
Two toxigenic *Ostreopsis* species, *O. cf. ovata* and *O. siamensis* (Dinophyceae), from the South China Sea, tropical Western Pacific

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Abstract :

In the dinophyte genus *Ostreopsis*, seven out of 11 described species are known to produce various toxic compounds that were characterized in the palytoxins family. Species in the genus shared identical thecal plate patterns but differed in size, shape, and thecal plate ornamentation. Two species, *O. cf. ovata* and *O. siamensis*, have been reported from the Western Pacific, but information on toxin production is scarce. Here, we established nine strains of *Ostreopsis* from six localities in the South China Sea (SCS), covering the Gulf of Thailand, northern SCS (Hainan Island, Beibu Bay), and southern SCS (Peninsular Malaysia). Their morphology was examined by light and electron microscopy and the molecular phylogeny was inferred based on the LSU rDNA (D1-D3) and ITS rDNA sequences using maximum likelihood and Bayesian inference. Both *O. cf. ovata* and *O. siamensis*, albeit morphologically closely related, can be distinguished by a feature of the thecal pores with pronounced ridges in the latter. Molecular data further supported their species identity. Toxin production in the strains was examined by LC-MS/MS. *O. cf. ovata* strain T5PRBost02 was observed to produce Ovatoxin-k and Ovatoxin-j2 only; while *Ostreocin-B* and *Ostreocin-D* was produced by *O. siamensis* strain T10PRBost04. This is the first report confirming the production of palytoxins analogs in *Ostreopsis* species from the region.

Highlights

► *Ostreopsis siamensis* identified from waters of Peninsular Malaysia found to produce Ostreocin-B and Ostreocin-D. ► Two clades of *Ostreopsis cf. ovata* (A and B) were identified in the South China Sea. ► *Ostreopsis cf. ovata* clade A produces Ovatoxin k and j2, but clade B is nontoxic.

Keywords : BHAB, Dinoflagellates, Genetics, Morphology, *Ostreopsis*, Ovatoxins, Ostreocin

1. Introduction

Some species in the genus *Ostreopsis* Johs. Schmidt are known to produce toxic compounds which are chemical analogues of palytoxin (PITX), the most potent marine biotoxins (Ciminiello et al., 2010; Ramos and Vasconcelos, 2010; García-Altres et al., 2015; Gladan et al., 2019). In the Mediterranean coasts, different ovatoxins (OVTXs) and isobaric PITX have been reported in mussels, sea urchins and omnivorous or herbivorous fish (Alligizaki et al., 2008; Tubaro et al., 2011; Amzil et al., 2012; Bire et al., 2013; 2015), and ovatoxins can be aerosolized and dispersed in the air (Ciminiello et al. 2014; Medina-Pérez et al 2020). For the past two decades, *Ostreopsis* species have been reported to form massive high-biomass blooms in some coastal areas, particularly in the Mediterranean and Brazilian beaches, causing deleterious impacts to the marine ecosystems, human health, and the socio-economy (Pavaux et al., 2020 and references there in).

The dinophyte genus *Ostreopsis* was established a century ago (Schmidt, 1901), with the type species *O. siamensis* Johs. Schmidt described from plankton samples collected using a fine silk net near the Chang Islands, the Gulf of Thailand (Schmidt, 1901). However, in the recent past, many of the species have been described as epiphytes that inhabited benthic substrates such as sands and macroalgae (e.g., Faust et al., 1996; Leaw et al., 2001). The genus currently consists of 11 formally accepted species (Guiry and Guiry, 2021). They were delimited based on the subtle morphological distinction in cell size, shape, and the ornamentation of thecal plates (Fukuyo, 1981; Quod 1994; Faust and Morton, 1995; Faust et al., 1996; Faust, 1999),

while some recently described species were delineated with the inclusion of molecular genetic information (Accoroni et al., 2016; Verma et al., 2016). Nonetheless, the taxonomy of some species in the genus remains elusive, as classical taxonomic identification is difficult to distinguish among morphologically plastic species (Parson et al., 2012; Berdalet et al., 2017), and in some instances, they lack genetic information for comparison. For many years, most studies conferred their studied species as either *O. cf. ovata* (for smaller cells) or *O. cf. siamensis* (for bigger cells) (e.g., Penna et al., 2005, 2010; Accoroni et al., 2016; Zhang et al., 2018; Tibiriçá et al., 2019). With the advancement of molecular approach and the expansion of genetic data of the nuclear-encoded LSU rDNA and the internal transcribed spacers (ITS) region (Leaw et al., 2001; Penna et al., 2005, 2010; Sato et al., 2011; Tawong et al., 2014; Chomérat et al., 2019, 2020), some novel phylogenetic clades with distinct ribotypes have been recovered for *Ostreopsis*, of which the ribotypes were designated as *Ostreopsis* sp. 1 to 8 (Sato et al., 2011), and lately *Ostreopsis* sp. 9 (= *O. cf. siamensis*; Nguyen-Ngoc et al., 2021). This allowed further taxonomic clarification and assignments of previously described species by integrating the genetic data: *O. lenticularis* Y.Fukuyo (= *Ostreopsis* sp. 5; Chomérat et al., 2019), *O. mascarenensis* Quod (Chomérat et al., 2020), and *O. siamensis* (= *Ostreopsis* sp. 6; Nguyen-Ngoc et al., 2021), as well as novel species descriptions such as *O. fattorussoi* Accoroni, Romagnoli & Totti (Accoroni et al., 2016) and *O. rhodesiae* Verma, Hoppenrath & S.A.Murray (Verma et al., 2016). Not all *Ostreopsis* species are unambiguously linked to distinct genetic sequence information, this included species that have been rarely

encountered in the literature, i.e., *O. marina* M.A.Faust, *O. belizeana* M.A.Faust, *O. caribbeana* M.A.Faust, *O. heptagona* D.R.Norris, J.W.Bomber & Balech, and *O. labens* M.A.Faust & S.L.Morton.

Among the many *Ostreopsis* species, *O. ovata* Y.Fukuyo was the most widely studied species worldwide. The species was first described from Ryukyu Island, Japan, where it was distinguished morphologically from *O. siamensis* and *O. lenticularis* by its relatively smaller cell size and ovoid shape (Fukuyo, 1981). It has been reportedly found in French Polynesia, New Caledonia, the Caribbean Sea, the Tyrrhenian Sea, Reunion Island, the South China Sea, the western Mediterranean Sea, South Korea, and Brazil (Fukuyo, 1981; Besada et al., 1982; Tognetto et al., 1995; Faust et al., 1996; Leaw et al., 2001; Penna et al., 2005; Aligizaki and Nikolaidis, 2006; Mohammad-Noor et al., 2007; Kang et al., 2013; Carnicer et al., 2015; Gómez et al., 2017; Zhang et al., 2018). Although extensive assessment of the genetic diversity has been undertaken, no studies have referred the species to either of the genetic lineages. But rather, a distinct genetic lineage comprised of smaller-cells *Ostreopsis* was assigned as *O. cf. ovata* (reference herein) to confer *O. ovata sensu* Fukuyo (Fukuyo, 1981).

Despite its wide global distribution, only strains of *O. cf. ovata* from Japan, Brazil and the Mediterranean Sea have been reported to produce the palytoxin analogs, ovatoxins (Honsell et al., 2011; Nascimento et al., 2012; Suzuki et al., 2012; Tartaglione et al., 2017; Tibiriçá et al., 2019). These strains appear to be genetically distinct from those reported from the Western Pacific as revealed by the molecular

phylogenetic inferences of some previous studies (Penna et al., 2005, 2010; Tibirićá et al., 2019), but whether *O. cf. ovata* strains from the South China Sea are toxic remained unclear.

This study aims to (1) fully understand the genetic diversity of *O. cf. ovata* and *O. siamensis* in the South China Sea; (2) investigate the toxin production potential of *O. cf. ovata* and *O. siamensis* in this region. Nine strains of *Ostreopsis* were established from three distant localities in the South China Sea, covering the Gulf of Thailand, northern and southern parts of the Sea (Fig. 1). Strains established were undergone detailed morphological examination using light and scanning, and transmission electron microscopy. The phylogenetic relationships of the species found in this study were inferred from the newly generated nucleotide sequence data of the nuclear-encoded rDNA in the LSU D1-D3 and the ITS regions. Toxicity in six strains of *Ostreopsis* was analyzed using liquid chromatography coupled with tandem mass spectrometry (LC-MS/MS).

2. Materials and methods

2.1. Sample collection and treatment

Sampling for benthic substrates was undertaken at Hainan Island, Weizhou Island, China, and Rawa Island, Terengganu, Malaysia (Fig. 1) between 2015 and 2018. Sand samples were collected from seabed by scuba divers and placed into bottles containing seawater from the same location. The samples were stirred vigorously to detach the epibenthic cells and the suspension settled in a polycarbonate

bottle. The settled material was rinsed with filtered seawater and transferred into a petri dish. Single *Ostreopsis* cells were isolated with a finely drawn micropipette using an Eclipse TS100 inverted microscope (Nikon, Tokyo, Japan). Eight strains of *Ostreopsis*, viz. TIO15, TIO25, TIO121, TIO123, TIO912, T5PRBost02, OsPR08N, and T10PRBost04 (Table 1), were established from the sand samples. An attempt to collect *Ostreopsis* cells from plankton samples were undertaken at Mak Island, the Gulf of Thailand (Fig. 1), using a 10 μm mesh-size plankton net on November 17, 2017. A strain TIO897 was established from the plankton sample. All strains were maintained in f/2-Si medium (Guillard and Ryther, 1962) with a salinity of 30 at 25 $^{\circ}\text{C}$, 90 $\mu\text{E}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$ under a 12:12 h light: dark cycle.

