# A self-similar description of the wave fields generated by tropical cyclones

Yurovskaya Maria <sup>1, 2, \*</sup>, Kudryavtsev Vladimir <sup>1, 2</sup>, Chapron Bertrand <sup>3</sup>

<sup>1</sup> Marine Hydrophysical Institute, Kapitanskaya st.,2, Sevastopol, 299038, Russia

<sup>2</sup> Russian State Hydrometeorological Institute, Malookhtinsky pr., 98, St. Petersburg, 195196, Russia
 <sup>3</sup> Institut Francais de Recherche pour l'Exploitation de la Mer (IFREMER), 1625, route de Sainte-Anne, Plouzane, 29280, France

\* Corresponding author : Maria Yurovskaya, email address : mvkosnik@gmail.com

### Abstract :

Today, advanced operational wave models, e.g. WAM, SWAN or WAVEWATCH-III, provide very accurate solutions. Nevertheless, under extreme weather conditions, surface wave predictions can remain challenging. Indeed, for relatively small-scale tropical cyclones (TCs), rapidly evolving in time and space, and possibly not always well sampled with observing systems, extreme winds may not be properly described, and generated wave systems correctly predicted. In that context, Kudryavtsev et al. (2021b) recently proposed a simplified framework to rapidly assess evolving wave fields under typical TC conditions. Using self-similar functions, termed Tropical Cyclone-Wave Geophysical Model Function (TCW GMF), the proposed methodology and initial results demonstrate robustness and efficiency : 2D functions, assimilating a small number of parameters (maximum wind speed, cyclone radius and translation velocity), provide first-guess estimates of surface wave heights, wave lengths and directions within the intense TC core region. Following this strategy, an improved TCW GMF version is proposed to also cover the TC far zone, providing both wind wave information and outrunning swell conditions. This new version more particularly accounts for the wave field sensitivity to the shape of the wind profile. The procedure follows three main steps: (1) estimation of the characteristics of pure wind waves using selfsimilar matrices; (2) determination of the contour limiting the transition between wind waves to swell regime using empirically-derived universal functions; (3) derivation of analytical functions to describe the swell parameters using initial parameters estimated at this transition contour. Wind waves and swell systems are further superposed to describe the wave parameters for mixed-sea conditions. In this study, IBTrACS are used to initialize the TC's wind profiles, coordinates and translation velocities. The proposed methodology is then tested using a large altimeter database. More than 700 altimeter measurements crossing different TCs during 2020-2022 years are used, demonstrating overall convincing agreements between first-guess estimates and satellite data.

### **Graphical abstract**



### Highlights

► Self-similar functions to predict the wind waves within tropical cyclone core. ► Analytical expressions for swell outrunning a predicted self-similar contour. ► Best Track Data and multi-altimeter wave height observations to perform validation.

**Keywords** : tropical cyclones, self-similarity, wave height/wavelength field, swell, Best Track Data, altimeter constellation

#### 51 1. Introduction

Annually, up to hundred tropical storms form. About half of them become 52 strong hurricanes, with possible devastating impacts. Extreme wind events 53 occupy an increasing place in the mass media, with direct social and eco-54 nomic implications (human loss, material destructions, etc.), also expected 55 to become more destructive in the future as a consequence of global warming. 56 Moreover, acknowledging their impacts on the coupled ocean-atmosphere sys-57 tem, marine-atmosphere extremes are key integral parts of the climate-change 58 questions. 59

Tropical cyclone (TC) rapidly evolving characteristics are important, par-60 ticularly, to reliably assess air-sea interaction processes. Numerical models 61 still often fail to fully answer why different initial TC structures can result in 62 different steady-state maximum intensities for what appear the same environ-63 mental conditions (Tao et al. (2020)). Coupled through the wave-dependent 64 momentum flux, model analysis then often report significant impacts on the 65 TC development and propagation track (Shimura et al. (2022)). Complex 66 TC wave fields can indeed result in wave-induced stress misaligned with the 67 surface winds, to affect the storm dynamics. From rapidly evolving wind 68 speed and direction conditions, the presence of multiple, sometimes opposite, 69 wave systems can indeed occur. Using improved wind inputs, advanced mod-70 els, WAVEWATCH-III (Tolman (2009)), WAM (Hasselmann et al. (1988)), 71 SWAN (Booij et al. (1999)) can now successfully forecast wave fields under 72 extreme wind conditions (Kalourazi et al. (2021)). However, model resolu-73 tions may not always properly cope with small and rapidly evolving intense 74 extreme events with localized large wind speed gradients. Consequently, 75 swell waves radiating from intensive storms are reported to often be poorly 76 predicted by forecast models, both in magnitude and arrival time (Babanin 77

<sup>78</sup> et al. (2019)).

For data-driven and/or ensemble methods for assimilation purposes, more 79 simple and rapid solutions may then be considered to provide general surface 80 wave characteristics, within the TC high intensity core, but also in far-field 81 regions where swell systems originate and outrun. Given relatively simple and 82 83 easy to parameterize forms of intense wind vortex, generally well-documented TC data from different weather services motivate to search for simple solu-84 tions for generated wave fields. Efforts already started from the middle of 85 the last century (Bretschneider (1959); King and Shemdin (1987), see the 86 review in Young (2017)). Today, combined with available satellite observa-87 88 tions, parametric solutions seek to document the TC wind and wave conditions. Parametric solutions can then provide immediate first-guess estimates 89 for the maximum wave height and wave length, and even 2D surface wave 90 distributions. 91

These simplified methods essentially build on the extended fetch concept (Young (1988); Young and Vinoth (2013); Kudryavtsev et al. (2015)). Parametric solutions use self-similar expressions for wave height/length, similar to fetch laws for the case of wave development under uniform winds, originally suggested by Kitaigorodski (1962) and further specified in a number of experimental studies (see, e.g., Babanin and Soloviev (1998) and reviewed in Badulin et al. (2007); Zakharov et al. (2019)).

Presented by Kudryavtsev et al. (2021b) (hereinafter KYC21b), one of 99 such solutions synthesizes results of wave simulations derived from a consis-100 tent 2D parametric wave-ray model Kudryavtsev et al. (2021a) (hereinafter 101 KYC21a). Solutions, termed Tropical Cyclone-Wave Geophysical Model 102 Function (TCW GMF), provide first-guess estimated fields of wave height, 103 wave length and wave direction inside a given TC, prescribed by its maxi-104 mum wind speed  $u_m$ , radius  $R_m$  and translation velocity V. The 2D para-105 metric model and self-similar solutions were tested using multi-mission satel-106 lite observations for the hurricane Goni (2020) (Yurovskaya et al. (2022)). 107 Results demonstrate very encouraging comparisons with measurements, in-108 cluding wave directional properties derived from CFOSAT SWIM instrument 109 (Hauser et al. (2021); Aouf et al. (2021)). 110

Originally designed to describe the primary wave system (the longest wave) parameters in the TC inner core area, this TCW GMF is limited to radii less than 2-3  $R_m$ . In this paper, the main objective is to propose a new version to extend these 2D self-similar solutions, not solely constrained to the TC core area, but valid at larger distances from the TC eye. The pro-

posed self-similar solutions are further complemented by analytical solutions
for swell systems, outrunning the inner area and propagating in different
directions away from the moving TC. Combined, self-similar and analytical solutions then provide a simple, rapid, and self-consistent description of
mixed seas in a TC region.

The arrangement of the paper is as follows: self-similar solutions for wind 121 waves generated by a stationary TC and their transformation into swell sys-122 tems escaping the inner storm area, are presented in Section 2, extension of 123 these self-similar solutions for a moving TC is given in Section 3. Section 124 4 summarizes the model, and its input and output parameters. Compar-125 isons between the model predictions and multi-satellite altimeter significant 126 wave height (Hs) measurements are given in Section 5. Section 6 summarizes 127 results of the paper. 128

### <sup>129</sup> 2. Wave Self-similarities for Stationary TCs

Throughout this study, a TC wind field is prescribed in the axi-symmetric
 form suggested by Holland (1980):

<sup>132</sup> 
$$u(r) = \sqrt{(u_m^2 + u_m R_m f) \left(\frac{R_m}{r}\right)^B} \exp\left(-\left(\frac{R_m}{r}\right)^B + 1\right) + \left(\frac{rf}{2}\right)^2 - \frac{rf}{2}, \quad (1)$$

where f is the Coriolis parameter; r is distance from the TC center;  $u_m$  is 133 maximum wind speed,  $R_m$  is the radius of maximum winds; B is the wind 134 profile shape parameter varying (in the present study) within the range 0.5-135 2.5. A constant surface wind inflow angle is assigned. The wind vector is thus 136 everywhere directed  $20^{\circ}$  (inflow angle towards the TC eye) from the tangent 137 axi-symmetric flow (e.g., Zhang and Uhlhorn (2012)). Though inflow angle 138 variations can affect the resulting wave fields, we do not account them in this 139 study, only considering the most typical case. 140

For a prescribed wind field, stationary in this Section, or moving with 141 a translation velocity V, Section 3, numerical calculations of the wave field 142 parameters (energy, wavelength and wave direction) are performed using the 143 2D parametric wave-ray model proposed in KYC21a. In total, more than 400 144 runs were performed for different combinations of wind field parameters, i.e. 145  $u_m$  ranging form 30 to 70 m/s,  $R_m$  from 10 to 100 km, V from 0 to 12 m/s 146 and B from 0.5 to 2.5. Resulting wave-ray distributions were interpolated 147 on a uniform grid, taking the parameters of the wave-train with maximum 148 wavelength inside each grid cell. This is done for both wind waves and swell 149

systems. Pure wind waves are further analysed to determine self-similar relations for 2D TC cases, using self-similar scaling arguments suggested by
Kitaigorodski (1962) for a stationary TC, and those by Kudryavtsev et al.
(2015) for a moving TC.

