

# Development of a novel microhaplotype panel for steelhead/rainbow trout (*Oncorhynchus mykiss*) and application for phylogenetic analysis in California

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## Method Article

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# Abstract

The rapid advance of high-throughput sequencing has prompted a transition in wildlife and fisheries genetics from using microsatellites toward markers that are more amenable to genotyping by sequencing. Microhaplotypes are novel multi-allelic genetic markers that utilize a high-throughput genomic amplicon sequencing approach to genotype large numbers of individuals for parentage and kinship analysis and population genetic studies, including applications in monitoring and fisheries management. We describe the development of a panel of microhaplotypes for *Oncorhynchus mykiss*, a species of high cultural and economic importance both in its native range in the North American and the Kamchatka Peninsula of northeast Asia, and globally through introductions for aquaculture and due to its reputation as a prized sport fish among recreational fishers. The panel includes 124 loci presumed to be neutral, a marker for the sex determination locus (*SdY*), and 10 loci targeting previously identified adaptive genomic variants associated with important life-history traits in this species. We demonstrate that this panel provides high resolution for phylogeographic and other genetic analysis and provide an initial standardized reference population genetic baseline of California *O. mykiss*.

## Introduction

The distribution of genetic variation within and among populations and the patterns of gene flow between populations have been studied with a variety of genetic markers through the years. Historically, the nuclear genetic markers most commonly used in population genetics and parentage analysis were allozymes and microsatellites, and more recently single-nucleotide polymorphism (SNPs), the most abundant form of variation in the genome for most species (Brumfield et al., 2003; Allendorf et al., 2010). When genotyped using single-locus assays, SNPs are characterized by low error rates, easy and fast genotyping with no calibration problems between genotyping platforms and laboratories, and simulations demonstrate their utility for parentage analysis (Anderson & Garza, 2006; Seeb et al., 2009). Rapid advances in technology have contributed to the growth of a new approach called Genotyping by Sequencing (GBS; Davey et al., 2011) that leverages the high-throughput DNA sequencing that is now dominant in molecular biology. Together with the associated changes in data handling and bioinformatics, GBS has dramatically increased the amount of data obtained for a lower cost with less time-consuming techniques. However, GBS generally surveys SNP variation, and because SNPs are typically bi-allelic, they do not provide the same power per locus as microsatellites (Narum et al., 2008; Hauser et al., 2011).

As addressing most questions in population biology does not require entire genome sequences, but rather a modest number of loci genotyped in a larger number of individuals, population geneticists tend to focus on a small number of genetic markers that enable more individuals to be genotyped. This has led to an emerging class of markers, microhaplotypes, which are characterized by two or more closely linked SNPs that can be genotyped together in a single marker (Baetscher et al., 2018). Because the multiple linked SNPs can appear in different allelic combinations, their combined sequences produce multiple haplotypes of tightly linked SNPs (Kidd et al., 2014; Oldoni et al., 2019). With their higher per locus

statistical power, microhaplotypes are particularly useful for pedigree reconstruction and categorical assignment (McKinney et al., 2017; Baetscher et al., 2018) as well as forensic applications (Pang et al., 2020). Microhaplotypes are amenable to highly reproducible data processing pipelines and provide much greater power per nucleotide of sequence data than approaches that focus on a single bi-allelic SNP. Microhaplotypes are also abundant in the genome, with a low genotyping error rates, and are becoming a popular molecular marker in genomics (Baetscher et al., 2018; Hendricks et al. 2018).

## Study System

Rainbow Trout *Oncorhynchus mykiss* is a species of salmonid fish whose natural distribution ranges from northern Mexico to the Kamchatka Peninsula, Russia. The species includes a wide range of morphological differences, leading early researchers to describe more than 50 species in North America that have now been synonymized into ~ 14 subspecies (Busby et al., 1996; Pearse et al., 2011). Within the species *O. mykiss* a wide range of migratory behavior can be observed both within and among populations. This includes resident and anadromous life histories, as well as substantial variation in the timing and frequency of juvenile and adult migration. The anadromous form is termed “steelhead” trout, while the freshwater residents, that remain in freshwater throughout their lives, are referred to as “rainbow trout” (Behnke, 1992; Bagley & Gall, 1998). Steelhead usually spend one or two years in freshwater before migrating to the sea. After one to three years of growth, steelhead return to freshwater to spawn, typically returning to their natal stream. Unlike other Pacific salmon, *O. mykiss* can migrate and spawn multiple times (iteroparous; Shapovalov & Taft, 1954; Behnke, 1992; McPhee et al., 2007), despite a high mortality rate after the first spawn. Adaptive genomic variation associated with migratory life-history traits has been documented in this species, including a chromosomal inversion associated with expression of the resident and anadromous forms as well as variation in disease susceptibility (Pearse et al., 2019; Calboli et al. 2022). Similarly, other genetic loci have been linked to variation in migration timing (Waples et al., 2022) and age-at-return (Waters et al. 2021), further highlighting the need for simple yet efficient genotyping methods to target adaptive variation. In addition, the anadromous form has suffered major declines, especially in the southern part of its range (Swift et al., 1993; Clemento et al., 2009; Abadia-Cardoso *et al.*, 2016), and many populations are listed as threatened or endangered under the US Endangered Species Act (ESA; NOAA 2006). Thus, there is a continuing need for improved methods to understand the genetic diversity and gene flow among *O. mykiss* populations, monitor populations, and guide conservation and management to improve species resilience.

