

1 **Title:** GENAPOPOP 1.0: a user-friendly software to analyse genetic diversity and structure in partially
2 clonal and selfed polyploid organisms

3 **Short running title:** Population genetic analyses of autopolyploids

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12 **Abstract**

13 Autopolyploidy is quite common in most clades of eukaryotes. The emergence of sequence-based
14 genotyping methods with individual and marker tags enables now confident allele dosage,
15 overcoming the main obstacle to the democratization of the population genetic approaches when
16 studying ecology and evolution of autopolyploid populations and species. Partial clonality, allogamy
17 and selfing are reproductive modes commonly that have deep consequences on the ecology and
18 evolution of population and species. Analysing genetic diversity and its dynamics over generations is
19 one efficient way to infer the relative importance of clonality, selfing and allogamy in populations.
20 GENAPOPOP is a user-friendly solution to compute the specific corpus of population genetic indices
21 needed to analyse partially clonal, allogamous and selfed polysomic populations genotyped with
22 confident allele dosage. It also easily provides the posterior probabilities of quantitative reproductive
23 modes in autopolyploid populations genotyped at two-time steps and a graphical representation of
24 the minimum spanning trees of the genetic distances between polyploid individuals, facilitating the
25 interpretation of the genetic coancestry between individuals in hierarchically structured populations.

26 GENAPOPOP complements the previously existing solutions, including SPAGED1 and POLYGENE, to use
27 genotypings to study the ecology and evolution of autopolyploid populations. It was specially
28 developed with a simple graphical interface and workflow to facilitate practical course and teaching
29 of population genetics for autopolyploid populations.

30

31 **Keywords**

32 Polyploidy, AMOVA, Genetic differentiation, Unrooted tree of genetic distances, Bayesian inference
33 of reproductive modes

34

35 **Introduction**

36 Population genetics is a robust, cost- and time-efficient framework to predict, understand and infer
37 the ecology and evolution of species (Ewens 2004, Ellegren & Galtier 2016). This paradigm at the
38 center of biological evolution theory has stood the test of time to predict and track the ancestral
39 relatedness between individuals at the scale of a set of biological entities (i.e., a population) being
40 studied (Wakeley 2005). Using changes of genetic variations over time and space, population genetic
41 models allow quantifying evolutionary forces in genotyped populations and interpreting them as
42 hypothesized biological and environmental influences on lineages (Ellegren & Galtier 2016). Among
43 all the possible biological features driving evolution, reproductive mode of a population is one of the
44 most significant evolutionary force impacting the dynamics of genetic diversity and its structure
45 among populations as it determines the transmission of the hereditary DNA signal over time (Duminil
46 *et al.* 2007). In return, analysing the genetic diversity within populations allows inferring the
47 reproductive modes of populations, providing a precious knowledge to predict and understand the
48 ecological and biological evolution of studied populations and helps better targeting ecological
49 scenarios and more robust inferences of other evolutionary forces (Fehrer 2010, Yu et al. 2016,
50 Stoeckel et al. 2021). However, to date and despite nearly one century of research, population
51 genetic models and tools were mostly developed for sexual, diploid species (Orive & Krueger-
52 Hadfield 2021, Dufresne et al. 2014).

53 Eukaryotes with more than two sets of homologous chromosomes (autopolyploids) or duplicated
54 genomic segments are very common in ferns, flowering plant and fungi species (Barker et al. 2015,
55 Albertin & Marullo 2012, Wood et al. 2009). Polyploidy seems less frequent in animals albeit
56 significant in a handful of clades such as in fishes, cnidarians or amphibians (Gregory & Mable 2005,
57 Mable *et al.* 2011). Polyploidization influences genetic and phenotypic diversity including potential
58 ecological adaptations and radiations, with a long-term dynamic from whole genome duplication to
59 re-diploidization (Baduel et al. 2018, Wu et al. 2019). Interestingly, polyploidy strongly co-occurs with
60 reproductive modes involving partial clonality, both in natural and experimental populations (Herben

61 et al. 2017; Van Drunen & Husband 2019). It also seems to be an influential complementary factor to
62 the more classical Baker's hypothesis of the advantage of uniparental reproductive mode, including
63 selfing and clonality, when peripatric populations establish in new areas (Pandit et al. 2011, Barrett
64 2018, Rutland et al. 2021). If studying the reciprocal influences of reproductive modes on the ecology
65 and evolution of populations is now usual in diploid populations using their genetic diversity,
66 favoured by a wide range of tools adapted to analyse their genetic diversity like GENCLONE (Arnaud-
67 Haond & Belkhir 2007), RMES (David et al. 2007) and RCLONE (Bailleul et al. 2015), it is less common in
68 polyploid populations; And when rarely achieved, the lack of adapted , easily accessible analysis
69 solution leads studies to consider such datasets as haplotypes or analyse them as diploid.

