Unravelling the Gonyaulax baltica species complex: Cysttheca relationship of Impagidinium variaseptum, Spiniferites pseudodelicatus sp. nov. and S. ristingensis (Gonyaulacaceae, Dinophyceae), with descriptions of Gonyaulax bohaiensis sp. nov, G. amoyensis sp. nov. and G. portimonensis sp. nov.

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Abstract :

The taxonomy of the extant dinoflagellate genus Gonyaulax is challenging since its thecate morphology is rather conservative. In contrast, cysts of Gonyaulax are varied in morphology and have been related with the fossil-based genera Spiniferites and Impagidinium. To better understand the systematics of Gonyaulax species, we performed germination experiments on cysts that can be identified as S. ristingensis, an unidentified Spiniferites with petaloid processes here described as Spiniferites pseudodelicatus sp. nov. and Impagidinium variaseptum from Chinese and Portuguese waters. Despite marked differences in cyst morphology, motile cells of S. pseudodelicatus and I. variaseptum are indistinguishable from Gonyaulax baltica. Motile cells hatched from S. ristingensis are morphologically similar to G. baltica as well but differ in the presence of one pronounced antapical spine. Three new

species, Gonyaulax amoyensis (cyst equivalent S. pseudodelicatus), Gonyaulax bohaiensis (cyst equivalent I. variaseptum) and Gonyaulax portimonensis (cyst equivalent S. ristingensis) were erected. In addition, a new ribotype (B) of G. baltica was reported from South Korea and a bloom of G. baltica ribotype B is reported from New Zealand. Molecular phylogeny based on LSU and SSU rRNA gene sequences revealed that Gonyaulax species with minute or short antapical spines formed a well resolved clade, whereas species with two pronounced antapical spines or lack of antapical spines formed the sister clade. Six strains of four above species were examined for yessotoxin production by liquid chromatography coupled with tandem mass spectrometry, and very low concentrations of yessotoxin were detected for one G. bohaiensis strain.

- 84 List of abbreviations: BI: Bayesian inference, LC–MS/MS: liquid chromatography coupled with
- tandem mass spectrometry, ML: maximum likelihood, PP: posterior probabilities, YTX:
- 86 yessotoxin
- 87
- 88 INTRODUCTION

89 The fossil-based genus Spiniferites includes gonyaulacacean species with the Kofoidian thecal plate tabulation Po, Cp, 4', 6", 6c, 4–6s, 6", 1p, 1"", and they usually have trifurcate gonal and/or 90 bifurcate intergonal processes, and a precingular archeopyle (Mertens and Carbonell-Moore 2018). 91 To date, 106 Spiniferites species are accepted (Williams et al. 2017) but only 13 of them are 92 93 known to be extant (Mertens and Carbonell-Moore 2018). Another fossil-based genus Impagidinium is morphologically similar to Spiniferites but lacks tri- and/or bifurcate processes 94 95 (Stover and Evitt 1978). Several other fossil-based genera are morphologically similar to Spiniferites as well. For instance, Achomosphaera only differs from Spiniferites in the lack of 96 97 sutural ridges (Evitt 1963); Nematosphaeropsis is distinguishable from Spiniferites because of the 98 presence of trabeculae connecting the distal ends of the processes (Deflandre and Cookson 1955). 99 Irrespective of the morphological dissimilarity among the fossil-based genera Spiniferites, 100 Impagidinium and Nematosphaeropsis, all of them gave rise to motile stages attributed to the cellbased genus Gonyaulax Diesing (Lewis et al. 1999, Rochon et al. 2009, Mertens et al. 2018a). The 101 102 first equivalencies for the genus Spiniferites date back to the incubation of Spiniferites bentorii and 103 S. mirabilis from Woods Hole, MA, USA, which yielded cells identified as Gonyaulax digitale 104 and G. spinifera, respectively (Wall and Dale 1967). Currently, eleven Spiniferites species have 105been related to *Gonyaulax* species, but several of them were attributed to *G. spinifera* (Dale 1983, 106 Rochon et al. 2009; Table S1 in the Supporting Information), highlighting the need to examine the 107 corresponding motile cells in detail using contemporary approaches. 108 To date, eleven extant Impagidinium species have been reported (Zorzi et al. 2019). Among 109 them, only *I. caspienense* has been related with *Gonvaulax baltica* (Mertens et al. 2018a), although G. baltica has been related to Spiniferites bulloideus sensu as well (Ellegaard et al. 2002), 110 111 suggesting possible heterospory within Gonyaulacoid dinoflagellates (Mertens et al. 2018a). 112 Gonyaulax was erected to include Gonyaulax spinifera (Diesing 1866). The thecal plates of 113 *Gonyaulax* are often thick and ornamented by numerous reticulations to form ridges. Its plate 114 tabulation has been interpreted as Po, Cp, *4', 6", 6c, ?s, 6", 1p, 1"" (Dodge 1989, Lewis et al. 1151999, Carbonell-Moore and Mertens 2019), and now includes 77 recognized species (Gómez 2012, Mertens et al. 2015, Lim et al. 2018, Gu et al. 2021). The thecate morphology of Gonvaulax 116

species is rather conservative and differs between species only in the cingular displacement and 117118 overhang, the shape of the sixth precingular plate, the position of the ventral pore, the plate 119 ornamentation, the body size, the body shape and the number and size of antapical spines (Dodge 120 1989, Lim et al. 2018). Kofoid (1911) proposed to subdivide Gonyaulax into four subgenera (i.e., 121 Gonyaulax, Fusigonyaulax, Steiniella and Acanthogonyaulax based upon the general shape of the motile cells) but whether this is supported by molecular phylogenetics remains to be determined. 122 Several Gonyaulax species, identified as Gonyaulax spinifera, G. membranacea, and G. taylorii 123 124 are known to produce yessotoxins (YTXs), a marine polyether toxin (Rhodes et al. 2006, Riccardi 125 et al. 2009, Álvarez et al. 2016, Chikwililwa et al. 2019, Pitcher et al. 2019). Many strains have 126 been identified in the literature as G. spinifera, but likely belong to other Gonvaulax species. Other 127 Gonyaulax species (e.g., G. whaseongensis) are reported to be nontoxic (Gu et al. 2021), but the 128 number of species examined for YTX production is still limited.

129 To date, only two Impagidinium species (I. caspienense and I. pallidum) have sequences available and *I. caspienense* groups together with *Spiniferites belerius* in molecular phylogenies, 130 131 whilst I. pallidum forms a separate clade (Mertens et al. 2018a, Gu et al. 2021). To better 132 understand the relationship between Impagidinium and Spiniferites we isolated single cysts of Impagidinium and Spiniferites from surface sediments from Chinese, Zelanian and Portuguese 133134waters and performed germination experiments to obtain motile cells for SSU and LSU rRNA 135 gene sequence analyses. Both cyst and theca morphologies were examined in detail using LM and 136 SEM on selected strains, and molecular phylogeny was inferred based on LSU and SSU rRNA 137 gene sequences. In addition, several *Gonyaulax* strains were established from Korean and Zelanian waters by isolating single cells and identified morphologically and by DNA sequences. Six strains 138 139 of four species (two strains of both G. bohaiensis sp. nov. and G. portimonensis sp. nov., and one 140 strain of each of G. amoyensis sp. nov. and G. baltica) were examined for YTX production by LC-MS/MS. 141

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143 MATERIALS AND METHODS

144 Sample collection and treatment.

Sediment sampling was done using an Ekman grab in Qinhuangdao (Bohai Sea) and Xiamen Bay 145146 (East China Sea), China in 2018, and in Portimão, Portugal in Oct. 2019 using a Petite Ponar Grab 147(Table 1). Samples were stored in the dark at 4°C until further treatment. Approximately 5 g of wet sediment was mixed with 20 mL of filtered seawater and stirred vigorously to dislodge detrital 148 149 particles. The settled material was subsequently sieved through 120 µm and 10 µm mesh and washed and collected with filtered seawater. The cyst fraction was separated from this residue 150using sodium polytungstate at a density of 1.3 g \cdot cm⁻³ (Bolch 1997). Single cysts morphologically 151 152 similar to Impagidinium and Spiniferites were isolated using a micropipette with an inverted 153 Eclipse TS100 (Nikon, Tokyo, Japan) microscope and incubated in small containers with f/2-Si medium (Guillard and Ryther 1962) at 20°C, 90 μ mol photons \cdot m⁻² \cdot s⁻¹ under a 12:12 h 154 light:dark cycle. Fifteen Chinese culture strains of Gonyaulax species were established 155 156 successfully (Table 1). Two Portuguese cultures were established, IFR-CC 20-019 and IFR-CC 20-018 in L1 culture medium. 157

Plankton samples were collected from the Korean coastal area using a 20 µm-mesh plankton
net. *Gonyaulax* species were isolated in laboratory using a capillary pipette with a light
microscope (Eclipse 50i; Nikon, Japan) and four strains of *Gonyaulax* species (LIMS-PS-3448,
LIMS-PS-3408, LMBE-HJ62 and LMBE-HJ86) were established successfully following the
methods described by Zhang et al. (2020).

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Type specimens deposited in TIO; herbarium acronyms follow (Thiers 2022).

