
Epidemiological surveillance of SARS-CoV-2 by genome quantification in wastewater applied to a city in the northeast of France: comparison of ultrafiltration- and protein precipitation-based methods

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Abstract :

The aim of the present study was to develop a simple, sensitive, and specific approach to quantifying the SARS-CoV-2 genome in wastewater and to evaluate this approach as a means of epidemiological surveillance. Twelve wastewater samples were collected from a metropolitan area in north-eastern France during April and May 2020. In addition to the quantification of the SARS-CoV-2 genome, F-specific RNA phages of genogroup II (FRNAPH GGII), naturally present in wastewater, were used as an internal process control for the viral concentration and processing of RT-PCR inhibitors. A concentration method was required to allow the quantification of the SARS-CoV-2 genome over the longest possible period. A procedure combining ultrafiltration, phenol-chloroform-isoamyl alcohol purification, and the additional purification of the RNA extracts was chosen for the quantification of the SARS-CoV-2 genome in 100-mL wastewater samples. At the same time, the COVID-19 outbreak was evaluated through patients from the neighbouring University Hospital of Nancy, France. A regular decrease in the concentration of the SARS-CoV-2 genome from ~104 gc/L to ~102 gc/L of wastewater was observed over the eight weeks of the study, during which the population was placed under lockdown. The SARS-CoV-2 genome was even undetectable during one week in the second half of May and present but non-quantifiable in the last sample (28 May). A concordant circulation in the human community was highlighted by virological diagnosis using respiratory samples, which showed a decrease in the number of COVID-19 cases from 677 to 52 per week over the same period. The environmental surveillance of COVID-19 using a reliable viral quantification procedure to test wastewater is a key approach. The real-time detection of viral genomes can allow us to predict and monitor the circulation of SARS-CoV-2 in clinical settings and survey the entire urban human population.

Highlights

► Wastewater-based epidemiology is an interesting approach for SARS-CoV-2. ► Ultrafiltration is a reliable method to concentrate SARS-CoV-2 from wastewater. ► FRNAPH naturally present in wastewater can be used as internal process control.

Keywords : SARS-CoV-2, Wastewater, Concentration Methods, Prevalence

59 **1. Introduction**

60 In December 2019, the first outbreak of a new coronavirus disease (COVID-19) was reported in
61 Wuhan, China. A new coronavirus linked to Severe Acute Respiratory Syndrome (SARS-CoV-2) was
62 identified. It displayed more than 80% sequence homology with the previous SARS-CoV, identified
63 in 2003 (Cheung et al., 2020). By March 2020, 114 countries reported outbreaks and the WHO
64 declared a pandemic linked to SARS-CoV-2 (WHO, 2020). France was severely affected, with more
65 than 2,550,000 confirmed COVID-19 infections and more than 62,000 deaths by the end of 2020.
66 Even though many cases of COVID-19 are asymptomatic, when symptomatic it is characterized
67 mainly by fever and respiratory symptoms, but there are also a variety of other symptoms
68 frequently associated with the disease. These include gastrointestinal complaints, including
69 anorexia, diarrhoea, and vomiting/nausea at frequencies of up to 27%, 12% and 10%, respectively
70 (Cheung et al., 2020). SARS-CoV-2 is able to productively replicate in human gut enterocytes
71 (Lamers et al., 2020) and the SARS-CoV-2 genome has been detected in the stools of both
72 symptomatic and asymptomatic patients (Cai et al., 2020; Gao et al., 2020; Holshue et al., 2020;
73 Tang et al., 2020; Wölfel et al., 2020; Zhang et al., 2020). Viral RNA may be detected in stools up to
74 10 days after viral clearance from the respiratory tract, regardless of the severity of the disease
75 (Cheng et al., 2020). The viral concentration can be high, potentially reaching 10^7 genome copies
76 (gc)/g (Wölfel et al., 2020).

77 As expected, very high concentrations of the SARS-CoV-2 genome have also been detected in
78 wastewater (Ahmed et al., 2020; Hindson, 2020; Medema et al., 2020; Nemudryi et al., 2020;
79 Randazzo et al., 2020; Wu et al., 2020a; Wurtzer et al., 2020). Concentrations may reach close to
80 10^6 gc/L (Wu et al., 2020a; Wurtzer et al., 2020). The presence of SARS-CoV-2 in wastewater
81 indicates a potential health risk, but also a data source that can be used for epidemiological
82 purposes (Lodder and de Roda Husman, 2020; Thompson et al., 2020). Wastewater-based
83 epidemiology is not a new idea. It has been used to detect chemicals (Choi et al., 2020) and other

84 viruses, such as norovirus, hepatitis A virus, poliovirus, and Aichivirus (Asghar et al., 2014; Hellmer
85 et al., 2014; Lodder et al., 2012, 2013). Many countries (including The Netherlands, Spain, France,
86 Australia, and Israel) now support the idea of using this type of epidemiological approach in the
87 surveillance of human populations and to possibly launch an early warning system to predict
88 future outbreaks (Ahmed et al., 2020; Bar Or et al., 2020; Medema et al., 2020; Wu et al., 2020;
89 Wurtzer et al., 2020).

90 Whatever its purpose — whether epidemiological or to assess public health risk — the first step is
91 to define a method of quantifying SARS-CoV-2 in wastewater. The methodologies that have been
92 used to date are highly diverse. The concentration methods were based on various principles,
93 including ultrafiltration (Ahmed et al., 2020; Medema et al., 2020; Nemudryi et al., 2020; Trottier
94 et al., 2020); protein precipitation (Kumar et al., 2020; La Rosa et al., 2020b; Wu et al., 2020a);
95 electronegative membranes (Ahmed et al., 2020) and ultracentrifugation (Wurtzer et al., 2020).
96 Recovery rates are currently unknown or extrapolated from those of similar viruses such as
97 porcine epidemic diarrhoea virus (PEDV) and mengovirus (MgV) (Randazzo et al., 2020).

98 The aim of this study was to define the dynamics of viral concentration in wastewater during the
99 first lockdown of the French population. This objective required a simple, specific, and sensitive
100 approach to quantifying the SARS-CoV-2 genome in wastewater. In the present study, we took
101 advantage of the wide circulation of SARS-CoV-2 in north-eastern France to compare two methods
102 of virus concentration. We assessed the recovery rate of SARS-CoV-2 by virus quantification
103 directly in four unconcentrated wastewater samples. We also included an internal process control,
104 using F-specific RNA phages of genogroup II (FRNAPH GGII). We applied the most reliable method
105 to investigate the temporal variations of SARS-CoV-2 genome concentrations in wastewater over
106 12 weeks in an area of France with 250,000 inhabitants, which has been significantly impacted by
107 COVID-19.

108

109 **2. Material and methods**

110 **2.1. Sewage samples**

111 Samples were collected between 2 April and 28 May 2020 in the French Grand Est region. In that
112 region, the population was under lockdown from 17 March to 10 May 2020. A total of 12 water
113 samples (400 mL) were collected from a wastewater treatment plant (WWTP) weekly or twice
114 weekly. The average volume of influent treated in this WWTP was $\sim 118,000 \text{ m}^3/\text{day}$ ($\sim 250,000$
115 inhabitants). The wastewater samples were captured at regular intervals, just after decantation,
116 but before activated sludge treatment. The samples were stored at -20°C until analysis.

117 **2.2. Clinical samples**

118 At the same time, 19,850 samples were examined by the laboratory of the local University
119 Hospital to ascertain the presence of the SARS-CoV-2 genome in nasopharyngeal swabs. These
120 samples were collected between week 10 and week 22 from suspected COVID-19 patients
121 hospitalized in the local university hospital (60%) and from retirement home residents (40%).
122 More than 95% of the people sampled during this period were resident in the urban area of the
123 WWTP. The procedure used for the detection of the SARS-CoV-2 genome was based on primers
124 and probes designed to target two RdRp (RNA-dependent RNA polymerase) gene segments
125 (RdRp_IP2 and RdRp_IP4), in accordance with a procedure developed by the French National
126 Reference Centre for Respiratory Viruses (Institut Pasteur, Paris, France).

127

128 **2.3. Concentration procedures**

129 Two concentration procedures, based on ultrafiltration and on PEG 6000 precipitation,
130 respectively, were compared in the present study.

131 For the ultrafiltration procedure, a Centricon[®] Plus-70 centrifugal ultrafilter with a cut-off of 100
132 kD (Merck Millipore) was used. Before processing the samples, the ultrafilter was washed with 60
133 mL deionised water by centrifugation at $1,500 \times g$ for 15 min to remove the trace amounts of

134 glycerine, in accordance with the manufacturer's recommendations. Two volumes of 50 mL
135 wastewater were both filtered by centrifugation at $1,500 \times g$ for 15 min. After each centrifugation
136 step, the concentrate was recovered by inverting the system and applying centrifugation ($1,000 \times$
137 g for 2 min). The resulting concentrate's volume was around 1.5 mL. The ultrafilter was then
138 washed with 3.5 mL of deionised water. The washing solution was added to the 1.5 mL
139 concentrate to produce the final concentrate sample (5 mL). In order to recover the maximum
140 amount of virus genome from the ultrafilter, two further washing steps were undertaken, each
141 using 5 mL NucliSENS® lysis buffer (bioMérieux) for an incubation time of 5 min. The entire volume
142 (15 mL) was then used for nucleic acid extraction. Twelve water samples were subjected to this
143 procedure.

