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Probabilistic hazard assessment for pyroclastic density currents at Tungurahua volcano, Ecuador

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13 14

16 Abstract 17

18 We assess the volcanic hazard derived from pyroclastic density currents (PDCs) at Tungurahua 19 volcano, Ecuador, using a probabilistic approach based on the analysis of calibrated numerical 20 simulations. We address the expected variability of explosive eruptions at Tungurahua volcano by 21 adopting a scenario-based strategy, where we consider three cases: small magnitude violent Strombolian to Vulcanian eruption (VEI 2), intermediate magnitude sub-Plinian eruption (VEI 3), 22 and large magnitude sub-Plinian to Plinian eruption (VEI 4-5). PDCs are modeled using the branching 23 energy cone model and the branching box model, considering reproducible calibration procedures 24 based on the geological record of Tungurahua volcano. The use of different calibration procedures 25 26 and reference PDC deposits allows us to define uncertainty ranges for the inundation probability of 27 each scenario. Numerical results indicate that PDCs at Tungurahua volcano propagate preferentially 28 toward W and NW, where a series of catchment ravines can be recognized. Two additional valleys of channelization are observed in the N and NE flanks of the volcano, which may affect the city of 29 30 Baños. The mean inundation probability calculated for Baños is small (6±3%) for PDCs similar to 31 those emplaced during the VEI 2 eruptions of July 2006, February 2008, May 2010, July 2013, 32 February 2014 and February 2016, and on the order of 13±4% for a PDC similar to that produced 33 during the sub-Plinian phase of the August 2006 eruption (VEI 3). The highest energy scenario (VEI 34 4-5), for which we present and implement a novel calibration procedure based on a few control points, 35 produces inundation areas that nearly always include inhabited centers such as Baños, Puela and 36 Cotaló, among others. This calibration method is well suited for eruptive scenarios that lack detailed 37 field information, and could be replicated for poorly-known active volcanoes around the world.

1. Introduction 38

39 Tungurahua volcano (1.47° S; 78.44° W), located ~8 km south of the city of Baños, is one of the most 40 hazardous volcanoes in Ecuador and South America (Fig. 1). The eruption record of Tungurahua 41 includes both effusive and explosive activity, with a series of events documented in historical times, 42 such as those of 1640-45, 1773-82, 1885-88, 1916-1925 (Hall et al. 1999; Le Pennec et al. 2016) and,

more recently, an eruptive episode that lasted since 1999 until 2016 (Bernard et al. 2016; Samaniego 43 44 et al. 2011; Vlastélic et al. 2023). In addition, during the Late Holocene, Tungurahua experienced Plinian eruptions and sector collapses (Le Pennec et al. 2008, 2013), which demonstrate the potential 45 46 of Tungurahua volcano to generate long-runout distance pyroclastic density currents (PDCs) and thick 47 fallout deposits, posing a permanent threat to the surrounding communities, such as the city of Baños 48 and other villages in the Tungurahua and Chimborazo Provinces (Fig. 1). In total, over 25,000 people 49 live in zones that could be affected by lahars, PDCs and other volcanic products of Tungurahua 50 volcano (Hall et al. 1999; Samaniego et al. 2008). However, in spite of: (1) the numerous 51 contributions on Tungurahua activity that have been recently published (Anderson et al. 2018; 52 Battaglia et al. 2019; Bernard et al. 2014; Douillet et al. 2013; Eychenne et al. 2012; Fee et al. 2010; 53 Gaunt et al. 2020; Hall et al. 2013; 2015; Kelfoun et al. 2009; Mothes et al. 2015; Palacios et al. 2023; 54 Parra et al. 2020; Samaniego et al. 2011); (2) the continuous effort of the Instituto Geofisico of the 55 Escuela Politécnica Nacional (IG-EPN) in monitoring and understanding the internal dynamics of 56 this volcano; and (3) major improvements in computational capacity, numerical models and 57 uncertainty quantification (Aravena et al. 2020; 2023; de' Michieli Vitturi et al. 2019; Esposti Ongaro 58 et al. 2016; Flynn and Ramsey, 2020; Kelfoun, 2017; Kelfoun et al. 2009; Neri et al. 2015a; Sobradelo 59 & Martí, 2010; Tadini et al. 2020; 2022; de' Michieli Vitturi et al. 2023), the Tungurahua volcano 60 hazard map has not been updated since 2008 (Samaniego et al. 2008).

61 In this work, we present a probabilistic, scenario-based hazard assessment for PDCs produced during 62 explosive eruptions at Tungurahua volcano. The definition of the eruptive scenarios at Tungurahua is 63 based on the analysis of both the eruptive events preserved in the geological record and those reported in historical times (including the detailed follow-up of the 1999-2016 one), and is intended to reflect 64 65 the natural variability in the activity of this volcanic system. The expected spatial distribution of 66 volcanic products for each eruptive scenario is quantified through numerical modeling. In particular, 67 we adopt the branching energy cone model and the branching box model (Aravena et al. 2020), using 68 the computer programs ECMapProb 2.0 and BoxMapProb 2.0, respectively, and a set of calibration 69 strategies described in Aravena et al. (2022) in order to sample the models' inputs using a probabilistic 70 approach. Thereby, this approach permits us to define uncertainty ranges for the PDC inundation 71 probability associated with each eruptive scenario at any position around the volcano, and thus 72 quantify the limits and strengths of the numerical estimates derived from our hazard assessment.

73 **2. Geological framework**

74 **2.1 Overview**

Tungurahua stratovolcano (5023 m a. s. l.; Fig. 1) is an andesitic-dacitic edifice located in the Eastern
Cordillera of Ecuador, about 140 km south of Quito, constructed upon a metamorphic basement of
Paleozoic and Cretaceous age (Aspden and Litherland 1992; Litherland et al. 1993). Tungurahua is

78 part of the Andean Northern Volcanic Zone (NVZ), a region that includes volcanoes in Ecuador and 79 Colombia formed as a consequence of the subduction of the Nazca Plate beneath the South American 80 Plate (Bryant et al. 2006; Nocquet et al. 2014). This volcanic edifice, which is one of the most active 81 stratovolcanoes in the Ecuadorian Andes, presents particularly steep sides (from ~20-25° in the lower 82 part up to ~40° slope in proximal domains; Bablon et al. 2018) and a complex summit morphology 83 characterized by a series of nested structures, including an upper semi-elliptic crater elongated in the 84 NE-SW direction and an irregularly-shaped lower crater elongated in the NNE-SSW direction. 85 Tungurahua is surrounded by three main rivers: Puela, Chambo and Pastaza (Fig. 1). The city of 86 Baños (~13k inhabitants) is located on the riverbanks of Pastaza River (Fig. 1). The eruptive history 87 of Tungurahua volcano includes three constructive stages with similar trends in terms of geochemistry 88 of major and trace elements (Bablon et al. 2018), separated by major sector collapse events (Bablon 89 et al. 2018; Hall et al. 1999; Le Pennec et al. 2008):

90 (a) Tungurahua I (>293±10 ka - 79±3 ka): construction of an andesitic edifice that peaked about 2 91 km southeast to the present summit of Tungurahua (Bablon et al. 2018). A volume of 56±33 km³ was 92 estimated for the edifice constructed during this period, with a mean eruptive rate of about 0.6 ± 0.3 93 km^{3}/ka (Bablon et al. 2018). This stage was followed by ~50 ky of quiescence, and then a western 94 sector collapse occurred at ~35 ka BP (Bablon et al. 2018) leaving ~10 km³ of deposits (Bustillos 95 2008). The remnants of Tungurahua I can be recognized in the northern, eastern and southern flanks 96 of the volcano, and consist of a series of andesitic and dacitic lava flows and breccia deposits (Bablon 97 et al. 2018; Hall et al. 1999).