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165 *Morphological study of thecate stages and cysts.*

Living cells and cysts of all strains or isolates listed in Table 1 were examined and photographed using a Zeiss Axio Imager light microscope (Carl Zeiss, Göttingen, Germany) equipped with a Zeiss Axiocam HRc digital camera. To observe the shape and location of the nucleus, cells were stained with 1:100000 SYBR Green (Sigma Aldrich, St. Louis, MO, USA) for 1 min, and photographed using the Zeiss fluorescence microscope with a Zeiss-filterset (excitation BP470/40, beam splitter FT495, emission BP525/50). Cell and cyst size was measured based on LM images. Portuguese and Korean strains were photographed and measured using an Olympus DP72 camera

- mounted on a BX41 microscope with 1009 oil immersion objectives and a Nikon DS-Ri2 camera
 mounted on a ECLIPSE Nikon microscope, respectively.
- For SEM, mid-exponential batch cultures of selected Chinese strains were concentrated by a
 Universal 320 R centrifuge (Hettich-Zentrifugen, Tuttlingen, Germany) following standard
 protocols (Gu et al. 2021) and examined with a Zeiss Sigma FE (Carl Zeiss, Oberkochen,
- 178 Germany) SEM at Xiamen University, China.
- For SEM observations of Portuguese strains, cells were picked from microwells using a IX70
 (Olympus) inverted microscope and filtered using polycarbonate membrane filters (0.22 μm pore
 size, GTTP Isopore, Millipore, Billerica, MA, USA), and filters were processed according to the
 methods described in Chomérat and Couté (2008). They were dehydrated in a graded series of
 ethanol baths (15–100%), critical-point-dried, sputter coated with gold. Cells on the stubs were
 examined at the Station of Marine Biology in Concarneau using a Sigma 300 Gemini (Zeiss,
 Obserkochen, Germany) field-emission SEM equipped with both a conventional Everhart-
- 186 Thornley and in-lens secondary electron detectors, operated at 5 kV.
- 187 For SEM observations of Korean strains, 2 mL of mid-exponential batch cultures of strains
- 188 were fixed by Lugol's Iodine solution (0.1% final concentration) for 24 h at room temperature,
- 189 then rinsed by centrifugation with deionized water. After rinsing, samples were dehydrated,
- 190 critical point dried and examined following standard protocols (Zhang et al. 2020). Tabulation
- 191 labeling follows the Kofoid system (Kofoid 1911). The sulcal plate labeling follows Balech
- 192 **(1980)**.
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194 *PCR amplifications and sequencing.*

Single cells of Chinese strains were isolated and washed several times with sterile distilled water.
They were broken by applying gentle force on the coverslip with the inverted microscope and
pipetted into a PCR tube for templates. Various regions of rRNA genes including the SSU, partial
LSU (D1–D6) and ITS1–5.8S–ITS2 were amplified using primer pairs specified previously and
following standard protocols (Luo et al. 2019).

For Korean strains, genomic DNA was extracted from 1 mL of exponentially growing cultures

using the DNeasy Plant Mini Kit (QIAGEN Inc., Valencia, CA, USA) following the 201 202 manufacturer's instructions. The SSU, ITS1-5.8S-ITS2 and LSU rDNA sequence were amplified 203 using the primer pairs SR1 and SR12b, SSUITS and 25R1, and 25F1 and R2 (Yamaguchi and 204 Horiguchi 2005, Takano and Horiguchi 2006) following standard protocols (Zhang et al. 2020). 205 For Portuguese strains, genomic DNA was extracted from 20 µL of exponentially growing 206 cultures using the PCRBIO Rapid extract PCR kit (PCR Biosystems Ltd, London, UK) following 207 the manufacturer's instructions. Almost the full length of the SSU rDNA was specifically 208 amplified using primers 18S-FW and 18S-RV and for the ITS1-5.8S-ITS2-LSU rDNA, an 209 amplicon of more than 1300 bp was obtained with primers ITS-Fw and D3B (Nézan et al. 2012) 210 following standard protocols (Gu et al. 2021). For the New Zealand strain, genomic DNA 211 extraction, PCR and sequencing conditions for the LSU rRNA were performed as described in 212 Smith et al. (2016). Newly obtained sequences were deposited in GenBank with accession numbers OM177644 to OM177653 and OM228714 to OM228731. 213

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215 Sequence alignment and phylogenetic analysis.

216 Newly obtained LSU rRNA (ca. 1300 bp) and SSU rRNA (ca. 1700 bp) gene sequences were 217aligned with sequences of *Gonyaulax* species and related taxa available in GenBank. Sequences 218were aligned using MAFFT v7.110 (Katoh and Standley 2013) online program 219 (http://mafft.cbrc.jp/alignment/server/) with default settings. Alignments were manually checked 220 with BioEdit v7.0.5 (Hall 1999). The final alignment consisted of 1527 LSU and 1841 SSU base 221 pairs including introduced gaps. For Bayesian inference (BI), the program jModelTest (Posada 222 2008) was used to select the most appropriate model of molecular evolution with Akaike 223 Information Criterion (AIC). Bayesian reconstruction of the data matrix was performed using 224 MrBayes 3.2 (Ronquist and Huelsenbeck 2003) with the best-fitting substitution model (GTR+G). 225 Four Markov chain Monte Carlo (MCMC) chains ran for 2,000,000 generations, sampling every 226 1000 generations. The first 10% of burn-in trees were discarded. A majority rule consensus tree 227 was created to examine the posterior probabilities (PP) of each clade. Maximum likelihood (ML) 228 analyses were conducted with RaxML v7.2.6 (Stamatakis 2006) on the T-REX web server (Boc et

al. 2012) using the model GTR+G. Bootstrap support (BS) was assessed with 1000 replicates.

231 *Yessotoxin analysis*.

232 Cultures of six strains (TIO726, TIO732, TIO711, IFR20-018, IFR20-019, and CAWD374) were 233 grown in 200 mL Erlenmeyer flasks under standard culture conditions. At the stationary phase (determined using sequential cell counts), $\sim 10^5 - 10^6$ cells were concentrated by a Universal 320 R 234 centrifuge (Hettich-Zentrifugen, Tuttlingen, Germany) at 2500g for 10 min at 4°C. Cell pellets for 235 236 quantification of intracellular YTX were transferred to 2 mL microcentrifuge tubes and stored at -237 20°C until analysis. Measurements were carried out by liquid chromatography (LC 1100. Agilent, 238 Waldbronn Germany) coupled to tandem mass spectrometry (API 4000 QTrap, Sciex, Darmstadt 239 Germany) as detailed in Wang et al. (2019). Yessotoxins were screened in the negative mode by 240 selected reaction monitoring (SRM). Screened YTX variants and their respective mass transitions 241 are given in supplemental materials (Table S2 in the Supporting Information).

For strains TIO732, IFR20-019 and IFR20-018, sample analyses were performed by LC-242 243 MS/MS using a Shimadzu UFLCxr system coupled to a triple quadruple hybrid mass spectrometer 244 Q-Trap (API400QTrap, Sciex) equipped with a heated electrospray ionization (ESI) source. Data 245acquisitions were performed in negative ion mode and using MRM (Multiple Reaction Monitoring) 246 mode. Chromatographic separation was carried out on a reversed-phase column Xbridge BEH C18 247 $(50 \times 2.1 \text{ mm}, 2.5 \mu\text{m}, \text{Waters})$ equipped with a guard column $(5 \times 2.1 \text{ mm}, 2.5 \mu\text{m}, \text{same})$ 248 stationary phase as column). Water (A) and acetonitrile 90% (B) both containing 6.7 mM of 249 ammonium hydroxide were used as mobile phases at a flow rate of 400 μ L \cdot min⁻¹. The following gradient was used: 0 min, 5% B; 1.5 min, 5% B; 4.5 min, 65% B; 5.0 min, 100% B; 7.0 min, 100% 250 251 B; 7.5 min, 5% B; 12.0 min, 5% B. The oven temperature was 30°C and the injection volume was 252 5 µL. The LC–MS/MS method was used to detect 13 toxins (Table S3 in the Supporting 253 Information). Quantification was performed relative to YTX and homo-YTX standards (National 254Research Council Canada) with a 6-point calibration curve. The limit of quantification was 0.03 255 $ng \cdot mL^{-1}$ for YTX and homo YTX standards. The ESI interface operated using the parameters 256 described in Wang et al. (2019).

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258 **RESULTS**

In this study, 22 strains of *Gonyaulax* were established and identified based on the morphology of
both cysts and thecae, and confirmed by DNA sequences. Nine strains were identified as *G*. *bohaiensis* sp. nov (cyst equivalent *Impagidinium variaseptum*), seven strains as *G. amoyensis* sp.
nov. (cyst equivalent *S. pseudodelicatus*), two strains as *G. portimonensis* sp. nov. (cyst equivalent *S. ristingensis*) and four strains as *G. baltica* (Table 1). *Gonyaulax baltica* from the Pacific proved
to be genetically separated from those in the Atlantic and formed a new ribotype. Only one strain
of *G. bohaiensis* was tested positive for YTX production.

