# **Supplementary Information for**

## **Reliable Food and Water Resources of Late Pleistocene-to-Holocene Lesotho, Southern Africa, Facilitated Human Upland Habitation.**

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Supplementary Discussion Figures S1 to S5 Table S1 Replication for Age-Depth Model of Ha Makotoko and Figure S6 SI References

### **Other supplementary materials for this manuscript include the following:**

Dataset 1

### **Supplementary Information Text**

### **SI Discussion**

**Plant Wax Biomarkers.** The ubiquitous, well-preserved nature of lipid biomarkers allows for their distribution to differentiate between sources of production<sup>1,2</sup>. Generally, short-chain homologues (C<sub>17</sub>-C<sub>21</sub> *n*-alkanes) characterize aquatic algae<sup>3</sup>, mid-chain homologues (C<sub>21</sub>-C<sub>25</sub> *n*alkanes) characterize submerged and floating aquatic macrophytes<sup>4-6</sup>, and long-chain homologues  $(C_{27}$ - $C_{35}$  *n*-alkanes) characterize terrestrial vegetation<sup>7</sup>. All Ha Makotoko samples were dominated by long-chain compounds. The  $C_{31}$  *n*-alkane is the most abundant compound in all 16 samples, and of these, eight samples have  $C_{31}$  followed by  $C_{33}$ ,  $C_{29}$ ,  $C_{35}$ , and  $C_{27}$ , four samples have a relative abundance distribution of  $C_{31}$ ,  $C_{33}$ ,  $C_{29}$ ,  $C_{27}$ , and  $C_{35}$ , three samples have  $C_{31}$ ,  $C_{29}$ ,  $C_{33}$ ,  $C_{27}$ , and  $C_{35}$ , and one sample has  $C_{31}$ ,  $C_{29}$ ,  $C_{27}$ ,  $C_{33}$ , and  $C_{35}$  (Fig S3). Although these distributions are not diagnostic of the specific plant types that produced them, grasses tend to produce higher chains  $(C_{33}, C_{35})$  than co-occurring shrubs or trees<sup>8</sup>. Furthermore, the relative abundance of specific *n*alkanes can correlate with temperature and aridity<sup>9-14</sup>, so caution must be taken when using chainlength distributions as a diagnostic biomarker for plant ecological composition.

**Plant Wax Isotope Ratios.** Compound-specific isotope analyses is necessary to make inferences on plant community composition and the main sources of plant waxes to depositional environments. The *n*-alkane  $\delta^{13}$ C values provide information on plant type and photosynthetic pathway<sup>15</sup>, the intensity and duration of sunlight<sup>16</sup>, canopy structure and wax production<sup>17</sup>, plant taxonomy<sup>18</sup>, and the total concentration of atmospheric  $CO_2$ <sup>19</sup>. In terrestrial contexts, variations in  $\delta^{13}$ C are influenced by biological differences in photosynthetic pathways and the degree to which different plant types discriminate against  $^{13}$ C during carbon fixation, which leads to distinct and, for the most part, non-overlapping values between  $C_3$  and  $C_4$  plants<sup>15,20,21</sup>.  $C_3$  plants typically have bulk leaf  $\delta^{13}$ C ranging between -20 to -35 ‰. C<sub>4</sub> plants, which do not discriminate against the heavier <sup>13</sup>C to such a large degree, have values that span from -7 to -15  $\%$ <sup>20</sup>. Plant wax *n*-alkanes are further depleted from their bulk values by about -5 ‰ to -7 ‰ for  $C_3$  vegetation and -8 ‰ to -10 ‰ for C<sup>4</sup> types. Therefore, depending on plant ecological lifeform, water availability, canopy structure, and other factors,  $C_3$  terrestrial plant *n*-alkane values can range from -25 ‰ to -42 ‰ (average -35 ‰), while C<sub>4</sub> plants range from about -14 ‰ to -26 ‰ (average -21 ‰)<sup>15,22-25</sup>. However, this can be complicated by some emergent plants, such as *Typha angustifolia*, having *n*alkane distributions that also maximize at  $C_{31}^{26}$ . To complicate matters further, *Typha* has a  $C_4$ isotopic signal with values around -21  $\%$ <sup>26</sup>, and though this value is easily distinguished from C<sub>3</sub> terrestrial plant *n*-alkane δ <sup>13</sup>C, *Typha* wax deposits in paleo-archives could be misinterpreted as a C<sup>4</sup> plant signal.

