



#### **Global Carbon Budget 2022** 1

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## 155 Abstract

156 Accurate assessment of anthropogenic carbon dioxide (CO2) emissions and their redistribution among the 157 atmosphere, ocean, and terrestrial biosphere in a changing climate is critical to better understand the global 158 carbon cycle, support the development of climate policies, and project future climate change. Here we describe 159 and synthesise data sets and methodology to quantify the five major components of the global carbon budget 160 and their uncertainties. Fossil CO2 emissions (EFOS) are based on energy statistics and cement production data, 161 while emissions from land-use change (ELUC), mainly deforestation, are based on land-use and land-use 162 change data and bookkeeping models. Atmospheric CO2 concentration is measured directly, and its growth rate 163 (GATM) is computed from the annual changes in concentration. The ocean CO2 sink (SOCEAN) is estimated 164 with global ocean biogeochemistry models and observation-based data-products. The terrestrial CO2 sink 165 (SLAND) is estimated with dynamic global vegetation models. The resulting carbon budget imbalance (BIM), 166 the difference between the estimated total emissions and the estimated changes in the atmosphere, ocean, and 167 terrestrial biosphere, is a measure of imperfect data and understanding of the contemporary carbon cycle. All 168 uncertainties are reported as  $\pm 1\sigma$ .

169 For the year 2021, EFOS increased by 5.1% relative to 2020, with fossil emissions at  $10.1 \pm 0.5$  GtC yr-1 (9.9  $\pm$ 170 0.5 GtC yr-1 when the cement carbonation sink is included), ELUC was  $1.1 \pm 0.7$  GtC yr-1, for a total 171 anthropogenic CO2 emission of  $11.1 \pm 0.8$  GtC yr-1 (40.8  $\pm 2.9$  GtCO2). Also, for 2021, GATM was  $5.2 \pm 0.2$ 172 GtC yr-1 ( $2.5 \pm 0.1$  ppm yr-1), SOCEAN was  $2.9 \pm 0.4$  GtC yr-1 and SLAND was  $3.5 \pm 0.9$  GtC yr-1, with a 173 BIM of -0.6 GtC yr-1 (i.e. total estimated sources too low or sinks too high). The global atmospheric CO2 174 concentration averaged over 2021 reached  $414.71 \pm 0.1$  ppm. Preliminary data for 2022, suggest an increase in 175 EFOS relative to 2021 of +1.1% (0% to 1.7%) globally, and atmospheric CO2 concentration reaching 417.3 176 ppm, more than 50% above pre-industrial level. Overall, the mean and trend in the components of the global 177 carbon budget are consistently estimated over the period 1959-2021, but discrepancies of up to 1 GtC yr<sup>-1</sup> persist 178 for the representation of annual to semi-decadal variability in CO<sub>2</sub> fluxes. Comparison of estimates from 179 multiple approaches and observations shows: (1) a persistent large uncertainty in the estimate of land-use 180 changes emissions, (2) a low agreement between the different methods on the magnitude of the land CO<sub>2</sub> flux in 181 the northern extra-tropics, and (3) a discrepancy between the different methods on the strength of the ocean sink 182 over the last decade. This living data update documents changes in the methods and data sets used in this new 183 global carbon budget and the progress in understanding of the global carbon cycle compared with previous 184 publications of this data set (Friedlingstein et al., 2022a; Friedlingstein et al., 2020; Friedlingstein et al., 2019; 185 Le Quéré et al., 2018b, 2018a, 2016, 2015b, 2015a, 2014, 2013). The data presented in this work are available at 186 https://doi.org/10.18160/GCP-2022 (Friedlingstein et al., 2022b).

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## 189 Executive Summary

| 190 | Global fossil CO <sub>2</sub> emissions (excluding cement carbonation) further increased in 2022, being now slightly  |
|-----|---|
| 191 | above their pre-COVID19 pandemic level. The 2021 emission increase was 0.46 GtC yr <sup>-1</sup> (1.7 GtCO <sub>2</sub> yr <sup>-1</sup> ),                   |
| 192 | bringing 2021 emissions to $10.1 \pm 0.5$ GtC yr <sup>-1</sup> (36.9 $\pm 1.8$ GtCO <sub>2</sub> yr <sup>-1</sup> ), slightly below the emissions level of    |
| 193 | 2019. Preliminary estimates based on data available suggest fossil CO <sub>2</sub> emissions continued to increase in 2022,                                   |
| 194 | by 1.1% relative to 2021 (0% to 1.7%), bringing emissions at 10.2 GtC yr <sup>-1</sup> (37.3 GtCO <sub>2</sub> yr <sup>-1</sup> ), slightly above the         |
| 195 | 2019 level (10.1 $\pm$ 0.5 GtC yr <sup>-1</sup> , 37.0 $\pm$ 1.8 GtCO <sub>2</sub> yr <sup>-1</sup> ). Emissions from coal, oil, and gas in 2022 are expected |
| 196 | to be above their 2021 levels (by 0.8%, 2.2% and 1.1% respectively). Regionally, emissions in 2022 are  |
| 197 | expected to have been decreasing by 1.5% in China (3.0 GtC, 11.1 GtCO <sub>2</sub> ), and 1% in the European Union (0.8                                       |
| 198 | GtC, 2.8 GtCO <sub>2</sub> ), but increasing by 1.6% in the United States (1.4 GtC, 5.1 GtCO <sub>2</sub> ), 5.6% in India (0.8 GtC, 2.9                      |
| 199 | GtCO <sub>2</sub> ) and 2.5% for the rest of the world (4.2 GtC, 15.5 GtCO <sub>2</sub> ).  |
| 200 | Fossil CO <sub>2</sub> emissions decreased in 24 countries during the decade 2010-2019. Altogether, these 24 countries  |
| 201 | contribute to about 2.4 GtC $yr^{-1}$ (8.8 GtCO <sub>2</sub> ) fossil fuel CO <sub>2</sub> emissions over the last decade, only about one                     |
| 202 | quarter of world CO <sub>2</sub> fossil emissions.  |
| 203 | Global CO <sub>2</sub> emissions from land-use, land-use change, and forestry (LUC) averaged at $1.2 \pm 0.7$ GtC yr <sup>-1</sup>                            |
| 204 | $(4.5\pm2.6~GtCO_2~yr^{-1})$ for the 2012-2021 period with a preliminary projection for 2022 of $1.0\pm0.7~GtC~yr^{-1}$                                       |
| 205 | $^{1}$ (3.6 ± 2.6 GtCO <sub>2</sub> yr <sup>-1</sup> ). A small decrease over the past two decades is not robust given the large model                        |
| 206 | <b>uncertainty.</b> Deforestation emissions remain high at $1.8 \pm 0.4$ GtC yr <sup>-1</sup> over the 2012-2021 period, highlighting                         |
| 207 | a substantial mitigation potential for emissions reductions. Sequestration of $0.9 \pm 0.3$ GtC yr <sup>-1</sup> through re-                                  |
| 208 | /afforestation and forestry offsets one half of the deforestation emissions. Emissions from other transitions and   |
| 209 | from peat drainage and peat fire add further, small contributions. The highest emitters during 1959-2021 in   |
| 210 | descending order were Brazil, Indonesia, and the Democratic Republic of the Congo, with these 3 countries   |
| 211 | contributing more than half of the global total land-use emissions.   |
| 212 | The remaining carbon budget for a 50% likelihood to limit global warming to 1.5 $^\circ$ C, 1.7 $^\circ$ C and 2 $^\circ$ C has                               |
| 213 | respectively reduced to 105 GtC (380 GtCO <sub>2</sub> ), 200 GtC (730 GtCO <sub>2</sub> ) and 335 GtC (1230 GtCO <sub>2</sub> ) from the                     |
| 214 | beginning of 2023, equivalent to 9, 18 and 30 years, assuming 2022 emissions levels. Total anthropogenic  |
| 215 | emissions were 11.1 GtC yr <sup>-1</sup> (40.8 GtCO <sub>2</sub> yr-1) in 2021, with a preliminary estimate of 11.1 GtC yr <sup>-1</sup> (40.9                |
| 216 | GtCO2 yr <sup>-1</sup> ) for 2022. The remaining carbon budget to keep global temperatures below these climate targets has                                    |
| 217 | shrunk by 33 GtC (121 GtCO <sub>2</sub> ) since the release of the IPCC AR6 Working Group 1 assessment in 2019.   |
| 218 | $Reaching \ zero \ CO_2 \ emissions \ by \ 2050 \ entails \ cutting \ total \ anthropogenic \ CO_2 \ emissions \ by \ about \ 0.4 \ GtC \ (1.4)$              |
| 219 | GtCO <sub>2</sub> ) each year on average, comparable to the decrease during 2020, highlighting the scale of the action  |
| 220 | needed.   |
| 221 | The concentration of CO <sub>2</sub> in the atmosphere is set to reach 417.3 ppm in 2022, 51% above pre-industrial  |
| 222 | levels. The atmospheric CO <sub>2</sub> growth was $5.2 \pm 0.02$ GtC yr <sup>-1</sup> during the decade 2012-2021 (48% of total CO <sub>2</sub>              |
| 223 | emissions) with a preliminary 2022 growth rate estimate of around 5.5 GtC yr <sup>-1</sup> (2.6 ppm).   |
| 224 | The ocean CO2 sink resumed a more rapid growth in the past decade after low or no growth during the   |

225 1991-2002 period. However, the growth of the ocean CO<sub>2</sub> sink in the past decade has an uncertainty of a factor





- 226 of three, with estimates based on data products and estimates based on models showing an ocean sink trend of
- 227 +0.7 GtC yr<sup>-1</sup> decade<sup>-1</sup> and +0.2 GtC yr<sup>-1</sup> decade<sup>-1</sup> since 2010, respectively. The discrepancy in the trend
- 228 originates from all latitudes but is largest in the Southern Ocean. The ocean  $CO_2$  sink was  $2.9 \pm 0.4$  GtC yr<sup>-1</sup>
- $\label{eq:229} \mbox{ during the decade 2011-2020 (26\% \mbox{ of total CO}_2 \mbox{ emissions}), with a similar preliminary estimate of 2.9 \mbox{ GtC } yr^{-1}$
- **230** for 2022.
- 231 The land CO<sub>2</sub> sink continued to increase during the 2012-2021 period primarily in response to increased
- $\label{eq:constraint} \textbf{232} \qquad \textbf{atmospheric CO}_2 \textbf{, albeit with large interannual variability.} \quad The land CO_2 sink was 3.1 \pm 0.6 \ GtC \ yr^{-1}$
- 234 (2000-2009), with a preliminary 2022 estimate of around 3.4 GtC yr<sup>-1</sup>. Year to year variability in the land sink is
- about 1 GtC yr<sup>-1</sup>, making small annual changes in anthropogenic emissions hard to detect in global atmospheric
- $236 \quad CO_2 \ concentration.$
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### 238 1 Introduction

239 The concentration of carbon dioxide (CO2) in the atmosphere has increased from approximately 277 parts per 240 million (ppm) in 1750 (Joos and Spahni, 2008), the beginning of the Industrial Era, to  $414.7 \pm 0.1$  ppm in 2021 241 (Dlugokencky and Tans, 2022); Figure 1). The atmospheric CO<sub>2</sub> increase above pre-industrial levels was, 242 initially, primarily caused by the release of carbon to the atmosphere from deforestation and other land-use 243 change activities (Canadell et al., 2021). While emissions from fossil fuels started before the Industrial Era, they 244 became the dominant source of anthropogenic emissions to the atmosphere from around 1950 and their relative 245 share has continued to increase until present. Anthropogenic emissions occur on top of an active natural carbon 246 cycle that circulates carbon between the reservoirs of the atmosphere, ocean, and terrestrial biosphere on time 247 scales from sub-daily to millennia, while exchanges with geologic reservoirs occur at longer timescales (Archer 248 et al., 2009). 249 The global carbon budget (GCB) presented here refers to the mean, variations, and trends in the perturbation of 250  $CO_2$  in the environment, referenced to the beginning of the Industrial Era (defined here as 1750). This paper

describes the components of the global carbon cycle over the historical period with a stronger focus on the recent period (since 1958, onset of atmospheric CO<sub>2</sub> measurements), the last decade (2012-2021), the last year (2021) and the current year (2022). Finally, it provides cumulative emissions from fossil fuels and land-use change since the year 1750, the pre-industrial period; and since the year 1850, the reference year for historical simulations in IPCC AR6 (Eyring et al., 2016).

We quantify the input of CO<sub>2</sub> to the atmosphere by emissions from human activities, the growth rate of
atmospheric CO<sub>2</sub> concentration, and the resulting changes in the storage of carbon in the land and ocean
reservoirs in response to increasing atmospheric CO<sub>2</sub> levels, climate change and variability, and other
anthropogenic and natural changes (Figure 2). An understanding of this perturbation budget over time and the
underlying variability and trends of the natural carbon cycle is necessary to understand the response of natural
sinks to changes in climate, CO<sub>2</sub> and land-use change drivers, and to quantify emissions compatible with a given
climate stabilisation target.

263 The components of the CO<sub>2</sub> budget that are reported annually in this paper include separate and independent 264 estimates for the CO<sub>2</sub> emissions from (1) fossil fuel combustion and oxidation from all energy and industrial 265 processes; also including cement production and carbonation (E<sub>FOS</sub>; GtC yr<sup>-1</sup>) and (2) the emissions resulting 266 from deliberate human activities on land, including those leading to land-use change ( $E_{LUC}$ ; GtC yr<sup>-1</sup>); and their 267 partitioning among (3) the growth rate of atmospheric CO<sub>2</sub> concentration ( $G_{ATM}$ ; GtC yr<sup>-1</sup>), and the uptake of 268 CO2 (the 'CO2 sinks') in (4) the ocean (S<sub>OCEAN</sub>; GtC yr<sup>-1</sup>) and (5) on land (S<sub>LAND</sub>; GtC yr<sup>-1</sup>). The CO2 sinks as 269 defined here conceptually include the response of the land (including inland waters and estuaries) and ocean 270 (including coastal and marginal seas) to elevated CO<sub>2</sub> and changes in climate and other environmental 271 conditions, although in practice not all processes are fully accounted for (see Section 2.7). Global emissions and 272 their partitioning among the atmosphere, ocean and land are in balance in the real world. Due to the combination 273 of imperfect spatial and/or temporal data coverage, errors in each estimate, and smaller terms not included in our 274 budget estimate (discussed in Section 2.7), the independent estimates (1) to (5) above do not necessarily add up 275 to zero. We therefore (a) additionally assess a set of global atmospheric inversion system results that by design 276 close the global carbon balance (see Section 2.6), and (b) estimate a budget imbalance (B<sub>IM</sub>), which is a measure





(1)

277 of the mismatch between the estimated emissions and the estimated changes in the atmosphere, land and ocean,

- as follows:
- $279 \qquad B_{IM} = E_{FOS} + E_{LUC} (G_{ATM} + S_{OCEAN} + S_{LAND})$

280 G<sub>ATM</sub> is usually reported in ppm yr<sup>-1</sup>, which we convert to units of carbon mass per year, GtC yr<sup>-1</sup>, using 1 ppm
281 = 2.124 GtC (Ballantyne et al., 2012; Table 1). All quantities are presented in units of gigatonnes of carbon
282 (GtC, 10<sup>15</sup> gC), which is the same as petagrams of carbon (PgC; Table 1). Units of gigatonnes of CO<sub>2</sub> (or billion
283 tonnes of CO<sub>2</sub>) used in policy are equal to 3.664 multiplied by the value in units of GtC.

We also quantify E<sub>FOS</sub> and E<sub>LUC</sub> by country, including both territorial and consumption-based accounting for
 E<sub>FOS</sub> (see Section 2), and discuss missing terms from sources other than the combustion of fossil fuels (see
 Section 2.7).

287 The global CO<sub>2</sub> budget has been assessed by the Intergovernmental Panel on Climate Change (IPCC) in all 288 assessment reports (Prentice et al., 2001; Schimel et al., 1995; Watson et al., 1990; Denman et al., 2007; Ciais et 289 al., 2013; Canadell et al., 2021), and by others (e.g. Ballantyne et al., 2012). The Global Carbon Project (GCP, 290 www.globalcarbonproject.org, last access: 25 September 2022) has coordinated this cooperative community 291 effort for the annual publication of global carbon budgets for the year 2005 (Raupach et al., 2007; including 292 fossil emissions only), year 2006 (Canadell et al., 2007), year 2007 (GCP, 2008), year 2008 (Le Quéré et al., 293 2009), year 2009 (Friedlingstein et al., 2010), year 2010 (Peters et al., 2012b), year 2012 (Le Quéré et al., 2013; 294 Peters et al., 2013), year 2013 (Le Quéré et al., 2014), year 2014 (Le Quéré et al., 2015a; Friedlingstein et al., 295 2014), year 2015 (Jackson et al., 2016; Le Quéré et al., 2015b), year 2016 (Le Quéré et al., 2016), year 2017 (Le 296 Quéré et al., 2018a; Peters et al., 2017), year 2018 (Le Quéré et al., 2018b; Jackson et al., 2018), year 2019 297 (Friedlingstein et al., 2019; Jackson et al., 2019; Peters et al., 2020), year 2020 (Friedlingstein et al., 2020; Le 298 Quéré et al., 2021) and more recently the year 2021 (Friedlingstein et al., 2022a; Jackson et al., 2022). Each of 299 these papers updated previous estimates with the latest available information for the entire time series. 300 We adopt a range of  $\pm 1$  standard deviation ( $\sigma$ ) to report the uncertainties in our estimates, representing a 301 likelihood of 68% that the true value will be within the provided range if the errors have a Gaussian distribution, 302 and no bias is assumed. This choice reflects the difficulty of characterising the uncertainty in the CO<sub>2</sub> fluxes 303 between the atmosphere and the ocean and land reservoirs individually, particularly on an annual basis, as well 304 as the difficulty of updating the CO<sub>2</sub> emissions from land-use change. A likelihood of 68% provides an 305 indication of our current capability to quantify each term and its uncertainty given the available information. 306 The uncertainties reported here combine statistical analysis of the underlying data, assessments of uncertainties 307 in the generation of the data sets, and expert judgement of the likelihood of results lying outside this range. The

308 limitations of current information are discussed in the paper and have been examined in detail elsewhere

- 309 (Ballantyne et al., 2015; Zscheischler et al., 2017). We also use a qualitative assessment of confidence level to
- 310 characterise the annual estimates from each term based on the type, amount, quality, and consistency of the
- **311** evidence as defined by the IPCC (Stocker et al., 2013).
- This paper provides a detailed description of the data sets and methodology used to compute the global carbonbudget estimates for the industrial period, from 1750 to 2022, and in more detail for the period since 1959. This
- 314 paper is updated every year using the format of 'living data' to keep a record of budget versions and the changes





- 315 in new data, revision of data, and changes in methodology that lead to changes in estimates of the carbon
- 316 budget. Additional materials associated with the release of each new version will be posted at the Global Carbon
- 317 Project (GCP) website (http://www.globalcarbonproject.org/carbonbudget, last access: 25 September 2022),
- 318 with fossil fuel emissions also available through the Global Carbon Atlas (http://www.globalcarbonatlas.org,
- 319 last access: 25 September 2022). All underlying data used to produce the budget can also be found at
- 320 <u>https://globalcarbonbudget.org/</u> (last access: 25 September 2022). With this approach, we aim to provide the
- 321 highest transparency and traceability in the reporting of CO<sub>2</sub>, the key driver of climate change.

#### 322 2 Methods

323 Multiple organisations and research groups around the world generated the original measurements and data used 324 to complete the global carbon budget. The effort presented here is thus mainly one of synthesis, where results 325 from individual groups are collated, analysed, and evaluated for consistency. We facilitate access to original 326 data with the understanding that primary data sets will be referenced in future work (see Table 2 for how to cite 327 the data sets). Descriptions of the measurements, models, and methodologies follow below, and detailed 328 descriptions of each component are provided elsewhere.

This is the 17th version of the global carbon budget and the 11th revised version in the format of a living data update in Earth System Science Data. It builds on the latest published global carbon budget of Friedlingstein et al. (2022a). The main changes are: the inclusion of (1) data to year 2021 and a projection for the global carbon budget for year 2022; (2) the inclusion of country level estimates of  $E_{LUC}$ ; (3) a process-based decomposition of  $E_{LUC}$  into its main components (deforestation, carbon uptake on forests, emissions from organic soils, and net flux from other transitions).

- The main methodological differences between recent annual carbon budgets (2018-2022) are summarised inTable 3 and previous changes since 2006 are provided in Table A7.
- 337 2.1 Fossil CO<sub>2</sub> emissions (E<sub>FOS</sub>)

#### 338 2.1.1 Historical period 1850-2021

339 The estimates of global and national fossil CO<sub>2</sub> emissions (E<sub>FOS</sub>) include the oxidation of fossil fuels through 340 both combustion (e.g., transport, heating) and chemical oxidation (e.g. carbon anode decomposition in 341 aluminium refining) activities, and the decomposition of carbonates in industrial processes (e.g. the production 342 of cement). We also include CO2 uptake from the cement carbonation process. Several emissions sources are not 343 estimated or not fully covered: coverage of emissions from lime production are not global, and decomposition of 344 carbonates in glass and ceramic production are included only for the "Annex 1" countries of the United Nations 345 Framework Convention on Climate Change (UNFCCC) for lack of activity data. These omissions are 346 considered to be minor. Short-cycle carbon emissions - for example from combustion of biomass - are not 347 included here but are accounted for in the CO<sub>2</sub> emissions from land use (see section 2.2). 348 Our estimates of fossil CO<sub>2</sub> emissions are derived using the standard approach of activity data and emission

- factors, relying on data collection by many other parties. Our goal is to produce the best estimate of this flux,
- and we therefore use a prioritisation framework to combine data from different sources that have used different
- 351 methods, while being careful to avoid double counting and undercounting of emissions sources. The CDIAC-FF





352 emissions dataset, derived largely from UN energy data, forms the foundation, and we extend emissions to year 353 Y-1 using energy growth rates reported by BP. We then proceed to replace estimates using data from what we 354 consider to be superior sources, for example Annex 1 countries' official submissions to the UNFCCC. All data 355 points are potentially subject to revision, not just the latest year. For full details see Andrew and Peters (2021). 356 Other estimates of global fossil CO<sub>2</sub> emissions exist, and these are compared by Andrew (2020a). The most 357 common reason for differences in estimates of global fossil CO<sub>2</sub> emissions is a difference in which emissions 358 sources are included in the datasets. Datasets such as those published by the energy company BP, the US Energy 359 Information Administration, and the International Energy Agency's 'CO2 emissions from fuel combustion' are 360 all generally limited to emissions from combustion of fossil fuels. In contrast, datasets such as PRIMAP-hist, 361 CEDS, EDGAR, and GCP's dataset aim to include all sources of fossil CO2 emissions. See Andrew (2020a) for 362 detailed comparisons and discussion. 363 Cement absorbs CO<sub>2</sub> from the atmosphere over its lifetime, a process known as 'cement carbonation'. We 364 estimate this CO<sub>2</sub> sink as the average of two studies in the literature (Cao et al., 2020; Guo et al., 2021). Both 365 studies use the same model, developed by Xi et al. (2016), with different parameterisations and input data. The 366 Global Cement and Concrete Association reports a much lower carbonation rate, but this is based on the highly 367 conservative assumption of 0% mortar (GCCA, 2021). Since carbonation is a function of both current and 368 previous cement production, we extend these estimates by one year to 2021 by using the growth rate derived 369 from the smoothed cement emissions (10-year smoothing) fitted to the carbonation data. 370 We use the Kaya Identity for a simple decomposition of CO<sub>2</sub> emissions into the key drivers (Raupach et al., 371 2007). While there are variations (Peters et al 2017), we focus here on a decomposition of  $CO_2$  emissions into 372 population, GDP per person, energy use per GDP, and CO2 emissions per energy. Multiplying these individual 373 components together returns the  $CO_2$  emissions. Using the decomposition, it is possible to attribute the change 374 in CO<sub>2</sub> emissions to the change in each of the drivers. This method gives a first order understanding of what 375 causes CO<sub>2</sub> emissions to change each year.

#### 376 2.1.2 2022 projection

377 We provide a projection of global CO<sub>2</sub> emissions in 2022 by combining separate projections for China, USA, 378 EU, India, and for all other countries combined. The methods are different for each of these. For China we 379 combine monthly fossil fuel production data from the National Bureau of Statistics, import/export data from the 380 Customs Administration, and monthly coal consumption estimates from SX Coal (2022), giving us partial data 381 for the growth rates to date of natural gas, petroleum, and cement, and of the consumption itself for raw coal. 382 We then use a regression model to project full-year emissions based on historical observations. For the USA our 383 projection is taken directly from the Energy Information Administration's (EIA) Short-Term Energy Outlook 384 (EIA, 2022), combined with the year-to-date growth rate of cement clinker production. For the EU we use 385 monthly energy data from Eurostat to derive estimates of monthly CO<sub>2</sub> emissions through July, with coal 386 emissions extended through August using a statistical relationship with reported electricity generation from coal 387 and other factors. Given the very high uncertainty in European energy markets in 2022, we forego our usual 388 history-based projection techniques and use instead the year-to-date growth rate as the full-year growth rate for 389 both coal and natural gas. EU emissions from oil are derived using the EIA's projection of oil consumption for 390 Europe. EU cement emissions are based on available year-to-date data from three of the largest producers,





391 Germany, Poland, and Spain. India's projected emissions are derived from estimates through July (August for

- 392 oil) using the methods of Andrew (2020b) and extrapolated assuming normal seasonal patterns. Emissions for
- the rest of the world are derived using projected growth in economic production from the IMF (2022) combined
- with extrapolated changes in emissions intensity of economic production. More details on the E<sub>FOS</sub> methodology
   and its 2022 projection can be found in Appendix C.1.

## 396 2.2 CO<sub>2</sub> emissions from land-use, land-use change and forestry (E<sub>LUC</sub>)

#### 397 2.2.1 Historical Period

398 The net CO<sub>2</sub> flux from land-use, land-use change and forestry (E<sub>LUC</sub>, called land-use change emissions in the 399 rest of the text) includes CO2 fluxes from deforestation, afforestation, logging and forest degradation (including 400 harvest activity), shifting cultivation (cycle of cutting forest for agriculture, then abandoning), and regrowth of 401 forests following wood harvest or abandonment of agriculture. Emissions from peat burning and drainage are 402 added from external datasets. Compared to our earlier assessments, this year we include spatially explicit 403 information also for peat drainage and combine three independent datasets for peat drainage. 404 Three bookkeeping approaches (updated estimates each of BLUE (Hansis et al., 2015), OSCAR (Gasser et al., 405 2020), and H&N2017 (Houghton and Nassikas, 2017)) were used to quantify gross sources and sinks and the 406 resulting net ELUC. Uncertainty estimates were derived from the Dynamic Global vegetation Models (DGVMs) 407 ensemble for the time period prior to 1960, using for the recent decades an uncertainty range of  $\pm 0.7$  GtC yr<sup>-1</sup>, 408 which is a semi-quantitative measure for annual and decadal emissions and reflects our best value judgement 409 that there is at least 68% chance  $(\pm 1\sigma)$  that the true land-use change emission lies within the given range, for the 410 range of processes considered here. This uncertainty range had been increased from 0.5 GtC yr<sup>-1</sup> after new 411 bookkeeping models were included that indicated a larger spread than assumed before (Le Quéré et al., 2018). 412 Projections for 2021 are based on fire activity from tropical deforestation and degradation as well as emissions

413 from peat fires and drainage.

414 Our ELUC estimates follow the definition of global carbon cycle models of CO2 fluxes related to land-use and 415 land management and differ from IPCC definitions adopted in National GHG Inventories (NGHGI) for 416 reporting under the UNFCCC, which additionally generally include, through adoption of the IPCC so-called 417 managed land proxy approach, the terrestrial fluxes occurring on land defined by countries as managed. This 418 partly includes fluxes due to environmental change (e.g. atmospheric CO2 increase), which are part of SLAND in 419 our definition. This causes the global emission estimates to be smaller for NGHGI than for the global carbon 420 budget definition (Grassi et al., 2018). The same is the case for the Food Agriculture Organization (FAO) 421 estimates of carbon fluxes on forest land, which include, compared to SLAND, both anthropogenic and natural 422 sources on managed land (Tubiello et al., 2021). Using the approach outlined in Grassi et al. (2021), here we 423 map as additional information the two definitions to each other, to provide a comparison of the anthropogenic 424 carbon budget to the official country reporting to the climate convention.

## 425 2.2.2 2022 Projection

We project the 2022 land-use emissions for BLUE, the updated H&N2017 and OSCAR, starting from theirestimates for 2021 assuming unaltered peat drainage, which has low interannual variability, and the highly





428 variable emissions from peat fires, tropical deforestation and degradation as estimated using active fire data

429 (MCD14ML; Giglio et al., 2016). More details on the  $E_{LUC}$  methodology can be found in Appendix C.2

## 430 2.3 Growth rate in atmospheric CO<sub>2</sub> concentration (G<sub>ATM</sub>)

## 431 2.3.1 Historical period

432 The rate of growth of the atmospheric CO<sub>2</sub> concentration is provided for years 1959-2021 by the US National 433 Oceanic and Atmospheric Administration Earth System Research Laboratory (NOAA/ESRL; Dlugokencky and 434 Tans, 2022), which is updated from Ballantyne et al. (2012) and includes recent revisions to the calibration scale 435 of atmospheric CO<sub>2</sub> measurements (Hall et al., 2021). For the 1959-1979 period, the global growth rate is based 436 on measurements of atmospheric CO2 concentration averaged from the Mauna Loa and South Pole stations, as 437 observed by the CO<sub>2</sub> Program at Scripps Institution of Oceanography (Keeling et al., 1976). For the 1980-2020 438 time period, the global growth rate is based on the average of multiple stations selected from the marine 439 boundary layer sites with well-mixed background air (Ballantyne et al., 2012), after fitting a smooth curve 440 through the data for each station as a function of time, and averaging by latitude band (Masarie and Tans, 1995). 441 The annual growth rate is estimated by Dlugokencky and Tans (2022) from atmospheric CO<sub>2</sub> concentration by 442 taking the average of the most recent December-January months corrected for the average seasonal cycle and 443 subtracting this same average one year earlier. The growth rate in units of ppm yr<sup>-1</sup> is converted to units of GtC 444 yr<sup>-1</sup> by multiplying by a factor of 2.124 GtC per ppm, assuming instantaneous mixing of CO<sub>2</sub> throughout the 445 atmosphere (Ballantyne et al., 2012; Table 1). 446 Since 2020, NOAA/ESRL provides estimates of atmospheric CO2 concentrations with respect to a new 447 calibration scale, referred to as WMO-CO2-X2019, in line with the recommendation of the World 448 Meteorological Organization (WMO) Global Atmosphere Watch (GAW) community (Hall et al., 2021). The 449 WMO-CO2-X2019 scale improves upon the earlier WMO-CO2-X2007 scale by including a broader set of 450 standards, which contain  $CO_2$  in a wider range of concentrations that span the range 250-800 ppm (versus 250-451 520 ppm for WMO-CO2-X2007). In addition, NOAA/ESRL made two minor corrections to the analytical 452 procedure used to quantify CO<sub>2</sub> concentrations, fixing an error in the second virial coefficient of CO<sub>2</sub> and 453 accounting for loss of a small amount of CO<sub>2</sub> to materials in the manometer during the measurement process. 454 The difference in concentrations measured using WMO-CO2-X2019 versus WMO-CO2-X2007 is ~+0.18 ppm 455 at 400 ppm and the observational record of atmospheric CO2 concentrations have been revised accordingly. The 456 revisions have been applied retrospectively in all cases where the calibrations were performed by NOAA/ESRL, 457 thus affecting measurements made by members of the WMO-GAW programme and other regionally 458 coordinated programmes (e.g., Integrated Carbon Observing System, ICOS). Changes to the CO2 concentrations 459 measured across these networks propagate to the global mean CO2 concentrations. The re-calibrated data were 460 first used to estimate G<sub>ATM</sub> in the 2021 edition of the global carbon budget (Friedlingstein et al., 2022a). 461 Friedlingstein et al. (2022a) verified that the change of scales from WMO-CO2-X2007 to WMO-CO2-X2019 462 made a negligible difference to the value of GATM (-0.06 GtC yr<sup>-1</sup> during 2010-2019 and -0.01 GtC yr<sup>-1</sup> during 463 1959-2019, well within the uncertainty range reported below). The uncertainty around the atmospheric growth rate is due to four main factors. First, the long-term 464

 $\label{eq:constraint} 465 \qquad \text{reproducibility of reference gas standards (around 0.03 ppm for 1\sigma from the 1980s; Dlugokencky and Tans, \\$ 





466 2022). Second, small unexplained systematic analytical errors that may have a duration of several months to two 467 years come and go. They have been simulated by randomising both the duration and the magnitude (determined 468 from the existing evidence) in a Monte Carlo procedure. Third, the network composition of the marine boundary 469 layer with some sites coming or going, gaps in the time series at each site, etc (Dlugokencky and Tans, 2022). 470 The latter uncertainty was estimated by NOAA/ESRL with a Monte Carlo method by constructing 100 471 "alternative" networks (Masarie and Tans, 1995; NOAA/ESRL, 2019). The second and third uncertainties, 472 summed in quadrature, add up to 0.085 ppm on average (Dlugokencky and Tans, 2022). Fourth, the uncertainty 473 associated with using the average CO<sub>2</sub> concentration from a surface network to approximate the true 474 atmospheric average CO<sub>2</sub> concentration (mass-weighted, in 3 dimensions) as needed to assess the total 475 atmospheric CO<sub>2</sub> burden. In reality, CO<sub>2</sub> variations measured at the stations will not exactly track changes in 476 total atmospheric burden, with offsets in magnitude and phasing due to vertical and horizontal mixing. This 477 effect must be very small on decadal and longer time scales, when the atmosphere can be considered well 478 mixed. Preliminary estimates suggest this effect would increase the annual uncertainty, but a full analysis is not 479 yet available. We therefore maintain an uncertainty around the annual growth rate based on the multiple stations 480 data set ranges between 0.11 and 0.72 GtC yr<sup>-1</sup>, with a mean of 0.61 GtC yr<sup>-1</sup> for 1959-1979 and 0.17 GtC yr<sup>-1</sup> 481 for 1980-2020, when a larger set of stations were available as provided by Dlugokencky and Tans (2022) but 482 recognise further exploration of this uncertainty is required. At this time, we estimate the uncertainty of the 483 decadal averaged growth rate after 1980 at 0.02 GtC yr<sup>-1</sup> based on the calibration and the annual growth rate 484 uncertainty but stretched over a 10-year interval. For years prior to 1980, we estimate the decadal averaged 485 uncertainty to be 0.07 GtC yr<sup>-1</sup> based on a factor proportional to the annual uncertainty prior and after 1980 486 (0.02 \* [0.61/0.17] GtC yr<sup>-1</sup>).

We assign a high confidence to the annual estimates of G<sub>ATM</sub> because they are based on direct measurements
from multiple and consistent instruments and stations distributed around the world (Ballantyne et al., 2012; Hall
et al., 2021).

490 To estimate the total carbon accumulated in the atmosphere since 1750 or 1850, we use an atmospheric CO<sub>2</sub> 491 concentration of  $277 \pm 3$  ppm or  $286 \pm 3$  ppm, respectively, based on a cubic spline fit to ice core data (Joos and 492 Spahni, 2008). For the construction of the cumulative budget shown in Figure 3, we use the fitted estimates of 493 CO2 concentration from Joos and Spahni (2008) to estimate the annual atmospheric growth rate using the 494 conversion factors shown in Table 1. The uncertainty of  $\pm 3$  ppm (converted to  $\pm 1\sigma$ ) is taken directly from the 495 IPCC's AR5 assessment (Ciais et al., 2013). Typical uncertainties in the growth rate in atmospheric CO<sub>2</sub> 496 concentration from ice core data are equivalent to ±0.1-0.15 GtC yr<sup>-1</sup> as evaluated from the Law Dome data 497 (Etheridge et al., 1996) for individual 20-year intervals over the period from 1850 to 1960 (Bruno and Joos, 498 1997).

## 499 2.3.2 2022 projection

 $500 \qquad \text{We provide an assessment of } G_{\text{ATM}} \text{ for } 2022 \text{ based on the monthly calculated global atmospheric } CO_2$ 

501 concentration (GLO) through August (Dlugokencky and Tans, 2022), and bias-adjusted Holt–Winters

- 502 exponential smoothing with additive seasonality (Chatfield, 1978) to project to January 2023. Additional
- analysis suggests that the first half of the year (the boreal winter-spring-summer transition) shows more
- 504 interannual variability than the second half of the year (the boreal summer-autumn-winter transition), so that the





sexact projection method applied to the second half of the year has a relatively smaller impact on the projection
of the full year. Uncertainty is estimated from past variability using the standard deviation of the last 5 years'
monthly growth rates.

#### 508 2.4 Ocean CO<sub>2</sub> sink

## 509 2.4.1 Historical Period

510 The reported estimate of the global ocean anthropogenic CO2 sink SOCEAN is derived as the average of two 511 estimates. The first estimate is derived as the mean over an ensemble of ten global ocean biogeochemistry 512 models (GOBMs, Table 4 and Table A2). The second estimate is obtained as the mean over an ensemble of 513 seven observation-based data-products (Table 4 and Table A3). An eighth product (Watson et al., 2020) is 514 shown, but is not included in the ensemble average as it differs from the other products by adjusting the flux to a 515 cool, salty ocean surface skin (see Appendix C.3.1 for a discussion of the Watson product). The GOBMs 516 simulate both the natural and anthropogenic CO<sub>2</sub> cycles in the ocean. They constrain the anthropogenic air-sea 517 CO<sub>2</sub> flux (the dominant component of S<sub>OCEAN</sub>) by the transport of carbon into the ocean interior, which is also 518 the controlling factor of present-day ocean carbon uptake in the real world. They cover the full globe and all 519 seasons and were recently evaluated against surface ocean carbon observations, suggesting they are suitable to 520 estimate the annual ocean carbon sink (Hauck et al., 2020). The data-products are tightly linked to observations 521 of fCO<sub>2</sub> (fugacity of CO<sub>2</sub>, which equals pCO<sub>2</sub> corrected for the non-ideal behaviour of the gas; Pfeil et al., 522 2013), which carry imprints of temporal and spatial variability, but are also sensitive to uncertainties in gas-523 exchange parameterizations and data-sparsity. Their asset is the assessment of interannual and spatial variability 524 (Hauck et al., 2020). We further use two diagnostic ocean models to estimate SOCEAN over the industrial era 525 (1781-1958).

526 The global fCO<sub>2</sub>-based flux estimates were adjusted to remove the pre-industrial ocean source of  $CO_2$  to the 527 atmosphere of 0.65 GtC yr<sup>-1</sup> from river input to the ocean (Regnier et al., 2022), to satisfy our definition of 528 S<sub>OCEAN</sub> (Hauck et al., 2020). The river flux adjustment was distributed over the latitudinal bands using the 529 regional distribution of Aumont et al. (2001; North: 0.17 GtC yr<sup>-1</sup>, Tropics: 0.16 GtC yr<sup>-1</sup>, South: 0.32 GtC yr<sup>-1</sup>), 530 acknowledging that the boundaries of Aumont et al (2001; namely 20°S and 20°N) are not consistent with the 531 boundaries otherwise used in the GCB (30°S and 30°N). A recent study based on one ocean biogeochemical 532 model (Lacroix et al., 2020) suggests that more of the riverine outgassing is located in the tropics than in the 533 Southern Ocean; and hence this regional distribution is associated with a major uncertainty. Anthropogenic 534 perturbations of river carbon and nutrient transport to the ocean are not considered (see section 2.7). 535 We derive S<sub>OCEAN</sub> from GOBMs by using a simulation (sim A) with historical forcing of climate and 536 atmospheric CO<sub>2</sub>, accounting for model biases and drift from a control simulation (sim B) with constant 537 atmospheric CO<sub>2</sub> and normal year climate forcing. A third simulation (sim C) with historical atmospheric CO<sub>2</sub> 538 increase and normal year climate forcing is used to attribute the ocean sink to CO<sub>2</sub> (sim C minus sim B) and 539 climate (sim A minus sim C) effects. A fourth simulation (sim D; historical climate forcing and constant 540 atmospheric CO<sub>2</sub>) is used to compare the change in anthropogenic carbon inventory in the interior ocean (sim A 541 minus sim D) to the observational estimate of Gruber et al. (2019) with the same flux components (steady state 542 and non-steady state anthropogenic carbon flux). Data-products are adjusted to represent the full ice-free ocean





543 area by a simple scaling approach when coverage is below 99%. GOBMs and data-products fall within the 544 observational constraints over the 1990s ( $2.2 \pm 0.7$  GtC yr<sup>-1</sup>, Ciais et al., 2013) after applying adjustments. 545 S<sub>OCEAN</sub> is calculated as the average of the GOBM ensemble mean and data-product ensemble mean from 1990 546 onwards. Prior to 1990, it is calculated as the GOBM ensemble mean plus half of the offset between GOBMs 547 and data-products ensemble means over 1990-2001. 548 We assign an uncertainty of  $\pm$  0.4 GtC yr<sup>-1</sup> to the ocean sink based on a combination of random (ensemble 549 standard deviation) and systematic uncertainties (GOBMs bias in anthropogenic carbon accumulation, 550 previously reported uncertainties in fCO<sub>2</sub>-based data-products; see section C.3.3). We assess a medium 551 confidence level to the annual ocean CO<sub>2</sub> sink and its uncertainty because it is based on multiple lines of 552 evidence, it is consistent with ocean interior carbon estimates (Gruber et al., 2019, see section 3.5.5) and the 553 interannual variability in the GOBMs and data-based estimates is largely consistent and can be explained by 554 climate variability. We refrain from assigning a high confidence because of the systematic deviation between the GOBM and data-product trends since around 2002. More details on the S<sub>OCEAN</sub> methodology can be found in 555 556 Appendix C.3.

#### 557 2.4.2 2022 Projection

558 The ocean CO<sub>2</sub> sink forecast for the year 2022 is based on the annual historical and estimated 2022 atmospheric 559 CO2 concentration (Dlugokencky and Tans 2021), the historical and estimated 2022 annual global fossil fuel 560 emissions from this year's carbon budget, and the spring (March, April, May) Oceanic Niño Index (ONI) index 561 (NCEP, 2022). Using a non-linear regression approach, i.e., a feed-forward neural network, atmospheric CO<sub>2</sub>, 562 the ONI index and the fossil fuel emissions are used as training data to best match the annual ocean CO2 sink 563 (i.e. combined S<sub>OCEAN</sub> estimate from GOBMs and data products) from 1959 through 2021 from this year's 564 carbon budget. Using this relationship, the 2022 SOCEAN can then be estimated from the projected 2021 input 565 data using the non-linear relationship established during the network training. To avoid overfitting, the neural 566 network was trained with a variable number of hidden neurons (varying between 2-5) and 20% of the randomly 567 selected training data were withheld for independent internal testing. Based on the best output performance 568 (tested using the 20% withheld input data), the best performing number of neurons was selected. In a second 569 step, we trained the network 10 times using the best number of neurons identified in step 1 and different sets of 570 randomly selected training data. The mean of the 10 trainings is considered our best forecast, whereas the 571 standard deviation of the 10 ensembles provides a first order estimate of the forecast uncertainty. This 572 uncertainty is then combined with the  $S_{OCEAN}$  uncertainty (0.4 GtC yr<sup>-1</sup>) to estimate the overall uncertainty of the 573 2022 projection.

## 574 2.5 Terrestrial CO<sub>2</sub> sink

#### 575 **2.5.1** Historical Period

The terrestrial land sink (S<sub>LAND</sub>) is thought to be due to the combined effects of fertilisation by rising
atmospheric CO<sub>2</sub> and N inputs on plant growth, as well as the effects of climate change such as the lengthening
of the growing season in northern temperate and boreal areas. S<sub>LAND</sub> does not include land sinks directly
resulting from land-use and land-use change (e.g., regrowth of vegetation) as these are part of the land-use flux





- $\label{eq:Luc} {\rm (E_{LUC}), although system boundaries make it difficult to attribute exactly CO_2 fluxes on land between S_{LAND} and$
- 581  $E_{LUC}$  (Erb et al., 2013).
- 582 S<sub>LAND</sub> is estimated from the multi-model mean of 16 DGVMs (Table A1). As described in Appendix C.4,
- 583 DGVMs simulations include all climate variability and CO<sub>2</sub> effects over land, with 11 DGVMs also including
- 584 the effect of N inputs. The DGVMs estimate of  $S_{LAND}$  does not include the export of carbon to aquatic systems
- 585 or its historical perturbation, which is discussed in Appendix D3. See Appendix C.4 for DGVMs evaluation and
- $\label{eq:second} uncertainty assessment for S_{LAND}, using the International Land Model Benchmarking system (ILAMB; Collier et$
- $\label{eq:stable} { al., 2018). More details on the $S_{LAND}$ methodology can be found in Appendix C.4. }$

## 588 2.5.2 2022 Projection

589 Like for the ocean forecast, the land CO2 sink (SLAND) forecast is based on the annual historical and estimated 590 2022 atmospheric CO<sub>2</sub> concentration (Dlugokencky and Tans 2021), historical and estimated 2022 annual 591 global fossil fuel emissions from this year's carbon budget, and the summer (June, July, August) ONI index 592 (NCEP, 2022). All training data are again used to best match SLAND from 1959 through 2021 from this year's 593 carbon budget using a feed-forward neural network. To avoid overfitting, the neural network was trained with a 594 variable number of hidden neurons (varying between 2-15), larger than for SOCEAN prediction due to the stronger 595 land carbon interannual variability. As done for SOCEAN, a pre-training selects the optimal number of hidden 596 neurons based on 20% withheld input data, and in a second step, an ensemble of 10 forecasts is produced to 597 provide the mean forecast plus uncertainty. This uncertainty is then combined with the SLAND uncertainty for 598 2021 (0.9 GtC yr<sup>-1</sup>) to estimate the overall uncertainty of the 2022 projection.

