month at liberty), followed by skipjack tagged in Mozambique Channel (an average
577 of 894 miles travelled). Despite the rapid movements described for skipjack tuna tagged in the Mozambique
578 Channel, most tagged tuna returned to this area within one year, coinciding with the March-May fishing
579 season, a pattern that was recurrent over the studied years (Fonteneau and Hallier, 2015). Authors suggested
580 that rather than a random movement, this return may correspond to a fidelity behavior towards a feeding
581 area. Average distances travelled were shorter in the Seychelles tagging (698 miles) and the lowest for

582 skipjack tuna tagged in the Maldives (358 miles) (Fonteneau, 2014). This result is very similar to the results
583 of the early Maldivian tagging program carried out between 1990 and 1995 (Adam and Sibert, 2002).
584 Although the heterogeneity of skipjack movement patterns was high, Adam and Sibert (2002) found
585 evidences of low emigration rates from Maldives to the rest of the Indian Ocean. This could be because
586 skipjack tuna travel longer distances in the open ocean than in archipelagic waters (Fonteneau, 2014). This
587 is the case for the Maldives, which comprises a network of nearly 1200 islands. In addition, the presence
588 of numerous anchored FADs in this region, under which skipjack aggregate, may be reinforcing the “island
589 effect”, reducing the distance travelled by this species. Conversely, drifting FADs may increase the mobility
590 of skipjack in other areas (Marsac et al., 2000; Hallier and Gaertner, 2008). Thus, based on these results,
591 Fonteneau (2014) proposed that future stock assessment of the skipjack population should consider at least
592 4 different regions with differential mixing rates in the Indian Ocean: southwest Indian Ocean, northwest
593 Indian Ocean, central Indian Ocean and eastern Indian Ocean.

594 The evidence of fast and large movements of yellowfin tuna reported by the RTTP-IO supports the
595 assumption of a single well-mixed yellowfin tuna population within the Indian Ocean (IOTC, 2017c). For
596 instance, short-term recoveries were associated with relatively long distances (249 miles on average), about
597 5 times longer than the distances of short-term recoveries in other oceans (Fonteneau and Hallier, 2015).
598 Past tagging studies showed that there was exchange of yellowfin tuna between the central and the western
599 Indian Ocean (Yano, 1991) and movements of yellowfin from Maldives to Sri Lanka (Nishida et al., 1998).
600 However, Kolody and Hoyle (2013) found that tag releases from the Maldives area were sometimes retained
601 there, without mixing into the rest of the population. It is also noteworthy that most tagging events occurred
602 in the western part of the Indian Ocean and few recoveries have been reported from the eastern part. Langley
603 and Million (2012) suggested that the 28-29°C SST isotherm may limit the eastward dispersal of the tagged
604 fish. Thus, there may be some degree of separation between the yellowfin tuna populations of the west and
605 east Indian Ocean.

606 Results obtained from the RTTP-IO also describe fast and large-scale bigeye movements. The average
607 monthly distance between tagging and recovery positions is 918 miles in the first year, with distances
608 increasing slowly in subsequent years (Fonteneau and Hallier, 2015). For instance, bigeye released off
609 Tanzania were subsequently recovered as far away as the subtropical Indian Ocean, eastern Indian Ocean
610 and the southern and western coasts of South Africa (Hallier and Million, 2012). The reported fast and

611 extensive movements of bigeye tuna in the Indian Ocean led to the assumption that its population is highly
612 mobile, supporting the current assumption of a single stock for the Indian Ocean (IOTC, 2017a).

613 Conventional tagging studies, however, depend on tag-recapture efforts, and can be sensitive to the
614 distribution of releases and assumptions related to the tagged population (e.g. random selection,
615 independence and complete mixing) (Pollock and Pine, 2007; Berger et al., 2014). This may lead to biased
616 results and data misinterpretation. Fonteneau and Hallier (2015) advised that current tagging programs
617 targeting tropical tuna, may still not be adequate to estimate the potential stock mixing rates. For instance
618 very few tags have been returned from the eastern Indian Ocean (Murua et al., 2015), which may reflect a
619 lack movement from west to east, but more certainly, this is a consequence of poor reporting rates by
620 longliners operating in the east Indian Ocean. Therefore, movement dynamics within the eastern Indian
621 Ocean have not yet been adequately evaluated. Electronic tags may present a complementary platform to
622 elucidate tropical tuna real movements. This technique proved to be useful in identifying population
623 structure in other tuna species (Block et al., 2005). Finally, an accurate knowledge of tropical tuna
624 movements in the Indian Ocean will be essential to establish management boundaries if different stocks are
625 revealed.

626 **5. Conclusions and future research directions**

627 Since tropical tuna fisheries of the Indian Ocean play an important role in the three sustainability pillars
628 (i.e., social, environmental and economic) of the region, there is an urgent need to advance our
629 understanding of the resource and the fisheries to ensure a proper and sustainable management of these
630 species (Asche et al., 2018). Scientific advice on tropical tuna of the Indian Ocean is based, among others,
631 on the results of the stock assessment models. However, more accurate and comprehensive fishery data
632 statistics, which cover all fisheries components, are needed to improve the monitoring and stock evaluation
633 of these species which will, in turn, reduce the uncertainty of the assessment and improve the scientific
634 advice for management. IOTC should, therefore, prioritize the capacity of the countries in the region to
635 implement monitoring systems. This will allow to collect fishery statistics that contribute to the provision
636 of the scientific advice for management of IOTC species. Strong monitoring systems, once implemented,
637 will also ensure a better control mechanism to enforce any agreed management measure by IOTC (e.g.
638 yellowfin catch limits and skipjack annual quota). Here, available information regarding Indian Ocean
639 yellowfin, skipjack and bigeye tuna fisheries, life history and stock structure has been reviewed. This will

640 help identify gaps in our knowledge that need to be filled to improve the assessment and management
641 advice for these resources.