Increases in the relative abundance of *Typha* have been documented in the Holocene in southern Africa. Both phytolith results and  $\delta^{13}$ C values indicate an expansion of *Typha* during locally warm and humid conditions in coastal Mpondoland during the early Holocene<sup>27</sup>. There is no evidence in the phytolith assemblage for the expansion of *Typha* during the Holocene along the Phuthiatsana River, however (Parker et al., In prep.). Through our data, we therefore infer an increase in C<sup>4</sup> grasses with warm and humid conditions in the later Holocene at Ha Makotoko (see main manuscript).

Carbon isotope ratios are also indicators of plant water-use efficiency (WUE), the ratio of the rate of carbon assimilation (photosynthesis) to the rate of water loss (transpiration) in plants<sup>21,28</sup>. In terms of carbon-13 isotope values, plants with greater WUE are proportionally enriched in <sup>13</sup>C than well-watered analogs<sup>18,21,29</sup>. WUE is a function of plant type and physiological mechanism, and depends on such climatic factors as humidity, sunlight exposure, and temperature<sup>14,28,30</sup>. Plants with higher WUE have also been shown to synthesize plant waxes with lower  $\delta D$  (c.f. <sup>25,31</sup>), due to reduced transpiration rates during photosynthesis<sup>28</sup>.

Ultimately, source water hydrogen is the primary signal recorded in the δD values of *n*alkanes and other plan wax biomarkers<sup>25,32-35</sup>. Although variability exists in the  $\delta$ D of plant waxes in regard to source water<sup>14,18,34,36,37</sup>, regional meteoric water  $\delta D$  is the primary control on plant wax signatures<sup>25,32,38</sup>. In Lesotho, precipitation  $\delta D$  is influenced by the *rainout* process<sup>39,40</sup> with <sup>2</sup>H-depleted moisture falling during the rainy months (Fig S4). However, as the wettest months are also the warmest, heightened temperature and evapotranspiration can also influence the δD of plant wax biomarkers. Lesotho receives most (~80 %) of their mean annual precipitation (MAP) between October and March, sourced from the Indian Ocean and brought by tropical easterlies. This is referred to as the summer rainfall zone (SRZ).

**Plant Type Distribution and Ecology.** In general,  $C_4$  plants will outcompete  $C_3$  analogs under conditions of aridity coupled with intense irradiation, high temperatures, fire history, or low  $CO<sub>2</sub>$ concentrations<sup>21,41,42</sup>. On the other hand, water availability is the dominant factor dictating forest and woodland development in southern Africa, as woody vegetation composition and structure is a function of amount of precipitation, evapotranspiration, availability of groundwater, soil structure, and seasonality of precipitation<sup>43</sup>. However, in areas with high geomorphological and hydrological variability, topography-induced micro-climates and environments can form on small spatial scales, such as in stream channels, where severe temperature inversions in deeply incised valleys can enhance/diminish growing conditions for certain plant types (Patalano et al., In prep). For example, woody plants notably grow within stream channels in Lesotho warmer valleys.