144 PEG 6000 precipitation was performed in a 250-mL centrifuge bottle containing 3 g beef extract
145 powder, 3 g NaCl, and 0.37 g glycine for 100 mL of wastewater. After the dissolution of the beef
146 extract powder, 20 g of PEG 6000 were added. The sample was gently stirred at 4°C for 2 h and
147 then maintained at 4°C overnight. The pellet obtained after centrifugation at $4,500 \times g$ and 4°C for
148 45 min was resuspended in deionised water to obtain a concentrated 5 mL sample. Ten millilitres
149 of NucliSENS® lysis buffer were then added to the concentrate. After incubation for 10 min at
150 room temperature, the entire volume (15 mL) was used for nucleic acid extraction. This
151 concentration method was tested on the first four water samples of our study.

152 In tandem with each concentration procedure, 5 mL samples of unconcentrated water were used
153 for nucleic acid extraction. They were submitted to the same procedure as the concentrated
154 samples. Ten millilitres of NucliSENS® lysis buffer was added to the water, which was incubated at
155 room temperature for 10 min, prior to the nucleic acid extraction.

156 **2.4. Nucleic acid extraction**

157 Both concentrated and unconcentrated wastewater samples reached the same volume of 15 mL
158 after the lysis step. Phenol-chloroform-isoamyl alcohol purification was then undertaken with both

159 concentrated and unconcentrated samples. This was realized in a 50 mL conical tube, containing 4
160 g of a mixture of high-vacuum silicon grease (Dow Corning®) and silicon dioxide (Sigma) (90:10
161 w/w), which was labelled the separation tube. The sample in lysis buffer (15 mL) was transferred
162 to the separation tube and 15 mL phenol-chloroform-isoamyl alcohol (25:24:1 pH 7.8-8.2, Acros
163 Organics™) were added. After 15 s of vigorous stirring by hand and centrifugation ($3,500 \times g$ for 5
164 min), the hydrophile supernatant (15 mL) was recovered. The nucleic acid extraction was
165 continued using 70 μ L of magnetic silica beads and the NucliSENS® easyMAG™ platform
166 (bioMérieux). The extracted nucleic acids were eluted in 100 μ L of elution buffer.

167 A complementary step was applied to some of the samples to remove residual environmental
168 inhibitors using OneStep PCR Inhibitor Removal kit (ZymoResearch). The RNA samples were stored
169 at -80°C until viral genome quantification. A negative control made of sterile phosphate buffered
170 saline was included at the beginning of each nucleic acid extraction procedure.

171 **2.5. Viral genome quantification**

172 Viral RNA quantification was performed using real-time RT-PCR and RT-digital droplet PCR (RT-
173 ddPCR).

174 For the FRNAPH GGII genome, the VTB4-Fph GII set published by Wolf et al. (2010) was used. For
175 the SARS-CoV-2 genome, two primer sets were selected: the “RdRp_IP4” set developed by the
176 Pasteur Institute (Paris, France: [https://www.who.int/docs/default-source/coronaviruse/real-
177 time-rt-pcr-assays-for-the-detection-of-sars-cov-2-institut-pasteur-paris.pdf?sfvrsn=3662fcb6_2](https://www.who.int/docs/default-source/coronaviruse/real-time-rt-pcr-assays-for-the-detection-of-sars-cov-2-institut-pasteur-paris.pdf?sfvrsn=3662fcb6_2))

178 for the RdRp gene and the “E” set developed by Corman et al. (2020) for the envelope protein (E)
179 gene. The specificity of these sets for other respiratory viruses, including human coronaviruses,
180 has been previously described (Corman et al., 2020; Etievent et al., 2020). The SARS-CoV-2 and
181 FRNAPH GGII genomes were quantified in the same RNA extracts. For the RdRp_IP4, E, and VTB4-
182 Fph GGII sets, quantification was performed using an RNA UltraSens™ One-Step Quantitative RT-
183 PCR system (Applied Biosystems™). The RdRp_IP4 and E sets were applied to 5 μ L of RNA in a 25-

184 μL reaction volume with final concentrations of $0.4 \mu\text{M}$ for each primer and $0.2 \mu\text{M}$ for the probe.
185 The VTB4-Fph GGII set was used on $2 \mu\text{L}$ of RNA in a $20\text{-}\mu\text{L}$ reaction volume with final
186 concentrations of $1 \mu\text{M}$ for each primer and $0.3 \mu\text{M}$ for the probe. A StepOnePlus Real-Time PCR
187 System (Applied Biosystems™) was used for these three real-time RT-PCR assays. For the RdRp_IP4
188 and E sets, the RT step was performed at $50 \text{ }^\circ\text{C}$ for 20 min and PCR amplification was performed at
189 95°C for 2 min, followed by 50 cycles of 15 s at 95°C and 30 s at $58 \text{ }^\circ\text{C}$. For the VTB4-Fph GGII set
190 the RT step was performed at $50 \text{ }^\circ\text{C}$ for 30 min and PCR amplification was performed at 95°C for 2
191 min, followed by 45 cycles of 15 s at 95°C and 40 s at $58 \text{ }^\circ\text{C}$. Negative and positive controls were
192 included in each experiment. Quantification was carried out using standard curve ranges. RNA
193 extracted from patients who had tested positive for SARS-CoV-2 was quantified using ddRT-PCR
194 with the E set, as described below. Quantified RNA was then used to obtain the standard curve for
195 both RdRp_IP4 and E genes. The nCoV-ALL-Control plasmid (Eurofins genomic) was also used for
196 the standard curve of the E gene. This plasmid containing ampicillin resistance gene was
197 maintained in TOP10 chemically competent *E. coli* (Invitrogen) and quantified using Qubit 4
198 fluorometer (Invitrogen). At last, RNA extracted from GA phage suspension was used to obtain the
199 standard curve for FRNAPH GGII. RNA extracted from GA phage suspension was quantified by
200 ddRT-PCR using VTB4-Fph the GGII set as described below. The standard curves ranged from
201 1×10^{-1} to 1×10^4 gc/reaction for the RdRp_IP4 and E genes of SARS-CoV-2 and from 2.8×10^{-1} to
202 2.8×10^4 gc/reaction for the GA phage. The limit of detection (LoD) was 1 gc/RT-qPCR reaction for
203 the RdRp and E genes. The limit of quantification (LoQ) ranged from 1 to 10 gc/RT-qPCR reaction
204 for the RdRp gene and reached 1 gc/RT-qPCR reaction for the E gene. When we take the analytical
205 volumes of the wastewater sample concentrations subjected to RT-qPCR analysis into
206 consideration, the LoQ ranged from 2×10^2 to 2×10^3 gc/L of wastewater for the RdRp gene and
207 reached 2×10^2 gc/L of wastewater for the E gene.

208 The ddRT-PCR assays were performed using the E set of SARS-CoV-2 and the VTB4-Fph GGII set for
209 GA phage. Amplifications were carried out in a 20- μ L reaction mixture containing 5 μ L of RNA and
210 15 μ L of One-Step RT-ddPCR™ Kit for Probes (Bio-Rad). The reaction mix contained 0.9 μ M of each
211 primer and 0.3 μ M of the probe. The samples were placed in the droplet generator using 70 μ L of
212 generator oil to generate up to 20,000 droplets per sample. The resulting picolitre droplet
213 emulsions (40 μ L) were transferred to a Veriti 96-Well Thermal Cycler (Applied Biosystems). After
214 amplification, the plate was transferred to the QX100™ Droplet Reader (Bio-Rad) and
215 QuantaSoft™ Software (Bio-Rad) was used to measure the number of positive droplets per well.
216 Droplets were designated positive or negative based on their fluorescence amplitude, using
217 thresholding. The starting concentration of each target RNA molecule was then calculated, by
218 modelling a Poisson distribution.

219 For each sample and each targeted virus (SARS-CoV-2 and FRNAPH GGII) the recovery rate was
220 calculated as follows: recovery rate = genome copies (gc) in 5 mL unconcentrated sample
221 $\times 20 \times 100 / \text{gc in } 100 \text{ mL of the concentrate}$. The high concentration of the FRNAPH GGII
222 genome allowed for the determination of the recovery rate in all wastewater samples. It was also
223 possible to determine the recovery rate for SARS-CoV-2 in 5 of the 12 samples.

224 **2.6. Estimation of RT-qPCR inhibition**

225 The estimation of RT-qPCR inhibition in the wastewater samples was based on the concentrations
226 of FRNAPH GGII detected. Logarithmic dilutions (1/10 and 1/100) were performed in PCR-grade
227 water following viral RNA extraction. The RT-qPCR assay was then carried out on both undiluted
228 and diluted RNA extracts. The percentage of inhibition was estimated for undiluted and 1/10
229 samples by taking the concentration obtained from the 1/100 samples as a reference, due to the
230 high dilution of potential inhibitors.

