Comparative analysis of day and night micronekton abundance estimates in west pacific between acoustic and trawl surveys

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Abstract :

Micronekton organisms are a central component of the trophic organization in the pelagic ecosystem, being prev to top predators and participating in the export of carbon from the surface to the deep layers. Despite their importance, the abundancedeep sea estimates and species distribution of micronekton remain largely uncertain. This study aimed to compare and assess two sampling methods classically used for the estimation of micronekton abundance in mesopelagic acoustic scattering layers: scientific echosounder and trawl sampling. Measurements of 38 and 70 kHz hull-mounted echosounders were examined with biological trawl samples from 8 surveys in the South-West tropical Pacific. A model of acoustic observation was built from the trawl sampling species composition and forward scattering models. Predicted and observed acoustic responses are compared to assess the variability and the difference between the acoustic and trawl sampling methods in various scattering layers, for day and night periods. The difference between methods decreased with depth and with increasing abundance of fish with swimbladders caught in trawls. Notably, this difference was found to be minimal in the nocturnal deep scattering layer (mesopelagic zone, depth>200 m). This study emphasizes potential lower estimates of organisms' abundance by trawling and a bias towards mesopelagic fish. Understanding the differences between methods and their variability within different scattering layers is essential for studying micronekton and improving the precision of biomass estimates.

Highlights

► Acoustic-trawl surveys in scattering layers of West tropical Pacific. ► Forward scattering models applied to micronekton trawl sampling with a high species diversity. ► The difference in micronekton density estimates between acoustic and trawl methods varies across scattering layers. ► The disparities between methods decrease in night mesopelagic layers and trawls with high swimbladdered fish density.

Keywords : West tropical Pacific, Scattering models, Mesopelagic fish, Target strength, Scattering layers

31 Introduction

- 32 Micronekton, ubiquitous to all oceans and distributed in mesopelagic acoustic scattering layers
- plays a pivotal role in the trophic organisation of the pelagic ecosystem. It feeds on zooplankton and
- 34 serves as prey for mid-level and top predators, including tuna (Bertrand et al., 2002; Young et al., 2015).

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35 Micronekton are defined by a size range from 2 to 20 cm and encompasses a large variety of species 36 that can be classified into large taxonomic categories: fishes including mesopelagic fish, crustaceans, 37 gelatinous organisms, and molluscs (including squids) (Blackburn, 1968; Brodeur et al., 2004; Escobar-38 Flores et al., 2019). A significant portion of species of micronekton, which has been estimated to be 39 78% in the Coral Sea (Receveur et al., 2020a), undertakes a diel vertical migration (DVM). They migrate 40 from the deeper layers (200-1000m), where they reside during the daytime, to the surface layers 41 (epipelagic: 0-200m or upper-mesopelagic: 200-500m) at night (Pearre, 2003; Brierley, 2014; Czudaj et 42 al., 2021). This migration pattern contributes to the biogeochemical fluxes between the surface and 43 the mesopelagic domain (Davison et al., 2013; Ariza et al., 2015; Anderson et al., 2019). The frequent distribution of micronekton in dense scattering layers in the upper 1000m of the water column varying 44 45 with time and space (Burgos and Horne, 2008; Benoit-Bird and Lawson, 2016) poses challenges for 46 their study.

47 Micronekton, with their significant contribution to the overall biomass of the oceans, present a 48 challenge for accurate biomass estimates due to their high species diversity. Estimates often focus 49 more specifically on a taxonomic group, such as mesopelagic fish or krill. When considering 50 mesopelagic fish biomass, trawl sampling provides the lowest estimate around 1 billion tons (Gjøsæter 51 and Kawaguchi, 1980). Acoustic studies show median estimates between 3.8 and 15 billion tons 52 (Irigoien et al., 2014). Proud et al. (2019) further refines this range to 3.8 to 8.3 billion tons, accounting 53 for factors like the proportion of mesopelagic fish without gas-filled inclusion and the contribution of 54 other micronekton organisms. Additionally, ecosystem-based trophic models bridge the gap between 55 acoustic studies and historical trawl sampling estimates, predicting a mesopelagic fish biomass of 2.4 56 billion tons (Anderson et al., 2019), which is 26 to 130% higher than Hill Cruz et al. (2023) estimates.

57 The significant variability in biomass estimates of mesopelagic fish and the difficulty to include all 58 taxa of micronekton can be attributed to biases inherent to each of the two methods. The acoustic 59 provides a proxy of the density of organisms throughout the water column and the single narrowband 60 38kHz is commonly employed to investigate the biomass of mid-trophic organisms, particularly 61 mesopelagic fishes, within the depth range from the surface down to 1000 meters (Kloser et al., 2009; 62 De Robertis et al., 2017b; Receveur et al., 2020a). Interpreting the backscattered volume using this 63 single frequency is quite challenging in ecosystems characterized by a wide diversity of taxa and mixed 64 scattering layers. Dominant scatterers, which include organisms with gas-filled inclusions, tend to mask 65 the response of the weaker scatterers, such as crustaceans and fish lacking gas-bladder (Mair et al., 2005; Davison et al., 2015; Dornan et al., 2019, 2022). Furthermore, the target strength (TS), which 66 67 represents the individual acoustic response of organisms, varies depending on their size and 68 orientation within a species (McGehee et al., 1998; Scoulding et al., 2017). The insonified volume 69 increases with the distance to the echosounder, thereby potentially increasing the number and 70 diversity of the scattering organisms' taxa detected with depth in the mean backscattered response,

71 further complicating its interpretation.

Regarding the pelagic trawl sampling method, it is an essential tool to collect biological samples from specific depth layers in order to assess the taxonomic diversity of organisms (Receveur et al., 2020b). However, relying solely on this method for estimating the abundance of mesopelagic organisms introduces biases, primarily due to avoidance behaviour exhibited by nektonic organisms (Kaartvedt et al., 2012), variable catchability among species (Arreguín-Sánchez, 1996; De Robertis et al., 2017; Underwood et al., 2020) and selectivity associated with trawl mesh size (Heino et al., 2011; Grimaldo et al., 2022).

79 Therefore, examining the acoustic backscatter in conjunction with *in situ* biological sampling is 80 essential for accurate estimation of micronekton density and diversity (McClatchie et al., 2000). 81 Understanding the morphological characteristics of species within scattering layers (Kloser et al., 2009; 82 Dornan et al., 2022) enables the investigation of their contributions to the acoustic backscattered signal (Scoulding et al., 2017). Combined acoustic-trawls studies have demonstrated successful 83 84 estimation of mesopelagic fish biomass in ecosystems with low species diversity (De Robertis et al., 85 2017b; Scoulding et al., 2017). However, the uncertainty in biomass estimates tends to increase with 86 the biodiversity in ecosystems. There is uncertainty regarding whether trawls tend to underestimate 87 the abundance of organisms and fail to provide a homogeneous sampling of all species (Mair et al., 88 2005; Kloser et al., 2009; Blanluet et al., 2019). Although imperfect, trawls remain essential for 89 understanding the composition of scatterers in ecosystems, and in particular the proportion of weak 90 and strong scatterers (Dornan et al., 2022). Due to the paucity of sampling and inherent biases 91 associated with trawl and acoustic methods, precise estimations of the abundance and species 92 distribution of micronekton organisms remain highly uncertain when accounting for all taxa (St. John 93 et al., 2016; Hidalgo and Browman, 2019; Fjeld et al., 2023). This is particularly the case for mesopelagic 94 communities in the tropical Pacific (Klevjer et al., 2020; Ariza et al., 2022), further amplifying the 95 uncertainty regarding micronekton abundance.

96 The present study aims at interpreting acoustics at 38 and 70 kHz relative to trawl sampling in 97 the tropical southwest Pacific. Comparison of acoustics and biological sampling is achieved through 98 forward method, which converts trawl species composition and abundances into volume backscatter. 99 This method uses theoretical scattering models and biological samplings from midwater trawls 100 collected during 8 surveys providing species densities, sizes and distributions (e.g. Blanluet et al., 2019). 101 This approach predicts the theoretical mean volume backscattered signal in the scattering layers, 102 considering all captured taxa, and compares it to the mean volume backscatter from the hull-mounted 103 echosounder. The disparities between the two acoustic densities in relation to the time-period, 104 sampling depth and trawl composition were explored within various scattering layers. This study

highlights the complexity of estimating micronekton densities in environments with high biodiversity,
and the need to resolve the main causes of discrepancies between these two complementary
observation methods to better estimate biomass and clarify the uncertainty on this estimate.