70 Indeed, population genetic studies of polyploid organisms were long limited by two main difficulties
71 (Dufresne et al. 2014, Jighly et al. 2018). First, accessing robust genotypings in such populations has
72 long been a true challenge due to the problematic allele dosage in individuals. For example, it was
73 methodologically impractical to distinguish between *AABB*, *ABBB* and *AAAB* individuals at a tetraploid
74 genetic marker with two alleles, A and B, without assuming hypotheses difficult to verify (Dufresne et
75 al. 2014, Bourke et al. 2019). Allele dosage difficulties intensify with increasing ploidy and number of
76 possible alleles at the considered genetic marker, as the number of combinations of alleles
77 determining the number of possible genotypes itself increases. However, recent advances in
78 genotyping methods exploiting deep sequencing with low errors rates and individuals and marker
79 tags unlocked the possibility to genotype polyploid individuals with confident allele dosage, even in
80 species with large sets of chromosomes (Delord et al. 2018). These genotyping methods benefit both
81 from the advances made on the sequencing process itself that decrease sequencing errors and from
82 the development of upstream molecular processing of genetic samples to tag and target very-specific
83 genomic regions to increase the sequencing depth of the genotyped marker and allow reproducible
84 replicates. It is now easier to access for a limited cost to more than 20 to hundreds of replicated
85 sequences per SNP or microsatellite allele within each individual in a pool of individuals using
86 genotype-by-sequence method. For example, HIPLEX genotyping method allows genotyping ~500

87 individuals at 100 SNPs using one sequencing run (e.g., MiSeq 2x150 Heflin), with a sequencing depth
88 of ~50 sequences per allele in tetraploids and ~33 sequences per allele in hexaploids, resulting in
89 genotype assignments with a confidence superior to 99% (Delord et al. 2018).

90 Second, we also long lacked of adapted models and analysis methods to compute population genetic
91 indices and quantify evolutionary forces in polyploid populations (Dufresne et al. 2014). Due to
92 challenges introduced by data formats and difficulties in generalizing the mathematical formula of
93 population genetic indices (Ewens 2004), the most commonly-used population genetics software
94 solutions were not designed to work with more than two allelic copies per gene, leaving aside
95 researchers and teachers willing to study polyploid species. A handful of library and software
96 emerged in the last years, like the command-line SPAGEDI (Hardy & Vekemans 2002), the more user-
97 friendly recent and multiplatforme POLYGENE (Huang et al. 2020) or GENODIVE (Meirmans &
98 Tienderen 2004) a software restricted to MACOS X operating system. However, all these programs do
99 not compute all the population genetic indices used to understand and interpret reproductive
100 modes, including selfing and clonality in populations, such as indices based on genotypic diversity
101 and individual probabilities of identities. POLYGENE for example cannot handle replicated genotypes
102 like commonly observed in partially clonal populations. POLYGENE and POLYSAT (Clarck & Jasieniuk
103 2011) cannot currently deal with data with confident allele dosage, which becomes a standard with
104 massive sequencing & tagging methods. Some R libraries like POPPR (Kamvar et al. 2014), RCLONE and
105 POLYSAT, and command-line solutions like SPAGEDI may help analysing genotypes of polyploid
106 populations with different modes of reproduction, but they require an exhaustive exploration of
107 their documentation and some trainings in scripting language to use them. During practical courses,
108 they involve a preliminary introduction about scripting or on the reasons for using some options over
109 another, complicating teaching population genetics for polyploid species by dispersing the topic in
110 technical considerations.

111

112 **GenAPoPop software**

113 Thereby, to provide a user-friendly solution to compute the specific corpus of population genetic
114 indices needed to analyse partially clonal and selfed polysomic populations, we developed and
115 packaged a new portable, multi-operating system, working by itself with no dependency software,
116 named GENAPOPOP (standing for Genetic Analyses of Polyploid POPulations). It can be downloaded
117 GENAPOPOP is written combining PYTHON, FORTRAN and HTML with a graphical user interface coded in
118 Qt. The binary executables for WINDOWS, LINUX and MACOS are provided under the terms of a CC-BY-
119 NC-SA license, version 4, and can be downloaded at
120 <https://forgemia.inra.fr/solenn.stoeckel/genapopop1.0/>. The idea of this software is to relieve the
121 users of all scripting tasks, and simplify as much as possible the infile formatting. To this aim,
122 GENAPOPOP uses a graphical interface organized in a comprehensive workflow (Fig. 1). This software
123 was also designed to complement the previously cited softwares, by computing indices and methods
124 that were not yet proposed.

125 It enables analysing genotyped dataset with confident allele dosage of autopolyploid species in which
126 we can neglect double-reduction, i.e., neglecting that a gamete can inherit of a single allele more
127 than once. GENAPOPOP assumes a random chromosome segregation model (Muller 1914), that
128 considers gametes originate from any combination of homologous chromosomes, thus excluding that
129 two sister chromatids segregate in a same gamete. This is the most commonly observed case in
130 polyploids (Wu et al. 2001). In consequence, users should thus be warned that GENAPOPOP doesn't
131 consider yet for double-reduction. It thus ignores *pure random chromatid segregation model* where
132 chromatids randomly segregate into gamete resulting in a rate of double-reduction of 1/7 for
133 tetrasomic inheritance (Haldane 1930) and *complete and partial equational segregation model*
134 where whole arms of sister chromatids are exchanged by recombination into different
135 chromosomes, resulting in a rate of double-reduction of 1/6 when complete equational segregation
136 occurs (Mather 1935, Huang et al. 2019). These possible double-reductions in auto- and
137 allopolyploids result from multivalent pairing among homologous chromosomes, when two or more
138 sister chromatids segregate in a same gamete (Wu et al. 2001, Huang et al. 2019, Jiang et al. 2021,

139 Ferreira de Carvalho et al. 2021). The main consequence of double-reduction for population genetics
140 is to increase the probability of identity-by-descent when compared to random chromosome
141 segregation model (Hardy 2016). For example, an autotetraploid individual typed *ABCD* can produce
142 *AA, BB, CC, DD* gametes when double-reduction happens, while without it, as supposed in
143 GenAPoPop in its current version, only *AB, AC, AD, BC, BD, CD* gametes are considered.
144 Each packaged version of GENAPOPOP is tested on X64 CPU systems (including server CPU INTEL XEON
145 E5-2650 v3, AMD THREADRIPPER 3970X and AMD RYZEN 7 5800U) with a LINUX DEBIAN-based distribution
146 and MICROSOFT WINDOWS 10 and 11 uptodate versions; The MACOS version is currently tested on a
147 MACOS BIG SUR, INTEL version.