642 Firstly, there is, in general, a lack of information regarding life-history traits of skipjack, yellowfin and
643 bigeye tuna at an oceanic scale in the Indian Ocean. Most studies are regionally limited, which impedes a
644 global overview of the complex interaction between the environment and these species and makes it
645 difficult to incorporate life-history parameters at the appropriate scale into stock assessment models. Studies
646 that characterize fundamental life-history characteristics (e.g. growth and reproductive parameters) at ocean
647 scale are needed to understand if differences stem from regional differences or just from different sampling
648 strategies. Growth, mortality and reproduction are determinant factors for population productivity and, thus,
649 key variables for stock assessment and management advice (Maunder et al., 2015). However, there are
650 discrepancies regarding the growth and reproduction parameters of these key species in the Indian Ocean,
651 which increases uncertainties in assessments of their status and advice for their management. Moreover,
652 the fact that different studies calculate growth models based on fish from different size/age classes, caution
653 the extrapolation of the results outside the range of the data. Therefore, future studies should ensure that
654 sampling covers the full length-range of fish in the population to better inform stock assessment. Despite
655 strong evidence of sexual-dimorphism in yellowfin and bigeye tuna growth (Eveson et al., 2015), which
656 can lead to elevated sex-biased fishing mortality rates, this is not currently being considered in stock
657 assessments due to a lack of the necessary data. Similarly, there is still not a consensus on spawning time
658 and location of tropical tuna in the Indian Ocean, which is important to inform assumptions about total
659 reproductive output. In order to move towards ecosystem-based fisheries management (EBFM), a better
660 understanding of the habitat utilization and trophic relationships of the three tropical tuna in the Indian
661 Ocean is also needed. Expanding the knowledge of vertical movements of these species would be useful to
662 evaluate the species-specific and/or age class vulnerability to different fishing gears (e.g. purse-seine and
663 longline) in the Indian Ocean and evaluate the impacts of FADs and potential utility of alternative
664 management measures. Besides, a comprehensive approach that combines stomach content data, trophic
665 markers (e.g. stable isotopes, mercury, lipid classes and fatty acids), and fish condition indices will allow
666 delineation of trophic pathways for skipjack, yellowfin and bigeye tuna from the Indian Ocean. This
667 information will be essential in understanding the effect of human induced pressures, such as fishing,
668 climate change or the impact of the deployment of FADs, on these species. For example, skipjack tuna have
669 fast growth rates and reach sexual maturity the fastest among three tropical tuna species (Murua et al., 2017)

670 and, hence, it is considered more resilient and less susceptible to overfishing than yellowfin and bigeye
671 tuna. However, Worm and Tittensor (2011) reported that skipjack tuna has undergone distribution range
672 reduction in the Indian Ocean, contrary to what occurred in the Pacific Ocean. Although is very difficult to
673 establish the scale and ultimate cause of the observed range change in skipjack tuna, an understanding of
674 habitat preferences, species interactions, climate change and variability and other factors can contribute to
675 a better understanding of their population dynamics and resilience to fishing pressure.

676 Secondly, the current assumptions of single stocks for yellowfin, skipjack and bigeye tuna in the Indian
677 Ocean, are based on the latest result of tag-recoveries from the RTTP-IO, which provide evidence of rapid
678 and large-scale movements for these tuna species. However, tagging events do not represent the whole
679 Indian Ocean. Moreover, the distances between tag release and recovery positions do not provide a full
680 picture of the real movements of tagged tunas, which can, more effectively, be tracked by electronic
681 tagging. Indeed, other methods should also be considered for realistic stock structure determination. With
682 the advent of NGS methods, a comprehensive study of these tropical tuna genetic structures using genome-
683 wide highly informative SNP markers should be an important additional source of information to establish
684 the underlying population structure. Natural markers, such as parasite tags or otolith microchemistry, can
685 inform about the degree of connectivity over an ecological time scale (i.e. individual life span)and hence
686 provide information on the environmental history experienced by individuals throughout different life
687 stages. Therefore, they can contribute valuable insights of population structuring and highlight migration
688 behaviours or population dynamics that might be sufficiently independent to guarantee spatially structured
689 management. As each method provides information about stock structure at different spatial and/or
690 temporal scales, the combination of different approaches has proved to be a powerful and reliable way to
691 clarify unresolved questions related to stock structure (Welch et al., 2015; Pita et al., 2016; Izzo et al., 2017;
692 Proctor et al., 2019) Thus, a holistic study that integrates results gained from several methods including
693 different spatial and temporal scales, will be beneficial in defining appropriate units for the management of
694 tropical tuna species in the Indian Ocean.

695 In summary, a broad-ranging collaborative program of research and data collection covering the complete
696 distribution and life-history of the skipjack, yellowfin, and bigeye tuna in the Indian Ocean, should be
697 carried out to improve stock assessment. These studies should be done together with the implementation of
698 different and complementary stock delineation methods to establish the most realistic stock structure of the
699 three tropical tuna species in the Indian Ocean, because species response to management decisions cannot

700 be accurately predicted if the boundaries that characterise the stock are not correctly defined. Ultimately,
701 an incorrect characterization of both life history parameters and stock structure perspectives may mislead
702 the productivity and management of these globally important species. For example, if distinct stocks do
703 exist, management measures based on the single stock assumption may lead to overexploitation and
704 eventual collapse of less productive stocks. Therefore, an increased knowledge of these species' life-history
705 characteristics and an accurate validation of stock structure of tropical tuna fisheries in the Indian Ocean
706 will be essential to implement and enforce management strategies that ensure long-term sustainable
707 fisheries.