231 **2.7. Statistical analysis**

232 The statistical analyses were performed using XLSTAT 2020.3.1.2 software. As normal distribution
233 of the data and the homogeneity of variances could not be met, two non-parametric tests were
234 used. A Kruskal-Wallis test was performed on k-independent samples ($k > 3$) to compare the viral
235 genome copy values obtained using the different concentration and purification methods. A
236 Wilcoxon signed-rank test was performed on paired samples to compare the recovery rates
237 following the two methods and the genome concentrations of the two targeted genes. *P* values <
238 0.05 were considered statistically significant.

239

240 **3. Results and discussion**

241 **3.1. Concentration method for SARS-CoV-2 quantification in wastewater**

242 The epidemiological surveillance of SARS-CoV-2 by quantifying its genome in wastewater requires
243 reliable methods of concentration and detection. Beginning our study in a region that was highly
244 impacted by COVID-19 allowed us to estimate recovery rates for SARS-CoV-2.

245 FRNAPH are usually present in wastewater at concentrations that are relatively stable over time
246 and around the world (Lucena et al., 2003). We obtained just under 2.5×10^7 gc/L which was high
247 enough to allow us to evaluate both recovery rates and PCR inhibition problems. Using these
248 bacteriophages, the presence of PCR inhibitors was detected at even a low volume (5 mL) of
249 unconcentrated wastewater. Indeed, the PCR inhibition varied between 88% and 100% in
250 undiluted RNA extracts ($n=5$) and between 14% and 42% in 1/10 diluted RNA extracts ($n=5$).

251 Following phenol-chloroform purification, the PCR inhibitors had been completely removed from 5
252 mL of wastewater. In these five wastewater samples, the level of contamination by SARS-CoV-2
253 also enabled the quantification of the virus in 5 mL unconcentrated samples and showed that,
254 following phenol-chloroform purification, the concentrations of SARS-CoV-2 genome detected in
255 the undiluted samples had multiplied by between a factor of 2 and a factor of 10. The positive
256 impact of this method on viral RNA extraction from environmental samples such as wastewater,

257 sediments and animal stool samples has already been shown by previous studies (Miura et al.,
258 2011; Hartard et al., 2015). The phenol-chloroform purification method was systematically applied
259 in the following experiments. A complementary method for the removal of PCR inhibitors in RNA
260 extracts was tested during the comparison of the concentration methods (as described below).

261 Two concentration procedures, based on protein precipitation using PEG 6 000 and on
262 ultrafiltration using the Centricon® 70-Plus 100 kD device, respectively, were compared. Recovery
263 rates for both FRNAPH GGII and SARS-CoV-2 could be determined (Table 1) for both methods in
264 four distinct wastewater samples collected in April 2020, since these samples tested positive for
265 the SARS-CoV-2 genome even when only 5 mL of unconcentrated wastewater was analysed. The
266 mean recovery rate of FRNAPH GGII reached $47.7 \pm 18.5\%$ and $39.2 \pm 23.9\%$ using ultrafiltration
267 and protein precipitation, respectively. Because some of the results obtained for FRNAPH GGII
268 showed residual PCR inhibition, the RNA extracts previously obtained by both methods were then
269 purified using a PCR inhibitor removal kit. This treatment led to mean recovery rates of
270 $47.1 \pm 10.4\%$ and $43.3 \pm 20.3\%$ for ultrafiltration and protein precipitation, respectively. This
271 supplementary treatment therefore led to a slight increase in the mean recovery rate of protein
272 precipitation. It also led to a decrease in the standard deviation in both concentration methods.

273 The concentration values obtained for FRNAPH GGII using the two concentration methods, with or
274 without the use of the PCR inhibitor removal kit, were not significantly different (p value = 0.098,
275 Kruskal-Wallis test). For SARS-CoV-2, the mean recovery rates of the RdRp_IP4 gene reached
276 $64.1 \pm 50.2\%$ and $32.4\% \pm 20.2\%$ using ultrafiltration and protein precipitation, respectively.

277 Additional purification of the RNA extracts led to mean recovery rates of $55.8 \pm 46.9\%$ and
278 $23.5 \pm 15.0\%$ for ultrafiltration and protein precipitation, respectively. Thus, the mean recovery
279 rate obtained for SARS-CoV-2 (RdRp_IP4 gene) using ultrafiltration were twice as high as those
280 obtained using protein precipitation. Moreover, the highest recovery rate was obtained using
281 ultrafiltration in the four wastewater samples. As observed in the case of the phage, additional

282 purification reduced the standard deviation values. The genome concentration values were not
283 significantly different between the two concentration methods (p value = 0.088, Kruskal-Wallis
284 test). Amplification of the E gene was then performed on the purified RNA extracts, resulting in
285 mean recovery rates of $64.0 \pm 41.6\%$ and $45.0 \pm 44.6\%$ for ultrafiltration and protein precipitation,
286 respectively (Table 1). The statistical analysis of the data obtained for both the RdRp_IP4 and E
287 genes showed significantly higher recovery rates for ultrafiltration than for protein precipitation (p
288 value = 0.009, Wilcoxon signed-rank test).

289 Recovery rates and the inhibition of molecular detection methods applied for SARS-CoV-2 in
290 wastewater have been poorly described. Nevertheless, our SARS-CoV-2 recovery rate was higher
291 than those described in a recent review paper (La Rosa et al., 2020a) reporting five studies that
292 described recovery rates for coronavirus in water matrices. But these recovery rates were all
293 defined using artificial spiking with different types of coronaviruses (bovine enteric coronavirus,
294 transmissible gastroenteritis virus [TGEV], murine hepatitis virus, and SARS-CoV). The recovery
295 rate did not exceed 28% for bovine enteric coronavirus seeded in wastewater (Collomb et al.,
296 1986) and it varied from 0 to 21.4% for SARS-CoV seeded in wastewater ($n=4$) (Wang et al., 2005).
297 Our higher recovery rates for the SARS-CoV-2 naturally present in the wastewater samples could
298 be primarily explained by the choice of concentration method. Increasing PEG concentration might
299 lead to a decrease in the standard deviation values as suggested by the recovery rate of $41.9 \pm$
300 6.5% for TGEV seeded in concentrated surface water (Blanco et al., 2019). Two of the studies
301 reviewed by La Rosa and colleagues (2020a) used adsorption/elution on glass wool with the
302 objective of analysing large volumes (5–50 L) of surface water (Blanco et al., 2019) and
303 dechlorinated tap water (Abd-Elmaksoud et al., 2014). Such methods are commonly used as
304 primary concentration methods and are followed by secondary concentration methods — PEG
305 precipitation in the case of these two studies. However, the combination of concentration
306 methods used on large volumes of water commonly leads to a decrease in recovery rates. By

307 working on municipal wastewater spiked with different enveloped and non-enveloped viruses, Ye
308 et al. (2016) have shown that ultrafiltration is a more suitable method for wastewater than
309 ultracentrifugation or PEG precipitation because the recovery rate of ultrafiltration is 25%
310 compared with 5% by ultracentrifugation or PEG precipitation. Since Ye and colleagues (2016)
311 used culture as their detection method, the low recovery rates may be explained by the possible
312 inactivation of coronavirus by the greater ultracentrifugal forces and the lower precipitation
313 capacity of enveloped viruses compared with non-enveloped viruses in PEG precipitation. Wurtzer
314 and colleagues (2020) showed the ability of ultracentrifugation to concentrate SARS-CoV-2 from
315 wastewater, but the recovery rate was not given, and detection was done using RT-qPCR instead
316 of culture methods. It is important to note that the ultrafiltration method could be used for the
317 detection of infectious SARS-CoV-2 in wastewater, as shown with FRNAPH by Medema et al.
318 (2020). The environmental conditions may have a negative impact on the integrity of the SARS-
319 CoV-2 particles, with implications for detection methods and viral risk assessment; this requires
320 further research.

321 The procedure combining ultrafiltration, phenol-chloroform purification, and additional
322 purification of the RNA extracts was chosen to monitor the concentrations of the SARS-CoV-2
323 genome in wastewater during longitudinal wastewater sampling. Our approach based on
324 ultrafiltration may be performed with different devices such as Centricon® (Ahmed et al., 2020;
325 Medema et al., 2020), Corning® Spin-X® UF (Nemudryi et al., 2020) or Vivaspin® (Trottier et al.,
326 2020) concentrators.

327

328 **3.2. Quantification of the SARS-CoV-2 genome in wastewater**

329 Our longitudinal study was performed in a French geographical area with one of the highest
330 prevalence rates of COVID-19. The population was placed under lockdown from 17 March until 11
331 May 2020, but the number of cases detected at the University Hospital increased continuously

332 until the end of March, when the wastewater sampling period started. Twelve wastewater
333 samples, collected between 2 April and 28 May 2020, were analysed in one replicate using both
334 the ultrafiltration procedure on 100 mL and the direct analysis of 5 mL to quantify the SARS-CoV-2
335 and FRNAPH GGII genomes.

336 The FRNAPH GGII genome could be quantified in both 5 mL and 100 mL of wastewater in all the
337 samples (Figure 1). The mean concentrations reached $2.1 \times 10^7 \pm 1.1 \times 10^7$ gc/L and
338 $1.6 \times 10^7 \pm 1.4 \times 10^7$ gc/L in unconcentrated and concentrated samples, respectively. From these
339 concentration values, the recovery rates of FRNAPH GGII ranged from 14.1% to 133.8%.

