

## The challenge of assessing the effects of drifting fish aggregating devices on the behaviour and biology of tropical tuna

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### Abstract :

Fishers have intensively used drifting fish aggregating devices (DFADs) over the last three decades to facilitate their catch of tropical tunas. DFADs increase purse-seine efficiency, potentially increasing tuna

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fishing mortality. They could also have impacts on tuna natural mortality and reproductive potential, and assessing the consequences of their presence at sea on tuna populations is a challenge. The use of DFADs results in a major increase in the number of floating objects, which are spatially heterogeneous at sea. To date, no converging scientific results exist regarding the effects of DFADs on the large-scale movements and behaviour of tuna, mainly due to the difficulty of disentangling the respective roles of DFADs and environmental factors. Some biological indices show that tuna condition is lower when associated to a floating object than in a free-swimming school. However, it is not clear whether this is the cause or the consequence of the association nor if it has long-term effects on individuals' fitness. Further scientific progress requires (i) the collection of time series of indicators to monitor habitat change, individual behaviour, individual fitness, and population dynamics and (ii) experimental studies to identify the underlying behavioural and biological processes involved in associative behaviour. The extent of the modification of the surface habitat by the massive deployment of DFADs and the current uncertainty of the possible long-term consequences on the individual fitness and dynamics of tuna populations argue for the need for increased awareness of this issue by Regional Fisheries Management Organisations regulating tuna fishing.

**Keywords** : DFAD, ecological effects, ecological trap, fish behaviour, fisheries management, tuna

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91

## 92 Introduction

93 Many fish species are known to associate with floating objects (FOBs; Castro et al., 2002;  
94 Fréon & Dagorn, 2000), with the first known descriptions of fishers exploiting these  
95 associations dating from 200 AD in the Mediterranean Sea by the Roman author Oppian  
96 (cited in Taquet, 2013). In particular, the use of FOBs to facilitate the capture of tropical  
97 tunas (skipjack SKJ – *Katsuwonus pelamis*; yellowfin YFT – *Thunnus albacares*; and bigeye  
98 BET – *T. obesus*), has undergone rapid expansion in recent decades, as a result of the  
99 growing importance of these floating structures to the strategy and efficiency of tropical tuna  
100 purse seine fleets (Dagorn et al., 2012; Fonteneau et al., 2000, 2013; Leroy et al., 2013;  
101 Miyake et al., 2010). Since the onset of the tropical tuna purse seine fishery, fishers took  
102 advantage of the associative behaviour of tunas with floating objects and actively searched  
103 for natural floating objects (NLOGs) to improve their catches (Greenblatt, 1979 ; Hallier and  
104 Parajua, 1999 ; Scott et al. 1999). Towards the end of the 1980s, fishers began to build and

105 deploy man-made drifting fish aggregating devices (DFADs), and to attach radio buoys to  
106 locate them (Ariz et al., 1999 ; Hallier and Parajua, 1999 ; Hall et al. 1992 ; Scott et al. 1999 ;  
107 Lopez et al., 2014; Marsac et al., 2014; Moreno et al., 2007; Morón, 2001; Stéquert &  
108 Marsac, 1986). DFADs are commonly composed of a floating structure (such as a bamboo or  
109 metal raft with buoyancy provided by corks, etc.) and a submerged structure (made of ropes,  
110 old netting, canvas, weights, etc.). During the last two decades, radio buoys have been  
111 replaced by GPS buoys communicating via satellite directly with fishing vessels. In the last  
112 decade (2010-2020), most DFADs have been equipped with echo-sounder buoys, providing  
113 estimates of aggregated biomass (Lopez et al., 2014). Some fleets also use supply vessels  
114 to maintain their DFAD array and to inform the fishing vessels of tuna aggregations  
115 (Arrizabalaga et al., 2001; Ramos et al., 2013). DFADs represent very efficient fishing tools  
116 that increase the catchability of tunas, leading purse-seine fleets to target preferentially  
117 associated schools and expanding their fishing grounds (Lopez et al. 2014, Fonteneau et al.  
118 2015, Lennert-Cody et al. 2019).

119 Over time, given the growing contribution of purse seine fleets to world tuna catches and the  
120 increasing importance of DFAD fishing in the strategy of purse seine fleets, managing  
121 DFADs has become a priority for all tuna Regional Fishery Management Organisations  
122 (tRFMOs). In this paper we will use “operational” or “active” buoys to designate buoys  
123 attached to a FOB that are tracked by one or several purse seine fishing vessel(s). Tuna  
124 RFMOs set limits of the number of operational buoys (with the very first limit by the IOTC,  
125 Indian Ocean Tuna Commission, in 2015) to mitigate the different risks induced by the  
126 deployment and use of DFADs (most recent resolutions: IOTC Res 19/02, ICCAT Rec 22-01,  
127 IATTC Res C-21-04, WCPFC CMM 2021-01). Fishing at FOBs was demonstrated to  
128 increase by-catch rates, compared to fishing on free-swimming schools (Amandé et al. 2012,  
129 Escalle et al. 2018), and to increase the proportion of small BET and YFT (IOTC 2022). As a  
130 consequence, spawning capacity and yield per recruit of BET and YFT populations are  
131 reduced worldwide by purse-seine vessels setting on DFADs and catching small individuals  
132 of these two species (Davies et al. 2014).

133 Other major DFAD-related measures concern the design of these objects, following the  
134 discovery of sharks getting entangled in the netting composing the structure of DFADs  
135 (Filmalter et al. 2013). Limiting the pollution induced by DFADs in the ocean is also in front of  
136 the agendas of tRFMOs, after realizing the large quantity of plastic used in DFADs and the  
137 large numbers of DFAD beaching events in sensitive coastal ecosystems (Escalle et al.  
138 2019, Imzilen et al. 2021, 2022). However, other impacts on tuna populations (unrelated to  
139 fishery vulnerability) and ecosystems may be induced by the increased presence of DFADs  
140 in their habitat (Marsac et al. 2000, Bromhead et al. 2003, Hallier & Gaertner 2008). Despite  
141 the limits on operational buoys, DFADs number in the water has increased (Dagorn et al.  
142 2013a, Maufroy et al. 2017, Imzilen et al. 2021, Dupaix et al. 2021). As such, while logs and  
143 branches have always been components of the habitat of tropical tunas (originating from  
144 rivers, mangroves or shorelines), the massive use of man-made DFADs has changed their  
145 habitat, which could have numerous consequences on tropical tuna's fitness, resulting in  
146 population-level impacts. TRFMOs primarily focus on developing management schemes to  
147 address the known effects of DFADs on catches (particularly of small YFT and BET, as well  
148 as bycatch) or their stranding on coasts. Through the increase of FOB density they induce,  
149 DFADs could also impact tropical tuna natural mortality and reproductive potential, hence,  
150 altering their individual fitness which could have population-level consequences. Despite the  
151 high level of uncertainty on these impacts, assessing them is central as they could act as  
152 compounding factors and worsen the increase of fishing mortality induced by DFADs. Hence,  
153 there is a need to assess whether DFADs can alter the life history parameters and behaviour  
154 of tunas. This will help to determine the magnitude of DFADs impacts on natural mortality  
155 relative to those on fishing mortality and to manage the number of DFADs deployed at sea if  
156 negative impacts on natural mortality are suspected or demonstrated.

## 157 **Theoretical background**

158 Fisheries management, as practiced since the 1940s, was based on the ecosystem theory  
159 but focused mainly on fishing activity and target fish resources (Garcia et al. 2003). In the  
160 early 2000s, following an abundant documentation of the relative failure of conventional

161 fisheries management (Garcia & Grainger 1997; Pauly et al. 2002; Sutinen & Soboi 2003),  
162 the need for other frameworks emerged, among which the Ecosystem Approach to Fisheries  
163 (EAF; FAO 2003; Garcia et al. 2003). The EAF stresses the need to recognize “more  
164 explicitly the interdependence between human well-being and ecosystem health and the  
165 need to maintain ecosystems productivity for present and future generations” (Ward et al.  
166 2002). As opposed to conventional fisheries management, EAF calls for the consideration of  
167 human activities other than fisheries and the generation of knowledge on ecosystem  
168 processes to understand the consequences of human activities (Figure 1, Pikitch et al.  
169 2004). When considering the impact of a fishery on a target species, conventional fisheries  
170 management only considered the increase of fishing mortality induced by the removal of  
171 individuals, and the impact of such removals on the reproduction (Figure 1). However,  
172 fisheries can also modify the habitat of the target species, ultimately impacting their natural  
173 mortality and their reproductive potential. For example, habitat modifications induced by  
174 fisheries can impact benthic community composition and sensitivity (Neumann et al., 2016),  
175 and could also affect fish recruitment (Macura et al., 2019). The EAF framework stresses the  
176 need to determine and assess the extent of fisheries impacts not only on the fishing mortality  
177 (as done by conventional fisheries management), but also on species habitat and the  
178 induced impacts on natural mortality and reproductive potential.

