



## The $M_w$ 7.5 Tadine (Maré, Loyalty Is.) earthquake and related tsunami of December 5, 2018: implications for tsunami hazard assessment in New Caledonia.

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15 **Abstract.** On the 5<sup>th</sup> of December 2018, a magnitude  $M_w$  7.5 earthquake occurred southeast of Maré, an island of  
the Loyalty Archipelago, New Caledonia. This earthquake is located at the junction between the plunging  
Loyalty ridge and the southernmost Vanuatu arc, in a tectonically very active area regularly subjected to strong  
seismic crises and events higher than magnitude 7 and up to 8. Widely felt in New Caledonia it has been  
immediately followed by a tsunami warning, confirmed shortly after by a first wave arrival at the Loyalty Islands  
20 tide gauges (Maré and Lifou), then along the east coast of Grande Terre of New Caledonia and in several islands  
of the Vanuatu Archipelago. Seafloor initial deformation linked to tsunami generation has been modeled with  
MOST numerical code using earthquake parameters available from seismic observatories. Then the wave  
propagation has been modeled using SCHISM, another modelling code solving the shallow water equations on  
an unstructured grid based on a new regional DEM of ~180 m resolution and allowing refinement in many  
25 critical areas. Finally, the results have been compared to tide gauge records, field observations and testimonials  
from 2018. The arrival times, wave amplitude and polarities present good similarities, especially in far-field  
locations (Hienghène, Port-Vila and Poindimié). Maximum wave heights and energy maps for two different  
scenarios highlight the fact that the orientation of the source (strike of the rupture) played an important role,  
focusing the maximum energy path of the tsunami south of Grande-Terre and the Isle of Pines. However, both  
30 scenarios indicate similar propagation toward Aneityum, Vanuatu southernmost island, the bathymetry acting  
like a waveguide. This study has a significant implication in tsunami hazard mitigation in New Caledonia as it  
helps to validate the modelling code and process used to prepare a scenarios database for warning and coastal  
evacuation.



## 35 1 General settings

### 1.1 Tectonic context

The December 5, 2018,  $M_w$  7.5 earthquake is located southeast of Maré (Loyalty Islands, New Caledonia), immediately west of the southern New Hebrides/Vanuatu trench in the junction area between the Loyalty Ridge and the New Hebrides/Vanuatu arc (Figure 1). The Vanuatu trench and arc mark a segment of the convergence zone between the two major plates of the Southwest Pacific region (Australia and Pacific plates).

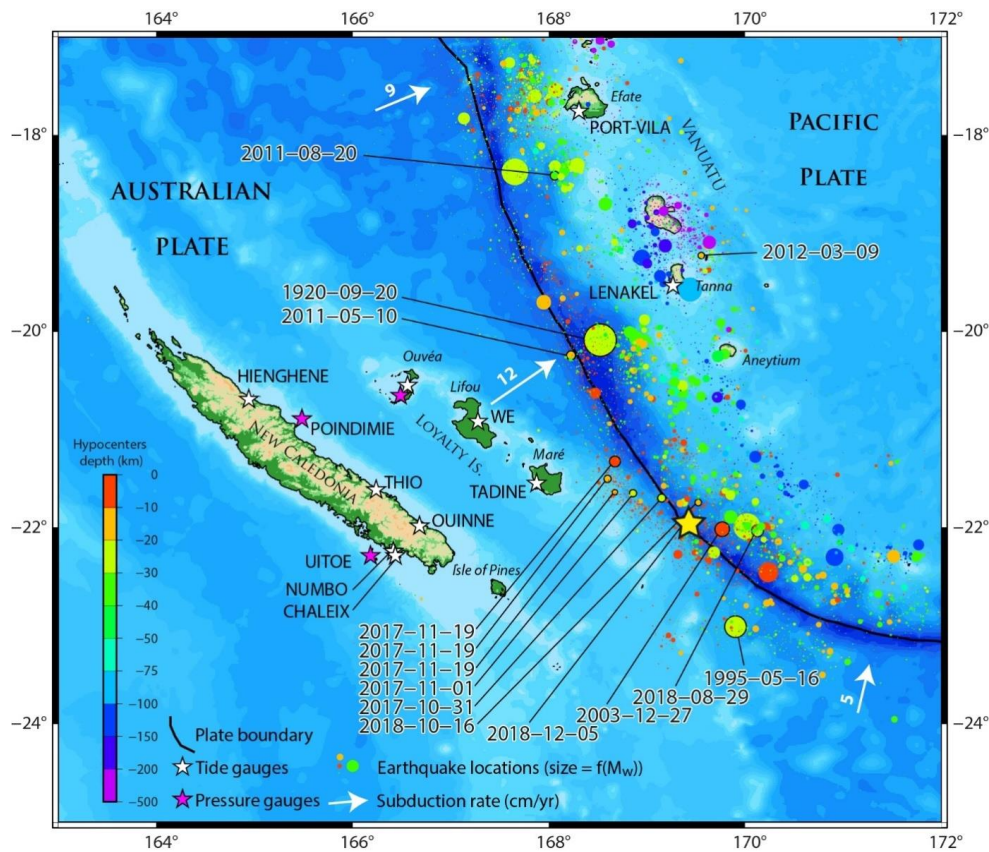


Figure 1: The New Caledonia/South Vanuatu Subduction zone. The colored dots represent the seismicity from the USGS database for the period January 1, 1900 to January 24, 2019, with size of dots proportional to event's magnitude. Tsunamigenic earthquakes having been recorded in New Caledonia (Roger et al., 2019b) are highlighted with dates. The white arrows symbolize the subduction directions and rates of the subducting Australian Plate under the Pacific plate. Tide and pressure gages able to record tsunami waves are respectively symbolized with white and purple stars. The yellow star locates the December 5, 2018 earthquake's epicenter.

The junction area around 22°S is very active tectonically (Monzier et al., 1984). The plunging Loyalty Ridge supported by the Australia Plate enters and partially clogs the trench. Considering the geometry of the Loyalty Ridge, the strike of the trench and the current orientation and rate of convergence (12 cm/y), the subduction/collision of the ridge tends to increase and would have started around 0.3 Ma (Monzier et al., 1990).



The data obtained by multibeam mapping and submersible diving (Daniel et al., 1986; Monzier et al., 1989 and 1990) at the junction zone (21.5°S and 22.2°S) indicate: 1) a spectacular collapse of the ridge as it approaches the trench (reef limestones affected by normal faulting are at 4,300 m deep), 2) a migration of the deformation front on the outer wall of the trench with the unusual presence of folds, 3) a narrowing and an eastward retreat of the trench by around 20 km relatively to its supposed initial position, 4) an uplift of the inner wall and 5) the development of E-W trending scarps suggesting left-lateral motion. The rapid variation of the convergence vector and the presence of numerous left-lateral strike-slip faulting earthquakes around 22°S, at the front of the junction zone and along or at the rear of the Matthew-Hunter arc segment, also suggest that the subduction/collision of the Loyalty Ridge causes the development of a new left-lateral plate boundary through the overlapping plate, connecting the trench to the spreading center of the North Fiji basin and thus isolating a microplate (the Matthew-Hunter microplate) at the southern end of the arc, strongly coupled to the Australian plate (Louat and Pelletier, 1989). The rate of motion on this transform fault zone was estimated by these authors at 10.5 cm/year. However, its precise geometry and location are not known, and several variants have been proposed (Louat and Pelletier, 1989; Maillet et al., 1989; Monzier, 1993; Patriat et al., 2015). As these authors have partially indicated, it is likely that this senestral shear zone is complex and that a bookshelf tectonic occurs at the southernmost part of the Vanuatu trench (21°S-23°S), by associating with the main senestral motion, dextral and extensive movements along NW-SE trending faults and pull-apart basins.