148

149 **Format of input data and output results**

150 GENAPOPOP was intentionally designed to accept different genotyping text-file format as long as each
151 line codes for one individual genotype, and each allele is reported in one column, with columns
152 separated by tabulation. It also manages files with multiple header lines. The advantage of this
153 GENALEX-like format text file (Peakall & Smouse 2012) is that it is universally handled by spreadsheets
154 and text editors, and it fits the most commonly-used output format of many SNP-set callers. Each
155 time uploading, GENAPOPOP workflow requires to upload such data file, and then label the four
156 necessarily present columns in the data file: three columns indicating population name, generation
157 or date of sampling and individual identifier (Table 1). Any character can be used in these columns
158 except tabulation and space. The fourth column indicates the column with the first allele of the first
159 locus, and implies that all the following columns until the last one only contains alleles coding for the
160 individual genotype. Alleles can be SNPs, thus expected to be coded as upper- or lower-case *a, c, g, t*
161 and *n* for missing allele or number *1, 2, 3, 4* and *0* for missing allele. Alleles can also be sequence
162 repeat markers (like micro-, mini- and macro-satellites) or sequence length-based markers, named
163 hereafter SSR-like markers in GENAPOPOP software and documentation. In the case of SSR-like
164 markers, each allele is expected to be coded as an integer number of repeats or a sequence size, and,

165 if encountered, missing allele should be coded as zero. For the moment, GENAPOPOP supposes
166 genotypes evolve following a K-allele mutation model (KAM) in which any allele can mutate in any
167 other allele with the same probability, which has the advantage of aptly modelling the mutation of
168 both microsatellites and SNPs (Weir & Cockerham, 1984), but does not make it possible to exploit the
169 number of repeats or the sequence sizes for computing population and individual genetic indices and
170 distances.

171 GENAPOPOP can work on input file with genotypes of one or multiple populations, with identical
172 ploidy and genotyped with a common marker-set, to analyse them in mass. GENAPOPOP has not limit
173 in the number of populations, of time-steps and genotypes it can analyse out of the classic material
174 and operating system limitations, i.e., the quantity of random-access memory (RAM) to upload the
175 datafiles and the outputs, and the central processing unit clock speed and advancement of its
176 instruction sets.

177

178 **Implemented methods and workflow**

179 GENAPOPOP is organized by tabs: one homepage, one page to load the dataset and describe its
180 arrangement, three tabs to perform the three different types of analyses and one tab of
181 documentation (Fig. 1).

182 *** Insert here Figure 1 ***

183 The software opens on a welcome homepage giving basic information and enabling opening the
184 attached PDF documentation either using the integrated GENAPOPOP's browser, interesting in
185 situations where the software must be deployed on workstations without administrator's rights or
186 with restricted access like during practical courses at university, or using the default system PDF file
187 reader, that often provides more comfort and accessibility options than found the basic integrated
188 browser provided within GENAPOPOP. Next, users are directed to a tab dedicated to upload and
189 describe at a minimum the composition of the genotype dataset. In this tab, users upload the text file
190 containing the genotype dataset, inform the header line (after which all lines code for one genotype

191 of one individual), inform the 4 main columns (population, generation, individual identifier, and the
192 column containing the first allele of the first locus), inform the ploidy (from 1 to 50) and the type of
193 markers (SSR-like or SNP-like). When the dataset is uploaded and the required line and columns
194 labelled, users are invited to check the data format. If troubles, the verification will report explicit
195 errors to be corrected, returning the problematic line of the dataset. The verification passed, users
196 are then invited to launch one of the three types of analyses performed by GENAPOPOP by clicking on
197 the corresponding button opening a dedicated new tab.

198

199 **GenPopPoly tab**

200 This tab allows users to compute a list of population genetic indices suitable to analyse genetic
201 diversity and population structure of polyploid populations with a special focus on reproductive
202 modes. Users select the population(s) to be analysed, select the analyses to be computed and
203 reported, launch the computation and can directly browse the results for a first sight in the
204 integrated calc viewer. The results are also saved in a text-file (separator tabulation) in the folder
205 containing GENAPOPOP executable. Result files can readily be opened by all spreadsheet applications
206 to be explored and manipulated to do tables and figures. The output file presents first all intra-
207 population indices computed per population, then computed overall populations. It includes
208 genotypic and genetic diversity indices as recommended in Stoeckel et al. (2021), probabilities of
209 identity (Waits et al. 2001), the four first moment of inbreeding coefficient F_{IS} in populations, i.e.
210 mean, variance, skewness and kurtosis (Stoeckel & Masson 2014). It also provides a list of multi-locus
211 genotypes (commonly named MLG in literature or genet) with their shared genotype, and in the last
212 column, the number of repeated genotypes (ramet) found in the considered population. In each and
213 overall populations, it reports genotypic diversity indices including the index of clonal diversity (R ,
214 Dorken & Eckert, 2001) and the size distribution of lineages (*Pareto β* , Arnaud-Haond et al., 2007).
215 We deliberately discarded many other indices to help users robustly interpreting genotypic diversity
216 in their populations. Despite *Pareto β* is far more robust than the R to assess genotypic diversity in