108 **1. Materials and methods**

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Data used in this paper were collected during eight research cruises spanning 2011-2021 (Nectalis 1-5, Wallalis, KANARECUP and WARMALIS-1, referred to as NEC1-5, WAL, KANA and WARM) in the western tropical Pacific region (Figure 1, Table 1). The cruises covering the area between 157°E-176°W and 4°N-26°S were conducted on board R/V Alis (French oceanographic fleet) with the objective of understanding the mechanisms structuring the pelagic ecosystem that supports tuna fisheries in the region. All surveys included hull-mounted narrowband data and micronekton trawl sampling, where the acoustic recordings and trawls were conducted simultaneously.

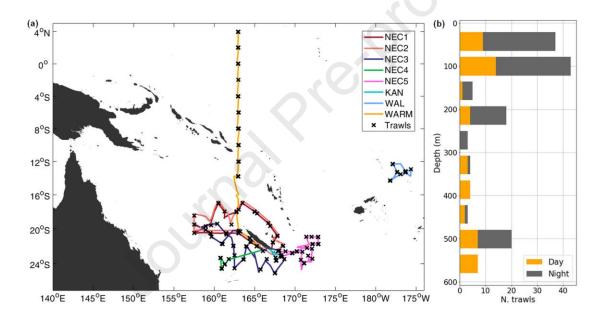


Figure 1. Map of the south-western Pacific Ocean, showing (a) cruise tracks with the R/V Alis. NEC1 and NEC2 tracks partially overlap. The NEC2 track was therefore artificially shifted to the north for visualization purposes.
Black crosses represent trawl sampling stations. Dark grey areas illustrate lands above sea surface level. (b) Number of trawls per depth colored by time of the day. Depth refers to the average towing depth during fishing.

122	Table 1. Details of the 8 cruises aboard R/V Alis, with the cruise name, start and end dates, locations and number
123	of trawls used in this study. EEZ is for Economic Exclusive Zone.

Cruise name	Time Period	Location	Number of trawls
Nectalis 1 ^a	30/07/2011 - 15/08/2011	New-Caledonia EEZ	15
Nectalis 2 ^b	26/11/2011 – 14/12/2011	New-Caledonia EEZ	25
Nectalis 3 ^c	21/11/2014 - 08/12/2014	New-Caledonia EEZ	26
Nectalis 4 ^d	19/10/2015 – 25/10/2015	New-Caledonia EEZ	8
Nectalis 5 ^e	23/11/2016 - 06/12/2016	New-Caledonia EEZ	30
Wallalis ^f	01/07/2018 – 16/07/2018	Wallis-and-Futuna EEZ	11
Kanarecup ^g	19/12/2020 – 11/05/2021	New-Caledonia EEZ	7

Warmalis 1^h 06/09/2021 – 03/10/2021 West tropical Pacific Ocean 22

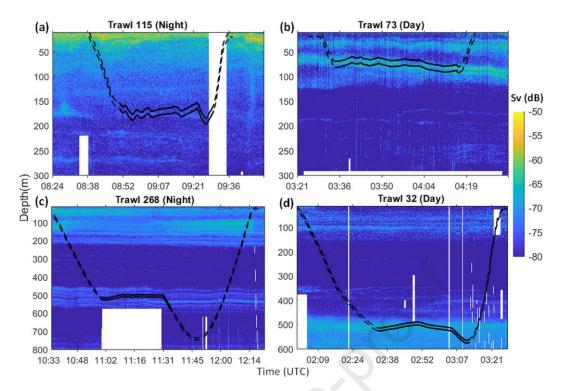
a. Allain and Menkes (2011a), b. Allain and Menkes (2011b), c. Allain and Menkes (2014), d. Allain and Menkes
(2015), e. Allain and Menkes (2016), f. Allain and Menkes (2018), g. Olu and Allain (2020), h. Menkes and Allain
(2021).

127 **1.1 Acoustic data**

Acoustic narrowband data at 38 and 70 kHz were recorded continuously with a calibrated (Foote et al., 1987; Demer et al., 2015) hull-mounted EK60 echosounder (SIMRAD Kongsberg Maritime AS, Horten, Norway) using two split-beam transducers. The sounder was located at 3.1m below the surface. The acquisition and calibration parameters are described in Supplementary Table S1. The water column was sampled down to 800 m and 400 m depth for 38 and 70 kHz, respectively, except for NEC1, where the range was limited at 600 m for the 38 kHz.

134 The raw acoustic data were pre-processed using the open source tool "Matecho" (Perrot et 135 al., 2018) developed by the French National Research Institute for Sustainable Development (IRD), 136 which incorporates the "Movies3D" software developed at the French Research Institute for 137 Exploitation of the Sea (IFREMER; Trenkel et al., 2009). The pre-processing steps followed the procedures described in Receveur et al. (2020a). The volume backscattering strength was then echo-138 integrated between 8 and 600 m depth onto 1 m depth interval over an Elementary Distance Sampling 139 140 Unit (EDSU) of 0.1 nmi, with a -100 dB threshold. The acoustic mean volume backscattering strength S_v (in dB re 1 m⁻¹) was calculated as $S_v=10 \log(s_v)$, with s_v the volume backscattering coefficient in m⁻¹ 141 142 ¹ (MacLennan et al., 2002). Migration periods (dusk and dawn) were excluded by considering the solar 143 elevation angle, removing EDSU with an altitude angle between 0° and 18° (Lehodey et al., 2015).

Mean S_v in trawled scattering layers. At each trawl station, the average S_v was determined 144 145 from the echosounder within the sampled layer by overlaying trawl tracks onto echo-integrated echograms (Figure 2). As the trawl was towed behind the vessel, acoustic data were not exactly 146 147 simultaneously recorded during trawling. The offset time of the trawl relative to the echosounder was adjusted by using the mean vessel speed over the tow and computing the trawl wire length at the 148 149 maximum towing depth. The S_v values were extracted along the trawl track within the towing depth 150 layer interval indicated by the solid lines in Figure 2 and averaged (in the linear domain) to obtain the mean S_{v.obs} for trawl. The areas of hauling and setting (Figure 2, dashed lines) were excluded of S_{v.obs}. 151 152 Sampling depth of each trawl was estimated as the mean depth during towing and referred as d_{trawl} .



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154Figure 2. Examples of extraction of $S_{v,obs}$ during trawling at 38 kHz. Echogram from EK60 at 38 kHz acquired while155trawling in the epipelagic layer: (a) trawl #115 (night-time, during NEC5) and (b) #73 (day-time, during NEC3) and156in the mesopelagic layer: (c) trawl #268 (night, during WARM) and (d) #32 (day, during NEC2). The colorscale157indicates the mean volume backscattering strength (S_v in dB re 1 m⁻¹). The trawl track is shown in black lines. The158solid lines indicate the towing layer when fishing, used to calculate d_{trawl} and compute $S_{v,obs}$, while the dotted159line represents the setting and hauling periods. White areas show acoustic data excluded during pre-processing.

160 **1.2 Micronekton trawl sampling**

161 A total of 190 small pelagic mid-water trawls were conducted during the 8 campaigns (Figure 1). The trawl had a length of 55m and a mesh size that gradually decreased from 80mm at the mouth 162 163 to 10mm at the cod-end. The average vertical and horizontal opening of 10m were monitored during 164 trawling, along with depth using four acoustic-net-monitoring systems (Scanmar AS, SS4 Depth Sensor) 165 attached to the headline, footrope and wings of the net. Prior to each trawl, the target depth was 166 determined based on real-time analysis of acoustic data, aiming to target the scattering layers with 167 the highest acoustic density. Once stabilized at the selected depth, the trawl was towed horizontally 168 for 30 minutes at an average speed of 3 knots. All trawls were conducted similarly, at the exception of 169 the epipelagic trawls (d_{trawl} <200 m) during WARM, where oblique tows were performed between the 170 surface and 200 m depth. On board the vessel, biological samples were sorted by broad taxon (e.g. fishes, crustaceans, cephalopods and gelatinous organisms), before being frozen at -20°C. In the 171 172 laboratory at the Pacific Community (SPC), the thawed specimens were counted, weighted, and further 173 examined to identify the species. While we aimed to identify specimens to the more precise taxon 174 possible, gelatinous organisms, in particular, were challenging to identify accurately due to the damage 175 caused by trawling and the difficulty of identification after thawing. Siphonophores, being colonial organisms that may be separated during trawling, were particularly challenging to count and measure.
Therefore, the mean length of siphonophores was not included in the description of acoustic
categories in Table 2.