Series of GPS geodetic measurements on the Loyalty Ridge (Walpole, Mare, Lifou) and the Vanuatu arc (Matthew, Hunter, Aneityum, Tanna) sites from 1992 to 2000 have confirmed the presence of the left-lateral transform fault zone (Pelletier et al., 1998; Calmant et al., 2003). The data indicate that the convergence rate (Australia fixed) of 120 mm/year at N248° north of the ridge-arc junction (Tanna, Aneityum) is partitioned toward the south into a convergence rate of 50 mm/year perpendicular (N197°) to the trench (Matthew) and a senestral movement of 90 mm/year along an E-W trending transform zone, crosscutting the arc around 22°S and thus isolating the Matthew-Hunter microplate at the southern end of the arc (Calmant et al., 2003). In addition, GPS derived vectors of the New Caledonia sites are in good agreement with the movement of the Australian plate, suggesting therefore no significant intra-plate deformation between islands of the New Caledonian Archipelago. The termination of the southern Vanuatu back arc basins north of the junction zone, the increase in seismic activity and the shift towards the trench of the seismogenic zone in front of the junction zone, the short length of the Wadati-Benioff plane south of Aneityum (less than 200 km), the weak development of the volcanic arc at the front of the junction zone, the particular chemistry of the volcanism of the termination of the arc south of the ridge-arc junction (calco-alkaline magnesian and boninitic series) as well as the offset of the central spreading axis in the North Fiji basin have also been linked to the subduction/collision of the Loyalty Ridge (Monzier et al., 1984, 1990; Louat and Pelletier, 1989; Maillet et al., 1989; Monzier, 1993).

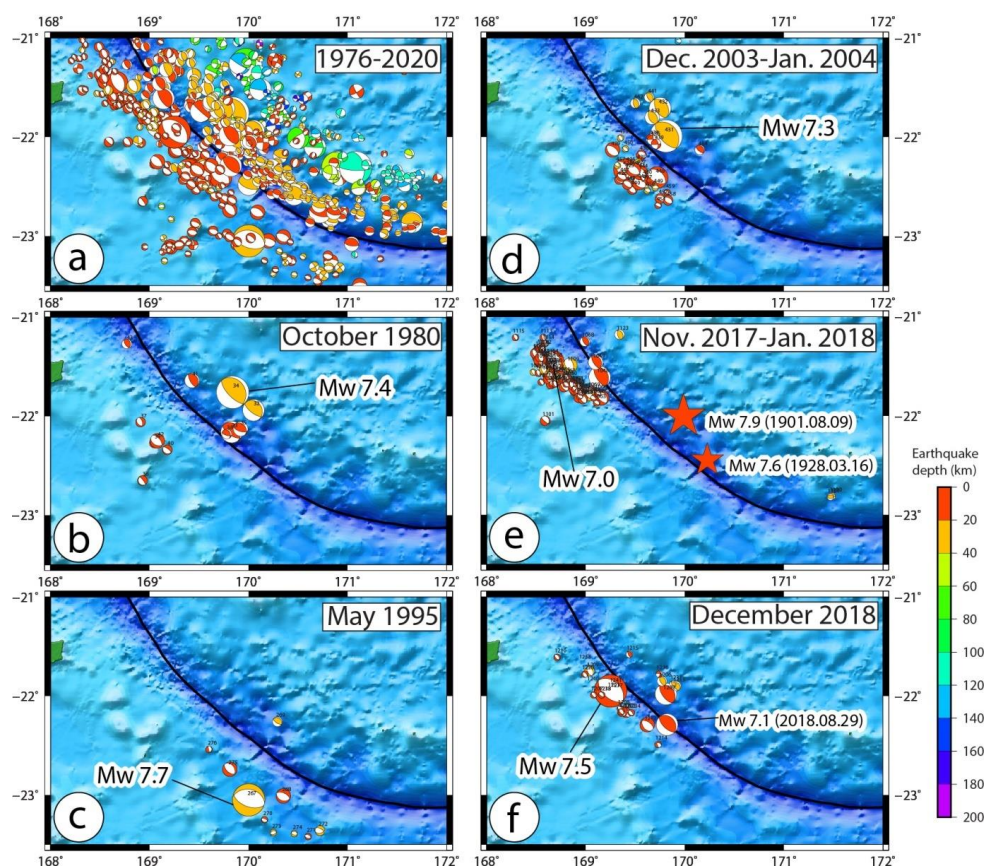
## 85 1.2 Seismicity at the Loyalty Ridge-Vanuatu Arc junction

The Loyalty island region and especially the Loyalty Ridge-Vanuatu Arc junction area around 22°S, 169.5°E is very active seismically. Nine large shallow earthquakes with magnitude equal or greater than seven occurred in this junction area since 1900. The largest was a M7.9 in August 9, 1901, located at 22°S, 170°E. A M7.6 earthquake occurred in March 16, 1928 at 170.24°E, 22.45°S. The seven others occurred during seismic crises in the last 40 years: a M<sub>w</sub>7.4 in October 25, 1980; a M<sub>w</sub>7.7 in May 16, 1995; a M<sub>w</sub>7.3 in December 27, 2003; a



$M_w$ 7.1 in January 03, 2004; a  $M_w$ 7.0 in November 29, 2017; a  $M_w$ 7.1 in August 29, 2018 and a  $M_w$ 7.5 in December 15, 2018. Among these seven  $M7+$  events, four of them have occurred to the west of the trench, as the result of shallow normal faulting within the Australia downgoing plate, including the two largest 7.7 and 7.5 events at a worldwide scale.

95 All earthquakes occurring during the crises and the period 1976-2020 and having a focal mechanism solution (CMTS) have been plotted on Figure 2a.



**Figure 2: Focal mechanism solutions from CGMT project plotted for the period 1976-2020 with focus on 5 different seismic crises at the Loyalty Ridge-Vanuatu Arc subduction zone.**

100 In October 1980 more than 100 events have been recorded by the worldwide network (Vidale and Kanamori, 1983). The sequence includes twelve  $M5.4+$  events (Figure 2b). Six of them are thrust faulting earthquakes east of the plate boundary (among the two  $M6.5+$  foreshocks and the  $M7.4$  main shock) and five of them are normal faulting earthquakes in the downgoing plate west of the trench. Active sequence began by the three main thrust fault events and followed by the alternance of normal and thrust fault events.

105 During the May 1995 seismic crisis 13 events with magnitude greater than 5 were located around  $23^{\circ}\text{S}$ ,  $170^{\circ}\text{E}$  (Figure 2c). Most of them are normal faulting type southwest of the trench including the  $M_w$  7.7 main shock, 125 km to the southeast of the December 2018 event. This  $M_w$  7.7 event is the largest normal faulting earthquake known in the World in a plunging plate on the trench outer slope (Rouland et al., 1995). In detail, this earthquake





110 and its associated aftershocks are located at the foot of the Loyalty Ridge in the adjacent South Fiji Basin. These normal type events affecting the crust of the South Fiji Basin (from 169.75°E to 171°E) are further far from the axis of the trench relatively to the normal faulting events of the December 2003 and 2018 sequences which are on the Loyalty Ridge (169.5°E). This difference could be explained by a different rheological behavior (more buoyancy of the ridge).

115 Between December 25, 2003 and January 5, 2004, a shallow seismic swarm very similar to the one of 1980 occurred (same zone, same magnitude and same spatial organization of fault types; Figure 2d) (Régnier et al., 2004). More than 1000 events were recorded by the local IRD seismic network, among which about 270 by the worldwide network including 37 events with magnitude greater than 4.9, 12 with magnitude equal or greater than 6 and two greater than 7. The sequence started with normal faulting events with magnitude up to 6.8 west of the trench, continued by several interplate thrust faulting events including the large  $M_w7.3$  event on December 27  
120 and located immediately to the east of the trench, and terminated by normal faulting events including a large  $M_w7.1$  event on January 3 located again southwest of the trench.

An important seismic crisis occurred from November 2017 to January 2018 with several thousands of events located about 70km-100 km northwest of the December 2018 swarm (Figure 2e). Among them, 350  $M4+$  events have been recorded and most of the 80  $M4.7+$  events are normal faulting earthquakes located west of the trench  
125 along the northern edge of the Loyalty Ridge. However, in detail, the sequence began by a  $M_w6.7$  and then a  $M_w5.9$  thrust faulting earthquakes on October 31, 2017 and continued by numerous normal faulting foreshocks and the  $M_w7.0$  normal faulting main shock on November 19, 2017.