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267 Gonyaulax bohaiensis H.Gu, K.N.Mertens & H.H.Shin sp. nov. (Figs. 1, 2, S1–S3 in the 268 Supporting Information)

Description: Cells were 25–46 µm long and 21–37 µm wide with numerous minute antapical 269 spines. The epitheca was conical with intermediate shoulders. The cell surface was thick and 270 reticulated. Small pores were scattered over the thecal plates, and were aligned along the cingulum. 271272The sulcal plates bear neither pores nor reticulation. The torsion was neutral. The cingulum was 273 located in the equatorial part of the cell and descended with a displacement and overhang of about 2742.5 times its width. Sexiform hypothecal configuration. Cells displayed a plate formula of Po, Cp, 4', 6", 6C, 6S, 5", 1p, 1"". Plate 6" was very elongated. A ventral pore was located at the junction 275 276 of plates 1', 4'a and 4'p. The angle between the major axis and a line joining the ends of the 277 cingulum was approximately 35-45°. The cyst was subspherical to ellipsoidal, 36-41 µm long and 278 30–36 µm wide with an apical boss (excluding the crests). The cyst had low sutural septa 2.0–4.8 279 μ m high, except around the antapical plate where the septa were 4.0–7.6 μ m high. The cyst displayed a paratabulation of 4', 6", 6C, 6S, 5", 1p, 1"". The paracingulum descended with a 280 281displacement of two-three times its width. The archeopyle was reduced and corresponded to plate 3″ 282

Holotype (designated here): TIO 202201, SEM stub of thecate cells from a culture established
from a cyst extracted from surface sediment of Bohai Sea, June 14, 2018, collected by Haifeng Gu. *Type locality*. Bohai Sea; 39°56.34' N, 119°44.74' E.

286 *Habitat*. Marine and planktonic with benthic cyst stage.

Etymology. The epithet '*bohaiensis*' is derived from Bohai Sea and refers to the type locality.

288 *GenBank accession numbers*. OM177646 (SSU), OM228722 (LSU)

Remarks. The morphology of the cyst resembles the fossil based taxon *Impagidinium variaseptum* (see discussion).

291 Morphology. Cysts of Gonyaulax bohaiensis had a subspherical to ellipsoidal central body with 292 one pronounced yellow-orange accumulation body, many transparent lipid bodies and a spherical nucleus (Figs. 1A, S1A). They were 36.0–40.5 μ m in length (mean =37.9 ± 1.4 μ m, n=8) and 293 $30.0-36.0 \ \mu\text{m}$ in width (mean = $33.5 \pm 1.9 \ \mu\text{m}$, n=8). The central body wall was formed of pedium 294 295 and tegillum. The pedium was smooth, and the tegillum was microgranulate and formed the 296 parasutural crests. The height of the parasutural septa was 2.0–4.8 μ m (mean =3.5 ± 1.1 μ m, n=8), 297 except around the antapical paraplate where the septa were higher (4.0–7.6 μ m, mean = 5.9 \pm 1.2 μ m, n = 6, Fig. 1, A and C). The septa could form short, exclusively gonal processes which can 298 299 have minute furcations. The epicyst had a smooth rounded apex with an apical boss (Fig. 1, B, C and F). There was a ventral pore at the junction of plates 1', 4'a and 4'p (Fig. 1B). The parasutures 300 between 1' and 4' were faintly visible. Plate 6" was very elongated. The paracingulum descended 301 with a displacement of two to three cingular widths (Fig. 1, B and F). The parasulcal plates were 302 303 sometimes faintly discernable. The torsion was neutral (Fig. 1D). The archeopyle was precingular and reduced corresponding to paraplate 3" (Fig. 1D). The operculum was monoplacate and free 304 305 (Fig. S1B). Cysts of G. bohaiensis were commonly found in Bohai Sea.

306 Cells of *Gonyaulax bohaiensis* strain TIO726 were 25.3–45.6 μ m (mean =31.3 ± 3.7 μ m, n=52) 307 long and 21.1–37.0 μ m (mean =26.0 ± 3.4 μ m, n=52) wide. Cells had a conical epitheca with 308 intermediate shoulders and a rounded hypotheca (Fig. 2, A and B). There were numerous bean-

shaped chloroplasts located in the periphery of the cell (Fig. S1, C and D). The nucleus was 309 310 elongated and curved, extending from the left epicone to the right hypocone (Fig. S1, D and E). 311 The thecae had a sexiform gonyaulacoid tabulation in the hypotheca (sensu Fensome et al., 312 1993, their text-fig. 64B) with a S-type ventral organization (sensu Fensome et al. 1993, text-figs. 313 82, B and D) and neutral torsion (sensu Fensome et al. 1993, text-fig. 83B; Fig. 2, A–D). 314 The pore plate was lanceolate in shape and surrounded by raised ridges of neighboring apical plates (Fig. 2D). The first and fourth apical plates (1', 4') were small and narrow (Fig. 2, B and C). 315 316 A ventral pore was observed at the junction of plates 1', 4'a (anterior part of 4') and 4'p (posterior 317 part of 4', Figs. 2D, S1F). The plate 6" was triangular (Fig. 2A). The cingulum descended with a displacement of around 2.5 widths (Fig. 2A) and an overhang also around 2.5 widths (Fig. 2E). 318 319 All postcingular plates were similar in size. Plate 1p was located adjacent to plates Sp and Ssp 320 (Fig. 2F). Plate 1"" was located in the middle of the hypotheca with numerous short spines approximately 1.0 µm long (Fig. 2F). The sulcus was narrow in the middle but wide at both ends. 321 It was comprised of the anterior sulcal plate (Sa), the anterior left sulcal (Ssa) plate, the posterior 322 323 left sulcal (Ssp), the right anterior sulcal plate (Sda) and right posterior sulcal plate (Sdp) and 324 posterior sulcal plates (Sp; Fig. 2, F and G). A schematic plate pattern is provided in Figure S2. 325 Cells of the Korea strain LIMS-PS-3448 were morphologically similar to the Chinese strains, but differed in possessing several medium-sized antapical spines (Fig. S3, A-E). Plates 3" and *4"" 326 327 were identified as the keystone plates (Fig. S3, D and E).

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Gonyaulax amoyensis H.Gu & K.N.Mertens sp. nov. (Figs. 3, 4, Figs. S4, S5 in the Supporting Information)

331 *Description*. Cells were 24–42 μm long and 19–29 μm wide with numerous minute antapical 332 spines. The epitheca was conical with short shoulders. The cell surface was thick and reticulated. 333 The cingulum was located in the equatorial part of the cell and descended with a displacement and 334 overhang of around 2.5 widths. Cells displayed a plate formula of Po, Cp, 4', 6'', 6C, 6S, 5''', 1p, 335 1'''. A ventral pore was present at the junction of plates 1', 4'a and 4'p. The angle between the 336 major axis and a line joining the ends of the cingulum was approximately 25–35°. The cyst was

337	ovoid to ellipsoidal, 29–40 μ m long and 26–36 μ m wide with a low apical boss. They were
338	ornamented with gonal (occasional intergonal), petaloid processes $6-13 \ \mu m$ long, and connected
339	by low to high membraneous flanges. The paracingulum descended with a displacement of two to
340	three times its width. The archeopyle was reduced and corresponded to plate 3".
341	Holotype (designated here). TIO 202202, SEM stub of thecate cells from a culture established
342	from a cyst extracted from surface sediment of East China Sea on February 27, 2018, collected by
343	Haifeng Gu.
344	<i>Type locality</i> . East China Sea; 24°35.57′ N, 118°9.20′ E.
345	Habitat. Marine and planktonic with benthic cyst stage.
346	Etymology. The epithet 'amoyensis' is derived from Amoy, the old English name based on the
347	Hokkien pronounciation of Xiamen, and refers to the type locality.
348	GenBank accession numbers. OM177649 (SSU), OM228717(LSU).
349	Remarks. The geological preservability of these cysts was demonstrated by their ability to
350	withstand palynological treatment. The cyst resembles the fossil-based taxon Spiniferites
351	pseudodelicatus described below.
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353	Spiniferites pseudodelicatus K.N.Mertens, H.Gu sp. nov. (Fig. 3C)
354	<i>Description</i> . The cyst was subspherical to ellipsoidal, 36–41 µm long and 30–36 µm wide with
355	an apical boss (excluding the crests). The cyst had sutural septa 2–5 μ m high, except around the
356	antapical plate where the septa were 4–8 μ m high. The cyst had a tabulation of 4', 6", 6C, 6S, 5"',
357	1p, 1"". The paracingulum descended with a displacement of two-three times its width. The
358	archeopyle was reduced and corresponded to plate 3".
359	Holotype (designated here): FR CEDiT2022H137, SEM stub from a cyst isolated from surface
360	sediment collected on May 26, 2008, by Dong Xu. Dinoflagellate type collection in the Centre
361	of Excellence for Dinophyte Taxonomy (CEDiT, Wilhelmshaven, Germany).
362	<i>Type locality</i> . South China Sea (21°19.80' N,111°10.20' E, 17.5 m water depth).

363 *Habitat*. Marine.

364 *Etymology*. The epithet '*pseudodelicatus*' was chosen because of the superficial morphological 365 similarity of the cyst to *Spiniferites delicatus*.