179 To apply forward scattering models to trawl samples, the species were classified into different 180 groups based on their acoustic properties. These groups, referred to as acoustic categories subsequently, included fish with gas-filled swimbladder (abbreviated in fish with swimbladders or 181 SWB), fish without swimbladder, decapods and euphausiids, amphipods, squids, jellyfishes, other 182 183 gelatinous organisms (abbreviated as gelatinous), elastic-shelled pteropods (abbreviated as shelled 184 pteropods), and siphonophores bearing a pneumatophore (Table 2). Fish were divided in two categories based on the presence or absence of a gas-filled swimbladder, determined from literature 185 186 and considering their species and developmental stage (see Supplementary S2). When no information 187 was available, the taxa was assigned to the category most represented in its Family (Marohn et al., 188 2021). Fish without swimbladder included three types of fish: i) species that lack gas-filled inclusions throughout their entire life cycle, 2) adult fish of species that possess a swimbladder only during their 189 190 juvenile stage while the swimbladder regresses at the adult stage and 3) fish bearing a lipid-invested 191 swimbladder. As a result, depending on the developmental stage of each specimen, a species could be 192 classified in both fish with swimbladders and fish without swimbladder.

The density of organisms ($\rho_{\rm T}$, in individuals/1000m³) was calculated for every acoustic category in each trawl. The volume of water sampled by the trawl ($V_{\rm sampled}$) was estimated based on the distance covered by the trawl during fishing and the trawl opening (as in Receveur et al., 2020b). The periods of hauling and setting were not included (see Figure 2). Trawls conducted during migration periods (dusk and dawn) were excluded, accounting for approximately 10% of the total trawls.

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Table 2. Description of taxonomic groups used as acoustic categories of specimens sampled with the trawl. The frequency of presence of each categories in all trawls (% trawls), mean length (in mm) and standard deviation, mean weight (in grams) and standard deviation, number of taxa in each category and the two main taxa found in each category in percentage of occurrence in the category and in the total catches were indicated. The more precise taxonomic level of identification is indicated by superscript numbers after taxon names: 1.Genus, 2.Subfamily, 3.Family, 4.Suborder.

Taxonomic group	Presence (% trawls)	Mean length (mm)	Mean wet weight (g)	Taxa richness (N. of taxa)	Two most abundant taxa (% within group, % across group)
Fish with swimbladders	98	42 (21)	189 (280)	310	Ceratoscopelus warmingii (33, 12) Polyipnus sp. ¹ (8, 3)
Fish without swimbladder	98	83 (66)	81 (121)	102	Bathymyrinae ² (11, 1) <i>Lobianchia gemellarii</i> (8, 0.5)
Decapods & Euphausiids	97	28 (10)	23 (34)	109	Thysanopoda tricuspidata (19, 3) Euphausia mucronata (16, 2)
Amphipods	80	20 (11)	3 (5)	35	Phronima sp. ¹ (56, 2) Phronima sedentaria (15, 0.5)

Gelatinous	88	25 (20)	61 (77)	36	Pyrosomatidae ³ (36, 12) <i>Pyrosoma atlanticum</i> (14, 5)
Squids	91	44 (30)	66 (120)	76	Abraliopsis sp. ¹ (22, 1) Pterygioteuthis microlampas (19, 1)
Jellyfishes	54	24 (20)	7 (11)	21	Bougainvilliidae ³ (20, 0.3) <i>Alatina</i> sp. ¹ (11, 0.1)
Shelled pteropods	68	5 (3)	2 (2)	30	Clio sp. ¹ (45, 0.2) Cavolinia sp. ¹ (15, 0.1)
Siphonophores with pneumatophore	9	NA	3 (3)	3	Physonectae ⁴ (47, 0.2) Agalmatidae ³ (47, 0.2)

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206 1.3 Forward scattering models

In order to compare echosounder backscatter and trawl density (ρ_T), target strength (TS, in dB re 1 m²) scattering models were applied to the trawl's biological samples to calculate the theoretical response of the specimen. A mean backscattering volume $S_{v mod}(f)$ was determined for each trawl by making an incoherent weighted summation of the contributions from all acoustic categories in the linear domain (Foote, 1983; Stanton et al., 2012), where the organisms' density served as the weighting factors (Figure 3). All categories are associated to a scattering model with taxa-specific input parameters, applied on the frequency interval 1-200kHz.

214 Gas-bearing organisms. The acoustic categories of fish with swimbladders and siphonophore with 215 pneumatophore are organisms bearing a gas-filled inclusion surrounded by a weakly scattering fluidlike body. For fish with a swimbladder, the volume of the fish body (V_f) was approximated as a prolate 216 217 spheroid with the measured fish length (L_f) as the major axis and L_f/α_f as the minor axis. The fish aspect ratio (α_f) is equal to 5. The swimbladder size was calculated with the equivalent spherical radius 218 $a_{esr} = (\frac{3p_{swb}V_f}{4\pi})^{1/3}$, where p_{swb} was the percentage of V_f used for the swimbladder (Proud et al., 219 220 2019). The value p_{swb} =2.5% as reported in Blanluet et al. (2019) was used. The swimbladder 221 backscatter was described using a hybrid scattering model. For lower frequencies ($ka_{esr} \leq 0.2$, , 222 where k was the wave number in m^{-1}), backscatter was computed using a resonance-scattering model 223 from Scoulding et al. (2015, 2022). This model takes into account the increased backscattering response resulting from the elongation of the swimbladder into a prolate spheroid as defined in Ye 224 225 (1997) along with a directivity term relative to the incident sound wave and the fish's tilt angle (θ in 226 radians). At broadside incidence ($\theta = \pi/2$), the directivity term was equal to one. The prolate spheroid 227 elongation was defined by a length-to-width aspect ratio (b/a) of 1.5, with a and b the semi-major and 228 semi-minor axis, respectively. For higher frequencies ($ka_{esr} > 0.2$), the geometrical scattering effect 229 of the swimbladder was modelled using the exact modal series solution for a fluid sphere of equivalent 230 radius a_{esr} defined in Anderson (1950). The transition frequency between the models was chosen at 231 the beginning of the elbow above the resonance frequency (approximately at $ka_{esr} = 0.2$).

In the case of siphonophores, the mean equivalent radius a_{esr} of pneumatophore (filled with carbon monoxide gas: g = 0.0012 and h = 0.22 from Benfield et al. (2003) and Lavery et al. (2007)) was fixed at 0.5 mm, based on Benfield et al. (2003), Kloser et al. (2016) and Proud et al. (2019). The scattering from the fish body and the nectophores (fluid-like parts of the siphonophore) were considered to be neglectable (Scoulding et al., 2015). The specific shape and physical model parameters for both categories are summarized in Table 3.

Elastic shell organisms. The *shelled pteropods* acoustic category was similar to a fluid-like sphere surrounded by a solid shell. It was modelled with a simple high-pass dense fluid model based on Lavery et al. (2007), with a reflection coefficient R=0.5 (Blanluet et al., 2019). The equivalent spherical radius a_{esr} (in m) was estimated as half the length of the measured diameter.