The December 5, 2018  $M_w7.5$  earthquake can be considered as part of a seismic crisis that began on August 29, 2018 with a  $M_w7.1$  interplate thrust faulting earthquake located southeastward (Figure 2f). The  $M_w7.5$  normal  
130 faulting main event located west of the trench was preceded 4 min. before by a  $M_w6.3$  event (magnitude estimated as 5.8 by the local ORSNET network) and more interestingly was followed 2h25 later by a  $M_w6.8$  interplate thrust faulting east to the trench. During December 2018, about 89, 49 and 18 aftershocks of  $M4+$ ,  $M4.5$  and  $M5+$  respectively have been recorded by the local network.

It appears clearly that the successive seismic crises are quite similar and included both interplate thrust fault type  
135 earthquakes northeast of the trench and normal fault type events southwest of the trench in the plunging plate (Figure 2). The strong spatiotemporal pattern between these two types of events suggests that static stress interactions may account for triggering non-distant earthquake, normal faulting on the plunging plate triggering interplate thrust faulting or the reverse.

## 2 The December 5, 2018 earthquake and tsunami

### 140 2.1 Earthquake crisis

At 04:18:08 UTC (15:18:08 local time in New Caledonia) on December 5, 2018, a major earthquake (around  $M_w7.5$ ) occurred 165 km east-south-east of Tadine, Maré, the southernmost inhabited island of the Loyalty Archipelago. Being strongly felt in New Caledonia (Loyalty Islands and the Grande Terre) as far as Nouméa, more than 300 km west from the source (Roger et al., 2019a, 2019b, 2019c), it has been also weakly felt in Port-Vila, capital of Vanuatu, about 470 km to the North according to a CBS News interview of Mr. McGarry, media  
145 director at the Vanuatu Daily Post. There is no report of damage linked to the earthquake.



Within minutes, its location and magnitude were determined by the Seismological Observatory of New Caledonia (<http://www.seisme.nc>, <https://bit.ly/2IMkmgM>) [ $M_w$ 7.6, 22.01°S, 169.33°E, 30 km], by USGS [ $M_w$ 7.5, 21.968°S, 169.446°E, 10 km] and by the Global CMT project (Dziewonski et al., 1981; Ekström et al., 2012) as a quick CMTS [ $M_w$ 7.5, 21.95°S, 169.25°E]. Maximum distance between these locations is ~15 km, in agreement with the acceptable location errors between the different observatories. The current location of the event is now 21.950°S, 169.427°E, 10 km, 21.95°S, 169.25°E, 17.8 km and 21.969°S, 169.446°E, 12km by USGS, GCMT, and GEOSCOPE respectively.

The seismic moment  $M_0$  of this event has been evaluated to 2.49 x 1020 N.m ( $M_w$ 7.53) by USGS, 2.52 x 1020 N.m by GCMT project, and 2.95 x 1020 N.m ( $M_w$ 7.58) by the SCARDEC method (GEOSCOPE-IPGP).

The location of the event and the different solutions of its focal mechanism solution indicate that the earthquake is the result of shallow normal faulting along a fault plane trending NW-SE within the plunging Australia Plate on the northern border of the Loyalty Ridge. The proposed parameters for the rupture (strike, dip, rake) are [298°, 43°, -111°], [312°, 36°, -90°] and [297°, 55°, -108°] for USGS, GCMT and GEOSCOPE (SCARDEC) respectively.

Data indicate rupture duration of about 50 s and 3 patches of displacement during the rupture. USGS proposes a fault model (strike 298°, dip 43°) of 160 km x 30 km with a slip ranging up to 3 m mainly distributed in the 10 km upper part of the fault plane (hypocenter being at 12 km) and a maximum displacement patch at an along strike distance around 40 km northward of the hypocenter (<https://earthquake.usgs.gov/earthquakes/eventpage/us1000i2gt/finite-fault>).

## 2.2 Tsunami

This earthquake is added to the two local earthquakes reported by the past in the south Vanuatu Subduction zone that triggered major tsunamis in the Loyalty Islands in March 28, 1875 and September 20, 1920 (Sahal et al., 2010) with estimated magnitude of 8.1-8.2 and 7.5-7.8 respectively (Ioualalen et al., 2017), and to the  $M_w$ 7.7 May 17, 1995 event which occurred close and south to the December 5, 2018 event showing a similar focal mechanism (normal faulting in the plunging plate) as explained hereabove. This event of 1995 was followed by a tsunami that was well observed at the entrance of the first lagoon and on Erakor Island in Port Vila, located south of Efate, Vanuatu (Lardy, 1995).

Considering the strong magnitude of this shallow earthquake, a tsunami alert was released locally by the IRD seismological laboratory to the New Caledonia civil security service (DSCGR) and regionally by the NOAA/PTWC soon after the earthquake occurred. A tsunami was confirmed by real-time tide gauges measurements within minutes at first in the Loyalty Islands, 45 minutes before high tide in Tadine (high tide at 4:30 PM local time and tsunami arrival recorded at 3:43 PM local time) and about one or two minutes after high tide in Hienghène (high tide at 4:25 PM local time and tsunami arrival recorded at 4:26-4:27 PM local time).

### 2.2.1 Tide gauge records

Tide gauge records used in this study come directly from the pressure sensors (Maré, Ouinné, Thio, Hienghène), from the SHOM Refmar database (Lifou ; <http://refmar.shom.fr/en/lifou>), from the IOC Sea Level Station Monitoring Network (Lénakel and Port-Vila ; <http://www.ioc-sealevelmonitoring.org/>) and the ReefTEMPS project (Poindimié ; Varillon et al., 2018). They are visible on Figure 8.



185 The tide gauge of Maré Island, located in Tadine's Harbor on the southwest coast of this island, was the first to  
record the tsunami signal at 4:43 UTC (3:43 PM local time – UTC+11), 25 minutes after the shock (Figure 8).  
Then, the wave train reached the other tide gauges located in New Caledonia (4:43 UTC in Wé, Lifou Is.; 5:11  
190 UTC in Ouinné; 5:10 UTC in Thio; 5:27 UTC in Hienghène) as well as several pressure gauges like in  
Poindimié, east coast of New Caledonia. According to Roger et al. (2019b) for what concerns New Caledonia  
only, a maximum tsunami height of ~60-70 cm was recorded by Ouinné tide gauge.  
In the Vanuatu, it reached Tanna Island first (4:41 UTC in Lenakel) where it has been recorded by the tide gauge  
located at Lenakel harbor showing a maximum height of ~1.5 m (amplitude of ~75 cm a.s.l.). In Efate (5:06  
195 UTC in Port-Vila), the tsunami has been also recorded on the tide gauge located at Port Vila where it reached a  
maximum height of ~50 cm (maximum amplitude of ~25 cm a.s.l.).  
Afterwards, it has been also recorded by tide gauges in other locations of the southwestern Pacific region, as far  
as Port Kembla, Australia, about 2200 km away from the source, North Cape, New Zealand, or Pago Pago in the  
American Samoa's. Except in New Caledonia and Vanuatu, it never reached more than 30 cm high. Figure 3  
locates the different tide gauges that were able to record the tsunami within the southwestern Pacific Region and  
illustrates the recorded maximum wave height (ITIC communication from Stuart Weinstein, 2018).