## Materials & Methods

### Microhaplotype Panel Development

### SNP discovery and amplicon design

Double-digest RAD sequencing:

To discover suitable genomic targets for development of microhaplotype markers, we used a modified double-digest restriction site-associated DNA sequencing approach (ddRAD-seq; Peterson et al., 2012) on 32 individuals from 10 populations of *O. mykiss* and one population sample of coastal cutthroat trout, *O. clarkii clarkii* (Ascertainment samples; Supp. Table 1). Following double restriction enzyme digest using EcoR1 and Sph1, a five base pair barcode was ligated to each sample before pooling for size selection that targeted fragments in the range of 300–400bp using a Pippin Prep (Sage Science). The samples were sequenced in one run on a Miseq instrument (Illumina inc. Shen et al., 2005) using a 600-cycle paired-end sequencing kit.

ddRAD demultiplexing:

Raw reads obtained from the Illumina sequencing run were pre-filtered based on their average Phred-scaled base quality score ( $\geq 33$ ). Using the *process\_radtags* component of Stacks v1.48 (Catchen et al., 2013), reads were truncated to 325bp and then demultiplexed based on the unique 5bp individual barcode to assign reads to their corresponding individuals. Finally, Stacks was used to assemble reads into loci within and across individuals and populations with a minimum depth of coverage (-m) of four, the distance allowed between stacks of two (M), and distance between catalog loci of two (n).

Loci filtering:

Among the 29,024 potential loci, a total of 5,959 had more than two SNPs that were observed in at least 10 or more of the 32 individuals sequenced. Since our target amplicon insert length in the final panel was 100–105 bases, we selected only the loci that had two or more SNPs within 100–105 bases of each other and had enough non-variable bases on either side those variants to attempt primer design. This left us with 3,049 potential loci. Given the short lengths of the targeted sequences and the whole genome duplication event in the common ancestor of salmonid fishes (Berthelot et al., 2014; Lien et al., 2016), the risk of obtaining amplicons containing fully or even partially paralogous genomic regions was very high (Pearse et al., 2019). Consensus sequences from the 3,049 candidate loci were mapped to themselves using BLAT (Kent 2002). A strict filtering was applied using R (R core team 2022), which removed 2,218 ‘duplicate’ targets that fully or partially matched another target locus. These ‘duplicates’ reflect mainly bioinformatics errors (Stacks errantly splitting reads into separate loci) and/or repetitive elements or paralogous regions in the genome. With only unique genomic regions represented in our filtered dataset, BLAT was used again to map our 831 remaining targets to an existing chromosome-scale genome assembly (Pearse et al., 2019) and using the same strict filtering, produced a list of 385 potential targets for the design of microhaplotype markers. Finally, we used the graphical interface of Stacks to assess overall variability, the potential for primer design, and the number of potential population-specific alleles of our loci in the 32 sequenced individuals and used these criteria to select loci for primer design.

Primer design:

We designed primers for 192 variable loci with the software Primer 3 (Untergasser et al., 2012) implemented in Geneious v.R11 (Kearse et al., 2012), using the Santa Lucia (1998) melting temperature

( $T_m$ ) calculation and salt correction method. The length range of primers was 18–27 bp (target length of 20 bp) and contained between 25 and 50% GC bases (optimal content of 50%), allowing a max  $T_m$  difference of 2°C between primers and otherwise using Primer 3 default parameters. We targeted an optimal product size of 130 bp (in the range of 90–145 bp), because short and uniform lengths of target sequences are important factors for PCR success (*i.e.*, uniform amplification among loci). Following initial testing and evaluation, loci with poor amplification or low polymorphism were removed from the panel resulting in the final list of 124 presumably neutral loci (Supp. Table 2).