242 Fluid-like organisms. All the remaining acoustics categories, including amphipods, euphausiids and 243 decapods, gelatinous, jellyfish, squid and fish without swimbladder were modelled using a model based 244 on the Distorted-Wave Born Approximation (DWBA) scattering model averaged over a normal 245 distribution of orientation angles (Stanton et al., 1998; Stanton and Chu, 2000). The fluid-like 246 organisms were simplified as uniformly-bent and tapered cylinders, except for jellyfish approximated 247 as two prolate spheroidal surfaces (Warren and Smith, 2007) and integrated over 300 integration 248 points along the body axis of total length (L). The length L was defined as the total length for 249 amphipods, euphausiids and decapods (equivalent to Standard Length 1 in Mauchline, 1981) and 250 gelatinous, the mantle length for squid, the standard length for fish without swimbladder and the bell 251 diameter for *jellyfish*. The cylindrical cross-section radius a (in m) of the organisms was estimated with 252 the ratio L/a summarized in Table 4. The parameters and shape of the scattering model for each 253 category is shown Table 4. Most of the parameters are extracted from Lawson et al. (2004).

Table 3. Swimbladder and pneumatophore hybrid scattering model parameters.

Parameter	Symbol	Value for swimbladder	Value for pneumatophore	Unit
Heat ratio of gas inclusion	γ_{swb}	1.4 ^a	1.4 ^a	-
Organisms' tissue density	$ ho_{\rm f}$	1050 ^b	1030 ^c	kg m ⁻³
Density of air	ρ_a	1.3 ^b	1.3 ^b	kg m ⁻³
Water density	$\rho_{\mathbf{w}}$	Varying with depth ^d	Varying with depth ^d	kg m ⁻³
Sound speed	c _w	Varying with depth ^e	Varying with depth ^e	m s ⁻¹
Thermal conductivity of air	k _a	5.5×10 ^{-3 b}	5.5×10 ^{-3 b}	cal m ⁻¹ s ⁻¹ °C ⁻¹
Length/width ratio	b/a	1.5 ^f	2.35 ^f	-
Shear modulus	μ _r	5.10 ^{5 g}	5.10 ^{5 h}	N m ⁻²
Dynamic viscosity	ξ	1 ^g	4/3 ⁱ	kg m ⁻¹ s ⁻¹
Surface tension	s	200 ^{b,g}	0.074 ⁱ	N m ⁻¹
Heat capacity of air	c _{pa}	240 ^b	240 ^b	cal kg ⁻¹ °C ⁻¹
Sound speed contrast	h	0.22 ^j	0.22 ^c	-

	Journal 110-proof					
Density contrast	g	0.0012 ^j	0.0012 ^c	-		
Percentage of volume of fish occupied by the swimbladder	p _{swb}	2.5 ^f	NA	%		
Tilt angle	θ	0 ^g	25 ^k	o		

255 a. Kloser et al., 2002,

256 b. Love, 1978,

257 c. Lavery et al., 2007,

258 d. Estimated with the closest CTD profiles

e. Calculated with the equation from Mackenzie, 1981,

260 f. Blanluet et al., 2019,

261 g. Scoulding et al., 2015,

262 h. Empirically chosen identical as swimbladder because we had no value available,

263 i. Proud et al., 2017,

264 j. Jech et al., 2015,

k. Empirically chosen for the pneumatophore.

Table 4. Weak scatterers models parameters for each acoustic category. Radius of curvature ρ_c/L were

268 chosen empirically, where $\rho_c/L \rightarrow \infty$ defines a straight cylinder shape. N defines the Normal

269 distribution.

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Organism (Scattering model)	Length-to- cylindrical radius (L/a)	Orientation (Mean, STD)	Density contrast (g)	Sound speed contrast (h)	Radius of curvature (ρ _c /L)
ishes without	8 ^a	N(0,30) ^a	1.010 ^a	1.025 ª	10
wimbladder (DWBA					
iniformly-bent cylinder)					
Amphipods (DWBA	3 ^c	N(0,30) ^c	1.058 ^b	1.058 ^b	6
uniformly-bent cylinder)					
Euphausiids,	10.5 ^b	N(20,20) ^b	1.016 ^b	1.019 ^b	3
Decapods<25mm (DWBA					
uniformly-bent cylinder)					
Euphausiids,	10.5 ^b	N(20,20) ^b	5.485 x L*10 ⁻⁴	5.942 x L*10 ⁻⁴	3
Decapods>25mm (DWBA			+1.002 ^b	+1.004 ^b	
uniformly-bent cylinder)					
Gelatinous (DWBA	4 ^c	N(0,30) ^c	1.003 ^b	1.003 ^b	10
uniformly-bent cylinder)					
Shelled pteropods (High-	NA	NA	R=0.5=(gh-	NA	NA
pass fluid sphere)			1)/(gh+1) ^{a,b}		
Squids (DWBA uniformly-	5 ^d	N(0,20) ^d	1.029 ^d	1.041 ^d	10
bent cylinder)					
lellyfishes (DWBA two	NA	NA	1.02 ^a	1.02 ª	NA
prolate spheroidal					
surfaces)					

271 b. Lawson et al., 2004,

272 c. Lavery et al., 2007,

273 d. Jones et al., 2009.

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276 **1.3.1 Prediction of modelled backscattering strength with forward approach**

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The modelled volume backscattering strength $S_{v,mod}$ was estimated for each trawl as:

$$S_{v,mod}(f) = 10 \log_{10} \left(\sum_{i=1}^{N_{taxa}} \rho_{T,i} \, \sigma_{bs,i} \right)$$
 (1)

278 with N_{taxa} the number of taxa groups, $\rho_{T,i}$ the density in individual (N ind./V_{sampled} in m⁻³) and $\sigma_{bs,i}$ the 279 backscattering cross-section of each taxa group i, defined as:

$$\sigma_{bs,i} = \sum_{j=1}^{n_{length \ class}} D_j^i \ \sigma_{bs\,j}^{\ i} \tag{2}$$

For each taxa group i, $\sigma_{bs_j}^i$ was the modelled backscatter cross-section (in m²) of length class j. D_j^i was the proportion of individuals of length class j in the taxa group i. $S_{v,mod}(f)$ was the total theoretical backscattering response over frequency *f* and was determined for each trawl.

283 1.3.2 Uncertainty analysis

284 Model parameters for the scattering models were derived from literature. However, the 285 variability in parameters across a wide range of taxa introduced a source of uncertainty. To assess the 286 reliability of model predictions, 1000 simulations for each size were performed, randomly selecting 287 input values from uniform distributions derived from maxima in literature when available or centred around the reference value (Supplementary Table S3). Uniform distributions were selected to cover 288 289 the entire spectrum of variability in model parameters and their influence on the simulated backscatter 290 (Proud et al., 2019). The uncertainty around scattering predictions was defined as the standard 291 deviation of the simulation results over the total modelled backscatter. For the fluid-like models 292 (DWBA), the parameters of density and sound speed contrast g and h and the aspect ratio L/a were evaluated. As for the resonant-scattering model, the parameters considered were α_f , p_{swb} , b/a and 293 294 θ . The impact of the other parameters of the resonant model were considered neglectable compared 295 to those involved in the definition of the size and shape of the gas-filled inclusion. The length of 296 organisms was not included in the uncertainty analysis as it was measured on all organisms from net 297 samples. The incertitude on the orientation, relative to the direction of incidence, was simulated with 298 a normal distribution of angle in the DWBA model.

299 **1.4 Comparison of** *in situ* and modelled acoustics

This study gathered campaigns conducted over a 10 year-period with minimal variations in the sampling protocols. Notably, trawling depth was chosen depending on the scattering layers detected by the echosounder to study all types of scattering layers in most sea-experiments. Yet the most recent survey WARMALIS-1 applied a different sampling strategy where trawling was performed systematically from the surface down to 200m (oblique tow) and at 500m (horizontal tow) for each station. Whenever the quality of echosounder data was not sufficient to calculate S_{v.obs} (mainly due

to bad weather and a strong swell creating empty pings) the corresponding trawl was excluded from
the dataset. The final dataset contained 75% of the original trawls (n=144) at 38kHz and 59% (n=112)
at 70kHz.

309 The difference of volume backscattering strength $\Delta S_{v,f}$ between $S_{v,mod}(f)$ and $S_{v,obs}(f)$ was 310 calculated as:

$$\Delta S_{v,f} = S_{v,obs}(f) - S_{v,mod}(f) \tag{3}$$

with $\Delta S_{v,f}$ calculated at f=38 kHz and f=70 kHz, allowing us to measure the difference between the two acoustic densities: observed and modelled from trawl. To explore the link between $\Delta S_{v,38}$ and $\Delta S_{v,70}$, a linear regression (Im) was fit to the data using R statistical software (R Core Team, 2023).