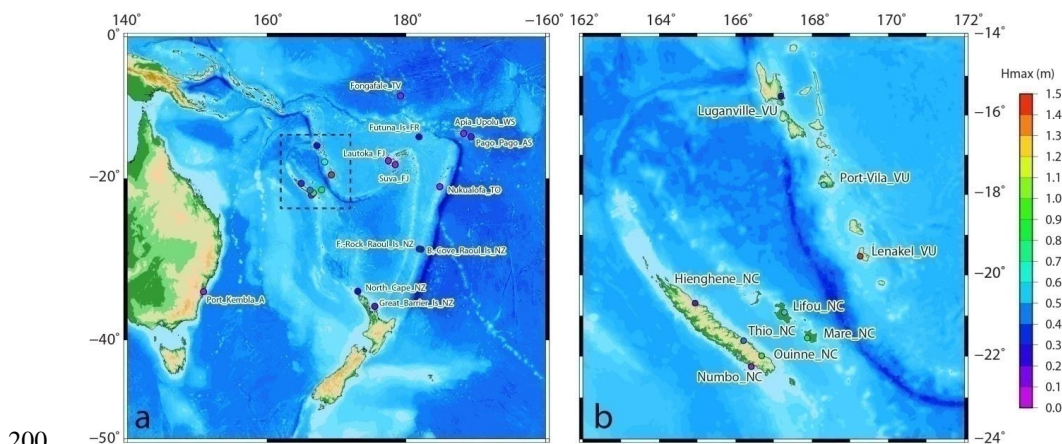


Figure 3: Tsunami maximum wave height recorded on each tide gauge of the southwestern Pacific region.

### 2.2.2 Eye-witnesses' observations

In the aftermath of this event, two videos have been collected for two different locations: Yaté (Figure 4a),  
southeast coast of Grande Terre and the Méridien Resort, Isle of Pines, southernmost island of New Caledonia  
205 (Figure 4b). The first video from Yaté, circulating on social networks the day of the event, is very informative. It  
shows the arrival of the tsunami over the fringing reef shelf exposed out of the water by a first important  
withdrawal of the sea between ~100 and 200 m ; note that the sea was reaching nearly high tide at the moment of  
the tsunami arrival with a predicted maximum water level of 1.55 m at 4:31 PM local time at Ouinné, the nearby  
tide gauge, corresponding to a water depth of ~1.2-1.3 m over the reef shelf in Yaté. Two quantitative  
210 information come from the video analysis. The first one is an estimate of the tsunami speed from ~ 5 to 10 m.s-



1(18 to 36 km.h<sup>-1</sup>). The second one is the maximum tsunami height of ~2.3 m reached in ~20 s (after the withdrawal), derived using one isolated mangrove tree exploited as a flood scale afterwards (Figure 4E).

The second video and additional pictures have been provided courtesy of M. Bretault (Technical Director of Méridien Resort of the Isle of Pines). The video shows the tsunami travelling into the shallow channel that encircles the resort complex and its surrounding. (Figure 4B1) With the help of aerial orthophotos (Government of New Caledonia, tile n°55-17-IV, <https://georep-dtsi-rgt.opendata.arcgis.com/pages/orthophotographies>), one can derive the tsunami speed in the channel of around 5 m.s<sup>-1</sup> (18 km.h<sup>-1</sup>). The pictures have been taken after the tsunami and reveal the damages on several bungalows and around the swimming pool, and show the run-up extent of the waves (Figure 4B2).

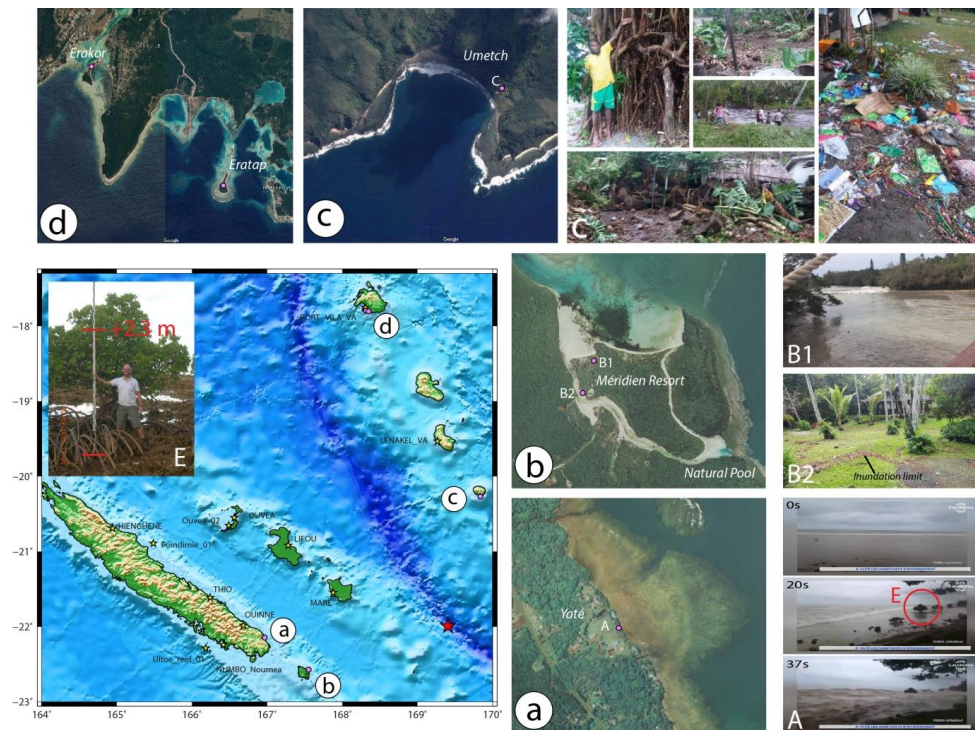
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220 In Vanuatu, the tsunami has been reported in several places from Aneityum Island in the south, to Tanna, and Efate Islands. It reached Aneityum first, where the impact has probably been the worse in the whole concerned region by this tsunami, especially in Umetch area where it washed literally the village and plantations with waves reaching ~4 m (Tari and Siba, 2019) and penetrating more than 200 m inland (Vanuatu Daily Post, December 6, 2018) as shown on Figure 4c, leaving people homeless. It has also badly damaged Mystery Island

225 and its airport on the southwest of Aneityum, a major source of incomes for the island. Other places like Anelghowhat have also experienced the tsunami but without important damages as reported in the Vanuatu Daily Post (December 8, 2018). Then it reached Tanna where it has been recorded by Lenakel tide gauge as reported hereabove but it has also been reported by the manager of Ipikel, a village on the southeastern coast of the island, as having reached the first houses without any damages, about 80 m from the shoreline and ~1.5 m high (Isaac, manager of Ipikel, pers. comm., 2019). In Efate, witnesses reported a small inundation on Erakor Island, south of

230 Port Vila (Figure 4d).





**Figure 4: Observations of the tsunami arrival and height in several places in New Caledonia and Vanuatu. a: Yaté; b: Pine Island-Meridien Resort; c: south Aneityum, d: south of Port Vila, south Efate. (Photos credit: a, b: © Geopé New Caledonia 2021; c, d: © Google Earth 2021 - CNES/Airbus; A: Caledonia TV; B1 & B2: Moana Bretault; C: Vanuatu Meteorology and Geohazards Department; E: authors).**

### 3 Tsunami modelling

Numerical models are commonly used to assess the tsunami hazard. In this section, a suite of models used to simulate bottom deformation, tsunami generation and propagation and their settings are presented, including details about the Digital Elevation Models (DEM) used in computational grid generation. Tsunami modelling sensitivity to detail the rupture model is presented and finally tsunami simulation results are compared to observations.