(b) Tungurahua II (29 ± 2 ka - ~3 ka): construction of a second stratocone mainly composed of andesitic lava flows (Hall et al. 1999; Le Pennec et al. 2013; Bablon et al. 2018), with a mean eruptive rate of about 0.9 ± 0.2 km³/ka. The end of this eruptive stage is marked by a sector collapse event that resulted in ~3 km³ of deposits that came from the western upper flank of Tungurahua volcano (Bustillos 2008) and cover an area between 23 and 80 km² (Bablon et al. 2018). Remnants of Tungurahua II are observed on the southern upper flank and on the northern and southwestern flanks of the present volcanic edifice (Bablon et al. 2018).

105 (c) Tungurahua III (<-3 ka): construction of the present volcanic edifice by the repeated generation 106 of lava flows, pyroclastic flows and debris flows that mainly propagated through the western and 107 northern flanks, and by the production of moderate volumes of pyroclastic materials mainly transported by the wind towards west and southwest. A mean eruption rate of 2.5±1.0 km³/ka was 108 109 estimated by Bablon et al. (2018) for this stage, which has been dominated by andesitic products, 110 although three dacitic pumice fallout deposits can be recognized as well (Le Pennec et al. 2016). Different authors have focused on the analysis of historical data (Hall et al. 1999; Le Pennec et al. 111 112 2008, 2016), including the eruptive cycles of 1640-45, 1773-82, 1885-88, and 1916-1925. PDC

deposits have been associated with each of these periods (Le Pennec et al. 2016), often channelized 113 114 through radial ravines such as Vazcún and Ulba (Fig. 1), among others, with different degrees of affectation for the city of Baños. For instance, some andesitic scoria flow deposits in the Vazcún 115 ravine were attributed to the 1640-45 eruptive period by Le Pennec et al. (2016). The 1773-82 eruptive 116 117 period is associated with tephra dispersion to the west, PDCs that reached the city of Baños and the Chambo and Pastaza Rivers, as well as a thick andesitic lava flow in the Juive chico area (Le Pennec 118 119 et al. 2016). The 1885-88 eruption produced extensive fallout and PDC deposits in Juive and along 120 other ravines of the western flank, lahars and debris flows in Vazcún and Ulba ravines (Le Pennec et 121 al. 2016), and a thick lava flow close to the Cusua village. PDC emplacement in the Vazcún ravine is 122 also reported for the 1916-25 eruptive period, with little impact to Baños, but widespread impact in 123 the western flank. Based on historical activity, a recurrence rate of about one PDC-forming eruption 124 per century can be proposed for Tungurahua III stage (Hall et al. 1999; Le Pennec et al. 2008; 2016). 125 Le Pennec et al. (2016) also estimated that the location of the city of Baños is impacted by PDCs on 126 average every 350-500 years.

The last sub-Plinian eruption occurred in August 2006 (Douillet et al. 2013; Eychenne et al. 2012; 127 128 Samaniego et al. 2011; Bernard et al. 2016), which generated a sustained 16-18 km-high eruptive column and multiple scoria flows that traveled along a series of ravines to the N, NW and W from the 129 130 source, and ended with the emission of a lava flow. The bulk tephra volume was of the order of 42- 57×10^6 m³ (Eychenne et al. 2012), while the overall volume of dense pyroclastic flow deposits was 131 $\sim 27 \times 10^6$ m³ according to Hall et al. (2013) and 18-29 \times 10^6 m³ according to Bernard et al. (2016). This 132 event was a paroxysmal phase of an eruptive period that started in 1999 and finished in 2016, during 133 which small-scale volcanic activity occurred sporadically, including Strombolian, violent 134 135 Strombolian and Vulcanian events (Anderson et al. 2018; Bernard 2018; Battaglia et al. 2019; 136 Palacios et al. 2023; Parra et al. 2016). Among the products emitted during this period, it is possible 137 to recognize PDC deposits of a series of events that occurred in July 2006, February 2008, May 2010, 138 July 2013, February 2014 and February 2016 (Fig. 2; Hall et al. 2015; Gaunt et al. 2020; Falasconi et 139 al. 2023), whose deposits are here used to calibrate numerical simulations.

140 **2.2 Definition of eruptive scenarios**

Based on the eruption record of Tungurahua, we considered three eruptive scenarios of interest forPDC hazard assessment:

143 (a) ES1: small magnitude violent Strombolian to Vulcanian eruption (VEI 2).

Events able to produce thin pyroclastic fall deposits in the volcano surroundings and to feed smallscale PDCs as a consequence of fountain collapse, low eruption column collapse or remobilization of pyroclastic material, which typically stop around a break-in-slope located at ~3000 m a.s.l. in

147 the Tungurahua's flank. This type of activity was frequent during the last eruption period (1999-

2016), from which the deposits of six small-scale PDCs have been accurately traced (Fig. 2).
These deposits, characterized though their inundation zones, were used to calibrate the numerical simulations associated with this eruptive scenario.

151 (b) ES2: intermediate magnitude sub-Plinian eruption (VEI 3).

This type of eruption has been common during the last ~3 ka and occurred roughly once a century (Le Pennec et al. 2008; 2016; Eychenne et al. 2012), threatening the surrounding communities. A well-documented event with these characteristics is linked to the August 2006 paroxysmal phase, where the eruptive column collapse produced a series of PDCs that reached the base of the edifice through different ravines (Hall et al. 2013; Kelfoun et al. 2009; Bernard et al. 2014, 2016). The inundation area of the PDCs produced during the August 2006 eruption was used here as a reference scenario to address this type of volcanism at Tungurahua volcano (Fig. 3).

159 (c) ES3: large magnitude sub-Plinian to Plinian eruption (VEI 4-5).

Events able to feed long-runout PDCs related to column collapse and relatively thick fallout deposits with effects at regional scale. The recurrence of this scenario is roughly of the order of one event every 1,000 years (Samaniego et al. 2008). Few field data are available to well constrain the extension of flow deposits, and thus we consider a set of control points in zones where sparse outcrops of this type of activity can been recognized (Fig. 3). In particular, we take into account two control points associated with the 1640 AD eruption (P₁ and P₂; Le Pennec et al. 2005; 2008; 2016).

167 Note that these scenarios differ slightly from those defined by Samaniego et al. (2008). While the 168 lowest energy events (Scenario I) considered by Samaniego et al. (2008) are not addressed here due 169 to the fact that no PDCs are formed during this type of eruptive activity, the intensity spectrum 170 grouped by Samaniego et al. (2008) in the intermediate energy category (Scenario II) is divided in 171 two groups in this work (i.e., ES1 and ES2). The highest energy scenarios are instead strongly 172 consistent (i.e., Scenario III and ES3).