2.2. Morphological observation of motile cells with LM and SEM

Live cells were examined and photographed using a Zeiss Axio Imager light microscope (LM, Carl Zeiss, Göttingen, Germany) equipped with a Zeiss Axiocam HRc digital camera. The size of a minimum of 30 cells was measured using Axiovision v.4.8.2 software at 400 \times magnification. To observe the shape and location of the nucleus, cells were stained with 1:100,000 SYBR Green (Sigma Aldrich, St. Louis, USA) for 1 min, and photographed using the Zeiss fluorescence microscope with a Zeiss-38 filter set (excitation BP 470/40, beam splitter FT 495, emission BP 525/50). Chloroplast autofluorescence was observed on live cells using the above-mentioned microscope equipped with a Chroma filter cube (emission filter ET480/20x, dichromatic mirror AT505dc, suppression filter AT515lp), and digitally

photographed using a Zeiss Axiocam HRc digital camera. Calcofluor white was used to discern thecal plates in vegetative cells following the method of Fritz and Triemer (1985).

For scanning electron microscopy (SEM), the mid-exponential batch cultures were concentrated by a Universal 320 R centrifuge (Hettich-Zentrifugen, Tuttlingen, Germany) at 850 *g* for 10 min at room temperature. Cells were fixed with 2.5% glutaraldehyde for 3 h at 8 °C, rinsed with Milli-Q water twice and post-fixed with 1% OsO₄ overnight at 8 °C in a tube. The supernatant was removed, and the settled cells were transferred to a coverslip coated with poly-L-lysine (molecular weight 70,000–150,000). The cells attached to the cover slip were rinsed with Milli-Q water twice. The samples were then dehydrated in a graded ethanol series (10, 30, 50, 70, 90 and 3× in 100%, 10 min at each step), critical point dried using a K850 Critical Point Dryer (Quorum/Emitech, West Sussex, UK), sputter-coated with gold, and examined with a Zeiss Sigma FE scanning electron microscope (Carl Zeiss, Oberkochen, Germany). Labelling of thecal plate tabulation follows a modified Kofoidian system (cf. Fensome et al., 1993), and the sulcal plates were labeled according to Balech (1980).

For transmission electron microscopy (TEM), mid-exponential batch cultures of strain TIO897 was fixed in 2.5% glutaraldehyde in phosphate buffer saline (PBS, 0.1 M, pH 7.4) for 1 h, concentrated by centrifugation and then washed three times in PBS for 10 min each. Samples were then post-fixed in 1% OsO₄ overnight at 4 °C and subsequently washed in PBS (3×, 10 min each). The cells were then dehydrated

through a graded ethanol series (10, 30, 50, 70, 95, 3× in 100%, 10 min each). The pellet was embedded in a Spurr's resin (Spurr, 1969) and sectioned with a Reichert Ultracut E microtome (Leica, Vienna, Austria). The thin sections were mounted on Formvar-coated grids, stained with uranyl acetate and lead citrate, and observed in a JEOL JEM-100 transmission electron microscope (JEOL, Tokyo, Japan).

2.3. Gene amplification and sequencing

The genomic DNA from each strain was extracted from 10 mL of exponentially growing cultures using a MiniBEST Universal DNA Extraction Kit (Takara, Tokyo, Japan) according to the manufacturer's protocol. Gene amplifications were carried out in a 50 µL reaction containing 1×PCR buffer, 50 µM dNTP mixture, 0.2 µM of each primer, 10 ng of template genomic DNA, and 1 U of ExTaq DNA Polymerase (Takara, Tokyo, Japan). The LSU rDNA (D1-D3) was amplified using the primer pair D1R/28 and 1483R (Daugbjerg et al., 2000), while the ITS region (ITS1–5.8S–ITS2) was amplified using ITSA and ITSB (Adachi et al., 1996). The polymerase chain reaction was performed using a Mastercycler (Eppendorf, Hamburg, Germany) with the thermal cycling procedure of 4 min at 94 °C, followed by 30 cycles of 1 min at 94 °C, 1 min at 45 °C, 1 min at 72 °C, and final extension of 7 min at 72 °C. The amplicons were purified using a SanPrep Column DNA Gel Extraction Kit (Sangon Biotech, Shanghai, China) and sequenced directly in both directions on an ABI PRISM 3730XL (Applied Biosystems, Foster City, CA, USA) following the manufacturer's instructions.

Direct sequencing of LSU rDNA and ITS region yielded heterozygous sequences for strain TIO897 and T10PRBost04, thus the amplicons were cloned into a pUCm-T vector and a total of ten clones were selected and sequenced. All newly obtained sequences were deposited in GenBank (NCBI) with accession numbers OM514336 to OM514347 and OM513966 to OM513975.

2.4. Sequence alignment and phylogenetic analysis

Newly obtained sequences of LSU rDNA (D1–D3) and ITS region were incorporated into systematically representative datasets of *Ostreopsis* sequences that were retrieved from the GenBank nucleotide database (NCBI). The nucleotide sequences were aligned using MAFFT v7.110 (Kato and Standley, 2013; <http://mafft.cbrc.jp/alignment/server/>) with the default settings. The multiple alignments were manually checked for potential errors using BioEdit v. 7.0.5 (Hall, 1999).

For Bayesian inference (BI), jModelTest (Posada, 2008) was used to select the most appropriate model of molecular evolution with Akaike Information Criterion (AIC) excluding invariable sites (+I). Bayesian reconstruction of the data matrix was performed using MrBayes 3.2 (Ronquist and Huelsenbeck, 2003) with the best-fitting substitution model (GTR+G). Four Markov chain Monte Carlo (MCMC) chains were performed for 4,000,000 generations, sampling every 100 generations. Convergence diagnostics were graphically estimated as in Nylander et al. (2008) and the first 10% of burn-in trees were discarded. A majority rule consensus tree was reconstructed to

examine the posterior probabilities of each clade. Maximum likelihood (ML) was performed using RaxML v.7.2.6 (Stamatakis, 2006) on the T-REX web server (Boc et al., 2012) using the GTR+G model. Node support was assessed with 1000 bootstrap replications. The ITS dataset was used to estimate divergence rates using PAUP*4b10 (Swofford, 2002) by constructing the uncorrected pairwise (p) distance matrices.

2.5. Toxin analysis

At stationary phase about $10^5 - 10^6$ cells for one strain of *O. siamensis* and five strains of *O. cf. ovata* were collected by centrifugation in 2 mL microcentrifuge tubes and stored at $-20\text{ }^{\circ}\text{C}$. For the extraction, 300 μL of methanol were added to the cell pellets and the mixture was transferred in a 1.5 mL Safelock Eppendorf tubes. Glass beads (100–250 μm in diameter) were added before cell lysis using a Mixer Mill equipment (MM400, Retsch) at 30 Hz for at least 80 min. After centrifugation at 15000 g , the supernatant was ultrafiltered (0.2 μm , Nanosep MF, Pall).

Sample analyses were performed by LC-MS/MS using a Shimadzu UFLCxr system coupled to a triple quadruple hybrid mass spectrometer Q-Trap (API400QTrap, Sciex) equipped with a heated electrospray ionization (ESI) source as detailed in Chomérat et al. (2019). The LC-MS/MS methods were used to detect 20 toxins (Table S1). The ESI interface operated using the following parameters: curtain gas 20 psi, temperature: $300\text{ }^{\circ}\text{C}$, gas1 40 psi; gas2 40 psi, ion spray voltage 5500 V. The dwell time was 90 ms. The transitions and MS/MS parameters that were used for the MRM mode are reported in table S1. Quantification was performed relative to a palytoxin

standard (Wako Chemicals GmbH) with a 6-point calibration curve. The limit of quantification was 30 ng mL⁻¹ for the palytoxin standard.

In addition to low resolution LC-MS/MS targeted analyses, samples analyses were performed by UPLC-HRMS (High Resolution Mass Spectrometry) using an Agilent UHPLC 1290 Infinity II system coupled to a QTOF mass spectrometer (QTOF 6550, Agilent) equipped with a Dual Jet Stream electrospray ionization (ESI) interface. The chromatographic conditions are the same as for the low resolution analysis, with minor modifications (flow rate: 0.4 ml/min and oven: 30°C). The mass spectra were recorded in full scan over a mass range [100-2800] (2 spectra per second) to confirm the ion species characteristic of OVTXs, as previously did for ostreocins (Chomérat et al 2020). A calibration check was performed continuously over the entire run time using reference masses m/z 121.0509 and m/z 922.0099.

3. Results

3.1. Morphological characterization

In this study, nine strains of *Ostreopsis* were established, eight were identified as *O. cf. ovata* and one was identical to *O. siamensis*. *Ostreopsis cf. ovata* were found in the sand samples collected from China and Malaysia, and in plankton samples collected from Thailand, while *O. siamensis* was found in Rawa Island, Malaysia as epiphytes (Table 1).