## 599 2.6 The atmospheric perspective

600 The world-wide network of in-situ atmospheric measurements and satellite derived atmospheric CO2 column 601 (xCO<sub>2</sub>) observations put a strong constraint on changes in the atmospheric abundance of CO<sub>2</sub>. This is true 602 globally (hence our large confidence in G<sub>ATM</sub>), but also regionally in regions with sufficient observational 603 density found mostly in the extra-tropics. This allows atmospheric inversion methods to constrain the magnitude 604 and location of the combined total surface CO2 fluxes from all sources, including fossil and land-use change 605 emissions and land and ocean  $CO_2$  fluxes. The inversions assume  $E_{FOS}$  to be well known, and they solve for the 606 spatial and temporal distribution of land and ocean fluxes from the residual gradients of CO<sub>2</sub> between stations 607 that are not explained by fossil fuel emissions. By design, such systems thus close the carbon balance  $(B_{IM} = 0)$ 608 and thus provide an additional perspective on the independent estimates of the ocean and land fluxes. 609 This year's release includes nine inversion systems that are described in Table A4. Each system is rooted in 610 Bayesian inversion principles but uses different methodologies. These differences concern the selection of 611 atmospheric CO2 data or xCO2, and the choice of a-priori fluxes to refine. They also differ in spatial and 612 temporal resolution, assumed correlation structures, and mathematical approach of the models (see references in 613 Table A4 for details). Importantly, the systems use a variety of transport models, which was demonstrated to be 614 a driving factor behind differences in atmospheric inversion-based flux estimates, and specifically their 615 distribution across latitudinal bands (Gaubert et al., 2019; Schuh et al., 2019). Four inversion systems (CAMS-FT21r2, CMS-flux, GONGGA, THU) used satellite xCO2 retrievals from GOSAT and/or OCO-2, scaled to the 616





- 617 WMO 2019 calibration scale. One inversion this year (CMS-Flux) used these xCO2 datasets in addition to the 618 in-situ observational CO2 mole fraction records. 619 The original products delivered by the inverse modellers were modified to facilitate the comparison to the other 620 elements of the budget, specifically on two accounts: (1) global total fossil fuel emissions including cement 621 carbonation  $CO_2$  uptake, and (2) riverine  $CO_2$  transport. Details are given below. We note that with these 622 adjustments the inverse results no longer represent the net atmosphere-surface exchange over land/ocean areas 623 as sensed by atmospheric observations. Instead, for land, they become the net uptake of CO<sub>2</sub> by vegetation and 624 soils that is not exported by fluvial systems, similar to the DGVMs estimates. For oceans, they become the net 625 uptake of anthropogenic CO<sub>2</sub>, similar to the GOBMs estimates. 626 The inversion systems prescribe global fossil fuel emissions based on the GCP's Gridded Fossil Emissions 627 Dataset versions 2022.1 or 2022.2 (GCP-GridFED; Jones et al., 2022), which are updates to GCP-628 GridFEDv2021 presented by Jones et al. (2021). GCP-GridFEDv2022 scales gridded estimates of CO2 629 emissions from EDGARv4.3.2 (Janssens-Maenhout et al., 2019) within national territories to match national 630 emissions estimates provided by the GCB for the years 1959-2021, which were compiled following the 631 methodology described in Section 2.1. Small differences between the systems due to for instance regridding to 632 the transport model resolution, or use of different GridFED versions with different cement carbonation sinks 633 (which were only present starting with GridFEDv2022.1), are adjusted in the latitudinal partitioning we present, 634 to ensure agreement with the estimate of EFOS in this budget. We also note that the ocean fluxes used as prior by 635 6 out of 9 inversions are part of the suite of the ocean process model or fCO2 data products listed in Section 2.4. 636 Although these fluxes are further adjusted by the atmospheric inversions, it makes the inversion estimates of the 637 ocean fluxes not completely independent of SOCEAN assessed here. 638 To facilitate comparisons to the independent S<sub>OCEAN</sub> and S<sub>LAND</sub>, we used the same corrections for transport and 639 outgassing of carbon transported from land to ocean, as done for the observation-based estimates of Socean (see 640 Appendix C.3). 641 The atmospheric inversions are evaluated using vertical profiles of atmospheric CO<sub>2</sub> concentrations (Figure B4). 642 More than 30 aircraft programs over the globe, either regular programs or repeated surveys over at least 9 643 months (except for SH programs), have been used to assess system performance (with space-time observational
- 644 coverage sparse in the SH and tropics, and denser in NH mid-latitudes; Table A6). The nine systems are
- 645 compared to the independent aircraft CO2 measurements between 2 and 7 km above sea level between 2001 and 646
- 2021. Results are shown in Figure B4 and discussed in Section 3.7.
- 647 With a relatively small ensemble (N=9) of systems that moreover share some a-priori fluxes used with one
- 648 another, or with the process-based models, it is difficult to justify using their mean and standard deviation as a
- 649 metric for uncertainty across the ensemble. We therefore report their full range (min-max) without their mean.
- 650 More details on the atmospheric inversions methodology can be found in Appendix C.5.

#### 651 2.7 Processes not included in the global carbon budget

- 652 The contribution of anthropogenic CO and CH<sub>4</sub> to the global carbon budget is not fully accounted for in Eq. (1)
- 653 and is described in Appendix D1. The contributions to CO2 emissions of decomposition of carbonates not





| 654 | accounted for is described in Appendix D2. The contribution of anthropogenic changes in river fluxes is                                   |
|-----|---|
| 655 | conceptually included in Eq. (1) in $S_{\text{OCEAN}}$ and in $S_{\text{LAND}}$ , but it is not represented in the process models used to |
| 656 | quantify these fluxes. This effect is discussed in Appendix D3. Similarly, the loss of additional sink capacity                           |
| 657 | from reduced forest cover is missing in the combination of approaches used here to estimate both land fluxes                              |
| 658 | (E <sub>LUC</sub> and S <sub>LAND</sub> ) and its potential effect is discussed and quantified in Appendix D4.                            |
| 659 |   |

#### 660 3 Results

For each component of the global carbon budget, we present results for three different time periods: the full
historical period, from 1850 to 2021, the six decades in which we have atmospheric concentration records from
Mauna Loa (1960-2021), a specific focus on last year (2021), and the projection for the current year (2022).
Subsequently, we assess the combined constraints from the budget components (often referred to as a bottom-up
budget) against the top-down constraints from inverse modelling of atmospheric observations. We do this for
the global balance of the last decade, as well as for a regional breakdown of land and ocean sinks by broad
latitude bands.

#### 668 3.1 Fossil CO<sub>2</sub> Emissions

#### 669 3.1.1 Historical period 1850-2021

670 Cumulative fossil CO<sub>2</sub> emissions for 1850-2021 were 465 ± 25 GtC, including the cement carbonation sink
671 (Figure 3, Table 8, all cumulative numbers are rounded to the nearest 5GtC).
672 In this period, 46% of fossil CO<sub>2</sub> emissions came from coal, 35% from oil, 15% from natural gas, 3% from
673 decomposition of carbonates, and 1% from flaring.
674 In 1850, the UK stood for 62% of global fossil CO<sub>2</sub> emissions. In 1891 the combined cumulative emissions of

675 the current members of the European Union reached and subsequently surpassed the level of the UK. Since

676 1917 US cumulative emissions have been the largest. Over the entire period 1850-2021, US cumulative

677 emissions amounted to 115GtC (24% of world total), the EU's to 80 GtC (17%), and China's to 70 GtC (14%).

- **678** There are three additional global datasets with long time series that include all sources of fossil CO<sub>2</sub> emissions:
- 679 CDIAC-FF (Gilfillan and Marland, 2021), CEDS version v 2021 04 21 (Hoesly et al., 2018); O'Rourke et al.,

680 2021) and PRIMAP-hist version 2.3.1 (Gütschow et al., 2016, 2021), although these datasets are not entirely

681 independent from each other. CDIAC-FF has the lowest cumulative emissions over 1750-2018 at 437 GtC, GCP

- 682 has 443 GtC, CEDS 445 GtC, PRIMAP-hist TP 453 GtC, and PRIMAP-hist CR 455 GtC. CDIAC-FF excludes
- 683 emissions from lime production, while neither CDIAC-FF nor GCP explicitly include emissions from
- 684 international bunker fuels prior to 1950. CEDS has higher emissions from international shipping in recent years,
- 685 while PRIMAP-hist has higher fugitive emissions than the other datasets. However, in general these four
- $\label{eq:constraint} 686 \qquad \text{datasets are in relative agreement as to total historical global emissions of fossil CO_2}.$

#### 687 3.1.2 Recent period 1960-2021

- $\label{eq:Global fossil CO_2 emissions, E_{FOS} (including the cement carbonation sink), have increased every decade from an$
- average of  $3.0 \pm 0.2$  GtC yr<sup>-1</sup> for the decade of the 1960s to an average of  $9.6 \pm 0.5$  GtC yr<sup>-1</sup> during 2012-2021
- 690 (Table 6, Figure 2 and Figure 5). The growth rate in these emissions decreased between the 1960s and the





- **691** 1990s, from 4.3% yr<sup>-1</sup> in the 1960s (1960-1969), 3.2% yr<sup>-1</sup> in the 1970s (1970-1979), 1.6% yr<sup>-1</sup> in the 1980s
- 692 (1980-1989), to 0.9% yr<sup>1</sup> in the 1990s (1990-1999). After this period, the growth rate began increasing again in
- **693** the 2000s at an average growth rate of 3.0% yr<sup>-1</sup>, decreasing to 0.5% yr<sup>-1</sup> for the last decade (2012-2021).
- $694 \qquad \text{China's emissions increased by } +1.5\% \text{ yr}^{-1} \text{ on average over the last } 10 \text{ years dominating the global trend, and}$
- $\label{eq:solution} \textbf{10} \textbf{$
- by -1.1% yr<sup>-1</sup>. Figure 6 illustrates the spatial distribution of fossil fuel emissions for the 2012-2021 period.
- 697 E<sub>FOS</sub> includes the uptake of CO<sub>2</sub> by cement via carbonation which has increased with increasing stocks of
- $699 \qquad GtC \ yr^{-1}) \ during \ 2012-2021 \ (Figure \ 5).$
- 700 3.1.3 Final year 2021

701Global fossil CO2 emissions were 5.1% higher in 2021 than in 2020, because of the global rebound from the702worst of the COVID-19 pandemic, with an increase of 0.5 GtC to reach  $10.1 \pm 0.5$  GtC  $(9.9 \pm 0.5$  GtC when703including the cement carbonation sink) in 2021 (Figure 5), distributed among coal (41%), oil (32%), natural gas704(22%), cement (5%) and others (1%). Compared to the previous year, 2021 emissions from coal, oil and gas705increased by 5.7%, 5.8% and 4.8% respectively, while emissions from cement increased by 2.1%. All growth706rates presented are adjusted for the leap year, unless stated otherwise.

707In 2021, the largest absolute contributions to global fossil CO2 emissions were from China (31%), the USA708(14%), the EU27 (8%), and India (7%). These four regions account for 59% of global CO2 emissions, while the709rest of the world contributed 41%, including international aviation and marine bunker fuels (2.8% of the total).710Growth rates for these countries from 2020 to 2021 were 3.5% (China), 6.2% (USA), 6.8% (EU27), and 11.1%711(India), with +4.5% for the rest of the world. The per-capita fossil CO2 emissions in 2021 were 1.3 tC person<sup>-1</sup>712 $yr^{-1}$  for the globe, and were 4.0 (USA), 2.2 (China), 1.7 (EU27) and 0.5 (India) tC person<sup>-1</sup>  $yr^{-1}$  for the four713highest emitting countries (Figure 5).

The post-COVID-19 rebound in emissions of 5.1% in 2021 is close to the projected increase of 4.8% published
in Friedlingstein et al. (2021) (Table 7). Of the regions, the projection for the 'rest of world' region was least
accurate, largely because of poorly projected emissions from international transport (bunker fuels), which were
subject to very large changes during this period.

#### 718 3.1.4 Year 2022 Projection

719 Globally, we estimate that global fossil CO<sub>2</sub> emissions will grow by 1.1% in 2022 (0.0% to 1.7%) to 10.2 GtC

- 720 (37.3 GtCO<sub>2</sub>), exceeding their 2019 emission levels of 10.0 GtC (36.7 GtCO<sub>2</sub>). Global increase in 2022
- 721 emissions per fuel types are projected to be +0.8% (range 0.0% to 1.7%) for coal, +2.2% (range -0.7% to 2.9%)
- $722 \qquad for \ oil, +1.1\% \ (range \ 0.0\% \ to \ 2.2\%) \ for \ natural \ gas, \ and \ -2.8\% \ (range \ -5.5\% \ to \ -0.2\%) \ for \ cement.$
- 723 For China, projected fossil emissions in 2022 are expected to decline by 1.5% (range -3.0% to +0.1%) compared
- 725 specific projections for China are -0.5% for coal, -2.3% for oil, -1.1% natural gas, and -9.2% for cement.





- 726 For the USA, the Energy Information Administration (EIA) emissions projection for 2022 combined with
- 727 cement clinker data from USGS gives an increase of 1.6% (range -0.9% to +4.1%) compared to 2021, bringing
- 728 USA 2022 emissions to around 1.4 GtC yr<sup>-1</sup> (5.1 GtCO<sub>2</sub> yr<sup>-1</sup>). This is based on separate projections for coal -
- 729 2.8%, oil +1.9%, natural gas +4.1%, and cement +0.7%.
- **730** For the European Union, our projection for 2022 is for a decline of 1.0% (range -2.9% to +1.0%) over 2021,
- 731 with 2022 emissions around 0.8 GtC yr<sup>-1</sup> (2.8 GtCO<sub>2</sub> yr<sup>-1</sup>). This is based on separate projections for coal of
- **732** +7.5%, oil +0.6%, natural gas -11.0%, and cement unchanged.
- 733 For India, our projection for 2022 is an increase of 5.6% (range of 3.5% to 7.7%) over 2021, with 2022
- 734emissions around 0.8 GtC yr<sup>-1</sup> (2.9 GtCO2 yr<sup>-1</sup>). This is based on separate projections for coal of +5.0%, oil735+8.0%, natural gas -3.0%, and cement +10.0%.
- 736 For the rest of the world, the expected growth rate for 2022 is 2.5% (range 0.1% to 2.3%). The fuel-specific
- $\textbf{737} \qquad \text{projected 2022 growth rates for the rest of the world are: +1.4\% (range -0.6\% to +3.4\%) for coal, +3.2\% (1.6\% to +3.4\%)}$
- 738 to +4.9%) for oil, +2.6% (1.1% to 4.1%) for natural gas, +2.8% (+0.6% to +5.1%) for cement.
- 739 3.2 Emissions from Land Use Changes

#### 740 **3.2.1** Historical period 1850-2021

741Cumulative  $CO_2$  emissions from land-use changes ( $E_{LUC}$ ) for 1850-2021 were 205 ± 60 GtC (Table 8; Figure 3;742Figure 14). The cumulative emissions from  $E_{LUC}$  are particularly uncertain, with large spread among individual743estimates of 140 GtC (updated H&N2017), 280 GtC (BLUE), and 190 GtC (OSCAR) for the three bookkeeping744models and a similar wide estimate of  $185 \pm 60$  GtC for the DGVMs (all cumulative numbers are rounded to the745nearest 5GtC). These estimates are broadly consistent with indirect constraints from vegetation biomass746observations, giving a cumulative source of  $155 \pm 50$  GtC over the 1901-2012 period (Li et al., 2017). However,747given the large spread, a best estimate is difficult to ascertain.

#### 748 3.2.2 Recent period 1960-2021

749 In contrast to growing fossil emissions, CO2 emissions from land-use, land-use change, and forestry have 750 remained relatively constant, over the 1960-1999 period, but showing a slight decrease of about 0.1 GtC per 751 decade since the 1990s, reaching  $1.2 \pm 0.7$  GtC yr<sup>-1</sup> for the 2012-2021 period (Table 6), but with large spread 752 across estimates (Table 5, Figure 7). Different from the bookkeeping average, the DGVMs model average grows 753 slightly larger over the 1970-2021 period and shows no sign of decreasing emissions in the recent decades 754 (Table 5, Figure 7). This is, however, expected as DGVM-based estimates include the loss of additional sink 755 capacity, which grows with time, while the bookkeeping estimates do not (Appendix D4). 756  $E_{LUC}$  is a net term of various gross fluxes, which comprise emissions and removals. Gross emissions on average 757 over the 1850-2021 period are two (BLUE, OSCAR) to three (updated H&N2017) times larger than the net ELUC 758 emissions, and remained largely constant over the last 60 years, with a moderate increase from an average of 3.2 759  $\pm$  0.9 GtC yr<sup>-1</sup> for the decade of the 1960s to an average of 3.8  $\pm$  0.7 GtC yr<sup>-1</sup> during 2012-2021 (Figure 7), 760 showing the relevance of land management such as harvesting or rotational agriculture. Increases in gross

removals, from  $1.8 \pm 0.4$  GtC yr<sup>-1</sup> for the 1960s to  $2.6 \pm 0.4$  GtC yr<sup>-1</sup> for 2012-2021, were slightly larger than the





762 increase in gross emissions. Since the processes behind gross removals, foremost forest regrowth and soil 763 recovery, are all slow, while gross emissions include a large instantaneous component, short-term changes in 764 land-use dynamics, such as a temporary decrease in deforestation, influences gross emissions dynamics more 765 than gross removals dynamics. It is these relative changes to each other that explain the small decrease in net 766 ELUC emissions over the last two decades and the last few years. Gross fluxes often differ more across the three 767 bookkeeping estimates than net fluxes, which is expected due to different process representation; in particular, 768 treatment of shifting cultivation, which increases both gross emissions and removals, differs across models. 769 There is a smaller decrease in net CO<sub>2</sub> emissions from land-use change in the last few years (Figure 7) than in 770 our last year's estimate (Friedlingstein et al., 2021), which places our updated estimates between last year's 771 estimate and the estimate from the GCB2020 (Friedlingstein et al., 2020). This change is principally attributable 772 to changes in ELUC estimates from BLUE and OSCAR, which relate to changes in the underlying land-use 773 forcing (see Appendix C.2.2 for details). These changes address issues identified with last year's land-use 774 forcing (see Friedlingstein et al., 2022) and remove/attenuate several emission peaks in Brazil and the DR 775 Congo and lead to higher net emissions in Brazil in the last decades compared to last year's global carbon 776 budget. While we deem these changes in land-use forcing and emissions an improvement, the estimated 777 emissions based on the new land-use forcing still do not fully reflect the rise in Brazilian deforestation in the 778 recent few years (Silva Junior, 2021), and associated increasing emissions from deforestation would have been 779 missed here. Differences still exist, which highlight the need for accurate knowledge of land-use transitions and 780 their spatial and temporal variability. A further caveat is that global land-use change data for model input does 781 not capture forest degradation, which often occurs on small scale or without forest cover changes easily 782 detectable from remote sensing and poses a growing threat to forest area and carbon stocks that may surpass 783 deforestation effects (e.g., Matricardi et al., 2020, Qin et al., 2021). 784 We additionally separate the net E<sub>LUC</sub> into component fluxes to gain further insight into the drivers of gross 785 sources and sinks and how the bookkeeping models compare to each other (Figure 7; Sec. C.2.1). On average 786 over the 2012-2021 period and over the three bookkeeping estimates, emissions from deforestation amount to 787  $1.8 \pm 0.4$  GtC yr<sup>-1</sup> and carbon uptake in forests to  $-0.9 \pm 0.3$  GtC yr<sup>-1</sup> (Table 5). Emissions from organic soils 788 caused by peat drainage or peat fires (with  $0.2 \pm 0.1$  GtC yr<sup>-1</sup>) and the net flux from other transitions (with  $0.1 \pm 0.1$  GtC yr<sup>-1</sup>) 789 0.1 GtC yr<sup>1</sup>) are substantially less important globally, but emissions from organic soils contribute over 790 proportionally to interannual variability (related in particular to peat fires in dry years in Southeast Asia). 791 Deforestation is thus the main driver of global gross sources. The relatively small deforestation flux in 792 comparison to the gross source estimate above is explained by the fact that emissions associated with wood 793 harvesting, while they do constitute a source of emissions to the atmosphere, are contained in the component 794 flux on forest, together with the associated carbon uptake in regrowth, because wood harvesting does not change 795 the land cover. For the same reason the flux on forest, being a net flux of sources from slash and product decay 796 following wood harvest and sinks due to regrowth after wood harvest or after abandonment, is smaller than the 797 gross sink estimates above. This split into component fluxes thus clarifies better the potentials for emission 798 reduction and carbon dioxide removal than the gross fluxes do: the emissions from deforestation could be halted 799 (largely) without compromising carbon uptake in other component fluxes and contribute to emissions reduction; 800 reforestation following agricultural abandonment does not directly depend on deforestation and can 801 independently provide carbon dioxide removal. By contrast, reducing wood harvesting to reduce emissions to

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| 000        |  |
|------------|--|
| 802<br>802 | the atmosphere is associated with less forest regrowth; sinks and sources cannot be decoupled here. Last, we                               |
| 803        | compare our component flux estimates to NGHGI (Grassi et al., 2022b): With 1.1 GtC yr <sup>-1</sup> averaged over 2012-                    |
| 804        | 2021, deforestation emissions are reported to be smaller by countries than the bookkeeping estimate. A reason                              |
| 805        | for this lies in the fact that country reports do not (fully) capture the carbon flux consequences of shifting                             |
| 806        | cultivation. With 0.3 GtC yr <sup>-1</sup> and 0.2 GtC yr <sup>-1</sup> , emissions from organic soils and the net flux from other         |
| 807        | transitions, respectively, are similar (slightly larger) than the estimates based on the bookkeeping approach and                          |
| 808        | the external peat drainage and burning datasets. With 1.75 GtC yr <sup>-1</sup> , carbon uptake in forests is substantially                |
| 809        | larger, owing to the inclusion of natural CO <sub>2</sub> fluxes on managed land in the NGHGI (see below).                                 |
| 810        | Overall, highest land-use emissions occur in the tropical regions of all three continents. The top three emitters                          |
| 811        | (both cumulatively 1959-2021 and on average over 2012-2021) are Brazil (in particular the Amazon Arc of                                    |
| 812        | Deforestation), Indonesia and the Democratic Republic of the Congo, with these 3 countries contributing 0.7                                |
| 813        | GtC yr <sup>-1</sup> or 58% of the global total land-use emissions (average over 2012-2021) (Figure 6b). This is related to                |
| 814        | massive expansion of cropland, particularly in the last few decades in Latin America, Southeast Asia, and sub-                             |
| 815        | Saharan Africa Emissions (Hong et al., 2021), to a substantial part for export (Pendrill et al., 2019). Emission                           |
| 816        | intensity is high in many tropical countries, particularly of Southeast Asia, due to high rates of land conversion                         |
| 817        | in regions of carbon-dense and often still pristine, undegraded natural forests (Hong et al., 2021). Emissions are                         |
| 818        | further increased by peat fires in equatorial Asia (GFED4s, van der Werf et al., 2017). Uptake due to land-use                             |
| 819        | change occurs, particularly in Europe, partly related to expanding forest area as a consequence of the forest                              |
| 820        | transition in the 19th and 20th century and subsequent regrowth of forest (Figure 6b) (Mather 2001; McGrath et                             |
| 821        | al., 2015).  |
| 822        | While the mentioned patterns are supported by independent literature and robust, we acknowledge that model                                 |
| 823        | spread is substantially larger on regional than global level, as has been shown for bookkeeping models (Bastos                             |
| 824        | et al., 2021) as well as DGVMs (Obermeier et al., 2021). A detailed analysis of country-level or regional                                  |
| 825        | uncertainties is beyond the scope of this study. Assessments for individual regions will be performed as part of                           |
| 826        | REgional Carbon Cycle Assessment and Processes (RECCAP2; Ciais et al., 2020) or already exist for selected                                 |
| 827        | regions (e.g., for Europe by Petrescu et al., 2020, for Brazil by Rosan et al., 2021, for 8 selected                                       |
| 828        | countries/regions in comparison to inventory data by Schwingshackl et al., subm.).   |
| 829        | National GHG inventory data (NGHGI) under the LULUCF sector or data submitted by countries to FAOSTAT                                      |
| 830        | differ from the global models' definition of ELUC we adopt here in that in the NGHGI reporting, the natural                                |
| 831        | fluxes (SLAND) are counted towards ELUC when they occur on managed land (Grassi et al., 2018). In order to                                 |
| 832        | compare our results to the NGHGI approach, we perform a re-mapping of our ELUC estimate by including the                                   |
| 833        | SLAND over managed forest from the DGVMs simulations (following Grassi et al., 2021) to the bookkeeping                                    |
| 834        | $E_{LUC}$ estimate (see Appendix C.2.3). For the 2012-2021 period, we estimate that 1.8 GtC yr <sup>-1</sup> of S <sub>LAND</sub> occurred |
| 835        | on managed forests and is then reallocated to $E_{LUC}$ here, as done in the NGHGI method. Doing so, our mean                              |
| 836        | estimate of E <sub>LUC</sub> is reduced from a source of 1.2 GtC to a sink of 0.6 GtC, very similar to the NGHGI estimate                  |
| 837        | of a 0.5 GtC sink (Table 9). The re-mapping approach has been shown to be generally applicable also on                                     |
| 838        | country-level (Schwingshackl et al., subm.). Country-level analysis suggests, e.g., that the bookkeeping mean                              |
| 839        | estimates higher deforestation emissions than the national report in Indonesia, but estimates less CO <sub>2</sub> removal                 |
| 840        | by afforestation than the national report in China. The fraction of the natural CO <sub>2</sub> sinks that the NGHGI                       |
|            |  |





- 841 estimates include differs substantially across countries, related to varying proportions of managed vs all forest
- areas (Schwingshackl et al., subm.).
- 843 Though estimates between GHGI, FAOSTAT, individual process-based models and the mapped budget
- 844 estimates still differ in value and need further analysis, the approach taken here provides a possibility to relate
- the global models' and NGHGI approach to each other routinely and thus link the anthropogenic carbon budget
- 846 estimates of land CO<sub>2</sub> fluxes directly to the Global Stocktake, as part of UNFCCC Paris Agreement.

#### 847 3.2.3 Final year 2021

848The global  $CO_2$  emissions from land-use change are estimated as  $1.1 \pm 0.7$  GtC in 2021, similar to the 2020849estimate. However, confidence in the annual change remains low.

850 Land-use change and related emissions may have been affected by the COVID-19 pandemic (e.g. Poulter et al., 851 2021). During the period of the pandemic, environmental protection policies and their implementation may have 852 been weakened in Brazil (Vale et al., 2021). In other countries, too, monitoring capacities and legal enforcement 853 of measures to reduce tropical deforestation have been reduced due to budget restrictions of environmental 854 agencies or impairments to ground-based monitoring that prevents land grabs and tenure conflicts (Brancalion et 855 al., 2020, Amador-Jiménez et al., 2020). Effects of the pandemic on trends in fire activity or forest cover 856 changes are hard to separate from those of general political developments and environmental changes and the 857 long-term consequences of disruptions in agricultural and forestry economic activities (e.g., Gruère and Brooks, 858 2020; Golar et al., 2020; Beckman and Countryman, 2021) remain to be seen. Overall, there is limited evidence 859 so far that COVID-19 was a key driver of changes in LULUCF emissions at global scale. Impacts vary across 860 countries and deforestation-curbing and enhancing factors may partly compensate each other (Wunder et al., 861 2021).

#### 862 3.2.4 Year 2022 Projection

863 In Indonesia, peat fire emissions are very low, potentially related to a relatively wet dry season (GFED4.1s, van 864 der Werf et al., 2017). In South America, the trajectory of tropical deforestation and degradation fires resembles 865 the long-term average; global emissions from tropical deforestation and degradation fires were estimated to be 866 116 TgC by August 23 (GFED4.1s, van der Werf et al., 2017). Our preliminary estimate of E<sub>LUC</sub> for 2022 is 867 substantially lower than the 2012-2021 average, which saw years of anomalously dry conditions in Indonesia 868 and high deforestation fires in South America (Friedlingstein et al., 2022). Based on the fire emissions until 869 August 23, we expect  $E_{LUC}$  emissions of around 1.0 GtC in 2022. Note that although our extrapolation is based 870 on tropical deforestation and degradation fires, degradation attributable to selective logging, edge-effects or 871 fragmentation will not be captured. Further, deforestation and fires in deforestation zones may become more 872 disconnected, partly due changes in legislation in some regions. For example, Van Wees et al. (2021) found that 873 the contribution from fires to forest loss decreased in the Amazon and in Indonesia over the period of 2003-874 2018. More recent years, however, saw an uptick in the Amazon again (Tyukavina et al., 2022 with update) and 875 more work is needed to understand fire-deforestation relations.





- 876 The fires in Mediterranean Europe in summer 2022 and in the U.S. in spring 2022, though above average for
- those regions, only contribute a small amount to global emissions. However, they were unrelated to land-use change and are thus not attributed to  $E_{LUC}$ , but would be captured by the natural land sink.
- 879 Land use dynamics may be influenced by the disruption to the global food market associated with the war in
- 880 Ukraine, but scientific evidence so far is very limited. High food prices, which preceded but were exacerbated
- by the war (Torero 2022), are generally linked to higher deforestation (Angelsen and Kaimowitz 1999), while
- 882 high prices on agricultural inputs such as fertilizers and fuel, which are also under pressure from embargoes,
- 883 may impair yields.

#### 884 3.3 Total anthropogenic emissions

885Cumulative anthropogenic CO2 emissions for 1850-2021 totalled  $670 \pm 65$  GtC (2455  $\pm$  240 GtCO2), of which88670% (470 GtC) occurred since 1960 and 33% (220 GtC) since 2000 (Table 6 and 8). Total anthropogenic887emissions more than doubled over the last 60 years, from  $4.5 \pm 0.7$  GtC yr<sup>-1</sup> for the decade of the 1960s to an888average of  $10.9 \pm 0.8$  GtC yr<sup>-1</sup> during 2012-2021, and reaching  $11.1 \pm 0.9$  GtC (40.8  $\pm$  3.3 GtCO2) in 2021. For8892022, we project global total anthropogenic CO2 emissions from fossil and land use changes to be also around89011.1 GtC (40.9 GtCO2).891During the historical period 1850-2021, 30% of historical emissions were from land use change and 79% from

fossil emissions. However, fossil emissions have grown significantly since 1960 while land use changes have
not, and consequently the contributions of land use change to total anthropogenic emissions were smaller during
recent periods (18% during the period 1960-2021 and 11% during 2012-2021).

## 895 3.4 Atmospheric CO<sub>2</sub>

## 896 3.4.1 Historical period 1850-2021

Atmospheric CO<sub>2</sub> concentration was approximately 277 parts per million (ppm) in 1750 (Joos and Spahni,
2008), reaching 300 ppm in the 1910s, 350 ppm in the late 1980s, and reaching 414.71 ± 0.1 ppm in 2021
(Dlugokencky and Tans, 2022); Figure 1). The mass of carbon in the atmosphere increased by 48% from 590
GtC in 1750 to 879 GtC in 2021. Current CO<sub>2</sub> concentrations in the atmosphere are unprecedented in the last 2
million years and the current rate of atmospheric CO<sub>2</sub> increase is at least 10 times faster than at any other time
during the last 800,000 years (Canadell et al., 2021).

#### 903 3.4.2 Recent period 1960-2021

904 The growth rate in atmospheric CO<sub>2</sub> level increased from  $1.7 \pm 0.07$  GtC yr<sup>-1</sup> in the 1960s to  $5.2 \pm 0.02$  GtC yr<sup>-1</sup>

905 during 2012-2022 with important decadal variations (Table 6, Figure 3 and Figure 4). During the last decade

906 (2012-2021), the growth rate in atmospheric CO<sub>2</sub> concentration continued to increase, albeit with large

907 interannual variability (Figure 4).

908 The airborne fraction (AF), defined as the ratio of atmospheric CO<sub>2</sub> growth rate to total anthropogenic909 emissions:

$$910 AF = G_{ATM} / (E_{FOS} + E_{LUC}) (2)$$





- 911 provides a diagnostic of the relative strength of the land and ocean carbon sinks in removing part of the
- 912 anthropogenic CO<sub>2</sub> perturbation. The evolution of AF over the last 60 years shows no significant trend,
- 913 remaining at around 44%, albeit showing a large interannual and decadal variability driven by the year-to-year
- 914 variability in G<sub>ATM</sub> (Figure 9). The observed stability of the airborne fraction over the 1960-2020 period
- 915 indicates that the ocean and land  $CO_2$  sinks have been removing on average about 55% of the anthropogenic
- emissions (see sections 3.5 and 3.6).

## 917 3.4.3 Final year 2021

918 The growth rate in atmospheric CO<sub>2</sub> concentration was 5.2 ± 0.2 GtC (2.46 ± 0.08 ppm) in 2021 (Figure 4;
919 Dlugokencky and Tans, 2022), slightly above the 2020 growth rate (5.0 GtC) but similar to the 2011-2020
920 average (5.2 GtC).

#### 921 3.4.4 Year 2022 Projection

922 The 2022 growth in atmospheric CO<sub>2</sub> concentration (G<sub>ATM</sub>) is projected to be about 5.5 GtC (2.58 ppm) based
923 on GLO observations until August 2022, bringing the atmospheric CO<sub>2</sub> concentration to an expected level of
924 417.3 ppm averaged over the year, 51% over the pre-industrial level.

#### 925 3.5 Ocean Sink

## 926 3.5.1 Historical period 1850-2021

927 Cumulated since 1850, the ocean sink adds up to 175 ± 35 GtC, with more than two thirds of this amount (120
928 GtC) being taken up by the global ocean since 1960. Over the historical period, the ocean sink increased in pace
929 with the anthropogenic emissions exponential increase (Figure 3b). Since 1850, the ocean has removed 26% of
930 total anthropogenic emissions.

#### 931 3.5.2 Recent period 1960-2021

932 The ocean CO<sub>2</sub> sink increased from  $1.1 \pm 0.4$  GtC yr<sup>-1</sup> in the 1960s to  $2.9 \pm 0.4$  GtC yr<sup>-1</sup> during 2012-2021 (Table 6), with interannual variations of the order of a few tenths of GtC yr<sup>-1</sup> (Figure 10). The ocean-borne 933 934 fraction (S<sub>OCEAN</sub>/(E<sub>FOS</sub>+E<sub>LUC</sub>) has been remarkably constant around 25% on average (Figure 9). Variations 935 around this mean illustrate decadal variability of the ocean carbon sink. So far, there is no indication of a 936 decrease in the ocean-borne fraction from 1960 to 2021. The increase of the ocean sink is primarily driven by 937 the increased atmospheric CO2 concentration, with the strongest CO2 induced signal in the North Atlantic and 938 the Southern Ocean (Figure 11a). The effect of climate change is much weaker, reducing the ocean sink globally 939 by  $0.11 \pm 0.09$  GtC yr<sup>-1</sup> or 4.2% (2012-2021, nine models simulate a weakening of the ocean sink by climate 940 change, range -3.2 to -8.9% and one model a strengthening by 4.8%), and does not show clear spatial patterns 941 across the GOBMs ensemble (Figure 11b). This is the combined effect of change and variability in all 942 atmospheric forcing fields, previously attributed to wind and temperature changes in one model (LeQuéré et al., 943 2010). 944 The global net air-sea CO<sub>2</sub> flux is a residual of large natural and anthropogenic CO<sub>2</sub> fluxes into and out of the

945 ocean with distinct regional and seasonal variations (Figure 6 and B1). Natural fluxes dominate on regional





946 scales, but largely cancel out when integrated globally (Gruber et al., 2009). Mid-latitudes in all basins and the 947 high-latitude North Atlantic dominate the ocean CO<sub>2</sub> uptake where low temperatures and high wind speeds 948 facilitate CO<sub>2</sub> uptake at the surface (Takahashi et al., 2009). In these regions, formation of mode, intermediate 949 and deep-water masses transport anthropogenic carbon into the ocean interior, thus allowing for continued CO2 950 uptake at the surface. Outgassing of natural CO2 occurs mostly in the tropics, especially in the equatorial 951 upwelling region, and to a lesser extent in the North Pacific and polar Southern Ocean, mirroring a well-952 established understanding of regional patterns of air-sea CO2 exchange (e.g., Takahashi et al., 2009, Gruber et 953 al., 2009). These patterns are also noticeable in the Surface Ocean CO2 Atlas (SOCAT) dataset, where an ocean 954 fCO<sub>2</sub> value above the atmospheric level indicates outgassing (Figure B1). This map further illustrates the data-955 sparsity in the Indian Ocean and the southern hemisphere in general. 956 Interannual variability of the ocean carbon sink is driven by climate variability with a first-order effect from a 957 stronger ocean sink during large El Niño events (e.g., 1997-1998) (Figure 10; Rödenbeck et al., 2014, Hauck et 958 al., 2020). The GOBMs show the same patterns of decadal variability as the mean of the fCO<sub>2</sub>-based data 959 products, with a stagnation of the ocean sink in the 1990s and a strengthening since the early 2000s (Figure 10, 960 Le Quéré et al., 2007; Landschützer et al., 2015, 2016; DeVries et al., 2017; Hauck et al., 2020; McKinley et al., 961 2020). Different explanations have been proposed for this decadal variability, ranging from the ocean's response 962 to changes in atmospheric wind and pressure systems (e.g., Le Quéré et al., 2007, Keppler and Landschützer, 963 2019), including variations in upper ocean overturning circulation (DeVries et al., 2017) to the eruption of 964 Mount Pinatubo and its effects on sea surface temperature and slowed atmospheric CO2 growth rate in the 1990s 965 (McKinley et al., 2020). The main origin of the decadal variability is a matter of debate with a number of studies 966 initially pointing to the Southern Ocean (see review in Canadell et al., 2021), but also contributions from the 967 North Atlantic and North Pacific (Landschützer et al., 2016, DeVries et al., 2019), or a global signal (McKinley 968 et al., 2020) were proposed. 969 Although all individual GOBMs and data-products fall within the observational constraint, the ensemble means 970 of GOBMs, and data-products adjusted for the riverine flux diverge over time with a mean offset increasing 971 from 0.28 GtC yr<sup>-1</sup> in the 1990s to 0.61 GtC yr<sup>-1</sup> in the decade 2012-2021 and reaching 0.79 GtC yr<sup>-1</sup> in 2021. 972 The S<sub>OCEAN</sub> positive trend over time diverges by a factor two since 2002 (GOBMs:  $0.28 \pm 0.07$  GtC yr<sup>-1</sup> per 973 decade, data-products: 0.61  $\pm$  0.17 GtC yr<sup>-1</sup> per decade, S<sub>OCEAN</sub>: 0.45 GtC yr<sup>-1</sup> per decade) and by a factor of 974 three since 2010 (GOBMs:  $0.21 \pm 0.14$  GtC yr<sup>-1</sup> per decade, data-products:  $0.66 \pm 0.38$  GtC yr<sup>-1</sup> per decade 975 S<sub>OCEAN</sub>: 0.44 GtC yr<sup>-1</sup> per decade). The GOBMs estimate is slightly higher (<0.1 GtC yr<sup>-1</sup>) than in the previous 976 global carbon budget (Friedlingstein et al., 2022), because one new model is included (CESM2) and four models 977 revised their estimate upwards (CESM-ETHZ, CNRM, FESOM2-REcoM, PlankTOM). The data-product

978 estimate is higher by about 0.1 GtC yr<sup>-1</sup> compared to Friedlingstein et al. (2022) as a result of an upward

- 979 correction in three products (Jena-MLS, MPI-SOMFFN, OS-ETHZ-Gracer), the submission of LDEO-HPD
- 980 which is above average, the non-availability of the CSIR product, and the small upward correction of the river981 flux adjustment.

982 The discrepancy between the two types of estimates stems mostly from a larger Southern Ocean sink in the data-

- 983 products prior to 2001, and from a larger SOCEAN trend in the northern and southern extra-tropics since then
- 984 (Figure 13). Note that the location of the mean offset (but not its trend) depends strongly on the choice of





| 985  | regional river flux adjustment and would occur in the tropics rather than in the Southern Ocean when using the                              |
|------|---|
| 986  | dataset of Lacroix et al. (2020) instead of Aumont et al. (2001). Other possible explanations for the discrepancy                           |
| 987  | in the Southern Ocean could be missing winter observations and data sparsity in general (Bushinsky et al., 2019,                            |
| 988  | Gloege et al., 2021), or model biases (as indicated by the large model spread in the South, Figure 13, and the                              |
| 989  | larger model-data mismatch, Figure B2).   |
| 990  | In GCB releases until 2021, the ocean sink 1959-1989 was only estimated by GOBMs due to the absence of                                      |
| 991  | fCO2 observations. Now, the first data-based estimates extending back to 1957/58 are becoming available (Jena-                              |
| 992  | MLS, Rödenbeck et al., 2022, LDEO-HPD, Bennington et al., 2022; Gloege et al. 2022). These are based on a                                   |
| 993  | multi-linear regression of pCO2 with environmental predictors (Rödenbeck et al., 2022, included here) or on                                 |
| 994  | model-data pCO <sub>2</sub> misfits and their relation to environmental predictors (Bennington et al., 2022). The Jena-MLS                  |
| 995  | estimate falls well within the range of GOBM estimates and has a correlation of 0.98 with $S_{OCEAN}$ (1959-2021 as                         |
| 996  | well as 1959-1989). It agrees well on the mean $S_{OCEAN}$ estimate since 1977 with a slightly higher amplitude of                          |
| 997  | variability (Figure 10). Until 1976, Jena-MLS is 0.2-0.3 GtCyr <sup>-1</sup> below the central S <sub>OCEAN</sub> estimate. The             |
| 998  | agreement especially on phasing of variability is impressive, and the discrepancies in the mean flux 1959-1976                              |
| 999  | could be explained by an overestimated trend of Jena-MLS (Rödenbeck et al., 2022). Bennington et al. (2022)                                 |
| 1000 | report a larger flux into the pre-1990 ocean than in Jena-MLS.  |
| 1001 | The reported $S_{OCEAN}$ estimate from GOBMs and data-products is 2.1 $\pm$ 0.4 GtC yr <sup>-1</sup> over the period 1994 to                |
| 1002 | 2007, which is in agreement with the ocean interior estimate of $2.2 \pm 0.4$ GtC yr <sup>-1</sup> which accounts for the                   |
| 1003 | climate effect on the natural CO <sub>2</sub> flux of $-0.4 \pm 0.24$ GtC yr <sup>-1</sup> (Gruber et al., 2019) to match the definition of |
| 1004 | $S_{OCEAN}$ used here (Hauck et al., 2020). This comparison depends critically on the estimate of the climate effect                        |
| 1005 | on the natural CO <sub>2</sub> flux, which is smaller from the GOBMs (-0.1 GtC $yr^{-1}$ ) than in Gruber et al. (2019).                    |
| 1006 | Uncertainties of these two estimates would also overlap when using the GOBM estimate of the climate effect on                               |
| 1007 | the natural CO <sub>2</sub> flux.   |
| 1008 | During 2010-2016, the ocean $CO_2$ sink appears to have intensified in line with the expected increase from                                 |

**1008** During 2010-2016, the ocean  $CO_2$  sink appears to have intensified in line with the expected increase from

atmospheric CO<sub>2</sub> (McKinley et al., 2020). This effect is stronger in the fCO<sub>2</sub>-based data products (Figure 10,

**1010** ocean sink 2016 minus 2010, GOBMs:  $+0.42 \pm 0.09$  GtC yr<sup>-1</sup>, data-products:  $+0.52 \pm 0.22$  GtC yr<sup>-1</sup>). The

1011 reduction of -0.09 GtC yr<sup>-1</sup> (range: -0.39 to +0.01 GtC yr<sup>-1</sup>) in the ocean  $CO_2$  sink in 2017 is consistent with the

1012 return to normal conditions after the El Niño in 2015/16, which caused an enhanced sink in previous years.

1013 After 2017, the GOBMs ensemble mean suggests the ocean sink levelling off at about 2.6 GtC yr<sup>-1</sup>, whereas the

1014 data-products' estimate increases by  $0.24 \pm 0.17$  GtC yr<sup>-1</sup> over the same period.

## 1015 3.5.3 Final year 2021

1016The estimated ocean  $CO_2$  sink was  $2.9 \pm 0.4$  GtC in 2021. This is a decrease of 0.12 GtC compared to 2020, in1017line with the expected sink weakening from persistent La Niña conditions. GOBM and data-product estimates1018consistently result in a stagnation of  $S_{OCEAN}$  (GOBMs:  $-0.09 \pm 0.15$  GtC, data-products:  $-0.15 \pm 0.24$  GtC). Seven1019models and six data products show a decrease in  $S_{OCEAN}$  (GOBMs down to -0.31 GtC, data-products down to -0.58 GtC), while three models and two data products show an increase in  $S_{OCEAN}$  (GOBMs up to 0.15 GtC, data-1021products up to 0.12 GtC; Figure 10). The data-products have a larger uncertainty at the tails of the reconstructed1022time series (e.g., Watson et al., 2020). Specifically, the data-products' estimate of the last year is regularly





adjusted in the following release owing to the tail effect and an incrementally increasing data availability with 1-

**1024** 5 years lag (Figure 10 inset).

## 1025 3.5.4 Year 2022 Projection

Using a feed-forward neural network method (see section 2.4) we project an ocean sink of 2.9 GtC for 2022.This is similar to the year 2021 as the La Niña conditions persist in 2022.