179 Noting the increasing number of floating objects being deployed by fishers since the 1990s,  
180 some scientists hypothesized that this modifies tropical tuna habitat, leading to an ecological  
181 trap and to an increase of their natural mortality (Marsac et al., 2000). An ecological trap  
182 occurs when animals are misled by cues that were previously correlated with the habitat  
183 quality but no longer are, due to anthropogenic influences (Sherley et al. 2017, Swearer et al.  
184 2021). Such impacts form the basis of the ecological trap theory and result in the preferential  
185 selection of low-quality habitats by animals, when better alternatives exist (Battin, 2004;  
186 Schlaepfer et al., 2002). It is worth noting that, depending on the definition, an ecological trap  
187 can occur without any anthropogenic influence (Robertson & Hutto, 2006; Swearer et al.,  
188 2021; Teske et al., 2021). In this paper, we will consider that ecological traps occur because  
189 of a sudden anthropogenic change in the environment, i.e. in the case of tropical tuna, the

190 modification of their surface habitat by the increased deployment of DFADs (Gilroy &  
191 Sutherland, 2007; Hallier & Gaertner, 2008; Schlaepfer et al., 2002). The ecological trap  
192 concept has been proposed regularly in the face of human-induced environmental changes  
193 and offers an important framework to assess the impact of human induced habitat changes  
194 on species fitness (Hale & Swearer 2016; Swearer et al. 2021).

195 Although fish associative behaviour with FOBs has been known for almost two millennia  
196 (Oppian, 200 AD), the reasons underlying it are still largely unknown, complicating the  
197 assessment of DFADs impacts on tuna natural mortality and reproductive potential. To date,  
198 two main hypotheses have been retained to explain the associative behaviour of tropical  
199 tuna: the *indicator-log* and the *meeting-point* hypotheses (Hall 1992, Dagorn 1995). Based  
200 on these two hypotheses, the impacts of DFADs on tropical tuna would differ. The indicator-  
201 log hypothesis posits that tuna would associate with FOBs to find rich areas (Hall 1992;  
202 Castro et al. 2002). NLOGs are mainly parts of trees and they would indicate rich areas  
203 because they originate from rivers and can accumulate in rich frontal zones (Castro et al.  
204 2002; Hallier & Gaertner 2008). The associative behaviour would then result from an  
205 evolutionary process where tuna use FOBs to stay in rich waters. Under this behavioural  
206 hypothesis, by modifying the distribution and density of FOBs, DFADs could act as ecological  
207 traps and retain and/or attract tuna in areas that are detrimental to them, inducing an  
208 increase of their natural mortality (Figure 2).

209 The meeting-point hypothesis states that pelagic species would associate with FOBs to  
210 facilitate school formation (Fréon & Dagorn 2000). Provided that tuna can detect floating  
211 objects from further away than they can detect other schools, associating with floating  
212 objects could increase the probability to encounter other conspecifics. Schooling behaviour  
213 can be seen as a trade-off between, on the one hand, increasing protection against  
214 predators, encounters of reproduction partners, foraging and swimming efficiency and, on the  
215 other hand, increasing detection by predators and inter-individual competition (Rubenstein  
216 1978; Ioannou 2017; Maury 2017). Considering the meeting-point hypothesis, DFADs, by  
217 increasing the density of FOBs, could provoke the dispersion of tuna, disturbing schooling  
218 behaviour and ultimately impacting their natural mortality (Figure 3, Fréon & Dagorn 2000). It

219 is important to note here that this potential impact on tuna natural mortality does not  
220 constitute an ecological trap: by associating with FOBs, tuna try to meet with conspecifics  
221 and do not use FOBs as cues to select a habitat. Hence, in the framework of the EAF and to  
222 consider all the potential impacts of DFAD fishing on tropical tuna, we need to characterize  
223 the extent to which DFADs change the density and distribution of FOBs. We also need to  
224 determine if this modification impacts tropical tuna natural mortality and reproductive  
225 potential, impact which is behaviourally mediated.

226 Almost 20 years ago, Dempster & Taquet (2004) made a systematic review of the published  
227 literature on FADs and concluded that further research should assess the use of DFADs by  
228 pelagic species, the mechanisms underlying their associative behaviour and the ecological  
229 consequences of the presence of DFADs at sea on pelagic fish stocks. Since then, several  
230 papers reviewed existing evidence and/or proposed future research directions to address the  
231 impacts of DFADs on tropical tuna (Dagorn et al. 2013b, Evans et al. 2015, Davies et al.  
232 2014, Fonteneau et al. 2015, Leroy et al. 2013, Taquet 2013, Pons et al. 2023). Yet, most of  
233 these papers, except those by Taquet (2013) and Pons et al. (2023), addressed these  
234 impacts at a regional scale and all were mainly focussing on the impacts of DFADs on fishing  
235 mortality, even though they mentioned potential impacts on natural mortality. A recent review  
236 by Pons et al. (2023) assessed the advantages and drawbacks of fishing with DFADs at the  
237 global scale. They identified seven sources of concern for FAD fishing, including habitat  
238 perturbation generated by DFADs and the risk of DFADs acting as ecological traps. On this  
239 question, they highlighted the difficulty of testing the ecological trap hypothesis. The objective  
240 and originality of our review paper is not to look at the impacts of fishing at DFADs but to  
241 examine in depth if the presence of DFADs in the ocean could impact the natural mortality  
242 and reproductive potential of tropical tuna. This particular question has never been fully  
243 addressed, precluding management bodies from having a complete view of the current  
244 knowledge. To examine the sustainability of DFAD fisheries, in line with the EAF framework,  
245 it is therefore essential not only to examine the impact of DFADs on fishing mortality, but also  
246 on natural mortality and reproductive potential. The effects induced by DFADs on tuna  
247 behaviour and biology could thus act as compounding factors to the already known effects



248 on increasing fishing mortality. An in-depth literature review provides an overview of the state  
249 of the art in this area, identifies knowledge gaps, and proposes future research priorities.  
250 Following the ecological trap hypothesis framework and the consideration that these impacts  
251 are necessarily behaviourally mediated, this paper is structured around four major questions:

252 i) How much do DFADs change the habitat of tropical tunas?

253 ii) Do DFADs modify the migration and the schooling behaviour of tropical tunas?

254 iii) Do DFADs affect the life history parameters of tropical tunas?

255 iv) What are the scientific challenges to fill the knowledge gaps?

### 256 **How much do DFADs change the habitat of tropical tunas?**

257 Tropical tuna are circumtropical pelagic fish, preferring relatively warm and stratified waters,  
258 with larvae preferring waters above 24°C (Artetxe-Arrate et al., 2021). SKJ, YFT and BET are  
259 considered epipelagic species which also exploit the mesopelagic zone, especially during the  
260 day when searching for deep scattering prey organisms (Schaefer et al. 2009). Whereas  
261 small and large BET are capable of spending long periods of time in the mesopelagic zone,  
262 due to their thermal physiology, SKJ and YFT are only able to remain for brief periods of time  
263 when exhibiting repetitive bounce diving behaviour. Hence, tropical tuna have distinct  
264 ecological niches at different stages of their life-history (Artetxe-Arrate et al., 2021). Tuna  
265 habitat can be defined as the surface and sub-surface ocean waters, with all the abiotic  
266 (temperature, dissolved oxygen, etc.) and biotic (prey, competitors, etc.) parameters  
267 important for their biological functions. Among the components of tuna habitats, floating  
268 objects represent very peculiar features. They represent physical discontinuities that tuna are  
269 known to associate with, at least at given stages of their life-history (mainly adult SKJ, small  
270 YFT and BET). Human activities (logging, coastal development, shipping, etc.) modified the  
271 number of floating objects at sea, in some cases even before modern purse-seine tuna  
272 fishing began (Caddy & Majkowski, 1996; Thiel & Gutow, 2005). Some of these activities  
273 may have consistently increased (coastal development, shipping), whereas others, such as  
274 logging, may have varied due to increased global trade and subsequent deforestation of

275 some areas (Caddy & Majkowski, 1996). In addition, environmental changes also affect the  
276 production and movement of floating objects (e.g. floods, El Niño events, tsunamis), with  
277 global warming supposed to increase the frequency of extreme events. In recent years, the  
278 increasing use of DFADs by fishers raised several questions about the sustainability of this  
279 practice. The primary concern is the increase in fishing efficiency and mortality induced by  
280 DFAD fishing (Dagorn et al. 2013b, IOTC 2022, Pons et al. 2023). However, the increase of  
281 the number of floating objects could also induce impacts on tuna behaviour and life history  
282 parameters, which are more challenging to address. In the current framework of the  
283 ecosystem approach to fisheries (Pikitch et al. 2004), the assessment and management of  
284 these impacts is fundamental.

285 Two types of floating objects (referred to as FOBs) are commonly considered: (i) man-made  
286 FADs (which can be drifting, DFADs, or anchored, AFADs) and (ii) natural objects (trees,  
287 branches, etc., referred to as NLOGs) or artificial objects (wreckage, nets, washing  
288 machines, etc., referred to as ALOGs) that are not deployed for the specific purpose of  
289 fishing (collectively called LOGs) (Gaertner et al., 2018). Fishers fish on DFADs and LOGs  
290 and can equip any of those objects with a satellite-tracking buoy, becoming therefore a  
291 fishing tool monitored by a fishing vessel. For the particular question of habitat change  
292 addressed in this study, only DFADs – which are the dominant type of man-made floating  
293 objects used in the industrial purse seine fishery (Dagorn et al. 2013a, Maufroy et al. 2017,  
294 Dupaix et al. 2021) – are considered and not AFADs.