#### 154 2.1. Wind Waves Development

179 180

155 2.1.1. Significant Wave Height and Wavelength

Demonstrated in KYC21b, their Fig.6, space-time evolution of wave pa-156 rameters in a TC occurs from the vicinity of maximum winds towards the 157 periphery. It is thus natural to relate the wave fetch with the TC radius. 158 Considering a stationary TC case, it is tempting to check whether the clas-159 sical scaling arguments suggested by Kitaigorodski (1962), solely based on 160 local wind velocity u, distance from TC eye r and gravity acceleration q, 161 are capable to reproduce 2D numerical simulations. More specifically, can 162 these solutions apply for a wide range of TC radii, wind speed velocities, and 163 diverse wind profile parameter B? 164

As first guess estimates, the peak wave height H and wavelength  $\lambda$ , scaled by the local wind speed and gravity,  $u^2/g$ , can be suggested to follow the fetch laws, similar to those under uniform wind conditions (Kitaigorodski (1962)):

168 
$$Hg/u^{2} = 4c_{e}^{1/2}r_{d}^{p/2}, \qquad (2)$$
169 
$$\lambda g/u^{2} = 2\pi c_{a}^{-2}r_{d}^{-2q},$$

where the dimensionless distance from the TC center  $r_d = rg/u^2$  formally plays the role of fetch, despite the fact that the wind is not constant and not always aligned with the waves;  $c_e$ ,  $c_a$ , p and q are empirical constants. These relationships should be valid for developing waves, i.e. while their inverse wave age  $a = u_{\parallel}/c_p$  is  $a > a_0 = 0.85$ , with  $c_p$  the phase velocity of the spectral peak, and  $u_{\parallel} = u \cos(\varphi_w - \varphi)$  the wind vector projection to the peak wave propagation direction.

At  $u_{\parallel}/c_p = a_0$ , wind waves become fully developed and their wave height and wavelength saturate to  $H_{fd}$  and  $\lambda_{fd}$ , respectively:

$$H_{fd}g/u^2 = 4c_e^{1/2}c_a^{-p/2q}a_0^{p/2q},$$

$$\lambda_{fd}g/u^2 = 2\pi/a_0,$$
(3)

<sup>181</sup> solely dependent on local wind speed. Accordingly, at the TC periphery, <sup>182</sup> wind waves are aligned with the wind and their heights and wavelengths <sup>183</sup> proportional to  $u^2$ .

Fig. 1a,b show results of the 2D parametric model simulations with more than 50 combinations of  $R_m$ ,  $u_m$  and B. Model simulations of significant wave height, Hs, and wavelength, scaled by  $g/u^2$ , are found to be well described with universal functions of the dimensionless distance from TC eye,  $rg/u^2$ , close to (2)-(3). Though these self-similar fetch laws (2)-(3) were originally derived for uniform wind conditions, they are surprisingly valid for spatially non-uniform TC wind field, Fig. 1a,b.

Fits of simulated data using (2)-(3) allow to specify the constants  $c_e$ ,  $c_a$ , p and q for different TC conditions:  $c_e = 0.65 \cdot 10^{-6}$ ,  $c_a = 11.5$ , p = 0.87, q = -0.27. These values are not very different from original ones used to implement the 2D parametric model ( $c_e = 1.4 \cdot 10^{-6}$ ,  $c_a = 11.8$ , p = 0.75, q = -0.25). To describe a smooth transition to saturation, corresponding to a fully developed state, expressions (2) and (3) are combined to

$$H_0 = H_{fd} \tanh(H/H_{fd})$$

$$\lambda_0 = \lambda_{fd} \tanh(\lambda/\lambda_{fd}),$$
(4)

<sup>199</sup> where  $H_0$  and  $\lambda_0$  are the estimates of peak wave height and wavelength of <sup>200</sup> wind waves, either fully developed or not. Fits, corresponding to (4), are <sup>201</sup> shown with black solid lines on Fig. 1a,b.

Note, the suggested parameterizations for the wind wave Hs and wavelength for a stationary TC differ from those proposed in KYC21b for the longer waves. Unlike KYC21b, parameterizations (2)-(3) are now valid for wind waves, either located in near and far zones of a TC. Moreover, these parameterizations apply for arbitrary wind profile shape parameters *B*.

207 2.1.2. Wind Wave Directions

Based on the same simulations, the distribution of the wave to wind 208 direction, obtained for pure wind waves, is shown on Fig. 1c versus the di-209 mensionless radius  $r_d = rg/u^2$ . In contrast to wavelength and wave height 210 parameters, the wave direction cannot be universally described for different 211 combinations of  $R_m$ ,  $u_m$  at  $r_d \lesssim 1e4$  (see the color indicating  $\tilde{R}_m = R_m g/u_m^2$ ). 212 Furthermore, two regimes are clearly distinguished in Fig. 1c. A first regime 213 takes place at  $r_d < (1-5) \cdot 10^3$  where wind waves develop outward from the 214 TC eye until they turn into swell. In this case, wind wave direction gradually 215 deviates from the wind one. The second regime takes place at  $r_d > (1-5) \cdot 10^3$ 216 where the wind wave direction gradually tends (towards TC periphery) to 217 be aligned with the wind. These waves represent systems of almost devel-218 oped wind waves that travel along the wind direction in the far zone of the 219



Figure 1: Distributions of scaled wave height (a), wavelength (b), and wind direction (c), versus distance from TC center scaled by local wind speed and gravity. Dots are results of numerical simulations, black solid lines are fits (4) for wave height and wavelength and (5) for wind to wave direction at  $r > R0_{sw}$ . (d) Wave to wind direction at  $r < R0_{sw}$  scaled by radius of maximum winds for different wind shape parameter B, and respective fits (5).

<sup>220</sup> cyclone. These systems are superimposed to longer swell ones arising from <sup>221</sup> wind waves developing within the TC inner region, and propagating to the <sup>222</sup> far zone. It leads to mixed sea conditions. The transition between these two <sup>223</sup> regimes is quite smooth in terms of the dimensionless wave length and height <sup>224</sup> parameters (Fig. 1a,b), but sharp in terms of the wave directions (Fig. 1c). <sup>225</sup> The fit of 2D parametric model, simulations of wind waves direction  $\varphi$ <sup>226</sup> relative to the local wind direction,  $\varphi_w$  is then suggested to be expressed as:

227 
$$\varphi - \varphi_w = a(r/R_m)^n, \ r < R0_{sw}$$
(5)  
228 
$$\varphi - \varphi_w = (\varphi_0 + b \log \frac{r_d}{\tilde{r}_o}) \cdot Hev, \ r > R0_{sw}$$

229 with

<sup>230</sup> 
$$a = -40^{\circ}, \ n = 0.3B, \ \varphi_0 = -80^{\circ}, \ \tilde{r}_0 = 100, \ b = 8,$$
  
<sup>231</sup>  $Hev = 0.5 \cdot (1 - \tanh[0.4 \log \frac{r_d}{8 \times 10^4}]).$ 

According to (5), a transition between regimes of wind waves, growing/decaying with distance from the TC center, occurs at radius  $R0_{sw}$ . It also corresponds to the transition of wind waves to swell. A parameterization of  $R0_{sw}$  will be given below, in Section 2.2.2.

At  $r < R0_{sw}$ , the direction of developing wind waves is universally described in terms of  $r/R_m$ , with a power exponent *n* depending on the wind shape parameter *B*, Fig. 1d. At larger radii,  $\varphi - \varphi_w$  are scattered if plotted versus  $r/R_m$  for different TC parameters (not shown), but converge in terms of  $r_d$ , Fig. 1c, solely depending on the local wind speed and distance from the TC center.

Eqs. (4) and (5) provide wind wave characteristics at any distance from a 242 TC center and considered wind profiles. Note, the wind shape parameter B243 is not needed to describe wave height and wavelength, i.e. the local relations 244 Eqs. (2)-(3) work for a variety of B. Hence, these relations can be extended 245 to an arbitrary Holland-like wind profile without the need of an exact fit (1). 246 However, for the wave direction,  $R_m$ ,  $u_m$  and B must be specified and are 247 required to determine  $R0_{sw}$  and to use Eq. (5) at  $r < R0_{sw}$ . Fixing the wind 248 shape parameter at B = 1.5 leads to an error in the wave direction less than 249  $20^{\circ}$  (if  $R0_{sw}$  is determined correctly), Fig. 1d, which can still be tolerated if 250 exact information about B is missing. 251

#### 252 2.2. Swell systems



Trajectories of wind wave trains developing within the TC inner region display unwinding spirals towards the outer region. At some distance  $R0_{sw}$ from the TC eye, the local inverse wave age,  $\alpha_{\parallel}$ , reaches a critical value (about 0.85), and the wave train start to travel like a swell system.