173 **3. Methods**

174 **3.1 The models**

175 For the construction of PDC hazard maps, we used the computer programs ECMapProb 2.0 and 176 BoxMapProb 2.0 (Aravena et al. 2020, 2022). The first model is based on the energy cone assumption 177 (Malin & Sheridan, 1982; Sheridan & Malin, 1983; Wadge & Isaacs, 1988) and suits better to describe 178 gravitational flows; the second follows instead the box model integral formulation (Bevilacqua et al. 179 2022; Esposti Ongaro et al. 2016; Huppert & Simpson, 1980; Tadini et al. 2021) and allows describing 180 inertial flows. Both models rely on a tree-branching approach to enhance the channelization features 181 of the models (Aravena et al. 2020), and have been already applied for the construction of PDC hazard 182 maps (e.g. Bevilacqua et al. 2021; Aravena et al. 2023). To address the lowest energy scenario (i.e.

ES1), probably associated with remobilization of pyroclastic material or low eruption column 183 184 collapse that deposits in proximal domains around the summit area, we used the program ECMapProb 2.0, which accounts for the strong topographic control inferred from the distribution of the deposits 185 of the benchmark eruptions, even in proximal domains (Fig. 2). On the other side, because of the 186 187 possible concomitance of different PDC generation mechanisms and transport regimes (Douillet et al. 2013; Hall et al. 2013; Kelfoun et al. 2009) during intermediate magnitude sub-Plinian eruptions 188 189 (i.e., ES2), we tested both ECMapProb 2.0 and BoxMapProb 2.0 to provide conservative results for 190 this scenario. Finally, because PDCs during large-scale explosive eruptions at Tungurahua have been 191 likely fed from large-scale column collapse, we used the model BoxMapProb 2.0 for ES3. To obtain 192 conservative results, we assumed that all simulated PDCs arise from the collapse of pyroclastic 193 material in all directions. All the simulations were performed using a 16 m resolution DEM, obtained 194 by resampling elevation data from SigTierras (Ministry of Agriculture and Livestock, Ecuador).

3.2 Calibration of input parameters

196 The inputs of ECMapProb 2.0 are collapse height $(H_{0,0})$ and energy cone slope $(tan(\varphi))$, while those of BoxMapProb 2.0 include collapsing volume $(V_{0,0})$, initial particle concentration (ϕ) , Froude 197 198 number (Fr), particle sedimentation velocity (w_s), pyroclast density (ρ_p), and air density (ρ_a) 199 (Aravena et al. 2020; Esposti Ongaro et al. 2016). The definition of input parameters based on 200 physical considerations is not straightforward and thus a calibration is necessary (Aravena et al. 2022), especially for inputs for which the models are particularly sensitive (in particular, $H_{0,0}$ and 201 $tan(\varphi)$ for ECMapProb 2.0; and $V_{0,0}$, ϕ , and w_s for BoxMapProb 2.0). In this work, we calibrated 202 the inputs following and complementing the strategies described in Aravena et al. (2022), which are 203 based on the development of a large set of calibration simulations with a fixed vent position and two 204 variable input parameters ($\alpha = H_{0,0}$ and $\beta = tan(\varphi)$ for ECMapProb 2.0; $\alpha = log(V_{0,0})$ and $\beta = tan(\varphi)$ 205 ϕ for BoxMapProb 2.0), while the other inputs, if present, are considered constant. In the case of 206 BoxMapProb 2.0, note that we applied the calibration procedures three times to test the effect of 207 208 different values of w_s as well.

To define a structured, reproducible calibration procedure, let us consider a set of $N \times N$ calibration simulations with fixed source position and variable input parameters within predefined ranges ($\alpha \in [\alpha_1, ..., \alpha_N]$ and $\beta \in [\beta_1, ..., \beta_N]$). If we define $S_{m,n}$ as a non-negative similarity index between the reference scenario and the calibration simulation with inputs (α_m, β_n) , in order to produce a calibrated probability distribution of the model inputs, we can compute the sampling probability of this pair of inputs as $P((\alpha, \beta) \approx (\alpha_m, \beta_n)) := c_p \cdot S_{m,n}$, where c_p is a normalizing constant.

- For the first two scenarios, following Aravena et al. (2022), $S_{m,n}$ was defined by comparing the
- inundation area of the calibration simulation with inputs (α_m, β_n) with the inundation area of specific,
- 217 documented PDCs (see Figs. 2 and 3), adopting the following comparison metrics:

218 (a) Jaccard index (JI).

219 Intersection area between the compared inundation polygons divided by their union area. In this

220 case,
$$S_{m,n}^{(1)} := J I_{m,n}^2$$

221 **(b) Hausdorff distance (HD).**

222 Maximum distance between a border point of one of the inundation polygons and the other 223 inundation polygon. In this case, $S_{m,n}$ is defined by:

$$S_{m,n}^{(2)} := \left(\frac{1}{HD_{m,n} + \varepsilon_{DEM}}\right)^2 \tag{1}$$

224 where ε_{DEM} is the cell size of the DEM used in the calibration simulations, which is included to avoid any 225 division by zero.

226 (c) Root mean squared distance (RMSD).

227 Root of the mean squared distance between a large set (in this work, 1000) of border points of 228 each inundation polygon and the other inundation polygon. In this case, $S_{m,n}$ is given by:

$$S_{m,n}^{(3)} := \left(\frac{1}{RMSD_{m,n} + \varepsilon_{DEM}}\right)^2 \tag{2}$$

229

230 For the large magnitude sub-Plinian to Plinian scenario (i.e., ES3), because the footprint of a 231 benchmark deposit cannot be obtained with precision from field constraints, we implemented in the 232 programs ECMapProb 2.0 and BoxMapProb 2.0 a new calibration metric based on a series of control points (x_i, y_i) , with $i = 1, ..., N_c$ (Fig. 3). These points are intended to represent outcrops of 233 234 documented PDCs whose traceability is not enough to precisely define an inundation polygon. Let us 235 define $d_{m,n}(x_i, y_i)$ as the minimum distance between the *i*-th control point and a border point of the inundation polygon derived from a calibration simulation characterized by the inputs (α_m, β_n) . We 236 237 define the root mean squared distance to the control points as:

$$CP_{m,n} := \frac{\sqrt{\sum_{i=1}^{N_c} d_{m,n}^2(x_i, y_i)}}{N_c}$$
(3)

and the associated similarity index as:

$$S_{m,n}^{(4)} := \left(\frac{1}{CP_{m,n} + \varepsilon_{DEM}}\right)^2 \tag{4}$$

Note that the approach adopted to set the input parameters differs from deterministic sampling strategies (e.g. Ferrés et al. 2013) and from strategies based on Monte Carlo sampling methods (e.g. Clarke et al. 2020). In this work, instead of using arbitrary and independent probability distributions to calibrate the model inputs, we incorporate data from the geological record in a structured and reproducible calibration methodology. Table 1 presents a summary of the inputs and assumptions used in the calibrations.

