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## Decomposing acoustic signal reveals the pelagic response to a frontal system between oceanic domains

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### Abstract :

The pelagic zone is home to a large diversity of organisms such as macrozooplankton and micronekton (MM), connecting the surface productive waters to the mesopelagic layers (200-1000 m) through diel vertical migrations (DVM). Active acoustics complement net sampling observations by detecting sound-scattering layers (SL) of organisms, allowing to monitor the MM dynamics with a high spatio-temporal resolution. As the acoustic response of organisms is frequency-dependent, multi-frequency analyses are a pertinent approach to better integrate the rich diversity of organisms composing SLs and their respective dynamics. However, analysing simultaneously emitted acoustic signals with distinct depth ranges and separating spatial from temporal variability is challenging and needs adapted tools to be fully exploited. This study examines the pelagic realm in a transition zone between the Southern Ocean and the subtropical Indian Ocean, crossing the Saint-Paul and Amsterdam islands' natural reserve. We extended a Multivariate Functional Principal Component Analysis (mfPCA) to analyse the joint vertical variation of five frequencies from two oceanographic cruises (2016 and 2022), allowing the decomposition of the acoustic dataset into orthogonal vertical modes (VM) of variability. We found the first VM to be linked to the temporal variability due to DVM, while the following majorly depict patterns in spatial distribution. Overall, from the subantarctic to the subtropical zones, we observed (i) enrichment of densities in the surface layer (0–100 m), (ii) a decrease in densities in the intermediate layer during the daytime (100–300 m) and (iii) the apparition of an intensive deep scattering layer on the 38 kHz. We explored VMs' connection with in-situ environmental conditions by clustering our observations into three distinct environmental-acoustic regions. These regions were compared with vertically integrated nautical area scattering coefficient distribution, a proxy for marine organisms' biomass. Additionally, we analysed species assemblage changes from complementary cruises to further elucidate the observed acoustic distribution. We show that the mfPCA method is promising to better integrate the pelagic horizontal,

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vertical and temporal dimensions which is a step toward further investigating the control of the environment on the distribution and structuring of pelagic communities.

### Highlights

► Functional data analysis identifies variance in SL distribution via vertical modes. ► First mode is tied to temporal dynamics, next modes display spatial patterns. ► 3 regions link environmental surface data and SL from South Ocean to Southern Indian Ocean. ► 18 and 38 kHz differ in integrated acoustic density spatial patterns. ► Species assemblage help explain acoustic patterns in transition zones.

**Keywords** : Multi-frequency hydroacoustics, Multivariate functional data analysis, Saint-paul and amsterdam french islands, Southern Indian Ocean

# 1. Introduction

The pelagic oceanic habitat harbours a rich assortment of life forms, including crustaceans, fish, and gelatinous plankton. Organisms ranging from ~2 to 20 cm and possessing the capability to swim against currents are referred to as micronekton (Kloser et al., 2009), while, zooplankton mostly drift horizontally. A large proportion of macrozooplankton and micronekton (MM) performs diel vertical migrations (DVM; e.g. Brierley, 2014) which connects the epipelagic layer (0-200 m) with the mesopelagic zone (200-1000 m), leading to complex trophic interactions and ecosystemic services such as the active biological carbon pump (Giering et al., 2014). MM occupy a central place in the trophic web by consuming low trophic levels and being prey to top predators (Kozlov, 1995). The large volume

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9 of mesopelagic fish (1-20 billion tons; Irigoien et al., 2014) supports the populations of top predators of patrimonial  
10 or economic value such as diving mammals (Daneri and Carlini, 2002) or tunas (Bertrand et al., 2002). Despite these  
11 crucial roles in ecological systems, information on the horizontal and vertical distribution of the mesopelagic fauna  
12 is globally scarce due to the difficulty of sampling and monitoring these organisms. Basic information is lacking on  
13 their vital rates, their stock and the links between oceanographic conditions and mesopelagic biomass and biodiversity  
14 (St. John et al., 2016). **Consequently**, the scientific community is urged to study the mesopelagic zone before human  
15 direct or indirect impacts bias our observations (Martin et al., 2020). Indeed, the global pelagic fauna is facing a loss  
16 of biomass at a global scale due to climate change (Ariza et al., 2022b) and increasing off-shore and deep industrial  
17 activities. Its large fish populations are also leading to a growing interest in commercial exploitation (e.g. Valinassab  
18 et al., 2007; Grimaldo et al., 2020).

19 Net sampling methods are extensively employed to investigate these ecosystems. They have shown that the  
20 distribution and abundance of MM are connected to productive conditions (Cotté et al., 2022), the presence of a  
21 thermocline (Youngbluth, 1975), larger-scale water mass distribution (major fronts; e.g. Hulley, 1981; Koubbi, 1993;  
22 Koubbi et al., 2011; Duhamel et al., 2014), and mesoscale oceanographic features (e.g. Pakhomov et al., 1994).  
23 However, the perpetual motion of the ocean, combined with intricate small-scale processes, results in a heterogeneous  
24 dispersion of MM, particularly within the upper ocean layers (Bertrand et al., 2014). This patch dynamic, with far-  
25 reaching impacts on predators, makes it challenging to fully grasp the underlying mechanisms governing marine  
26 ecosystems (Benoit-Bird and McManus, 2012).

27 To address this challenge, active acoustic serves as a complementary non-intrusive observation method that enables  
28 continuous monitoring of the pelagic fauna's distribution in both horizontal and vertical dimensions at high resolution  
29 (Trenkel et al., 2011; Benoit-Bird and Lawson, 2016). Continuous data collection allows for the detection of sound  
30 scattering layers (SL) of organisms, that can extend for tens to thousands of km (Proud et al., 2017). The high-frequency  
31 resolution enables the monitoring of both fine-scale (Baudena et al., 2021; Della Penna et al., 2021), and broader  
32 (Béhagle et al., 2016) changes in relation to oceanographic features. The vertical distribution of SL, and how it varies  
33 in time and space, are known to be affected by parameters such as oxygen minimum layers (Czudaj et al., 2021)  
34 and light (Aksnes et al., 2017). Their intensity and depth are correlated at a global scale to surface variables such as  
35 temperature, surface productivity and wind stress (Proud et al., 2017). It follows that the vertical distribution of SL  
36 has been found to be correlated to hydrological gradients and major fronts (Béhagle et al., 2016; Annasawmy et al.,  
37 2018; Kang et al., 2021; Ariza et al., 2022a; Chawarski et al., 2022), as well as to the seasonal cycle (Receveur et al.,  
38 2020b). However, the response of MM to acoustic stimulation is non-linear and some organisms are potentially less/not  
39 detected at certain frequencies (Lavery et al., 2007; Benoit-Bird and Lawson, 2016). Thus, the type of scatterers (the  
40 insonified organisms) greatly impacts the acoustic signal and the detection of SLs. Moreover, frequencies below or  
41 equal to 38 kHz are frequently employed, often using single-frequency analysis, to depict and characterise pelagic  
42 biota down to 1000 metres. Consequently, part of pelagic organisms remain unaccounted for due to their resonance  
43 at lower/higher frequencies. Considering simultaneous multifrequency acquisitions allows an effective description of  
44 pelagic community changes at distinct stations (Cotté et al., 2022) and along transects (Peña et al., 2014; Ariza et al.,  
45 2022a; García-Seoane et al., 2023; Assunção et al., 2023). In this context, our objective is to encompass the extensive  
46 variety of organisms comprising SLs within the epi- and mesopelagic zone, and their respective dynamics, using five  
47 frequencies (18, 38, 70, 120 and 200 kHz), even though their respective maximum depth ranges differ.

48 When dealing with abundant and complex data, particularly considering variables with distinct ranges, it is essential  
49 to develop statistical tools that objectively extract key components of variability and fully leverage the information in  
50 multi-frequency acoustic observations. For instance, the computation of integrated nautical area scattering coefficient

(NASC,  $\text{m}^2 \text{ nmi}^{-2}$ ; MacIennan, 2002), a proxy of organisms' relative biomass (e.g. Irigoien et al., 2014; Dornan et al., 2022), gives insight into the horizontal dispersion of biomass but information on the depth at which these changes occur are lacking from this metric. Investigating the vertical variability in acoustic profiles can be performed with Functional Principal Component Analysis (fPCA), part of Functional Data Analysis (FDA) methods (Ramsay and Silverman, 2005). To our knowledge only recent research has employed functional methods for analysing pelagic acoustic seascape variability through either single (Ariza et al., 2022b) or multiple (Ariza et al., 2022a) frequency analysis. In the same vein as these studies, our approach complements the latter by introducing an extended version of the Multivariate fPCA (mfPCA) outlined by Pauthenet et al. (2017). The methodology proposed here enhances statistical relevance to acoustic multiple frequencies by eliminating the need for vertical data segmentation prior to analysis when considering variables that share distinct ranges.

Implementing this unexplored method, this study investigates the structuring patterns of backscatter distribution over a broad area located at the mid-southern latitude in the Indian Ocean and known to be a transition area between the oligotrophic subtropical gyre and the more productive frontal zone that characterises the northern part of the Southern Ocean (Geisen et al., 2022). The study is conducted as part of the expansion of the French national reserve around Saint-Paul and Amsterdam islands (SPA), with a focus on identifying coherent ecological regions. Earlier investigations have distinguished distinct SL patterns at 38 kHz in the South-Western Indian Ocean (Béhagle et al., 2016; Annasawmy et al., 2018). Independent examination of 18 and 38 kHz datasets have similarly shown variations in SL patterns over time and space in the study region, depending on specific metrics and frequencies considered (Boersch-Supan et al., 2017).

The temporal facet of MM dynamics, driven by DVM, can obscure the identification of spatial trends, with repercussions up to large-scale biogeography (Sutton et al., 2017). Within the literature, certain studies focus on a specific period (e.g. Irigoien et al., 2014) or employ temporal segregation of their data, often using solar elevation as a discriminating factor (e.g. Béhagle et al., 2016, Ariza et al., 2022a). Another approach is to treat night and day as two complementary variables when the dataset permits the combination of day and night profiles into a single statistical observation (Ariza et al., 2022b). Finally, distinguishing between nighttime and daytime periods can be achieved using clustering methods (Boersch-Supan et al., 2017) or data reduction techniques (Receveur et al., 2020b). In this study, we employ the latter approach, hypothesising that by identifying temporal variability, it can be filtered to enhance our comprehension of spatial dynamics within the study area.

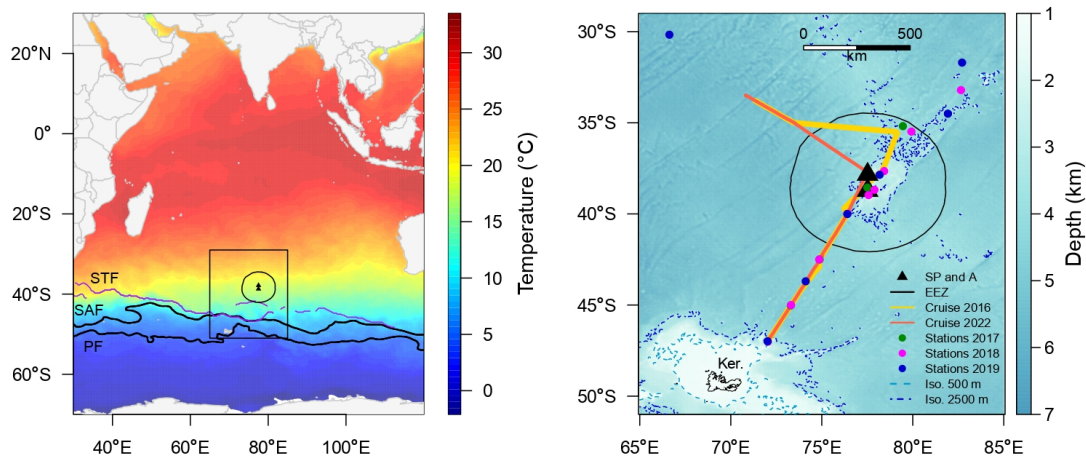
This study aims to (i) propose an extended application of mfPCA for analysing the backscatter across five frequencies with varying depth ranges, avoiding vertical segmentation; (ii) extract principal modes of variability that account for simultaneous vertical changes in all five profiles; and (iii) identify spatially coherent regions that link surface environmental conditions to the acoustic patterns by filtering out temporal variability. In accordance with previous studies, we expect an increase of the global acoustic densities in frontal areas and productive regions and contrasted structures of SL patterns in this transition zone. As complementary analyses, we compute the integrated NASC and analyse samples from additional cruises to enhance our understanding of observed acoustic biomass and communities. This research encourages discussions about adopting multifrequency and functional approaches to unravel vertical, temporal and spatial patterns in acoustic studies.

## 2. Methods

### 2.1. Study area

The study area ranges between 33-47° S and 72-80° E and englobes the French Economic Exclusive Zone (EEZ) of Saint-Paul and Amsterdam islands (SPA). This region covers contrasted oceanographic conditions and regimes from

92 the North of the Polar Front and subantarctic zones of the Southern Ocean to the subtropical zone of the South Indian  
 93 Ocean (Figure 1).



**Figure 1:** Location of the study area in the Southern Indian Ocean. The left panel shows the mean daily sea surface temperature from the 1st January 2016 to the 29th February 2016 (satellite product provided by the Copernicus Marine Environment Monitoring Service, <https://doi.org/10.48670/moi-00165>). The Polar Front (PF) and Subantarctic Front (SAF) are shown with black lines (Park et al., 2019). The dynamical Subtropical Front (STF) is shown with the purple lines (Graham and De Boer, 2013). The black rectangle defines the area of study. The right panel displays the bathymetry of the focus area. The 500 m and 2500 m isobath highlight the rise in bathymetry around the Kerguelen archipelago (Ker.) and Saint-Paul and Amsterdam islands (SP and A). The circular black line delimits the French Economic Exclusive Zone (EEZ). The yellow and orange lines correspond respectively to the transect of the THEMISTO cruises in 2016 and 2022. The dots correspond to the biological sampling stations coloured according to the year. Some stations are superimposed on others.