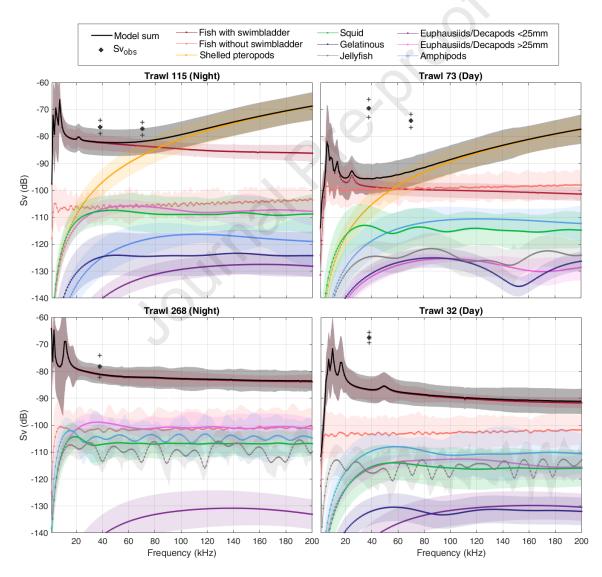




Figure 3. Forward modelling results for each category compared to the observed $S_{\nu,EK60}$ for four trawls: #115 (NEC5, $d_{trawl}=169m$), #268 (WARM, $d_{trawl}=545m$), #73 (NEC3, $d_{trawl}=72m$) and #32 (NEC2, $d_{trawl}=509m$). The modelled backscattering response $S_v(f)$ in dB re 1 m⁻¹ of each acoustic category caught in the trawls are in coloured solid lines. The total modelled response $S_{v,mod}(f)$ summing the

contribution of all taxa is in solid black line. Shaded areas are the standard deviations of backscattering responses. In trawls #268 and #32, there is a partial overlap between the contribution of fish with swimbladders (red line) and $S_{v,mod}(f)$ (black line), and the response of fish with swimbladders is scarcely discernible. The *in situ* acoustic densities $S_{v,EK60}$ in *dB re 1 m*⁻¹ at 38 kHz and 70kHz are denoted by a black thick cross with their standard deviation in thin crosses. $S_{v,EK60}$ at 70kHz is only available for trawls at depths shallower than 400m.

325 1.4.1 Generalized Linear Model (GLM)

326 Generalized linear regression models (GLM) with Gaussian (identity-link) form were used to 327 explore how $\Delta S_{v,f}$ was associated with the composition of trawls and the sampling characteristics. The 328 predictor variables used in the GLM were: density of fish with swimbladders (ρ_{SWB}), density of crustaceans (sum of density of amphipod and euphausiid combined as ρ_{crust}), gelatinous density (sum 329 330 of density of gelatinous and jellyfish as ρ_{gelat}), trawling depth (d_{trawl}) and relative weight of fish with swimbladders, crustaceans and gelatinous. The relative weights represented the measured weight of 331 332 a taxa group (in g) over the total weight of the trawl. No collinearity among predictors was detected. 333 After examining the distribution of densities, they were transformed into logarithmic scale, $log10(\rho)$, 334 to better fit the residuals to a Normal distribution. The analysis was performed separately for 38 and 335 70kHz, and for day and night trawls (51 trawls for day and 93 at night) to better account for the impact of DVM (Casey and Myers, 1998). The best models were selected with the compensated Akaike 336 Information Criteria (AICc; R package Mazerolle, 2023). The 4 optimal models (two for each frequency 337 338 at both day and night-period) are presented in this study. Analyses were performed with R statistical 339 software (R Core Team, 2023), version 4.3.1. Marginal effect plots showing the predicted $\Delta S_{v,f}$ for the 340 significant variables (p-value<0.05) from the GLM models were plotted with the 'ggeffects' package 341 (Lüdecke, 2018, version 1.2.2). The pseudo R-squared (R²) of the models were determined with the 342 McFadden (1973) metric.

343 **2. Results**

344 2.1 Biological sampling

Trawl sampling gathered a total number of 98,896 specimens, which represent a combination of different taxonomic levels including 306 species, 311 genus, 186 families and 55 orders (Table 2). The higher number of genera compared to species was a result of the inability to identify all specimen species due to their conservation state. Only 55% of the total specimens were determined at the species level, 8% at the genus level only, 30% at the family level, and 5% at the order level. Among the specimens that could not be identified at the species level, 58% were gelatinous organisms.

351 Myctophidae, Sternoptychidae and Gonostomatidae were the main fish families sampled. 352 Ceratoscopelus warmingii was the most abundant species caught for fish with swimbladders. Fishes 353 without swimbladder were mainly represented by Bathymyrinae subfamily (leptocephalus larval stage) 354 and Lobianchia gemellarii. Diaphus perspicillatus presenting a gas-filled inclusion at the juvenile stage 355 only was the most abundant species classified in both fish with or without swimbladder. Decapods and Euphausiids were mainly represented by Thysanopoda sp., Euphausia mucronata and Janicella 356 357 spinicauda. Various species of the Phronimidae family, particularly Phronima sedentaria, and of the 358 Phrosinidae family, including Phrosina semilunata, were collected within the amphipods taxa. 359 Cephalopod specimens included Pterygioteuthis and Abralia genus. The family Bougainvilliidae 360 represented most of the recognisable jellyfish samples while the gelatinous category contained 361 predominantly Pyrosoma sp. and Salpidae. Families Agalmatidae and Physonectae represented most 362 of the siphonophores with pneumatophores. Many gelatinous organisms caught in trawls were not 363 sufficiently well preserved to accurately estimate their morphology and identify their species.

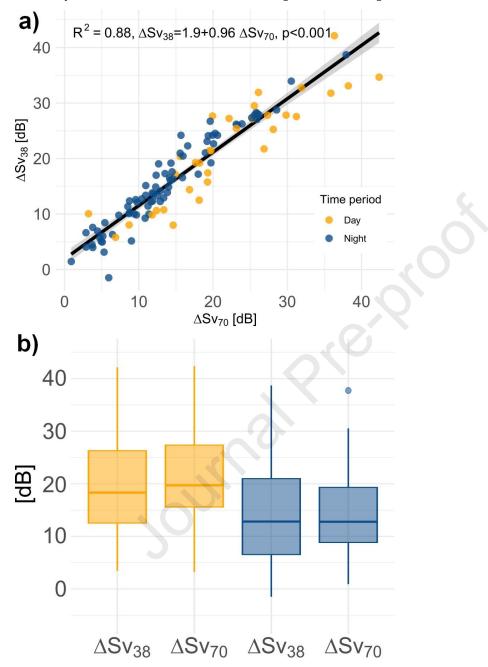
364 **2.2 Forward approach** $S_{v,mod}(f)$

365 When varying the input parameter values (Supplementary S3), the standard deviation of 366 $S_{v.mod}(f)$ was +/- 5dB in average at 38 and 70 kHz (Figure 3). The resonance scattering region of gas-367 bearing organisms situated between 1 and 30 kHz exhibited the highest variability (+/- 10dB in 368 average). The modelled results indicated that scatterers with fluid-like properties had a negligible 369 impact on $S_{v,mod}$, which was dominated by the response of fish with swimbladders in the majority of trawls (Figure 3). When caught in trawls, elastic-shelled pteropods were the strongest scatterers at 370 371 frequencies > 50-70kHz. In rare cases, some fluid-like categories had a density and a size distribution 372 that led to a scattering response equal or higher than fish with swimbladders at f > 50kHz (e.g. Figure 373 3, Trawl 73). The response of the siphonophores with pneumatophore, the other gas-bearing 374 organisms' category, did not have a major impact on $S_{v,mod}$.