#### 1028 3.5.5 Model Evaluation

1029 The additional simulation D allows to separate the anthropogenic carbon component (steady state and non-1030 steady state, sim D - sim A) and to compare the model flux and DIC inventory change directly to the interior 1031 ocean estimate of Gruber et al. (2019) without further assumptions. The GOBMs ensemble average of 1032 anthropogenic carbon inventory changes 1994-2007 amounts to 2.2 GtC yr<sup>-1</sup> and is thus lower than the  $2.6 \pm 0.3$ 1033 GtC yr<sup>-1</sup> estimated by Gruber et al (2019). Only four models with the highest sink estimate fall within the range 1034 reported by Gruber et al. (2019). This suggests that most of the GOBMs underestimate anthropogenic carbon 1035 uptake by the ocean. Analysis of Earth System Models indicate that this may be due to biases in ocean carbon 1036 transport and mixing from the surface mixed layer to the ocean interior (Goris et al., 2018, Terhaar et al., 2021, 1037 Bourgeois et al., 2022, Terhaar et al., 2022,), biases in the chemical buffer capacity (Revelle factor) of the ocean 1038 (Vaittinada Ayar et al., 2022; Terhaar et al., 2022) and partly due to a late starting date of the simulations 1039 (mirrored in atmospheric CO<sub>2</sub> chosen for the preindustrial control simulation, Table A2, Bronselaer et al., 2017, 1040 Terhaar et al., 2022). Interestingly, and in contrast to the uncertainties in the surface CO<sub>2</sub> flux, we find the 1041 largest mismatch in interior ocean carbon accumulation in the tropics (93% of the mismatch), with minor 1042 contribution from the north (1%) and the south (6%). This highlights the role of interior ocean carbon 1043 redistribution for those inventories (Khatiwala et al., 2009). 1044 The evaluation of the ocean estimates (Figure B2) shows an RMSE from annually detrended data of 0.4 to 2.6 1045 µatm for the seven fCO<sub>2</sub>-based data products over the globe, relative to the fCO<sub>2</sub> observations from the SOCAT 1046 v2022 dataset for the period 1990-2021. The GOBMs RMSEs are larger and range from 3.0 to 4.8 µatm. The 1047 RMSEs are generally larger at high latitudes compared to the tropics, for both the data products and the 1048 GOBMs. The data products have RMSEs of 0.4 to 3.2 µatm in the tropics, 0.8 to 2.8 µatm in the north, and 0.8 1049 to 3.6  $\mu$ atm in the south. Note that the data products are based on the SOCAT v2022 database, hence the 1050 SOCAT is not an independent dataset for the evaluation of the data products. The GOBMs RMSEs are more 1051 spread across regions, ranging from 2.5 to 3.9 µatm in the tropics, 3.1 to 6.5 µatm in the North, and 5.4 to 7.9 1052 uatm in the South. The higher RMSEs occur in regions with stronger climate variability, such as the northern 1053 and southern high latitudes (poleward of the subtropical gyres). The upper range of the model RMSEs have 1054 decreased somewhat relative to Friedlingstein et al. (2022).





## 1055 **3.6** Land Sink

## 1056 3.6.1 Historical period 1850-2021

1057Cumulated since 1850, the terrestrial  $CO_2$  sink amounts to  $210 \pm 45$  GtC, 31% of total anthropogenic emissions.1058Over the historical period, the sink increased in pace with the anthropogenic emissions exponential increase1059(Figure 3b).

## 1060 3.6.2 Recent period 1960-2021

1061The terrestrial CO2 sink increased from  $1.2 \pm 0.4$  GtC yr<sup>-1</sup> in the 1960s to  $3.1 \pm 0.6$  GtC yr<sup>-1</sup> during 2012-2021,1062with important interannual variations of up to 2 GtC yr<sup>-1</sup> generally showing a decreased land sink during El1063Niño events (Figure 8), responsible for the corresponding enhanced growth rate in atmospheric CO21064concentration. The larger land CO2 sink during 2012-2021 compared to the 1960s is reproduced by all the1065DGVMs in response to the increase in both atmospheric CO2 and nitrogen deposition, and the changes in1066climate, and is consistent with constraints from the other budget terms (Table 5).

1067 Over the period 1960 to present the increase in the global terrestrial CO<sub>2</sub> sink is largely attributed to the CO<sub>2</sub> 1068 fertilisation effect (Prentice et al., 2001, Piao et al., 2009), directly stimulating plant photosynthesis and 1069 increased plant water use in water limited systems, with a small negative contribution of climate change (Figure 1070 11). There is a range of evidence to support a positive terrestrial carbon sink in response to increasing 1071 atmospheric CO<sub>2</sub>, albeit with uncertain magnitude (Walker et al., 2021). As expected from theory, the greatest 1072 CO<sub>2</sub> effect is simulated in the tropical forest regions, associated with warm temperatures and long growing 1073 seasons (Hickler et al., 2008) (Figure 11a). However, evidence from tropical intact forest plots indicate an 1074 overall decline in the land sink across Amazonia (1985-2011), attributed to enhanced mortality offsetting 1075 productivity gains (Brienen et al., 2005, Hubau et al., 2020). During 2012-2021 the land sink is positive in all 1076 regions (Figure 6) with the exception of eastern Brazil, Southwest USA, Southeast Europe and Central Asia, 1077 North and South Africa, and eastern Australia, where the negative effects of climate variability and change (i.e. 1078 reduced rainfall) counterbalance CO<sub>2</sub> effects. This is clearly visible on Figure 11 where the effects of CO<sub>2</sub> 1079 (Figure 11a) and climate (Figure 11b) as simulated by the DGVMs are isolated. The negative effect of climate is 1080 the strongest in most of South America, Central America, Southwest US, Central Europe, western Sahel, 1081 southern Africa, Southeast Asia and southern China, and eastern Australia (Figure 11b). Globally, climate 1082 change reduces the land sink by  $0.63 \pm 0.52$  GtC yr<sup>-1</sup> or 17% (2012-2021). 1083 Since 2020 the globe has experienced La Niña conditions which would be expected to lead to an increased land 1084 carbon sink. A clear peak in the global land sink is not evident in SLAND, and we find that a La Niña- driven 1085 increase in tropical land sink is offset by a reduced high latitude extra-tropical land sink, which may be linked to 1086 the land response to recent climate extremes. In the past years several regions experienced record-setting fire 1087 events. While global burned area has declined over the past decades mostly due to declining fire activity in 1088 savannas (Andela et al., 2017), forest fire emissions are rising and have the potential to counter the negative fire 1089 trend in savannas (Zheng et al., 2021). Noteworthy events include the 2019-2020 Black Summer event in 1090 Australia (emissions of roughly 0.2 GtC; van der Velde et al., 2021) and Siberia in 2021 where emissions

approached 0.4 GtC or three times the 1997-2020 average according to GFED4s. While other regions, including





- 1092 Western US and Mediterranean Europe, also experienced intense fire seasons in 2021 their emissions are1093 substantially lower.
- $1094 \qquad \text{Despite these regional negative effects of climate change on $S_{LAND}$, the efficiency of land to remove}$
- anthropogenic  $CO_2$  emissions has remained broadly constant over the last six decades, with a land-borne
- $\label{eq:loss} 1096 \qquad \mbox{fraction} \ (S_{LAND}/(E_{FOS}{+}E_{LUC})) \ \mbox{of $\sim$30\%$ (Figure 9)}.$

## 1097 3.6.3 Final year 2021

1098The terrestrial CO2 sink from the DGVMs ensemble was  $3.5 \pm 0.9$  GtC in 2021, slightly above the decadal1099average of  $3.1 \pm 0.6$ GtC yr<sup>-1</sup> (Figure 4, Table 6). We note that the DGVMs estimate for 2021 is larger, but1100within the uncertainty, than the  $2.8 \pm 0.9$  GtC yr<sup>-1</sup> estimate from the residual sink from the global budget1101(EFOS+ELUC-GATM-SOCEAN) (Table 5).

### 1102 3.6.4 Year 2022 Projection

Using a feed-forward neural network method we project a land sink of 3.4 GtC for 2022, very similar to the2021 estimate. As for the ocean sink, we attribute this to the persistence of La Niña conditions in 2022.

#### 1105 3.6.5 Model Evaluation

The evaluation of the DGVMs (Figure B3) shows generally high skill scores across models for runoff, and to a
lesser extent for vegetation biomass, GPP, and ecosystem respiration (Figure B3, left panel). Skill score was
lowest for leaf area index and net ecosystem exchange, with a widest disparity among models for soil carbon.
These conclusions are supported by a more comprehensive analysis of DGVM performance in comparison with
benchmark data (Seiler et al., 2022). Furthermore, results show how DGVM differences are often of similar
magnitude compared with the range across observational datasets.

#### 1113 3.7 Partitioning the carbon sinks

#### 1114 3.7.1 Global sinks and spread of estimates

1115 In the period 2012-2021, the bottom-up view of total global carbon sinks provided by the GCB, S<sub>OCEAN</sub> for the 1116 ocean and  $S_{LAND}$ -  $E_{LUC}$  for the land (to be comparable to inversions), agrees closely with the top-down global 1117 carbon sinks delivered by the atmospheric inversions. Figure 12 shows both total sink estimates of the last 1118 decade split by ocean and land (including  $E_{LUC}$ ), which match the difference between  $G_{ATM}$  and  $E_{FOS}$  to within 1119 0.01-0.12 GtC yr<sup>-1</sup> for inverse systems, and to 0.34 GtC yr<sup>-1</sup> for the GCB mean. The latter represents the B<sub>IM</sub> 1120 discussed in Section 3.8, which by design is minimal for the inverse systems. 1121 The distributions based on the individual models and data products reveal substantial spread but converge near 1122 the decadal means quoted in Tables 5 and 6. Sink estimates for SOCEAN and from inverse systems are mostly 1123 non-Gaussian, while the ensemble of DGVMs appears more normally distributed justifying the use of a multimodel mean and standard deviation for their errors in the budget. Noteworthy is that the tails of the distributions 1124 1125 provided by the land and ocean bottom-up estimates would not agree with the global constraint provided by the





1126 fossil fuel emissions and the observed atmospheric  $CO_2$  growth rate ( $E_{FOS} - G_{ATM}$ ). This illustrates the power of

1127 the atmospheric joint constraint from  $G_{ATM}$  and the global  $CO_2$  observation network it derives from.

## 1128 3.7.2 Total atmosphere-to-land fluxes

1129 The total atmosphere-to-land fluxes ( $S_{LAND} - E_{LUC}$ ), calculated here as the difference between  $S_{LAND}$  from the 1130 DGVMs and  $E_{LUC}$  from the bookkeeping models, amounts to a  $1.9 \pm 0.9$  GtC yr<sup>-1</sup> sink during 2012-2021 (Table 1131 5). Estimates of total atmosphere-to-land fluxes ( $S_{LAND} - E_{LUC}$ ) from the DGVMs alone ( $1.5 \pm 0.5$  GtC yr<sup>-1</sup>) are 1132 consistent with this estimate and also with the global carbon budget constraint ( $E_{FOS} - G_{ATM} - S_{OCEAN}$ ,  $1.5 \pm 0.6$ 1133 GtC yr<sup>-1</sup>Table 5). For the last decade (2012-2021), the inversions estimate the net atmosphere-to-land uptake to 1134 lie within a range of 1.1 to 1.7 GtC yr<sup>-1</sup>, consistent with the GCB and DGVMs estimates of SLAND - ELUC (Figure 1135 13 top row). 1136 3.7.3 Total atmosphere-to-ocean fluxes 1137 For the 2012-2021 period, the GOBMs ( $2.6 \pm 0.5$  GtC yr<sup>-1</sup>) produce a lower estimate for the ocean sink than the 1138 fCO<sub>2</sub>-based data products ( $3.2 \pm 0.6$  GtC yr<sup>-1</sup>), which shows up in Figure 12 as a separate peak in the 1139 distribution from the GOBMs (triangle symbols pointing right) and from the fCO<sub>2</sub>-based products (triangle 1140 symbols pointing left). Atmospheric inversions (2.7 to 3.3 GtC yr<sup>-1</sup>) also suggest higher ocean uptake in the 1141 recent decade (Figure 13 top row). In interpreting these differences, we caution that the riverine transport of 1142 carbon taken up on land and outgassing from the ocean is a substantial (0.65 GtC yr<sup>-1</sup>) and uncertain term that 1143 separates the various methods. A recent estimate of decadal ocean uptake from observed O2/N2 ratios (Tohjima

1144et al., 2019) also points towards a larger ocean sink, albeit with large uncertainty (2012-2016:  $3.1 \pm 1.5$  GtC yr11451).

1146 3.7.4 Regional breakdown and interannual variability

1147Figure 13 also shows the latitudinal partitioning of the total atmosphere-to-surface fluxes excluding fossil CO21148emissions ( $S_{OCEAN} + S_{LAND} - E_{LUC}$ ) according to the multi-model average estimates from GOBMs and ocean1149fCO2-based products ( $S_{OCEAN}$ ) and DGVMs ( $S_{LAND} - E_{LUC}$ ), and from atmospheric inversions ( $S_{OCEAN}$  and  $S_{LAND}$ 1150 $-E_{LUC}$ ).

#### 1151 3.7.4.1 North

1152 Despite being one of the most densely observed and studied regions of our globe, annual mean carbon sink 1153 estimates in the northern extra-tropics (north of 30°N) continue to differ. The atmospheric inversions suggest an 1154 atmosphere-to-surface sink (Socean+ SLAND - ELUC) for 2012-2021 of 2.0 to 3.2 GtC yr<sup>-1</sup>, which is higher than 1155 the process models' estimate of  $2.2 \pm 0.4$  GtC yr<sup>-1</sup> (Figure 13). The GOBMs  $(1.2 \pm 0.2$  GtC yr<sup>-1</sup>), fCO<sub>2</sub>-based 1156 data products  $(1.4 \pm 0.1 \text{ GtC yr}^{-1})$ , and inversion systems  $(0.9 \text{ to } 1.4 \text{ GtC yr}^{-1})$  produce consistent estimates of 1157 the ocean sink. Thus, the difference mainly arises from the total land flux ( $S_{LAND} - E_{LUC}$ ) estimate, which is 1.0 1158  $\pm$  0.4 GtC yr<sup>-1</sup> in the DGVMs compared to 0.6 to 2.0 GtC yr<sup>-1</sup> in the atmospheric inversions (Figure 13, second 1159 row).

Discrepancies in the northern land fluxes conforms with persistent issues surrounding the quantification of the
 drivers of the global net land CO<sub>2</sub> flux (Arneth et al., 2017; Huntzinger et al., 2017; O'Sullivan et al., 2022) and





- 1162 the distribution of atmosphere-to-land fluxes between the tropics and high northern latitudes (Baccini et al.,
- 1163 2017; Schimel et al., 2015; Stephens et al., 2007; Ciais et al. 2019; Gaubert et al., 2019).
- 1164 In the northern extratropics, the process models, inversions, and fCO<sub>2</sub>-based data products consistently suggest
- that most of the variability stems from the land (Figure 13). Inversions generally estimate similar interannual
- variations (IAV) over land to DGVMs (0.30 0.37 vs 0.17 0.69 GtC yr<sup>-1</sup>, averaged over 1990-2021), and they
- 1167 have higher IAV in ocean fluxes  $(0.05 0.09 \text{ GtC yr}^{-1})$  relative to GOBMs  $(0.02 0.06 \text{ GtC yr}^{-1})$ , Figure B2),
- 1168 and fCO<sub>2</sub>-based data products  $(0.03 0.09 \text{ GtC yr}^{-1})$ .
- 1169 3.7.4.2 Tropics
- 1170 In the tropics (30°S-30°N), both the atmospheric inversions and process models estimate a total carbon balance
- 1172 based data products ( $0.00 \pm 0.06$  GtC yr<sup>-1</sup>), and inversion systems (-0.2 to 0.5 GtC yr<sup>-1</sup>) all indicate an
- approximately neutral tropical ocean flux (see Figure B1 for spatial patterns). DGVMs indicate a net land sink
- 1174  $(S_{LAND}-E_{LUC})$  of  $0.5 \pm 0.3$  GtC yr<sup>-1</sup>, whereas the inversion systems indicate a net land flux between -0.9 and 0.7
- 1175 GtC yr<sup>-1</sup>, though with high uncertainty (Figure 13, third row).
- 1176The tropical lands are the origin of most of the atmospheric CO2 interannual variability (Ahlström et al., 2015),1177consistently among the process models and inversions (Figure 13). The interannual variability in the tropics is1178similar among the ocean data products  $(0.07 0.16 \text{ GtC yr}^{-1})$  and the GOBMs  $(0.07 0.16 \text{ GtC yr}^{-1},$  Figure1179B2), which is the highest ocean sink variability of all regions. The DGVMs and inversions indicate that1180atmosphere-to-land CO2 fluxes are more variable than atmosphere-to-ocean CO2 fluxes in the tropics, with1181interannual variability of 0.5 to 1.1 and 0.8 to  $1.0 \text{ GtC yr}^{-1}$  for DGVMs and inversions, respectively.
- 1182 3.7.4.3 South
- 1183 In the southern extra-tropics (south of 30°S), the atmospheric inversions suggest a total atmosphere-to-surface 1184 sink (S<sub>OCEAN</sub>+S<sub>LAND</sub>-E<sub>LUC</sub>) for 2012-2021 of 1.6 to 1.9 GtC yr<sup>-1</sup>, slightly higher than the process models' 1185 estimate of  $1.4 \pm 0.3$  GtC yr<sup>-1</sup> (Figure 13). An approximately neutral total land flux (S<sub>LAND</sub>-E<sub>LUC</sub>) for the 1186 southern extra-tropics is estimated by both the DGVMs ( $0.02 \pm 0.06$  GtC yr<sup>-1</sup>) and the inversion systems (sink of 1187 -0.2 to 0.2 GtC yr<sup>-1</sup>). This means nearly all carbon uptake is due to oceanic sinks south of 30°S. The Southern 1188 Ocean flux in the fCO<sub>2</sub>-based data products  $(1.8 \pm 0.1 \text{ GtC yr}^{-1})$  and inversion estimates  $(1.6 \text{ to } 1.9 \text{ GtCyr}^{-1})$  is 1189 higher than in the GOBMs  $(1.4 \pm 0.3 \text{ GtC yr}^{-1})$  (Figure 13, bottom row). This discrepancy in the mean flux is 1190 likely explained by the uncertainty in the regional distribution of the river flux adjustment (Aumont et al., 2001, 1191 Lacroix et al., 2020) applied to fCO2-based data products and inverse systems to isolate the anthropogenic 1192 S<sub>OCEAN</sub> flux. Other possibly contributing factors are that the data-products potentially underestimate the winter 1193 CO<sub>2</sub> outgassing south of the Polar Front (Bushinsky et al., 2019) and model biases. CO<sub>2</sub> fluxes from this region 1194 are more sparsely sampled by all methods, especially in wintertime (Figure B1). 1195 The interannual variability in the southern extra-tropics is low because of the dominance of ocean areas with
- 1196 low variability compared to land areas. The split between land  $(S_{LAND}-E_{LUC})$  and ocean  $(S_{OCEAN})$  shows a
- substantial contribution to variability in the south coming from the land, with no consistency between the
- 1198 DGVMs and the inversions or among inversions. This is expected due to the difficulty of separating exactly the





 $1199 \qquad \text{land and oceanic fluxes when viewed from atmospheric observations alone. The $S_{\text{OCEAN}}$ interannual variability}$ 

- $\label{eq:constraint} 1200 \qquad \text{was found to be higher in the fCO}_2\text{-based data products (0.09 to 0.19 GtC yr-1) compared to GOBMs (0.03 to 0.19 GtC yr-1) compared to 0.19 Gt$
- 1201 0.06 GtC yr-1) in 1990-2021 (Figure B2). Model subsampling experiments recently illustrated that observation-
- 1202 based products may overestimate decadal variability in the Southern Ocean carbon sink by 30% due to data
- sparsity, based on one data product with the highest decadal variability (Gloege et al., 2021).

## 1204 3.7.4.4 Tropical vs northern land uptake

- A continuing conundrum is the partitioning of the global atmosphere-land flux between the northern hemisphere
  land and the tropical land (Stephens et al., 2017; Pan et al., 2011; Gaubert et al., 2019). It is of importance
  because each region has its own history of land-use change, climate drivers, and impact of increasing
  atmospheric CO<sub>2</sub> and nitrogen deposition. Quantifying the magnitude of each sink is a prerequisite to
- 1209 understanding how each individual driver impacts the tropical and mid/high-latitude carbon balance.
- 1210 We define the North-South (N-S) difference as net atmosphere-land flux north of 30°N minus the net
- 1211 atmosphere-land flux south of  $30^{\circ}$ N. For the inversions, the N-S difference ranges from 0.1 GtC yr<sup>-1</sup> to 2.9 GtC
- 1212 yr<sup>-1</sup> across this year's inversion ensemble with a preference across models for either a smaller Northern land
- 1213 sink with a near neutral tropical land flux (medium N-S difference), or a large Northern land sink and a tropical
- 1214 land source (large N-S difference).
- 1215 In the ensemble of DGVMs the N-S difference is  $0.6 \pm 0.5$  GtC yr<sup>-1</sup>, a much narrower range than the one from 1216 inversions. Only two DGVMs have a N-S difference larger than 1.0 GtC yr<sup>-1</sup>. The larger agreement across 1217 DGVMs than across inversions is to be expected as there is no correlation between Northern and Tropical land 1218 sinks in the DGVMs as opposed to the inversions where the sum of the two regions being well-constrained leads 1219 to an anti-correlation between these two regions. The much smaller spread in the N-S difference between the 1220 DGVMs could help to scrutinise the inverse systems further. For example, a large northern land sink and a 1221 tropical land source in an inversion would suggest a large sensitivity to CO<sub>2</sub> fertilisation (the dominant factor 1222 driving the land sinks) for Northern ecosystems, which would be not mirrored by tropical ecosystems. Such a 1223 combination could be hard to reconcile with the process understanding gained from the DGVMs ensembles and 1224 independent measurements (e.g. Free Air CO<sub>2</sub> Enrichment experiments). Such investigations will be further 1225 pursued in the upcoming assessment from REgional Carbon Cycle Assessment and Processes (RECCAP2; Ciais
- 1226 et al., 2020).

## 1227 3.8 Closing the Global Carbon Cycle

## 1228 3.8.1 Partitioning of Cumulative Emissions and Sink Fluxes

- 1229 The global carbon budget over the historical period (1850-2021) is shown in Figure 3.
- 1230 Emissions during the period 1850-2021 amounted to  $670 \pm 65$  GtC and were partitioned among the atmosphere
- **1231** (275  $\pm$  5 GtC; 41%), ocean (175  $\pm$  35 GtC; 26%), and the land (210  $\pm$  45 GtC; 31%). The cumulative land sink
- 1232 is almost equal to the cumulative land-use emissions ( $200 \pm 60$  GtC), making the global land nearly neutral over
- 1233 the whole 1850-2021 period.





| 1234 | The use of nearly independent estimates for the individual terms of the global carbon budget shows a cumulative  |
|------|--|
| 1235 | budget imbalance of 15 GtC (2% of total emissions) during 1850-2021 (Figure 3, Table 8), which, if correct,  |
| 1236 | suggests that emissions could be slightly too high by the same proportion (2%) or that the combined land and   |
| 1237 | ocean sinks are slightly underestimated (by about 3%), although these are well within the uncertainty range of   |
| 1238 | each component of the budget. Nevertheless, part of the imbalance could originate from the estimation of   |
| 1239 | significant increase in $E_{FOS}$ and $E_{LUC}$ between the mid 1920s and the mid 1960s which is unmatched by a similar                                    |
| 1240 | growth in atmospheric CO <sub>2</sub> concentration as recorded in ice cores (Figure 3). However, the known loss of  |
| 1241 | additional sink capacity of 30-40 GtC (over the 1850-2020 period) due to reduced forest cover has not been   |
| 1242 | accounted for in our method and would exacerbate the budget imbalance (Section 2.7.4).   |
| 1243 | For the more recent 1960-2021 period where direct atmospheric CO <sub>2</sub> measurements are available, total  |
| 1244 | emissions ( $E_{FOS} + E_{LUC}$ ) amounted to 470 ± 50 GtC, of which 385 ± 20 GtC (82%) were caused by fossil CO <sub>2</sub>                              |
| 1245 | emissions, and $85 \pm 45$ GtC (18%) by land-use change (Table 8). The total emissions were partitioned among  |
| 1246 | the atmosphere ( $210 \pm 5$ GtC; 45%), ocean ( $120 \pm 25$ GtC; 26%), and the land ( $145 \pm 30$ GtC; 30%), with a near                                 |
| 1247 | zero (-5 GtC) unattributed budget imbalance. All components except land-use change emissions have  |
| 1248 | significantly grown since 1960, with important interannual variability in the growth rate in atmospheric CO <sub>2</sub>                                   |
| 1249 | concentration and in the land CO <sub>2</sub> sink (Figure 4), and some decadal variability in all terms (Table 6).  |
| 1250 | Differences with previous budget releases are documented in Figure B5.   |
| 1251 | The global carbon budget averaged over the last decade (2012-2021) is shown in Figure 2, Figure 14 (right  |
| 1252 | panel) and Table 6. For this period, 89% of the total emissions ( $E_{FOS} + E_{LUC}$ ) were from fossil CO <sub>2</sub> emissions                         |
| 1253 | $(E_{FOS})$ , and 11% from land-use change $(E_{LUC})$ . The total emissions were partitioned among the atmosphere   |
| 1254 | (48%), ocean (26%) and land (29%), with a near-zero unattributed budget imbalance (~3%). For single years,   |
| 1255 | the budget imbalance can be larger (Figure 4). For 2021, the combination of our estimated sources $(10.9 \pm 0.9)$   |
| 1256 | GtC yr <sup>-1</sup> ) and sinks (11.6 $\pm$ 1.0 GtC yr <sup>-1</sup> ) leads to a B <sub>IM</sub> of -0.6 GtC, suggesting a slight underestimation of the |
| 1257 | anthropogenic sources, and/or an overestimation of the combined land and ocean sinks   |
|      |  |
| 1258 | <b>3.8.2</b> Carbon Budget Imbalance trend and variability   |

1259 The carbon budget imbalance (B<sub>IM</sub>; Eq. 1, Figure 4) quantifies the mismatch between the estimated total 1260 emissions and the estimated changes in the atmosphere, land, and ocean reservoirs. The mean budget imbalance 1261 from 1960 to 2021 is very small (4.6 GtC over the period, i.e. average of 0.07 GtC yr<sup>-1</sup>) and shows no trend over 1262 the full time series (Figure 4). The process models (GOBMs and DGVMs) and data-products have been selected 1263 to match observational constraints in the 1990s, but no further constraints have been applied to their 1264 representation of trend and variability. Therefore, the near-zero mean and trend in the budget imbalance is seen 1265 as evidence of a coherent community understanding of the emissions and their partitioning on those time scales 1266 (Figure 4). However, the budget imbalance shows substantial variability of the order of  $\pm 1$  GtC yr<sup>-1</sup>, particularly 1267 over semi-decadal time scales, although most of the variability is within the uncertainty of the estimates. The 1268 positive carbon imbalance during the 1960s, and early 1990s, indicates that either the emissions were 1269 overestimated, or the sinks were underestimated during these periods. The reverse is true for the 1970s, and to a 1270 lower extent for the 1980s and 2012-2021 period (Figure 4, Table 6). 1271 We cannot attribute the cause of the variability in the budget imbalance with our analysis, we only note that the 1272 budget imbalance is unlikely to be explained by errors or biases in the emissions alone because of its large semi-





| 1273         | decadal variability component, a variability that is untypical of emissions and has not changed in the past 60  |
|--------------|---|
| 1274         | years despite a near tripling in emissions (Figure 4). Errors in $S_{LAND}$ and $S_{OCEAN}$ are more likely to be the main  |
| 1275         | cause for the budget imbalance, especially on interannual to semi-decadal timescales. For example,  |
| 1276         | underestimation of the SLAND by DGVMs has been reported following the eruption of Mount Pinatubo in 1991  |
| 1277         | possibly due to missing responses to changes in diffuse radiation (Mercado et al., 2009). Although since  |
| 1278         | GCB2021 we accounted for aerosol effects on solar radiation quantity and quality (diffuse vs direct), most  |
| 1279         | DGVMs only used the former as input (i.e., total solar radiation) (Table A1). Thus, the ensemble mean may not   |
| 1280         | capture the full effects of volcanic eruptions, i.e. associated with high light scattering sulphate aerosols, on the  |
| 1281         | land carbon sink (O'Sullivan et al., 2021). DGVMs are suspected to overestimate the land sink in response to  |
| 1282         | the wet decade of the 1970s (Sitch et al., 2008). Quasi-decadal variability in the ocean sink has also been   |
| 1283         | reported, with all methods agreeing on a smaller than expected ocean $CO_2$ sink in the 1990s and a larger than   |
| 1284         | expected sink in the 2000s (Figure 10; Landschützer et al., 2016, DeVries et al., 2019, Hauck et al., 2020,   |
| 1285         | McKinley et al., 2020). Errors in sink estimates could also be driven by errors in the climatic forcing data,   |
| 1286         | particularly precipitation for $S_{LAND}$ and wind for $S_{OCEAN}$ . Also, the $B_{IM}$ shows substantial departure from zero on  |
| 1287         | yearly time scales (Figure 4e), highlighting unresolved variability of the carbon cycle, likely in the land sink  |
| 1288         | (S <sub>LAND</sub> ), given its large year to year variability (Figure 4d and 8).   |
| 1289         | Both the budget imbalance ( $B_{IM}$ , Table 6) and the residual land sink from the global budget ( $E_{FOS}+E_{LUC}-G_{ATM}$ -   |
| 1289         |   |
| 1290         | $S_{OCEAN}$ , Table 5) include an error term due to the inconsistencies that arises from using $E_{LUC}$ from bookkeeping   |
| 1291         | models, and S <sub>LAND</sub> from DGVMs, most notably the loss of additional sink capacity (see section 2.7). Other  |
| 1292         | differences include a better accounting of land use changes practices and processes in bookkeeping models than<br>in DCVMs, or the healthcoming models are of having present day shown densities fixed in the past                  |
| 1295         | in DGVMs, or the bookkeeping models error of having present-day observed carbon densities fixed in the past.  |
| 1294         | That the budget imbalance shows no clear trend towards larger values over time is an indication that these  |
| 1295         | inconsistencies probably play a minor role compared to other errors in $S_{LAND}$ or $S_{OCEAN}$ .  |
| 1290         | Although the budget imbalance is near zero for the recent decades, it could be due to compensation of errors.   |
|              | We cannot exclude an overestimation of $CO_2$ emissions, particularly from land-use change, given their large   |
| 1298<br>1299 | uncertainty, as has been suggested elsewhere (Piao et al., 2018), combined with an underestimate of the sinks. A larger $DCVM(S_{10}, E_{10})$ and the entry transies would according to model results with inversion estimates for |
| 1299         | larger DGVM ( $S_{LAND}$ - $E_{LUC}$ ) over the extra-tropics would reconcile model results with inversion estimates for flying in the total land during the next decade (Figure 12) Table 5). Likewige a larger S is also possible |
| 1300         | fluxes in the total land during the past decade (Figure 13; Table 5). Likewise, a larger S <sub>OCEAN</sub> is also possible  |
| 1301         | given the higher estimates from the data-products (see section 3.1.2, Figure 10 and Figure 13), the underectimation of interior occurs anthropogenic earbon accumulation in the COPMs (section 3.5.5), and the                      |
| 1302         | underestimation of interior ocean anthropogenic carbon accumulation in the GOBMs (section 3.5.5), and the recently suggested upward adjustments of the ocean carbon sink in Earth System Models (Terhaar et al., 2022),             |
| 1303         | and in data-products, here related to a potential temperature bias and skin effects (Watson et al., 2020, Dong et   |
| 1304         | al., 2022, Figure 10). If S <sub>OCEAN</sub> were to be based on data-products alone, with all data-products including this   |
| 1305         | adjustment, this would result in a 2012-2021 $S_{OCEAN}$ of 3.8 GtC yr <sup>-1</sup> (Dong et al., 2022) or >4 GtC yr <sup>-1</sup> (Watson   |
| 1300         | et al., 2020), i.e., outside of the range supported by the atmospheric inversions and with an implied negative $B_{IM}$   |
| 1307         |   |
| 1308         | of more than -1 GtC yr <sup>-1</sup> indicating that a closure of the budget could only be achieved with either anthropogenic   |
| 1309         | emissions being significantly larger and/or the net land sink being substantially smaller than estimated here.  |
|              | More integrated use of observations in the Global Carbon Budget, either on their own or for further constraining  |
| 1311         | model results, should help resolve some of the budget imbalance (Peters et al., 2017).  |
| 1212         |   |

1312





# 1313 4 Tracking progress towards mitigation targets

| 1314 | The average growth in global fossil $CO_2$ emissions peaked at +3% per year during the 2000s, driven by the rapid                                       |
|------|---|
| 1315 | growth in emissions in China. In the last decade, however, the global growth rate has slowly declined, reaching   |
| 1316 | a low +0.5% per year over 2012-2021 (including the 2020 global decline and the 2021 emissions rebound).   |
| 1317 | While this slowdown in global fossil CO <sub>2</sub> emissions growth is welcome, it is far from the emission decrease                                  |
| 1318 | needed to be consistent with the temperature goals of the Paris Agreement.  |
| 1319 | Since the 1990s, the average growth rate of fossil CO <sub>2</sub> emissions has continuously declined across the group of                              |
| 1320 | developed countries of the Organisation for Economic Co-operation and Development (OECD), with emissions  |
| 1321 | peaking in around 2005 and now declining at around 1% yr <sup>-1</sup> (Le Quéré et al., 2021). In the decade 2012-2021,                                |
| 1322 | territorial fossil CO2 emissions decreased significantly (at the 95% confidence level) in 24 countries whose  |
| 1323 | economies grew significantly (also at the 95% confidence level): Belgium, Croatia, Czech Republic, Denmark,   |
| 1324 | Estonia, Finland, France, Germany, Hong Kong, Israel, Italy, Japan, Luxembourg, Malta, Mexico, Netherlands,   |
| 1325 | Norway, Singapore, Slovenia, Sweden, Switzerland, United Kingdom, USA, and Uruguay (updated from Le   |
| 1326 | Quéré et al., 2019). Altogether, these 24 countries emitted 2.4 GtC yr <sup>-1</sup> (8.8 GtCO <sub>2</sub> yr <sup>-1</sup> ) on average over the last |
| 1327 | decade, about one quarter of world CO2 fossil emissions. Consumption-based emissions also fell significantly  |
| 1328 | during the final decade for which estimates are available (2011-2020) in 15 of these countries: Belgium,  |
| 1329 | Denmark, Estonia, Finland, France, Germany, Hong Kong, Israel, Japan, Luxembourg, Mexico, Netherlands,  |
| 1330 | Singapore, Sweden, United Kingdom, and Uruguay. Figure 15 shows that the emission declines in the USA and   |
| 1331 | the EU27 are primarily driven by increased decarbonisation (CO2 emissions per unit energy) in the last decade   |
| 1332 | compared to the previous, with smaller contributions in the EU27 from slightly weaker economic growth and   |
| 1333 | slightly larger declines in energy per GDP. These countries have stable or declining energy use and so  |
| 1334 | decarbonisation policies replace existing fossil fuel infrastructure (Le Quéré et al. 2019).  |
| 1335 | In contrast, fossil CO <sub>2</sub> emissions continue to grow in non-OECD countries, although the growth rate has slowed                               |
| 1336 | from almost 6% yr <sup>-1</sup> during the 2000s to less than 2% yr <sup>-1</sup> in the last decade. Representing 47% of non-OECD                      |
| 1337 | emissions in 2021, a large part of this slowdown is due to China, which has seen emissions growth decline from  |
| 1338 | nearly 10% yr <sup>-1</sup> in the 2000s to 1.5% yr <sup>-1</sup> in the last decade. Excluding China, non-OECD emissions grew at                       |
| 1339 | 3.3% yr <sup>-1</sup> in the 2000s compared to 1.6% yr <sup>-1</sup> in the last decade. Figure 15 shows that, compared to the previous                 |
| 1340 | decade, China has had weaker economic growth in the last decade and a higher decarbonisation rate, with more  |
| 1341 | rapid declines in energy per GDP that are now back to levels seen during the 1990s. India and the rest of the   |
| 1342 | world have strong economic growth that is not offset by decarbonisation or declines in energy per GDP, driving  |
| 1343 | up fossil CO2 emissions. Despite the high deployment of renewables in some countries (e.g., India), fossil  |
| 1344 | energy sources continue to grow to meet growing energy demand (Le Quéré et al. 2019).   |
| 1345 | Globally, fossil CO2 emissions growth is slowing, and this is due to the emergence of climate policy (Eskander  |
| 1346 | and Fankhauser 2020; Le Quere et al 2019) and technological change, which is leading to a shift from coal to  |
| 1347 | gas and growth in renewable energies, and reduced expansion of coal capacity. At the aggregated global level,   |
| 1348 | decarbonisation shows a strong and growing signal in the last decade, with smaller contributions from lower   |
| 1349 | economic growth and declines in energy per GDP. Despite the slowing growth in global fossil CO2 emissions,  |
| 1350 | emissions are still growing, far from the reductions needed to meet the ambitious climate goals of the UNFCCC   |
| 1351 | Paris agreement.  |





| 1352 | We update the remaining carbon budget assessed by the IPCC AR6 (Canadell et al., 2021), accounting for the             |
|------|--|
| 1353 | 2020 to 2022 estimated emissions from fossil fuel combustion ( $E_{FOS}$ ) and land use changes ( $E_{LUC}$ ). From    |
| 1354 | January 2023, the remaining carbon (50% likelihood) for limiting global warming to 1.5°C, 1.7°C and 2°C is             |
| 1355 | estimated to amount to 105, 200, and 335 GtC (380, 730, 1230 GtCO <sub>2</sub> ). These numbers include an uncertainty |
| 1356 | based on model spread (as in IPCC AR6), which is reflected through the percent likelihood of exceeding the             |
| 1357 | given temperature threshold. These remaining amounts correspond respectively to about 9, 18 and 30 years from          |
| 1358 | the beginning of 2023, at the 2022 level of total $CO_2$ emissions. Reaching net zero $CO_2$ emissions by 2050         |
| 1359 | entails cutting total anthropogenic CO2 emissions by about 0.4 GtC (1.4 GtCO2) each year on average,                   |
| 1360 | comparable to the decrease observed in 2020 during the COVID-19 pandemic.  |
|      |  |

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## 1362 5 Discussion

1363 Each year when the global carbon budget is published, each flux component is updated for all previous years to 1364 consider corrections that are the result of further scrutiny and verification of the underlying data in the primary 1365 input data sets. Annual estimates may be updated with improvements in data quality and timeliness (e.g., to 1366 eliminate the need for extrapolation of forcing data such as land-use). Of all terms in the global budget, only the 1367 fossil CO<sub>2</sub> emissions and the growth rate in atmospheric CO<sub>2</sub> concentration are based primarily on empirical 1368 inputs supporting annual estimates in this carbon budget. The carbon budget imbalance, yet an imperfect 1369 measure, provides a strong indication of the limitations in observations in understanding and representing 1370 processes in models, and/or in the integration of the carbon budget components.

1371 The persistent unexplained variability in the carbon budget imbalance limits our ability to verify reported 1372 emissions (Peters et al., 2017) and suggests we do not yet have a complete understanding of the underlying 1373 carbon cycle dynamics on annual to decadal timescales. Resolving most of this unexplained variability should 1374 be possible through different and complementary approaches. First, as intended with our annual updates, the 1375 imbalance as an error term is reduced by improvements of individual components of the global carbon budget 1376 that follow from improving the underlying data and statistics and by improving the models through the 1377 resolution of some of the key uncertainties detailed in Table 10. Second, additional clues to the origin and 1378 processes responsible for the variability in the budget imbalance could be obtained through a closer scrutiny of 1379 carbon variability in light of other Earth system data (e.g., heat balance, water balance), and the use of a wider 1380 range of biogeochemical observations to better understand the land-ocean partitioning of the carbon imbalance 1381 (e.g. oxygen, carbon isotopes). Finally, additional information could also be obtained through higher resolution 1382 and process knowledge at the regional level, and through the introduction of inferred fluxes such as those based 1383 on satellite CO<sub>2</sub> retrievals. The limit of the resolution of the carbon budget imbalance is yet unclear, but most 1384 certainly not yet reached given the possibilities for improvements that lie ahead.

Estimates of global fossil CO<sub>2</sub> emissions from different datasets are in relatively good agreement when the
different system boundaries of these datasets are considered (Andrew, 2020a). But while estimates of E<sub>FOS</sub> are
derived from reported activity data requiring much fewer complex transformations than some other components
of the budget, uncertainties remain, and one reason for the apparently low variation between datasets is

1389 precisely the reliance on the same underlying reported energy data. The budget excludes some sources of fossil



1390



1391 lime production in China and the US, but these are still absent in most other non-Annex I countries, and before 1392 1990 in other Annex I countries. 1393 Estimates of ELUC suffer from a range of intertwined issues, including the poor quality of historical land-cover 1394 and land-use change maps, the rudimentary representation of management processes in most models, and the 1395 confusion in methodologies and boundary conditions used across methods (e.g., Arneth et al., 2017; Pongratz et 1396 al., 2014, see also Section 2.7.4 on the loss of sink capacity; Bastos et al., 2021). Uncertainties in current and 1397 historical carbon stocks in soils and vegetation also add uncertainty in the ELUC estimates. Unless a major effort 1398 to resolve these issues is made, little progress is expected in the resolution of ELUC. This is particularly 1399 concerning given the growing importance of ELUC for climate mitigation strategies, and the large issues in the 1400 quantification of the cumulative emissions over the historical period that arise from large uncertainties in  $E_{LUC}$ . 1401 By adding the DGVMs estimates of CO<sub>2</sub> fluxes due to environmental change from countries' managed forest 1402 areas (part of SLAND in this budget) to the budget ELUC estimate, we successfully reconciled the large gap 1403 between our ELUC estimate and the land use flux from NGHGIs using the approach described in Grassi et al. 1404 (2021) for future scenario and in Grassi et al. (2022b) using data from the Global Carbon Budget 2021. The 1405 updated data presented here can be used as potential adjustment in the policy context, e.g., to help assessing the 1406 collective countries' progress towards the goal of the Paris Agreement and avoiding double-accounting for the 1407 sink in managed forests. In the absence of this adjustment, collective progress would hence appear better than it 1408 is (Grassi et al. 2021). The need of such adjustment whenever a comparison between LULUCF fluxes reported 1409 by countries and the global emission estimates of the IPCC is attempted is recommended also in the recent 1410 UNFCCC Synthesis report for the first Global Stocktake (UNFCCC, 2022). However, this adjustment should be 1411 seen as a short-term and pragmatic fix based on existing data, rather than a definitive solution to bridge the 1412 differences between global models and national inventories. Additional steps are needed to understand and 1413 reconcile the remaining differences, some of which are relevant at the country level (Grassi, et al. 2022b, 1414 Schwingshackl, et al., subm.). 1415 The comparison of GOBMs, data products and inversions highlights substantial discrepancy in the Southern 1416 Ocean (Figure 13, Hauck et al., 2020). A large part of the uncertainty in the mean fluxes stems from the regional 1417 distribution of the river flux adjustment term. The current distribution (Aumont et al., 2001) is based on one 1418 model study yielding the largest riverine outgassing flux south of 20°S, whereas a recent study, also based on 1419 one model, simulates the largest share of the outgassing to occur in the tropics (Lacroix et al., 2020). The long-1420 standing sparse data coverage of fCO<sub>2</sub> observations in the Southern compared to the Northern Hemisphere (e.g., 1421 Takahashi et al., 2009) continues to exist (Bakker et al., 2016, 2022, Figure B1) and to lead to substantially 1422 higher uncertainty in the Socean estimate for the Southern Hemisphere (Watson et al., 2020, Gloege et al., 1423 2021). This discrepancy, which also hampers model improvement, points to the need for increased high-quality 1424 fCO2 observations especially in the Southern Ocean. At the same time, model uncertainty is illustrated by the 1425 large spread of individual GOBM estimates (indicated by shading in Figure 13) and highlights the need for 1426 model improvement. The diverging trends in SOCEAN from different methods is a matter of concern, which is 1427 unresolved. The assessment of the net land-atmosphere exchange from DGVMs and atmospheric inversions also 1428 shows substantial discrepancy, particularly for the estimate of the total land flux over the northern extra-tropic.

 $CO_2$  emissions, which available evidence suggests are relatively small (<1%). We have added emissions from





| 1429  | This discrepancy highlights the difficulty to quantify complex processes (CO2 fertilisation, nitrogen deposition |
|-------|--|
| 1430  | and fertilisers, climate change and variability, land management, etc.) that collectively determine the net land |
| 1431  | CO2 flux. Resolving the differences in the Northern Hemisphere land sink will require the consideration and      |
| 1432  | inclusion of larger volumes of observations.   |
| 1433  | We provide metrics for the evaluation of the ocean and land models and the atmospheric inversions (Figs. B2 to   |
| 1434  | B4). These metrics expand the use of observations in the global carbon budget, helping 1) to support             |
| 1435  | improvements in the ocean and land carbon models that produce the sink estimates, and 2) to constrain the        |
| 1436  | representation of key underlying processes in the models and to allocate the regional partitioning of the CO2    |
| 1437  | fluxes. However, GOBMs skills have changed little since the introduction of the ocean model evaluation. The      |
| 1438  | additional simulation allows for direct comparison with interior ocean anthropogenic carbon estimates and        |
| 1439  | suggests that the models underestimate anthropogenic carbon uptake and storage. This is an initial step towards  |
| 1440  | the introduction of a broader range of observations that we hope will support continued improvements in the      |
| 1///1 | annual estimates of the global carbon budget   |

- annual estimates of the global carbon budget.
- We assessed before that a sustained decrease of -1% in global emissions could be detected at the 66%
  likelihood level after a decade only (Peters et al., 2017). Similarly, a change in behaviour of the land and/or
  ocean carbon sink would take as long to detect, and much longer if it emerges more slowly. To continue
  reducing the carbon imbalance on annual to decadal time scales, regionalising the carbon budget, and integrating
  multiple variables are powerful ways to shorten the detection limit and ensure the research community can
  rapidly identify issues of concern in the evolution of the global carbon cycle under the current rapid and
  unprecedented changing environmental conditions.