## 94 2.2. Data acquisition

95 All data acquisition took place aboard the R/V Marion Dufresne II and was carried out during austral summer.

### 96 2.2.1. Active acoustic data acquisition and processing

97 Acoustic data was continuously recorded during THEMISTO cruises in 2016 (<https://doi.org/10.17600/16016100>) and 2022 (<https://doi.org/10.17600/18001847>), selected among a time series of campaigns in the  
 98 same area, starting in 2013, due to their high quality for the proposed analyses. Data was collected between February  
 99 19-24, 2016, and February 23-28, 2022, using an EK80 echosounder (Norway, SIMRAD), operating at 18, 38, 70,  
 100 120, and 200 kHz. The echosounder was calibrated annually before data acquisition (Demer et al., 2015). **Calibrations  
 101 were carried out off La Réunion using a 38.1 mm tungsten carbide sphere (6 % cobalt binder). During each procedure,  
 102 the sphere was suspended 7-10 m below the transducers and measurements of this known target were recorded while  
 103 moved throughout the beams.** Acoustic parameters for each frequency are in Table 1. Note that the depth range differs  
 104 between the frequencies. For both cruises, the average ping interval was 3 seconds and average ship speed was 6.2 m  
 105 s<sup>-1</sup>. Stationary data (speed < 1.5 m s<sup>-1</sup>) and profiles collected at isobaths shallower than 1000 m were excluded. Data  
 106 processing was performed using Matecho (Perrot et al., 2018) allowing data validation and manual removal of aliased  
 107 seabeds by an expert, followed by the application of algorithms to filter attenuated pings, parasites, deep spikes, and  
 108 correct background noise (Ryan et al., 2015; De Robertis and Higginbottom, 2007). Sound celerity was corrected using  
 109

**Table 1**

Surface offset, maximum acquired depth range and transmitted power of the 5 frequencies used by the EK80 echosounder during the two cruises. The echointegration ranges from the surface saturation zone down to the maximum acquisition range of frequencies. If two values are indicated, they correspond respectively to the 2016/2022 cruises.

	Frequency (kHz)				
	18	38	70	120	200
Surface offset (m)	25	25	25	25	25
Maximum depth range (m)	1000	820	500	230	110
Pulse power ( $10^3$ W)	1/2	1	0.75	0.25	0.09/0.12

110 *in situ* temperature and salinity profiles from Conductivity-Temperature-Depth (CTD) casts deployed at depths > 1000  
111 m.

112 Using the terminology from MacLennan (2002), we calculated the volume backscatter strength ( $S_v$ ) in dB re  $1 \text{ m}^{-1}$ ,  
113 representing marine organism density. Profiles of  $S_v$  were down-sampled to Elementary Sampling Units (ESU), with  
114 each echointegration cell being 2 m in height and 1 nautical mile in width (1 nautical mile = 1852 m), with a lower  
115 threshold of -100 dB. This spatial resolution balances finescale feature variation and coherent spatio-temporal structure  
116 in the dataset. We calculated the NASC ( $\text{m}^2 \text{ nmi}^{-2}$ ) from the smoothed  $S_v$  profiles (see paragraph (i) in Section 2.3.1)  
117 to ensure consistency between the acoustic profiles and the integrated NASC. The ESU periods were determined using  
118 solar elevation: daytime corresponds to solar elevation >  $18^\circ$  above the horizon and nighttime to solar elevation <  $18^\circ$   
119 below the horizon. Twilight periods correspond to solar elevation ranging from  $-18^\circ$  to  $18^\circ$ .

### 120 2.2.2. *In situ environmental data*

121 Subsurface (hull depth, 6 m) temperature ( $^\circ\text{C}$ ), salinity (psu), fluorescence ( $\text{mg m}^{-3}$ ) and oxygen ( $\mu\text{mol kg}^{-1}$ ) were  
122 continuously measured with an thermosalinograph, fluorometer and oxygen sensor. The data are provided by the OISO  
123 program (Océan Indien Service d'Observations; <https://doi.org/10.18142/228>). The four variables are used to  
124 investigate the link between the multi-frequency acoustic patterns and the oceanographic context. Bathymetry data  
125 used for analysis were obtained from the General Bathymetric Chart of the Oceans 2022 database (GEBCO, 2022;  
126 15 arc-second grid resolution). Taking benefit from simultaneous hydrological *in situ* measurements, we associated  
127 multiple surface temperature and salinity discontinuities to the surface characteristics (Anilkumar et al., 2007) of  
128 Subantarctic Front (SAF) and two branches of the Subtropical Front (STF), separating distinct oceanic zones (e.g.  
129 Geisen et al., 2022).

### 130 2.2.3. *Biological sampling and processing*

131 Biological samples acquisition took place during the REPCCOAI (Réponse de l'écosystème pélagique aux  
132 changements climatiques dans l'océan Austral et Indien Sud - Response of the pelagic ecosystem to climate change  
133 in the Southern Ocean and South Indian) cruises in 2017, 2018 and 2019 (<https://doi.org/10.18142/249>). MM  
134 samples were collected using a pelagic Isaacs-Kidd Midwater Trawl (IKMT) net, which allows the retaining of large  
135 planktonic and micronektonic organisms. The net had a total length of 17 metres and a decreasing mesh size going  
136 from 3.5 cm at the entrance to 0.5 cm before the cod-end. The spinning speed was approximately  $0.8 \text{ m s}^{-1}$  and the  
137 vessel speed varied between 1 and  $1.5 \text{ m s}^{-1}$ . The number of IKMT samples by year in the area was  $n = 4$  in 2017,  $n =$   
138 9 in 2018, and  $n = 7$  in 2019. The net was obliquely towed at each station from 1000 m depth to the surface to obtain  
139 an integrated inventory of the macrozooplankton and micronekton community. This protocol was adopted for all nets  
140 in 2018 and 2019. In 2017, logistical and bad weather conditions meant that the same protocol could not be applied

141 to all nets. Out of a total of 20 nets, 3 were towed from a depth of 600 m during nighttime. The net was stabilised for  
 142 three minutes at fishing depth before being raised to the surface at a turning speed of  $0.6 \text{ m s}^{-1}$ . Filtered volumes were  
 143 calculated using a Hydrobios flowmeter.

144 Once the IKMT samples were collected, they were fixed in 5 % formalin buffered with seawater supplemented with  
 145 sodium tetraborate for pH adjustment. Once species identifications were completed, the samples were reconditioned  
 146 with Battaglia sauce for long-term preservation (Mastail and Battaglia, 1978). When the number of individuals in a  
 147 sample was too large, the sample was split using a Motoda box (Motoda, 1959). Organisms were observed with a  
 148 stereomicroscope and determined using identification keys (Baker et al., 1990; Boltovskoy, 1999; Kirkwood, 1982;  
 149 O'Sullivan, 1983). Identification was performed down to species level and on rare occasions to the genus or the order  
 150 when specimens were not in a good condition. Once counts were completed, the abundances ( $\text{ind m}^{-3}$ ) were estimated  
 151 using filtered volumes.

### 152 2.3. Statistics

153 All statistical methods were applied using R software version 4.2.0 (Team, 2022). The workflow displayed in  
 154 Figure 2 summarises the statistical analyses and is used as a backbone to describe the methodology in the following  
 155 sections. All acoustic data periods were analysed simultaneously (daytime, sunset, sunrise, nighttime), but 2016 and  
 156 2022 datasets were analysed separately.

#### 157 2.3.1. Functional Data Analysis applied to multivariate acoustic data

158 The raw simultaneously acquired  $S_v$  profiles at the five frequencies (18, 38, 70, 120, and 200 kHz) were  
 159 echointegrated onto a common grid. The resulting profiles are associated with the same ESU information, which  
 160 includes identical GPS coordinates and time acquisition. To analyse the multiple frequencies joint variation, we term  
 161 the five acoustic profiles as one acoustic observation (AO, Figure 2A). This consideration leads to  $N = 720$  AOs in  
 162 2016 and  $N = 962$  AOs in 2022.

##### 163 (i) Discrete to functional acoustic data

164 An acoustic profile arrives as  $P$  pairwise values  $(z_1, Sv_1), \dots, (z_p, Sv_p)$ , where  $z$  is depth (m) and  $Sv$  acoustic  
 165 backscatter ( $\text{dB re } 1 \text{ m}^{-1}$ ). As depth is a continuum, it is assumed that values are sampled points of a single entity,  
 166 here a continuous non-periodic function, whose shape characterises the vertical structure of MM distribution in the  
 167 water column (Figure 2B). An acoustic value is possibly blurred by measurement errors (e.g. parasites, attenuation)  
 168 and treatment choices (e.g. echointegration level). Hence, the backscatter  $Sv_p$  observed at depth  $z_p$  can be estimated  
 169 with a continuous function  $f$  such that

$$Sv_p = f(z_p) + \varepsilon_p,$$

170 where the remainder  $\varepsilon_p$  is hoped to be as small as possible. It is assumed that the function  $f$  is expressed as a linear  
 171 combination of  $K$  known basis functions  $\phi_k(z)$ :

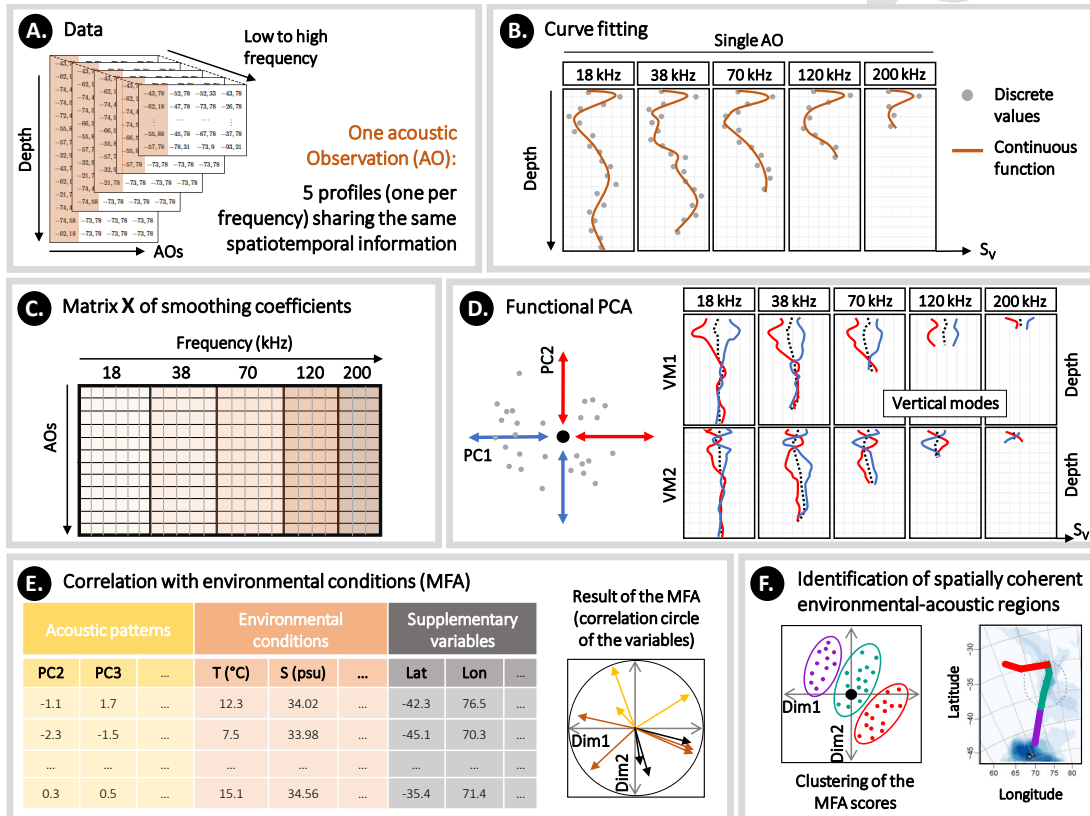
$$f(z) = \sum_{k=1}^K \alpha_k \phi_k(z),$$

172 where the  $\phi_k$  are continuous B-splines, piecewise polynomials of degree 3, joined end to end with continuity  
 173 constraints at arguments values called knots (Ramsay and Silverman, 2005). Coefficients  $\alpha_k$  are estimated by least  
 174 squares regression when minimising the penalised sum of squared errors:



$$PENSSSE = \sum_{p=1}^P (Sv_p - f(z_p))^2 + \lambda \int_{\tau} [f^{(2)}(z)]^2 dz.$$

175  $\lambda$  is a penalization parameter and  $f^{(2)}$  is the second derivative of  $f$  whose square is integrated between surface to  
 176 depth over the domain  $\tau$ . The B-spline regression was applied with the R package `fda` (version 6.0.3).



**Figure 2:** Workflow illustrating the methodology. (A.) An acoustic observation (AO) is defined by five echointegrated profiles, one per frequency (18, 38, 70, 120 and 200 kHz). Each of the five profiles differ in depth range. (B.) Each profile is smoothed in a B-spline basis system that is adapted to the frequency depth range. The grey dots are the discrete vertical values and the orange lines correspond to their associated functional curves. All profiles are expressed in backscatter strength  $S_v$  (dB re 1  $m^{-1}$ ). (C.) Each AO is summarised by a vector merging the set of coefficients that describe the continuous curves in B. The functional AOs are stored by row in a single matrix  $X$ , allowing us to consider the distinct frequencies covariance. (D.) A Multivariate Functional PCA (mfPCA) is applied on  $X$ . Each AO is decomposed into a combination of principal modes of variability, directly associated with the shape of the profiles. PC1 and PC2 correspond to the first and second principal components of the mfPCA while VM1 and VM2 are the associated first and second vertical modes of variability. (E.) A final dataset is created by merging the environmental conditions with the main modes of acoustic patterns defined by the scores of a selection of principal components. A Multivariate Factorial Analysis (MFA) is applied to the final dataset. The correlations between the different variables can be displayed and analysed. (F.) The MFA scores (lines in E.) are clustered with a model-based clustering method. The groups can be projected into the geographical space and define environmental-acoustic regions. Dim1 and Dim2 refer to the dimensions of the MFA.