3.1.1. *Ostreopsis cf. ovata*

All strains of *O. cf. ovata* displayed indistinguishable morphological features when observed under light and epifluorescence microscopy (Fig. 2). In general, cells are broadly oval and lenticular. Chloroplasts were radially arranged. The oval nucleus is small and located posteriorly (Fig. 2).

Cells of strain TIO897 from Thailand were 39.0–58.9 μm ($50.1 \pm 4.5 \mu\text{m}$, $n = 36$) in dorsoventral length (DV) and 29.6–50.3 μm ($39.7 \pm 5.0 \mu\text{m}$, $n = 36$) in cell width (W), with a mean DV/W ratio of 1.28 ± 0.09 (1.08–1.43; $n = 36$). Cell size of strain TIO123 from China ranged from 46.0 to 59.5 μm ($52.3 \pm 3.5 \mu\text{m}$, $n = 50$) in dorsoventral length and 31.0–44.5 μm ($36.4 \pm 3.2 \mu\text{m}$, $n = 50$) wide, with a mean DV/W ratio of 1.44 ± 0.10 (1.23–1.70; $n = 50$). Size range of strain OsPR08N from Malaysia was relatively smaller, ranging from 28.7 to 37.9 μm ($33.0 \pm 3.0 \mu\text{m}$, $n = 10$) in dorsoventral length and 17.7–28.7 μm ($24.5 \pm 3.9 \mu\text{m}$, $n = 10$) wide. The mean DV/W ratio was 1.39 ± 0.33 (0.97–2.00; $n = 10$).

Detailed morphological observations of strain TIO879 from Thailand are shown in Figs 2 and 3. Cells were broadly oval in apical and antapical views. The cells were biconvex, and flattened, with the cingulum mimicking a sine curve in the ventral and lateral views (Fig. 2A). The thecal plate pattern was Po, 3', 7'', 6c, 6s, 5''', 2'''' (Figs 2E–F, 3B–D). The apical pore complex (APC) consisted of a narrow, elongated and slightly curved pore plate (Po) bearing a slit and two rows of pores (Fig. 3E, F). The Po inside the APC contained 10–16 pores on the dorsal side (14 ± 2 , $n = 11$) and nine pores on the ventral side in two examined cells (Fig. 3E, F). The APC

was located parallel to the left mid-lateral to dorsal cell margin. The first apical plate (1') was elongated, located mostly on the left side of the cell (Figs 2E, 3B). On its dorsal part, it slightly protruded over the APC (Fig. 3B). The second apical plate (2') was narrow and elongated, and located below the APC, extending dorsally to the Po plate, and reaching about the whole plate 3' to contact plate 4'' (Fig. 2E). The third apical plate (3') was six-sided in shape, in contact with plates 1', 2', 4'', 5'' and 6'', but had a very short suture with the Po (Fig. 3B). In the precingular series, plates 1'' and 4'' were smaller while plates 2'' and 6'' were larger (Fig. 2E). All precingular plates were four-sided except plates 2'' and 6'', which were five-sided (Figs 2E, 3B). The postcingular plate series comprised of five plates (Figs 2F, 3C); plate 1''' was small and was more visible in the ventral view than in the antapical view (Fig. 2F). The remaining four postcingular plates were large (Fig. 2F). Of the postcingular plates, plate 1''' was three-sided, plate 2''' was five-sided, and plates 3''', 4''' and 5''' were four-sided (Figs 2F, 3C).

The two antapical plates were unequal in size; plate 1'''' was relatively small and in contact with the left side of the posterior sulcal plate (Sp) and the right anterior sulcal plate (Sda), while plate 2'''' was elongated, sutured plates 2''' and 5''', almost parallel (Fig. 3C). The cingulum consisted of six distinct plates. The ventral pore (Vo) was located within the anterior sulcal plate (Sa). The cell surface was smooth, covered by round pores of 0.19–0.31 μm in diameter ($0.26 \pm 0.03 \mu\text{m}$, $n = 50$) and scattered all over the plates (Fig. 3B). Small pores with diameters of approximately 0.10 μm were observed but very rare.

Six sulcal plates were observed (Fig. 3D): Sa, Sda, the left anterior sulcal plate (Ssa), the right posterior sulcal plate (Sdp), the left posterior sulcal plate (Ssp), and Sp. The round Sa contacted the plates 1'', 7'', Sda, and Ssa. The Ssa and Sda plates were similar in size and much larger than the other sulcal plates. Plate Sp touched the plates 5''', 1''', Sdp, Sda, and 2'''. Cell morphology of strain TIO123 from China was indistinguishable from strain TIO897 (Supplementary Material Fig. S1).

Longitudinal sections of strain TIO897 cells showed an oval nucleus situated posteriorly, many radially arranged chloroplasts, several large and dark lipid bodies, and numerous small starch bodies (Fig. 4A–C). The thylakoids were grouped in two or three rows to form lamellae (Fig. 4D). The chloroplasts were enveloped by three membranes. The nucleus contained condensed chromosomes and an irregular nucleosome (Fig. 4E). Both trichocysts and mucocysts were present. The mucus canal was 1.8–3.8 μm long and 0.4–0.5 μm wide and was surrounded by numerous mucocysts. The mucus canal had three membranes and numerous fibrils along the inner membrane (Fig. 4F).

3.1.2. *Ostreopsis siamensis*

Cells of strain T10PRBost04 from Malaysia were broadly oval in apical and antapical views. The cells were biconvex, and flattened, with the cingulum mimicking a sine curve in the ventral and lateral view (Fig. 5A). Cells were 44.7–73.4 μm in dorsoventral length ($55.2 \pm 6.6 \mu\text{m}$, $n = 37$) and 31.4–57.5 μm ($44.5 \pm 6.3 \mu\text{m}$, $n = 37$) wide. The mean DV/W ratio was 1.25 ± 0.12 (1.09–1.60; $n = 37$).

The thecal plate pattern was Po, 3', 7'', 6c, 6s, 5''', 2'''''. The APC consisted of a narrow, elongated and slightly curved Po bearing a slit and two rows of pores (Fig. 5C). The Po inside the APC contained 15 pores on the dorsal side and 13 pores on the ventral side in an examined cell (Fig. 5C). The APC was located parallel to the left mid-lateral to dorsal cell margin. Plate 1' was elongated, located mostly on the left side of the cell (Fig. 5B). Plate 2' was narrow and elongated, and located below the APC, extending dorsally to the Po plate, and reaching about the whole plate 3' to contact plate 4'' (Fig. 5B, C). Plate 3' was six-sided in shape, was in contact with plates 1', 2', 4'', 5'' and 6'' but also had a very short suture with the Po plate (Fig. 5B, C). In the precingular series, plates 1'' and 4'' were smaller while plates 2'' and 6'' were larger (Fig. 5B). All precingular plates were four-sided except for plates 2'' and 6'' which were five-sided. The postcingular plate series comprised five plates (Fig. 5D); plate 1''' was small and was more visible in the ventral view than in the antapical view (Fig. 5E). The remaining four postcingular plates were large (Fig. 5D).

The two antapical plates were unequal in size; plate 1'''' was relatively small and in contact with the left side of Sp and Sda, while plate 2'''' was elongated and sutured with plates 2''' and 5''', almost parallel (Fig. 5D, E). The cingulum consisted of six distinct plates (Fig. 5F). The ventral pore (Vo) was located within Sa. The cell surface was smooth, covered by round pores of 0.36–0.55 μm in diameter ($0.41 \pm 0.05 \mu\text{m}$, $n = 30$) with a pronounced rim (Fig. 5B). Smaller pores without a rim were occasionally observed with diameters of approximately 0.30 μm . Five sulcal plates were identified (Fig. 5E): Sa, Sda, Ssa, Sdp, and Sp.

3.2. Molecular characterization

3.2.1. LSU rDNA (D1–D3) phylogeny

In the LSU rDNA dataset, the Malaysian *O. cf. ovata* strain OsPR08N differed from the Thai strain TIO897 at five nucleotide positions (99.64% similarity). The Chinese strains (TIO15, TIO25, TIO121, TIO123, and TIO912) differed from each other at only one position, sharing 97.25% and 96.38% similarity with strains TIO897 and T5PRBost02, respectively. The two clones of *O. siamensis* strain T10PRBost04 shared 98.44% similarity. Clone A shared 97.47% similarity with strain PNA19-9 from French Polynesia (GenBank accession number: MT183170), 94.34% and 91.66% similarity with strain NT013 from Vietnam (GenBank accession number: JX065570), and strain OA21 from Japan (GenBank accession number: AB605828), respectively.

The phylogenetic analyses of LSU rDNA (D1–D3) yielded identical tree topologies by ML and BI; with the ML tree shown in Fig. 6. The resulting trees revealed distinct end-clades comprised of taxa of previously defined species, *O. siamensis*, *O. lenticularis*, *O. rhodesiae*, *O. fattorussoi*, *O. mascarenensis*, *O. cf. ovata*, and four ribotypes, *Ostreopsis* sp. 1, sp. 2, sp. 7, and sp. 8.

The end-clade of *O. cf. ovata* was monophyletic, supporting by strong nodal supports (ML/BI, 98%/0.81), which formed a sister group to *Ostreopsis* sp. 1. Taxa in *O. cf. ovata* were divided into two clades that were supported by maxima nodal supports (ML/BI, 100%/1.0). Here, the clades were referred as Clade A and Clade B

(Fig. 6). Clade A comprised of strains with geographically diverse origin, this included strains from Western Pacific (Malaysia, Hong Kong, and Japan), Western Atlantic (Belize, Brazil), Eastern Atlantic and the Mediterranean Sea; while Clade B comprised of strains mainly from the Western Pacific (Thailand, Malaysia, Vietnam, Indonesia, China, and Australia) except a strain from Belize (Western Atlantic).