366 Morphology. Cysts of Gonyaulax amovensis had an ovoid to ellipsoidal central body, and were $28.7-39.6 \ \mu m \ (mean = 32.2 \pm 3.9 \ \mu m, n=6) \ long \ and \ 26.2-35.6 \ \mu m \ (mean = 29.9 \pm 3.1 \ \mu m, n=6)$ 367 368 wide. The central body wall was formed of pedium and tegillum. The pedium was smooth, and the 369 tegillum was microgranulate and formed the parasutural crests. They were ornamented with 370 processes 5.6–12.9 μ m long (mean =7.9 \pm 1.9, n=21), and connected by low to mid-high 371 membraneous flanges (Fig. 3A). The paracingulum descended with two-three times of its widths 372 (Figs. 3C, S4A). The cyst wall was 0.8–1.2 μ m thick (mean =1.1 ± 0.2 μ m, n=5). The processes 373 were gonal, wide, petaloid and trumpet-shaped with multifurcated tips (Fig. 3, C and D). Occasionally an intergonal process was observed in the postcingular paraseries, which did not 374 375 show furcated tips (Fig. 3A). A low apical boss was observed (Fig. 3C). The archeopyle was reduced, corresponding to plate 3" (Fig. 3E). The operculum was monoplacate and free (Fig. 3B). 376 377 Claustra (large arched openings) could be observed at the base of the parasutures (Fig. 3C). 378 Cells of Gonyaulax amoyensis strain TIO711 were 23.8–42.4 μ m long (mean =32.6 ± 3.7 μ m, 379 n=28) and 19.2–29.1 μ m wide (mean =25.2 ± 2.8 μ m, n=28). Cells had a conical epitheca with intermediate shoulders and a rounded hypotheca (Fig. S4, A and B). There were numerous bean-380 381 shaped chloroplasts located in the periphery of the cell (Fig. S4, A and B). The nucleus was 382 elongated and located in the hypocone (Fig. S4, C and D). Thecae had a sexiform gonyaulacoid tabulation in the hypotheca with an S-type ventral 383

Inecae had a sexiform gonyaulacoid tabulation in the hypotheca with an S-type ventral
organization and neutral torsion (Fig. 4, A and C). The pore plate was lanceolate in shape and
surrounded by raised ridges of neighboring apical plates (Fig. 4A). Plates 1' and 4' were very
narrow (Fig. 4, D and F). The cingulum was situated in the equatorial part of the cell, descending
with a displacement of 2-3 cingulum widths (Fig. 4, A and B). The cingulum overhang was 1.8 to
2.5 widths (Fig. 4G).

Plate 1"" had numerous short spines ca. 0.6 µm long (Fig. 4H). The sulcus was wide in the

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389

anterior and posterior part but narrow in the central part. It was comprised of plates Sa, Ssa, Ssp,
Sda, Sdp and Sp (Fig. 4, A and I). A schematic plate pattern is provided in Figure S5. Plates 3"
and *4" were identified as the keystone plates (Fig. 4C).

- 393
- 394 395

Gonyaulax portimonensis sp. nov. K.N.Mertens, A.Amorim & H.Gu sp. nov. (Figs. 5, 6, Fig. 86 in the Supporting Information)

Description. Cells were 30–43 µm long and 24–39 µm wide with 2–4 short antapical spines, 396 397 often with a pronounced right antapical horn. Epitheca was conical with short shoulders. Cell 398 surface was thick and formed dense reticulations. Cingulum was located in the equatorial part of the cell and descended with a displacement and overhang of about 2.0 times its width. Cells 399 displayed a plate formula of Po, Cp, 4', 6", 6C, 6S, 5", 1p, 1"". Angle between the major axis and 400 401 line joining ends of cingulum was approximately 25-40°. Cyst was subspherical to ellipsoidal, 42-48 µm long and 32–38 µm wide with apical boss. Cyst had gonal, petaloid processes, 12–14 µm in 402 length, connected by low sutural crests. Cyst wall was formed of a smooth pedium and a tegillum 403 that form small blisters and hollow undulations over the surface. Paracingulum descended with 404 405 displacement of three times its width. Archeopyle was not reduced and corresponded to plate 3".

406 Holotype (designated here): FR CEDiT2022H136, SEM stub containing the type specimen from a

407 culture established from a cyst isolated from surface sediment collected on October 8, 2019, by

408 Véronique Séchet and K.N.Mertens. Dinoflagellate type collection in the Centre of Excellence for

409 Dinophyte Taxonomy (CEDiT, Wilhelmshaven, Germany).

410 *Type locality*. Portimão Port, Portugal (37°7.20' N, -8°31.59' E).

- 411 *Habitat*. Marine and planktonic with benthic cyst stage.
- 412 *Etymology*. The epithet '*portimonensis*' is derived from Portimão, and refers to the type locality.
- 413 GenBank accession numbers. OM177644 (SSU), OM228730 (LSU).
- 414

Remarks. The cyst resembles the fossil-based taxon Spiniferites ristingensis (see discussion).

415	<i>Morphology</i> . Cysts of <i>Gonyaulax portimonensis</i> were ovoid, $41.7-47.7 \mu m$ (mean = 45.0 ± 3.0
416	μ m, n=3) long and 31.9–38.3 μ m (mean =36.0 ± 3.6 μ m, n=3) wide (Fig. 5A). They were
417	ornamented with processes 11.5–14.0 μ m in length (mean =12.9 ± 1.0, n=9), and connected by
418	low sutural crests, but sometimes by high membraneous flanges (Fig. 5B). The cingulum
419	descended with three times of its width (Fig. 5C). The cyst wall, ca 1.3 μ m thick, was formed of a
420	smooth pedium and a tegillum that formed small blisters and hollow undulations over the surface,
421	that appeared granulate (Fig. 5B). The processes were gonal, petaloid, forming polygonal
422	platforms (Fig. 5C). A low apical boss was observed (Fig. 5A). Parasulcal plates were expressed.
423	The archeopyle was not reduced, corresponding to plate 3" (Fig. 5D). The operculum was
424	monoplacate and free.
425	Cells of <i>Gonyaulax portimonensis</i> strain IFR20-019 were 30.0–42.9 μ m long (mean = 34.8 \pm
426	3.7 μ m, n= 17) and 24.4–38.6 μ m wide (mean = 30.6 ± 3.6 μ m, n= 17). Cells had a conical
427	epitheca with intermediate shoulders and a rounded hypotheca (Fig. S6A). There were numerous
428	bean-shaped chloroplasts located in the periphery of the cell (Fig. S6, B and C). The nucleus was
429	large and located in the hypocone (Fig. S6C).
430	The thecae had a sexiform gonyaulacoid tabulation (Fig. 6E) with an S-type ventral
431	organization and neutral torsion (Fig. 6, A and B). The pore plate was lanceolate in shape and
432	surrounded by raised ridges of neighboring apical plates (Fig. 6, C and D). There was a ventral
433	pore between plates 4'a and 4'p (Figs. 6D, S6D). The cingulum descended with a displacement of
434	two cingulum widths (Figs. 6A, S6A). The cingulum overhang was ca. two widths. Plate 1"" had
435	2–4 short spines 1.5–4.3 μ m long (Fig. 6, B and E). The sulcus was narrow in the middle but wide
436	in the anterior and posterior parts. It was comprised of plates Sa, Ssa, Ssp, Sda, Sdp and Sp (Fig.
437	6F). A schematic plate pattern is provided in Figure S7 in the Supporting Information. Plates 3"
438	and *4" were identified as the keystone plates (Fig. 6, B and E).
439	
440	Gonyaulax baltica

441 *Morphology*. Cells of Korean strain LIMS-PS-3408 were 27.6–44.5 μ m long (mean = 35.1 \pm 4.2 442 μ m, n= 30) and 23.0–34.9 μ m wide (mean = 28.7 \pm 2.6 μ m, n= 30). Cells had a conical epitheca

with intermediate shoulders and a rounded hypotheca (Fig. S8A in the Supporting Information).
There were numerous bean-shaped chloroplasts located in the periphery of the cell (Fig. S8B). The
nucleus was variable ranging from L-shaped to short curved, located in the hypocone (Fig. S8, D–
F).

Cells had a plate formula of Po, Cp, 4', 6", 6C, 6S, 5", 1p, 1"" (Fig. 7). The thecae had a 447 sexiform gonyaulacoid hypotheca tabulation with a S-type ventral organization and neutral torsion 448 449 (Fig. 7, A and B). The pore plate was lanceolate in shape and surrounded by raised ridges of 450 neighboring apical plates (Fig. 7C). There was a ventral pore between plates 4'a and 4'p (Fig. 7C). 451 The cingulum descended with a displacement of three cingulum widths (Fig. 7A). The cingulum overhang was ca. 2.5 widths. The angle between the major axis and a line joining the ends of the 452 cingulum was approximately 40–45°. Plate 1"" had numerous short spines ca. 0.6 µm long (Fig. 7, 453 454 A and E). The sulcus was narrow in the middle but widened towards anterior and posterior parts. It comprised of plates Sa, Ssa, Ssp, Sda, Sdp and Sp (Fig. 7F). 455

456 Gonyaulax baltica formed a dense, visible bloom $(4.8 \times 10^6 \text{ cells} \cdot \text{L}^{-1})$ in Māori Bay, New

457 Zealand in May, 2019. Cells from the bloom sample were $30.0-35.7 \mu m \log (mean = 33.6 \pm 1.6)$

458 μ m, n= 18) and 28.8–35.0 μ m wide (mean = 32.1 ± 1.8 μ m, n= 18). The cell morphology was

similar to those from South Korea, but differed in having several antapical spines as long as 6.0
µm (Fig. 8, A–F).

461 Living cysts from surface sediment of Māori Bay displayed an oval central body, and were 33.3 462 μ m long and 30.7 μ m wide, with a small apical boss (Fig. 8G). The paracingulum descended with 463 a displacement of twice its width (Fig. 8H).

The cyst had a wall ca. 2 μm thick ornamented with exclusively gonal, trifurcate processes 11.1–
14.2 μm long (Fig. 8, G and H). There was one hypothecal petaloid trumpet-shaped process (Fig.
81).