#### 257 2.2.1. Swell Wavelength, Energy and Direction

Swell wave energy and wavelength along a swell-ray trajectory can be derived, within the KYC21a model framework, with the wind energy input switched off. Analytical solutions are given in Yurovskaya et al. (2022), Appendix A.3. Below, these final relations are repeated to be readily used to describe evolution of wave height  $H_{sw}$ , wavelength  $\lambda_{sw}$  and direction  $\varphi_{sw}$ along the swell-ray trajectory:

$$(H_i/H_{sw})^4 = (\chi^2 + \delta^2) \Big[ \frac{1}{1+\delta^2} + \frac{A}{\delta} \Big( \arctan\frac{\chi}{\delta} - \arctan\frac{1}{\delta} \Big) \Big],$$

$$(\lambda_{sw}/\lambda_i)^5 - 1 = \frac{b}{4} \ln \left[ 1 + A \frac{1+\delta^2}{\delta} \left( \arctan \frac{\chi}{\delta} - \arctan \frac{1}{\delta} \right) \right], \qquad (6)$$

where indices "i" indicate initial wave parameters; l is the along-trajectory distance from initial point,  $\chi = 1 + G_{ni}l$ ,  $G_{ni} = (d\varphi/dn)_i$  the cross-ray gradient of wave rays directions;  $\delta = 0.5\Delta c_g/\bar{c}_g = 0.1$ , standard deviation of group velocity scaled by its mean value weighted over a JONSWAP-like spectrum;  $A = 4(k_i/G_{ni})(k_ie_i/\varepsilon_T^2)^2$ ,  $k_i = 2\pi/\lambda_i$ ,  $e_i = H_i^2/16$ ,  $\varepsilon_T^2 = 0.155$ ; b = 0.59.

For an axi-symmetric wind field with inflow angle  $\varphi_{inflow}$  (20° in this study), swell direction,  $\varphi_{sw} = \varphi_i$ , relative to the wind one,  $\varphi_w$ , depends on distance from a TC eye, r, as

276 
$$\varphi_{sw} - \varphi_w = -\arccos\left[\frac{R0_{sw}}{r}\cos(\varphi_i - \varphi_{inflow})\right] - \varphi_{inflow}.$$
 (7)

Note, omitting the effect of wave rays focusing/defocusing,  $G_{ni} \to 0$ , and expanding  $\arctan(\chi/\delta)$  in the Taylor series,  $\arctan(\chi/\delta) = \arctan(1/\delta) + \delta(\chi - 1)/(1 + \delta^2)$ , the two first relations of Eqs. (6) can be simplified to:

$$(H_i/H_{sw})^4 = 1 + A(\chi - 1) = 1 + \pi^5/2\epsilon_T^4 \cdot H_i^4\lambda_i^{-5}l \qquad (8)$$

$$(\lambda_{sw}/\lambda_i)^5 = 1 + \frac{b}{4}\ln[1 + A(\chi - 1)] = 1 + \frac{b}{4}\ln[1 + \pi^5/2\epsilon_T^4 \cdot H_i^4\lambda_i^{-5}l],$$

which are equivalent to Eqs. (12) of KYC21b with slightly different empir-282 ically derived constants. Yet, we emphasize that taking into account the 283 cross-ray gradient of the wave train directions is of decisive importance. In-284 deed, this term ensures the attenuation of the energy  $\propto l^{-1}$  with distance. 285 Relations (6)-(8) give Hs parameters to asymptotically decay more rapidly 286 than weak-turbulent solutions Zaslavskii (2000); Badulin and Zakharov (2017). 287 These authors considered Hasselmann (1962) kinetic equation for weakly non-288 linear deep water waves in the absence of dissipation and external forcing, 289 leading to a swell decay with fetch x,  $H \sim x^{-1/6}$ ,  $\lambda \sim x^{1/6}$ , respectively. 290 Our model predicts stronger energy attenuation due to wave dissipation and 291 ray defocusing effects. Yet, weak-turbulent solutions can be recovered in 292 KYC21a model framework. Indeed, while nonlinear interactions vanish after 203 integration the energy balance equation over all wavenumbers, they are es-294 sential to govern the spectral peak frequency downshift. The stationary form 295 of Eq. (48) of KYC21a for group velocity  $c_g$ , 296

$$c_g dc_g / dx \sim g(k^2 e)^2,$$

together with the stationary solution of their Eq. (47) for energy e in absence of forcing/dissipation and angular divergence term,

$$e = e_0 c_{g0} / c_{gg}$$

gives

$$c_g dc_g / dx \sim (e_0 c_{g0})^2 g^5 c_g^{-10}$$

 $_{297}$  (k is peak wavenumber, g - gravity,  $e_0$ ,  $c_{g0}$  - undefined constants). Solution of these equations is straightforward and reads

$$c_g \sim x^{1/12}, \lambda \sim x^{1/6}$$
  
 $H \sim \sqrt{e} \sim x^{-1/6}.$ 

<sup>299</sup> It corresponds to an energy flux conservation,  $c_g e = \text{const}$ , and coincides with <sup>300</sup> Badulin and Zakharov (2017) solutions. Yet, these asymptotic estimates pre-<sup>301</sup> dict very weak decay, and are "absorbed" in (6)-(8) by stronger attenuation <sup>302</sup> mechanisms included in the present model.

Already discussed, e.g., in Young (2006, 2017), non-linear wave-wave interactions can influence the wave directional spectrum formation in presence of swell, stabilizing the spectral shape to make it similar to fetch-limited

cases. Young (2006) revealed that swell overlapping the local wind-sea in 306 hurricanes often results in a directionally skewed spectrum, providing smooth 307 transition between the dominant low-frequency swell and the high-frequency 308 wind-sea components. Omnidirectional spectrum exhibits the features in-309 herent to uni-directional wind case. Based on these observations, it was 310 311 suggested, that the balance between the wind forcing, the nonlinear wave interactions and dissipation is not necessary to maintain such spectral shape. 312 The action of nonlinear interactions is itself capable of bringing a complex 313 mixture of locally generated wind waves and remotely generated swells to a 314 315 spectrum that has a shape typical for uni-directional wind seas.

In our approach, swell (6) is considered separately from the underlying wind waves, and thus we do not account for the effects of their interactions. On the other hand, a smooth transition in spectral directional spreading functions from swell to wind seas was also found in (KYC21b, see their Fig.13), where the spectral shape was formed through superposition of parameters of "independent" wave trains crossing a given area.

### 322 2.2.2. Wind Waves-to-Swell Transition Point

331

335

The swell initial parameters in Eqs. (6)-(7), wave height  $H_i$ , length  $\lambda_i$ and direction  $\varphi_i$ , should match the corresponding parameters of wind waves, (4)-(5), at radial distance  $R0_{sw}$ , where the inverse wave age of wind waves reaches the threshold value  $u_{\parallel}/c_p = 0.85$ .

From simulations,  $R0_{sw}/R_m$ , obtained for different TC parameters, is plotted versus dimensionless TC radius  $\tilde{R}_m = R_m g/u_m^2$ , Fig. 2a,b,c, for three values of the shape parameter *B*. The distributions accurately follow the power law:

$$R0_{sw}/R_m = r_a (\tilde{R}'_m/\tilde{R}_m)^b, \tag{9}$$

 $_{\rm 332}~$  with  $\tilde{R}'_m=10^4,\,r_a=1.2$  and b depending on wind shape parameter.

Approximated using simulations with 6 different values of B, Fig. 2d, the power b in (9) depends on B as

$$b = 0.27B^{-0.85}.$$
 (10)

For B = 1.5, Eq. (9) with Eq. (10) reads:  $R0_{sw}/R_m \approx 7\tilde{R_m}^{-0.2}$ . It is the same power law, but with coefficient 15% larger, than the relation suggested by KYC21b (their Eq. (10):  $r/R_m = 5\tilde{R_m}^{-0.2}$ ), where only TC cases with B = 1.5 were considered.