246 **3.3 Construction of hazard maps**

By using the different functions of sampling probability obtained from the calibration steps, we 247 performed different sets of calibrated simulations (N = 500 for each set). In particular, for each of 248 249 the six reference inundation polygons of ES1, we constructed three maps derived from the use of 250 three different comparison metrics (RMSD, HD and JI) for the calculation of the sampling probability 251 functions for the input parameters, giving rise to 18 probabilistic hazard maps. For ES2, we constructed three maps with ECMapProb 2.0, each associated with a different comparison metric 252 253 (RMSD, HD and JI), and nine maps with BoxMapProb 2.0, derived from the use of three different 254 comparison metrics and three different values of w_s in the calibration simulations (see Table 1). 255 Finally, for ES3, we constructed three maps with BoxMapProb 2.0, considering three different values of w_s in the calibration simulations (Table 1; note that only one comparison metric was considered in 256 257 this case; see Section 3.2). In the calibrated simulations, in contrast to the calibration simulations, the 258 vent position was varied uniformly in the summit zone (circle with a radius of 250 m) in order to 259 incorporate a small uncertainty affecting the collapse position. Results are described in terms of the 260 inundation probability, i.e., for each pixel of the resulting map, we calculated the percentage of 261 simulations that reach this position in order to define a probability value.

4. Results

263 ES1: small magnitude violent Strombolian to Vulcanian eruption (VEI 2)

264 The computed probabilistic inundation maps for PDCs associated with small magnitude violent Strombolian to Vulcanian eruptions (ES1) are displayed in Figures 4 and 5. Numerical results reveal 265 266 a significant effect of the crater shape and the \sim 3 ka BP collapse scar on PDCs propagation dynamics 267 and at least five dominant channelization ravines towards W, NW, NNW, NNE and NE, two of which may involve the city of Baños and other inhabited centers to the north (Figs. 4 and 5; the Vazcún and 268 Ulba ravines). The consistency between the dominant propagation direction of the documented PDCs 269 270 and numerical results, which were not performed with predefined collapse directions, indicate that 271 the preferred propagation directions of recent, small-scale PDCs at Tungurahua are controlled by 272 crater morphology, volcano topography and channelization dynamics near the summit instead of 273 possible directional collapse processes. Results calculated using different calibration procedures are 274 remarkably similar (Supplementary Figs. S1-S4), even though the inundation areas of the reference

events are highly variable, ranging from 1.0 km² (February 2008) to 8.6 km² (July 2006), as well as 275 their runout distances, which range between 2.3 km (February 2016) and 7.1 km (July 2006). In 276 277 almost all the cases, the highest inundation probabilities were computed when the RMSD calibration 278 was adopted (Supplementary Figures S1-S3 and Tables S1-S3), while no clear correlations are 279 observed between the main geometrical properties of the calibration polygons (their inundation areas 280 and runout distances; Fig. 2) and the area enclosed by different isoprobability curves in the resulting hazard maps (Supplementary Fig. S5). The February 2016 inundation polygon is associated with the 281 282 worst calibration performance in ES1 simulations (for instance, best-fit Jaccard index of 0.18), while the best calibration performances are observed for the July 2006 and February 2014 inundation 283 284 polygons (best-fit Jaccard index of 0.39 and 0.36, respectively). Driven by these differences, we 285 constructed a weighted hazard map that considers the 18 hazard maps with different weights as a 286 function of the performance of each set of calibration simulations in reproducing the reference PDC 287 deposit. This map is presented in Figure 5 and is remarkably similar to the mean, equally-weighted 288 map.

Regarding some relevant locations around Tungurahua volcano from a volcanic hazard point of view (Fig. 6a and Supplementary Tables S1-S3), the inundation probabilities for ES1 are low and, in general, well-constrained. In particular, the inundation probability in Baños is about $6\pm3\%$ (maximum value of 11.6%), while the villages with the largest inundation probabilities for the eruptive scenario ES1 are Palitahua (12±5%), Juive Grande (9±5%), Chontapamba (8±4%), Cusua (7±4%) and Bilbao (7±4%).

295 *ES2: intermediate magnitude sub-Plinian eruption (VEI 3)*

296 For an eruptive scenario similar to the August 2006 sub-Plinian event (i.e., ES2), the channelization 297 effect of a series of ravines towards NW, N and NNE is also evident, as well as the influence of the 298 crater shape and the ~3 ka BP collapse scar, even though a significant number of simulations are able 299 to propagate a few kilometers towards SE (Fig. 7 and Supplementary Figs. S6-S9). The adoption of 300 different numerical models, as well as different values for the particle sedimentation velocity in 301 BoxMapProb 2.0 simulations, produce strongly similar probabilistic hazard maps for this eruptive 302 scenario, giving rise to well constrained values of inundation probability at specific locations, as 303 illustrated in Figure 6b and Supplementary Tables S1-S3. Under this eruptive scenario, the inundation 304 probabilities in Baños, Ulba and Cotaló are $13\pm4\%$, $7\pm2\%$ and $7\pm3\%$, respectively. The villages with 305 the largest inundation probabilities for the eruptive scenario ES2 are Cusua ($21\pm7\%$), Juive Grande 306 $(19\pm7\%)$, Pondoa $(19\pm5\%)$, Choglontus $(18\pm5\%)$ and Bilbao $(17\pm6\%)$, while the inundation 307 probability at Palitahua is 14±9%. Note that, compared to the eruptive scenario ES1, the increase in 308 the inundation probability is particularly relevant at Puela, a consequence of the reduced effect of the

309 crater and the ~3 ka BP collapse scar in the eruptive scenario ES2 when compared to ES1 (Fig. 6b 310 and Supplementary Tables S1-S3).

311 Numerical calibration of BoxMapProb 2.0 simulations for ES2, which are based on the August 2006 312 sub-Plinian event, allowed computation of a calibrated value for the volume of pyroclastic materials 313 transported in the PDCs, which can be obtained by multiplying both the calibrated variables, i.e., collapsing volume $(V_{0,0})$ and initial particle concentration (ϕ ; see Section 3.2). The calibrated 314 volumes of collapsing pyroclasts depend on the adopted value of w_s (Supplementary Fig. S10), with 315 mean values (in logarithmic scale) between 1.5×10^6 m³ (HD comparison metric, $w_s = 0.05 m/s$) and 316 5×10^6 m³ (JI comparison metric, $w_s = 1.2 m/s$), and 50% of data ranging between 8×10^5 m³ and 317 8×10^6 m³. This range is slightly smaller than the documented volumes of the individual PDCs 318 recognized during the August 2006 event (i.e., ~8.5-17.3×10⁶ m³; Hall et al. 2013). On the other hand, 319 note that Bernard et al. (2016) did not separately present the volume of single PDC units and, 320

321 therefore, their volume estimates are not expected to be comparable with our calibration results.