As of strain T10PRBost04 (Malaysia), the phylogenetic inferences consistently placed the strain with other taxa in the *O. siamensis* lineage (= *Ostreopsis* sp. 6, cf. Sato et al., 2011) (Fig. 6). Intragenomic variability of the strain was low (98.4% similarity); while the intraspecific divergences among the *O. siamensis* strains ranged from 91.7% to 97.5% similarity.

3.2.2. ITS dataset and phylogeny

In the ITS dataset, pairwise genetic distances in *O. siamensis* strains ranged from 0.01 to 0.14 and in *O. cf. ovata* ranged from 0.01 to 0.14 too (Table 2). The *O. cf. ovata* strains TIO15, TIO25, TIO121, TIO123, and TIO912 differed from each other at only one position in the ITS region, but significant heterogeneity was detected with strain TIO897 from Thailand (90.5% similarity). Likewise, considerable high sequence heterogeneity was observed between the two Malaysian strains OsPR08N and T5PRBost02, with sequence similarity of only 83.9%. As of strain T10PRBost04, it shared 92.0% similarity with *O. siamensis* strain VNPR009 from Vietnam (MT968508), and 76.4% with strain IR33 from Japan (AB674920).

The analyses of ML and BI derived from the ITS dataset yielded identical phylogenetic trees, with the ML tree illustrated in Fig. 7. The phylogenetic trees consistently revealed 11 well resolved monophyletic clades (*O. mascarenensis*, *O. siamensis*, *O. lenticularis*, *O. rhodesiae*, *O. fattorussoi*, *O. cf. ovata*, and *Ostreopsis* sp. 1–4, 7, and 8).

The end-clade of *O. cf. ovata* was monophyletic, strongly supported by ML (bootstrap value of 97%). The end-clade, like in the LSU topology, formed two strongly supported clades: Clade A and Clade B (Fig. 7). The Malaysian strain T5PRBost02 clustered with other strains from Japan, Hong Kong, Brazil and the Mediterranean in Clade A, supported with the strong nodal supports by ML and BI (100%/0.99). Two groups were resolved in Clade B with strong ML bootstrap support (92%), forming subclades B1 and B2. The strains TIO897 (Thailand) and OsPR08N (Malaysia) were clustered in subclade B1 together with strains from Malaysia, Thailand, Australia, Reunion Island, and China (ML/BI, 100%/0.99). The Chinese strains (TIO15, TIO25, TIO121, TIO123, and TIO912) were grouped in subclade B2 with those from Thailand, Belize, Ecuador, Guadeloupe, Indonesia, and Malaysia (ML/BI, 95%/0.82).

3.3. Toxin compounds in *Ostreopsis*

Neither palytoxin nor ovatoxins were detected in the four *O. cf. ovata* strains from clade B (Table 1). However, Ovatoxin-k (0.46 to 0.82 pg/cell) and

Ovatoxin-j1/j2 (1.57 to 4.83 pg/cell) were detected in the *O. cf ovata* strain T5PRBost02 (clade A). Ostreocin-B (0.27 to 1.54 pg/cell) and Ostreocin-D (0.32 to 2.14 pg/cell) were detected in the *O. siamensis* strain T10PRBost04. The percentage of Ostreocin-D is thus 56% on average in the strain. As no standard is commercially available for these toxins, identifications were confirmed by high resolution mass spectrometry. Exact masses obtained by HRMS analyses confirmed the presence of Ovatoxin-k (Δ ppm: -5.0 to -1.1) and Ovatoxin-j1/j2 (Δ ppm: -4.7 to 0) in the strain T5PRBost02 (Supplementary Material Fig. S2). In our analysis, the chromatographic peak of Ovatoxin j1/j2 is more retain than Ovatoxin-k, so, according Tartaglione et al., 2016, we can suggest we have the Ovatoxin-j2 in our sample. HRMS analyses also confirmed the presence of Ostreocin-B (Δ ppm: -4.9 to -1.1) and Ostreocin-D (Δ ppm: -4.9 to +2.5) in the *O. siamensis* strain T10PRBost04 (Supplementary Material Fig. S3).

4. Discussion

Although only a small portion of the South China Sea has been sampled in this study, even so, there is no disputing that toxigenic *Ostreopsis* species occur in the region. Many studies have documented the occurrences of some *Ostreopsis* species from the waters (e.g., Leaw et al., 2001; Mohammad-Noor et al., 2007; Tawong et al., 2014; Zhang et al., 2018), but information on the toxicity or toxin production potential was scant. Here, we provided detailed morphology and molecular information, as well as the toxin production of two toxigenic *Ostreopsis* species, *O. cf. ovata* and *O. siamensis*, from the South China Sea.

Many past studies have substantiated that the genus *Ostreopsis* exhibited high species diversity, with the presence of many unrecognized lineages in the molecular phylogeny (e.g., Penna et al., 2010; Sato et al., 2011; Rhodes et al., 2017). The taxonomic progress, however, has been impeded by the high degree of morphological plasticity and similarity among species. Morphospecies concept in the genus *Ostreopsis* is often challenging, as species displayed little informative diagnostic features, and are morphologically alike. As a case in point, the traits of cell sizes and shapes that were often used to delineate among species of *Ostreopsis*. *Ostreopsis siamensis* was originally described with the cell size of 90 μm long (Schmidt 1901); later, it was reported with varying size ranges (60–100 μm , Fukuyo, 1981; 58.0–82.5 μm , Chomérat et al., 2020; 46–92 μm , Nguyen-Ngoc et al., 2021). The *O. siamensis* strain from Malaysia in this study, however, was much smaller (31.4–57.5 μm) than those previously reported, suggesting that cell size alone is not informative in

distinguishing species, such as *O. ovata sensu* Fukuyo (Fukuyo, 1981) and *O. siamensis*. For many years, numerous studies have conferred their species under study as either *O. cf. ovata* for smaller cells or *O. cf. siamensis* for bigger cells (e.g., Penna et al., 2005, 2010; Accoroni et al., 2016; Zhang et al., 2018; Tibiriçá et al., 2019). In addition, some cells of *O. siamensis* in culture in this study appear broadly ovoid to ovate, while cells were round and oyster-shaped as described in the original descriptions of *O. siamensis* (Schmidt, 1901). This indicated that the character of cell shape too, is not a good indicator in delimiting species of *Ostreopsis*.

Contrarily, the features of thecal pores (i.e., number of pores, sizes, and shape) have been considered useful in differentiating among *Ostreopsis* species. For example, *O. lenticularis* was distinguished from its closely related species *O. siamensis* by the presence of two sizes of thecal pores as observed under LM (Fukuyo, 1981), this was later confirmed by the observations using high-resolution SEM (Chomérat et al., 2019). Cells of *O. siamensis* from Malaysia possessed equal large-size pores with pronounced rims, this character was also consistently observed in *O. siamensis* cells from Vietnam and South Ocean (Chomérat et al., 2020; Nguyen-Ngoc et al., 2021). This character (pores with rim), however, was not observed in *O. ovata* (Fukuyo, 1981; Leaw et al., 2001) nor *O. cf. ovata* (Penna et al., 2005; Zhang et al., 2018; Tibiriçá et al., 2019). Verma et al. (2016) designated the cells from the temperate southeast Australia as *O. cf. siamensis*, but the thecal pores were without pronounced rims; as pointed out by other studies, the species is in need of revision and is likely belonged to a new species (Chomérat et al., 2020; Nguyen-Ngoc et al., 2021).

Similarly, the Mediterranean *O. cf. siamensis* reported by Penna et al. (2005) and David et al. (2013) also lacks thecal pores with rims. Likewise, *O. siamensis* that reported in Faust (1996) from Japan and in Chang et al. (2000) from New Zealand were most likely misidentified as the pores were without rims. In contrast, cells of *O. labens* exhibited thecal pores with pronounced rims. This species was only described from Japan and Belize (Faust and Morton, 1995); no genetic data is available to assign the species to any one lineage, thus whether it is a junior synonym of *O. siamensis* remains to be determined.

According to the original descriptions of *O. siamensis* and *O. ovata*, both have a short plate 2', which does not extend to contact plate 4'' (Schmidt, 1901; Fukuyo, 1981). This plate, however, is too small to be observed under LM, and thus has often been overlooked in the earlier studies. *Ostreopsis ovata* was described from Kabira Bay of Ishigaki Island, Okinawa, Japan (Fukuyo, 1981), but strains from the type locality have not been examined using contemporary methods that integrating molecular characterization. Therefore, strains resembling *O. ovata* (cf. Fukuyo, 1981) is often referred as *O. cf. ovata* (references herein). It is not the purpose of this study to provide formal descriptions of *O. ovata*. The detailed morphology, as well as the ultrastructure of *O. cf. ovata* from the South China Sea, presented here further support the assessment that using morphology will not allow the assignment of the candidate species as *O. ovata* with confidence.