Today, Lesotho is covered by a mosaic of grassland, with the number of vegetation types reflecting the severity of temperature and precipitation seasonality<sup>43</sup>. The Drakensberg Grassland is the dominant bioregion of central and eastern Lesotho while the Mesic Highveld Grassland bioregion, in which Ha Makotoko is found, extends throughout western and northwestern Lesotho and in major river valleys (Fig. 1B, main manuscript)<sup>43</sup>. Due to its high elevation, the Drakensberg Grassland bioregion is dominated by  $C_3$  grasses, whereas the Mesic Highveld Grassland bioregion has a high number of  $C_3$  and  $C_4$  vegetation types with both greater grass and herb diversity, especially in undulating terrain and along streams and rivers that drain the foothills of the Drakensberg. Tall and often dense, broad-leaved shrubland is common in areas with abundant rainfall or surface water, specifically within stream valleys.

Generally, from an ecological sense, the Maloti-Drakensberg can be separated into Montane, Subalpine, and Alpine vegetation altitudinal zones, with transitions between zones occurring lower or higher on slopes according to aspect<sup>43-45</sup>. *Themeda triandra* (C<sub>4</sub> grass) tends to be more important at the lower and middle elevations while *Festuca caprina* (C<sub>3</sub> grass) dominates at higher altitudes, although there is considerable altitudinal overlap between these species<sup>46</sup>. The medium-tall grass *Merxmuellera macowanii* occurs along water courses and drainage lines, like in the Phuthiatsana Gorge, but herb species in the Asteraceae family increase alpha diversity considerably.

In Lesotho, temperature variations linked to altitude and aspect produce particularly sharp gradients of  $C_4$  to  $C_3$  vegetation regardless of water availability<sup>47,48</sup>, and observed changes in past altitudinal distributions of these plant types have been used to document past temperature shifts

and the influence on human populations<sup> $49-51$ </sup>. Mean annual precipitation in Lesotho's Mesic Highveld Grassland bioregion is around 720 mm while mean annual potential evaporation can exceed 1,900 mm yearly<sup>43</sup>. Mean annual temperature is around  $14^{\circ}$  C, but temperature records indicate that southern Africa has experienced significant  $21<sup>st</sup>$  century warming with an average increase of nearly  $0.8 \text{ °C}^{52,53}$ . At Metolong, roughly 1.0 km from Ha Makotoko, annual precipitation can exceed 900 mm and mean annual temperature is around 17° C (Fig. S4). Therefore, past temperature shifts can be inferred by the contraction (cold shifts) or expansion (warm shifts) of the proportional  $C_4$  contribution to sedimentary biomarkers (as interpreted through  $\delta^{13}$ C).

There are, however, a number of plant families that contain species which exhibit crassulacean acid metabolism (CAM) photosynthesis, in addition to combined  $C_3$ -CAM and C<sub>4</sub>-CAM photosynthesis. Not all are necessarily known, but rather, are assumed based on other species in the same families which are found outside of Lesotho. Whilst some are classified as constitutive CAM plants, some of these species might also show some degree of plasticity in CAM expression in response to environmental conditions. For example, those in the Aizoaceae family, unlike many other succulents, do not rely solely on CAM photosynthesis, but instead, switch back and forth between  $C_3$  and CAM, presumably to improve plant water-use efficiency. A number of succulents in the Asphodelaceae family, like *Aloe* species, use CAM photosynthesis but generally do not make up large portions of the vegetation in this part of Lesotho. With regard to  $\delta^{13}C$ , some CAM and most facultative CAM species<sup>54</sup> have overlapping values with  $C_3$  plants in their  $C_{29}$ - $C_{33}$  *n*alkanes, which therefore causes issues with understanding ecosystem scale  $C_3-C_4$  proportions. Nevertheless, seeing as Ha Makotoko is located in the Mesic Highveld Grassland bioregion, which is dominated by grasses, who do not think our precipitation and temperature change interpretations are misguided and that the overall contribution of CAM plants is minimal. This is also confirmed by phytolith work in the region $46,51$ .

The perceived power of isotope analyses in Lesotho is currently based on bulk  $\delta^{13}C$ measured on grasses from four altitudinal transects between 1,600 and 2,600 m a.s.l. in 1994/5<sup>50</sup>, and assumptions coming from fluctuations seen in palaeoenvironmental records of soil organic matter and mammalian tooth enamel<sup>46,49,51,55</sup>. There is currently no reference of bulk soil organic matter (SOM), nor have compound specific *n*-alkane  $\delta^{13}$ C measurements been undertaken in the region.