322 <u>ES3: large magnitude sub-Plinian to Plinian eruption (VEI 4-5)</u>

Finally, the mean and maximum inundation probabilities computed for large magnitude sub-Plinian 323 324 to Plinian eruptions (i.e., ES3) are presented in Figure 8, where it is possible to recognize the potential role of Chambo, Patate, and Pastaza Rivers to channelize relatively large-scale PDCs towards SW, 325 326 NW and NE from the volcano, respectively, while the results associated with different values of w_s 327 are displayed in Supplementary Figure S11. We show that PDCs similar those that reached the 328 locations presented in Figure 3 (i.e. P₁ and P₂), where outcrops of PDCs fed from Tungurahua volcano 329 during the 1640 AD eruption can be recognized, are also likely to spread out in Baños and other nearby towns, such as Puela, Cotaló and Ulba (Supplementary Tables S1-S3). Note, however, that the 330 331 adopted models simulate collapse processes that propagate in all directions and thus we are not modeling PDCs produced by directed blasts. The calibrated volume of pyroclastic material involved 332 in these flows exhibits mean values in logarithmic scale ranging between 3×10^7 m³ ($w_s = 0.05 m/s$) 333 and $1.3 \times 10^8 \text{ m}^3$ ($w_s = 1.2 \text{ m/s}$; Supplementary Fig. S11). These volumes are significantly larger than 334 335 those computed for ES2, which may explain the large difference observed between the hazard maps 336 associated with these eruptive scenarios (see Supplementary Tables S1-S3 and Figs. 7 and 8 for 337 comparison).

338 5. Discussion

The eruptive chronology of a volcanic system is the main source of information to define the expected effects of future eruptions, construct hazard maps and address the derived risk. This is made by assuming that the system will have similar dynamics in the future (e.g. Gurioli et al. 2010; Calder et al. 2015; Neri et al. 2015b). However, the way the eruptive record is integrated with information obtained from other information sources, such as geophysical studies and numerical modeling, is not 344 straightforward and often hinders reproducibility of hazard assessments. The adoption and extension 345 of some recently published calibration strategies, which are based on documented PDC deposits 346 (Aravena et al. 2022), allowed us to integrate the volcanological record of Tungurahua in a structured 347 and reproducible procedure to define the inputs of a set of numerical simulations, which ultimately 348 resulted in a series of probabilistic, scenario-based PDC hazard maps for this volcano.

349 A key strength of our results is that the independent use of different comparison metrics, as well as 350 different geological datasets for numerical calibration (when possible), led to uncertainty ranges for 351 the computed inundation probabilities. Quantitative analysis of uncertainty is typically absent in PDC 352 hazard maps around the world (Lindsay et al. 2023), which hampers representation of the intrinsic 353 variability of the activity observed in volcanic systems. The approach adopted in this investigation 354 complements a few recent efforts to quantify the uncertainty associated with PDC hazard assessments in high-risk volcanic systems (e.g., Aravena et al. 2023; Bevilacqua et al. 2017; Neri et al. 2015a; 355 356 Rutarindwa et al. 2019; Tierz et al. 2018; 2021). In these latter case studies, uncertainty quantification 357 derives from the inclusion of probabilistic vent opening maps from which PDC source positions are 358 sampled (only relevant in case of distributed volcanism) and/or, as in this contribution, from the use 359 of different assumptions to set the inputs of numerical simulations. In our case, this was performed 360 by adopting the following strategies: (1) independent use of different subsets of field data to calibrate 361 the models, (2) independent use of two numerical models, and (3) independent use of multiple metrics 362 to compare field data with calibration simulations. We emphasize that, in spite of all these sources of 363 uncertainty, the inundation probabilities for a given eruptive scenario of Tungurahua volcano in points 364 of interest from a volcanic hazard perspective are, in general, well constrained (see Supplementary Tables S1-S3), and provide clear indications of inundation probability in the context of territorial 365 366 planning.

367 Adoption of an appropriate way to include uncertainty in hazard assessments depends on the specific 368 characteristics of the studied volcanic system (e.g. monogenetic fields or calderas, where probabilistic 369 vent opening maps are needed, versus stratovolcanoes generally characterized by summit activity 370 only) and the availability of volcanological data to calibrate numerical simulations based on reference 371 eruptions. In the case of Tungurahua volcano, the available volcanological information allows us to characterize reasonably well PDC deposits associated with eruptions with a VEI of 2 or 3 (i.e. ES1 372 373 and ES2; Figs. 2 and 3), which is mostly explained due to the recent eruptive cycle of 1999-2016. We 374 stress that, based on field evidence, these eruptive scenarios include most of the PDC-forming 375 eruptions during the last millennia. On the other hand, we note that the highest energy scenario (i.e. 376 ES3) was calibrated using a limited number of field data and thus a better knowledge about the 377 eruptive history of Tungurahua is required to quantify the hazards associated with this eruptive 378 scenario with higher accuracy. In this sense, although the calibrated volumes of collapsing pyroclastic 379 material for eruptive scenarios ES2 and ES3 show overlapping (see Supplementary Figs. S10 and 380 S12), the significant differences between their mean values may suggest that an additional 381 intermediate scenario might be considered to describe the eruptive variability of this volcano. In order 382 to delve deeper into this topic, in Figure 9 we present the relationship between the collapsing volume 383 of pyroclastic material in a set of non-calibrated, complementary simulations and the resulting runout 384 distance and inundation area of simulated PDCs. In all the cases, which consider different values of 385 sedimentation velocity (w_s) , an evident break in slope in the modeled inundation area can be recognized at values of collapsing volume of pyroclastic material of about $10^{7.7}$ m³ (i.e., $\sim 5 \times 10^7$ m³; 386 387 see Fig. 9), and a small discontinuity in the slope is observed in the modeled runout distance at collapsing volumes of pyroclasts of about $10^{7.4}$ m³ (i.e., $\sim 2.5 \times 10^7$ m³). These results indicate that, in 388 389 addition to the significant difference in the collapsing volumes of ES2 and ES3, the strong differences 390 in the resulting hazard maps are also modulated by a change in the behavior of the simulated PDCs 391 above a threshold of collapsing volume of pyroclasts, which in fact coincides with the transition 392 between the calibrated volumes of pyroclasts of ES2 and ES3. The capacity of the topography of 393 stratovolcanoes to influence the behaviour of PDCs has been recently addressed by Aravena and 394 Roche (2022), who classified Tungurahua volcano as a case of intense proximal channelization and 395 moderate distal channelization based on the analysis of numerical simulations of dense PDCs. This 396 is due to the well-defined radial ravines that favor PDC propagation in proximal domains and 397 pronounced tangential valleys (Puela, Chambo and Pastaza rivers) that buffer the increase of runout 398 distance toward N, NW and NE and of inundation area when PDCs reach the edifice base (i.e. when 399 they reach the above-mentioned tangential valleys). Our numerical results suggest that, above a 400 volume threshold of collapsing pyroclasts, valleys beyond the base of the volcanic edifice become 401 relevant in PDCs propagation, strongly affecting the volcanic hazard around Tungurahua. This makes 402 even more critical the need to refine our knowledge about large-scale explosive events at Tungurahua 403 volcano. In addition, Aravena and Roche (2022) recognized the clear effect of proximal obstacles in 404 PDC propagation at Tungurahua, which is probably due to the crater topography and the presence of 405 the ~3 ka BP collapse scar that limit the propagation of small-scale PDCs towards NE.