Figure 2: (a)-(c) Normalized distance from the center of a stationary cyclone to the point where  $u\cos(\varphi - \varphi_w)/c_p = 0.85$  versus  $\tilde{R_m} = R_m g/u_m^2$  in simulations with different values of Holland parameter *B*. (c) Power *b* in approximation (9) versus *B* 

The threshold  $u_{\parallel}/c_p = 0.85$  to define  $R0_{sw}$  is quite arbitrary. A more 340 realistic transition between wind waves and swell using 2D parametric model 341 simulations is not sharp. It is due to the smooth attenuation of wind energy 342 input close to regions where  $u_{\parallel}/c_p = 0.85$ , around which (before and after), 343 wind waves still gain some wind energy. Accurate determination of  $R0_{sw}$ 344 may impact the swell parameters, Eqs. (6), which are ultimately linked to 345 wind waves parameters  $H_i$  and  $\lambda_i$ , defined through Eqs. (4) at radius  $R0_{sw}$ . 346 Comparisons between analytical approximations with direct numerical cal-347 culations then reveal that the factor 0.85 to define  $R0_{sw}$  (Eq. (9)) may be 348 349 sufficient to bring analytical solutions in line with full model simulations for wave development under stationary TC conditions. 350

### 351 2.3. TCW GMF Performance for Stationary TCs

Following the suggested approximations, resulting wave characteristics for 352 stationary TC conditions are shown Fig. 3, for wind profiles with different 353 values of  $R_m$  and  $u_m$  and B = 1.5. Following KYC21b (their Fig. 15), wave-354 length and wave height estimates are scaled using  $R_m$ ,  $u_m$ , g and fetch laws 355 exponents p, q, and displayed versus normalized local radius  $r/R_m$ . Stars 356 and triangles indicate simulated wind and swell waves, respectively; solid 357 lines are obtained using self-similar expressions (4)-(5) for wind waves and 358 analytical solutions for swell, generated at radius  $0.85R0_{sw}$ ,  $R0_{sw}$  obtained 359 from Eq. (9). Suggested fits accurately predict the wave parameters distribu-360 tions. Note, while derived for  $r > R_m$ , relations (4)-(5) also apply for smaller 361 radii, Fig. 3. Fitted and numerically simulated wave characteristics are also 362 found in consistent agreement for other values of B. 363

### <sup>364</sup> 3. Wave Self-Similarities for Moving TC

TC motions may strongly influence the wave developments in different 365 sectors relative to the TC heading. TC motions lead to asymmetrical wave 366 fields, even for a perfectly axi-symmetric wind field. In the right sector (left 367 in Southern hemisphere), wind direction and developing waves almost align 368 with TC heading. Waves can longer be exposed to strong winds - this is 369 a so called wave trapping phenomenon (Dysthe and Harbitz (1987); Young 370 (1988); Bowyer and MacAfee (2005)), causing strong wave intensification in 371 the right-front sector compared to a stationary TC condition. In the left 372 sector, wind direction and developing waves are opposite to TC heading. 373 The residence time of waves in the storm area is reduced, i.e. waves are 374



Figure 3: Radial profiles of (a) dimensionless wave height normalized by  $\tilde{R_m}^{p/2}$ , (b) dimensionless wavelength normalized by  $\tilde{R_m}^{-2q}$  and (c) wave direction relative to the wind. Solid lines are fits (4) and (5) for wind waves and (8), (7) for swell

underdeveloped compared to a stationary TC condition. For moving TCs,
wave amplification in the right sector and attenuation in the left one can
then result in strong wave field azimuthal asymmetries.

### 378 3.1. Wind Wave Development for a Moving TC

Following KYC21b, inside a TC moving with a constant velocity V, fields of wave height H, wavelength  $\lambda$  and wave direction  $\varphi$ , can still be described with self-similar form:

$$H/H_0 = \Phi_H(r/L_{cr}, \theta),$$

$$\lambda/\lambda_0 = \Phi_\lambda(r/L_{cr}, \theta),$$
(11)

384 
$$\varphi - \varphi_0 = \Phi_{\varphi}(r/L_{cr}, \theta)$$

where subscript "0" denotes wave parameters for a stationary TC;  $\Phi_H$ ,  $\Phi_{\lambda}$ , and  $\Phi_{\varphi}$  are universal functions of the TC azimuth  $\theta$  and local radius r, scaled by a local critical fetch  $L_{cr}$ :

$$L_{cr}g/u^2 = c_{cr}(u/2V)^{1/q},$$

where  $c_{cr}$  is a constant linked to the fetch laws as

$$c_{cr} = -c_{\alpha}^{-1/q}q/(1+q) = 6.5 \times 10^3$$

with q = -1/4 and  $c_{\alpha} = 11.8$  (KYC21a); *u* the radial wind velocity at given *r*; *g* gravity acceleration. The critical fetch defines the distance, from

the initial point of wave train generation to the turning point, where the projection of the wave group velocity on the TC heading becomes equal to the TC translation velocity, corresponding to group velocity resonance Young (1988); Dysthe and Harbitz (1987); Kudryavtsev et al. (2015)).

In KYC21b, functions  $\Phi_H$ ,  $\Phi_{\lambda}$  and  $\Phi_{\varphi}$  were represented by numerical 394 matrices generalizing 2D parametric model simulations for different TC pa-395 rameters:  $u_m$ ,  $R_m$  and V. The self-similar solutions (11) targeted the de-396 scription of the primary (the longest) wave system parameters. Since the 397 primary wave system can include both wind waves and swell, the solutions 398 (11), essentially based on the fetch law concept, were mostly limited to the 399 TC inner core,  $r < 2 - 3R_m$ . In this area, a swell system only reached its 400 initial stage,  $u_{\parallel}/c_p \sim 1$ . In the present development, wind waves and swell 401 are separately considered. This can then include mixed sea conditions. Swell 402 is already described analytically, Eqs.(6). Solutions for the pure wind waves 403 are searched in self-similar form (11), with reference parameters  $H_0$ ,  $\lambda_0$  and 404  $\varphi_0$ , corresponding to a stationary TC, defined by (4)-(5). 405

The new universal functions-matrices (11) generalize the TC propagation 406 effects, using more than 300 simulations, corresponding to different wind field 407 parameters  $u_m$ ,  $R_m$ , B, and translation velocities V varying from 3 m/s to 408 12 m/s, Fig. 4. Discussed above, only waves with inverse wave age  $u_{\parallel}/c_p >$ 409 0.85 are considered. Functions  $\Phi_H$ ,  $\Phi_\lambda$  and  $\Phi_\varphi$  on Fig. 4, thus differ from 410 those suggested in KYC21b (their Fig. 18), obtained for the longest waves, 411 i.e. without distinction between pure wind waves or swell. The proposed 412 approach can now apply to any distance  $r > R_m$ , where the concept of critical 413 fetch makes sense, i.e. where  $L_{cr}$  is less than the fetch of fully developed waves,  $L_{cr} < a_0^{1/q} c_a^{-1/q} u^2/g$ ,  $a_0 = 0.85$ . 414 415

At fixed  $r/L_{cr}$ , a matrix transect, over a TC azimuth direction, provides 416 azimuthal distributions of the wind waves energy, length and direction, rela-417 tive to the stationary TC condition, Eqs. (4)-(5). Following KYC21b, the two 418 regimes are defined: "slow",  $r/L_{cr} > 1$ , when the waves can be "trapped" in 419 the right (left, in the Southern hemisphere) TC sector, and "fast",  $r/L_{cr} < 1$ , 420 when the TC is too fast with developing waves left behind the TC storm area. 421 Around  $r/L_{cr} = 1$ , generated waves are subjected to the local group velocity 422 resonance effect, to attain the largest possible energy and wavelength for a 423 given wind field. 424

Functions  $\Phi_H$ ,  $\Phi_{\lambda}$ ,  $\Phi_{\varphi}$  are shown in polar coordinates in Fig. 4. Obtained distributions for  $H/H_0$  and  $\lambda/\lambda_0$  are quite similar. Indeed, in terms



Figure 4: Universal functions (11) for wind wave (a) height, (b) wavelength and (c) peak direction

 $_{427}$  of extended fetch, X, the self-similar fetch laws read

$$\begin{array}{ll} & H \sim X_{H}^{p/2}, \\ _{429} & \lambda \sim X_{\lambda}^{-2q}, \end{array} \tag{12}$$

that give close powers (3/8 and 1/2) for p = 3/4, q = -1/4. At the same time, subplots (a) and (b) in Fig. 4 differ in some details, apparently because the equivalent fetches for wave height and wave length,  $X_H$  and  $X_{\lambda}$  in (12), generally differ for the same TC point (see, e.g. KYC21b, their Eq.18).

<sup>434</sup> Note, functions  $\Phi_H$ ,  $\Phi_{\lambda}$ ,  $\Phi_{\varphi}$ , and swell contour matrix  $C_{sw}$  presented <sup>435</sup> below, are developed for Northern hemisphere TCs. For Southern hemisphere <sup>436</sup> cases, wind and wave fields are "mirrored" relative to TC heading direction. <sup>437</sup> In Figs. 4-5, the azimuth  $\theta$  should be reversed, i.e. going in opposite direction. <sup>438</sup> Wind and wave directions,  $\varphi_w$ ,  $\varphi$ ,  $\varphi_0$ , originally counted counter-clockwise <sup>439</sup> from East, should be counted clockwise in the Southern hemisphere to keep <sup>440</sup> all relations valid.

### 441 3.2. Moving TC Swell

#### 442 3.2.1. Analytical Solutions

Analytical solutions for swell parameters, Eqs. (6), complement wind waves derivation through self-similar matrices. Initial conditions for swell,  $H_i$  and  $\lambda_i$  match values of wind waves parameters taken at the distances, on the  $(r, \theta)$  plane, where wind waves are locally developed, i.e. their inverse wave age is equal to the threshold value 0.85.

To account for wave ray focusing/defocusing effects, the second term in relations (6), the cross-ray gradient of wave directions,  $G_{ni} = (d\varphi/dn)_i$ , is directly calculated from the wave direction field.

Also note that in a reference system, moving with velocity  $\mathbf{V} = (V_x, V_y)$ , swell wave-train coordinates  $(x_{sw}, y_{sw})$  are related with swell trajectory length *l* used in (6):

$$x_{sw} \approx x_i + l \cos \varphi_i (1 - V_x/c_{gx}),$$

$$y_{sw} \approx y_i + l \sin \varphi_i (1 - V_y/c_{qy}),$$

where  $(x_i, y_i)$  are initial wave train coordinates at l = 0;  $\mathbf{c_{gi}} = (c_{gx}, c_{gy})$  is the initial wave group velocity, considered approximately constant along swell trajectory;  $\varphi_i$  is the wave-train propagation direction in geographical reference system.