177 The number of basis functions controls the smoothness of the profiles. In the literature, it has been chosen either  
 178 to match data resolution (Ariza et al., 2022a) or minimise quadratic error (Godard et al., 2020). Accounting for the  
 179 varying depth ranges of each frequency (Table 1), we determine distinct values of  $K$  to achieve a knot spacing of 20  
 180 m, resulting in separate basis systems ( $K^{18} = 50$ ;  $K^{38} = 42$ ;  $K^{70} = 26$ ;  $K^{120} = 13$ ;  $K^{200} = 7$ ). We set the penalty  
 181 parameter  $\lambda = 0.05$  to balance solution smoothness and data fit. This smoothing penalty enables increasing  $K$  without  
 182 overfitting the curves.

183 Following this step, profile shapes are represented by coefficients. Each AO is summarised by a vector  $\alpha$  merging  
 184 the coefficients associated with the five frequencies:

$$\alpha = (\alpha_1^{18}, \dots, \alpha_{K^{18}}^{18}; \alpha_1^{38}, \dots, \alpha_{K^{38}}^{38}; \alpha_1^{70}, \dots, \alpha_{K^{70}}^{70}; \alpha_1^{120}, \dots, \alpha_{K^{120}}^{120}; \alpha_1^{200}, \dots, \alpha_{K^{200}}^{200})'$$

185 The successive AOs are then row combined into a single matrix  $\mathbf{X}$  of dimension  $N \times K^T$ , where  $N$  is the  
 186 number of AOs and  $K^T$  the total number of coefficients associated with the five frequencies such that  $K^T =$   
 187  $K^{18} + K^{38} + K^{70} + K^{120} + K^{200}$  (Figure 2C).

188 (ii) Principal modes of multivariate acoustic variability

189 The following section presents the mfPCA procedure by following the steps documented in Pauthenet et al. (2017).  
 190 Firstly, we compute the mean vector  $\bar{\alpha}$  of acoustic observations with

$$\bar{\alpha} = (\bar{\alpha}_1^{18}, \dots, \bar{\alpha}_{K^{18}}^{18}; \bar{\alpha}_1^{38}, \dots, \bar{\alpha}_{K^{38}}^{38}; \bar{\alpha}_1^{70}, \dots, \bar{\alpha}_{K^{70}}^{70}; \bar{\alpha}_1^{120}, \dots, \bar{\alpha}_{K^{120}}^{120}; \bar{\alpha}_1^{200}, \dots, \bar{\alpha}_{K^{200}}^{200})', \quad (1)$$

191 where  $\bar{\alpha}_1^{18}$  is the mean of the  $N$  coefficients  $\alpha_1^{18}$ . Subtracting this mean vector to each row of  $\mathbf{X}$  forms the centred  
 192 matrix  $\mathbf{C}$ . The main modes of variability are obtained by solving the following eigenvalue problem:

$$\mathbf{V}\mathbf{W}\mathbf{M}\mathbf{b}_l = \lambda_l \mathbf{b}_l,$$

193 where  $\mathbf{b}_l$  is the  $l^{th}$  eigenvector associated with the eigenvalue  $\lambda_l$ . The crossed covariance matrix  $\mathbf{V} = \frac{1}{N} \mathbf{C}'\mathbf{C}$  of  
 194 size  $K^T \times K^T$  is a block matrix with entries

$$\mathbf{V} = \begin{pmatrix} \mathbf{V}_{18/18} & \mathbf{V}_{18/38} & \mathbf{V}_{18/70} & \mathbf{V}_{18/120} & \mathbf{V}_{18/200} \\ \mathbf{V}_{38/18} & \mathbf{V}_{38/38} & \mathbf{V}_{38/70} & \mathbf{V}_{38/120} & \mathbf{V}_{38/200} \\ \mathbf{V}_{70/18} & \mathbf{V}_{70/38} & \mathbf{V}_{70/70} & \mathbf{V}_{70/120} & \mathbf{V}_{70/200} \\ \mathbf{V}_{120/18} & \mathbf{V}_{120/38} & \mathbf{V}_{120/70} & \mathbf{V}_{120/120} & \mathbf{V}_{120/200} \\ \mathbf{V}_{200/18} & \mathbf{V}_{200/38} & \mathbf{V}_{200/70} & \mathbf{V}_{200/120} & \mathbf{V}_{200/200} \end{pmatrix},$$

195 where  $\mathbf{V}_{18/38}$  is the covariance matrix of size  $K^{18} \times K^{38}$  between coefficients of the 18 and 38 kHz frequencies.  
 196 Since the B-spline basis does not form an orthonormal basis, the  $\mathbf{W}$  matrix of size  $K^T \times K^T$  is defined to guarantee  
 197 the metric equivalence between the functional problem (working on functions) and its discrete version (working on  
 198 coefficients of the decomposition). The matrix is constructed by block as follows:

$$\mathbf{W} = \begin{pmatrix} \mathbf{W}_{18} & 0 & 0 & 0 & 0 \\ 0 & \mathbf{W}_{38} & 0 & 0 & 0 \\ 0 & 0 & \mathbf{W}_{70} & 0 & 0 \\ 0 & 0 & 0 & \mathbf{W}_{120} & 0 \\ 0 & 0 & 0 & 0 & \mathbf{W}_{200} \end{pmatrix}.$$

199 Each non zero entry is constituted with a matrix of scalar products of the basis functions. Note that unlike in  
 200 Pauthenet et al. (2017), different basis systems have been used for the five profile expansion (the five frequencies),  
 201 leading to  $\mathbf{W}_{18} \neq \mathbf{W}_{38} \neq \mathbf{W}_{70} \neq \mathbf{W}_{120} \neq \mathbf{W}_{200}$ . Finally, the matrix  $\mathbf{M}$  ensure the normalisation step during the  
 202 mfPCA, giving the same weight to each frequency.

203 The AO can now be projected in a space of reduced dimension when computing the principal component (PC)  
 204 vectors  $\mathbf{y}_l$  associated to each eigenvalue  $\lambda_l$  with

$$\mathbf{y}_l = \mathbf{C}\mathbf{M}^{-1/2}\mathbf{W}^{-1/2}\mathbf{b}_l.$$

205 The PCs capture the variance of the system. They correspond to the uncorrelated linear combinations of the original  
 206 variables. In this mfPCA, a total of  $K^T$  eigenvectors are obtained and sorted in ascending order based on their associated  
 207 eigenvalue. Each eigenvector generates five eigenfunctions ( $\xi^{18}, \xi^{38}, \xi^{70}, \xi^{120}, \xi^{200}$ ), referred to as vertical modes (VM,  
 208 Pauthenet et al., 2017). The first vertical mode (VM1) corresponds to the eigenvector with the largest eigenvalue.

209 We compute the mean functional profile for each frequency as

$$\bar{x}^j(z) = \sum_{k=1}^{K^j} \bar{\alpha}_k^j \phi_k(z), j \in \{18, 38, 70, 120, 200\}.$$

210 Here, the mean profile  $\bar{x}^{18}(z)$  corresponds to the mean backscattering profile at 18 kHz (and so on for the other  
 211 frequencies). The effect of the different VMs can be displayed by adding or subtracting the corresponding eigenfunction  
 212 to the mean profile  $\bar{x}^j(z)$  (Figure 2D):

$$\bar{x}^j(z) \pm \sqrt{\lambda_l} \xi_l^j(z), j \in \{18, 38, 70, 120, 200\}.$$

213 For example, the deformation of the mean profile  $\bar{x}^{18}(z)$  associated with VM1 can be displayed computing  $\bar{x}^{18}(z) \pm$   
 214  $\sqrt{\lambda_1} \xi_1^{18}(z)$ .

215 PC scores obtained for each AO were interpreted by examining the effect of the associated eigenfunctions on the  
 216 mean profiles. The dynamic of PC scores was investigated by comparing them with spatial and temporal factors. The  
 217 R library `circular` (version 0.4.95) was used to display and explore temporal patterns.

### 218 2.3.2. Coupling environmental variables and acoustic patterns

219 A Multiple Factor Analysis (MFA) was conducted using the R library `FactoMineR` (version 2.4) to explore the  
 220 potential relationship between the identified acoustic patterns and oceanographic conditions. Each AO was time-  
 221 associated with *in situ* temperature, salinity, oxygen and fluorescence sampled at 6 m below the surface. MFA is a  
 222 statistical method that allows us to describe observations structured in groups of variables (Pagès, 2002). The analysis  
 223 involved two groups: (i) the acoustic group, consisting of a selection of mfPCA principal components (see Section  
 224 2.3.1), and (ii) the environmental group, consisting of the surface environmental variables. A third group composed of  
 225 latitude, longitude and bathymetry was included as supplementary variables to examine their relation with the MFA  
 226 dimensions without affecting the results.

227 The whole set of variables constitute a final dataset displayed in Figure 2E. Standardisation was performed to ensure  
 228 comparability among variables measured in different units within the environmental and supplementary groups. Since  
 229 MFA is based on the core of the more classic PCA, the eigenvalues, variable contributions, and scores are investigated  
 230 to identify the variables that contribute most to variations in the dataset.

### 231 2.3.3. Identifying environmental-acoustic regions

232 The scores of the MFA were clustered using a model-based method (R library `mclust` version 5.4.10, Scrucca  
233 et al., 2016) to define groups sharing similar acoustic vertical structures and environmental conditions, called hereafter  
234 environmental-acoustic regions (Figure 2F). Model-based clustering is based on a probability model defined by a finite  
235 mixture of multivariate Gaussian distributions (Bouveyron et al., 2019). The Bayesian Information Criterion (BIC,  
236 Schwarz, 1978) and the Integrated Completed Likelihood (ICL, Biernacki et al., 2000) were computed for defining the  
237 optimal number of groups in the dataset. Pairwise Wilcoxon tests and functional ANalysis Of VAriance (fANOVA, R  
238 library `fdANOVA` version 0.1.2, Górecki and Smaga, 2019) were used to confirm the significance of the clusters ( $\alpha =$   
239 0.05).

### 240 2.3.4. Biological data

241 The abundance and diversity of organisms were explored by geographically assigning each net station with its  
242 corresponding environmental-acoustic regions. Statistical analyses were performed on five major taxonomic groups:  
243 euphausiids, siphonophores and salps for the zooplankton, and Gonostomatidae and Myctophidae for the fish. The  
244 abundance of the different taxon between the regions were compared using pairwise Wilcoxon tests ( $\alpha = 0.05$ ).

245 Species-level abundance data have been used for euphausiids, siphonophores, salps and Myctophidae, while  
246 no identification of Gonostomatidae was performed. To study the assemblages' structure, (i) the abundances were  
247 transformed with a log  $x+1$  transformation, (ii) a Bray Curtis dissimilarity matrix was computed and (iii) a Non-Metric  
248 Multidimensional Scaling (NMDS) was performed in order to represent the pairwise dissimilarities between stations  
249 in multidimensional space. An ANalysis Of Similarities (ANOSIM) was also performed to test the significance of  
250 the differences in species composition based on abundances, among the groups classified by environmental-acoustic  
251 regions. As a non-parametric test, ANOSIM uses ranked dissimilarities instead of actual distances (Clarke, 1993).

## 252 3. Results

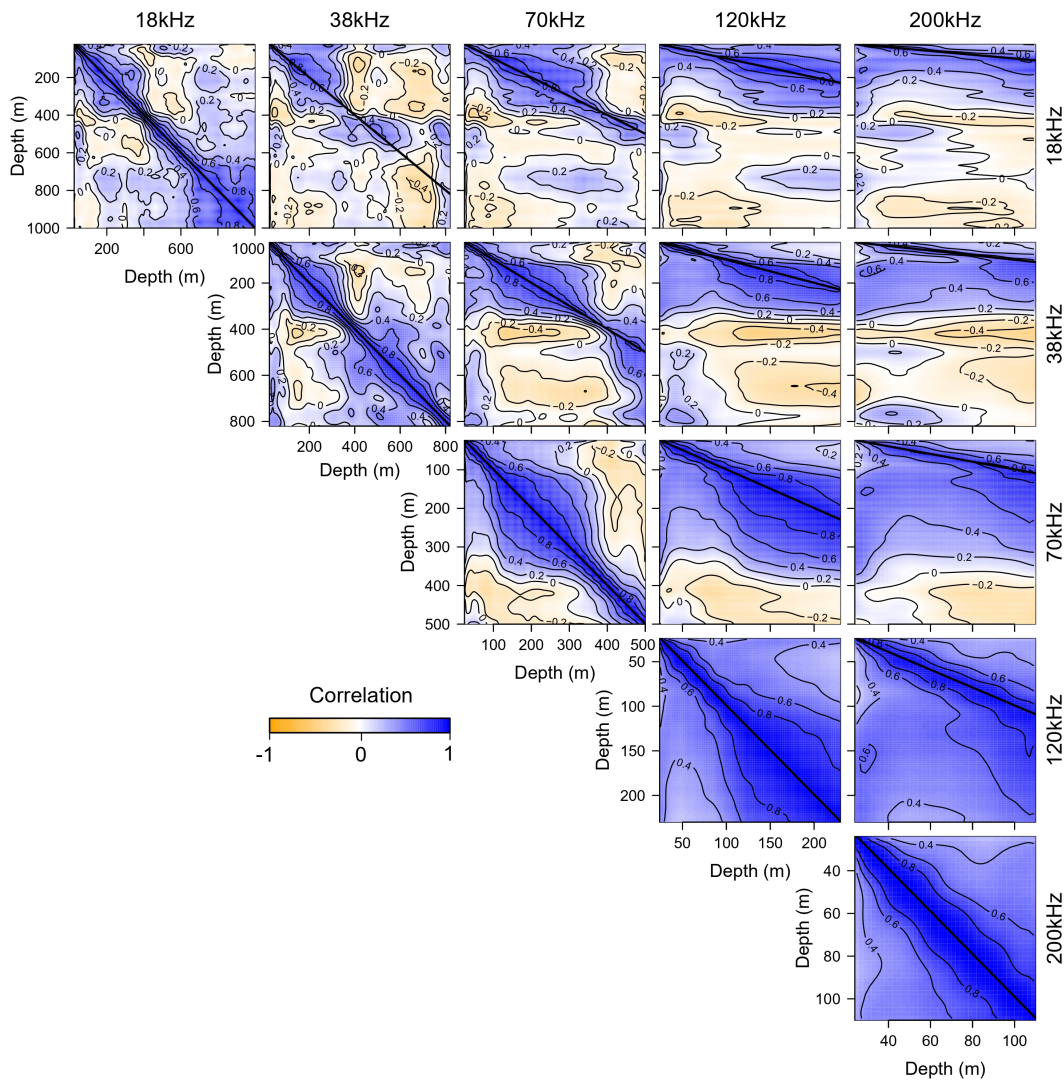
### 253 3.1. Multi-frequency acoustic analysis

254 The mfPCA allows the examination of the pairwise correlation (normalised version of the crossed covariance  
255 matrix  $\mathbf{V}$ ) between the five frequencies. Results for acoustic data in 2016 are displayed in Figure 3. For each panel,  
256 we can read the correlation between two frequencies at different pairs of depth ( $z, s$ ). The diagonal panels correspond  
257 to the correlation for a given frequency (for instance  $\mathbf{V}_{18/18}$ ). They are symmetrical with a correlation of 1 on their  
258 diagonal. Regarding the diagonal panels of the three lowest frequencies (18, 38 and 70 kHz), a highly correlated layer  
259 ( $> 0.4$ ) is observed between 100 m and 350 m, particularly visible in the centre part of the 70/70 kHz panel. For the  
260 18/18 kHz and 38/38 kHz panels, a second correlated layer ( $> 0.4$ ) is highlighted from 400 m to the maximum depth  
261 range, while no correlation (close to 0) is observed between the upper layer (25-400 m) and the deeper layer (400 m to  
262 the maximum depth range). For the highest frequencies (diagonal panels 120/120 and 200/200 kHz), the correlation  
263 is always above 0.2, indicating that backscatter between the sub-surface and 220 m are well correlated.