406 Although probabilistic volcanic hazard maps as those presented here integrate a large amount of 407 information that are more or less easily understandable by the vast majority of the volcanological 408 community, they are not directly accessible by local communities and decision makers (see for 409 example Thompson et al. 2015). Consequently, the definition of probability thresholds for each 410 scenario in order to translate our probabilistic results into hazard maps with a reduced number of 411 levels is a critical step that is beyond the objectives of this work, and should be ultimately defined by 412 Decision Makers and Civil Protection authorities. In order to provide an illustrative example, in 413 Figure 10 we present a three-colors hazard map constructed by extracting the 50% isoprobability

414 curves of the maximum probabilistic hazard map of each scenario, while the equivalent figures 415 associated with probability thresholds of 10% and 90% are displayed in the supplementary material 416 (Figs. S13 and S14, respectively). When compared to the hazard map presented by Samaniego et al. (2008), the most significant difference, which would be present for any probability threshold adopted 417 418 for the construction of the three-color hazard map, is that our simulations suggest a non-negligible 419 inundation probability toward SE of Tungurahua volcano for the highest energy scenario. On the other 420 hand, regarding lower energy scenarios, both Samaniego et al. (2008) and our results suggest that the 421 tangential valleys of Chambo, Puela and Pastaza rivers represent a major limit in the zonification of 422 PDC hazard at Tungurahua. Further comparisons are not possible due to the above-mentioned differences in the definition of the eruptive scenarios. 423

424 6. Concluding remarks

We addressed the volcanic hazard associated with PDCs at Tungurahua volcano by adopting an 425 426 approach based on the development of calibrated numerical simulations for three specific eruptive 427 scenarios, which are defined from our knowledge of the eruptive record of this volcanic system. In 428 particular, we considered small magnitude violent Strombolian to Vulcanian eruptions (VEI 2), 429 intermediate magnitude sub-Plinian eruptions (VEI 3) and large magnitude sub-Plinian to Plinian 430 eruptions (VEI 4-5). The main conclusions associated with this investigation are summarized below: (a) Small-scale PDCs produced during small magnitude violent Strombolian to Vulcanian eruptions 431 432 are strongly controlled by at least five dominant channelization ravines towards W, NW, NNW, 433 NNE and NE, and by crater topography and the ~3 kyr BP collapse scar. The simulated PDCs 434 may reach the Pastaza River through a few ravines and produce inundation probabilities at Baños of about $6\pm 3\%$. 435

- (b) PDCs generated during intermediate magnitude sub-Plinian eruptions are also influenced by the
 proximal topographic features of the volcano (crater morphology and radial ravines). Numerical
 results indicate that these PDCs frequently reach the Pastaza River through a series of ravines,
 with inundation probabilities at Baños of 13±4%.
- (c) Large magnitude sub-Plinian to Plinian eruptions (VEI 4-5) produce PDC inundation areas that
 nearly always involve inhabited centers, including Baños, Puela and/or Cotaló. However, new
 volcanological studies to characterize the eruptive history of Tungurahua are required for further
 constraining the uncertainty affecting this eruptive scenario
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624 Tables

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626 Table 1. Input parameters adopted in the calibration simulations used to construct probabilistic maps627 of PDC inundation for Tungurahua volcano.

ECMapProb 2.0		
Input parameter	ES1 ^{1,a}	ES2 ^{2,a}
Root energy cone height $(H_{0,0})$	100 - 1000 m	100 - 2000 m
Energy cone slope ($tan(\phi)$)	0.2 - 1.0	0.2 - 1.0
Number of calibration simulations $(N \times N)^+$	400	400
BoxMapProb 2.0		
Input parameter	ES2 ^{2,b}	ES3 ^{3,c}
Collapsing volume ($V_{0,0}$)	$10^7 - 10^{10} \text{ m}^3$	$10^8 - 10^{11} \text{ m}^3$
Initial particle concentration ($\phi_{0,0}$)	0.005 - 0.040	0.005 - 0.040
Froude number (Fr)	1.0	1.0
Sedimentation velocity (W_s)	0.05, 0.3, and 1.2 m/s	0.05, 0.3, and 1.2 m/s
Pyroclast density (ρ_p)	1500 kg/m ³	1500 kg/m ³
Ambient air density (ρ_a)	1.0 kg/m ³	1.0 kg/m ³
Number of calibration simulations $(N \times N)^+$	400	400

¹Reference inundation polygons used for model calibration: July 2006, February 2008, May 2010, July 2013, February 2014 and February 2016 PDCs (Fig. 2).

² Reference inundation polygon used for model calibration: August 2006 PDCs (Fig. 3).

³ Model calibration based on a set of control points due to lack of detailed field information (Fig. 3).

^a Three comparison metrics are used to perform the model calibration (JI, HD and RMSD), giving rise to three different sampling probability distributions of the inputs for each calibration polygon considered.

^b Three sets of calibration simulations were performed with variable values of sedimentation velocity (w_s). In each case, three comparison metrics were used to calibrate the model (JI, HD and RMSD), giving rise to nine different sampling probability distributions of the model inputs.

^c Three sets of calibration simulations were performed with variable values of sedimentation velocity (w_s) . In each case, one comparison metric was used to calibrate the model (CP), giving rise to three different sampling probability distributions of the model inputs.

⁺ Note that this differs from the number of calibrated simulations (i.e. performed using the calibration simulations to sample the model inputs), which is 500 for each set of simulations.