708 **Acknowledgements**

709 Funding for this work was provide by the European Union (Grant number S12.697993) and the FAO/IOTC,
710 within the framework of a collaborative project (GCP/INT/233/EC – Population structure of IOTC species
711 in the Indian Ocean) between FAO/IOTC and CSIRO Oceans and Atmosphere, AZTI, Institut de Recherche
712 pour le Développement (IRD), and Indonesia's Center for Fisheries Research (CFR). The views expressed
713 herein can in no way be taken to reflect the official opinion of the European Union. The first author was
714 funded by a research grant of the Department of Agriculture, Fisheries and Food Policy from the Basque
715 Government (Convocatoria ayudas de formación a jóvenes investigadores y tecnólogos 2017). Authors
716 thank to all people who at different stages helped to carry out the work, and specially to I. Zudaire, M.
717 Grande, G. Merino, and I. Granado for technical clarifications. This paper is the contribution number 977
718 from AZTI, Marine Research, Basque Research and Technology Alliance (BRTA).

719 **6. References**

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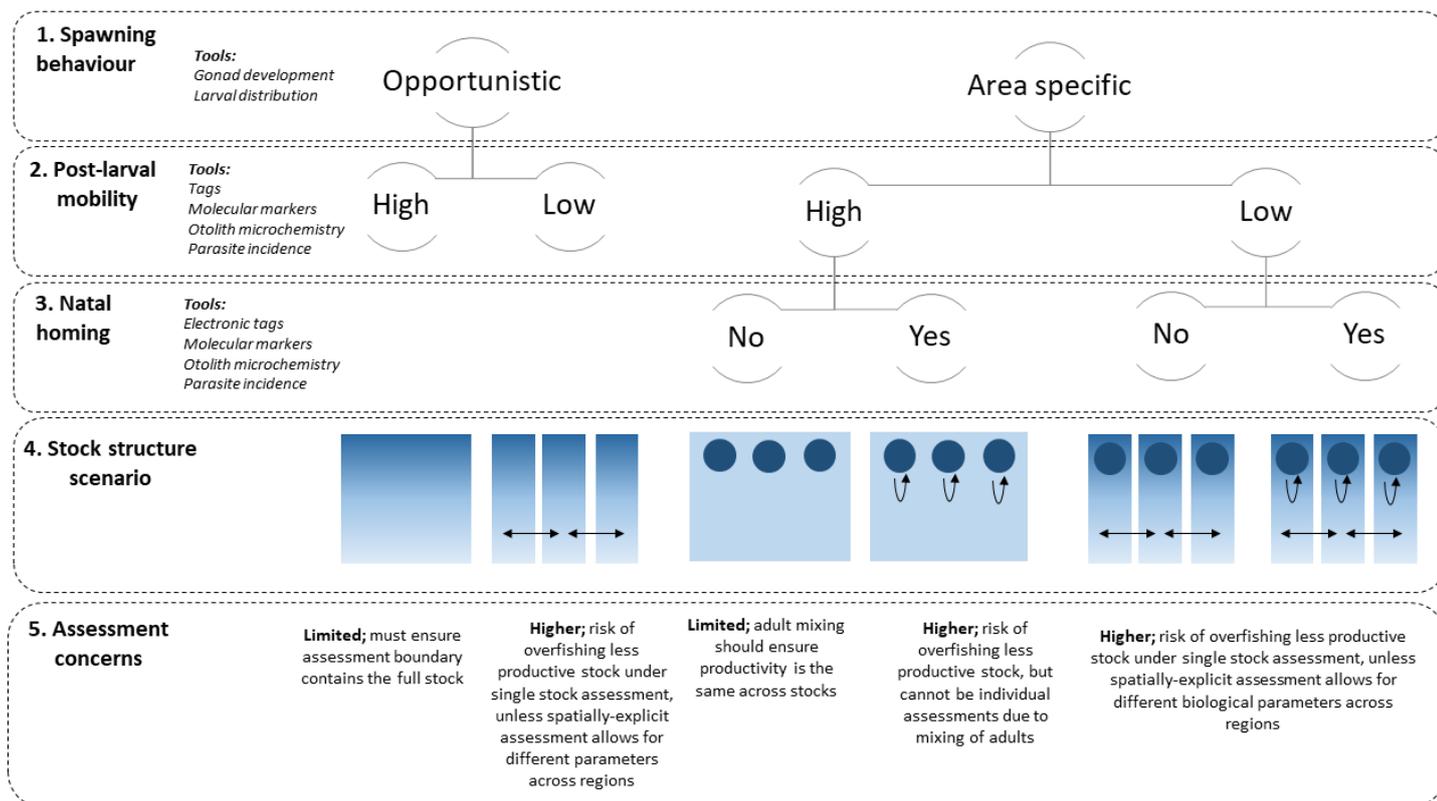
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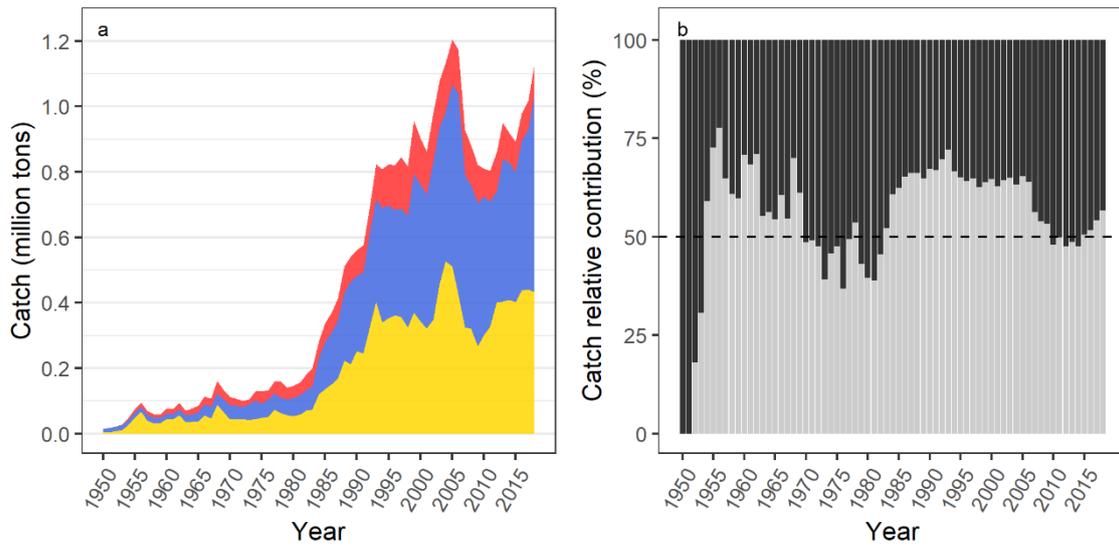