#### 3.1 Input data

##### 3.1.1 Bathymetric grids

It is well known that tsunami's behavior is dependent upon the bathymetric features and the coastal geometries (e.g., Matsuyama, 1999; Hentry et al., 2010; Yoon et al., 2014). When it approaches coastlines or seamounts, the wave shoaling leads to the rising-up of the amplitude and slows down the tsunami as the water depth reduces. It is even worse when the tsunami enters harbors, bays, lagoons or fjords able to produce resonance, a phenomenon

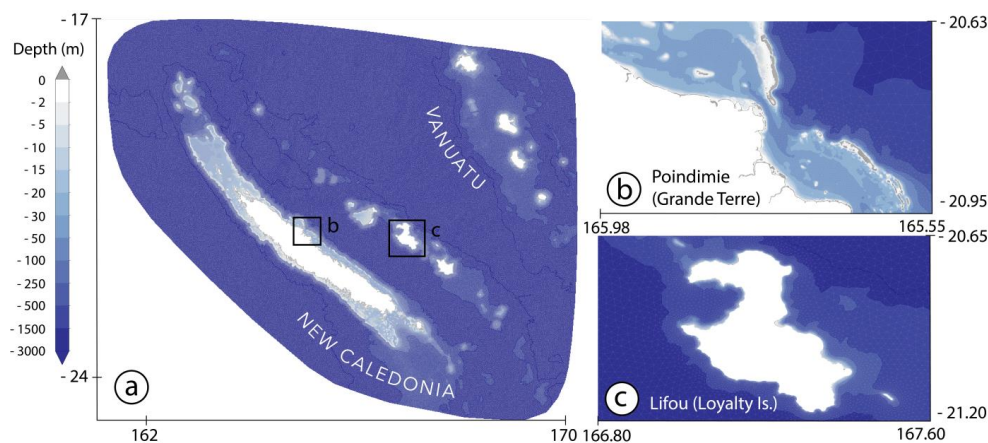


250 particularly well studied during the two last decades (e.g., Barua et al., 2006; Rabinovich, 2009; Roger et al.,  
2010; Roeber et al., 2010; Bellotti et al., 2012; Vela et al., 2014; Aranguiz et al., 2019). It is also possible that a  
resonant behavior occurs between neighboring islands like it happened in Hawaii during the 2006 Kuril tsunami  
(Munger and Cheung, 2008).

For these reasons, it is necessary to model tsunami propagation on bathymetric grids keeping the most relevant  
details. There are two main traditional downscaling strategies in wave and tsunami modelling. One uses a  
255 sequence of nested structured-grid models; the other relies on a single unstructured-grid model. Both techniques  
aim at obtaining high-resolution wave fields in shallow area and provide similar results (Harig et al., 2008 ;  
Pallares et al., 2016), even if several studies have highlighted that the use of only one unstructured mesh grid for  
tsunami modelling provides better reproduction of tsunami observations and records in comparison to nested  
grids scheme use (e.g. Harig et al., 2008; Shigihara and Fujima, 2012). When considering the presence of many  
260 archipelagos forming the Melanesian volcanic arc (Solomon Islands and Vanuatu, Figure 3) and peculiar details  
along the New-Caledonia's coastline (Figures 4), the unstructured grid method provides multiple advantages.  
This technique allows more flexibility in mesh design and can capture more coastline details than regular meshes  
at the same computational cost.

In this study, bathymetric grids have been built using: 1) Smith and Sandwell (1997) v. 8.2 dataset, 2) an  
265 extended ~180 m resolution DEM covering the whole economic zone of New Caledonia and Vanuatu produced  
especially for the assessment of tsunami hazard in New Caledonia and 3) 10 m resolution data on harbors where  
tide gauges and/or witnesses' observations are located. These latest data are coming from digitized nautical  
charts, aerial pictures interpretation and multibeam bathymetric surveys. The first grid consists of a 7 km  
resolution regular grid covering the source area and it is mainly used to model the bottom deformation using the  
270 Okada's fault plane model (Okada, 1985). The second one is an unstructured mesh forming a triangular irregular  
network (TIN) DEM (Figure 5a, b and c) with varying mesh size (from 5 m along the coastline to 2150 m in the  
deep ocean, with a median value of 70 m, corresponding to the target size for grid resolution along the coastline)  
and is used for calculation of tsunami generation, propagation and interaction with the shallow water features.

The TIN DEM generation has been made with Shingle 2.0 (Candy and Pietrzak, 2018), an automatic grid  
275 generation algorithm. A variable mesh size function is designed to capture the evolution of the tsunami wave  
with a spatial discretization of 30 points per wavelength. Along the coastline or places with shallow features and  
gauge stations, additional mesh refinement rules are imposed in the mesh size function. Figure 5b and c show the  
increase of spatial resolution when approaching the barrier reef and the coastline.



280 **Figure 5: Triangular irregular network (TIN) DEM including New Caledonia and South Vanuatu Islands.**

### 3.1.2 Earthquake parameters

Most of tsunami modelling codes are using Okada (1985)'s surface deformation expressions related to an earthquake rupture. The calculation of this deformation is directly linked to crucial parameters like the depth of the hypocenter and the movement on the fault plane.

285 Several locations of the hypocenter as well as magnitudes and focal mechanism solutions for the December 5, 2018 earthquake have been proposed by the different observatories (USGS, GCMT, IGP/SCARDEC). However, there are quite similar: a  $M_w$  7.5 to 7.6 normal fault-type event along the northern border of the Loyalty ridge entering the subduction zone. Considering the geological and tectonic context and the effects of the tsunami along the shores of New Caledonia, the parameters the authors have decided to use for this study are issued from the GCMT catalog: latitude  $-21.95^\circ\text{S}$ , longitude  $169.25^\circ\text{E}$ , depth 17 km, strike of the ruptured fault plane  $312^\circ$ , dip  $36^\circ$  and rake  $-90^\circ$ .

290 Taking a rupture length  $L$  of 80 km, a rupture width  $W$  of 30 km, (a surface  $A$  of  $2400\text{ km}^2$ ), a  $M_o$  of  $2.52\text{ e}+20$  N-m and a rigidity (or shear) modulus  $\mu$  of  $3 \times 10^{11}$  dyne  $\text{cm}^{-2}$ , the relationship  $s = \frac{M_o}{\mu A}$  gives the coseismic slip on the fault plane  $s = 3.5$  m. A uniform slip distribution along the fault plane is considered in the modelling exercise.

### 3.2 Numerical modelling strategy

#### 3.2.1. Seafloor deformation calculation

300 The seafloor deformation is derived using the Okada (1985)'s fault plane model implemented in the bottom deformation module of MOST (Method Of Splitting Tsunami, Titov and Synolakis 1995, 1996, 1997). Different fault-plane parameters are tested with this module onto the 7 km computational grid to provide Okada's static solutions noted b0 hereafter. Then, these bottom motion solutions are added to the TIN DEM for further tsunami simulations.



### 3.2.2. Tsunami generation and propagation modelling

Tsunami waves generated by the moving seafloor and their propagation are computed using the Semi-implicit  
305 Cross-scale Hydrosience Integrated System Model (SCHISM), an unstructured ocean model developed by the  
Virginia Institute of Marine Science (Zhang et al. 2015, 2016a) based on the former 3D ocean model SELFE  
from Zhang and Baptista (2008). It is an open-source community-supported ocean model heavily tested and  
under continuous improvement in laboratories worldwide, oriented towards a handful of different modelling  
domains using specific modules like wind-wave modelling (e.g. Roland et al., 2012; Hsiao et al., 2020),  
310 sediment transport modelling (e.g. Pinto et al., 2012; Lopez and Baptista, 2017) or tsunami modelling (e.g.  
Zhang et al., 2016b; Priest and Allan, 2019). Modelling of tsunami propagation and coastal interaction is  
performed through unstructured grids like TIN. Inundation could also be calculated but the authors have decided  
not to do it due to the bad quality of topographic data. According to Horrillo et al. (2015), SCHISM has passed  
successfully the United States of America NTHMP (National Tsunami Hazard Mitigation Program) benchmarks  
315 from the OAR-PMEL-135 standard providing a list of problems like the famous 1993 Okushiri tsunami exercise  
(<https://nctr.pmel.noaa.gov/benchmark/index.html>).