264 Regarding the cross-correlation panels (e.g. 18/70 kHz), the correlations are maximal for similar depths, unless  
265 between the 18 and 38 kHz. For instance, the 18 kHz frequency at 100 m is highly correlated ( $> 0.8$ ) with the 120  
266 kHz frequency at the same depth. For pairs of distant depths (e.g. 18 kHz at 600 m and 120 kHz at 150 m), the  
267 correlation between two frequencies is globally close to zero, meaning that backscatters measured near the surface at  
268 high frequency give little to no information on the low-frequency backscatters measured deeper. Negative correlation  
269 ( $< -0.4$ ) only appears with the 38 kHz. Backscatters measured in a thin layer around 400 m at 38 kHz are negatively  
270 correlated with backscatters measured between 100 and 400 m with the other frequencies.

271 Particular attention should be given to the cross-correlation between the 18 and 38 kHz. The pairwise correlation  
 272 ( $z, s$ ) when  $z = s$  is weakly or not correlated (around 0) when depth  $z$  is between 300 and 400 m or below 600 m. For a  
 273 given depth, the backscatter information provided by these two frequencies seems complementary, which emphasises  
 274 the need for multivariate acoustic analysis.

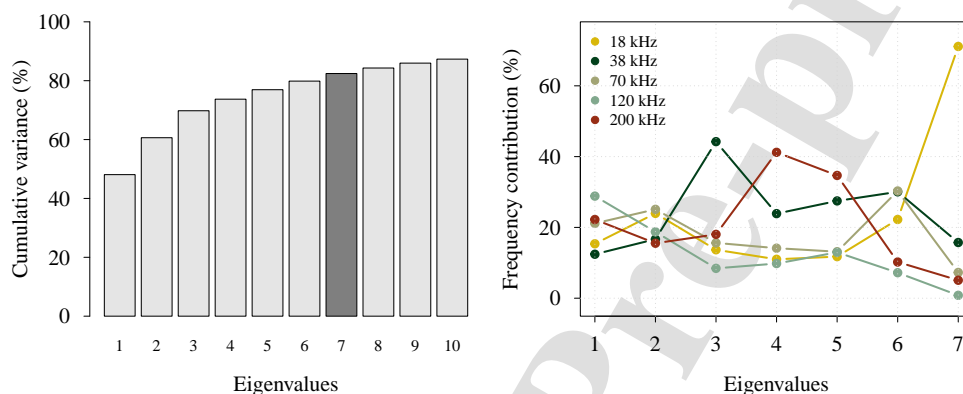
275 Results on the frequency correlations are similar for acoustic data recorded in 2022 (not shown).



**Figure 3:** Contour plot of the normalized block-structured matrix  $\mathbf{V}$  obtained for the 2016 acoustic data.  $\mathbf{V}$  is computed on the coefficients of the B-spline expansion (projection of the acoustic observations in their functional space, Section 2.3.1). Each panel displays the correlation between pairwise frequencies (18, 38, 70, 120 and 200 kHz) at any depth. For example, the correlation between the 18 kHz at 700 m and the 200 kHz at 100 m is close to 0. Note that only the diagonal panels are symmetrical and square; the others are unsymmetrical and rectangle (as the range of the different frequencies changes). The square representation was chosen to facilitate the reading. The black line indicates the 1:1 depth.

### 3.2. Main modes of backscatter variability

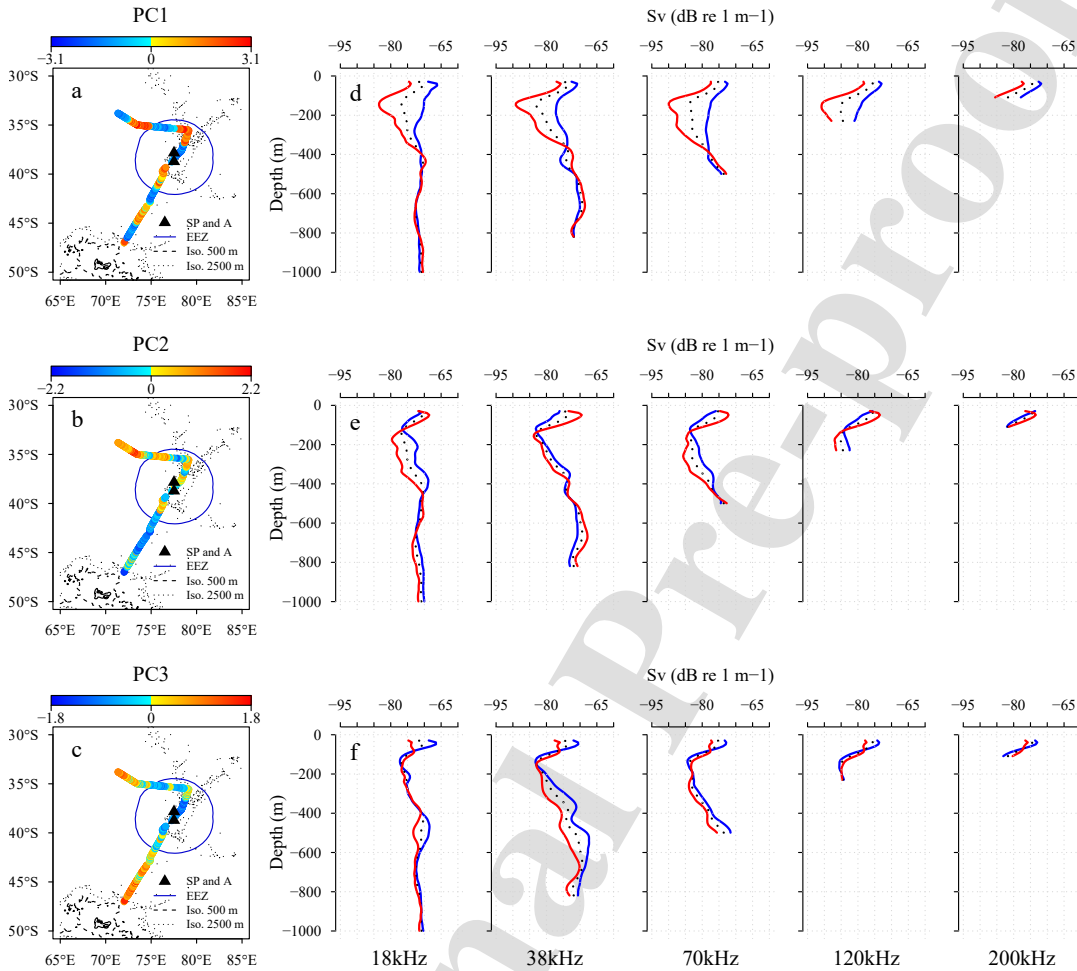
The mfPCA identifies the main modes of variability associated with the changes in backscatter vertical distribution. Figure 4, Figure 5 and Figure 6 display the mfPCA results for 2016. Results for 2022 are shown in Supplementary Materials (Figure S1, Figure S2 and Figure S3). The first seven modes of variability were retained for subsequent analysis, accounting for 82.4 and 83.4 % of the variability in 2016 and 2022 (respectively) as done in Ariza et al. (2022a). In 2016, these modes represent 48.09, 12.54, 9.16, 3.93, 3.22, 2.92, and 2.58 % of the original variability (left panel Figure 4).



**Figure 4:** Results of the mfPCA performed on acoustic data in 2016. (Left) Cumulative percentage of variance explained by the 10 principal eigenvalues (the first seven add to 82.44 % of total inertia). (Right) Percentage of contributions of each frequency to the eigenvalues.

The contributions (%) of each frequency for a given mode are unequal and not constant across the frequencies (right panel Figure 4). The first eigenvalue contributions are distributed from low to high on the 38, 18, 70, 200 and 120 kHz. The first mode in 2022 is also primarily influenced by the 120 kHz frequency. The variation in contribution among frequencies decreases for the second eigenvalue, accompanied by a shift in their order of contribution (Figure 4). The contribution of the 38 kHz is particularly important for the third to the sixth eigenvalues (above 20 %). This contribution indicates modes of variability particularly driven by the vertical changes in MM distribution from organisms responsive to this frequency, also implying a large vertical range. The 200 kHz is also a large contributor to the fourth and fifth eigenvalues, while the 18 and 70 kHz are part of the 3 main contributors for the sixth eigenvalue. The seventh mode is finally mainly driven by the 18 kHz reaching 70 % of the variance explained.

For interpreting vertical modes (VM) of variability, we associate them with the mfPCA principal components (PC). The first three PCs' scores (left panels Figure 5) demonstrate the degree of deformation linked to their corresponding VM (right panels Figure 5). PC1's spatial pattern alternates between positive and negative values along the transect (Figure 5a). The associated VM1 reveals consistent deformation across the five frequencies, indicating periodic backscatter intensity changes from the surface down to 400 m (Figure 5d). PC2 scores transition from negative in the south to positive in the north (blue to red dots in Figure 5b). The corresponding VM2 opposes AOs with high or low backscatter in the upper 100 metres and an inversion between 100 and 400 m. Deformation is consistent across the five frequencies with varying intensity (e.g. more pronounced deformation from surface to 100 m for 38 kHz than 200 kHz; Figure 5e). PC3 scores are positive south of 42° S and north of 36° S (Figure 5c). This third mode is mainly influenced by the 38 kHz, opposing profiles with low or high backscatter between 200 and 800 m at this frequency



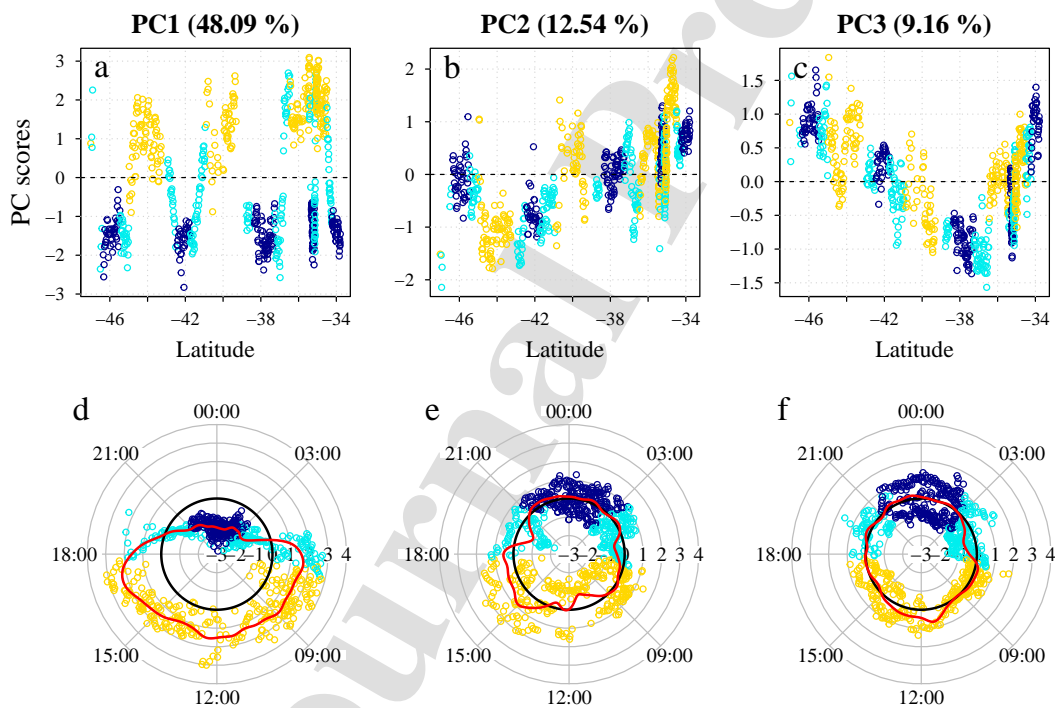
**Figure 5:** Results of the mfPCA performed on acoustic data in 2016. The three lines of panels correspond to the three first modes of variability. The left panels (a, b, c) display the spatial distribution of PC1, PC2 and PC3 along the ship trajectory while the right panels (d, e, f) show the deformation of the five mean profiles (black dotted line) associated with the corresponding vertical mode (VM). For a given line of panels, colors in the map match with the coloured profiles on the right side. For example, red dots along the trajectory in a correspond to acoustic observations with backscatter distribution close to the red profiles in d (i.e. low densities between the surface and 400 m for all frequencies). The color bar above each spatial panel is adjusted to the range of the corresponding PC. Bathymetry line at 500 and 2500 m surround the Kerguelen archipelago and Saint-Paul (SP) and Amsterdam (A) Islands. The blue circle define the Economic Exclusive Zone (EEZ).