**Fig. S1.** Compound-specific and bulk isotope values from Ha Makotoko. (*A*) Plant wax  $\delta^{13}$ C values of the individual C29-C<sup>33</sup> *n*-alkanes and the weighted average. (*B*) Plant wax δD values of the individual C<sub>29</sub>-C<sub>33</sub> *n*-alkanes and the weighted average. (C) Bulk sedimentary organic matter  $\delta^{13}C$ (Reference 14 in main manuscript).



Fig. S2. Correlation between carbon and hydrogen isotopes and CPI and ACL. C<sub>29</sub>-C<sub>33</sub> weighted average  $\delta$ D and  $\delta$ <sup>13</sup>C versus carbon preference index (CPI) and average chain length (ACL) of the C<sub>25</sub>-C<sub>35</sub> *n*-alkanes. Linear correlation ( $\mathbb{R}^2$  on plots) and the Spearman's correlation ( $r_s$  = -0.804,  $p$  = <0.001. See Table 1 in Main Manuscript), between  $\delta$ D and CPI is interesting and needs to be investigated further.



**Fig. S3.** Plant wax *n*-alkane compound distributions for the 16 Ha Makotoko samples. C<sub>31</sub> is the dominant compound in all samples. (A) Eight samples  $(50\%)$  have a  $C_{31}$ ,  $C_{33}$ ,  $C_{29}$ ,  $C_{35}$ , and  $C_{27}$  distribution; (*B*) four (25 %) have  $C_{31}$  followed by  $C_{33}$ ,  $C_{29}$ ,  $C_{27}$ , and  $C_{35}$ ; (*C*) three (19 %) have  $C_{31}$  then  $C_{29}$ ,  $C_{33}$ ,  $C_{27}$ , and  $C_{35}$ ; and (*D*) one (6 %) sample has a distribution of  $C_{31}$ ,  $C_{29}$ ,  $C_{27}$ ,  $C_{33}$ , and  $C_{35}$ . Distributions may represent differences in plant ecology.



**Fig. S4.** Modern climate parameters of Ha Makotoko. Average monthly precipitation and temperature of Metolong, Lesotho, and δD estimations of precipitation from the Online Isotopes in Precipitation calculator (OIPC $56$ ) for Ha Makotoko (-29.3258 $^{\circ}$ , 27.8047 $^{\circ}$ , 1,640 m.a.s.l.). Both precipitation amount and temperature influence precipitation and plant wax δD, but in opposite directions. While increased precipitation lowers δD, increased temperature raises δD. Lesotho does not have a Global Network of Isotopes in Precipitation (GNIP) station, nor have modern calibration studies using precipitation or plant wax δD been performed, so caution must be taken with using precipitation δD values from the OIPC.



**Fig. S5.** Ha Makotoko stratigraphic profile. Profile is of the main excavation trench (see references 17, 27, and 53 in main manuscript for full description of the site and the archaeological excavations). Samples analyzed in this study came from an adjacent geoarchaeological column that had direct stratigraphic relationships to those in the open excavation area. Additional samples (*n*=5), however, were taken as loose sediment during excavation from Ha Makotoko's main trench and used for bulk carbon isotope analyses (see reference 14 in main manuscript).

Sample ID	$^{14}C$ Age	<b>StDev</b>	Context No.	Depth	<b>Thickness</b>	<b>Curve</b>
<b>NA</b>	0	30	5	0.03	0.06	Normal
<b>UGAMS-8984</b>	9110	30	23	0.5	0.01	shcal20
<b>UGAMS-8985</b>	9320	30	32	0.55	0.01	shcal20
<b>UGAMS-8986</b>	10060	30	136	0.62	0.01	shcal20
<b>UGAMS-8987</b>	9870	30	93	0.62	0.01	shcal20
<b>UGAMS-8988</b>	40100	230	60	0.66	0.01	shcal20

**Table S1.** Tie-point samples of the 6 radiocarbon-dated layers and "modern" top layer.

**Extended Data (separate file).** Interpolated ages, biomarker metrices, and carbon and hydrogen isotope values and standard error of the mean are presented for each sample.