375 **2.3 Variability of** $\Delta S_{v,f}$ across trawls

376 Across the 144 trawls, $\Delta S_{v,f}$ spanned a range of [-1.5, 42.1] dB at 38 kHz and [0.9, 42.4] dB at 70 kHz, with a median of 16 dB for the combined distribution of both frequencies. In trawls where 377 378 d_{trawl} was less than 400m (within the maximum emission range of 70 kHz), $\Delta S_{v.38}$ and $\Delta S_{v.70}$ followed 379 a linear regression (Figure 4a) with a slope of 0.96 (associated with a standard error of 0.03). This 380 suggested that the variability of $\Delta S_{v,f}$ was consistent for both frequencies. $\Delta S_{v,f}$ was >0 (Figure 4b), 381 indicating that the modelled volume backscatter $S_{v,mod}$ derived from trawl catch was lower than the observed S_{v.obs} from the echosounder. Only two trawls, situated in the mesopelagic layer with 382 383 d_{trawl} >200m, exhibited a $\Delta S_{v,38}$ <0. On average, $\Delta S_{v,f}$ was higher during daytime trawls compared to

- 384 night-time trawls for both frequencies, with median values of 19 dB and 22 dB for $\Delta S_{v38,day}$ and
- 385 $\Delta S_{v70,day}$, and a median of 14 dB for $\Delta S_{v38,night}$ and $\Delta S_{v70,night}$ (Figure 4b).



386

Figure 4. Description of $\Delta S_{v,f}$ in relation with time-period. (a) Relationship between ΔS_{v38} and ΔS_{v70} for each trawl with a linear regression fit (Im) in solid black line and the associated metrics (R², p-value and regression equation). Shaded areas represent 95% confidence interval. Dots represent each trawl with day in yellow and night in blue. (b) Summarized $\Delta S_{v,f}$ for day (yellow) and night (blue) trawls at 38 kHz (ΔS_{v38}) and 70 kHz (ΔS_{v70}). Horizontal line within each box is the median value, box limits are the inter-quartile range, i.e. 25 and 75% quantiles. The whiskers (vertical lines) are 1.5 times the interquartile range. Dots represent potential outliers.

394 2.4 Effect of organisms' density

395 The final models are displayed in Table 5, with only a single model for each day/night and 396 frequency combination because the level of uncertainty in model structure was minimal (i.e., all other 397 subsequent models had dAIC > 2, as shown in Supplementary Table S4). Out of all the predictors 398 examined in the GLM, the log density of fish with swimbladders captured in trawls (log10(ρ_{SWB})), and 399 the relative weight of fish with swimbladders (% weight fish SWB) were included in all four models 400 selected with AICc, for both day and night trawls at 38 and 70kHz (Table 5). The predictor log10(ρ_{SWB}) 401 was associated with a negative coefficient (Table 5), indicating that $\Delta S_{v,f}$ decreased in trawls with a 402 high density of fish with swimbladders (Figure 5). $\Delta S_{v,f}$ also decreased with % weight fish SWB, in trawls 403 where a large proportion of the sampled weight was represented by fish with swimbladders (Figure 5 404 and Table 5). Finally, the density of crustaceans, log10(ρ_{crust}), was included in the day-time GLM for 405 $\Delta S_{v,70}$, with a negative coefficient indicating a decrease of $\Delta S_{v,70}$ in day-time trawls with a larger 406 density of crustaceans at 70kHz.

407 2.5 Effect of trawling depth

The depth of trawls (d_{trawl}) was included in night-time models selected with AICc (Table 5). $\Delta S_{v,f}$ decreased in deeper trawls (Figure 5). The selected models explained around 80% of the variance of $\Delta S_{v,f}$ during the night and between 60 to 70% for day-time (Table 5). This finding highlighted that the models did not explain day-time discrepancies between trawl and acoustic observations to the same extent as they did in night-time scattering layers. The other predictors tested were not included in the selected GLM (Supplementary Table S4).

Focusing on the impact of depth, the distribution of $\Delta S_{v,f}$ was studied in two depth layers as in Figure 6: epipelagic ($d_{trawl} \le 200m$) and mesopelagic ([200, 800]m for 38 kHz and [200, 400] for 70kHz) layers. $\Delta S_{v,f}$ was lower in the mesopelagic layer for both frequencies with a minimum at night (median $\Delta S_{v,f}$ of 3.9 and 5.0 dB, for 38 and 70 kHz, respectively), at the exception of day-time 70kHz. 90% of $\Delta S_{v,38} \le 5$ dB (15 trawls) were located in the night-time mesopelagic layer, which is the scattering layer with the least average difference between $S_{v,mod}$ and $S_{v,obs}$ (Figure 4).

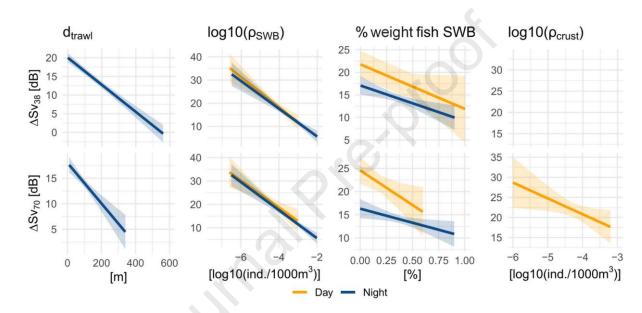
Table 5. GLM's results for the 4 final models (rows) selected based on the lowest AICc (see Table S3 for full model selection outputs). The response variables ΔS_{v38} and ΔS_{v70} are modelled separately and are split into day/night trawls. The proportion of variance explained (R²), the beta-coefficients from the GLM of the predictor variables in each model and their standard errors (STD) are indicated for each model. Predictor variables are depth (d_{trawl}), density of fish with swimbladders in logarithmic scale (log10(ρ_{SWB})), relative weight of fish with swimbladders (% weight fish SWB) and density of

426 crustaceans in logarithmic scale (log10(ρ_{crust})). Blank cases indicate the variables not included in the

$\Delta S_{v,f}$ (kHz)	Period	R ²	log10(ρ _{Fish SWB}) (STD)	% weight fish SWB (STD)	d _{trawl} (STD)	log10($ ho_{crust}$) (STD)
38	Day	62%	-6 (1)	-9 (5)		
70	Day	73%	-6 (1)	-15 (6)		-4 (2)
38	Night	80%	-6 (1)	-8 (2)	-0.03 (0.007)	
70	Night	78%	-6 (1)	-6 (2)	-0.04 (0.007)	

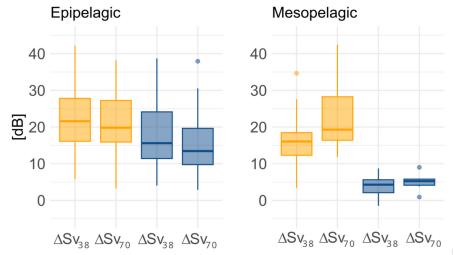
427 GLM selected with AICc criteria. All predictors are associated to a p-value<0.05.

428



429

430 **Figure 5.** Predicted marginal effects of $\Delta S_{v,f}$ for the 4 GLM models as a function of predictors. $\Delta S_{v,38}$ 431 (top) and $\Delta S_{v,70}$ (bottom) predicted for day (yellow solid line) and night (blue solid line) models for the 432 variables d_{trawl} , log10(ρ_{SWB}), % weight fish SWB and log10(ρ_{crust}). Shaded areas represent 95% 433 confidence interval of marginal effects. Only the variables selected in the 4 GLM models and presented 434 in Table 5 are plotted. They are all associated to a p-value<0.05.



435

436 **Figure 6**. Description of ΔS_{v,f} distributions per depth layers and time-period: ΔS_{v,f} in the epipelagic 437 layer (left, d_{trawl} ≤200m) and in the mesopelagic layer (right, d_{trawl}>200m) at 38 kHz (ΔS_{v38}) and 70 438 kHz (ΔS_{v70}) for day (yellow) and night (blue) trawls. Horizontal line within each box is the median value, 439 box limits are the inter-quartile range, i.e. 25 and 75% quantiles. The whiskers (vertical lines) are 1.5 440 times the inter-quartile range. Dots represent potential outliers.