467

468 Molecular phylogeny.

Gonyaulax bohaiensis strains (TIO724, 725, 726, 727, 731, LIMS-PS-3448) shared identical LSU
rRNA gene sequences and differed from *G. baltica* (= *Impagidinium caspienense*, GenBank

- 471 LC222302) at 42 positions (96.60% similarity), from French (GenBank MW775689) and Japanese
- 472 (GenBank LC222310) *Spiniferites belerius* sequences at 72 and 86 positions (89.71% and 93.06%)
- 473 similarity), from G. portimonensis (GenBank OM228729) at 78 positions (87.74% similarity), and
- 474 from *I. pallidum* (GenBank LC222304) at 297 positions (75.81% similarity). *Gonyaulax*
- 475 *amoyensis* strains (TIO708, 709, 710, 711, 719, 722) differed from each other only at one position.
- 476 They differed from *G. bohaiensis* strain TIO724 at 41 positions (96.99% similarity) and from *G.*
- 477 *baltica* strain LIMS-PS-3408 at 92 positions (91.50% similarity). *Gonyaulax baltica* strain LIMS-
- 478 PS-3408 from Korea shared identical sequences with strain CAWD374 from New Zealand and
- 479 differed from French and Japanese *Spiniferites belerius* sequences cited above at 83 positions and
- 480 1 position respectively (88.09% and 99.91% similarity).
- 481 ML and BI analyses based on LSU rRNA gene sequences yielded similar phylogenetic trees.
- 482 The ML tree displayed five well-resolved clades (Fig. 9) corresponded to the families Ceratiaceae,
- 483 Protoceratiaceae, Pyrophacaceae, Gonyaulacaceae and Lingulodiniaceae. Gonyaulacaceae was
- 484 monophyletic comprising the extant genus *Gonyaulax* and several fossil-based genera
- 485 (Ataxiodinium, Bitectatodinium, Impagidinium, Spiniferites, and Tectatodinium) with maximal
- 486 support (ML BS:100; BI PP: 1.0). There were two well resolved clades (I and II) receiving strong
- support (100; 0.99) or maximal support. Clade I comprised *G. spinifera*, *G. polygramma*, *G.*
- 488 *hyalina*, *G. ellegaardiae*, *G. elongata*, *G. membranacea* and related species. Clade II comprised *G*.
- 489 bohaiensis, G. amoyensis, G. portimonensis, and G. baltica. Impagidinium pallidum was sister to
- 490 Clade II on a long branch. *Gonyaulax baltica* comprised two ribotypes with maximal support.
- 491 Ribotype A included strains from the Atlantic, whereas ribotype B included strains from the492 Pacific.
- 493 For SSU rRNA gene sequences comparison, *Gonyaulax amoyensis* strain TIO708 differed from
- 494 Impagidinium caspienense (GenBank LC222300) at 40 positions (97.68% similarity), from
- 495 Spiniferites belerius (GenBank LC222309) at 69 positions (96.00% similarity), from G.
- 496 *portimonensis* (OM177644) at 58 positions (96.59% similarity), from *G. baltica* strains (GenBank
- 497 OM177651, OM1776512, OM1776513) at 56 positions (96.40% similarity). Gonyaulax
- 498 *bohaiensis* strains TIO726 and TIO729 shared identical SSU sequences and differed from *G*.

amoyensis (GenBank OM177648) at 42 positions (97.44% similarity). ML and BI analysis based
on SSU rRNA sequences yielded the same results as LSU rRNA sequences (Fig. 10).

501

502 Yessotoxins.

503 Six strains of *Gonyaulax amoyensis*, *G. baltica*, *G. bohaiensis*, and *G. portimonensis* were studied 504 for YTXs. Very low concentrations of YTX were detected in the *G. bohaiensis* strain TIO732 505 $(0.17 \pm 0.02 \text{ fg/cell})$. None of the 21 other analogues were detected. YTX was not detected in the 506 other five strains, but the limits of detection were greater than this low cell quota (Table 1) due to 507 the limits of available biomass. Cell concentrates from bloom samples in Māori Bay and cultured 508 cells were analysed for YTX by LC-MS/MS. The screened analogs were YTX, homo-YTX, 45Oh-509 YTX and 45OH-homoYTX. No trace of any of these analogues was detected.

510

511 **DISCUSSION**

512 *Cyst-theca relationship of* Impagidinium variaseptum, Spiniferites pseudodelicatus *and* S.
513 ristingensis.

Previously eleven *Spiniferites* and one *Impagidinium* species have been linked to specific *Gonyaulax* species. Here we clarify the cyst-theca relationships of *I. variaseptum* and *S. ristingensis* for the first time (Table S1). A new *Spiniferites* species, *S. pseudodelicatus* is
described, and its corresponding motile cells are revealed. *Gonyaulax baltica* ribotype B is linked
to *Spiniferites belerius*.

Impagidinium variaseptum from the Bohai Sea accords with the original description by the presence of septa of variable height and an apical boss (Marret and de Vernal 1997). Bohai Sea cysts are relatively smaller (36.0–40.5 µm long vs. 47.0–75.0 µm long) with a well expressed paratabulation, a microgranulate wall and parasutural septa 2.0–7.6 µm high. According to Marret and de Vernal (1997), *I. variaseptum* lacks paratabulation in the sulcal area, but their plate III, fig. 524 5 shows a specimen that at least suggests a posterior sulcal plate. Cysts of *Impagidinium* from the

Bohai Sea are morphologically similar to *I. caspienense*, but the latter has lower sutural septa
(1.3–4.3 vs 2.0–7.6 μm; Mertens et al. 2018a). Cysts of *Impagidinium* from the Bohai Sea are also
morphologically similar to *I. japonicum*, but the latter lacks an apical boss and has well developed
septa as high as one third of the cyst diameter (Matsuoka 1983).

529 Impagidinium variaseptum has been reported only from recent sediments, from the Indian 530 Ocean (Marret and de Vernal 1997), from west of Tasmania and the southwestern Pacific Ocean, 531 and from east of New Zealand (Sun and McMinn 1994). Our findings of *I. variaseptum* in the 532 coastal waters of Bohai Sea support its occurrence in a neritic environment, in contrast to the 533 oceanic habitat of all other Impagidinium species (Marret and de Vernal 1997), except for I. 534 caspienense restricted to the Caspian and Aral Seas (Zonneveld et al. 2013) also neritic habitats. 535 Spiniferites ristingensis from Portugal matches the original description of S. ristingensis, 536 sharing a low apical boss, low sutural crests connecting the processes, numerous blisters and 537 hollow undulations on the cyst surface, exclusively gonal processes with petaloid tips, and a girdle displaced with three times its widths (Head 2007). Spiniferites ristingensis was previously 538 reported in the Baltic Sea of the Eemian age (ca. 127,000 years ago) when water temperatures 539 540 were considered to be at least 5°C higher than at present (Head 2007). The species was reported 541from recent sediments of Britanny, France (Gurdebeke et al. 2018), the Black Sea and off 542Southwestern Portugal (Mertens et al. 2018b), from surface sediments from the West coast of 543 Portugal (as S. delicatus, Ribeiro and Amorim 2008; and as S. delicatus/ristingensis, Ribeiro et al. 544 2016). It was also reported further north along the Spanish coast (the Ría de Vigo, NW Iberia), as 545 Spiniferites Vigo-type cf. S. ristringensis (Head 2007, García-Moreiras et al. 2018). Spiniferites pseudodelicatus from the East China Sea is superficially similar to S. delicatus, 546 547 sharing a low apical boss, high sutural flanges connecting the processes, processes with petaloid 548 tips, and a girdle displaced three times its widths (Reid 1974). However, South China Sea cysts of 549 S. pseudodelicatus bear undeveloped intergonal process and are somewhat smaller (28.7–39.6 µm 550 long) than the topotype material (40.0–60.0 µm long, Reid 1974; 36.8–50.8 µm long, Gurdebeke 551 et al. 2018). In addition, these two species differ in wall ornamentation (Table 2). Therefore, we 552 described a new species, Spiniferites pseudodelicatus. Our finding of S. pseudodelicatus in

553 Xiamen Bay suggests that it prefers a neritic environment.

554

555 *Discrimination of non-fossil species.*

Motile cells of Gonyaulax bohaiensis, G. amoyensis and G. portimonensis are morphologically 556 557 similar. All of them share a large cingulum displacement and overhang and numerous minute 558 antapical spines. Several prominent antapical spines were reported in the *Gonvaulax spinifera* 559 complex, such as G. spinifera, G. digitale (Kofoid 1911), G. ellegaardiae (Mertens et al. 2015), G. *membranacea*, and *G. elongata* (Ellegaard et al. 2003), but such spines are not observed in motile 560 561 cells of G. bohaiensis, G. amoyensis and G. portimonensis. In the size of antapical spines, they are 562 much closer to G. scrippsae, but can be differentiated by the cingulum overhang, the number of 563 antapical spines and surface reticulation (Table 3).