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## 1450 6 Conclusions

| 1451 | The estimation of global CO <sub>2</sub> emissions and sinks is a major effort by the carbon cycle research community that |
|------|--|
| 1452 | requires a careful compilation and synthesis of measurements, statistical estimates, and model results. The                |
| 1453 | delivery of an annual carbon budget serves two purposes. First, there is a large demand for up-to-date                     |
| 1454 | information on the state of the anthropogenic perturbation of the climate system and its underpinning causes. A            |
| 1455 | broad stakeholder community relies on the data sets associated with the annual carbon budget including                     |
| 1456 | scientists, policy makers, businesses, journalists, and non-governmental organisations engaged in adapting to              |
| 1457 | and mitigating human-driven climate change. Second, over the last decades we have seen unprecedented                       |
| 1458 | changes in the human and biophysical environments (e.g., changes in the growth of fossil fuel emissions, impact            |
| 1459 | of COVID-19 pandemic, Earth's warming, and strength of the carbon sinks), which call for frequent                          |
| 1460 | assessments of the state of the planet, a better quantification of the causes of changes in the contemporary global        |
| 1461 | carbon cycle, and an improved capacity to anticipate its evolution in the future. Building this scientific                 |
| 1462 | understanding to meet the extraordinary climate mitigation challenge requires frequent, robust, transparent, and           |
| 1463 | traceable data sets and methods that can be scrutinised and replicated. This paper via 'living data' helps to keep         |
| 1464 | track of new budget updates.   |
| 1465 |  |



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| 1466         | 7 Data availability   |
|--------------|---|
| 1467         | The data presented here are made available in the belief that their wide dissemination will lead to greater   |
| 1468         | understanding and new scientific insights of how the carbon cycle works, how humans are altering it, and how  |
| 1469         | we can mitigate the resulting human-driven climate change. Full contact details and information on how to cite  |
| 1470         | the data shown here are given at the top of each page in the accompanying database and summarised in Table 2.   |
| 1471         | The accompanying database includes two Excel files organised in the following spreadsheets:   |
| 1472         | File Global_Carbon_Budget_2022v0.1.xlsx includes the following:   |
| 1473         | 1. Summary  |
| 1474         | 2. The global carbon budget (1959-2021);  |
| 1475         | 3. The historical global carbon budget (1750-2021);   |
| 1476<br>1477 | <ol> <li>Global CO<sub>2</sub> emissions from fossil fuels and cement production by fuel type, and the per-capita emissions<br/>(1850-2021);</li> </ol>   |
| 1478         | 5. CO <sub>2</sub> emissions from land-use change from the individual methods and models (1959-2021);   |
| 1479         | 6. Ocean CO <sub>2</sub> sink from the individual ocean models and fCO <sub>2</sub> -based products (1959-2021);  |
| 1480         | 7. Terrestrial CO <sub>2</sub> sink from the individual DGVMs (1959-2021);  |
| 1481         | 8. Cement carbonation CO2 sink (1959-2021).   |
| 1482         |   |
| 1483         | File National_Carbon_Emissions_2022v0.1.xlsx includes the following:  |
| 1484         | 1. Summary  |
| 1485         | 2. Territorial country CO <sub>2</sub> emissions from fossil CO <sub>2</sub> emissions (1850-2021);   |
| 1486         | 3. Consumption country $CO_2$ emissions from fossil $CO_2$ emissions and emissions transfer from the  |
| 1487         | international trade of goods and services (1990-2020) using CDIAC/UNFCCC data as reference;   |
| 1488         | 4. Emissions transfers (Consumption minus territorial emissions; 1990-2020);  |
| 1489         | 5. Country definitions.   |
| 1490         |   |
| 1491         | Both spreadsheets are published by the Integrated Carbon Observation System (ICOS) Carbon Portal and are  |
| 1492<br>1493 | available at <u>https://doi.org/10.18160/GCP-2022</u> (Friedlingstein et al., 2022b). National emissions data are also available from the Global Carbon Atlas (http://www.globalcarbonatlas.org/, last access: 25 September 2022) and |
| 1494         | from Our World in Data (https://ourworldindata.org/co2-emissions, last access: 25 September 2022).  |
| 1495         | nom our world in Data (https://ourworldindata.org/co2 clinissions, last access. 25 September 2022).   |
| 1496         | 8 Author contributions  |
| 1497         | PF, MOS, MWJ, RMA, LGr, JH, CLQ, ITL, AO, GPP, WP, JP, ClS, and SS designed the study, conducted the  |
| 1498         | analysis, and wrote the paper with input from JGC, PC and RBJ. RMA, GPP and JIK produced the fossil fuel  |
|              |   |
| 1499         | emissions and their uncertainties and analysed the emissions data. MH and GM provided fossil fuel emission  |

data. JP, TGa, CIS and RAH provided the bookkeeping land-use change emissions with synthesis by JP and





| 1501 | CIS. JH, LB, ÖG, NG, TI, KL, NMa, LR, JS, RS, HiT, and ReW provided an update of the global ocean                      |
|------|--|
| 1502 | biogeochemical models, MG, LGl, LGr, YI, AJ, ChR, JDS, and JZ provided an update of the ocean fCO2 data                |
| 1503 | products, with synthesis on both streams by JH, LGr and NMa. SRA, NRB, MB, HCB, MC, WE, RAF, TGk,                      |
| 1504 | KK, NL, NMe, NMM, DRM, SN, TO, DP, KP, ChR, IS, TS, AJS, CoS, ST, TT, BT, RiW, CW, AW provided                         |
| 1505 | ocean fCO2 measurements for the year 2021, with synthesis by AO and KO. AA, VKA, SF, AKJ, EK, DK, JK,                  |
| 1506 | MJM, MOS, BP, QS, HaT, APW, WY, XY, and SZ provided an update of the Dynamic Global Vegetation                         |
| 1507 | Models, with synthesis by SS and MOS. WP, ITL, FC, JL, YN, PIP, ChR, XT, and BZ provided an updated                    |
| 1508 | atmospheric inversion, WP, FC, and ITL developed the protocol and produced the evaluation. RMA provided                |
| 1509 | predictions of the 2022 emissions and atmospheric $CO_2$ growth rate. PL provided the predictions of the 2022          |
| 1510 | ocean and land sinks. LPC, GCH, KKG, TMR and GRvdW provided forcing data for land-use change. RA, GG,                  |
| 1511 | FT, and CY provided data for the land-use change NGHGI mapping. PPT provided key atmospheric $CO_2$ data.              |
| 1512 | MWJ produced the model atmospheric CO <sub>2</sub> forcing and the atmospheric CO <sub>2</sub> growth rate. MOS and NB |
| 1513 | produced the aerosol diffuse radiative forcing for the DGVMs. IH provided the climate forcing data for the             |
| 1514 | DGVMs. ER provided the evaluation of the DGVMs. MWJ provided the emissions prior for use in the inversion              |
| 1515 | systems. ZL provided seasonal emissions data for most recent years for the emission prior. MWJ and MOS                 |
| 1516 | developed the new data management pipeline which automates many aspects of the data collation, analysis,               |
| 1517 | plotting and synthesis. PF, MOS and MMJ coordinated the effort, revised all figures, tables, text and/or numbers       |
| 1518 | to ensure the update was clear from the 2021 edition and in line with the globalcarbonatlas.org.                       |
| 1519 |  |
| 1520 | Competing interests. The authors declare that they have no conflict of interest.                                       |
| 1521 |  |
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## 2995 Tables

## 2996

| Unit 1   | Unit 2                      | Conversion | Source                          |
|--|-----------------------------|------------|---------------------------------|
| GtC (gigatonnes of<br>carbon)  | ppm (parts per million) (a) | 2.124 (b)  | Ballantyne et al. (2012)        |
| GtC (gigatonnes of<br>carbon)  | PgC (petagrams of carbon)   | 1          | SI unit conversion              |
| GtCO2 (gigatonnes of<br>carbon dioxide)  | GtC (gigatonnes of carbon)  | 3.664      | 44.01/12.011 in mass equivalent |
| GtC (gigatonnes of<br>carbon)  | MtC (megatonnes of carbon)  | 1000       | SI unit conversion              |
| (a) Measurements of atmospheric CO2 concentration have units of dry-air mole fraction. 'ppm' is an |                             |            |                                 |
| abbreviation for micromole/mol, dry air.   |                             |            |                                 |

(b) The use of a factor of 2.124 assumes that all the atmosphere is well mixed within one year. In reality, only the troposphere is well mixed and the growth rate of CO2 concentration in the less well-mixed stratosphere is not measured by sites from the NOAA network. Using a factor of 2.124 makes the approximation that the growth rate of CO2 concentration in the stratosphere equals that of the troposphere on a yearly basis.

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## 2999

| <b>Table 2.</b> How to cite the individualcomponents of the global carbon budgetpresented here. |   |
|---|---|
| Component   | Primary reference   |
| Global fossil CO2 emissions (EFOS), total and by fuel type                                      | Updated from Andrew and Peters (2021)                                       |
| National territorial fossil CO2 emissions (EFOS)  | Gilfillan and Marland (2022), UNFCCC (2022)                                 |
| National consumption-based fossil CO2 emissions (EFOS) by country (consumption)                 | Peters et al. (2011b) updated as described in this paper                    |
| Net land-use change flux (ELUC)   | This paper (see Table 4 for individual model references).                   |
| Growth rate in atmospheric CO2 concentration (GATM)   | Dlugokencky and Tans (2022)   |
| Ocean and land CO2 sinks (SOCEAN and SLAND)   | This paper (see Table 4 for individual model and data products references). |

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Table 3. Main methodological changes in the global carbon budget since 2018. Methodological changes introduced in one yearare kept for the following years unless noted. Empty cells mean there were no methodological changes introduced that year.Table A7 lists methodological changes from the first global carbon budget publication up to 2017.

| Publication  | Fossil fuel  | emissions  | LUC emissions   |   | Reservoirs  |  | Uncertainty & other changes   |
|--|--|--|---|---|---|--|---|
| year   | Global   | Country<br>(territorial)   |   | Atmosphere                                | Ocean   | Land   |   |
| 2018<br>Le Quéré et al.<br>(2018b)<br>GCB2018      | Revision in<br>cement<br>emissions;<br>Projection<br>includes EU-<br>specific data   | Aggregation of<br>overseas<br>territories into<br>governing<br>nations for<br>total of 213<br>countries a  | Average of two<br>bookkeeping<br>models; use of<br>16 DGVMs   | Use of four<br>atmospheric<br>inversions  | Based on<br>seven models  | Based on 16<br>models;<br>revised<br>atmospheric<br>forcing from<br>CRUNCEP to<br>CRUJRA | Introduction of<br>metrics for<br>evaluation of<br>individual<br>models using<br>observations |
| 2019<br>Friedlingstein<br>et al. (2019)<br>GCB2019 | Global<br>emissions<br>calculated as<br>sum of all<br>countries plus<br>bunkers,<br>rather than<br>taken directly<br>from CDIAC.     |  | Average of two<br>bookkeeping<br>models; use of<br>15 DGVMs   | Use of three<br>atmospheric<br>inversions | Based on nine<br>models   | Based on 16<br>models  |   |
| 2020<br>Friedlingstein<br>et al. (2020)<br>GCB2020 | Cement<br>carbonation<br>now included<br>in the EFOS<br>estimate,<br>reducing EFOS<br>by about<br>0.2GtC yr-1 for<br>the last decade | India's<br>emissions from<br>Andrew (2020:<br>India);<br>Corrections to<br>Netherland<br>Antilles and<br>Aruba and<br>Soviet<br>emissions<br>before 1950 as<br>per Andrew<br>(2020: CO2);<br>China's coal<br>emissions in<br>2019 derived<br>from official<br>statistics,<br>emissions now<br>shown for<br>EU27 instead<br>of<br>EU28.Projectio<br>n for 2020<br>based on<br>assessment of | Average of<br>three<br>bookkeeping<br>models; use of<br>17 DGVMs.<br>Estimate of<br>gross land use<br>sources and<br>sinks provided | Use of six<br>atmospheric<br>inversions   | Based on nine<br>models. River<br>flux revised<br>and<br>partitioned<br>NH, Tropics, SH | Based on 17<br>models  |   |





|   |   | approaches.   |   |  |   |  |  |
|---|---|---|---|--|---|--|--|
| 2021  |   | Official data<br>included for a<br>number of<br>additional  | ELUC estimate   |  | Average of<br>means of eight<br>models and<br>means of  | Current year   |  |
| Friedlingstein<br>et al. (2022a)<br>GCB2021 | Projections are<br>no longer an<br>assessment of<br>four<br>approaches. | countries, new<br>estimates for<br>South Korea,<br>added<br>emissions from<br>lime<br>production in<br>China. | compared to<br>the estimates<br>adopted in<br>national GHG<br>inventories<br>(NGHGI)  |  | seven data-<br>products.<br>Current year<br>prediction of<br>SOCEAN using<br>a feed-forward<br>neural network<br>method | prediction of<br>SLAND using a<br>feed-forward<br>neural network<br>method   |  |
| 2022  |   |   | ELUC provided at  |  |   |  |  |
| This study                                  |   |   | country level.<br>Decomposition<br>into fluxes from<br>deforestation,<br>organic soils,<br>uptake in<br>forests, and<br>other transitions.<br>Change in the<br>methodology to<br>derive LUC maps<br>for Brazil to<br>capture recent<br>upturn in<br>deforestation | Use of nine<br>atmospheric<br>inversions | Average of<br>means of ten<br>models and<br>means of<br>seven data-<br>products   | Based on 16<br>models.<br>Change in the<br>methodology<br>to derive LUC<br>maps for Brazil<br>to capture<br>recent upturn<br>in<br>deforestation |  |





Table 4. References for the process models, bookkeeping models, ocean data products, and atmospheric inversions. All models and products are updated with new data to the end of year 2021, and the atmospheric forcing for the DGVMs has been updated as described in Section C.2.2.

| Model/data<br>name             | Reference  | Change from Global Carbon Budget 2021 (Friedlingstein et al., 2022a)  |  |  |  |  |  |  |  |  |
|--------------------------------|--|---|--|--|--|--|--|--|--|--|
| Bookkeeping mod                | Bookkeeping models for land-use change emissions |   |  |  |  |  |  |  |  |  |
| BLUE Hansis et al. (2015)      |  | No change to model, but simulations performed with updated<br>LUH2 forcing. Update in added peat drainage emissions (based<br>on three spatially explicit datasets).  |  |  |  |  |  |  |  |  |
| updated<br>H&N2017             | Houghton and Nassikas (2017)                     | Minor bug fix in the fuel harvest estimates, that was causing an overestimation of fuel sink. Update in added peat drainage emissions (based on three spatially explicit datasets).   |  |  |  |  |  |  |  |  |
| OSCAR                          | Gasser et al. (2020)                             | No change to model, but land use forcing changed to LUH2-<br>GCB2022 and FRA2020 (as used by H&N and extrapolated to<br>2021), both prescribed at higher spatial resolution (210 instead<br>of 96 regions/countries). Constraining based on last year's<br>budget data for SLAND over 1960-2021. Update in added peat<br>drainage emissions (based on three spatially explicit datasets). |  |  |  |  |  |  |  |  |
| Dynamic global v               | egetation models                                 |   |  |  |  |  |  |  |  |  |
| CABLE-POP Haverd et al. (2018) |  | changes in parameterisation. Diffuse fraction of incoming radiation read in as forcing.   |  |  |  |  |  |  |  |  |
| CLASSIC                        | Melton et al. (2020) (a)                         | Minor bug fixes.  |  |  |  |  |  |  |  |  |
| CLM5.0                         | Lawrence et al. (2019)                           | No change.  |  |  |  |  |  |  |  |  |
| DLEM                           | Tian et al. (2015) (b)                           | No change.  |  |  |  |  |  |  |  |  |
| IBIS                           | Yuan et al. (2014) (c)                           | No change.  |  |  |  |  |  |  |  |  |
| ISAM                           | Meiyappan et al. (2015) (d)                      | No change.  |  |  |  |  |  |  |  |  |
| JSBACH                         | Reick et al. (2021) (f)                          | No change.  |  |  |  |  |  |  |  |  |
| JULES-ES                       | Wiltshire et al. (2021) (g)                      | Minor bug fixes. (Using JULES v6.3, suite u-co002)  |  |  |  |  |  |  |  |  |
| LPJ-GUESS                      | Smith et al. (2014) (h)                          | No change.  |  |  |  |  |  |  |  |  |
| LPJ                            | Poulter et al. (2011) (i)                        | No change.  |  |  |  |  |  |  |  |  |
| LPX-Bern                       | Lienert and Joos (2018)                          | Following the results of Joos et al. (2018), we use modified<br>parameter values which yield a more reasonable (lower) BNF,<br>termed LPX v1.5. This parameter version has increased N<br>immobilization and a stronger N limitation, than the previous<br>version.<br>The N2O Emissions were adjusted accordingly. The parameters  |  |  |  |  |  |  |  |  |





|                                    |  | were obtained by running an ensemble simulation and<br>imposing various observational constraints and subsequently   |
|------------------------------------|--|--|
|                                    |  | adjusting N immobilization.<br>For the methodology see Lienert et. al. (2018).   |
| OCN                                | Zaehle and Friend (2010) (j)                   | No change (uses r294).   |
| ORCHIDEEv3                         | Vuichard et al. (2019) (k)                     | No change (ORCHIDEE - V3; revision 7267)   |
| SDGVM                              | Walker et al. (2017) (l)                       | No change.   |
| VISIT                              | Kato et al. (2013) (m)                         | No change.   |
| YIBs                               | Yue and Unger (2015)                           | No change.   |
| Global ocean biog                  | eochemistry models                             |  |
| NEMO-<br>PlankTOM12                | Wright et al. (2021)                           | Minor bug fixes  |
| MICOM-HAMOCC<br>(NorESM-OCv1.2)    | Schwinger et al. (2016)                        | No change.   |
| MPIOM-<br>HAMOCC6                  | Lacroix et al. (2021)                          | No change.   |
| NEMO3.6-<br>PISCESv2-gas<br>(CNRM) | Berthet et al. (2019) (n)                      | No change.   |
| FESOM-2.1-<br>REcoM2               | Hauck et al. (2020) (o)                        | Extended spin-up, minor bug fixes  |
| MOM6-COBALT                        | Line et al. (2020)                             | Nachanga   |
| (Princeton)<br>CESM-ETHZ           | Liao et al. (2020)<br>Doney et al. (2009)      | No change<br>Changed salinity restoring in the surface ocean from 700 days<br>to 300 days, except for the Southern Ocean south of 45S,<br>where the restoring timescale was set to 60 days.  |
| NEMO-PISCES<br>(IPSL)              | Aumont et al. (2015)                           | No change.   |
| MRI-ESM2-1                         | Nakano et al. (2011), Urakawa et<br>al. (2020) | New this year.   |
| CESM2                              | Long et al. (2021) (p)                         | New this year.   |
| ocean data produc                  | cts  |  |
| MPI-SOMFFN                         | Landschützer et al. (2016)                     | update to SOCATv2022 measurements and timeperiod 1982-<br>2021; The estimate now covers the full ocean domain as well as<br>the Arctic Ocean extension described in: Landschützer, P.,<br>Laruelle, G. G., Roobaert, A., and Regnier, P.: A uniform pCO2 |





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|--|---|---|
| Jena-MLS   | Rödenbeck et al. (2022)   | update to SOCATv2022 measurements, time period extended to 1957-2021  |
| CMEMS-LSCE-<br>FFNNv2  | Chau et al. (2022)  | Update to SOCATv2022 measurements and time period 1985-<br>2021. The CMEMS-LSCE-FFNNv2 product now covers both the<br>open ocean and coastal regions.   |
| LDEO-HPD   | Gloege et al. (2022) (q)  | New this year   |
| UOEx-Watson  | Watson et al. (2020)  | Updated to SOCAT v2022 and OISSTv2.1, as recalculated by Holding et al.   |
| NIES-NN  | Zeng et al. (2014)  | Updated to SOCAT v2022. Small changes in method (gas-<br>exchange coefficient a= 0.271; trend calculation 1990-2020,<br>predictors include lon and lat) |
| JMA-MLR  | lida et al. (2021)  | Updated to SOCATv2022<br>SST fields (MGDSST) updated  |
| OS-ETHZ-GRaCER   | Gregor and Gruber (2021)  | No change   |
| Atmospheric inver  | sions   | I   |
| CAMS   | Chevallier et al. (2005) (r)  | Updated to WMOX2019 scale. Extension to year 2021, revision of the station list, update of the prior fluxes   |
| CarbonTracker<br>Europe (CTE)                                      | van der Laan-Luijkx et al. (2017)   | Updated to WMOX2019 scale. Biosphere prior fluxes from the SiB4 model instead of SiBCASA model. Extension to 2021.                                      |
| Jena CarboScope  | Rödenbeck et al. (2018) (s)   | Updated to WMOX2019 scale. Extension to 2021.   |
| UoE in-situ  | Feng et al., (2016) (t)   | Updated to WMOX2019 scale. Updated station list, and refined land-ocean map. Extension to 2021.   |
| NISMON-CO2   | Niwa et al., (2022) (u)   | Updated to WMOX2019 scale. Positive definite flux parameters and updated station list. Extension to 2021.   |
| CMS-Flux   | Liu et al., (2021)  | Undeted to WMOX2010 cools. Extension to 2021  |
|  |   | Updated to WMOX2019 scale. Extension to 2021.   |
| GONGGA   | Jin et al. (2022 in review) (v)   | New this year.  |
| GONGGA<br>THU  |   |   |
|  | Jin et al. (2022 in review) (v)   | New this year.  |
| THU  | Jin et al. (2022 in review) (v)<br>Kong et al. (2022)<br>Chevallier et al. (2005) (r)                     | New this year.  |
| THU<br>CAMS-Satellite  | Jin et al. (2022 in review) (v)<br>Kong et al. (2022)<br>Chevallier et al. (2005) (r)<br>i et al. (2018). | New this year.  |
| THU<br>CAMS-Satellite<br>(a) see also Asaad<br>(b) see also Tian e | Jin et al. (2022 in review) (v)<br>Kong et al. (2022)<br>Chevallier et al. (2005) (r)<br>i et al. (2018). | New this year.<br>New this year.<br>New this year.  |





| (e) see a   | lso Decharme et al. (2019) and Seferian et al. (2019)  |
|-------------|--|
| (f) see al  | so Mauritsen et al. (2019)   |
|             | lso Sellar et al. (2019) and Burton et al., (2019). JULES-ES is the Earth System configuration of the Joint UK<br>vironment Simulator as used in the UK Earth System Model (UKESM).  |
| . ,         | count for the differences between the derivation of shortwave radiation from CRU cloudiness and DSWRF<br>JJRA, the photosynthesis scaling parameter αa was modified (-15%) to yield similar results.   |
| site com    | ared to published version, decreased LPJ wood harvest efficiency so that 50 % of biomass was removed off-<br>pared to 85 % used in the 2012 budget. Residue management of managed grasslands increased so that 100<br>vested grass enters the litter pool. |
| (j) see als | so Zaehle et al. (2011).   |
| (k) see al  | lso Zaehle and Friend (2010) and Krinner et al. (2005)   |
| (I) see als | so Woodward and Lomas (2004)   |
| (m) see a   | also Ito and Inatomi (2012).   |
| (n) see a   | lso Séférian et al. (2019)   |
| (o) see a   | lso Schourup-Kristensen et al (2014)   |
| (p) see a   | lso Yeager et al. (2022)   |
| (q) see a   | lso Bennington et al. (2022)   |
| (r) see al  | so Remaud (2018)   |
| (s) see al  | lso Rödenbeck et al. (2003)  |
| (t) see al  | so Feng et al. (2009) and Palmer et al. (2019)   |
| (u) see a   | lso Niwa et al. (2020)   |
| (v) see al  | lso Tian et al. (2014)   |





Table 5. Comparison of results from the bookkeeping method and budget residuals with results from the DGVMs and inverse estimates for different periods, the last decade, and the last year available. All values are in GtCyr–1. See Fig. 7 for explanation of the bookkeeping component fluxes. The DGVM uncertainties represent  $\pm 1\sigma$  of the decadal or annual (for 2021) estimates from the individual DGVMs: for the inverse systems the range of available results is given. All values are rounded to the nearest 0.1 GtC and therefore columns do not necessarily add to zero.

|                                    |   | 1960s    | 1970s    | 1980s    | 1990s          | 2000s          | 2012-<br>2021  | 2021           |
|------------------------------------|---|----------|----------|----------|----------------|----------------|----------------|----------------|
|                                    | Bookkeeping (BK) Net flux (1a)  | 1.5±0.7  | 1.2±0.7  | 1.3±0.7  | 1.5±0.7        | 1.4±0.7        | 1.2±0.7        | 1.1±0.7        |
|                                    | BK - deforestation  | 1.6±0.4  | 1.5±0.4  | 1.6±0.4  | 1.8±0.3        | 1.9±0.4        | 1.8±0.4        | 1.8±0.4        |
| Land-use change emissions          | BK - organic soils  | 0.1±0.1  | 0.1±0.1  | 0.2±0.1  | 0.2±0.1        | 0.2±0.1        | 0.2±0.1        | 0.2±0.1        |
| ELUC)                              | BK - re-/afforestation and<br>forestry                                  | -0.6±0.1 | -0.6±0.1 | -0.6±0.2 | -0.7±0.1       | -0.8±0.2       | -0.9±0.3       | -1.0±0.3       |
|                                    | BK - other transitions  | 0.4±0.0  | 0.2±0.1  | 0.2±0.1  | 0.1±0.1        | 0.1±0.1        | 0.1±0.1        | 0.1±0.1        |
|                                    | DGVMs-net flux (1b)   | 1.4±0.5  | 1.3±0.5  | 1.5±0.5  | 1.5±0.6        | 1.6±0.6        | 1.6±0.5        | 1.6±0.5        |
| Terrestrial sink (SLAND)           | Residual sink from global budget<br>(EEQS+ELUC(1a)-GATM-SOCEAN)<br>(2a) | 1.7±0.8  | 1.8±0.8  | 1.6±0.9  | 2.6±0.9        | 2.8±0.9        | 2.8±0.9        | 2.8±1          |
|                                    | DGVMs (2b)  | 1.2±0.4  | 2.2±0.5  | 1.9±0.7  | 2.5±0.4        | 2.7±0.5        | 3.1±0.6        | 3.5±0.9        |
|                                    | GCB2022 Budget (2b-1a)  | -0.2±0.8 | 1±0.9    | 0.5±1    | 1±0.8          | 1.4±0.9        | 1.9±0.9        | 2.4±1.1        |
| Fetel land fluxes (CLAND           | Budget constraint (2a-1a)   | 0.2±0.4  | 0.6±0.5  | 0.3±0.5  | 1.1±0.5        | 1.5±0.6        | 1.5±0.6        | 1.7±0.7        |
| Fotal land fluxes (SLAND-<br>ELUC) | DGVMs-net (2b-1b)   | -0.1±0.4 | 0.9±0.5  | 0.4±0.5  | 0.9±0.4        | 1.2±0.3        | 1.5±0.5        | 1.9±0.7        |
|                                    | Inversions"   |          |          | 0.3-0.6  | 0.7-1.1<br>(3) | 1.2-1.6<br>(3) | 1.1-1.7<br>(7) | 1.5-2.1<br>(9) |

\*Estimates are adjusted for the <u>pre-industrial</u> influence of river fluxes, for the cement carbonation sink, and adjusted to common <u>EEQS</u> (Sect. 2.6). The ranges given include varying numbers (in parentheses) of inversions in each decade (Table A4)





Table 6. Decadal mean in the five components of the anthropogenic CO2 budget for different periods, and last year available. All values are in GtC yr-1, and uncertainties are reported as  $\pm 1\sigma$ . Fossil CO<sub>2</sub> emissions include cement carbonation. The table also shows the budget imbalance (B<sub>IM</sub>), which provides a measure of the discrepancies among the nearly independent estimates. A positive imbalance means the emissions are overestimated and/or the sinks are too small. All values are rounded to the nearest 0.1 GtC and therefore columns do not necessarily add to zero.

Mean (GtC/vr)

|  |   | 1960s    | 1970s    | 1980s    | 1990s    | 2000s   | 2012-2021 | 2021     | 2022<br>(Projection) |
|--|---|----------|----------|----------|----------|---------|-----------|----------|----------------------|
|  | Fossil CO2<br>emissions<br>(EFOS)*                | 3±0.2    | 4.7±0.2  | 5.5±0.3  | 6.3±0.3  | 7.7±0.4 | 9.6±0.5   | 9.9±0.5  | 10.2±0.5             |
| Total<br>emissions<br>(EEQS +<br>ELUC) | Land-use<br>change<br>emissions<br>(ELUC)         | 1.5±0.7  | 1.2±0.7  | 1.3±0.7  | 1.5±0.7  | 1.4±0.7 | 1.2±0.7   | 1.1±0.7  | 1±0.7                |
|  | Total<br>emissions                                | 4.5±0.7  | 5.9±0.7  | 6.8±0.8  | 7.8±0.8  | 9.1±0.8 | 10.8±0.8  | 10.9±0.9 | 11.1±0.9             |
|  | Growth rate<br>in atmos<br>CO2 (GATM)             | 1.7±0.07 | 2.8±0.07 | 3.4±0.02 | 3.1±0.02 | 4±0.02  | 5.2±0.02  | 5.2±0.2  | 5.5±0.4              |
| Partitioning                           | Ocean sink<br>(SOCEAN)                            | 1.1±0.4  | 1.4±0.4  | 1.8±0.4  | 2.1±0.4  | 2.3±0.4 | 2.9±0.4   | 2.9±0.4  | 2.9±0.4              |
|  | Terrestrial<br>sink (SLAND)                       | 1.2±0.4  | 2.2±0.5  | 1.9±0.7  | 2.5±0.4  | 2.7±0.5 | 3.1±0.6   | 3.5±0.9  | 3.4±0.9              |
| Budget<br>Imbalance                    | BIM=EFOS+<br>ELUC-<br>(GATM+SOC<br>EAN+SLAND<br>) | 0.4      | -0.4     | -0.3     | 0.1      | 0.1     | -0.3      | -0.6     | -0.6                 |

Fossil emissions excluding the cement carbonation sink amount to 3.1±0.2 GtC/yr, 4.7±0.2 GtC/yr, 5.5±0.3 GtC/yr, 6.4±0.3 GtC/yr, 7.9±0.4 GtC/yr, and 9.8±0.5 GtC/yr for the decades 1960s to 2010s respectively and to 10.1±0.5 GtC/yr for 2021.





**Table 7.** Comparison of the projection with realised fossil CO2 emissions (EFOS). The 'Actual' values are first the estimate available using actual data, and the 'Projected' values refers to estimates made before the end of the year for each publication. Projections based on a different method from that described here during 2008-2014 are available in Le Quéré et al., (2016). All values are adjusted for leap years.

|             | Wo                   | orld   | Ch                    | ina    | US                    | 5A     | EU28 /                 | EU27 (i) | Inc                    | dia    | Rest of World        |        |
|-------------|----------------------|--------|-----------------------|--------|-----------------------|--------|------------------------|----------|------------------------|--------|----------------------|--------|
|             | Project<br>ed        | Actual | Proje<br>cted         | Actual | Proje<br>cted         | Actual | Proje<br>cted          | Actual   | Proje<br>cted          | Actual | Proje<br>cted        | Actual |
| 2015        | -0.6%                |        | -3.9%                 |        | -1.5%                 |        |                        |          |                        |        | 1.2%                 |        |
| (a)         | (–1.6 to<br>0.5)     | 0.06%  | (–4.6 to<br>–1.1)     | -0.7%  | (–5.5 to<br>0.3)      | -2.5%  | -                      | -        | -                      | -      | (–0.2 to<br>2.6)     | 1.2%   |
| 2016        | -0.2%                |        | -0.5%                 |        | -1.7%                 |        |                        |          |                        |        | 1.0%                 |        |
| 2016<br>(b) | (–1.0 to<br>+1.8)    | 0.20%  | (–3.8 to<br>+1.3)     | -0.3%  | (–4.0 to<br>+0.6)     | -2.1%  | -                      | -        | -                      | -      | (–0.4 to<br>+2.5)    | 1.3%   |
|             | 2.0%                 |        | 3.5%                  |        | -0.4%                 |        |                        |          | 2.00%                  |        | 1.6%                 |        |
| 2017 (c)    | (+0.8 to<br>+3.0)    | 1.6%   | (+0.7 to<br>+5.4)     | 1.5%   | (–2.7 to<br>+1.0)     | -0.5%  | -                      | -        | (+0.2 to<br>+3.8)      | 3.9%   | (0.0 to<br>+3.2)     | 1.9%   |
| 2010        | 2.7%                 |        | 4.7%                  |        | 2.5%                  |        | -0.7%                  |          | 6.3%                   |        | 1.8%                 |        |
| 2018<br>(d) | (+1.8 to<br>+3.7)    | 2.1%   | (+2.0 to<br>+7.4)     | 2.3%   | (+0.5 to<br>+4.5)     | 2.8%   | (-2.6 to<br>+1.3)      |          | (+4.3 to<br>+8.3)      | 8.0%   | (+0.5 to<br>+3.0)    | 1.7%   |
|             | 0.5%                 |        | 2.6%                  |        | -2.4%                 |        | -1.7%                  |          | 1.8%                   |        | 0.5%                 |        |
| 2019<br>(e) | (-0.3 to<br>+1.4)    | 0.1%   | (+0.7 to<br>+4.4)     | 2.2%   | (-4.7 to<br>-0.1)     | -2.6%  | (-5.1%<br>to<br>+1.8%) | -4.3%    | (-0.7 to<br>+3.7)      | 1.0%   | (-0.8 to<br>+1.8)    | 0.5%   |
| 2020 (f)    | -6.7%                | -5.4%  | -1.7%                 | 1.4%   | -12.2%                | -10.6% | -11.3%<br>(EU27)       | -10.9%   | -9.1%                  | -7.3%  | -7.4%                | -7.0%  |
|             |                      |        |                       |        |                       |        |                        |          |                        |        |                      |        |
| 2024        | 4.8%                 |        | 4.3%                  |        | 6.8%                  |        | 6.3%                   |          | 11.2%                  |        | 3.2%                 |        |
| 2021<br>(g) | (4.2%<br>to<br>5.4%) | 5.1%   | (3.0%<br>to<br>5.4%)  | 3.5%   | (6.6%<br>to<br>7.0%)  | 6.2%   | (4.3%<br>to<br>8.3%)   | 6.8%     | (10.7%<br>to<br>11.7%) | 11.1%  | (2.0%<br>to<br>4.3%) | 4.5%   |
|             | 1.1%                 |        | -1.5%                 |        | 1.6%                  |        | -1.0%                  |          | 5.6%                   |        | 2.5%                 |        |
| 2022<br>(h) | (0% to<br>1.7%)      |        | (-3.0%<br>to<br>0.1%) |        | (-0.9%<br>to<br>4.1%) |        | (-2.9%<br>to<br>1.0%)  |          | (3.5%<br>to<br>7.7%)   |        | (0.1%<br>to<br>2.3%) |        |

(a) Jackson et al. (2016) and Le Quéré et al. (2015a). (b) Le Quéré et al. (2016). (c) Le Quéré et al. (2018a). (d) Le Quéré et al. (2018b). (e) Friedlingstein et al., (2019), (f) Friedlingstein et al., (2020), (g) Friedlingstein et al., (2022a), (h) This study

(i) EU28 until 2019, EU27 from 2020





Table 8. Cumulative  $CO_2$  for different time periods in gigatonnes of carbon (GtC). Fossil  $CO_2$  emissions include cement carbonation. The budget imbalance (B<sub>IM</sub>) provides a measure of the discrepancies among the nearly independent estimates. All values are rounded to the nearest 5 GtC and therefore columns do not necessarily add to zero. Uncertainties are reported as follows:  $E_{FOS}$  is 5% of cumulative emissions;  $E_{LUC}$  prior to 1959 is 1 $\sigma$  spread from the DGVMs,  $E_{LUC}$  post-1959 is 0.7\*number of years (where 0.7 GtC/yr is the uncertainty on the annual ELUC flux estimate);  $G_{ATM}$  uncertainty is held constant at 5 GtC for all time periods;  $S_{OCEAN}$  uncertainty is 20% of the cumulative sink (20% relates to the annual uncertainty of 0.4 GtC/yr, which is ~20% of the current ocean sink); and  $S_{LAND}$  is the 1 $\sigma$  spread from the DGVMs estimates.

|                  |   | 1750-2021 | 1850-2014 | 1850-2021 | 1960-2021 | 1850-2022 |
|------------------|---|-----------|-----------|-----------|-----------|-----------|
|                  | Fossil CO2<br>emissions (EFOS)            | 470±25    | 400±20    | 465±25    | 385±20    | 475±25    |
| Emissions        | Land-use change<br>emissions (ELUC)       | 235±70    | 195±60    | 205±60    | 85±45     | 205±60    |
|                  | Total emissions                           | 700±75    | 595±60    | 670±65    | 470±50    | 680±65    |
|                  | Growth rate in<br>atmos CO2 (GATM)        | 295±5     | 235±5     | 275±5     | 210±5     | 280±5     |
| Partitioning     | Ocean sink<br>(SOCEAN)                    | 185±35    | 155±30    | 175±35    | 120±25    | 180±35    |
|                  | Terrestrial sink<br>(SLAND)               | 230±50    | 185±40    | 210±45    | 145±30    | 210±45    |
| Budget imbalance | BIM=EFOS+ELUC-<br>(GATM+SOCEAN+S<br>LAND) | -5        | 15        | 15        | -5        | 10        |

Table 9: Mapping of global carbon cycle models' land flux definitions to the definition of the LULUCF net flux used in national Greenhouse Gas Inventories reported to UNFCCC. See Sec. C.2.3 and Tab. A8 for detail on methodology and comparison to other datasets.

|                                     | 2002-2011 | 2012-2021 |
|-------------------------------------|-----------|-----------|
| ELUC from bookkeeping estimates     |           |           |
| (from Table 5)                      | 1.4       | 1.2       |
| SLAND on non-intact forest from     |           |           |
| DGVMs                               | -1.7      | -1.8      |
| ELUC plus SLAND on non-intact       |           |           |
| forests                             | -0.3      | -0.6      |
| National Greenhouse Gas Inventories | -0.4      | -0.5      |





|  | own sources of unc                        |  | •   | obal Carbon Budget, defined as input  |
|--|---|--|---|---|
| Source of<br>uncertainty   | Time scale (years)                        | Location   | Status  | Evidence  |
| Fossil CO2 emissio   | ns (EFOS; Section 2.                      | 1)   |   |   |
| energy statistics  | annual to decadal                         | global, but mainly<br>China & major<br>developing<br>countries           | see Sect. 2.1   | (Korsbakken et al., 2016, Guan et al.,<br>2012)   |
| carbon content of<br>coal  | annual to decadal                         | global, but mainly<br>China & major<br>developing<br>countries           | see Sect. 2.1   | (Liu et al., 2015)  |
| system boundary  | annual to decadal                         | all countries  | see Sect. 2.1   | (Andrew, 2020)  |
| Net land-use chang   | ge flux (ELUC; sectio                     | n 2.2)   |   |   |
| land-cover and<br>land-use change<br>statistics                        | continuous                                | global; in<br>particular tropics   | see Sect. 2.4   | (Houghton et al., 2012, Gasser et al.,<br>2020, Ganzenmüller et al., 2022, Yu et<br>al. 2022) |
| sub-grid-scale<br>transitions  | annual to decadal                         | global   | see Sect. 2.4,<br>Table A1  | (Wilkenskjeld et al., 2014)   |
| vegetation<br>biomass  | annual to decadal                         | global; in<br>particular tropics   | see Sect. 2.4   | (Houghton et al., 2012, Bastos et al., 2021)  |
| forest<br>degradation (fire,<br>selective logging)                     | annual to decadal                         | tropics  | see Sec. 3.2.2,<br>Table A1                                       | (Aragão et al., 2018, Qin et al., 2020)   |
| wood and crop<br>harvest   | annual to decadal                         | global; SE Asia  | see Table A1  | (Arneth et al., 2017, Erb et al., 2018)   |
| peat burning (a)   | multi-decadal<br>trend                    | global   | see Table A1  | (van der Werf et al., 2010, 2017)   |
| loss of additional<br>sink capacity                                    | multi-decadal<br>trend                    | global   | not included; see<br>Appendix D4                                  | (Pongratz et al, 2014, Gasser et al, 2020; Obermeier et al., 2021)                            |
| Atmospheric grow   | th rate (GATM; sect                       | ion 2.3) no demons   | trated uncertainties  | larger than ±0.3 GtC yr-1 (b)   |
| Ocean sink (SOCEA  | N; section 2.4)                           |  |   |   |
| sparsity in surface<br>fCO2 observations                               | mean, decadal<br>variability and<br>trend | global, in<br>particular<br>southern<br>hemisphere                       | see Sect 3.5.2  | (Gloege et al., 2021, Denvil-Sommer et<br>al., 2021, Bushinsky et al., 2019)                  |
| riverine carbon<br>outgassing and its<br>anthropogenic<br>perturbation | annual to decadal                         | global, in<br>particular<br>partitioning<br>between Tropics<br>and South | see Sect. 2.4<br>(anthropogenic<br>perturbations not<br>included) | (Aumont et al., 2001, Resplandy et al.,<br>2018, Lacroix et al., 2020)                        |
| Models<br>underestimate<br>interior ocean                              | annual to decadal                         | global   | see Sect 3.5.5  | (Friedlingstein et al., 2021, this study, see also Terhaar et al., 2022)                      |





| anthropogenic carbon storage                                 |                             |                                  |                 |   |
|--|-----------------------------|----------------------------------|-----------------|---|
| near-surface<br>temperature and<br>salinity gradients        | mean on all time-<br>scales | global                           | see Sect. 3.8.2 | (Watson et al., 2020, Dong et al., 2022)                              |
| Land sink (SLAND; section 2.5)                               |                             |                                  |                 |   |
| strength of CO2<br>fertilisation                             | multi-decadal<br>trend      | global                           | see Sect. 2.5   | (Wenzel et al., 2016; Walker et al.,<br>2021)                         |
| response to<br>variability in<br>temperature and<br>rainfall | annual to decadal           | global; in<br>particular tropics | see Sect. 2.5   | (Cox et al., 2013; Jung et al., 2017;<br>Humphrey et al., 2018; 2021) |
| nutrient limitation and supply                               | annual to decadal           | global                           |                 | (Zaehle et al., 2014)   |
| carbon allocation<br>and tissue<br>turnover rates            | annual to decadal           | global                           |                 | (De Kauwe et al., 2014; O'Sullivan et<br>al., 2022)                   |
| tree mortality   | annual                      | global in<br>particular tropics  | see Sect. 2.5   | (Hubau et al., 2021; Brienen et al.,<br>2020)                         |
| response to<br>diffuse radiation                             | annual                      | global                           | see Sect. 2.5   | (Mercado et al., 2009; O'Sullivan et al.,<br>2021)                    |

(a) As result of interactions between land-use and climate

(b) The uncertainties in GATM have been estimated as ±0.2 GtC yr-1, although the conversion of the growth rate into a global annual flux assuming instantaneous mixing throughout the atmosphere introduces additional errors that have not yet been quantified.





## **Figures and Captions**

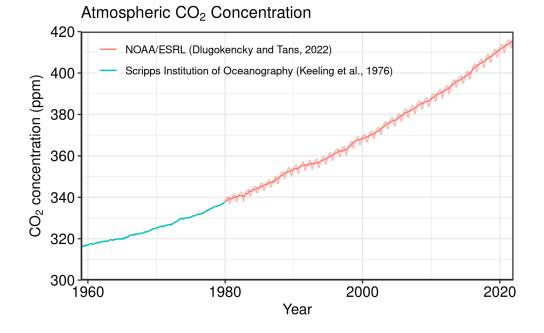


Figure 1. Surface average atmospheric CO<sub>2</sub> concentration (ppm). Since 1980, monthly data are from NOAA/ESRL (Dlugokencky and Tans, 2022) and are based on an average of direct atmospheric CO<sub>2</sub> measurements from multiple stations in the marine boundary layer (Masarie and Tans, 1995). The 1958-1979 monthly data are from the Scripps Institution of Oceanography, based on an average of direct atmospheric CO<sub>2</sub> measurements from the Mauna Loa and South Pole stations (Keeling et al., 1976). To account for the difference of mean CO<sub>2</sub> and seasonality between the NOAA/ESRL and the Scripps station networks used here, the Scripps surface average (from two stations) was de-seasonalised and adjusted to match the NOAA/ESRL surface average (from multiple stations) by adding the mean difference of 0.667 ppm, calculated here from overlapping data during 1980-2012.





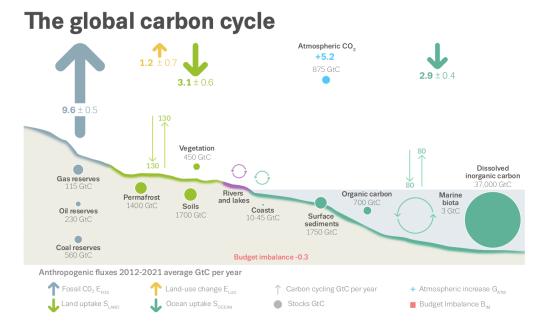


Figure 2. Schematic representation of the overall perturbation of the global carbon cycle caused by anthropogenic activities, averaged globally for the decade 2012-2021. See legends for the corresponding arrows and units. The uncertainty in the atmospheric  $CO_2$  growth rate is very small (±0.02 GtC yr<sup>-1</sup>) and is neglected for the figure. The anthropogenic perturbation occurs on top of an active carbon cycle, with fluxes and stocks represented in the background and taken from Canadell et al. (2021) for all numbers, except for the carbon stocks in coasts which is from a literature review of coastal marine sediments (Price and Warren, 2016).