Adaptive genetic variation and sex informative loci:

In addition to the newly discovered loci from the ddRAD data, markers for several previously identified functional gene regions were added to the panel. First, five microhaplotype markers were designed within the chromosomal inversion complex present on chromosome *Omy05*, known to be strongly associated with expression of anadromous or resident migratory life-history phenotypes in some *O. mykiss* populations (Pearse et al., 2014, 2019). Second, we designed primers for microhaplotype loci targeted on five SNPs associated with run-timing in the *Greb1L* gene region on *Omy28* (Waples et al. 2022). Finally, the ‘Omy-Y1-2Sexy’ locus was included by using the primers from Brunelli, Steele, and Thorgaard (2010). This marker amplifies only when the Y chromosome is present (*i.e.*, in males) and has been shown to be highly accurate in identifying males and females in coastal California steelhead (Rundio et al., 2012; Pearse et al., 2019).

## Genotyping-by-sequencing

To be able to conduct ‘genotyping in thousands’ (GT-seq), Campbell, Harmon, and Narum (2015) developed a genotyping by sequencing (GBS) method to optimize the sequencing capacity of NGS technologies for population genetics and parentage studies. We used GT-seq to sequence up to 384 individuals with 135 microhaplotype loci in a single Illumina MiSeq® run, using a 150-cycle paired-end approach. All other details of the thermal cycling and library preparation are as in Baetscher et al. (2018).

Bioinformatics processing and panel finalization

Reads were de-multiplexed by the MiSeq Analysis Software (Illumina inc. Shen et al., 2005). Paired-end reads were combined using the Fast Length Adjustment of SHort reads (FLASH Magoč and Salzberg 2011) and mapped to the Stacks consensus sequences for the target loci using the Burrows-Wheeler Aligner (BWA-MEM, Li and Durbin 2009). Mapped reads were converted from Sequence Alignment/Map (SAM) files to Binary Alignment/Map (BAM) files with SAMtools (Li et al., 2009). We identified variable sites using FreeBayes (Garrison and Marth 2012); the positions of all SNPs for each locus were recorded in a variant call format (VCF) file. This VCF was then passed to *microhaplot* (Ng *et al.*, DOI: 10.5281/zenodo.820110), a microhaplotype dedicated software implemented as an R (R core team 2022) package and associated Shiny app (<http://shiny.rstudio.com/>), which assembled the SNPs for each amplicon into a microhaplotype using the SAM files. After filtering loci for a minimum read depth

coverage of 10 per individual and an allelic balance ratio of 0.3, the software was then used to export the microhaplotypes for downstream analyses.

Because the inference of genetic sex with the 'Omy-Y1-2Sexy' locus is based on non-amplification in females, the expected read depth for females is zero. However, due to individual barcode misidentification and other genotyping errors, some reads could possibly be incorrectly assigned to females. Thus, based on the observed distribution of read counts the threshold for the inference of female sex was set to a maximum of 5 reads.

Four sequencing runs were performed, with from one to four plates of 96 individuals at the same time. After each run, the variability across loci was assessed, and loci were filtered according to the following criteria: read depth, inconsistent allelic balance across individuals, deviation from Hardy-Weinberg equilibrium (HWE), and the presence of more than two haplotypes per individual, likely due to paralog loci or index sequencing errors (Larsson et al., 2017). Finally, primers associated with extremely high read-depth loci were diluted or removed, in order to limit their over-representation in the sequencing pools. The final panel was composed of 124 loci for parentage and population genetic analysis, 10 adaptive loci in the Omy05 and *greb1L* regions, and one locus to identify genetic sex in our individuals.

### Phylogeographic Utility

In addition to the individuals genotyped for the panel development, samples from 28 additional populations were genotyped along with additional samples for some populations that were already included. This study is based on 124 loci genotyped in a total of 1,831 tissue samples from 58 populations (Supp. Table 1).

Genetic diversity estimates (*i.e.*,  $H_e$ ,  $H_o$ ,  $N_a$ ,  $F_{is}$ , pairwise  $F_{st}$ ) were calculated for each population and across all populations using the MStoolkit (Park, 2008) as well as the R package 'diveRsity' (R core team 2022; Keenan et al., 2013), and Allelic Richness ( $A_{pR}$ ) was calculated using the software HPrare v1.1 (Kalinowski 2005). Genetic distances between populations were also analyzed with phylogenetic trees from the software PHYLIP (Felsenstein, 1993). A neighbour-joining tree (Saitou & Nei, 1987), representing genetic distance between populations, was calculated with PHYLIP using pairwise chord distances (Cavalli-Sforza & Edwards, 1967). The stability of the tree topology was examined using the Seqboot program, with 1,000 bootstrap replicates. Discriminant analysis of principal components (DAPC) was performed with the R package 'adegenet' (Jombart, Devillard & Balloux, 2010). The number of axes that maximize the results of the DAPC was defined by a "DAPC Cross-Validation" test (Jombart & Collins, 2015), and 150 PCA components were kept for the population panel, for each DAPC. In order to observe core patterns more clearly, each of the multivariate analyses had clearly differentiated populations removed for the next DAPC. Finally, individual-based ancestry evaluations were also implemented using the model-based clustering program STRUCTURE (Pritchard et al., 2000). Values of  $K$  were evaluated as follows:  $K = 2-10, 15, 20, 25,$  and  $30$ . STRUCTURE output was then analyzed with CLUMPAK (Kopelman et al., 2015) and DISTRUCT (Rosenberg, 2004).