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1333 **Figure 1.** Conceptual summary of key research themes necessary to clarify the stock structure of tropical
 1334 tuna species in the Indian Ocean; (1) spawning conditions (opportunistic vs. discrete), (2) post-larval
 1335 mobility (low vs high) and (3) natal homing (yes vs no). Different combinations lead to different scenarios
 1336 of stock structures (4), associated with potential stock assessment concerns (5). Stock structure diagrams
 1337 indicate spawning areas (dark blue) within the overall distribution range (light blue) of the species. Main
 1338 tools to study each research theme are also indicated. This figure is an adaptation from (SPC FAME, 2019).

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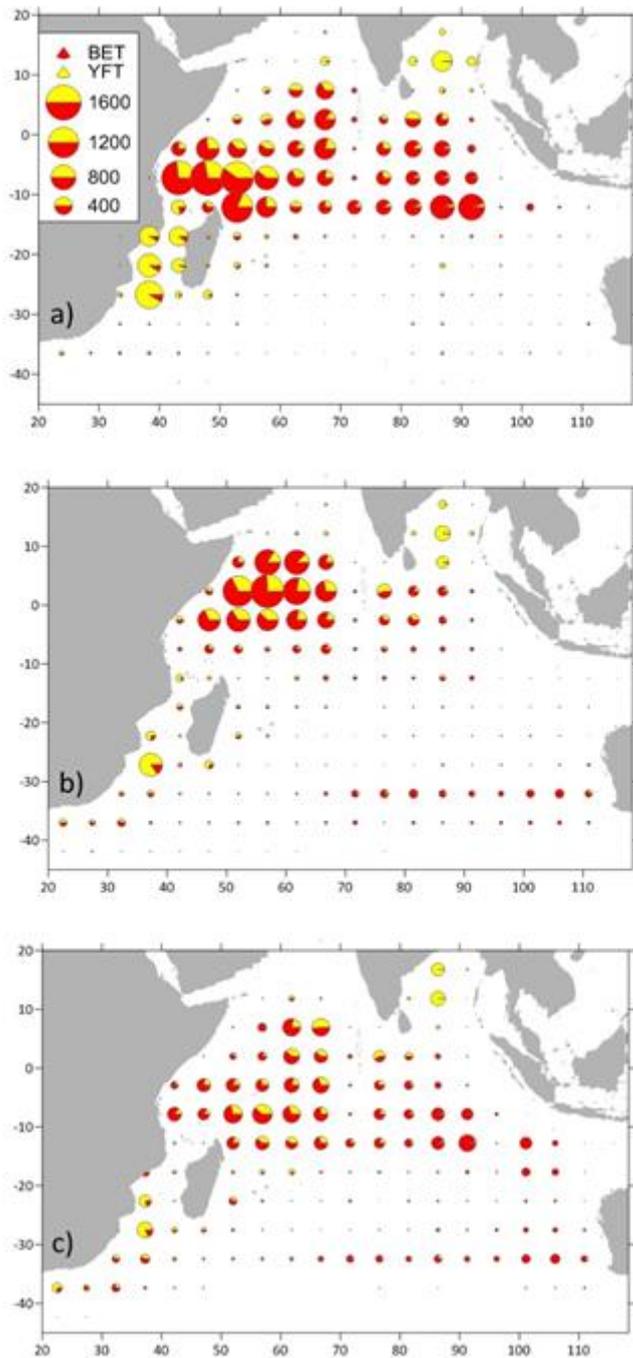


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1342 **Figure2.** Evolution of tropical tuna catches in the Indian Ocean over the 1950-2018 period; (a) Catch trends
1343 of, bigeye *Thunnus obesus* (red), skipjack *Katsuwonus pelamis* (blue) and yellowfin *Thunnus albacares*
1344 (yellow) in the Indian Ocean, (b) Relative contribution (% of total catches for each year) from the artisanal
1345 (light grey) vs industrial fisheries (dark grey). Data source: Indian Ocean Tuna Commission (IOTC) (available
1346 at: <https://www.iotc.org/data/datasets/latest/NC>).