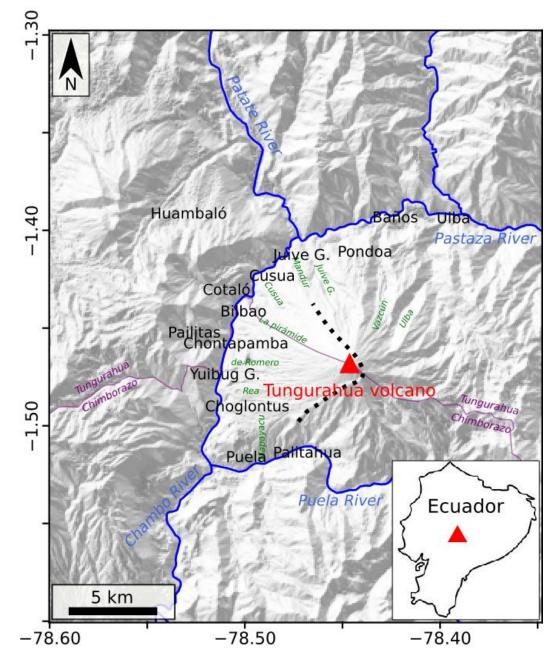
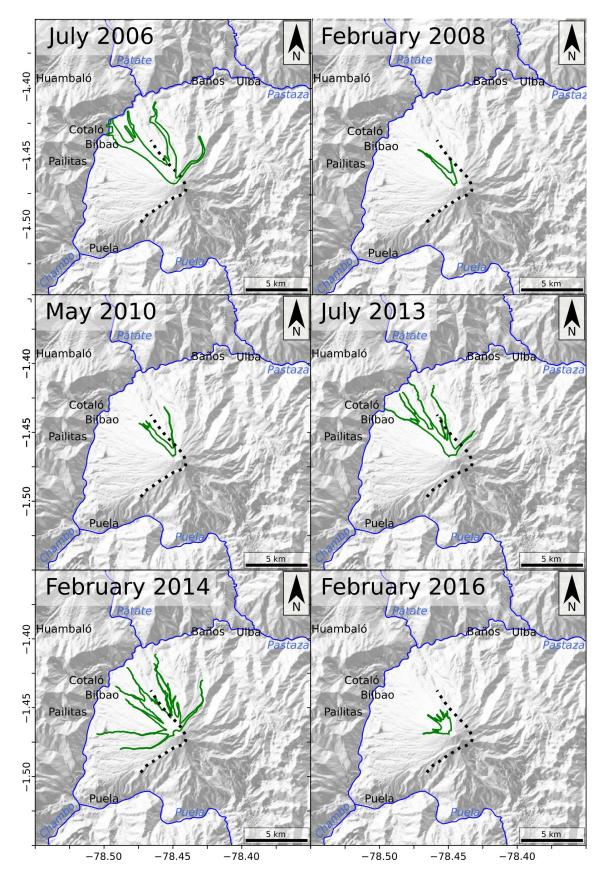
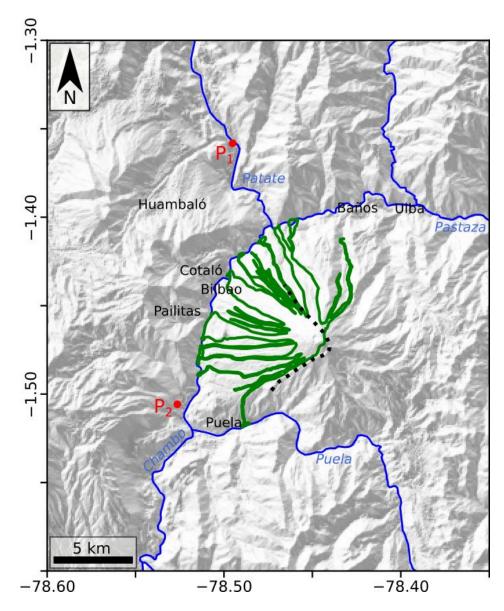


Figure 1. Shaded relief map of Tungurahua volcano and its surroundings, including the location of
the main inhabited zones (black labels), rivers (blue labels) and some of the main ravines (green
labels). The ~3 ka BP collapse scar is indicated by a dotted line (modified from Bablon et al. 2018),
while the purple line represents the limit between Tungurahua and Chimborazo Provinces.
Coordinates are expressed in DD notation.



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Figure 2. Shaded relief maps of Tungurahua volcano with superposed polygons showing the footprints of a series of PDC deposits produced during recent events of this volcano (see titles). These polygons have been adopted to calibrate numerical simulations of ES1. Labels indicate the main cities (black labels) and rivers (blue labels). The ~3 ka BP collapse scar is indicated by a dotted line (modified from Bablon et al. 2018). Coordinates are expressed in DD notation.



658 -78.60 -78.50 -78.40
660 Figure 3. Shaded relief maps of Tungurahua volcano with a superposed polygon showing the footprint of a PDC produced during the sub-Plinian eruption of August 2006 (modified from Bernard et al. 2014) and a few control points where PDC deposits of the AD 1640 eruption of Tungurahua volcano have been recognized (see main text). These data have been adopted to calibrate numerical simulations of ES2 and ES3. Labels indicate the main cities (black labels) and rivers (blue labels). The ~3 ka BP collapse scar is indicated by a dotted line (modified from Bablon et al. 2018). Coordinates are expressed in DD notation.

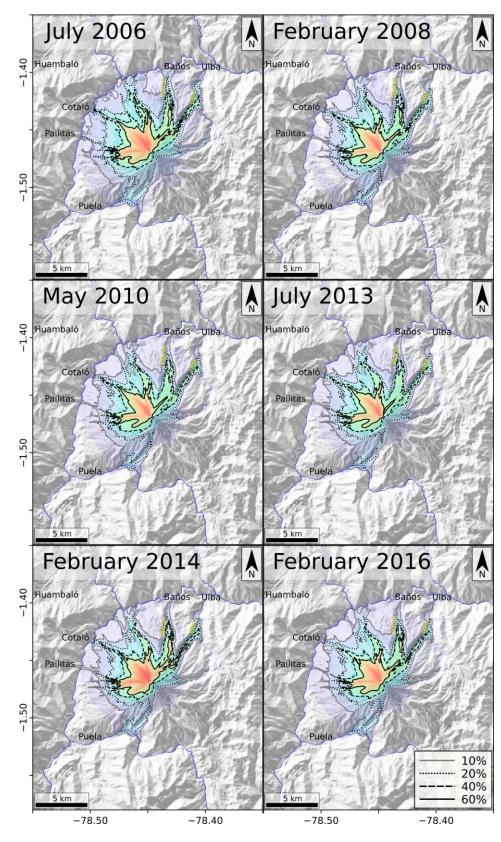
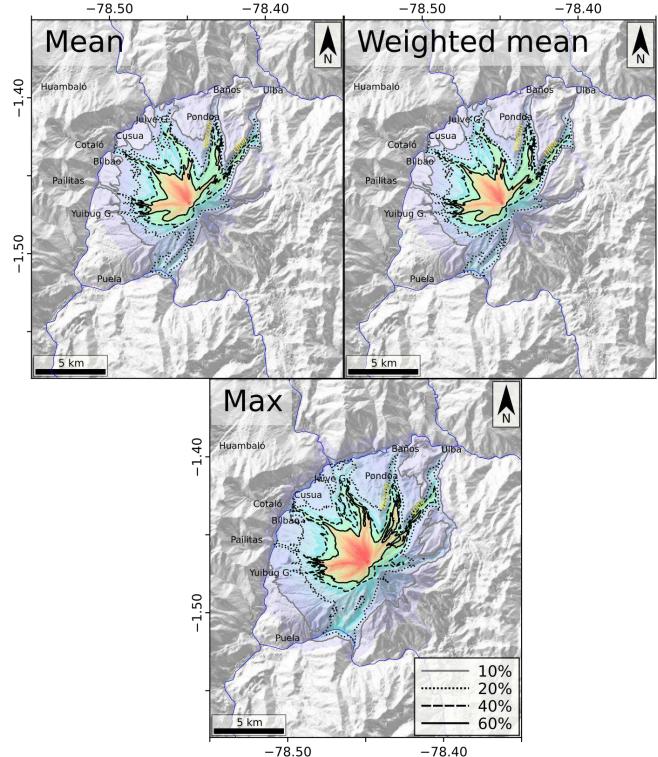
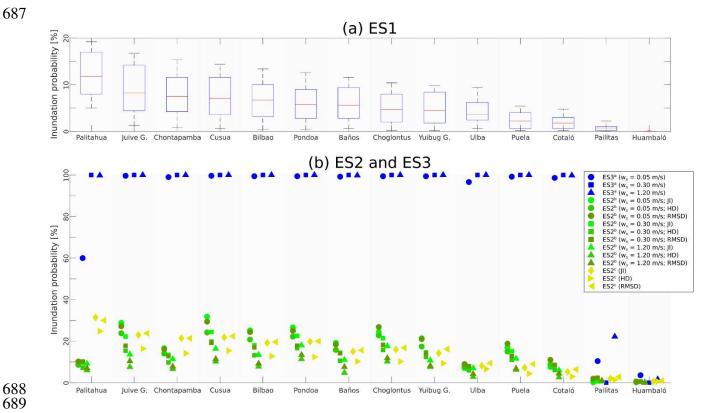




Figure 4. Probabilistic hazard maps for the eruptive scenario ES1 (small magnitude violent Strombolian to Vulcanian eruption), considering separately six different reference PDCs to calibrate numerical simulations. In each panel, we present the mean inundation probability computed using three different comparison metrics (see supplementary Figs. S1-S4), which are indicated by a set of isoprobability curves (see legend) and a rainbow color scale. Black labels indicate the main cities, while the positions of Ulba and Vazcún ravines are indicated by yellow labels. Coordinates are expressed in DD notation.