# Results

## Panel Validation

Overall, 754 individuals were successfully genotyped for the microhaplotype panel validation, and 96.8% of the 124 presumably neutral loci successfully genotyped for more than 90% of all individuals in each population. No consistent deviations from HWE were observed across populations. Mean global heterozygosity across all loci was high (0.42), with a total of 847 microhaplotype alleles distributed across all populations.

## Population Genetics Statistics

Estimates of genetic diversity were calculated using the final dataset consisting of 124 loci and 1,831 genotyped individuals from 58 populations. No consistent deviations from HWE observed across populations. Average allelic richness among populations was 1.79, mean values of both expected and observed heterozygosity were 0.26, and the average number of alleles per locus was 2.18. The Sheepheaven Creek samples displayed the lowest genetic diversity estimates for all metrics (Supp. Table 1), with expected heterozygosity (0.06), observed heterozygosity (0.06), allelic richness (1.15), and number of alleles per loci (1.18). On the other hand, steelhead from Feather River Hatchery and Mokelumne River Hatchery showed the highest expected heterozygosity (0.40) and observed heterozygosity (0.40) values, and Nimbus Hatchery had a similarly high observed heterozygosity (0.40). Coleman National Fish Hatchery of Battle Creek displayed the highest allelic richness (2.36). The mean values of all genetic diversity estimates were higher for coastal samples ( $H_e = 0.33$ ,  $H_o = 0.33$ ,  $A_r = 2.06$ ,  $N_A = 2.60$ ) than for inland rainbow trout populations ( $H_e = 0.18$ ,  $H_o = 0.19$ ,  $A_r = 1.51$ ,  $N_A = 1.76$ ), but no significant differences were found.

Pairwise  $F_{st}$  estimates (Supp. Table 3) were highest between the outgroup, Cutthroat trout (1), and *O. mykiss* populations, with a mean  $F_{st}$  of 0.660 between those two species. Among the *O. mykiss* samples the mean  $F_{st}$  was 0.354, with Golden Trout complex samples (38–49) showing the greatest differentiation from the rest (mean  $F_{st} = 0.486$ ; Supp. Table 3). Within the Golden Trout complex, the 'Wyoming' California Golden Trout hatchery strain (55) were similar to Golden Trout Creek populations of wild California Golden Trout, suggesting an absence of strong divergence despite multiple generations in captivity. Finally, the Pit River Hatchery (52), Spring Creek (6) and Coldwater Canyon Creek (19) populations stood out from other populations with consistently high pairwise  $F_{st}$  estimates in comparison to the rest of the populations. In contrast, the coastal and Central Valley populations showed relatively low genetic differentiation.

## Neighbor-Joining Tree

The neighbor-joining tree highlighted previously known phylogenetic patterns among *O. mykiss* populations, with many nodes having strong bootstrap support (Fig. 1). For example, all Golden Trout complex samples were tightly clustered together, including the Golden Trout hatchery strain of Wyoming,

with a bootstrap value of 97% in the Neighbor-Joining tree (Fig. 1). Similarly, the Redband Trout of Deep, Shields, and Buck Creeks strongly clustered together, along with Spring Creek, an upper Klamath tributary (Fig. 1). Central Valley below-dam populations showed mixed ancestry, the majority of them clustered together along with the domesticated rainbow trout strains, with the exception of steelhead at Nimbus Hatchery that grouped with the coastal cluster, as expected given their lineage (Pearse & Garza, 2015).