564 Motile cells of Gonyaulax bohaiensis and G. amoyensis are similar to G. baltica. All of them share a ventral pore between 4'a and 4'p, and only minor differences could be identified that do not 565 566 enable unambiguous morphological identification based on the motile stage (Table 3). The ridge 567 around apical pore complex is high in G. bohaiensis, but low in G. amoyensis and G. baltica. 568 Gonyaulax portimonensis has a relatively larger antapical spine in the right side but in other three 569 species the antapical spines are equal in length. Gonyaulax portimonensis resembles G. monacantha (Pavillard 1916), but is smaller (30.0-42.9 vs 45-55 µm long). Gonyaulax 570 571 portimonensis also resembles G. cochlea (Meunier 1919) but has a larger cingulum displacement 572 (2.0 cingulum width vs 1.0). Based on morphological characteristics from both motile stages and 573 cysts, we proposed G. bohaiensis as the motile stage of I. variaseptum, and G. amoyensis and G. portimonensis corresponding to cysts resembling S. pseudodelicatus and S. ristingensis 574 575 respectively. On the other hand, G. baltica is able to produce cysts resembling I. caspienense and 576 S. belerius (Mertens et al. 2018a, present study). 577 The Gonyaulax baltica strain LIMS-PS-3408 from Korea and a bloom sample of Gonyaulax

baltica from New Zealand are indistinguishable from the type material in morphology, but

579 genetically separated from *G. baltica* of the Baltic Sea. The number and length of antapical spines 580 appear slightly plastic in *G. baltica* ribotype A, e.g., strains from the Caspian Sea show numerous

minute spines ca 1.0 µm long (Mertens et al. 2018a), but can be 2.0 µm long in cells from the
Baltic Sea (Ellegaard et al. 2002). Similar variation was also observed in *G. baltica* ribotype B;
strains from South Korea show numerous minute spines but the bloom sample from New Zealand
show longer and fewer spines.

585

586 Molecular phylogenetics.

587 Our molecular phylogenies, based on LSU and SSU rRNA gene sequences, are congruent and 588 both of them support monophyly of the extant genus *Gonyaulax*, but indicates two clades within 589 the genus. Clade I includes G. spinifera like species with two prominent antapical spines, such as 590 G. digitale, G. membranacea, G. elongata, G. ellegaardiae, G. nezaniae as well as those without 591 spines, such as G. hyalina. In contrast, Clade II includes G. baltica like species with relatively 592 short and numerous antapical spines, as also observed in G. bohaiensis, G. amoyensis, and G. 593 portimonensis. Kofoid (1911) proposed the subgenus Gonyaulax that was defined to include species with spheroidal or polyhedral cells, Therefore, species of both Clades I and II can be 594 595 classified within this subgenus, but the subgenus appears polyphyletic. DNA sequences of 596 subgenera Acanthogonyaulax and Fusigonyaulax, characterized by an elongated apical and one or 597 two antapical horns (Kofoid 1911), are not available. The subgenus Steiniella includes G. fragilis 598 and G. hyalina (Carbonell-Moore and Mertens 2019), however, G. hyalina is also nested within 599 Clade I. One subgenus for Clade I and another for Clade II could be recognized based on the 600 number, size of antapical spines in motile cells or the shape of processes in cysts. 601 The neritic Impagidinium caspienense and I. variaseptum (as Gonyaulax bohaiensis) are in the 602 same clade (Clade II) while oceanic *I. pallidum* is sister to Clades II on a long branch (Figs. 9, 10). Currently DNA sequences of extant oceanic I. japonicum, I. paradoxum, I. patulum and I. 603 604 aculeatum, I. plicatum, I. sphaericum and Impagidinium velorum are not available. It will be

interesting to see if the morphological criteria like the shape of plate 6", height of septa and

bose presence/absence of an apical boss might support the split of *Impagidinium* into one genus for

- 607 neritic species and another for oceanic species.
- 608

The grouping of Spiniferites pseudodelicatus, S. ristingensis and S. belerius in the same clade

with Impagidinium instead of with other Spiniferites species challenges the current taxonomic 609 610 criteria based on morpho-anatomy. Spiniferites pseudodelicatus and S. ristingensis share high 611 flanges that connect the processes as also observed in *I. variaseptum* and *I. caspienense* 612 (Gurdebeke et al. 2018, Mertens et al. 2018a). Reduced process length in cysts of Gonyaulax 613 *baltica/I. caspienense* was attributed to low salinity as demonstrated in culture experiments and a 614 field survey (Dale 1996, Ellegaard et al. 2002). However, the short processes in I. variaseptum 615 appear not to be related with low salinity as they were found in seawater with typical salinity 616 values. Spiniferites membranaceus and S. mirabilis also have a high flange, but it is only present 617 in the antapical plate. The fact that S. mirabilis is sister to S. membranaceus in the SSU based 618 phylogeny (Fig. 10) suggests that this character might be taxonomically significant as well. 619 Petaloid processes appear to be characteristic of cysts produced by Gonyaulax baltica and 620 related species, as observed in Spiniferites pseudodelicatus, S. ristingensis, S. belerius (Mertens et al. 2018a) and in cysts produced in cultures of G. baltica (Ellegaard et al. 2002), suggesting that 621 this trait is phylogenetically significant. Spiniferites delicatus also has petaloid processes (Reid 622 623 1974), and needs to be sequenced to see if it is in the same clade as G. baltica. 624 Our findings reveal two ribotypes of *Gonyaulax baltica* as well as the first record of a bloom by this species. Ribotype B of G. baltica from the Pacific cannot be differentiated morphologically 625 626 from ribotype A in the Atlantic, suggesting that this is a cryptic species. Whether ribotype B is 627 able to generate *Impagidinium* like cysts in low salinity as ribotype A does remain to be

determined. The low genetic similarity between the two ribotypes of *G. baltica* (around 88%) is
comparable to *Sourniaea diacantha* (86%), which also shows two ribotypes of Atlantic and Pacific
origin (Zhang et al. 2020).

631

632 Yessotoxin production.

The finding of a very low YTX cell quota of *Gonyaulax bohaiensis* strain TIO732 is noteworthy, even though no YTX was detected in the other two strains (TIO726 and TIO711). The detection limits of these two measurements (1.34 and 0.4 fg \cdot cell⁻¹, respectively) were above the YTX cell quota of strain TIO732 (0.17 fg \cdot cell⁻¹) due to lower biomass and the use of a different instrument.

For this reason, the lack of YTX detection in strains TIO726 and TIO711 does not necessarily
indicate its absence. YTX production has been well documented in the *G. spinifera* group (*G. membranacea* and *G. ellegaardiae*; Chikwililwa et al. 2019, Pitcher et al. 2019), but our results
suggest that YTX production may also occur in the *G. baltica* clade, even though there are not
many records.

642

643 Dinoflagellate Nomenclature.

644 The International Code of Nomenclature for algae, fungi and plants (ICN, Turland et al. 2018) 645 sanctions the use of dual nomenclature: it allows fossil- and non-fossil taxa to have separate names 646 even if they are linked. This dual nomenclature system has been applied to dinoflagellates for 647 decades. Attribution of living dinoflagellate cysts to motile stages have been investigated 648 intensively (Wall 1967, Wall and Dale 1968, Ellegaard et al. 2003, Mertens et al. 2015, Gu et al. 2021). However, there remain many unresolved issues, especially for those living "fossil" cysts 649 650 such as *Spiniferites*. Here we relate several new *Gonyaulax* species with resting stages resembling 651 Impagidinium variaseptum, Spiniferites pseudodelicatus and S. ristingensis, respectively. Future 652 work should contribute to the unification of nomenclature, but clearly there is still much work to 653 be done before this can be achieved. Therefore, in the present work we chose to use the dual 654 nomenclature.

655 656

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Fig. 1. Micrographs of cysts of Gonyaulax bohaiensis from the Bohai Sea, China, resembling 844 Impagidinium variaseptum. Tabulation labeling follows Kofoid (1911) and Balech (1980). (A) 845 846 Bright-field light microscopy. (B–F) Scanning electron microscopy. (A) A living cyst showing the yellow accumulation body and sutural septa of variable height. (B) Ventral view of a living cyst 847 848 showing a ventral pore (arrow). (C) Apical view of a living cyst showing two apical (2', 3') and five precingular plates (1''-5''). (D) Dorsal view of an empty cyst showing the reduced archeopyle 849 (arrow). (E) Antapical view showing five postcingular (*2'''-*6''') plates, one antapical plate (1'''')850 851 and one intercalary plate (1p) (F) Ventral view of a living cyst showing the anterior sulcal plate 852 (Sa), anterior left sulcal (Ssa) plate, posterior left sulcal (Ssp), right anterior sulcal plate (Sda), 853 right posterior sulcal plate (Sdp), and posterior sulcal plates (Sp). Scale bars = $10 \mu m$.