340 We propose a recovery rate of over 10% as a quality control, to validate the results. This goes
341 beyond the current ISO standards for the molecular detection of viruses in water and food, which
342 require recovery efficiencies of over 1% (International Organization for Standardization - ISO 2017;
343 Lowther et al. 2017). Quantifying FRNAPH at the same time as SARS-CoV-2 also allowed for the
344 normalisation of faecal material content, which may vary daily, depending on rainfall or variations
345 in faecal content. In our samples, FRNAPH genomes ranged from 1.1×10^7 to 6.7×10^7 gc/L,
346 showing that the faecal pollution was relatively stable during the period in question. No significant
347 rainfall events were reported during the sampling period. To compare the variations in SARS-CoV-
348 2 genome concentrations in different wastewater samples may require the normalisation of
349 genome content. Other variables, such as temperature, pH, turbidity, total suspended solids, 5-day
350 biological oxygen demand (BOD5), antecedent dry days, and conductivity may explain the
351 variability of faecal indicator bacteria (FIB) (Mohammed et al., 2018; Paule-Mercado et al., 2016)
352 and probably of other faecal pathogens.

353 The SARS-CoV-2 genome could be quantified in 5 mL of wastewater, without using any
354 concentration procedures, between 2 April and 30 April (Figure 1). By analysing 100 mL of
355 wastewater, a decrease in the concentration of the SARS-CoV-2 genome was observed over the
356 course of 8 weeks (Figure 1). The SARS-CoV-2 genome was even undetectable during one week (22

357 and 25 May) and present but below the LoQ in the last sample (28 May). The concentrations of
358 RdRp and E genes in the twelve samples appeared to be similar (p value = 0.496, Wilcoxon signed-
359 rank test). Moreover, the concentration values obtained using real-time RT-qPCR and ddRT-PCR
360 for the E gene were similar (p value = 0.734, Wilcoxon signed-rank test). This suggests that RT-PCR
361 inhibitors were effectively removed by our protocol. Indeed, ddRT-PCR is far less influenced by
362 inhibitors than RT-qPCR (Sun et al., 2019).

363 The decrease in the genome concentrations detected in wastewater may be related to the
364 decrease in the number of COVID-19 cases observed at the Nancy University Hospital (Figure 1).
365 Between 2 April and 23 April, the number of cases decreased from 677/week to 286/week and the
366 concentration values of the SARS-CoV-2 genome detected in 100 mL of wastewater decreased
367 from 1.2×10^4 gc/L to 3.0×10^3 gc/L. During this period, the virus genome could be detected in
368 only 5 mL of wastewater and decreased only from 1×10^4 gc/L to 7×10^3 gc/L. The analysis of only
369 5 mL of wastewater could be less representative of the variation in viral genome concentration.
370 After a single data point showing an increase in genome concentration in wastewater in both 5 mL
371 and 100 mL (30 April), the SARS-CoV-2 genome was no longer detected in 5 mL of wastewater and
372 the concentrations dropped below 10^3 gc/L in the 100 mL analysis. For three of the samples during
373 this period, either no genes were detected or only one gene was detected, and the number of
374 cases varied between 27/week and 52/week. Nevertheless, SARS-CoV-2 was still detected in
375 wastewater in the last sample (28 May) collected 17 days after the end of the lockdown. We
376 observed a parallel decrease in cases in patients and genome concentration in wastewater,
377 confirming the link between the circulation of the virus in the human population and its presence
378 in wastewater. This clearly confirms the findings of other studies showing such a relationship
379 (Wurtzer et al., 2020). One of the first studies on the detection of the SARS-CoV-2 genome in
380 wastewater (Medema et al., 2020) even showed that it was possible to detect the virus in
381 wastewater during the early stages of the COVID-19 epidemic.

382

383 4. Conclusion

384 We developed a method of concentrating SARS-CoV-2 from wastewater with one of the highest
385 recovery rates described in the literature. The quantification of the SARS-CoV-2 genome was
386 carried out in both concentrated and unconcentrated wastewater which was naturally
387 contaminated; this gives our results a high degree of reliability. Moreover, this study provides
388 additional data to validate proof-of-concept for a link between the outbreak in the human
389 community and the concentrations of faecally excreted viruses in wastewater. This method can
390 contribute to the monitoring of the epidemic and improve the management of potential viral
391 recirculation.

392

393 Declaration of interest

394 None

395

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402

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407

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- 564

565 **Table 1: Recovery rates (%) of SARS-CoV-2 and FRNAPH GGII genomes in wastewater samples using two concentration methods (protein**
 566 **precipitation and ultrafiltration) both alone and combined with the use of a PCR inhibitor removal kit.**

567

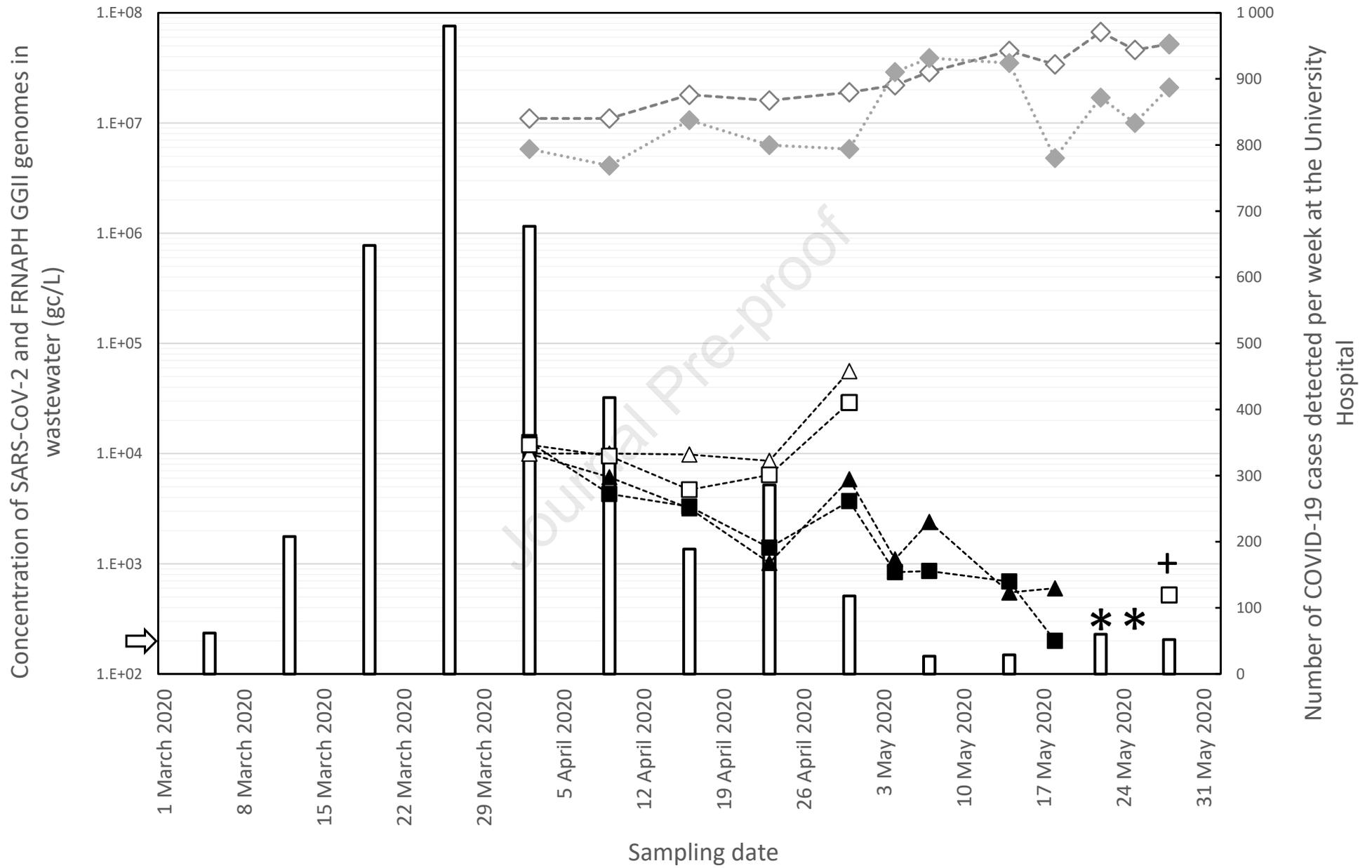
Virus / Primers set	SARS-CoV-2 / RdRp_IP4		SARS-CoV-2 / E		FRNAPH GGII / VTB4-Fph GGII	
Concentration method	PP	UF	PP	UF	PP	UF
Without PCR Inhibitor removal kit						
Sample 1	56.0	131.0	ND	ND	58.2	49.1
Sample 2	12.0	56.0	ND	ND	4.5	26.0
Sample 3	41.8	60.2	ND	ND	43.9	71.1
Sample 4	19.8	9.2	ND	ND	50.0	44.4
Mean ± SD	32.4 ± 20.2	64.1 ± 50.2	ND	ND	39.2 ± 23.9	47.7 ± 18.5
With PCR Inhibitor removal kit						
Sample 1	40.5	119.0	108.0	119.0	68.2	52.7
Sample 2	27.0	61.0	23.0	45.0	19.1	37.5
Sample 3	22.4	32.6	43.0	70.0	37.2	58.9
Sample 4	4.3	10.6	6.0	22.0	50.0	39.4
Mean ± SD	23.5 ± 15.0	55.8 ± 46.9	45.0 ± 44.6	64.0 ± 41.6	43.3 ± 20.3	47.1 ± 10.4