217 sampled populations (Stoeckel et al. 2021, Arnaud-Haond et al. 2020), we still compute R for
218 reference, as this one was historically massively reported in past literature. The output also provides
219 the mean correlation coefficient of genetic distances between unordered alleles at all loci, usually
220 named \bar{r}_d as an overall measure of linkage disequilibrium per population and overall populations
221 (Agapow & Burt, 2001). This index, ranging from slightly negative or 0 (no correlation) to 1 (maximum
222 association of alleles over all loci), presents the advantage of limiting the dependency of the
223 correlation coefficient on the number of alleles and loci. GENAPOPOP also provides per population
224 and overall populations a table of classical intra-population genetic indices per locus: observed
225 heterozygosity, raw and unbiased expected heterozygosity (also name gene diversity), resulting raw
226 and unbiased inbreeding coefficient (F_{is}) accounting for intra-individual genetic variation as a
227 departure from Hardy-Weinberg assumptions of the genotyped populations and the raw and
228 effective number of alleles (A_e , Weir 1996). This table also provides the unbiased probabilities of
229 identity under panmixia and between sibs (P_{ID} and P_{ID-SIB} , Waits et al. 2001) that enable assessing the
230 power of a marker set considering the number of sampled individuals in a population to distinguish
231 between genotypes. These indices give a populational measure of unique genotype probability when
232 p_{gen} and p_{sex} indices provide this measure at the scale of an individual (Arnaud-Haond et al. 2007;
233 Stoeckel et al. 2006; Villate et al. 2010). Finally, it provides over all loci the four first moments of the
234 distributions of the population genetic indices computed per loci.

235 Then, when selected, the output file provides the results of the analysis of molecular variance
236 (AMOVA) computed following Meirmans & Liu (2018) and Weir (1996) equations and
237 recommendations, including the F_{is} , F_{st} , r_{hst} and F_{it} per population, over all population, per marker
238 and over all marker. It then provides the pairwise-population table of pairwise-population r_{hst} .
239 r_{hst} measures the genetic differentiation between populations as the F_{st} value that would have the
240 same haploid population sizes connected with the same migration rate, and present the advantage
241 to be comparable between species and populations of different ploidy levels (Ronfort et al. 1998,
242 Meirmans & Van Tienderen 2013).

243 These indices of genetic differentiation/structuration are a good complement to the minimum
244 spanning tree of the genetic distances between individuals when coloured or tagged by population to
245 get a picture of the genetic structure of genotyped populations (see below).
246 To our knowledge, GENAPOPOP (tab GENPOPOLY) is the first software to allow computing the *Pareto*
247 β , unbiased probabilities of identities hypothesizing panmixia and reproduction between sibs, and
248 the four moments of *Fis* values over loci per population. These indices are useful and efficient to
249 estimate rates of clonality, autogamy (selfing) and allogamy on genotypes of sampled populations
250 sampled at one time (Castric et al. 2002, David et al. 2007, Hardy 2016, Stoeckel et al. 2021).
251 For easily extend the exploration of the analysed dataset with other existing software, the dataset
252 can also be exported in a SPAGEDI format in the same folder under the same imported data name
253 extend with “_spagedi_ready.txt”. This file that can be easily imported in other software, including
254 SPAGEDI and POLYGENE, and we greatly encourage future GENAPOPOP users to analyse their data with
255 multiple other analysing software to get the most complete view of their dataset.

256

257 **ClonEstiMatePoly tab**

258 This tab allows users to compute the posterior probabilities of joint rates of clonality and selfing in
259 polyploid populations genotyped at, at least, two-time step. We extended to autopolyploids the
260 Bayesian formula and method CLONESTIMATE from Becheler et al. (2017). It exploits the likelihood of
261 transitions of genotype frequencies from one generation to another to accurately estimate rates of
262 mutation, clonality and selfing. This method remains accurate in the absence of equilibrium between
263 evolutionary forces (genetic drift, mutation and rates of clonality) which is quite common in partially
264 clonal populations (Reichel et al. 2016), using from about ten markers, even physically linked and
265 mutating with other mutation model, and from 30 sampled individuals. It is however sensitive to
266 erroneous assumed or restricted prior values of clonal and selfing rates, null alleles and sampling
267 time interval greater than two generations. Extended equations can be found in the supplementary
268 material. This discretized Bayesian method needs an analysis plan listing discretized priors on rates of

269 mutation, clonality and selfing for each population. Restricted ranges of prior on each of these
270 parameters allows better inferences on other targeted parameters. Analysis plan can be uploaded or
271 prepared (and saved for future use) using the graphical interface. Analysis plan can be browsed and
272 checked using the integrated browser before launching the computations. To speed-up the
273 calculations, computations per locus and population of the analysis plan were parallelized using the
274 maximum number of threads available by the operating system. Results are stored in the folder
275 containing GENAPOPOP in a text-file separator tabulation file that can be readily handled using any
276 spreadsheet application. Results are presented per population between two sampling time as a list of
277 discrete joined values of mutation rate, rates of clonality and selfing with the corresponding
278 posterior probabilities of such joined combination of priors. This presentation of the results makes it
279 easy to combine the posterior probability mass functions per population and generations into table
280 and/or into plots of their distributions. If found in the dataset, it also returns the list of monomorphic
281 loci at, at least, one sampling time. Monomorphic loci decrease the inference power of the dataset to
282 assess rates of mutation, clonality and selfing between the two sampled generations.