While confident morphological assignment of specimens to *O. ovata* is difficult, our results on the molecular phylogeny and many others (references herein)

supported a single lineage of *O. cf. ovata* by forming a strongly supported monophyletic clade in the phylogenetic trees. It is interesting to note that, with the newly generated sequences in our study, two well resolved clades, Clade A and Clade B, were revealed with strong nodal supports (see Figs 6, 7). Previous studies have clustered *O. cf. ovata* sub-clades based on the origin of strains, such as South China Sea (SCS), Malacca Strait, Mediterranean/Atlantic/Pacific oceans, and Thailand (Leaw et al., 2001; Penna et al., 2005; Tawong et al., 2014). The two clades however, as revealed in this study, were not congruent with biogeographical structuring (see Fig. 8), but the clading may imply evolutionary significance in term of the specific trait in toxin production (see discussion below). Nonetheless, strains from the two distinct clades examined in this study were identical in term of the outer morphology, confirming the previous findings of Zhang et al. (2018) and Tibiriçá et al. (2019).

The degree of genetic divergence was high among *O. cf. ovata* strains, with the divergence estimated <14% in the LSU dataset. The genetic distance was larger than that detected within *O. lenticularis* (<7%) (Chomérat et al., 2019), supporting the hypothesis that the two clades of *O. cf. ovata* are indeed a species complex. The strain kab013 that collected from the type locality of *O. ovata sensu* Fukuyo (Y. Fukuyo, personal communication to K. Mertens) falls within the *O. cf. ovata* clade A. Whether *O. ovata* could be assigned to one of the genetic lineages required more in-depth investigations of the specimens from the type locality.

It is interesting to note that *O. cf. ovata* clade A lineage, which are often found in the Atlantic (Brazil and Mediterranean Sea), was rarely found in the Western

Pacific; it has only been documented from Japan, Hong Kong, and Malaysia (Fig. 8). One of the arguments as to why it was seldom detected in the region is that the lineage was less dominant over another, and thus have escaped detection. In the South China Sea, taxa in the Clade B lineage have frequently been reported (Leaw et al., 2001; Tawong et al., 2014; Zhang et al., 2018; Nguyen-Ngoc et al., 2021), but the occurrence of taxa from Clade A was only confirmed until now. Furthermore, our previous results from the ITS2 metabarcoding data that obtained from the Gulf of Thailand and Perhentian Islands, Malaysia (Fu et al., 2021) showed that the proportion of the *O. cf. ovata* genetic lineages (Clade A:B) in the phytoplankton assemblages was in the ratio of 1:360, further supported the notion that Clade A lineage is rare in the region. Previous field investigations in the Perhentian Islands by Yong et al. (2018) and Lee et al. (2020) had demonstrated temporal distribution and abundance of *Ostreopsis* spp. in a tropical reef system, but unlike the bloom events in the Mediterranean (as reviewed in Pavaux et al., 2020), no cases of *Ostreopsis*-related respiratory irritations in humans have been reported thus far, likely due to the relatively low biomass of *Ostreopsis* ($< 3.4 \times 10^4$ cells 100 cm^{-2} ; Yong et al., 2018) as compared to the bloom densities in the Mediterranean coasts ($> 10^6$ cells g^{-1} , e.g., Brescianini et al., 2006; Totti et al., 2010). It is difficult to compare cell concentrations expressed per surface (using artificial substrates) with epiphytic concentrations per gram of freshweight of macroalgae. However, as illustrated in Jauzein et al. 2018 (Fig. 3B), the 10^4 cells 100 cm^{-2} could correspond to 10^6 cells g^{-1} , which have been related to irritative symptoms. The key differences might be that

toxic *Ostreopsis* cells are not dominant in the Perhentian Islands. It has been demonstrated by some studies that blooms of *Ostreopsis* were largely regulated by seawater temperatures (e.g., Yong et al., 2018; Giussani et al., 2017), of which the blooms were more robust with warmer seawater temperatures. But it has been shown that toxicity and symptoms do not depend on seawater temperature but to complex physiological processes and physical processes favoring aerosolization of the toxic compounds (Vila et al., 2016; Medina-Pérez et al., 2020). By realizing the co-occurrences of potential toxigenic genetic entities, it is worthwhile to further investigate the bloom dynamics of *Ostreopsis* and its regulating factors to gain better insights on the underlying mechanism presiding *Ostreopsis* bloom dynamics.

Genetic divergence among *O. siamensis* strains, like *O. cf. ovata*, was high (14%), suggesting cryptic species diversity in the lineage. But unlike *O. cf. ovata*, *O. siamensis* has only been found in the Western Pacific (Asia and French Polynesia). New records of this species are expected in near future as the taxonomic identity and corresponding genetic lineage (= *Ostreopsis* sp. 6) have been clarified only recently (Chomérat et al., 2020; Nguyen-Ngoc et al., 2021).

In this study, none of the strains that grouped in the Clade B lineage produced ovatoxins; this finding agreed with that reported by Tawong et al. (2014) based on mouse bioassay. Furthermore, strain CAWD174 (Cook Island), which was also grouped in Clade B, did not produce the toxins (Rhodes, 2011). In contrast, only *O. cf. ovata* strain T5PRBost02 that was grouped in the Clade A lineage in this study produced ovatoxins. This finding is congruent with those studies reported from Brazil

and Mediterranean Sea, of which the *O. cf. ovata* strains were members in the Clade A lineage (Penna et al., 2005; Accoroni et al., 2011; Nascimento et al., 2012; Brissard et al., 2014; Tartaglione et al., 2017; Tibirićá et al., 2019); this suggested that ovatoxins production in *O. cf. ovata* is an important distinctive trait, likely a genetically stable character, in the Clade A lineage.

It is noteworthy that the *O. cf. ovata* strains in Clade A lineage exhibited geographically distinct toxin profiles. The Malaysian strain (Western Pacific) produced Ovatoxin-k and Ovatoxin-j2 (this study) but the Japanese strains (s0743, s0752) produced the majority of Ovatoxin-a (Suzuki et al., 2012), and Brazilian strains (Western Atlantic) produced majority Ovatoxin-a and Ovatoxin-b (Nascimento et al., 2012; Tibirićá et al., 2019). While the strains from the Mediterranean Sea produced a more diverse group of Ovatoxins comprised Ovatoxin-a, -b, -c, -d, and -e (Ciminello et al., 2010; Accoroni et al., 2011; Brissard et al., 2014; Tartaglione et al., 2017). It appeared that the toxigenic strains in Clade A are geographically widely distributed (Fig. 9), but the findings of distinct toxin profiles suggested that it is very unlikely that the wide distribution pattern of taxa in Clade A is attributed to recent dispersal event. In T5PRBos02, it could be the same toxic profile of their Cypriot *Ostreopsis* sp. CBA-C1012. This strain is identified as *O. fattorussoi* (Accoroni et al. 2016, Fig 7), therefore, it can have the same toxin profile in different species (ovata vs fattorussoi).

The production of Ostreocin-B and -D in the strain of *O. siamensis* in this study further confirmed that toxin production in *O. siamensis* is most likely species

specific. Previous study by Usami et al. (1995) revealed the production of palytoxin analogs with 70% of Ostreocin-D in a strain of *O. siamensis* collected from Okinawa, Japan. The species identity, however, could not be confirmed due to lack of morphology and molecular data. However, an *O. siamensis* strain S0587 from Okinawa produced exclusively Ostreocin-D (Sato et al., 2011, Suzuki et al., 2012). Later, Ostreocin-D, together with traces of Ostreocin-A and -B, were detected in the strains of *O. siamensis* from French Polynesia (Chomérat et al., 2020). These findings suggested that the production of Ostreocins is consistent in *O. siamensis* strains, but the toxin profiles may vary among geographically separated populations. To date, in the South China Sea, only one strain of *O. siamensis* from Malaysia was confirmed to produce Ostreocins. Although previous study by Tawong et al. (2014) had proven the toxicity of a *O. siamensis* strain from the Gulf of Thailand based on mouse bioassay, whether it produces Ostreocins with similar toxin profile to the Malaysian strain is an interesting topic to explore. It is also interesting to note that cells of *O. siamensis* collected during a bloom condition did not produce the toxins (Chomérat et al., 2020), suggesting that the toxin synthesis may be altered by its physiological conditions.

5. Conclusions

Two clades of *O. cf. ovata* have been recognized in the South China Sea, and each of them displays wide distribution in the world. *O. siamensis* proves to be similar to *O. cf. ovata* in morphology but may be separated by the ornamentation of thecal pores. *O. siamensis* has been recorded only in the Western Pacific to date but whether

it is present elsewhere will be interesting to investigate. *O. cf. ovata* clade A from the South China Sea is able to produce Ovatoxin-k and -j2, unlike those from elsewhere producing majority of Ovatoxin-a or -b. The production of Ostreocin in *O. siamensis* appears to be consistent but the different profiles may serve to differentiate geographically separated populations.

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Figure captions

Fig. 1. Map of sampling stations in the South China Sea. Map of sampling stations in the South China Sea. 1: Weizhou, Beihai, China; 2: Changjiang, Hainan, China; 3, 4: Sanya, Hainan, China; 5: Mak, Trat, Thailand; 6: Rawa, Terengganu, Malaysia.