### **Replication for Age-Depth Model of Ha Makotoko**

Load Necessary Libraries and Data

```
library(Bchron)
library(ggplot2)
library(ggrepel)
hm_data <- read.csv("../Data/HM_age_depth_isotopes.csv")
hm_data_bchron <- read.csv("../Data/HM_age_depth_bchron.csv")[-c(6),]
sample_depths <- hm_data$depth_m
sample_depths <- sample_depths[!is.na(sample_depths)]
interp_depth_range <- range(sample_depths)
\intinterp_depths <- seq(0, 1)interp_depth_range[2],
                    0.01)
```
**Run Bchronology** 

```
hm_agedepth <- Bchronology(ages = hm_data_bchron$c14age,
                           ageSds = hm_data_bchron\$sd,positions = hm_data_bchron$depth,
                           positionThicknesses = hm_data_bchron$thickness,
                           calCurves = hm data bohron$curve,predictPositions = interp_depths,
                           extractDate = 1950 - 2009)
```
Summarize the model

```
hm_agedepth_mean <- apply(hm_agedepth$thetaPredict,
                        2,
                        mean)
hm_agedepth_quant <- t(apply(hm_agedepth$thetaPredict,
                            2,
                            quantile,
                           probs = c(0.05, 0.95))
```

```
hm_agedepth_summary <- data.frame(Depth m = interp_depths,
                                    Mean_YBP = hm\_agedeph\_mean,L05 = hm_agedepth_quant[, 1],
                                    U95 = hm\_agedepth_quant[, 2])hm_agedepth_summary_sample <- subset(hm_agedepth_summary,
                                    Depth_m %in% sample depths)
```
#### Write out results

```
write.table(hm_agedepth_summary,
            file = "../Output/hm_agedepth_Bchron.csv",
            sep = ","row.name = F)write.table(hm_agedepth_summary_sample,
            file = "../Output/hm_agedepth_Bchron_sample.csv",
            sep = ","row.name = F)hm_data_with_agedepth <- merge(hm_data,
                             hm_agedepth_summary_sample,
                             by.x = 5,by \mathbf{y} - \mathbf{y} = 1write.table(hm_data_with_agedepth,
            file = "../Output/hm_agedepth_Bchron_sample_merged.csv",
            sep = ","row.name = F)
```
#### Plot the model

```
ggplot(hm_agedepth_summary) +
    geom\_ribbon(mapping = aes(xmin = L05, xmax = U95, y = Depth_m),fill = "steelblue") +geom\_line(mapping = aes(y = Depth_m, x = Mean_YBP)) +geom_point(data = hm_agedepth_summary_sample,
            mapping = \text{aes}(y = \text{Depth}_m, x = \text{Mean}_YBP) +
    geom_text_repel(data = hm_agedepth_summary_sample,
            mapping = \text{aes}(y = \text{Depth\_m}, x = \text{Mean_YBP}, \text{label} = \text{Depth\_m},
            nudge_x = 2000 +
    labs(x = "Year BP",y = "Depth (m)") +
    scale_y_reverse() +
    scale_x_reverse() +
    theme_minimal()
```


<sup>##</sup> Saving 6.5 x 4.5 in image

**Fig. S6.** Age-depth model. Plot of the age-depth model that includes upper and lower uncertainty estimates based on the 5-95 % quantile ranges for interpolated ages. The plot was also produced in R with ggplot $2^{57}$ . The R code and data used to produce the age-depth model can be found on Github (https://github.com/wccarleton/hm\_agedepth).

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