302 (Figure 5f). Additionally, blue profiles (negative PC3) exhibit increased backscatter between the surface and 100 m  
 303 across all frequencies.

304 To elucidate further the profile deformation patterns, scores of PC1, PC2, and PC3 were plotted against latitude  
 305 and local time of the day (GMT+5; Figure 6, top and bottom panels, respectively).

306 The scores of PC1 present a latitudinal cyclic pattern that match the previous observation shown Figure 5a. The  
 307 dots show a coherent alternance of periods along latitude due to the alternation of nighttime and daytime periods  
 308 along the transect (Figure 6a). The same scores are displayed in a clockwise manner, with isolines circling the clock's  
 309 zero level (black circle), depicting iso-shapes of AO deformation for the associated vertical mode. PC1 scores are  
 310 positive during the daytime (yellow dots between 06:00 and 18:00) and negative at nighttime (dark blue dots between  
 311 21:00 and 03:00). Twilight periods (cyan dots between 03:00 and 06:00 and between 18:00 and 21:00) represent  
 312 transitions between distinct stable temporal vertical structures. While PC1 primarily relates to the circadian cycle,  
 313 the increasing amplitude between daytime and nighttime scores along latitude indicates that daytime period is more  
 314 structured northwards, with lower densities recorded between the surface and 400 m (red curves in Figure 5d).

315 In contrast to PC1, PC2 and PC3 scores exhibit more distinct latitudinal trends (Figure 6). PC2 decreases from 46°  
 316 to 44° S, then increases to 34° S. Notably, scores during the daytime show greater variability ( $PC2 \in [-1.8; 2.2]$ ) than  
 317 during the nighttime ( $PC2 \in [-1.2; 1.2]$ ). For PC3, scores decrease until 37° S, then rise until the end of the transect,  
 318 with an additional negative peak at 35° S. Additionally, PC2 and PC3 show a weak association with diel variability  
 319 (Figure 6), as their scores cannot reliably distinguish between negative or positive values at a given time.



**Figure 6:** Results of the mfPCA performed on acoustic data in 2016. Projection of PC1 (a, d), PC2 (b, e) and PC3 (c, f) along latitudinal (top) and diel (bottom) variations. Yellow, cyan and dark blue dots correspond to day, twilight and night period (respectively) defined with the solar elevation. For both latitudinal and diel panels, the y-axis corresponds to the scores of the PC. For diel variability, the time is in UTC+5 (local time) and PC score scales are read horizontally ([-3;4]) from the center to the edge of the circles. The solid red line is the Local-Linear estimator for circular-linear data. The dashed black line (top panels) and the solid black line (bottom panels) highlight the 0-isoline. PC1 presents a clear link with local time, while PC2 and 3 present more of a latitudinal pattern.



**Table 2**

Results of the Multiple Factor Analysis (MFA) performed on the 2016 dataset. The lines correspond to the dimensions of the MFA with the contribution of each variable in percentage of variance explained (%). For example, PC2 is the major contributor of Dim1, reaching almost 42 %. PC2 to PC7 correspond to the principal components of the acoustic mfPCA (acoustic group). O, T, S and F correspond to oxygen, temperature, salinity and fluorescence (environmental group). Contributions above 10 % are highlighted in grey.

	Acoustic group						Environmental group			
	PC2	PC3	PC4	PC5	PC6	PC7	O	T	S	F
Dim1	41.96	5.45	0.74	0.22	0.07	0.02	15.69	15.19	13.93	6.74
Dim2	24.26	67.2	0.87	0.33	0.04	0.05	1.14	1.69	2.54	1.89
Dim3	7.22	17.05	43.66	9.51	0.86	0.93	3.23	4.86	7.33	5.36
Dim4	7.59	0.52	8.3	19.66	1.42	30.15	0.71	0.16	0.14	31.35
Dim5	4.50	1.41	21.39	44.66	8.5	11.08	1.88	1.22	0.01	5.35
Dim6	0.03	0.01	0.68	7.97	82.42	7.96	0	0.06	0.01	0.85

### 3.3. Acoustic and oceanographic context

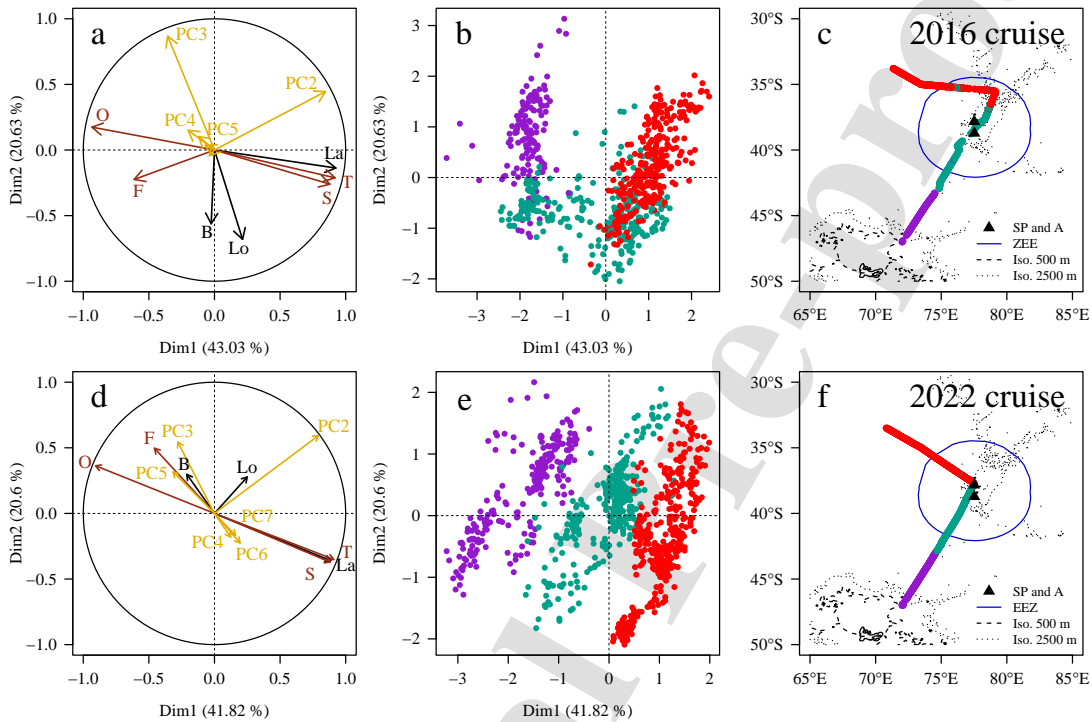
Using Multiple Factor Analysis (MFA), we explored the relationship between two sets of variables. Our objective was to identify regions displaying shared environmental and acoustic patterns, while mitigating the influence of the circadian cycle. Consequently, the acoustic group of the MFA is composed of PC2 to PC7 scores, excluding PC1 (recognized as the main temporal mode) and consecutive modes that contributed less than 2% of the vertical variability. On the other hand, the environmental group is composed of surface temperature ( $^{\circ}\text{C}$ ), salinity (psu), oxygen ( $\mu\text{mol kg}^{-1}$ ), and fluorescence ( $\text{mg m}^{-3}$ ).

To prevent confusion with the mfPCA applied to acoustic data, the principal modes of MFA are termed *dimensions*. In 2016 and 2022, six and five primary dimensions were retained, respectively, to capture 94.69 and 91.32% of the total variability. The proportion of variance explained by the dimensions is 43, 20.6, 11, 7.4, 6.8, and 5.9 % for the 2016 cruise and 41.8 20.6, 12.1, 10.2 and 6.6 % for the 2022 cruise. In both cruises, the first dimension is evenly split between the environmental and acoustic groups, comprising 51.6 and 48.5 % of variance explained in 2016, and 52.8 and 47.2 % in 2022. Subsequent dimensions are primarily influenced by the acoustic group. Table 2 displays variable contributions (within each group) for each dimension. Among the first six MFA dimensions, all seven acoustic PCs contribute over 10 % to at least once. Temperature, salinity, and oxygen contribute to the first MFA dimension, while fluorescence contributes to the fourth dimension alongside PC5 and PC7 (Table 2).

The correlation circles in the left panels of Figure 7 offer a supplementary perspective on the connections between acoustic patterns and surface environmental conditions. Arrows closer to each other indicate positive correlation, while opposing directions signify negative correlation. The distance from the origin reflects variable representation quality for a specific axis. In both years, latitude, temperature, and salinity are highly correlated and show a negative correlation with oxygen. These four variables correlate with the first dimension of the MFA and PC2 (acoustic variable). This outcome suggests that the rise in backscatter within the upper 100 metres of the water column, linked to positive PC2 (Figure 5e, red curves), is positively linked to warmer, saltier but less oxygenated waters. In both years, PC3 is positively correlated with the second dimension.

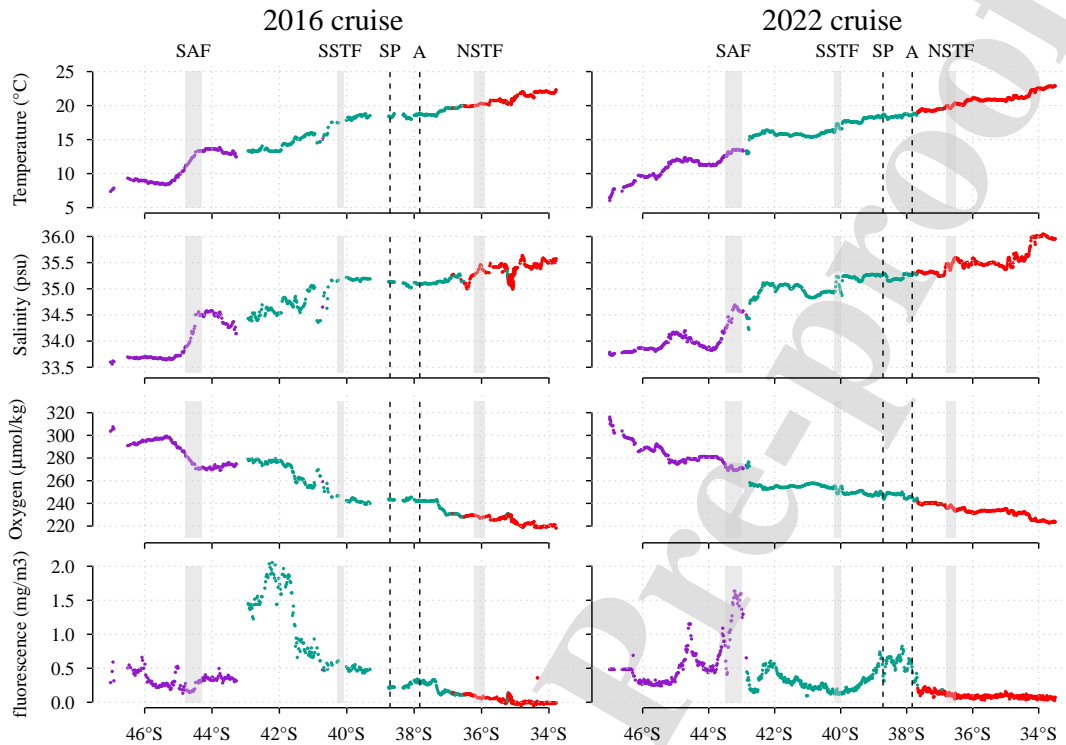
Differences in correlations between the two years stem from two main reasons. First, the acoustic modes of the two mfPCA runs (for each year) may not correspond to the same profile deformations (see Figure 5 and Figure S2). For example, the deformation linked to PC2 in 2016 aligns with the deformation tied to PC3 in 2022. Second, deformations can be reversed (e.g. the red VM2 curve in 2016 corresponds to the blue VM3 curve in 2022). Connecting correlation circles with acoustic VMs reveals that fluorescence is correlated with lower backscatter density between the surface

349 and 100 m, and higher density between 100 and 400 m (blue curve in Figure 5 middle panels). Lastly, PC3 in 2016  
 350 exhibits a negative correlation with longitude and bathymetry (Figure 7), consistent with spatial variations observed  
 351 in Figure 5c.



**Figure 7:** Results of the Multiple Factor Analysis (MFA) and model-based clustering (MBC) of the MFA scores. Top panels: 2016 cruise. Bottom panels: 2022 cruise. (a, d) Correlation circle between the variables and the first two dimensions of the MFA. The variables are separated in three groups : the environmental group (brown arrows), the acoustic group (yellow arrows) and the supplementary group (black arrows). The environmental group comprises 4 variables : temperature (T), salinity (S), oxygen (O) and fluorescence (F). The acoustic group comprises the second to the seventh principal components (PC2-7) of the acoustic mFPCA. In a, PC6 and 7 arrows are not indicated for more readability (arrows are close to (0,0)). The supplementary group gathers the latitude (La), longitude (Lo) and bathymetry (B). (b, e) First factorial map of the MFA with colors corresponding to the results of the MBC in three group. (c, f) Projection of the three clusters in the geographical space coloured with respect to the group. Bathymetry line at 500 and 2500 m surround the Kerguelen archipelago and Saint-Paul (SP) and Amsterdam (A) Islands. The blue circle define the Economic Exclusive Zone (EEZ).

352 The first factorial plan of the MFA (Figure 7b and e) projects closely similar observations. MFA scores were  
 353 clustered using a model-based clustering approach to identify regions with matching environmental conditions and  
 354 acoustic patterns. The optimal number of groups, denoted as  $G$ , was determined by examining the Integrated Completed  
 355 Likelihood (ICL) and Bayesian Information Criterion (BIC). With both criteria, the approximated integrated likelihood  
 356 gain when increasing the number of groups dropped after  $G = 3$  in both years. To ensure a relevant and ecologically  
 357 interpretable model (without excessively dividing the data), we then decided to fix  $G$  to 3. The partition of MFA scores  
 358 in 3 groups was found to be highly stable (insensitive to initialisation) with a probability of belonging to a group always  
 359 above 0.5, supporting the choice of  $G$ .