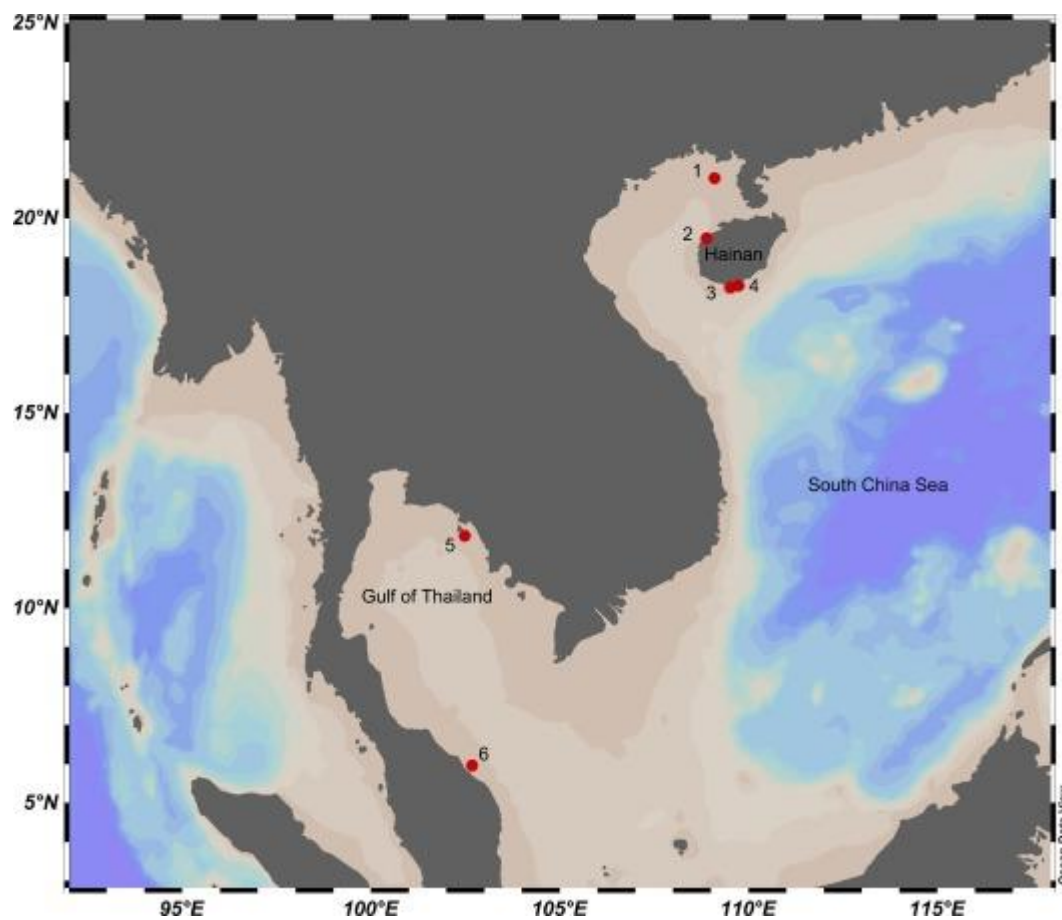


Fig. 2. Light and epifluorescence micrographs of cells of *Ostreopsis cf. ovata* strain TIO897. (A, B) Living cells; (C) Autofluorescence image of a cell showing numerous radially arranged chloroplasts (C); (D) Epifluorescence image of a SYBR Green-stained cell showing a spherical nucleus (N) in the posterior end; (E, F) Calcofluor-stained cells.

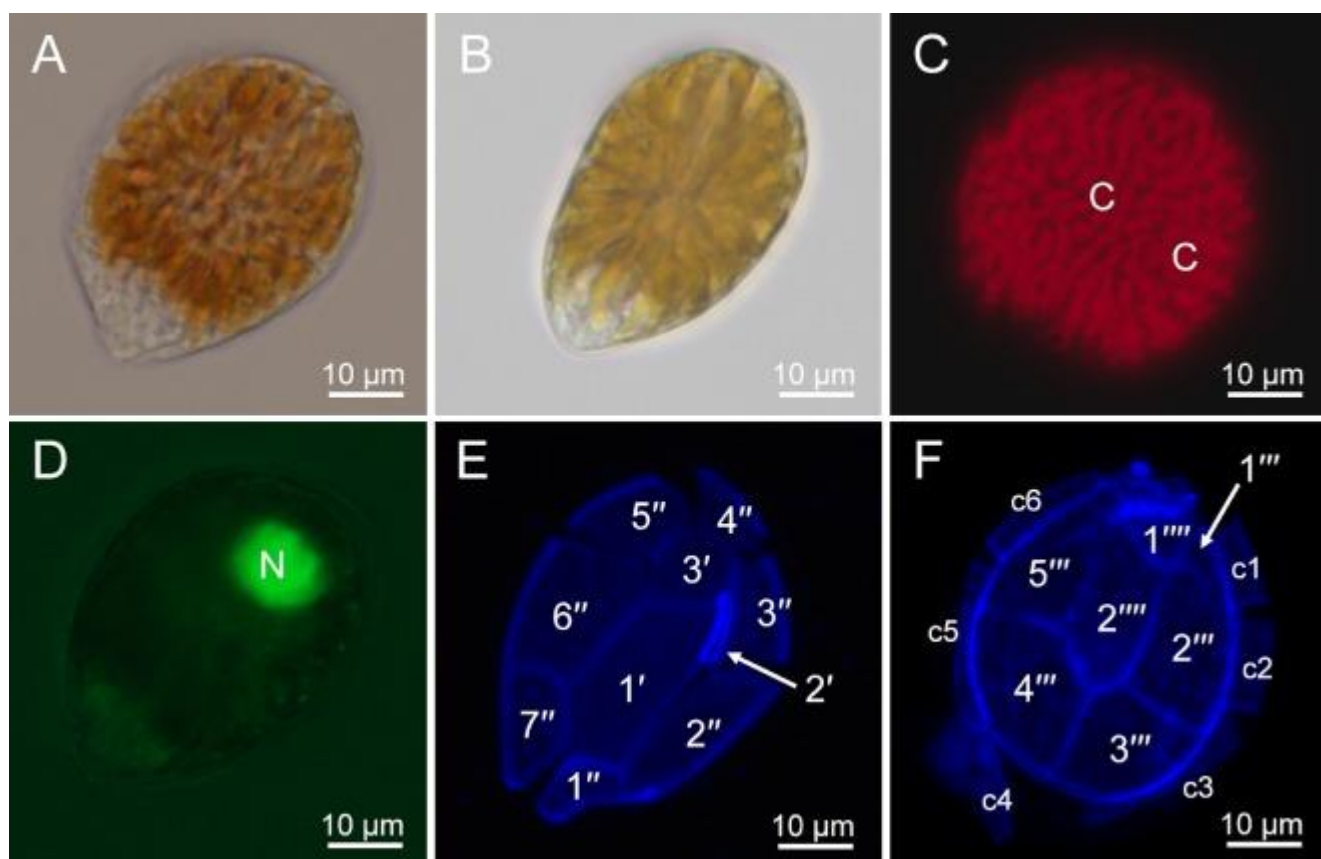


Fig. 3. Scanning electron micrographs of vegetative cells of *Ostreopsis cf. ovata* strain TIO897. (A) Ventral view. (B) Apical view showing two apical plates (1', 3'), and seven precingular plates (1'' – 7''). (C) Antapical view showing four postcingular plates (2''' – 5''') and two antapical plates (1''', 2'''). (D) Ventral area of the sulcus showing anterior sulcal plate (Sa), right sulcal plates (Sda, Sdp), left sulcal plate (Ssa, Ssp), and posterior sulcal plate (Sp). (E, F) Detail of apical pore and surrounding plates.