SCHISM is capable of solving the 3-D Reynolds-Averaged Navier-Stokes (RANS) equations. It uses a semi-  
implicit Galerkin finite-element and finite-volume method on unstructured grids (Zhang and Baptista, 2008;  
Zhang et al., 2016a, 2016b) with time stepping with no CFL (Courant-Friedrich-Lewy) stability/convergence  
320 condition. This way, large time steps could be applied even with high resolution meshes. In this study, SCHISM  
is used in barotropic mode with hydrostatic assumption and only one layer. In 2-D mode, RANS equations are  
depth-integrated, and the circulation is described using Non-linear Shallow-water Wave equations (NSW), a  
simplification widely used to model tsunamis. Neglecting wind stress, earth tidal potential and atmospheric  
pressure forces, the NSW equations used in SCHISM 2-D at point (x,y) with depth h below the geoid are :

325 Continuity equation:  $\frac{\partial(\eta-b)}{\partial t} + \nabla \cdot (uH) = 0$

Momentum equation:  $\frac{\partial u}{\partial t} + (u \cdot \nabla)u = f(v, -u) - g\nabla\eta - f_{hd} - \frac{\tau_b}{H}$

Here,  $t$  is time,  $u(x,y,t)$  the depth averaged horizontal velocity with components  $(u,v)$ ,  $\eta$  the sea surface elevation  
above the geoid,  $b$  the seabed displacement (positive for uplift),  $H$  the total water depth ( $H=\eta-b+h$ ),  $f$  the  
Coriolis factor,  $g$  the gravity acceleration,  $f_{hd}$  the horizontal eddy viscosity (set to  $10^{-4} \text{ m}^2 \cdot \text{s}^{-1}$ ) and  $\tau_b$  the bottom  
330 drag following a quadratic form:

$$\tau_b = g \frac{M_n^2}{H^{1/3}} \|u\|u$$

where  $M_n$  is Manning's roughness coefficient set spatially uniform with a value of  $0.025 \text{ s} \cdot \text{m}^{-1/3}$ . All tsunami  
simulations were performed assuming that prevailing tide was static (no flow) and equal to high water (+1.6m).  
To limit undesirable wave reflection, a Flather radiation condition (Flather, 1987) is applied along the open  
335 boundaries with specified outer values  $0 \text{ m} \cdot \text{s}^{-1}$  and 1.6 m for  $U$  and  $\eta$  respectively.



In a first step, SCHISM is used to generate the sea-surface initial deformation and flow dynamics in response to the bottom motion. The dynamic displacement of the seafloor can be described in SCHISM by adding a time dependent seafloor displacement term  $b$  incorporated in NSW governing equations. This is done by multiplying Okada's static solution  $b_0$  by a uniform rate function of the rising time. In agreement with seismic records, we used 50 s for the rising time and ran SCHISM with a time stepping  $dt = 1$  s. During the rising time, the seafloor anomaly  $b_0$  is progressively injected to give the initial condition for the free surface and horizontal momentum conditions. Then, to simulate tsunami propagation, the model runs with  $dt = 30$  s for a duration of 3 hours. It is worth noting that using the default value of 10 s for the rising time, like done by many authors, give marginal effects on results.

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345 To detect changes due to fault parameters, total wave energy ( $E$ , unit  $\text{j}\cdot\text{m}^{-2}$ ) is added in SCHISM outputs, as the sum of two components, kinetic energy (first term) and gravitational potential energy (second term):

$$E = \frac{1}{2}\rho H U^2 + \frac{1}{2}\rho g \eta^2$$

It is again important to underline that the sea-level has been set to a high tide value of 1.6 m, which corresponds to the situation when the tsunami reached New Caledonia on December 5, 2018.

### 350 3.3 Simulation results

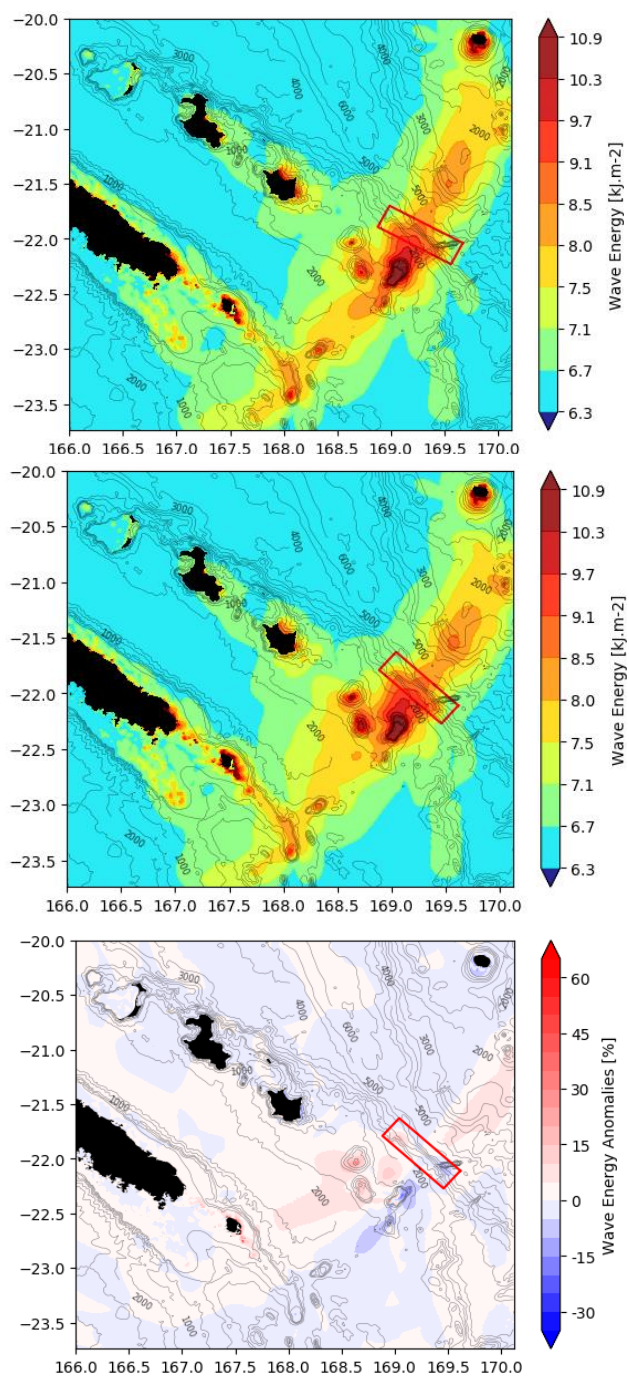
Figure 6 presents the maximum wave energy map obtained after 3 hours of tsunami propagation over the TIN DEM. It highlights the important role played by the strike angle of the fault plane. This parameter should absolutely be chosen accurately in good agreement with the geology. A  $298^\circ$  (USGS) and a  $312^\circ$  (CGMT) strike will lead to a different behavior of the tsunami, focusing its main energy path generally perpendicularly to the strike of the fault plane with respect to the slip angle (=rake) (Okal, 1988). But if the waves encounter submarine features like seamounts or ridges, the trajectory of the tsunami could be dramatically modified as these features act as wave guides, focusing the wave train in another direction due to the fact that the tsunami speed relies only on the bathymetric depth in the open ocean (Satake, 1988; Titov et al., 2005; Swapna and Srivastava, 2014). That is exactly what happens in the presented case: the  $312^\circ$  strike proposed from the CGMT observatory sends larger wave energy towards the south of New Caledonia (Isle of Pines) than the  $298^\circ$  strike from USGS. Along the east coast of the Isle of Pines, the increase in energy is in the range 20% to 30% and up to 50% near specific coastal features like bay entrances (15 to 25  $\text{kJ}\cdot\text{m}^{-2}$  there with CGMT settings). Along the south coast of Aneityum, the only observation site located in the main energy path of the tsunami, the total wave energy decreases by about 10%. (20 to 30  $\text{kJ}\cdot\text{m}^{-2}$  there with CGMT settings). Naturally, the choice to keep the CGMT solution allows to keep maximum energy toward the Isle of Pines without reducing drastically the energy sent toward Aneityum.