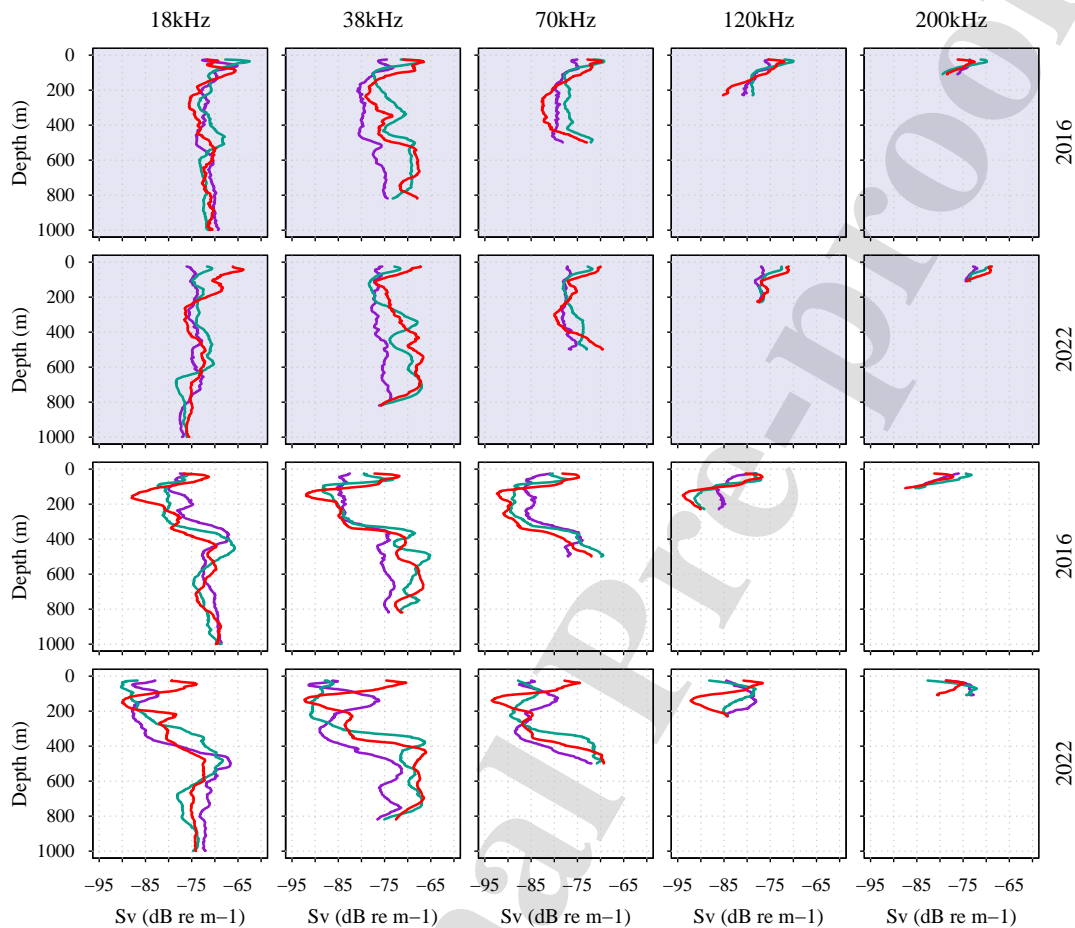


**Figure 8:** Environmental variables measured along the ship cruises as function of latitude. The colors correspond to the three regions defined with the model-based clustering. From top to bottom: sea surface temperature ( $^{\circ}\text{C}$ ), sea surface salinity (psu), surface oxygen ( $\mu\text{mol kg}^{-1}$ ) and fluorescence ( $\text{mg m}^{-3}$ ) for the cruises 2016 (left column) and 2022 (right column). From South to North, the grey shades approximately delimit the Subantarctic Front (SAF), the Southern Subtropical Front (SSTF) and the Northern Subtropical Front (NSTF). The fronts were determined based on hydrographic parameters compiled in the Southwest Indian Ocean (Anilkumar et al., 2007). The two black dashed vertical lines correspond to the latitude of Saint-Paul (SP) and Amsterdam (A) islands.

360 This clustering outcome exhibits geographical consistency across the two years, with the purple group located in  
 361 the southern study area, the red group in the north, and the green group serving as a transitional zone (Figure 7c and  
 362 f).

### 363 3.4. Environmental-acoustic regions

364 All four environmental parameters (temperature, salinity, oxygen and fluorescence) were highly variable along the  
 365 transects and allowed the approximate location of fronts in the study area (Figure 8). In (Anilkumar et al., 2007), the  
 366 northern branch of Subantarctic Front (SAF1) falls within  $9\text{--}11^{\circ}\text{C}$  and  $33.85\text{--}34$  psu at surface, while the Southern  
 367 Subtropical Front (SSTF) ranges from  $11\text{--}17^{\circ}\text{C}$  and  $34.05\text{--}35.35$  psu, with its northern branch (NSTF) at  $21\text{--}22^{\circ}\text{C}$   
 368 and a constant salinity of  $35.5$  psu. Here, we associated the SAF with a surface salinity shift located at  $\sim 44.55^{\circ}\text{S}$  in  
 369 2016 and  $\sim 43.25^{\circ}\text{S}$  in 2022. Salinity values increased from  $\sim 33.7\text{--}33.9$  psu (south of the front) to  $\sim 34.5$  psu (north  
 370 of the front) in both years with increased temperature associated. The SSTF was consistently located around  $\sim 40.2^{\circ}\text{S}$   
 371 S in both cruises. SSTF exhibited mean temperatures of  $17.7^{\circ}\text{C}$  and  $16.4^{\circ}\text{C}$  in 2016 and 2022, coupled with mean  
 372 salinities of  $35.185$  and  $34.945$  psu. The Northern SubTropical Front (NSTF) was positioned at  $36.05^{\circ}\text{S}$  in 2016 and



**Figure 9:** Median acoustic profiles computed in the linear domain for each region obtained with the model-based clustering. The median profile is calculated for each frequency (18, 38, 70, 120 and 200 kHz from left to right panels) depending on the night (blue shade) and day periods (white shade) and considering independently each year. The first (resp. second) and third (resp. fourth) lines of panels correspond to results for 2016 (resp. 2022). Purple profiles correspond to the southernmost region (PFZ), red profiles to the northernmost region (STZ) and green profiles to the region in between (SAZ) (Figure 7 right panels).

373 36.65° S in 2022 corresponding to a temperature > 20.15 °C and 20.05 °C and distinguishing areas with salinity >  
 374 35.3 psu in 2016 and 35.51 psu in 2022.

375 Following Geisen et al. (2022), we refer to the southern group (purple) as the Polar Front Zone (PFZ). The  
 376 green cluster located to the north of the SAF and to the south of the NSTF has been termed the SubAntarctic  
 377 Zone (SAZ), while the red cluster situated to the north of the NSTF is identified as the Subtropical Zone (STZ).  
 378 All four environmental variables (temperature, salinity, oxygen and fluorescence) were significantly different between  
 379 the different regions (Wilcoxon pairwise tests, p-value < 0.001). The PFZ (purple) shows colder, fresher and more  
 380 oxygenated surface waters. At the opposite, the STZ (red) corresponds to subtropical environmental conditions  
 381 characterised by hotter, saltier, less oxygenated surface waters with a weak fluorescence signal. The SAZ (green)

382 corresponds to a transition zone between two oceanographic systems. The fluorescence is significantly higher in the  
 383 PFZ and SAZ than in the STZ, with a large peak around 42° S in 2016 belonging to the green region, and two peaks  
 384 around 44.5 and 43° S in 2022 belonging to the purple region. The peak observed in 2022 matches the SAF location  
 385 but not in 2016. A third fluorescence peak is observed in 2022 around Saint-Paul and Amsterdam islands.

386 We calculated the median acoustic profiles for both daytime and nighttime based on the environmental-acoustic  
 387 region for both cruises (Figure 9). Pairwise fANOVA tests were used to confirm significant profile differences between  
 388 regions according to the frequency and period. All pairwise tests showed significance (p-value < 0.001). Distinct  
 389 differences between the median profiles are evident in the day-night comparison. Nighttime profiles present backscatter  
 390 more uniformly distributed along the water column, without densities below -85 dB, whereas daytime profiles display  
 391 lower average densities in the upper 400 m (matching PC1, Figure 5).

392 For nighttime profiles (blue background Figure 9), the PFZ (purple) presents less vertical variations of densities  
 393 in the water column than the two other groups. The SAZ (green) is distinguished by a deep scattering layer (DSL)  
 394 (400-600 m) on the 18 kHz and three scattering layers (SL) on the 38 kHz, one at the surface (above 100 m), also  
 395 seen on the higher frequencies, one at ~350 m and a DSL between 500-800 m. The STZ (red) is characterised by an  
 396 intense surface scattering layer (SSL) observed for all frequencies, low intensities in the intermediate layer (IL) and  
 397 dense multi-layered DSL observed on the 38 kHz.

398 For daily profiles (white background Figure 9), the DSL observed on the 18 kHz around 400 m (2016) or 500 m  
 399 (2022) is weaker in the STZ (red) while the large DSL observed on the 38 kHz (400-800 m) is weaker in the PFZ  
 400 (purple). An intense SSL (0-100 m) on the 18, 38, 70 and 120 kHz characterises the STZ (red) in 2022 in comparison  
 401 with the other regions. In 2016, this intense SSL is also observed in the SAZ (green) on the 38 and 70 kHz and in the  
 402 SAZ and the PFZ on the 18 kHz. Finally, the PFZ (purple) is defined by higher densities in the IL (100-300 m) in 2016,  
 403 observed as a peak around 100 m in 2022, while the STZ is particularly low at that layer.

404 Overall, we observe from the PFZ to the STZ (i) an increase of densities in the SSL (0-100 m), (ii) a decrease in  
 405 densities in the IL during the daytime (100-300 m) and (iii) the apparition of a dense DSL on the 38 kHz. Even though  
 406 variability can be observed, this main pattern is recurrent between years.

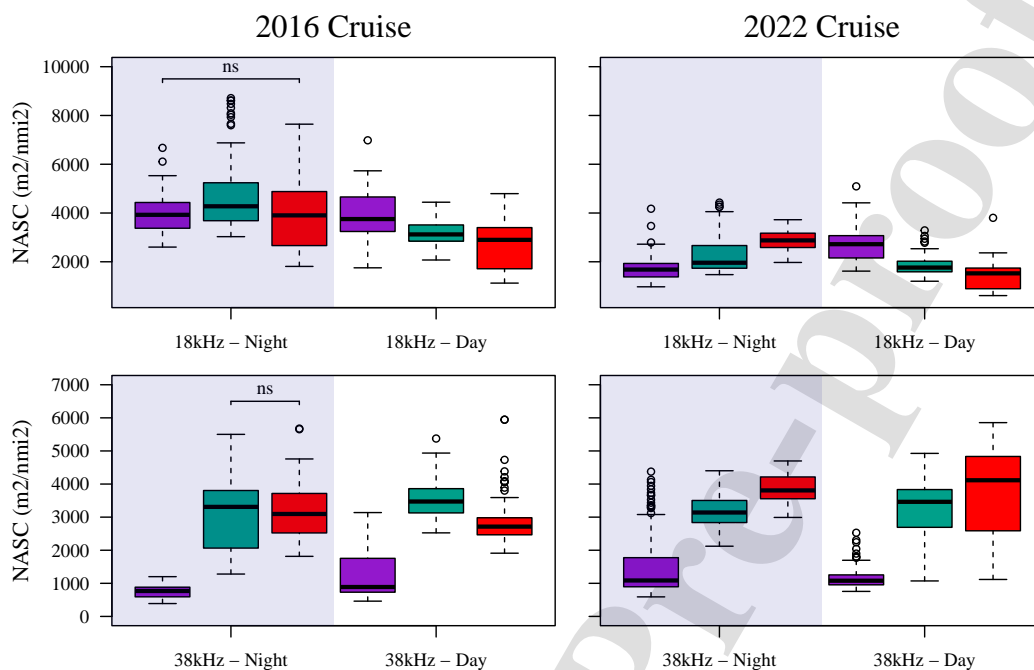
407 The median  $S_v$  profiles with interquartile ranges for each environmental-acoustic region are displayed Figure S4.  
 408 Smoothed echograms for 2016 and 2022 are displayed in Figure S5 with associated environmental-acoustic regions.

### 409 3.5. Integrated NASC and taxons in the regions

410 We calculated the integrated NASC across all frequencies, from 25 m depth down to their maximum range. We  
 411 focus on lower frequencies that penetrate greater into the mesopelagic zone, as the frequencies with a sampling depth  
 412  $\leq 500$  m (70, 120, and 200 kHz) were the first three contributors to the observed circadian cycle effect (Figure 4).

413 At nighttime in 2022, both 18 and 38 kHz show a significant increase in NASC values (Wilcoxon pairwise tests,  
 414 p-value < 0.001) from the PFZ (purple) to the STZ (red) (Figure 10). In 2016, the NASC for the 18 kHz is significantly  
 415 higher in the SAZ (green) but no difference is found between the PFZ and the STZ. At 38 kHz in 2016, the NASC is  
 416 significantly lower in the PFZ but no difference is found between the two other regions. During the daytime, the NASC  
 417 values at 38 kHz are approximately three times higher in the SAZ and STZ in comparison to the PFZ. The 18 kHz  
 418 depicts an opposite pattern with the highest values observed in the southern region, gradually decreasing towards the  
 419 north.