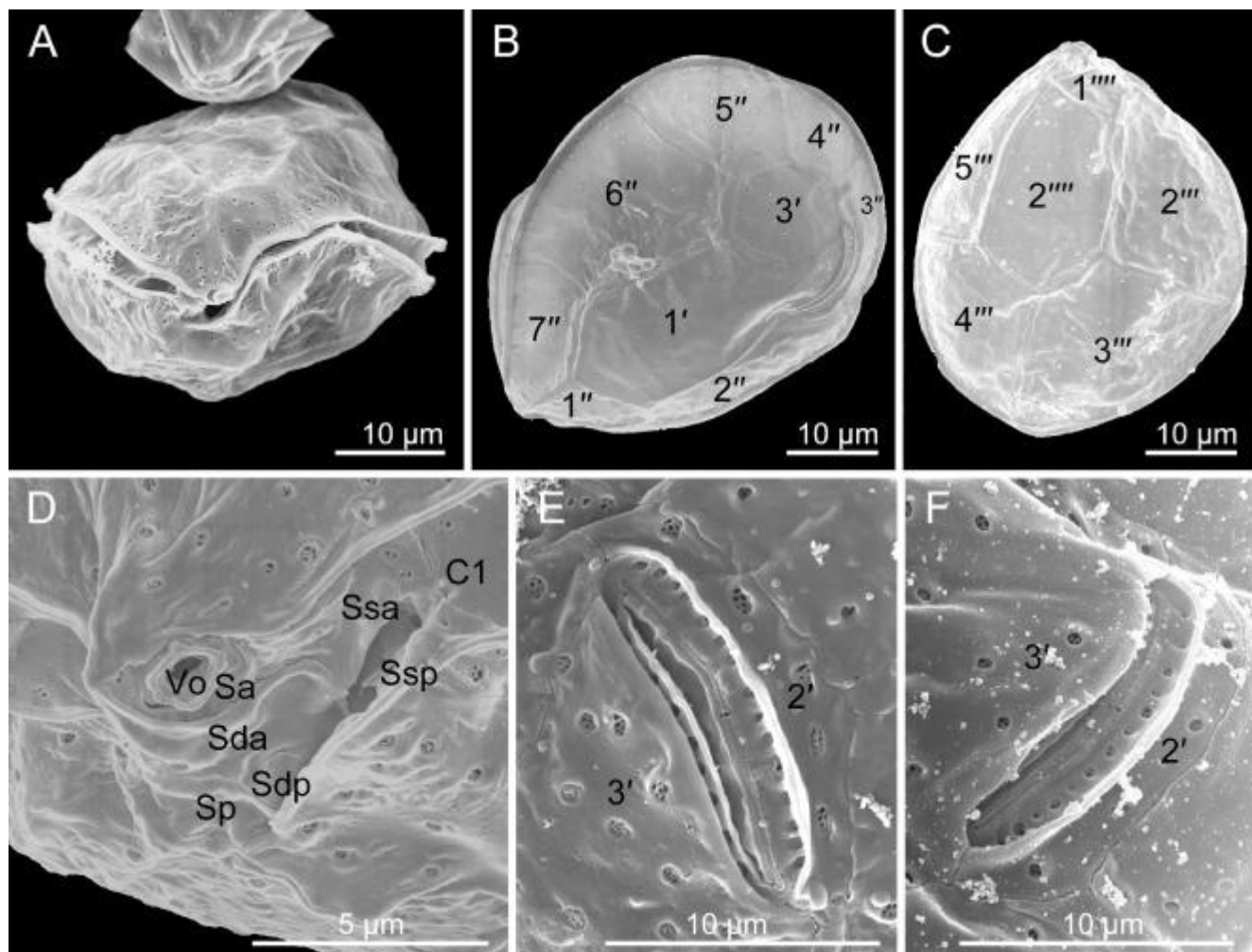


Fig. 4. Transmission electron micrographs of vegetative cells of *Ostreopsis cf. ovata* strain TIO897. (A) Longitudinal sections through the cell showing a large nucleus (N), starch grains (S), and lipid bodies (L). (B) Transverse section through cell showing radial chloroplasts. (C) Closeup of chloroplasts (C), starch grains (S), and lipid bodies (L). (D) The chloroplast showing the thylakoids grouped in twos or threes to form lamellae, and a trichocyst (t). (E) Detail of the nucleus showing a nucleolus (n). (F) The mucocyst canal (MC) surrounded by many mucocysts (M).

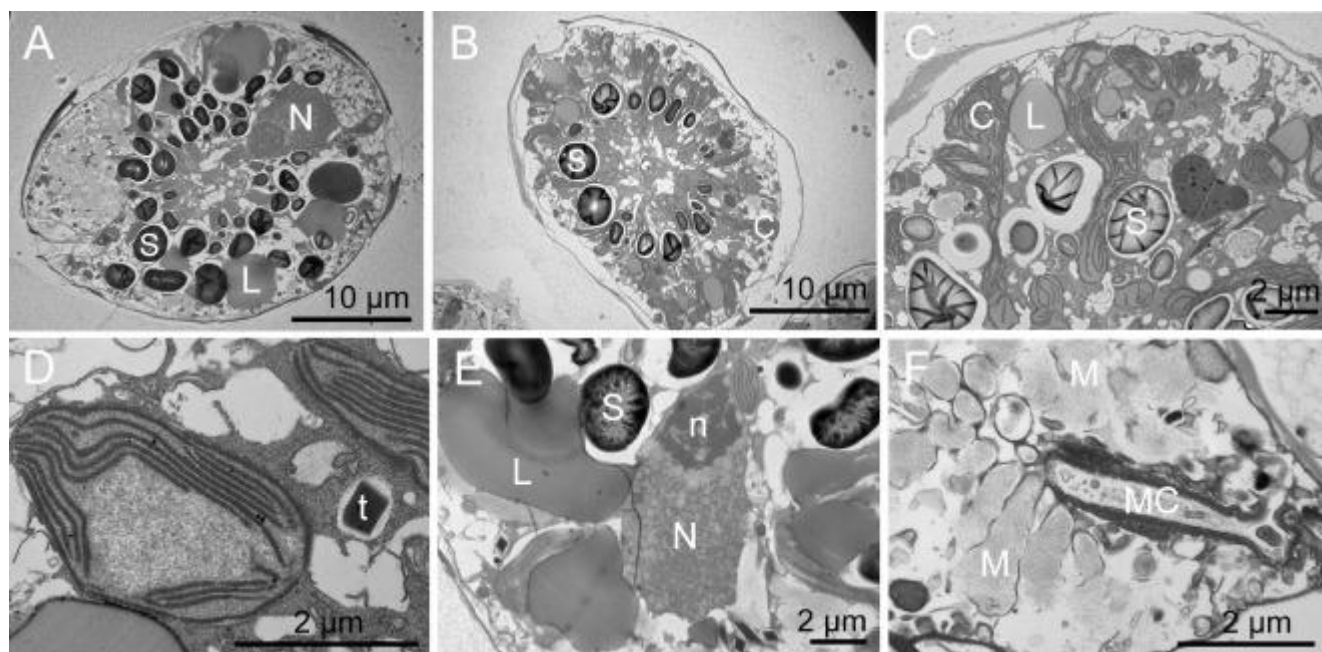


Fig. 5. Scanning electron micrographs of vegetative cells of *Ostreopsis siamensis* strain T10PRBost04. (A) Ventral view; (B) Apical view showing two apical plates (1', 3'), and seven precingular plates (1'' – 7''); (C) Detail of apical pore and surrounding plates. (D) Antapical view showing five postcingular plates (1''' – 5''') and two antapical plates (1''', 2'''); (E) Ventral area of the sulcus showing anterior sulcal plate (Sa), right sulcal plates (Sda), left sulcal plate (Ssa, Ssp), and posterior sulcal plate (Sp) and the first postcingular (1''') and antapical plates (1'''). (F) The cingulum showing six cingular plates.

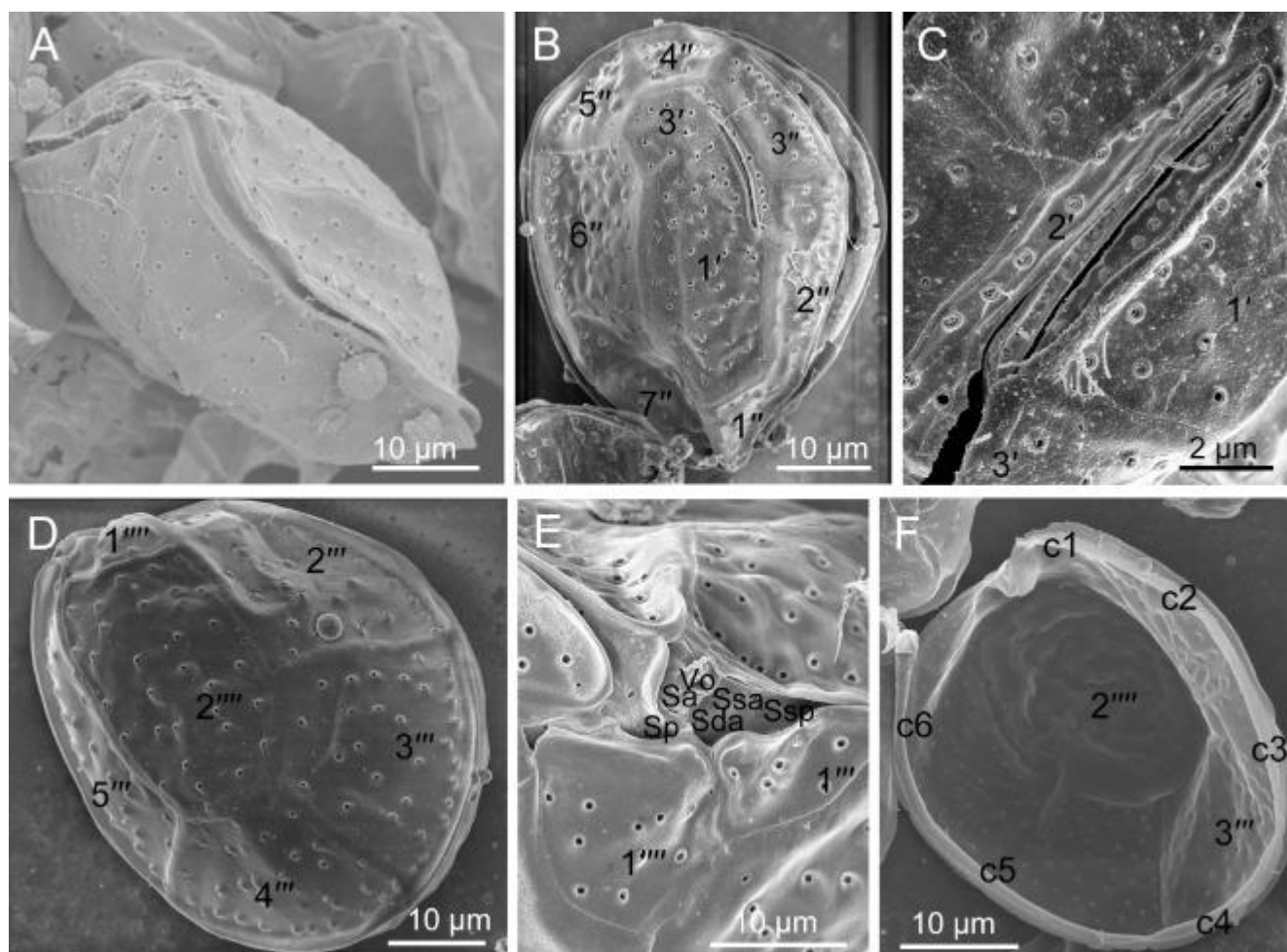


Fig. 6. Phylogenetic tree inferred from maximum likelihood (ML) based on the *Ostreopsis* LSU rDNA (D1–D3) sequences. Nodal labels in red indicate new strains and sequences obtained in this study. Branch lengths are drawn to scale, with the scale bar indicating the number of nucleotide substitutions per site. The dashed line indicates half length. Nodal supports are bootstrap values of ML and Bayesian posterior probabilities (PP). Only ML values >50% and PP >0.8 are shown. Asterisk indicates ML bootstrap support value of 100% and a PP of 1.0.