283 To our knowledge, GENAPOPOP (tab CLONESTIMATEPOLY) is the first user-friendly software allowing
284 computing the posterior probabilities of rates of clonality and selfing in polyploid (including diploid)
285 populations genotyped at, at least, two-time step. This method was demonstrated to be the most
286 accurate way to quantitatively assess reproductive modes in populations over multiple Eukaryotes
287 species, especially for detecting low rates of clonality (Becheler et al. 2017). It should facilitate the
288 identification of clonal reproduction and the estimation of the rates of clonality in polyploid
289 populations, and promote the study of reproductive modes and their genetic consequences in such
290 species. It should be a nice addition to the method of estimation of selfing rates using multilocus
291 standardized identity disequilibrium coefficient found in SPAGEDI (Hardy 2016).

292

293 **Minimum spanning tree of genetic distances between individuals tab**

294 This tab allows users to compute the genetic distance between individuals using their identity-in-
295 state (number of shared alleles) and provides the corresponding minimum spanning unrooted tree
296 using the classical equal-angle algorithm (Christopher Meacham in Felsenstein 2004). This network
297 representation is useful to detect multilocus lineages (named MLL in literature) due to clonality that
298 shape typical rosettes or small rosaries, i.e., a group of ramets differing by a limited number of
299 mutations radiating around a main genet (Fig. 2).

300 *** Insert here Figure 2 ***

301 Users can get the computed genetic distances between pairwise-individuals in an exported text-file,
302 and customize the plot of the minimum spanning unrooted tree using individual color and tags. The
303 resulting graph can be exported at different resolution into research-standard portable document
304 format (PDF) file format, raster (portable network graphics, PNG) or vector (scalable vector graphics,
305 SVG) image formats. The resulting graph can be previewed and explored using the integrated
306 browser, using mouse controls (zoom in and out using mouse wheel, move the graph with mouse
307 grab) before exportation.

308 To our knowledge, GENAPOPOP (tab CLONESTIMATEPOLY) is the simplest and fastest way to get a
309 custom unrooted minimum spanning tree of the identity-in-state between individuals colored by
310 custom (physiological, ecological, spatial, etc.) features.

311

312 **Results**

313 To test the consistency and accuracy of our software, we used simulated data and empirical datasets
314 as control data to determine how GENAPOPOP compares with the referent existing software SPAGEDI
315 on computed population genetic indices. We simulated four test datasets available for further and
316 future unit testing on the European general-purpose open repository ZENODO (Barloy et al. 2022). In
317 each scenario, we simulated two connected populations of 100 individuals each with a migration rate
318 of 0.01 and mutating at a rate of 0.01, genotyped at 10 SNPs. For each scenario, we recorded the
319 populations' genotyping states over two consecutive generations. These four scenarios correspond

320 respectively to panmictic (A), highly clonal (B), highly selfed (C) and half-clonal-half-selfed (D)
321 reproductive modes. In addition, we tested GENAPOPOP on two field datasets with confident allele
322 dosage, one SNPs set from the autotetraploid genome part of *Ludwigia grandiflora subsp. hexapetala*
323 (hereafter *Lgh*, Genitoni et al. 2020) and one microsatellite set from the autotetraploid arctic sea
324 anemone *Aulactinia stella* (hereafter *As*, Bocharova et al. 2018). These two datasets are genetic
325 samples of larger metapopulations genotyped with confident allele dosage, including incomplete and
326 missing genotypes, and including some loci fixed in one of the populations.

327 ***insert here Table 2***

328 Globally, SPAGEDI and GENAPOPOP reported similar values, especially considering that GENAPOPOP uses
329 double-precision floating-point format (64 bits) while SPAGEDI uses a lower precision that can add up
330 along the calculations. Looking at the marginal mean absolute difference between SPAGEDI and
331 GENAPOPOP reports (Table 2), the worst inconsistencies occurred in *As* populations genotyped with a
332 higher number of alleles per marker (microsatellites). GENAPOPOP, intentionally computes estimators
333 with limited ‘correction’ to avoid to give more weight to some loci rather than other which may bias
334 the global picture of a dataset (see formulas in Supplementary Material). Marginal mean absolute
335 differences between SPAGEDI and GENAPOPOP on genetic indices showed that *Ae*, *Fis* and *Fit* showed
336 more differences. These indices imply divisions of genotype and allele frequencies, which are the
337 more susceptible to be impacted by float precision. GENAPOPOP was designed to complement
338 Genodive, Polygene that performs hierarchical and Bayesian clustering and PARENTAGE analysis, and
339 SPAGEDI that already performs multiple spatial analyses and that can be used to estimate selfing rate.
340 All these three softwares input SPAGEDI -format files. GENAPOPOP allows users to export automatically
341 their data in a SPAGEDI -format file and thus easily extend and access these complementary analyses
342 using these softwares.