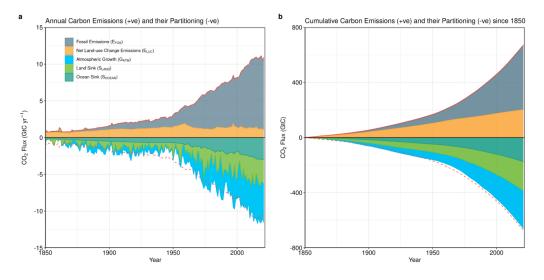


Figure 3. Combined components of the global carbon budget illustrated in Figure 2 as a function of time, for fossil CO<sub>2</sub> emissions (EFOS, including a small sink from cement carbonation; grey) and emissions from land-use change (ELUC; brown), as well as their partitioning among the atmosphere (GATM; cyan), ocean (SOCEAN; blue), and land (SLAND; green). Panel (a) shows annual estimates of each flux and panel (b) the cumulative flux (the sum of all prior annual fluxes) since the year 1850. The partitioning is based on nearly independent estimates from observations (for GATM) and from process model ensembles constrained by data (for Socean and SLAND) and does not exactly add up to the sum of the emissions, resulting in a budget imbalance (BIM) which is represented by the difference between the bottom red line (mirroring total emissions) and the sum of carbon fluxes in the ocean, land, and atmosphere reservoirs. All data are in GtC yr<sup>-1</sup> (panel a) and GtC (panel b). The E<sub>FOS</sub> estimate is based on a mosaic of different datasets, and has an uncertainty of ±5% (±1σ). The ELUC estimate is from three bookkeeping models (Table 4) with uncertainty of ±0.7 GtC yr<sup>-1</sup>. The GATM estimates prior to 1959 are from Joos and Spahni (2008) with uncertainties equivalent to about ±0.1-0.15 GtC yr<sup>-1</sup> and from Dlugokencky and Tans (2022) since 1959 with uncertainties of about +-0.07 GtC yr<sup>-1</sup> during 1959-1979 and ±0.02 GtC yr<sup>-1</sup> since 1980. The S<sub>OCEAN</sub> estimate is the average from Khatiwala et al. (2013) and DeVries (2014) with uncertainty of about ±30% prior to 1959, and the average of an ensemble of models and an ensemble of fCO2 data products (Table 4) with uncertainties of about ±0.4 GtC yr<sup>-1</sup> since 1959. The SLAND estimate is the average of an ensemble of models (Table 4) with uncertainties of about ±1 GtC yr<sup>-1</sup>. See the text for more details of each component and their uncertainties.





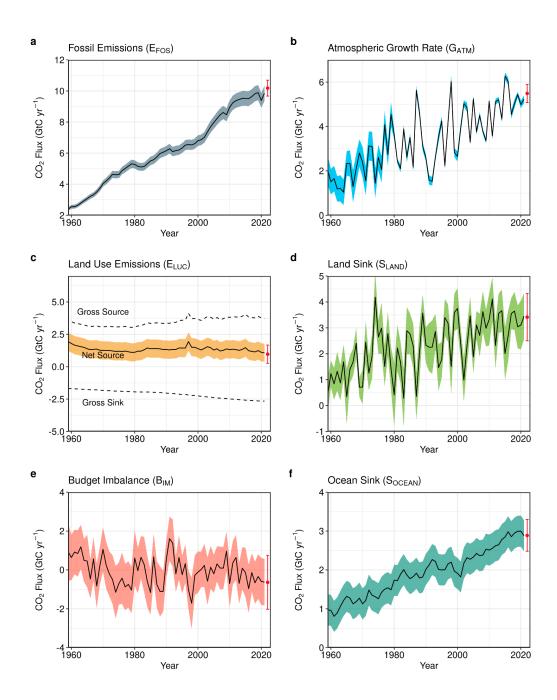






Figure 4. Components of the global carbon budget and their uncertainties as a function of time, presented individually for (a) fossil CO<sub>2</sub> and cement carbonation emissions ( $E_{FOS}$ ), (b) growth rate in atmospheric CO<sub>2</sub> concentration ( $G_{ATM}$ ), (c) emissions from land-use change ( $E_{LUC}$ ), (d) the land CO<sub>2</sub> sink ( $S_{LAND}$ ), (e) the ocean CO<sub>2</sub> sink ( $S_{OCEAN}$ ), (f) the budget imbalance that is not accounted for by the other terms. Positive values of  $S_{LAND}$  and  $S_{OCEAN}$  represent a flux from the atmosphere to land or the ocean. All data are in GtC yr<sup>-1</sup> with the uncertainty bounds representing ±1 standard deviation in shaded colour. Data sources are as in Figure 3. The red dots indicate our projections for the year 2022 and the red error bars the uncertainty in the projections (see methods).

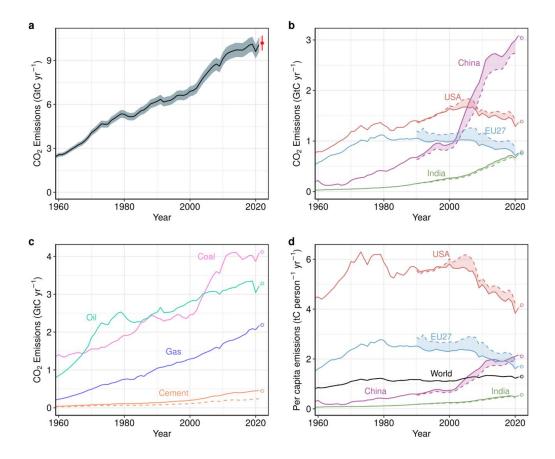






Figure 5. Fossil CO<sub>2</sub> emissions for (a) the globe, including an uncertainty of  $\pm$  5% (grey shading) and a projection through the year 2022 (red dot and uncertainty range), (b) territorial (solid lines) and consumption (dashed lines) emissions for the top three country emitters (USA, China, India) and for the European Union (EU27), (c) global emissions by fuel type, including coal, oil, gas, and cement, and cement minus cement carbonation (dashed), and (d) per-capita emissions the world and for the large emitters as in panel (b). Territorial emissions are primarily from a draft update of Gilfillan and Marland (2021) except for national data for Annex I countries for 1990-2020, which are reported to the UNFCCC as detailed in the text, as well as some improvements in individual countries, and extrapolated forward to 2021 using BP Energy Statistics. Consumption-based emissions are updated from Peters et al. (2011b). See Section 2.1 and Appendix C.1 for details of the calculations and data sources.

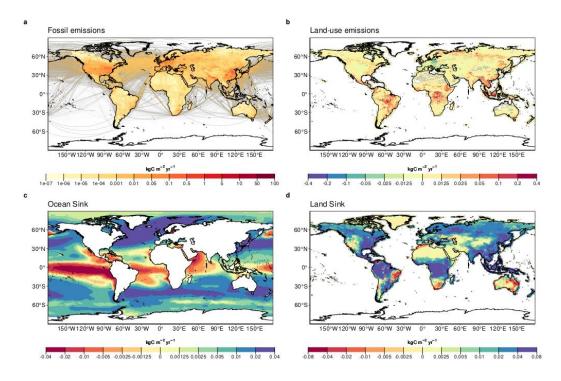


Figure 6. The 2012-2021 decadal mean components of the global carbon budget, presented for (a) fossil CO<sub>2</sub> emissions (E<sub>FOS</sub>), (b) land-use change emissions (E<sub>LUC</sub>), (c) the ocean CO<sub>2</sub> sink (S<sub>OCEAN</sub>), and (d) the land CO<sub>2</sub> sink (S<sub>LAND</sub>). Positive values for E<sub>FOS</sub> and E<sub>LUC</sub> represent a flux to the atmosphere, whereas positive values of S<sub>OCEAN</sub> and S<sub>LAND</sub> represent a flux from the atmosphere to the ocean or the land. In all panels, yellow/red (green/blue) colours represent a flux from (into) the land/ocean to (from) the atmosphere. All units are in kgC m<sup>-2</sup> yr<sup>-1</sup>. Note the different scales in each panel. E<sub>FOS</sub> data shown is from GCP-GridFEDv2022.2. E<sub>LUC</sub> data shown is only from BLUE as the updated H&N2017 and OSCAR do not resolve gridded fluxes. S<sub>OCEAN</sub> data shown is the average of GOBMs and data-products means, using GOBMs simulation A, no adjustment for bias and drift applied to the gridded fields (see Section 2.4). S<sub>LAND</sub> data shown is the average of DGVMs for simulation S2 (see Section 2.5).





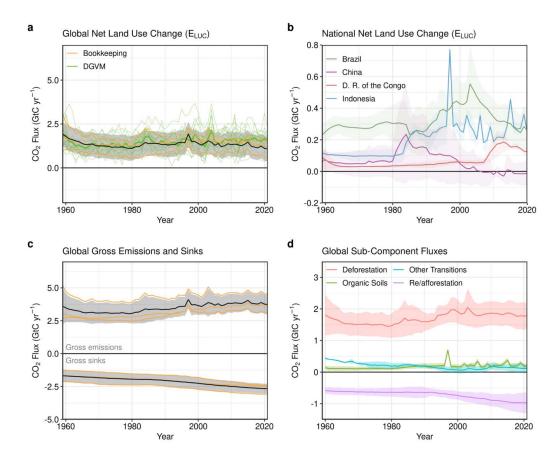


Figure 7. Net CO<sub>2</sub> exchanges between the atmosphere and the terrestrial biosphere related to land use change. (a) Net CO<sub>2</sub> emissions from land-use change (ELUC) with estimates from the three bookkeeping models (yellow lines) and the budget estimate (black with ±1 ouncertainty), which is the average of the three bookkeeping models. Estimates from individual DGVMs (narrow green lines) and the DGVM ensemble mean (thick green line) are also shown. (b) Net CO<sub>2</sub> emissions from land-use change from the four countries with largest cumulative emissions since 1959. Values shown are the average of the three bookkeeping models. (c) CO2 gross sinks (negative, from regrowth after agricultural abandonment and wood harvesting) and gross sources (positive, from decaying material left dead on site, products after clearing of natural vegetation for agricultural purposes, wood harvesting, and, for BLUE, degradation from primary to secondary land through usage of natural vegetation as rangeland, and also from emissions from peat drainage and peat burning). Values are shown for the three bookkeeping models (yellow lines) and for their average (black with  $\pm 1\sigma$  uncertainty). The sum of the gross sinks and sources is ELUC shown in panel (a). (d) Sources and sinks aggregated into four components that contribute to the net fluxes of CO2, including: (i) gross sources from deforestation; (ii) net flux on forest lands (slash and product decay following wood harvest; sinks due to regrowth after wood harvest or after abandonment, including reforestation and in shifting cultivation cycles; afforestation), (iii) emissions from organic soils (peat drainage and pear fire, and (iv) sources and sinks related to other land use transitions. The scale of the fluxes shown is smaller than in panel (c) because the substantial gross sources and sinks from wood harvesting are accounted for as net flux under (ii). The sum of the component fluxes is ELUC shown in panel (a).





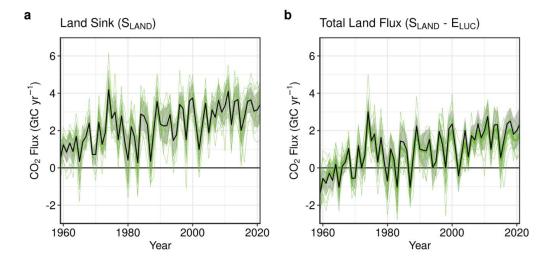


Figure 8: (a) The land  $CO_2 \operatorname{sink} (S_{LAND})$  estimated by individual DGVMs estimates (green), as well as the budget estimate (black with ±1 $\sigma$  uncertainty), which is the average of all DGVMs. (b) Total atmosphere-land  $CO_2$  fluxes ( $S_{LAND} - E_{LUC}$ ). The budget estimate of the total land flux (black with ±1 $\sigma$  uncertainty) combines the DGVM estimate of  $S_{LAND}$  from panel (a) with the bookkeeping estimate of  $E_{LUC}$  from Figure 7(a). Uncertainties are similarly propagated in quadrature from the budget estimates of  $S_{LAND}$  from panel (a) and  $E_{LUC}$  from Figure 7(a). DGVMs also provide estimates of  $E_{LUC}$  (see Figure 7(a)), which can be combined with their own estimates of the land sink. Hence panel (b) also includes an estimate for the total land flux for individual DGVMs (thin green lines) and their multi-model mean (thick green line).





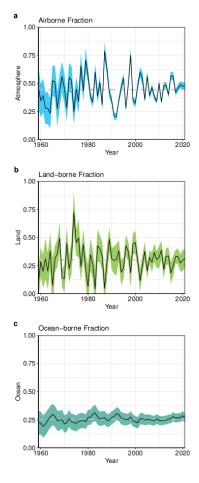


Figure 9. The partitioning of total anthropogenic CO<sub>2</sub> emissions (E<sub>FOS</sub> + E<sub>LUC</sub>) across (a) the atmosphere (airborne fraction), (b) land (land-borne fraction), and (c) ocean (ocean-borne fraction). Black lines represent the central estimate, and the coloured shading represents the uncertainty. The grey dashed lines represent the long-term average of the airborne (44%), land-borne (30%) and ocean-borne (25%) fractions during 1960-2021.





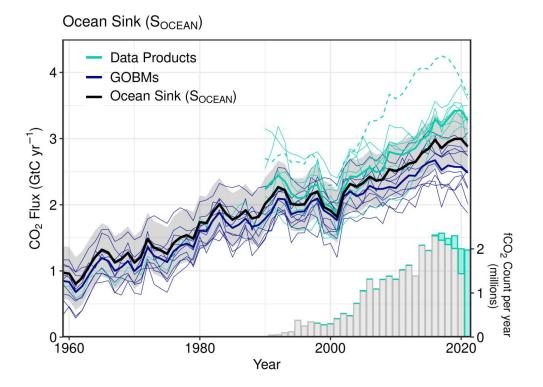


Figure 10. Comparison of the anthropogenic atmosphere-ocean CO<sub>2</sub> flux showing the budget values of S<sub>OCEAN</sub> (black; with the uncertainty in grey shading), individual ocean models (royal blue), and the ocean fCO<sub>2</sub>-based data products (cyan; with Watson et al. (2020) in dashed line as not used for ensemble mean). Only one data product (Jena-MLS) extends back to 1959 (Rödenbeck et al., 2022). The fCO<sub>2</sub>-based data products were adjusted for the pre-industrial ocean source of CO<sub>2</sub> from river input to the ocean, by subtracting a source of 0.65 GtC yr<sup>-1</sup> to make them comparable to S<sub>OCEAN</sub> (see Section 2.4). Bar-plot in the lower right illustrates the number of fCO<sub>2</sub> observations in the SOCAT v2022 database (Bakker et al., 2022). Grey bars indicate the number of data points in SOCAT v2021, and coloured bars the newly added observations in v2022.





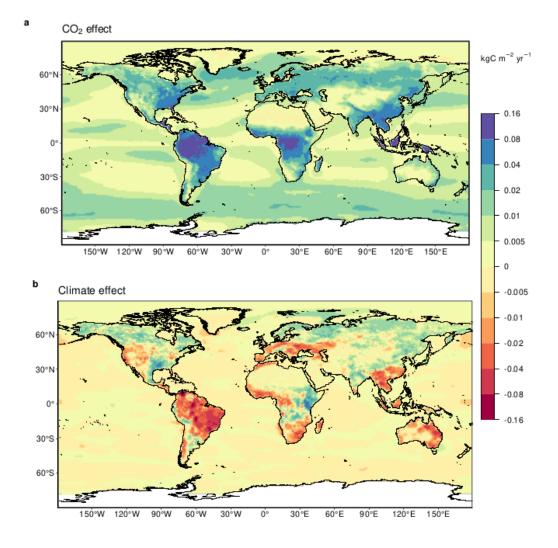


Figure 11. Attribution of the atmosphere-ocean ( $S_{OCEAN}$ ) and atmosphere-land ( $S_{LAND}$ ) CO<sub>2</sub> fluxes to (a) increasing atmospheric CO<sub>2</sub> concentrations and (b) changes in climate, averaged over the previous decade 2012-2021. All data shown is from the processed-based GOBMs and DGVMs. The sum of ocean CO<sub>2</sub> and climate effects will not equal the ocean sink shown in Figure 6 which includes the fCO<sub>2</sub>-based data products. See Appendix C.3.2 and C.4.1 for attribution methodology. Units are in kgC m<sup>-2</sup> yr<sup>-1</sup> (note the non-linear colour scale).





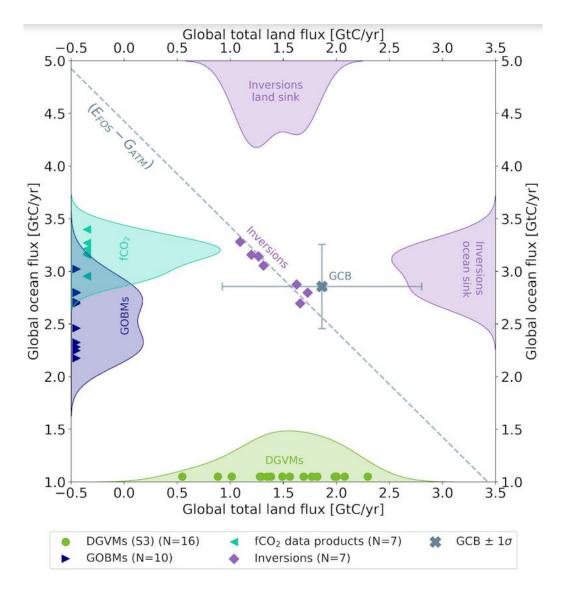
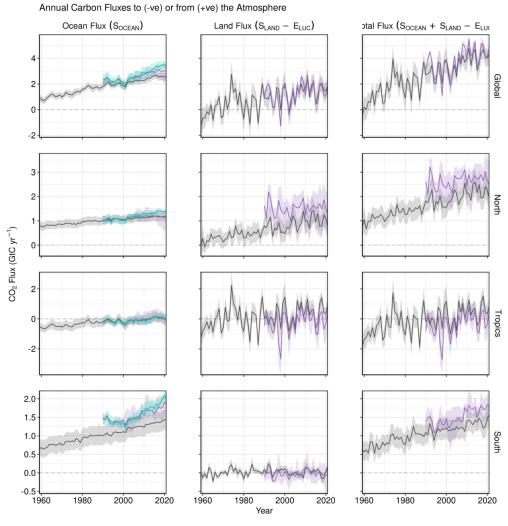


Figure 12. The 2012-2021 decadal mean net atmosphere-ocean and atmosphere-land fluxes derived from the ocean models and fCO2 products (y-axis, right and left pointing blue triangles respectively), and from the DGVMs (x-axis, green symbols), and the same fluxes estimated from the six inversions (purple symbols on secondary x- and y-axis). The grey central point is the mean ( $\pm 1\sigma$ ) of S<sub>OCEAN</sub> and (S<sub>LAND</sub> – E<sub>LUC</sub>) as assessed in this budget. The shaded distributions show the density of the ensemble of individual estimates. The grey diagonal band represents the fossil fuel emissions minus the atmospheric growth rate from this budget (E<sub>FOS</sub> – G<sub>ATM</sub>). Note that positive values are CO<sub>2</sub> sinks.







Process – based models (DGVMs and GOBMs) – Inversions – Data products





Figure 13. CO2 fluxes between the atmosphere and the Earth's surface separated between land and oceans, globally and in three latitude bands. The ocean flux is  $S_{OCEAN}$  and the land flux is the net atmosphere-land fluxes from the DGVMs. The latitude bands are (top row) global, (2nd row) north (>30°N), (3rd row) tropics (30°S-30°N), and (bottom row) south (<30°S), and over ocean (left column), land (middle column), and total (right column). Estimates are shown for: process-based models (DGVMs for land, GOBMs for oceans); inversion systems (land and ocean); and fCO2-based data products (ocean only). Positive values indicate a flux from the atmosphere to the land or the ocean. Mean estimates from the combination of the process models for the land and oceans are shown (black line) with  $\pm 1$  standard deviation (1 $\sigma$ ) of the model ensemble (grey shading). For the total uncertainty in the process-based estimate of the total sink, uncertainties are summed in quadrature. Mean estimates from the atmospheric inversions are shown (purple lines) with their full spread (purple shading). Mean estimates from the fCO<sub>2</sub>-based data products are shown for the ocean domain (light blue lines) with their  $\pm 1\sigma$  spread (light blue shading). The global SOCEAN (upper left) and the sum of SOCEAN in all three regions represents the anthropogenic atmosphere-to-ocean flux based on the assumption that the preindustrial ocean sink was 0 GtC yr<sup>-1</sup> when riverine fluxes are not considered. This assumption does not hold at the regional level, where preindustrial fluxes can be significantly different from zero. Hence, the regional panels for SOCEAN represent a combination of natural and anthropogenic fluxes. Biascorrection and area-weighting were only applied to global SOCEAN; hence the sum of the regions is slightly different from the global estimate (<0.05 GtC yr<sup>-1</sup>).





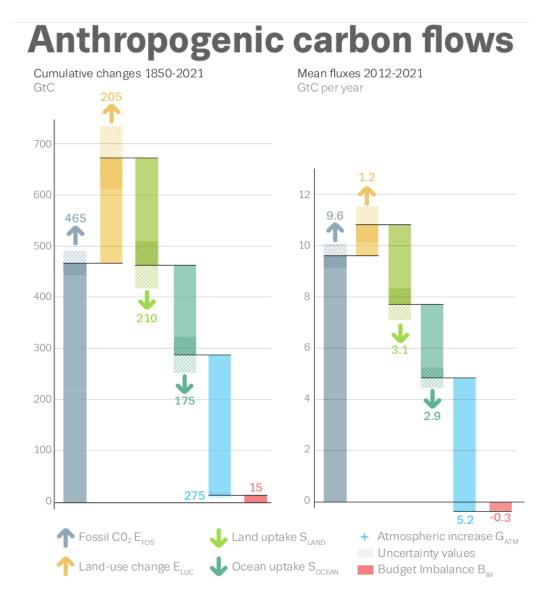


Figure 14. Cumulative changes over the 1850-2021 period (left) and average fluxes over the 2012-2021 period (right) for the anthropogenic perturbation of the global carbon cycle. See the caption of Figure 3 for key information and the methods in text for full details.







Figure 15. Kaya decomposition of the main drivers of fossil CO<sub>2</sub> emissions, considering population, GDP per person, Energy per GDP, and CO<sub>2</sub> emissions per energy, for China (top left), USA (top right), EU27 (middle left), India (middle right), Rest of the World (bottom left), and World (bottom right). Black dots are the annual fossil CO<sub>2</sub> emissions growth rate, coloured bars are the contributions from the different drivers. A general trend is that population and GDP growth put upward pressure on emissions, while energy per GDP and more recently CO<sub>2</sub> emissions per energy put downward pressure on emissions. Both the COVID-19 induced changes during 2020 and the recovery in 2021 led to a stark contrast to previous years, with different drivers in each region.





## **Appendix A. Supplementary Tables**

Table A1. Comparison of the processes included in the bookkeeping method and DGVMs in their estimates of ELUC and SLAND. See Table 4 for model references. All models include deforestation and forest regrowth after abandonment of agriculture (or from afforestation activities on agricultural land). Processes relevant for ELUC are only described for the DGVMs used with land-cover change in this study.

| agricultural land). Processes relev   |  | okkeep<br>Model | ing        | descri            | ibed fo     | or the         | DGVI     | /Is use    | ed wit        | n lanc           |                  | vMs               | ge in 1    | this sti         | udy.             |                        |            |            |            |
|---|--|-----------------|------------|-------------------|-------------|----------------|----------|------------|---------------|------------------|------------------|-------------------|------------|------------------|------------------|------------------------|------------|------------|------------|
|   | H&N  | BLUE            | OSCA<br>R  | CAB<br>LE-<br>POP | CLA<br>SSIC | CL<br>M5.<br>0 | DLE<br>M | IBIS       | ISA<br>M      | JSB<br>ACH       | JUL<br>ES-<br>ES | LPJ-<br>GUE<br>SS | LPJ        | LPX-<br>Ber<br>n | OC<br>Nv2        | ORC<br>HID<br>EEv<br>3 | SDG<br>VM  | VISI<br>T  | YIBs       |
| Processes relevant for ELUC   |  |                 |            |                   |             |                |          |            |               |                  |                  |                   |            |                  |                  |                        |            |            |            |
| Wood harvest and forest degradation (a)   | yes  | yes             | yes        | yes               | no          | yes            | yes      | yes        | yes           | yes              | no               | yes               | yes        | no<br>(d)        | yes              | yes                    | no         | yes        | no         |
| Shifting cultivation / Subgrid scale<br>transitions   | yes<br>(b)   | yes             | yes        | yes               | no          | yes            | no       | yes        | no            | yes              | no               | yes               | yes        | no<br>(d)        | no               | no                     | no         | yes        | no         |
| Cropland harvest (removed, R, or added to litter, L)  | yes<br>(R) (j)   | yes (R)<br>(j)  | yes (R)    | yes<br>(R)        | yes<br>(L)  | yes<br>(R)     | yes      | yes<br>(R) | yes           | yes<br>(R+L<br>) | yes<br>(R)       | yes<br>(R)        | yes<br>(L) | yes<br>(R)       | yes<br>(R+L<br>) | yes<br>(R)             | yes<br>(R) | yse<br>(R) | yes<br>(L) |
| Peat fires  | yes  | yes             | yes        | no                | no          | yes            | no       | no         | no            | no               | no               | no                | no         | no               | no               | no                     | no         | no         | no         |
| fire as a management tool   | yes (j)  | yes (j)         | yes (h)    | no                | no          | no             | no       | no         | no            | no               | no               | no                | no         | no               | no               | no                     | no         | no         | no         |
| N fertilisation   | yes (j)  | yes (j)         | yes (h)    | no                | no          | yes            | yes      | no         | yes           | no               | yes(i<br>)       | yes               | no         | yes              | yes              | yes                    | no         | no         | no         |
| tillage   | yes (j)  | yes (j)         | yes (h)    | no                | yes<br>(g)  | no             | no       | no         | no            | no               | no               | yes               | no         | no               | no               | yes<br>(g)             | no         | no         | no         |
| irrigation  | yes (j)  | yes (j)         | yes (h)    | no                | no          | yes            | yes      | no         | yes           | no               | no               | yes               | no         | no               | no               | no                     | no         | no         | no         |
| wetland drainage  | yes (j)  | yes (j)         | yes (h)    | no                | no          | no             | no       | no         | yes           | no               | no               | no                | no         | no               | no               | no                     | no         | no         | no         |
| erosion   | yes (j)  | yes (j)         | yes (h)    | no                | no          | no             | yes      | no         | no            | no               | no               | no                | no         | no               | no               | no                     | no         | yes        | no         |
| peat drainage   | yes  | yes             | yes        | no                | no          | no             | no       | no         | no            | no               | no               | no                | no         | no               | no               | no                     | no         | no         | no         |
| Grazing and mowing Harvest<br>(removed, r, or added to litter, l)   | yes (r)<br>(j)   | yes (r)<br>(j)  | yes (r)    | yes<br>(r)        | no          | no             | no       | no         | yes<br>(r, l) | yes<br>(I)       | no               | yes<br>(r)        | yes<br>(I) | no               | yes<br>(r+l)     | no                     | no         | no         | no         |
| Processes also relevant for SLAN  | D (in a  | dition          | to CO2     | fertili           | sation      | and            | limat    | e)         |               |                  |                  |                   |            |                  |                  |                        |            |            |            |
| Fire simulation and/or suppression  | N.A.   | N.A.            | N.A.       | no                | yes         | yes            | no       | yes        | no            | yes              | yes              | yes               | yes        | yes              | no               | no                     | yes        | yes        | no         |
| Carbon-nitrogen interactions,<br>including N deposition   | N.A.   | N.A.            | N.A.       | yes               | no<br>(f)   | yes            | yes      | no         | yes           | yes              | yes              | yes               | no         | yes              | yes              | yes                    | yes<br>(c) | no         | no<br>(f)  |
| Separate treatment of direct and<br>diffuse solar radiation   | N.A.   | N.A             | N.A        | yes               | no          | yes            | no       | no         | no            | no               | yes              | no                | no         | no               | no               | no                     | no         | no         | yes        |
| (a) Refers to the routine harvest of est  | tablished  | d manage        | ed forest  | s rathe           | r than      | pools          | of harv  | ested      | produc        | cts.             |                  |                   |            |                  |                  |                        |            |            |            |
|   | between vegetation types at the country-level, but if forest loss based on FRA exceeded agricultural expansion based on FAO, then<br>or cropland and the same amount of area of old croplands abandoned. |                 |            |                   |             |                |          |            |               |                  |                  |                   |            |                  |                  |                        |            |            |            |
| (c) Limited. Nitrogen uptake is simulat   | ed as a f  | function        | of soil C, | and Vo            | cmax is     | an en          | pirical  | functi     | on of c       | anopy            | N. Doe           | es not c          | onside     | r N dep          | ositio           | n.                     |            |            |            |
| (d) Available but not active.   |  |                 |            |                   |             |                |          |            |               |                  |                  |                   |            |                  |                  |                        |            |            |            |
| (e) Simple parameterization of nitroge<br>(f) Although C-N cycle interactions are<br>nutrient constraints (Arora et al., 2009 | not rep  |                 |            |                   |             |                |          |            |               | n-regu           | lation           | of phot           | osynth     | esis as          | CO2 ir           | icrease                | s to er    | nulate     |            |
| (g) Tillage is represented over croplan   | ds by inc  | reased s        | oil carbo  | n deco            | mposi       | tion ra        | te and   | reduce     | ed hun        | nificati         | on of li         | tter to s         | oil car    | bon.             |                  |                        |            |            |            |
| (h) as far as the DGVMs that OSCAR is   |  |                 |            |                   |             |                |          |            |               |                  |                  |                   |            |                  |                  |                        |            |            |            |
| (i) perfect fertilisation assumed, i.e. cr  | ops are  | not nitro       | gen limit  | ed and            | l the ir    | nplied         | fertilis | er diag    | nosed         |                  |                  |                   |            |                  |                  |                        |            |            |            |
| (j) Process captured implicitly by use of   | of observ  | ed carbo        | on densit  | ies.              |             |                |          |            |               |                  |                  |                   |            |                  |                  |                        |            |            |            |





|  | NEMO-<br>PlankTOM<br>12                   | NEMO-<br>PISCES<br>(IPSL)               | MICOM-<br>HAMOCC<br>(NorESM1<br>-OCv1.2)   | MPIOM-<br>HAMOCC<br>6   | FESOM-<br>2.1-<br>REcoM2  | NEMO3.6-<br>PISCESv2<br>-gas<br>(CNRM) | MOM6-<br>COBALT<br>(Princeton<br>)                       | CESM-<br>ETHZ  | MRI-<br>ESM2-1  | CESM2                                      |
|--|---|---|--|---|---|--|--|--|---|--|
| Model specific:  |   | (                                       | ,,   |   |   | (01111)                                | ,  |  |   | 1  |
| Physical ocean model                                   |   |   |  |   |   | NEMOv3.6<br>-                          |  | CESMv1.3   |   |  |
|  | NEMOv3.6<br>-ORCA2                        | NEMOv3.6<br>-<br>eORCA1L<br>75          | MICOM<br>(NorESM1<br>-OCv1.2)  | MPIOM   | FESOM-<br>2.1   | GELATOv<br>6-<br>eORCA1L<br>75         | MOM6-<br>SIS2  | (ocean<br>model<br>based on<br>POP2)                                 | MRI.CO<br>Mv4   | CESM2<br>-POP2                             |
| Biogeochemist<br>ry model                              | PlankTOM<br>12                            | PISCESv2                                | HAMOCC<br>(NorESM1<br>-OCv1.2)   | HAMOCC<br>6   | REcoM-2-<br>M   | PISCESv2<br>-gas                       | COBALTv<br>2   | BEC<br>(modified<br>&<br>extended)                                   | NPZD  | MARBL                                      |
| Horizontal<br>resolution                               | 2° lon, 0.3<br>to 1.5° lat                | 1° lon, 0.3<br>to 1° lat                | 1° lon,<br>0.17 to<br>0.25 lat   | 1.5°  | unstructur<br>ed mesh,<br>20-120 km<br>resolution<br>(CORE<br>mesh) | 1° lon, 0.3<br>to 1° lat               | 0.5° lon,<br>0.25 to<br>0.5° lat                         | 1.125° lon,<br>0.53° to<br>0.27° lat                                 | 1° lon,<br>0.3 to<br>0.5° lat                                 | 1.125°<br>lon,<br>0.53° to<br>0.27°<br>lat |
| Vertical<br>resolution                                 | 31 levels                                 | 75 levels,<br>1m at the<br>surface      | 51<br>isopycnic<br>layers + 2<br>layers<br>representi<br>ng a bulk<br>mixed<br>layer | 40 levels   | 46 levels,<br>10 m<br>spacing in<br>the top<br>100 m                | 75 levels,<br>1m at<br>surface         | 75 levels<br>hybrid<br>coordinate<br>s, 2m at<br>surface | 60 levels  | 60 levels<br>with 1-<br>level<br>bottom<br>boundar<br>y layer | 60<br>levels                               |
| Total ocean<br>area on native<br>grid (km2)            | 3.6080E+0<br>8                            | 3.6270E+0<br>8                          | 3.6006E+0<br>8   | 3.6598E+0<br>8  | 3.6435E+0<br>8  | 3.6270E+1<br>4                         |  | 3.5926E+0<br>8   | 3.6141E<br>+08  | 3.61E+<br>08                               |
| Gas-exchange<br>parameterizati<br>on                   | Wanninkh<br>of et al.<br>1992             | Orr et al.,<br>2017                     | Orr et al.,<br>2017, but<br>with<br>a=0.337  | Orr et al.,<br>2017   | Orr et al.,<br>2017   | Orr et al.,<br>2017                    | Orr et al.,<br>2017                                      | Wanninkh<br>of (1992,<br>coefficient<br>a scaled<br>down to<br>0.31) | Orr et<br>al., 2017   | Orr et<br>al.,<br>2017                     |
| CO2 chemistry<br>routines                              | Following<br>Broecker<br>et al.<br>(1982) | mocsy                                   | Following<br>Dickson et<br>al. 2007  | llyina et al.<br>(2013)<br>adapted to<br>comply<br>with OMIP<br>protocol<br>(Orr et al.,<br>2017) | mocsy   | mocsy                                  | mocsy  | OCMIP2<br>(Orr et al.)   | mocsy   | OCMIP<br>2 (Orr<br>et al.<br>2017)         |
| River input<br>(PgC/yr)<br>(organic/inorga<br>nic DIC) | 0.723 / -                                 | 0.61 / -                                | 0  | 0.77 / -  | 0/0   | ~0.611 / -                             | ~0.07 /<br>~0.15   | 0.33 / -   | 0/0   | 0.173/0<br>.263                            |
| Net flux to<br>sediment<br>(PgC/yr)<br>(organic/other) | 0.723 / -                                 | 0.59 / -                                | around<br>0.54 / -   | - / 0.44  | 0/0   | ~0.656 / -                             | ~0.11 /<br>~0.07<br>(CaCO3)                              | 0.21 / -   | 0/0   | 0.345/0<br>.110<br>(CaCO<br>3)             |
| SPIN-UP proce  | dure                                      | ;                                       | ;  | ,   | *   | •                                      | *  | *  | ÷   |  |
| Initialisation of<br>carbon<br>chemistry               | GLODAPv<br>1<br>(preindustr<br>ial DIC)   | GLODAPv<br>2<br>(preindustr<br>ial DIC) | GLODAPv<br>1<br>(preindustr<br>ial DIC)  | initializatio<br>n from<br>previous<br>simulation   | GLODAPv<br>2<br>(preindustr<br>ial DIC)                             | GLODAPv<br>2                           | GLODAPv<br>2<br>(Alkalinity,<br>DIC). DIC                | GLODAPv<br>2<br>(preindustr<br>ial DIC)                              | GLODA<br>Pv2<br>(preindu<br>strial                            | GLOD<br>APv2<br>(preind<br>ustrial         |



| (cc)        |          |
|-------------|----------|
|             | <u> </u> |
| $\mathbf{}$ | BY       |

| Preindustrial<br>spin-up prior to<br>1850  | spin-up<br>1750-1947  | spin-up<br>starting in<br>1836 with<br>3 loops of<br>JRA55  | 1000 year<br>spin up  | ~2000<br>years   | 189 years   | long spin-<br>up (> 1000<br>years)  | corrected<br>to 1959<br>level<br>(simulation<br>A and C)<br>and to pre-<br>industrial<br>level<br>(simulation<br>B and D)<br>using<br>Khatiwala<br>et al 2009<br>Other bgc<br>tracers<br>initialized<br>from a<br>GFDL-<br>ESM2M<br>spin-up (><br>1000<br>years) | spinup<br>1655-1849   | DIC)<br>1661<br>years<br>with<br>xCO2 =<br>284.32   | DIC)<br>spinup<br>1653-<br>1850,<br>xCO2=<br>278   |
|--|---|---|---|--|---|---|--|---|---|--|
| Atmospheric for  | orcing fields   | and CO2   |   |  |   |   |  |   |   |  |
| Atmospheric<br>forcing for (i)<br>pre-industrial<br>spin-up, (ii)<br>spin-up 1850-<br>1958 for<br>simulation B,<br>(iii) simulation<br>B | looping<br>NCEP<br>year 1990<br>(i, ii, iii)  | looping full<br>JRA55<br>reanalysis   | CORE-I<br>(normal<br>year)<br>forcing (i,<br>ii, iii)   | OMIP<br>climatolog<br>y (i), NCEP<br>year 1957<br>(ii,iii) | JRA55-do<br>v.1.5.0<br>repeated<br>year 1961<br>(i, ii, iii)  | JRA55-do-<br>v1.5.0 full<br>reanaylsis<br>(i) cycling<br>year 1958<br>(ii,iii)  | GFDL-<br>ESM2M<br>internal<br>forcing (i),<br>JRA55-do-<br>v1.5.0<br>repeat<br>year 1959<br>(ii,iii)   | COREv2<br>until 1835 ,<br>from 1835-<br>1850: JRA<br>(i), normal<br>year<br>forcing<br>created<br>from<br>JRA55-do<br>version 1.3<br>(ii,iii) | JRA55-<br>do v1.5.0<br>repeat<br>year<br>1990/91<br>(i, ii, iii)<br>xCO2 of   | (i)<br>repeati<br>ng JRA<br>1958-<br>2018<br>for<br>spinup<br>for A &<br>D,<br>repeati<br>ng JRA<br>1990/1<br>991<br>repeat<br>year<br>forcing<br>for B &<br>C, (ii) &<br>(iii) JRA<br>1990/1<br>991<br>repeat<br>year<br>forcing a<br>forcing |
| Atmospheric<br>CO2 for control<br>spin-up 1850-<br>1958 for<br>simulation B,<br>and for<br>simulation B                                  | constant<br>278ppm;<br>converted<br>to pCO2<br>temperatur<br>e<br>formulation<br>(Sarmiento<br>et al.,<br>1992) | xCO2 of<br>286.46pp<br>m,<br>converted<br>to pCO2<br>with<br>constant<br>sea-level<br>pressure<br>and water<br>vapour<br>pressure | xCO2 of<br>278ppm,<br>converted<br>to pCO2<br>with sea-<br>level<br>pressure<br>and water<br>vapour<br>pressure | xCO2 of<br>278ppm,<br>no<br>conversion<br>to pCO2          | xCO2 of<br>278ppm,<br>converted<br>to pCO2<br>with sea-<br>level<br>pressure<br>and water<br>vapour<br>pressure | xCO2 of<br>286.46pp<br>m,<br>converted<br>to pCO2<br>with<br>constant<br>sea-level<br>pressure<br>and water<br>vapour<br>pressure | xCO2 of<br>278ppm,<br>converted<br>to pCO2<br>with sea-<br>level<br>pressure<br>and water<br>vapour<br>pressure  | xCO2 =<br>287.4ppm,<br>converted<br>to pCO2<br>with<br>atmospheri<br>c pressure,<br>and water<br>vapour<br>pressure                           | 284.32p<br>pm<br>(CMIP6<br>piControl<br>),<br>converte<br>d to<br>pCO2<br>with<br>water<br>vapour<br>and sea-<br>level<br>pressure<br>(JRA55- | xCO2=<br>278   |





|                   |             |             |                 |                    |             |             |                     |              | do         |          |
|-------------------|-------------|-------------|-----------------|--------------------|-------------|-------------|---------------------|--------------|------------|----------|
|                   |             |             |                 |                    |             |             |                     |              | repeat     |          |
|                   |             |             |                 |                    |             |             |                     |              | year       |          |
|                   |             |             |                 |                    |             |             |                     |              | 1990/91)   |          |
| Atmospheric       |             |             |                 |                    |             |             |                     |              | 1653-      |          |
| forcing for       |             |             |                 |                    |             |             |                     |              | 1957:      |          |
| historical spin-  |             |             |                 | NCEP 6             |             |             |                     | JRA55        | repeated   |          |
| up 1850-1958      |             |             |                 | hourly             |             |             | JRA55-do-           | version      | cycle      |          |
| for simulation    |             |             | CORE-I          | cyclic             |             |             | v1.5                | 1.3, repeat  | JRA55-     |          |
| A (i) and for     |             | 1836-1958   | (normal         | forcing (10        |             |             |                     | cycle        | do v1.5.0  |          |
| simulation A (ii) | 1750-       | : looping   | year)           | years              | JRA55-do-   |             | repeat<br>year 1959 | between      | 1958-      | (i)      |
| Simulation A (II) | 1947:       | full JRA55  | forcing;        | starting           | v1.5.0      |             | (i), v1.5.0         | 1958-2018    | 2018 (i),  | repeat   |
|                   |             | reanalysis  | from 1948       | from 1948.         |             | JRA55-do    | (1), V1.5.0         |              | v1.5.0     |          |
|                   | looping     |             |                 | ,                  | repeated    |             | · ·                 | (i), v1.3    |            | ng JR/   |
|                   | NCEP        | (i), JRA55- | onwards         | i), 1948-<br>2021: | year 1961   | cycling     | 2019,               | (1959-       | (1958-     | 1958-    |
|                   | year 1990;  | do-v1.4     | NCEP-R1         |                    | (i),        | year 1958   | v1.5.0.1b           | 2018),       | 2018),     | 2018,    |
|                   | 1948-       | then 1.5    | with<br>CORE-II | transient<br>NCEP  | transient   | (i), JRA55- | (2020),             | v.1.5.0.1    | v1.5.0.1   | (ii) JR/ |
|                   | 2021:       | for 2020-   |                 |                    | JRA55-do-   | do-v1.5.0   | v1.5.0.1            | (2020-       | (2019-     | 1958-    |
| A                 | NCEP        | 21 (ii)     | corrections     | forcing            | v1.5.0 (ii) | (ii)        | (2021; ii)          | 2021)        | 2021; ii)  | 2021     |
| Atmospheric       |             |             |                 |                    |             |             |                     |              | xCO2 as    |          |
| CO2 for           |             |             |                 |                    |             |             |                     |              | provided   |          |
| historical spin-  |             |             |                 |                    |             |             |                     |              | for        |          |
| up 1850-1958      |             |             |                 |                    |             |             | xCO2 at             |              | CMIP6      | annua    |
| for simulation    |             |             |                 |                    |             |             | year 1959           |              | historical | 0        |
| A (i) and         |             |             |                 |                    |             | xCO2 as     | level (315          |              | simulatio  |          |
| simulation A (ii) |             | xCO2 as     | xCO2 as         |                    | xCO2 as     | provided    | ppm, i)             |              | ns,        | provide  |
|                   |             | provided    | provided        |                    | provided    | by the      | and as              |              | annual     | d by     |
|                   | xCO2        | by the      | by the          |                    | by the      | GCB,        | provided            |              | resolutio  | GCB,     |
|                   | provided    | GCB,        | GCB,            |                    | GCB,        | converted   | by GCB              | xCO2 as      | n (i), and |          |
|                   | by the      | global      | converted       |                    | converted   | to pCO2     | (ii), both          | provided     | as         | ed to    |
|                   | GCB;        | mean,       | to pCO2         |                    | to pCO2     | with        | converted           | by the       | provided   | equilib  |
|                   | converted   | annual      | with sea        |                    | with sea-   | constant    | to pCO2             | GCB,         | by GCB     | um       |
|                   | to pCO2     | resolution, | level           |                    | level       | sea-level   | with sea-           | converted    | (ii), both | CO2*     |
|                   | temperatur  | converted   | pressure        |                    | pressure    | pressure    | level               | to pCO2      | converte   | using    |
|                   | е           | to pCO2     | (taken          | transient          | and water   | and water   | pressure            | with locally | d to       | atmos    |
|                   | formulation | with sea-   | from the        | monthly            | vapour      | vapour      | and water           | determine    | pCO2       | heric    |
|                   | (Sarmiento  | level       | atmopheric      | xCO2               | pressure,   | pressure,   | vapour              | d atm.       | with       | pressu   |
|                   | et al.,     | pressure    | forcing)        | provided           | global      | global      | pressure,           | pressure,    | water      | e and    |
|                   | 1992),      | and water   | and water       | by GCB,            | mean,       | mean,       | global              | and water    | vapour     | Weiss    |
|                   | monthly     | vapour      | vapor           | no                 | monthly     | yearly      | mean,               | vapour       | and sea-   | and      |
|                   | resolution  | pressure    | correction      | conversion         | resolution  | resolution  | yearly              | pressure     | level      | Price    |
|                   | (i, ii)     | (i, ii)     | (i, ii)         | (i, ii)            | (i, ii)     | (i, ii)     | resolution          | (i, ii)      | pressure   | (1980)   |