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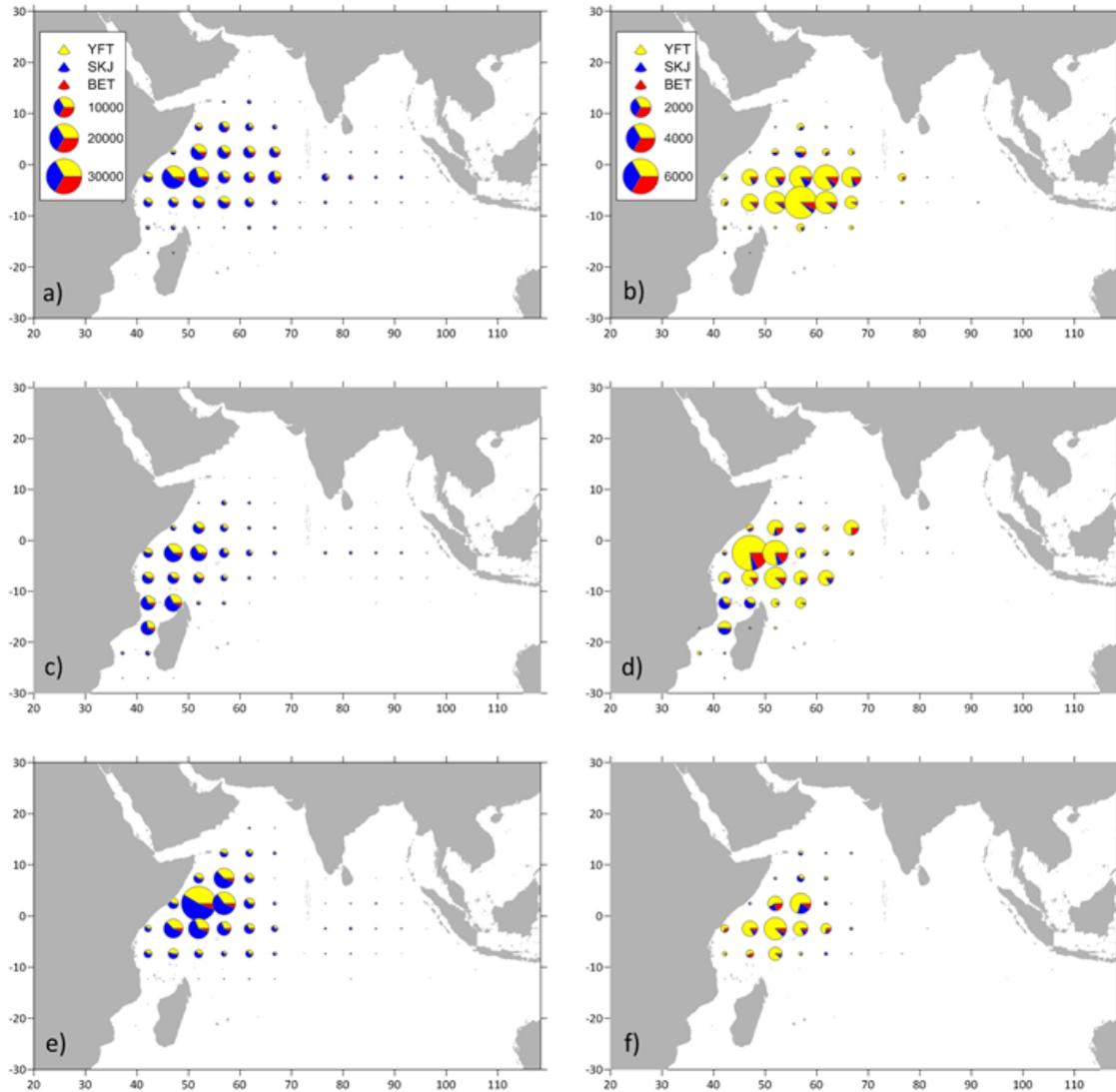
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1350 **Figure 3.** Average spatial distribution of longline catches over 2010-2017 for the months November-
 1351 February (a), March-June (b) and July-October (c). Circles are plotted on a 5°x5° grid and pies are
 1352 proportional to the catch by species (yellow: yellowfin; red: bigeye). The spatialized data originate from
 1353 the Indian Ocean Tuna Commission, IOTC (available at: <http://www.iotc.org/documents/all-ce-files>) but as
 1354 they are incomplete, they have been raised to the nominal catches by applying raising factors established
 1355 by fleet, year and species. A few data were available by 1°x1° and they have been aggregated on a 5°x5°
 1356 grid to enhance visibility.

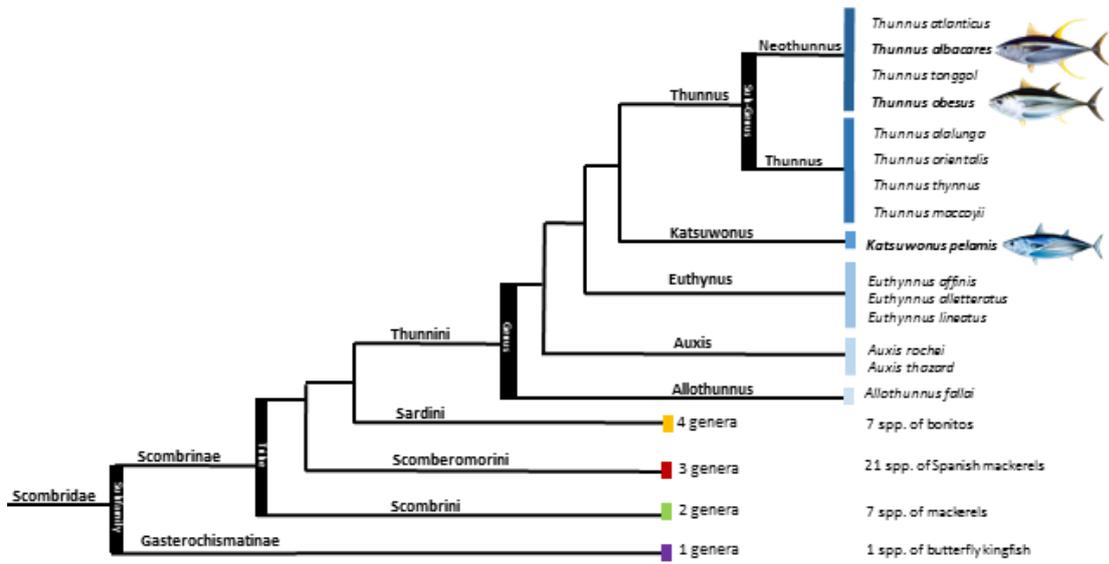
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1359 **Figure 4.** Average spatial distribution of purse seine catches over 2010-2017 for the months November-
 1360 February (a,b), March-June (c,d) and July-October (e,f). Left column (a,c,e) show catches on floating
 1361 objects, while right column (b,d,f) represent catches on free-swimming schools. Circles are plotted on a
 1362 $5^{\circ} \times 5^{\circ}$ grid and pies are proportional to the catch by species (yellow: yellowfin; blue: skipjack; red: bigeye).
 1363 Note the different scales and maximum between floating objects and free-swimming schools. The
 1364 spatialized data originate the Indian Ocean Tuna Commission, IOTC (available at:
 1365 <http://www.iotc.org/documents/all-ce-files>) but as they are incomplete, they have been raised to the
 1366 nominal catches by applying raising factors established by fleet, year and species. Purse seine data are
 1367 mostly available by $1^{\circ} \times 1^{\circ}$ and they have been aggregated on a $5^{\circ} \times 5^{\circ}$ grid to enhance visibility.