343

344 **Recommendations and warning**

345 Most, if all population genetic analyses rely on accurate estimates of real populational genotype
346 frequencies, including here CLONESTIMATEPOLY method. The number of possible genotypes at one
347 locus increases with the ploidy and the number of alleles (Reichel et al. 2015). We thus draw users'
348 attention on the fact that sample sizes should naturally be larger in polyploid organisms to accurately
349 estimate their genotype frequencies.

350 Missing value and null allele compromise comparisons between individuals, lineages and
351 populations, and are susceptible to create biases and misinterpretations. Suspected null allele can be
352 coded as unknow allele with their own specific letters or positive integers, and should be clearly
353 reported before interpretations. Indeed, no "correction" or "assumptions" can enhance a blurred
354 and incomplete genotyping signals without deep consequences on the computed indices and then
355 their interpretations, whatever the 'correction'. We thus recommend users to rather remove genetic
356 markers and individuals with missing values and uncertain genotypes.

357

358 **Conclusion**

359 GENAPOPOP provide a user-friendly, multi-operating systems, efficient mass processing way to
360 analyse polyploid (including diploid) genotypings with a special focus on interpreting the genetic
361 diversity and its structure within and between populations in regards with their reproductive modes.
362 It especially allows interpreting the genetic diversity in partially clonal, partially selfing autopolyploid
363 populations with no or very-limited double reduction. It includes an extension of the robust and
364 efficient CLONESTIMATE Bayesian method to quantitatively infer rates of clonality (and selfing rate
365 with adapted prior on rates of clonality) using populations genotyped at two-time steps. It has no
366 vocation to include or encompass all methods and population genetic indices that can be computed
367 when analysing autopolyploid genotypings, as its main purpose is to ease analyses helping
368 interpreting reproductive modes in autopolyploids. That's why we warmly recommend users to use
369 GENAPOPOP in complement to other dedicated analysing software like SPAGEDI (Hardy & Vekemans

370 2002), GENODIVE (Meirmans 2020) and POLYGENE (Huang *et al.* 2020), depending on the tackled
371 questions.

372 GENAPOPOP also answers the need of a population genetic analysing software for polyploid dataset
373 with confident allele dosage that will come growing with the new genotyping-by-sequencing
374 methods with individually tagged sample and locus. It finally answers the need of a user-friendly
375 software for practical course that doesn't need teaching command-lines or scripting languages as a
376 prior to introduce students to population genetics for polyploid species and to the genetic
377 consequences of reproductive modes on the genetic diversity and structure of populations.

378

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393

394 **Authors' contributions**

395 DB, EB and SS laid the foundation of this work, identifying its need and were responsible for funding
396 applications. SS formalised the mathematical equations, formalized the methods, coded the software
397 and coded the simulator to test the consistency with other software. RB and SS contributed the code
398 testing and interface enhancement, the output exploration, the test of consistency with other
399 software and performed the literature researches. SS wrote the core manuscript. All authors read,
400 edited and approved the final manuscript.

401

402 **Data Accessibility**

403 The latest packaged binaries of GenAPoPop1.0 can be downloaded on the long-term academic Gitlab
404 server of INRAE (French National Research Institute for Agriculture, Food and Environment):

405 <https://forgemia.inra.fr/solenn.stoeckel/genapop1.0>

406 Pseudo-observed and field dataset (*Ludwigia grandiflora subs hexapetala* and *Aulactinia stella*). used
407 for consistency tests are available on Zenodo DOI:10.5281/zenodo.7299914

408

409 **Conflict of interest**

410 The authors of this preprint declare that they have no financial conflicts of interest based on the
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412