#### 460 3.2.2. Swell Radiation Contour

471

Anticipated for a moving TC, the radius of swell generation  $R_{sw}$  is mod-461 ified compared to a stationary TC conditions. In the right TC sector, de-462 veloping waves deviate from wind direction outwards from a TC center, but 463 become again aligned with the wind while sliding down in a TC reference sys-464 tem. Thus, a transition to the swell regime occurs at larger radial distances 465 compared to a stationary TC. On the contrary, in the left sector, sliding 466 waves have the direction perpendicular or almost opposite to the wind one, 467 and  $R_{sw}$  shortens relative to  $R0_{sw}$ . 468

<sup>469</sup> Comparable to functions in Eqs. (11), a self-similar function  $C_{sw}$  to define <sup>470</sup> the contour  $R_{sw}$  normalized by  $R0_{sw}$  (Eq. (9)) is introduced:

$$R_{sw}/R0_{sw} = C_{sw}(R_m/L_{cr}^m, \theta), \tag{13}$$

where  $L_{cr}^m$  is the critical fetch defined through maximum wind speed:

$$L_{cr}^m g/u_m^2 = c_{cr}(u_m/2V)^{1/q}.$$

The universal function  $C_{sw}(R_m/L_{cr}^m,\theta)$  is obtained by averaging and smoothing the azimuthal radius distributions corresponding to  $u_{||}/c_p = 0.85$  versus the dimensionless parameter  $R_m/L_{cr}^m$ . A set of simulations is used with different  $R_m$ ,  $u_m$ , B and V. The result is shown Fig. 5. A transect of the matrix along  $R_m/Lcr^m = \text{const gives the radius of swell generation } R_{sw}(\theta)$ , for a TC with  $R_m/Lcr^m > 1$  (only "slow" TCs). For a "fast" TC  $(R_m/Lcr^m < 1)$ ,



Figure 5: Universal function  $C_{sw}$  (Eq. (13)) for the contour of swell generation  $(u_{||}/c_p = 0.85)$  for a moving TC relative to a stationary TC

the swell contour is not closed, "sliding down" to the backward TC sector.
In this region, we can neglect swell waves, small compared to "slow" TC
conditions.

Examples of simulated inverse wave age (color) and wave direction (arrows) fields are presented in Fig. 6 for three TCs, corresponding to different combinations of  $R_m$ ,  $u_m$ , B and V (directed to the North). Yellow curves are the contours  $u_{||}/c_p = 0.85$  obtained from 2D parametric model calculations. Red ones are those from the self-similar matrix  $C_{sw}$ , Eq. (13). Using  $C_{sw}$ , predictions quantitatively agree with direct simulations.

However, see the wind waves directions in Fig. 6a,c, contouring wind 487 waves transferring to swell systems cannot always be achieved to cover the 488 whole considered area, more particularly the top-left sector of the TC. From 489 the numerical simulations, swell systems in the top-left sector, travelling at 490 45 deg to the left from TC heading, originate from wind waves initially devel-491 oping in the right-top region. Swell can then slide down in the TC reference 492 system, being underneath the longer wind waves developing inside the con-493 tour. Further gaining energy and accelerating in the region of maximum 494 winds, these wind waves finally leave the inner area through the contour in 495 the top-left direction propagating as swell. This is not captured in our ap-496



Figure 6: Examples of implementation self-similar function (13) to obtain the contour of swell generation (red curves) in TCs with different parameters (V is directed to the North). Color indicates inverse wave age; arrows show the directions of the longest waves; yellow curves are isolines  $u \cos(\varphi - \varphi_w)/c_p = 0.85$  obtained from 2D parametric model simulations

<sup>497</sup> proach using the criterion  $u_{||}/c_p = 0.85$  for the longest waves in each grid <sup>498</sup> cell. However, in some simulations, e.g. on Fig. 6b, such waves still continue <sup>499</sup> their development as wind waves in the left half-space relative to TC head-<sup>500</sup> ing. In this case, the two contours  $u_{||}/c_p = 0.85$  are distinguished, the outer <sup>501</sup> one providing swell propagating in the top-left direction.

To take into account these waves for all cases, we artificially extend the contour obtained trough Eq. (13) by adding a condition  $R_{sw} = \max(R_{sw}, 1.5R_m)$ . We hypothesize that, at  $r = 1.5R_m$ , initial swell parameters, derived from the universal matrices, are close to observed ones in the top-left sector. Indeed, in this region,  $\Phi_H$ ,  $\Phi_{\lambda}$ ,  $\Phi_{\varphi}$  are determined from cases comparable to Fig. 6b, where comparable swell waves are formed.

### 508 4. Model Summary

A flowchart explaining the model calculation procedure is presented Fig. 7. Input parameters come from the TC axi-symmetric wind field (1), with maximum wind speed  $u_m$ , radius of maximum winds  $R_m$ , shape parameter B, and 20° inflow angle. The wind field is moving with translation velocity V. First, sets of reference parameters,  $H_0, \lambda_0, \varphi_0$ , from stationary TC condition (V = 0), are calculated using Eq. (4) for the energy and wavelength and Eq. (5) for the wave direction.



Figure 7: Scheme to derive the fields of wind waves and swell systems using TCW GMF

For a moving TC, Wind wave height (H), wavelength  $(\lambda)$  and direction 516  $(\varphi)$  are described by self-similar solutions (11), numerically derived Fig. 4. 517 The contour  $R_{sw}(\theta)$  marking the transition from wind waves to swell transi-518 tion is then determined, using (13) and (9), also numerically derived (Fig. 5). 519 H,  $\lambda$  and  $\varphi$  from self-similar solutions (11) along the transition contour, are 520 then used as boundary conditions  $(H_{sw0}, \lambda_{sw0} \text{ and } \varphi_{sw0})$  in analytical ex-521 pressions to determine swell parameters  $H_{sw}$ ,  $\lambda_{sw}$  and  $\varphi_{sw}$ . Swell direction is 522 considered constant along its trajectory, equal to that at its initial contour 523 origin. 524

<sup>525</sup> Superposition of wind waves and swell represents mixed sea condition.
 <sup>526</sup> Following KYC21b, we term this self-similar model as TC-Wave Geophysical
 <sup>527</sup> Model Function (TCW GMF).

Numerical tables for all 2D self-similar functions and MATLAB examples
 of TCW GMF implementation in any Earth hemisphere are available online
 at https://doi.org/10.5281/zenodo.6970690.

### 531 5. Validation

532 5.1. Wind and Wave Data

To test the TCW GMF, multi-satellite altimeter measurements, accumulated within TC regions during the period January 2020 to March 2022, are

considered. Level-3 along-track products, significant wave height and wind 535 speed, from 7 altimeters (AltiKa, CryoSat-2, CFOSAT, HaiYang-2B, Jason-536 3, Sentinel-3A, Sentinel-3B) are available through the Copernicus Marine Ser-537 vice (https://resources.marine.copernicus.eu/products). TCs coordinates ev-538 ery 3 hours and wind field information (maximum wind speed, radius of max-539 imum winds and, if available, radii of 30, 34, 50 and 64 kn winds in different 540 TC quadrants) are taken from the Best Track Data (International Best Track 541 Archive for Climate Stewardship (IBTrACS), https://www.ncdc.noaa.gov/ibtracs/), 542 to fit wind profile by the Holland model (1). TC eve locations are used to 543 544 estimate TC translation velocity.

Resulting 2D wind fields are axi-symmetrical with 20° inflow angle, i.e. wind information from all 4 quadrants are equally weighted to approximate the wind profile. The original main TC parameters,  $u_m$  and  $R_m$  from the Best Track Data are averaged over the previous 12 hours. Thus, the approximation procedure reduces to estimate the parameter B, restricted to range from 0.5 to 2.5.

In some cases, the wind profile cannot exactly be fitted with function 551 (1), e.g. the same parameters  $u_m$ ,  $R_m$ , B cannot adjust to match both 552 the inner and outer TC zones. To solve this problem, these regions are 553 treated separately. For the inner part, wind data (r30, r34, r50, r64) were 554 weighted with inverse distance from TC center 1/r, and at the periphery they 555 were weighted with r. The maximum wind was always kept at distance  $R_m$ 556 from TC eye, but unfixed and limited by  $(0.9-1)u_m$  in the near zone and 557  $(0.5-1)u_m$  in the far zone. The envelope of these two fits, i.e. the maximum 558 wind speed at each radius, then is treated to be the desirable wind profile. 559

Only TCs, with maximum winds higher than 30 m/s are further considered. In total, 95 TC cases were collected, their trajectories shown Fig. 8. Altimeter track segments are selected to cross the TC area within 600 km to the eye. This dataset, combining wind parameters, including wind profile parameterization, and altimeter-derived wave information in TC regions is freely available at https://doi.org/10.5281/zenodo.6795330.

Among more than 2000 tracks, 708 were kept, for which TC parameters were quite stable during the previous 12-24 hours. For these selected cases, wind information is sufficient to model the wind profile, and waves are not shielded by islands or coast.