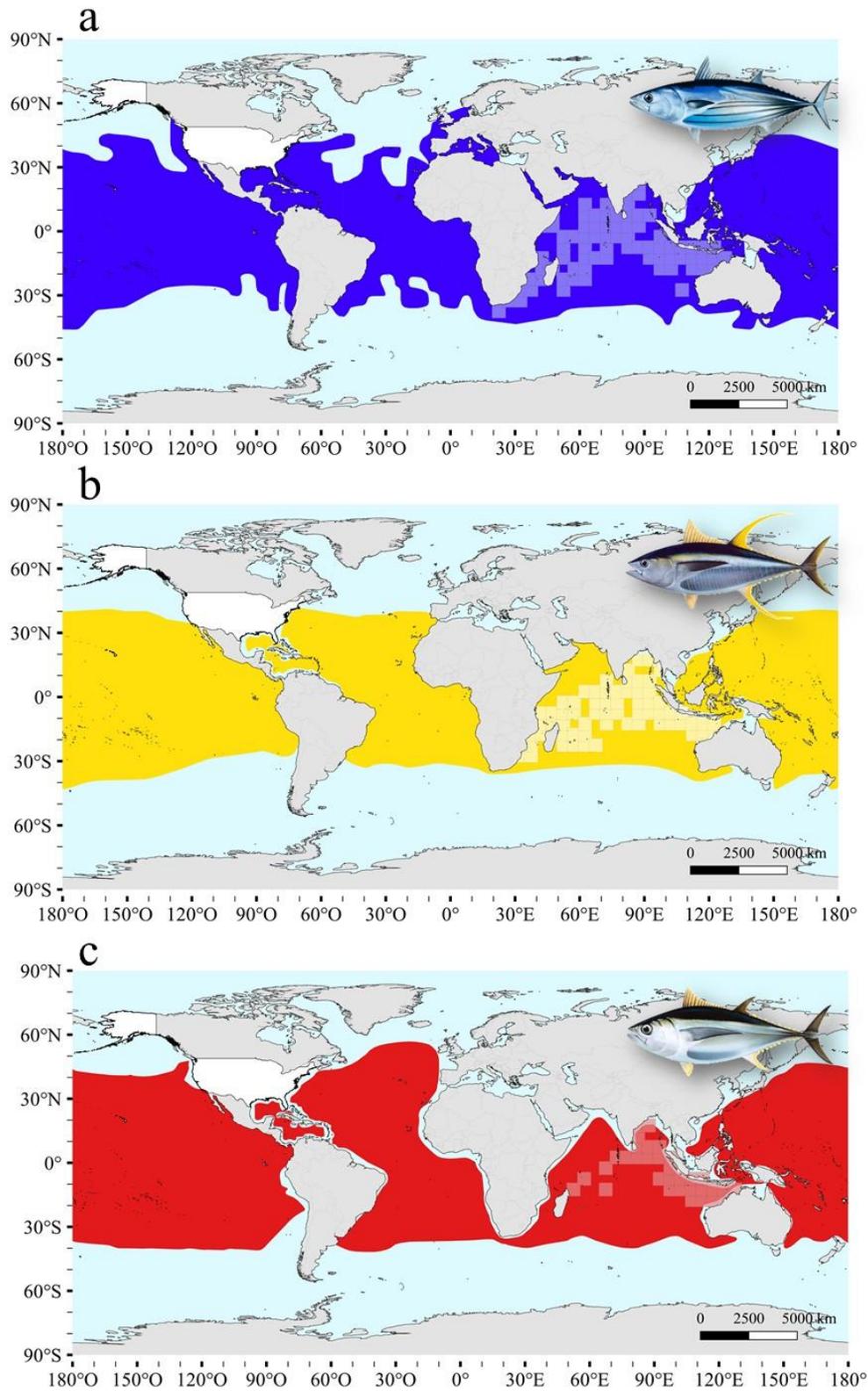
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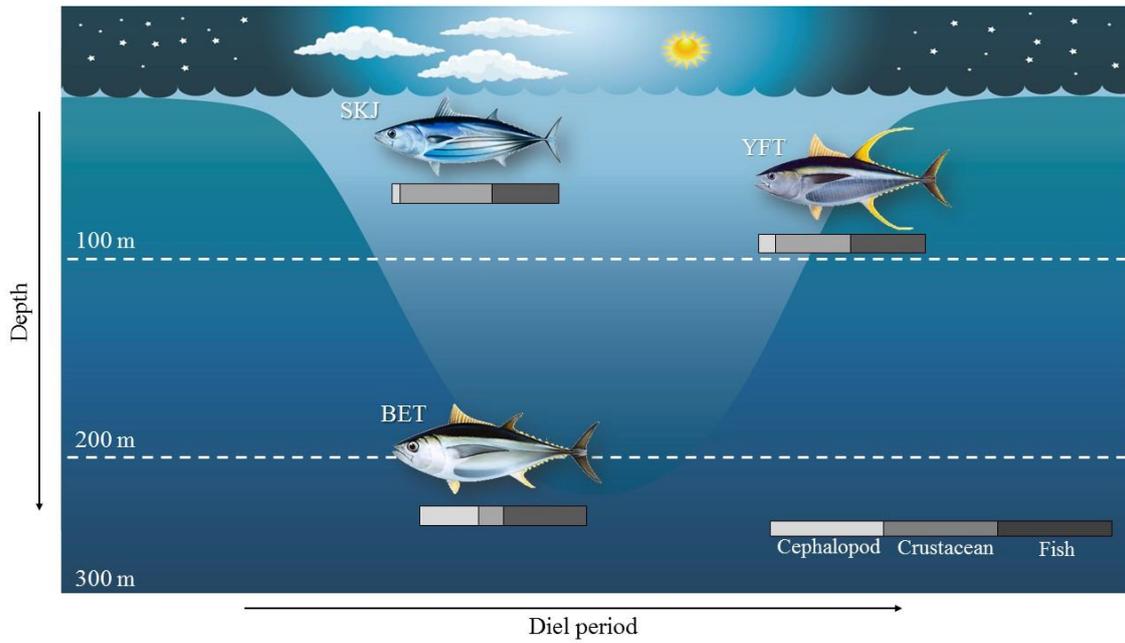
1370 **Figure 5.** Phylogenetic tree of the Scombridae family, which consists of 15 genera and 51 species, among
 1371 which the three main species of tropical tuna, yellowfin (*Thunnus albacares*), bigeye (*Thunnus obesus*) and
 1372 skipjack tuna (*Katsuwonus pelamis*) are found (Collette et al., 2001). The sub-genus branch is based on
 1373 findings from Díaz-Arce et al. (2016).