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418

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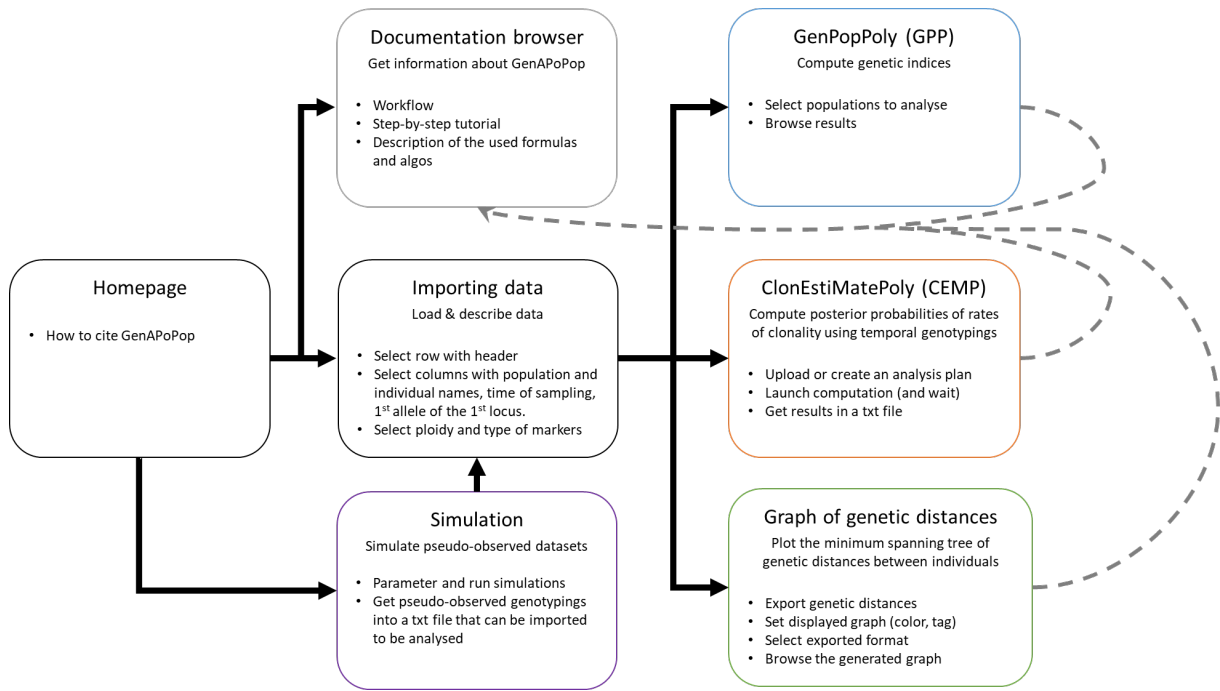
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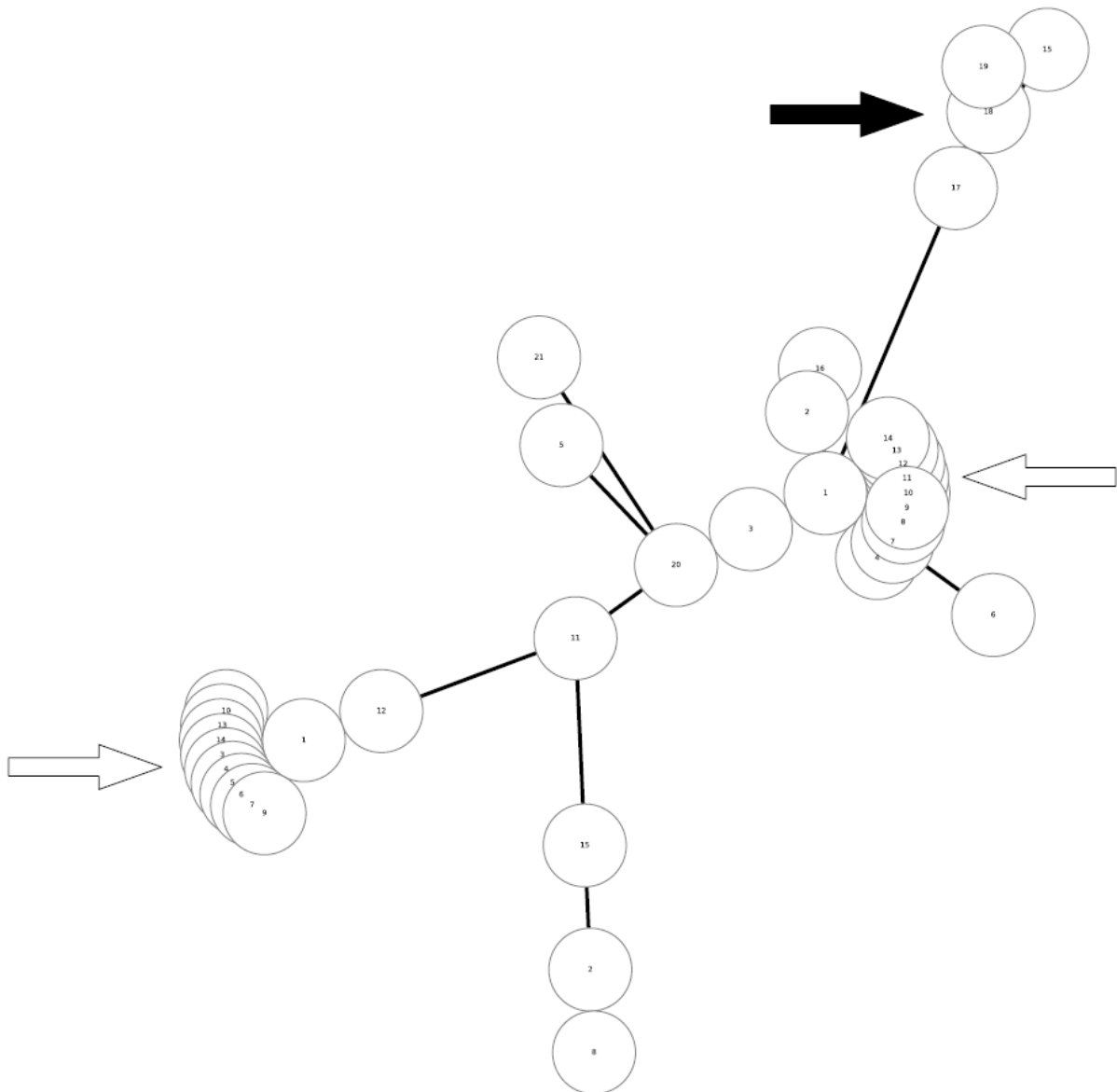
615



616

617 **Figure 1:** Workflow in GENAPOPOP. Users first import dataset using the embedded simulator or
 618 external datasets, describe the data structure, and then can launch at least one of the three types of
 619 analyses mediated by the graphical interface. Full connectors indicate the possible workflows,
 620 dashed connectors indicate optional possibility to consult documentation using the embedded light
 621 PDF reader. Results can be browsed within the software and retrieved in exported files directly
 622 importable into common spreadsheets and text editors.