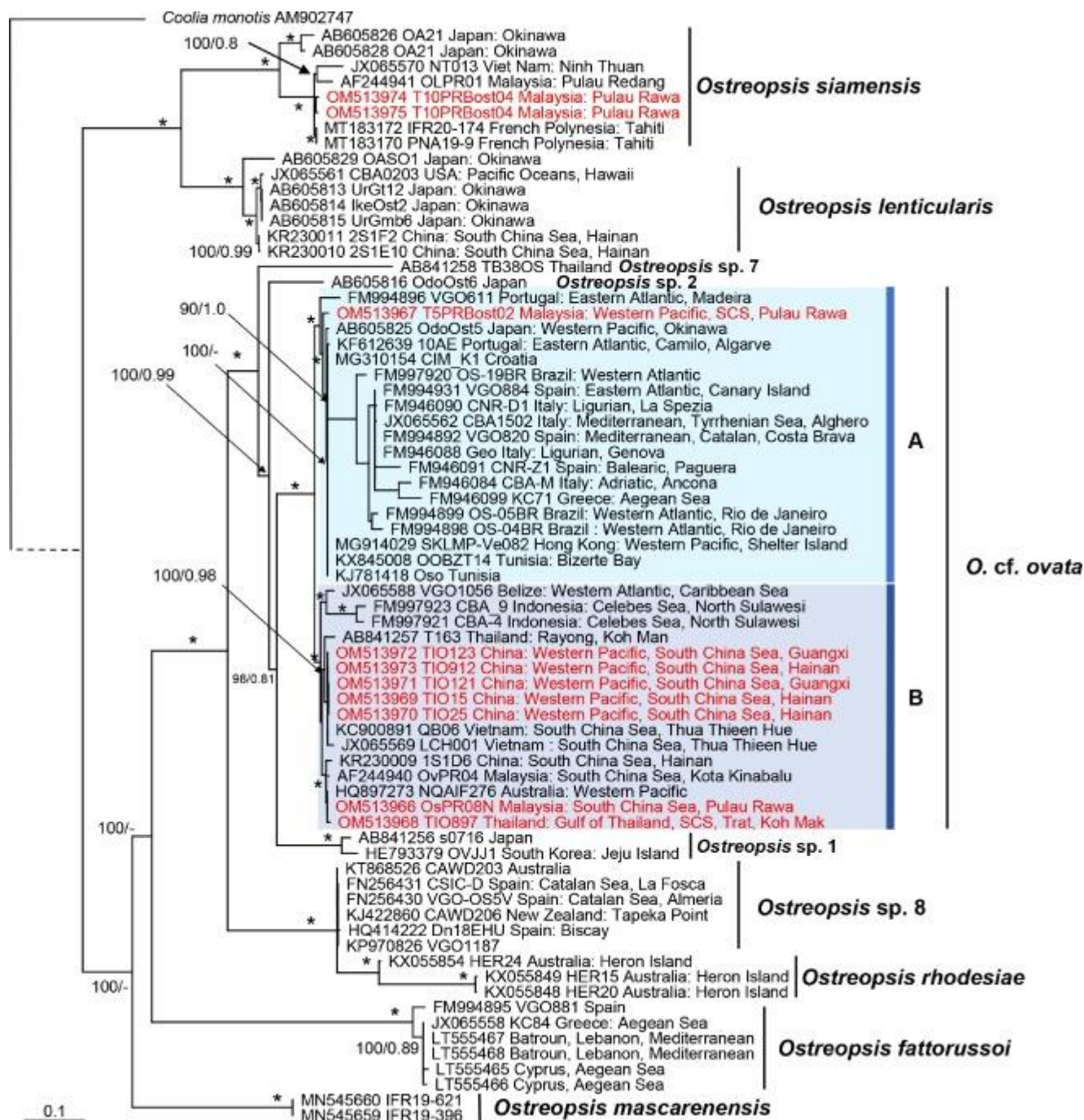


Fig. 7. Phylogenetic tree inferred from maximum likelihood (ML) based on the internal transcribed spacer (ITS) region sequences of *Ostreopsis* species. Nodal labels in red indicate new strains and sequences obtained in this study. Branch lengths are drawn to scale, with the scale bar indicating the number of nucleotide substitutions per site. The dashed line indicates half length. Nodal supports are bootstrap values of ML and Bayesian posterior probabilities (PP). Only ML values >50% and PP >0.8 are shown. Asterisk indicates ML bootstrap support value of 100% and a PP of 1.0.

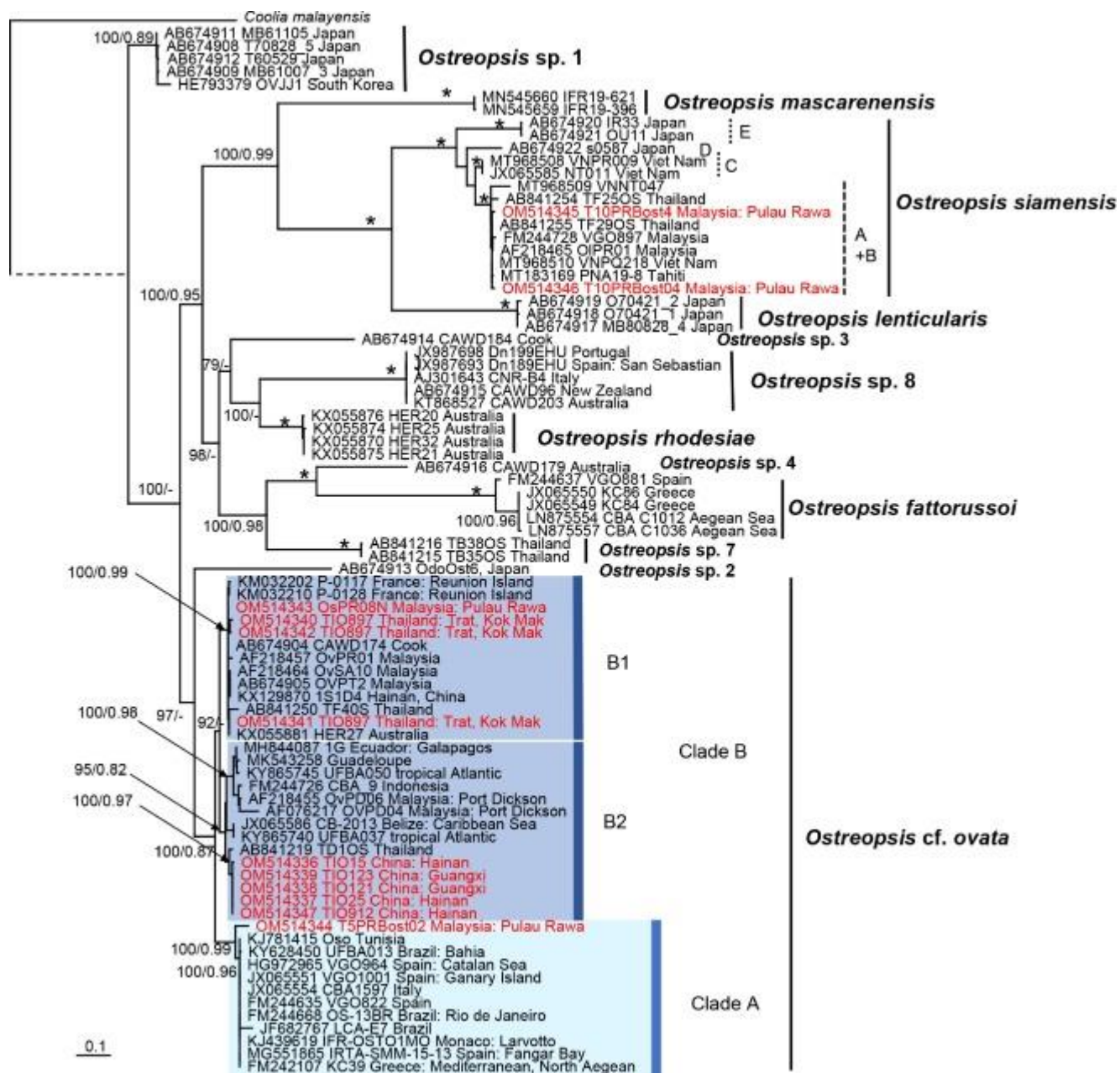


Fig. 8. A world map showing distribution of *Ostreopsis* cf. *ovata* clades A (green circles) and B (yellow circles).