420 Concerning the biological composition in the area, net samples were spatially associated with the three  
 421 environmental-acoustic regions. The abundance of Gonostomatidae and salps exhibit a distinct pattern, showcasing  
 422 lower Gonostomatidae abundance and higher salps abundance within the Polar Front Zone (purple) in comparison to  
 423 the northern regions (Figure 11). However none of the differences observed are significant (Gonostomatidae: ANOVA,



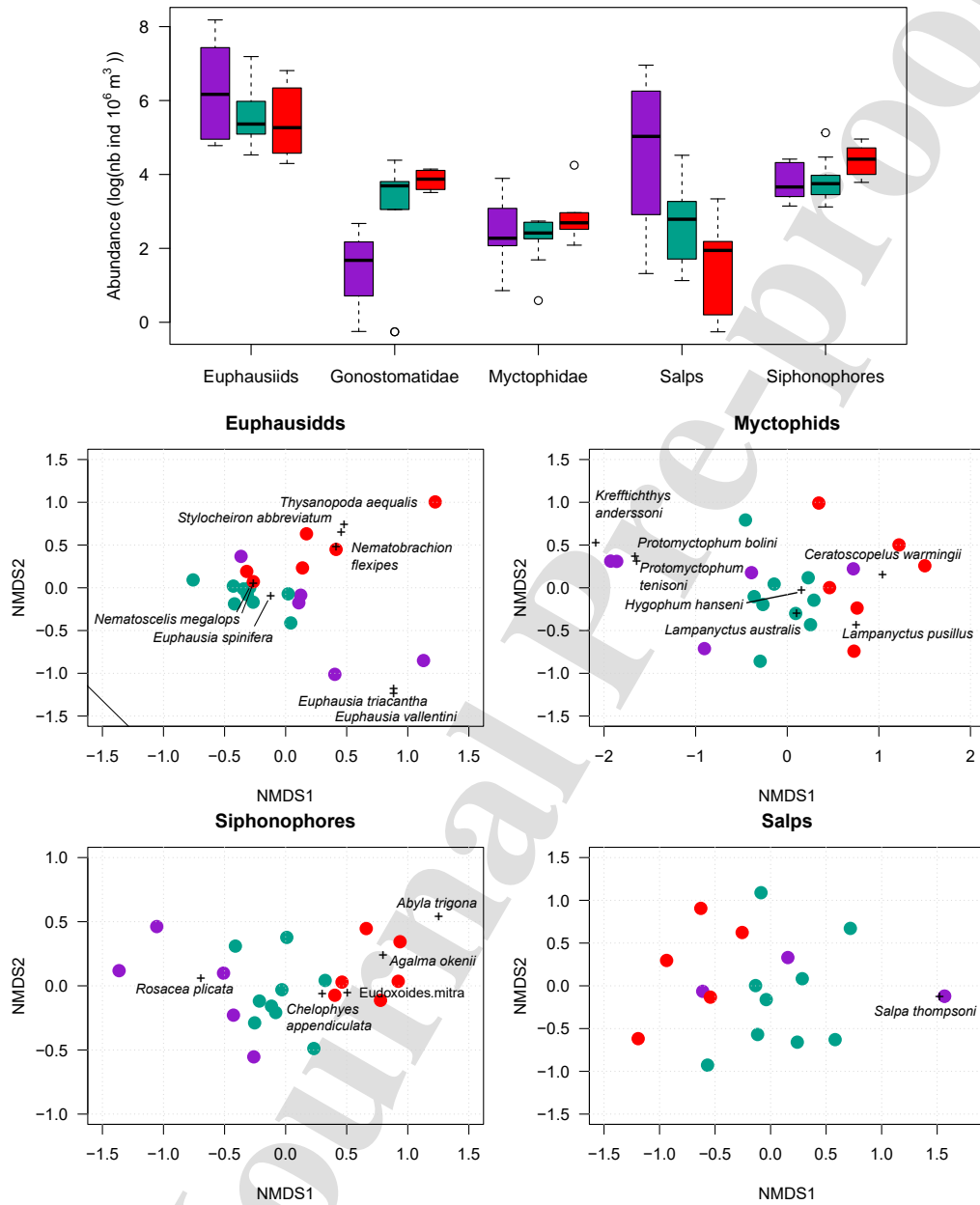
**Figure 10:** Boxplot of integrated NASC ( $\text{m}^2 \text{nmi}^{-2}$ ) computed for each environmental-acoustic region defined with model-based clustering. The NASC is calculated for the 18 (top panels) and 38 kHz (bottom panels) over profiles sampled at night (blue shade) or at day (white shade). The y-axis is adjusted for each frequency. The left panels correspond to the 2016 cruise while the right panels correspond to the 2022 cruise. For a given year, frequency and period (night or day), all pairwise integrated NASC are significantly different (Wilcoxon test,  $p$ -value  $< 0.01$ ) unless when indicated ns above two boxplots. The number of observations  $n$  per boxplot  $\in [43,206]$ .

424  $F^{2,13} = 3.487$ ,  $p$ -value = 0.0613; Kruskal-Wallis, Chi-squared = 3.93,  $df = 2$ ,  $p$ -value = 0.14). For euphausiids,  
 425 siphonophores, and myctophids, no significant differences are observed.

426 We identified 51 species of myctophids, 32 species of euphausiids, 35 species of siphonophores and 11 species  
 427 of salps from the net samples. The NMDS indicates a correct value of stress (0.11 for euphausiids and myctophids,  
 428 0.12 for siphonophores and 0.15 for salps) which means a good representation of the data (Figure 11). The NMDS  
 429 projection shows a spatial distinction for the four groups (myctophids, salps, euphausiids and siphonophores) based on  
 430 the environmental-acoustic regions. The ANOSIM carried out from stations separated by the environmental-acoustic  
 431 regions shows significant differences between groups for myctophids, euphausiids and siphonophores ( $p$ -value =  
 432 0.001). However, only a significant difference between the Polar Front Zone (PFZ) and the SubAntarctic Zone (SAZ)  
 433 is observed for salps.

434 The PFZ (purple) is dominated by Southern Ocean species, such as *Euphausia vallentini* and *Euphausia triacantha*  
 435 for euphausiids, *Rosacea plicata* for siphonophores and *Salpa thompsoni* for salps. The dominant myctophid species  
 436 were *Krefflichthys anderssoni*, *Protomyctophum bolini*, *Protomyctophum tenisoni*. Within the PFZ, a latitudinal shift  
 437 was observed with clear endemic southern ocean species in the south, and more cosmopolitan in the northern stations.  
 438 The SubAntarctic Zone is marked by the dominance of cosmopolitan species present throughout the transect, and the  
 439 absence of Antarctica species that were identified in the PFZ: *Euphausia spinifera* and *Nematoscelis megalops* for

440 euphausiids, *Chelophyes appendiculata*, *Eudoxoides mitra* for siphonophores. The diversity of myctophids is higher in  
441 this region compared to the PFZ with the presence of *Lampanyctus australis* and *Hygophum hanseni*. No dominance  
442 of salp species was identified. Finally, several subtropical species such as the euphausiids *Thysanopoda aequalis*,  
443 *Stylocheiron abbreviatum* or *Nematobrachion flexipes* characterise the SubTropical Zone. The siphonophore species  
444 *Agalma okenii* and *Abyla trigona* are also dominant. *Ceratoscopelus warmingii* and *Lampanyctus pusillus* are the  
445 dominant myctophid species. Similarly to the SAZ, no dominance of salp species was identified.



**Figure 11:** Biological communities and association with the environmental-acoustic regions (colors). Top panel : boxplots of organisms abundance ( $\log(\text{nb ind } 10^6 \text{ m}^{-3})$ ) grouped in five taxa. For each taxon, no significant differences were found between regions (ANOVA or Kruskal-Wallis tests,  $p\text{-value} > 0.05$ ). Bottom panels : ordination plot using Non-Metric Multidimensional Scaling (NMDS) of IKMT euphausiids, Myctophidae, siphonophores and salps captured in the area. Gonostomatidae could not be analysed using NMDS as no species-level identification could be performed. Each dot represent a IKMT station ( $n \text{ total} = 20$ ). Positive correlated objects are close together on the same side of the plot. Black crosses display the dominant species per region.



#### 4. Discussion

We report here on the application of Functional Data Analysis methods applied to five acoustic frequencies sharing distinct depth ranges, acquired from a downward-facing echosounder. Our investigation delves into the inherent temporal variability of acoustic data, aiming to filter it to explore the spatial sound-scattering regimes evolution within a known transition zone. The analysis of the vertical distribution of sound-scattering layers can be achieved by vertically segregating the data prior to analysis (e.g. Béhagle et al., 2014). However, the mesopelagic vertical boundaries exhibit both spatial and temporal variability (Reygondeau et al., 2018), influenced by the specific oceanic province under consideration (Fuchs et al., 2022). This complexity in vertically delimiting oceanographic basins suggests that, instead of enforcing vertical separation prior to analysis, a more promising strategy is to adopt a comprehensive whole-water column approach (Sutton, 2013).

We believe the functional data analysis method employed here, which identifies the depth maximising vertical variability (Nerini et al., 2010), allows us to contribute to this approach. Furthermore, the originality of this work is the application of the fPCA to joint acoustic frequencies sharing distinct depths, complementing a recent similar approach (Ariza et al., 2022a). Such tools can be seen as valuable as it is becoming clearer that multi-frequency hydroacoustic analyses are needed to better understand the proportions of distinct groups composing sound scattering layers (e.g. siphonophores, crustaceans and fish), which can in turn help reduce the uncertainty in mesopelagic organisms biomass estimates from acoustic data (Proud et al., 2019). Indeed, part of hydroacoustics research on pelagic vertical structures has focused on the 38 kHz because it reaches deep depths and it corresponds to the frequency of air-filled organisms resonance (e.g. Béhagle et al., 2016; Klevjer et al., 2016; Receveur et al., 2020b). It follows that research has largely focused on the 38 kHz to model micronekton spatial dynamics (e.g. Lehodey et al., 2015; Ariza et al., 2022b) and estimate the global fish biomass from NASC integrated values (Irigoien et al., 2014; Dornan et al., 2022). Yet, a single-frequency approach might encounter constraints in capturing the wide spectrum of community composition variations (Dornan et al., 2019).

#### Decomposing and quantifying spatiotemporal variability in acoustic data

As emphasised in Boersch-Supan et al. (2017), "there is an imperative [...] in using analysis frameworks that adequately address the inherent space-time variability". We show here that the primary mode of variability is tied to temporal dynamics even across a pronounced hydrological transition zone where substantial spatial variability was anticipated. This diel dominance aligns with the well-recognized diel vertical migration (DVM) pattern, a major mode of variability in acoustic data (e.g. Receveur et al., 2020a), and corroborates the substantial impact of daylight on backscatter values in the southern Indian Ocean (Boersch-Supan et al., 2017). This outcome is consistent with the long-known response of marine organisms to light levels (e.g. Cotté and Simard, 2005; Brierley, 2014) and the observation of organisms adjusting their depth to remain within preferred irradiance ranges (Frank and Widder, 2002). Our results indicate a slight increase in the scores of the temporal mode during the day for both the 2016 and 2022 cruises, implying spatial implications within this diel pattern. This intricacy can become even more complex with longer timescales like the seasonal cycle (Urmy et al., 2012). Recent studies have introduced effective data-driven techniques to capture inherent temporal variability in acoustic datasets (Parra et al., 2019; Lee and Staneva, 2020). In this study, we enhance the interpretation of our multi-frequency database by considering how different frequencies respond to temporal changes in both the mesopelagic zone (18-38 kHz) and the epipelagic zone (across the 5 frequencies). Our findings indicate that the distribution of each acoustic observation can be understood as a linear combination of specific sound-scattering features (e.g. VM2, VM3), building on a main cyclic temporal mode of variability (VM1). This advancement could contribute to optimising parameters for marine ecosystem models (e.g. Lehodey et al., 2015).

487 The mfPCA approach also proves valuable in analysing the frequency contributions to individual vertical modes. As  
488 illustrated in Figure 3, a high correlation exists within and between high frequencies (120-200 kHz). High frequencies  
489 substantial influence on the temporal mode aligns with their limited sampling range, primarily capturing the epipelagic  
490 dynamics, where organism migration significantly impacts abundance (e.g. Béhagle et al., 2017). The lower frequencies  
491 (18 and 38 kHz) are also influenced by temporal variability, albeit less due to the possible persistent deep scattering  
492 layers (DSL) between nighttime and daytime, associated with non-migratory organisms (particularly at the 38 kHz  
493 frequency). Additionally, Figure 3 revealed no correlation at depth among the deep-ranging frequencies (18 and 38  
494 kHz) probing the twilight zone. This absence of correlation results in an uneven contribution of the frequencies to each  
495 VM. For instance, the change in the deep sound-scattering layers highlighted by VM3 in 2016 is majorly driven by  
496 the 38 kHz, and could be associated with a change of community detected particularly at this frequency (e.g. a change  
497 in mesopelagic fish community, Dornan et al., 2019). On the contrary, VM2 (2016) was driven by a combination of  
498 frequencies, which suggest that the whole pelagic community structure varied with this pattern.

#### 499 **Numerous spatiotemporal patterns**

500 Within the branch of Functional Data Analysis methods, Functional Principal Component Analysis (fPCA) has  
501 largely been applied to oceanographic research as it allows the study of 3D structures (space and depth or time). In  
502 analysing 3D hydrological patterns and front location, the initial factorial plan captured 92, 94, 87, and 79 % of inertia,  
503 respectively in Pauthenet et al. (2017, 2018, 2019, 2021). Similarly, 99 % of variance was explained by the first three  
504 vertical modes of variability in the Brazil-Malvinas confluence (Fonvieuille et al., 2023). In Assunção et al. (2020) the  
505 first axis alone of the fPCA explained 88 and 83 % of temperature and salinity variation. While studying Southern  
506 Elephant seal dive patterns (Godard et al., 2020), three principal components were needed to encompass 78 % of total  
507 variability. In our recent study, the first seven VMs were necessary to capture around 80 % of total variance in 2016  
508 and 2022 cruises, which match recent fPCA applied to acoustic data (Ariza et al., 2022a). Comparing the first modes  
509 of variability of a mfPCA applied to either biological or abiotic data (e.g. hydrological profiles) reveals that biological  
510 patterns need more VMs than abiotic patterns to capture their complexity.

#### 511 **Sounds-scattering regimes are linked to surface environmental drivers**

512 Grouping the result of the MFA with model based clustering provided evidence of 3 distinct environmental-acoustic  
513 groups in the transition zone, with the same spatial distribution observed in both years. The approximate boundaries  
514 of these regions fall near the Subantarctic Front (SAF) and the Northern branch of the Subtropical Front (NSTF),  
515 delimiting from South to North the Polar Frontal Zone (PFZ), the SubAntarctic Zone (SAZ) and the SubTropical Zone  
516 (STZ).