|                                      | Jena-MLS  | MPI-SOMFFN  | CMEMS-LSCE-<br>FFNN  | Watson et al   | NIES-NN  | JMA-MLR   | OS-ETHZ-GRaCER  | LDEO HPD  |
|--------------------------------------|---|---|--|--|--|---|---|---|
| Method                               | Spatio-temporal<br>interpolation<br>(version<br>oc_v2022).<br>Spatio-temporal<br>field of ocean-<br>internal carbon<br>sources/sinks is<br>fit to the<br>SOCATv2022<br>pCO2 data.<br>Includes a<br>multi-linear<br>regression<br>against<br>environmental<br>drivers to<br>bridge data<br>gaps, | A feed-forward<br>neural network<br>(FFN) determines<br>non-linear<br>relationship<br>between SOCAT<br>pCO2<br>measurements<br>and<br>environmental<br>predictor data<br>for 16<br>biogeochemical<br>provinces<br>(defined through<br>a self-organizing<br>map, SOM) and<br>is used to fill the<br>existing data<br>gaps. | An ensemble of<br>neural network<br>models trained<br>on 100<br>subsampled<br>datasets from<br>SOCAT and<br>environmental<br>predictors. The<br>models are used<br>to reconstruct<br>sea surface<br>fugacity of CO2<br>and convert to<br>air-sea CO2<br>fluxes | Modified MPI-<br>SOMFFN with<br>SOCATV2022<br>pCO2 database.<br>Corrected to the<br>subskin<br>temperature of<br>the ocean as<br>measured by<br>satellite<br>(Goddijn-Murphy<br>et al, 2015). Flux<br>calculation<br>corrected for the<br>cool and salty<br>surface skin.<br>Monthly<br>climatology for<br>skin temperature<br>correction<br>derived from ESA<br>CCI product for<br>the period 2003<br>to 2011<br>(Merchant et al,<br>2019). | A feed forward<br>neural network<br>model trained on<br>SOCAT 2021<br>fCO2 and<br>environmental<br>predictor data.<br>The fCO2 was<br>normalized to<br>the reference<br>year 2000 by a<br>global fCO2<br>trend: We fitted<br>the dependence<br>of fCO2 on year<br>by linear<br>regression. We<br>subtracted the<br>neural network<br>to model the<br>neural network<br>to model the<br>rend from fCO2<br>and used the<br>neural network<br>to model the<br>trend dirom fCO2<br>and used the<br>neural network<br>to model the<br>trend was added<br>predictions to<br>reconstruct<br>fCO2. | Fields of total<br>alkalinity (TA)<br>were estimated<br>by using a<br>multiple linear<br>regressions<br>(MLR) method<br>based on<br>GLODAPv2.2021<br>and satellite<br>observation<br>data.<br>SOCATv2022<br>fCO2 data were<br>converted to<br>dissolved<br>inorganic carbon<br>(DIC) with the<br>TA. Fields of DIC<br>were estimated<br>by using a MLR<br>method based<br>on the DIC and<br>satellite<br>observation data | Geospatial<br>Random Cluster<br>Ensemble<br>Regression is a<br>two-step cluster-<br>regression<br>approach, where<br>multiple<br>clustering<br>instances with<br>slight variations<br>are run to create<br>an ensemble of<br>estimates. We<br>use K-means<br>clustering and a<br>combination of<br>Gradient boosted<br>trees and Feed-<br>forward neural-<br>networks to<br>estimate SOCAT<br>v2022 fCO2. | Based on fCO2<br>misfit betweer<br>observed fCO2<br>and eight of th<br>ocean<br>biogeochemica<br>models used in<br>this<br>assessment.<br>The eXtreme<br>Gradient<br>Boosting<br>method links<br>this misfit to<br>environmental<br>observations to<br>reconstruct the<br>model misfit<br>across all space<br>and time.,<br>which is then<br>added back to<br>model-based<br>fCO2 estimate.<br>The final<br>reconstrucion<br>of surface fCO2<br>is the average<br>across the eigh<br>reconstruction |
| Gas-exchange<br>parameterizatio<br>n | Wanninkhof<br>1992. Transfer<br>coefficient k<br>scaled to match<br>a global mean<br>transfer rate of<br>16.5 cm/hr by<br>(Naegler, 2009)   | Wanninkhof<br>1992. Transfer<br>coefficient k<br>scaled to match<br>a global mean<br>transfer rate of<br>16.5 cm/hr   | Wanninkhof<br>2014. Transfer<br>coefficient k<br>scaled to match a<br>global mean<br>transfer rate of<br>16.5 cm/hr<br>(Naegler, 2009)   | Nightingale et al<br>2000  | Wanninkhof,<br>2014. Transfer<br>coefficient k<br>scaled to match a<br>global mean<br>transfer rate of<br>16.5 cm/hr<br>(Naegler, 2009)  | Wanninkhof.,<br>2014. Transfer<br>coefficient k<br>scaled to match a<br>global mean<br>transfer rate of<br>16.5 cm/hr<br>(Naegler, 2009)  | Wanninkhof<br>1992, averaged<br>and scaled for<br>three reanalysis<br>wind data, to a<br>global mean 16.5<br>cm/hr (after<br>Naegler 2009;<br>Fay & Gregor et<br>al. 2021)  | Wanninkhof<br>1992, averaged<br>and scaled for<br>three reanalysi<br>wind data, to a<br>global mean<br>16.5 cm/hr<br>(after Nægeler<br>2009; Fay &<br>Gregor et al.<br>2021)  |
| Wind product                         | JMA55-do<br>reanalysis  | ERA 5   | ERA5   | Mean and mean<br>square winds<br>monthly 1x1°<br>from CCMP,<br>0.25x0.25° x 6-<br>hourly,  | ERA5   | JRA55   | JRA55, ERA5,<br>NCEP1   | JRA55, ERA5,<br>CCMP2   |
| Spatial<br>resolution                | 2.5 degrees<br>longitude x 2<br>degrees latitude  | 1x1 degree  | 1x1 degree   | 1x1 degree   | 1x1 degree   | 1x1 degree  | 1x1 degree  | 1x1 degree  |
| Temporal<br>resolution               | daily   | monthly   | monthly  | monthly  | monthly  | monthly   | monthly   | monthly   |





| A   | Creationly and   | Creation  | Castially and  | Atmospheric   | 1011  | Aturaanharia  |   | NOAAlamaritat  |
|---|--|---|--|---|---|---|---|--|
| Atmospheric<br>CO2  | Spatially and<br>temporally<br>varying field<br>based on<br>atmospheric CO2<br>data from 169<br>stations (Jena<br>CarboScope<br>atmospheric<br>inversion<br>sEXTALL_v2021) | Spatially varying<br>1x1 degree<br>atmospheric<br>pCO2_wet<br>calculated from<br>the NOAA ESRL<br>marine boundary<br>layer xCO2 and<br>NCEP sea level<br>pressure with<br>the moisture<br>correction by<br>Dickson et al<br>2007. | Spatially and<br>monthly varying<br>fields of<br>atmospheric<br>pCO2 computed<br>from CO2 mole<br>fraction (CO2<br>atmospheric<br>inversion from<br>the Copernicus<br>Atmospheric<br>Monitoring<br>Service), and<br>atmospheric dry-<br>air pressure<br>which is derived<br>from monthly<br>surface pressure<br>(ERA5) and water<br>vapour pressure<br>fitted by Weiss<br>and Price 1980 | Atmospheric<br>pCO2 (wet)<br>calculated from<br>NOAA marine<br>boundary layer<br>XCO2 and NCEP<br>sea level<br>pressure, with<br>pH2O calculated<br>from Cooper et<br>al, 1998. 2021<br>XCO2 marine<br>boundary values<br>were not<br>available at<br>submission so we<br>used preliminary<br>values,<br>estimated from<br>2020 values and<br>increase at<br>Mauna Loa. | NOAA<br>Greenhouse Gas<br>Marine Boundary<br>Layer Reference.<br>https://gml.noaa<br>.gov/ccgg/mbl/m<br>bl.html | inversion model<br>(Maki et al. 2010;                               | NOAA's marine<br>boundary layer<br>product for xCO2<br>is linearly<br>interpolated<br>onto a 1x1<br>degree grid and<br>resampled from<br>weekly to<br>monthly. xCO2 is<br>multiplied by<br>ERA5 mean sea<br>level pressure,<br>where the latter<br>corrected for<br>water vapour<br>pressure using<br>Dickson et al.<br>(2007). This<br>results in<br>monthly 1x1<br>degree<br>pCO2atm. | NOAA's marine<br>boundary layer<br>product for<br>xCO2 is linearly<br>interpolated<br>onto a 1x1<br>degree grid and<br>resampled from<br>weekly to<br>monthly. xCO2<br>is multiplied by<br>ERA5 mean sea<br>level pressure,<br>where the latte<br>corrected for<br>water vapour<br>pressure using<br>Dickson et al.<br>(2007). This<br>results in<br>monthly 1x1<br>degree<br>pCO2atm. |
| Total ocean area<br>on native grid<br>(km2)                     | 3.63E+08   | 3.63E+08  | 3.50E+08   | 3.52E+08  | 3.49E+08  | 3.10E+08<br>(2.98E+08 to<br>3.16E+08,<br>depending on ice<br>cover) | 3.55E+08  | 3.61E+08   |
| method to<br>extend product<br>to full global<br>ocean coverage |  | Arctic and<br>marginal seas<br>added following<br>Landschützer et<br>al. (2020). No<br>coastal cut.   |  |   |   | Fay & Gregor et<br>al. 2021   | Method has near<br>full coverage  | Fay & Gregor et<br>al. 2021. Gaps<br>were filled with<br>monthly<br>climatology.<br>Interannual<br>variability was<br>added to the<br>climatology<br>based on the<br>temporal<br>evolution of 5<br>products for<br>years 1985<br>through 2020<br>and then only<br>using this<br>product for year<br>2021.  |





**Table A4.** Comparison of the inversion set up and input fields for the atmospheric inversions. Atmospheric inversions see the full CO2 fluxes, including the anthropogenic and pre-industrial fluxes. Hence they need to be adjusted for the pre-industrial flux of CO2 from the land to the ocean that is part of the natural carbon cycle before they can be compared with SOCEAN and SLAND from process models. See Table 4 for references.

|                               | Copernicus<br>Atmosphere<br>Monitoring<br>Service<br>(CAMS)   | Carbon-<br>Tracker<br>Europe (CTE)   | Jena<br>CarboScope   | UoE   | NISMON-<br>CO2   | CMS-Flux  | GONGGA  | THU   | Copernicus<br>Atmospher<br>e<br>Monitoring<br>Service<br>(CAMS)<br>Satellite  |
|-------------------------------|---|--|--|---|--|---|---|---|---|
| Version number                | v21r1   | v2022  | v2022  | UoE<br>v6.1b  | v2022.1  | v2022   | v2022   | v2022   | FT21r2  |
| Observations                  |   |  |  |   |  |   |   |   |   |
| Atmospheric<br>observations   | Hourly<br>resolution<br>(well-mixed<br>conditions)<br>obspack<br>GLOBALVI<br>EWplus<br>v7.0 (a)<br>and<br>NRT_v7.2(<br>b),<br>WDCGG,<br>RAMCES<br>and ICOS<br>ATC | Hourly<br>resolution<br>(well-mixed<br>conditions)<br>obspack<br>GLOBALVIE<br>Wplus v7.0<br>(a) and<br>NRT_v7.2(b) | Flasks and<br>hourly from<br>various<br>institutions<br>(outliers<br>removed by<br>2σ criterion) | VIEWplus<br>v7.0(a)<br>and                                    | Hourly<br>resolution<br>(well-<br>mixed<br>conditions)<br>obspack<br>GLOBALVI<br>EWplus<br>v7.0(a)<br>and<br>NRT_v7.2(<br>b) | ACOS-<br>GOSAT<br>v9r, OCO-<br>2 v10<br>scaled to<br>WMO<br>2019<br>standard<br>and<br>remote<br>flask<br>observatio<br>ns from<br>ObsPack,<br>GLOBALVI<br>EW puls,<br>v7.0(a)<br>and NRT<br>v 7.2(b) | OCO-2<br>v10r data<br>that scaled<br>to WMO<br>2019<br>standard                                 | OCO-2<br>v10r data<br>that scaled<br>to WMO<br>2019<br>standard | bias-<br>corrected<br>ACOS<br>GOSAT vS<br>over land<br>until<br>August<br>2024 +<br>bias-<br>corrected<br>ACOS<br>OCO-2<br>v10 over<br>land, both<br>rescaled to<br>X2019 |
| Period covered                | 1979-2021   | 2001-2021  | 1957-2021  | 2001-<br>2021   | 1990-2021  | 2010-2021   | 2015-2021   | 2015-2021   | 2010-2021   |
| Prior fluxes                  |   |  |  |   |  |   |   |   |   |
| Biosphere and fires           | ORCHIDEE<br>,<br>GFEDv4.1s  | SiB4 and<br>GFAS   | Zero   | CASA<br>v1.0,<br>climatolog<br>y after<br>2016 and<br>GFED4.0 | VISIT and<br>GFEDv4.1<br>s   | CARDAM<br>OM  | CASA and<br>GFEDv4.1<br>s   | SiB4.2 and<br>GFEDv4.1<br>s                                     | ORCHIDE<br>E,<br>GFEDv4.1<br>s  |
| Ocean                         | CMEMS-<br>LSCE-<br>FFNN 2021  | CarboScope<br>v2021  | CarboScop<br>e v2022   | Takahash<br>i<br>climatolog<br>y                              | JMA global<br>ocean<br>mapping<br>(lida et al.,<br>2015)   | MOM6  | Takahashi<br>climatolog<br>y  | Takahashi<br>climatolog<br>y                                    | CMEMS-<br>LSCE-<br>FFNN<br>2021   |
| Fossil fuels                  | GridFED<br>2021.2(c)<br>with an<br>extrapolatio<br>n to 2021<br>based on<br>Carbonmon<br>itor and<br>NO2  | GridFED<br>2021.3 +<br>GridFED<br>2022.2 for<br>2021 (c)   | GridFED<br>v2022.2 (c)   | GridFED<br>2022.1 (c)   | GridFED<br>v2022.2<br>(c)  | GridFED2<br>022.2 (c)   | GridFED<br>2021.3 (c)<br>with an<br>extrapolati<br>on to 2021<br>based on<br>Carbon-<br>monitor | GridFED<br>v2022.1<br>(c)                                       | GridFED<br>2021.2 (c)<br>with an<br>extrapolati<br>on to 2021<br>based on<br>Carbonmo<br>nitor and<br>NO2   |
| Transport and<br>optimization |   |  |  |   |  |   |   |   |   |
| Transport model               | LMDZ v6   | TM5  | TM3  | GEOS-<br>CHEM   | NICAM-<br>TM   | GEOS-<br>CHEM   | GEOS-<br>Chem<br>v12.9.3  | GEOS-<br>CHEM   | LMDZ v6   |





| Weather forcing   | ECMWF   | ECMWF   | NCEP  | MERRA                        | JRA55                           | MERRA                           | MERRA2   | GEOS-FP                      | ECMWF                      |
|---|---|---|---|------------------------------|---------------------------------|---------------------------------|--|------------------------------|----------------------------|
| Horizontal<br>Resolution  | Global<br>3.75°x1.87<br>5°                          | Global 3°x2°,<br>Europe<br>1°x1°, North<br>America<br>1°x1° | Global<br>3.83°x5°  | Global<br>4°x5°              | lsocahedr<br>al grid:<br>~225km | Global<br>4°x5°                 | Global<br>2°x2.5°  | Global<br>4°x5°              | Global<br>3.75°x1.87<br>5° |
| Optimization  | Variational   | Ensemble<br>Kalman filter                                   | Conjugate<br>gradient<br>(re-ortho-<br>normalizati<br>on) (d) | Ensemble<br>Kalman<br>filter | Variational                     | Variational                     | Nonlinear<br>least<br>squares<br>four-<br>dimension<br>al variation<br>(NLS-<br>4DVar) | Ensemble<br>Kalman<br>filter | Variational                |
| <ul> <li>(a) https://doi.org/1</li> <li>obspack_co2_1_GLG</li> <li>http://doi.org/10.25</li> <li>(b) http://doi.org/10</li> <li>obspack_co2_1_NR</li> </ul> | DBALVIEWplus_v<br>5925/20210801.<br>0.25925/2022062 | 7.0_2021-08-18<br>24. Schuldt et al.                        | ; NOAA Earth S<br>Multi-laborate                              | System Resea                 | rch Laborator                   | ry, Global Mo<br>heric carbon o | nitoring Labor<br>dioxide data f   | atory.                       |                            |

http://doi.org/10.25925/20220624.

(c) GCP-GridFED v2021.2, v2021.3, v2022.1 and v2022.2 (Jones et al., 2022) are updates through the year 2021 of the GCP-GridFED dataset presented by Jones et al. (2021).

(d) ocean prior not optimised





| -                              | Regions   | measurement<br>s | Principal Investigators  | No. of<br>datasets | Platform Type |
|--------------------------------|---|------------------|--|--------------------|---------------|
|                                | North Atlantic, coastal   | 71,863           | Tanhua, T.   | 1                  | Ship          |
|                                | Tropical Pacific  | 387              | Sutton, A.; De Carlo, E. H.; Sabine, C.                        | 1                  | Mooring       |
|                                | North Atlantic, tropical Atlantic, coastal                                  | 34,399           | Bates, N. R.   | 16                 | Ship          |
| Atlantic Sail                  | North Atlantic, coastal   | 27,496           | Steinhoff, T.; Körtzinger, A.                                  | 7                  | Ship          |
| BlueFin                        | Tropical Pacific  | 60.606           | Alin, S. R.; Feely, R. A.                                      | 11                 | Ship          |
|                                | North Atlantic, tropical Atlantic,<br>coastal                               |                  | Lefèvre, N.  |                    | Ship          |
|                                |   |                  |  |                    |               |
| CCE2_121W_34N                  | Coastal   | 1,333            | Sutton, A.; Send, U.; Ohman, M.                                | 1                  | Mooring       |
| Celtic Explorer                | North Atlantic, coastal   | 61,118           | Cronin, M.   | 10                 | Ship          |
| F.G. Walton Smith              | Coastal   | 38,375           | Rodriguez, C.; Millero, F. J.; Pierrot, D.;<br>Wanninkhof, R.  | 14                 | Ship          |
| Finnmaid                       | Coastal   | 223,438          | Rehder, G.; Bittig, H. C.; Glockzin, M.                        | 1                  | Ship          |
| FRA56                          | Coastal   | 5.652            | Tanhua, T.   | 1                  | Ship          |
|                                |   |                  |  |                    |               |
| G.O. Sars<br>GAKOA 149W 60     | Arctic, north Atlantic, coastal   | 82,607           | Skjelvan, I.<br>Monacci, N.; Cross, J.; Musielewicz, S.;       | 9                  | Ship          |
|                                | Coastal   | 402              | Sutton, A.   | 1                  | Mooring       |
| Gordon Gunter                  | North Atlantic, coastal   | 36.058           | Wanninkhof, R.; Pierrot, D.                                    | 6                  | Ship          |
|                                |   | ,                | Salisbury, J.; Vandemark, D.; Hunt, C.                         |                    |               |
| Gulf Challenger                | Coastal   | 6,375            |  | 6                  | Ship          |
| Healy                          | Arctic, north Atlantic, coastal   | 28,998           | Sweeney, C.; Newberger, T.;<br>Sutherland, S. C.; Munro, D. R. | 5                  | Ship          |
| Henry B. Bigelow               | North Atlantic, coastal   | 67,399           | Wanninkhof, R.; Pierrot, D.                                    | 8                  | Ship          |
|                                |   |                  | Tilbrook, B.; Neill, C.; van Oojen, E.;                        |                    |               |
|                                | Coastal   | 989              | Passmore, A.; Black, J.  | 1                  | Mooring       |
|                                | Southern Ocean, coastal, tropical<br>Pacific, Indian Ocean                  | 120,782          | Tilbrook, B.; Akl, J.; Neill, C.                               | 6                  | Ship          |
| КС_ВООУ                        | Coastal   | 2,860            | Evans, W.; Pocock, K.  | 1                  | Mooring       |
| Keifu Maru II I                | North Pacific, tropical Pacific, coastal                                    | 10,053           | Kadono, K.   | 8                  | Ship          |
|                                | , ,,  | .,               | Sweeney, C.; Newberger, T.;                                    |                    |               |
| Laurence M. Gould              | Southern Ocean  | 2,604            | Sutherland, S. C.; Munro, D. R.                                | 1                  | Ship          |
|                                | Indian Ocean, Southern Ocean,   |                  |  |                    |               |
|                                | coastal   | 9,911            | Lo Monaco, C.; Metzl, N.                                       | 1                  | Ship          |
| Nathaniel B.                   | Southorn Ocean  | 2 270            | Sweeney, C.; Newberger, T.;<br>Sutherland, S. C.; Munro, D. R. | 4                  | Ship          |
|                                | Southern Ocean<br>North Pacific, tropical Pacific, north                    | 2,370            | Successfully, S. C., WullIU, D. R.                             | 1                  | Sub           |
|                                | Atlantic, coastal   | 198,293          | Nakaoka, SI.; Takao, S.  | 10                 | Ship          |
|                                | North Atlantic, tropical Atlantic,  | ,                |  |                    | •             |
|                                | south Atlantic, coastal   | 17,699           | Tanhua, T.   | 2                  | Ship          |
| Quadra Island<br>Field Station | Coastal   | 81,201           | Evans, W.; Pocock, K.  | 1                  | Mooring       |
|                                |   |                  |  |                    |               |
|                                | North Atlantic, coastal   |                  | Wanninkhof, R.; Pierrot, D.                                    |                    | Ship          |
|                                | North Pacific, tropical Pacific, coastal<br>Southern Ocean, north Atlantic, | 10,464           | Kadono, K.   | 8                  | Ship          |





|                |  |         | Sweeney, C.; Newberger, T.;                |    |         |
|----------------|--|---------|--|----|---------|
| Sikuliaq       | Arctic, north Pacific, coastal           | 60,549  | Sutherland, S. C.; Munro, D. R.            | 13 | Ship    |
|                |  |         | Gkritzalis, T.; Theetaert, H.; Cattrijsse, |    |         |
| Simon Stevin   | Coastal                                  | 57,055  | A.; T'Jampens, M.                          | 11 | Ship    |
| Sitka Tribe of |  |         |  |    |         |
| Alaska         |  |         |  |    |         |
| Environmental  |  |         |  |    |         |
| Research       |  |         | Whitehead, C.; Evans, W.; Lanphier, K.;    |    |         |
| Laboratory     | Coastal                                  | 19,086  | Peterson, W.; Kennedy, E.; Hales, B.       | 1  | Mooring |
| SOFS_142E_46S  | Southern Ocean                           | 894     | Sutton, A.; Trull, T.; Shadwick, E.        | 1  | Mooring |
| Soyo Maru      | Tropical Pacific, coastal                | 33,234  | Ono, T.                                    | 3  | Ship    |
| Station M      | North Atlantic                           | 447     | Skjelvan, I.                               | 1  | Mooring |
| Statsraad      | North Atlantic, tropical Atlantic,       |         |  |    |         |
| Lehmkuhl       | coastal                                  | 47,881  | Becker, M.; Olsen, A.                      | 3  | Ship    |
| TAO125W_0N     | Tropical Pacific                         | 241     | Sutton, A.                                 | 1  | Mooring |
| Tavastland     | Coastal                                  | 48,421  | Willstrand Wranne, A.; Steinhoff, T.       | 17 | Ship    |
| Thomas G.      | North Atlantic, tropical Atlantic,       |         |  |    |         |
| Thompson       | north Pacific, tropical Pacific, coastal | 47,073  | Alin, S. R. ; Feely, R. A.                 | 5  | Ship    |
|                | Southern Ocean, north Pacific,           |         |  |    |         |
| Trans Future 5 | tropical Pacific, coastal                | 257,424 | Nakaoka, SI.; Takao, S.                    | 22 | Ship    |
| Tukuma Arctica | North Atlantic, coastal                  | 70,033  | Becker, M.; Olsen, A.                      | 23 | Ship    |
| Wakataka Maru  | North Pacific, coastal                   | 13,392  | Tadokoro, K.                               | 2  | Ship    |





 Table A6. Aircraft measurement programs archived by Cooperative Global Atmospheric Data Integration

 Project (CGADIP; Schuldt et al. 2022a and 2022b) that contribute to the evaluation of the atmospheric inversions (Figure B4).

| Site<br>code        | Measurement program name in<br>Obspack   | Specific doi                                     | Data providers   |
|---------------------|--|--|--|
| AAO                 | Airborne Aerosol Observatory,<br>Bondville, Illinois                           |  | Sweeney, C.; Dlugokencky, E.J.   |
| ABOVE               | Carbon in Arctic Reservoirs<br>Vulnerability Experiment (CARVE)                | <u>https://doi.org/10.3334/O</u><br>RNLDAAC/1404 | Sweeney, C., J.B. Miller, A. Karion,<br>S.J. Dinardo,<br>and C.E. Miller. 2016. CARVE: L2<br>Atmospheric Gas Concentrations,<br>Airborne Flasks, Alaska, 2012-2<br>015. ORNL DAAC, Oak Ridge,<br>Tennessee, USA. |
| ACG                 | Alaska Coast Guard   |  | Sweeney, C.; McKain, K.; Karion, A.;<br>Dlugokencky, E.J.  |
| ACT                 | Atmospheric Carbon and Transport<br>- America                                  |  | Sweeney, C.; Dlugokencky, E.J.;<br>Baier, B; Montzka, S.; Davis, K.  |
| AIRCO<br>RENOA<br>A | NOAA AirCore   |  | Colm Sweeney (NOAA) AND Bianca<br>Baier (NOAA)   |
| ALF                 | Alta Floresta  |  | Gatti, L.V.; Gloor, E.; Miller, J.B.;  |
| AOA                 | Aircraft Observation of<br>Atmospheric trace gases by JMA                      |  | ghg_obs@met.kishou.go.jp   |
| BGI                 | Bradgate, Iowa   |  | Sweeney, C.; Dlugokencky, E.J.   |
| BNE                 | Beaver Crossing, Nebraska  |  | Sweeney, C.; Dlugokencky, E.J.   |
| BRZ                 | Berezorechka, Russia   |  | Sasakama, N.; Machida, T.  |
| CAR                 | Briggsdale, Colorado   |  | Sweeney, C.; Dlugokencky, E.J.   |
| СМА                 | Cape May, New Jersey   |  | Sweeney, C.; Dlugokencky, E.J.   |
| CON                 | CONTRAIL (Comprehensive<br>Observation Network for TRace<br>gases by AlrLiner) | http://dx.doi.org/10.1759<br>5/20180208.001      | Machida, T.; Matsueda, H.; Sawa, Y.<br>Niwa, Y.  |
| CRV                 | Carbon in Arctic Reservoirs<br>Vulnerability Experiment (CARVE)                |  | Sweeney, C.; Karion, A.; Miller, J.B.;<br>Miller, C.E.; Dlugokencky, E.J.  |
| DND                 | Dahlen, North Dakota   |  | Sweeney, C.; Dlugokencky, E.J.   |
| ECO                 | East Coast Outflow   |  | Sweeney, C.; McKain, K.  |
| ESP                 | Estevan Point, British Columbia  |  | Sweeney, C.; Dlugokencky, E.J.   |
| ETL                 | East Trout Lake, Saskatchewan  |  | Sweeney, C.; Dlugokencky, E.J.   |
| FWI                 | Fairchild, Wisconsin   |  | Sweeney, C.; Dlugokencky, E.J.   |
| GSFC                | NASA Goddard Space Flight<br>Center Aircraft Campaign                          |  | Kawa, S.R.; Abshire, J.B.; Riris, H.   |
| HAA                 | Molokai Island, Hawaii   |  | Sweeney, C.; Dlugokencky, E.J.   |
| HFM                 | Harvard University Aircraft<br>Campaign  |  | Wofsy, S.C.  |
| HIL                 | Homer, Illinois  |  | Sweeney, C.; Dlugokencky, E.J.   |
| HIP                 | HIPPO (HIAPER Pole-to-Pole<br>Observations)                                    | https://doi.org/10.3334/C<br>DIAC/HIPPO_010      | Wofsy, S.C.; Stephens, B.B.; Elkins, J.W.; Hintsa, E.J.; Moore, F.   |
| IAGOS-<br>CARIBI    | In-service Aircraft for a Global<br>Observing System                           |  | Obersteiner, F.; Boenisch., H;<br>Gehrlein, T.; Zahn, A.; Schuck, T.   |





| INFLUX (Indianapolis Flux<br>Experiment)                     |  | Sweeney, C.; Dlugokencky, E.J.;<br>Shepson, P.B.; Turnbull, J.  |
|--|--|---|
| Park Falls, Wisconsin  |  | Sweeney, C.; Dlugokencky, E.J.  |
| Offshore Portsmouth, New<br>Hampshire (Isles of Shoals)      |  | Sweeney, C.; Dlugokencky, E.J.  |
| Oglesby, Illinois  |  | Sweeney, C.; Dlugokencky, E.J.  |
| ORCAS (O2/N2 Ratio and CO2<br>Airborne Southern Ocean Study) | https://doi.org/10.5065/D6S<br>B445X   | Stephens, B.B, Sweeney, C., McKain, K.,<br>Kort, E.   |
| Poker Flat, Alaska   |  | Sweeney, C.; Dlugokencky, E.J.  |
| Rio Branco   |  | Gatti, L.V.; Gloor, E.; Miller, J.B.  |
| Rarotonga  |  | Sweeney, C.; Dlugokencky, E.J.  |
| Charleston, South Carolina                                   |  | Sweeney, C.; Dlugokencky, E.J.  |
| Southern Great Plains, Oklahoma                              |  | Sweeney, C.; Dlugokencky, E.J.;<br>Biraud, S.   |
| Tabatinga  |  | Gatti, L.V.; Gloor, E.; Miller, J.B.  |
| Offshore Corpus Christi, Texas                               |  | Sweeney, C.; Dlugokencky, E.J.  |
| Trinidad Head, California                                    |  | Sweeney, C.; Dlugokencky, E.J.  |
| West Branch, Iowa  |  | Sweeney, C.; Dlugokencky, E.J.  |
|  | Experiment) Park Falls, Wisconsin Offshore Portsmouth, New Hampshire (Isles of Shoals) Oglesby, Illinois ORCAS (O2/N2 Ratio and CO2 Airborne Southern Ocean Study) Poker Flat, Alaska Rio Branco Rarotonga Charleston, South Carolina Southern Great Plains, Oklahoma Tabatinga Offshore Corpus Christi, Texas Trinidad Head, California | Experiment)Image: constraint of the section of the secti |





| Dublication         | Fossil fuel emissions                             |   |   | LUC emissions   |  | Reservoirs  |  |  |
|---------------------|---|---|---|---|--|---|--|--|
| Publication<br>year | Global  | Country<br>(territorial)                    | Country<br>(consumption)  |   | Atmosphere   | Ocean   | Land   | Uncertainty &<br>other changes   |
| 2006 (a)            |   | Split in<br>regions                         |   |   |  |   |  |  |
| 2007 (b)            |   |   |   | ELUC based<br>on FAO-FRA<br>2005;<br>constant<br>ELUC for<br>2006                                 | 1959-1979<br>data from<br>Mauna Loa;<br>data after<br>1980 from<br>global<br>average | Based on one<br>ocean model<br>tuned to<br>reproduced<br>observed<br>1990s sink             |  | ±1σ provided<br>for all<br>components                                    |
| 2008 (c)            |   |   |   | Constant<br>ELUC for<br>2007  |  |   |  |  |
| 2009 (d)            |   | Split between<br>Annex B and<br>non-Annex B | Results from<br>an<br>independent<br>study<br>discussed   | Fire-based<br>emission<br>anomalies<br>used for<br>2006-2008                                      |  | Based on<br>four ocean<br>models<br>normalised to<br>observations<br>with constant<br>delta | First use of<br>five DGVMs<br>to compare<br>with budget<br>residual                            |  |
| 2010 (e)            | Projection for<br>current year<br>based on<br>GDP | Emissions for<br>top emitters               |   | ELUC<br>updated with<br>FAO-FRA<br>2010   |  |   |  |  |
| 2011 (f)            |   |   | Split between<br>Annex B and<br>non-Annex B   |   |  |   |  |  |
| 2012 (g)            |   | 129 countries<br>from 1959                  | 129 countries<br>and regions<br>from 1990-<br>2010 based<br>on GTAP8.0  | ELUC for<br>1997-2011<br>includes<br>interannual<br>anomalies<br>from fire-<br>based<br>emissions | All years from<br>global<br>average  | Based on 5<br>ocean<br>models<br>normalised to<br>observations<br>with ratio                | Ten DGVMs<br>available for<br>SLAND; First<br>use of four<br>models to<br>compare with<br>ELUC |  |
| 2013 (h)            |   | 250<br>countriesb                           | 134 countries<br>and regions<br>1990-2011<br>based on<br>GTAP8.1,<br>with detailed<br>estimates for<br>years 1997,<br>2001, 2004,<br>and 2007 | ELUC for<br>2012<br>estimated<br>from 2001-<br>2010 average                                       |  | Based on six<br>models<br>compared<br>with two data-<br>products to<br>year 2011            | Coordinated<br>DGVM<br>experiments<br>for SLAND<br>and ELUC                                    | Confidence<br>levels;<br>cumulative<br>emissions;<br>budget from<br>1750 |





| Current year<br>based Jan-<br>Aug dataemissions<br>from<br>UNFCCC<br>2011 based<br>on GTAP9estimates<br>introduced for<br>2011 based<br>on GTAP9eight modelsmodels with<br>assessment<br>of minumur<br>realismuncertainty<br>for the DGV<br>ensemble<br>mean now<br>uses ±1 o of<br>the decadal<br>across<br>models2016 (k)Two years of<br>BP dataAdded three<br>small<br>countries;<br>China's<br>from 1990<br>from 990<br>from BP data<br>(this release<br>only)Preliminary<br>ELUC using<br>FRA-2015<br>shown for<br>use of five<br>DGVMsBased on<br>seven modelsBased on<br>fourteen<br>models with<br>across<br>modelsDiscussion of<br>projection fo<br>full budget for<br>current year2017 (I)Projection<br>includes india-<br>specific dataAddet three<br>small<br>current yearPreliminary<br>ELUC using<br>FRA-2015<br>shown for<br>use of five<br>DGVMsBased on<br>seven modelsBased on<br>fourteen<br>modelsDiscussion of<br>projection fo<br>full budget for<br>current year2017 (I)Projection<br>includes india-<br>specific dataAverage of<br>two<br>bookkeeping<br>models; use of<br>12 DGVMsBased on eight<br>match the<br>observed sink<br>for the 1990;<br>no longer<br>normalisedBased on 15<br>models that<br>meat<br>observation-<br>imbalance<br>specific data  | 2014 (i)           | Three years<br>of BP data  | Three years<br>of BP data   | Extended to<br>2012 with<br>updated GDP<br>data | ELUC for<br>1997-2013<br>includes<br>interannual<br>anomalies<br>from fire-<br>based<br>emissions | Based on<br>seven models   |   | Inclusion of<br>breakdown of<br>the sinks in<br>three latitude<br>bands and<br>comparison<br>with three<br>atmospheric<br>inversions |
|--|--------------------|----------------------------|---|---|---|--|---|--|
| BP data       small<br>countries;<br>from 1900<br>from 1900<br>from 1900<br>only)       ELUC using<br>FRA-2015<br>shown for<br>comparison;<br>from 1900<br>use of five<br>DGVMs       seven models<br>fourrent year       fourteen<br>models       projection for<br>full budget for<br>current year         2017 (l)       Image: Seven models<br>from 190<br>from 1900<br>includes india-<br>specific data       Image: Seven models<br>from 190<br>only)       Image: Seven models<br>from 190<br>models models       Image: Seven models<br>fourrent year       Image: Seven models<br>fourrent year         2017 (l)       Image: Seven models<br>from 190<br>models india-<br>specific data       Image: Seven models<br>from 190<br>models wear<br>models india-<br>specific data       Image: Seven models<br>fourrent year       Image: Seven models<br>fourrent year       Image: Seven models<br>fourrent year         2017 (l)       Image: Seven models<br>from 190<br>models wear       Image: Seven models<br>fourrent year       Image: Seven models<br>fourrent year       Image: Seven models<br>fourrent year         2017 (l)       Image: Seven models<br>from seven models<br>from termine<br>specific data       Image: Seven models<br>fourrent year       Image: Seven models<br>fourrent year         2017 (l)       Image: Seven models<br>fourrent year       Image: Seven models<br>fourrent year       Image: Seven models<br>fourrent year       Image: Seven models<br>fourrent year         2017 (l)       Image: Seven models<br>fourrent year       Image: Seven models<br>fourrent year       Image: Seven models<br>fourrent year       Image: Seven models<br>fourrent year         a Raupach et al. (2007)       Image: Seven models<br>fourr | 2015 (j)           | current year<br>based Jan- | emissions<br>from<br>UNFCCC<br>extended to<br>2014 also                                   | estimates<br>introduced for<br>2011 based       |   |  | models with<br>assessment<br>of minimum               | for the DGVM<br>ensemble<br>mean now<br>uses ±1σ of<br>the decadal<br>spread<br>across   |
| 2017 (I)<br>Projection<br>includes India-<br>specific data<br>Projection<br>a Raupach et al. (2007)<br>b Canadell et al. (2007)<br>c GCP (2008)<br>d Le Quéré et al. (2010)<br>f Peters et al. (2013)<br>h Le Quéré et al. (2015)<br>k Le Quéré et al. (2016)<br>H Le Quéré et al. (2015)<br>K Le Quéré et al. (2015)<br>K Le Quéré et al. (2016)<br>H Le Quéré et al. (2015)<br>K Le Quéré et al. (2015)<br>K Le Quéré et al. (2015)  | 2016 (k)           |                            | small<br>countries;<br>China's<br>emissions<br>from 1990<br>from BP data<br>(this release |   | ELUC using<br>FRA-2015<br>shown for<br>comparison;<br>use of five                                 |  | fourteen  | Discussion of<br>projection for<br>full budget for<br>current year   |
| a Raupach et al. (2007)<br>b Canadell et al. (2007)<br>c GCP (2008)<br>d Le Quéré et al. (2009)<br>e Friedlingstein et al. (2010)<br>f Peters et al. (2012b)<br>g Le Quéré et al. (2013), Peters et al. (2013)<br>h Le Quéré et al. (2014)<br>i Le Quéré et al. (2015a)<br>j Le Quéré et al. (2015b)<br>k Le Quéré et al. (2016)   | 2017 (l)           | includes India-            |   |   | two<br>bookkeeping<br>models; use of  | models that<br>match the<br>observed sink<br>for the 1990s;<br>no longer | models that<br>meet<br>observation-<br>based criteria | separately;<br>new table of<br>key   |
| c GCP (2008)<br>d Le Quéré et al. (2009)<br>e Friedlingstein et al. (2010)<br>f Peters et al. (2012b)<br>g Le Quéré et al. (2013), Peters et al. (2013)<br>h Le Quéré et al. (2014)<br>i Le Quéré et al. (2015a)<br>j Le Quéré et al. (2015b)<br>k Le Quéré et al. (2016)  | a Raupach et al    | . (2007)                   |   |   |   |  |   | uncertainties  |
| d Le Quéré et al. (2009)<br>e Friedlingstein et al. (2010)<br>f Peters et al. (2012b)<br>g Le Quéré et al. (2013), Peters et al. (2013)<br>h Le Quéré et al. (2014)<br>i Le Quéré et al. (2015a)<br>j Le Quéré et al. (2015b)<br>k Le Quéré et al. (2016)  | b Canadell et al   | . (2007)                   |   |   |   |  |   |  |
| e Friedlingstein et al. (2010)<br>f Peters et al. (2012b)<br>g Le Quéré et al. (2013), Peters et al. (2013)<br>h Le Quéré et al. (2014)<br>i Le Quéré et al. (2015a)<br>j Le Quéré et al. (2015b)<br>k Le Quéré et al. (2016)  | c GCP (2008)       |                            |   |   |   |  |   |  |
| f Peters et al. (2012b)<br>g Le Quéré et al. (2013), Peters et al. (2013)<br>h Le Quéré et al. (2014)<br>i Le Quéré et al. (2015a)<br>j Le Quéré et al. (2015b)<br>k Le Quéré et al. (2016)  | d Le Quéré et a    | l. (2009)                  |   |   |   |  |   |  |
| g Le Quéré et al. (2013), Peters et al. (2013)<br>h Le Quéré et al. (2014)<br>i Le Quéré et al. (2015a)<br>j Le Quéré et al. (2015b)<br>k Le Quéré et al. (2016)   | e Friedlingstein   | et al. (2010)              |   |   |   |  |   |  |
| h Le Quéré et al. (2014)<br>i Le Quéré et al. (2015a)<br>j Le Quéré et al. (2015b)<br>k Le Quéré et al. (2016)   | f Peters et al. (2 | :012b)                     |   |   |   |  |   |  |
| i Le Quéré et al. (2015a)<br>j Le Quéré et al. (2015b)<br>k Le Quéré et al. (2016)   | g Le Quéré et al   | l. (2013), Peters e        | et al. (2013)   |   |   |  |   |  |
| j Le Quéré et al. (2015b)<br>k Le Quéré et al. (2016)  | h Le Quéré et a    | l. (2014)                  |   |   |   |  |   |  |
| k Le Quéré et al. (2016)   | i Le Quéré et al.  | . (2015a)                  |   |   |   | <br>   |   |  |
|  | j Le Quéré et al.  | . (2015b)                  |   |   |   |  |   |  |
| I Le Quéré et al. (2018a)  | k Le Quéré et al   | . (2016)                   |   |   |   |  |   |  |
|  | I Le Quéré et a    | al. (2018a)                |   |   |   |  |   |  |





Table A8: Mapping of global carbon cycle models' land flux definitions to the definition of the LULUCF net flux used in national reporting to UNFCCC. Non-intact lands are used here as proxy for "managed lands" in the country reporting, national Greenhouse Gas Inventories (NGHGI) are gap-filled (see Sec. C.2.3 for details). Where available, we provide independent estimates of certain fluxes for comparison.

|                                       |  |                                    | 2002-2011 | 2012-2021 |
|---------------------------------------|--|------------------------------------|-----------|-----------|
| ELUC from<br>bookkeeping<br>estimates |  |                                    |           |           |
| (from Tab. 5)                         |  |                                    | 1.36      | 1.24      |
|                                       | Total (from Tab. 5)                    | from DGVMs                         | -2.85     | -3.10     |
|                                       | in non-forest lands                    | from DGVMs                         | -0.74     | -0.83     |
| SLAND                                 | in non-intact forest                   | from DGVMs                         | -1.67     | -1.80     |
|                                       | in intact forests                      | from DGVMs                         | -0.44     | -0.47     |
|                                       | in intact land                         | from ORCHIDEE-<br>MICT             | -1.34     | -1.38     |
| ELUC plus                             | considering non-intact<br>forests only | from bookkeeping<br>ELUC and DGVMs | -0.31     | -0.56     |
| SLAND on non-<br>intact lands         | considering all non-<br>intact land    | from ORCHIDEE-<br>MICT             | 0.90      | 0.60      |
| National<br>Greenhouse                |  |                                    |           |           |
| Gas Inventories<br>(LULUCF)           |  |                                    | -0.37     | -0.54     |
| FAOSTAT<br>(LULUCF)                   |  |                                    | 0.39      | 0.24      |





| addition to the authors' supporting institutions (see also acknowled        | gements).                              |
|---|--|
| Funder and grant number (where relevant)                                    | Author Initials                        |
| Australia, Integrated Marine Observing System (IMOS)                        | ВТ                                     |
| Australian National Environment Science Program (NESP)                      | JGC                                    |
| Belgium, FWO (Flanders Research Foundation, contract GN                     |  |
| 1001821N)   | TGk                                    |
| BNP Paribas Foundation through Climate & Biodiversity initiative,           |  |
| philanthropic grant for developments of the Global Carbon Atlas             | PC                                     |
| Canada, Tula Foundation   | WE, KP                                 |
| China, National Natural Science Foundation (grant no. 41975155)             | XY                                     |
| China, National Natural Science Foundation (grant no. 42141020)             | WY                                     |
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| from Tsinghua Shenzhen International Graduate School                        | BZ                                     |
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| China, Young Elite Scientists Sponsorship Program by CAST (grant no.        |  |
| YESS20200135)   | BZ                                     |
| EC Copernicus Atmosphere Monitoring Service implemented by<br>ECMWF         | FC                                     |
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| by Mercator Ocean   | MG                                     |
|   | PF, MOS, RMA, SS, GPP, PC, JIK, TI, LB |
| EC H2020 (4C; grant no 821003)  | AJ, PL, LGr, NG, NMa, SZ               |
| EC H2020 (CoCO2: grant no. 958927)  | RMA, GPP, JIK                          |
| EC H2020 (COMFORT: grant no. 820989)  | LGr, MG, NG                            |
| EC H2020 (CONSTRAIN: grant no 820829)                                       | RS, TGa                                |
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| agreement No 101003536).  | RS, TGa, TI, LB, BD                    |
| EC H2020 (JERICO-S3: grant no. 871153)                                      | НСВ                                    |
| EC H2020 (VERIFY: grant no. 776810)   | MWJ, RMA, GPP, PC, JIK, MJM            |
| Efg International   | TT, MG                                 |
| European Space Agency Climate Change Initiative ESA-CCI RECCAP2             |  |
| project 655 (ESRIN/4000123002/18/I-NB)                                      | SS, PC                                 |
| European Space Agency OceanSODA project (grant no.                          |  |
| 4000137603/22/I-DT)   | LGr, NG                                |
| France, French Oceanographic Fleet (FOF)                                    | NMe                                    |
| France, ICOS (Integrated Carbon Observation System) France                  | NL                                     |
| France, Institut National des Sciences de l'Univers (INSU)                  | NMe                                    |
| France, Institut polaire français Paul-Emile Victor(IPEV)                   | NMe                                    |
| France, Institut de recherche français sur les ressources marines           | NMo                                    |
| (IFREMER)<br>France, Institut de Recherche pour le Développement (IRD)      | NMe                                    |
| rance, institut de Recherche pour le Développement (IRD)                    | NL                                     |





| Sorbonne Université)  |            |
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| · · · · · · · · · · · · · · · · · · ·   |            |
| Germany, Deutsche Forschungsgemeinschaft (DFG) under Germany's<br>Excellence Strategy – EXC 2037 'Climate, Climatic Change, and<br>Society' – Project Number: 390683824 | п          |
| Germany, Federal Ministry for Education and Research (BMBF)   | НСВ        |
| Germany, German Federal Ministry of Education and Research under  |            |
| project "DArgo2025" (03F0857C)  | TS         |
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| Germany, Helmholtz Young Investigator Group Marine Carbon and   |            |
| Ecosystem Feedbacks in the Earth System (MarESys), grant number   |            |
| VH-NG-1301  | JH, OG     |
| Germany, ICOS (Integrated Carbon Observation System) Germany  | НСВ        |
| Hapag-Lloyd   | TT, MG     |
| Ireland, Marine Institute   | мс         |
| Japan, Environment Research and Technology Development Fund of  |            |
| the Ministry of the Environment (JPMEERF21S20810)   | YN         |
| Japan, Global Environmental Research Coordination System, Ministry  |            |
| of the Environment (grant number E1751)   | SN, ST, TO |
| Japan, Environment Research and Technology Development Fund of  |            |
| the Ministry of the Environment (JPMEERF21S20800)   | HT         |
| Japan, Japan Meteorological Agency  | КК         |
| Kuehne + Nagel International AG   | TT, MG     |
| Mediterranean Shipping Company (MSc)  | TT, MG     |
| Monaco, Fondation Prince Albert II de Monaco  | TT, MG     |
| Monaco, Yacht Club de Monaco  | TT, MG     |
| Netherlands, ICOS (Integrated Carbon Observation System)  | WP         |
| Norway, Research Council of Norway (N-ICOS-2, grant no. 296012)   | AO, MB, IS |
| Norway, Norwegian Research Council (grant no. 270061)   | JS         |
| Sweden, ICOS (Integrated Carbon Observation System)   | AW         |
| Sweden, Swedish Meteorological and Hydrological Institute   | AW         |
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| UK Royal Society (grant no. RP\R1\191063)   | CLQ        |
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| NE/P021417/1)   | RW         |
| UK, Natural Environmental Research Council (NE/R016518/1)   | PIP        |
| UK, Natural Environment Research Council (NE/V01417X/1)   | MWJ        |
| UK, Royal Society: The European Space Agency OCEANFLUX projects   | JDS        |
| UK Royal Society (grant no. RP\R1\191063)   | CLQ        |
|   |            |
| USA, BIA Tribal Resilience  | CW         |
| USA, Cooperative Institute for Modeling the Earth System between  |            |
| the National Oceanic and Atmospheric Administration Geophysical   | LR         |