295 DFADs increase the density of FOBs. This change could impact tuna associative behaviour,  
296 tuna migrations, tuna fitness, then potentially resulting in an increase of tuna natural mortality  
297 and a decrease of reproductive potential and, hence, impacts on tuna populations. Changes  
298 in the “FOB component” of habitat due to DFADs can be assessed by estimating and  
299 comparing densities of objects (with information on their nature: LOGs or DFADs) and  
300 distance between objects (nearest neighbour), with both parameters being closely related.  
301 These parameters depend upon the rates at which DFADs are added or removed from the  
302 ocean (by sinking, beaching or retrieved by humans), as well as their drift. For every oceanic  
303 spatio-temporal unit (e.g. region and season), comparing these parameters with those of

304 natural floating objects and for all types together (natural and artificial) is challenging, the  
305 primary concern being to identify the origin of the floating object.

306 The number of DFADs have regularly increased (Maufroy et al. 2017), but it is necessary to  
307 put this in perspective with respect to all floating objects. Using data from observers onboard  
308 tuna purse seine vessels in the Indian Ocean (IO), noting all FOBs encountered when the  
309 vessel cruises, Dupaix et al. (2021) highlighted a drastic increase in the total number of  
310 floating objects in the western IO, from 2006 to 2018, with multiplication factors greater than  
311 2 in every region and reaching as high as 60 in some areas (e.g. Somali area). The entire  
312 western IO is affected, with DFADs comprising over 85% of the total FOBs and DFADs  
313 contributing to reduce the distances between floating objects (mean distances between  
314 DFADs and between NLOGs of 37 km and 89 km, respectively, in 2014-2018). The impact of  
315 DFADs on tuna habitat reducing the distance between FOBs was observed in the study both  
316 when considering all DFADs or only randomly encountered DFADs (objects which do not  
317 belong to the vessel or its fishing company), to account for a potential sampling bias. Phillips  
318 et al. (2019a), using data from 2016 and 2017 and Lagrangian simulations in the Western  
319 and Central Pacific Ocean (WCPO), also showed an increase in FOB densities induced by  
320 DFAD deployments, and observed a shift of the area with the highest FOB densities, from  
321 the North-Eastern area of the Bismark Sea to the Tuvalu archipelago. Unfortunately no  
322 similar detailed study has been conducted in the other oceans, precluding from estimating  
323 the extent of the change of the habitat of tunas due to the addition of new floating objects  
324 globally.

325 Most of the management effort by tRFMOs is focused on the monitoring and control of  
326 satellite-tracked buoys attached to floating objects (either to DFADs or to LOGs), emitting  
327 positions (and other variables) to vessels and qualified as operational buoys, as this variable  
328 is strongly related to fishing effort. This also explains why most scientific studies prioritized  
329 the estimate of operational buoys rather than the number of DFADs in the ocean (Table 1).  
330 Currently, all tRFMOs have implemented a limit on the instantaneous number of operational  
331 satellite buoys per vessel, and, except in the Atlantic Ocean (AO), limited the re-activation of  
332 buoys while at sea, but only the IOTC has limited the number of buoys purchased and in

333 stock per year, per vessel (IOTC Res 19/02, ICCAT Rec 22-01, IATTC Res C-21-04,  
334 WCPFC CMM 2021-01). This clearly reflects a lack of concerted action worldwide to limit the  
335 number of new floating objects deployed in the oceans. Even under the limit of active DFADs  
336 at sea per vessel, the actual total numbers of DFADs in the ocean could have increased. So  
337 far, few studies have produced estimates of the total number of DFADs deployed annually,  
338 with estimations providing a range of 81,000 to 121,000 deployments worldwide, but these  
339 global estimates were made a decade ago (Baske et al., 2012; Gershman et al., 2015; Scott  
340 & Lopez, 2014). As a comparison, AFADs seem to be less numerous worldwide (13,000  
341 AFADs estimated in Scott & Lopez 2014), although there may be few areas with very high  
342 densities of AFADs, such as Indonesia (5,000-10,000, Proctor et al. 2019), the Philippines or  
343 Papua New Guinea.

344 In practice, despite efforts by tRFMOs to require the submission of DFAD data, accurately  
345 determining a simple indicator such as the total number of DFADs that are drifting in the  
346 world's oceans is a major challenge. The easiest way would be to monitor the number of  
347 deployments through logbooks or onboard observers or set up a FAD register (see Res  
348 23/02 of the IOTC). The number of operational buoys does not correspond to the number of  
349 DFADs in the water (and/or deployed) as some buoys can be attached to LOGs, can be  
350 deactivated, and some DFADs may lack positional trackers, but it can be used as a proxy to  
351 illustrate the trend in numbers. Therefore, as the number of operational buoys does not  
352 effectively limit DFAD deployments, the number of DFADs in the water and/or deployments  
353 could be larger than the limits adopted by the tRFMOs. Under the assumption that the  
354 number of natural floating objects remains relatively constant, the increasing number of  
355 electronic buoys used reflects an increase of the number of FOBs. In recent years, DFAD  
356 deployments were stable in the WCPO (2011-2019; Escalle et al. 2020), decreased in the IO  
357 (2016-2021; IOTC, 2022), increased in the Eastern Pacific Ocean (EPO, 2015-2020; Lopez  
358 et al. 2021) and buoy deployments increased in the AO (2007-2013; Maufroy et al. 2017).  
359 Hence, a characterisation of DFAD deployment trends at the global scale is needed.  
360 However, the clear trend in the number of DFAD sets or DFAD catches (Floch et al., 2019;

361 FIRMS Global Tuna Atlas cited in IOTC, 2021; Restrepo et al., 2017) suggests that DFAD  
362 deployment has also increased.

### 363 **Do DFADs modify the migration and the schooling behaviour of tropical tunas?**

364 DFADs may affect both the movements of tunas and their schooling behaviour. Large-scale  
365 movements of tunas can be impacted in the following two ways: (i) DFADs could cause tunas  
366 to relocate to new areas and (ii) they could increase residence times in some areas. If  
367 DFADs were to have an impact on tuna's large-scale movements, it could increase their  
368 natural mortality by retaining or relocating them in unsuitable areas or disturbing their  
369 migration (Marsac et al. 2000). Although we are currently not able to quantify, and even  
370 demonstrate, such impacts, they could result in population-scale effects. Ideally, the best  
371 approach for investigating such potential effects would be to compare the large-scale  
372 movement patterns of tunas before and after the period in which the increase of DFAD  
373 numbers occurred (i.e. before or after the 1990's). Historical data to assess large-scale  
374 movement patterns before fishers started to massively deploy DFADs, necessary for this  
375 type of analysis, exist only in the WCPO (Kim 2015) and in the EAO (Cayré et al. 1986) and  
376 we do not know of any long-term study that compared movement patterns before and after  
377 DFAD use increased.

#### 378 *Effects on individual large-scale movements*

379 Wang et al. (2014) found that the spatial dynamics of free-swimming school sets in the  
380 WCPO were influenced by the onset of El Niño Southern Oscillation (ENSO) events, while  
381 these events had no effects on the location of floating-object-associated school sets. This  
382 result does not allow us to conclude on the impact of DFADs on population movements, as  
383 catch data reflects the movements of the available catchable portion of the stocks and the  
384 catchability of different set types (e.g. DFAD sets catching smaller individuals than the free-  
385 school sets), and not the true movements of fish.

386 Hallier & Gaertner (2008) analysed conventional tagging data of SKJ and YFT in the Eastern  
387 Atlantic Ocean (EAO). Different migratory directional patterns and displacement rates were

388 observed between fish recaptures associated with DFADs and those in free-swimming  
389 schools. Displacement rates were significantly larger for both YFT and SKJ recaptured in  
390 association with DFADs (13 and 15 nm/day, respectively) than those recaptured in free-  
391 swimming schools (3 and 4.5 nm/day, respectively), which suggests that DFADs could  
392 relocate tunas to new areas. In the IO, Stehfest and Dagorn (2010) found similar results for  
393 SKJ, YFT and BET, but with lower displacement rates differences than in the AO. Hallier and  
394 Gaertner (2008) interpreted these results as indicating significant modifications of migratory  
395 patterns due to associations with DFADs, suggesting an influence of DFAD association  
396 strong-enough to disturb tropical tuna migratory patterns. However, Stehfest and Dagorn  
397 (2010) argue that it could only reflect an artefact of the non-uniform distribution of DFAD  
398 fishing. Also, authors of both studies agree that school type at recapture might not be  
399 representative of the associative history of individuals before their recapture. Using an  
400 advection-diffusion model, Kim (2015) also showed that including a DFAD attraction  
401 component to the model better fitted SKJ tagging data in the WCPO, suggesting an effect of  
402 DFADs on SKJ migratory patterns. Comparing DFAD induced movements in the 2000s with  
403 those in the 1990s, they showed that the rising DFAD density increased this modification of  
404 migration patterns. These studies were the only ones to assess differences of movement  
405 patterns induced by DFADs and, due to species and ocean differences, more studies would  
406 be needed to interpret these results at a global scale.

407 DFADs potential to modify large-scale movements of tunas can be investigated through  
408 archival tags by comparing tuna movements with the general drift patterns of DFADs. In the  
409 equatorial EPO, evaluation of archival tag data sets from 96 BET (54-159 cm in length, 1-5.5  
410 years of age) tagged between 2000 – 2005 (Schaefer et al., 2009; Schaefer & Fuller, 2010)  
411 did not support the hypothesis that the most probable BET tracks were related to the general  
412 drift patterns of DFADs. This suggests that the large-scale spatial dynamics of BET are not  
413 strongly influenced by DFADs at the densities and conditions found in the EPO. However, in  
414 the Central Pacific Ocean (CPO), a predominantly eastward extensive dispersion of BET  
415 tagged with conventional tags and archival tags was observed (Schaefer et al., 2015). The  
416 authors explain the strong regional fidelity of BET in the equatorial EPO by the high

417 concentration of food, leading to their residence and retention in that area. In the equatorial  
418 CPO, the strong eastward-flowing North equatorial countercurrent and BET searching for  
419 higher prey concentrations could explain the predominantly eastward dispersion of BET.