568

569 PP: protein precipitation, UF: ultrafiltration, ND: not done

570 **Figure 1. Quantitative time-course monitoring of SARS-CoV-2 and FRNAPH-GGII genomes in**
571 **wastewater samples in the Nancy metropolitan area and evolution of the number of cases per**
572 **week at the local University Hospital.** Quantification in 100 mL of wastewater (ultrafiltration) of
573 the SARS-CoV-2 genome targeting RdRp (full triangles) and E (full squares) genes and of the
574 FRNAPH GGII genome (full diamonds). Quantification in 5 mL of wastewater: open forms. Negative
575 samples of the SARS-CoV-2 genome are marked with an asterisk. The sample positive for the RdRp
576 gene but below the LoQ is marked with a plus sign. The number of cases of COVID-19 detected at
577 the local University Hospital is shown per week (bars). The limit of detection (LoD) for RdRp and E
578 genes (2×10^2 gc/L) is represented by a white arrow on the left y-axis. The lines given for genome
579 concentration values are only designed to provide a visual guide.

580



1 **Epidemiological surveillance of SARS-CoV-2 by genome quantification in wastewater applied to**
2 **a city in the northeast of France: comparison of ultrafiltration- and protein precipitation-based**
3 **methods**

4
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29

Journal Pre-proof

Abstract

30 **Abstract**

31 The aim of the present study was to develop a simple, sensitive, and specific approach to

32 quantifying the SARS-CoV-2 genome in wastewater and to evaluate this approach as a means of

33 epidemiological surveillance. Twelve wastewater samples were collected from a metropolitan area

34 in north-eastern France during April and May 2020. In addition to the quantification of the SARS-

35 CoV-2 genome, F-specific RNA phages of genogroup II (FRNAPH GGII), naturally present in

36 wastewater, were used as an internal process control for the viral concentration and processing of

37 RT-PCR inhibitors. A concentration method was required to allow the quantification of the SARS-

38 CoV-2 genome over the longest possible period. A procedure combining ultrafiltration, phenol-

39 chloroform-isoamyl alcohol purification, and the additional purification of the RNA extracts was

40 chosen for the quantification of the SARS-CoV-2 genome in 100-mL wastewater samples. At the

41 same time, the COVID-19 outbreak was evaluated through patients from the neighbouring

42 University Hospital of Nancy, France. A regular decrease in the concentration of the SARS-CoV-2

43 genome from $\sim 10^4$ gc/L to $\sim 10^2$ gc/L of wastewater was observed over the eight weeks of the

44 study, during which the population was placed under lockdown. The SARS-CoV-2 genome was

45 even undetectable during one week in the second half of May and present but non-quantifiable in

46 the last sample (28 May). A concordant circulation in the human community was highlighted by

47 virological diagnosis using respiratory samples, which showed a decrease in the number of COVID-

48 19 cases from 677 to 52 per week over the same period. The environmental surveillance of COVID-

49 19 using a reliable viral quantification procedure to test wastewater is a key approach. The real-

50 time detection of viral genomes can allow us to predict and monitor the circulation of SARS-CoV-2

51 in clinical settings and survey the entire urban human population.

52

53 **Keywords:** SARS-CoV-2; Wastewater; Concentration Methods; Prevalence

54 **Highlights**

- 55 • Wastewater-based epidemiology is an interesting approach for SARS-CoV-2.
- 56 • Ultrafiltration is a reliable method to concentrate SARS-CoV-2 from wastewater.
- 57 • FRNAPH naturally present in wastewater can be used as internal process control.

58

Journal Pre-proof

59 **1. Introduction**

60 In December 2019, the first outbreak of a new coronavirus disease (COVID-19) was reported in
61 Wuhan, China. A new coronavirus linked to Severe Acute Respiratory Syndrome (SARS-CoV-2) was
62 identified. It displayed more than 80% sequence homology with the previous SARS-CoV, identified
63 in 2003 (Cheung et al., 2020). By March 2020, 114 countries reported outbreaks and the WHO
64 declared a pandemic linked to SARS-CoV-2 (WHO, 2020). France was severely affected, with more
65 than 2,550,000 confirmed COVID-19 infections and more than 62,000 deaths by the end of 2020.
66 Even though many cases of COVID-19 are asymptomatic, when symptomatic it is characterized
67 mainly by fever and respiratory symptoms, but there are also a variety of other symptoms
68 frequently associated with the disease. These include gastrointestinal complaints, including
69 anorexia, diarrhoea, and vomiting/nausea at frequencies of up to 27%, 12% and 10%, respectively
70 (Cheung et al., 2020). SARS-CoV-2 is able to productively replicate in human gut enterocytes
71 (Lamers et al., 2020) and the SARS-CoV-2 genome has been detected in the stools of both
72 symptomatic and asymptomatic patients (Cai et al., 2020; Gao et al., 2020; Holshue et al., 2020;
73 Tang et al., 2020; Wölfel et al., 2020; Zhang et al., 2020). Viral RNA may be detected in stools up to
74 10 days after viral clearance from the respiratory tract, regardless of the severity of the disease
75 (Cheng et al., 2020). The viral concentration can be high, potentially reaching 10^7 genome copies
76 (gc)/g (Wölfel et al., 2020).

77 As expected, very high concentrations of the SARS-CoV-2 genome have also been detected in
78 wastewater (Ahmed et al., 2020; Hindson, 2020; Medema et al., 2020; Nemudryi et al., 2020;
79 Randazzo et al., 2020; Wu et al., 2020a; Wurtzer et al., 2020). Concentrations may reach close to
80 10^6 gc/L (Wu et al., 2020a; Wurtzer et al., 2020). The presence of SARS-CoV-2 in wastewater
81 indicates a potential health risk, but also a data source that can be used for epidemiological
82 purposes (Lodder and de Roda Husman, 2020; Thompson et al., 2020). Wastewater-based
83 epidemiology is not a new idea. It has been used to detect chemicals (Choi et al., 2020) and other

84 viruses, such as norovirus, hepatitis A virus, poliovirus, and Aichivirus (Asghar et al., 2014; Hellmer
85 et al., 2014; Lodder et al., 2012, 2013). Many countries (including The Netherlands, Spain, France,
86 Australia, and Israel) now support the idea of using this type of epidemiological approach in the
87 surveillance of human populations and to possibly launch an early warning system to predict
88 future outbreaks (Ahmed et al., 2020; Bar Or et al., 2020; Medema et al., 2020; Wu et al., 2020;
89 Wurtzer et al., 2020).

90 Whatever its purpose — whether epidemiological or to assess public health risk — the first step is
91 to define a method of quantifying SARS-CoV-2 in wastewater. The methodologies that have been
92 used to date are highly diverse. The concentration methods were based on various principles,
93 including ultrafiltration (Ahmed et al., 2020; Medema et al., 2020; Nemudryi et al., 2020; Trottier
94 et al., 2020); protein precipitation (Kumar et al., 2020; La Rosa et al., 2020b; Wu et al., 2020a);
95 electronegative membranes (Ahmed et al., 2020) and ultracentrifugation (Wurtzer et al., 2020).
96 Recovery rates are currently unknown or extrapolated from those of similar viruses such as
97 porcine epidemic diarrhoea virus (PEDV) and mengovirus (MgV) (Randazzo et al., 2020).

98 The aim of this study was to define the dynamics of viral concentration in wastewater during the
99 first lockdown of the French population. This objective required a simple, specific, and sensitive
100 approach to quantifying the SARS-CoV-2 genome in wastewater. In the present study, we took
101 advantage of the wide circulation of SARS-CoV-2 in north-eastern France to compare two methods
102 of virus concentration. We assessed the recovery rate of SARS-CoV-2 by virus quantification
103 directly in four unconcentrated wastewater samples. We also included an internal process control,
104 using F-specific RNA phages of genogroup II (FRNAPH GGII). We applied the most reliable method
105 to investigate the temporal variations of SARS-CoV-2 genome concentrations in wastewater over
106 12 weeks in an area of France with 250,000 inhabitants, which has been significantly impacted by
107 COVID-19.

108

109 **2. Material and methods**

110 **2.1. Sewage samples**

111 Samples were collected between 2 April and 28 May 2020 in the French Grand Est region. In that
112 region, the population was under lockdown from 17 March to 10 May 2020. A total of 12 water
113 samples (400 mL) were collected from a wastewater treatment plant (WWTP) weekly or twice
114 weekly. The average volume of influent treated in this WWTP was $\sim 118,000 \text{ m}^3/\text{day}$ ($\sim 250,000$
115 inhabitants). The wastewater samples were captured at regular intervals, just after decantation,
116 but before activated sludge treatment. The samples were stored at -20°C until analysis.