#### DAPC: Discriminant Analysis of Principal Components

DAPC was used to visualize the differentiation and relationships of all the *O. mykiss* populations (Fig. 2). For each DAPC, populations that exhibited clear differentiation in the previous DAPC were removed to allow more detailed relationships among the remaining populations to resolve. The First DAPC (Fig. 2A) showed the cutthroat trout outgroup (1) isolated from all *O. mykiss* populations, as expected. With cutthroat trout removed, DAPC only including *O. mykiss* populations showed a significant separation between golden trout complex (38–49), the Wyoming strain of hatchery golden trout (55), and all other populations (Fig. 2B). Pit River Hatchery (52) and Coldwater Canyon Creek (19) populations also showed clear differentiation from the other *O. mykiss* populations. Interestingly, one individual from the Kamloops Hatchery (57) clustered with the Golden Trout complex. These results were also shown in the third DAPC (Fig. 2C). On this multivariate analysis, the redband trout subspecies (21–25) were differentiated from the rest. Northern coastal populations (2–8) also slightly displayed a break from the central group; this split is most visible on the last DAPC (Fig. 2D), in which Central Valley steelhead populations below dams (30–33, 35–36) also showed strong links with coastal populations.

STRUCTURE results (Supp. Figure 1) were clear and consistent among the 10 replicates made at all values of K. Since the presence of a highly divergent outgroup would not have yielded informative results on the genetic structure of Californian *O. mykiss*, the Cutthroat trout were excluded from this analysis. As in the DAPC analysis, the first split observed with STRUCTURE was between the Golden Trout complex and other *O. mykiss* populations. Then at K = 10 additional patterns are clear, including separation between coastal and inland populations, and an interior Redband Trout group consisting of Buck Creek, North Fork Shields Creek, South Fork Shields Creek, North Fork Deep Creek, and South Fork Deep Creek. At higher K-values, these patterns remain and most populations are clearly distinct (Supp. Figure 1).

#### Adaptive genetic variation and sex informative loci:

In addition to the microhaplotype loci used for population genetic analyses, we developed 10 microhaplotype loci in regions of adaptive genetic variation (*Omy5* and *Greb1L*). The five microhaplotype markers designed within the inversion complex present on the chromosome 5 (*Omy05*) produced a total of eight variable SNPs. Similarly, the five microhaplotype loci targeted in the region of the *Greb1L* gene on *Omy28* contained six variable SNPs. Summary genotype frequencies for a single key SNP for each of these regions provide information on the distribution of known adaptive genetic variants in these regions (Supp. Table 1; *Greb1L*: mhap8\_71 = 11667915 *Omy05*: omy5\_9\_54854574-19).



The 'Omy-Y1-2Sexy' marker and the *Greb1L* and *Omy05* microhaplotypes all amplified successfully in *O. clarkii* as well as *O. mykiss*. However, while these results demonstrate that these loci amplify in this species, they do not necessarily indicate that the same associations between these variants and specific life-history traits exist.

Finally, the 'Omy-Y1-2Sexy' marker, which amplifies only when the Y chromosome is present (*i.e.*, in males) provided highly accurate information on sex for the subset of individuals for which morphological sex information was available. All individuals identified as female had 0, 1, or 2 reads except for one individual with 44 reads, likely indicating a misidentified male. Similarly, with the exception of one male that had zero reads, indicating a possible metadata error, all other known males had > 8 and most had > 50 reads, clearly differentiating males and females.

## Discussion

### Variability of the microhaplotype panel

The novel microhaplotype panel described here contains much more variation than the 96 SNP panel used previously with *O. mykiss* (*e.g.* Abadia-Cardoso *et al.*, 2013; Pearse and Campbell 2018), providing improved resolution for genetic analysis comparable to microsatellite studies in this species (*e.g.*, Pearse *et al.*, 2009; Garza *et al.* 2014; Pearse and Garza 2015). However, unlike microsatellites, microhaplotypes benefit from the same low error rates and ease of genotyping as SNPs, making them amenable to use with high-throughput genotype-by-sequencing pipelines. Thus, this panel of markers provides a valuable new tool for researchers, combining the main advantages of microsatellites and SNPs into a high-throughput genotyping approach (for an empirical comparison of SNPs and microsatellites see Glaubitz *et al.*, 2003; Hauser *et al.*, 2011). These markers will be especially useful for studies of kinship and parentage, where multi-allelic loci provide significant advantages over bi-allelic markers (Glaubitz *et al.*, 2003; Baetscher *et al.*, 2018).

### Genetic sex determination

As in previous studies (Rundio *et al.*, 2012; Pearse *et al.*, 2019; Kelson *et al.*, 2020), the 'Omy-Y1-2Sexy' genetic sex marker used here was very accurate in determining sex of adults from multiple populations, providing high confidence information about the sex of the genotyped individuals. However, the presence/absence detection mechanism of this marker makes it sensitive to technical errors such as failure to amplify in a male (*i.e.* resulting in a 'false-negative' female) or index misidentification. In addition, 'Omy-Y1-2Sexy' was designed on the *sdY* gene, which is the master-sex determining gene in *O. mykiss* (Yano *et al.*, 2013). However, the *sdY* gene is often transposed to a different location of the genome in salmonid species (Phillips 2013), so caution should be used in interpreting the information provided by this marker in novel populations.