#### 420 *Effects on individual fine-scale movements*

421 The possibility of DFADs influencing tuna movements could be evaluated by determining the  
422 impact of DFADs on tuna's fine-scale movements. First, if DFADs increase the percentage of  
423 the time tuna spend associated with floating objects, it will increase their availability to  
424 fishers. It can therefore have an impact by increasing catchability and fishing mortality. In  
425 addition, a modification of fine-scale tuna movements could also result in population-scale  
426 impacts by inducing larger-scale movement changes resulting in an increase in natural  
427 mortality. In this section, we focus on the modifications of tuna fine-scale movements  
428 induced by DFADs and on how these changes could result in large-scale movement  
429 modifications. Fine-scale movements can be assessed through the measurement of the time  
430 tuna spend associated with DFADs and the time they spend unassociated (or between two  
431 DFAD associations). It could be considered that the longer tunas remain associated with  
432 DFADs, the larger the influence DFADs could have on their large-scale movements.

433 Acoustic tags and archival tags (only when a species exhibits a distinct vertical behaviour  
434 when associating with a floating object, as observed for BET or sometimes YFT) have been  
435 used by scientists to measure these parameters (Table 2). Passive acoustic tagging studies  
436 on DFADs revealed that the majority of residence times of tunas (i.e. continuous periods of  
437 time spent associated with a given DFAD) were of a few days. Mean values ranged from 0.2  
438 to 4.6 days for SKJ (Dagorn et al., 2007; Govinden et al., 2021; Matsumoto et al., 2014,  
439 2016), from 1.0 to 6.6 days for YFT and 1.4 to 10 days for BET (Dagorn et al., 2007;  
440 Govinden et al., 2021; Matsumoto et al., 2016, Phillips et al. 2019b). Long associations,  
441 however, have been observed on rare occasions – e.g. 27 days for YFT in the IO (Govinden  
442 et al., 2021) and up to 18, 50 and 30 days for SKJ, YFT and BET respectively, in the WCPO  
443 (Phillips et al. 2017, Phillips et al. 2019b). A recent study in the EAO (Tolotti et al., 2020)  
444 reported significantly larger mean residence times for the three tuna species, from 9 days

445 (SKJ) to 19 days (YFT) and 25 days (BET), with record values of 55 days and 600 km  
446 travelled associated to a DFAD for both BET and YFT.

447 These studies suggest that residence times at a single DFAD vary between oceanic regions  
448 and species. Without more studies, it is difficult to assess whether the long DFAD  
449 associations observed are restricted to specific areas and time periods, or if they can often  
450 occur. In fact, even short residence times at single DFADs as those observed in the Indian  
451 and Pacific oceans do not prove that arrays of DFADs cannot influence large-scale  
452 movements. In an array of DFADs, a tuna can “switch” from one DFAD to a neighbouring  
453 one, which could retain it in the array. It is therefore important to also measure the time tunas  
454 spend between two associations (or unassociated), or in other words, the total percentage of  
455 time a tuna spends associated over long periods. This variable is likely to depend on the  
456 density of all floating objects in the area. So far, very few durations between two DFAD  
457 associations have been measured using acoustic tags because it is difficult to locate and  
458 exhaustively instrument with acoustic receivers all DFADs in an area.

459 In the WCPO, 25 BET, 6 YFT and 2 SKJ displayed “homing” behaviour by returning to the  
460 same DFAD with absences greater than a day (Phillips et al. 2019b). Most of these absences  
461 were short for BET (median: 3.2 days) and longer for YFT (median: 10.5 days) but with a low  
462 sample size not allowing to be conclusive. In the other tropical oceans, even fewer tunas  
463 were observed performing such homing behaviour: one BET in the AO (out of 23 tagged fish,  
464 Tolotti et al., 2020), one YFT and two SKJ in the IO (out of 31 and 17 tagged fish  
465 respectively, Govinden et al., 2021), and these absences lasted less than two days.

466 Because BET and sometimes YFT exhibit different vertical behaviour patterns when  
467 associated or non-associated with floating objects (Holland and Brill 1990, Abascal et al.  
468 2018), archival tags have been used to assess residence times at and between floating  
469 objects, and therefore percentage of days associated with floating objects, without the need  
470 to instrument all objects with acoustic receivers. Using satellite archival tagging data where  
471 individual BET tracks could be recorded over several months or even years, the percentage  
472 of time associated with floating objects was estimated to be between 4 % and 17 %



473 depending on the size of the fish and the oceanic region (Fuller et al., 2015; Phillips et al.,  
474 2017; Schaefer & Fuller, 2010, Leroy et al. 2013, Schaefer & Fuller 2002). Associative and  
475 non-associative behaviour with floating objects have also been described with archival tags  
476 for YFT (Phillips et al., 2017; Schaefer et al., 2009; Schaefer & Fuller, 2013, Leroy et al.  
477 2013), with estimates of the percentage of time spent associated with floating objects  
478 between 10% and 23%. These percentages are much lower than those estimated from  
479 acoustic telemetry data (e.g. 75 % for small BET based on the measurements in Phillips, et  
480 al. 2019b).

481 This inconsistency between studies using different tagging methods could result from the  
482 size of tagged individuals or the way the percentage of time spent by tuna associated and  
483 non-associated is calculated. Individuals monitored with archival tags were generally larger  
484 (fork length: 50-146 cm YFT and 46-102 cm BET in Phillips et al. 2017, 51-134 cm BET in  
485 Fuller et al. 2015, 88-134 cm BET in Schaefer & Fuller 2002, 54-159 cm BET in Schaefer &  
486 Fuller 2010) than those marked with passive acoustic tags (38-90 cm BET in Phillips et al.  
487 2019), even though size ranges largely overlap. This suggests that small BET spend a higher  
488 proportion of their time associated with FOBs than large individuals. This agrees with  
489 observed size distributions of DFAD catches, where smaller individuals are caught, and with  
490 the negative correlation between BET individual length and percentage of time associated  
491 found by Schaefer & Fuller (2002). However, Schaefer et al. (2009) found lower association  
492 percentages with archival tags on small tunas (10.4 and 15.9 % of the time associated for  
493 51-60 cm FL YFT and 65-99 cm FL BET respectively) than the work of Phillips et al. (2019)  
494 with acoustic tagging. This could suggest a potential bias in the different methodologies that  
495 need to be further investigated. A small percentage of time associated with floating objects  
496 would indicate no or little influence of DFADs, while a high percentage could indicate a  
497 potentially significant influence of DFADs which could result in impacts on large-scale  
498 movements.

499 We are therefore far from understanding the effects of different densities of floating objects  
500 on tuna fine-scale movements nor the link between fine-scale and large-scale movements.

501 Most electronic tagging efforts have been done on YFT and to a lesser extent BET, but more

502 behavioural data are clearly needed for all three tropical tuna species. One of the main  
503 difficulty is to disentangle the effects of DFADs from the impacts of other external signals  
504 (e.g. prey density) which can also influence tuna associative behaviour (Lopez et al. 2017,  
505 Nooteboom et al. 2023, Schaefer et al., 2009, Schaefer & Fuller, 2010).

#### 506 *Effects on schooling behaviour*

507 DFADs could also affect schooling behaviour, which can have a wide range of consequences  
508 on the life-history parameters and the movements of tunas. Dagorn & Fréon (1999) and  
509 Fréon & Dagorn (2000) suggested that tuna could associate with floating objects for social  
510 advantages such as facilitating the formation of larger schools. Tuna associative behaviour  
511 would have been selected because schooling behaviour provides several evolutionary  
512 advantages. It can be seen as an evolutionary trade-off between (i) increasing protection  
513 against predators, swimming and foraging efficiency, mating, and (ii) increasing detection by  
514 predators and intra- and inter-specific competition (Rubenstein 1978; Ioannou 2017; Maury  
515 2017). Hence, if tuna use FOBs for social behaviour, the number of FOBs could affect the  
516 size of schools and therefore reduce their evolutionary advantages, which could impact both  
517 tuna survival and reproduction, resulting in population-scale impacts. To date no result has  
518 been obtained on tropical tuna from DFADs regarding this question. If floating objects  
519 facilitate the schooling behaviour of tunas, then the deployment of large numbers of DFADs  
520 may have effects on school size, either by facilitating the formation of large (but less) schools  
521 or decreasing school size with DFADs offering too many aggregation sites (Figure 3, Dagorn  
522 et al., 2010). DFADs could also modify the size structure of tuna schools, allowing the  
523 formation of large aggregations composed of several unassociated schools of different size  
524 structures (Wang et al. 2012). Sempo et al. (2013) modelled the impact of the increasing  
525 deployment of DFADs on the distribution of social fish species such as tunas. They  
526 demonstrated that for social species, increasing the number of DFADs does not necessarily  
527 lead to an increase in the total amount of tuna associated with DFADs, a non-intuitive result.  
528 Capello et al. (2022) also showed that the number of DFADs with associated schools and the

529 size of associated schools were not linearly related to the total number of DFADs and that  
530 this relationship varied according to the considered social scenario.