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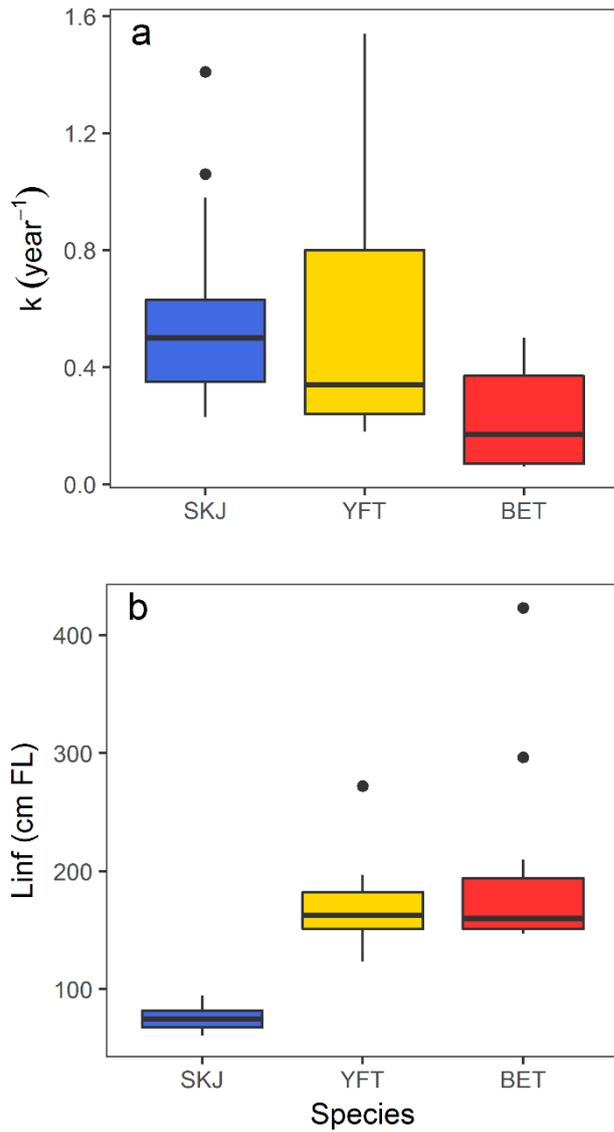
1376 **Figure 6.** Potential habitat distribution range of (a) skipjack tuna *Katsuwonus pelamis*,
 1377 *Thunnus albacares*, and (c) bigeye tuna, *Thunnus obesus*. Lighter squares represent the presence of larvae
 1378 (based on Reglero et al. 2014). Distribution range was obtained from the IUCN Red List Spatial Data of
 1379 tunas and billfishes version 5.2 (available at: <https://www.iucnredlist.org/resources/spatial-data-download>
 1380). Tuna images: IOTC © 2020.



1381

1382 **Figure 7.** Trophic niche partitioning of adult tropical tuna in the western Indian Ocean: SKJ, skipjack tuna,
 1383 *Katsuwonus pelamis*; YFT, yellowfin tuna, *Thunnus albacares*; BET, bigeye tuna, *Thunnus obesus*. Bars
 1384 represent the importance of the main prey categories, squid (light grey), crustacean (mid grey) or fish (dark
 1385 grey), in the diet of each predator (percent of the reconstituted weight). Adapted from Olson et al. (2016).
 1386 Tuna images: IOTC © 2020.