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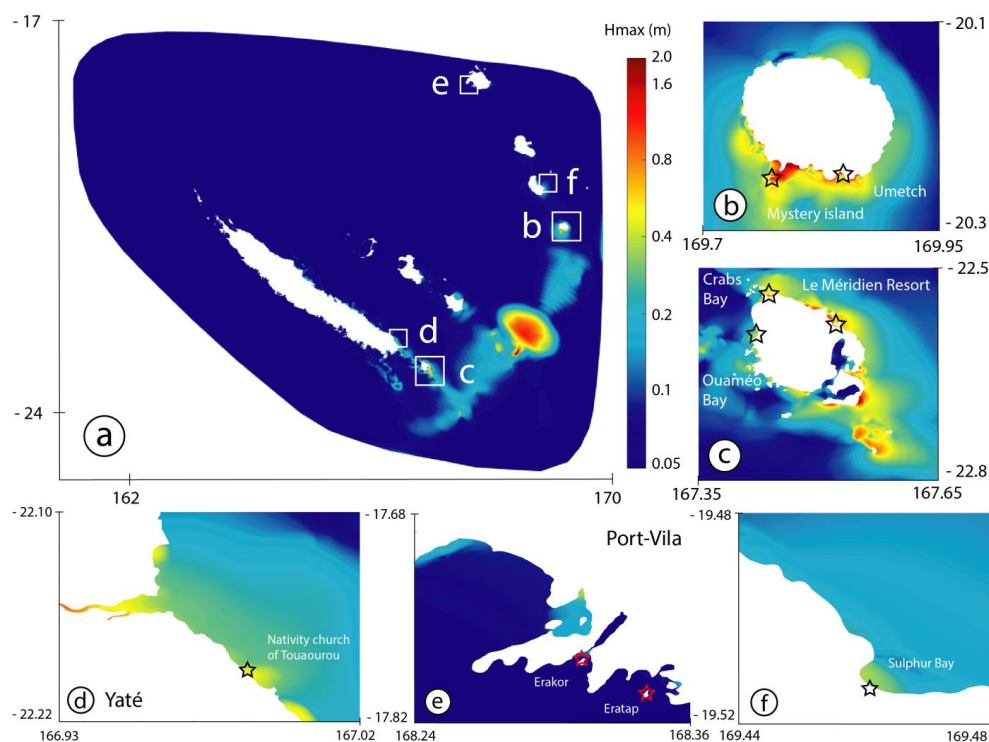




370 **Figure 6: Total wave energy E maps for two different strikes: 298° (top, USGS settings) and 312° (center, GCMT settings) and relative E anomaly between the two (bottom). The bathymetric contours underline the features able to influence the wave propagation.**

Thus, the following results have been obtained using a strike set to 312° (GCMT solution).

375 The tsunami energy is partially captured by the submarine ridges oriented perpendicular to its main propagation way, leading to amplifications in the Loyalty Islands (via the Loyalty Ridge) and around the Isle of Pines (via the south-eastern seamounts complex of the Pines Ridge). The TIN DEM allows zooming onto specific areas like Aneityum (Figure 7b), the Isle of Pines (Figure 7c), Yaté (Figure 7d) and Port-Vila (Figure 7e) helping to further compare the testimonials to the modelling results. There is important coastal amplification of the tsunami along the south coast of Aneityum from Anelghowhat to Umetch (Figure 4c), showing maximum wave amplitude of more than 1.5 m between Mystery Island and the main island (Figure 7b). Coastal amplification is also relatively important in some restricted locations along the east coast of the Isle of Pines (Figure 7c) showing wave amplitude of more than 1 m in front of the Meridian Resort but also ~ 40-50 cm in the bay of Ouameo on the west coast. Wave amplification along the coast of Yaté (south-eastern part of Grande Terre, Figure 7d) leads to maximum wave amplitude of ~50 cm in front of the church of Touaourou and in the Yaté River estuary. Finally, focus on Port-Vila, located along the south coast of Efate Island (Figure 7e) and on Sulphur bay, southeast of 385 Tanna Island, show wave amplification in a few places, reaching ~40 cm maximum in both cases.



**Figure 7: Maximum wave height maps obtained after 3 hours of tsunami propagation on the TIN DEM for the December 5, 2018 event in New Caledonia and South Vanuatu. a: for the entire area, b: Aneityum island, c: Isle of Pines, d: Yaté; e: Port vila, Efate; f: Sulphur Bay, Tanna. Stars stand for eye-witnesses observation points.**

390 Tide gauge simulation results are compared to real maregraphic records on Figure 8. For Maré, Ouinné, Thio and  
 Hienghène, the data shown are coming directly from the raw dataset of the pressure sensors. For Lifou, the data  
 have been provided by the SHOM (<http://refmar.shom.fr/en/lifou>). The data shown for Lenakel and Port-Vila are  
 coming from the IOC database ([www.ioc-sealevelmonitoring.org/](http://www.ioc-sealevelmonitoring.org/)) and the data from Poindimié are coming from  
 a local New Caledonia coastal water monitoring project (ReefTEMPS project:  
 395 <http://www.reeftemps.science/en/data/>).

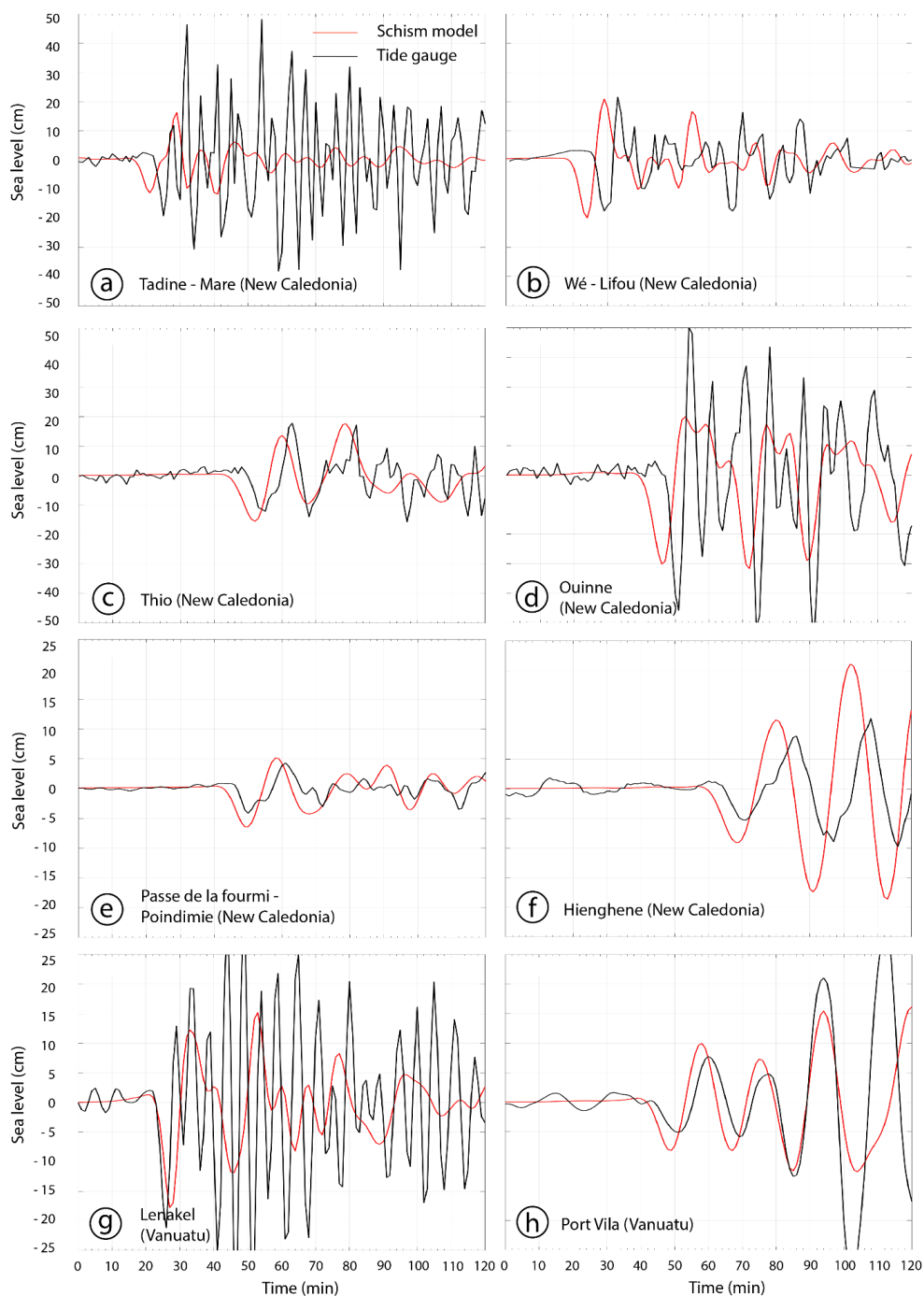
At Tadine, Maré, the modelling is not able to reproduce correctly the tide gauge record in terms of arrival time  
 and wave amplitude (Figure 8a). It shows a delay of ~5 min, the modelling being faster than the reality. Also, it  
 does not reproduce the oscillation of period ~4-5 min with amplitudes more than three times those that are  
 modeled.