### 531 **Do DFADs modify the life-history parameters of tropical tunas?**

532 The increasing number of DFADs at sea also raises questions regarding their effect on the  
533 feeding strategy of tropical tuna, and related energy-dependent traits such as tuna health  
534 (monitored for example by body condition), growth, reproduction and natural mortality.

#### 535 *Effects on feeding*

536 In his review on DFAD impacts on tropical tunas, Taquet (2013) recommended comparative  
537 analyses of stomach contents on tropical tunas. Such analyses have shown that small-sized  
538 tunas may not feed while associated with DFADs in the Atlantic (Hallier & Gaertner, 2008;  
539 Ménard et al., 2000), Indian (Grande et al., 2013; Jaquemet et al., 2011; Zudaire et al., 2015,  
540 Malone et al. 2011, Hallier & Gaertner, 2008) and Western and Central Pacific (Machful et  
541 al., 2021) oceans. Small YFT and SKJ captured in DFAD-associated schools had a higher  
542 fraction of empty stomachs, lower stomach fullness or daily food rates (in the EAO; Hallier &  
543 Gaertner 2008, Ménard et al. 2000; and in the IO, Hallier & Gaertner 2008), and lower prey  
544 weight (in the IO; Grande et al. 2013, Zudaire et al. 2015) than those captured in free-  
545 swimming schools. These results support the hypothesis that the quantity of prey present in  
546 DFAD assemblages is not sufficient to sustain the dietary requirements of large aggregations  
547 of small-sized tuna commonly found at DFADs (several tens of tons) (Fréon & Dagorn,  
548 2000). Hence, if tuna were to spend more time associated with FOBs, due to the increase in  
549 the number of FOBs induced by the deployment of DFADs, it could impact their feeding  
550 behaviour, potentially resulting in an increase in their natural mortality. However, evidence is  
551 still too scarce to allow the determination and quantification of this potential increase of  
552 natural mortality. Also, except in Hallier & Gaertner (2008), the influence of the sampling time  
553 of tunas on the stomach content was not taken into account. Purse seine vessels mainly fish  
554 on DFADs at dawn (Forget et al., 2015) and on free-swimming schools during daytime. First,  
555 feeding activities are believed to often take place in the early evening on organisms

556 performing diel vertical migration between the deep scattering layer and the surface  
557 (Schaefer & Fuller, 2002), resulting in prey being fully digested by the time the fish are  
558 caught and sampled, at dawn. Second, free-swimming schools of tunas are almost  
559 exclusively caught when actively feeding at the sea surface, hence higher levels of stomach  
560 fullness are to be expected. Nevertheless, the association of tunas with DFADs could also  
561 affect the composition and quality of their diet, as shown for YFT in the Western IO (WIO)  
562 and WCPO and for SKJ in the WCPO (Zudaire et al. 2015, Allain et al. 2010). Differences of  
563 diet composition were observed for these species, that may be due to their associative  
564 behaviour despite the above-mentioned sampling bias, with associated tuna in the WCPO  
565 feeding on shallower prey than free-swimming tunas (Allain et al. 2010), which is in  
566 agreement with YFT staying closer to the surface when associated (Holland and Brill, 1990,  
567 Schaefer et al. 2009).

568 Independently of the trophic role of DFADs, the deployment and the drift trajectories of  
569 DFADs could create new zones of high floating object densities, which may be unfavourable  
570 for the foraging success of tunas, resulting in an increase of natural mortality (Marsac et al.  
571 2000). In the Western Indian Ocean, Jaquemet et al. (2011) found that in “rich” forage areas,  
572 DFADs have no impact on the feeding pattern of tunas, whereas in “poor” forage areas,  
573 tunas associated with DFADs had lower stomach fullness compared to tunas in free-  
574 swimming schools. The authors suggested that the impact of DFADs on feeding success  
575 could be location-dependent, leading them to emphasize the possible detrimental effect on  
576 the condition of tuna associated with DFADs if associated tunas drift towards areas with poor  
577 forage resources. However, such an effect relies on the assumption that a tuna’s probability  
578 to depart from a DFAD is independent of their local environment which seems in  
579 disagreement with behavioural studies (Fuller et al. 2015, Nooteboom et al. 2023).

580 In the Pacific Ocean, Hunsicker et al. (2012) observed that predation on SKJ and YFT by  
581 large pelagic fishes sampled from DFAD sets was greater than for those captured via other  
582 fishing methods (e.g. free-swimming schools). These authors concluded that by aggregating  
583 small-sized SKJ, YFT, and BET, DFADs enhance their vulnerability to predators such as  
584 sharks and billfishes, and thus increase natural mortality of small sized tunas. The foraging

585 arena theory (Ahrens et al. 2012), considers that individuals alternate between vulnerable  
586 and invulnerable states, being only part of their time available to their predators. If the risk of  
587 being predated increases when associated with a FOB, by increasing the time they spend  
588 associated, DFADs could increase the time tropical tuna spend in a “vulnerable” state which  
589 would increase their mortality by predation. To date, the study by Hunsicker et al. (2012) is  
590 the only study assessing the impact of DFADs on tuna vulnerability to predators, hence  
591 additional data from other regions would be needed for further testing these assumptions.

### 592 *Effects on body condition*

593 Individual condition can be seen as a proxy of individual’s fitness, as it can impact both the  
594 individual survival and reproduction (Lloret et al. 2014). Tuna condition has been investigated  
595 using different methods: biometric condition factors (e.g. plumpness), and biochemical  
596 indices (e.g., fat and water contents, lipid class composition). Gaertner et al. (1999) in a  
597 preliminary investigation did not find evidence of a morphometric difference between free-  
598 swimming school or DFAD-caught tunas in the EAO. But Marsac et al. (2000) in the EAO  
599 and Hallier & Gaertner (2008) in the WIO found that individuals associated with DFADs were  
600 in lower condition than those in free-swimming schools, using morphometric indicators  
601 (thorax width or girth, plumpness of fish) as fish health indicators. This result suggests that  
602 DFADs, by reducing individual condition, could increase tuna natural mortality and/or impact  
603 their reproduction (specifically for SKJ who also associate to DFADs when they are mature).  
604 Robert et al. (2014) measured the condition of SKJ using BIA (Bioelectrical Impedance  
605 Analysis), a non-invasive field tool that estimates body water content (inversely correlated  
606 with body fat content), and determines total lipid and main lipid class concentrations. They  
607 confirmed the lower condition of SKJ associated with floating objects compared to those in  
608 free-swimming schools. Because the studied area (Mozambique Channel, WIO) is naturally  
609 rich with NLOGs and had undergone little habitat modifications due to DFADs at the time of  
610 the study (Dagorn et al., 2013a, Dupaix et al. 2021), the authors concluded that before the  
611 use of DFADs, tunas associated with logs could have also been in lower condition than tunas  
612 in free-swimming schools. These results can mean that (1) a lower measured condition could

613 reflect normal variations and does not necessarily imply detrimental physiological  
614 consequences, or (2) some specific areas where NLOGs have always been in high numbers  
615 could also have negatively impacted the condition of tunas that passed through and stayed in  
616 these areas.

617 As a lower condition measured at DFADs does not necessarily imply longer-term detrimental  
618 consequences for tuna, there is a need to monitor tuna condition and other biological  
619 parameters on a longer term and determine if they are influenced by the density of DFADs in  
620 the area. Dupaix et al. (2023), using length-weight data from 1987 to 2018 in the WIO, found  
621 no decreasing trend of YFT condition over the studied period, during which the number of  
622 DFADs has increased. Hence, this study, using one morphometric indicator as a proxy for  
623 condition (Le Cren's relative condition factor), suggests the absence of a long-term impact of  
624 DFADs on YFT condition, under the conditions encountered in the WIO in the last three  
625 decades. Nevertheless, it should be noted that other factors could also have counteracted  
626 potential negative effects of DFADs on tuna condition.

627 Studies that investigated potential DFAD impacts on tuna condition mainly suggest that the  
628 condition of associated tuna is lower than that of free-swimming tuna. These results are  
629 reinforced by the example of the preparation of katsuobushi (shaved dried SKJ) in Japan.  
630 Indeed the Japanese tuna industry prefers SKJ caught on DFADs as they have less fat than  
631 those from free-swimming schools (Nishida, pers. comm.). However, Sardenne et al. (2016),  
632 when comparing biometric and biochemical indicators found inconsistencies due to a high  
633 variability of biometric indicators with season and ontogeny. They concluded that biometric  
634 indicators measured on whole tuna (e.g. thorax girth, fish plumpness, Le Cren's  $K_n$ ) should  
635 be interpreted with caution as they may not always reflect the energetic condition measured  
636 in the tissues of the fish. Experimental validation of the condition factors used is needed to  
637 determine the potential impacts and the underlying mechanisms of the difference in tuna  
638 condition. For example, condition factors could be calibrated and validated by monitoring  
639 them during fasting experiments on captive tuna, although measuring some of them regularly  
640 in experimental conditions could represent a methodological challenge.