117 **2.2. Clinical samples**

118 At the same time, 19,850 samples were examined by the laboratory of the local University
119 Hospital to ascertain the presence of the SARS-CoV-2 genome in nasopharyngeal swabs. These
120 samples were collected between week 10 and week 22 from suspected COVID-19 patients
121 hospitalized in the local university hospital (60%) and from retirement home residents (40%).
122 More than 95% of the people sampled during this period were resident in the urban area of the
123 WWTP. The procedure used for the detection of the SARS-CoV-2 genome was based on primers
124 and probes designed to target two RdRp (RNA-dependent RNA polymerase) gene segments
125 (RdRp_IP2 and RdRp_IP4), in accordance with a procedure developed by the French National
126 Reference Centre for Respiratory Viruses (Institut Pasteur, Paris, France).

127

128 **2.3. Concentration procedures**

129 Two concentration procedures, based on ultrafiltration and on PEG 6000 precipitation,
130 respectively, were compared in the present study.

131 For the ultrafiltration procedure, a Centricon[®] Plus-70 centrifugal ultrafilter with a cut-off of 100
132 kD (Merck Millipore) was used. Before processing the samples, the ultrafilter was washed with 60
133 mL deionised water by centrifugation at $1,500 \times g$ for 15 min to remove the trace amounts of

134 glycerine, in accordance with the manufacturer's recommendations. Two volumes of 50 mL
135 wastewater were both filtered by centrifugation at $1,500 \times g$ for 15 min. After each centrifugation
136 step, the concentrate was recovered by inverting the system and applying centrifugation ($1,000 \times$
137 g for 2 min). The resulting concentrate's volume was around 1.5 mL. The ultrafilter was then
138 washed with 3.5 mL of deionised water. The washing solution was added to the 1.5 mL
139 concentrate to produce the final concentrate sample (5 mL). In order to recover the maximum
140 amount of virus genome from the ultrafilter, two further washing steps were undertaken, each
141 using 5 mL NucliSENS® lysis buffer (bioMérieux) for an incubation time of 5 min. The entire volume
142 (15 mL) was then used for nucleic acid extraction. Twelve water samples were subjected to this
143 procedure.

144 PEG 6000 precipitation was performed in a 250-mL centrifuge bottle containing 3 g beef extract
145 powder, 3 g NaCl, and 0.37 g glycine for 100 mL of wastewater. After the dissolution of the beef
146 extract powder, 20 g of PEG 6000 were added. The sample was gently stirred at 4°C for 2 h and
147 then maintained at 4°C overnight. The pellet obtained after centrifugation at $4,500 \times g$ and 4°C for
148 45 min was resuspended in deionised water to obtain a concentrated 5 mL sample. Ten millilitres
149 of NucliSENS® lysis buffer were then added to the concentrate. After incubation for 10 min at
150 room temperature, the entire volume (15 mL) was used for nucleic acid extraction. This
151 concentration method was tested on the first four water samples of our study.

152 In tandem with each concentration procedure, 5 mL samples of unconcentrated water were used
153 for viral genome extraction. They were submitted to the same procedure as the concentrated
154 samples. Ten millilitres of NucliSENS® lysis buffer was added to the water, which was incubated at
155 room temperature for 10 min, prior to the nucleic acid extraction.

156 **2.4. Nucleic acid extraction**

157 Both concentrated and unconcentrated wastewater samples reached the same volume of 15 mL
158 after the lysis step. Phenol-chloroform-isoamyl alcohol purification was then undertaken with both

159 concentrated and unconcentrated samples. This was realized in a 50 mL conical tube, containing 4
160 g of a mixture of high-vacuum silicon grease (Dow Corning®) and silicon dioxide (Sigma) (90:10
161 w/w), which was labelled the separation tube. The sample in lysis buffer (15 mL) was transferred
162 to the separation tube and 15 mL phenol-chloroform-isoamyl alcohol (25:24:1 pH 7.8-8.2, Acros
163 Organics™) were added. After 15 s of vigorous stirring by hand and centrifugation ($3,500 \times g$ for 5
164 min), the hydrophile supernatant (15 mL) was recovered. The nucleic acid extraction was
165 continued using 70 μL of magnetic silica beads and the NucliSENS® easyMAG™ platform
166 (bioMérieux). The extracted nucleic acids were eluted in 100 μL of elution buffer.

167 A complementary step was applied to some of the samples to remove residual environmental
168 inhibitors using OneStep PCR Inhibitor Removal kit (ZymoResearch). The RNA samples were stored
169 at -80°C until viral genome quantification. A negative control made of sterile phosphate buffered
170 saline was included at the beginning of each nucleic acid extraction procedure.

171 **2.5. Viral genome quantification**

172 Viral RNA quantification was performed using real-time RT-PCR and RT-digital droplet PCR (RT-
173 ddPCR).

174 For the FRNAPH GGII genome, the VTB4-Fph GII set published by Wolf et al. (2010) was used. For
175 the SARS-CoV-2 genome, two primer sets were selected: the “RdRp_IP4” set developed by the
176 Pasteur Institute (Paris, France: [https://www.who.int/docs/default-source/coronaviruse/real-
177 time-rt-pcr-assays-for-the-detection-of-sars-cov-2-institut-pasteur-paris.pdf?sfvrsn=3662fcb6_2](https://www.who.int/docs/default-source/coronaviruse/real-time-rt-pcr-assays-for-the-detection-of-sars-cov-2-institut-pasteur-paris.pdf?sfvrsn=3662fcb6_2))

178 for the RdRp gene and the “E” set developed by Corman et al. (2020) for the envelope protein (E)
179 gene. The specificity of these sets for other respiratory viruses, including human coronaviruses,
180 has been previously described (Corman et al., 2020; Etievent et al., 2020). The SARS-CoV-2 and
181 FRNAPH GGII genomes were quantified in the same RNA extracts. For the RdRp_IP4, E, and VTB4-
182 Fph GGII sets, quantification was performed using an RNA UltraSens™ One-Step Quantitative RT-
183 PCR system (Applied Biosystems™). The RdRp_IP4 and E sets were applied to 5 μL of RNA in a 25-

184 μL reaction volume with final concentrations of $0.4 \mu\text{M}$ for each primer and $0.2 \mu\text{M}$ for the probe.
185 The VTB4-Fph GGII set was used on $2 \mu\text{L}$ of RNA in a $20\text{-}\mu\text{L}$ reaction volume with final
186 concentrations of $1 \mu\text{M}$ for each primer and $0.3 \mu\text{M}$ for the probe. A StepOnePlus Real-Time PCR
187 System (Applied Biosystems™) was used for these three real-time RT-PCR assays. For the RdRp_IP4
188 and E sets, the RT step was performed at $50 \text{ }^\circ\text{C}$ for 20 min and PCR amplification was performed at
189 95°C for 2 min, followed by 50 cycles of 15 s at 95°C and 30 s at $58 \text{ }^\circ\text{C}$. For the VTB4-Fph GGII set
190 the RT step was performed at $50 \text{ }^\circ\text{C}$ for 30 min and PCR amplification was performed at 95°C for 2
191 min, followed by 45 cycles of 15 s at 95°C and 40 s at $58 \text{ }^\circ\text{C}$. Negative and positive controls were
192 included in each experiment. Quantification was carried out using standard curve ranges. RNA
193 extracted from patients who had tested positive for SARS-CoV-2 was quantified using ddRT-PCR
194 with the E set, as described below. Quantified RNA was then used to obtain the standard curve for
195 both RdRp_IP4 and E genes. The nCoV-ALL-Control plasmid (Eurofins genomic) was also used for
196 the standard curve of the E gene. This plasmid containing ampicillin resistance gene was
197 maintained in TOP10 chemically competent *E. coli* (Invitrogen) and quantified using Qubit 4
198 fluorometer (Invitrogen). At last, RNA extracted from GA phage suspension was used to obtain the
199 standard curve for FRNAPH GGII. RNA extracted from GA phage suspension was quantified by
200 ddRT-PCR using VTB4-Fph the GGII set as described below. The standard curves ranged from
201 1×10^{-1} to $1 \times 10^4 \text{ gc/reaction}$ for the RdRp_IP4 and E genes of SARS-CoV-2 and from 2.8×10^{-1} to
202 $2.8 \times 10^4 \text{ gc/reaction}$ for the GA phage. The limit of detection (LoD) was 1 gc/RT-qPCR reaction for
203 the RdRp and E genes. The limit of quantification (LoQ) ranged from 1 to 10 gc/RT-qPCR reaction
204 for the RdRp gene and reached 1 gc/RT-qPCR reaction for the E gene. When we take the analytical
205 volumes of the wastewater sample concentrations subjected to RT-qPCR analysis into
206 consideration, the LoQ ranged from 2×10^2 to $2 \times 10^3 \text{ gc/L}$ of wastewater for the RdRp gene and
207 reached $2 \times 10^2 \text{ gc/L}$ of wastewater for the E gene.