### Adaptive Genetic Variation

In the populations studied here, the proportions of anadromous-associated alleles (A) in the Omy05 region and alternative alleles in the *Greb1L* region are concordant with both previous estimations in some of the same populations (e.g. Pearse et al., 2014, 2019; Waples et al. 2022) and with expectations based on the habitats in which they were sampled. In addition to the information provided by single SNPs in both of these regions, together these SNPs provide information on the linkage disequilibrium and frequency of discordant genotypes, extending our understanding of the structure of adaptive genomic variation in these regions. Furthermore, the flexibility of the amplicon sequencing approach will also allow additional loci to be added to the panel in the future to further assess variation in the associations of specific SNPs, or markers associated with other adaptive phenotypic variation, such as loci recently identified as important for additional life-history traits (e.g. Six6 and vglI3; Waters et al., 2021).

### Phylogeography of *O. mykiss*

The relationships recovered using the microhaplotype panel in this study clearly resolved the known population genetic structure of the study populations and concord with the broad-scale patterns known from previous studies. Cutthroat trout were strongly differentiated from *O. mykiss* in all the analyses, and the patterns of differentiation among the coastal, Central Valley, and inland *O. mykiss* populations (Buchanan et al., 1994; Pearse et al., 2011; Pearse and Garza 2015; Leitwein et al. 2017) were clearly visible in the DAPC and STRUCTURE results, as well as in the phylogeographic trees. Similarly, previous studies have shown that coastal *O. mykiss* populations above and below the dams or waterfall barriers are often genetically more differentiated between basins than populations within the same basin (Clemento et al., 2009; Pearse et al., 2009), demonstrating that they still share recent common ancestry. Furthermore, results from STRUCTURE and phylogeographic trees were consistent with previous studies that showed a pattern of isolation-by-distance among below-barrier populations (Pearse et al., 2007; Garza et al., 2014; Pearse and Garza 2015).

Among inland rainbow trout populations, the first group that showed strong genetic differentiation within all the results was the Golden Trout complex, a group of inland populations in the southern Sierra Nevada mountain range. Golden Trout have long been isolated from the sea and have diverged from other *O. mykiss* populations. Concordant with previous work, our analyses revealed two major lineages within the golden trout complex, one representing the populations of the Kern River (Little Kern Golden Trout *O. m. whitei* and Kern River Rainbow Trout *O. m. gilberti*), and another containing California Golden Trout (*O. m. aguabonita*) from Golden Trout Creek. These two clusters diverged within the last 5,000–10,000 years, since Golden Trout Creek was isolated above a waterfall that acts as a complete barrier (Cordes et al. 2006). However, the Chagoopa Creek population clustered with the Kern River lineage despite being above the waterfall, a pattern that can be explained by the fact that Kern River Golden Trout were heavily introduced from the lower Kern River into Chagoopa Creek in the past (Stephens *et al.*, 2006). Like the Golden Trout, the Sheepheaven Creek and Moosehead Creek samples of McCloud River Redband Trout (*O. m. stonei*) were clearly differentiated from other *O. mykiss* and also displayed low genetic diversity estimates, likely because they are small isolated populations with low effective size and limited gene flow (Nielsen et al., 1999; Simmons et al., 2010). Similarly, some small, isolated, southern coastal populations

also had very low genetic diversity (Garza et al., 2014), as well as introgression or complete replacement of wild population by hatchery fish (Abadia-Cardoso *et al.*, 2016).

## Conclusions

The suite of novel microhaplotype markers described here provides a high-throughput amplicon sequencing approach to genotype large numbers of individuals for applications in monitoring and fisheries management, including parentage and kinship analysis. These markers offer high resolution for phylogeographic and other genetic analysis in a genotyping by sequencing framework and provide a population genetic baseline of California *O. mykiss* on which future studies can expand.

## Declarations

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### Authors contributions

LeGall, Barthelemy, Clemento, Rodzen, Garza, and Pearse designed the study; Rodzen, Garza, and Pearse provided biological materials; Columbus, Campbell, Correa, Le Gall, and Barthelemy conducted laboratory work and analyzed the sequence and marker data; Le Gall, Barthelemy, and Clemento conducted the population genetics analyses and made figures; Le Gall, Barthelemy, and Pearse wrote the manuscript. All authors approved the final manuscript.

All samples were collected non-lethally and in accordance with Federal and State regulations and approved IACUC animal care procedures at the institutions at which they were handled.