641 *Effects on reproduction and growth*

642 DFADs, by decreasing tropical tuna condition, could also impact reproductive potential,  
643 which could decrease population resilience. In the WIO, Zudaire et al. (2014, 2015) found (i)  
644 a significantly higher proportion of energy-rich fish prey in the diet (stomach contents;  
645 Zudaire et al., 2015), as well as (ii) significantly higher total lipid concentrations and  
646 triacylglycerol to sterol (TAG:ST) ratio, indicators of energetic condition, in the gonads of YFT  
647 females caught in free-swimming schools compared to females associated with DFADs  
648 (Zudaire et al., 2014). This can be interpreted as simply reflecting differences in prey  
649 availability and feeding activity and thus differential lipid incorporation to tissues between  
650 DFAD-associated and non-associated tunas. It could also highlight higher energetic  
651 investment to reproduction in free-swimming YFT due to a higher condition (i.e., better  
652 health), keeping in mind the potential bias provoked by an uneven size distribution between  
653 school types in these studies. However, the study failed to demonstrate a direct effect on the  
654 fecundity, most likely due to the low number of actively spawning females analysed and the  
655 high inter-individual fecundity variability observed in YFT (Pecoraro et al., 2017).

656 Similarly, Ashida et al. (2017) investigated the difference in reproductive traits of female SKJ,  
657 of similar size distribution, between school types in the WCPO, highlighting a significant  
658 higher proportion of mature females in free swimming schools, characterised by higher  
659 relative condition factor, than associated with DFADs. However, as for YFT in the IO (Zudaire  
660 et al. 2014), no significant effect of the school type was observed on the WCPO SKJ  
661 fecundity, which corroborates previous results observed for WIO SKJ (Grande, 2013; Grande  
662 et al. 2014). Also, an investigation of the reproductive dynamics of SKJ in the EPO based on  
663 the histological evaluations of ovaries from 3732 females indicated spawning was observed  
664 for fish captured in both unassociated and floating object sets (Schaefer and Fuller 2019).  
665 The lack of relationship between condition and fecundity of SKJ could be related to their  
666 energy allocation and reproductive strategies. SKJ tuna females fuel their gametes with  
667 energy gained concomitantly during reproduction (i.e., income breeding strategy, Grande et  
668 al. 2016). However, YFT females can store additional energy reserves prior to spawning,  
669 which define them as income-capital breeder (Zudaire et al., 2014) unlike SKJ. Therefore, as

670 SKJ females exhibit better condition when free swimming, it can be assumed that their  
671 reproductive efficiency is lower when associated with DFADs, but the same conclusion  
672 cannot be made for YFT.

673 Using tagging data collected in the EAO, Hallier & Gaertner (2008) estimated and compared  
674 the growth rates of SKJ and YFT associated with DFADs versus free-swimming schools.  
675 Released and recaptured SKJ associated with DFADs had a significantly lower growth rate  
676 than those in free-swimming schools, but the difference was not significant for YFT (though it  
677 was lower, as for SKJ). However, the history experienced by individual fish between release  
678 and recapture was unknown. The “experimental” design could not be controlled as the time  
679 one specimen spent associated with DFADs and in free-swimming schools is not available.

#### 680 **What are the scientific challenges to fill the knowledge gaps?**

681 DFADs have been representing one of the key management priorities and challenges of  
682 tRFMOs over the last decade. Since fishers started using them, DFADs numbers  
683 continuously increased until first management measures limiting the number of operational  
684 buoys were adopted in the mid-2010s (Song & Shen 2022). The massive use of DFADs in all  
685 oceans has been generating major concerns on the sustainability of this fishing mode.  
686 DFADs increase the catchability of tropical tunas leading to large catches of small BET and  
687 YFT (Dagorn et al., 2013b; Fonteneau et al., 2013), generate more bycatch, including  
688 vulnerable species such as some shark species, silky (*Carcharhinus falciformis*) and oceanic  
689 whitetip (*Carcharhinus longimanus*) sharks (Dagorn et al., 2013b; Fonteneau et al., 2013;  
690 Leroy et al., 2013), and can strand on coastal areas causing damage to marine habitats  
691 (Imzilen et al., 2021; Maufroy et al., 2015, Escalle et al. 2019). Although there is increasing  
692 knowledge and literature on DFADs, the issue of their impacts on tuna natural mortality and  
693 reproductive potential remains an open scientific question. Several pieces of evidence  
694 demonstrate that DFADs increase tuna catchability, increasing their fishing mortality (Davies  
695 et al. 2014; Wain et al. 2021). However, if impacts on tuna natural mortality and reproductive  
696 potential were to be demonstrated at the population level, DFAD impacts could be even  
697 greater. Furthermore, these impacts, added to the increase of fishing mortality, could have



698 cumulative effects on tuna populations. All knowledge collected and reviewed on the  
699 behaviour and life-history parameters of tunas at DFADs clearly reveals a lack of converging  
700 scientific results on the long term consequences on tuna (at the individual and/or population  
701 levels) of increased numbers of floating objects. Therefore, if DFADs seem to affect the  
702 short-term condition of tropical tunas, we are not currently able to conclude whether DFADs  
703 affect the movements and/or other life-history parameters of tunas in a way that could  
704 significantly affect the fitness of individuals and the demography of their populations. As  
705 such, there is a need to improve our observation and understanding of this associative  
706 phenomenon to determine with certainty the impacts of DFADs on the life-history parameters  
707 and behaviour of tropical tuna, quantify the magnitude of these impacts and provide  
708 evidence-based advice.

709 A major gap in tuna and DFAD science is the lack of time series of key parameters such as  
710 the numbers of DFADs and natural floating objects, residence and absence times at DFADs  
711 as well as large movements between oceanic regions, school sizes, condition and  
712 reproduction indices. The first research priority in this context is to initiate or continue time  
713 series of such indicators (Capello et al. 2023). Setting long-term monitoring programs in  
714 every ocean appears to be a priority, as effects of DFADs could vary depending on the  
715 species, the characteristics of each ecosystem and on the density of floating objects.  
716 Moreover, it would facilitate comparative analyses between oceans to better understand the  
717 drivers of tuna associative behaviour. The collection of some parameters will require  
718 dedicated scientific surveys (e.g. electronic tagging, biological sampling) while others (e.g.  
719 numbers of DFADs and natural objects, biological condition factors) have started to be  
720 routinely collected by tRFMOs through FAD-specific data requirements included in  
721 conservation and management measures (Grande et al. 2018, Báez et al. 2022, Song &  
722 Shen 2022) as well as government and industry initiatives (e.g., routine fishery monitoring  
723 and at-sea observer programs).

724 Another research priority is to develop experimental studies to identify the biological and  
725 behavioural processes involved in tuna associative behaviour. The only scientific consensus  
726 is the fact that in a given area, conditions of tunas associated with floating objects often

727 seem to be lower than those of fish in free-swimming schools. However, the different  
728 indicators used to assess tuna condition are not always well correlated (Sardenne et al.  
729 2016), and experimental studies are needed to validate them against proper benchmarks,  
730 allowing to determine how representative they are of individuals' health. Then, understanding  
731 how fast these indicators change with the fish's associative behaviour appears essential.  
732 This could also be achieved through studies on captive tropical tunas (e.g., Estess et al.  
733 2017), but non-lethal observations should be promoted (e.g. BIA) in order to track changes  
734 throughout the fish lifespan. No evidence exists suggesting whether the lower condition at  
735 DFADs is the consequence or the cause of their association with DFADs. Often the  
736 robustness of the findings of investigation on the life-history parameters of tunas is hampered  
737 by the lack of knowledge of the time spent associated with a DFAD or in an array of DFADs  
738 by each specimen analysed. The history of each individual tuna is a hidden variable that  
739 must be taken into account in statistical analyses, which is a challenge. Studies combining  
740 behavioural observations (tagging) and condition of the individuals (e.g. BIA or biochemical  
741 analyses of biopsies made at the time of tagging) should then be encouraged. Ideally, tags  
742 equipped with physiological sensors would clearly help understanding the interplay between  
743 associative behaviour and tuna physiology. Such tags, however, are only starting to be  
744 developed.

745 Studies on AFADs could provide insights to the questions addressed in this manuscript: as  
746 argued by Dagorn et al. (2010), AFADs also alter the natural environment (by adding floating  
747 objects to the ocean). However, it remains questionable if they are comparable due to the  
748 fact that AFADs are generally located nearshore, with corresponding particular  
749 oceanographic conditions, and they do not move with water masses. Papua New Guinea, the  
750 Philippines and Indonesia are examples of areas with very high numbers of AFADs (Proctor  
751 et al. 2019) and as such, these dense arrays of AFADs could generate the same concerns  
752 on tuna life-history parameters and behaviour that those described for DFADs.  
753 Understanding the behaviour of tunas around AFADs can also improve our general  
754 understanding of tunas around all types of floating objects and help design new, well focused  
755 studies for DFADs. For practical reasons, more studies have been performed on the

756 behaviour of tuna at AFADs than at DFADs (e.g. Dagorn et al., 2007; Govinden et al., 2013;  
757 Holland et al., 1990; Ohta & Kakuma, 2005; Rodriguez-Tress et al., 2017). They provided  
758 estimates of residence times between two AFAD associations and therefore of the  
759 percentage of time spent associated to AFADs (e.g. Pérez et al., 2020; Robert et al., 2013b;  
760 Rodriguez-Tress et al., 2017), which still needs to be further explored at DFADs. For  
761 example, Pérez et al. (2020) used acoustic tagging data on AFAD arrays to demonstrate that  
762 when inter-AFAD distance decreases, tuna visit more AFADs, spend less time travelling  
763 between AFADs and more time associated with them. Concerning DFADs, as actual  
764 densities of drifting floating objects are difficult to obtain, studies using a modelling approach  
765 based on experimental data should be promoted (Pérez et al. 2022, Capello et al. 2023).  
766 These studies should investigate the consequences of changes in floating object density on  
767 tuna school sizes and associative behaviour. These modelling studies could be  
768 complemented and/or calibrated by studies which use data from echosounder buoys  
769 deployed by fishers on floating objects. Recent methodological advances allowed the  
770 prediction of tuna presence or absence under FOBs (Baidai et al., 2020; Orue et al., 2020).  
771 Using an extensive dataset from echosounder buoys in the WCPO (more than 3.8 million  
772 transmissions), Escalle et al. (2021c) determined different profiles of acoustic signals related  
773 to different types of aggregations. Other studies also show that multi-frequency echosounder  
774 buoys could allow the discrimination of tropical tuna species under DFADs (Moreno et al.  
775 2019, Sobradillo et al. 2023). These new methodological developments, in combination with  
776 tagging data, both conventional and electronic, and modelling approaches offer promising  
777 perspectives for the study of tuna aggregation behaviour under FOBs and the potential  
778 impact of DFAD density on tuna schooling behaviour.