441

442 **3.** Discussion

Using 144 trawls between the surface and 600m depth, along with 38 and 70 kHz acoustics 443 444 covering a 10-years period in West tropical Pacific, this study provides information on how acoustic-445 trawl estimates vary in tropical ecosystems characterized by high biodiversity. The backscattering 446 difference $\Delta S_{v,f}$ between the observed and the predicted acoustic signal using forward modelling was 447 function of the time period (day/night) and decreased with larger weight ratio and density of fish with swimbladders at 38 and 70kHz. In addition, $\Delta S_{v,70}$ during day-time also decreased with the increasing 448 449 density of crustaceans. The towing depth of each trawl had an effect on $\Delta S_{v,f}$ during the night, with a 450 significantly smaller difference in mesopelagic than epipelagic trawls. These observations show that 451 the interpretation of acoustic-trawl survey results depends on spatial and temporal patterns and is therefore sensitive to the choice of sampling depth and survey time-period (day/night). The values of 452 453 $\Delta S_{v,f}$ were positive over the entire dataset showing a modelled S_v lower than observed with 454 echosounder value. This consistent positive bias may be linked to an overestimation with hull-mounted 455 echosounders and/or an underestimation of volume backscattering in forward modelling, either due 456 to the models parameterisation or biases in the species diversity and abundance sampled with the 457 trawl (Mair et al., 2005; Blanluet et al., 2019).

458 **3.1 Uncertainties due to acoustics**

459 The predicted acoustic signal $S_{v,mod}$ depends on the model parameter values and the species 460 composition (diversity and relative abundances) observed in the trawl samples. The incoherent 461 summation of organism contributions relies on the assumption that the backscatter of individual 462 organisms is not affected by neighboring ones, and that their distribution is random within the 463 observed volume. The low densities observed in this study and the mixed nature of the scattering 464 layers allowed us to make this assumption. However, this commonly accepted approach remains 465 simplified and may introduce significant uncertainty into $S_{v,mod}$. The model parameters were chosen 466 from the literature, but were not specific to the tropical Pacific area nor to all taxa caught in trawls, 467 which introduced another source of uncertainty (Lawson et al., 2004, Lucca et al., 2021). S_{v.mod} 468 modelled with the parameters chosen in this study was defined as the reference value for all analysis. 469 However, the selection of input parameters for the backscattering models of each taxon group (Table 470 3 and 4) introduced variability in the modelled backscattering response. Model uncertainty analysis 471 indicated that the total volume backscatter could vary within +/-5dB around the reference value of 472 $S_{v,mod}$ at 38 and 70 kHz, solely as a function of input parameters (Figure 3). In trawls where $\Delta S_{v,f} \leq$ 473 5dB, predominantly located in the night-time mesopelagic layer, the variability in total modelled 474 backscatter could solely account for the disparity between S_{v,mod} and S_{v,obs}. For all other cases, where 475 the median $\Delta S_{v,f}$ was larger than 5 dB, the uncertainty associated with model parameters alone could 476 not explain these differences.

477 As expected, at 38 and 70kHz, $S_{v,mod}$ was primarily dominated by the response of fish with 478 swimbladders, making this group essential for modelling the trawl's backscatter (McClatchie et al., 479 2000; Kloser et al., 2009; Davison et al., 2015). Among all the parameters for this gas-bearing scattering 480 group, the parameters controlling the swimbladder volume and shape, which vary significantly 481 between species, generated the highest sensitivity on the modelled volume backscatter, as also shown 482 in Proud et al. (2019). Yet assess these parameters for all specimens often require dissection and X-ray 483 or CT-scan to evaluate their variability within species and establish a relation with fish length (Yasuma 484 et al., 2010). These methods are performed only on undamaged specimens with preferably intact 485 swimbladders. However, when bringing mesopelagic fish to the surface, the swimbladder experiences 486 a rapid pressure change during ascent, raising uncertainties regarding whether its morphology and 487 volume remain identical to those in deep layers (Sobradillo et al., 2019; Peña et al., 2023; Sarmiento-488 Lezcano et al., 2023). For all these reasons, many taxa do not yet have a description regarding the 489 presence of a swimbladder. Choosing to assign them a swimbladder characteristic identical to that of 490 specimens from the same family, to avoid excluding them from the study, introduces a source of error 491 in the modelling (Dornan et al., 2019; Marohn et al., 2021).

492 The group of elastic-shelled pteropods can occasionally dominate the volume backscatter at 493 70 kHz frequency. Their solid shells tend to produce a strong backscattering response even at low 494 densities when compared to fluid-like animals (Stanton et al., 1994). This strong response was 495 computed by a high-pass dense fluid-sphere with an empirical reflection coefficient from Stanton, 496 (1994) which is often used in studies (Lawson et al., 2004; Lavery et al., 2007; Blanluet et al., 2019). 497 This model is relatively simple and does not represent shelled-pteropods that exhibit more complex 498 shapes (spirals or pyramidal shell as *Clio pyramidata* and an opercular opening) and various materials 499 (aragonite, calcite or silica). This assumption might overestimate the actual backscatter. Better 500 knowledge is also required on the scattering responses of gelatinous organisms such as salps and 501 pyrosomes and more broadly of a large range of more rare species (Warren and Smith, 2007; Henschke 502 et al., 2016).

503 During the day, the 70 kHz signal was more sensitive to the density of crustaceans as $\Delta S_{v,70}$ 504 decreased with larger densities. Recording acoustic data at higher frequencies (120 and 200 kHz) would 505 help to estimate the effect of the groups of crustaceans and fluid-like organisms (gelatinous, squids 506 and jellyfishes) in the upper layer as suggested in other studies (e.g., Jech et al., 2018; Lucca et al., 507 2021) as they are further away from the resonance frequencies of gas-filled structures often situated 508 between 18 and 70 kHz. At these higher frequencies, the relationship between volume backscatter 509 and biomass becomes more linear as proposed in Benoit-Bird, (2009). However, the data at 120 and 510 200 kHz in our surveys were of bad quality. Therefore, our findings likely depict trends related to gas-511 bearing mesopelagic fishes.

512 3.2 Micronekton trawl sampling

The large $\Delta S_{v,f}$ can also be linked to the underestimation of abundance and biases in the species composition as caught by the trawl sampling. Utilizing forward modelling on biological sampling necessitates precise estimates of the abundance, weight, size distribution, and morphological characteristics of the groups of species defined in the model to accurately calculate the theoretical mean volume backscatter in a specific sampled layer. However, the tropical Pacific Ocean has a very high species diversity with potentially strong spatial heterogeneity in the horizontal and vertical distributions of species, making it difficult to achieve comprehensive trawl sampling.

Trawl catchability is a function of its design and operational features (i.e., length, mesh size, trawl opening and towing speed) and the characteristics of the organisms captured (i.e., swimming speed, length and shape; Grimaldo et al., 2022). Some organisms tend to avoid the trawl or escape it after entering, with their chance of escaping the trawl increasing with the swimming speed (Bethke et al., 1999; De Robertis et al., 2017a; Grimaldo et al., 2022). With trawls towed around 2 to 3 knots (as in this study), fast swimming species in mesopelagic fishes and squids (Peña et al., 2018) can likely

escape or stay away from the trawl (Kaartvedt et al., 2012; Davison et al., 2015; Underwood et al., 2020). Increasing the towing speed would potentially reduce the avoidance factor but is not conceivable with all vessels and/or all trawls. The avoidance factor may be more pronounced in the surface layers where organisms might detect the approaching trawl due to sunlight during the day and artificial lights from the vessel during the night (Pakhomov and Yamamura, 2010). Thus, the largest differences of $\Delta S_{v,f}$ in the epipelagic layer might, in part, be attributed to the influence of light leading to lower catchability.

In addition, the mesh-size selectivity, is also important in the trawl-based estimates of 533 534 micronekton biomass. The size selectivity leads to an incomplete length distribution of micronekton specimen (Lawson et al., 2004; Proud et al., 2019). The net in our study presenting a graded mesh, all 535 536 taxa may not be herded by the largest mesh (80mm) at the entrance nor gathered homogeneously 537 down to the cod-end (Heino et al., 2011; De Robertis et al., 2017a). The smallest and least mobile 538 micronekton organisms, mainly crustaceans, gelatinous and shelled-pteropods, may pass through the 539 largest mesh to be captured only in the narrowest mesh at the end of the trawl (Kloser et al., 2009). 540 This selectivity would affect the determination of the effective opening surface utilized to estimate the 541 sampling volume and introduce a correlation with the size distribution of organisms. The replication of 542 tows at one station for the same scattering layer would reduce the variability in length distribution and 543 help assess the size selectivity (Lawson et al., 2004).