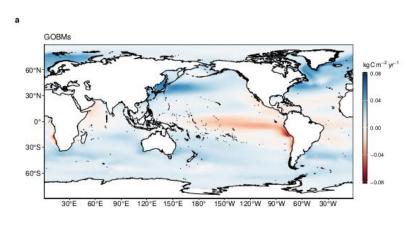




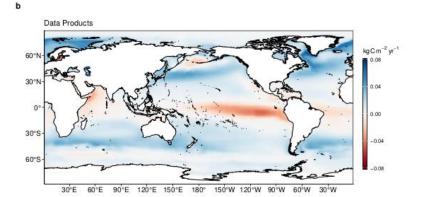
| Fluid Dynamics Laboratory and Princeton University and the High<br>Meadows Environmental Institute  |   |
|---|---|
| USA, Cooperative Institute for Climate, Ocean, & Ecosystem Studies  |   |
| (CIOCES) under NOAA Cooperative Agreement NA20OAR4320271  | ко  |
| USA, Department of Energy, Biological and Evironmental Research   | APW                                       |
| USA, Department of Energy, SciDac (DESC0012972)   | GCH, LPC                                  |
| USA, Energy Exascale Earth System Model (E3SM) project,   |   |
| Department of Energy, Office of Science, Office of Biological and   |   |
| Environmental Research  | GCH, LPC                                  |
| USA, EPA Indian General Assistance Program  | CW  |
| USA, NASA Carbon Monitoring System probram and OCO Science  |   |
| team program (80NM0018F0583).   | JL  |
| USA, NASA Interdisciplinary Research in Earth Science (IDS)   |   |
| (80NSSC17K0348)   | GCH, LPC, BP                              |
| USA, National Center for Atmospheric Research (NSF Cooperative  |   |
| Agreement No. 1852977)  | DK  |
| USA, National Oceanic and Atmospheric Administration, Ocean   |   |
| Acidification Program   | DP, RW, SRA, RAF, AJS, NMM                |
| USA, National Oceanic and Atmospheric Administration, Global  | DRM, CSw, NRB, CRodr, DP, RW, SRA,        |
| Ocean Monitoring and Observing Program  | RAF, AJS                                  |
|   |   |
| USA, National Science Foundation (grant number 1903722)   | HT  |
| USA, National Science Foundation (grant number 1903722)<br>USA, State of Alaska   | HT<br>NMM                                 |
|   |   |
| USA, State of Alaska  |   |
| USA, State of Alaska Computing resources  | NMM                                       |
| USA, State of Alaska<br>Computing resources<br>ADA HPC cluster at the University of East Anglia   | NMM                                       |
| USA, State of Alaska<br>Computing resources<br>ADA HPC cluster at the University of East Anglia<br>CAMS inversion was granted access to the HPC resources of TGCC<br>under the allocation A0110102201<br>Cheyenne supercomputer (doi:10.5065/D6RX99HX), were provided   | MWJ                                       |
| USA, State of Alaska<br>Computing resources<br>ADA HPC cluster at the University of East Anglia<br>CAMS inversion was granted access to the HPC resources of TGCC<br>under the allocation A0110102201<br>Cheyenne supercomputer (doi:10.5065/D6RX99HX), were provided<br>by the Computational and Information Systems Laboratory (CISL) at  | MWJ                                       |
| USA, State of Alaska<br>Computing resources<br>ADA HPC cluster at the University of East Anglia<br>CAMS inversion was granted access to the HPC resources of TGCC<br>under the allocation A0110102201<br>Cheyenne supercomputer (doi:10.5065/D6RX99HX), were provided<br>by the Computational and Information Systems Laboratory (CISL) at<br>NCAR  | MWJ                                       |
| USA, State of Alaska<br>Computing resources<br>ADA HPC cluster at the University of East Anglia<br>CAMS inversion was granted access to the HPC resources of TGCC<br>under the allocation A0110102201<br>Cheyenne supercomputer (doi:10.5065/D6RX99HX), were provided<br>by the Computational and Information Systems Laboratory (CISL) at<br>NCAR<br>HPC cluster Aether at the University of Bremen, financed by DFG   | NMM<br>MWJ<br>FC<br>DK                    |
| USA, State of Alaska<br>Computing resources<br>ADA HPC cluster at the University of East Anglia<br>CAMS inversion was granted access to the HPC resources of TGCC<br>under the allocation A0110102201<br>Cheyenne supercomputer (doi:10.5065/D6RX99HX), were provided<br>by the Computational and Information Systems Laboratory (CISL) at<br>NCAR  | NMM<br>MWJ<br>FC                          |
| USA, State of Alaska<br>Computing resources<br>ADA HPC cluster at the University of East Anglia<br>CAMS inversion was granted access to the HPC resources of TGCC<br>under the allocation A0110102201<br>Cheyenne supercomputer (doi:10.5065/D6RX99HX), were provided<br>by the Computational and Information Systems Laboratory (CISL) at<br>NCAR<br>HPC cluster Aether at the University of Bremen, financed by DFG   | NMM<br>MWJ<br>FC<br>DK                    |
| USA, State of Alaska<br>Computing resources<br>ADA HPC cluster at the University of East Anglia<br>CAMS inversion was granted access to the HPC resources of TGCC<br>under the allocation A0110102201<br>Cheyenne supercomputer (doi:10.5065/D6RX99HX), were provided<br>by the Computational and Information Systems Laboratory (CISL) at<br>NCAR<br>HPC cluster Aether at the University of Bremen, financed by DFG<br>within the scope of the Excellence Initiative  | NMM<br>MWJ<br>FC<br>DK<br>ITL             |
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| USA, State of Alaska<br>Computing resources<br>ADA HPC cluster at the University of East Anglia<br>CAMS inversion was granted access to the HPC resources of TGCC<br>under the allocation A0110102201<br>Cheyenne supercomputer (doi:10.5065/D6RX99HX), were provided<br>by the Computational and Information Systems Laboratory (CISL) at<br>NCAR<br>HPC cluster Aether at the University of Bremen, financed by DFG<br>within the scope of the Excellence Initiative<br>MRI (FUJITSU Server PRIMERGY CX2550M5)<br>NIES (SX-Aurora)                              | NMM<br>MWJ<br>FC<br>DK<br>ITL<br>YN<br>YN |
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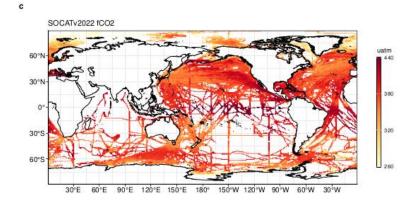


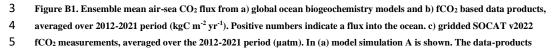




1 Appendix B. Supplementary Figures







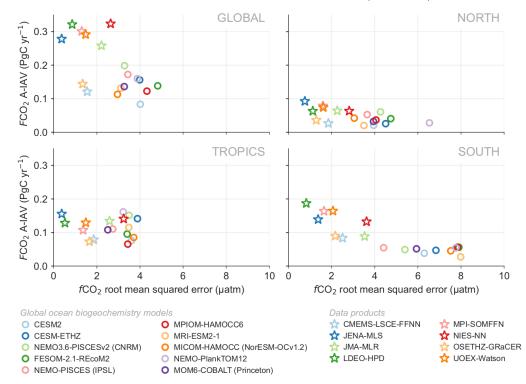




- 6 represent the contemporary flux, i.e. including outgassing of riverine carbon, which is estimated to amount to 0.65 GtC yr<sup>-1</sup>
- 7 globally.







# Evaluation metrics annual detrended time series (1990-2021)



10 Figure B2. Evaluation of the GOBMs and data products using the root mean squared error (RMSE) for the period 1990 to 2021,

 $\label{eq:constraint} 11 \qquad \text{between the individual surface ocean fCO}_2 \text{ mapping schemes and the SOCAT v2022 database. The y-axis shows the amplitude of}$ 

 $\label{eq:constraint} 12 \qquad \text{the interannual variability of the air-sea} \ CO_2 \ flux \ (A-IAV, taken as the standard deviation of the detrended annual time series.$ 

 $\label{eq:second} 13 \qquad \text{Results are presented for the globe, north (>30^\circ\text{N}), tropics (30^\circ\text{S}-30^\circ\text{N}), and south (<30^\circ\text{S}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{S}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{S}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{S}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{S}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{S}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend, circles) and a south (<30^\circ\text{N}) for the GOBMs (see legend) and a south (<30^\circ\text{N}) for the GOBMs (see legend) and a south (<30^\circ\text{N}) for the GOBMs (see legend) and a south (<30^\circ\text{N}) for the GOBMs (see legend) and a south (<30^\circ\text{N}) for the GOBMs (see legend) and a south (<30^\circ\text{N}) for the GOBMs (see legend) and a sout$ 

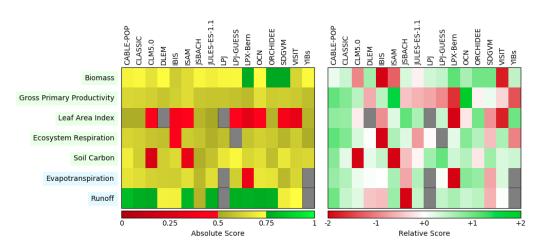
14 for the fCO<sub>2</sub>-based data products (star symbols). The fCO<sub>2</sub>-based data products use the SOCAT database and therefore are not

15 independent from the data (see section 2.4.1).

16





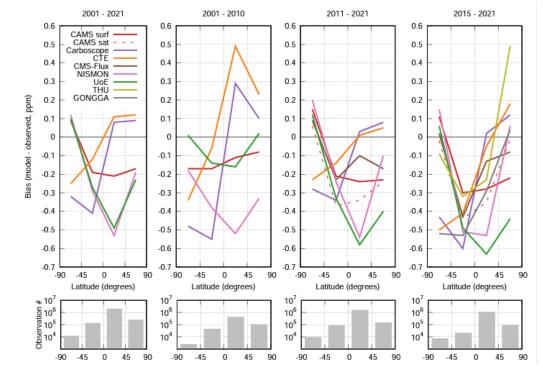


18

19 Figure B3. Evaluation of the DGVMs using the International Land Model Benchmarking system (ILAMB; Collier et al., 20 2018) (left) absolute skill scores and (right) skill scores relative to other models. The benchmarking is done with observations 21 for vegetation biomass (Saatchi et al., 2011; and GlobalCarbon unpublished data; Avitabile et al., 2016), GPP (Jung et al., 2010; Lasslop et al., 2010), leaf area index (De Kauwe et al., 2011; Myneni et al., 1997), ecosystem respiration (Jung et al., 22 23 2010; Lasslop et al., 2010), soil carbon (Hugelius et al., 2013; Todd-Brown et al., 2013), evapotranspiration (De Kauwe et al., 24 2011), and runoff (Dai and Trenberth, 2002). For each model-observation comparison a series of error metrics are 25 calculated, scores are then calculated as an exponential function of each error metric, finally for each variable the multiple 26 scores from different metrics and observational data sets are combined to give the overall variable scores shown in the left 27 panel. Overall variable scores increase from 0 to 1 with improvements in model performance. The set of error metrics vary 28 with data set and can include metrics based on the period mean, bias, root mean squared error, spatial distribution, 29 interannual variability and seasonal cycle. The relative skill score shown in the right panel is a Z-score, which indicates in 30 units of standard deviation the model scores relative to the multi-model mean score for a given variable. Grey boxes 31 represent missing model data. 32







33

Figure B4. Evaluation of the atmospheric inversion products. The mean of the model minus observations is shown for four latitude bands in four periods: (first panel) 2001-2021, (second panel) 2001-2010, (third panel) 2011-2021, (fourth panel) 2015-2021. The 9 systems are compared to independent CO2 measurements made onboard aircraft over many places of the world between 2 and 7 km above sea level. Aircraft measurements archived in the Cooperative Global Atmospheric Data Integration Project (Schuldt et al. 2021, Schuldt et al. 2022) from sites, campaigns or programs that have not been assimilated and cover at least 9 months (except for SH programs) between 2001 and 2021, have been used to compute the biases of the differences in four 45° latitude bins. Land and ocean data are used without distinction, and observation density

41 varies strongly with latitude and time as seen on the lower panels.





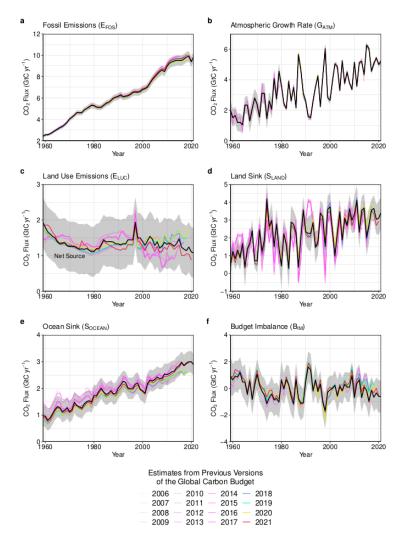
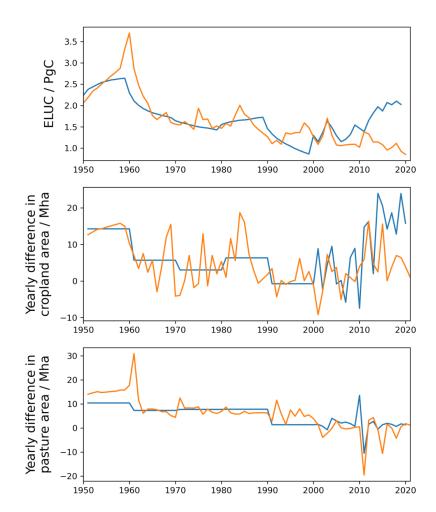


Figure B5. Comparison of the estimates of each component of the global carbon budget in this study (black line) with the estimates released annually by the GCP since 2006. Grey shading shows the uncertainty bounds representing  $\pm 1$  standard deviation of the current global carbon budget, based on the uncertainty assessments described in Appendix C. CO<sub>2</sub> emissions from (a) fossil CO<sub>2</sub> emissions (E<sub>FOS</sub>), and (b) land-use change (E<sub>LUC</sub>), as well as their partitioning among (c) the atmosphere (G<sub>ATM</sub>), (d) the land (S<sub>LAND</sub>), and (e) the ocean (S<sub>OCEAN</sub>). See legend for the corresponding years, and Tables 3 and A7 for references. The budget year corresponds to the year when the budget was first released. All values are in GtC yr<sup>-1</sup>.







49

Figure B6. Differences in the HYDE/LUH2 land-use forcing used for the global carbon budgets GCB2020 (Friedlingstein et al., 2021), and for GCB2021/GCB2022 (Friedlingstein et al., 2022a, Friedlingstein et al., 2022b). Shown are year-to-year
 changes in cropland area (middle panel) and pasture area (bottom panel). To illustrate the relevance of the update in the
 land-use forcing to the recent trends in E<sub>LUC</sub>, the top panel shows the land-use emission estimate from the bookkeeping model
 BLUE (original model output, i.e. excluding peat fire and drainage emissions).





### 56 Appendix C. Extended Methodology

#### 57 C.1 Methodology Fossil Fuel CO<sub>2</sub> emissions (E<sub>FOS</sub>)

### 58 C.1.1 Cement carbonation

59 From the moment it is created, cement begins to absorb CO<sub>2</sub> from the atmosphere, a process known as 'cement 60 carbonation'. We estimate this CO<sub>2</sub> sink, as the average of two studies in the literature (Cao et al., 2020; Guo et al., 61 2021). Both studies use the same model, developed by Xi et al. (2016), with different parameterisations and input data, 62 with the estimate of Guo and colleagues being a revision of Xi et al (2016). The trends of the two studies are very 63 similar. Modelling cement carbonation requires estimation of a large number of parameters, including the different 64 types of cement material in different countries, the lifetime of the structures before demolition, of cement waste after 65 demolition, and the volumetric properties of structures, among others (Xi et al., 2016). Lifetime is an important 66 parameter because demolition results in the exposure of new surfaces to the carbonation process. The main reasons for 67 differences between the two studies appear to be the assumed lifetimes of cement structures and the geographic 68 resolution, but the uncertainty bounds of the two studies overlap. In the present budget, we include the cement 69 carbonation carbon sink in the fossil CO2 emission component (EFOS).

## 70 C.1.2 Emissions embodied in goods and services

71 CDIAC, UNFCCC, and BP national emission statistics 'include greenhouse gas emissions and removals taking place 72 within national territory and offshore areas over which the country has jurisdiction' (Rypdal et al., 2006), and are called 73 territorial emission inventories. Consumption-based emission inventories allocate emissions to products that are 74 consumed within a country, and are conceptually calculated as the territorial emissions minus the 'embodied' territorial 75 emissions to produce exported products plus the emissions in other countries to produce imported products 76 (Consumption = Territorial - Exports + Imports). Consumption-based emission attribution results (e.g. Davis and 77 Caldeira, 2010) provide additional information to territorial-based emissions that can be used to understand emission 78 drivers (Hertwich and Peters, 2009) and quantify emission transfers by the trade of products between countries (Peters 79 et al., 2011b). The consumption-based emissions have the same global total, but reflect the trade-driven movement of 80 emissions across the Earth's surface in response to human activities. We estimate consumption-based emissions from 81 1990-2020 by enumerating the global supply chain using a global model of the economic relationships between 82 economic sectors within and between every country (Andrew and Peters, 2013; Peters et al., 2011a). Our analysis is 83 based on the economic and trade data from the Global Trade and Analysis Project (GTAP; Narayanan et al., 2015), and 84 we make detailed estimates for the years 1997 (GTAP version 5), 2001 (GTAP6), and 2004, 2007, 2011, and 2014 85 (GTAP10.0a), covering 57 sectors and 141 countries and regions. The detailed results are then extended into an annual 86 time series from 1990 to the latest year of the Gross Domestic Product (GDP) data (2020 in this budget), using GDP 87 data by expenditure in current exchange rate of US dollars (USD; from the UN National Accounts main Aggregrates 88 database; UN, 2021) and time series of trade data from GTAP (based on the methodology in Peters et al., 2011a). We 89 estimate the sector-level CO<sub>2</sub> emissions using the GTAP data and methodology, add the flaring and cement emissions 90 from our fossil CO2 dataset, and then scale the national totals (excluding bunker fuels) to match the emission estimates 91 from the carbon budget. We do not provide a separate uncertainty estimate for the consumption-based emissions, but





- 92 based on model comparisons and sensitivity analysis, they are unlikely to be significantly different than for the
- 93 territorial emission estimates (Peters et al., 2012a).

# 94 C.1.3 Uncertainty assessment for E<sub>FOS</sub>

- 95 We estimate the uncertainty of the global fossil CO2 emissions at  $\pm 5\%$  (scaled down from the published  $\pm 10\%$  at  $\pm 2\sigma$
- by to the use of  $\pm 1\sigma$  bounds reported here; Andres et al., 2012). This is consistent with a more detailed analysis of
- 97 uncertainty of  $\pm 8.4\%$  at  $\pm 2\sigma$  (Andres et al., 2014) and at the high-end of the range of  $\pm 5-10\%$  at  $\pm 2\sigma$  reported by
- 98 (Ballantyne et al., 2015). This includes an assessment of uncertainties in the amounts of fuel consumed, the carbon and
- 99 heat contents of fuels, and the combustion efficiency. While we consider a fixed uncertainty of  $\pm 5\%$  for all years, the
- 100 uncertainty as a percentage of emissions is growing with time because of the larger share of global emissions from
- 101 emerging economies and developing countries (Marland et al., 2009). Generally, emissions from mature economies
- 102 with good statistical processes have an uncertainty of only a few per cent (Marland, 2008), while emissions from
- 103 strongly developing economies such as China have uncertainties of around  $\pm 10\%$  (for  $\pm 1\sigma$ ; Gregg et al., 2008; Andres
- to et al., 2014). Uncertainties of emissions are likely to be mainly systematic errors related to underlying biases of energy
- 105 statistics and to the accounting method used by each country.

## 106 C.1.4 Growth rate in emissions

- 107 We report the annual growth rate in emissions for adjacent years (in percent per year) by calculating the difference
- between the two years and then normalising to the emissions in the first year: (EFOS(t0+1)-
- 109 EFOS(t0))/EFOS(t0)×100%. We apply a leap-year adjustment where relevant to ensure valid interpretations of annual
- 110 growth rates. This affects the growth rate by about 0.3% yr-1 (1/366) and causes calculated growth rates to go up
- approximately 0.3% if the first year is a leap year and down 0.3% if the second year is a leap year.
- 112 The relative growth rate of  $E_{FOS}$  over time periods of greater than one year can be rewritten using its logarithm
- 113 equivalent as follows:
- $114 \qquad \frac{1}{E_{FOS}} \frac{dE_{FOS}}{dt} = \frac{d(lnE_{FOS})}{dt}$
- 115 Here we calculate relative growth rates in emissions for multi-year periods (e.g. a decade) by fitting a linear trend to 116  $ln(E_{FOS})$  in Eq. (2), reported in percent per year.

(2)

#### 117 C.1.5 Emissions projection for 2022

- **118** To gain insight on emission trends for 2022, we provide an assessment of global fossil  $CO_2$  emissions,  $E_{FOS}$ , by
- 119 combining individual assessments of emissions for China, USA, the EU, and India (the four countries/regions with the
- 120 largest emissions), and the rest of the world.
- 121 The methods are specific to each country or region, as described in detail below.
- 122 China: We use a regression between monthly data for each fossil fuel and cement, and annual data for consumption of
- 123 fossil fuels / production of cement to project full-year growth in fossil fuel consumption and cement production. The
- 124 monthly data for each product consists of the following:
- Coal: Proprietary estimate for monthly consumption of main coal types, from SX Coal





| 126<br>127<br>128   | <ul> <li>Oil: Production data from the National Bureau of Statistics (NBS), plus net imports from the China Customs Administration (i.e., gross supply of oil, not including inventory changes)</li> <li>Natural gas: Same as for oil</li> </ul>  |
|---|---|
| 129   | Cement: Production data from NBS  |
| 130<br>131<br>132   | For oil, we use data for production and net imports of refined oil products rather than crude oil. This choice is made<br>because refined products are one step closer to actual consumption, and because crude oil can be subject to large<br>market-driven and strategic inventory changes that are not captured by available monthly data.   |
| 133<br>134<br>135<br>136<br>137<br>138<br>139<br>140        | For each fuel and cement, we make a Bayesian linear regression between year-on-year cumulative growth in supply (production for cement) and full-year growth in consumption (production for cement) from annual consumption data. In the regression model, the growth rate in annual consumption (production for cement) is modelled as a regression parameter multiplied by the cumulative year-on-year growth rate from the monthly data through July of each year for past years (through 2021). We use broad Gaussian distributions centered around 1 as priors for the ratios between annual and through-July growth rates. We then use the posteriors for the growth rates together with cumulative monthly supply/production data through July of 2022 to produce a posterior predictive distribution for the full-year growth rate for fossil fuel consumption / cement production in 2022.               |
| 141<br>142<br>143<br>144<br>145<br>146<br>147<br>148<br>149 | If the growth in supply/production through July were an unbiased estimate of the full-year growth in<br>consumption/production, the posterior distribution for the ratio between the monthly and annual growth rates would be<br>centered around 1. However, in practice the ratios are different from 1 (in most cases below 1). This is a result of<br>various biasing factors such as uneven evolution in the first and second half of each year, inventory changes that are<br>somewhat anti-correlated with production and net imports, differences in statistical coverage, and other factors that are<br>not captured in the monthly data.<br>For fossil fuels, the mean of the posterior distribution is used as the central estimate for the growth rate in 2022, while<br>the edges of a 68% credible interval (analogous to a 1-sigma confidence interval) are used for the upper and lower<br>bounds. |
| 150<br>151<br>152<br>153<br>154<br>155                      | For cement, the evolution from January to July has been highly atypical owing to the ongoing turmoil in the construction sector, and the results of the regression analysis are heavily biased by equally atypical but different dynamics in 2021. For this reason, we use an average of the results of the regression analysis and the plain growth in cement production through July 2022, since this results in a growth rate that seems more plausible and in line with where the cumulative cement production appears to be headed at the time of writing.   |
| 156<br>157<br>158<br>159<br>160<br>161<br>162               | USA: We use emissions estimated by the U.S. Energy Information Administration (EIA) in their Short-Term Energy<br>Outlook (STEO) for emissions from fossil fuels to get both YTD and a full year projection (EIA, 2022). The STEO also<br>includes a near-term forecast based on an energy forecasting model which is updated monthly (last update with<br>preliminary data through August 2022), and takes into account expected temperatures, household expenditures by fuel<br>type, energy markets, policies, and other effects. We combine this with our estimate of emissions from cement<br>production using the monthly U.S. cement clinker production data from USGS for January-June 2022, assuming<br>changes in cement production over the first part of the year apply throughout the year.  |





| 163 | India: We use monthly emissions estimates for India updated from Andrew (2020b) through July 2022. These  |
|-----|---|
| 164 | estimates are derived from many official monthly energy and other activity data sources to produce direct estimates of                                |
| 165 | national CO <sub>2</sub> emissions, without the use of proxies. Emissions from coal are then extended to August using a regression                    |
| 166 | relationship based on power generated from coal, coal dispatches by Coal India Ltd., the composite PMI, time, and days                                |
| 167 | per month. For the last 3-5 months of the year, each series is extrapolated assuming typical trends.  |
| 168 | EU: We use a refinement to the methods presented by Andrew (2021), deriving emissions from monthly energy data  |
| 169 | reported by Eurostat. Some data gaps are filled using data from the Joint Organisations Data Initiative (JODI, 2022).                                 |
| 170 | Sub-annual cement production data are limited, but data for Germany and Poland, the two largest producers, suggest a                                  |
| 171 | small decline. For fossil fuels this provides estimates through July. We extend coal emissions through August using a                                 |
| 172 | regression model built from generation of power from hard coal, power from brown coal, total power generation, and                                    |
| 173 | the number of working days in Germany and Poland, the two biggest coal consumers in the EU. These are then  |
| 174 | extended through the end of the year assuming typical trends. We extend oil emissions by building a regression model                                  |
| 175 | between our monthly CO <sub>2</sub> estimates and oil consumption reported by the EIA for Europe in its Short-Term Energy                             |
| 176 | Outlook (September edition), and then using this model with EIA's monthly forecasts. For natural gas, the strong                                      |
| 177 | seasonal signal allows the use of the bias-adjusted Holt-Winters exponential smoothing method (Chatfield, 1978).                                      |
| 178 | Rest of the world: We use the close relationship between the growth in GDP and the growth in emissions (Raupach et                                    |
| 179 | al., 2007) to project emissions for the current year. This is based on a simplified Kaya Identity, whereby $E_{FOS}$ (GtC yr <sup>-1</sup> )          |
| 180 | is decomposed by the product of GDP (USD yr <sup>-1</sup> ) and the fossil fuel carbon intensity of the economy ( $I_{FOS}$ ; GtC USD <sup>-1</sup> ) |
| 181 | as follows:   |

182 
$$E_{FOS} = GDP \times I_{FOS}$$

(3)

**183** Taking a time derivative of Equation (3) and rearranging gives:

$$184 \qquad \frac{1}{E_{FOS}} \frac{dE_{FOS}}{dt} = \frac{1}{GDP} \frac{dGDP}{dt} + \frac{1}{I_{FOS}} \frac{dI_{FOS}}{dt}$$
(4)

where the left-hand term is the relative growth rate of E<sub>FOS</sub>, and the right-hand terms are the relative growth rates of
 GDP and I<sub>FOS</sub>, respectively, which can simply be added linearly to give the overall growth rate.

187 The IFOS is based on GDP in constant PPP (Purchasing Power Parity) from the International Energy Agency (IEA) up to 188 2017 (IEA/OECD, 2019) and extended using the International Monetary Fund (IMF) growth rates through 2021 (IMF, 189 2022). Interannual variability in IFOS is the largest source of uncertainty in the GDP-based emissions projections. We 190 thus use the standard deviation of the annual IFOS for the period 2012-2021 as a measure of uncertainty, reflecting a 191  $\pm 1\sigma$  as in the rest of the carbon budget. For rest-of-world oil emissions growth, we use the global oil demand forecast 192 published by the EIA less our projections for the other four regions, and estimate uncertainty as the maximum absolute 193 difference over the period available for such forecasts using the specific monthly edition (e.g. August) compared to the 194 first estimate based on more solid data in the following year (April). 195 World: The global total is the sum of each of the countries and regions.





#### 197 C.2 Methodology CO<sub>2</sub> emissions from land-use, land-use change and forestry (E<sub>LUC</sub>)

198 The net CO2 flux from land-use, land-use change and forestry (ELUC, called land-use change emissions in the rest of the 199 text) includes CO<sub>2</sub> fluxes from deforestation, afforestation, logging and forest degradation (including harvest activity), 200 shifting cultivation (cycle of cutting forest for agriculture, then abandoning), and regrowth of forests following wood 201 harvest or abandonment of agriculture. Emissions from peat burning and drainage are added from external datasets (see 202 section C.2.1 below). Only some land-management activities are included in our land-use change emissions estimates 203 (Table A1). Some of these activities lead to emissions of  $CO_2$  to the atmosphere, while others lead to  $CO_2$  sinks. E<sub>LUC</sub> is 204 the net sum of emissions and removals due to all anthropogenic activities considered. Our annual estimate for 1960-205 2021 is provided as the average of results from three bookkeeping approaches (Section C.2.1 below): an estimate using 206 the Bookkeeping of Land Use Emissions model (Hansis et al., 2015; hereafter BLUE) and one using the compact Earth 207 system model OSCAR (Gasser et al., 2020), both BLUE and OSCAR being updated here to new land-use forcing 208 covering the time period until 2021, and an updated version of the estimate published by Houghton and Nassikas (2017) 209 (hereafter updated H&N2017). All three data sets are then extrapolated to provide a projection for 2022 (Section C.2.5 210 below). In addition, we use results from Dynamic Global Vegetation Models (DGVMs; see Section 2.5 and Table 4) to 211 help quantify the uncertainty in E<sub>LUC</sub> (Section C.2.4), and thus better characterise our understanding. Note that in this 212 budget, we use the scientific ELUC definition, which counts fluxes due to environmental changes on managed land 213 towards  $S_{LAND}$ , as opposed to the national greenhouse gas inventories under the UNFCCC, which include them in  $E_{LUC}$ 214 and thus often report smaller land-use emissions (Grassi et al., 2018; Petrescu et al., 2020). However, we provide a 215 methodology of mapping of the two approaches to each other further below (Section C.2.3).

#### 216 C.2.1 Bookkeeping models

217 Land-use change CO<sub>2</sub> emissions and uptake fluxes are calculated by three bookkeeping models. These are based on the 218 original bookkeeping approach of Houghton (2003) that keeps track of the carbon stored in vegetation and soils before 219 and after a land-use change (transitions between various natural vegetation types, croplands, and pastures). Literature-220 based response curves describe decay of vegetation and soil carbon, including transfer to product pools of different 221 lifetimes, as well as carbon uptake due to regrowth. In addition, the bookkeeping models represent long-term 222 degradation of primary forest as lowered standing vegetation and soil carbon stocks in secondary forests, and include 223 forest management practices such as wood harvests.

224 BLUE and the updated H&N2017 exclude land ecosystems' transient response to changes in climate, atmospheric CO2 225 and other environmental factors, and base the carbon densities on contemporary data from literature and inventory data. 226 Since carbon densities thus remain fixed over time, the additional sink capacity that ecosystems provide in response to 227 CO2-fertilisation and some other environmental changes is not captured by these models (Pongratz et al., 2014). On the 228 contrary, OSCAR includes this transient response, and it follows a theoretical framework (Gasser and Ciais, 2013) that 229 allows separating bookkeeping land-use emissions and the loss of additional sink capacity. Only the former is included 230 here, while the latter is discussed in Appendix D4. The bookkeeping models differ in (1) computational units (spatially 231 explicit treatment of land-use change for BLUE, country-level for the updated H&N2017 and OSCAR), (2) processes 232 represented (see Table A1), and (3) carbon densities assigned to vegetation and soil of each vegetation type (literature-233 based for the updated H&N2017 and BLUE, calibrated to DGVMs for OSCAR). A notable difference between models 234 exists with respect to the treatment of shifting cultivation. The update of H&N2017, introduced for the GCB2021 235 (Friedlingstein et al., 2022) changed the approach over the earlier H&N2017 version: H&N2017 had assumed the





236 "excess loss" of tropical forests (i.e., when FRA indicated a forest loss larger than the increase in agricultural areas 237 from FAO) resulted from converting forests to croplands at the same time older croplands were abandoned. Those 238 abandoned croplands began to recover to forests after 15 years. The updated H&N2017 now assumes that forest loss in 239 excess of increases in cropland and pastures represented an increase in shifting cultivation. When the excess loss of 240 forests was negative, it was assumed that shifting cultivation was returned to forest. Historical areas in shifting 241 cultivation were extrapolated taking into account country-based estimates of areas in fallow in 1980 (FAO/UNEP, 242 1981) and expert opinion (from Heinimann et al., 2017). In contrast, the BLUE and OSCAR models include sub-grid-243 scale transitions between all vegetation types. Furthermore, the updated H&N2017 assume conversion of natural 244 grasslands to pasture, while BLUE and OSCAR allocate pasture proportionally on all natural vegetation that exists in a 245 grid-cell. This is one reason for generally higher emissions in BLUE and OSCAR. Bookkeeping models do not directly 246 capture carbon emissions from peat fires, which can create large emissions and interannual variability due to synergies 247 of land-use and climate variability in Southeast Asia, particularly during El-Niño events, nor emissions from the 248 organic layers of drained peat soils. To correct for this, we add peat fire emissions based on the Global Fire Emission 249 Database (GFED4s; van der Werf et al., 2017) to the bookkeeping models' output. As these satellite-derived estimates 250 start in 1997 only, we follow the approach by Houghton and Nassikas (2017) for earlier years, which ramps up from 251 zero emissions in 1980 to 0.04 Pg C yr 1 in 1996, reflecting the onset of major clearing of peatlands in equatorial 252 Southeast Asia in the 1980s. Similarly, we add estimates of peat drainage emissions. In recent years, more peat 253 drainage estimates that provide spatially explicit data have become available, and we thus extended the number of peat 254 drainage datasets considered: We employ FAO peat drainage emissions 1990-2019 from croplands and grasslands 255 (Conchedda and Tubiello, 2020), peat drainage emissions 1700-2010 from simulations with the DGVM ORCHIDEE-256 PEAT (Qiu et al., 2021), and peat drainage emissions 1701-2021 from simulations with the DGVM LPX-Bern (Lienert 257 and Joos, 2018; Müller and Joos, 2021) applying the updated LUH2 forcing as also used by BLUE, OSCAR and the 258 DGVMs. We extrapolate the FAO data to 1850-2021 by keeping the post-2019 emissions constant at 2019 levels, by 259 linearly increasing tropical drainage emissions between 1980 and 1990 starting from 0 GtC yr-1 in 1980, consistent 260 with H&N2017's assumption (Houghton and Nassikas, 2017), and by keeping pre-1990 emissions from the often old 261 drained areas of the extra-tropics constant at 1990 emission levels. ORCHIDEE-PEAT data are extrapolated to 2011-262 2021 by replicating the average emissions in 2000-2010 (pers. comm. C. Qiu). Further, ORCHIDEE-PEAT only 263 provides peat drainage emissions north of 30°N, and thus we fill the regions south of 30°N by the average peat drainage 264 emissions from FAO and LPX-Bern. The average of the carbon emission estimates by the three different peat drainage 265 dataset is added to the bookkeeping models to obtain net ELUC and gross sources. 266 The three bookkeeping estimates used in this study differ with respect to the land-use change data used to drive the 267 models. The updated H&N2017 base their estimates directly on the Forest Resource Assessment of the FAO which 268 provides statistics on forest-area change and management at intervals of five years currently updated until 2020 (FAO, 269 2020). The data is based on country reporting to FAO and may include remote-sensing information in more recent 270 assessments. Changes in land-use other than forests are based on annual, national changes in cropland and pasture areas 271 reported by FAO (FAOSTAT, 2021). On the other hand, BLUE uses the harmonised land-use change data LUH2-272 GCB2022 covering the entire 850-2021 period (an update to the previously released LUH2 v2h dataset; Hurtt et al.,

- 273 2017; Hurtt et al., 2020), which was also used as input to the DGVMs (Section C.2.2). It describes land-use change,
- also based on the FAO data as described in Section C.2.2 as well as the HYDE3.3 dataset (Klein Goldewijk et al.,
- 275 2017a, 2017b), but provided at a quarter-degree spatial resolution, considering sub-grid-scale transitions between





276 primary forest, secondary non-forest, secondary non-forest, cropland, pasture, rangeland, and urban land 277 (Hurtt et al., 2020; Chini et al., 2021). LUH2-GCB2022 provides a distinction between rangelands and pasture, based 278 on inputs from HYDE. To constrain the models' interpretation on whether rangeland implies the original natural 279 vegetation to be transformed to grassland or not (e.g., browsing on shrubland), a forest mask was provided with LUH2-280 GCB2021; forest is assumed to be transformed to grasslands, while other natural vegetation remains (in case of 281 secondary vegetation) or is degraded from primary to secondary vegetation (Ma et al., 2020). This is implemented in 282 BLUE. OSCAR was run with both LUH2-GCB2022 and FAO/FRA (as used with the updated H&N2017), where the 283 drivers of the latter were linearly extrapolated to 2021 using their 2015-2020 trends. The best-guess OSCAR estimate 284 used in our study is a combination of results for LUH2-GCB2022 and FAO/FRA land-use data and a large number of 285 perturbed parameter simulations weighted against a constraint (the cumulative SLAND over 1960-2020 of last year's 286 GCB). As the record of the updated H&N2017 ends in 2020, we extend it to 2021 by adding the difference of the 287 emissions from tropical deforestation and degradation, peat drainage, and peat fire between 2020 and 2021 to the 288 model's estimate for 2020 (i.e. considering the yearly anomalies of the emissions from tropical deforestation and 289 degradation, peat drainage, and peat fire). The same method is applied to all three bookkeeping estimates to provide a 290 projection for 2022.

For E<sub>LUC</sub> from 1850 onwards we average the estimates from BLUE, the updated H&N2017 and OSCAR. For the
cumulative numbers starting 1750 an average of four earlier publications is added (30 ± 20 PgC 1750-1850, rounded to
nearest 5; Le Quéré et al., 2016).

294 We provide estimates of the gross land use change fluxes from which the reported net land-use change flux,  $E_{LUC}$ , is 295 derived as a sum. Gross fluxes are derived internally by the three bookkeeping models: Gross emissions stem from 296 decaying material left dead on site and from products after clearing of natural vegetation for agricultural purposes or 297 wood harvesting, emissions from peat drainage and peat burning, and, for BLUE, additionally from degradation from 298 primary to secondary land through usage of natural vegetation as rangeland. Gross removals stem from regrowth after 299 agricultural abandonment and wood harvesting. Gross fluxes for the updated H&N2017 for 2020 and for the 2022 300 projection of all three models were calculated by the change in emissions from tropical deforestation and degradation 301 and peat burning and drainage as described for the net ELUC above: As tropical deforestation and degradation and peat 302 burning and drainage all only lead to gross emissions to the atmosphere, only gross (and net) emissions are adjusted this 303 way, while gross sinks are assumed to remain constant over the previous year. .

This year, we provide an additional split of the net E<sub>LUC</sub> into component fluxes to better identify reasons for divergence between bookkeeping estimates and to give more insight into the drivers of sources and sinks. This split distinguishes between fluxes from deforestation (including due to shifting cultivation), fluxes from organic soils (i.e., peat drainage and fires), fluxes on forests (slash and product decay following wood harvesting; regrowth associated with wood harvesting or after abandonment, including reforestation and in shifting cultivation cycles; afforestation) and fluxes associated with all other transitions.

## 310 C.2.2 Dynamic Global Vegetation Models (DGVMs)

Land-use change  $CO_2$  emissions have also been estimated using an ensemble of 16 DGVMs simulations. The DGVMs account for deforestation and regrowth, the most important components of  $E_{LUC}$ , but they do not represent all processes

313 resulting directly from human activities on land (Table A1). All DGVMs represent processes of vegetation growth and





- mortality, as well as decomposition of dead organic matter associated with natural cycles, and include the vegetation
   and soil carbon response to increasing atmospheric CO<sub>2</sub> concentration and to climate variability and change. Most
   models explicitly simulate the coupling of carbon and nitrogen cycles and account for atmospheric N deposition and N
- fertilisers (Table A1). The DGVMs are independent from the other budget terms except for their use of atmospheric
- **318** CO<sub>2</sub> concentration to calculate the fertilisation effect of CO<sub>2</sub> on plant photosynthesis.
- All DGVMs use the LUH2-GCB2022 dataset as input, which includes the HYDE cropland/grazing land dataset (Klein
   Goldewijk et al., 2017a, 2017b), and additional information on land-cover transitions and wood harvest. DGVMs use
- annual, half-degree (regridded from 5 minute resolution), fractional data on cropland and pasture from HYDE3.3.

322 DGVMs that do not simulate subgrid scale transitions (i.e., net land-use emissions; see Table A1) used the HYDE 323 information on agricultural area change. For all countries, with the exception of Brazil and the Democratic Republic of 324 the Congo (DRC), these data are based on the available annual FAO statistics of change in agricultural land area 325 available from 1961 up to and including 2017. The FAO retrospectively revised their reporting for DRC, which was 326 newly available until 2020. In addition to FAO country-level statistics the HYDE3.3 cropland/grazing land dataset is 327 constrained spatially based on multi-year satellite land cover maps from ESA CCI LC (see below). . After the year 328 2017, LUH2 extrapolates, on a gridcell-basis, the cropland, pasture, and urban data linearly based on the trend over the 329 previous 5 years, to generate data until the year 2021. This extrapolation methodology is not appropriate for countries 330 which have experienced recent rapid changes in the rate of land-use change, e.g. Brazil which has experienced a recent 331 upturn in deforestation. Hence, for Brazil we replace FAO state-level data for cropland and grazing land in HYDE by 332 those from in-country land cover dataset MapBiomas (collection 6) for 1985-2020 (Souza et al. 2020). ESA-CCI is 333 used to spatially disaggregate as described below. Similarly, an estimate for the year 2021 is based on the MapBiomas 334 trend 2015-2020. The pre-1985 period is scaled with the per capita numbers from 1985 from MapBiomas, so this 335 transition is smooth.

HYDE uses satellite imagery from ESA-CCI from 1992 – 2018 for more detailed yearly allocation of cropland and
grazing land, with the ESA area data scaled to match the FAO annual totals at country-level. The original 300 metre
spatial resolution data from ESA was aggregated to a 5 arc minute resolution according to the classification scheme as
described in Klein Goldewijk et al (2017a).

340 DGVMs that simulate subgrid scale transitions (i.e., gross land-use emissions; see Table A1) use more detailed land use 341 transition and wood harvest information from the LUH2-GCB2022 data set. LUH2-GCB2022 is an update of the more 342 comprehensive harmonised land-use data set (Hurtt et al., 2020), that further includes fractional data on primary and 343 secondary forest vegetation, as well as all underlying transitions between land-use states (850-2020; Hurtt et al., 2011, 344 2017, 2020; Chini et al., 2021; Table A1). This data set is of quarter degree fractional areas of land-use states and all 345 transitions between those states, including a new wood harvest reconstruction, new representation of shifting 346 cultivation, crop rotations, management information including irrigation and fertiliser application. The land-use states 347 include five different crop types in addition to splitting grazing land into managed pasture and rangeland. Wood harvest 348 patterns are constrained with Landsat-based tree cover loss data (Hansen et al. 2013). Updates of LUH2-GCB2022 over 349 last year's version (LUH2-GCB2021) are using the most recent HYDE release (covering the time period up to 2017, 350 revision to Brazil and DRC as described above). We use the same FAO wood harvest data as last year for all dataset years from 1961 to 2019, and extrapolate to the year 2022. The HYDE3.3 population data is also used to extend the 351

352 wood harvest time series back in time. Other wood harvest inputs (for years prior to 1961) remain the same in LUH2.





- 353 These updates in the land-use forcing are shown in comparison to the more pronounced version change from the 354 GCB2020 (Friedlingstein et al., 2020) to GCB2021, which was discussed in Friedlingstein et al. (2022a) in Figure B6 355 and their relevance for land-use emissions discussed in Section 3.2.2. DGVMs implement land-use change differently 356 (e.g., an increased cropland fraction in a grid cell can either be at the expense of grassland or shrubs, or forest, the latter 357 resulting in deforestation; land cover fractions of the non-agricultural land differ between models). Similarly, model-358 specific assumptions are applied to convert deforested biomass or deforested area, and other forest product pools into 359 carbon, and different choices are made regarding the allocation of rangelands as natural vegetation or pastures. 360 The difference between two DGVMs simulations (See Section C4.1 below), one forced with historical changes in land-361 use and a second with time-invariant pre-industrial land cover and pre-industrial wood harvest rates, allows 362 quantification of the dynamic evolution of vegetation biomass and soil carbon pools in response to land-use change in 363 each model (E<sub>LUC</sub>). Using the difference between these two DGVMs simulations to diagnose E<sub>LUC</sub> means the DGVMs 364 account for the loss of additional sink capacity (around  $0.4 \pm 0.3$  GtC yr-1; see Section 2.7.4, Appendix D4), while the 365 bookkeeping models do not.
- $\label{eq:states} \textbf{As a criterion for inclusion in this carbon budget, we only retain models that simulate a positive E_{LUC} during the 1990s,$
- as assessed in the IPCC AR4 (Denman et al., 2007) and AR5 (Ciais et al., 2013). All DGVMs met this criterion,
- $\label{eq:stable} 368 \qquad although one model was not included in the E_{LUC} estimate from DGVMs as it exhibited a spurious response to the$
- transient land cover change forcing after its initial spin-up.