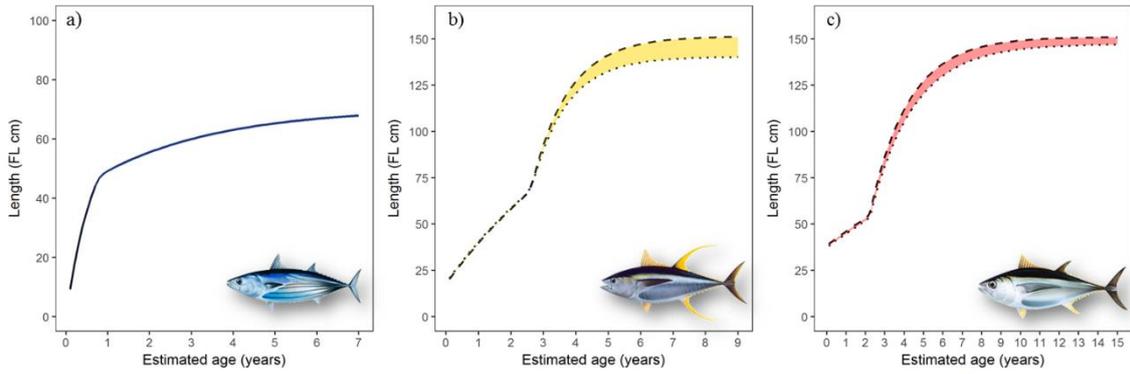
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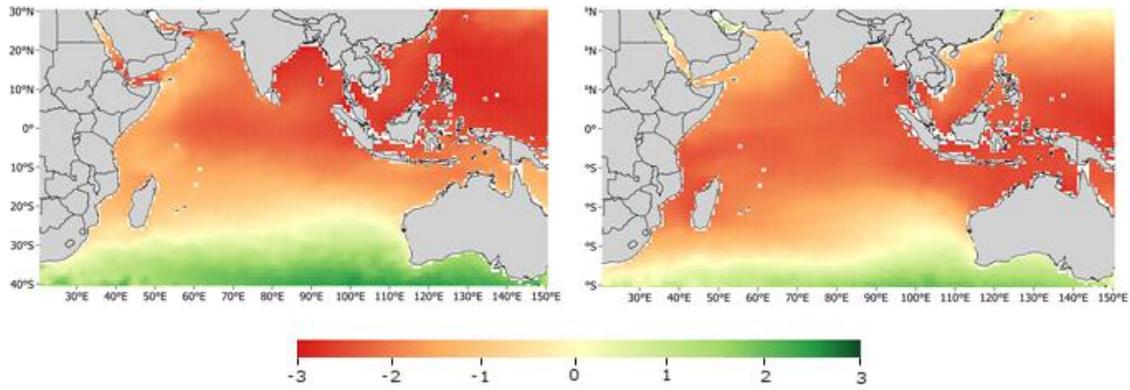
1403 **Figure 8.** Comparison of reported growth rate coefficients (k) and asymptotic fork lengths (L_{∞}) for skipjack
 1404 (blue), yellowfin (yellow) and bigeye (red) tuna in the Indian Ocean. Growth parameters have been obtained
 1405 from Murua et al., 2017 supplementary material tables 10S, 13S and 16S.

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 1408 **Figure 9.** VB log K growth curves for (a) skipjack *Katsuwonus pelamis*, (b) yellowfin, *Thunnus albacares*,
 1409 and (c) bigeye tuna, *Thunnus obesus* in the Indian Ocean after following (Eveson et al., 2015). In the case
 1410 of yellowfin and bigeye tuna, growth is sex-specific, represented with a longdashed lines in males and with
 1411 dotted lines in females. Tuna images: IOTC © 2020.

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1414 **Figure 10.** Predicted spatial variations in isotopic composition of oxygen in otoliths ($\delta^{18}\text{O}_{\text{oto}}$) following
 1415 Trueman and MacKenzie (2012) and based on global surface water (0-50 m) measured $\delta^{18}\text{O}_w$ values
 1416 (LeGrande and Schmidt, 2006), and parameters γ and β from Kitagawa et al., (2013). Maps are
 1417 differentiated for summer monsoon (Jun-Sept 2017) and winter monsoon (Dec-Apr 2016-2017). Monthly
 1418 data of Sea Surface Temperature (SST, °C) was obtained from the “global-reanalysis-phy-001-031-grepv2-
 1419 monthly” dataset available in the EU Copernicus Marine Service Information (available at:
 1420 <https://marine.copernicus.eu/>). Note that this simplistic model assumes constant parameters for the otolith
 1421 fractionation equation and is based on coupled measurements of $\delta^{18}\text{O}_w$ values and SST at a spatial resolution
 1422 of $1 \times 1^\circ$ grid.
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1424 **Table 1.** Summary of studies on Indian Ocean tropical tuna spawning seasonality. SKJ; skipjack tuna,
 1425 *Katsuwonus pelamis*, YFT; yellowfin tuna, *Thunnus albacares*, and BET; bigeye tuna, *Thunnus obesus*.
 1426 When two spawning seasons have been described in the same study 1; Main spawning season and 2;
 1427 Secondary spawning season.

	Region	Spawning season	Source
SKJ	Western Indian Ocean	Year- round, but peaks of activity between November-March and June-July	(Stéquert et al. 2001)
SKJ	Western Indian Ocean	Year-round, but peaks of activity between November-March and June-July	(Grande et al. 2014)
SKJ	Indian waters	Year-round, peak of activity between December-March and a minor one from June to August	(Koya et al. 2012)
YFT	Central Indian Ocean, south Seychelles, west Sumatra Sri Lanka	January to March 1: January to March, 2: April to June	(Stéquert and Marsac 1989)
	North Madagascar, north Australia	2: October to December	
YFT	Western Indian Ocean	November to April	(Hassani and Stéquert 1991)
YFT	Seychelles EEZ	November to February	(Majid and Ahmed 1991)
YFT	Pooled data for the Indian EEZ	January to April/May	(John and Sudarsan 1993)
YFT	Andaman Sea	November to April	(John 1995)
YFT	Bay of Bengal	November to April	(John et al. 1998)

YFT	North Arabian Sea	December to June	(Govindraj et al. 2000)
YFT	Western Indian Ocean	1: November to March, 2: June to August	(Stéquert et al. 2001)
YFT	Eastern Indian Ocean	November to April	(Nootmorn et al. 2005)
YFT	West Central Indian Ocean	January to June	(Zhu et al. 2008)
YFT	Western Indian Ocean	1: November to February, 2: June	(Zudaire et al. 2013)
YFT	Equatorial Area (0-10°S)	December to March	(IOTC 2017a)
BET	Indian Ocean	January to March	(Solovieff 1970)
BET	Eastern Indian Ocean	October to January	(Ye et al. 2003)
BET	Southern Central Indian Ocean	October to January	(Li et al. 2010)
BET	Indian Ocean	1: December to January	(IOTC 2017b)
	Eastern Indian Ocean	2: June	
BET	Western Indian Ocean	January to March	(Zudaire et al. 2016)

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