208 The ddRT-PCR assays were performed using the E set of SARS-CoV-2 and the VTB4-Fph GGII set for
209 GA phage. Amplifications were carried out in a 20- μ L reaction mixture containing 5 μ L of RNA and
210 15 μ L of One-Step RT-ddPCR™ Kit for Probes (Bio-Rad). The reaction mix contained 0.9 μ M of each
211 primer and 0.3 μ M of the probe. The samples were placed in the droplet generator using 70 μ L of
212 generator oil to generate up to 20,000 droplets per sample. The resulting picolitre droplet
213 emulsions (40 μ L) were transferred to a Veriti 96-Well Thermal Cycler (Applied Biosystems). After
214 amplification, the plate was transferred to the QX100™ Droplet Reader (Bio-Rad) and
215 QuantaSoft™ Software (Bio-Rad) was used to measure the number of positive droplets per well.
216 Droplets were designated positive or negative based on their fluorescence amplitude, using
217 thresholding. The starting concentration of each target RNA molecule was then calculated, by
218 modelling a Poisson distribution.

219 For each sample and each targeted virus (SARS-CoV-2 and FRNAPH GGII) the recovery rate was
220 calculated as follows: recovery rate = genome copies (gc) in 5 mL unconcentrated sample
221 $\times 20 \times 100 / \text{gc in } 100 \text{ mL of the concentrate}$. The high concentration of the FRNAPH GGII
222 genome allowed for the determination of the recovery rate in all wastewater samples. It was also
223 possible to determine the recovery rate for SARS-CoV-2 in 5 of the 12 samples.

224 **2.6. Estimation of RT-qPCR inhibition**

225 The estimation of RT-qPCR inhibition in the wastewater samples was based on the concentrations
226 of FRNAPH GGII detected. Logarithmic dilutions (1/10 and 1/100) were performed in PCR-grade
227 water following viral RNA extraction. The RT-qPCR assay was then carried out on both undiluted
228 and diluted RNA extracts. The percentage of inhibition was estimated for undiluted and 1/10
229 samples by taking the concentration obtained from the 1/100 samples as a reference, due to the
230 high dilution of potential inhibitors.

231 **2.7. Statistical analysis**

232 The statistical analyses were performed using XLSTAT 2020.3.1.2 software. As normal distribution
233 of the data and the homogeneity of variances could not be met, two non-parametric tests were
234 used. A Kruskal-Wallis test was performed on k-independent samples ($k > 3$) to compare the viral
235 genome copy values obtained using the different concentration and purification methods. A
236 Wilcoxon signed-rank test was performed on paired samples to compare the recovery rates
237 following the two methods and the genome concentrations of the two targeted genes. *P* values <
238 0.05 were considered statistically significant.

239

240 **3. Results and discussion**

241 **3.1. Concentration method for SARS-CoV-2 quantification in wastewater**

242 The epidemiological surveillance of SARS-CoV-2 by quantifying its genome in wastewater requires
243 reliable methods of concentration and detection. Beginning our study in a region that was highly
244 impacted by COVID-19 allowed us to estimate recovery rates for SARS-CoV-2.

245 FRNAPH are usually present in wastewater at concentrations that are relatively stable over time
246 and around the world (Lucena et al., 2003). We obtained just under 2.5×10^7 gc/L which was high
247 enough to allow us to evaluate both recovery rates and PCR inhibition problems. Using these
248 bacteriophages, the presence of PCR inhibitors was detected at even a low volume (5 mL) of
249 unconcentrated wastewater. Indeed, the PCR inhibition varied between 88% and 100% in
250 undiluted RNA extracts ($n=5$) and between 14% and 42% in 1/10 diluted RNA extracts ($n=5$).

251 Following phenol-chloroform purification, the PCR inhibitors had been completely removed from 5
252 mL of wastewater. In these five wastewater samples, the level of contamination by SARS-CoV-2
253 also enabled the quantification of the virus in 5 mL unconcentrated samples and showed that,
254 following phenol-chloroform purification, the concentrations of SARS-CoV-2 genome detected in
255 the undiluted samples had multiplied by between a factor of 2 and a factor of 10. The positive
256 impact of this method on viral RNA extraction from environmental samples such as wastewater,

257 sediments and animal stool samples has already been shown by previous studies (Miura et al.,
258 2011; Hartard et al., 2015). The phenol-chloroform purification method was systematically applied
259 in the following experiments. A complementary method for the removal of PCR inhibitors in RNA
260 extracts was tested during the comparison of the concentration methods (as described below).

261 Two concentration procedures, based on protein precipitation using PEG 6 000 and on
262 ultrafiltration using the Centricon® 70-Plus 100 kD device, respectively, were compared. Recovery
263 rates for both FRNAPH GGII and SARS-CoV-2 could be determined (Table 1) for both methods in
264 four distinct wastewater samples collected in April 2020, since these samples tested positive for
265 the SARS-CoV-2 genome even when only 5 mL of unconcentrated wastewater was analysed. The
266 mean recovery rate of FRNAPH GGII reached $47.7 \pm 18.5\%$ and $39.2 \pm 23.9\%$ using ultrafiltration
267 and protein precipitation, respectively. Because some of the results obtained for FRNAPH GGII
268 showed residual PCR inhibition, the RNA extracts previously obtained by both methods were then
269 purified using a PCR inhibitor removal kit. This treatment led to mean recovery rates of
270 $47.1 \pm 10.4\%$ and $43.3 \pm 20.3\%$ for ultrafiltration and protein precipitation, respectively. This
271 supplementary treatment therefore led to a slight increase in the mean recovery rate of protein
272 precipitation. It also led to a decrease in the standard deviation in both concentration methods.

273 The concentration values obtained for FRNAPH GGII using the two concentration methods, with or
274 without the use of the PCR inhibitor removal kit, were not significantly different (p value = 0.098,
275 Kruskal-Wallis test). For SARS-CoV-2, the mean recovery rates of the RdRp_IP4 gene reached
276 $64.1 \pm 50.2\%$ and $32.4\% \pm 20.2\%$ using ultrafiltration and protein precipitation, respectively.

277 Additional purification of the RNA extracts led to mean recovery rates of $55.8 \pm 46.9\%$ and
278 $23.5 \pm 15.0\%$ for ultrafiltration and protein precipitation, respectively. Thus, the mean recovery
279 rate obtained for SARS-CoV-2 (RdRp_IP4 gene) using ultrafiltration were twice as high as those
280 obtained using protein precipitation. Moreover, the highest recovery rate was obtained using
281 ultrafiltration in the four wastewater samples. As observed in the case of the phage, additional

282 purification reduced the standard deviation values. The genome concentration values were not
283 significantly different between the two concentration methods (p value = 0.088, Kruskal-Wallis
284 test). Amplification of the E gene was then performed on the purified RNA extracts, resulting in
285 mean recovery rates of $64.0 \pm 41.6\%$ and $45.0 \pm 44.6\%$ for ultrafiltration and protein precipitation,
286 respectively (Table 1). The statistical analysis of the data obtained for both the RdRp_IP4 and E
287 genes showed significantly higher recovery rates for ultrafiltration than for protein precipitation (p
288 value = 0.009, Wilcoxon signed-rank test).

289 Recovery rates and the inhibition of molecular detection methods applied for SARS-CoV-2 in
290 wastewater have been poorly described. Nevertheless, our SARS-CoV-2 recovery rate was higher
291 than those described in a recent review paper (La Rosa et al., 2020a) reporting five studies that
292 described recovery rates for coronavirus in water matrices. But these recovery rates were all
293 defined using artificial spiking with different types of coronaviruses (bovine enteric coronavirus,
294 transmissible gastroenteritis virus [TGEV], murine hepatitis virus, and SARS-CoV). The recovery
295 rate did not exceed 28% for bovine enteric coronavirus seeded in wastewater (Collomb et al.,
296 1986) and it varied from 0 to 21.4% for SARS-CoV seeded in wastewater ($n=4$) (Wang et al., 2005).
297 Our higher recovery rates for the SARS-CoV-2 naturally present in the wastewater samples could
298 be primarily explained by the choice of concentration method. Increasing PEG concentration might
299 lead to a decrease in the standard deviation values as suggested by the recovery rate of $41.9 \pm$
300 6.5% for TGEV seeded in concentrated surface water (Blanco et al., 2019). Two of the studies
301 reviewed by La Rosa and colleagues (2020a) used adsorption/elution on glass wool with the
302 objective of analysing large volumes (5–50 L) of surface water (Blanco et al., 2019) and
303 dechlorinated tap water (Abd-Elmaksoud et al., 2014). Such methods are commonly used as
304 primary concentration methods and are followed by secondary concentration methods — PEG
305 precipitation in the case of these two studies. However, the combination of concentration
306 methods used on large volumes of water commonly leads to a decrease in recovery rates. By

307 working on municipal wastewater spiked with different enveloped and non-enveloped viruses, Ye
308 et al. (2016) have shown that ultrafiltration is a more suitable method for wastewater than
309 ultracentrifugation or PEG precipitation because the recovery rate of ultrafiltration is 25%
310 compared with 5% by ultracentrifugation or PEG precipitation. Since Ye and colleagues (2016)
311 used culture as their detection method, the low recovery rates may be explained by the possible
312 inactivation of coronavirus by the greater ultracentrifugal forces and the lower precipitation
313 capacity of enveloped viruses compared with non-enveloped viruses in PEG precipitation. Wurtzer
314 and colleagues (2020) showed the ability of ultracentrifugation to concentrate SARS-CoV-2 from
315 wastewater, but the recovery rate was not given, and detection was done using RT-qPCR instead
316 of culture methods. It is important to note that the ultrafiltration method could be used for the
317 detection of infectious SARS-CoV-2 in wastewater, as shown with FRNAPH by Medema et al.
318 (2020). The environmental conditions may have a negative impact on the integrity of the SARS-
319 CoV-2 particles, with implications for detection methods and viral risk assessment; this requires
320 further research.