Figure 8: Trajectories of the most intensive TCs from January 2020 to March 2022. Color is maximum wind speed, white circles are TCs' origins, according to the Best Track Data

### 570 5.2. Model Results and Observations

First, a quasi-stationary TC case is considered. Formed over the Indian 571 Ocean in March 2021, TC Marian propagated with a very low velocity. At 572 its most intensive stage, TC Marian reaches maximum wind speed up to 50 573 m/s, with heading velocity about 1 m/s. Jason-3 track passed  $\sim 100$  km 574 away from the TC center, Fig. 9a. The Best Track parameters, averaged 575 over the previous 12 hours, give  $u_m = 46 \text{ m/s}$ ,  $R_m = 32 \text{ km}$ , and wind profile 576 approximation (1) gives B = 0.98, Fig. 9b. Wind vectors were directed 577 clockwise as assigned to TCs in Southern hemisphere. 578

Wind wave Hs and wavelength fields obtained from self-similar solutions 579 (11) are shown Fig. 9c,f. Owing to small TC heading velocity, these fields are 580 almost symmetrical. The largest TC-generated waves develop to about 7 m 581 with wavelengths  $\sim 200$  m. Taking into account swell waves, starting from 582 contour (13), Fig. 9d,g, brings excellent agreement with altimeter-measured 583 Hs, Fig. 9e. TCW GMF-derived Hs and wavelength fields are close to that 584 obtained from direct KYC21a wave-ray simulations, Fig. 9i, j, where rays are 585 superimposed so that the longest waves lie atop the shorter ones. Maximum 586 Hs and wavelength predictions also agree with Young (2017) (or Young and 587 Vinoth (2013)) extended fetch model with input parameters  $R_m=32$  km; 588



Figure 9: (a) Trajectory of TC Marian (color circles) and track of altimeter Jason-3 altimeter; red circle is TC location at the time of altimeter measurements. (b) Circles: wind speed from Best Track Data during previous 12 hours before altimeter passage; blue line is fit (1) of wind profile. TCW GMF-derived fields of wind waves Hs (c) and wavelength (f), longest waves Hs (d) and wavelength (g); superposition of wind waves and swell (e). Black contours in (c)-(g) are  $R_{sw}$  (13), black solid lines indicate TC heading direction. (h) Along-track Hs profiles for modeled wind waves (solid black), swell (dashed black) and their superposition (blue) compared with altimeter Hs measurements (red). KYC21a model simulations of (i) wave height and (j) wave length for this TC

 $u_m$ =46 m/s and V=1 m/s, giving 7.4 and 152 m, respectively.

Along-track wave height profiles are shown in more detail in Fig. 9h. Illustrated, Hs of wind waves (black solid line) and swell (dashed) have close magnitudes, ranging from 3 m at the periphery to 5 m closer to the TC center. Their superposition (blue symbols) gives values qualitatively and quantitatively (within 20% accuracy) consistent with altimeter-derived Hs (red).

An other case, TC Larry, formed in North Atlantic in September 2021, 596 is presented Fig. 10. This TC attained wind speeds up to 55 m/s. At 597 the moment of Jason-3 acquisitions, TC Larry was moving with velocity 598 4 m/s, being a typical example of "slow TC"  $(R_m/L_{cr}^m \approx 80)$ . The wind 599 profile could not be accurately fitted with single function (1), with a marked 600 sharp wind decay, followed by a saturation at  $\sim 20$  m/s at radii 150-300 km, 601 Fig. 10a. Thus, two profiles with  $u_m = 55 \text{ m/s}$ ,  $R_m = 74 \text{ km}$ , B = 2.5 m/s602 and  $u_m = 36$  m/s,  $R_m = 74$  km, B = 1.4, were merged to fit the wind 603 observations. The envelope of these profiles was then used to input the 604 TCW GMF. 605

Larry's TCW GMF-reconstructed wind waves fields reveal expected strong 606 azimuthal asymmetry with maximum waves in the right (North) quadrant, 607 reaching 15 m height and 350 m wavelength, Fig. 10c,f. Again, this is in 608 agreement both with full KYC21a simulations, Fig. 10i, j and Young (2017) 609 extended fetch model prediction of maximum Hs and wavelength (15.1 and 610 332 m, respectively), as well as with Young (1988) H/Hmax distributions, 611 Fig. 10k (note that these simulations were performed for a TC moving up-612 613 ward).

Swell contour is also asymmetric, stretched to the right-backward sector. 614 The longest 350 m swell waves are radiated in the East direction, Fig. 10g. 615 Superposition of wind waves and swell is shown Fig. 10e, and Hs transects 616 along the altimeter track are given Fig. 10h. For this TC case, the energy 617 of wind waves, several radii ahead the TC center, is dominated by its swell 618 counterpart, i.e. compare black solid and dashed lines in Fig. 10h. The 619 measured Hs can solely be attributed to swell. Here, the local wind sea 620 is fully developed, as confirmed using the Pierson-Moskovitz (Pierson and 621 Moskowitz (1964)) expression for the height  $H_{PM}$  of fully developed waves 622 under the action of constant wind u: 623

$$H_{PM} = 0.21u^2/g,$$
 (14)

625 with local wind speed taken from the altimeter product, gray circles in

624



Figure 10: (a) Trajectory of TC Larry (color circles) and track of altimeter CryoSat-2; red circle is TC location at the time of altimeter measurements. (b) Circles: wind speed from Best Track Data during previous 12 hours before altimeter passage; solid curves are fit (1) for inner (blue) and far (green) TC zones. TCW GMF-derived fields of wind waves Hs (c) and wavelength (f), longest waves Hs (d) and wavelength (g); superposition of wind waves and swell (e). Black contours in (c)-(25) are  $R_{sw}$  (13), black solid lines indicate TC heading direction. (h) Along-track Hs profiles for modeled wind waves (solid black), swell (dashed black) and their superposition (blue) compared with altimeter Hs measurements (red). Gray circles are the upper estimate of wind waves (14) from altimeter-derived wind speed. (i)-(j) KYC21a wave-ray simulations of wave height and wave length for this TC and (k) normalized significant wave height and mean wave direction distributions for a TC with similar parameters moving upwards (figure from Young (1988))

Fig. 10h. The overall consistency with the along-track modeled wind waves confirms the TCW GMF good performance.

Further note an interesting feature of swell wave field predicted by TCW 628 GMF, Fig. 10d - energy intensification in the North-East direction due to ray 629 focusing effect. A similar peculiarity is seemingly revealed in the altimeter 630 measurements, see along-track Hs profile (red) in Fig. 10h at Y = 350 -631 400 km. Though local peak in Hs measurements is not well expressed, we may 632 still speculate that it originates from swell ray focusing. At large distance, 633 this effect can also be masked due to deviation of swell trajectories, e.g. swell 634 635 refraction in the presence of intense ocean currents.

Hurricane Niran, rapidly traveling in South Pacific at its terminal stage 636 on 7th March 2021, Fig. 11, is a "fast" TC case. Its translation velocity var-637 ied from 10 to 21 m/s, giving  $R_m/L_{cr}^m \ll 1$  for  $R_m = 47$  km and  $u_m = 32$  m/s. 638 TC trajectory, track of altimeter CryoSat-2 and fit (1) of the Best Track wind 639 data are shown Fig. 11a,b. As developed, only the wind wave field can be 640 assessed through TCW GMF for "fast" TCs, Fig. 11c,d. Maximum waves 641 in these TCs "slide down" to the right-backward quadrant (left-backward in 642 Southern hemisphere) relative to TC heading. Sea state usually does not 643 reach very large Hs and wavelength values (6 m and 150 m, respectively in 644 the case of Niran). Direct model simulations, Fig. 11f,g, generally predict the 645 same Hs and wave length magnitudes, but slightly differ at the TC periphery 646 in the back sector providing more detailed and complicated wave-ray distri-647 butions. Wave height distributions for a similar TC, suggested by Young 648 (1988), Fig. 11h (plotted for Northern hemisphere and TC moving upward), 649 and Young (2017) estimates of maximum wave height and wavelength, 6.6 m 650 and 154 m, respectively, also correspond well to TCW GMF and KYC21a 651 results. Altimeter track passed 200 km ahead the TC where both modeled 652 and measured Hs give consistent values around 2-3 m, Fig. 11e. 653

Finally, a scatter plot comparing modeled and observed Hs along all 703 selected altimeter tracks is presented, Fig. 12. Color indicates the number of data points in a given 25x25 cm wave height range. Obtained correlation coefficient is 0.84 and root mean square error is 1.1 m with no significant bias.