779 Tuna RFMOs set limits on the number of operational buoys (IATTC: up to 340 depending on  
780 the vessel size, Res C-21-04; ICCAT: 300 in Rec 22-01; IOTC: 300 in Res 19/02; WCPFC:  
781 350 in CMM 2021-01). These limits are essentially set to control the fishing effort and the  
782 catches of tunas and non-target species, hence to control fishing mortality. How such limits  
783 also act on the number of deployed DFADs and the potential impacts of DFADs on tuna's  
784 natural mortality, is unknown. In theory, all purse seine vessels could use at least the same

785 amount of DFADs than the maximum number of operational buoys authorized in each of the  
786 regions. Multiplying this maximum number of operational buoys authorized per vessel by the  
787 number of large-scale purse seine vessels in each ocean provides a global authorized limit of  
788 about 238,000 operational buoys (details of the calculation in Supplementary Materials 1).  
789 This number is about twice higher than the estimate of the global number of DFADs  
790 deployments made by Gershman et al. (2015), based on data from 2013. Hence, it would  
791 mean that the global purse seine fishery could have increased the number of DFADs in the  
792 ocean while still respecting the current limits on the number of active buoys. Most tRFMOs  
793 now require that DFAD identification, characteristics, deployment date and deployment  
794 location are reported (e.g. ICCAT Rec 22-01; IOTC Res. 19/02; IATTC C-21-04). Some  
795 studies evidenced different regional trends of DFAD deployments (IOTC, 2022, Escalle et al.  
796 2020, Lopez et al. 2021, Floch et al., 2019; Maufroy et al., 2017), but no study assessed this  
797 trend on a global scale after 2013 (Gershman et al., 2015). Tuna RFMOs should continue to  
798 collect and make fine-scale DFAD data available to scientists to allow regular estimations of  
799 the extent of the habitat modifications generated by DFADs, which should be addressed at a  
800 global scale.

## 801 **Conclusion**

802 This study reviews the current state of knowledge on how DFADs could also impact natural  
803 mortality and reproduction additionally to their impact on fishing mortality. To summarize the  
804 questions formulated:

805 (1) although the deployment of DFADs has undoubtedly modified the habitat of tropical  
806 tunas, the extent of this modification still needs to be better characterized in some regions.  
807 This characterization can be achieved through the continued monitoring of indicators (e.g.  
808 spatialized DFAD and NLOG densities, DFAD/NLOG ratio) collected by tRFMOs.

809 (2) studies assessing the impacts of DFADs on tuna large-scale movements show  
810 contradictory results. Strong ocean and species-specific variability is observed for the  
811 proportion of time spent associated with FOBs. However, the effect of the methodology used  
812 (archival tagging vs acoustic tagging) should be investigated. To date, besides theoretical

813 studies, no evidence has been shown on the impact of DFADs on associative and schooling  
814 behaviour.

815 (3) DFADs probably impact tuna short-term condition, but it does not necessarily imply a  
816 longer-term detrimental effect and should be confirmed with long-term time series of  
817 validated condition indicators. The results on the impacts of DFADs on other life-history  
818 parameters are inconclusive.

819 (4) The main conclusion of this work is the lack of clear converging scientific results on the  
820 impacts of DFADs on the natural mortality and reproductive potential of tropical tuna. It  
821 should therefore be underlined that scientific efforts should not only focus on the effects of  
822 DFADs on catches (target and non-target species) but should also address other possible  
823 impacts, such as density dependent effects on the behaviour and life-history parameters of  
824 tunas. This current lack of converging results justifies a major and urgent scientific effort, in  
825 terms of data collection, experimental research and modelling to tackle definitively whether  
826 the increased deployment of DFADs could lead to impacts on tropical tuna populations,  
827 additionally to the impacts on fishing mortality.

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834 Access, a CC-BY public copyright licence has been applied by the authors to the present  
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837 **Data availability statement**

838 Data used in the supplementary material were obtained from Justel-Rubio et al. (2023) and  
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840 2023/03/14)

841 **Conflict of Interest**

842 The authors have no conflict of interest to declare.

843 **Author contribution statement**

844 A.Dupaix, F.Ménard, J.D.Filmalter and L.Dagorn conducted the literature search and wrote  
845 the first version of the manuscript with suggestions from all the co-authors. All co-authors  
846 participated in the revisions of the manuscript.

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1388 **Tables**

1389 **Table 1: Summary of main findings from previous studies on the numbers of**  
 1390 **monitored floating objects or the number of DFADs used in large-scale tropical tuna**  
 1391 **purse seine fisheries.**

<b>Area</b>	<b>Period</b>	<b>Indicator</b>	<b>Associated number of vessels</b>	<b>Estimation</b>	<b>Reference</b>
All oceans	2006-2011	DFADs deployed yearly		47,000-103,000	Baske et al. (2012)
	2010s	DFADs deployed yearly		91,000	Scott & Lopez (2014)
	2013	DFADs deployed yearly		81,000-121,000	Gershman et al. (2015)
Atlantic Ocean	1998	Radio buoys	45 vessels	3,000	Ménard et al. (2000)
	2004-2014	Buoys deployed yearly	Per vessel (French PS fleet)	From 41 (2004) to 200 (2014)	Fonteneau et al. (2015)
	2007-2013	Monthly active buoys		From 1,289 (2007) to 8,856 (2013)	Maufroy et al. (2017)

Indian Ocean	2003-2005	Daily active buoys	45 vessels	2,100	Moreno et al. (2007)
	2007-2013	Monthly active buoys		From 2,679 (2007) to 10,929 (2013)	Maufroy et al. (2017)
	2010-2012	Daily active buoys	34 vessels	3,750-7,500	Filmalter et al. (2013)
	2010-2014	Quarterly active buoys	25 vessels	1,200	Chassot et al. (2014)
	2013	Quarterly active buoys	19 vessels	6,015	Delgado de Molina et al. (2014)
	2013	DFADs deployed yearly	19 vessels	12,813	Delgado de Molina et al. (2014)
	2016-2021	DFADs deployed yearly	Whole ocean	10,514 to 24,550	to IOTC (2022)
Western and Central Pacific Ocean	2011-2019	Daily active buoys	Per vessel	45-75	Escalle et al. (2021)
	2011-2019	DFADs deployed	268 to 322 vessels	20,000-40,000	Escalle et al. (2020, 2021a)

		yearly	(whole WCPO)				
	2016-2019	Buoys deployed yearly	Whole WCPO	31,000	39,500		to Escalle et al. (2020)
	2016-2020	Buoys deployed yearly	187 to 235 vessels	16,000	22,000		to Escalle et al. (2021b)
Eastern Pacific Ocean	2018-2020	Daily active buoys	100 to 140 vessels	8,000-11,000			Lopez et al. (2021)
	2015-2020	DFADs deployed yearly	100 to 140 vessels	20,000	40,000		to Lopez et al. (2021)

1392 **Table 2: Summary of main findings from previous studies on tuna individual CRT and CAT assessed under anchored and drifting FADs.**  
 1393 CRT: Continuous Residence Time – continuous bouts of time spent at the same FAD without any absence longer than 24h. CAT: Continuous Absence  
 1394 Time – the time between two associations with a FAD. FL: fork length, YFT: *Thunnus albacares*, SKJ: *Katsuwonus pelamis*, BET: *Thunnus obesus*).