400 At Wé (Lifou), the simulated signal exhibits some strong similarities with the real one recorded in terms of  
 polarity, wave amplitude and periodicity, but there is a delay of more than 5 minutes, the modelling being faster  
 than the reality (Figure 8b).

At Thio, the modelling is able to reproduce the real record for what concerns the polarity, the amplitude or the  
 periodicity but not exactly the arrival time, being still early of a couple of minutes (Figure 8c).



- 405 At Ouinné, the modelling is not able to reproduce the recorded signal, except for the first wave polarity, showing a strong delay of nearly 5 min, the modelling being the fastest (Figure 8d). An oscillation with a period of ~6-8 min seems to occur after the first arrival.
- At Poindimié - Passe de la Fourmi, there is a good agreement between the modelling and the reality: the arrival time only exhibits a small delay of 1-2 min, the modelled signal being the fastest (Figure 8e). The wave amplitude and polarity are quite good, and the periodicity shows only a few differences that will be discussed further.
- 410 At Hienghène, there are differences in arrival time (~2-3 min) between the modelled and the real tide gauge records, the modelled one being the fastest (Figure 8f). The wave polarity and periodicity are well reproduced but the amplitude is slightly overestimated by the modelling.
- 415 In Vanatu, at Lenakel, Tanna, there is good agreement between the arrival time and first wave amplitude of the modelled and real tsunami signal (Figure 8g). But the periodicity and amplitudes are strongly different, the modelling being unable to reproduce what looks like a resonant oscillation with a period of ~6 min and a maximum amplitude reaching nearly 40 cm around 25 min after the first tsunami wave arrival.
- 420 At Port-Vila the simulated signal well reproduces the tide gauge record in terms of arrival time ~40 min after the earthquake (exhibiting only a small delay of ~1-2 min), but also in terms of polarity, wave amplitudes and periodicity (Figure 8h). Note that the biggest trough and peak occurring after 100 min are not sufficiently high in the simulation.



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**Figure 8:** Comparison between real (black) and simulated (red) records for 8 different tide gauges located in New Caledonia (a, b, c, d, e, f) and Vanuatu (g, h). These tide gauges are located on figure 3b. Time is related to the earthquake occurrence time (4:18 UTC). Be careful to the sea level scale for each figure.





#### 4 Discussion

The comparison of the maximum energy path of the tsunami as a function of strike on the energy maps shown on Figure 6 highlights the fact that a  $312^\circ$  angle has a slightly bigger impact on the Isle of Pines matching much better with the observations than a  $298^\circ$  angle. The maximum wave height map calculated over a high-resolution TIN grid (Figure 7) clearly indicates that the modelling results are in good agreement with the direct observations of the tsunami in both New Caledonia and Vanuatu on December 5, 2018. In fact, the coastal places where the modelling shows maximum amplitudes ( $> 0.4\text{-}0.5$  m) are also the places where witnesses reported the tsunami (Isle of Pines, Aneityum, Yaté, Tanna, Erakor Island) and sometimes damages (Isle of Pines-Meridien resort, Aneityum, Mystery Island and southern coast to Umetch).

In addition, the tide gauge record comparisons show that globally the chosen seismic parameters and therefore, tsunami generation and propagation model, are together able to reproduce the tsunami records, in terms of arrival times (Figures 8e, g & h) especially in far-field location (Poindimié, Tanna and Port-Vila tide gauges), polarity (Figures 8b, d, e, f, g & h), and amplitude (Figures 8b, e & h).

Except for Poindimié-Passe de la Fourmi where there is pressure sensor offshore the reef barrier, the observed delay between the simulations and the reality (the modelled signal being always the fastest) on all the New Caledonia coastal tide gauges managed by the SHOM (hydrographic service of the French navy) is explained by the fact that there are some transmission issues from the gauge to the datacenter.

Concerning the high frequency oscillations that the modelling is not able to reproduce, especially at Maré, Ouinné and Lenakel, it is presumably the result of resonant behavior of the tsunami waves interacting with semi-enclosed water bodies represented by Maré Harbor, Ouinné Harbor and Lenakel's Bay, and fringing reef as well explained for other places in the literature (e.g. Horillo et al., 2008; Rabinovich, 2009; Aranguiz, 2015). The fact that the high-resolution coastal zones surrounding the location of the tide gauges have been built from sparse bathymetric data coming from low resolution nautical charts and aerial pictures interpretation could explain that the modelling is not able to reproduce the resonance as the shape of the water bodies, and thus their natural oscillation modes are not exactly the same. According to previous studies, it is a safe bet that either a source refinement (complex source showing slip heterogeneity for example) or high-resolution bathymetric data coming from multibeam or LIDAR surveys would be able to reproduce such phenomenon in these small and complicated places (e.g. Sahal et al., 2009; Vela et al., 2014).

Considering both maximum amplitude maps compared to the testimonials (locations and amplitudes) and the tide gauges simulation results comparison to the real recorded data, the simple fault plane rupture scenario chosen for this study provides quite good results.

It is interesting to notice that, nearly two years after the tsunami occurred, hidden observations are still transmitted by witnesses. Tsunami modelling showing that the west coast of the Isle of Pines would have also been impacted by the tsunami, we questioned the diving center and the Kodjeu Hotel located within the Ouaméo bay: the final testimony is that the diving club boat, supposed to be load at high tide, was laying on the sand instead at the exact arrival time of the tsunami (P.-E. Faivre, pers. comm., 2020). Then the water came back and the sea rose above its natural maximum (according to a local fisherman, 2019).



465 **5 Conclusions**

The modelling results presented in this paper and dealing with the December 5, 2018 South Vanuatu tsunami indicate that using a simple fault plane rupture scenario is enough in such case of near field event to reproduce the tsunami correctly with a hazard management point of view. In fact, the study of this local event helps to assess the accuracy of tsunami modelling with MOST and SCHISM models and also, the quality of the DEM used, especially the TIN DEM. Coupled with the study of other historical tsunamis (regional and ocean scales) also recorded on New Caledonia tide gauges, it represents the basement of the building of a scenario database, with tsunami sources located all around the Pacific Ocean ring of fire.

As study perspectives, it would be interesting to look at the tsunami effects at low tide to compare to other similar events in terms of amplitude/periodicity that have absolutely not been perceived by the coastal population. The role played by the tide in tsunami impact has been demonstrated by several studies (e.g. Ford et al., 2014). Also, such small amplitude event occurring at low tide could have been dramatic as lots of people are looking for shells and octopuses on the fringing reef. Finally, new modellings at high tide considering the sea-level rise due to global warming would help to assess the future impact of such small tsunami over island communities with a question that arises: would the growth of coastal ecosystems such as corals and mangroves be able to adapt quickly enough to rising sea level to maintain their protective role against small events?

**Acknowledgements**

The authors are very grateful to the Vanuatu Meteorology and Geohazards Department which provided the post tsunami survey report about Aneityum, and to all the people having shared their testimony of the December 5, 2018 tsunami collected within the months following the event, but also more specifically during the 2019 PALEOTSU field survey of paleotsunami deposits all around New Caledonia. They are also very grateful with Christopher Moore (NOAA) who provided support for the use of MOST and to Paul Wessel and Walter Smith who developed and maintain the free GMT mapping tools which was used to produced most of the maps of this study. This study has been done within and funded by the TSUCAL project.

**490 Authors' contribution:**

JR: study supervision; field investigations; DEM construction; MOST modelling; writing; figures preparation.

BP: study supervision; field investigations; writing; figures preparation.

MD: unstructured grid construction; data processing; figures preparation.

JL: MOST & SCHISM modelling; writing; figures preparation.

495 JA: funding acquisition; data processing; results discussion.

PL: seismic data processing.

BT: mapping; data processing.

CB: seismic network maintenance.

DV: seismic network maintenance.

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