517 The 38 kHz dynamics from the PFZ to the STZ, matches previous large-scale studies conducted in the Southern  
518 Indian Ocean (Béhagle et al., 2016; Boersch-Supan et al., 2017) and a recent shape-based global classification of  
519 acoustic profiles (Ariza et al., 2022b). Notably, from South to North, the median profiles in each cluster showed an  
520 increase of densities at the surface, denser DSL and a decrease of densities in the intermediate scattering layer. The  
521 two-layer persistent DSL structure North of the SAF measured at the 38 kHz during both cruises matches with the  
522 previous vertical distribution of SL found in the Indian Ocean (Béhagle et al., 2014; Klevjer et al., 2016). However,  
523 a strong SL observed in daytime 2022 between ~100-200 m depth could nuance the view of more evenly distributed  
524 acoustic profiles South of the SAF (Boersch-Supan et al., 2017).

525 Although literature on the 18 kHz frequency in the region is limited, Boersch-Supan et al. (2017) also found an  
526 increased 18 kHz backscatter between 35° and 45° S, spanning the surface and intermediate layers during the day and  
527 throughout the water column at night. Although a decrease in densities within the DSL at 38 kHz was evident in the

southern group, there was no corresponding reduction in backscatter observed at 18 kHz. The difference in vertical structures between 18 and 38 kHz matches with recent observation at stations displaying contrasted biogeochemical conditions within the Southern Ocean (Cotté et al., 2022). These two distinct responses to the SAF could potentially indicate that the two different oceanographic domains are dominated acoustically by different frequencies. However, this assumption requires further investigation.

Detecting spatial patterns at higher frequencies poses a greater challenge due to the predominant sampling of the epipelagic layers, leading to the most notable contrast emerging between daytime and nighttime observations. However, during daytime in both years, between depths of 100 and 200 metres, a denser sound-scattering layer was detected on the 120 kHz in the PFZ in comparison to the STZ. This phenomenon might be attributed to the greater presence of dense crustacean communities in the Southern Ocean as indicated by previous studies (Demer and Conti, 2005), which were found to be particularly abundant in the 0–500 m water layer in waters surrounding Kerguelen (Cotté et al., 2022).

### Sound-scattering layers and fluorescence

In comparison to the SAF, we observed that the Southern Subtropical Front (SSTF) and NSTF exhibited a weaker hydrological gradient which did not lead to an abrupt transition in the deep scattering layers between the two northern zones. However, the lowest fluorescence measured in the STZ delimits the entry into the oligotrophic regime of the Southern Indian Ocean. This frontal system is known to impact phytoplanktonic communities (Schlüter et al., 2011; Geisen et al., 2022).

Studies have found positive relationships between mesopelagic fish biomass and primary production (PP) (Irigoien et al., 2014) and between zooplankton biomass in the epi-, meso-, and bathypelagic layers and average net primary production (NPP) (Hernández-León et al., 2020). Here the appearance of the DSL to the north of the SAF could potentially correspond to an increased presence of deep micronekton biomass across the Subtropical Convergence Zone (defined as the region between 42° and 32° S) in the Southern Indian Ocean, as suggested by previous studies (Pakhomov et al., 1994; Boersch-Supan et al., 2017). However, we found that the DSL was not constrained to the SAZ but extended northward within the oligotrophic STZ. Additionally, denser surface scattering layers were found in the same zone, where the lowest surface fluorescence was measured. A similar pattern was observed at 18 and 38 kHz in the Northeast Atlantic Ocean, with surface scattering layers being denser when surface fluorescence was the lowest, while no impact of the transition was observed on the DSL (García-Seoane et al., 2023).

Future research should focus on exploring the relationship between mid-trophic levels and low trophic levels, particularly investigating the connection between scattering layers and the intricate phytoplankton communities across this transition zone (Geisen et al., 2022).

### Integrated acoustic biomass

The change in profile features can also reflect a change in integrated acoustic biomass. For higher frequencies, which sample the epipelagic layer only, the integrated NASC can be greatly impacted by the circadian cycle as the migrating organisms from the mesopelagic zone strongly increase the epipelagic densities. When the acoustic information fully integrates the mesopelagic scattering layers (~200-1000 m), and for similar environmental conditions, the effect of the circadian cycle on the integrated NASC can be limited as the backscatter values can be rather reorganised in the water column; although organisms migrating from the depth > 1000 m was reported (Sutton, 2013).

Spatially, for the 38 kHz total NASC, values increased from subantarctic to subtropical conditions where the highest densities were previously observed, especially in surface waters, at a larger scale (Béghagle et al., 2016). Furthermore, the decline of acoustic backscatter DSL recorded at 38 kHz towards the southern ocean is in adequation with previous observations (e.g. Escobar-Flores et al., 2020; Dornan et al., 2022), and was recently observed across high latitude

569 fronts (with a drop of mean  $S_v$  at 38 kHz; Chawarski et al., 2022), showing evidence of a response of the mesopelagic  
570 community to a temperature drop. Here, a significant inverse pattern in integrated biomass was seen between 18 and  
571 38 kHz during the daytime, comforting that the drop of acoustic may be due to a change of community and not a drop  
572 of biomass (Dornan et al., 2019).

### 573 **Abundance and diversity of marine organisms in environmental-acoustic regions**

574 Myctophids establish their dominance as the primary fish family in the mesopelagic and bathypelagic regions  
575 of the Southern Ocean, leading in species diversity, abundance, and biomass. While we observed no variations in  
576 myctophid abundance, their groupings effectively distinguish species within the Polar Front Zone from those in the  
577 SubAntarctic and SubTropical Zones. This observation aligns with the distinct shift in fish communities documented in  
578 the subantarctic zone (Koubbi et al., 2011) and the SAF' role as a significant biogeographic boundary for mesopelagic  
579 fish larvae in the Indian sector of the Southern Ocean (Koubbi, 1993). Given the significant impact of organism  
580 composition and physiology on backscatter resonance, we investigated if the observed shift in fish assemblages could  
581 provide an explanation for the abrupt change in NASC values and alterations in vertical structure, particularly the  
582 DSL drop at 38 kHz frequency towards the Southern Ocean. Indeed, swim bladders being one of the most resonant  
583 organs of a fish, the absence of a gas-bearing swimbladder can lead to a near-no detection of certain species at low  
584 frequencies (Foote, 1980). This proposition gains further support from the fact that the most abundant mesopelagic  
585 fish species in the Southern Ocean lack gas-filled swimbladders or possess swimbladders filled with lipids (Dornan  
586 et al., 2019). Notably, certain species found in the subtropical region, such as *Lobianchia dofleini*, do possess gas-filled  
587 swimbladders (Kleckner and Gibbs, 1972), whereas species like *Gymnoscopelus fraseri*, identified in the SAZ are  
588 known to lack swimbladders (Dornan et al., 2019). However, this hypothesis lacks support due to our investigation in the  
589 PFZ which documented the presence of myctophid species with varying gas swimbladder status. For example, species  
590 such as *Protomyctophum bolini*, *Electrona carlsbergi*, and *Krefflichthys anderssoni* possess gas swimbladders, whereas  
591 *Electrona antarctica* and *Gymnoscopelus braueri* do not or exhibit an ontogenetic loss of gas swimbladder (Dornan  
592 et al., 2019). The relation between acoustic backscatter levels and organisms' distribution may not be so evident as the  
593 presence, type or size of swimbladders change among and within taxonomic groups, and potentially within genus and  
594 species, depending on body length or developmental stage (Marshall, 1960; Dornan et al., 2019). Nonetheless, from a  
595 biological perspective, the region identified as the PFZ might not be representative of the strict Southern Ocean fauna,  
596 as species such as *Electrona antarctica* are commonly located within the vicinity of the Polar Front or to its south.  
597 The PFZ region is likely associated with the northern margin of the Southern Ocean. The Gonostomatidae is the other  
598 main fish family reported in this study. While Gonostomatidae can be found in epipelagic layers in the subtropical at  
599 night, they were reported to be the most dominant species dwelling in the deep scattering layer (Marohn et al., 2021)  
600 and to remain at these depths both during day and night time (McClain et al., 2001; Olivar et al., 2017). Furthermore,  
601 they were found to be the main contributors to the 38 kHz DSL (between 400-600 m (Peña et al., 2014). Despite  
602 the lack of a significant change in abundance, the scarce catches in the Southern Ocean align with a small number  
603 of Gonostomatidae species extending as far south as the Southern Ocean (Broyer and Koubbi, 2014). Upon further  
604 investigation, the persistent DSL we observed between night and day periods in the Southern Indian Ocean could be  
605 imputed to these species.

606 Additionally, the southward dissolution of the 38 kHz does not imply a loss of biomass as acoustic-based estimation  
607 of mesopelagic fish biomass greatly depends on the fish morphology (Proud et al., 2019) and acoustic signals are rather  
608 sensitive to the scatterer's distribution than biomass distribution (Davison et al., 2015). As stated by : "the size structure  
609 of mesopelagic fish is skewed with abundance driven by the smallest and biomass driven by the largest fishes" (Marohn  
610 et al., 2021). In our study, the biomass is probably higher in the PFZ given the sizes of the individuals of each family

611 (myctophids are bigger than *Cyclothone* species which are the dominant Gonostomatidae in the area; Koubbi and Djian,  
612 pers. comm.).

613 The changes observed in the surface layer from the PFZ to the STZ could be explained by differences in assemblage  
614 for all taxa (except for salps). We encountered a large number of *Agalma okenii* in the STZ, a physonect siphonophore  
615 known to have relatively high acoustical target strengths (TS) at 24 and 120 kHz, caused by a gas inclusion in their  
616 pneumatophore (Warren, 2001). *Agalma okenii* vertical distribution extends from the surface down to 200 m depth  
617 (Boltovskoy, 1999) which would match the intense scattering layer observed at this depth (~100 m). The high resonance  
618 of this layer at 38 kHz would match previous observations (Klevjer et al., 2016).

### 619 **Limitations and future perspectives**

620 Globally, while the species assemblages have direct repercussions on acoustic backscatter, the primary factor of  
621 uncertainty in our study is the sound-scattering layers composition. The presence of highly resonant organisms, such  
622 as *Salpa thompsoni*, found in the Polar Frontal Zone (PFZ) and generally found in large aggregations, can be mistaken  
623 for krill or other zooplankton (Wiebe et al., 2010). Furthermore, the response of other fluid-like organisms such as  
624 euphausiids presents less difference in resonance between species. For instance, *E. vallentini* is found here to be a  
625 representative species of the PFZ and was found at ~60 m in Kerguelen water (Cotté et al., 2022; Béhagle et al., 2017)  
626 but we did not identify a matching surface scattering layer in this zone. Moreover, the association between distinct  
627 years of net sampling and acoustic data introduce bias into the interpretation. Further simultaneous acoustic/sampling  
628 associations are required to clarify the response of MM to this transition zone.

629 The statistical method introduced in this study has a notable constraint: it demands a substantial number of principal  
630 components to effectively capture the intricate spatiotemporal interconnections and overall variability. To address this,  
631 the incorporation of additional spatiotemporal data could potentially reduce the number of required modes. Conducting  
632 additional acoustic surveys, especially zonal transects, could also help limit the overinterpretation of potential fine-scale  
633 structures (<10 km, e.g. fronts or filament) on the observed patterns as it was shown to have effect of increased fish  
634 concentration (Baudena et al., 2021), as well as mesoscale activity (Godø et al., 2012; Della Penna et al., 2022).  
635 Moreover, there is a need for further investigation into the combined impact of the transition from polar to subtropical  
636 waters and the presence of Saint-Paul and Amsterdam islands. The latter have been observed to produce internal waves,  
637 which could enhance the transfer of nutrients from deep waters to the surface (de Lavergne et al., 2020; Sergi, 2021).  
638 These processes have the potential to significantly influence the marine environment and habitats for marine organisms  
639 and predators (Bertrand et al., 2014). Finally, a more comprehensive understanding of depth-related dynamics could be  
640 attained by integrating environmental profiles into the analysis. The mfPCA approach has effectively untangled factors  
641 that contribute to vertical data variance. Further advancements employing machine learning techniques, particularly  
642 deep learning, could potentially uncover even more intricate dependencies (Bianco et al., 2019). This advancement  
643 holds the potential to provide deeper insights into the intricate spatio-temporal intricacies within acoustic datasets.

644 Finally, the work presented here was initiated under the scope of the extension of the protection status of Saint-  
645 Paul and Amsterdam islands to their Economic Exclusive Zone pelagic waters (Pagniez et al., 2021). Management  
646 plan includes a monitoring of ecosystems and the acoustic data has proven to be a valuable source of information in  
647 such remote regions for integrating knowledge on the distribution of the mid-trophic levels and contributing to the  
648 conservation and advisory objectives of policymakers. Moreover, understanding the dynamics of mid-trophic levels  
649 using echoregions from quasi-pristine areas can provide baseline information that can serve as a reference to detect the  
650 emerging impact of climate change on pelagic fauna.

## 651 Declaration of competing interest

652 None.

## 653 Acknowledgments

654 This work was conducted in the framework of L. Izard PhD, cofounded by Sorbonne University and the TAAF  
 655 (Terres Australes et Antarctiques Françaises). The authors thank the captains and the crews of the R/V Marion  
 656 Dufresne II. We extend our thanks to D.J. Brah and K. Goul for deep support during night shifts. The THEMISTO and  
 657 REPCCOAI cruises were supported by the French oceanographic fleet, the CNES OSTST Tosca KERTREND-SAT,  
 658 the CNRS Antarctic Workshop Zone, the European H2020 program (MESOPP grant agreement No 692173) and the  
 659 TAAF National Nature Reserve programs. The OISO program is supported by the French institutes INSU (Institut  
 660 National des Sciences de l'Univers), IPSL (Institut Pierre Simon Laplace), IPEV (Institut Polaire Paul Emile Victor)  
 661 and Sorbonne University (OSU Ecce Terra).

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**Revised manuscript with changes marked**

The manuscript has undergone substantial revisions; as a result of these extensive changes, we opted to provide only the new version, rather than a revised manuscript with changes marked.

Journal Pre-proof

Highlights:

- Functional data analysis identifies variance in SL distribution via vertical modes.
- First mode is tied to temporal dynamics, next modes display spatial patterns.
- 3 regions link environmental surface data and SL from South Ocean to Southern Indian Ocean.
- 18 and 38 kHz differ in integrated acoustic density spatial patterns.
- Species assemblage help explain acoustic patterns in transition zones.

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Journal Pre-proof