Fig. 2. Scanning electron micrographs of Gonvaulax bohaiensis strain TIO726 from the Bohai 856 Sea, China. (A) Ventral view showing cingulum displacement and overhang. (B) Dorsal 857 view showing four precingular (2''-5''), and two postcingular (*4''', *5''') plates. (C) Apical 858 859 view showing six precingular (1''-6''), and two apical (2', 3') plates. (D) Apical-ventral view showing the first and fourth apical plates (1', 4') and a ventral pore (arrow). (E) The 860 861 cingulum showing six cingular plates. (F) Antapical view showing five postcingular (*2"-*6") plates, one antapical plate (1"") and one intercalary plate (1p). (G) Sulcal plates 862 863 showing the anterior left sulcal plate (Ssa), anterior right sulcal plate (Sda), posterior left 864 sulcal plate (Ssp), posterior right sulcal plate (Sdp) and posterior sulcal plate (Sp). Scale bars = 4 μ m, except in (A–C) = 10 μ m. 865

Fig. 3. Micrographs of *Gonyaulax amoyensis* cysts from Xiamen Bay, China (A, B, D) and
 Spiniferites pseudodelicatus from the South China Sea (C, E, F). (A, B) Bright-field light
 microscopy. (C–F) Scanning electron microscopy. (A) An empty cyst showing the
 undeveloped intergonal process (arrow) and membrane connecting gonal processes

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872	(arrowhead). (B) Dorsal view of an empty cyst showing the reduced archeopyle (arrows).
873	(C) Ventral view of an empty cyst showing the cingulum displacement, claustra (arrowhead)
874	and petaloid processes (arrow). (D) Apical view of a living cyst showing the apical plates. (E)
875	Dorsal view of a living cyst. (F) Antapical view of a living cyst. Scale bars = $10 \mu m$.
876	
877	Fig. 4. Scanning electron micrographs of Gonyaulax amoyensis strain TIO711 from Xiamen Bay,
878	China. (A, B) Ventral view showing cingulum displacement and overhang. (C) Dorsal view
879	showing three precingular (2"-4"), and two postcingular (*3"', *4"') plates. (D) Lateral view
880	showing three precingular $(4''-6'')$, three apical plates $(1', 3', 4')$ plates. (E) Apical view
881	showing three precingular $(1''-3'')$, and two apical $(2', 3')$ plates. (F) Ventral view showing
882	the two apical (1', 4') plates and a ventral pore (arrow). (G) The cingulum showing six
883	cingular plates. (H) Antapical view showing five postcingular (*2"'-*6"') plates, one
884	antapical plate (1"") and one intercalary plate (1p). (I) Sulcal plates showing the anterior left
885	sulcal plate (Ssa), anterior right sulcal plate (Sda), posterior left sulcal plate (Ssp), posterior
886	right sulcal plate (Sdp) and posterior sulcal plate (Sp). Scale bars = $10 \mu m$.
887	
888	Fig. 5. Light micrographs of cysts resembling Spiniferites ristingensis from Portugal. (A) An

Ig. 5. Light micrographs of cysts resembling *Spiniferites ristingensis* from Portugal. (A) An empty cyst showing the ovoid body and a low apical boss. (B) Apical-ventral view of an empty cyst showing the apical plates. (C) Ventral view of an empty cyst showing the cingulum displacement (arrows). (D) Dorsal view of an empty cyst showing the archeopyle not reduced (arrows). Scale bars = $10 \mu m$.

Fig. 6. Scanning electron micrographs of *Gonyaulax portimonensis* from Portugal. (A) Ventral view showing cingulum displacement and overhang and a pronounced antapical spine. (B) Dorsal view showing three precingular (3"–5"), and two postcingular (*4"', *5"') plates. (C) Apical view showing six precingular (1"–6"), and two apical (2', 3') plates. (D) Apical view showing the four apical (1'–4') plates, APC and a ventral pore (arrow). (E) Antapical view showing five postcingular (*2"'–*6"') plates, one antapical plate (1"'') and one intercalary

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900 plate (1p). (F) Sulcal plates showing the anterior left sulcal plate (Ssa), anterior right sulcal 901 plate (Sda), posterior left sulcal plate (Ssp), posterior right sulcal plate (Sdp) and posterior 902 sulcal plate (Sp). Scale bars = 5 μ m, except in (D) = 2 μ m.

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904 Fig. 7. Scanning electron micrographs of *Gonvaulax baltica* strain LIMS-PS-3408 from South 905 Korea. (A) Ventral view showing cingulum displacement and overhang. (B) Dorsal view showing three precingular (2"-4"), and two postcingular (*4"', *5"') plates. (C) Apical view 906 907 showing two apical (1', 4') plates, and a ventral pore (arrow). (D) Apical view showing six 908 precingular (1''-6''), and two apical (2', 3') plates. (E) Antapical view showing five postcingular (*2'''-*6''') plates, one antapical plate (1'''') and one intercalary plate (1p). (F) 909 910 Sulcal plates showing the anterior left sulcal plate (Ssa), anterior right sulcal plate (Sda), 911 posterior left sulcal plate (Ssp), and posterior sulcal plate (Sp). Scale bars = $10 \mu m$.

913 Fig. 8. Micrographs of Gonyaulax baltica from New Zealand. (A-F) Scanning electron microscopy. (G-I) Bright-field light microscopy. (A) Ventral view showing cingulum 914 915 displacement and overhang. (B) Apical view showing six precingular (1''-6''), and two apical (2', 3') plates. (C) Apical view showing two apical (1', 4') plates, and a ventral pore 916 (arrow). (D) Dorsal view showing three precingular (2"-4"), and two postcingular (*4"', 917 *5") plates. (E) Internal view showing six cingular plates. (F) Antapical view showing five 918 postcingular (*2'''-*6''') plates, one antapical plate (1'''') and one intercalary plate (1p). (G) 919 920 Mid-focus of a living cyst showing two prominent antapical processes. (H) High focus of a living cyst showing the paracinglum. (I) High focus of a living cyst showing the trumpet 921 shaped process (arrow). Scale bars = $10 \mu m$, except in (C) = $5 \mu m$. 922

Fig. 9. Phylogeny including *Gonyaulax bohaiensis*, *G. portimonensis* and *G. amoyensis* inferred from partial LSU rRNA (D1–D6) gene sequences using maximum likelihood (ML). New sequences are indicated in bold and red. Five families are labeled and marked with vertical solid lines on the right. Two clades (I and II) of Gonyaulacaceae are labeled and marked

with vertical dashed line on the right. Branch lengths are drawn to scale, with the scale bar
indicating the number of nucleotide substitutions per site. Numbers on branches are
statistical support values for clusters to the right (left: ML bootstrap support values; right:
Bayesian posterior probabilities).

Fig. 10. Phylogeny including *Gonyaulax bohaiensis*, *G. portimonensis* and *G. amoyensis* inferred from partial SSU rRNA gene sequences using maximum likelihood (ML). New sequences are indicated in bold and red. Five families are labeled and marked with vertical solid lines on the right. Two clades (I and II) of Gonyaulacaceae are labeled and marked with vertical dashed line on the right. Branch lengths are drawn to scale, with the scale bar indicating the number of nucleotide substitutions per site. Numbers on branches are statistical support values for clusters to the right (left: ML bootstrap support values; right: Bayesian posterior probabilities).

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942 TABLE S1. Confirmed cyst-theca relationship of *Spiniferites* and related species

TABLE S2. Mass transitions of the selected reaction monitoring (SRM) LC-MS/MS experiments
and their respective YTX designations cited in Sala-Pérez et al. (2016). All compounds and entries
refer to original numbering in Miles et al. (2005a, b).

946	TABLE	S3.	Mass	transitions	of	the	multiple	reaction	monitoring	(MRM)	LC-MS/MS
947	experime	ents.									

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Fig. S1. Micrographs of *Gonyaulax bohaiensis* strain TIO726 from Bohai Sea, China. (A–D, F)
Bright-field light microscopy. (E) Epifluorescence. (A) The living cyst yielding strain
TIO726 showing the nuclecus (N). (B) The empty cyst yielding strain TIO726 showing the
operculum. (C) Ventral view of a living cell showing the cingulum displacement and
overhang. (D) Dorsal view of a living cell showing a nucleus (N) and numerous chloroplasts.
(E) Ventral view of a SYBR Green stained cell showing the elongated nucleus (N). (F) The
theca of a living cells showing the ventral pore (arrow). Scale bars = 10 µm.

	956	
	957	Fig. S2. Schematic drawings of Gonyaulax bohaiensis. (A) Ventral view. (B) Dorsal view. (C)
	958	Apical view. (D) Antapical view.
	959	
	960	Fig. S3. Scanning electron micrographs of Gonyaulax bohaiensis strain LIMS-PS-3448 from
	961	Korea. (A) Ventral view showing cingulum displacement and overhang. (B) Dorsal view
	962	showing four precingular (2"-4"), and three postcingular (*3"'-*5"') plates. (C) Apical-
	963	ventral view showing the first and fourth apical plates $(1', 4')$ and a ventral pore (arrow). (D)
	964	Apical view showing six precingular $(1''-5'')$, and two apical $(2', 3')$ plates. (E) Antapical
	965	view showing five postcingular ($*2'''-*6'''$) plates, one antapical plate ($1''''$) and one
	966	intercalary plate (1p). Scale bars = 5 μ m.
	967	
	968	Fig. S4. Light micrographs of Gonyaulax amoyensis strain TIO711 from Xiamen Bay, China. (A)
	969	Ventral view of a living cell showing the cingulum displacement and overhang. (B) Dorsal
	970	view of a living cell showing a nucleus (N) and numerous chloroplasts. (C, D) Ventral view
	971	of SYBR Green stained cells showing the elongated nucleus (N). Scale bars = $10 \mu m$.
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_	973	Fig. S5. Schematic drawings of Gonyaulax amoyensis. (A) Ventral view. (B) Dorsal view. (C)
	974	Apical view. (D) Antapical view.
	975	
	976	Fig. S6. Light micrographs of Gonyaulax portimonensis. (A) Ventral view of an empty theca show
	977	cingulum displacement and overhang. (B, C) High and mid-focus of living cells showing the
	978	chloroplasts and nucleus. (D) Ventral view of an empty theca showing the ventral pore
	979	(arrow).
	980	
	981	Fig. S7. Schematic drawings of Gonyaulax portimonensis. (A) Ventral view. (B) Dorsal view. (C)
	982	Apical view. (D) Antapical view.
	983	

984 Fig. S8 Light micrographs of Gonyaulax baltica strain LIMS-PS-3408 from South Korea. (A) 985 Mid-focus of a living cell showing intermediate shoulders. (B) Dorsal view of a living cell 986 showing numerous chloroplasts. (C) Ventral view of a living cell showing the cingulum 987 displacement and overhang. (D) Dorsal view of a living cell showing an elongated nucleus 988 (N). (E, F) Ventral view of SYBR Green stained cells showing the curved nucleus (N). Scale bars = $10 \mu m$. 989 990 991 992

TABLE 1. Information on *Gonyaulax bohaiensis*, *G. amoyensis*, *G. portimonensis* and *Gonyaulax baltica* isolates used in this study. Species designations, strain identification, collection date, origin, latitude, longitude, available sequences and yessotoxin (YTX). < denotes that no concentrations were detected below this detection limit. NA: not available.