659 5.3. Model limitations

To recall, the TCW GMF is developed to provide simple first guess estimates of wave fields generated by an arbitrary TC in deep water, neglecting surface current effects. TCW GMF predictions are not intended to compete



Figure 11: (a) Trajectory of TC Niran (color circles) and track of altimeter CryoSat-2 (red solid line); red circle is TC location at the time of altimeter measurements. (b) Circles: wind speed from Best Track Data during previous 12 hours before altimeter passage; blue line is fit (1) of wind profile. TCW GMF-derived fields of wind waves wavelength (c) and Hs (d); black solid lines indicate TC heading direction. (h) Along-track profiles of modeled (blue) and measured (red) Hs. KYC21a wave-ray simulations of (f) wavelength and (g) wave height for this TC and (h) normalized significant wave height and mean wave direction for a TC with similar parameters moving upward in Northern hemisphere (figure from Young (1988))



Figure 12: Scatter plot for TCW GMF- and altimeter-derived significant wave height along 708 altimeter tracks in 95 TCs

with advanced wind wave generation models or even with full 2D parametric 663 model (KYC21a). Thus, to derive and test the self-similar functions, quite 664 rough assumptions can be accepted, especially regarding the axi-symmetric 665 wind field and constant (averaged) values of wind profile parameters, TC 666 heading velocity and direction for the whole period of waves development. 667 Nonetheless, Fig. 12 demonstrates an overall convincing agreement of TCW 668 GMF predictions with observed Hs values. It proves the method robustness 669 and can then be used for rapid ensemble estimations and/or comparisons 670 with satellite observations. 671

Being fast and easy to use, TCW GMF provides a steady solution for 672 waves developing under the same conditions for at least 12 hours, and thus, 673 it cannot correctly reproduce the wave fields in complicated situations, when 674 TC trajectory is far from a strait line during this time, or if the wind field 675 changes rapidly, and also in the very beginning of TC evolution. The solu-676 tions are not applicable if the wind profile differs significantly from Holland-677 like function. The result can also be imprecise if the wind inflow angle differs 678 much from 20°, as assumed in this study, or if the wind angular distribution 679 is strongly asymmetrical - in this case the wave field is mostly determined by 680 the wind shape in left quadrant. Besides this, TCW GMF can underestimate 681 the waves in a TC eye,  $r < R_m$ , as swell originated in the right-up quadrant 682 is considered only at radii starting from  $1.5R_m$ . 683

In all these situations either full KYC21a model (example of its im-

plementation in arbitrary space and time varying wind conditions is given in Kudryavtsev et al. (2022)) or advanced spectral models like WAM and WAVEWATCH-III can be preferable.

#### 688 6. Summary

Simple self-similar solutions, termed Tropical Cyclone-Wave Geophysical
 Model Function (TCW GMF) to describe wave fields generated by a TC have
 been derived.

First, wave parameters for stationary TC conditions are calculated. Solu-692 tions are found similar to classical fetch laws for the wind wave development, 693 with the radial distance from TC center replacing the fetch definition. Ra-694 dial profiles of the wind wave heights, wavelengths and direction, obtained 695 for stationary TC conditions, are considered as the reference ones. These so-696 lutions are then further used to derive parameters for moving TC conditions. 697 Self-similar functions-matrices are derived, generalizing 2D parametric model 698 simulations with different TC parameters (maximum wind speed, cyclone ra-699 dius, wind shape parameter B and TC translation velocity). Compared to 700 previous developments (KYC21b), this new version of TCW GMF is now 701 valid at larger distances from the TC eye, including the TC outer periphery. 702 It can also better account for the wave field sensitivity to the shape of the 703 wind profile. 704

<sup>705</sup> Wind waves distributions are completed with analytical description of <sup>706</sup> swell emitted away from the TC intense area, emanating along a contour <sup>707</sup> marking the wind waves-to-swell transition. This contour is defined from the <sup>708</sup> universal function-matrix, providing boundaries where the local inverse wave <sup>709</sup> age of wind waves reaches the threshold value  $u_{\parallel}/c_p = 0.85$ .

These proposed self-similar solutions were validated using more than 700
altimeter measurements with tracks crossing different TCs during the 20202022 period. Best Track Data were used to derive the guess wind profile,
TC's coordinates and translation velocity. Comparisons between modeled
and measured wave heights demonstrate encouraging consistency.

<sup>715</sup> Suggested TCW GMF efficiently thus provides immediate first-guess es-<sup>716</sup> timates of 2D surface wave distributions (Hs, wavelength and direction of <sup>717</sup> wind waves and swell). The model offers a relative computation simplic-<sup>718</sup> ity, and can be used as an auxiliary instrument for different scientific and <sup>719</sup> practical applications, i.e. to perform ensembles using varied input TC pa-<sup>720</sup> rameters, to improve understandings and predictions of surface wave gen-

eration under extreme wind conditions, and/or to assess wave dissipation 721 and breaking impacts on the vertical mixing intensity in the upper ocean 722 upper (e.g. Chukharev and Pavlov (2021); Kudryavtsev et al. (2019)). First 723 guess wave fields can also be used to analyze high resolution synthetic aper-724 ture radar (SAR) scenes, acquired during extreme conditions (Mouche et al. 725 (2019); Combot et al. (2020)), to help advance improved retrieval algorithms, 726 and more precisely monitor and predict wave evolution across ocean basins 727 (Ardhuin et al. (2009); Collard et al. (2009)). 728

- <sup>729</sup> For users' convenience all the universal numerical matrices and TCW
- <sup>730</sup> GMF MATLAB code are freely available at https://doi.org/10.5281/zenodo.6970690.

#### 731 Acknowledgements

The core support for this work was provided by the Russian Science 732 Foundation Grant No. 21-17-00236. The support of the Ministry of Sci-733 ence and Education of the Russian Federation under State Assignment No. 734 FNNN-2021-0004 at MHI RAS (provision of information and computing re-735 sources in development of a TC dataset) and State Assignment No. 0736-736 2020-0005726 at RSHU (numerical simulations using 2D parametric model) 737 are gratefully acknowledged. This study is also supported by the ESA 738 OCEAN+EXTREME MAXSS project C.N.4000132954/20/I-NB and ESA 739 Contract No. 4000135827/21/NL - Harmony Science Data Utilisation and 740 Impact Study for Ocean. 741

#### 742 References

- 743 Aouf, L., Hauser, D., Chapron, B., Toffoli, A., Tourain, C., Peureux,
- 744 C., 2021. New directional wave satellite observations: Towards
- 745 improved wave forecasts and climate description in southern
- 746 ocean. Geophysical Research Letters 48, e2020GL091187. URL:
- r47 https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2020GL091187,
- doi:https://doi.org/10.1029/2020GL091187, arXiv:https://agupubs.onlinelibrary.wiley.c
- e2020GL091187 2020GL091187.

750 Ardhuin, F., Chapron, B., Collard, F., 2009. Observation of swell

- <sup>751</sup> dissipation across oceans. Geophysical Research Letters 36. URL:
- https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2008GL037030,
- ${}_{753} \qquad doi:https://doi.org/10.1029/2008GL037030, \texttt{arXiv:https://agupubs.onlinelibrary.wiley.c}$

Babanin, A.V., Rogers, W.E., de Camargo, R., Doble, M., Durrant, T., 754 Filchuk, K., Ewans, K., Hemer, M., Janssen, T., Kelly-Gerreyn, B., 755 Machutchon, K., McComb, P., Qiao, F., Schulz, E., Skvortsov, A., Thom-756 son, J., Vichi, M., Violante-Carvalho, N., Wang, D., Waseda, T., Williams, 757 G., Young, I.R., 2019. Waves and swells in high wind and extreme fetches, 758 measurements in the southern ocean. Frontiers in Marine Science 6. URL: 759 https://www.frontiersin.org/article/10.3389/fmars.2019.00361, 760 doi:10.3389/fmars.2019.00361. 761 Babanin, A.V., Soloviev, Y.P., 1998. Field investigation of transformation 762 of the wind wave frequency spectrum with fetch and the stage of develop-763 ment. Journal of Physical Oceanography 28, 563 - 576. doi:10.1175/1520-764 0485(1998)028;0563:FIOTOT; 2.0.CO; 2. 765 Badulin, S.I., Babanin, A.V., Zakharov, V.E., Resio, D., 2007. Weakly turbu-766 lent laws of wind-wave growth. Journal of Fluid Mechanics 591, 339–378. 767 doi:10.1017/S0022112007008282. 768 Badulin, S.I., Zakharov, V.E., 2017. Ocean swell within the kinetic 769 equation for water waves. Nonlinear Processes in Geophysics 24, 770 237-253. URL: https://npg.copernicus.org/articles/24/237/2017/, 771 doi:10.5194/npg-24-237-2017. 772 Booij, N., Ris, R.C., Holthuijsen, L.H., 1999. A third-generation 773 wave model for coastal regions: 1. model description and valida-774 tion. Journal of Geophysical Research: Oceans 104, 7649-7666. URL: 775 https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/98JC02622, 776 doi:https://doi.org/10.1029/98JC02622, arXiv:https://agupubs.onlinelibrary.wiley.com/ 777 Bowyer, P.J., MacAfee, A.W., 2005. The theory of trapped-fetch waves with 778 tropical cyclones an operational perspective. Weather and Forecasting 779 20, 229-244. 780 Bretschneider, C., 1959. Hurricane design-wave practices. Trans. ASCE 781 124, 39-62. 782

<sup>783</sup> Chukharev, A.M., Pavlov, M.I., 2021. Model and experimental estimates
<sup>784</sup> of vertical mixing intensity in the sea upper homogeneous layer. Physical
<sup>785</sup> Oceanography 28, 309–325.

Collard, F., Ardhuin, F., Chapron, B., 2009. Monitoring and analysis of
 ocean swell fields from space: New methods for routine observations. Jour nal of Geophysical Research 114.