### 370 C.2.3 Mapping of national GHG inventory data to ELUC

371 An approach was implemented to reconcile the large gap between ELUC from bookkeeping models and land use, land-372 use change and forestry (LULUCF) from national GHG Inventories (NGHGI) (see Tab. A8). This gap is due to 373 different approaches to calculating "anthropogenic" CO2 fluxes related to land-use change and land management 374 (Grassi et al. 2018). In particular, the land sinks due to environmental change on managed lands are treated as non-375 anthropogenic in the global carbon budget, while they are generally considered as anthropogenic in NGHGIs ("indirect 376 anthropogenic fluxes"; Eggleston et al., 2006). Building on previous studies (Grassi et al. 2021), the approach 377 implemented here adds the DGVMs estimates of CO2 fluxes due to environmental change from countries' managed 378 forest area (part of the SLAND) to the original ELUC flux. This sum is expected to be conceptually more comparable to 379 LULUCF than simply E<sub>LUC</sub>.

380 ELUC data are taken from bookkeeping models, in line with the global carbon budget approach. To determine  $S_{LAND}$  on 381 managed forest, the following steps were taken: Spatially gridded data of "natural" forest NBP (SLAND i.e., due to 382 environmental change and excluding land use change fluxes) were obtained with S2 runs from DGVMs up to 2021 383 from the TRENDY v11 dataset. Results were first masked with a forest map that is based on Hansen (Hansen et 384 al.2013) tree cover data. To do this conversion ("tree" cover to "forest" cover), we exclude gridcells with less than 20% 385 tree cover and isolated pixels with maximum connectivity less than 0.5 ha following the FAO definition of forest. 386 Forest NBP are then further masked with the "intact" forest map for the year 2013, i.e. forest areas characterised by no 387 remotely detected signs of human activity (Potapov et al. 2017). This way, we obtained the SLAND in "intact" and 388 "non-intact" forest area, which previous studies (Grassi et al. 2021) indicated to be a good proxy, respectively, for 389 "unmanaged" and "managed" forest area in the NGHGI. Note that only 4 models (CABLE-POP, CLASSIC, JSBACH 390 and YIBs) had forest NBP at grid cell level. For the other DGVMs, when a grid cell had forest, all the NBP was





391 allocated to forest. However, since S2 simulations use pre-industrial forest cover masks that are at least 20% larger than

- 392 today's forest (Hurtt et al. 2020), we corrected this NBP by a ratio between observed (based on Hansen) and prescribed
- 393 (from DGVMs) forest cover. This ratio is calculated for each individual DGVM that provides information on prescribed
- 394 forest cover (LPX-Bern, OCN, JULES, VISIT, VISIT-NIES, SDGVM). For the others (IBIS, CLM5.0, ORCHIDEE,
- 395 ISAM, DLEM, LPJ-GUESS) a common ratio (median ratio of all the 10 models that provide information on prescribed
- 396 forest cover) is used. The details of the method used are explained here:
- 397 https://github.com/RamAlkama/LandCarbonBudget\_IntactAndNonIntactForest

398 LULUCF data from NGHGIs are from Grassi et al. (2022a). While Annex I countries report a complete time series 399 1990-2020, for Non-Annex I countries gap-filling was applied through linear interpolation between two points and/or 400 through extrapolation backward (till 1990) and forward (till 2020) using the single closest available data. For all 401 countries, the year 2021 is assumed to be equal to 2020.. This data includes all CO2 fluxes from land considered 402 managed, which in principle encompasses all land uses (forest land, cropland, grassland, wetlands, settlements, and 403 other land), changes among them, emissions from organic soils and from fires. In practice, although almost all Annex I 404 countries report all land uses, many non-Annex I countries report only on deforestation and forest land, and only few 405 countries report on other land uses. In most cases, NGHGI include most of the natural response to recent environmental 406 change, because they use direct observations (e.g., national forest inventories) that do not allow separating direct and 407 indirect anthropogenic effects (Eggleston et al., 2006).

408 To provide additional, largely independent assessments of fluxes on unmanaged vs managed lands, we include a 409 DGVM that allows diagnosing fluxes from unmanaged vs managed lands by tracking vegetation cohorts of different 410 ages separately. This model, ORCHIDEE-MICT (Yue et al., 2018), was run using the same LUH2 forcing as the 411 DGVMs used in this budget (Section 2.5) and the bookkeeping models BLUE and OSCAR (Section 2.2). Old-aged 412 forest was classified as primary forest after a certain threshold of carbon density was reached again, and the model-413

internal distinction between primary and secondary forest used as proxies for unmanaged vs managed forests;

414 agricultural lands are added to the latter to arrive at total managed land.

415 Tab. A8 shows the resulting mapping of global carbon cycle models' land flux definitions to that of the NGHGI 416 (discussed in Section 3.2.2). ORCHIDEE-MICT estimates for SLAND on intact forests are expected to be higher than 417 based on DGVMs in combination with the NGHGI managed/unmanaged forest data because the unmanaged forest 418 area, with about 27 mio km2, is estimated to be substantially larger by ORCHIDEE-MICT than, with less than 10 mio 419 km2, by the NGHGI, while managed forest area is estimated to be smaller (22 compared to 32 mio km2). Related to 420 this, ELUC plus SLAND on non-intact lands is a larger source estimated by ORCHIDEE-MICT compared to NGHGI. We 421 also show as comparison FAOSTAT emissions totals (FAO, 2021), which include emissions from net forest conversion 422 and fluxes on forest land (Tubiello et al., 2021) as well as CO2 emissions from peat drainage and peat fires. The 2021 423 data was estimated by including actual 2021 estimates for peatlands drainage and fire and a carry forward from 2020 to 424 2021 for the forest land stock change. The FAO data shows a global source of 0.24 GtC yr<sup>-1</sup> averaged over 2012-2021, 425 in contrast to the sink of -0.54 GtC yr<sup>1</sup> of the gap-filled NGHGI data. Most of this difference is attributable to different 426 scopes: a focus on carbon fluxes for the NGHGI and a focus on area and biomass for FAO. In particular, the NGHGI 427 data includes a larger forest sink for non-Annex 1 countries resulting from a more complete coverage of non-biomass 428 carbon pools and non-forest land uses. NGHGI and FAO data also differ in terms of underlying data on forest land 429 (Grassi et al., 2022a).





## 430 C.2.4 Uncertainty assessment for ELUC

431 Differences between the bookkeeping models and DGVMs models originate from three main sources: the different 432 methodologies, which among others lead to inclusion of the loss of additional sink capacity in DGVMs (see Appendix 433 D1.4), the underlying land-use/land cover data set, and the different processes represented (Table A1). We examine the 434 results from the DGVMs models and of the bookkeeping method and use the resulting variations as a way to 435 characterise the uncertainty in ELUC. 436 Despite these differences, the ELUC estimate from the DGVMs multi-model mean is consistent with the average of the 437 emissions from the bookkeeping models (Table 5). However there are large differences among individual DGVMs 438 (standard deviation at around 0.5 GtC yr<sup>-1</sup>; Table 5), between the bookkeeping estimates (average difference 1850-2020) 439 BLUE-updated H&N2017 of 0.8 GtC yr<sup>-1</sup>, BLUE-OSCAR of 0.4 GtC yr<sup>-1</sup>, OSCAR-updated H&N2017 of 0.3 GtC yr<sup>-1</sup> 440 1), and between the updated estimate of H&N2017 and its previous model version (Houghton et al., 2012). A factorial 441 analysis of differences between BLUE and H&N2017 attributed them particularly to differences in carbon densities 442 between natural and managed vegetation or primary and secondary vegetation (Bastos et al., 2021). Earlier studies additionally showed the relevance of the different land-use forcing as applied (in updated versions) also in the current 443 444 study (Gasser et al., 2020). Ganzenmüller et al. (2022) recently showed that  $E_{LUC}$  estimates with BLUE are

substantially smaller when the model is driven by a new high-resolution land-use dataset (HILDA+). They identified

shifting cultivation and the way it is implemented in LUH2 as a main reason for this divergence. They further showedthat a higher spatial resolution reduces the estimates of both sources and sinks because successive transitions are not

adequately represented at coarser resolution, which has the effect that—despite capturing the same extent of transition
 areas—overall less area remains pristine at the coarser compared to the higher resolution.

The uncertainty in  $E_{LUC}$  of  $\pm 0.7$  GtC yr<sup>-1</sup> reflects our best value judgement that there is at least 68% chance ( $\pm 1\sigma$ ) that the true land-use change emission lies within the given range, for the range of processes considered here. Prior to the year 1959, the uncertainty in  $E_{LUC}$  was taken from the standard deviation of the DGVMs. We assign low confidence to the annual estimates of  $E_{LUC}$  because of the inconsistencies among estimates and of the difficulties to quantify some of the processes in DGVMs.

#### 455 C.2.5 Emissions projections for ELUC

We project the 2022 land-use emissions for BLUE, the updated H&N2017 and OSCAR, starting from their estimates
for 2021 assuming unaltered peat drainage, which has low interannual variability, and the highly variable emissions
from peat fires, tropical deforestation and degradation as estimated using active fire data (MCD14ML; Giglio et al.,
2016). Those latter scale almost linearly with GFED over large areas (van der Werf et al., 2017), and thus allows for
tracking fire emissions in deforestation and tropical peat zones in near-real time.

461

## 462 C.3 Methodology Ocean CO<sub>2</sub> sink

#### 463 C.3.1 Observation-based estimates

We primarily use the observational constraints assessed by IPCC of a mean ocean  $CO_2$  sink of  $2.2 \pm 0.7$  GtC yr<sup>-1</sup> for the 1990s (90% confidence interval; Ciais et al., 2013) to verify that the GOBMs provide a realistic assessment of S<sub>OCEAN</sub>.





| 466 | This is based on indirect observations with seven different methodologies and their uncertainties, and further using                                   |
|-----|--|
| 467 | three of these methods that are deemed most reliable for the assessment of this quantity (Denman et al., 2007; Ciais et                                |
| 468 | al., 2013). The observation-based estimates use the ocean/land $CO_2$ sink partitioning from observed atmospheric $CO_2$                               |
| 469 | and O2/N2 concentration trends (Manning and Keeling, 2006; Keeling and Manning, 2014), an oceanic inversion  |
| 470 | method constrained by ocean biogeochemistry data (Mikaloff Fletcher et al., 2006), and a method based on penetration                                   |
| 471 | time scale for chlorofluorocarbons (McNeil et al., 2003). The IPCC estimate of 2.2 GtC yr <sup>-1</sup> for the 1990s is consistent                    |
| 472 | with a range of methods (Wanninkhof et al., 2013). We refrain from using the IPCC estimates for the 2000s ( $2.3 \pm 0.7$                              |
| 473 | GtC yr <sup>-1</sup> ), and the period 2002-2011 (2.4 $\pm$ 0.7 GtC yr <sup>-1</sup> , Ciais et al., 2013) as these are based on trends derived mainly |
| 474 | from models and one data-product (Ciais et al., 2013). Additional constraints summarised in AR6 (Canadell et al.,                                      |
| 475 | 2021) are the interior ocean anthropogenic carbon change (Gruber et al., 2019) and ocean sink estimate from  |
| 476 | atmospheric $CO_2$ and $O_2/N_2$ (Tohjima et al., 2019) which are used for model evaluation and discussion, respectively.                              |
| 477 | We also use eight estimates of the ocean CO <sub>2</sub> sink and its variability based on surface ocean fCO <sub>2</sub> maps obtained by the         |
| 478 | interpolation of surface ocean fCO2 measurements from 1990 onwards due to severe restriction in data availability prior                                |
| 479 | to 1990 (Figure 10). These estimates differ in many respects: they use different maps of surface fCO <sub>2</sub> , different                          |
| 480 | atmospheric CO <sub>2</sub> concentrations, wind products and different gas-exchange formulations as specified in Table A3. We                         |
| 481 | refer to them as fCO2-based flux estimates. The measurements underlying the surface fCO2 maps are from the Surface                                     |
| 482 | Ocean CO2 Atlas version 2022 (SOCATv2022; Bakker et al., 2022), which is an update of version 3 (Bakker et al.,  |
| 483 | 2016) and contains quality-controlled data through 2021 (see data attribution Table A5). Each of the estimates uses a                                  |
| 484 | different method to then map the SOCAT v2022 data to the global ocean. The methods include a data-driven diagnostic                                    |
| 485 | method combined with a multi linear regression approach to extend back to 1957 (Rödenbeck et al., 2022; referred to                                    |
| 486 | here as Jena-MLS), three neural network models (Landschützer et al., 2014; referred to as MPI-SOMFFN; Chau et al.,                                     |
| 487 | 2022; Copernicus Marine Environment Monitoring Service, referred to here as CMEMS-LSCE-FFNN; and Zeng et al.,  |
| 488 | 2014; referred to as NIES-NN), one cluster regression approaches (Gregor and Gruber, 2021, referred to as OS-ETHZ-                                     |
| 489 | GRaCER), and a multi-linear regression method (Iida et al., 2021; referred to as JMA-MLR), and one method that   |
| 490 | relates the fCO2 misfit between GOBMs and SOCAT to environmental predictors using the extreme gradient boosting  |
| 491 | method (Gloege et al., 2022). The ensemble mean of the fCO2-based flux estimates is calculated from these seven  |
| 492 | mapping methods. Further, we show the flux estimate of Watson et al. (2020) who also use the MPI-SOMFFN method   |
| 493 | to map the adjusted fCO <sub>2</sub> data to the globe, but resulting in a substantially larger ocean sink estimate, owing to a                        |
| 494 | number of adjustments they applied to the surface ocean fCO2 data. Concretely, these authors adjusted the SOCAT  |
| 495 | $fCO_2$ downward to account for differences in temperature between the depth of the ship intake and the relevant depth                                 |
| 496 | right near the surface, and included a further adjustment to account for the cool surface skin temperature effect. The                                 |
| 497 | Watson et al. flux estimate hence differs from the others by their choice of adjusting the flux to a cool, salty ocean                                 |
| 498 | surface skin. Watson et al. (2020) showed that this temperature adjustment leads to an upward correction of the ocean                                  |
| 499 | carbon sink, up to 0.9 GtC yr <sup>-1</sup> , that, if correct, should be applied to all fCO <sub>2</sub> -based flux estimates. A reduction of this   |
| 500 | adjustment to 0.6 GtC yr <sup>-1</sup> was proposed by Dong et al. (2022). The impact of the cool skin effect on air-sea CO <sub>2</sub> flux is       |
| 501 | based on established understanding of temperature gradients (as discussed by Goddijn-Murphy et al 2015), and   |
| 502 | laboratory observations (Jähne and Haussecker, 1998; Jähne, 2019), but in situ field observational evidence is lacking                                 |
| 503 | (Dong et al., 2022). The Watson et al flux estimate presented here is therefore not included in the ensemble mean of the                               |
| 504 | fCO2-based flux estimates. This choice will be re-evaluated in upcoming budgets based on further lines of evidence.                                    |
|     |  |





- 505 Typically, data products do not cover the entire ocean due to missing coastal oceans and sea ice cover. The  $CO_2$  flux
- $\label{eq:solution} 506 \qquad \text{from each fCO}_2\text{-based product is already at or above 99\% coverage of the ice-free ocean surface area in two products}$
- 507 (Jena-MLS, OS-ETHZ-GRaCER), and filled by the data-provider in three products (using Fay et al., 2021a, method for
- 508 JMA-MLR and LDEO-HPD; and Landschützer et al., 2020, methodology for MPI-SOMFFN). The products that
- remained below 99% coverage of the ice-free ocean (CMEMS-LSCE-FFNN, MPI-SOMFFN, NIES-NN, UOx-Watson)
  were scaled by the following procedure.
- 511 In previous versions of the GCB, the missing areas were accounted for by scaling the globally integrated fluxes by the
- 512 fraction of the global ocean coverage (361.9e6 km<sup>2</sup> based on ETOPO1, Amante and Eakins, 2009; Eakins and Sharman,
- 513 2010) with the area covered by the CO<sub>2</sub> flux predictions. This approach may lead to unnecessary scaling when the
- 514 majority of the missing data are in the ice-covered region (as is often the case), where flux is already assumed to be
- zero. To avoid this unnecessary scaling, we now scale fluxes regionally (North, Tropics, South) to match the ice-free
- **516** area (using NOAA's OISSTv2, Reynolds et al., 2002):

517 
$$FCO_2^{reg-scaled} = \frac{A_{(1-ice)}^{region}}{A_{FCO_2}^{region}} \cdot FCO_2^{region}$$

518 In the equation, A represents area, (1 - ice) represents the ice free ocean, A\_{FCO<sub>2</sub>}^{region} represents the coverage 519 of the data product for a region, and FCO\_2^{region} is the integrated flux for a region.

We further use results from two diagnostic ocean models, Khatiwala et al. (2013) and DeVries (2014), to estimate the
 anthropogenic carbon accumulated in the ocean prior to 1959. The two approaches assume constant ocean circulation
 and biological fluxes, with S<sub>OCEAN</sub> estimated as a response in the change in atmospheric CO<sub>2</sub> concentration calibrated to

- 523 observations. The uncertainty in cumulative uptake of  $\pm 20$  GtC (converted to  $\pm 1\sigma$ ) is taken directly from the IPCC's
- 524 review of the literature (Rhein et al., 2013), or about ±30% for the annual values (Khatiwala et al., 2009).

# 525 C.3.2 Global Ocean Biogeochemistry Models (GOBMs)

The ocean CO<sub>2</sub> sink for 1959-20121 is estimated using ten GOBMs (Table A2). The GOBMs represent the physical,
chemical, and biological processes that influence the surface ocean concentration of CO<sub>2</sub> and thus the air-sea CO<sub>2</sub> flux.
The GOBMs are forced by meteorological reanalysis and atmospheric CO<sub>2</sub> concentration data available for the entire
time period. They mostly differ in the source of the atmospheric forcing data (meteorological reanalysis), spin up
strategies, and in their horizontal and vertical resolutions (Table A2). All GOBMs except two (CESM-ETHZ, CESM2)
do not include the effects of anthropogenic changes in nutrient supply (Duce et al., 2008). They also do not include the
perturbation associated with changes in riverine organic carbon (see Section 2.7.3).

533 Four sets of simulations were performed with each of the GOBMs. Simulation A applied historical changes in climate

534 and atmospheric CO<sub>2</sub> concentration. Simulation B is a control simulation with constant atmospheric forcing (normal

535 year or repeated year forcing) and constant pre-industrial atmospheric CO<sub>2</sub> concentration. Simulation C is forced with

536 historical changes in atmospheric CO<sub>2</sub> concentration, but repeated year or normal year atmospheric climate forcing.

537 Simulation D is forced by historical changes in climate and constant pre-industrial atmospheric CO<sub>2</sub> concentration. To

538 derive S<sub>OCEAN</sub> from the model simulations, we subtracted the slope of a linear fit to the annual time series of the control

- 539 simulation B from the annual time series of simulation A. Assuming that drift and bias are the same in simulations A
- 540 and B, we thereby correct for any model drift. Further, this difference also removes the natural steady state flux
- 541 (assumed to be 0 GtC yr<sup>-1</sup> globally without rivers) which is often a major source of biases. This approach works for all
- 542 model set-ups, including IPSL, where simulation B was forced with constant atmospheric CO<sub>2</sub> but observed historical





changes in climate (equivalent to simulation D). This approach assures that the interannual variability is not removedfrom IPSL simulation A.

545 The absolute correction for bias and drift per model in the 1990s varied between <0.01 GtC yr<sup>-1</sup> and 0.41 GtC yr<sup>-1</sup>, with

546 seven models having positive biases, two having negative biases and one model having essentially no bias (NorESM).

547 The MPI model uses riverine input and therefore simulates outgassing in simulation B.By subtracting simulation B,

 $\label{eq:second} also the ocean carbon sink of the MPI model follows the definition of S_{OCEAN}. This correction reduces the model mean$ 

ocean carbon sink by 0.04 GtC yr<sup>-1</sup> in the 1990s. The ocean models cover 99% to 101% of the total ocean area, so that
 area-scaling is not necessary.

# 551 C.3.3 GOBM evaluation and uncertainty assessment for S<sub>OCEAN</sub>

The ocean CO<sub>2</sub> sink for all GOBMs and the ensemble mean falls within 90% confidence of the observed range, or 1.5
to 2.9 GtC yr<sup>-1</sup> for the 1990s (Ciais et al., 2013) before and after applying adjustments. An exception is the MPI model,
which simulates a low ocean carbon sink of 1.38 GtC yr<sup>-1</sup> for the 1990s in simulation A owing to the inclusion of
riverine carbon flux. After adjusting to the GCB's definition of S<sub>OCEAN</sub> by subtracting simulation B, the MPI model falls
into the observed range with an estimated sink of 1.69 GtC yr<sup>-1</sup>.

557 The GOBMs and data products have been further evaluated using the fugacity of sea surface CO<sub>2</sub> (fCO<sub>2</sub>) from the 558 SOCAT v2022 database (Bakker et al., 2016, 2022). We focused this evaluation on the root mean squared error 559 (RMSE) between observed and modelled fCO2 and on a measure of the amplitude of the interannual variability of the 560 flux (modified after Rödenbeck et al., 2015). The RMSE is calculated from detrended, annually and regionally 561 averaged time series calculated from GOBMs and data-product fCO2 subsampled to SOCAT sampling points to 562 measure the misfit between large-scale signals (Hauck et al., 2020). To this end, we apply the following steps: (i) 563 subsample data points for where there are observations (GOBMs/data-products as well as SOCAT), (ii) average 564 spatially, (iii) calculate annual mean, (iv) detrend both time-series (GOBMs/data-products as well as SOCAT), (v) 565 calculate RMSE. This year, we do not apply an open ocean mask of 400 m, but instead a mask based on the minimum 566 area coverage of the data-products. This ensures a fair comparison over equal areas. The amplitude of the Socean 567 interannual variability (A-IAV) is calculated as the temporal standard deviation of the detrended annual CO<sub>2</sub> flux time 568 series after area-scaling (Rödenbeck et al., 2015, Hauck et al., 2020). These metrics are chosen because RMSE is the 569 most direct measure of data-model mismatch and the A-IAV is a direct measure of the variability of S<sub>OCEAN</sub> on 570 interannual timescales. We apply these metrics globally and by latitude bands. Results are shown in Figure B2 and 571 discussed in Section 3.5.5.

572 We quantify the 1- $\sigma$  uncertainty around the mean ocean sink of anthropogenic CO<sub>2</sub> by assessing random and systematic 573 uncertainties for the GOBMs and data-products. The random uncertainties are taken from the ensemble standard 574 deviation (0.3 GtC yr<sup>-1</sup> for GOBMs, 0.3 GtC yr<sup>-1</sup> for data-products). We derive the GOBMs systematic uncertainty by 575 the deviation of the DIC inventory change 1994-2007 from the Gruber et al (2019) estimate (0.4 GtC yr<sup>-1</sup>) and suggest 576 these are related to physical transport (mixing, advection) into the ocean interior. For the data-products, we consider 577 systematic uncertainties stemming from uncertainty in fCO2 observations (0.2 GtC yr<sup>-1</sup>, Takahashi et al., 2009; 578 Wanninkhof et al., 2013), gas-transfer velocity (0.2 GtC yr<sup>-1</sup>, Ho et al., 2011; Wanninkhof et al., 2013; Roobaert et al., 579 2018), wind product (0.1 GtC yr<sup>-1</sup>, Fay et al., 2021a), river flux adjustment (0.3 GtC yr<sup>-1</sup>, Regnier et al., 2022, formally 580 2-σ uncertainty), and fCO<sub>2</sub> mapping (0.2 GtC yr<sup>-1</sup>, Landschützer et al., 2014). Combining these uncertainties as their





| 581 | squared sums, we assign an uncertainty of $\pm 0.5$ GtC yr <sup>-1</sup> to the GOBMs ensemble mean and an uncertainty of $\pm 0.6$                                 |
|-----|---|
| 582 | GtC yr <sup>-1</sup> to the data-product ensemble mean. These uncertainties are propagated as $\sigma(S_{OCEAN}) = (1/2^2 * 0.5^2 + 1/2^2 * 0.5^2 + 1/2^2 + 1/2^2)$ |
| 583 | $0.6^2$ ) <sup>1/2</sup> GtC yr <sup>-1</sup> and result in an $\pm 0.4$ GtC yr <sup>-1</sup> uncertainty around the best estimate of S <sub>OCEAN</sub> .          |
| 584 | We examine the consistency between the variability of the model-based and the fCO2-based data products to assess  |
| 585 | confidence in $S_{\text{OCEAN}}$ . The interannual variability of the ocean fluxes (quantified as A-IAV, the standard deviation after                               |
| 586 | detrending, Figure B2) of the seven fCO2-based data products plus the Watson et al. (2020) product for 1990-2021,   |
| 587 | ranges from 0.12 to 0.32 GtC yr $^{-1}$ with the lower estimates by the two ensemble methods (CMEMS-LSCE-FFNN, OS-  |
| 588 | ETHZ-GRaCER). The inter-annual variability in the GOBMs ranges between 0.09 and 0.20 GtC yr <sup>-1</sup> , hence there is  |
| 589 | overlap with the lower A-IAV estimates of two data-products.  |
| 590 | Individual estimates (both GOBMs and data products) generally produce a higher ocean CO2 sink during strong El  |
| 591 | Niño events. There is emerging agreement between GOBMs and data-products on the patterns of decadal variability of  |
| 592 | S <sub>OCEAN</sub> with a global stagnation in the 1990s and an extra-tropical strengthening in the 2000s (McKinley et al., 2020,                                   |
| 593 | Hauck et al., 2020). The central estimates of the annual flux from the GOBMs and the $fCO_2$ -based data products have a  |
| 594 | correlation $r$ of 0.94 (1990-2021). The agreement between the models and the data products reflects some consistency   |
| 595 | in their representation of underlying variability since there is little overlap in their methodology or use of observations.  |
| 596 |   |
|     |   |

# 597 C.4 Methodology Land CO<sub>2</sub> sink

## 598 C.4.1 DGVM simulations

- 599 The DGVMs model runs were forced by either the merged monthly Climate Research Unit (CRU) and 6 hourly
- 600 Japanese 55-year Reanalysis (JRA-55) data set or by the monthly CRU data set, both providing observation-based
- temperature, precipitation, and incoming surface radiation on a 0.5°x0.5° grid and updated to 2021 (Harris et al., 2014,
- 602 2020). The combination of CRU monthly data with 6 hourly forcing from JRA-55 (Kobayashi et al., 2015) is performed
- 603 with methodology used in previous years (Viovy, 2016) adapted to the specifics of the JRA-55 data.
- Introduced in GCB2021 (Friedlingstein et al., 2022a), incoming short-wave radiation fields to take into account aerosol
   impacts and the division of total radiation into direct and diffuse components as summarised below.
- The diffuse fraction dataset offers 6-hourly distributions of the diffuse fraction of surface shortwave fluxes over the

607 period 1901-2021. Radiative transfer calculations are based on monthly-averaged distributions of tropospheric and

- 608 stratospheric aerosol optical depth, and 6-hourly distributions of cloud fraction. Methods follow those described in the
- 609 Methods section of Mercado et al. (2009), but with updated input datasets.
- 610 The time series of speciated tropospheric aerosol optical depth is taken from the historical and RCP8.5 simulations by
- 611 the HadGEM2-ES climate model (Bellouin et al., 2011). To correct for biases in HadGEM2-ES, tropospheric aerosol
- optical depths are scaled over the whole period to match the global and monthly averages obtained over the period
- 613 2003-2020 by the CAMS Reanalysis of atmospheric composition (Inness et al., 2019), which assimilates satellite
- 614 retrievals of aerosol optical depth.
- 615 The time series of stratospheric aerosol optical depth is taken from the by Sato et al. (1993) climatology, which has
- been updated to 2012. Years 2013-2020 are assumed to be background years so replicate the background year 2010.
- **617** That assumption is supported by the Global Space-based Stratospheric Aerosol Climatology time series (1979-2016;





- 618 Thomason et al., 2018). The time series of cloud fraction is obtained by scaling the 6-hourly distributions simulated in
- the Japanese Reanalysis (Kobayashi et al., 2015) to match the monthly-averaged cloud cover in the CRU TS v4.06
- 620 dataset (Harris et al., 2020). Surface radiative fluxes account for aerosol-radiation interactions from both tropospheric
- and stratospheric aerosols, and for aerosol-cloud interactions from tropospheric aerosols, except mineral dust.
- 622 Tropospheric aerosols are also assumed to exert interactions with clouds.
- 623 The radiative effects of those aerosol-cloud interactions are assumed to scale with the radiative effects of aerosol-
- 624 radiation interactions of tropospheric aerosols, using regional scaling factors derived from HadGEM2-ES. Diffuse
- 625 fraction is assumed to be 1 in cloudy sky. Atmospheric constituents other than aerosols and clouds are set to a constant
- 626 standard mid-latitude summer atmosphere, but their variations do not affect the diffuse fraction of surface shortwave
- 627 fluxes.
- 628 In summary, the DGVMs forcing data include time dependent gridded climate forcing, global atmospheric CO<sub>2</sub>
- 629 (Dlugokencky and Tans, 2022), gridded land cover changes (see Appendix C.2.2), and gridded nitrogen deposition and630 fertilisers (see Table A1 for specific models details).
- 631 Four simulations were performed with each of the DGVMs. Simulation 0 (S0) is a control simulation which uses fixed
- 632 pre-industrial (year 1700) atmospheric CO2 concentrations, cycles early 20th century (1901-1920) climate and applies a
- time-invariant pre-industrial land cover distribution and pre-industrial wood harvest rates. Simulation 1 (S1) differs
- 634 from S0 by applying historical changes in atmospheric CO2 concentration and N inputs. Simulation 2 (S2) applies
- 635 historical changes in atmospheric CO<sub>2</sub> concentration, N inputs, and climate, while applying time-invariant pre-
- 636 industrial land cover distribution and pre-industrial wood harvest rates. Simulation 3 (S3) applies historical changes in
- 637 atmospheric CO2 concentration, N inputs, climate, and land cover distribution and wood harvest rates.
- 638 S2 is used to estimate the land sink component of the global carbon budget ( $S_{LAND}$ ). S3 is used to estimate the total land 639 flux but is not used in the global carbon budget. We further separate  $S_{LAND}$  into contributions from CO<sub>2</sub> (=S1-S0) and 640 climate (=S2-S1+S0).
- 641 C.4.2 DGVM evaluation and uncertainty assessment for SLAND
- 642 We apply three criteria for minimum DGVMs realism by including only those DGVMs with (1) steady state after
- 643 spin up, (2) global net land flux ( $S_{LAND} E_{LUC}$ ) that is an atmosphere-to-land carbon flux over the 1990s ranging
- 644 between -0.3 and 2.3 GtC yr<sup>-1</sup>, within 90% confidence of constraints by global atmospheric and oceanic observations
- 645 (Keeling and Manning, 2014; Wanninkhof et al., 2013), and (3) global E<sub>LUC</sub> that is a carbon source to the atmosphere
- 646 over the 1990s, as already mentioned in section C.2.2. All DGVMs meet these three criteria.
- 647 In addition, the DGVMs results are also evaluated using the International Land Model Benchmarking system (ILAMB;
- 648 Collier et al., 2018). This evaluation is provided here to document, encourage and support model improvements through
- 649 time. ILAMB variables cover key processes that are relevant for the quantification of S<sub>LAND</sub> and resulting aggregated
- 650 outcomes. The selected variables are vegetation biomass, gross primary productivity, leaf area index, net ecosystem
- 651 exchange, ecosystem respiration, evapotranspiration, soil carbon, and runoff (see Figure B3 for the results and for the
- list of observed databases). Results are shown in Figure B3 and discussed in Section 3.6.5.
- 653 For the uncertainty for SLAND, we use the standard deviation of the annual CO<sub>2</sub> sink across the DGVMs, averaging to
- 654 about  $\pm 0.6$  GtC yr<sup>-1</sup> for the period 1959 to 2021. We attach a medium confidence level to the annual land CO<sub>2</sub> sink and





its uncertainty because the estimates from the residual budget and averaged DGVMs match well within their respectiveuncertainties (Table 5).

657

#### 658 C.5 Methodology Atmospheric Inversions

# 659 C.5.1 Inversion System Simulations

660 Nine atmospheric inversions (details of each in Table A4) were used to infer the spatio-temporal distribution of the CO<sub>2</sub> 661 flux exchanged between the atmosphere and the land or oceans. These inversions are based on Bayesian inversion 662 principles with prior information on fluxes and their uncertainties. They use very similar sets of surface measurements 663 of CO2 time series (or subsets thereof) from various flask and in situ networks. One inversion system also used satellite 664 xCO2 retrievals from GOSAT and OCO-2. 665 Each inversion system uses different methodologies and input data but is rooted in Bayesian inversion principles. These 666 differences mainly concern the selection of atmospheric CO2 data and prior fluxes, as well as the spatial resolution, 667 assumed correlation structures, and mathematical approach of the models. Each system uses a different transport model, 668 which was demonstrated to be a driving factor behind differences in atmospheric inversion-based flux estimates, and 669 specifically their distribution across latitudinal bands (Gaubert et al., 2019; Schuh et al., 2019). 670 The inversion systems all prescribe similar global fossil fuel emissions for EFOS; specifically, the GCP's Gridded Fossil 671 Emissions Dataset version 2022 (GCP-GridFEDv2022.2; Jones et al., 2022), which is an update through 2021 of the 672 first version of GCP-GridFED presented by Jones et al. (2021), or another recent version of GCP-GridFED (Table A4). 673 All GCP-GridFED versions scale gridded estimates of CO2 emissions from EDGARv4.3.2 (Janssens-Maenhout et al., 674 2019) within national territories to match national emissions estimates provided by the GCP for the years 1959-2021, 675 which are compiled following the methodology described in Appendix C.1. GCP-GridFEDv2022.2 adopts the 676 seasonality of emissions (the monthly distribution of annual emissions) from the Carbon Monitor (Liu et al., 2020a,b; 677 Dou et al., 2022) for Brazil, China, all EU27 countries, the United Kingdom, the USA and shipping and aviation bunker 678 emissions. The seasonality present in Carbon Monitor is used directly for years 2019-2021, while for years 1959-2018 679 the average seasonality of 2019 and 2021 are applied (avoiding the year 2020 during which emissions were most 680 impacted by the COVID-19 pandemic). For all other countries, seasonality of emissions is taken from EDGAR 681 (Janssens-Maenhout et al., 2019; Jones et al., 2022), with small annual correction to the seasonality present in year 682 2010 based on heating or cooling degree days to account for the effects of inter-annual climate variability on the 683 seasonality of emissions (Jones et al., 2021). Earlier versions of GridFED used Carbon Monitor-based seasonality only 684 during the years 2019 onwards. In addition, we note that GCP-GridFEDv2022.1 and v2022.2 include emissions from 685 cement production and the cement carbonation CO2 sink (Appendix C.1.1), whereas earlier versions of GCP-GridFED 686 did not include the cement carbonation CO2 sink. 687 The consistent use of recent versions of GCP-GridFED for EFOS ensures a close alignment with the estimate of EFOS

used in this budget assessment, enhancing the comparability of the inversion-based estimate with the flux estimates deriving from DGVMs, GOBMs and fCO<sub>2</sub>-based methods. To ensure that the estimated uptake of atmospheric  $CO_2$  by the land and oceans was fully consistent with the sum of the fossil emissions flux from GCP-GridFEDv2022.2 and the atmospheric growth rate of  $CO_2$ , small corrections to the fossil fuel emissions flux were applied to inversions systems using other versions of GCP-GridFED.





The land and ocean CO<sub>2</sub> fluxes from atmospheric inversions contain anthropogenic perturbation and natural preindustrial CO<sub>2</sub> fluxes. On annual time scales, natural pre-industrial fluxes are primarily land CO<sub>2</sub> sinks and ocean CO<sub>2</sub> sources corresponding to carbon taken up on land, transported by rivers from land to ocean, and outgassed by the ocean. These pre-industrial land CO<sub>2</sub> sinks are thus compensated over the globe by ocean CO<sub>2</sub> sources corresponding to the outgassing of riverine carbon inputs to the ocean, using the exact same numbers and distribution as described for the oceans in Section 2.4. To facilitate the comparison, we adjusted the inverse estimates of the land and ocean fluxes per

latitude band with these numbers to produce historical perturbation CO<sub>2</sub> fluxes from inversions.

## 700 C.5.2 Inversion System Evaluation

701 All participating atmospheric inversions are checked for consistency with the annual global growth rate, as both are

702 derived from the global surface network of atmospheric CO2 observations. In this exercise, we use the conversion

703 factor of 2.086 GtC/ppm to convert the inverted carbon fluxes to mole fractions, as suggested by Prather (2012). This

number is specifically suited for the comparison to surface observations that do not respond uniformly, nor

705 immediately, to each year's summed sources and sinks. This factor is therefore slightly smaller than the GCB

conversion factor in Table 1 (2.142 GtC/ppm, Ballantyne et al., 2012). Overall, the inversions agree with the growth

rate with biases between 0.03-0.08 ppm (0.06-0.17 GtCyr<sup>-1</sup>) on the decadal average.

The atmospheric inversions are also evaluated using vertical profiles of atmospheric CO<sub>2</sub> concentrations (Figure B4).
More than 30 aircraft programs over the globe, either regular programs or repeated surveys over at least 9 months, have
been used in order to draw a robust picture of the system performance (with space-time data coverage irregular and
denser in the 0-45°N latitude band; Table A6). The nine systems are compared to the independent aircraft CO<sub>2</sub>
measurements between 2 and 7 km above sea level between 2001 and 2021. Results are shown in Figure B4, where the
inversions generally match the atmospheric mole fractions to within 0.7 ppm at all latitudes, except for CT Europe in
2011-2021 over the more sparsely sampled southern hemisphere.

715

### 716 Appendix D: Processes not included in the global carbon budget

# 717 D.1 Contribution of anthropogenic CO and CH4 to the global carbon budget

**718** Equation (1) includes only partly the net input of CO<sub>2</sub> to the atmosphere from the chemical oxidation of reactive

carbon-containing gases from sources other than the combustion of fossil fuels, such as: (1) cement process emissions,

720 since these do not come from combustion of fossil fuels, (2) the oxidation of fossil fuels, (3) the assumption of

721 immediate oxidation of vented methane in oil production. However, it omits any other anthropogenic carbon-containing

722 gases that are eventually oxidised in the atmosphere, such as anthropogenic emissions of CO and CH<sub>4</sub>. An attempt is

made in this section to estimate their magnitude and identify the sources of uncertainty. Anthropogenic CO emissions

724 are from incomplete fossil fuel and biofuel burning and deforestation fires. The main anthropogenic emissions of fossil

725 CH<sub>4</sub> that matter for the global (anthropogenic) carbon budget are the fugitive emissions of coal, oil and gas sectors (see

 $\label{eq:contribute} 726 \qquad \text{below}). These emissions of CO and CH_4 contribute a net addition of fossil carbon to the atmosphere.$ 

727 In our estimate of E<sub>FOS</sub> we assumed (Section 2.1.1) that all the fuel burned is emitted as CO<sub>2</sub>, thus CO anthropogenic

728 emissions associated with incomplete fossil fuel combustion and its atmospheric oxidation into CO<sub>2</sub> within a few





729 months are already counted implicitly in EFOS and should not be counted twice (same for ELUC and anthropogenic CO 730 emissions by deforestation fires). Anthropogenic emissions of fossil CH<sub>4</sub> are however not included in E<sub>FOS</sub>, because 731 these fugitive emissions are not included in the fuel inventories. Yet they contribute to the annual CO<sub>2</sub> growth rate after 732 CH4 gets oxidized into CO2. Emissions of fossil CH4 represent 30% of total anthropogenic CH4 emissions (Saunois et 733 al. 2020; their top-down estimate is used because it is consistent with the observed CH<sub>4</sub> growth rate), that is 0.083 GtC 734  $yr^{-1}$  for the decade 2008-2017. Assuming steady state, an amount equal to this fossil CH<sub>4</sub> emission is all converted to 735 CO2 by OH oxidation, and thus explain 0.083 GtC yr<sup>-1</sup> of the global CO2 growth rate with an uncertainty range of 0.061 736 to 0.098 GtC yr<sup>-1</sup> taken from the min-max of top-down estimates in Saunois et al. (2020). If this min-max range is 737 assumed to be 2  $\sigma$  because Saunois et al. (2020) did not account for the internal uncertainty of their min and max top-738 down estimates, it translates into a 1-o uncertainty of 0.019 GtC yr<sup>-1</sup>.

Other anthropogenic changes in the sources of CO and CH<sub>4</sub> from wildfires, vegetation biomass, wetlands, ruminants, or
 permafrost changes are similarly assumed to have a small effect on the CO<sub>2</sub> growth rate. The CH<sub>4</sub> and CO emissions

741 and sinks are published and analysed separately in the Global Methane Budget and Global Carbon Monoxide Budget

742 publications, which follow a similar approach to that presented here (Saunois et al., 2020; Zheng et al., 2019).

# 743 D.2 Contribution of other carbonates to CO<sub>2</sub> emissions

Although we do account for cement carbonation (a carbon sink), the contribution of emissions of fossil carbonates
(carbon sources) other than cement production is not systematically included in estimates of E<sub>FOS</sub>, except for Annex I
countries and lime production in China (Andrew and Peters, 2021). The missing processes include CO<sub>2</sub> emissions
associated with the calcination of lime and limestone outside of cement production. Carbonates are also used in various
industries, including in iron and steel manufacture and in agriculture. They are found naturally in some coals. CO<sub>2</sub>
emissions from fossil carbonates other than cement not included in our dataset are estimated to amount to about 0.3%
of E<sub>FOS</sub> (estimated based on Crippa et al., 2019).

# 751 D.3 Anthropogenic carbon fluxes in the land-to-ocean aquatic continuum

752 The approach used to determine the global carbon budget refers to the mean, variations, and trends in the perturbation 753 of CO<sub>2</sub> in the atmosphere, referenced to the pre-industrial era. Carbon is continuously displaced from the land to the 754 ocean through the land-ocean aquatic continuum (LOAC) comprising freshwaters, estuaries, and coastal areas (Bauer et 755 al., 2013; Regnier et al., 2013). A substantial fraction of this lateral carbon flux is entirely 'natural' and is thus a steady 756 state component of the pre-industrial carbon cycle. We account for this pre-industrial flux where appropriate in our 757 study (see Appendix C.3). However, changes in environmental conditions and land-use change have caused an increase 758 in the lateral transport of carbon into the LOAC - a perturbation that is relevant for the global carbon budget presented 759 here.

760 The results of the analysis of Regnier et al. (2013) can be summarised in two points of relevance for the anthropogenic

761 CO<sub>2</sub> budget. First, the anthropogenic perturbation of the LOAC has increased the organic carbon export from terrestrial

**762** ecosystems to the hydrosphere by as much as  $1.0 \pm 0.5$  GtC yr<sup>-1</sup> since pre-industrial times, mainly owing to enhanced

763 carbon export from soils. Second, this exported anthropogenic carbon is partly respired through the LOAC, partly

requestered in sediments along the LOAC and to a lesser extent, transferred to the open ocean where it may accumulate

765 or be outgassed. The increase in storage of land-derived organic carbon in the LOAC carbon reservoirs (burial) and in





- 766 the open ocean combined is estimated by Regnier et al. (2013) at  $0.65 \pm 0.35$  GtC yr<sup>-1</sup>. The inclusion of LOAC related
- anthropogenic CO<sub>2</sub> fluxes should affect estimates of S<sub>LAND</sub> and S<sub>OCEAN</sub> in Eq. (1) but does not affect the other terms.
- 768 Representation of the anthropogenic perturbation of LOAC CO<sub>2</sub> fluxes is however not included in the GOBMs and
- 769 DGVMs used in our global carbon budget analysis presented here.

# 770 D.4 Loss of additional land sink capacity

| 771 | Historical land-cover change was dominated by transitions from vegetation types that can provide a large carbon sink                       |
|-----|--|
| 772 | per area unit (typically, forests) to others less efficient in removing CO <sub>2</sub> from the atmosphere (typically, croplands).        |
| 773 | The resultant decrease in land sink, called the 'loss of additional sink capacity', can be calculated as the difference                    |
| 774 | between the actual land sink under changing land-cover and the counterfactual land sink under pre-industrial land-                         |
| 775 | cover. This term is not accounted for in our global carbon budget estimate. Here, we provide a quantitative estimate of                    |
| 776 | this term to be used in the discussion. Seven of the DGVMs used in Friedlingstein et al. (2019) performed additional                       |
| 777 | simulations with and without land-use change under cycled pre-industrial environmental conditions. The resulting loss                      |
| 778 | of additional sink capacity amounts to 0.9 $\pm$ 0.3 GtC yr $^{-1}$ on average over 2009-2018 and 42 $\pm$ 16 GtC accumulated              |
| 779 | between 1850 and 2018 (Obermeier et al., 2021). OSCAR, emulating the behaviour of 11 DGVMs finds values of the                             |
| 780 | loss of additional sink capacity of 0.7 $\pm$ 0.6 GtC yr <sup>-1</sup> and 31 $\pm$ 23 GtC for the same time period (Gasser et al., 2020). |
| 781 | Since the DGVM-based ELUC estimates are only used to quantify the uncertainty around the bookkeeping models'                               |
| 782 | ELUC, we do not add the loss of additional sink capacity to the bookkeeping estimate.  |