544 Underestimation of mesopelagic fish cannot explain alone the variability of $\Delta S_{v,f}$. Large 545 differences (ΔS_{vf} >15 dB) were observed in all depth layers and time-period at the exception of night-546 time mesopelagic layer. They were not systematically associated with a low density of fish with 547 swimbladders (relatively compared to the other micronektonic densities). In those cases, ρ_{SWB} alone 548 could not explain the variability of $\Delta S_{v,f}$ indicating that i) acoustic backscatter was due to other species 549 not sampled by the trawl (i.e. possibly siphonophores with pneumatophores), and/or ii) the acoustic 550 theoretical response of the present specimens was not properly modelled. Gelatinous organisms in 551 particular are sensitive to both aspects, because they are fragile and their acoustic response is poorly 552 known. The trawl used in this study is not well suited to collect jellyfish and gelatinous colonial organisms (salps, siphonophores). Those that end up in the cod-end are often brought aboard broken 553 554 or damaged, which renders their counting and morphological description difficult. Siphonophores can 555 contribute significantly to the mean volume backscattering strength and generate a signal as strong as 556 mesopelagic fish in total mesopelagic backscatter (Proud et al., 2019) with a consequent impact on 557 biomass estimates (Blanluet et al., 2019, Proud et al., 2019). In this study, siphonophores were caught 558 in few trawls (only 3%) and their abundance might have been underestimated because of the difficulty to catch fragile colonial organisms in trawls. Their abundance and length remain largely unknown in 559 560 open ocean (Kloser et al., 2016). Pneumatophores have been reported to a length ranging from 0.05

to 1.5 mm (Benfield et al., 2003; Lavery et al., 2007; Kloser et al., 2016) and the importance of the
contribution of their fluid-like parts (bracts and nectophores) is still debated (Lavery et al., 2007), which
makes their inclusion in forward problem complex in the absence of precise observations.

564 Supplementing trawl sampling with nets designed for capturing smaller species and 565 zooplankton, such as the Methot Isaac Kidd and/or the Multinet (Blanluet et al., 2019), could enhance 566 the identification and abundance estimates of gelatinous and other fragile, smaller organisms. 567 Zooplankton and small organisms can form dense aggregations and sometimes contribute to more 568 than 50% of backscattering, as observed at 120kHz with copepods in Lawson et al. (2004). Overall, 569 despite the use of all sampled taxa in this study to take into account the diversity of micronekton 570 organisms, our results are focused on mesopelagic fish with swimbladders abundance estimates.

571 **3.4 Variability of observed scattering layers**

572 The spatial organization of scattering layers plays a role in the variability of $\Delta S_{v,f}$. In our model, 573 scattering layers were considered homogenous all along the tows. However, that this is not necessarily 574 true (Benoit-Bird et al., 2017). Patchy distributions and small schools were often observed on the echosounder data, especially in surface layers. These aggregations have a strong acoustic response but 575 576 are not homogeneously distributed and may have been missed by our trawl, explaining discrepancies 577 between sampling methods with a strong acoustics observation not found in trawl samples (Lawson 578 et al., 2004). Clustering algorithms seem to make it possible to differentiate sublayers that are 579 inhomogeneous from an acoustic point of view (Blanluet et al., 2019). Taking into account these 580 different strata could help understand which areas have been better sampled by the trawl. This can be 581 achieved by modelling the acoustic response based on micronektonic compositions and comparing it 582 to the signal from different sub-layers, instead of relying on the averaged backscatter of a single large 583 scattering layer.

584 **3.4 Perspectives and complementary sampling methods**

585 To progress in our understanding of the relationships between hull-mounted acoustic data and 586 trawl sampling data, complementary approaches are needed to improve species identification and 587 individual abundance estimates. Optical devices are a promising tool to identify species, measure and 588 count organisms, either independently (Williams et al., 2018; Gastauer et al., 2022) or in conjunction 589 with another measurement method, such as using them on a trawl to document organisms entering 590 the net (Allken et al., 2021) or an acoustic probe (Marouchos et al., 2016). Infrared stereo-camera 591 profiles down to 150m depth in nearshore stations have been used to estimate the sizes and densities 592 of micronekton in scattering layers (Benoit-Bird, 2009). These data showed a correlation between the 593 densities of micronekton (mainly myctophids without gas-swimbladder) and volume scattering from

echosounders. However, optical measurements in deep scattering layers require the use of a light source that might affect the behaviour of the organisms. Peña et al. (2020), Geoffroy et al. (2021) and Underwood et al. (2021) highlighted the change in behaviour of organisms to an artificial light source, with clear avoidance of mesopelagic fish in white light. Uncertainty remains about the impact of other colours and the wavelength used, but it could introduce another set of biases to biomass estimates.

599 Acoustic profilers or probes submerged in mesopelagic layers appear to be a possible approach 600 linking trawls and acoustics (Kloser et al., 2016). Given the limited range of emission of hull-mounted 601 sounders at high frequencies, vertical profilers using frequencies higher than 70 kHz would be useful. 602 Wideband acoustic profilers in particular, presenting a high-resolution and a smaller insonified volume 603 than hull-mounted echosounder in deep layers, offer a better chance at detecting individual targets 604 (Bassett et al., 2020; Cotter et al., 2021). Weak, fluid-like scatterers are not masked by gas-filled 605 organisms in the small sampled volume and are therefore detectable with echo-counting algorithms 606 to estimate their density (Agersted et al., 2021a; Cotter et al., 2021). To study scattering layers with 607 trawls and profiler simultaneously, a solution would be to add the wideband profiler on the pelagic 608 trawl opening to record organisms in front of it as in Underwood et al. (2020). This setup detect the 609 behaviour of the organisms in front of the trawl and is a useful tool to calculate the avoidance and 610 escapement rate of a trawl. The question of what organisms are actually detected with the profiler 611 remains when estimating biomass. To accurately compute the total backscatter, it is essential to 612 determine the proportion and exact acoustic response of each taxa across a wide frequency band, as 613 opposed to relying solely on the response at discrete frequencies (Proud et al., 2019). The wideband 614 properties allow us to study the detected targets response as a function of frequency band. Using this 615 information is the next step to better understand the composition of mixed scattering layers by 616 classifying the different target responses as in Verma et al. (2017) and Agersted et al. (2021b) and link 617 them with broad taxa groups. We are currently systematically associating such wideband profiler to 618 sampling trawl to assist in the correction of collected density of organisms when quantifying biomass. Finally, it seems that techniques based on environmental DNA could complement the trawl sampling 619 620 to obtain key information on species composition and even rough estimate of abundance by taxa 621 (Bessey et al., 2021; Govindarajan et al., 2022, 2023; Albonetti et al., 2023).

622

623 3.5 Concluding remarks

The comparison of micronekton density in acoustic-trawl surveys has highlighted significant disparities in organism abundance in tropical ecosystems defined by a high biodiversity. It showed the relationship between these differences with depth, time-period and composition of the trawl. Even when including all categories of micronekton scatterers, our acoustic-trawl surveys favoured the

estimation of the density of mesopelagic fish; a result common to most mesopelagic studies at 38kHz (Scoulding et al., 2015; Proud et al., 2019; Dornan et al., 2022). In this study, the mesopelagic layer, especially at night, displays the smallest divergence between acoustics and trawl. In this case, it is recommended to initially focus on this layer for estimating mesopelagic biomass. This layer shows the most consistent results between the two methods, making them easier to interpret.

Finally, it is obvious that the sampling of micronekton should be conducted concurrently using a combination of methods, including hull-mounted echosounders, towed probes employing acoustics and optics, alongside trawl samplings and genomics. Validating acoustic measurements with other methods would help understanding and reducing the large differences between acoustics and trawl sampling to improve abundance and biomass estimates of micronekton in tropical pelagic ecosystems.

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652 Authors contributions

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Highlights

- Acoustic-trawl surveys in scattering layers of West tropical Pacific.

- Forward scattering models applied to micronekton trawl sampling with a high species diversity.

- The difference of volume scattering between echosounder and modelled from trawl is variable within scattering layers.

- Reduced disparities between acoustic and trawl data in night mesopelagic layers and in trawls capturing a high density of fish with swimbladders.

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Declaration of interests

☑ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□ The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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