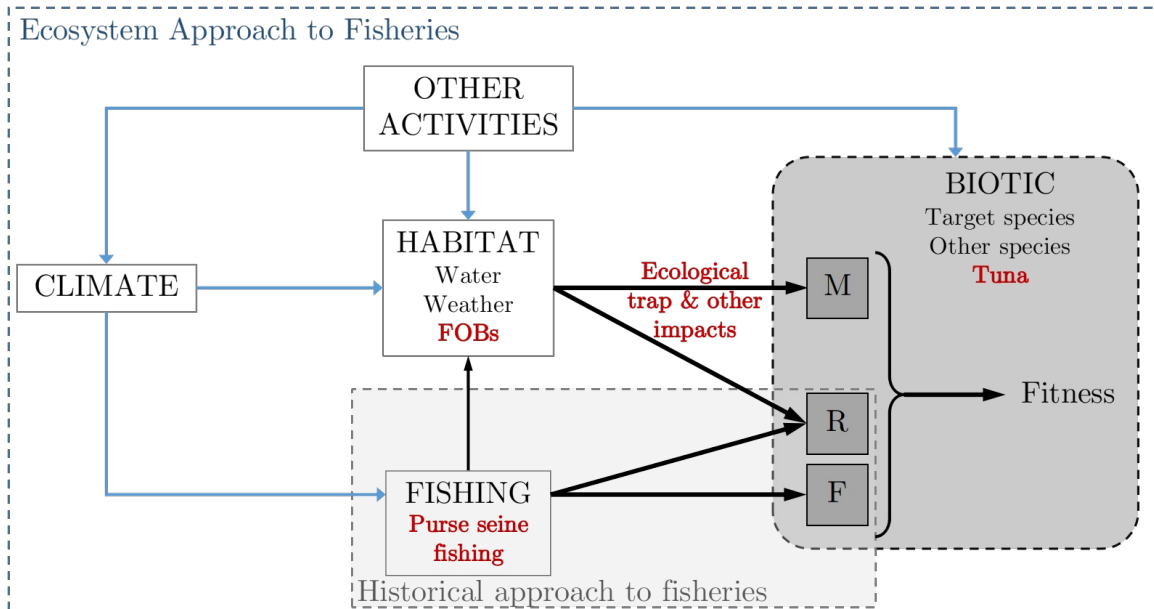
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FAD type	Study location	Metric	Findings	Reference
Drifting	Eastern Atlantic Ocean	CRT	YFT (34-82 cm FL): average of 19.15 days (maximum value of 55 days) SKJ (39-61 cm FL): average of 9.19 days (maximum value of 15 days) BET (45-61 cm FL): average of 25.31 days (maximum value of 55 days)	Tolotti et al. (2020)
	Mozambique Chanel (Western Indian Ocean)	CRT	YFT (29-60 cm FL): between 0.00-26.72 days with median at 9.98 days SKJ (47-57 cm FL): between 0.09-18.33 days with median at 4.47days BET (54-56 cm FL): between 0.00-6.56 days with median at 3.89 days	Govinden et al. (2010)
	Western and Central Pacific Ocean	CRT	SKJ (46-60 cm FL): median of 1 day (maximum value of 18 days) YFT (36-98 cm FL): median of 2 days (maximum value of 50 days) BET (38-90 cm FL): median of 10 days (maximum value of 30 days)	Phillips et al. (2019b)
		CAT	BET (38-90 cm FL): median of 3.2 days (maximum value of 48.2 days)	
	Equatorial Central Pacific Ocean	CRT	SKJ (36-65 cm FL) : from 0.0 to 6.4 days (with average value at 2.3 days)	Matsumoto et al. (2014)
		CRT	SKJ (34.5–65.0 cm FL): less than 7 days YFT (31.6–93.5 cm FL): less than 7 days BET (33.5–85.5 cm FL): less than 7 days	Matsumoto et al. (2016)
Anchored	Philippines (Indian Ocean)	CRT	Juvenile YFT (19–31 cm FL) : between 1 and 6 days	Mitsunaga et al., (2012)
	Maldives Islands (Indian Ocean)	CRT	SKJ (37–54 cm FL) : 0.20-3.75 days YFT (35–53 cm FL) : 0.61-0.70 days	Govinden et al. (2013)
	Mauritius islands (Indian Ocean)	CRT	SKJ (41 -59 cm FL) : 2.5 days YFT (46 -81cm FL) : 9.6 days BET (48 - 60 cm FL) : 5.2 days	Rodriguez-Tress et al. (2017)
		CAT	SKJ (41 -59 cm FL) : 2.9 days YFT (46 -81cm FL) : 1.4 days BET (48 - 60 cm FL) : 0.8 days	
Hawaii islands	CRT	Small YFT (30-39 cm FL) : 13.58 days	Robert et al. (2012)	

		Large YFT (63-68 cm FL): 9.44 days	
	CAT	4 days for small YFT and 1.65 days for large YFT	
(Pacific Ocean)	CRT	4 behavioural modes reported for YFT (54 to 95 cm FL) : - Brief association : 13.1 minutes - Short association: 2.9 days - Two long association modes : 13.8 and 23.2 days	Robert et al. (2013a)
	CAT	2 behavioural modes: - Short: 2.8 days - Long: infinite	
South-western Taiwan (Pacific Ocean)	CRT	YFT (35–81 cm FL) : average of 2.1 days (maximum value to 31 days)	Weng et al. (2013)
Okinawa Island (Pacific Ocean)	CRT	YFT (40-119 cm FL): median of 7.9 days (maximum value to 55 days) BET (50-77 cm FL): median of 7.0 days (maximum value to 34 days)	Ohta & Kakuma (2005)
Palau Islands (Pacific Ocean)	CRT	YFT (50-60cm FL): mean of 16 days (maximum value to 123 days) YFT (60-100cm FL): mean of 2 days (maximum value to 33 days)	Filous et al., (2020)

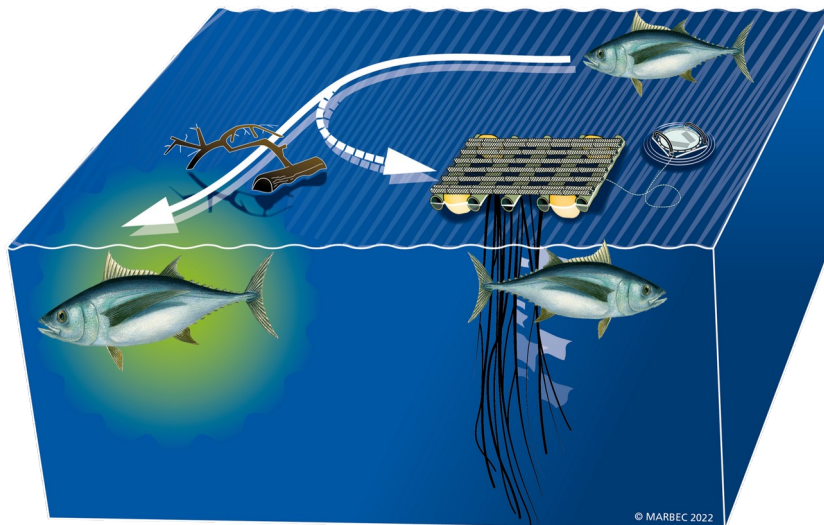
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1401 **Figures**

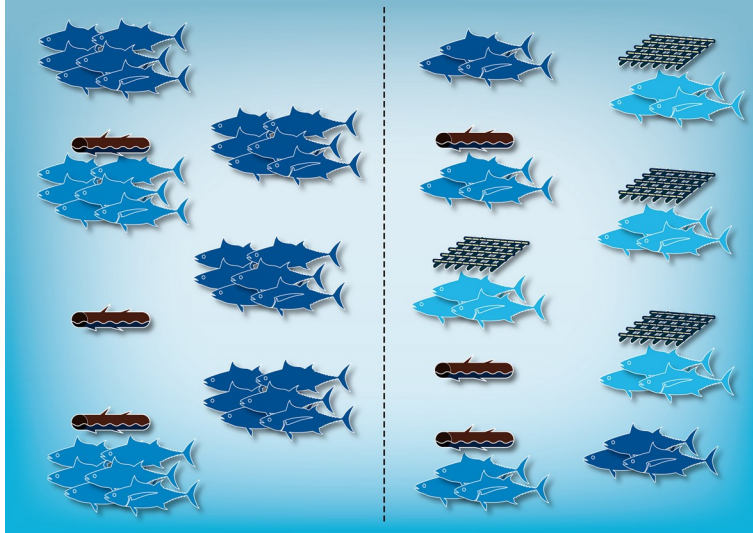


1402 **Figure 1: Drifting Fish Aggregating Devices impacts on tropical tuna**  
1403 **natural mortality and reproductive potential in the framework of the**  
1404 **Ecosystem Approach to Fisheries.** Words in red refer to the specific case  
1405 study developed in this paper. FOB: floating object; M: Natural mortality; R:  
1406 Reproductive potential; F: Fishing mortality.





1408 **Figure 2: Schematic representation of the ecological trap hypothesis applied to**  
1409 **Drifting Fish Aggregating Devices (DFADs), as originally formulated.** Under this  
1410 hypothesis, before DFADs introduction, when only natural floating objects (NLOGs) were  
1411 present, floating objects were indicators of productive areas. Hence, by associating with  
1412 floating objects, tuna selected high quality habitats. DFAD massive deployment modified  
1413 the distribution of floating objects (FOBs), which are not representative of rich areas  
1414 anymore. By associating with FOBs, tunas can be attracted to or retained in habitats of  
1415 lesser quality.



1417 **Figure 3: Schematic representation of potential effects of Drifting Fish Aggregating Devices**  
 1418 **(DFADs) on tuna schooling behaviour. The left side represents an ocean with natural**  
 1419 **floating objects (NLOGs) only (no DFAD), while the right side represents an ocean with**  
 1420 **both NLOGs and DFADs, i.e. more floating objects (FOBs).** Dark blue represents tuna in free-  
 1421 swimming schools, intermediate blue tuna associated with NLOGs and light blue tuna associated  
 1422 with DFADs. An increase in FOB density (right panel) could lead both to (i) more tuna associated  
 1423 to FOBs and less free-swimming schools, (ii) more numerous but smaller FOB-associated  
 1424 schools.