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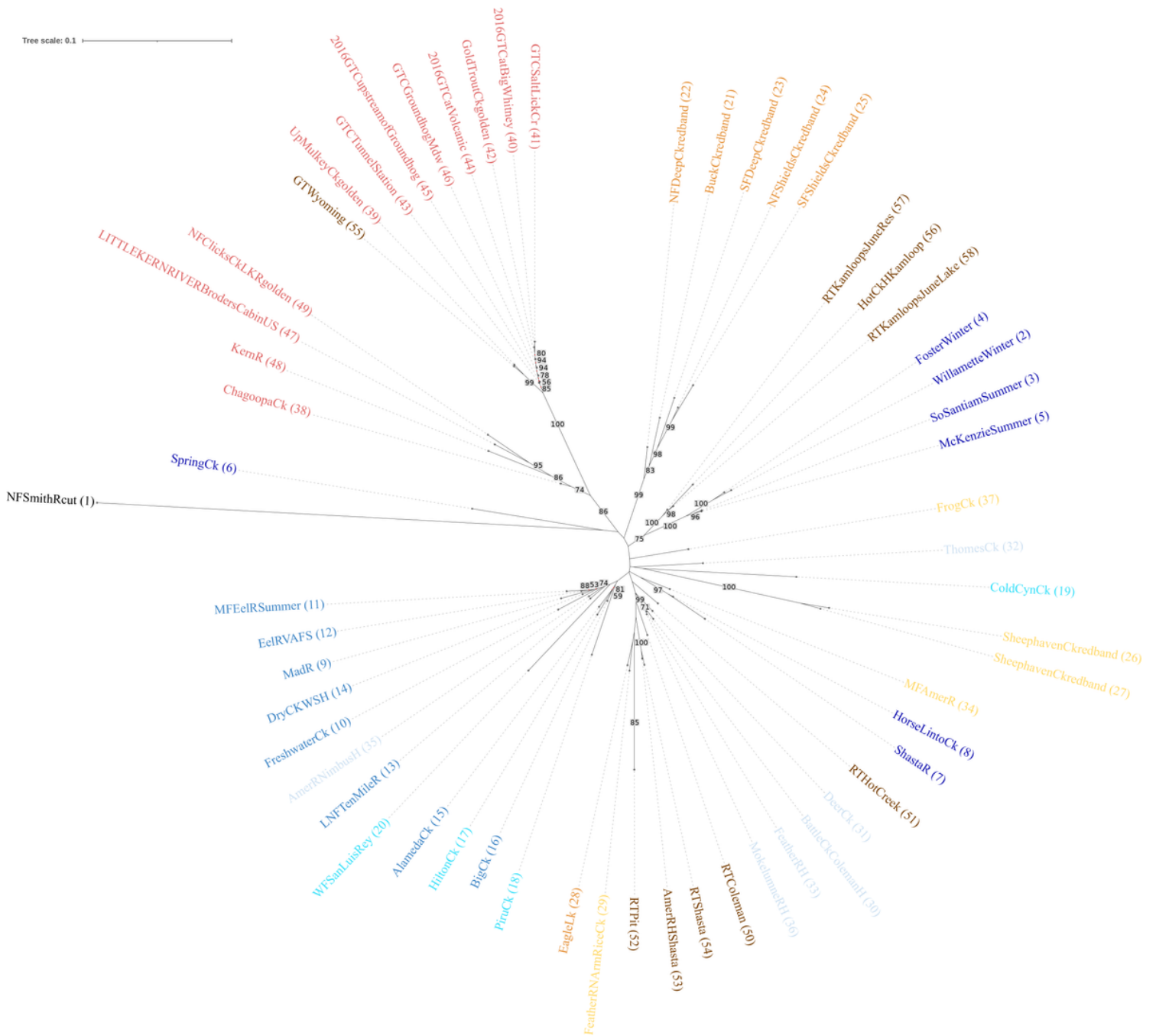