Spacios	Straing	Collection data	Origin		Latituda	Longitudo	Saguanaas	YTX
species	Strains	Confection date			Latitude	Longitude	Sequences	fg \cdot cell ⁻¹
Gonyaulax bohaiensis	TIO724	June 14, 2018	Bohai Sea		39°56.343′ N	119°44.738′ E	-/-/LSU	NA
Gonyaulax bohaiensis	TIO725	June 14, 2018	Bohai Sea		39°56.343′ N	119°44.738' E	-/-/LSU	NA
Gonyaulax bohaiensis	TIO726	June 14, 2018	Bohai Sea		39°56.343′ N	119°44.738' E	SSU/ITS/LSU	<1.34
Gonyaulax bohaiensis	TIO727	June 14, 2018	Bohai Sea		39°56.343′ N	119°44.738′ E	-/ITS/LSU	NA
Gonyaulax bohaiensis	TIO729	June 14, 2018	Bohai Sea		39°56.343′ N	119°44.738' E	SSU/ITS/-	NA
Gonyaulax bohaiensis	TIO730	June 14, 2018	Bohai Sea		39°56.343′ N	119°44.738′ E	-/-/LSU	NA
Gonyaulax bohaiensis	TIO731	June 14, 2018	Bohai Sea		39°56.343′ N	119°44.738' E	-/-/LSU	NA
Gonyaulax bohaiensis	TIO732	June 14, 2018	Bohai Sea		39°56.343′ N	119°44.738' E	SSU/ITS/LSU	0.17
<i>C</i> 1 1 1 · · ·	LIMS-PS-	1 1 1 5 2020	Yeosu,	South	24051 5601 N	107042 02015	SSU/ITS/LSU	NA
Gonyaulax bonaiensis	3448	Jul 15, 2020	Korea		34°51.569' N	12/°43.230° E		
			Xiamen,	East	24025 560121	11000 100/5	SSU/ITS/LSU	NA
Gonyaulax amoyensis	110708	Jan 30, 2018	China Sea		24°35.568' N	118°9.198'E		
			Xiamen,	East	24025 5 (04) I	11000 100/ 5	-/ITS/LSU	NA
Gonyaulax amoyensis	1107/09	Jan 30, 2018	China Sea		24°35.568′ N	118°9.198′ E		

Gonyaulax amoyensis	TIO710	Jan 30, 2018	Xiamen, China Sea	East	24°35.568' N	118°9.198′ E	-/ITS/LSU	NA
Gonyaulax amoyensis	TIO711	Feb 27, 2018	Xiamen, China Sea	East	24°35.568′ N	118°9.198′ E	SSU/ITS/LSU	<0.40
Gonyaulax amoyensis	TIO713	Feb 27, 2018	Xiamen, China Sea	East	24°35.568' N	118°9.198′ E	-/-/LSU	NA
Gonyaulax amoyensis	TIO719	Jan 30, 2018	Xiamen, China Sea	East	24°35.568' N	118°9.198′ E	-/ITS/LSU	NA
Gonyaulax amoyensis	TIO722	Mar 28, 2018	Xiamen, China Sea	East	24°35.568′ N	118°9.198′ E	-/-/LSU	NA
Gonyaulax portimonensis	IFR20-019	Oct. 8, 2019	Portimão, Po	ortugal	37°7.202′ N	-8°31.594′ E	SSU/ITS/LSU	<0.12
Gonyaulax portimonensis	IFR20-018	Oct. 8, 2019	Portimão, Po	ortugal	37°7.202′ N	-8°31.594′ E	SSU/ITS/LSU	< 0.32
Gonyaulax baltica	LIMS-PS- 3408	Feb 12, 2020	Busan, Korea	South	35°3.271′ N	128°52.407′ E	SSU/ITS/LSU	NA
Gonyaulax baltica	LMBE- HJ62	May 26, 2020	Busan, Korea	South	35°3.271′ N	128°52.407′ E	SSU/ITS/LSU	NA
Gonyaulax baltica	LMBE- HJ86	May 25, 2020	Busan, Korea	South	35°9.557' N	129°11.434′ E	SSU/ITS/LSU	NA

Gonyaulax baltica	CAWD374	May 2019	Māori Bay, New Zealand	41°10.214 'S	173°50.218′ E	-/-/LSU	None

TABLE 2. Morphological comparison of fossil Spiniferites cysts and related species.

	S.		S.		S.	S. mirabilis	Impagidinium	Ι.
Species	pseudodelicatus	S. delicatus	ristingensis	S. belerius	membranaceus		variaseptum	caspienense
Cyst length (µm)	28.7–39.6	40–60	41.7–47.7	35–42	34–44	48–60		34.0-39.3
Cyst width (µm)	26.2-35.6	35–54	31.9–38.3	28–37	34–43	44–58		26.8-31.7
Apical boss	low	low	low	low	Clear	Absent	Present	Present
Petaloid processes				An		Absent		Absent
				antapical				
				trumpet				
				shaped				
	Present	Present	Present	process	Absent		Absent	

Membraneous	Low to mid-				Antapical	Antapical	low to mid-	Low
flanges	high	High	Low to high	High	flange	flange	high	
						Consistent	Exclusively	None
	Occasional	Exclusively	Exclusively	Exclusively	Exclusively	intergonal	gonal, minute	
Processes	intergonal	gonal	gonal	gonal	gonal		furcations	
Process						10.4–21.0 µm		1.3–4.3 µ
length/septa height	5.6–12.9 µm	21–29 µm	11.5–14.0 µm	7–15 µm	12–17 μm		2.0–7.6 μm	
Ventral pore	Absent	Absent	Absent	Absent	Absent	Absent	Present	Present
				One to		Three		2.5-2.7
			Three	three		cingular	Two to three	widths
Cingulum	Two-three	Three cingular	cingular	cingular	Two cingular	widths	cingular	
displacement	cingular widths	widths	widths	widths	widths		widths	
						Ruptured or		Finely
						folded with a	Microgranulate	granulate
		Microgranular	small blisters		Microgranular	distinct	with	
Cyst wall		to	and hollow		to	microgranular	parasutural	
ornamentation	Microgranulate	microreticulate	undulations	Smooth	micropunctate	surface	crests	
				Not		Reduced		Not
Archeopyle	Reduced	Reduced	Not reduced	reduced	Reduced		Reduced	reduced
References	Present study	Reid 1974,	Present study	Reid 1974,	Reid 1974,	Reid 1974	Present study	Mertens

\mathbf{O}	Gurdebeke et	Gurdebeke	Gurdebeke et	al. 2018a
	al. 2018	et al. 2018	al. 2018	

				G. baltica	G. baltica	
Species	G. bohaiensis	G. amoyensis	G. portimonensis	ribotype B	ribotype A	G. scrippsae
Cell length (µm)	25.3-45.6	23.8-42.4	30.0-42.9	27.6-44.5	31–37	29-39
Cell width (µm)	21.1-37.0	19.2–29.1	24.4–38.6	23.0-34.9	27–32	27-34
Shoulders	Intermediate	Intermediate	Intermediate	Intermediate	Intermediate	NA
			Pronounced and			Fine, subparallel
Reticulation	Pronounced	Pronounced	dense	Pronounced	Pronounced	lines
Pores	Many	Many	Many	Many	Few-many	Few
Ventral pore	Present	Present	Present	Present	Present	Present
Cingulum						
Reticulation	Striated	Striated	Striated	Striated	Striated	Vertical ribs
Width (µm)	3.0-4.5	2.2–4.0	3.0-4.3	2.5-3.5	3.0-4.0	
Displacement	2.4–2.8	2.0-3.0	1.8–3.0	2.5-3.5	2.5-4.5	2.0-3.0
Overhang	2.0-2.8	1.8–2.5	1.4–2.7	2.0-3.0	2.0-3.3	0.1-1.0
Angle (in	35–45	25–35	25–40	40–45	23–45	NA

TABLE 3. Comparison of motile cells of Gonyaulax bohaiensis, G. amoyensis, G. portimonensis and some related species. NA: not available.

degrees)						
Sulcus						
Widening	No	No	No	No	No	Yes
Sa, anteriorly	Broad	Broad	Broad	Broad	Broad	NA
			Separated,			
4'a plate	Separated, small	Separated, small	intermediate	Separated, small	Separated, small	NA
Apical horn	Short	Short	Short	Short	Short	Short
Apical pore						
complex	Smooth	Smooth	Smooth	Smooth	Smooth	NA
Ridge around						
APC	High	Low	Low	Intermediate	Low, scalloped	NA
			Intermediate, 2–4,			
Antapical spines	Minute, 10–26	Minute, 2–18	one larger right	Minute, 11–18	Small, 0–10	Minute, 0–2
					Ellegaard et al.	
References	Present study	Present study	Present study	Present study	2002	Kofoid 1911

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