Combot, C., Mouche, A., Knaff, J., Zhao, Y., Vinour, L., Quilfen, Y.,
Chapron, B., 2020. Extensive high-resolution synthetic aperture radar
(sar) data analysis of tropical cyclones: comparisons with sfmr flights and
best-track. Monthly Weather Review 148, 4545–4563. doi:10.1175/MWRD-20-0005.1.

Dysthe, K.B., Harbitz, Α., 1987. Big waves from po-794 lar lows? Tellus A: Dynamic Meteorology and Oceanog-795 raphy 39,500 - 508.doi:10.3402/tellusa.v39i5.11776, 796 arXiv:https://doi.org/10.3402/tellusa.v39i5.11776. 797

Hasselmann, K., 1962. On the non-linear energy transfer in a gravity-wave
spectrum part 1. general theory. Journal of Fluid Mechanics 12, 481–500.
doi:10.1017/S0022112062000373.

Hasselmann, K., Hasselmann, K., Bauer, E., Janssen, P., Komen, G.,
Bertotti, L., Lionello, P., Guillaume, A., Cardone, V., Greenwood, J.,
Reistad, M., Zambresky, L., Ewing, J., 1988. The wam model - a third
generation ocean wave prediction model. Journal of Physical Oceanography 18, 1775–1810.

Hauser, D., Tourain, C., Hermozo, L., Alraddawi, D., Aouf, L., Chapron, 806 B., Dalphinet, A., Delaye, L., Dalila, M., Dormy, E., Gouillon, F., Gres-807 sani, V., Grouazel, A., Guitton, G., Husson, R., Mironov, A., Mouche, 808 A., Ollivier, A., Oruba, L., Piras, F., Rodriguez Suquet, R., Schippers, 809 P., Tison, C., Tran, N., 2021. New observations from the swim radar 810 on-board cfosat: Instrument validation and ocean wave measurement as-811 sessment. IEEE Transactions on Geoscience and Remote Sensing 59, 5–26. 812 doi:10.1109/TGRS.2020.2994372. 813

Holland, G.J., 1980. An analytic model of the wind and pressure profiles in
 hurricanes. Monthly Weather Review 108, 1212–1218. doi:10.1175/1520 0493(1980)108j1212:AAMOTW;2.0.CO;2.

Kalourazi, M.Y., Siadatmousavi, S.M., Yeganeh-Bakhtiary, A., Jose, F.,
 2021. Wavewatch-iii source terms evaluation for optimizing hurricane wave



- https://www.sciencedirect.com/science/article/pii/S0078323420301111,
- doi:https://doi.org/10.1016/j.oceano.2020.12.001.

822 King, D., Shemdin, O., 1987. Radar observations of hurricane wave direc-

- tions. In 16th International Confession on Coastal Engineering; Hamburg,
- Germany, 1978; ASCE: Hamburg, Germany , 209–226.
- Kitaigorodski, S., 1962. Applications of the theory of similarity to the analy sis of wind-generated wave motion as a stochastic process. Bulletin of the
- Academy of Sciences of the USSR Geophysics Series 1, 105–117.

Kudryavtsev, V., Cheshm Siyahi, V., Yurovskaya, M., Chapron, B.,
 2022. On Surface Waves in Arctic Seas. Boundary-Layer Meteorology
 doi:10.1007/s10546-022-00768-9.

Kudryavtsev, V., Golubkin, P., Chapron, B., 2015. A simplified
wave enhancement criterion for moving extreme events. Jour-

- nal of Geophysical Research: Oceans 120, 7538–7558. URL:
- https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/2015JC011284,
- <sup>835</sup> doi:https://doi.org/10.1002/2015JC011284.

Kudryavtsev, V., Monzikova, A., Combot, C., Chapron, B., Reul,
N., Yves, Q., 2019. A simplified model for the baroclinic and
barotropic ocean response to moving tropical cyclones: 1. satellite observations. Journal Of Geophysical Research-oceans 124,
3446-3461. URL: https://archimer.ifremer.fr/doc/00491/60252/,
doi:https://doi.org/10.1029/2018JC014746.

Kudryavtsev, V., Yurovskaya, M., Chapron, B., 2021a. 2D Parametric Model for Surface Wave Development Under Varying Wind Field in
Space and Time. Journal of Geophysical Research (Oceans) 126, e16915.
doi:10.1029/2020JC016915.

Kudryavtsev, V., Yurovskaya, M., Chapron, B., 2021b. Self Similarity of Surface Wave Developments Under Tropical Cyclones. Journal of Geophysical
Research (Oceans) 126, e16916. doi:10.1029/2020JC016916.

Mouche, A., Chapron, B., Knaff, J., Zhao, Y., Zhang, B., Combot, C., 2019. Copolarized and cross-polarized sar measurements for high-resolution description of major hurricane wind

structures: Application to irma category 5 hurricane. Jour-852 Oceans 124, 3905–3922. nal of Geophysical Research: URL: 853 https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2019JC015056, 854 doi:https://doi.org/10.1029/2019JC015056. 855 Pierson, Willard J., J., Moskowitz, L., 1964. A Proposed Spectral Form 856 for Fully Developed Wind Seas Based on the Similarity Theory of S. A. 857 Kitaigorodskii. Journal of Geophysical Research: Oceans 69, 5181–5190. 858 doi:10.1029/JZ069i024p05181. 859 Shimura, T., Mori, N., Urano, D., Takemi, T., Mizuta, R., 2022. Tropical 860 Cyclone Characteristics Represented by the Ocean Wave-Coupled Atmo-861 spheric Global Climate Model Incorporating Wave-Dependent Momentum 862 Flux. Journal of Climate 35, 499–515. doi:10.1175/JCLI-D-21-0362.1. 863 Tao, D., Bell, М., Rotunno, R., van Leeuwen, P.J., 2020.864 maximum intensities in modeled tropical cy-Why do the 865 clones vary under the same environmental conditions? Geo-866 47, e2019GL085980. physical Research Letters URL: 867 https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2019GL085980, 868 doi:https://doi.org/10.1029/2019GL085980, arXiv:https://agupubs.onlinelibrary.wiley.c 869 e2019GL085980 2019GL085980. 870 Tolman, H.L., 2009. User manual and system documentation of 871 wavewatch iii version 3.14 (tech. note 276). Camp Springs, MD: 872 NOAA/NWS/NCEP/MMAB, 194. 873 Young, I.R., 1988. Parametric hurricane wave prediction model. Jour-874 nal of Waterway, Port, Coastal, and Ocean Engineering 114, 637-652. 875 doi:10.1061/(ASCE)0733-950X(1988)114:5(637). 876 2006.Directional spectra of hurricane Young, I.R., wind 877 Journal of Geophysical Research: Oceans 111. URL: waves. 878 https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2006JC003540. 879 doi:https://doi.org/10.1029/2006JC003540, arXiv:https://agupubs.onlinelibrary.wiley.c 880 2017.Young, I.R., А review of parametric descriptions 881 of tropical cyclone wind-wave generation. Atmosphere 882 URL: https://www.mdpi.com/2073-4433/8/10/194, 8. 883 doi:10.3390/atmos8100194. 884 34

Young, I.R., Vinoth, J., 2013. An "extended fetch" model for the spatial 885 distribution of tropical cyclone wind-waves as observed by altimeter. Ocean 886 Engineering 70, 14–24. 887 Yurovskaya, M., Kudryavtsev, V., Mironov, A., Mouche, A., Col-888 lard, F., Chapron, B., 2022. Surface wave developments un-889 der tropical cyclone goni (2020): Multi-satellite observations and 890 Remote Sensing 14. parametric model comparisons. URL: 891 https://www.mdpi.com/2072-4292/14/9/2032, doi:10.3390/rs14092032. 892 Geogjaev, Zakharov, V.E., Badulin, S.I., V.V., Pushkarev, 893 A.N., 2019. of wind-driven Weak-turbulent theory 894 sea. Earth and Space Science 6, 540-556.URL: 895 https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2018EA000471, 896 doi:https://doi.org/10.1029/2018EA000471. 897 Zaslavskii, M.M., 2000. Nonlinear evolution of the spectrum of swell. Izv. 898

- <sup>899</sup> Atmos. Ocean. Phys. 36, 253–260.
- <sup>900</sup> Zhang, J.A., Uhlhorn, E.W., 2012. Hurricane sea sur-
- 901 face inflow angle and an observation-based parametric
- $_{902}$  model. Monthly Weather Review 140, 3587 3605. URL:
- <sup>903</sup> https://journals.ametsoc.org/view/journals/mwre/140/11/mwr-d-11-00339.1.xml,
- <sup>904</sup> doi:10.1175/MWR-D-11-00339.1.

All persons who meet authorship criteria are listed as authors, and all authors certify that they have participated sufficiently in the work to take public responsibility for the content, including participation in the concept, design, analysis, writing, or revision of the manuscript.

Each author certifies that this material or similar material has not been and will not be submitted to or published in any other publication before its appearance in the Journal of Ocean Modelling.

All authors have read and agreed to the published version of the manuscript.

Author Contributions:

Maria Yurovskaya: formulation of research goals and aims; methodology; software development; data collection; validation; writing the initial and revised draft

Vladimir Kusryavtsev: conceptualization; formulation and evolution of research goals and aims; methodology; formal analysis; writing: review and editing

Bertrand Chapron: conceptualization; writing: review and editing

#### **Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