623



624

625 **Figure 2:** Minimum spanning tree of the genetic distance in the AS dataset. White arrows indicate

626 one rosette of multiple multilocus genotypes differing from one allele of a central multilocus

627 genotype, suspected to be recent mutants of a same multilocus lineage. Black arrow indicates a

628 rosary pattern of multilocus genotypes differing from few alleles, suspected to be clones of a same

629 multilocus lineage in which other sampled clones may have accumulated few mutations over

630 generations.

| Pop | Gen | ID | Info | <i>Col</i> | <i>Tag</i> | 1A_1L | 2A_1L | 3A_1L | 1A_L2 |
|-------------|------------|--------------|------|---------------|---------------|--------------|--------------|--------------|--------------|
| pop1 | 1 | Ind1 | ... | <i>Blue</i> | <i>p1_i1</i> | A | A | G | T |
| pop1 | 2 | Ind2 | ... | <i>Red</i> | <i>p1_i2</i> | A | G | G | T |
| ... | ... | ... | ... | ... | ... | ... | ... | ... | ... |
| popn | 2 | Ind30 | ... | <i>orange</i> | <i>pn_i30</i> | A | A | A | C |

631

632 **Table1:** An example of formatted dataset ready to be analysed by GENAPoPop. Bold headers indicate
633 the minimum required columns per individual; italic headers optional columns expected to format
634 the minimum spanning tree of the genetic distances between individuals. One or multiple additional
635 columns with custom information like ecological, physiological, traits, latitude and longitude, etc. can
636 figure anywhere before the column containing the first allele of the first locus.

637

| | | Dataset | | | | | | |
|--------------|----------------|----------|----------|----------|----------|-----------|------------|--------------------------------|
| Index | Program | A | B | C | D | As | Lgh | Mean difference (index) |
| Ae | Spagedi | 2.97 | 1.94 | 2.88 | 2.82 | 1.21 | 1.61 | 0.066 |
| | GenAPoPop | 2.97 | 1.93 | 2.88 | 2.82 | 1.21 | 1.57 | |
| He | Spagedi | 0.6608 | 0.4202 | 0.6291 | 0.6236 | 0.1269 | 0.2689 | 0.016 |
| | GenAPoPop | 0.6604 | 0.4200 | 0.6287 | 0.6232 | 0.1267 | 0.2601 | |
| Ho | Spagedi | 0.659 | 0.126 | 0.613 | 0.517 | 0.152 | 0.246 | 0.013 |
| | GenAPoPop | 0.659 | 0.126 | 0.613 | 0.517 | 0.152 | 0.246 | |
| Fis | Spagedi | -0.0003 | 0.6839 | 0.016 | 0.1522 | -0.1976 | -0.0221 | 0.059 |
| | GenAPoPop | -0.0669 | 0.6094 | -0.0530 | 0.0673 | -0.2289 | -0.0818 | |
| Fst | Spagedi | 0.004 | 0.0693 | 0.0123 | 0.0307 | 0.0039 | 0.2063 | 0.004 |
| | GenAPoPop | 0.0032 | 0.0646 | 0.0099 | 0.0255 | 0.0030 | 0.1687 | |
| Fit | Spagedi | 0.0037 | 0.7058 | 0.0281 | 0.1782 | -0.193 | 0.1887 | 0.061 |
| | GenAPoPop | -0.0635 | 0.6346 | -0.0426 | 0.0910 | -0.2252 | 0.1007 | |
| Rhost | Spagedi | 0.0157 | 0.089 | 0.0454 | 0.0801 | 0.037 | 0.5182 | 0.000 |
| | GenAPoPop | 0.0157 | 0.089 | 0.0454 | 0.0801 | 0.037 | 0.5182 | |

| Mean difference (pop) | 0.035 | 0.020 | 0.019 | 0.023 | 0.013 | 0.110 |
|-----------------------|-------|-------|-------|-------|-------|-------|
|-----------------------|-------|-------|-------|-------|-------|-------|

638 **Table 2:** comparison of seven classic population genetic indices computed to compare the
639 consistency of GENAPOPOP with output of SPAGED1 reference software (Hardy & Vekemans 2001) on
640 four autotetraploid simulated datasets, each obtained simulating two populations of 100 individuals
641 connected with a migration rate of 0.01 and mutating at a rate of 0.01, 1000 generations after an
642 initial randomly drawing population. A, B, C and D scenarios respectively stand for panmixia; high
643 clonality; high selfing; half-clonal half-selfed reproductive modes. *Lgh* and *As* are two tetraploid field
644 datasets each composed of two populations. *Lgh* includes two populations of 75 genotypes each
645 genotyped with 36 SNPs. *As* includes one population of 21 individuals and one population of 15
646 individuals genotyped with 10 microsatellites. Raw data are available on ZENODO (Stoeckel et al. 2022,
647 DOI). *Ae* stands for the average effective number of alleles on the whole dataset (Weir 1996), *He* for
648 the overall genetic diversity, *Ho* for the observed heterozygosity, then four components of the F-
649 statistics *Fis* for the mean inbreeding coefficient value over all the populations and loci, *Fst* for the
650 mean classical genetic differentiation and *rhost*, its analogue independent of double-reduction and
651 ploidy level. F-statistics were computed using AMOVA framework.

652