677 Figure 5. Mean, weighted mean and maximum probabilistic hazard maps for the eruptive scenario 678 679 ES1 (small magnitude violent Strombolian to Vulcanian eruption). Inundation probabilities are 680 indicated by a set of isoprobability curves (see legend) and a rainbow color scale. For computing the 681 mean map, we assign the same weight to the 18 hazard maps associated with ES1, while the weighted 682 mean map is obtained by assigning weights controlled by the performance of each set of calibration simulations in reproducing the reference PDC deposit (see main text). Black labels indicate the main 683 684 cities, while the positions of Ulba and Vazcún ravines are indicated by yellow labels. Coordinates are 685 expressed in DD notation.



690 Figure 6. Inundation probability computed in a series of critical positions around Tungurahua 691 volcano, considering different scenarios and calibration methods. For ES1 (panel a), data are 692 presented in box plots, while each symbol represent a hazard map in panel b (ES2 and ES3, see 693 legend). See Supplementary Tables S1-S3 for details.

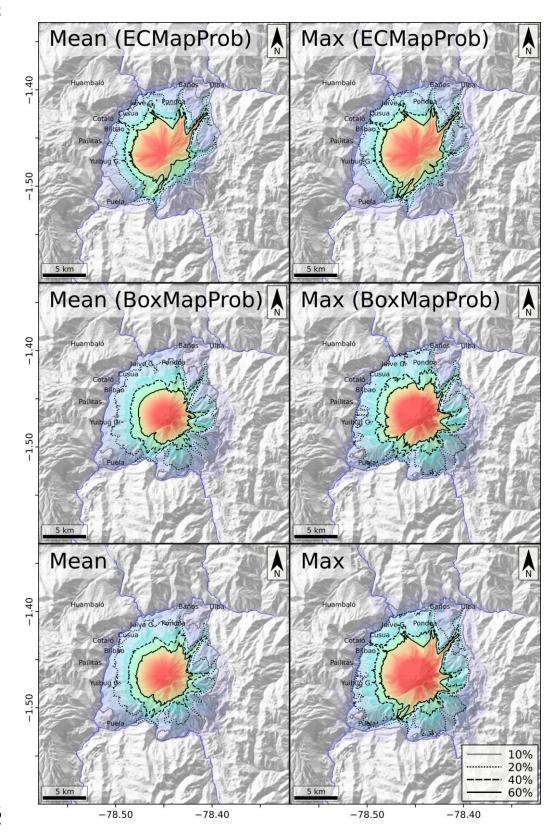
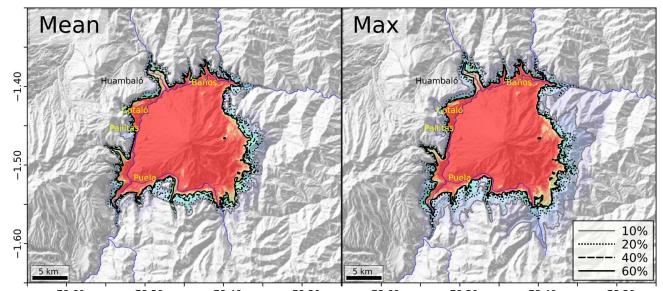




Figure 7. Probabilistic hazard maps for the eruptive scenario ES2 (intermediate magnitude sub-Plinian eruption), considering numerical results of the models ECMapProb 2.0 (top panels) and BoxMapProb 2.0 (middle panels). The mean and maximum probabilistic hazard maps, considering both the models, are included in the bottom panels. Inundation probabilities are indicated by a set of isoprobability curves (see legend) and a rainbow color scale. Labels indicate the main cities. Coordinates are expressed in DD notation.



-78.60 -78.50 -78.40 -78.30 -78.60 -78.50 -78.40 -78.30706 **Figure 8.** Mean and maximum probabilistic hazard maps for the eruptive scenario ES3 (large magnitude sub-Plinian to Plinian eruption), indicated by a set of isoprobability curves (see legend) and a rainbow color scale. These hazard maps were constructed considering P₁ and P₂ (see Fig. 3) as control points for calibration effects. Labels indicate the main cities. Coordinates are expressed in DD notation.

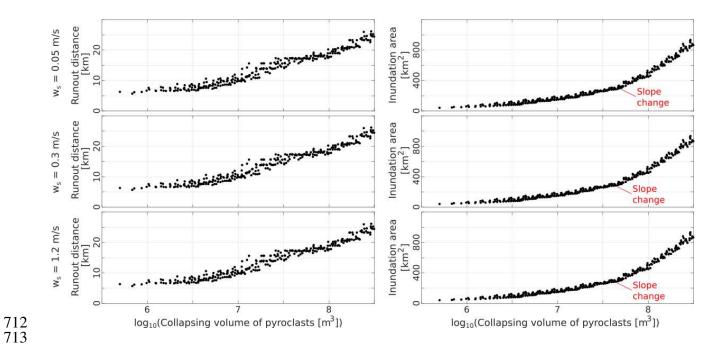


Figure 9. Runout distance (left-side panels) and inundation area (right-side panels) versus volume of
 collapsing pyroclasts for a non-calibrated set of BoxMapProb 2.0 simulations.

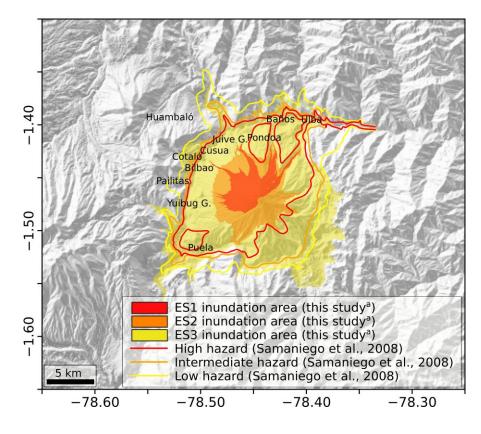


Figure 10. Illustrative example of a three-color hazard map of Tungurahua volcano, constructed by
considering the 50% isoprobability curve of the maximum probabilistic hazard map of each scenario.
Contours of the hazard levels defined by Samaniego et al. (2008) are also included. Labels indicate
the main cities. Coordinates are expressed in DD notation.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

• SITungurahua202403.pdf