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## Supplementary Figure

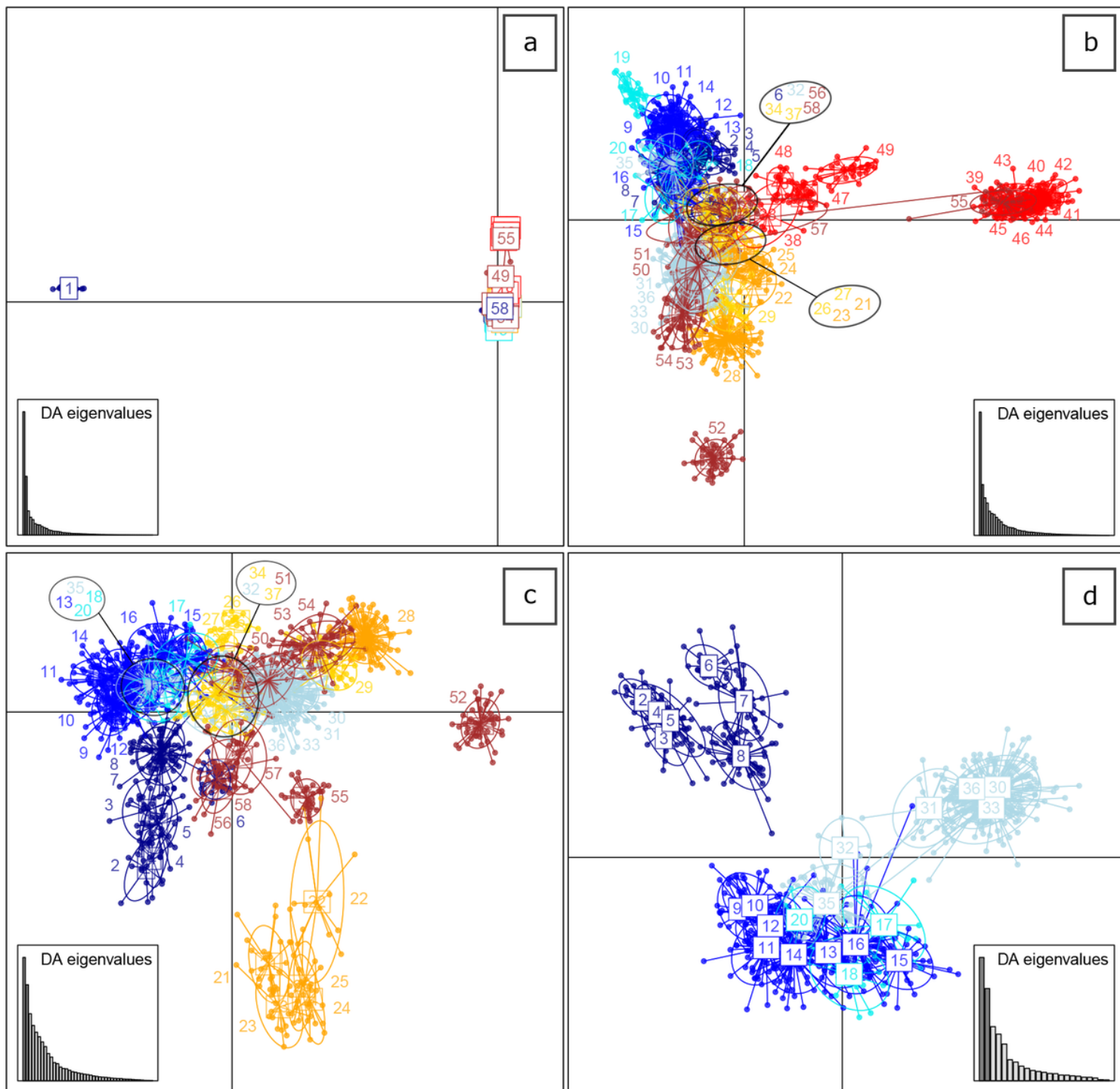
Supplementary Figure 1 is not available with this version

## Figures



**Figure 1**

Neighbor joining tree of *O. mykiss* populations, showing distance between each nod. Constructed with chord distance, this tree displays all the population present in this study. The color code is the same as on Supp. Table 1, i.e. Black: Cutthroat trout, Blue: Coastal populations, Navy Blue: Northern Coastal, Turquoise: Southern Coastal, Light Blue: Central Valley Below dams, Yellow: Central Valley Above dams, Orange: Inland, Red: Golden Trout, Brown: Hatchery strain. Bootstrap consensus values from 1000 bootstrap replicates shown. Only bootstrap values above 50% are reported.



**Figure 2**

Four DAPCs showing population relationships on their first two axes that explained most of the variance. A = All the populations (1-58), B = *O. mykiss* populations without Cutthroat trout (2-58), C = *O. mykiss* populations except the golden trout and Coldwater Canyon Creek populations (2-18, 20-37, 50-58), D = Steelhead populations only (1-18, 20, 30-33, 35-36). The color code is the same as on Supp. Table 1, i.e. Black: Cutthroat trout, Blue: Coastal populations, Navy Blue: Northern Coastal, Turquoise: Southern Coastal, Light Blue: Central Valley Below dams, Yellow: Central Valley Above dams, Orange: Inland, Red: Golden Trout, Brown: Hatchery strain.

## Supplementary Files

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