321 The procedure combining ultrafiltration, phenol-chloroform purification, and additional
322 purification of the RNA extracts was chosen to monitor the concentrations of the SARS-CoV-2
323 genome in wastewater during longitudinal wastewater sampling. Our approach based on
324 ultrafiltration may be performed with different devices such as Centricon® (Ahmed et al., 2020;
325 Medema et al., 2020), Corning® Spin-X® UF (Nemudryi et al., 2020) or Vivaspin® (Trottier et al.,
326 2020) concentrators.

327

328 **3.2. Quantification of the SARS-CoV-2 genome in wastewater**

329 Our longitudinal study was performed in a French geographical area with one of the highest
330 prevalence rates of COVID-19. The population was placed under lockdown from 17 March until 11
331 May 2020, but the number of cases detected at the University Hospital increased continuously

332 until the end of March, when the wastewater sampling period started. Twelve wastewater
333 samples, collected between 2 April and 28 May 2020, were analysed in one replicate using both
334 the ultrafiltration procedure on 100 mL and the direct analysis of 5 mL to quantify the SARS-CoV-2
335 and FRNAPH GGII genomes.

336 The FRNAPH GGII genome could be quantified in both 5 mL and 100 mL of wastewater in all the
337 samples (Figure 1). The mean concentrations reached $2.1 \times 10^7 \pm 1.1 \times 10^7$ gc/L and
338 $1.6 \times 10^7 \pm 1.4 \times 10^7$ gc/L in unconcentrated and concentrated samples, respectively. From these
339 concentration values, the recovery rates of FRNAPH GGII ranged from 14.1% to 133.8%.

340 We propose a recovery rate of over 10% as a quality control, to validate the results. This goes
341 beyond the current ISO standards for the molecular detection of viruses in water and food, which
342 require recovery efficiencies of over 1% (International Organization for Standardization - ISO 2017;
343 Lowther et al. 2017). Quantifying FRNAPH at the same time as SARS-CoV-2 also allowed for the
344 normalisation of faecal material content, which may vary daily, depending on rainfall or variations
345 in faecal content. In our samples, FRNAPH genomes ranged from 1.1×10^7 to 6.7×10^7 gc/L,
346 showing that the faecal pollution was relatively stable during the period in question. No significant
347 rainfall events were reported during the sampling period. To compare the variations in SARS-CoV-
348 2 genome concentrations in different wastewater samples may require the normalisation of
349 genome content. Other variables, such as temperature, pH, turbidity, total suspended solids, 5-day
350 biological oxygen demand (BOD5), antecedent dry days, and conductivity may explain the
351 variability of faecal indicator bacteria (FIB) (Mohammed et al., 2018; Paule-Mercado et al., 2016)
352 and probably of other faecal pathogens.

353 The SARS-CoV-2 genome could be quantified in 5 mL of wastewater, without using any
354 concentration procedures, between 2 April and 30 April (Figure 1). By analysing 100 mL of
355 wastewater, a decrease in the concentration of the SARS-CoV-2 genome was observed over the
356 course of 8 weeks (Figure 1). The SARS-CoV-2 genome was even undetectable during one week (22

357 and 25 May) and present but below the LoQ in the last sample (28 May). The concentrations of
358 RdRp and E genes in the twelve samples appeared to be similar (p value = 0.496, Wilcoxon signed-
359 rank test). Moreover, the concentration values obtained using real-time RT-qPCR and ddRT-PCR
360 for the E gene were similar (p value = 0.734, Wilcoxon signed-rank test). This suggests that RT-PCR
361 inhibitors were effectively removed by our protocol. Indeed, ddRT-PCR is far less influenced by
362 inhibitors than RT-qPCR (Sun et al., 2019).

363 The decrease in the genome concentrations detected in wastewater may be related to the
364 decrease in the number of COVID-19 cases observed at the Nancy University Hospital (Figure 1).
365 Between 2 April and 23 April, the number of cases decreased from 677/week to 286/week and the
366 concentration values of the SARS-CoV-2 genome detected in 100 mL of wastewater decreased
367 from 1.2×10^4 gc/L to 3.0×10^3 gc/L. During this period, the virus genome could be detected in
368 only 5 mL of wastewater and decreased only from 1×10^4 gc/L to 7×10^3 gc/L. The analysis of only
369 5 mL of wastewater could be less representative of the variation in viral genome concentration.
370 After a single data point showing an increase in genome concentration in wastewater in both 5 mL
371 and 100 mL (30 April), the SARS-CoV-2 genome was no longer detected in 5 mL of wastewater and
372 the concentrations dropped below 10^3 gc/L in the 100 mL analysis. For three of the samples during
373 this period, either no genes were detected or only one gene was detected, and the number of
374 cases varied between 27/week and 52/week. Nevertheless, SARS-CoV-2 was still detected in
375 wastewater in the last sample (28 May) collected 17 days after the end of the lockdown. We
376 observed a parallel decrease in cases in patients and genome concentration in wastewater,
377 confirming the link between the circulation of the virus in the human population and its presence
378 in wastewater. This clearly confirms the findings of other studies showing such a relationship
379 (Wurtzer et al., 2020). One of the first studies on the detection of the SARS-CoV-2 genome in
380 wastewater (Medema et al., 2020) even showed that it was possible to detect the virus in
381 wastewater during the early stages of the COVID-19 epidemic.

382

383 **4. Conclusion**

384 We developed a method of concentrating SARS-CoV-2 from wastewater with one of the highest
385 recovery rates described in the literature. The quantification of the SARS-CoV-2 genome was
386 carried out in both concentrated and unconcentrated wastewater which was naturally
387 contaminated; this gives our results a high degree of reliability. Moreover, this study provides
388 additional data to validate proof-of-concept for a link between the outbreak in the human
389 community and the concentrations of faecally excreted viruses in wastewater. This method can
390 contribute to the monitoring of the epidemic and improve the management of potential viral
391 recirculation.

392

393 **Declaration of interest**

394 None

395

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402

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- 561

562 **Table 1: Recovery rates (%) of SARS-CoV-2 and FRNAPH GGII genomes in wastewater samples using two concentration methods (protein**
 563 **precipitation and ultrafiltration) both alone and combined with the use of a PCR inhibitor removal kit.**

564

Virus / Primers set	SARS-CoV-2 / RdRp_IP4		SARS-CoV-2 / E		FRNAPH GGII / VTB4-Fph GGII	
Concentration method	PP	UF	PP	UF	PP	UF
Without PCR Inhibitor removal kit						
Sample 1	56.0	131.0	ND	ND	58.2	49.1
Sample 2	12.0	56.0	ND	ND	4.5	26.0
Sample 3	41.8	60.2	ND	ND	43.9	71.1
Sample 4	19.8	9.2	ND	ND	50.0	44.4
Mean ± SD	32.4 ± 20.2	64.1 ± 50.2	ND	ND	39.2 ± 23.9	47.7 ± 18.5
With PCR Inhibitor removal kit						
Sample 1	40.5	119.0	108.0	119.0	68.2	52.7
Sample 2	27.0	61.0	23.0	45.0	19.1	37.5
Sample 3	22.4	32.6	43.0	70.0	37.2	58.9
Sample 4	4.3	10.6	6.0	22.0	50.0	39.4
Mean ± SD	23.5 ± 15.0	55.8 ± 46.9	45.0 ± 44.6	64.0 ± 41.6	43.3 ± 20.3	47.1 ± 10.4

565

566 PP: protein precipitation, UF: ultrafiltration, ND: not done

567 **Figure 1. Quantitative time-course monitoring of SARS-CoV-2 and FRNAPH-GGII genomes in**
568 **wastewater samples in the Nancy metropolitan area and evolution of the number of cases per**
569 **week at the local University Hospital.** Quantification in 100 mL of wastewater (ultrafiltration) of
570 the SARS-CoV-2 genome targeting RdRp (full triangles) and E (full squares) genes and of the
571 FRNAPH GGII genome (full diamonds). Quantification in 5 mL of wastewater: open forms. Negative
572 samples of the SARS-CoV-2 genome are marked with an asterisk. The sample positive for the RdRp
573 gene but below the LoQ is marked with a plus sign. The number of cases of COVID-19 detected at
574 the local University Hospital is shown per week (bars). The limit of detection (LoD) for RdRp and E
575 genes (2×10^2 gc/L) is represented by a white arrow on the left y-axis. The lines given for genome
576 concentration values are only designed to provide a visual guide.

577